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STATE OF HAWAII  
DEPARTMENT OF BUDGET AND FINANCE

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EMPLOYEES' RETIREMENT SYSTEM  
HAWAII EMPLOYER-UNION HEALTH BENEFITS TRUST FUND  
OFFICE OF THE PUBLIC DEFENDER

ADMINISTRATIVE AND RESEARCH OFFICE  
BUDGET, PROGRAM PLANNING AND  
MANAGEMENT DIVISION  
FINANCIAL ADMINISTRATION DIVISION  
OFFICE OF FEDERAL AWARDS MANAGEMENT (OFAM)

January 6, 2017 **DEPT. COMM. NO. 283**

The Honorable Ronald D. Kouchi  
President of the Senate  
Twenty-Ninth State Legislature  
State Capitol  
Honolulu, Hawaii 96813

Dear Senate President Kouchi:

We are submitting a compact disc of the FYs 13-19 Non-General Fund Information report pursuant to Section 37-47, HRS.

As required by Section 93-16, HRS, the reports will be published on the internet and will be located at:  
<http://budget.hawaii.gov/budget/reports-to-the-legislature/>.

Should there be any questions, please have your staff contact Mr. Neal Miyahira, Administrator of this department's Budget, Program Planning and Management Division, at 586-1530.

Aloha,

WESLEY K. MACHIDA  
Director of Finance

Attachment

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: AGRICULTURE  
 Prog ID(s): AGR 122/EC  
 Name of Fund: Cooperative National Plant Pest Survey and Detection Program  
 Legal Authority: Chapter 29-14, HRS

Contact Name: Phyllis Shimabukuro-Geiser  
 Phone: 973-9553  
 Fund type (MOF) Federal Funds  
 Appropriation Acct. No. S-201-A

Intended Purpose:  
 Funds are provided annually by the USDA-APHIS-PPQ for the survey and detection of alien plant pests and diseases and the generation and distribution of related data.

Source of Revenues: Federal Fund Grant

Current Program Activities/Allowable Expenses:  
 The department's survey entomologist coordinates statewide surveys by cooperators in various state and federal agencies to detect new immigrant organisms (insects and other plant pests, including diseases) and the acquisition and dissemination of information is put into the state database and transmitted to USDA-APHIS-PPQ. Funds are used for personnel, equipment, supplies, and travel expenses to meet the requirements of the grant.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	411,960	409,629	407,460	262,420	262,420	262,420	262,420
Beginning Cash Balance	8,023	36,957	49,665	11,792	20,000	20,000	20,000
Revenues	208,717	95,108	73,021	59,693	80,000	80,000	80,000
Expenditures	179,783	82,400	110,894	41,472	80,000	80,000	80,000
<b>Transfers</b>							
List each net transfer in/out/or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	36,957	49,665	11,792	30,013	20,000	20,000	20,000
Encumbrances	5,703	4,891		2,925			
Unencumbered Cash Balance	31,254	44,774	11,792	27,088	20,000	20,000	20,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: AGRICULTURE  
 Prog ID(s): AGR 151/BB  
 Name of Fund: Egg Product Inspection  
 Legal Authority: Chapter 29-14

Contact Name: Jeri Kahana  
 Phone: 832-0705  
 Fund type (MOF): Federal Fund  
 Appropriation Acct. No.: S-202-A

**Intended Purpose:**

Deposit and expend federal funds to conduct shell egg inspections at eggs farms and packing plants required under USDA Egg Products Inspection Act.

Source of Revenues: Federal Fund Grant

**Current Program Activities/Allowable Expenses:**

Inspect egg farms and packing facilities to assure eggs being sold do not contain excessive restricted eggs, restricted eggs are properly handled and labeled, and required refrigeration temperature. Funds expended into general fund to cover salary costs, fringe benefits, expenses, and administrative costs for services performed.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Varaiances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	37,424	37,424	37,424	39,424	39,424	39,424	39,424
Beginning Cash Balance	2,263	1,994	1,643	1,224	1,750	1,750	1,750
Revenues	12,454	13,273	9,869	9,184	10,000	10,000	10,000
Expenditures	12,723	13,624	10,288	8,658	10,000	10,000	10,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,994	1,643	1,224	1,750	1,750	1,750	1,750
Encumbrances			1,224	0			
Unencumbered Cash Balance	1,994	1,643	0	1,750	1,750	1,750	1,750

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: AGRICULTURE  
 Prog ID(s): AGR 846/EE  
 Name of Fund: Pesticide Enforcement  
 Legal Authority: Chapter 29-14, HRS

Contact Name: Thomas Matsuda  
 Phone: 973-9405  
 Fund type (MOF) Federal funds  
 Appropriation Acct. No. S-205-A

Intended Purpose:

Deposit and expend federal funds to ensure the effective and safe use of pesticides and to minimize the adverse effects on man and the environment.

Source of Revenues: Federal Fund Grant

Current Program Activities/Allowable Expenses:

Conduct investigations and compliance assistance activities relating to the distribution and use of pesticides. Certify pesticide users determined to be competent to apply restricted use pesticides. Conduct chemical analyses in support of pesticide program activities.

Purpose of Proposed Ceiling Increase (if applicable):

Variations:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	461,000	455,561	413,129	446,129	446,129	446,129	446,129
Beginning Cash Balance	94,627	57,881	101,742	103,143	282,990	335,990	430,990
Revenues	190,535	337,021	430,536	425,000	345,000	345,000	345,000
Expenditures	227,281	293,160	429,135	245,154	292,000	250,000	250,000
Transfers							
List each net transfer in/out/or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	57,881	101,742	103,143	282,990	335,990	430,990	525,990
Encumbrances	25,125	14,228	6,909	19,178	20,000	20,000	20,000
Unencumbered Cash Balance	32,756	87,515	96,234	263,812	315,990	410,990	505,990

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: AGRICULTURE  
 Prog ID(s): AGR 151/BB  
 Name of Fund: Seafood Inspection Program  
 Legal Authority: Chapter 29-14, HRS

Contact Name: Jeri Kahana  
 Phone: 832-0705  
 Fund type (MOF) Federal Fund  
 Appropriation Acct. No. S-220-A

Intended Purpose:  
 To deposit and expend federal funds to conduct seafood inspection services.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:  
 Conduct seafood inspection upon request per agreement with U.S. Department of Commerce Seafood Inspection Program. Funds expended into general fund to cover salary costs and other expenses for services performed.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:  
 Inspections are conducted at request of applicants. Variance due to less than anticipated inspection requests received.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Beginning Cash Balance	421	200	1,332	1,316	1,217	1,217	1,217
Revenues	343	5,111	11,835	13,376	13,000	13,000	13,000
Expenditures	564	3,979	11,851	13,475	13,000	13,000	13,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	200	1,332	1,316	1,217	1,217	1,217	1,217
Encumbrances							
Unencumbered Cash Balance	200	1,332	1,316	1,217	1,217	1,217	1,217

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: AGRICULTURE  
 Prog ID(s): AGR 161/KA  
 Name of Fund: Hawaii Agricultural Development Revolving Fund  
 Legal Authority: Chapter 163D-17, HRS

Contact Name: James Nakatani  
 Phone: 586-0188  
 Fund type (MOF): Revolving Fund  
 Appropriation Acct. No. S-307-A

**Intended Purpose:**

Carry out Agribusiness Development Corporation's (ADC) purpose to acquire, preserve and make optimal use of agricultural assets for the economic, environmental, and social benefit of the people of Hawaii.

Source of Revenues: 1) Ag land rents, 2) Other rent (e.g. buildings), 3) use of government land for specific purposes (e.g. removal of soil), 4) sale of services to Navy, 5) WWS administrative fee, 6) investment pool interest, 7) water delivery

**Current Program Activities/Allowable Expenses:**

1) acquiring ag lands, facilities, infrastructure and conservation easements.2) Operation, maintenance and repairs of structures, reservoirs and water systems  
 4) research/studies of new agricultural technology; 5) auxiliary services such as security, processing of required licenses or permits, environmental assessments; and 6) purchase of goods necessary to repair and operate equipment and infrastructure.

Purpose of Proposed Ceiling Adjustment (if applicable): Fringe benefit increase

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,110,609	2,131,609	2,609,099	2,902,721	2,482,204	2,691,862	2,691,862
Beginning Cash Balance	2010624	2,377,772	1,773,305	1,294,696	1,143,464	997,564	701,007
Revenues	1,538,857	1,240,226	1,208,125	1,522,232	1,669,100	1,669,100	2,105,606
Expenditures	1,171,709	1,844,693	1,686,734	1,673,464	1,815,000	1,965,657	2,085,025
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,377,772	1,773,305	1,294,696	1,143,464	997,564	701,007	721,588
Encumbrances	1,143,012	557,942	1,030,738				
Unencumbered Cash Balance	1,234,760	1,215,363	263,958	1,143,464	997,564	701,007	721,588

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: AGRICULTURE  
 Prog ID(s): AGR 171/BE  
 Name of Fund: Seal of Quality Special Fund  
 Legal Authority: Act 120, SLH 2007

Contact Name: Sharon Hurd  
 Phone: 973-9594  
 Fund type (MOF): Special Fund  
 Appropriation Acct. No.: S-308-A

Intended Purpose:  
 Deposit and expend revenues from the operation the Seal of Quality program.

Source of Revenues: Participation fees, fines, appropriations made to the fund.

Current Program Activities/Allowable Expenses:  
 To conduct trade shows, retail shows, conferences, seminars, and other promotional activities. Allowable expenses include expenses for designs, program labels, items and materials, displays, brochures, media advertisements, inspection and review, and investigative activities relating to application and enforcement of the program, printing, mailing airfare and per diem, lei, decors, rental of facilities and audio visual equipment, display and booth fees, participation fees, general supplies, and other expenses necessary to administer the program.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	20,000	20,000	20,000	20,000	20,000
Beginning Cash Balance	44,556	44,011	61,435	66,552	34,690	39,690	44,690
Revenues	8,723	19,044	6,723	5,327	7,000	7,000	7,000
Expenditures	9,268	1,620	1,606	37,189	2,000	2,000	15,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	44,011	61,435	66,552	34,690	39,690	44,690	36,690
Encumbrances			17,500	0			
Unencumbered Cash Balance	44,011	61,435	49,052	34,690	39,690	44,690	36,690

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: AGRICULTURE  
 Prog ID(s): AGR 101/GA  
 Name of Fund: Aquaculture Loan Reserve Fund  
 Legal Authority: Section 219-4 HR

Contact Name: Dean Matsukawa  
 Phone: 973-9460  
 Fund type (MOF) Special Fund  
 Appropriation Acct. No. S-310-A

Intended Purpose:  
 Deposit interest and fees to carry on the operations of the aquacultural loan program.

Source of Revenues: Interest and fees charged on loans, interest on short-term investments of uncommitted funds.

Current Program Activities/Allowable Expenses:  
 Collect interest on loans, fees from private lenders on insured loans, interest on short term investment of uncommitted funds. Moneys determined to be in excess of operating needs are transferred to the Aquacultural Loan Revolving Fund. Funds used to cover operational expenses of the Aquaculture Loan Program.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: No new loans were approved. Interest on investments less than anticipated.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	452,573	466,471	131,067	158,014	181,364	613	844
Revenues	13,898	17,596	26,947	23,350	36,249	69,231	77,228
Expenditures	0	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Tr. to Aqua Rev. S-311-A		(353,000)			(217,000)	(69,000)	(78,000)
Net Total Transfers	0	(353,000)	0	0	(217,000)	(69,000)	(78,000)
Ending Cash Balance	466,471	131,067	158,014	181,364	613	844	72
Encumbrances							
Unencumbered Cash Balance	466,471	131,067	158,014	181,364	613	844	72

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: AGRICULTURE  
 Prog ID(s): AGR 101/GA  
 Name of Fund: Aquaculture Loan Revolving Fund  
 Legal Authority: Chapter 219-4, HRS

Contact Name: Dean Matsukawa  
 Phone: 973-9460  
 Fund type (MOF): Revolving Fund  
 Appropriation Acct. No. S-311-A

Intended Purpose:  
 Intended Purpose:  
 Deposit moneys received to make aquaculture loans.

Source of Revenues: Principal repayments of loans and advances.

Current Program Activities/Allowable Expenses:  
 Assist aquaculturists and aquacultural organizations in securing credit from private lenders, in participation with lenders or on a direct basis. Special emphasis is placed on loan servicing including management and financial counseling on participation and direct loans. Funds are used for loan disbursements and advances.

Purpose of Proposed Ceiling Increase (if applicable): To accommodate larger loan requests and increased demand.

Variations: No new loans approved.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	500,000	500,000	500,000	500,000	1,000,000	1,000,000
Beginning Cash Balance	516,903	662,854	1,021,422	1,029,904	1,031,797	758,962	28,027
Revenues	145,951	5,568	8,482	1,893	10,165	35,065	91,622
Expenditures	0	0	0	0	500,000	835,000	170,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Tr. fr. Aqua Reserve S-310-A		353,000			217,000	69,000	78,000
Net Total Transfers	0	353,000	0	0	217,000	69,000	78,000
Ending Cash Balance	662,854	1,021,422	1,029,904	1,031,797	758,962	28,027	27,649
<b>Encumbrances</b>							
Unencumbered Cash Balance	662,854	1,021,422	1,029,904	1,031,797	758,962	28,027	27,649

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-881  
 Name of Fund: State Foundation on Culture and the Arts  
 Legal Authority: Administratively Created

Contact Name: Jonathan Johnson  
 Phone: 586-0301  
 Fund type (MOF) Federal Fund (N)  
 Appropriation Acct. No. S-XX-203-M

Intended Purpose: To further arts and culture in Hawaii through implementing goals of a partnership with the National Endowment for the Arts.

Source of Revenues: National Endowment for the Arts

Current Program Activities/Allowable Expenses: Arts Education, Biennium Grants, Folk Arts, SFCA operations and initiatives

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variances: Revenues for FY13, FY14, FY15 & FY16 vary due to variances in amount of grant from NEA every fiscal year. Expenditures vary due to savings in salaries, fringe benefits and other program operating.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,298,127	1,306,936	1,306,936	735,691	747,039	756,802	756,802
Beginning Cash Balance	29,946	66,804	41,825	60,493	43,225	43,225	43,225
Revenues	786,788	623,100	675,430	697,084	698,800	698,800	698,800
Expenditures	749,930	648,079	656,762	714,352	698,800	698,800	698,800
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	66,804	41,825	60,493	43,225	43,225	43,225	43,225
Encumbrances	77,507	50,566	100,007	22,298			
Unencumbered Cash Balance	(10,703)	(8,741)	(39,514)	20,927	43,225	43,225	43,225

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-879  
 Name of Fund: Help America Vote Act of 2002 (HAVA)  
 Legal Authority: H.R. 3295/P.L. #107-252

Contact Name: Rhowell Ruiz  
 Phone: 453-8683  
 Fund type (MOF) Federal Fund (N)  
 Appropriation Acct. No. S-XX-227-M

Intended Purpose:

To improve the administration of elections.

Source of Revenues:

General Services Administration (GSA) and interest payments (State investment pool).

Current Program Activities/Allowable Expenses:

Pursuant to Title I, State's shall use funds to: comply with Title III requirements; improve the administration of elections for Federal office; educating voters on voting procedures, voting rights and voting technologies; training election officials, poll workers, and election volunteers; improving, acquiring, leasing, modifying, or replacing voting systems and technology and methods for casting and counting votes; improving the accessibility and quantity of polling places; establishing a toll-free telephone hotline that voters may use to report possible voting fraud and voting rights violations, to obtain general information, and to access detailed automatic information on their registration status, specific polling place locations, and other relevant information.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,471,636	7,473,714	7,673,714	93,116	93,920	99,694	99,694
Beginning Cash Balance	5,302,309	5,024,795	5,571,175	5,709,318	4,758,242	4,523,242	4,488,242
Revenues	13,431	370,469	25,290	27,369	15,000	15,000	15,000
Expenditures	290,945	91,944	887,147	978,445	250,000	50,000	250,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JS7177 07/01/14		267,855					
JM0007 07/14/14			1,000,000				
Net Total Transfers	0	267,855	1,000,000	0	0	0	0
Ending Cash Balance	5,024,795	5,571,175	5,709,318	4,758,242	4,523,242	4,488,242	4,253,242
Encumbrances	3,744,720	3,518,582	3,037,327	4,377,175			
Unencumbered Cash Balance	1,280,075	2,052,593	2,671,991	381,067	4,523,242	4,488,242	4,253,242

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-879  
 Name of Fund: Help America Vote Act, Title II  
 Legal Authority: H.R. 3295/P.L.#107-252

Contact Name: Rhowell Ruiz  
 Phone: 453-8683  
 Fund type (MOF) Federal Fund (N)  
 Appropriation Acct. No. S-XX-228-M

Intended Purpose:

To improve the administration of elections

Source of Revenues:

General Services Administration (GSA) and interest payments (State investment pool).

Current Program Activities/Allowable Expenses:

Pursuant to Title II, State's shall use funds to meet the requirements of Title III: complying with the voting systems standards; providing provisional voting; meeting voting information requirements; and maintaining a computerized statewide voter registration list.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,322,197	1,326,353	1,062,061	63,612	63,993	54,493	34,693
Revenues	4,156	3,563	1,551	381	500	200	100
Expenditures					10,000	20,000	10,000
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
JS7177 07/01/14		(267,855)					
JM0007 07/14/14			(1,000,000)				
Net Total Transfers	0	(267,855)	(1,000,000)	0	0	0	0
Ending Cash Balance	1,326,353	1,062,061	63,612	63,993	54,493	34,693	24,793
Encumbrances							
Unencumbered Cash Balance	1,326,353	1,062,061	63,612	63,993	54,493	34,693	24,793

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-879  
 Name of Fund: Voting Access for Individuals with Disabilities  
 Legal Authority: H.R. 3295/P.L. #107-252

Contact Name: Rhowell Ruiz  
 Phone: 453-8683  
 Fund type (MOF): Federal Fund (N)  
 Appropriation Acct. No.: S-XX-229-M

Intended Purpose:

To assure voting access for individuals with disabilities

Source of Revenues:

U.S. Department of Health and Human Services, Administration for Children and Families, Administration on Developmental Disabilities

Current Program Activities/Allowable Expenses:

Making polling places, including the path of travel, entrances, exits, and voting areas of each polling place facility accessible to individuals with a full range of disabilities; providing statewide training to voters with the full range of disabilities utilizing trainers with disabilities to embrace privacy and independence in the voting process; developing and implementing training curricula and educational materials for election officials, precinct officials, and election volunteers; and providing individuals with the full range of disabilities with information about the accessibility of polling places.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	102,335	102,635	102,919	103,357	103,976	104,276	99,576
Revenues	300	284	438	619	300	300	300
Expenditures						5,000	15,000
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	102,635	102,919	103,357	103,976	104,276	99,576	84,876
Encumbrances							
Unencumbered Cash Balance	102,635	102,919	103,357	103,976	104,276	99,576	84,876

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-251  
 Name of Fund: Automotive Management - Motor Pool  
 Legal Authority: 42 U.S.C. 6321 et seq

Contact Name: Hugh Sonoda  
 Phone: 586-0350  
 Fund type (MOF) Federal Stimulus Funds (V)  
 Appropriation Acct. No. S-XX-250-M

Intended Purpose Acquisition of electric, plug-in hybrid electric advanced technology or alternative fuel vehicles, and electric charging infrastructure.

Source of Revenues: DBED&T (State Energy Program - ARRA)

Current Program Activities/Allowable Expenses: Acquisition of electric vehicles and charging stations.

Purpose of Proposed Ceiling Adjustment (if applicable) N/A

Variances: None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	18,203	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
JS5767-02 2/13	(18,203)						
Net Total Transfers	(18,203)	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-231  
 Name of Fund: Custodial Services-Reimbursement Account  
 Legal Authority: Administratively Created

Contact Name: Wendell Asuka  
 Phone: 831-7934  
 Fund type (MOF): Interdepartmental Transfers (U)  
 Appropriation Acct. No.: S-XX-301-M

**Intended Purpose:**

Fund is used to recoup operating costs incurred in providing janitorial services and utility consumption expenditures at facilities occupied by the DOT and the Department of Commerce and Consumer Affairs, Health and Public Safety.

**Source of Revenues:**

Reimbursement from other state agencies - DOT, DCCA, Health and Public Safety.

**Current Program Activities/Allowable Expenses:**

N/A

**Purpose of Proposed Ceiling Adjustment (if applicable):**

\* \$600,000 reimbursement from AAFES Building Occupants(Depts of Health & Public Safety) was to cease at end of FY16, but extended another year till end FY 17.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,699,084	1,699,084	1,699,084	1,699,084	1,699,084	1,099,084	1,099,084
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,699,084	1,699,084	1,699,083	1,699,084	1,699,084	1,099,084	1,099,084
Expenditures	1,699,084	1,699,084	1,699,083	1,699,084	1,699,084	1,099,084	1,099,084
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

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 Department: Accounting and General Services  
 Prog ID(s): AGS-244  
 Name of Fund: Surplus Federal Property Revolving Fund  
 Legal Authority: Section 103D-1107, HRS

Contact Name: Mei Phillips  
 Phone: 808-831-6757  
 Fund type (MOF): Revolving Fund (W)  
 Appropriation Acct. No.: S-XX-304-M

**Intended Purpose:**

The objective of the program is to reutilize federal and state property declared surplus or excess. The fund is maintained in an amount adequate to defray the costs of procuring, storing, handling, and disposing of surplus property donated to the State under any federal act or State rules making surplus federal and state property available.

Source of Revenues: Service and handling fees are charged for acquired property based on the acquisition cost or fair market value of the donated or transferred property. For vehicles acquired from the federal government, a fixed fee is charged.

**Current Program Activities/Allowable Expenses:**

Funds are used for all costs (payroll and operating expenses) to support the program.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variances: In FY 2013-2016, there was less federal property available and less federal vehicles available for purchase. The high ceiling is necessary to accommodate years when property and vehicles are plentiful and state and county agencies have need for used vehicles.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,786,042	1,798,996	1,798,996	1,826,464	1,836,624	1,848,249	1,848,249
Beginning Cash Balance	395,608	358,833	257,000	217,562	211,942	211,942	211,942
Revenues	458,477	599,060	905,550	620,516	1,836,624	1,848,249	1,848,249
Expenditures	495,252	700,893	944,988	626,136	1,836,624	1,848,249	1,848,249
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	358,833	257,000	217,562	211,942	211,942	211,942	211,942
Encumbrances	1,576	733	1,324	24,096			
Unencumbered Cash Balance	357,257	256,267	216,238	187,846	211,942	211,942	211,942

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-889  
 Name of Fund: Stadium Special Fund  
 Legal Authority: Section 109-3, HRS

Contact Name: Russell Uchida  
 Phone: 483-2753  
 Fund type (MOF): Special Fund (B)  
 Appropriation Acct. No.: S-XX-307-M

**Intended Purpose:**

The stadium special fund accounts for money collected by the Stadium Authority. The money collected is applied, used and disposed of for the purpose of maintaining, operating, and managing Aloha Stadium.

Source of Revenues: Rental fees for facility, advertising revenues, and concession revenues.

**Current Program Activities/Allowable Expenses:**

Activities include: providing ticket selling services; providing parking and maintaining traffic controls within stadium premises; repairing and constructing improvements to stadium and related facilities; and providing public safety and security.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable

**Variations:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,841,719	8,944,121	8,944,121	9,197,434	9,264,861	9,339,347	9,339,347
Beginning Cash Balance	5,358,540	5,153,655	4,637,131	3,905,156	3,504,105	3,553,605	3,551,105
Revenues	6,685,279	6,913,865	6,904,983	6,899,574	7,252,000	7,178,000	7,185,000
Expenditures	6,888,430	7,427,989	7,634,927	7,298,157	7,200,000	7,178,000	7,185,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JV 2013-03, 07/01/12 to S-318	(2,500)						
JV 2013-17, 09/04/12 from S-318	766						
JV 2014-03, 07/01/14 to S-318		(2,500)					
JV 2014-45, 02/03/14 from S-318		100					
JV 2015-04, 7/1/2014 to S-318			(2,500)				
JV 2015-13, 8/20/2014 from S-318			363				
JV 2015-49, 2/3/2014 from S-318			106				
JV 2016-03, 7/1/2015 to S-318				(2,500)			
JV 2016-20, 9/5/2015 from S-318				32			
<b>Net Total Transfers</b>	<b>(1,734)</b>	<b>(2,400)</b>	<b>(2,031)</b>	<b>(2,468)</b>	<b>(2,500)</b>	<b>(2,500)</b>	<b>(2,500)</b>
Ending Cash Balance	5,153,655	4,637,131	3,905,156	3,504,105	3,553,605	3,551,105	3,548,605
Encumbrances	843,449	864,184	752,752	735,953			
Unencumbered Cash Balance	4,310,206	3,772,947	3,152,404	2,768,152	3,553,605	3,551,105	3,548,605

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-221  
 Name of Fund: Motor Vehicle Rental & Personal Car Mileage/CIP  
 Legal Authority: Section 107-1.5, HRS

Contact Name: Lloyd Ogata  
 Phone: 586-0520  
 Fund type (MOF): Revolving Fund (W)  
 Appropriation Acct. No.: S-XX-308-M

Intended Purpose:

CIP projects are assessed for transportation requirements for projects.

Source of Revenues: Design and construction projects, interest income.

Current Program Activities/Allowable Expenses:

Various HPLS, UH, UH CC, DOA, DOD, DOH, AGR, PSD, DBEDT, DHHL, DLNR, DLIR, DCCA, Judiciary and DAGS CIP projects. Fund allows mileage reimbursements for project-funded staff in accordance with statutes and collective bargaining agreements, and funds motor pool auto and parking stall rentals, fuel, oil and auto repair parts, and other transportation-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: Expenditures have been steadily increasing surpassing revenues due to program needing to both upkeep program's aging vehicles and increase in CIP staffs' personal car mileage expenditures. Adjustments have been made to the project assessments to address this.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	248,605	209,131	106,746	47,594	19,856	24,856	29,856
Revenues	56,347	56,989	72,336	76,198	110,000	110,000	110,000
Expenditures	95,821	99,374	101,488	103,936	105,000	105,000	105,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS7460 6/30/14 JS4437 2/19/16		(60,000)		(14,000)			
JS0448 8/21/14 JS5498 4/15/16			(50,000)	14,000			
JS0972 8/26/14			50,000				
JS5353 3/31/15			700				
JS5353 3/31/15			(700)				
JS5483 3/31/15			(30,000)				
Net Total Transfers	0	(60,000)	(30,000)	0	0	0	0
Ending Cash Balance	209,131	106,746	47,594	19,856	24,856	29,856	34,856
Encumbrances	1,490	2,012	1,061	1,077			
Unencumbered Cash Balance	207,641	104,734	46,533	18,779	24,856	29,856	34,856

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-223  
 Name of Fund: Office Leasing  
 Legal Authority: Administratively Created

Contact Name: Ivan Nishiki  
 Phone: 586-0508  
 Fund type (MOF): Interdepartmental Transfers (U)  
 Appropriation Acct. No.: S-XX-310-M

**Intended Purpose:**

To receive funds from other departments as reimbursements for office space lease rental payments to landlords, for any tenant improvement costs for office build-outs, all of which are not in DAGS' budget.

**Source of Revenues:**

Reimbursements from other departments.

**Current Program Activities/Allowable Expenses:**

Statewide support for departments of the Executive Branch. Corresponding lease rental expenses from G-XX-032-M are charged against the funds in this reimbursement account. The account is also used to pay landlords for user departments' share of office build-out costs.

**Purpose of Proposed Ceiling Adjustment (if applicable):** N/A

**Variations:** In FY 2016, revenues were \$264,425 less than appropriation since our reimbursement billings to departments for the Kapolei State Office Bldg. were less than planned.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,500,000	5,500,000	5,500,000	5,500,000	5,500,000	5,500,000	5,500,000
Beginning Cash Balance	6,892	0	0	0	0	0	0
Revenues	5,500,000	5,499,999	5,500,000	5,235,575	5,500,000	5,500,000	5,500,000
Expenditures	5,506,892	5,499,999	5,500,000	5,235,575	5,500,000	5,500,000	5,500,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-221  
 Name of Fund: Supplies, Services & Equipment for CIP Projects  
 Legal Authority: Section 107-1.5, HRS

Contact Name: Lloyd Ogata  
 Phone: 586-0520  
 Fund type (MOF): Revolving Fund (W)  
 Appropriation Acct. No.: S-XX-313-M

**Intended Purpose:**

CIP projects are assessed for supplies, services and equipment expended for CIP projects.

**Source of Revenues:**

Design and construction projects, interest income.

**Current Program Activities/Allowable Expenses:**

Various HPLS, UH, UH CC, DOA, DOD, DOH, AGR, PSD, DBEDT, DHHL, DLNR, DLIR, DCCA, Judiciary, and DAGS CIP projects. Fund provides for project-related expenses such as office supplies, travel, office furniture, computer hardware & software, reference materials, training, and copier rental.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

**Variations:** Expenditures have been steadily increasing surpassing revenues due to program needing to both replace program's outdated computer equipment, computer servers, computer software, and contract services (i.e., plan file archiving system, consultants). Project assessments to address this and FY 2014 included non-recurring expenditures that were factored out for forward fiscal years. Adjustments have been made to the project assessments to address this.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	525,781	466,217	188,966	98,177	280,647	338,572	363,572
Revenues	160,120	153,810	113,860	526,863	250,000	250,000	275,000
Expenditures	219,684	381,061	201,649	194,393	225,000	225,000	250,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JS7460 6/30/14	JS3238 12/15/15	(50,000)		(50,000)			
JS0972 8/26/14	JS389Q 1/21/16		67,000	(80,000)			
JS4745 2/24/15	JS4437 2/19/16		(50,000)	(6,000)			
JS4872 2/28/15	JS5498 4/15/16		(10,000)	(14,000)			
JS5353 3/31/15	FY17 est transf		6,300		32,925		
JS5353 3/31/15			(6,300)				
JS5483 3/31/15			(10,000)				
Net Total Transfers	0	(50,000)	(3,000)	(150,000)	32,925		
Ending Cash Balance	466,217	188,966	98,177	280,647	338,572	363,572	388,572
Encumbrances	166,741	134,495	54,120				
Unencumbered Cash Balance	299,476	54,471	44,057	280,647	338,572	363,572	388,572

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-221  
 Name of Fund: Public Works Accrued Vacation/Sick Leave  
 Legal Authority: Section 107-1.5, HRS

Contact Name: Lloyd Ogata  
 Phone: 586-0520  
 Fund type (MOF): Revolving Fund (W)  
 Appropriation Acct. No.: S-XX-314-M

Intended Purpose: To manage accrued vacation and sick leave benefit funds for non-general funded staff; to fund accrued vacation credits when an employee leaves or retires; to receive accrued vacation credits for project-funded staff transferring into the program.

Source of Revenues: Assessments to design and construction projects & CIP staff costs appropriation; accrued vacation credits for staff transferring into the program from other agencies; interest income.

Current Program Activities/Allowable Expenses: Various HPLS, UH, UH CC, DOA, DOD, DOH, AGR, PSD, DBEDT, DHHL, DLNR, DLIR, DCCA, Judiciary, and DAGS CIP projects. Allowable expenses include payments for accrued vacation and sick leave taken by non-General funded staff, and transfer of the equivalent dollar value of accrued vacation credits upon transfer to another program.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variances: Expenditures have been steadily increasing surpassing revenues due to program staff vacation, retirement payouts, and increased salaries from collective bargaining agreement adjustments. Adjustments have been made to the project assessments to address this. FY17 balance to transfer to the S-XX-313-M account as the CIP Staff Cost was changed from B-XX-400-M General Obligation Funds to G-XX-400-M /General Funds effective 7/1/16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000
Beginning Cash Balance	221,079	164,736	3,303	56,131	47,382	0	0
Revenues	607,083	560,036	695,943	445,807	27,906	0	0
Expenditures	663,426	831,469	676,115	604,556	42,363	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JS7460 6/30/14	JS3238 12/15/15	110,000		50,000			
JS0448 8/21/14	JS389Q 1/21/16		50,000	80,000			
JS0972 8/26/14	JS4437 2/19/16		(50,000)	20,000			
JS0972 8/26/14	FY17 est transf		(67,000)		(32,925)		
JS4745 2/24/15			50,000				
JS4872 2/28/15			10,000				
JS5483 3/31/15			40,000				
Net Total Transfers	0	110,000	33,000	150,000	(32,925)	0	0
Ending Cash Balance	164,736	3,303	56,131	47,382	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	164,736	3,303	56,131	47,382	0	0	0
<b>Additional Information:</b>							
Amount Req. for Bond Convenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-130  
 Name of Fund: Information Mgmt Technology Services  
 Legal Authority: Administratively Created

Contact Name: Christie Ferreira  
 Phone: 586-1920 ext 307  
 Fund type (MOF) Interdepartmental Transfers (U)  
 Appropriation Acct. No. S-XX-315-M

**Intended Purpose:**

Reimbursement for Enterprise IT applications and services

**Source of Revenues:**

Reimbursements from State agencies

**Current Program Activities/Allowable Expenses:**

Reimbursement for enterprise shared services, network, data circuits, hardware and software licensing and maintenance

**Purpose of Proposed Ceiling Adjustment (if applicable):**

n/a

**Variances:**

n/a

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		25,000,000	25,000,000	25,000,000	25,000,000	25,000,000	25,000,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues		0	0	0			
Expenditures		0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-901  
 Name of Fund: General Administrative Services  
 Legal Authority: Administratively Created

Contact Name: Kerry Yoneshige  
 Phone: 586-0690  
 Fund type (MOF) Interdepartmental Transfers (U)  
 Appropriation Acct. No. S-XX-316-M

Intended Purpose: To receive funds from other divisions within the Department for implementing and providing management advisory services relating to recruitment activities, Return to Work program, employee work performance and conduct issues, and equal employment opportunity. To provide administrative services to the Risk Management Program and Automotive Management Division.

Source of Revenues: Transfers from Public Works Division, Automotive Management Division, Stadium Authority, State Foundation on Culture and the Arts and the Risk Management Program.

Current Program Activities/Allowable Expenses: Management and coordination of all personnel programs within DAGS. Salary and fringe benefits. Administrative services for all programs within DAGS. Salary and fringe benefits.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variances: The variances in revenue and expenses in FY 2014 is due to the part year vacancy of the accountant position.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	139,795	146,503	146,503	167,069	177,895	179,592	179,592
Beginning Cash Balance	0	0	0	821	971	971	971
Revenues	137,917	123,876	159,081	141,354	177,895	179,592	179,592
Expenditures	137,917	123,062	158,260	141,204	177,895	179,592	179,592
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JS6513 5/31/14		(814)					
Net Total Transfers	0	(814)	0	0	0	0	0
Ending Cash Balance	0	0	821	971	971	971	971
Encumbrances	1,911						
Unencumbered Cash Balance	(1,911)	0	821	971	971	971	971

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-252  
 Name of Fund: State Parking Revolving Fund  
 Legal Authority: Section 107-11, HRS

Contact Name: Hugh Sonoda  
 Phone: 586-0350  
 Fund type (MOF): Revolving Fund (W)  
 Appropriation Acct. No.: S-XX-317-M

**Intended Purpose:**

Assess and collect parking fees, maintain parking facilities, make rules and regulations to control and restrict parking on lands controlled by the Comptroller, and enforce parking rules and regulations.

**Source of Revenues:**

Assessment-state parking, parking meter collections, investment pool interest, traffic fines and waivers and pCard rebates.

**Current Program Activities/Allowable Expenses:**

Assessing parking fees, maintaining parking facilities, enforcing parking rules and regulations, and up keeping electronic parking control devices.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

**Variations:** The expenditure variance between FY14 and FY15 is due to the addition of three (3) positions for the Kapolei Parking Control Office.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,304,697	3,532,901	3,591,830	3,671,012	3,675,957	3,744,590	3,744,590
Beginning Cash Balance	1,253,961	1,077,713	1,843,767	1,316,862	1,301,413	1,945,431	2,589,449
Revenues	3,715,630	3,956,599	3,862,364	3,865,393	3,865,393	3,865,393	6,865,393
Expenditures	3,071,878	2,925,256	4,001,393	3,221,375	3,221,375	3,221,375	3,221,375
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JM0339-01/08, JM0339-02/09, JM0339-03/10, JM0339-04/11, JS3141-01/11, JS0018-03/12, JS4612-01/12, JS5738-04/12, JS0018-04/13, JS0111-04/13, JM0339-05/13, JS0902-04/13, JS3141-02/13, JS3993-04/13, JS4501-10/13, JS4501-05/13, JS4612-02/13, JS5738-03/13, JS5472-04/13	(820,000)						
JS0714-01/02, JS2615-07/04, JS0714-02/02, JS2615-08/04, JS6059-01/11, JS0929-04/02, JS0714-03/02, JS1550-04/03, JS1496-04/03, JS2615-09/04, JS3245-04/06, JS4736-02/09, JS5431-04/10, JS6513-01/11, JS6059-02/11		(265,289)					
JS0008-01/13, JS3136-12/13, JS5466-03/14, JS0008-07/14, JS0162-07/14, JS1140-08/14, JS2144-10/14, JS3136-12/14, JS5466-03/15, JS5639-04/15, JS6548-05/15, JS6990-06/15			(387,876)				
JM0352-08/15, JM0352-08/15, JS2361-10/15, JS0020-07/15, JS0124-07/15, JS2361-10/15, JS4783-03/16, JS0124-07/15, JS0099-07/15, JS0020-07/15, JM0352--8/15, JS2361-10/15, JS3919-01/16, JS4782-03/16, JS4745-04/16, JS6629-06/16				(659,467)			
Net Total Transfers	(820,000)	(265,289)	(387,876)	(659,467)	0	0	0
Ending Cash Balance	1,077,713	1,843,767	1,316,862	1,301,413	1,945,431	2,589,449	6,233,467
Encumbrances	116,971	681,093	1,511,022	334,045			
Unencumbered Cash Balance	960,742	1,162,674	(194,160)	967,368	1,945,431	2,589,449	6,233,467

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-889  
 Name of Fund: Stadium Manager's Discretionary Fund  
 Legal Authority: Administratively Created

Contact Name: Russell Uchida  
 Phone: 483-2753  
 Fund type (MOF): Special Fund (B)  
 Appropriation Acct. No.: S-XX-318-M

**Intended Purpose:**

The fund authorizes expenditures at the discretion of the stadium manager for promotion and other stadium purposes.

**Source of Revenues:**

Funds for the Stadium Manager's Discretionary Fund are allotted by the Legislature and are transferred from the stadium special fund to meet that allotment.

**Current Program Activities/Allowable Expenses:**

Not Applicable

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

**Variations:**

FY 2013 expenditures include pictures of UH players displayed in stadium offices, appreciation gifts for volunteer artists who painted a mural on stadium property, appreciation gifts and luncheon for two departing Stadium Authority Board members, and welcome gift for new University of Hawaii Athletic Director at a cost of \$970.  
 FYE 2015 expenditures include appreciation plaque for mural project, posters for motivational wall, congratulatory gifts to new University of Hawaii Athletic Director and State Comptroller, Skybox counter skirts, and tour refreshments at a cost of \$750.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,045	132	628	128	526	0	0
Revenues							
Expenditures	2,647	1,904	2,531	2,070	3,026	2,500	2,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV 2013-03, 07/01/12 from S-318	2,500						
JV 2013-17, 09/04/12 to S-318	(766)						
JV 2014-03, 07/01/14 to S-318		2,500					
JV 2014-45, 02/03/14 from S-318		(100)					
JV 2015-04, 7/1/2014 to S-318			2,500				
JV 2015-13, 8/20/2014 from S-318			(363)				
JV 2015-49, 2/3/2014 from S-318			(106)				
JV 2016-03, 7/1/2015 from S-307				2,500			
JV 2016-20, 9/5/2015 to S-307					(32)		
Net Total Transfers	1,734	2,400	2,031	2,468	2,500	2,500	2,500
Ending Cash Balance	132	628	128	526	0	0	0
Encumbrances	132	266	128	526			
Unencumbered Cash Balance	0	362	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-881  
 Name of Fund: Works of Art Special Fund  
 Legal Authority: Section 103-8.5, HRS

Contact Name: Jonathan Johnson  
 Phone: 586-0301  
 Fund type (MOF): Special Fund (B)  
 Appropriation Acct. No.: S-XX-319-M

Intended Purpose: To integrate art into the built environment.

Source of Revenues: One percent of the cost of construction and renovations to public (State) buildings.

Current Program Activities/Allowable Expenses: Commissioned and relocatable works of art, conservations, maintaining and promoting the Hawaii State Art Museum.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances: Revenues for FY13, FY14, FY15 & FY16 vary due to increase in the collection of 1% CIP assessments and FY15 revenues decreased in the collection of 1% CIP assessments. Expenditures vary due to savings in salaries and fringe benefits and because the production schedule of APP projects and contracts changed.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,175,415	4,190,291	4,224,960	4,346,261	4,427,847	4,461,291	4,461,291
Beginning Cash Balance	4,688,024	4,776,956	7,923,823	8,275,414	10,539,513	9,608,578	8,708,578
Revenues	2,763,931	5,976,706	3,446,624	5,450,017	3,500,000	3,500,000	3,500,000
Expenditures	2,674,999	2,740,527	2,855,522	3,175,918	4,430,935	4,400,000	4,400,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JS3375-12/17/13, JS3548-12/27/13							
JS6922-6/19/14		(89,312)					
JS0601-8/16/14, JS3888-1/9/15, JS6151-4/30/15, JS7368-6/29/15			(239,511)				
JS1997-10/13/15 Proj #22-10-0782				(10,000)			
Net Total Transfers	0	(89,312)	(239,511)	(10,000)	0	0	0
Ending Cash Balance	4,776,956	7,923,823	8,275,414	10,539,513	9,608,578	8,708,578	7,808,578
Encumbrances	1,816,654	2,037,830	2,962,851	3,036,520			
Unencumbered Cash Balance	2,960,302	5,885,993	5,312,563	7,502,993	9,608,578	8,708,578	7,808,578

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-251  
 Name of Fund: State Motor Pool Revolving Fund  
 Legal Authority: Section 105-11, HRS

Contact Name: Hugh Sonoda  
 Phone: 586-0350  
 Fund type (MOF) Revolving Fund (W)  
 Appropriation Acct. No. S-XX-320-M

Intended Purpose:

Acquire, operate, repair, maintain, store, and dispose of state-owned vehicles assigned to the motor pool.

Source of Revenues:

Rental of motor vehicles, investment pool interest, sale of salvageable materials and pCard rebates.

Current Program Activities/Allowable Expenses:

Acquire, operate, repair, maintain, store, and dispose of state-owned vehicles assigned to the motor pool.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,515,558	3,377,562	2,831,962	3,445,263	3,464,205	2,961,930	2,961,930
Beginning Cash Balance	2,096,678	2,113,099	1,559,757	1,539,183	897,503	255,823	(385,857)
Revenues	2,475,967	2,540,705	2,677,926	2,441,838	2,441,838	2,441,838	2,441,838
Expenditures	2,477,749	3,094,047	2,660,362	3,083,518	3,083,518	3,083,518	3,083,518
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS5767-02/13	18,203						
JS6990-06/15			(38,138)				
Net Total Transfers	18,203	0	(38,138)	0	0	0	0
Ending Cash Balance	2,113,099	1,559,757	1,539,183	897,503	255,823	(385,857)	(1,027,537)
Encumbrances	24,740	49,165	89,378	128,334			
Unencumbered Cash Balance	2,088,359	1,510,592	1,449,805	769,169	255,823	(385,857)	(1,027,537)

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-203  
 Name of Fund: State Risk Management Revolving Fund  
 Legal Authority: Section 41D-4, HRS

Contact Name: Tracy Kitaoka  
 Phone: 586-0550  
 Fund type (MOF): Revolving Fund (W)  
 Appropriation Acct. No.: S-XX-321-M

**Intended Purpose:**

To fund the program's operating costs and to pay for the cost of the statewide property, excess liability, and crime insurance policies; fund the State's self-insured automobile program; pay informal claims against the State; and fund the payment of property claims within the insurance deductible.

Source of Revenues: The program receives revenue from cost allocation assessments from general funds, various State special and trust funded departments and agencies, investment pool earnings, insurance proceeds on claims, and other recoveries.

**Current Program Activities/Allowable Expenses:**

Payment of the program's operating costs and statewide insurance policies premiums; payment of tort claims \$10,000 and less, automobile, property and crime losses, and payment of other insurance related expenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

Variances: There are no revenue or expenditure variance larger than 10%.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	25,271,640	25,285,334	25,285,334	25,325,788	25,339,382	25,359,911	25,359,911
Beginning Cash Balance	21,199,087	18,107,498	17,554,635	18,709,183	21,395,494	19,499,641	19,725,231
Revenues	12,486,546	14,059,108	15,391,329	15,372,959	15,082,147	15,203,590	15,203,590
Expenditures	15,578,135	14,612,378	14,236,781	12,686,648	16,978,000	14,978,000	14,978,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JS6513 dated 5-31-14		407					
Net Total Transfers	0	407	0	0	0	0	0
Ending Cash Balance	18,107,498	17,554,635	18,709,183	21,395,494	19,499,641	19,725,231	19,950,821
Encumbrances				200			
Unencumbered Cash Balance	18,107,498	17,554,635	18,709,183	21,395,294	19,499,641	19,725,231	19,950,821

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-131  
 Name of Fund: Information Processing and Communication Services  
 Legal Authority: Administratively Created

Contact Name: Christie Ferreira  
 Phone: 586-1920 ext 307  
 Fund type (MOF): Interdepartmental Transfers (U)  
 Appropriation Acct. No.: S-XX-323-M

**Intended Purpose:**

Reimbursement for work performed for Federal and Special funded programs

**Source of Revenues:**

Reimbursements from Federal and Special funded programs

**Current Program Activities/Allowable Expenses:**

Reimbursement for analysis, programming, data entry, and information processing work performed for the Department of Labor and Industrial Relations, the Department of Human Services, the Department of the Attorney General, Child Support Enforcement Agency and the Department of Commerce and Consumer Affairs.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

**Variances:**

Revenues and expenditures in this account fluctuates from year to year according to the amount of services requested, the cost to provide those services, and the amounts ICSD bills departments to recover its costs.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,312,584	3,312,584	3,312,584	3,312,584	3,312,584	3,312,584	3,312,584
Beginning Cash Balance	245,148	102,978	15,350	1	0	0	0
Revenues	2,878,668	2,856,278	2,851,383	2,645,911	3,312,584	3,312,584	3,312,584
Expenditures	3,020,838	2,943,906	2,866,732	2,645,912	3,312,584	3,312,584	3,312,584
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	102,978	15,350	1	0	0	0	0
Encumbrances	15,350	15,350					
Unencumbered Cash Balance	87,628	0	1	0	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-211  
 Name of Fund: Land Survey  
 Legal Authority: Administratively Created

Contact Name: Reid Siarot  
 Phone: 586-0390  
 Fund type (MOF) Interdepartmental Transfers (U)  
 Appropriation Acct. No. S-XX-325-M

**Intended Purpose:**

To hire five (5) contract services positions which would enable the program to address the ongoing backlog.

**Source of Revenues:**

Funds transferred from other agencies.

**Current Program Activities/Allowable Expenses:**

Two (2) licensed land surveyors were hired on a part-time basis under personal service contracts for FY 2007.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

Variances: For FY 15 and FY 16, there are no position variances. The variance in expenditures is due to no contracts for licensed surveyors.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	285,000	285,000	285,000	285,000	285,000	285,000	285,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	285,000	285,000	285,000
Expenditures	0	0	0	0	285,000	285,000	285,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-231  
 Name of Fund: Custodial Services  
 Legal Authority: Administratively Created

Contact Name: Wendell Asuka  
 Phone: 831-7934  
 Fund type (MOF) Special Fund (B)  
 Appropriation Acct. No. S-XX-326-M

**Intended Purpose:**

Fund is used to reimburse the program for utility expenses associated with office/museum area assigned to the State Foundation on Culture and the Arts.

**Source of Revenues:**

Reimbursement from State Foundation on Culture and Arts

**Current Program Activities/Allowable Expenses:**

N/A

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2018
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	58,744	58,744	58,744	58,744	58,744	58,744	58,744
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	58,744	58,744	58,744	58,744	58,744	58,744	58,744
Expenditures	58,744	58,744	58,744	58,744	58,744	58,744	58,744
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-233  
 Name of Fund: Central Services-Bldg Repairs & Alterations  
 Legal Authority: Administratively Created

Contact Name: Wendell Asuka  
 Phone: 831-7934  
 Fund type (MOF) Interdepartmental Transfers (U)  
 Appropriation Acct. No. S-XX-327-M

**Intended Purpose:**

Fund is used to recoup maintenance and repair costs incurred at the AAFES Building.

**Source of Revenues:**

Reimbursement from other state agencies - Office of Hawaiian Affairs, a semi-autonomous state entity.

**Current Program Activities/Allowable Expenses:**

N/A

**Purpose of Proposed Ceiling Adjustment (if applicable):**

\* \$100,000 reimbursement from Office of Hawaiian Affairs for the maintenance of the AAFES Building, approved by 2012 Legislature, MOA ends FY16.

Variances: Reimbursement from OHA to DAGS ended in FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	100,000	100,000	100,000	100,000	100,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	100,000	100,000	100,000	100,000	0		
Expenditures	100,000	100,000	100,000	100,000	0		
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-807  
 Name of Fund: Physical Plant Operations & Maintenance  
 Legal Authority: Act 178, SLH 2005

Contact Name: Eric Agena  
 Phone: (808) 274-3033  
 Fund type (MOF) Interdepartmental Transfers (U)  
 Appropriation Acct. No. S-XX-328-M

**Intended Purpose:**

To provide additional unbudgeted services and support for the various public schools on the neighbor islands.

**Source of Revenues:**

Transfer of funds from the Department of Education.

**Current Program Activities/Allowable Expenses:**

Ensuring a safe and conducive learning environment for the public schools by providing administrative, technical and trade related services to the Department of Education.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

**Variances:** For FY 2013 and Fy 2015, expenditure reimbursements were less than the appropriation ceiling.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500,000	1,500,000	1,500,000	1,500,000	1,827,500	1,495,934	1,495,934
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,306,776	1,453,324	1,242,458	1,185,295	1,827,500	1,495,934	1,495,934
Expenditures	1,306,776	1,453,324	1,242,458	1,185,295	1,827,500	1,495,934	1,495,934
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-130  
 Name of Fund: Shared Services Technology Special Fund  
 Legal Authority: SLH Act 200/10

Contact Name: Christie Ferreira  
 Phone: 586-1920 ext 307  
 Fund type (MOF): Special Funds (B)  
 Appropriation Acct. No. S-XX-333-M

**Intended Purpose:**

Implements the information technology recommendations of Auditor's Report No. 09-06; established a Chief Information Officer (CIO) and Information Technology steering committee to organize, manage, and oversee statewide information technology governance. Special Fund established for Chief Information Officer and Information Technology steering committee including the employment of staff and training, and any other activities deemed necessary by the CIO to carry out purposes of Information Technology, CIO and information technology steering committee.

**Source of Revenues:**

Three percent of central services fees in addition to funds appropriated in the FY 16 budget appropriation for Department IT projects.

**Current Program Activities/Allowable Expenses:**

Provides salaries for seven (7) OIMT positions and funds for IT projects for DCCA and DOT.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variances:**

The variance between fiscal years FY 14 and FY15 are due to the fluctuations of staff salaries and vacancies. The increase to appropriation ceiling in FY 16 is due to additional appropriation for State Department IT projects. The revenue for these projects remained in the departmental special funds so do not reflect in this fund; as Special fund projects are approved in FY 16, the Special fund appropriation will be delegated to the appropriate department special fund where the revenue is deposited, and expenditure will be out of that fund.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	821,027	1,200,000	3,065,000	2,885,000	1,312,673	1,312,673
Beginning Cash Balance	377,570	511,929	1,105,493	1,425,456	1,884,113	1,884,113	1,884,113
Revenues	1,198,581	1,318,263	1,158,385	1,444,655	1,200,000	1,200,000	1,200,000
Expenditures	1,064,222	724,699	838,422	985,998	1,200,000	1,200,000	1,200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	511,929	1,105,493	1,425,456	1,884,113	1,884,113	1,884,113	1,884,113
Encumbrances	135,778			14,362			
Unencumbered Cash Balance	376,151	1,105,493	1,425,456	1,869,751	1,884,113	1,884,113	1,884,113

**Additional Information:**

Amount Req. for Bond Convevants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-131  
 Name of Fund: ICSD-Access Hawaii Committee  
 Legal Authority: Act 101, SLH 2010

Contact Name: Sharon Wong  
 Phone: (808) 586-1930, ext 615  
 Fund type (MOF): Special Funds (B)  
 Appropriation Acct. No.: S-XX-338-M

**Intended Purpose:** To establish in the State Treasury the Access Hawai'i Committee (AHC) special fund, into which shall be deposited \$8,000 per month of administrative fees collected by ICSD from Hawaii Information Consortium (HIC), LLC for the purpose of supporting the AHC.

**Source of Revenues:** Assessments for Portal Program Manager, as allowable by Law (Act 101, SLH 2010), including potential 5% annual increases (per Portal Program Manager contract) and interest earned on the fund balance.

**Current Program Activities/Allowable Expenses:** Expenditures as approved by the AHC to fund a Portal Program Manager and associated support (administrative and operating expenses to administer the program), and for studies of portal direction and services as the portal technology life cycle changes,

**Purpose of Proposed Ceiling Adjustment (if applicable):** None

**Variations:** In June 2013, AHC voted to suspend the \$8,000 per month payment when there is a two-year reserve in place, based on the special fund appropriation ceiling. In July 2014, payments resumed since the two-year reserve threshold increased with increase of appropriation ceiling to \$150,000.

Expenditures: FY14 - accessibility services for public participation in meetings, FY15 - Portal Program Manager hiring expenses.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	86,944	90,016	150,000	158,578	166,788	168,420	168,420
Beginning Cash Balance	128,003	216,387	216,581	305,628	291,697	247,256	204,036
Revenues	88,384	602	89,047	105,830	105,840	111,132	116,689
Expenditures	0	408		119,761	150,281	154,352	154,629
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	216,387	216,581	305,628	291,697	247,256	204,036	166,096
Encumbrances	150		283				
Unencumbered Cash Balance	216,237	216,581	305,345	291,697	247,256	204,036	166,096

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-891  
 Name of Fund: Wireless Enhanced 911 Special Fund  
 Legal Authority: Section 138-3, HRS

Contact Name: Courtney Tagupa  
 Phone: 391-7971  
 Fund type (MOF): Special Fund (B)  
 Appropriation Acct. No.: S-XX-341-M

**Intended Purpose:**

The purpose of this fund is to account for the collection of the surcharges from the wireless phone users and distribution of funds to the Public Safety Answering Points (PSAPs) and wireless carriers to upgrade the 911 system to be able to identify and locate wireless 911 callers.

**Source of Revenues:** A monthly wireless enhanced 911 surcharge is imposed on each commercial mobile radio and VoIP service connection (66 cents per month), collected by the wireless carriers from their customers and deposited into an account outside of the State Treasury.

**Current Program Activities/Allowable Expenses:** Collect monthly assessments from wireless carriers, disburse qualifying reimbursements to PSAPs and administrative and operational support to the Board in accordance with Section 138-5, HRS.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**FY 2018:** Purpose for ceiling increase is to fund the Maui & Oahu PSAP CAD upgrades for a total of \$8 million that will exceed the \$9 million legislative ceiling by \$7.8 million. We are not requesting funding from the General Fund.

**FYs 2019 & beyond:** We are requesting to raise the legislative ceiling \$4.0 million over the current ceiling of \$9.0 million to an adjusted ceiling on a permanent basis of \$13 million in order to have the flexibility to fund CAD upgrades when the PSAPs are ready to move forward.

**Variances:** Expenditure variances are anticipated to increase in future years as new computerized equipment are replaced and existing encumbrances are paid down. Revenue variances will increase at a lower pace as user numbers approach the market saturation point.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	9,000,000	9,000,000	9,000,000	9,000,000	10,200,000	16,800,000	13,000,000
Beginning Cash Balance	10,859,535	9,347,748	12,504,198	16,789,216	19,469,440	20,129,440	19,739,440
Revenues	9,097,023	9,102,309	9,302,989	9,657,283	9,660,000	9,690,000	9,700,000
Expenditures	10,608,810	5,945,859	5,017,971	6,977,059	9,000,000	10,080,000	7,800,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	9,347,748	12,504,198	16,789,216	19,469,440	20,129,440	19,739,440	21,639,440
Encumbrances	2,067,697	3,441,337	7,222,646	6,124,412	9,500,000	8,900,000	12,100,000
Unencumbered Cash Balance	7,280,051	9,062,861	9,566,570	13,345,028	10,629,440	10,839,440	9,539,440

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-252  
 Name of Fund: Parking Control Revolving Fund Escrow Acc  
 Legal Authority: Act 329 SLH 1997

Contact Name: Hugh Sonoda  
 Phone: 586-0350  
 Fund type (MOF) Revolving Fund (W)  
 Appropriation Acct. No. S-XX-347-M

**Intended Purpose:**

The escrow account was established to deposit 20% of revenues from parking facilities located on ceded land for the Office of Hawaiian Affairs (OHA).

**Source of Revenues:**

20% of revenues from Ceded Lands. Per Exec. Order No. 03-03 dated 2/11/03, transfer to T-07-902-M-1.

**Current Program Activities/Allowable Expenses:**

The appropriate funds are deposited into the escrow fund.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

**Variances:** This account has been closed and all funds transferred.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	37,304	37,304	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0714-07/02		(37,304)					
Net Total Transfers	0	(37,304)	0	0	0	0	0
Ending Cash Balance	37,304	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	37,304	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-111  
 Name of Fund: State Archives Preservation LT Access SF  
 Legal Authority: Act 88 (SLH 2013)

Contact Name: Adam Jansen  
 Phone: 586-0310  
 Fund type (MOF): Special Fund (B)  
 Appropriation Acct. No.: S-XX-365-M

**Intended Purpose:**

To establish and manage a Digital Archives; to collect, protect, and preserve electronic records of enduring value and keep them accessible in a trustworthy, usable state for the good of the public.

**Source of Revenues:**

The revenue comes from a \$1.00 state archives preservation fee assessed to permanent documents that are filed or registered by members of the public with a governmental agency where a fee is charge for that submission. The state agency that receives the document collects the \$1 fee on behalf of the State Archives. Other revenue collected comes from records storage fees for records storage at the State Records center assessed to non-general funded agencies.

**Current Program Activities/Allowable Expenses:**

Consultant services, staff positions, administrative and operational costs of the program.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable.

**Variances:** Not Applicable

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			418,320	505,920	510,920	514,436	514,436
Beginning Cash Balance	0	0	404,549	566,004	784,877	723,957	583,037
Revenues		404,549	455,430	512,246	450,000	450,000	450,000
Expenditures			293,975	293,373	510,920	590,920	610,920
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	404,549	566,004	784,877	723,957	583,037	422,117
Encumbrances			44,698	68,726			
Unencumbered Cash Balance	0	404,549	521,306	716,151	723,957	583,037	422,117

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017

Department: Accounting and General Services  
 Prog ID(s): AGS-130  
 Name of Fund: Hawaii Health data Center CCIIO CY-III  
 Legal Authority: Administratively Created

Contact Name: Alfred Herrera  
 Phone: 586-1850 ext 547  
 Fund type (MOF): Other Federal Funds (P)  
 Appropriation Acct. No. S-XX-500-M

**Intended Purpose:**

Obtain use and analyze multiple data streams to form a state-wide data depository that offers unprecedented detail and insight into the state's health care system. The Center for Consumer Information and Insurance Oversight (DDIIO) awarded these Cycle II and Cycle IV grants to develop an All Payer Claims Database (ACPD) as a component in the Hawaii Health Data Center (HHDC). The purpose of the HHDC is to develop these technological tools in order to support health insurance rate review, increase transparency in health care pricing and contribute to health care innovation in Hawaii.

**Source of Revenues:**

Affordable Care Act Grants to States for Health Insurance Premium Review

**Current Program Activities/Allowable Expenses:**

The Program contracted with the University of Hawaii Telecommunications and Social Informatics Research Program (UH TASI) to provide ACPD data depository and analytic services. Program is actively pursuing use of State's data sources. Per federal grant guidelines and the notice of award, the program is allowed to hire employees, contract for goods and services, and purchase equipment and supplies. Additional expenses, such as travel, are allowed when approved by the federal granting agency.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

None

**Variances:**

None

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	21,100	11,681	0	0
Revenues			158,167	850,574	1,552,857	315,731	
Expenditures			137,067	859,993	1,564,538	315,731	
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	21,100	11,681	0	0	0
Encumbrances			109	1,473,411			
Unencumbered Cash Balance	0	0	20,991	(1,461,730)	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017

Department: Accounting and General Services  
 Prog ID(s): AGS-130  
 Name of Fund: Hawaii Health data Center CCIIO CY-IV  
 Legal Authority: Administratively Created

Contact Name: Alfred Herrera  
 Phone: 586-1850 ext 547  
 Fund type (MOF): Other Federal Funds (P)  
 Appropriation Acct. No. S-XX-501-M

**Intended Purpose:**

Obtain use and analyze multiple data streams to form a state-wide data depository that offers unprecedented detail and insight into the state's health care system. The Center for Consumer Information and Insurance Oversight (DDIIO) awarded these Cycle II and Cycle IV grants to develop an All Payer Claims Database (ACPD) as a component in the Hawaii Health Data Center (HHDC). The purpose of the HHDC is to develop these technological tools in order to support health insurance rate review, increase transparency in health care pricing and contribute to health care innovation in Hawaii.

**Source of Revenues:**

Affordable Care Act Grants to States for Health Insurance Premium Review

**Current Program Activities/Allowable Expenses:**

The Program contracted with the University of Hawaii Telecommunications and Social Informatics Research Program (UH TASI) to provide APCD data depository and analytic services. Program is actively pursuing use of State's data sources. Per federal grant guidelines and the notice of award, the program is allowed to hire employees, contract for goods and services, and purchase equipment and supplies. Additional expenses, such as travel, are allowed when approved by the federal granting agency.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

None

**Variations:**

None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				0	60,000	1,119,000	
Expenditures				0	60,000	1,119,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Convenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-102  
 Name of Fund: Employees Sequestered Funds  
 Legal Authority: Section 653-11, HRS

Contact Name: Lenora Fisher  
 Phone: 586-0660  
 Fund type (MOF) Trust (Agency) - Custodial (T)  
 Appropriation Acct. No. T-XX-901-M

**Intended Purpose:**

To accumulate monies garnished from employees' wages and to disburse as ordered by legal documents.

**Source of Revenues:**

Funds garnished from employees' wages

**Current Program Activities/Allowable Expenses:**

Disbursements of funds garnished to appropriate entities/individuals.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

**Variances:** The variance between the revenues and expenditures are dependent upon the amounts being garnished from employees' paychecks and the subsequent disbursements as ordered by the related legal documents.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	40,598	48,624	55,191	63,804	57,456	57,456	57,456
Revenues	57,036	43,551	72,962	60,027	55,000	55,000	55,000
Expenditures	49,010	36,984	64,349	66,375	55,000	55,000	55,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	48,624	55,191	63,804	57,456	57,456	57,456	57,456
<b>Encumbrances</b>							
Unencumbered Cash Balance	48,624	55,191	63,804	57,456	57,456	57,456	57,456

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-252  
 Name of Fund: OHA Ceded Lands Proceeds  
 Legal Authority: Administratively Created

Contact Name: Hugh Sonoda  
 Phone: 586-0350  
 Fund type (MOF) Trust Fund (T)  
 Appropriation Acct. No. T-XX-902-M

Intended Purpose:  
 Established to record transfer of funds to the Office of Hawaiian Affairs (OHA).  
 Source of Revenues:  
 20% of revenues from Ceded Lands. Per Exec. Order No. 03-03 dated 2/11/03.  
 Current Program Activities/Allowable Expenses:  
 The appropriate funds are transferred to the Office of Hawaiian Affairs.  
 Purpose of Proposed Ceiling Adjustmet (if applicable):  
 Not Applicable  
 Variances: None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	20,561	20,855	21,049	20,688	20,688	20,688	20,688
Expenditures	20,561	20,855	21,049	20,688	20,688	20,688	20,688
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-231  
 Name of Fund: Temporary Deposits-Administrative Services Office  
 Legal Authority: Administratively Created

Contact Name: Wendell Asuka  
 Phone: 831-7934  
 Fund type (MOF): Trust Fund (Clearing) (T)  
 Appropriation Acct. No.: T-XX-904-M

**Intended Purpose:**

The purpose of this trust fund is to account for the deposits of salary overpayment amounts collected from employees after the employee and the division have reached a mutual agreement regarding the repayment amount.

**Source of Revenues:**

Employee salary overpayment

**Current Program Activities/Allowable Expenses:**

N/A

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

Variances: In FY16 , variances in both revenues (overpayments received from employees) and expenditures(recoveries completed) from previous estimates reflect favorable collection efforts by the program.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	18,684	20,225	13,872	11,054	13,379	11,379	9,379
Revenues	4,415	6,806	12,262	19,139	10,000	10,000	10,000
Expenditures	2,874	13,159	15,080	16,814	12,000	12,000	12,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	20,225	13,872	11,054	13,379	11,379	9,379	7,379
<b>Encumbrances</b>							
Unencumbered Cash Balance	20,225	13,872	11,054	13,379	11,379	9,379	7,379

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-252  
 Name of Fund: Temporary Deposits-Automotive Management  
 Legal Authority: Administratively Created

Contact Name: Hugh Sonoda  
 Phone: 586-0350  
 Fund type (MOF) Trust Fund (T)  
 Appropriation Acct. No. T-XX-905-M

**Intended Purpose:**

Establish an account for gate card deposit fees collected from parking assignees. Deposits are returned to assignees upon cancellation of the parking assignment and the return of the gate card.

**Source of Revenues:**

Temporary gate card deposits from parking assignees in gate controlled parking lots.

**Current Program Activities/Allowable Expenses:**

Deposits are collected from assignees, checks are written to assignees who return gate cards upon cancellation of parking assignment.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

Variances: None

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	63,150	67,710	69,180	72,770	74,320	75,870	77,420
Revenues	17,330	13,090	15,390	14,600	14,600	14,600	14,600
Expenditures	12,770	11,620	11,800	13,050	13,050	13,050	13,050
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	67,710	69,180	72,770	74,320	75,870	77,420	78,970
<b>Encumbrances</b>							
Unencumbered Cash Balance	67,710	69,180	72,770	74,320	75,870	77,420	78,970

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-221  
 Name of Fund: Temporary Deposits-Public Works  
 Legal Authority: Section 107-8, HRS

Contact Name: Lloyd Ogata  
 Phone: 586-0520  
 Fund type (MOF) Trust Fund (T)  
 Appropriation Acct. No. T-XX-906-M

**Intended Purpose:**

To accept bid deposits and deposits for plans and specifications.

**Source of Revenues:**

Contractors and others bidding on Public Works Division projects.

**Current Program Activities/Allowable Expenses:**

Various HPLS, UH, UH CC, DOA, DOD, DOH, AGR, PSD, DBEDT, DHHL, DLNR, DLIR, DCCA, Judiciary, and DAGS CIP projects. Allowable expenses include return of bid deposits and plans and specifications deposit amounts to borrowers upon the return of the documents and upon request.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

**Variances:** Unclaimed balance by contractors were transferred back to the General Fund account. Current balance reflect temporary lease rental deposits.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	32,863	32,863	0	360	360	360	360
Revenues	0	0	360	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0645 2/21/14		(32,863)					
Net Total Transfers	0	(32,863)	0	0	0	0	0
Ending Cash Balance	32,863	0	360	360	360	360	360
Encumbrances							
Unencumbered Cash Balance	32,863	0	360	360	360	360	360

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-881  
 Name of Fund: State Foundation on Culture and the Arts  
 Legal Authority: Administratively Created

Contact Name: Jonathan Johnson  
 Phone: 586-0301  
 Fund type (MOF): Trust Fund (T)  
 Appropriation Acct. No.: T-XX-908-M

Intended Purpose: To support the SFCA in perpetuating culture and the arts in Hawaii. This is an account into which donations and private contributions, donations and Hawaii State Art Museum facility rental income are deposited.

Source of Revenues: Private donations and HiSAM rental fees

Current Program Activities/Allowable Expenses: All SFCA programs are eligible to deposit funds in the Trust account/Programs and purposes are determined by SFCA executive director and expenditures subject to the approval of the Comptroller.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances: Revenues solely depend on facility rentals and donations to HiSAM, increases or decreases depend on rental demand.

Expenditures in FY13, FY14, FY15 & FY16 vary because trust funds generally are only expended as needed.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	201,749	268,203	260,795	270,075	264,851	254,851	244,851
Revenues	82,317	50,586	26,101	51,577	30,000	30,000	30,000
Expenditures	15,863	57,994	16,821	56,801	40,000	40,000	40,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	268,203	260,795	270,075	264,851	254,851	244,851	234,851
Encumbrances	43,754	8,841	1,364	35,202			
Unencumbered Cash Balance	224,449	251,954	268,711	229,649	254,851	244,851	234,851

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-871  
 Name of Fund: Hawaii Election Campaign Fund  
 Legal Authority: HRS Sec. 11-421

Contact Name: Jessica Richey  
 Phone: 586-0285  
 Fund type (MOF): Trust Fund (T)  
 Appropriation Acct. No.: T-XX-910-M

Intended Purpose:  
 To administer the duties and responsibilities of the Campaign Spending Commission.

Source of Revenues:

Tax check-off, HRS §11-421; Escheats, HRS §§11-352, 11-353, 11-364; surplus/residual funds; interest; copies of reports

Current Program Activities/Allowable Expenses:

Execute the goals and objectives of the Commission's Strategic Plan. Review disclosure reports. Investigate violations and complaints. Administer and oversee public funding program. Educate users and improve electronic filing systems. Administrative and operational activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Unpredictability in the number of candidates accepting public funds and the number of state income tax check-offs for the Fund declining.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,657,202	1,108,051	4,683,051	1,149,990	4,739,084	1,172,146	4,747,146
Beginning Cash Balance	3,424,709	2,935,180	2,538,669	1,878,164	1,513,543	886,197	409,093
Revenues	257,182	224,621	212,342	336,709	196,800	196,800	196,800
Expenditures	746,711	621,132	872,847	701,330	824,146	673,904	873,904
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,935,180	2,538,669	1,878,164	1,513,543	886,197	409,093	(268,011)
Encumbrances	924	2,094	9,983	242			
Unencumbered Cash Balance	2,934,256	2,536,575	1,868,181	1,513,301	886,197	409,093	(268,011)

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-889  
 Name of Fund: Stadium Authority's Account (Not in S/T)  
 Legal Authority: Section 109-6, HRS

Contact Name: Russell Uchida  
 Phone: 483-2753  
 Fund type (MOF): Trust Fund (T)  
 Appropriation Acct. No.: T-XX-911-M

**Intended Purpose:**

This fund accounts for receipts from the sale of admission tickets for events held at Aloha Stadium, including any money deposited with the Authority by users to assure the payment of charges.

**Source of Revenues:**

Sale of admission tickets for events held at the stadium, including deposits from licensees to assure payment of charges for use of the stadium.

**Current Program Activities/Allowable Expenses:**

Not applicable

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable

**Variances:**

Revenues are dependent upon the number of events held, amount of deposit collected, and ticket sales. Expenditures are based on amount due to licensee and out-of-pocket expenses such as ticket takers, ushers, cleanup, electricity, etc.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	653,003	292,873	240,722	299,719	405,667	405,667	405,667
Revenues	2,126,544	2,075,736	1,920,596	2,248,412	2,100,000	2,100,000	2,100,000
Expenditures	2,486,674	2,127,887	1,861,599	2,142,464	2,100,000	2,100,000	2,100,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	292,873	240,722	299,719	405,667	405,667	405,667	405,667
<b>Encumbrances</b>							
Unencumbered Cash Balance	292,873	240,722	299,719	405,667	405,667	405,667	405,667

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-221  
 Name of Fund: Payroll Clearance, Public Works  
 Legal Authority: Administratively Created

Contact Name: Lloyd Ogata  
 Phone: 586-0520  
 Fund type (MOF) Trust Fund (Clearing) (T)  
 Appropriation Acct. No. T-XX-912-M

**Intended Purpose:**

Agency account which was established to facilitate processing of payroll for project-funded staff on a timely basis.

**Source of Revenues:**

Clearing account only for non-General fund staff payroll.

**Current Program Activities/Allowable Expenses:**

Clearing account only for non-General fund staff payroll.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

**Variances:** CIP Staff Costs were converted from General Obligation Funds to General Funds effective for FY 2017. Expenditures in FY 2017 were incurred prior to directive to cease expending General Obligation Funds that were previously allotted prior to the decision to change the Means of Financing.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	5,743,547	6,483,208	6,757,521	6,863,616	288,762	0	0
Expenditures	5,743,547	6,483,208	6,757,521	6,863,616	288,762	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-111  
 Name of Fund: Captain Cook Memorial Fund  
 Legal Authority: Section 6E-33, HRS

Contact Name: Adam Jansen  
 Phone: 586-0310  
 Fund type (MOF) Trust Fund (T)  
 Appropriation Acct. No. T-XX-913-M

**Intended Purpose:**

To acquire originals or facsimiles of books, pamphlets, documents, or other articles of historical value relating to the life of Captain James Cook or connected with the history, discovery, and exploration of the Hawaiian Islands. To publish books, documents, or pamphlets relating to above.

**Source of Revenues:**

Donations

**Current Program Activities/Allowable Expenses:**

Care and maintenance of material acquired above, plus acquisition of new materials.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,850	3,850	3,850	3,850	3,850	3,550	2,850
Revenues	0	0	0	0	200	500	750
Expenditures	0	0	0	0	500	1,200	1,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,850	3,850	3,850	3,850	3,550	2,850	2,600
<b>Encumbrances</b>							
Unencumbered Cash Balance	3,850	3,850	3,850	3,850	3,550	2,850	2,600

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-103  
 Name of Fund: Central Payroll Clearance  
 Legal Authority: Administratively Created

Contact Name: Ladea Nash  
 Phone: 586-0599  
 Fund type (MOF) Trust Fund (Clearance) (T)  
 Appropriation Acct. No. T-XX-915-M

**Intended Purpose:**

This trust account serves as a clearing account to facilitate the processing, disbursement and reconciliation of the State's payroll.

**Source of Revenues:**

Reimbursements from funds in and outside the State Treasury for payroll and from individuals for salary overpayments.

**Current Program Activities/Allowable Expenses:**

Semi-monthly payroll expenditures.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

**Variances:**

Not Applicable

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	67,597	55,914	(66,468)	(139,527)	(61,018)	0	0
Revenues	3,219,802,877	3,453,618,954	3,577,083,190	3,681,714,504	3,800,061,018	3,900,000,000	4,000,000,000
Expenditures	3,219,814,560	3,453,741,336	3,577,156,249	3,681,635,995	3,800,000,000	3,900,000,000	4,000,000,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	55,914	(66,468)	(139,527)	(61,018)	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	55,914	(66,468)	(139,527)	(61,018)	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-818  
 Name of Fund: King Kamehameha Celebration Commission-Donation/Gift  
 Legal Authority: Section 8-5, HRS

Contact Name: Kerry Yoneshige  
 Phone: 586-0690  
 Fund type (MOF): Trust Fund (T)  
 Appropriation Acct. No.: T-XX-916-M

**Intended Purpose:**

To commemorate the legacy of King Kamehameha I through culturally-appropriate, culturally-relevant celebrations that are coordinated throughout various venues statewide.

**Source of Revenues:**

Program funds are derived through donations, sponsorships and grant applications through community organizations.

**Current Program Activities/Allowable Expenses:**

Celebrations, statewide, include: parades, hoolaulea, & lei-draping of Kamehameha statues.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

Variances: Variances in expenditures due to LWOP of incumbent due to lack of funds.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	55,280	57,874	57,874	61,550	63,866	67,274	67,274
Beginning Cash Balance	2,151	52	1,003	0	1,578	(56,296)	(114,170)
Revenues							
Expenditures	65,932	34,123	23,667	66,871	57,874	57,874	57,874
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JV JT0003 7/3/12, JT0245 9/12/12, JT0414 10/29/12, JT0520 12/19/12, JT0740 2/21/13, JT0939 4/22/13	63,833						
JV JT0005 7/5/13, JV JT0046 7/17/13, JV JT0156 8/19/13, JV JT0226 9/16/13, JV JT0923 5/14/14, JV JT0927 5/14/14, JV JT0132 8/13/13		35,074					
JV JT0002 7/2/14, JV JT0090 7/28/14, JV JT0155 8/12/14, JV JT0761 3/31/15, JV JT0977 6/8/15			22,664				
Net Total Transfers	63,833	35,074	22,664	68,449	0	0	0
Ending Cash Balance	52	1,003	0	1,578	(56,296)	(114,170)	(172,044)
Encumbrances	3,514						
Unencumbered Cash Balance	(3,462)	1,003	0	1,578	(56,296)	(114,170)	(172,044)

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-131  
 Name of Fund: Hawaii FYI-ICSD  
 Legal Authority: Administratively Created

Contact Name: Christie Ferreira  
 Phone: 586-1920 ext 307  
 Fund type (MOF): Trust Fund (T)  
 Appropriation Acct. No. T-XX-917-M

**Intended Purpose:**

The fund was established to account for a grant from the Ford Foundation. The general purpose of the Ford Foundation grant is expansion and improvement of public access to government information via the Hawaii FYI network.

Source of Revenues: Prior revenue was from a Ford Foundation Grant. Currently there is no revenue for this fund.

**Current Program Activities/Allowable Expenses:**

The Ford Foundation grant supports activities planned to rollout events and demos/briefings/seminars; replication/development of Information Services Provider (ISP) sites in Hawaii; and staff and staff recognition events and training.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

**Variances:**

Variance between FY 13 and FY 14 expenditures is due to expenditure in FY 14 that used the remaining balance of the trust fund account.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6	6	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	6	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6	0	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	6	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-889  
 Name of Fund: Temporary Deposits - Stadium Authority  
 Legal Authority: Administratively Created

Contact Name: Russell Uchida  
 Phone: 483-2753  
 Fund type (MOF) Trust Fund (T)  
 Appropriation Acct. No. T-XX-918-M

**Intended Purpose:**

This fund was established to temporarily hold scoreboard advertising receipts that will be subsequently distributed to the proper appropriation accounts.

**Source of Revenues:**

Gross advertising revenues from contractors.

**Current Program Activities/Allowable Expenses:**

Not applicable

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable

**Variations:** Revenues are based on amounts collected with regards to the field naming rights contract. Expenditure represents travel subsidies for the University of Hawaii athletics program and purchase of artificial playing surface according to the field naming rights contract.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	285,000	615,000	960,000	1,320,000	0	0	0
Revenues	330,000	345,000	360,000	180,000	0	0	0
Expenditures	0			150,000			
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JV 2016-07, 7/8/2015 design				(145,000)			
JV 2016-49, 2/22/2016 construction				(1,205,000)			
Net Total Transfers	0	0	0	(1,350,000)	0	0	0
Ending Cash Balance	615,000	960,000	1,320,000	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	615,000	960,000	1,320,000	0	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-103  
 Name of Fund: Nonpresentment of Warrants and Checks Trust Fund  
 Legal Authority: Section 40-68, HRS

Contact Name: Ladea Nash  
 Phone: 586-0599  
 Fund type (MOF): Trust Fund (T)  
 Appropriation Acct. No.: T-XX-919-M

**Intended Purpose:**

This trust fund was established to pay claims on checks that were not presented for payment within the statutorily prescribed time. The balance at year-end is used to pay claims received in the subsequent year.

**Source of Revenues:**

Escheated State checks net of transfer to the general fund is required should balance exceed \$500,000.

**Current Program Activities/Allowable Expenses:**

Claims on State checks not presented for payment within the statutorily prescribed time.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

**Variances:**

The increase in FY15 expenditures was due to several large claims. The increase in FY15 expenditures resulted in retention of more revenues from escheated State checks.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000
Revenues	499,149	220,863	508,530	499,997	400,000	400,000	400,000
Expenditures	499,149	220,863	508,530	499,997	400,000	400,000	400,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000
<b>Encumbrances</b>							
Unencumbered Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-130  
 Name of Fund: OETS Vac Accr for Fed-Funded Employees  
 Legal Authority: Administratively Created

Contact Name: Christie Ferreira  
 Phone: 586-1920 ext 307  
 Fund type (MOF): Trust Fund (T)  
 Appropriation Acct. No.: T-XX-920-M

Intended Purpose:  
 Temporary holding account for General Fund vacation accrual transferred with an employee going to a Federal Fund.

Source of Revenues:  
 General Fund Vacation Transfer

Current Program Activities/Allowable Expenses:  
 Vacation payout upon separation from State Employment. Employee has separated from the State and all funds have been paid out in FY17.

Purpose of Proposed Ceiling Adjustment (if applicable):  
 Not Applicable  
 Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6	6	0	0	31,921	0	0
Revenues	0	0	0	31,921	0	0	0
Expenditures	0	6	0	0	31,921	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6	0	0	31,921	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	6	0	0	31,921	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-889  
 Name of Fund: University of Hawaii Ticket Receipts  
 Legal Authority: Administratively Created

Contact Name: Russell Uchida  
 Phone: 483-2753  
 Fund type (MOF) Trust Fund (T)  
 Appropriation Acct. No. T-XX-921-M

**Intended Purpose:**

This fund was established to account for ticket receipts collected for events held at University of Hawaii facilities.

**Source of Revenues:**

Sale of admission tickets for events held at University of Hawaii facilities.

**Current Program Activities/Allowable Expenses:**

Not applicable

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable

**Variations:** Revenues are cash ticket sales collected for events held at University of Hawaii facilities. Expenditures are payments to University of Hawaii for cash ticket sales collected. Aloha Stadium is an "outlet" for University of Hawaii events.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	442	0	0	0	0	0	0
Revenues	47		42	36	200	200	200
Expenditures	489		42	36	200	200	200
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-879  
 Name of Fund: Donation for Voter Registration Drive  
 Legal Authority: Act 301, SLH 1983, Section 100

Contact Name: Rhowell Ruiz  
 Phone: 453-8683  
 Fund type (MOF) Trust Fund (T)  
 Appropriation Acct. No. T-XX-922-M

**Intended Purpose:**

The fund was established in 1984 and managed by the Office of the Lieutenant Governor. At the time, the Lieutenant Governor served as the chief election officer. Since the lieutenant governor no longer serves as the chief election officer, the Office of Elections now manages the account. The funds were used for a voter registration campaign, educational programs, and the voter slogan contest.

**Source of Revenues:**

Monetary donations from business, community organizations, and private individuals.

Current Program Activities/Allowable Expenses: Currently the fund does not support any program activities.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	153	153	153	153	153	153	153
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	153	153	153	153	153	153	153
<b>Encumbrances</b>							
Unencumbered Cash Balance	153	153	153	153	153	153	153

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-818  
 Name of Fund: King Kamehameha Celebration Commission-Donation/Gift  
 Legal Authority: Section 8-5, HRS

Contact Name: Kerry Yoneshige  
 Phone: 586-0690  
 Fund type (MOF): Trust Fund (T)  
 Appropriation Acct. No.: T-XX-930-M

**Intended Purpose:**

To commemorate the legacy of King Kamehameha I through culturally-appropriate, culturally-relevant celebrations that are coordinated throughout various venues statewide.

**Source of Revenues:**

Program funds are derived through donations, sponsorships and grant applications through community organizations.

**Current Program Activities/Allowable Expenses:**

Celebrations, statewide, include: parades, hoolaulea, & lei-draping of Kamehameha statues.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable

**Variations:** Variances due to reduction in donations and grants received. Expenditures fluctuate with the availability of funds.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	39,017	26,861	34,993	21,604	7	100,517	201,027
Revenues	120,318	87,650	55,717	76,619	200,510	200,510	200,510
Expenditures	68,641	44,444	46,442	29,767	100,000	100,000	100,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JV JT0003 7/3/12, JT0245 9/12/12, JT0414 10/29/12, JT0520 12/19/12, JT0740 2/21/13, JT0939 4/22/13	(63,833)						
JV JT0005 7/5/13, JV JT0046 7/17/13, JV JT0156 8/19/13, JV JT0226 9/16/13, JV JT0923 5/14/14, JV JT0927 5/14/14, JV JT0132 8/13/13		(35,074)					
JV JT0002 7/2/14, JV JT0090 7/28/14, JV JT0155 8/12/14, JV JT0761 3/31/15, JV JT0977 6/8/15			(22,664)				
Net Total Transfers	(63,833)	(35,074)	(22,664)	(68,449)	0	0	0
Ending Cash Balance	26,861	34,993	21,604	7	100,517	201,027	301,537
Encumbrances							
Unencumbered Cash Balance	26,861	34,993	21,604	7	100,517	201,027	301,537

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-130  
 Name of Fund: Information Technology Trust fund  
 Legal Authority: Administratively Created

Contact Name: Christie Ferreira  
 Phone: 586-1920 ext 307  
 Fund type (MOF): Trust Fund (T)  
 Appropriation Acct. No.: T-XX-933-M

**Intended Purpose:**

Salary for two project manager positions within the Office of Information Management and Technology to assist in the implementation of the State Business and Information Technology/Information Resource Management Transformation plan.

**Source of Revenues:**

Private Grant from Hawaii Community Foundation

**Current Program Activities/Allowable Expenses:**

Provides salaries for two (2) OIMT positions.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

none

**Variances:**

The variance between FY 14 and FY 15 revenue is due to the delay in establishing positions.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	125,287	28,583	0	0
Revenues		0	125,287	77,427			
Expenditures		0	0	174,131	28,583	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	125,287	28,583	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	125,287	28,583	0	0	0

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Accounting and General Services  
 Prog ID(s): AGS-231  
 Name of Fund: Washington Place Trust Fund  
 Legal Authority: Act 113, SLH2013

Contact Name: Wendell Asuka  
 Phone: 831-7934  
 Fund type (MOF) Trust Fund (T)  
 Appropriation Acct. No. T-XX-951-M

**Intended Purpose:**

The purpose of this trust fund is to receive and account for proceeds from the use of Washington Place.

**Source of Revenues:**

Proceeds, rents and fees received for the use and activities conducted at Washington Place.

**Current Program Activities/Allowable Expenses:**

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Variances: variance from estimated amount in FY16 due to more events conducted at Washington Place.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	23,143	27,554	33,867	45,867	50,867
Revenues	0	23,143	4,411	30,122	12,000	5,000	5,000
Expenditures	0	0	0	23,809	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	23,143	27,554	33,867	45,867	50,867	55,867
Encumbrances			23,809				
Unencumbered Cash Balance	0	23,143	3,745	33,867	45,867	50,867	55,867

**Additional Information:**

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: VOCA Victim Assistance  
 Legal Authority: PL 98-473 Victims of Crime Act of 1984

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (N)  
 Appropriation Acct. No.: S-13-205/S-14-205

**Intended Purpose:**

To enhance the quality of justice for crime victims by providing support services such as counseling, group support, crisis hotline, shelters, and support through the criminal justice system and to increase the willingness of victims to cooperate with police and prosecutors after they have reported a crime.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Office for Victims of Crime. Grant ends 9/30/2016.

**Current Program Activities/Allowable Expenses:**

Funds are subgranted to county prosecuting attorney offices for operational expenses and subgrants to non-profit agencies, such as domestic violence shelters and sex assault centers, for personnel, training, equipment, and operating expenses that are necessary to provide the intended direct services described above to crime victims. Funds are subgranted to the Department of Human Services for case management services for the elderly.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 2,180,848.00	\$ 2,405,568.00	\$ 2,556,144.00	\$ 3,082,145.12	\$ 747,141		
Beginning Cash Balance	\$ 162.98	\$ -	\$ -	\$ -	\$ -		
Revenues	\$ 1,784,917.63	\$ 2,405,568.00	\$ 2,556,143.88	\$ 2,325,004.79	\$ 757,141		
Expenditures	\$ 1,622,117.19	\$ 2,164,887.60	\$ 2,333,767.59	\$ 2,082,379.67	\$ 757,141		
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers	\$ (162,963.42)	\$ (240,680.40)	\$ (222,376.29)	\$ (242,625.12)	\$ -		
Net Total Transfers	\$ (162,963.42)	\$ (240,680.40)	\$ (222,376.29)	\$ (242,625.12)	\$ -		
Ending Cash Balance	\$ -	\$ -	\$ -	\$ -	\$ -		
Encumbrances	\$ -	\$ -	\$ -	\$ -	\$ -		
Unencumbered Cash Balance	\$ -	\$ -	\$ -	\$ -	\$ -		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2014 VOCA Victim Assistance  
 Legal Authority: PL 98-473 Victims of Crime Act of 1984

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (N)  
 Appropriation Acct. No. S-15-205

**Intended Purpose:**

To enhance the quality of justice for crime victims by providing support services such as counseling, group support, crisis hotline, shelters, and support through the criminal justice system and to increase the willingness of victims to cooperate with police and prosecutors after they have reported a crime.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Office for Victims of Crime. Grant ends 9/30/2017.

**Current Program Activities/Allowable Expenses:**

Funds are subgranted to county prosecuting attorney offices for operational expenses and subgrants to non-profit agencies, such as domestic violence shelters and sex assault centers, for personnel, training, equipment, and operating expenses that are necessary to provide the intended direct services described above to crime victims.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 2,422,394.00	\$ 2,384,984		
Beginning Cash Balance				\$ -	\$ -		
Revenues				\$ 37,410.26	\$ 2,342,684		
Expenditures				\$ 37,410.26	\$ 2,342,684		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -		
Net Total Transfers				\$ -	\$ -		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2015 VOCA Victim Assistance  
 Legal Authority: PL 98-473 Victims of Crime Act of 1984

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (N)  
 Appropriation Acct. No.: S-16-205

**Intended Purpose:**

To enhance the quality of justice for crime victims by providing support services such as counseling, group support, crisis hotline, shelters, and support through the criminal justice system and to increase the willingness of victims to cooperate with police and prosecutors after they have reported a crime.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Office for Victims of Crime.

**Current Program Activities/Allowable Expenses:**

Funds are subgranted to county prosecuting attorney offices victim witness assistance units for operational expenses (personnel, training, equipment, travel) and for subgrants to non-profit agencies, such as domestic violence shelters and sex assault centers, to provide the intended direct services described above to crime victims. In addition, funds are awarded through the Chapter 103F process to non-profit service providers to provide direct services to victims.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					\$ 8,995,706	\$ 7,755,099	
Beginning Cash Balance							
Revenues					\$ 1,240,607	\$ 1,135,607	
Expenditures					\$ 1,240,607	\$ 1,135,607	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
					\$ -	\$ -	
Net Total Transfers					\$ -	\$ -	
Ending Cash Balance					\$ -	\$ -	
Encumbrances					\$ -	\$ -	
Unencumbered Cash Balance					\$ -	\$ -	

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2016 VOCA Victim Assistance  
 Legal Authority: PL 98-473 Victims of Crime Act of 1984

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (N)  
 Appropriation Acct. No.: S-17-205

**Intended Purpose:**

To enhance the quality of justice for crime victims by providing support services such as counseling, group support, crisis hotline, shelters, and support through the criminal justice system and to increase the willingness of victims to cooperate with police and prosecutors after they have reported a crime.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Office for Victims of Crime.

**Current Program Activities/Allowable Expenses:**

Funds are subgranted to county prosecuting attorney offices victim witness assistance units for operational expenses (personnel, training, equipment, travel) and for subgrants to non-profit agencies, such as domestic violence shelters and sex assault centers, to provide the intended direct services described above to crime victims. In addition, funds are awarded through the Chapter 103F process to non-profit service providers to provide direct services to victims.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling						\$ 5,073,793	\$ 10,147,586
Beginning Cash Balance							
Revenues						\$ 5,073,793	\$ 10,147,586
Expenditures						\$ 5,073,793	\$ 10,147,586
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
						\$ -	\$ -
Net Total Transfers						\$ -	\$ -
Ending Cash Balance						\$ -	\$ -
Encumbrances						\$ -	\$ -
Unencumbered Cash Balance						\$ -	\$ -

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-14-205-N Victims of Crime Act  
JV Transfers  
Fiscal Year 2016**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
216-041	JS2015	10/15/15	\$ 2,380.19	13-VA-Admin
216-087	JS3710	01/14/16	\$ 1,150.69	13-VA-Admin
216-096	JS4397	02/17/16	\$ 2,481.45	13-VA-Admin
216-102	JS4871	03/16/16	\$ 1,730.79	13-VA-Admin
R16021	JM0502	08/19/15	\$ 22,532.00	12-VA-05/11
R16028	JS1065	09/01/15	\$ 25,975.00	12-VA-05/12
R16041	JS1674	09/29/15	\$ 3,519.00	13-VA-05/1
R16041	JS1674	09/29/15	\$ 19,033.00	13-VA-05/2
R16054	JS2391	10/30/15	\$ 18,088.00	13-VA-05/3
R16064	JS2972	12/04/15	\$ 100.00	13-VA-Admin
R16064	JS2972	12/04/15	\$ 19,522.00	13-VA-05/4
R16082	JS3499	01/04/16	\$ 17,635.00	13-VA-05/5
R16093	JS4029	02/01/16	\$ 15,467.00	13-VA-05/6
R16120	JS5099	03/31/16	\$ 12,716.00	13-VA-05/7
R16120	JS5099	03/31/16	\$ 16,150.00	13-VA-05/8
R16134	JS5756	04/29/16	\$ 19,029.00	13-VA-05/9
R16146	JS6308	06/01/16	\$ 19,029.00	13-VA-05/10
R16162	JS7026	06/29/16	\$ 26,087.00	13-VA-05/11
			<b>\$ 242,625.12</b>	

**S-14-205-N Victims of Crime Act  
JV Transfers  
Fiscal Year 2015**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
215-059	JS2794	11/18/14	\$ 1,801.31	12-VA-Admin
215-072	JS3426	12/16/14	\$ 2,768.99	12-VA-Admin
215-083	JS4305	02/02/15	\$ 1,848.06	12-VA-Admin
215-143	JS6448	05/15/15	\$ 696.93	12-VA-Admin
R15013	JS0393	07/31/14	\$ 23,204.00	11-VA-05/12
R15024	JS1050	09/02/14	\$ 24,484.00	11-VA-05/13
R15045	JS1790	09/30/14	\$ 20,236.00	11-VA-05/14
R15045	JS1790	09/30/14	\$ 468.00	12-VA-05/1
R15058	JS2535	10/31/14	\$ 14,836.00	12-VA-05/2
R15066	JS3115	12/02/14	\$ 13,599.00	12-VA-05/3
R15082	JS3708	01/02/15	\$ 15,725.00	12-VA-05/4
R15091	JS4303	02/02/15	\$ 15,725.00	12-VA-05/5
R15103	JS4947	03/03/15	\$ 10,148.00	12-VA-05/6
R15118	JS5473	03/31/15	\$ 14,856.00	12-VA-05/7
R15132	JS6087	04/29/15	\$ 19,589.00	12-VA-05/8
R15142	JS6708	06/01/15	\$ 19,859.00	12-VA-05/9
R15156	JS7372	06/30/15	\$ 22,532.00	12-VA-05/10
			<b>\$ 222,376.29</b>	

**S-14-205-N Victims of Crime Act  
JV Transfers  
Fiscal Year 2014**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
J0479	JS1792	10/02/13	\$ (1,937.34)	09-VA-05
214-040	JS2879	11/18/13	\$ 5,356.78	11-VA-Admin
214-046	JS3333	12/17/13	\$ 938.96	11-VA-Admin
214-088	JS6175	05/15/14	\$ 350.00	11-VA-Admin
R14019	JS0438	08/02/13	\$ 11,913.00	10-VA-05/24
R14030	JS0834	08/19/13	\$ 100.00	10-VA-Admin
R14041	JS1145	09/03/13	\$ 17,939.00	10-VA-05/25
R14055	JS1881	10/02/13	\$ 22,960.00	10-VA-05/26
R14069	JM1827	10/30/13	\$ 22,065.00	10-VA-05/27
R14082	JS3063	12/02/13	\$ 33,008.00	10-VA-05/28
R14089	JS3376	12/18/13	\$ 4,931.00	11-VA-05/4
R14101	JS3560	12/30/13	\$ 15,118.00	11-VA-05/5
R14112	JS4044	01/31/14	\$ 14,599.00	11-VA-05/6
R14126	JS4591	02/28/14	\$ 18,706.00	11-VA-05/7
R14138	JS5189	04/01/14	\$ 17,481.00	11-VA-05/8
R14161	JS6406	05/30/14	\$ 17,481.00	11-VA-05/9
R14169	JS6792	06/18/14	\$ 19,561.00	11-VA-05/10
R14173	JS7261	07/03/14	\$ 100.00	11-VA-Admin
R14173	JS7261	07/03/14	\$ 20,010.00	11-VA-05/11
			<b>\$ 240,680.40</b>	

**S-13-205-N Victims of Crime Act  
JV Transfers  
Fiscal Year 2013**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
213-048	JS3493	12/03/12	\$ 1,000.00	10-VA-Admin
213-057	JS4162	01/16/13	\$ 1,358.43	10-VA-Admin
213-089	JS6146	05/15/13	\$ 615.91	10-VA-Admin
PM2102	JM6228	06/17/13	\$ 7,211.45	10-VA-Admin
R13009	JM0190	07/30/12	\$ 14,280.00	09-VA-05/9
R13146	JS1520	10/02/12	\$ 28,516.00	09-VA-05/11
R13147	JM1397	10/02/12	\$ 26.23	09-VA-Admin
R13163	JS3025	11/01/12	\$ 14,663.00	09-VA-05/12
R13178	JS3492	12/03/12	\$ 11,617.00	09-VA-05/13
R13213	JS4644	02/14/13	\$ 308.00	10-VA-05/9
R13213	JS4644	02/14/13	\$ 728.00	10-VA-05/10
R13213	JS4644	02/14/13	\$ 727.00	10-VA-05/11
R13213	JS4644	02/14/13	\$ 727.00	10-VA-05/12
R13213	JS4644	02/14/13	\$ 670.00	10-VA-05/13
R13213	JS4644	02/14/13	\$ 163.00	10-VA-05/16
R13213	JS4644	02/14/13	\$ 10,385.00	10-VA-05/17
R13213	JS4644	02/14/13	\$ 10,182.00	10-VA-05/18
R13224	JM4483	03/15/13	\$ 131.15	10-VA-Admin
R13224	JM4483	03/15/13	\$ 9,967.00	10-VA-05/19
R13230	JS5437	04/03/13	\$ 10,674.00	10-VA-05/20
R13249	JS5945	05/02/13	\$ 14,559.00	10-VA-05/21
R13261	JM6080	05/31/13	\$ 413.25	10-VA-Admin
R13266	JS6434	05/31/13	\$ 13,568.00	10-VA-05/22
R13281	JS6992	07/01/13	\$ 10,473.00	10-VA-05/23
			<b>\$ 162,963.42</b>	

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG 100  
 Prog ID(s): ATG 100 AC  
 Name of Fund: Edward Byrne Memorial JAG  
 Legal Authority: PL 100-690, The Anti-Drug Abuse Act 1988

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal Funds (N)  
 Appropriation Acct. No.: S-13-206/S-14-206

**Intended Purpose:**

To assist states and local governments in addressing drugs and violent crimes and to assist in the improvement of the criminal justice system.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

The Governor's Committee on Crime reviews and recommends the types of projects to be funded. Grants are awarded to state and county criminal justice agencies for projects addressing issues such as multi-jurisdictional drug interdiction tasks forces, drug court, violent crimes, sex offender tracking, technology improvement, specialized units (sex assault, cold case), etc.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 2,046,150.00	\$ 848,596.77	\$ 2,043,193.23	\$ 2,074,352.00	\$ 919,765		
Beginning Cash Balance	\$ 2,921,974.37	\$ 2,647,897.71	\$ 2,724,242.65	\$ 1,796,948.63	\$ 561,395		
Revenues	\$ 936,598.38	\$ 950,041.71	\$ 12,284.57	\$ 73,860.34	\$ 12,000		
Expenditures	\$ 800,563.50	\$ 691,055.48	\$ 667,646.38	\$ 1,052,517.05	\$ 573,395		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers	\$ (235,416.44)	\$ (157,541.29)	\$ (42,729.49)	\$ (102,070.08)	\$ -		
Cash transfers	\$ (174,695.10)	\$ (25,100.00)	\$ (229,202.72)	\$ (154,827.00)			
Net Total Transfers	\$ (410,111.54)	\$ (182,641.29)	\$ (271,932.21)	\$ (256,897.08)	\$ -		
Ending Cash Balance	\$ 2,647,897.71	\$ 2,724,242.65	\$ 1,796,948.63	\$ 561,394.84	\$ -		
Encumbrances	\$ -	\$ -	\$ -	\$ -	\$ -		
Unencumbered Cash Balance	\$ 2,647,897.71	\$ 2,724,242.65	\$ 1,796,948.63	\$ 561,394.84	\$ -		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG 100  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2014 Edward Byrne Memorial JAG  
 Legal Authority: PL 100-690, The Anti-Drug Abuse Act 1988

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal Funds (N)  
 Appropriation Acct. No.: S-15-206

**Intended Purpose:**

To assist states and local governments in addressing drugs and violent crimes and to assist in the improvement of the criminal justice system.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

The Governor's Committee on Crime reviews and recommends the types of projects to be funded. Grants are awarded to state and county criminal justice agencies for projects addressing issues such as multi-jurisdictional drug interdiction tasks forces, violent crimes, sex offender management training, technology improvement, specialized units (sex assault), police body-worn cameras, etc.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable.

**Variances:**

Financial Data							
	FY 201	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ -	\$ 999,046.00	\$ 925,908		
Beginning Cash Balance			\$ -	\$ 908,278.16	\$ 851,627		
Revenues			\$ 908,278.16	\$ 5,274.20	\$ 6,000		
Expenditures			\$ -	\$ 27,625.14	\$ 857,627		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers			\$ -	\$ (34,300.00)	\$ -		
Net Total Transfers			\$ -	\$ (34,300.00)	\$ -		
Ending Cash Balance			\$ 908,278.16	\$ 851,627.22	\$ 0		
Encumbrances			\$ -	\$ -	\$ -		
Unencumbered Cash Balance			\$ 908,278.16	\$ 851,627.22	\$ 0		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG 100  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2015 Edward Byrne Memorial JAG  
 Legal Authority: PL 100-690, The Anti-Drug Abuse Act 1988

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal Funds (N)  
 Appropriation Acct. No. S-16-206

**Intended Purpose:**

To assist states and local governments in addressing drugs and violent crimes and to assist in the improvement of the criminal justice system.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

The Governor's Committee on Crime reviews and recommends the types of projects to be funded. Grants are awarded to state and county criminal justice agencies for projects addressing issues such as multi-jurisdictional drug interdiction tasks forces, violent crimes, untested sex assault kits, technology improvement, specialized units (drug nuisance, sex trafficking), etc.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 908,157.00	\$ 908,157		
Beginning Cash Balance				\$ -	\$ 799,693		
Revenues				\$ 799,693.29	\$ -		
Expenditures				\$ -	\$ 799,693		
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
				\$ -	\$ -		
Net Total Transfers				\$ -	\$ -		
Ending Cash Balance				\$ 799,693.29	\$ 0		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ 799,693.29	\$ 0		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG 100  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2016 Edward Byrne Memorial JAG  
 Legal Authority: PL 100-690, The Anti-Drug Abuse Act 1988

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal Funds (N)  
 Appropriation Acct. No. S-17-206

**Intended Purpose:**

To assist states and local governments in addressing drugs and violent crimes and to assist in the improvement of the criminal justice system.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

The Governor's Committee on Crime reviews and recommends the types of projects to be funded. Grants are awarded to state and county criminal justice agencies for projects addressing issues such as multi-jurisdictional drug interdiction tasks forces, violent crimes, untested sex assault kits, technology improvement, specialized units (drug nuisance, sex trafficking), etc. Specific projects have not yet been identified.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					\$ 862,956	\$ 862,956	\$ 862,956
Beginning Cash Balance					\$ -	\$ -	\$ -
Revenues					\$ 862,956	\$ 862,956	\$ 862,956
Expenditures					\$ 862,956	\$ 862,956	\$ 862,956
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
					\$ -	\$ -	\$ -
Net Total Transfers					\$ -	\$ -	\$ -
Ending Cash Balance					\$ -	\$ -	\$ -
Encumbrances					\$ -	\$ -	\$ -
Unencumbered Cash Balance					\$ -	\$ -	\$ -

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant  
JV Transfers  
Fiscal Year 2016**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
R16153	JS6494	06/03/16	(22,900.00)	14-DJ-01/2
R16153	JS6494	06/03/16	(11,400.00)	14-DJ-01/3
216-072	JS3239	12/15/15	3,346.97	13-DJ-Admin
R16020	JS0673	08/14/15	14,000.00	12-DJ-01/15
R16027	JS0934	08/25/15	14,569.00	11-DJ-04/30
R16027	JS0934	08/25/15	6,000.00	12-DJ-01/16
R16040	JS1542	09/22/15	611.00	11-DJ-04/31
R16055	JS2190	10/22/15	2,992.00	11-DJ-05/33
R16055	JS2190	10/22/15	2,000.00	12-DJ-01/18
R16063	JS2850	11/23/15	5,000.00	12-DJ-01/19
R16064	JS2972	12/04/15	100.00	13-DJ-Admin
R16081	JS3335	12/22/15	250.00	11-DJ-04/34
R16081	JS3335	12/22/15	5,000.00	12-DJ-01/20
R16092	JS3921	01/22/16	7,000.00	12-DJ-01/21
R16106	JS4479	02/25/16	5,000.00	12-DJ-01/22
R16119	JS5025	03/29/16	399.40	11-DJ-04/37
R16119	JS5025	03/29/16	30,905.00	11-DJ-05/38
R16119	JS5025	03/29/16	5,000.00	12-DJ-01/23
R16133	JS5628	04/22/16	24,005.00	11-DJ-05/39
R16133	JS5628	04/22/16	5,000.00	12-DJ-01/24
R16141	JS5922	05/09/16	191.71	11-DJ-05/40
R16145	JS6307	06/01/16	3,000.00	12-DJ-01/25
R16161	JS6878	06/22/16	2,000.00	12-DJ-01/26
			<b>\$ 102,070.08</b>	

**S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant  
Cash Transfers out of the Fund  
Fiscal Year 2016**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
R16010	JS0239	07/21/15	\$ 240.00	11DJ07/24
R16027	JS0934	08/25/15	\$ 1,545.00	11DJ07/25
R16040	JS1542	09/22/15	\$ 385.00	11DJ07/26
R16063	JS2850	11/23/15	\$ 1,085.00	11DJ07/28
R16081	JS3335	12/22/15	\$ 785.00	11DJ07/29
R16081	JS3335	12/22/15	\$ 147,951.00	13DJ02/11
R16106	JS4479	02/25/16	\$ 1,211.00	11DJ07/31
R16119	JS5025	03/29/16	\$ 75.00	11DJ07/32
R16133	JS5628	04/22/16	\$ 880.00	11DJ07/33
R16161	JS6878	06/22/16	\$ 670.00	11DJ07/35
			<b>\$ 154,827.00</b>	

**S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant**  
**JV Transfers**  
**Fiscal Year 2015**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
JV15282	JS2987	12/02/14	\$ (2,000.00)	11-DJ-03/22
215-059	JS2794	11/18/14	\$ 2,400.92	12-DJ-Admin
215-083	JS4305	02/02/15	\$ 687.00	12-DJ-Admin
R15012	JS0392	07/31/14	\$ 1,428.00	10-DJ-15/27
R15023	JS1031	08/29/14	\$ 5,420.00	10-DJ-15/28
R15023	JS1031	08/29/14	\$ 2,756.00	11-DJ-04/18
R15044	JS1789	09/30/14	\$ 1,088.00	11-DJ-05/20
R15057	JS2388	10/23/14	\$ 1,619.57	10-DJ-15/29
R15057	JS2388	10/23/14	\$ 73.00	11-DJ-05/21
R15065	JS3715	01/05/15	\$ 2,000.00	11-DJ-03/22
R15081	JS3610	12/30/14	\$ 875.00	11-DJ-04/22
R15102	JS4894	03/03/15	\$ 2,355.00	11-DJ-05/25
R15102	JS4894	03/03/15	\$ 2,000.00	12-DJ-01/10
R15126	JS5686	04/15/15	\$ 6,000.00	12-DJ-01/11
R15131	JS5959	04/22/15	\$ 1,830.00	11-DJ-04/26
R15131	JS5959	04/22/15	\$ 1,398.00	11-DJ-05/27
R15141	JS6579	05/22/15	\$ 6,799.00	11-DJ-04/27
R15155	JS7210	06/26/15	\$ 6,000.00	12-DJ-01/14
			<b>\$ 42,729.49</b>	

**S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant  
Cash Transfers out of the Fund  
Fiscal Year 2015**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
R15012	JS0392	07/31/14	\$ 6,278.00	10-DJ-09/28
R15081	JS3610	12/30/14	\$ 15,625.00	11-DJ-07/17
R15090	JS4302	02/02/15	\$ 1,723.00	11-DJ-07/18
R15102	JS4894	03/03/15	\$ 2,225.00	11-DJ-07/19
R15117	JS5452	03/31/15	\$ 156,321.00	12-DJ-12/8
R15141	JS6579	05/22/15	\$ 595.00	11-DJ-07/22
R15155	JS7210	06/26/15	\$ 2,780.00	11-DJ-07/23
R15155	JS7210	06/26/15	\$ 43,679.00	12-DJ-12/11
			<b>\$ 229,226.00</b>	

**S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant  
Cash Transfers into the Fund  
Fiscal Year 2015**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
215-080	JS3974	01/15/15	\$ (23.28)	10-DJ-09/28
			<b>\$ (23.28)</b>	

**TOTAL CASH TRANSFERS \$ 229,202.72**

**S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant**  
**JV Transfers**  
**Fiscal Year 2014**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
JV14236	JS3450	12/27/13	\$ (747.76)	10-DJ-03
S-034	JS3945	01/24/14	\$ (20.00)	09-DJ-01
214-040	JS2879	11/18/13	\$ 2,428.00	11-DJ-Admin
214-046	JS3333	12/17/13	\$ 938.00	11-DJ-Admin
214-088	JS6175	05/15/14	\$ 347.00	11-DJ-Admin
R14018	JS0355	08/05/13	\$ 10,435.00	09-DJ-01/33
R14018	JS0355	08/05/13	\$ 23,960.00	10-DJ-03/17
R14040	JS1144	09/03/13	\$ 4,845.00	11-DJ-04/6
R14048	JS1353	09/11/13	\$ 4,938.00	09-DJ-01/34
R14054	JS1640	09/24/13	\$ 21,264.00	09-DJ-01/35
R14054	JS1640	09/24/13	\$ 1,918.00	10-DJ-11/17
R14054	JS1640	09/24/13	\$ 12,713.00	11-DJ-04/7
R14069	JM1827	10/30/13	\$ 4,039.05	09-DJ-01/36
R14069	JM1827	10/30/13	\$ 250.00	11-DJ-04/8
R14082	JS3063	12/02/13	\$ 7,322.00	11-DJ-04/09
R14100	JS3528	12/27/13	\$ 1,075.00	11-DJ-04/10
R14104	JS3757	01/13/14	\$ 10,772.00	10-DJ-07/21
R14111	JS3934	01/23/14	\$ 625.00	11-DJ-04/11
R14125	JS4592	02/28/14	\$ 2,625.00	11-DJ-04/12
R14125	JS4592	02/28/14	\$ 1,209.00	11-DJ-05/13
R14137	JS5120	03/31/14	\$ 5,900.00	11-DJ-05/14
R14144	JS5395	04/10/14	\$ 779.00	10-DJ-07/24
R14147	JS5776	04/24/14	\$ 11,431.00	11-DJ-04/14
R14147	JS5776	04/24/14	\$ 4,815.00	11-DJ-05/15
R14155	JS6106	05/14/14	\$ 8,340.00	10-DJ-07/25
R14160	JS6318	05/22/14	\$ 8,203.00	10-DJ-15/25
R14160	JS6318	05/22/14	\$ 3,250.00	11-DJ-04/15
R14172	JS7157	07/01/14	\$ 3,888.00	11-DJ-04/16
			<b>\$ 157,541.29</b>	

**S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant  
Cash Transfers out of the Fund  
Fiscal Year 2014**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
R14018	JS0355	08/05/13	\$ 2,100.00	10-DJ-09/16
R14040	JS1144	09/03/13	\$ 2,500.00	10-DJ-09/17
R14054	JS1640	09/24/13	\$ 2,500.00	10-DJ-09/18
R14082	JS3063	12/02/13	\$ 2,000.00	10-DJ-09/20
R14100	JS3528	12/27/13	\$ 2,500.00	10-DJ-09/21
R14111	JS3934	01/23/14	\$ 2,500.00	10-DJ-09/22
R14125	JS4592	02/28/14	\$ 3,000.00	10-DJ-09/23
R14137	JS5120	03/31/14	\$ 4,000.00	10-DJ-09/24
R14147	JS5776	04/24/14	\$ 4,000.00	10-DJ-09/25
			<b>\$ 25,100.00</b>	

**S-13-206-N Edward J. Byrne Memorial Justice Assistance Grant**

**JV Transfers**

**Fiscal Year 2013**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
Fiscal	JM6071	05/31/13	\$ 369.04	11-DJ-Admin
213-048	JS3493	12/03/12	\$ 1,000.00	10-DJ-Admin
213-057	JS4162	01/16/13	\$ 875.00	10-DJ-Admin
JV303	JS0128	07/17/12	\$ (1,669.32)	07-DJ-19
JV328	JM5533	05/06/13	\$ (337.27)	09-DJ-14
JV13104	JS1546	10/03/12	\$ (3,301.70)	07-DJ-20
JV13249	JS4783	02/22/13	\$ (12,260.00)	10-DJ-10
R13009	JM0190	07/30/12	\$ 52.46	10-DJ-Admin
R13010	JS0347	07/27/12	\$ 1,750.00	09-DJ-09/17
R13010	JS0347	07/27/12	\$ 1,750.00	09-DJ-09/18
R13010	JS0347	07/27/12	\$ 4,000.00	09-DJ-14/15
R13011	JS0414	08/01/12	\$ 3,476.00	07-DJ-20/12
R13011	JS0414	08/01/12	\$ 12,929.00	10-DJ-03/5
R13011	JS0414	08/01/12	\$ 28,894.00	10-DJ-06/6
R13125	JS0885	08/29/12	\$ 7,000.00	09-DJ-14/16
R13147	JM1397	10/02/12	\$ 170.50	10-DJ-Admin
R13162	JS2033	11/01/12	\$ 4,000.00	09-DJ-14/18
R13162	JS2033	11/01/12	\$ 2,371.00	10-DJ-07/7
R13162	JS2033	11/01/12	\$ 134.00	10-DJ-15/6
R13163	JS3025	11/01/12	\$ 8,000.00	10-DJ-10/5
R13163	JS3025	11/01/12	\$ 2,800.00	10-DJ-11/5
R13167	JS3209	11/15/12	\$ 17,000.00	10-DJ-10/6
R13167	JS3209	11/15/12	\$ 13,800.00	10-DJ-11/6
R13177	JS3419	11/29/12	\$ 5,000.00	09-DJ-14/19
R13177	JS3419	11/29/12	\$ 2,034.00	10-DJ-07/8
R13193	JS3872	12/28/12	\$ 2,106.00	09-DJ-01/26
R13193	JS3872	12/28/12	\$ 2,750.00	10-DJ-03/10
R13193	JS3872	12/28/12	\$ 7,471.00	10-DJ-07/9
R13213	JS4644	02/14/13	\$ 4,037.00	09-DJ-01/27
R13218	JS4871	02/28/13	\$ 1,000.00	10-DJ-11/10
R13224	JM4483	03/15/13	\$ 4,160.00	09-DJ-01/28
R13224	JM4483	03/15/13	\$ 196.73	11-DJ-Admin
R13229	JM4708	04/02/13	\$ 8,000.00	09-DJ-14/23
R13229	JM4708	04/02/13	\$ 403.00	10-DJ-07/12
R13229	JM4708	04/02/13	\$ 100.00	11-DJ-Admin
R13230	JS5437	04/03/13	\$ 10,499.00	09-DJ-01/29
R13240	JM5019	04/15/13	\$ 13,772.00	11-DJ-04/2
R13250	JS5840	04/30/13	\$ 15,042.00	09-DJ-01/30
R13250	JS5840	04/30/13	\$ 13,260.00	10-DJ-10/12
R13250	JS5840	04/30/13	\$ 9,860.00	10-DJ-11/12
R13250	JS5840	04/30/13	\$ 5,000.00	10-DJ-15/12
R13265	JS6345	05/30/13	\$ 10,499.00	09-DJ-01/31
R13265	JS6345	05/30/13	\$ 200.00	11-DJ-04/3
R13280	JS6931	06/28/13	\$ 10,499.00	09-DJ-01/32
R13280	JS6931	06/28/13	\$ 15,200.00	10-DJ-11/13
R13280	JS6931	06/28/13	\$ 1,525.00	11-DJ-04/4
R13282	JS6932	06/28/13	\$ 719.28	11-DJ-Admin
R13285	JS7086	07/03/13	\$ (719.28)	11-DJ-Admin
			<b>\$ 235,416.44</b>	

**S-13-206-N Edward J. Byrne Memorial Justice Assistance Grant  
Cash Transfers out of the Fund  
Fiscal Year 2013**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
R13010	JS0347	07/27/12	\$ 6,000.00	10-DJ-09/4
R13125	JS0885	08/29/12	\$ 9,000.00	10-DJ-09/5
R13125	JS0885	08/29/12	\$ 45,600.00	10-DJ-14/1
R13145	JS1452	09/26/12	\$ 4,500.00	10-DJ-09/6
R13153	JS1771	10/16/12	\$ 5,500.00	10-DJ-09/7
R13153	JS1771	10/16/12	\$ 22,000.00	10-DJ-14/2
R13162	JS2033	11/01/12	\$ 22,000.00	10-DJ-14/3
R13177	JS3419	11/29/12	\$ 22,000.00	10-DJ-14/4
R13192	JS3873	12/28/12	\$ 2,000.00	10-DJ-09/9
R13192	JS3873	12/28/12	\$ 22,120.00	10-DJ-14/5
R13205	JS4357	01/30/13	\$ 6,500.00	10-DJ-09/10
R13218	JS4871	02/28/13	\$ 4,000.00	10-DJ-09/11
R13229	JM4708	04/02/13	\$ 5,000.00	10-DJ-09/12
R13240	JM5019	04/15/13	\$ 4,000.00	10-DJ-09/13
R13265	JS6345	05/30/13	\$ 3,500.00	10-DJ-09/14
R13280	JS6931	06/28/13	\$ 2,000.00	10-DJ-09/15
			<b>\$ 185,720.00</b>	

**S-13-206-N Edward J. Byrne Memorial Justice Assistance Grant  
Cash Transfers into the Fund**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
HCJD	JS5523	04/15/13	\$ (11,024.90)	10-DJ-14
			<b>\$ (11,024.90)</b>	

**TOTAL CASH TRANSFERS \$ 174,695.10**

**S-15-206-N Edward J. Byrne Memorial Justice Assistance Grant**  
**JV Transfers**  
**Fiscal Year 2016**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
R16153	JS6494	06/03/16	\$ 22,900.00	14-DJ-01/2
R16153	JS6494	06/03/16	\$ 11,400.00	14-DJ-01/3
			<b>\$ 34,300.00</b>	

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG 100  
 Prog ID(s): ATG 100 CJ  
 Name of Fund: FY 2014 SJS Program  
 Legal Authority: Justice System Improvement Act of 1979

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (P)  
 Appropriation Acct. No.: S-15-208

**Intended Purpose:**

To support the crime research functions of the Statistical Analysis Center, which is established within CPJAD.

**Source of Revenues:**

Federal grant received from Department of Justice, Bureau of Justice Statistics. Grant ended 8/31/2015.

**Current Program Activities/Allowable Expenses:**

Funds will be used for a project that involves the creation of a statistical reporting engine and initial batch of on-demand report templates in an existing Management Information System (MIS) for administrative data on adult criminal offenders supervised in the community. This project arose from the Hawaii Interagency Council on Intermediate Sanctions, for whom the Hawaii SAC provides primary research support. Funds will also be used for travel costs for the SAC director to attend the NCJA National Forum.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 25,017.00	\$ 34,125.00			
Beginning Cash Balance			\$ -	\$ 725.00			
Revenues			\$ 25,742.50	\$ 33,399.50			
Expenditures			\$ 1,517.50	\$ 824.50			
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Dept. #R15092, Comp #JS4304, 2/2/15			\$ (17,500.00)				
Dept. #R15119, Comp #JS5474, 3/31/15			\$ (6,000.00)				
Dept. #R16030, Comp #JS0935, 8/25/15				\$ (33,300.00)			
Net Total Transfers			\$ (23,500.00)	\$ (33,300.00)			
Ending Cash Balance			\$ 725.00	\$ -			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ 725.00	\$ -			

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG 100  
 Prog ID(s): ATG 100 CJ  
 Name of Fund: FY 2015 SJS Program  
 Legal Authority: Justice System Improvement Act of 1979

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (P)  
 Appropriation Acct. No.: S-16-208

**Intended Purpose:**

To support the crime research functions of the Statistical Analysis Center, which is established within CPJAD.

**Source of Revenues:**

Federal grant received from Department of Justice, Bureau of Justice Statistics. Grant ends 12/31/2016.

**Current Program Activities/Allowable Expenses:**

Funds will be used for a project that expands the capability of the State's Offender Management Information System (MIS) to include data on parole and probation officer training and proficiency levels, which are important factors related to offender recidivism. This project arose from the Hawaii Interagency Council on Intermediate Sanctions, for whom the Hawaii SAC provides primary research support. Funds will also be used for travel costs for one SAC staff member to attend the NCJA National Forum.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 51,972.00	\$ 27,072		
Beginning Cash Balance				\$ -			
Revenues				\$ 24,900.00	\$ 27,072		
Expenditures							
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Dept. #R16146, Comp #JS6308, 6/1/16				\$ (13,500.00)	\$ (27,072)		
Dept. #R16162, Comp #JS7026, 6/29/16				\$ (11,400.00)			
Net Total Transfers				\$ (24,900.00)	\$ (27,072)		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG 100  
 Prog ID(s): ATG 100 CJ  
 Name of Fund: FY 2016 SJS Program  
 Legal Authority: Justice System Improvement Act of 1979

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (P)  
 Appropriation Acct. No.: S-17-208

**Intended Purpose:**

To support the crime research functions of the Statistical Analysis Center, which is established within CPJAD.

**Source of Revenues:**

Federal grant received from Department of Justice, Bureau of Justice Statistics.

**Current Program Activities/Allowable Expenses:**

This project will expand Hawaii's capacity to produce and consider statistical information pertaining to adult parolees and felony probationers and the State's efforts to reduce recidivism rates among these groups via the use of evidence-based practices. Project will create additional statistical features and functionality for the Interagency Council on Intermediate Sanctions' computerized Management Information System. Funds will also be used for travel costs for one SAC staff member to attend the NCJA National Forum.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					\$ 53,988	\$ 53,988	\$ 53,988
Beginning Cash Balance							
Revenues					\$ 53,988	\$ 53,988	\$ 53,988
Expenditures					\$ -	\$ 53,988	\$ 53,988
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
					\$ (53,988)	\$ -	\$ -
Net Total Transfers					\$ (53,988)	\$ -	\$ -
Ending Cash Balance					\$ -	\$ -	\$ -
Encumbrances					\$ -	\$ -	\$ -
Unencumbered Cash Balance					\$ -	\$ -	\$ -

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG 100  
 Prog ID(s): ATG 100 AC  
 Name of Fund: Violence Against Women Act  
 Legal Authority: Violent Crime Control and Law Enforcement Act of 1994

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (N)  
 Appropriation Acct. No. S-13-213/S-14-213

**Intended Purpose:**

To assist states and local units of government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases that involve violent crimes against women.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Office on Violence Against Women.

**Current Program Activities/Allowable Expenses:**

The major program areas are:

1. Supporting and developing core services for victims of domestic violence, sexual assault, dating violence, and/or stalking.
2. Developing an effective coordinated community response for domestic violence, sexual assault, dating violence, and/or stalking.
3. Supporting underserved/marginalized victim populations.
4. Improving the system response to domestic violence, sexual assault, dating violence, and/or stalking.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 1,823,174.00	\$ 1,253,602.98	\$ 2,183,475.02	\$ 1,482,695.99	\$ 502,606		
Beginning Cash Balance	\$ 181.07	\$ -	\$ -	\$ -	\$ -		
Revenues	\$ 996,464.49	\$ 1,253,602.98	\$ 868,186.03	\$ 980,090.65	\$ 502,606		
Expenditures	\$ 966,820.26	\$ 1,184,841.37	\$ 841,864.55	\$ 926,144.00	\$ 502,606		
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers	\$ (29,825.30)	\$ (68,761.61)	\$ (26,321.48)	\$ (53,946.65)	\$ -		
Net Total Transfers	\$ (29,825.30)	\$ (68,761.61)	\$ (26,321.48)	\$ (53,946.65)	\$ -		
Ending Cash Balance	\$ 0.00	\$ (0.00)	\$ -	\$ -	\$ -		
Encumbrances	\$ -	\$ -	\$ -	\$ -			
Unencumbered Cash Balance	\$ 0.00	\$ (0.00)	\$ -	\$ -	\$ -		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG 100  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2014 Violence Against Women Act  
 Legal Authority: Violent Crime Control and Law Enforcement Act of 1994

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (N)  
 Appropriation Acct. No.: S-15-213

**Intended Purpose:**

To assist states and local units of government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases that involve violent crimes against women.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Office on Violence Against Women.

**Current Program Activities/Allowable Expenses:**

The major program areas are:

1. Supporting and developing core services for victims of domestic violence, sexual assault, dating violence, and/or stalking.
2. Developing an effective coordinated community response for domestic violence, sexual assault, dating violence, and/or stalking.
3. Supporting underserved/marginalized victim populations.
4. Improving the system response to domestic violence, sexual assault, dating violence, and/or stalking.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 1,064,059.00	\$ 1,009,966	\$ 99,060	
Beginning Cash Balance				\$ -	\$ -	\$ -	
Revenues				\$ 65,306.00	\$ 910,906	\$ 99,060	
Expenditures				\$ 65,306.00	\$ 910,906	\$ 99,060	
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -	\$ -	
Net Total Transfers				\$ -	\$ -	\$ -	
Ending Cash Balance				\$ -	\$ -	\$ -	
Encumbrances				\$ -	\$ -	\$ -	
Unencumbered Cash Balance				\$ -	\$ -	\$ -	

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG 100  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2015 Violence Against Women Act  
 Legal Authority: Violent Crime Control and Law Enforcement Act of 1994

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (N)  
 Appropriation Acct. No. S-16-213

**Intended Purpose:**

To assist states and local units of government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases that involve violent crimes against women.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Office on Violence Against Women.

**Current Program Activities/Allowable Expenses:**

The major program areas are:

1. Supporting and developing core services for victims of domestic violence, sexual assault, dating violence, and/or stalking.
2. Developing an effective coordinated community response for domestic violence, sexual assault, dating violence, and/or stalking.
3. Supporting underserved/marginalized victim populations.
4. Improving the system response to domestic violence, sexual assault, dating violence, and/or stalking.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 1,061,994.00	\$ 1,061,994		
Beginning Cash Balance					\$ -		
Revenues				\$ -	\$ 1,061,994		
Expenditures				\$ -	\$ 1,061,994		
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -		
Net Total Transfers				\$ -	\$ -		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG 100  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2016 Violence Against Women Act  
 Legal Authority: Violent Crime Control and Law Enforcement Act of 1994

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (N)  
 Appropriation Acct. No.: S-17-213

**Intended Purpose:**

To assist states and local units of government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases that involve violent crimes against women.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Office on Violence Against Women.

**Current Program Activities/Allowable Expenses:**

The major program areas are:

1. Supporting and developing core services for victims of domestic violence, sexual assault, dating violence, and/or stalking.
2. Developing an effective coordinated community response for domestic violence, sexual assault, dating violence, and/or stalking.
3. Supporting underserved/marginalized victim populations.
4. Improving the system response to domestic violence, sexual assault, dating violence, and/or stalking.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling						\$ 1,119,281	\$ 1,119,281
Beginning Cash Balance							
Revenues						\$ 1,119,281	\$ 1,119,281
Expenditures						\$ 1,119,281	\$ 1,119,281
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
						\$ -	\$ -
Net Total Transfers						\$ -	\$ -
Ending Cash Balance						\$ -	\$ -
Encumbrances							
Unencumbered Cash Balance						\$ -	\$ -

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-14-213-N Violence Against Women Act  
JV Transfers  
Fiscal Year 2016**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
216-054	JS2735	11/17/15	\$ 1,829.72	12-WF-Admin
216-124	JS6038	05/16/16	\$ 696.93	12-WF-Admin
R16021	JM0502	08/19/15	\$ 75.00	11-WF-Admin
R16064	JS2972	12/04/15	\$ 7,221.00	11-WF-10/33
R16085	JS3604	01/13/16	\$ 6,600.00	12-WF-07/22
R16107	JS4567	03/01/16	\$ 824.00	12-WF-07/24
R16146	JS6308	06/01/16	\$ 36,700.00	13-WF-09/5
			<b>\$ 53,946.65</b>	

**S-14-213-N Violence Against Women Act**  
**JV Transfers**  
**Fiscal Year 2015**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
S-042	JS3151	12/04/14	\$ (13.00)	10-WF-02/27
215-059	JS2794	11/18/14	\$ 2,307.00	11-WF-Admin
215-083	JS4305	02/02/15	\$ 1,087.00	11-WF-Admin
215-098	JS4724	02/19/15	\$ 331.98	11-WF-Admin
R15024	JS1050	09/02/14	\$ 3,300.00	12-WF-07/6
R15043	JM1221	09/30/14	\$ 37.50	11-WF-Admin
R15045	JS1790	09/30/14	\$ 1,650.00	12-WF-07/7
R15075	JS3425	12/16/14	\$ 1,237.00	12-WF-07/9
R15086	JS4301	02/02/15	\$ 4,528.00	12-WF-07/10
R15098	JS4712	02/19/15	\$ 6,825.00	12-WF-07/11
R15109	JS5178	03/17/15	\$ 1,238.00	12-WF-07/12
R15118	JS5473	03/31/15	\$ 1,237.00	12-WF-07/13
R15142	JS6708	06/01/15	\$ 2,285.00	12-WF-07/14
R15142	JS6708	06/01/15	\$ 271.00	12-WF-07/15
			<b>\$ 26,321.48</b>	

**S-14-213-N Violence Against Women Act  
JV Transfers  
Fiscal Year 2014**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
214-019	JM1145	10/03/13	\$ 856.36	09-WF-Admin
214-040	JS2879	11/18/13	\$ 2,230.00	10-WF-Admin
214-046	JS3333	12/17/13	\$ 938.00	10-WF-Admin
R14047	JM1056	09/19/13	\$ 26.25	10-WF-Admin
R14069	JM1827	10/30/13	\$ 75.00	10-WF-Admin
R14099	JS3559	01/02/14	\$ 36,654.00	11-WF-10/10
R14117	JS4354	02/14/14	\$ 2,365.00	11-WF-10/11
R14173	JS7261	07/03/14	\$ 17,305.00	10-WF-02/27
R14173	JS7261	07/03/14	\$ 8,312.00	12-WF-07/4
			<b>\$ 68,761.61</b>	

**S-13-213-N Violence Against Women Act  
JV Transfers  
Fiscal Year 2013**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
Fiscal	JM6071	05/31/13	\$ 630.16	09-WF-Admin
213-048	JS3493	12/03/12	\$ 1,000.00	09-WF-Admin
213-057	JS4162	01/16/13	\$ 875.00	09-WF-Admin
PM2102	JM6228	06/17/13	\$ 1,352.15	09-WF-Admin
R13009	JM0190	07/30/12	\$ 59.02	09-WF-Admin
R13013	JM0175	07/30/12	\$ 37.50	09-WF-Admin
R13147	JM1397	10/02/12	\$ 206.57	09-WF-Admin
R13163	JS3025	11/01/12	\$ 16,932.00	10-WF-02/7
R13224	JM4483	03/15/13	\$ 786.90	09-WF-Admin
R13249	JS5945	05/02/13	\$ 1,588.00	09-WF-04/27
R13266	JS6434	05/31/13	\$ 6,358.00	09-WF-04/28
			<b>\$ 29,825.30</b>	

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: Residential Substance Abuse Treatment  
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968 (RSAT)

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (N)  
 Appropriation Acct. No.: S-13-214/S-14-214

**Intended Purpose:**

To assist states to develop and implement residential substance abuse treatment programs in correctional facilities.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

Funds are subcontracted to the Department of Public Safety for the "Project Bridge Expansion" project. The project's goals are to decrease the rate of recidivism and parole violations and to reduce the rate of relapse into drug or alcohol use among incarcerated individuals.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 327,701.00	\$ 75,305.43	\$ 73,922.44	\$ 309,735.13	\$ 174,637	\$ 7,938	
Beginning Cash Balance	\$ -	\$ -	\$ -	\$ -	\$ -		
Revenues	\$ 71,620.94	\$ 75,305.43	\$ 73,922.44	\$ 135,098.81	\$ 174,637	\$ 7,938	
Expenditures	\$ 2,182.94	\$ 6,065.43	\$ 3,422.44	\$ 2,351.20	\$ -	\$ -	
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers	\$ (69,438.00)	\$ (69,240.00)	\$ (70,500.00)	\$ (132,747.61)	\$ (174,637)	\$ (7,938)	
Net Total Transfers	\$ (69,438.00)	\$ (69,240.00)	\$ (70,500.00)	\$ (132,747.61)	\$ (174,637)	\$ (7,938)	
Ending Cash Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Encumbrances	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Unencumbered Cash Balance	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2014 Residential Substance Abuse Treatment  
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968 (RSAT)

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (N)  
 Appropriation Acct. No. S-15-214

**Intended Purpose:**

To assist states to develop and implement residential substance abuse treatment programs in correctional facilities.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

Funds will be subcontracted to the Department of Public Safety to provide substance abuse treatment reentry services to eligible male and female offenders nearing their release from incarceration. Services will assist in facilitating successful reentry into the community and to reduce recidivism. Funds will support key staff positions and program treatments efforts of the Bridge Program.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 53,982.00	\$ 53,982		
Beginning Cash Balance					\$ -		
Revenues				\$ -	\$ 53,982		
Expenditures				\$ -	\$ -		
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers				\$ -	\$ (53,982)		
Net Total Transfers				\$ -	\$ (53,982)		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2014 Residential Substance Abuse Treatment  
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968 (RSAT)

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (N)  
 Appropriation Acct. No. S-16-214

**Intended Purpose:**

To assist states to develop and implement residential substance abuse treatment programs in correctional facilities.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

Funds will be subcontracted to the Department of Public Safety to provide substance abuse treatment reentry services to eligible male and female offenders nearing their release from incarceration. Services will assist in facilitating successful reentry into the community and to reduce recidivism. Funds will support key staff positions and program treatments efforts of the Bridge Program.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 54,181.00	\$ 54,181		
Beginning Cash Balance				\$ -	\$ -		
Revenues				\$ -	\$ 54,181		
Expenditures				\$ -	\$ -		
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers				\$ -	\$ (54,181)		
Net Total Transfers				\$ -	\$ (54,181)		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2014 Residential Substance Abuse Treatment  
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968 (RSAT)

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (N)  
 Appropriation Acct. No.: S-17-214

**Intended Purpose:**

To assist states to develop and implement residential substance abuse treatment programs in correctional facilities.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

Funds will be subcontracted to the Department of Public Safety to provide substance abuse treatment reentry services to eligible male and female offenders nearing their release from incarceration. Services will assist in facilitating successful reentry into the community and to reduce recidivism. Funds will support key staff positions and program treatments efforts of the Bridge Program.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling						\$ 63,530	\$ 63,530
Beginning Cash Balance							
Revenues						\$ 63,530	\$ 63,530
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers						\$ (63,530)	\$ (63,530)
						\$ (63,530)	\$ (63,530)
Net Total Transfers						\$ -	\$ -
Ending Cash Balance						\$ -	\$ -
Encumbrances						\$ -	\$ -
Unencumbered Cash Balance						\$ -	\$ -

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-14-214-N RSAT  
JV Transfers  
Fiscal Year 2016**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
JV16246	JS3104	12/07/15	\$ (304.29)	10-RT-01/29
216-096	JS4397	02/17/16	\$ 322.00	11-RT-Admin
R16011	JS0386	07/30/15	\$ 10,000.00	10-RT-01/25
R16028	JS1065	09/01/15	\$ 7,500.00	10-RT-01/26
R16041	JS1674	09/29/15	\$ 7,500.00	10-RT-01/27
R16041	JS1674	09/29/15	\$ 2,000.00	11-RT-01/2
R16054	JS2391	10/30/15	\$ 15,500.00	10-RT-01/28
R16054	JS2391	10/30/15	\$ 8,000.00	11-RT-01/3
R16064	JS2972	12/04/15	\$ 21,100.00	11-RT-01/4
R16065	JS2973	12/01/15	\$ 529.90	10-RT-01/29
R16082	JS3499	01/04/16	\$ 10,000.00	11-RT-01/5
R16093	JS4029	02/01/16	\$ 8,000.00	11-RT-01/6
R16107	JS4567	03/01/16	\$ 5,000.00	11-RT-01/7
R16120	JS5099	03/31/16	\$ 5,000.00	11-RT-01/8
R16134	JS5756	04/29/16	\$ 8,000.00	11-RT-01/9
R16146	JS6308	06/01/16	\$ 8,000.00	11-RT-01/10
R16162	JS7026	06/29/16	\$ 16,600.00	11-RT-01/11
			<b>\$ 132,747.61</b>	

**S-14-214-N RSAT  
JV Transfers  
Fiscal Year 2015**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
JV15320	JS4487	02/17/15	\$ (7,500.00)	10-RT-01/16
R15020	JS0843	09/02/14	\$ 5,000.00	10-RT-01/13
R15024	JS1050	09/02/14	\$ 5,000.00	10-RT-01/14
R15046	JS1921	10/06/14	\$ 21,000.00	10-RT-01/15
R15058	JS2535	10/31/14	\$ 15,000.00	10-RT-01/16
R15118	JS5473	03/31/15	\$ 5,000.00	10-RT-01/21
R15132	JS6087	04/29/15	\$ 8,000.00	10-RT-01/22
R15142	JS6708	06/01/15	\$ 8,500.00	10-RT-01/23
R15156	JS7372	06/30/15	\$ 10,500.00	10-RT-01/24
			<b>\$ 70,500.00</b>	

**S-14-214-N RSAT  
JV Transfers  
Fiscal Year 2014**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
214-040	JS2879	11/18/13	\$ 412.00	10-RT-Admin
R14019	JS0438	08/02/13	\$ 6,426.00	09-RT-01/13
R14041	JS1145	09/03/13	\$ 5,250.00	09-RT-01/14
R14055	JS1881	10/02/13	\$ 8,602.00	09-RT-01/15
R14055	JS1881	10/02/13	\$ 13,050.00	10-RT-01/3
R14117	JS4354	02/14/14	\$ 7,500.00	10-RT-01/7
R14126	JS4591	02/28/14	\$ 8,000.00	10-RT-01/8
R14138	JS5189	04/01/14	\$ 5,000.00	10-RT-01/9
R14148	JS5898	05/01/14	\$ 5,000.00	10-RT-01/10
R14161	JS6406	05/30/14	\$ 5,000.00	10-RT-01/11
R14173	JS7261	07/03/14	\$ 5,000.00	10-RT-01/12
			<b>\$ 69,240.00</b>	

**S-13-214-N RSAT  
JV Transfers  
Fiscal Year 2013**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
R13019	JM0587	08/14/12	\$ 6,000.00	08-RT-01/21
R13127	JS0904	08/29/12	\$ 21,738.00	08-RT-01/22
R13146	JS1520	10/02/12	\$ 6,500.00	09-RT-01/3
R13163	JS3025	11/01/12	\$ 7,600.00	09-RT-01/4
R13178	JS3492	12/03/12	\$ 4,200.00	09-RT-01/5
R13206	JS4370	01/30/13	\$ 4,200.00	09-RT-01/7
R13219	JS4902	03/01/13	\$ 4,200.00	09-RT-01/8
R13230	JS5437	04/03/13	\$ 4,200.00	09-RT-01/9
R13249	JS5945	05/02/13	\$ 6,600.00	09-RT-01/10
R13266	JS6434	05/31/13	\$ 4,200.00	09-RT-01/11
			<b>\$ 69,438.00</b>	

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: Sex Assault Services Program  
 Legal Authority: P.L. 109-162 VAWA 2005 42 U.S.C. Section 14043G

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (P)  
 Appropriation Acct. No.: S-13-216/S-14-216

**Intended Purpose:**

To provide intervention, advocacy, and accompaniment (e.g., accompanying victims to courts, medical facilities, police departments, etc.), support services, and related assistance for adult, youth, and child victims of sexual assault, family and household members of victims, and those collaterally affected by sexual assault.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ended 7/31/2015.

**Current Program Activities/Allowable Expenses:**

By statute, funds under the SASP formula grant program may be used to support the establishment, maintenance, and expansion of rape crisis centers and other programs and projects to assist those victimized by sexual assault.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 156,791.00	\$ 197,904.00	\$ 360,627.00	\$ 122,066.35			
Beginning Cash Balance	\$ 90.80	\$ -	\$ -	\$ -			
Revenues	\$ 140,009.35	\$ 197,904.00	\$ 248,763.65	\$ 94,337.00			
Expenditures	\$ 139,903.42	\$ 197,904.00	\$ 248,763.65	\$ 94,337.00			
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers	\$ (196.73)		\$ -	\$ -			
Net Total Transfers	\$ (196.73)	\$ -	\$ -	\$ -			
Ending Cash Balance	\$ -	\$ -	\$ -	\$ -			
Encumbrances	\$ -	\$ -	\$ -	\$ -			
Unencumbered Cash Balance	\$ -	\$ -	\$ -	\$ -			

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2014 Sex Assault Services Program  
 Legal Authority: P.L. 109-162 VAWA 2005 42 U.S.C. Section 14043G

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (P)  
 Appropriation Acct. No. S-15-216

**Intended Purpose:**

To provide intervention, advocacy, and accompaniment (e.g., accompanying victims to courts, medical facilities, police departments, etc.), support services, and related assistance for adult, youth, and child victims of sexual assault, family and household members of victims, and those collaterally affected by sexual assault.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ended 7/31/2016.

**Current Program Activities/Allowable Expenses:**

By statute, funds under the SASP formula grant program may be used to support the establishment, maintenance, and expansion of rape crisis centers and other programs and projects to assist those victimized by sexual assault.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 278,648.00	\$ 62,251		
Beginning Cash Balance				\$ -	\$ -		
Revenues				\$ 216,397.00	\$ 62,251		
Expenditures				\$ 216,397.00	\$ 62,251		
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -		
Net Total Transfers				\$ -	\$ -		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2015 Sex Assault Services Program  
 Legal Authority: P.L. 109-162 VAWA 2005 42 U.S.C. Section 14043G

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (N)  
 Appropriation Acct. No. S-16-216

**Intended Purpose:**

To provide intervention, advocacy, and accompaniment (e.g., accompanying victims to courts, medical facilities, police departments, etc.), support services, and related assistance for adult, youth, and child victims of sexual assault, family and household members of victims, and those collaterally affected by sexual assault.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Office on Violence Against Women.

**Current Program Activities/Allowable Expenses:**

By statute, funds under the SASP formula grant program may be used to support the establishment, maintenance, and expansion of rape crisis centers and other programs and projects to assist those victimized by sexual assault.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 308,254.00	\$ 308,254		
Beginning Cash Balance				\$ -	\$ -		
Revenues				\$ -	\$ 308,254		
Expenditures				\$ -	\$ 308,254		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -		
Net Total Transfers				\$ -	\$ -		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2015 Sex Assault Services Program  
 Legal Authority: P.L. 109-162 VAWA 2005 42 U.S.C. Section 14043G

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (N)  
 Appropriation Acct. No.: S-17-216

**Intended Purpose:**

To provide intervention, advocacy, and accompaniment (e.g., accompanying victims to courts, medical facilities, police departments, etc.), support services, and related assistance for adult, youth, and child victims of sexual assault, family and household members of victims, and those collaterally affected by sexual assault.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Office on Violence Against Women.

**Current Program Activities/Allowable Expenses:**

By statute, funds under the SASP formula grant program may be used to support the establishment, maintenance, and expansion of rape crisis centers and other programs and projects to assist those victimized by sexual assault.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling						\$ 359,646	\$ 359,646
Beginning Cash Balance						\$ -	\$ -
Revenues						\$ 359,646	\$ 359,646
Expenditures						\$ 359,646	\$ 359,646
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
						\$ -	\$ -
Net Total Transfers						\$ -	\$ -
Ending Cash Balance						\$ -	\$ -
Encumbrances						\$ -	\$ -
Unencumbered Cash Balance						\$ -	\$ -

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-13-216-N SASP**  
**JV Transfers**  
**Fiscal Year 2013**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
R13009	JM0190	07/30/12	\$ 104.92	11-KF-Admin
R13147	JM1397	10/02/12	\$ 91.81	11-KF-Admin
			<b>\$ 196.73</b>	

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: Coverdell National Forensic Science Improvement Act  
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968, Section 201,  
 as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (P)  
 Appropriation Acct. No. S-13-228/S-14-228

**Intended Purpose:**

To improve the quality, timeliness, and credibility of forensic laboratories for criminal justice purposes.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 11/30/2015.

**Current Program Activities/Allowable Expenses:**

Projects that are currently being funded include providing training opportunities to scientific personnel at the Honolulu Police Department and other law enforcement agencies in the state and improving and maintaining forensic science services at the Hawaii Police Department through training for five Hawaii Police Department laboratory personnel and in-house service training for Hawaii Police Department laboratory staff and detectives.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 102,774.00	\$ 147,437.41	\$ 58,493.00	\$ 17,119.08			
Beginning Cash Balance	\$ 11,302.05	\$ 2,555.07	\$ -	\$ 2,076.32			
Revenues	\$ 241,565.63	\$ 144,882.34	\$ 60,568.83	\$ 11,134.16			
Expenditures	\$ 120,706.17	\$ 110,046.09	\$ 49,970.60	\$ 13,210.48			
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers	\$ (129,606.44)	\$ (37,391.32)	\$ (8,521.91)	\$ (99.27)			
Cash transfers				\$ 99.27			
Net Total Transfers	\$ (129,606.44)	\$ (37,391.32)	\$ (8,521.91)	\$ -			
Ending Cash Balance	\$ 2,555.07	\$ -	\$ 2,076.32	\$ -			
Encumbrances	\$ -	\$ -	\$ -	\$ -			
Unencumbered Cash Balance	\$ 2,555.07	\$ -	\$ 2,076.32	\$ -			

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2014 Coverdell National Forensic Science Improvement Act  
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968, Section 201,  
 as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (P)  
 Appropriation Acct. No. S-15-228

**Intended Purpose:**

To improve the quality, timeliness, and credibility of forensic laboratories for criminal justice purposes.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 5/31/2016.

**Current Program Activities/Allowable Expenses:**

Projects to be funded include the Department of Public Safety Narcotics Enforcement Division -- Progression of the NED Forensic Laboratory and the Hawaii Police Department -- Increasing Quality Forensic Services.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 15,757.00	\$ 61,885.54	\$ 6,596		
Beginning Cash Balance			\$ -	\$ 99.27	\$ -		
Revenues			\$ 7,595.73	\$ 55,289.41	\$ -		
Expenditures			\$ 5,496.46	\$ 26,302.68	\$ -		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Dept. #R15156, Comp #JS7372, 6/30/15			\$ (2,000.00)				
JV Transfers				\$ (28,986.73)			
Cash Transfers				\$ (99.27)			
Net Total Transfers			\$ (2,000.00)	\$ (29,086.00)	\$ -		
Ending Cash Balance			\$ 99.27	\$ -	\$ -		
Encumbrances			\$ -	\$ -	\$ -		
Unencumbered Cash Balance			\$ 99.27	\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2015 Coverdell National Forensic Science Improvement Act  
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968, Section 201,  
as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (N)  
 Appropriation Acct. No. S-16-228

**Intended Purpose:**

To improve the quality, timeliness, and credibility of forensic laboratories for criminal justice purposes.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

Projects to be funded include the purchase of portable, field presumptive gunshot residue and explosives detection system for the Maui Police Department, ensuring quality forensic services for the Hawaii Police Department, and the SIS continuing education project for the Honolulu Police Department.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable.

**Variations:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 63,705.00	\$ 55,975		
Beginning Cash Balance				\$ -	\$ -		
Revenues				\$ 7,730.11	\$ 55,975		
Expenditures				\$ 7,730.11	\$ 55,975		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -		
Net Total Transfers				\$ -	\$ -		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2015 Coverdell National Forensic Science Improvement Act  
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968, Section 201,  
 as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (N)  
 Appropriation Acct. No. S-17-228

**Intended Purpose:**

To improve the quality, timeliness, and credibility of forensic laboratories for criminal justice purposes.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

Funds have been subgranted to the Department of Public Safety/Narcotics Enforcement Division and the Honolulu Police Department.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling						\$ 63,705	\$ 63,705
Beginning Cash Balance							
Revenues						\$ 63,705	\$ 63,705
Expenditures						\$ 63,705	\$ 63,705
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
						\$ -	\$ -
Net Total Transfers						\$ -	\$ -
Ending Cash Balance						\$ -	\$ -
Encumbrances						\$ -	\$ -
Unencumbered Cash Balance						\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-14-228-N Paul Coverdell National Forensic Act  
JV Transfers  
Fiscal Year 2016**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
Fiscal	JS7360	07/14/16	\$ 0.20	13-CD-Admin
Fiscal	JS7360	07/14/16	\$ 3.36	13-CD-Admin
Fiscal	JS7360	07/14/16	\$ 39.32	13-CD-Admin
Fiscal	JS7360	07/14/16	\$ 2.76	13-CD-Admin
Fiscal	JS7360	07/14/16	\$ 0.96	13-CD-Admin
Fiscal	JS7360	07/14/16	\$ 14.37	13-CD-Admin
Fiscal	JS7360	07/14/16	\$ 0.60	13-CD-Admin
Fiscal	JS7360	07/14/16	\$ 13.58	13-CD-Admin
Fiscal	JS7360	07/14/16	\$ 24.12	13-CD-Admin
			<b>\$ 99.27</b>	

**S-14-228-N Paul Coverdell National Forensic Act  
Cash Transfers into the Fund  
Fiscal Year 2016**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
Fiscal	JS7361	07/15/16	\$ 99.27	13-CD-Admin
			<b>\$ 99.27</b>	

**S-14-228-N Paul Coverdell National Forensic Act  
 JV Transfers  
 Fiscal Year 2015**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
JV15340	JS4812	02/27/15	\$ (496.09)	12-CD-01/25
R15046	JS1921	10/06/14	\$ 5,000.00	12-CD-01/23
R15066	JS3115	12/02/14	\$ 4,018.00	12-CD-01/25
			<b>\$ 8,521.91</b>	

**S-14-228-N Paul Coverdell National Forensic Act**  
**JV Transfers**  
**Fiscal Year 2014**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
JV14437	JS6096	05/14/14	\$ (133.68)	11-CD-03
R14033	JS0835	08/19/13	\$ 3,000.00	12-CD-01/09
R14055	JS1881	10/02/13	\$ 5,000.00	11-CD-03/23
R14069	JM1827	10/30/13	\$ 4,000.00	11-CD-03/24
R14101	JS3560	12/30/13	\$ 3,000.00	12-CD-01/14
R14126	JS4591	02/28/14	\$ 6,425.00	11-CD-03/28
R14161	JS6406	05/30/14	\$ 1,100.00	12-CD-01/19
R14173	JS7261	07/03/14	\$ 15,000.00	12-CD-01/20
			<b>\$ 37,391.32</b>	

**S-13-228-N Paul Coverdell National Forensic Act  
JV Transfers  
Fiscal Year 2013**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
JV13384	JS7299	07/10/13	\$ (2,555.07)	09-CD-01
R13011	JS0414	08/01/12	\$ 5,000.00	09-CD-01/25
R13019	JM0587	08/14/12	\$ 50.00	09-CD-Admin
R13127	JS0904	08/29/12	\$ 7,000.00	11-CD-03/10
R13178	JS3492	12/03/12	\$ 4,000.00	09-CD-01/29
R13178	JS3492	12/03/12	\$ 4,500.00	11-CD-03/13
R13193	JS3872	12/28/12	\$ 2,000.00	11-CD-03/14
R13224	JM4483	03/15/13	\$ 52.46	10-CD-Admin
R13251	JS5946	05/02/13	\$ 104,559.05	09-CD-01/34
R13266	JS6434	05/31/13	\$ 5,000.00	11-CD-03/19
			<b>\$ 129,606.44</b>	

**S-15-228-N Paul Coverdell National Forensic Act  
JV Transfers  
Fiscal Year 2016**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
Fiscal	JS7360	07/14/16	\$ (99.27)	14-CD-Admin
R16021	JM0502	08/19/15	\$ 3,000.00	14-CD-01/9
R16054	JS2391	10/30/15	\$ 5,000.00	14-CD-01/12
R16093	JS4029	02/01/16	\$ 15,000.00	14-CD-01/15
R16134	JS5756	04/29/16	\$ 6,086.00	14-CD-01/18
			<b>\$ 28,986.73</b>	

**S-15-228-N Paul Coverdell National Forensic Act  
Cash Transfers out of the Fund  
Fiscal Year 2016**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
Fiscal	JS7361	07/15/16	\$ 99.27	14-CD-Admin
			\$ 99.27	

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: John R. Justice Grant Program  
 Legal Authority: FY 2010 42 USC Section 3797CC-21

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (P)  
 Appropriation Acct. No.: S-13-257/S-14-257

**Intended Purpose:**

Provides loan repayment assistance for local, state, and federal public defenders and local and state prosecutors who commit to continued employment as public defenders and prosecutors for at least three years.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

Applications are solicited from eligible prosecutors and public defenders. The student loan repayment amount for each beneficiary is dependent on the federal award. Funding is allocated equally between prosecutors and public defenders. A Selection Committee of five members ensures a fair distribution of funding to eligible beneficiaries based on geography and population density. Priority consideration is given to those who have the least ability to repay their loans. Grant ended 3/31/2015.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variations:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 100,000.00	\$ 124,909.79	\$ 3,067.21	\$ 2,403.41			
Beginning Cash Balance	\$ -	\$ 916.66	\$ -	\$ -			
Revenues	\$ 111,127.14	\$ 123,993.13	\$ 663.80	\$ -			
Expenditures	\$ 110,210.48	\$ 124,805.34	\$ 663.80	\$ -			
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
		\$ (104.45)	\$ -	\$ -			
Net Total Transfers	\$ -	\$ (104.45)	\$ -	\$ -			
Ending Cash Balance	\$ 916.66	\$ 0	\$ -	\$ -			
Encumbrances	\$ -	\$ -	\$ -	\$ -			
Unencumbered Cash Balance	\$ 916.66	\$ 0.00	\$ -	\$ -			

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2014 John R. Justice Grant Program  
 Legal Authority: FY 2010 42 USC Section 3797CC-21

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (P)  
 Appropriation Acct. No.: S-15-257

**Intended Purpose:**

Provides loan repayment assistance for local, state, and federal public defenders and local and state prosecutors who commit to continued employment as public defenders and prosecutors for at least three years.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 9/30/2016.

**Current Program Activities/Allowable Expenses:**

Applications are solicited from eligible prosecutors and public defenders. The student loan repayment amount for each beneficiary is dependent on the federal award. Funding is allocated equally between prosecutors and public defenders. A Selection Committee of five members ensures a fair distribution of funding to eligible beneficiaries based on geography and population density. Priority consideration is given to those who have the least ability to repay their loans.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variations:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 30,905.00	\$ 478.20	\$ 478		
Beginning Cash Balance			\$ -	\$ -	\$ -		
Revenues			\$ 30,426.80	\$ -	\$ -		
Expenditures			\$ 30,426.80	\$ -	\$ -		
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
			\$ -	\$ -	\$ -		
Net Total Transfers			\$ -	\$ -	\$ -		
Ending Cash Balance			\$ -	\$ -	\$ -		
Encumbrances			\$ -	\$ -	\$ -		
Unencumbered Cash Balance			\$ -	\$ -	\$ -		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2015 John R. Justice Grant Program  
 Legal Authority: FY 2010 42 USC Section 3797CC-21

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (P)  
 Appropriation Acct. No.: S-16-257

**Intended Purpose:**

Provides loan repayment assistance for local, state, and federal public defenders and local and state prosecutors who commit to continued employment as public defenders and prosecutors for at least three years.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 9/30/2016.

**Current Program Activities/Allowable Expenses:**

Applications are solicited from eligible prosecutors and public defenders. The student loan repayment amount for each beneficiary is dependent on the federal award. Funding is allocated equally between prosecutors and public defenders. A Selection Committee of five members ensures a fair distribution of funding to eligible beneficiaries based on geography and population density. Priority consideration is given to those who have the least ability to repay their loans.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 31,216.00	\$ 22		
Beginning Cash Balance				\$ -	\$ -		
Revenues				\$ 31,194.40	\$ 22		
Expenditures				\$ 30,768.00	\$ 22		
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ (426.40)	\$ -		
Net Total Transfers				\$ (426.40)	\$ -		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2016 John R. Justice Grant Program  
 Legal Authority: FY 2010 42 USC Section 3797CC-21

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (P)  
 Appropriation Acct. No.: S-17-257

**Intended Purpose:**

Provides loan repayment assistance for local, state, and federal public defenders and local and state prosecutors who commit to continued employment as public defenders and prosecutors for at least three years.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

Applications are solicited from eligible prosecutors and public defenders. The student loan repayment amount for each beneficiary is dependent on the federal award. Funding is allocated equally between prosecutors and public defenders. A Selection Committee of five members ensures a fair distribution of funding to eligible beneficiaries based on geography and population density. Priority consideration is given to those who have the least ability to repay their loans.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variations:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					\$ 32,515	\$ 32,515	\$ 32,515
Beginning Cash Balance							
Revenues					\$ 32,515	\$ 32,515	\$ 32,515
Expenditures					\$ 32,515	\$ 32,515	\$ 32,515
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
					\$ -	\$ -	\$ -
Net Total Transfers					\$ -	\$ -	\$ -
Ending Cash Balance					\$ -	\$ -	\$ -
Encumbrances					\$ -	\$ -	\$ -
Unencumbered Cash Balance					\$ -	\$ -	\$ -

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-14-257-N Hawaii John R. Justice Grant  
JV Transfers  
Fiscal Year 2014**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
R14167	JM6456	06/18/14	\$ 58.45	13-RJ-Admin
R14167	JM6456	06/18/14	\$ 46.00	13-RJ-Admin
			<b>\$ 104.45</b>	

**S-16-257-N Hawaii John R. Justice Grant  
 JV Transfers  
 Fiscal Year 2016**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
R16123	JM4929	04/15/16	\$ 50.50	15-RJ-Admin
R16123	JM4929	04/15/16	\$ 375.90	15-RJ-Admin
			<b>\$ 426.40</b>	

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: SORNA Reallocation Project  
 Legal Authority: FY 12 42 USC 37050 et seq; 42 USC Sec 16925(c)

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (P)  
 Appropriation Acct. No. S-13-260/S-14-260

**Intended Purpose:**

Reallocated funds from the Edward Byrne Memorial Justice Assistance Grant Program are awarded to states who have not substantially implemented the Sex Offender Registration and Notification Act (SORNA) to assist their state towards SORNA compliance.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 7/31/2016.

**Current Program Activities/Allowable Expenses:**

Funds are subgranted to the Hawaii Criminal Justice Data Center to support their efforts towards Hawaii's SORNA implementation and compliance.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ -	\$ -	\$ -				
Beginning Cash Balance	\$ -	\$ -	\$ -				
Revenues	\$ 54,600.00	\$ -	\$ 68,158.24				
Expenditures	\$ -	\$ -	\$ -				
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfers	\$ (54,600.00)		\$ (68,158.24)				
Net Total Transfers	\$ (54,600.00)	\$ -	\$ (68,158.24)				
Ending Cash Balance	\$ -	\$ -	\$ -				
Encumbrances	\$ -	\$ -	\$ -				
Unencumbered Cash Balance	\$ -	\$ -	\$ -				

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2014 SORNA Reallocation Project  
 Legal Authority: FY 12 42 USC 37050 et seq; 42 USC Sec 16925(c)

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (P)  
 Appropriation Acct. No. S-15-260

**Intended Purpose:**

Reallocated funds from the Edward Byrne Memorial Justice Assistance Grant Program are awarded to states who have not substantially implemented the Sex Offender Registration and Notification Act (SORNA) to assist their state towards SORNA compliance.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 7/31/2016.

**Current Program Activities/Allowable Expenses:**

Funds are subgranted to the Hawaii Criminal Justice Data Center to support their efforts towards Hawaii's SORNA implementation and compliance.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ -	\$ -			
Beginning Cash Balance			\$ -	\$ -			
Revenues			\$ 1,010.76	\$ 38,125.00			
Expenditures			\$ -	\$ -			
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfers			\$ (1,010.76)	\$ (38,125.00)			
Net Total Transfers			\$ (1,010.76)	\$ (38,125.00)			
Ending Cash Balance			\$ -	\$ -			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ -	\$ -			

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2015 SORNA Reallocation Project  
 Legal Authority: FY 12 42 USC 37050 et seq; 42 USC Sec 16925(c)

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (P)  
 Appropriation Acct. No. S-16-260

**Intended Purpose:**

Reallocated funds from the Edward Byrne Memorial Justice Assistance Grant Program are awarded to states who have not substantially implemented the Sex Offender Registration and Notification Act (SORNA) to assist their state towards SORNA compliance.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

Funds are subgranted to the Hawaii Criminal Justice Data Center to support their efforts towards Hawaii's SORNA implementation and compliance.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					\$ -		
Beginning Cash Balance				\$ -	\$ -		
Revenues				\$ 8,000.00	\$ 46,501		
Expenditures				\$ -	\$ -		
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfers				\$ (8,000.00)	\$ (46,501)		
Net Total Transfers				\$ (8,000.00)	\$ (46,501)		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2015 SORNA Reallocation Project  
 Legal Authority: FY 12 42 USC 37050 et seq; 42 USC Sec 16925(c)

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (P)  
 Appropriation Acct. No. S-17-260

**Intended Purpose:**

Reallocated funds from the Edward Byrne Memorial Justice Assistance Grant Program are awarded to states who have not substantially implemented the Sex Offender Registration and Notification Act (SORNA) to assist their state towards SORNA compliance.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

Funds are subgranted to the Hawaii Criminal Justice Data Center to support their efforts towards Hawaii's SORNA implementation and compliance.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling						\$ -	\$ -
Beginning Cash Balance						\$ -	\$ -
Revenues						\$ 50,287	\$ 50,287
Expenditures						\$ -	\$ -
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfers						\$ (50,287)	\$ (50,287)
Net Total Transfers						\$ (50,287)	\$ (50,287)
Ending Cash Balance						\$ -	\$ -
Encumbrances						\$ -	\$ -
Unencumbered Cash Balance						\$ -	\$ -

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-14-260-N SORNA Reallocation Project  
Cash Transfers out of the Funds  
Fiscal Year 2015**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
R15058	JS2535	10/31/14	\$ 42,829.00	12-DS-01/21
R15103	JS4947	03/03/15	\$ 11,070.00	12-DS-01/25
R15118	JS5473	03/31/15	\$ 14,259.24	12-DS-01/26
			<b>\$ 68,158.24</b>	

**S-15-260-N SORNA Reallocation Project  
Cash Transfers out of the Funds  
Fiscal Year 2015**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
R15118	JS5473	03/31/15	\$ 0.76	12-DS-01/26
R15142	JS6708	06/01/15	\$ 253.00	12-DS-01/28
R15156	JS7372	06/30/15	\$ 757.00	12-DS-01/29
			<b>\$ 1,010.76</b>	

**S-15-260-N SORNA Reallocation Project  
Cash Transfers out of the Funds  
Fiscal Year 2016**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
R16093	JS4029	02/01/16	\$ 1,050.00	12-DS-01/36
R16107	JS4567	03/01/16	\$ 655.00	12-DS-01/37
R16120	JS5099	03/31/16	\$ 420.00	12-DS-01/38
R16162	JS7026	06/29/16	\$ 36,000.00	12-DS-01/41
			<b>\$ 38,125.00</b>	

**S-16-260-N SORNA Reallocation Project  
Cash Transfers out of the Funds  
Fiscal Year 2016**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
R16134	JS5756	04/29/16	\$ 6,000.00	15-DS-01/3
R16162	JS7026	06/29/16	\$ 2,000.00	15-DS-01/5
			<b>\$ 8,000.00</b>	

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: Hawaii HIDTA Award  
 Legal Authority: Public Law 113-6

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (P)  
 Appropriation Acct. No.: S-15-504

**Intended Purpose:**

To reduce drug trafficking and drug production in the United States by: 1) facilitating cooperation among federal, state, local, and tribal law enforcement agencies to share information and implement coordinated enforcement activities; 2) enhancing law enforcement intelligence sharing among agencies; 3) providing reliable law enforcement intelligence needed to design effective enforcement strategies and operations; and 4) supporting coordinated law enforcement strategies which maximize use of available resources to reduce the supply of illegal drugs into HIDTA designated areas. Hawaii has been a HIDTA designated area since 1999.

**Source of Revenues:**

Federal grant received from the Executive Office of the President Office of National Drug Control Policy. Grant ended 12/31/2015.

**Current Program Activities/Allowable Expenses:**

Funds will be used to support an Employer of Record Services contract for Hawaii HIDTA administrative staff.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 278,419.00	\$ 56,822.00			
Beginning Cash Balance			\$ -				
Revenues			\$ 221,597.00	\$ -			
Expenditures			\$ 221,597.00	\$ -			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			\$ -	\$ -			
Ending Cash Balance			\$ -	\$ -			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: Hawaii HIDTA Award  
 Legal Authority: Public Law 113-6

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (P)  
 Appropriation Acct. No.: S-15-262

**Intended Purpose:**

To reduce drug trafficking and drug production in the United States by: 1) facilitating cooperation among federal, state, local, and tribal law enforcement agencies to share information and implement coordinated enforcement activities; 2) enhancing law enforcement intelligence sharing among agencies; 3) providing reliable law enforcement intelligence needed to design effective enforcement strategies and operations; and 4) supporting coordinated law enforcement strategies which maximize use of available resources to reduce the supply of illegal drugs into HIDTA designated areas. Hawaii has been a HIDTA designated area since 1999.

**Source of Revenues:**

Federal grant received from the Executive Office of the President Office of National Drug Control Policy. Grant ended 12/31/2015.

**Current Program Activities/Allowable Expenses:**

Funds will be used to support an Employer of Record Services contract for Hawaii HIDTA administrative staff and a prevention initiative.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 212,000.00	\$ 782,049.00			
Beginning Cash Balance			\$ -	\$ -			
Revenues			\$ 209,701.00	\$ 339,455.78			
Expenditures			\$ 209,701.00	\$ 331,505.34			
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers				\$ (7,950.44)			
Net Total Transfers			\$ -	\$ (7,950.44)			
Ending Cash Balance			\$ -	\$ -			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ -	\$ -			

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: Hawaii HIDTA Award  
 Legal Authority: Public Law 113-6

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF): Federal funds (P)  
 Appropriation Acct. No.: S-16-262

**Intended Purpose:**

To reduce drug trafficking and drug production in the United States by: 1) facilitating cooperation among federal, state, local, and tribal law enforcement agencies to share information and implement coordinated enforcement activities; 2) enhancing law enforcement intelligence sharing among agencies; 3) providing reliable law enforcement intelligence needed to design effective enforcement strategies and operations; and 4) supporting coordinated law enforcement strategies which maximize use of available resources to reduce the supply of illegal drugs into HIDTA designated areas. Hawaii has been a HIDTA designated area since 1999.

**Source of Revenues:**

Federal grant received from the Executive Office of the President Office of National Drug Control Policy. Grant ends 12/31/2016.

**Current Program Activities/Allowable Expenses:**

Funds will be used to support an Employer of Record Services contract for Hawaii HIDTA administrative staff and a prevention initiative.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 690,900.00	\$ 303,123	\$ 991,750	\$ 992,000
Beginning Cash Balance				\$ -	\$ 7	\$ 0	\$ 0
Revenues				\$ 387,784.24	\$ 303,124	\$ 991,750	\$ 992,000
Expenditures				\$ 383,914.60	\$ 303,131	\$ 991,750	\$ 992,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers				\$ (3,862.20)	\$ -	\$ -	\$ -
Net Total Transfers				\$ (3,862.20)	\$ -	\$ -	\$ -
Ending Cash Balance				\$ 7.44	\$ 0	\$ 0	\$ 0
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ 7.44	\$ 0	\$ 0	\$ 0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-15-262-N High Intensity Drug Trafficking Areas (HIDTA) Program  
JV Transfers  
Fiscal Year 2016**

<b>Dept. No.</b>	<b>Comptroller's No.</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
R16068	JM2442	12/04/15	\$ 22.55	G14HI0007A
R16068	JM2442	12/04/15	\$ 125.90	G14HI0007A
R16068	JM2442	12/04/15	\$ 510.27	G14HI0007A
R16068	JM2442	12/04/15	\$ 631.52	G14HI0007A
R16068	JM2442	12/04/15	\$ 1,645.90	G14HI0007A
R16068	JM2442	12/04/15	\$ 5,014.30	G14HI0007A
			<b>\$ 7,950.44</b>	

**S-16-262-N High Intensity Drug Trafficking Areas (HIDTA) Program  
JV Transfers  
Fiscal Year 2016**

<b>Dept. No.</b>	<b>Comptroller's</b>	<b>JV Date</b>	<b>Amount</b>	<b>Description/Project</b>
R16116	JM4284	03/17/16	\$ 427.23	G15HI0007A
R16116	JM4284	03/17/16	\$ 583.60	G15HI0007A
R16128	JM5260	04/29/16	\$ 54.00	G15HI0007A
R16128	JM5260	04/29/16	\$ 60.00	G15HI0007A
R16128	JM5260	04/29/16	\$ 151.50	G15HI0007A
R16128	JM5260	04/29/16	\$ 1,155.26	G15HI0007A
R16142	JM5700	05/19/16	\$ 60.00	G15HI0007A
R16142	JM5700	05/19/16	\$ 868.80	G15HI0007A
R16163	JM6483	06/28/16	\$ 36.00	G15HI0007A
R16163	JM6483	06/28/16	\$ 80.00	G15HI0007A
R16163	JM6483	06/28/16	\$ 103.00	G15HI0007A
R16163	JM6483	06/28/16	\$ 282.81	G15HI0007A
			<b>\$ 3,862.20</b>	

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2014 PREA Reallocation Funds  
 Legal Authority: FY 14 (BJA-PREA Reallocation) 42 USC 3750  
et seq.; 42 USC 15607(c)

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (P)  
 Appropriation Acct. No. S-15-505

**Intended Purpose:**

Reallocation of penalized funds from the FY 2014 Edward Byrne Memorial Justice Assistance Grant (JAG) Program - State Formula Funds. Funds are to be used to assist Hawaii to achieve full compliance with the Prison Rape Elimination Act (PREA).

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

Funds will be subgranted to the Department of Public Safety to support their efforts towards Hawaii's PREA compliance. Grant ended 9/30/2015.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 15,000.00	\$ 11,344.00			
Beginning Cash Balance			\$ -	\$ -			
Revenues			\$ 15,000.00	\$ 11,344.00			
Expenditures			\$ -	\$ -			
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Dept. #R15149, Comp #JS6992, 6/16/15			\$ (15,000.00)				
Dept. #R16011, Comp #JS0386, 7/30/15				\$ (11,344.00)			
Net Total Transfers			\$ (15,000.00)	\$ (11,344.00)			
Ending Cash Balance			\$ -	\$ -			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ -	\$ -			

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2015 PREA Reallocation Funds  
 Legal Authority: FY 14 (BJA-PREA Reallocation) 42 USC 3750  
et seq.; 42 USC 15607(c)

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (P)  
 Appropriation Acct. No. S-16-505

**Intended Purpose:**

Reallocation of penalized funds from the FY 2015 Edward Byrne Memorial Justice Assistance Grant (JAG) Program - State Formula Funds. Funds are to be used to assist Hawaii to achieve full compliance with the Prison Rape Elimination Act (PREA).

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

Funds will be subgranted to the Department of Public Safety to support their efforts towards Hawaii's PREA compliance.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 23,251.00	\$ 23,251		
Beginning Cash Balance				\$ -	\$ -		
Revenues				\$ -	\$ 23,251		
Expenditures				\$ -			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers				\$ -	\$ (23,251)		
Net Total Transfers				\$ -	\$ (23,251)		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2016 PREA Reallocation Funds  
 Legal Authority: FY 14 (BJA-PREA Reallocation) 42 USC 3750  
et seq.; 42 USC 15607(c)

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (P)  
 Appropriation Acct. No. S-17-505

**Intended Purpose:**

Reallocation of penalized funds from the FY 2016 Edward Byrne Memorial Justice Assistance Grant (JAG) Program - State Formula Funds. Funds are to be used to assist Hawaii to achieve full compliance with the Prison Rape Elimination Act (PREA).

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

**Current Program Activities/Allowable Expenses:**

Funds will be subgranted to the Department of Public Safety to support their efforts towards Hawaii's PREA compliance.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling						\$ 25,143	\$ 25,143
Beginning Cash Balance							
Revenues						\$ 25,143	\$ 25,143
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers						\$ (25,143)	\$ (25,143)
Net Total Transfers						\$ (25,143)	\$ (25,143)
Ending Cash Balance						\$ -	\$ -
Encumbrances						\$ -	\$ -
Unencumbered Cash Balance						\$ -	\$ -

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: FY 2015 VOCA VA Training Grant  
 Legal Authority: PL 98-473 Victims of Crime Act of 1984;  
42 USC 10603(a) & (b) and 42 USC 1063(c)(1)(A)

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Federal funds (P)  
 Appropriation Acct. No. S-16-509

**Intended Purpose:**

The purpose of this grant is to provide each state and territory with funding to support training and technical assistance for victim assistance grantees and others who work with crime victims.

**Source of Revenues:**

Federal formula grant received from the Department of Justice, Office for Victims of Crime.

**Current Program Activities/Allowable Expenses:**

Conduct a comprehensive training needs assessment with key stakeholders; develop a strategic plan for training state victim assistance providers and others who work with crime victims; provide training and technical assistance; and develop a system for receiving, tracking and responding to requests for training and technical assistance, including an evaluation process that allows the Department and OVC to assess user satisfaction of services.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variations:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 147,757.00	\$ 147,757		
Beginning Cash Balance				\$ -	\$ -		
Revenues				\$ -	\$ 147,757		
Expenditures				\$ -	\$ 147,757		
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -		
Net Total Transfers				\$ -	\$ -		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG 100 AC  
 Name of Fund: Federal Community Restitution  
 Legal Authority: Federal Title II Mandatory Victims Restitution Act of the  
Anti-Terrorism and Effective Death Penalty Act of 1996

Contact Name: Amy Tatsuno  
 Phone: 586-1152  
 Fund type (MOF) Trust Fund (T)  
 Appropriation Acct. No. T-912

**Intended Purpose:**

To support community efforts for a safe and drug free environment and to prevent further drug-related crimes from occurring.

**Source of Revenues:**

Federal community restitution for certain drug offenses in which there is no identifiable victim; 65% is distributed to the state entity that administers the crime victim assistance grant. CPJAD administers the crime victim assistance grant in Hawaii. It is difficult to predict the amount of restitution that will be received in future years.

**Current Program Activities/Allowable Expenses:**

Crime prevention efforts, including training and public awareness, to reduce drug crimes and to restore communities impacted by drug dealing and use.

**Purpose of Proposed Ceiling Increase (if applicable):** Not applicable

**Variations:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Beginning Cash Balance	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,356	\$ 3,356	\$ 3,356
Revenues	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Expenditures	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Cash Balance	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,356	\$ 3,356	\$ 3,356
Encumbrances	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,356	\$ 3,356	\$ 3,356

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG-231  
 Name of Fund: NCHIP 2013 and prior  
 Legal Authority: N/A

Contact Name: Liane Moriyama  
 Phone: 587-3110  
 Fund type (MOF) Federal - P  
 Appropriation Acct. No. S-14-210-N

Intended Purpose:

The funds appropriated under this account are those awarded to the State through the National Criminal History Improvement Program (NCHIP). The NCHIP grant program implements the provisions of the Brady Handgun Violence Prevention Act, the National Child Protection Act of 1993, and the 1994 Crime Bill. The program is administered by the U.S. Department of Justice, Bureau of Justice Statistics. This program is continuing under the

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

The goals under NCHIP/CITA continue to be the improvement of the states' criminal history systems, implement new information exchanges with other agency systems, and increase the availability of state information to national systems. Activities planned under NCHIP/CITA are to provide electronic delivery of incident report data from the police departments to the appropriate criminal justice and public safety agencies; to share firearms registration data and other criminal justice case information statewide via the HIJIS broker; to make the necessary modifications to the Automated Fingerprint Identification System (AFIS) to participate in Rap Back; to provide electronic delivery of prosecutor charge filing data to the Judiciary; to continue performing audit and validation tasks for the National Protection Order File and National Sex Offender Registry.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	648,016	697,972	1,242,840	1,074,654	975,698		
Beginning Cash Balance	91,297	445	42,573	45,325	32,971	0	0
Revenues	97,596	170,185	170,937	86,602	271,000		
Expenditures	188,448	128,057	168,185	98,956	303,971		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	445	42,573	45,325	32,971	0	0	0
Encumbrances		53,902	422,792				
Unencumbered Cash Balance	445	(11,329)	(377,467)	32,971	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG-231  
 Name of Fund: COPS Technology Grant 2009 and 2010  
 Legal Authority: N/A

Contact Name: Liane Moriyama  
 Phone: 587-3110  
 Fund type (MOF) Federal - P  
 Appropriation Acct. No. S-14-221-N

**Intended Purpose:**

The Community Oriented Policing Services (COPS) Technology Program provides funding to state, local, and tribal law enforcement agencies and other public and private entities to hire and train community policing professionals, acquire and deploy cutting-edge crime-fighting technologies, and develop and test innovative policing strategies.

**Source of Revenues:**

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

**Current Program Activities/Allowable Expenses:**

The major activity funded under this program is the Hawaii Integrated Justice Information Sharing (HIJIS) program. Activities planned for this FY are the continued funding of staff assigned to the HIJIS program; membership and participation in the Open Justice Broker Consortium; CJIS-Hawaii integration, enhancements, and new interface development; provide assistance to the HIJIS partner agencies on development of pilot information exchanges.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,177,732	1,790,406	872,532	643,480	438,551		
Beginning Cash Balance	31,395	13,270	111,810	34,977	22,756	0	0
Revenues	803,068	433,906	229,169	192,708	201,000		
Expenditures	821,193	335,366	306,002	204,929	223,756		
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	13,270	111,810	34,977	22,756	0	0	0
Encumbrances	158,681	206,080	158,762				
Unencumbered Cash Balance	(145,411)	(94,270)	(123,785)	22,756	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: ATG  
 Prog ID(s): ATG-231  
 Name of Fund: SMART Grant 2011 and 2013  
 Legal Authority: N/A

Contact Name: Liane Moriyama  
 Phone: 587-3110  
 Fund type (MOF): Federal - P  
 Appropriation Acct. No.: S-14-244-N

**Intended Purpose:**

The Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking (SMART) Office support for Adam Walsh Implementation Grant Program assists state, local, and tribal jurisdictions with developing and/or enhancing programs designed to implement the Sex Offender Registration and Notification Act (SORNA) under the Adam Walsh Act (AWA).

**Source of Revenues:**

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

**Current Program Activities/Allowable Expenses:**

Activities planned are continued funding of positions assigned to the Sex Offender Registration Unit, Criminal Justice Division and Investigations Division; procure services to assist in obtaining certified court documents on registrants; procure services for development of an on-line application that registrants can use to update their registration information.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	460,224	580,244	501,292	93,014	64,217		
Beginning Cash Balance	34,157	575	22,119	10,287	15,587	0	0
Revenues	166,916	366,983	451,006	34,097	0		
Expenditures	191,710	345,439	462,838	28,797	15,587		
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
	(8,788)						
Net Total Transfers	(8,788)	0	0	0	0	0	0
Ending Cash Balance	575	22,119	10,287	15,587	0	0	0
Encumbrances		64,551					
Unencumbered Cash Balance	575	(42,432)	10,287	15,587	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Business, Economic Development and Tourism  
 Prog ID(s): BED 100/SM  
 Name of Fund: Hawaii Community-Based Economic Development Revolving Fund  
 Legal Authority: Chapter 210D, HRS

Contact Name: Wayne Thom  
 Phone: (808)587-2772  
 Fund type (MOF): Revolving Fund (W)  
 Appropriation Acct. No.: S-344-B

**Intended Purpose:**

To provide training and capacity building opportunities, and invest in community economic development projects that result in measurable economic impact

**Source of Revenues:**

Loan principal, loan interest, interest from investment pool, fees from workshops and conferences, and other program related activities.

**Current Program Activities/Allowable Expenses:**

Low interest loans, workshops and conferences that provide capacity-building training and technical assistance.

**Purpose of Proposed Ceiling Increase (if applicable):**

Not applicable.

**Variances:**

Not applicable.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	721,915	721,915	721,915	721,915	721,915	721,915	721,915
Beginning Cash Balance	182,207	203,519	203,907	158,433	51,024	36,024	284,880
Revenues	1,687	6,090	7,495	14,077	10,000	15,000	15,000
Expenditures	375	25,702	72,969	61,425	25,000	25,000	25,000
Transfers							
List each by JV# and date							
JV# JM5714 5/9/13	20,000						
JV# JM4392 3/14/14		20,000					
JV# JM4664 4/09/15			20,000				
Net Total Transfers	20,000	20,000	20,000				
Ending Cash Balance	203,519	203,907	158,433	111,085	36,024	26,024	287,860
Encumbrances			70,000	10,000			
Unencumbered Cash Balance	203,519	203,907	88,433	101,085	36,024	26,024	287,860

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Business, Economic Development and Tourism  
 Prog ID(s): BED 100/SM  
 Name of Fund: State Disaster Revolving Fund  
 Legal Authority: Part III, Chapter 209, HRS

Contact Name: Dennis T. Ling  
 Phone: 587-2755  
 Fund type (MOF): Revolving Fund (W)  
 Appropriation Acct. No.: S-353-B

Intended Purpose:  
To assist businesses and individuals who suffer damages in a state-declared disaster.

Source of Revenues:  
Loan repayments(principial and interest) and interest earned on TCD's and/or State Investment Pool.

Current Program Activities/Allowable Expenses:  
None.

Purpose of Proposed Ceiling Increase (if applicable):  
Not applicable.

Variances:  
Not applicable.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000
Beginning Cash Balance	269,767	273,000	275,940	276,156	277,478	277,693	284,880
Revenues	3,251	2,961	231	1,325	230	230	230
Expenditures	18	21	15	3	15	15	15
Transfers							
List each by JV# and date							
JV#25, 12/27/10 Act 192,SLH 2010							
Transfer to State General Fund							
Net Total Transfers							
Ending Cash Balance	273,000	275,940	276,156	277,478	277,693	277,908	287,860
Encumbrances							
Unencumbered Cash Balance	273,000	275,940	276,156	277,478	277,693	277,908	287,860

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Business, Economic Development & Tourism  
 Prog ID(s): BED107/BA  
 Name of Fund: Foreign-Trade Zone Special Fund (FTZSF)  
 Legal Authority: HRS Section 212-9

Contact Name: David Sikkink  
 Phone: 808-587-5374  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-302-B

**Intended Purpose:** To encourage manufacturing and value-added activities in Hawaii, increase the export competitiveness of Hawaii companies incubate and support small businesses engaged in importing and exporting activities, and attract new investment and job opportunities by operating a statewide Foreign-Trade Zone (FTZ) program that reduces the barriers and costs associated with international trade.

**Source of Revenues:** The Foreign-Trade Zone (FTZ) obtains all its revenue by charging FTZ users for its services, use of facilities, and equipment. The FTZ program is currently self-sufficient and no general fund infusion is required to run the program.

**Current Program Activities/Allowable Expenses:** Types of expenditures in accordance with Chapter 212-9, HRS include personnel costs, FTZ office equipment and supplies, facility maintenance and repairs, capital improvement, security costs, landscaping costs, etc.

**Purpose of Proposed Ceiling Increase (if applicable):** The requested increase is to accommodate the projected increase in collective bargaining and benefits, as well as anticipated increases in operating costs as the FTZ's new International Trade Resource Center wing is opened.

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,066,145	2,066,145	2,066,145	2,127,755	2,156,516	2,366,145	2,366,145
Beginning Cash Balance	1,078,169	992,375	1,106,285	996,330	1,130,469	1,130,469	1,130,469
Revenues	1,437,183	1,805,324	1,675,189	2,060,343	1,950,000	2,000,000	2,000,000
Expenditures	1,504,684	1,676,691	1,777,830	1,921,388	1,950,000	2,000,000	2,000,000
Transfers							
List each by JV# and date							
Net Total Transfers	(18,293)	(14,723)	(7,315)	(4,816)			
Ending Cash Balance	992,375	1,106,285	996,330	1,130,469	1,130,469	1,130,469	1,130,469
Encumbrances	161,109	181,145	267,151	315,159	300,000	300,000	300,000
Unencumbered Cash Balance	831,266	925,140	729,179	815,310	830,469	830,469	830,469

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

FY 2013	JV71, 10/10/12	4,515.30	FY 2014	JV75, 10/16/13	4,631.43	FY 2015	JV53, 9/30/14	2737.32
	JV143, 1/15/13	4,515.30		JV137, 1/15/14	4,631.43		JV112, 1/28/15	2737.32
	JV207, 4/9/13	4,631.42		JV197, 3/31/14	2,737.32		JV182, 4/20/15	1839.88
	JV269, 6/30/13	4,631.43		JV264, 6/30/14	2,722.32		4th qtr posted in F16 1st qtr	
		18,293.45			14,722.50			7,314.52
							JV10, 7/27/15	1493.00

FY 2016	JV67 7/22/2015	1,493.00
	JV256 10/15/2015	557.96
	JV434 1/5/2016	557.96
	JV655 4/14/2016	2,206.92
	4th qtr posted in F17 1st qtr	
		4,815.84

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: BED  
 Prog ID(s): BED120  
 Name of Fund: Energy Security Special Fund  
 Legal Authority: Act 208, SLH 2008

Contact Name: Donna Mau  
 Phone: 587-9016  
 Fund type (MOF) B  
 Appropriation Acct. No. S-17-305-B

Intended Purpose: To support the Hawaii Clean Energy Initiative (HCEI) program.

Source of Revenues: Environmental Response, Energy and Food Security Tax; moneys appropriated by the legislature, interest attributable to investment of money in the fund; moneys allotted from other sources.

Current Program Activities/Allowable Expenses: Support the Energy Division, including staff and projects; fund the renewable energy

Purpose of Proposed Ceiling Increase (if applicable): To add two (2) exempt Energy Analyst positions within the Energy Division (Hawaii State Energy Office).

Variances: The expenditure variance from FY 2013 to 2014 can be attributed to HSEO becoming increasing reliant on ESSF funds as federal ARRA funds were depleted.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,072,842	4,944,305	5,889,305	4,792,457	4,665,779	4,777,779	4,987,779
Beginning Cash Balance	4,952,786	5,448,815	4,709,981	3,904,425	3,327,352	2,656,410	1,971,410
Revenues	3,998,324	3,770,222	3,806,283	3,894,794	3,815,000	3,815,000	3,815,000
Expenditures	3,407,295	4,509,056	4,611,839	4,471,867	4,485,942	4,500,000	4,500,000
Transfers							
List each by JV# and date							
	(95,000)						
Net Total Transfers	(95,000)	0	0	0	0	0	0
Ending Cash Balance	5,448,815	4,709,981	3,904,425	3,327,352	2,656,410	1,971,410	1,286,410
Encumbrances	710,651	759,436	1,651,774	1,022,273			
Unencumbered Cash Balance	4,738,164	3,950,545	2,252,651	2,305,079	2,656,410	1,971,410	1,286,410

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: BED  
 Prog ID(s): BED120  
 Name of Fund: Renewable Energy Facility Siting Special Fund  
 Legal Authority: 201N-11, HRS

Contact Name: Donna Mau  
 Phone: 587-9016  
 Fund type (MOF) B  
 Appropriation Acct. No. S-17-306-B

Intended Purpose: To establish a renewable energy facility siting process for state and county permits.

Source of Revenues: Moneys appropriated by the legislature; permit plan application fees collected; moneys allotted from other sources.

Current Program Activities/Allowable Expenses: Oversight of the permit plan process pursuant to 201N-4 (a), HRS and for the operation and administration of the renewable energy facility siting process.

Purpose of Proposed Ceiling Increase (if applicable): Act 27, SLH 2016 repealed chapter 201N, HRS relating to the renewable energy facility siting process, including the Renewable Energy Facility Siting Special Fund. A FB 17-19 request has been submitted to reduce the appropriation of the special fund.

Variations:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	750,000	750,000	750,000	750,000	750,000	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: BED  
 Prog ID(s): BED120  
 Name of Fund: Hawaii Green Infrastructure Bond Fund, Outside State Treasury  
 Legal Authority: Act 211, SLH 2013

Contact Name: Donna Mau  
 Phone: 587-9016  
 Fund type (MOF) B  
 Appropriation Acct. No. S-17-368-B

Intended Purpose: To secure the payment of bonds, amounts apyable to financing parties and bondholders, amounts payable under any ancillary agreement,

Source of Revenues: Proceeds of the green infrastructure fee; any other proceeds of green infrastructure property; and other moneys .

Current Program Activities/Allowable Expenses: Not applicable.

Purpose of Proposed Ceiling Increase (if applicable): Pursuant to the Indenture of Trust between DBEDT and U.S. National Bank Association, Section 6.9, Annual Budget, an appropriation amount equal to one and a half times the estimated principal and interest payments scheduled is needed. Estimated principal and interest payments is \$13.4M annually.

Variances: The revenue variance between FY 2015 and FY 2016 is because in FY2015, bond proceeds were recorded and receipt of interest and Green Infrastructure fees began mid-year. The expenditure variance between FY 2015 and FY 2016 is because only Cost of Issuance was recorded in FY 2015, and debt service (Principal and Interest) and ongoing services began in FY 2016.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		10,000,000	20,000,000	0	0	21,000,000	21,000,000
Beginning Cash Balance		0	0	0	59,438	59,438	59,438
Revenues			9,796,754	14,406,069	14,000,000	14,000,000	14,000,000
Expenditures			1,693,357	14,346,631	14,000,000	14,000,000	14,000,000
Transfers							
List each by JV# and date							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	0	0	8,103,397	59,438	59,438	59,438	59,438
Encumbrances			0	0	0	0	0
Unencumbered Cash Balance	0	0	8,103,397	59,438	59,438	59,438	59,438

Additional Information:

Amount Req. for Bond Conveyance			1,693,357	14,346,631	14,000,000	14,000,000	14,000,000
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: BED  
 Prog ID(s): BED120  
 Name of Fund: HI Green Infrastructure Special Fund, Outside State Treasury  
 Legal Authority: Section 196-95, HRS

Contact Name: Wendy Yoshinaga  
 Phone: 587-3821  
 Fund type (MOF) B  
 Appropriation Acct. No. S-17-395-B

Intended Purpose: To fund loans under the GEMS program and cover associated costs

Source of Revenues: Original funding from proceeds of bonds; loan repayments, and investment interest.

Current Program Activities/Allowable Expenses: Making green infrastructure loans and paying related financing costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Variances in revenues due to one-time transfer of bond proceeds for GEMS Loan Program in FY 2015; Expenditure and revenue variances are due to varying amounts in loan disbursements and related receipt of loan repayments.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		0	0	50,000,000	50,000,000	50,000,000	50,000,000
Beginning Cash Balance		0	0	143,254,930	142,828,433	137,532,295	94,679,022
Revenues			6,830	97,940	266,262	2,209,127	5,862,123
Expenditures			1,900	524,437	5,562,400	44,562,400	49,562,400
Transfers							
List each by JV# and date							
Transfer from HGIA Special Fund			143,250,000				
Transfer to HGIA Special Fund						(500,000)	(700,000)
Net Total Transfers			143,250,000	0	0	(500,000)	(700,000)
Ending Cash Balance	0	0	143,254,930	142,828,433	137,532,295	94,679,022	50,278,745
Encumbrances			0	0	0	0	0
Unencumbered Cash Balance	0	0	143,254,930	142,828,433	137,532,295	94,679,022	50,278,745

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Business, Economic Development and Tourism  
 Prog ID(s): BED 160-HF  
 Name of Fund: Rental Housing Revolving Fund  
 Legal Authority: HRS Chapter 201H-202, as amended by Act 237, SLH 2015

Contact Name: Lorraine Egusa  
 Phone: 587-0622  
 Fund type (MOF): Special Fund  
 Appropriation Acct. No. S-YY-380-B

**Intended Purpose:**

Funding is made available to subsidize the development of affordable rental housing (grant or low-interest, long term loan) by establishing the Rental Housing Trust Fund as a continuous renewable resource to assist very low and low income families and individuals, including the homeless and special needs groups, in obtaining rental housing. Revenues are obtained through collection of miscellaneous income and application fees.

**Source of Revenues:**

Repayment on loans; Conveyance taxes & Investment interest.

**Current Program Activities/Allowable Expenses:**

To asset low-income families and individuals, including the homeless and special need groups in obtaining affordable rental housing.

**Purpose of Proposed Ceiling Increase (if applicable):**

Variances: Prior to July 1, 2015, this fund was a Trust Fund, T-XX-930-B.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				88,000,000	88,000,000		
Beginning Cash Balance	0	0	0	0	125,492,118	98,586,118	111,730,118
Revenues				35,756,402	32,094,000	32,144,000	32,194,000
Expenditures				12,375,686	59,000,000	95,000,000	98,000,000
Transfers							
List each by JV# and date							
Transfer from T-16-930				97,111,402			
Transfer to							
Transfer from B-14-405				5,000,000			
Transfer from B-15-404						40,000,000	
Transfer from B-16-415						36,000,000	
Transfer from B-XX-XXX-B			0				
Net Total Transfers	0	0	0	102,111,402	0	76,000,000	0
Ending Cash Balance	0	0	0	125,492,118	98,586,118	111,730,118	45,924,118
Encumbrances							
Unencumbered Cash Balance	0	0	0	125,492,118	98,586,118	111,730,118	45,924,118

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Business, Economic Development and Tourism  
 Prog ID(s): BED 160-HF  
 Name of Fund: Rental Housing Trust Fund  
 Legal Authority: HRS Chapter 201H-202, as amended by Act 237, SLH 2015

Contact Name: Lorraine Egusa  
 Phone: 587-0622  
 Fund type (MOF) Trust Fund  
 Appropriation Acct. No. T-YY-930-B

**Intended Purpose:**

Funding is made available to subsidize the development of affordable rental housing (grant or low-interest, long term loan) by establishing the Rental Housing Trust Fund as a continuous renewable resource to assist very low and low income families and individuals, including the homeless and special needs groups, in obtaining rental housing. Revenues are obtained through collection of miscellaneous income and application fees.

**Source of Revenues:**

Repayment on loans; Conveyance taxes & Investment interest.

**Current Program Activities/Allowable Expenses:**

To asset low-income families and individuals, including the homeless and special need groups in obtaining affordable rental housing.

**Purpose of Proposed Ceiling Increase (if applicable):**

Variances: The increase in estimated revenues in FY2015 is due to the increased percentage share of conveyance taxes.

Effective July 1, 2015, this fund was reclassified to a Special Fund, S-YY-380-B.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	21,923,698	21,923,698	44,923,698				
Beginning Cash Balance	58,736,246	62,535,492	79,037,671	97,111,402	0	0	0
Revenues	19,823,647	24,640,091	51,738,533				
Expenditures	21,024,401	8,137,912	33,664,802	0			
Transfers							
List each by JV# and date							
Transfer from B-12-413-B	5,000,000						
Transfer to S-16-380-B				(97,111,402)			
Transfer from B-XX-XXX-B			0				
Net Total Transfers	5,000,000	0	0	(97,111,402)			
Ending Cash Balance	62,535,492	79,037,671	97,111,402	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	62,535,492	79,037,671	97,111,402	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Budget and Finance  
 Prog ID(s): BUF 101  
 Name of Fund: Emergency and Budget Reserve Fund  
 Legal Authority: Section 328L-3, HRS

Contact Name: Neal Miyahira  
 Phone: 586-1530  
 Fund type (MOF) B  
 Appropriation Acct. No. S-xx-355-O

Intended Purpose: Temporary supplemental source of funding for the State during times of emergency, economic downturn, or unforeseen reduction in revenues.

Source of Revenues: No revenues anticipated for FY 13; for FYs 14 & out, 15% of tobacco settlement revenues after the first \$350,000 are transferred into the emergency and budget reserve fund (EBRF); FY 14 also reflects \$50M appropriated pursuant to Act 267/13 to recapitalize the EBRF; FY 16 includes a \$1 M apprn to the EBRF (Act 64/16), FY 17 includes a \$150 M apprn to the EBRF (Act 104/16), and FY 18 includes a proposed \$25 M deposit to the EBRF.

Current Program Activities/Allowable Expenses: Temporary supplemental source of funding for the State during times of emergency, economic downturn, or unforeseen reduction in revenues.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: FY 14 reflects a deposit to the fund pursuant to Act 267/13. FY 16 includes a \$1 M apprn to the EBRF and FY 17 includes a \$150 M apprn to the EBRF pursuant to Act 104/16 and \$51.4 M pursuant to Section 328L-3, HRS and Article VII, Section 6, of the Hawaii State Constitution.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	24,196,781	24,196,781	83,174,164	90,194,986	100,881,986	310,663,743	342,243,362
Revenues		57,571,001	7,020,822	8,511,234	209,781,757	31,579,619	6,580,000
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
10.7.15		1,406,383		32,331			
9.25.15				20,039			
7.9.16				489,055			
6.9.16				409,119			
4.22.16				967,809			
3.4.16				257,412			
Net Total Transfers	0	1,406,383	0	2,175,766	0	0	0
Ending Cash Balance	24,196,781	83,174,164	90,194,986	100,881,986	310,663,743	342,243,362	348,823,362
Encumbrances							
Unencumbered Cash Balance	24,196,781	83,174,164	90,194,986	100,881,986	310,663,743	342,243,362	348,823,362

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Budget and Finance  
 Prog ID(s): BUF 101  
 Name of Fund: Overpayment Collections to OHA-Ceded Lands  
 Legal Authority: Executive Order No. 06-06

Contact Name: Neal Miyahira  
 Phone: 586-1530  
 Fund type (MOF): Trust  
 Appropriation Acct. No.: T-xx-955-O

Intended Purpose: Account is used to collect overpayments made to the Office of Hawaiian Affairs for ceded lands, and to make up shortage amounts due to OHA pursuant to Executive Order No. 06-06.

Source of Revenues: Account revenues are quarterly amounts paid to OHA in excess of the \$3,775,000, pursuant to Act 178, SLH 2006.

Current Program Activities/Allowable Expenses: Funds can be expended to make up shortfalls in payments to OHA per Executive Order No. 06-06.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance in revenues between FY 13 and FY 14 is due to a delayed FY 13 transfer.

Expenditures in FY 16 reflect the return of Dept of Transportation's pro rata share of overpayments for FY?

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	1,599,188	5,007,264	8,491,069	3,845,946	3,845,946	3,845,946
Revenues	1,599,188	4,098,158	3,483,805	1,821,966			
Expenditures		690,082		6,467,089			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,599,188	5,007,264	8,491,069	3,845,946	3,845,946	3,845,946	3,845,946
Encumbrances							
Unencumbered Cash Balance	1,599,188	5,007,264	8,491,069	3,845,946	3,845,946	3,845,946	3,845,946

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: BUF  
 Prog ID(s): BUF115  
 Name of Fund: Interest Earned - Investment Pool  
 Legal Authority: Administratively established

Contact Name: Scott Kami  
 Phone: 586-1612  
 Fund type (MOF) T  
 Appropriation Acct. No. T-xx-907-O

Intended Purpose:

Account was established as holding account to deposit interest earned from the State Treasury centralized pool investments before distribution to participating accounts.

Source of Revenues:

Interest earned on centralized investment pool investments.

Current Program Activities/Allowable Expenses:

JV's are generated each month to allocate interest earnings to the various appropriation accounts of departments participating in the investment pool. Interest is allocated monthly by the investment pool system, to approx. 2,500 accounts.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	620,989	(23,347)	0	95,012	4,375	0	0
Revenues	(18,649)	11,521,652	18,746,942	27,783,004	23,264,973	25,523,988	24,394,481
Expenditures	0	0	0	0	0	0	0
Transfers	(700,687)						
List each net transfer in/out/ or projection in/out; list each account number							
JV monthly to transfer revenue from	75,000						
T-907 to agencies		(11,498,305)	(18,651,930)	(27,618,283)			
8/3/15 JT0089 T-16-907				(130,510)			
7/14/16 JM6984 T-19-907				(124,848)			
Net Total Transfers	(625,687)	(11,498,305)	(18,651,930)	(27,873,641)	(23,269,348)	(25,523,988)	(24,394,481)
Ending Cash Balance	(23,347)	0	95,012	4,375	0	0	0
Encumbrances							
Unencumbered Cash Balance	(23,347)	0	95,012	4,375	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: BUF  
 Prog ID(s): BUF115  
 Name of Fund: Interest Earned - Bond Investment Pool  
 Legal Authority: Administratively established

Contact Name: Scott Kami  
 Phone: 586-1612  
 Fund type (MOF) T  
 Appropriation Acct. No. T-xx-908-O

Intended Purpose:

Account was established as holding account to deposit interest earned from the State Treasury centralized Bond Investment Pool before distribution to participating accounts.

Source of Revenues:

Interest earned on centralized Bond Investment Pool investments.

Current Program Activities/Allowable Expenses:

JV's are generated each month to allocate interest earnings to the various appropriation accounts of departments participating in the Bond pool.

Interest is allocated monthly by the Bond Investment pool system.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,857,440	55,841	1,281	25,395	25,433	0	0
Revenues	545,697	360,547	485,811	1,058,097	634,818	726,242	806,386
Expenditures	0	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV monthly to transfer revenue from T-908 to agencies	(2,272,296)						
	(75,000)	(415,107)	(461,697)	(1,058,059)			
Net Total Transfers	(2,347,296)	(415,107)	(461,697)	(1,058,059)	(660,252)	(726,242)	(806,386)
Ending Cash Balance	55,841	1,281	25,395	25,433	0	0	0
Encumbrances							
Unencumbered Cash Balance	55,841	1,281	25,395	25,433	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: BUF  
 Prog ID(s): BUF115 CA  
 Name of Fund: Transient Accommodation Tax Trust Fund  
 Legal Authority: Section 237D-5.5, HRS (Subsequently repealed by Act 235, SLH 2005)

Contact Name: Scott Kami  
 Phone: 586-1612  
 Fund type (MOF) T  
 Appropriation Acct. No. T-xx-911-O

Intended Purpose:

TAT Trust Fund was repealed by Act 235, SLH 2005 and no longer exists.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: BUF  
 Prog ID(s): BUF115  
 Name of Fund: Temporary Deposits - Special Purpose Revenue Bond - Security Deposit  
 Legal Authority: Adminstratively established

Contact Name: Scott Kami  
 Phone: 586-1612  
 Fund type (MOF) T  
 Appropriation Acct. No. T-xx-914-O

Intended Purpose:

To record non-refundable security deposits from project parties for the purpose of reimbursing the State for all the costs and expenses incurred relating to the issuance of special purpose revenue bonds.

Source of Revenues:

Security deposits from the project parties.

Current Program Activities/Allowable Expenses:

Any balance remaining in the Trust Fund is to be transferred to General Fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	13,000	15,000	1,000	0	5,000	0	0
Revenues	2,000	1,000	0	5,000	2,000	2,000	2,000
Expenditures	0	0	1,000	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JM0369 dated 07/31/13		(15,000)					
Net Total Transfers		(15,000)	0	0	(7,000)	(2,000)	(2,000)
Ending Cash Balance	15,000	1,000	0	5,000	0	0	0
Encumbrances							
Unencumbered Cash Balance	15,000	1,000	0	5,000	0	0	0

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: BUF  
 Prog ID(s): BUF115  
 Name of Fund: Taxes Payable to Other State Agencies  
 Legal Authority: Adminstratively established

Contact Name: Scott Kami  
 Phone: 586-1612  
 Fund type (MOF) T  
 Appropriation Acct. No. T-xx-916-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of tobacco tax collected by DoTax and distributed per statute to UH per Act 316/SLH2006. It serves as a clearing account for fuel tax revenues pending distribution.

Source of Revenues:

Tobacco Tax collections by state Dept. of Taxation (DoTax)

Current Program Activities/Allowable Expenses:

B&F processes JVs to transfer taxes into this account in order to pay UH for their statutory distribution of tobacco tax.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	222,967	0	0	0
Revenues	18,366,176	69,557,540	72,966,644	17,020,931	53,181,705	47,723,093	39,308,576
Expenditures	18,366,176	69,557,540	72,743,678	17,243,898	53,181,705	47,723,093	39,308,576
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	222,967	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	222,967	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: BUF  
 Prog ID(s): BUF115  
 Name of Fund: Taxes Payable to Counties/HTA - TAT  
 Legal Authority: Adminstratively established

Contact Name: Scott Kami  
 Phone: 586-1612  
 Fund type (MOF) T  
 Appropriation Acct. No. T-xx-917-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of TAT distributed monthly to HTA and semi-annually to the counties. It serves as a clearing account for TAT revenues pending distribution per statute.

Source of Revenues:

Transient Accommodations Tax (TAT) collected by the DoTax.

Current Program Activities/Allowable Expenses:

B&F processes SWV's from this account for payment to HTA and the counties of their statutory distribution of TAT.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	197,000,000	208,000,000	218,000,000	213,000,000	213,000,000	214,666,667	213,555,556
Expenditures	197,000,000	208,000,000	218,000,000	213,000,000	213,000,000	214,666,667	213,555,556
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: BUF  
 Prog ID(s): BUF115  
 Name of Fund: Taxes Payable to Counties - Fuel Tax  
 Legal Authority: Adminstratively established

Contact Name: Scott Kami  
 Phone: 586-1612  
 Fund type (MOF) T  
 Appropriation Acct. No. T-xx-918-O

Intended Purpose:

Account was established to enable B7F to generate SWV's on-line for transmittal of liquid fuel tax distributed monthly to the counties. It serves as a clearing account for fuel tax revenues pending distribution per statute.

Source of Revenues:

Liquid Fuel Tax collected by the DoTax.

Current Program Activities/Allowable Expenses:

B&F processes SWV's from this account for payment to the counties of their statutory distribution of fuel tax.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	74,406,621	73,998,948	74,222,590	78,676,804	75,632,781	76,177,392	76,828,992
Expenditures	74,406,621	73,998,948	74,222,590	78,676,804	75,632,781	76,177,392	76,828,992
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: BUF  
 Prog ID(s): BUF115  
 Name of Fund: Taxes Payable to Counties - GETax surcharge  
 Legal Authority: Adminstratively established

Contact Name: Scott Kami  
 Phone: 586-1612  
 Fund type (MOF) T  
 Appropriation Acct. No. T-xx-919-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of county GET surcharge collected by DoTax and distributed per statute HRS 248-2.6 to the City & County of Honolulu. It serves as a clearing account for the surcharge pending distribution.

Source of Revenues:

General Excise Tax collections by state Dept. of Taxation (DoTax)

Current Program Activities/Allowable Expenses:

B&F processes JVs to transfer taxes into this account in order to pay City & County of Honolulu for their statutory distribution of GETax

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	50,356,185	0	0	0	0	0
Revenues	193,136,117	242,656,503	248,518,158	259,248,034	273,506,676	288,549,544	304,419,768
Expenditures	142,779,931	293,012,688	248,518,158	259,248,034	273,506,676	288,549,544	304,419,768
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	50,356,185	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	50,356,185	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: BUF  
 Prog ID(s): BUF115  
 Name of Fund: Hawaii Children's Trust Fund  
 Legal Authority: Adminstratively established

Contact Name: Scott Kami  
 Phone: 586-1612  
 Fund type (MOF) T  
 Appropriation Acct. No. T-xx-922-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of taxes collected by DoTax and distributed per statute Act 228/SLH2004 to the Hawaii Children's Trust Fund at the Hawaii Community Foundation.

Source of Revenues:

Income tax refund designation by taxpayer.

Current Program Activities/Allowable Expenses:

B&F processes JVs to transfer funds into this account in order to pay HI Community Foundation per income tax refund designation.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	8,413	8,413	0	0	0	0	0
Revenues	10,885	151,960	153,525	148,735	100,753	83,163	61,305
Expenditures	10,885	160,373	153,525	148,735	100,753	83,163	61,305
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	8,413	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	8,413	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: BUF  
 Prog ID(s): BUF115  
 Name of Fund: Unclaimed Property Trust Fund  
 Legal Authority: Adminstratively established

Contact Name: Scott Kami  
 Phone: 586-1612  
 Fund type (MOF) T  
 Appropriation Acct. No. T-xx-932-O

Intended Purpose:

Account was established to deposit and disburse moneys in Unclaimed Property Program. All funds in excess of \$1.3 million remaining on balance at the end of the fiscal year will be transferred to the general fund.

Source of Revenues:

Property that is held, issued, or owing in the ordinary course of a holder's business and has remained unclaimed by the owner for more than five years after it became payable or distributable is presumed abandoned.

Current Program Activities/Allowable Expenses:

Amounts received from abandoned property Holders are received as Revenue and Claims verified and paid out are disbursed as Expenditures.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2013 *	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	13,285,838	21,658,138	18,433,585	20,214,660	38,698,147	0	0
Revenues	26,204,921	24,831,379	29,614,387	30,581,216	20,000,000	20,000,000	20,000,000
Expenditures	5,879,761	7,713,785	10,794,822	12,097,729	8,000,000	8,000,000	8,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(11,952,861)						
8/19/13 JM0580 T13-932		(20,324,559)					
8/19/13 JM0580 T13-932		(17,588)					
Prior year cash revert to GF-JV			(18,433,585)				
7/7/2014 JT0005 T-14-932			1,300,000				
Cash balance T-14-932			95,095				
7/7/2015 JT0014 T-15-932							
Net Total Transfers	(11,952,861)	(20,342,147)	(17,038,490)	0	(50,698,147)	(12,000,000)	(12,000,000)
Ending Cash Balance	21,658,138	18,433,585	20,214,660	38,698,147	0	0	0
Encumbrances	15,988	72,628	0	7,084			
Unencumbered Cash Balance	21,642,150	18,360,957	20,214,660	38,691,063	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\*FY2013 Report: Modified Accrual Basis. FY2014 Report: Cash Basis (Recommended format by DAGS Audit staff).

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: BUF  
 Prog ID(s): BUF115 CA  
 Name of Fund: College Savings Program Trust Fund  
 Legal Authority: Chapter 256,HRS

Contact Name: Scott Kami  
 Phone: 586-1612  
 Fund type (MOF) T  
 Appropriation Acct. No. N/A \*

Intended Purpose:

The College Savings Program Trust Fund was established in 2002 with the commencement of Hawaii's 529 program. Funds are maintained in the Trust Fund on behalf of participants or account owners, and are invested as directed by the participants according to program rules.

Source of Revenues:

Account owner contributions and account investment earnings.

Current Program Activities/Allowable Expenses:

Trust fund for Hawaii's 529 College Savings Program, per program description/rules. Funds may be withdrawn by account owners, intended for designated beneficiaries' college expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable \*

Variances:

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(Actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	54,956,275	58,651,920	66,985,821	69,607,347	72,043,320	77,043,320	82,043,320
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	3,695,645	8,333,901	2,621,526	2,435,973	5,000,000	5,000,000	5,000,000
Ending Cash Balance	58,651,920	66,985,821	69,607,347	72,043,320	77,043,320	82,043,320	87,043,320
Encumbrances							
Unencumbered Cash Balance	58,651,920	66,985,821	69,607,347	72,043,320	77,043,320	82,043,320	87,043,320

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* College Savers Trust fund is held outside of the State Treasury.

\*\* Net Transfers represent change in net asset value of the total accounts (contributions and withdrawals by program participants) per QE June program report

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: BUDGET AND FINANCE  
 Prog ID(s): BUF 141FA  
 Name of Fund: Expense Fund  
 Legal Authority: Section 88-116,HRS

Contact Name: Thomas Williams / Kanoe Margol  
 Phone: 586-1700  
 Fund type (MOF) X  
 Appropriation Acct. No. S-xx-315-O

**Intended Purpose:**

The fund which all money shall be credited to pay for the administrative and operational expenses of the ERS.

**Source of Revenues:**

ERS' interest and investment earnings of the Pension Trust are allocated for the ERS Administrative budget to the ERS Expense Fund. All unused funds revert back to the Pension Trust. No cash or investments are held in the ERS Expense Fund.

**Current Program Activities/Allowable Expenses:**

Under the policy and executive direction of its Board of Trustees, the ERS plans, directs, and coordinates statewide retirement, disability, and survivor benefits program for State and county employees; administers the ERS Member Home Loan Program; and safeguards and accounts for ERS investments in stocks, bonds, foreign securities, real estate, alternative investments and mortgage loans.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Increase in FY 2017 Appropriation Ceiling represents increase in Other Costs for upgrade to current pension system that is near its end of life; increase computer security software/hardware/consultants costs due to increased external threats on ERS's computer systems; completion of ERS internal audit project undertaken by the Board of Trustees; and to convert employers personnel information files to ERS required format due to delays in employers reporting data in consistent usable format.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,828,223	16,598,987	11,255,963	13,014,314	20,168,249	13,468,249	13,468,249
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	10,828,223	16,598,987	11,255,963	13,014,314	20,168,249	13,468,249	13,468,249
Expenditures	10,828,223	16,598,987	11,255,963	13,014,314	20,168,249	13,468,249	13,468,249
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Budget & Finance  
 Prog ID(s): BUF 143  
 Name of Fund: Hawaii Employer-Union Health Benefits Trust Fund  
 Legal Authority: Chapter 87A, HRS

Contact Name: Donna Tonaki  
 Phone: 586-1690  
 Fund type (MOF) T  
 Appropriation Acct. No. T-xx-903-O

Intended Purpose:

The fund was created to administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and State legal requirements.

Source of Revenues:

Administrative Fees collected from employers and employees. FY 2014, this fund will be closed and an account outside of the State Treasury was established (T-xx-997-O)

Current Program Activities/Allowable Expenses:

Administrative Operating Expenses such as personal services, contracted services, lease rent, insurance and other miscellaneous expenses are included in this appropriation.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variations:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,334,612						
Beginning Cash Balance	2,479,463	1,826,572	0	0	0	0	0
Revenues	134,622	0					
Expenditures	5,064,917	1,826,572					
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	4,150,000						
	98,364						
Net Total Transfers	4,248,364		0	0	0	0	0
Ending Cash Balance	1,797,532	0	0	0	0	0	0
Encumbrances	1,827,000						
Unencumbered Cash Balance	(29,468)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Budget & Finance  
 Prog ID(s): BUF 143  
 Name of Fund: Hawaii Employer-Union Health Benefits Trust Fund  
 Legal Authority: Chapter 87A, HRS

Contact Name: Donna Tonaki  
 Phone: 586-1690  
 Fund type (MOF) T  
 Appropriation Acct. No. T-xx-904-O

Intended Purpose:

To administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and State legal requirements. Effective 7/1/13, this fund is closed and an account outside of the State Treasury was created (T-942).

Source of Revenues:

Employer and employee health benefit premium contributions.

Current Program :

Premium payments to insurance carriers, Medicare Part B reimbursements to retirees and their spouses. Administrative fees are transferred to Account T-903 (effective 7/1/13 to T-997).

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variations:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	90,403,357	113,773,875	0	0	0	0	0
Revenues	905,019,943	0					
Expenditures	802,836,973	113,773,875					
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(4,150,000)						
	(75,000,000)						
Net Total Transfers	(79,150,000)		0	0	0	0	0
Ending Cash Balance	113,436,327	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	113,436,327	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Budget & Finance  
 Prog ID(s): BUF 143  
 Name of Fund: Hawaii Employer-Union Health Benefits Trust Fund  
 Legal Authority: Chapter 87A, HRS

Contact Name: Donna Tonaki  
 Phone: 586-1690  
 Fund type (MOF) T  
 Appropriation Acct. No. Txx-925-O, Txx-926-O and Txx-927-O

Intended Purpose:

To meet the requirements of Act 106, SLH 2010. The purpose of this Act is to: 1) extend the enabling law for voluntary employees' beneficiary association trust (VEBA) for six months to provide for a smoother transition to the EUTF; 2) appropriate funds for 5 temporary positions to assist in the transition of public employees and their dependents from the VEBA to the EUTF on January 1, 2011; 3) appropriate funds for 5 permanent positions to assist in the performance of duties of the trust fund; 4) appropriate funds to cover costs associated with

Source of Revenues:

Employer and employee premium contributions which include administrative fees

Current Program Activities/Allowable Expenses:

Personnel and Contracted Services

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	98,364	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(98,364)						
Net Total Transfers	(98,364)	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Budget & Finance  
 Prog ID(s): BUF 143  
 Name of Fund: Hawaii Employer-Union Health Benefits Trust Fund  
 Legal Authority: Chapter 87A, HRS

Contact Name: Donna Tonaki  
 Phone: 586-1690  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-xx-942

Intended Purpose:

To administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and State legal requirements. Effective July 1, 2013, there was a transition from T-904 to T-942 (an account outside of the State Treasury).

Source of Revenues:

Employer and employee health benefit premium contributions, experience gains returned by carriers and rebates from prescription drug.

Current Program Activities/Allowable Expenses:

Premium payments to insurance carriers, Medicare Part B reimbursements to retirees and their spouses and employee refunds.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variations:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	40,702	24,497,399	182,291,342	164,586,104	175,133,805	189,133,805	203,133,805
Revenues	54,645,096	1,082,149,775	979,860,974	1,141,022,631	1,066,000,000	1,066,000,000	1,066,000,000
Expenditures	105,188,399	920,855,832	991,866,212	1,049,474,929	1,052,000,000	1,052,000,000	1,052,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfers from T-904	75,000,000						
Transfer from T-13-942		(24,497,400)					
Transfer in from T-13-904		20,997,400					
Transfer to T-15-997			(5,700,000)				
Transfer to T-16-997				(6,000,000)			
Transfer to T-16-998				(75,000,000)			
Net Total Transfers	75,000,000	(3,500,000)	(5,700,000)	(81,000,000)	0	0	0
Ending Cash Balance	24,497,399	182,291,342	164,586,104	175,133,805	189,133,805	203,133,805	217,133,805
Encumbrances							
Unencumbered Cash Balance	24,497,399	182,291,342	164,586,104	175,133,805	189,133,805	203,133,805	217,133,805

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Budget & Finance  
 Prog ID(s): BUF 143  
 Name of Fund: Hawaii Employer-Union Health Benefits Trust Fund  
 Legal Authority: Chapter 87A, HRS

Contact Name: Donna Tonaki  
 Phone: 586-1690  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-xx-997-O

**Intended Purpose:**

The fund was created to administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and State legal requirements.

**Source of Revenues:**

Administrative Fees collected from employers and employees and Interest Earned. Effective July 1, 2013, there was a transition from T-903 to T-997. T-997 is held outside of the State treasury. Effective January 1, 2014 and July 1, 2014, no administrative fees were assessed to retirees and active employees, respectively.

**Current Program Activities/Allowable Expenses:**

Administrative Operating Expenses such as personal services, contracted services, lease rent, insurance and other miscellaneous expenses are included in this appropriation.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		6,415,876	6,408,809	6,902,897	7,099,272	7,140,000	7,140,000
Beginning Cash Balance		0	577,516	672,080	334,725	334,725	334,725
Revenues		1,991,249	147,781	240,728	7,099,000	7,140,000	7,140,000
Expenditures		4,913,733	5,753,217	5,794,444	7,099,000	7,140,000	7,140,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer from T-13-942		3,500,000					
Transfer from T-15-942			5,700,000				
Transfer from T-16-942				6,000,000			
Transfer to T-14-997				(415,000)			
Transfer to T-15-997				(368,639)			
Net Total Transfers	0	3,500,000	5,700,000	5,216,361	0	0	0
Ending Cash Balance	0	577,516	672,080	334,725	334,725	334,725	334,725
Encumbrances		899,500	716,614	73,025			
Unencumbered Cash Balance	0	(321,984)	(44,534)	261,700	334,725	334,725	334,725

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Budget & Finance  
 Prog ID(s): BUF 143  
 Name of Fund: Hawaii Employer-Union Health Benefits Trust Fund  
 Legal Authority: Chapter 87A, HRS

Contact Name: Donna Tonaki  
 Phone: 586-1690  
 Fund type (MOF) T  
 Appropriation Acct. No. T-xx-998-O

**Intended Purpose:**

To administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and State legal requirements. During 2007, the Trust Fund adopted Governmental Accounting Standards Board ("GASB") Statement No. 43, Financial Reporting for Postemployment Benefit Plans Other than Pensions.

**Source of Revenues:**

Funds are contributed by various employers for pre-funding of Other Post-Employment Benefits (OPEB). At the end of FY 2011, T-905 transferred funds into this T-998 which is held outside of the State treasury. There will be investment earnings due to the investments made with the funds.

**Current Program Activities/Allowable Expenses:**

Custodial fees and investment consulting service fees are paid from this fund.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variations:**

Revenues: Act 268, SLH, 2013, required employers to contribute to the EUTF OPEB Trust Fund. The required contributions are phased in and employers are required to contribute 100% by fiscal year ending June 30, 2019.  
 Expenditures: As the OPEB trust fund grows, investment fees increase.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	231,706,062	296,132,225	569,804,797	843,766,582	1,278,791,349	1,731,338,440	2,262,156,151
Revenues	64,763,206	274,001,122	274,998,443	381,924,855	522,178,494	538,247,395	686,849,516
Expenditures	337,043	328,550	1,036,658	21,900,088	76,831,403	7,429,684	11,579,220
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer from T-16-942				75,000,000	7,200,000		
Net Total Transfers	0	0	0	75,000,000	7,200,000	0	0
Ending Cash Balance	296,132,225	569,804,797	843,766,582	1,278,791,349	1,731,338,440	2,262,156,151	2,937,426,447
Encumbrances							
Unencumbered Cash Balance	296,132,225	569,804,797	843,766,582	1,278,791,349	1,731,338,440	2,262,156,151	2,937,426,447

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Commerce and Consumer Affairs  
 Prog ID(s): CCA-102  
 Name of Fund: State Broadband Data and Development Grant Program  
 Legal Authority: non-appropriated 1/, CFDA: 11.558 American Recovery and Reinvestment Act-SBDD-Hawaii Department of Commerce Affairs (Award no. 15-50-M09057)

Contact Name: Cathy Takase  
 Phone: 586-5481  
 Fund type (MOF): Federal Stimulus Funds (V)  
 Appropriation Acct. No.: S-XX-201-R

**Intended Purpose:**

The grant is to develop an on-line database that can be used by consumers to identify the availability, speed, and location of broadband services throughout Hawaii.

**Source of Revenues:**

Federal grant from the U.S. Department of Commerce

**Current Program Activities/Allowable Expenses:**

The initial grant includes \$1,449,940 for broadband data collection and mapping activities over a two-year period and \$500,000 for broadband planning activities. The overall award period is January 1, 2010 - December 31, 2011 for broadband mapping activities and January 1, 2010 - December 31, 2014 for broadband planning activities, if any. The project end-date has been subsequently extended to January 31, 2015.

Subsequently, additional federal funding in the amount of \$2,400,000 was awarded on September 28, 2010 to complete the project to map broadband availability and engage in broadband planning activities for five years.

**Purpose of Proposed Ceiling Increase (if applicable):**

Not applicable.

**Variances:**

Variances in the revenues and expenditures each year reflect different projects completed by the Department to map broadband availability and promote and foster broadband use. The grant was terminated on January 31, 2015 and was fully closed out. Thus, there are no future revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	130	206	175	42,716	0	0	0
Revenues 2/	432,663	944,260	698,869	0	0	0	0
Expenditures	432,587	944,291	656,328	42,716	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	206	175	42,716	0	0	0	0
Encumbrances		0	42,716				
Unencumbered Cash Balance	206	175	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Governor's approval to apply and expend federal grant (federal stimulus funds) were secured on September 17, 2010 and October 18, 2010 .

2/ Revenue Projections based on Rev Est to B&F August 2016.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Commerce and Consumer Affairs  
 Prog ID(s): CCA-102  
 Name of Fund: Compliance Resolution Fund - Cable Television  
 Legal Authority: Chapter 440G, HRS, Act 199, SLH 2010

Contact Name: Admin. Services  
 Phone: 586-2830  
 Fund type (MOF): Special Funds (B)  
 Appropriation Acct. No.: S-XX-302-R

**Intended Purpose:**

To foster the development of responsive and reliable cable communications services for the people of Hawaii, by promoting the public interest in authorizations by the State regarding cable franchises; regulate basic cable rates and service to ensure compliance with applicable State and Federal law; expand and operate the statewide Institutional Network; and continue the availability of public, education and government cable access. Additional responsibilities due to Act 199 tasked CATV to implement activities to create ubiquitous access to high speed broadband at affordable prices throughout the State.

**Source of Revenues:**

Franchise Fees (HAR 16-132) paid by cable tv operators.

**Current Program Activities/Allowable Expenses:**

- Issues and enforces franchises for cable communications services.
- Promulgates and enforces rules and regulations governing the practices, procedures, quality and quantity of services including rates, and customer and technical operations of cable communications companies.
- Plans and encourages the development of new, improved and more effective utilization of cable communications services, equipment, and facilities including the State's Institutional Network (INET), and public, educational, and government (PEG) access resources and facilities, which will enhance communications services available to Hawaii's citizens.
- Support public and private efforts to enhance or facilitate deployment; making recommendations to establish affordable, accessible broadband services to un-served and underserved areas; increase usage and demand by facilitating deployment of expanded applications such as telework, telemedicine, e-learning; and assist in implementing recommendations in the Hawaii Broadband Task Force Report of December 2008, and the goals of the Governor's Hawaii Broadband Initiative of August 2011.

**Purpose of Proposed Ceiling Increase (if applicable):**

Fringe benefits

Pursuant to Finance Memorandum No. 16-12, the State's fringe benefit assessment rate for non-general fund programs increases to 60% for FY 18 and FY 19 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

**Variances:**

Variance in revenues from FY14 to FY15 is due to the increase in franchise fees collected from cable operators from about 4.64% to the 5% limit allowed pursuant to federal law which was implemented in FY15. Variance in expenditures from FY 15 to FY 16 is due to vacancies. Variance in expenditures from FY16 to FY17 and future years relate to: (1) anticipated management audits and other cable related matters using expert consulting service contracts for work related to the Legislature and to assist in anticipated large proceedings (e.g., franchise renewals and PEG designations); and (2) increased broadband activities required of the Department. In addition, FY17 expenditures also include FY16 encumbrances for expert services.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,110,809	2,391,537	2,391,537	2,534,951	2,559,971	2,609,370	2,609,370
Beginning Cash Balance	3,336,867	3,477,137	4,076,170	5,289,972	6,755,529	6,855,209	6,623,396
Revenues 1/	2,046,102	2,102,851	2,772,685	2,873,488	2,733,000	2,733,000	2,733,000
Expenditures	1,524,055	1,068,949	1,168,374	1,048,549	2,307,309	2,609,370	2,609,370
Transfers							
List each by JV# and date							
JS1232 9/8/15				(89,845)			
JS3248 12/5/15				(89,845)			
JS4334 2/12/16				(89,845)			
JS5512 4/18/16				(89,847)			
Net Total Transfers	(381,777)	(434,869)	(390,509)	(359,382)	(326,011)	(355,443)	(354,222)
Ending Cash Balance	3,477,137	4,076,170	5,289,972	6,755,529	6,855,209	6,623,396	6,392,804
Encumbrances	273,382	708,919	513,198	814,413			
Unencumbered Cash Balance	3,203,755	3,367,251	4,776,774	5,941,116	6,855,209	6,623,396	6,392,804

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2016.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Commerce and Consumer Affairs  
 Prog ID(s): CCA-102  
 Name of Fund: Funds held outside the State Treasury by Time Warner  
 Legal Authority: Decision & Order No. 346, Section IV.I.4

Contact Name: Glen Chock  
 Phone: 586-2620  
 Fund type (MOF): Funds held outside of State Treasury  
 Appropriation Acct. No. T-15-907

**Intended Purpose:**

Franchise fees over the cap amount that is paid by Oceanic Time Warner Cable, LLC (Oceanic) to Olelo are provided to DCCA for INET/Broadband purposes.

**Source of Revenues:**

Franchise fees (HAR 16-132) paid by cable tv operators.

**Current Program Activities/Allowable Expenses:**

Expenses related to expansion and upgrade of the Institutional Network (INET); and any other expenses/activities relating to broadband.

**Purpose of Proposed Ceiling Increase (if applicable):**

Increase ceiling to provide funds to support projects and programs to (1) strengthen and extend the State's INET for state and county government; and (2) facilitate the expansion of broadband access to unserved and underserved areas across the State through a pilot WiFi project.

**Variances:**

Variance in revenues each year arise due to changes in Oceanic's gross revenues, which are based on the number of customers and cable packages that customers subscribe to. The variance for FY15 expenditure reflects the payment made by the Department to DLIR for a grant to Hawaii Public Television, authorized by the 2014 Legislature as reflected in the appropriation ceiling increase for FY15. Expenditure variances in FY18 and FY19 are due to anticipated program activities to extend the State's INET for state and county government, and to expand access to broadband through the Department's pilot WiFi project in unserved and underserved areas in the State, upon Legislative approval of ceiling increase requests for FY18 and FY19.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			2,000,000	0	0	3,144,640	2,620,676
Beginning Cash Balance	4,619,445	6,313,011	7,742,119	6,763,426	7,569,895	8,369,895	6,000,255
Revenues	1,693,566	1,429,108	1,021,307	806,469	800,000	775,000	750,000
Expenditures			2,000,000	0	0	3,144,640	2,620,676
Transfers							
List each by JV# and date			0				
Net Total Transfers			0				
Ending Cash Balance	6,313,011	7,742,119	6,763,426	7,569,895	8,369,895	6,000,255	4,129,579
Encumbrances							
Unencumbered Cash Balance	6,313,011	7,742,119	6,763,426	7,569,895	8,369,895	6,000,255	4,129,579

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Commerce and Consumer Affairs</u>	Contact Name:	<u>Admin. Services</u>
Prog ID(s):	<u>CCA-103</u>	Phone:	<u>586-2830</u>
Name of Fund:	<u>CRF-PUC Spcl Fnd Allocation-Consumer Advocacy</u>	Fund type (MOF):	<u>Special Funds (B)</u>
Legal Authority:	<u>Section 269-33, HRS</u>	Appropriation Acct. No.:	<u>S-XX-303-R</u>

**Intended Purpose:**

To fund the operations of the Division of Consumer Advocacy.

**Source of Revenues:**

Public utility fees paid to the public utilities commission pursuant to HRS §269-30, and placed in the public utilities commission special fund. Pursuant to HRS §269-33, on a quarterly basis, an amount is allocated in accordance with legislative appropriations to the DCA and deposited in the compliance resolution fund.

**Current Program Activities/Allowable Expenses:**

To represent, advance and protect the interests of consumers of regulated utility and transportation services. The division is a party to every proceeding before the Hawaii Public Utilities Commission. The division participates in, among other things, reviews of utility and transportation companies' requests to increase rates, service reliability investigations, energy utility integrated resource plans, and capital improvement projects for utilities. In addition, the division strives to promote effective competition and consumer protection through contested cases and rule making proceedings regarding telecommunications and electric power infrastructure development.

**Purpose of Proposed Ceiling Increase (if applicable):**

N/A.

**Variations:**

**Revenue variance:** The reason for the variance in revenues is caused by new procedures, whereby monies from the PUC are reflected as transfers in the relevant quarter, as opposed to prior years where the monies were recorded in aggregate as revenues. Otherwise, there is no significant variances in revenues

**Expenditures variance:** In FY2016, the major proceeding was Docket No. 2015-0022, wherein the proposed merger/acquisition involving NextEra Energy and the Hawaiian Electric Companies was being investigated. As a result of this proceeding, the Division hired various consultants to help analyze the transaction and possible resulting governance structure, if the requested relief were granted. The overall increase in spending due to 2015-0022 was offset by certain projects being put in abeyance until the merger proceeding was completed. Due to these other projects not moving forward in FY 2016 as originally expected, the Division was not required to spend as much money on consultants and other docket related costs in FY 2016. With the termination of 2015-0022, the proceedings that were delayed are now becoming active again.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,938,787	3,031,508	3,830,936	4,159,141	4,241,213	4,230,174	4,230,174
Beginning Cash Balance	4,275,160	4,695,037	5,399,924	6,423,685	7,476,675	7,427,766	6,860,503
Revenues 1/	2,715,111	3,092,514	3,943,435	42,101	20,000	20,000	20,000
Expenditures	1,758,522	1,835,200	2,290,353	2,574,933	3,767,841	4,241,213	4,241,213
Transfers							
List each by JV# and date							
JS0713 8/17/15				1,039,785			
JS1810 10/5/15				1,045,251			
JS3944 1/25/16				1,045,215			
JS6739 6/16/16				1,045,216			
JS1232 9/8/15				(147,411)			
JS3248 12/5/15				(147,411)			
JS4334 2/12/16				(147,411)			
JS5512 4/18/16				(147,412)			
Net Total Transfers	(536,712)	(552,427)	(629,321)	3,585,822	3,698,932	3,653,950	3,655,928
Ending Cash Balance	4,695,037	5,399,924	6,423,685	7,476,675	7,427,766	6,860,503	6,295,218
Encumbrances	844,196	1,251,510	1,882,450	1,735,797			
Unencumbered Cash Balance	3,850,841	4,148,414	4,541,235	5,740,878	7,427,766	6,860,503	6,295,218

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2016.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Post-Katrina Emergency Management Reform Act of 2006,  
 Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-13-204-G  
 Emergency Management  
 Performance Grants  
 CFDA No. 97.042

Intended Purpose: The Emergency Management Performance Grant Program is to support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expense Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,786,522	770,665	0	0	0	0	0
Beginning Cash Balance	0	61,654	36,331	(0)	(0)	(0)	0
Revenues	2,603,542	704,873	0	0	0	0	0
Expenditures	2,656,020	668,974	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6453 5/31/13	59,510						
JS6453 5/31/13	401						
JS6442 5/31/13	365						
JS6453 5/31/13	53,855						
JS3644 12/31/13		(61,134)					
JS1381 9/11/13		(89)					
JS6599 5/26/15			(36,331)				
Net Total Transfers	114,132	(61,223)	(36,331)	0	0	0	0
Ending Cash Balance	61,654	36,331	(0)	(0)	(0)	0	0
Encumbrances	770,665	0	0	0	0	0	0
Unencumbered Cash Balance	(709,011)	36,331	(0)	(0)	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Post-Katrina Emergency Management Reform Act of 2006,  
Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No. S-14-204-G  
 Emergency Management  
 Performance Grants  
 CFDA No. 97.042

Intended Purpose: The Emergency Management Performance Grant Program is to support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expense Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures Revenues and expenditures in FY15 are much higher than FY16's because the majority of contracts and agreements have been executed before FY16. Less activities take place in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	6,732,522	3,893,983	806,629	0	0	0
Beginning Cash Balance	0	0	5,872	218,153	119,862	119,862	0
Revenues	0	2,806,449	3,260,613	495,246	0	0	0
Expenditures	0	2,861,799	3,087,354	408,147	0	119,862	0
Transfers							
List each by JV# and date							
JS3644 12/31/13		61,134					
JS1381 9/11/13		89					
JS5065 3/10/15			633				
JS6599 5/26/15			38,388				
JS0720 8/14/15				(185,390)			
Net Total Transfers	0	61,223	39,021	(185,390)	0	0	0
Ending Cash Balance	0	5,872	218,153	119,862	119,862	(0)	0
Encumbrances	0	588,324	612,625	0	0	0	0
Unencumbered Cash Balance	0	(582,451)	(394,472)	119,862	119,862	(0)	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-15-204-G  
 Emergency Management  
 Performance Grants  
 CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures Revenues & expenditures in FY16 are much higher than FY15's because the grant was awarded in late October 2014. It takes time to plan and execute agreements, so the majority of revenues and expenditures were collected and paid to vendors in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			29,646,030	29,608,567	27,004,008	26,267,336	0
Beginning Cash Balance			0	13,782	109,604	182,932	184,757
Revenues			127,264	2,514,991	810,000	93,825	0
Expenditures			112,849	2,604,559	736,672	92,000	184,757
Transfers							
List each by JV# and date							
JS5065 3/10/15			(633)				
JS0720 8/14/15				185,390			
Net Total Transfers			(633)	185,390	0	0	0
Ending Cash Balance			13,782	109,604	182,932	184,757	0
Encumbrances			0	122,966	176,800	0	0
Unencumbered Cash Balance			13,782	(13,362)	6,132	184,757	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No. S-16-204-G  
 Emergency Management  
 Performance Grants  
 CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				3,548,573	3,216,522	1,131,521	386,615
Beginning Cash Balance				0	72,015	32,015	189,015
Revenues				404,066	2,045,000	901,907	197,600
Expenditures				332,051	2,085,000	744,907	361,350
Transfers							
List each by JV# and date							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance			0	72,015	32,015	189,015	25,265
Encumbrances			0	556,937	396,500	207,500	0
Unencumbered Cash Balance			0	(484,922)	(364,485)	(18,485)	25,265

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017  
Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: The National Earthquake Hazards Reduction Act of 2004,  
 Public Law 108-360, 42 U.S.C 7704(a)(2)(B)

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No. S-13-207-G  
Earth Quake Hazard Reduction

Intended Purpose: The purpose of this funding is to 1) deliver and increase awareness and education; 2) development policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Purchase specialized equipment by responders to improve Hawaii's response capability to earthquake hazard.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	55,000	1,218	0	0	0	0	0
Beginning Cash Balance	0	3,451	0	0	0	0	0
Revenues	10,163	978	0	0	0	0	0
Expenditures	7,638	978	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6453 5/31/13	926						
JS5367 4/8/14		(3,451)					
Net Total Transfers	926	(3,451)	0	0	0	0	0
Ending Cash Balance	3,451	0	0	0	0	0	0
Encumbrances	1,218	0	0	0	0	0	0
Unencumbered Cash Balance	2,233	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: The National Earthquake Hazards Reduction Act of 2004,  
 Public Law 108-360, 42 U.S.C 7704(a)(2)(B)

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No. S-14-207-G  
Earth Quake Hazard Reduction

Intended Purpose: The purpose of this funding is to 1) deliver and increase awareness and education; 2) development policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Purchase specialized equipment by responders to improve Hawaii's response capability to earthquake hazard.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No variances

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		27,149	0	673	0	0	0
Beginning Cash Balance	0	0	1,389	1,389	1,389	0	0
Revenues		24,414	0	0	0	0	0
Expenditures		26,476	0	0	1,389	0	0
Transfers							
List each by JV# and date							
JS5367 4/8/14		3,451					
Net Total Transfers	0	3,451	0	0	0	0	0
Ending Cash Balance	0	1,389	1,389	1,389	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	1,389	1,389	1,389	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2002, Public Law 107-295, 46 U.S.C. 70107

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-208-G  
 (Port Security Grant)  
 CFDA No. 97.111

Intended Purpose: To directly support maritime transportation infrastructure security activities

Source of Revenues: Maritime Transportation Security Act

Current Program Activities/Allowable Expenses: Allowable expenditures are based on the NOFO but support governance, maritime domain, IEDs and CBRNE, cybersecurity, security risk mitigation projects for port resilience and recovery, training and exercises and transportation worker identification credentialing.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures Revenues and expenditures in FY15 are much higher than FY16's because the majority of contracts and agreements have been executed before FY16. Less activities take place in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,695,860	5,636,007	18,129	0	0	0	0
Beginning Cash Balance	0	361,266	230,516	(0)	(0)	(0)	0
Revenues	5,316,335	5,290,166	11,016	0	0	0	0
Expenditures	4,955,620	5,420,916	9,895	0	0	0	0
Transfers							
List each by JV# and date							
JS1316 9/19/12	551						
JS4438 2/5/15			(174,204)				
JS1517 9/16/14			(56,534)				
JS1623 9/22/14			(899)				
Net Total Transfers	551	0	(231,637)	0	0	0	0
Ending Cash Balance	361,266	230,516	(0)	(0)	(0)	(0)	0
Encumbrances	5,636,007	18,129	0	0	0	0	0
Unencumbered Cash Balance	(5,274,740)	212,387	(0)	(0)	(0)	(0)	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2002, Public Law 107-295, 46 U.S.C. 70107

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-208-G  
 (Port Security Grant)  
 CFDA No. 97.111

Intended Purpose: To directly support maritime transportation infrastructure security activities

Source of Revenues: Maritime Transportation Security Act

Current Program Activities/Allowable Expenses: Allowable expenditures are based on the NOFO but support governance, maritime domain, IEDs and CBRNE, cybersecurity, security risk mitigation projects for port resilience and recovery, training and exercises and transportation worker identification credentialing.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures Revenues and expenditures in FY15 are much higher than FY16's because the majority of contracts and agreements have been executed before FY16. Less activities take place in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	19,120,807	17,310,757	10,556,602	0	0	0
Beginning Cash Balance	0	0	9,736	259,452	1,820	(0)	0
Revenues	0	1,819,586	6,765,256	2,087,690	0	0	0
Expenditures	0	1,809,850	6,754,155	2,345,322	1,820	0	0
Transfers							
List each by JV# and date							
JS4077 1/20/15			7,193				
JS4438 2/5/15			174,204				
JS6860 6/9/15			685				
JS1517 9/16/14			56,534				
Net Total Transfers	0	0	238,615	0	0	0	0
Ending Cash Balance	0	9,736	259,452	1,820	(0)	(0)	0
Encumbrances	0	5,910,435	5,928,564	0	0	0	0
Unencumbered Cash Balance	0	(5,900,699)	(5,669,112)	1,820	(0)	(0)	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2002, Public Law 107-295, 46 U.S.C. 70107

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No. S-15-208-G  
 (Port Security Grant)  
 CFDA No. 97.111

Intended Purpose: To directly support maritime transportation infrastructure security activities

Source of Revenues: Maritime Transportation Security Act

Current Program Activities/Allowable Expenses: Allowable expenditures are based on the NOFO but support governance, maritime domain, IEDs and CBRNE, cybersecurity, security risk mitigation projects for port resilience and recovery, training and exercises and transportation worker identification credentialing.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures Revenues & expenditures in FY16 are much higher than FY15's because the grant was awarded in late October 2014. It takes time to plan and execute agreements, so the majority of revenues and expenditures were collected and paid to vendors in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			3,900,000	3,900,000	3,047,150	0	0
Beginning Cash Balance				238,620	8,829	0	0
Revenues			238,620	623,059	0	0	0
Expenditures			0	852,850	8,829	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	238,620	8,829	0	0	0
Encumbrances				8,829	0	0	0
Unencumbered Cash Balance	0	0	238,620	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-13-209-G  
 (Homeland Security Grant)  
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,732,572	1,000,596	0	0	0	0	0
Beginning Cash Balance	0	92,616	0	0	0	0	0
Revenues	10,908,491	788,994	0	0	0	0	0
Expenditures	10,769,557	935,520	0	0	0	0	0
Transfers							
List each by JV# and date							
JS4311 1/24/13	(82,890)						
JS6612 6/7/13	(159,000)						
JS1315 9/19/12	195,571						
JS4586 2/17/14		(77,157)					
JS2659 10/31/12		131,067					
Net Total Transfers	(46,319)	53,910	0	0	0	0	0
Ending Cash Balance	92,616	0	0	0	0	0	0
Encumbrances	1,000,596	0	0	0	0	0	0
Unencumbered Cash Balance	(907,980)	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-14-209-G  
 (Homeland Security Grant)  
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variiances: FY15 and FY16 Revenues and Expenditures Revenues and expenditures in FY15 are much higher than FY16's because the majority of contracts and agreements have been executed before FY16. Less activities take place in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		15,992,659	7,909,831	2,142,052	0	0	0
Beginning Cash Balance		0	42,999	68,988	20,242	0	0
Revenues		8,115,779	5,793,768	1,720,352	28,880	0	0
Expenditures		8,082,828	5,767,779	1,769,098	49,122	0	0
Transfers							
List each by JV# and date							
JS4313 2/12/14		(67,110)					
JS4586 2/27/14		77,157					
Net Total Transfers	0	10,047	0	0	0	0	0
Ending Cash Balance	0	42,999	68,988	20,242	(0)	0	0
Encumbrances	0	502,877	62,434	0	0	0	0
Unencumbered Cash Balance	0	(459,879)	6,554	20,242	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-15-209-G  
 (Homeland Security Grant)  
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variiances: FY15 and FY16 Revenues and Expenditures Revenues & expenditures in FY16 are much higher than FY15's because the grant was awarded in late October 2014. It takes time to plan and execute agreements, so the majority of revenues and expenditures were collected and paid to vendors in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			3,733,000	3,652,445	1,728,667	0	0
Beginning Cash Balance			0	14	69,840	0	0
Revenues			80,569	1,993,605	1,658,827	0	0
Expenditures			80,555	1,923,779	1,728,667	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	14	69,840	0	0	0
Encumbrances			70,935	82,500	0	0	0
Unencumbered Cash Balance	0	0	(70,921)	(12,660)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-16-209-G  
 (Homeland Security Grant)  
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,800,000	3,576,674	671,675	0
Beginning Cash Balance				0	36,994	1,604	0
Revenues				194,819	2,868,006	670,071	0
Expenditures				157,826	2,905,000	671,675	0
Transfers							
List each by JV# and date							
JS1173 9/20/16					1,604		
Net Total Transfers	0	0	0	0	1,604	0	0
Ending Cash Balance	0	0	0	36,994	1,604	0	0
Encumbrances				52,994	0	0	0
Unencumbered Cash Balance	0	0	0	(16,000)	1,604	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-210-G (Starbase)  
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures Revenues and expenditures in FY16 are much smaller than those in FY15 because the majority of contracts and agreements have been executed in FY15. Less activities take place in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	300,000	65,034	0	0	0
Beginning Cash Balance	0	0	0	319,606	(0)	(0)	(0)
Revenues	0	0	185,821	36,861	0	0	0
Expenditures	0	0	234,966	0	0	0	0
Transfers							
List each by JV# and date							
JS0887 8/19/14			368,751				
JS5242 4/6/16				(36,861)			
JS0376 7/29/15				(319,606)			
Net Total Transfers	0	0	368,751	(356,467)	0	0	0
Ending Cash Balance	0	0	319,606	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	319,606	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-16-210-G (Starbase)  
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY15 has no revenues or expenditures.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0		400,000	119,084	0	0
Beginning Cash Balance	0	0		0	166,225	0	0
Revenues	0	0		90,675	135,111	0	0
Expenditures	0	0		280,916	135,111	0	0
Transfers							
List each by JV# and date							
JS5242 4/6/16				36,861			
JS0376 7/29/15				319,606			
JS0165 7/21/16					(166,225)		
Net Total Transfers	0	0	0	356,467	(166,225)	0	0
Ending Cash Balance	0	0	0	166,225	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	166,225	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No. S-13-213-G  
 (Pre-Disaster Mitigation)

Intended Purpose: To provide funding support to states, Indian tribal governments, territories, and communities for pre-disaster mitigation planning and projects primarily addressing natural hazards.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	80,336	0	0	0	0	0
Beginning Cash Balance	0	6,559	6,559	0	0	0	0
Revenues	262,163	80,336	0	0	0	0	0
Expenditures	255,649	15,389	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6442 5/31/13	45						
JS3589 12/31/13		(64,947)					
JS6600 5/26/15			(6,559)				
Net Total Transfers	45	(64,947)	(6,559)	0	0	0	0
Ending Cash Balance	6,559	6,559	0	0	0	0	0
Encumbrances	80,336	0	0	0	0	0	0
Unencumbered Cash Balance	(73,777)	6,559	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-14-213-G  
 (Pre-Disaster Mitigation)

Intended Purpose: To provide funding support to states, Indian tribal governments, territories, and communities for pre-disaster mitigation planning and projects primarily addressing natural hazards.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY16 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		445,583	380,636	355,104	0	0	0
Beginning Cash Balance		0	0	6,559	6,559	0	0
Revenues		15,389	25,532	0	0	0	0
Expenditures		64,947	25,532	0	6,559	0	0
Transfers							
List each by JV# and date							
JS3589 12/31/13		64,947					
JS3590 12/31/13		(15,389)					
JS6600 5/26/15			6,559				
Net Total Transfers	0	49,558	6,559	0	0	0	0
Ending Cash Balance	0	0	6,559	6,559	(0)	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	6,559	6,559	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No. S-13-214-G  
 (National Tsunami Hazard  
 Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No variances

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	964,000	418,781	0	0	0	0	0
Beginning Cash Balance	0	66,818	15,743	(0)	(0)	(0)	(0)
Revenues	444,730	334,994	0	0	0	0	0
Expenditures	387,478	386,069	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6442 5/31/13	1						
JS6453 5/31/13	4,246						
JS1062 8/31/12	5,320						
JS1229 8/31/14			(15,743)				
Net Total Transfers	9,566	0	(15,743)	0	0	0	0
Ending Cash Balance	66,818	15,743	(0)	(0)	(0)	(0)	(0)
Encumbrances	418,781	0	0	0	0	0	0
Unencumbered Cash Balance	(351,962)	15,743	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No. S-14-214-G  
 (National Tsunami Hazard  
 Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No variances - revenues and expenditures between FY15 & FY16 don't have much differences.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	575,991	394,779	262,618	0	0	0
Beginning Cash Balance	0	0	0	17,906	3,622	(0)	(0)
Revenues		181,212	124,449	98,641	0	0	0
Expenditures		181,212	132,161	123,367	3,622	0	0
Transfers							
List each by JV# and date							
JS4143 1/22/15			9,875				
JS1229 8/31/14			15,743				
JS5271 4/7/16				10,443			
Net Total Transfers	0	0	25,618	10,443	0	0	0
Ending Cash Balance	0	0	17,906	3,622	(0)	(0)	(0)
Encumbrances		59,286	126,472	0	0	0	0
Unencumbered Cash Balance	0	(59,286)	(108,566)	3,622	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No. S-16-214-G  
 (National Tsunami Hazard  
 Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY15 has no revenues or expenditures.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				495,943	481,178	0	0
Beginning Cash Balance				0	13,541	2,354	2,354
Revenues				153,991	51,620	0	0
Expenditures				140,450	62,806	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	13,541	2,354	2,354	2,354
Encumbrances	0	0	0	229,808	0	0	0
Unencumbered Cash Balance	0	0	0	(216,268)	2,354	2,354	2,354

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-217-G  
 (Hawaii Army National Guards)  
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures There are differences in expenditures between FY15 & FY16. The reason was the majority of contracts and agreements have been executed before FY16. Less activities take place in FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	27,362,496	5,607,234	494,913	111,006	0	0	0
Beginning Cash Balance	0	5,101,750	773,223	147,151	61,275	(0)	(0)
Revenues	19,527,802	84,468	0	0	0	0	0
Expenditures	11,810,555	4,912,995	373,956	68,875	0	0	0
Transfers							
List each by JV# and date							
JS0286 7/24/12	2,000,000						
JS1675 10/11/12	(466,913)						
JS1895 10/19/12	(4,000,000)						
JS3127 11/8/12	(148,585)						
JS4858 3/12/14		1,500,000					
JS0683 8/8/13		(1,000,000)					
JS6222 5/6/15			(152,117)				
JS0550 7/31/14			(100,000)				
JS0376 7/29/15				(17,000)			
JS0165 7/21/16					(61,275)		
Net Total Transfers	(2,615,498)	500,000	(252,117)	(17,000)	(61,275)	0	0
Ending Cash Balance	5,101,750	773,223	147,151	61,275	(0)	(0)	(0)
Encumbrances	5,607,234	494,913	111,006	0	0	0	0
Unencumbered Cash Balance	(505,484)	278,310	36,145	61,275	(0)	(0)	(0)

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-217-G  
 (Hawaii Army National Guards)  
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures Revenues and expenditures in FY15 are much higher than FY16's because the majority of contracts and agreements have been executed before FY16. Less activities take place in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		31,646,617	17,489,385	10,853,331	10,350,430	9,965,286	0
Beginning Cash Balance		0	5,507,858	1,377,990	545,089	159,945	0
Revenues		19,906,979	192,967	0	0	0	0
Expenditures		14,224,765	6,636,054	502,901	385,144	159,945	0
Transfers							
List each by JV# and date							
JS4365 2/14/14		4,944					
JS4858 3/12/14		(1,500,000)					
JS0683 8/8/13		1,000,000					
JS0839 8/19/13		500,000					
JS3166 11/30/13		(179,300)					
JS6222 5/6/15			(1,686,781)				
JS0887 8/19/14			(1,000,000)				
JS2757 11/14/14			5,000,000				
JS0376 7/29/15				(330,000)			
Net Total Transfers	0	(174,356)	2,313,219	(330,000)	0	0	0
Ending Cash Balance	0	5,507,858	1,377,990	545,089	159,945	0	0
Encumbrances		7,811,028	1,040,991	157,945	157,945	0	0
Unencumbered Cash Balance	0	(2,303,170)	336,999	387,144	2,000	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-217-G  
 (Hawaii Army National Guards)  
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures The majority of revenues & expenditures were collected and paid in FY15 for contracts and agreement signed in FY15 to allow Department of Defense to build and maintain the facilities in that year. DOD continued to bill NGB for projects completed afterward, but less activities take place in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			36,389,000	22,365,398	18,151,749	16,721,974	16,631,006
Beginning Cash Balance			0	5,302,389	1,958,267	528,492	437,524
Revenues			21,278,962	1,569,527	0	0	0
Expenditures			14,249,754	4,213,648	1,429,775	90,968	437,524
Transfers							
JS6222 5/6/15			1,758,610				
JS0550 7/31/14			514,571				
JS0887 8/19/14			1,000,000				
JS2757 11/14/14			(5,000,000)				
JS0376 7/29/15				(700,000)			
Net Total Transfers	0	0	(1,726,819)	(700,000)	0	0	0
Ending Cash Balance	0	0	5,302,389	1,958,267	528,492	437,524	(0)
Encumbrances			4,917,409	528,042	437,524	0	0
Unencumbered Cash Balance	0	0	384,979	1,430,225	90,968	437,524	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-16-217-G  
 (Hawaii Army National Guards)  
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				28,500,000	15,966,432	7,798,197	1,923,197
Beginning Cash Balance				0	3,357,259	3,682,662	1,887,662
Revenues				14,880,397	7,725,000	4,080,000	0
Expenditures				12,533,568	8,168,235	5,875,000	1,200,000
Transfers							
JS4790 3/11/16				(168,638)			
JS6980 6/27/16				24,068			
JS0376 7/29/15				1,155,000			
JS0917 9/6/16					168,638		
XXXXX					600,000		
XXXXX						695,000	
XXXXX							
Net Total Transfers	0	0	0	1,010,430	768,638	695,000	0
Ending Cash Balance	0	0	0	3,357,259	3,682,662	1,887,662	687,662
Encumbrances				8,202,553	3,700,000	1,100,000	687,662
Unencumbered Cash Balance	0	0	0	(4,845,295)	(17,338)	787,662	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-218-G  
 (Hawaii Air National Guards)  
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures No variance

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,632,700	36,389	0	0	0	0	0
Beginning Cash Balance	0	794,291	368,751	0	0	0	0
Revenues	1,509,399	107,236	0	0	0	0	0
Expenditures	1,077,839	32,775	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6058 5/9/13	42,731						
JS0287 7/24/12	200,000						
JS0942 8/29/12	120,000						
JS0231 7/19/13		(500,000)					
JS0887 8/19/14			(368,751)				
Net Total Transfers	362,731	(500,000)	(368,751)	0	0	0	0
Ending Cash Balance	794,291	368,751	0	0	0	0	0
Encumbrances	36,389	0	0	0	0	0	0
Unencumbered Cash Balance	757,902	368,751	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-218-G  
 (Hawaii Air National Guards)  
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures No revenues or expenditures collected or paid in FY16. The projects have been completed in FY15. No computations can be done because FY16 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		3,423,276	1,993,551	1,947,637	0	0	0
Beginning Cash Balance		0	768,964	0	0	0	0
Revenues		1,722,686	284,960	0	0	0	0
Expenditures		1,448,778	45,914	0	0	0	0
Transfers							
List each by JV# and date							
JS4365 2/14/14		(4,944)					
JS0231 7/19/13		500,000					
JS6291 5/7/15			(308,011)				
JS0551 7/31/14			(700,000)				
Net Total Transfers	0	495,056	(1,008,011)	0	0	0	0
Ending Cash Balance	0	768,964	0	0	0	0	0
Encumbrances		48,403	0	0	0	0	0
Unencumbered Cash Balance	0	720,561	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-218-G  
 (Hawaii Air National Guards)  
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures The agreement was signed in FY15 for that year's projects. Less revenues and expenditures collected and paid in FY16 because the majority of projects have been completed in FY15. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			3,100,000	1,984,570	1,930,021	0	0
Beginning Cash Balance			0	688,604	2,516	0	0
Revenues			796,024	170,571	0	0	0
Expenditures			1,115,430	54,549	0	0	0
Transfers							
List each by JV# and date							
JS0551 7/31/14			700,000				
JS6291 5/7/15			308,011				
JS3614 1/8/16				(200,000)			
JS5242 4/6/16				(102,110)			
JS0376 7/29/15				(500,000)			
JS0165 7/21/16					(2,516)		
Net Total Transfers	0	0	1,008,011	(802,110)	(2,516)	0	0
Ending Cash Balance	0	0	688,604	2,516	0	0	0
Encumbrances			56,150	0	0	0	0
Unencumbered Cash Balance	0	0	632,455	2,516	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-16-218-G  
 (Hawaii Air National Guards)  
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				6,300,000	5,155,195	4,455,195	0
Beginning Cash Balance				0	235,340	39,513	0
Revenues				578,035	950,000	0	0
Expenditures				1,144,805	700,000	39,513	0
Transfers							
List each by JV# and date							
JS3614 1/8/16				200,000			
JS5242 4/6/16				102,110			
JS0376 7/29/15				500,000			
JS0165 7/21/16					(155,827)		
JS0817 8/30/16					(290,000)		
JS0841 8/31/16					(35,000)		
JS0916 9/6/16					35,000		
Net Total Transfers	0	0	0	802,110	(445,827)	0	0
Ending Cash Balance	0	0	0	235,340	39,513	0	0
Encumbrances			0	56,763	31,776	0	0
Unencumbered Cash Balance	0	0	0	178,577	7,737	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 114  
 Name of Fun: Hawaii Natl Guard Youth Challenge Academy  
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-219-G  
 (Youth Challenge Academy)  
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,568,699	235,315	0	0	0	0	0
Beginning Cash Balance		4,810	14,497	(0)	(0)	(0)	(0)
Revenues	3,809,155	915,438	0	0	0	0	0
Expenditures	4,525,714	145,751	0	0	0	0	0
Transfers							
List each by JV# and date							
JS5053 3/8/13	(9,500)						
JS5613 4/15/13	(5,000)						
JS5949 5/2/13	(5,000)						
JS0099 7/12/12	420,870						
JS0516 7/31/12	200,000						
JS1088 8/31/12	170,000						
JS1820 10/17/12	(50,000)						
JS4405 2/19/14		(440,000)					
JS0548 7/31/13		(200,000)					
JS2482 10/29/13		(120,000)					
JS1028 8/26/14			(14,497)				
Net Total Transfers	721,370	(760,000)	(14,497)	0	0	0	0
Ending Cash Balance	4,810	14,497	(0)	(0)	(0)	(0)	(0)
Encumbrances	235,315	0	0	0	0	0	0
Unencumbered Cash Balance	(230,504)	14,497	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 114  
 Name of Fur: Hawaii Natl Guard Youth Challenge Academy  
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-219-G  
 (Youth Challenge Academy)  
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY16 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		5,584,387	732,043	573,410	0	0	0
Beginning Cash Balance		0	495,555	36,922	(0)	(0)	(0)
Revenues		4,587,899	0	0	0	0	0
Expenditures		4,852,344	158,634	0	0	0	0
Transfers							
List each by JV# and date							
JS4405 2/19/14		440,000					
JS0548 7/31/13		200,000					
JS2482 10/29/13		120,000					
JS0312 7/24/14			(300,000)				
JS0617 8/10/15				(36,922)			
Net Total Transfers	0	760,000	(300,000)	(36,922)	0	0	0
Ending Cash Balance	0	495,555	36,922	(0)	(0)	(0)	(0)
Encumbrances	0	305,814	64,281	0	0	0	0
Unencumbered Cash Balance	0	189,741	(27,360)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 114  
 Name of Fun: Hawaii Natl Guard Youth Challenge Academy  
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-219-G  
(Youth Challenge Academy)  
CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures The agreement was signed in FY15 for that year's trainings. No residual funds were available for FY16 trainings under this agreement. The expenditures incurred in FY15 were paid in FY16. There are variances in revenues and expenditures between FY15 & FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			5,584,387	975,535	864,152	0	0
Beginning Cash Balance			0	222,760	(0)	(0)	(0)
Revenues			4,511,149	0	386	0	0
Expenditures			4,608,852	111,383	386	0	0
Transfers							
List each by JV# and date							
JS0312 7/24/14 (DOD JV15-006)			300,000				
JS1028 8/26/14 (DOD JV15-041)			20,464				
JS4022 1/28/16				3,622			
JS0617 8/10/15				(100,000)			
JS3361 12/23/15				(7,500)			
JS3455 12/30/15				(7,500)			
Net Total Transfers			320,464	(111,378)	0	0	0
Ending Cash Balance			222,760	(0)	(0)	(0)	(0)
Encumbrances			226,469	386	0	0	0
Unencumbered Cash Balance	0	0	(3,709)	(386)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 114  
 Name of Fur: Hawaii Natl Guard Youth Challenge Academy  
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-16-219-G  
 (Youth Challenge Academy)  
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				5,584,387	942,143	492,143	0
Beginning Cash Balance				0	146,235	46,235	0
Revenues				4,640,181	600,000	0	0
Expenditures				4,642,245	450,000	46,235	0
Transfers							
List each by JV# and date							
JS4022 1/28/16				(3,622)			
JS0617 8/10/15				136,922			
JS3361 12/23/15				7,500			
JS3455 12/30/15				7,500			
JS0693 8/22/16					(250,000)		
Net Total Transfers			0	148,299	(250,000)	0	0
Ending Cash Balance			0	146,235	46,235	0	0
Encumbrances				134,242	0	0	0
Unencumbered Cash Balance	0	0	0	11,993	46,235	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-220-G (Starbase)  
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY16 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	57,942	0	38,811	0	0	0	0
Beginning Cash Balance	0	38,811	38,811	0	0	0	0
Revenues	96,681	0	0	0	0	0	0
Expenditures	57,871	0	38,811	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	38,811	38,811	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	38,811	38,811	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Robert T. Stafford Disaster Relief and Emergency Assistance Act,  
 Public Law 93-288, as amended, 42 U.S.C. 5121-5206

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-223-G  
10/30/2004 Floods - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,200,000	0	0	0	0	0	0
Beginning Cash Balance	0	14,429	0	0	0	0	0
Revenues	394,196	0	0	0	0	0	0
Expenditures	394,196	0	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6453 5/31/13	14,429						
JS7023 6/24/14		(14,429)					
Net Total Transfers	14,429	(14,429)	0	0	0	0	0
Ending Cash Balance	14,429	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	14,429	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Robert T. Stafford Disaster Relief and Emergency Assistance Act,  
 Public Law 93-288, as amended, 42 U.S.C. 5121-5206

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-223-G  
10/30/2004 Floods - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY15 has no revenues or expenditures.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	252,770	248,623	248,623	192,416	0	0
Beginning Cash Balance	0	0	12,558	12,558	99	(0)	(0)
Revenues	0	2,275	0	43,748	0	0	0
Expenditures	0	4,147	0	56,207	99	0	0
Transfers							
List each by JV# and date							
JS7023 6/24/14		14,429					
Net Total Transfers	0	14,429	0	0	0	0	0
Ending Cash Balance	0	12,558	12,558	99	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	12,558	12,558	99	(0)	(0)	(0)

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-15-226-G  
(Urban Security Grant)  
CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters for City & County of Honolulu.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,000,000	431,805	0	0
Beginning Cash Balance				0	99,245	0	0
Revenues				667,440	332,560	0	0
Expenditures				568,195	431,805	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	99,245	0	0	0
Encumbrances				99,245	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-16-226-G  
 (Urban Security Grant)  
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters for City & County of Honolulu.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,500,000	2,980,874	331,029	0
Beginning Cash Balance				0	0	72,905	0
Revenues				19,126	2,722,750	258,124	0
Expenditures				19,126	2,649,845	331,029	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	72,905	0	0
Encumbrances				0	331,029	0	0
Unencumbered Cash Balance	0	0	0	0	(258,124)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No. S-13-234-G  
Civil Justice Corp Grant  
CFDA No. 17.261

Intended Purpose: To help former youth offenders, ages 18-24, reconnect with their communities and find pathways to success through community service and education.

Source of Revenues: U.S. Dept . Of Labor, Employment Training Administration

Current Program Activities/Allowable Expenses: Civic Justice Corp Grant

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	850,002	356,903	0	0	0	0	0
Beginning Cash Balance	0	47,413	0	0	0	0	0
Revenues	194,750	272,178	0	0	0	0	0
Expenditures	235,104	356,903	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6409 5/30/13	132,655						
JS2042 10/26/12	(46,246)						
JS3799 12/20/12	1,359						
JS4754 3/6/14		(13,221)					
JS1232 8/31/13		50,534					
Net Total Transfers	87,767	37,313	0	0	0	0	0
Ending Cash Balance	47,413	0	0	0	0	0	0
Encumbrances	356,903	0	0	0	0	0	0
Unencumbered Cash Balance	(309,490)	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No. S-14-234-G  
 Civil Justice Corp Grant  
 CFDA No. 17.261

Intended Purpose: To help former youth offenders, ages 18-24, reconnect with their communities and find pathways to success through community service and education.

Source of Revenues: U.S. Dept . Of Labor, Employment Training Administration

Current Program Activities/Allowable Expenses: Civic Justice Corp Grant

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY16 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	261,428	2,087	0	0	0	0
Beginning Cash Balance	0	0	2,112	25	25	0	0
Revenues	0	298,741	0	0	0	0	0
Expenditures	0	175,718	2,087	0	25	0	0
Transfers							
List each by JV# and date							
JS4754 3/6/14		13,221					
JS1232 8/31/13		(83,598)					
JS6306 5/22/14		(50,534)					
Net Total Transfers	0	(120,911)	0	0	0	0	0
Ending Cash Balance	0	2,112	25	25	(0)	0	0
Encumbrances	0	2,087	0	0	0	0	0
Unencumbered Cash Balance	0	25	25	25	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No. S-13-269-G  
 (Floods)

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	175,000	0	0	0	0	0	0
Beginning Cash Balance	0	44,265	44,265	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6453 5/31/13	44,265						
JS6601 5/26/15			(44,265)				
Net Total Transfers	44,265	0	(44,265)	0	0	0	0
Ending Cash Balance	44,265	44,265	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	44,265	44,265	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-269-G  
 (Floods)

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	43,583	43,583	43,583	0	0	0
Beginning Cash Balance	0	0	0	44,265	44,265	(0)	(0)
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	44,265	0	0
Transfers							
List each by JV# and date							
JS6601 5/26/15			44,265				
Net Total Transfers	0	0	44,265	0	0	0	0
Ending Cash Balance	0	0	44,265	44,265	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	44,265	44,265	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-292-G  
 March 2006 Flood - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,350,000	35,641	0	0	0	0	0
Beginning Cash Balance	0	46,896	11,254	0	0	0	0
Revenues	208,810	0	0	0	0	0	0
Expenditures	178,195	35,641	0	0	0	0	0
Transfers							
List each by JV# and date							
JS3968 12/31/12	(13,735.00)						
JS4160 1/15/13	(13,735.00)						
JS4674 2/15/13	27,470.00						
JS6453 5/31/13	2,160						
JS6453 5/31/13	14,121						
JS6442 5/31/13	0.17						
JS6602 5/26/15			(11,254)				
Net Total Transfers	16,281	0	(11,254)	0	0	0	0
Ending Cash Balance	46,896	11,254	0	0	0	0	0
Encumbrances	35,641	0	0	0	0	0	0
Unencumbered Cash Balance	11,254	11,254	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-292-G  
March 2006 Flood - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances. The revenues in FY16 are quite small.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	218,352	218,352	218,352	0	0
Beginning Cash Balance	0	0	0	11,254	11,420	0	0
Revenues	0	0	0	166	0	0	0
Expenditures	0	0	0	0	11,420	0	0
Transfers							
List each by JV# and date							
JS6602 5/26/15			11,254				
Net Total Transfers	0	0	11,254	0	0	0	0
Ending Cash Balance	0	0	11,254	11,420	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	11,254	11,420	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Earthquake Hazards Reduction Act of 1977,  
Public Law 95-124, 42 U.S.C 7701

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-293-G  
 KIHOLE BAY EARTHQUAKE - PA

Intended Purpose: The purpose of this funding is to 1) deliver and increase awareness and education; 2) development policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Purchase specialized equipment by responders to improve Hawaii's response capability to earthquake hazard.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,300,858	46,386	0	0	0	0	0
Beginning Cash Balance	0	110,002	0	0	0	0	0
Revenues	338,419	0	0	0	0	0	0
Expenditures	336,846	46,386	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6453 5/31/13	339						
JS6442 5/31/13	257						
JS6668 6/13/13	63,119						
JS6922 6/25/13	46,386						
JS0742 8/20/12	(1,673)						
JS2065 10/11/13		(63,616)					
Net Total Transfers	108,429	(63,616)	0	0	0	0	0
Ending Cash Balance	110,002	0	0	0	0	0	0
Encumbrances	46,386	0	0	0	0	0	0
Unencumbered Cash Balance	63,616	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Earthquake Hazards Reduction Act of 1977,  
Public Law 95-124, 42 U.S.C 7701

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-293-G  
 KIHOLE BAY EARTHQUAKE - PA

Intended Purpose: The purpose of this funding is to 1) deliver and increase awareness and education; 2) development policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Purchase specialized equipment by responders to improve Hawaii's response capability to earthquake hazard.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures: more revenues received and more payments made to fix diaster areas in FY15. It all depends on how fast FEMA approves the application or how fast the disaster victims respond and provide list of damaged properties or legal documents to allow FEMA to do the investigation. Every year varies. There are variances between FY15 and FY16 in revenues and expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	6,395,368	4,730,307	2,260,041	833,120	701,212	616,212
Beginning Cash Balance	0	0	240,326	1,047,179	168,923	174,918	89,918
Revenues	0	1,842,282	3,262,727	548,664	137,903	0	0
Expenditures	0	1,665,578	2,470,266	1,426,921	131,908	85,000	65,000
Transfers							
List each by JV# and date							
JS4972 3/19/14		6					
JS2065 10/11/13		63,616					
JS3920 1/13/15			14,392				
Net Total Transfers	0	63,622	14,392	0	0	0	0
Ending Cash Balance	0	240,326	1,047,179	168,923	174,918	89,918	24,918
Encumbrances	0	460,825	91,147	145,281	306,826	0	
Unencumbered Cash Balance	0	(220,499)	956,033	23,642	(131,908)	89,918	24,918

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-294-G  
**STORM/SURF/FLOOD/MUD**  
**12/4-7/2007 - PA**

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	700,000	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	66,057	0	0	0	0	0	0
Expenditures	66,057	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No. S-14-294-G  
**STORM/SURF/FLOOD/MUD**  
**12/4-7/2007 - PA**

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	635,530	0	0	0
Beginning Cash Balance	0	0	0	0	639	639	639
Revenues	0	0	0	394,774	0	0	0
Expenditures	0	0	0	394,135	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	639	639	639	639
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	639	639	639	639

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-295-G  
**SEVERE STORM/FLOOD**  
**DEC 10-16, 2008 - PA**

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	32,365	0	0	0	0	0
Beginning Cash Balance	0	49,041	0	0	0	0	0
Revenues	16,675	0	0	0	0	0	0
Expenditures	0	32,365	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6922 6/25/13	32,365						
JS5391 3/27/15		(16,675)					
Net Total Transfers	32,365	(16,675)	0	0	0	0	0
Ending Cash Balance	49,041	0	0	0	0	0	0
Encumbrances	32,365	0	0	0	0	0	0
Unencumbered Cash Balance	16,675	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-295-G  
SEVERE STORM/FLOOD  
DEC 10-16, 2008 - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY16 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	359,949	338,733	115,202	115,202	115,202
Beginning Cash Balance	0	0	0	95,642	95,642	95,642	95,642
Revenues	0	0	100,183	0	0	0	0
Expenditures	0	0	21,216	0	0	0	0
Transfers							
List each by JV# and date							
JS5391 3/27/15			16,675				
Net Total Transfers	0	0	16,675	0	0	0	0
Ending Cash Balance	0	0	95,642	95,642	95,642	95,642	95,642
Encumbrances	0	0	115,202	115,202	115,202	115,202	115,202
Unencumbered Cash Balance	0	0	(19,559)	(19,559)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-296-G  
**MARCH 11, 2011 TSUNAMI WAVES-PA**

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	800,000	36,535	0	0	0	0	0
Beginning Cash Balance	0	22,803	3	0	0	0	0
Revenues	130,586	13,735	0	0	0	0	0
Expenditures	100,362	36,535	3	0	0	0	0
Transfers							
List each by JV# and date							
JS3968 12/31/12	13,735						
JS4160 1/15/13	13,735						
JS4160 1/15/13	3						
JS4674 2/15/13	(27,470)						
JS6442 5/31/13	3						
JS6922 6/25/13	(7,423)						
JS2060 10/29/12	(3)						
Net Total Transfers	(7,420)	0	0	0	0	0	0
Ending Cash Balance	22,803	3	0	0	0	0	0
Encumbrances	36,535	0	0	0	0	0	0
Unencumbered Cash Balance	(13,732)	3	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-296-G  
**MARCH 11, 2011 TSUNAMI WAVES-PA**

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: Both revenues collected and expenses paid are less in FY15 than in FY 16. It takes time to do the estimate for damaged areas and be qualified for the assistance from FEMA. It shows that more revenues received and more payments paid to diaster victims in FY16. It all depends on how fast FEMA approves the application or how fast the disaster victims respond and provide list of damaged properties or legal documents to allow FEMA to do the investigation. Every year varies. There are variances between FY15 and FY16 in revenues and expenditures. But the differences are quite small.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	4,902,743	4,845,950	4,827,789	915,295	700,401	500,000
Beginning Cash Balance	0	0	0	116,444	117,544	102,650	62,249
Revenues	0	41,404	148,996	195,455	200,000	160,000	280,000
Expenditures	0	56,793	18,160	194,355	214,894	200,401	300,000
Transfers							
List each by JV# and date							
JS3590 12/31/13	0	15,389					
JS3920 1/13/15			(14,392)				
Net Total Transfers	0	15,389	(14,392)	0	0	0	0
Ending Cash Balance	0	0	116,444	117,544	102,650	62,249	42,249
Encumbrances	0	0	116,621	915,295	700,401	500,000	200,000
Unencumbered Cash Balance	0	0	(177)	(797,751)	(597,751)	(437,751)	(157,751)

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-297-G  
SEVERE STORM/FLOOD MAR 3-11,  
2012 - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	0	0	0	0	0	0
Beginning Cash Balance	0	29,242	29,242	0	0	0	0
Revenues	886,735	0	0	0	0	0	0
Expenditures	798,978	0	0	0	0	0	0
Transfers							
List each by JV# and date							
JS4160 1/15/13	(3)						
JS6922 6/25/13	(71,328)						
JS1939 10/23/12	12,813						
JS2060 10/29/12	3						
JS6604 5/26/15			(29,242)				
Net Total Transfers	(58,515)	0	(29,242)	0	0	0	0
Ending Cash Balance	29,242	29,242	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	29,242	29,242	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-297-G  
SEVERE STORM/FLOOD MAR 3-11,  
2012 - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 and FY16 Revenues and Expenditures: more revenues received and more payments made to fix diaster areas in FY15. It all depends on how fast FEMA approves the application or how fast the disaster victims respond and provide list of damaged properties or legal documents to allow FEMA to do the investigation. Every year varies. There are variances between FY15 and FY16 in revenues and expenditures. But the differences are quite small.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	2,720,253	2,340,588	2,317,050	0	0	0
Beginning Cash Balance	0	0	0	31,826	32,052	32,052	32,052
Revenues	0	379,665	26,122	11,167	0	0	0
Expenditures	0	379,665	23,538	10,940	0	0	0
Transfers							
List each by JV# and date							
JS6604 5/26/15			29,242				
Net Total Transfers	0	0	29,242	0	0	0	0
Ending Cash Balance	0	0	31,826	32,052	32,052	32,052	32,052
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	31,826	32,052	32,052	32,052	32,052

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEF  
 Prog ID(s): DEF 110  
 Name of Fund: DHS(BESSD) - DOD TANF FUNDS  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): U  
 Appropriation Acct. No. S-13-315-G  
HDS - DOD TANF Funds

Intended Purpose: To provide afterschool positive youth development programs that are designed to help at-risk and not-at-risk youth, ages 10-19, statewide, focus on alternative activities, family strengthening, prevention & reduction of teen pregnancies/drug use.

Source of Revenues: Federal funds from Temporary Assistance to Needy Families (TANF)

Current Program Activities/Allowable Expenses: After-School and year-round customized program designed to meet the youth elements of targeted groups of youth on Kauai, Hawaii, Oahu, and Maui.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: FY15 and FY16 Revenues and Expenditures: No variations

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	86,827	0	0	0	0	0	0
Beginning Cash Balance	0	18,451	18,451	18,451	18,451	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	18,451	0	0
Transfers							
List each by JV# and date							
JS0857 8/24/12	18,451						
Net Total Transfers	18,451	0	0	0			
Ending Cash Balance	18,451	18,451	18,451	18,451	0	0	0
Encumbrances							
Unencumbered Cash Balance	18,451	18,451	18,451	18,451	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: State & Local Implementation Grant  
 Legal Author: Act of 2012, Public Law 112-96

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-500-G  
State & Local Implementation  
CFDA No. 11.549

Intended Purpose: To provide resources to assist regional, state, local, and tribal government entities as they plan for the nationwide public safety broadband network (PSBN) being developed by the First Responder Network Authority (FirstNet).

Source of Revenues: Middle Class Tax Relief and Job Creation Act of 2012 (Act).

Current Program Activities/Allowable Expenses: Funds provide the state with the resources to coordinate and collaborate with stakeholders to identify needs, gaps, and priorities for public safety wireless broadband.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: The revenues collected and payments made are very similar between FY15 and FY16. There are no variances in revenues or expenditures between FY15 & FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	4,000,000	3,975,145	3,657,380	121,943	0	0
Beginning Cash Balance	0	0	87	2,228	11,571	0	0
Revenues	0	24,942	319,907	300,433	117,950	0	0
Expenditures	0	24,855	317,766	291,090	121,943	0	0
Transfers							
List each by JV# and date							
JS0707 8/23/16					(5,973)		
JS1173 9/20/16					(1,604)		
Net Total Transfers					(7,578)	0	0
Ending Cash Balance	0	87	2,228	11,571	0	0	0
Encumbrances	0	160,000	147,152	121,943	0	0	0
Unencumbered Cash Balance	0	(159,913)	(144,924)	(110,372)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: Regional Cat. Preparedness Grant  
 Legal Author: Act, 2009, Public Law 110-329

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-501-G  
 Regional Catastrophic  
 Preparedness Program  
 CFDA No. 97.111

Intended Purpose: RCPGP is intended to support co-ordination of regional, all hazard planning for catastrophic events, including the development of all necessary integrated planning communities, plans, protocols, and procedures.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: To support an integrated planning system that provides all-hazard planning for catastrophic event.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY16 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		2,369,950	1,668,241	0	0	0	0
Beginning Cash Balance	0	0	12,000	(0)	(0)	0	0
Revenues		788,709	1,021,490	0	0	0	0
Expenditures		776,709	1,033,704	0	0	0	0
Transfers							
List each by JV# and date							
JS6860 6/9/15			(685)				
JS1623 9/22/14			899				
Net Total Transfers	0	0	214	0	0	0	0
Ending Cash Balance	0	12,000	(0)	(0)	(0)	0	0
Encumbrances		694,855	0	0	0	0	0
Unencumbered Cash Balance	0	(682,855)	(0)	(0)	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Author: Public Law 93-288, 42 U.S.C 5121

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-502-G

**For Homeland Security Grants in FY13**  
CFDA No. 97.067

S-502-G was assigned to Homeland Security Grants in FY13 & FY14. Then it was assigned to MCCA in FY15. But MCCA accountant did not like the appropriation number and requested a new appropriation number, S-15-504-G to replace it. S-502-G has not been used since FY2015

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	16,957,519	16,882,319	16,882,319	0	0	0
Beginning Cash Balance	0	0	124,897	124,897	124,897	0	0
Revenues	0	125,097	0	0	0	0	0
Expenditures	0	200	0	0	124,897	0	0
Transfers							
List each by JV# and date							
Net Total Transfers					0	0	0
Ending Cash Balance	0	124,897	124,897	124,897	(0)	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	124,897	124,897	124,897	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 1978, Section 202, 38 U.S.C 2408

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-503-G  
**(Gravesite Restoration for Maui Cemetery**  
**CFDA No. 64.203**

Intended Purpose: To replace the grass, and to clean, readjust and realign the grave markers at the Maui Veterans Cemetery.

Source of Revenues: U.S. Department of Veterans Affairs' (VA) State Cemetery Grant Program

Current Program Activities/Allowable Expenses: Funds provide the state with the resources to renovate cemetery with new grassing and aligned grave markers. So Veterans, family members, and friends of departed veterans on Maui will be able to visit their loved ones.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: Revenues & expenditures in FY15 are much higher than FY16's because all contracts and agreements have been executed in FY15. The revenues and expenditures collected and paid in FY16 are the residual balance of a contract executed in FY15. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2014	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,170,000	1,112,771	269,831	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues		57,229	842,939	192,570	0	0	0
Expenditures		57,229	842,939	192,570	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		881,780	79,887	0	0	0	0
Unencumbered Cash Balance	0	(881,780)	(79,887)	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-504-G  
 Army National Guard MCCA  
 CFDA No. 12.400

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Army National Guard Military Constructions for Facilities

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	2,000,000	2,000,000	1,880,689	1,837,279	0
Beginning Cash Balance	0	0		0	49,327	5,917	0
Revenues	0	0	0	0	168,638	0	0
Expenditures	0	0	0	119,311	43,410	5,917	0
Transfers							
List each by JV# and date							
JS4790 3/11/16				168,638			
JS0917 9/6/16					(168,638)		
Net Total Transfers				168,638	(168,638)	0	0
Ending Cash Balance	0	0	0	49,327	5,917	0	0
Encumbrances	0	0	0	49,327	5,917	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-505-G  
Tropical Storm Iselle -9/12/14  
CFDA No. 97.036

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: more revenues received and more payments made to fix diaster areas in FY15. It all depends on how fast FEMA approves the application or how fast the disaster victims respond and provide list of damaged properties or legal documents to allow FEMA to do the investigation. Every year varies. There are variances between FY15 and FY16 in revenues and expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	6,000,000	4,631,356	4,561,608	4,476,608	4,376,608
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	1,368,644	69,748	85,000	100,000	108,000
Expenditures	0	0	1,368,644	69,748	85,000	100,000	108,000
Transfers							
List each by JV# and date							
Net Total Transfers					0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	1,355,778	1,337,513	1,252,513	1,152,513	1,044,513
Unencumbered Cash Balance	0	0	(1,355,778)	(1,337,513)	(1,252,513)	(1,152,513)	(1,044,513)

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-506-G  
**PU'U O'O Lava Flow - 11/3/14**  
**CFDA No. 97.036**

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	20,000,000	20,000,000	16,764,275	15,864,275	15,364,275
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	3,235,725	900,000	500,000	345,000
Expenditures	0	0	0	3,235,725	900,000	500,000	345,000
Transfers							
List each by JV# and date							
Net Total Transfers					0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	3,235,725	2,335,725	1,835,725	1,490,725
Unencumbered Cash Balance	0	0	0	(3,235,725)	(2,335,725)	(1,835,725)	(1,490,725)

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-507-G  
National Tsunami Hazard  
Mitigation-CFDA No. 11.467  
(8/29/14)

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures: Revenues & expenditures in FY16 are much higher than FY15's because the grant was awarded in September 2014. It takes time to plan and execute agreements, so the majority of revenues and expenditures were collected and paid to vendors in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	538,760	135,714	0	0
Beginning Cash Balance	0	0	0	40,931	5,099	0	0
Revenues	0	0	40,931	377,656	23,472	0	0
Expenditures	0	0	0	403,046	28,571	0	0
Transfers							
List each by JV# and date							
JS5271 4/7/16				(10,443)			
Net Total Transfers				(10,443)	0	0	0
Ending Cash Balance	0	0	40,931	5,099	0	0	0
Encumbrances	0	0	0	28,571	0	0	0
Unencumbered Cash Balance	0	0	40,931	(23,472)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-16-509-G  
 Hawaii County Multi-Hazard  
 Mitigation Plan-CFDA No. 97.047

Intended Purpose: To provide funding support for pre-disaster mitigation planning and projects primarily addressing natural hazards. This program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: Hazard mitigation planning activities must primarily focus on natural hazards but may also address hazards caused by manmade events. Funding is restricted to a maximum of \$400,000 Federal share for a new mitigation plan. A maximum of \$150,000 for an existing local single jurisdiction plan.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	66,781	6,030	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	60,750	45	0	0
Expenditures	0	0	0	60,750	45	0	0
Transfers							
List each by JV# and date							
Net Total Transfers				0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Security Deposits and Use Permits  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): T  
 Appropriation Acct. No. T-901-G

Intended Purpose: Offset expenses related to the use of facilities (staff, utilities) and payment to OHA for its share

Source of Revenues: Revenue for use of facilities (gym, parking, billeting and filming)

Current Program Activities/Allowable Expenses: Maintain the facilities and parking structures.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures: The revenues from facility rentals varies. It depends on activities and events. There are huge variances in revenues between FY15 & FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	88,447	94,227	633,671	115,173	135,000	135,000	135,000
Expenditures	112,997	33,700	56,712	50,835	80,000	80,000	80,000
Transfers							
List each by JV# and date							
JT0739 2/21/13	(137)						
JT0783 2/28/13	(176)						
JT1102 6/6/13	(200)						
JT0145 8/7/12	304,828						
JT0248 9/13/12	(236)						
JT0395 10/25/12	(230)						
JT0512 12/14/12	(136)						
JT0523 12/31/2013		(70)					
JT0778 3/31/2014		(480)					
JT1159 6/30/2014		(1,166)					
JT0182 8/27/2013		280,435					
JT0361 10/30/2013		(220)					
JT0430 11/30/2013		(65)					
JT0454 12/13/2013		65					
JT0454 12/13/2013		(50)					
JT0503 12/30/2013		(50)					
JT0512 12/31/2014			(300)				
JT0736 3/25/2015			(314)				
JT1118 6/30/2015			(300)				
JT0149 8/22/2014			340,232				
JT0274 9/30/2014			(305)				
JT0656 4/13/2016				(360)			
JT0854 6/27/2016				(320)			
JT0104 8/5/2015				915,444			
JT0219 9/25/2015				(329)			
JT0421 12/22/2015				(300)			
JT0067 7/20/2016					100,000		
JT0115 7/29/2016					875,160		
JT0375 10/12/16					(1,834)		
XXXXXXXXXX						991,510	
XXXXXXXXXX							1,006,510
Net Total Transfers	303,713	278,399	339,012	914,135	973,325	991,510	1,006,510
Ending Cash Balance	279,163	338,926	915,972	978,474	1,028,325	1,046,510	1,061,510
Encumbrances	1,306	0	2,457	4,468	8,650	10,000	12,000
Unencumbered Cash Balance	277,857	338,926	913,515	974,006	1,019,675	1,036,510	1,049,510

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Hawaii State Veterans Trust Fund  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-902-G

Intended Purpose: For the use of the upkeep and beautification of the Hawaii State Veterans Cemetery

Source of Revenues: Donations from family and friends of the deceased veteran

Current Program Activities/Allowable Expenses: Maintenance of the Hawaii State Veterans Cemetery

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	459	70	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
JT0145 8/7/12	15,900						
JT0182 8/27/13		15,900					
JT0149 8/22/14			16,359				
JT0104 8/5/15				16,429			
JT0115 7/29/16					16,429		
						16,429	
							16,429
Net Total Transfers	15,900	15,900	16,359	16,429	16,429	16,429	16,429
Ending Cash Balance	15,900	16,359	16,429	16,429	16,429	16,429	16,429
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	15,900	16,359	16,429	16,429	16,429	16,429	16,429

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Accumulated Vacation and Sick Leaves  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF) T  
 Appropriation Acct. No. T-903-G

Intended Purpose: Vacation and Sick Leave Payout for Federally-funded employees

Source of Revenues: Accumulation of Vacation and Sick Leave for Federally-funded Employees

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures: In this case, number of employees hired, retired or transferred to other units are the factors of changes in revenues and expenditures. In FY15, more revenues were transferred in and less expenditures were transferred out.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	72,792	128,279	20,973	10,310	60,000	60,000	60,000
Expenditures	0	31,794	3,076	5,874	48,000	48,000	48,000
Transfers							
List each by JV# and date							
JT0145 8/7/12	921,197.20						
JT0182 8/27/13		993,989					
JT0654 2/19/15			2,034				
JT0149 8/22/14			1,090,475				
JT0104 8/5/15				1,110,406			
JT0115 7/29/16					1,114,842		
XXXXXX						1,126,842	
XXXXXX							1,138,842
Net Total Transfers	921,197	993,989	1,092,509	1,110,406	1,114,842	1,126,842	1,138,842
Ending Cash Balance	993,989	1,090,475	1,110,406	1,114,842	1,126,842	1,138,842	1,150,842
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	993,989	1,090,475	1,110,406	1,114,842	1,126,842	1,138,842	1,150,842

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: ASSET FORFEITURE TRUST ACCOUNT  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): T  
 Appropriation Acct. No. T-905-G

Intended Purpose: An educational program of the Hawaii National Guard is used to educate and encourage youth to be drug free.  
 Source of Revenues: United States Health and Human Services provide the funding to Hawaii State Department of Health

Current Program Activities/Allowable Expenses: To set up at events to promote a drug free lifestyle, to engage customers in discussions about drug use and its dangers. There are brochures and educational training available in schools and youth service centers statewide.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: There are variances in expenditures, but it's immaterial

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	11,407	3,776	450	2,657	300	300	300
Transfers							
List each by JV# and date							
JT0145 8/7/12	141,244						
JT0182 8/27/13		129,837					
JT0149 8/22/14			126,060				
JT0104 8/5/15				125,610			
JT0115 7/29/16					122,953		
XXXXXX						122,653	
XXXXXX							122,353
Net Total Transfers	141,244	129,837	126,060	125,610	122,953	122,653	122,353
Ending Cash Balance	129,837	126,060	125,610	122,953	122,653	122,353	122,053
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	129,837	126,060	125,610	122,953	122,653	122,353	122,053

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: TSUNAMI & HURRICANE PREPAREDNESS EFFORTS  
 Legal Authority: ACT 5, SLH 2005

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-906-G

Intended Purpose: Install & maintain new siren warning systems, update tsunami evacuation maps, construct additional emergency shelter space and retrofit existing public buildings to serve as emergency shelters, develop statewide residential safe room design standards, provide 24 hour watch capability in the Emergency Operation Center.

Source of Revenues: Interest on the Hawaii Hurricane Reserve Trust Fund Principal

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances because no revenues or expenditures in both FY15 & FY16.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	127,554	0	0	0	0	0
Beginning Cash Balance	0	162,045	162,045	162,045	162,045	162,045	162,045
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	162,045	162,045	162,045	162,045	162,045	162,045
Encumbrances							
Unencumbered Cash Balance	0	162,045	162,045	162,045	162,045	162,045	162,045

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: OHA Ceded Lands Proceeds  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-907-G

Intended Purpose: Payout to OHA for their share of the use of DOD facilities

Source of Revenues: OHA share for OHA Ceded Lands Revenues for use of DOD facilities

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures: No variations. the differences in expenditures between FY15 & FY16 are very small.

Financial Data							
	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (estimated)	FY 2018 (estimated)	FY 2019 (estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	1,150	2,036	1,219	1,309	1,834	2,000	2,100
Transfers							
List each by JV# and date							
JT0739 2/21/13	137						
JT0783 2/28/13	176						
JT1102 6/6/13	200						
JT0145 8/7/12	35						
JT0248 9/13/12	236						
JT0395 10/25/12	230						
JT0512 12/14/12	136						
JT0523 12/31/13		70					
JT0778 3/31/14		480					
JT1159 6/30/14		1,166					
JT0361 10/30/13		220					
JT0430 11/30/13		65					
JT0454 12/13/13		(65)					
JT0454 12/13/13		50					
JT0503 12/30/13		50					
JT0512 12/31/14			300				
JT0736 3/25/15			314				
JT1118 6/30/15			300				
JT0274 9/30/14			305				
JT0656 4/13/16				360			
JT0854 6/27/16				320			
JT0219 9/25/15				329			
JT0421 12/22/15				300			
JT0375 10/12/16					1834		
XXXXX						2000	
XXXXX							2100
Net Total Transfers	1,150	2,036	1,219	1,309	1,834	2,000	2,100
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	-	-	-	-	-	-	-

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: MAJOR DISASTER TRUST  
 Legal Authority: ACT 87, SLH 2010

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-908-G

Intended Purpose: To allow federal reimbursement monies for disaster relief to be deposited into a trust account for disaster relief.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Disaster Assistance

Current Program Activities/Allowable Expenses: To provide for immediate relief in the event of an occurrence of a major disaster

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: More reimbursements from FEMA in FY15 due to multiple disasters in that year. FY16 was more peaceful. Less reimbursements were requested. There are variances in revenues and expenditures between FY15 & FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	156,419	218,466	280,569	75,610	450,000	400,000	350,000
Expenditures	350,153	-	684,444	28,635	420,000	420,000	375,000
Transfers							
List each by JV# and date							
jt0145 8/7/12	663,519						
JT0182 8/27/13		505,427					
JT0149 8/22/14			723,894				
JT0104 8/5/15				320,018			
JT0115 7/29/16					366,993		
XXXXX						396,993	
XXXXX							376,993
Net Total Transfers	663,519	505,427	723,894	320,018	366,993	396,993	376,993
Ending Cash Balance	469,786	723,894	320,018	366,993	396,993	376,993	351,993
Encumbrances	-	-			-	-	-
Unencumbered Cash Balance	469,786	723,894	320,018	366,993	396,993	376,993	351,993

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Juvenile Accountability Block Grant  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): T  
 Appropriation Acct. No. T-911-G

Intended Purpose: The fund was established to provide support to the Department of Community Services (DCS) by Dept. of Defense (DOD). DOD's responsibilities include reimbursing DCS for expenses associated with the grant, fiscal oversight and monitoring of funds and periodic review of program performance.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	4,903	-	-	-	-	-	-
Expenditures	4,903	-	-	-	-	-	-
Transfers							
List each by JV# and date							
++							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Post-Katrina Emergency Management Reform Act of 2006,  
Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-13-204-G  
 Emergency Management  
 Performance Grants  
 CFDA No. 97.042

Intended Purpose: The Emergency Management Performance Grant Program is to support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expense Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,786,522	770,665	0	0	0	0	0
Beginning Cash Balance	0	61,654	36,331	(0)	(0)	(0)	0
Revenues	2,603,542	704,873	0	0	0	0	0
Expenditures	2,656,020	668,974	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6453 5/31/13	59,510						
JS6453 5/31/13	401						
JS6442 5/31/13	365						
JS6453 5/31/13	53,855						
JS3644 12/31/13		(61,134)					
JS1381 9/11/13		(89)					
JS6599 5/26/15			(36,331)				
Net Total Transfers	114,132	(61,223)	(36,331)	0	0	0	0
Ending Cash Balance	61,654	36,331	(0)	(0)	(0)	0	0
Encumbrances	770,665	0	0	0	0	0	0
Unencumbered Cash Balance	(709,011)	36,331	(0)	(0)	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Post-Katrina Emergency Management Reform Act of 2006,  
Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No. S-14-204-G  
 Emergency Management  
 Performance Grants  
 CFDA No. 97.042

Intended Purpose: The Emergency Management Performance Grant Program is to support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expense Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures Revenues and expenditures in FY15 are much higher than FY16's because the majority of contracts and agreements have been executed before FY16. Less activities take place in FY16. There are variances in revenues and expenditures between FY15 and FY16.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	6,732,522	3,893,983	806,629	0	0	0
Beginning Cash Balance	0	0	5,872	218,153	119,862	119,862	0
Revenues	0	2,806,449	3,260,613	495,246	0	0	0
Expenditures	0	2,861,799	3,087,354	408,147	0	119,862	0
Transfers							
List each by JV# and date							
JS3644 12/31/13		61,134					
JS1381 9/11/13		89					
JS5065 3/10/15			633				
JS6599 5/26/15			38,388				
JS0720 8/14/15				(185,390)			
Net Total Transfers	0	61,223	39,021	(185,390)	0	0	0
Ending Cash Balance	0	5,872	218,153	119,862	119,862	(0)	0
Encumbrances	0	588,324	612,625	0	0	0	0
Unencumbered Cash Balance	0	(582,451)	(394,472)	119,862	119,862	(0)	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-15-204-G  
 Emergency Management  
 Performance Grants  
 CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures Revenues & expenditures in FY16 are much higher than FY15's because the grant was awarded in late October 2014. It takes time to plan and execute agreements, so the majority of revenues and expenditures were collected and paid to vendors in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			29,646,030	29,608,567	27,004,008	26,267,336	0
Beginning Cash Balance			0	13,782	109,604	182,932	184,757
Revenues			127,264	2,514,991	810,000	93,825	0
Expenditures			112,849	2,604,559	736,672	92,000	184,757
Transfers							
List each by JV# and date							
JS5065 3/10/15			(633)				
JS0720 8/14/15				185,390			
Net Total Transfers			(633)	185,390	0	0	0
Ending Cash Balance			13,782	109,604	182,932	184,757	0
Encumbrances			0	122,966	176,800	0	0
Unencumbered Cash Balance			13,782	(13,362)	6,132	184,757	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-16-204-G  
 Emergency Management  
 Performance Grants  
 CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				3,548,573	3,216,522	1,131,521	386,615
Beginning Cash Balance				0	72,015	32,015	189,015
Revenues				404,066	2,045,000	901,907	197,600
Expenditures				332,051	2,085,000	744,907	361,350
Transfers							
List each by JV# and date							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance			0	72,015	32,015	189,015	25,265
Encumbrances			0	556,937	396,500	207,500	0
Unencumbered Cash Balance			0	(484,922)	(364,485)	(18,485)	25,265

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017  
Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: The National Earthquake Hazards Reduction Act of 2004,  
 Public Law 108-360, 42 U.S.C 7704(a)(2)(B)

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No. S-13-207-G  
Earth Quake Hazard Reduction

Intended Purpose: The purpose of this funding is to 1) deliver and increase awareness and education; 2) development policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Purchase specialized equipment by responders to improve Hawaii's response capability to earthquake hazard.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	55,000	1,218	0	0	0	0	0
Beginning Cash Balance	0	3,451	0	0	0	0	0
Revenues	10,163	978	0	0	0	0	0
Expenditures	7,638	978	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6453 5/31/13	926						
JS5367 4/8/14		(3,451)					
Net Total Transfers	926	(3,451)	0	0	0	0	0
Ending Cash Balance	3,451	0	0	0	0	0	0
Encumbrances	1,218	0	0	0	0	0	0
Unencumbered Cash Balance	2,233	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: The National Earthquake Hazards Reduction Act of 2004,  
Public Law 108-360, 42 U.S.C 7704(a)(2)(B)

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No. S-14-207-G  
Earth Quake Hazard Reduction

Intended Purpose: The purpose of this funding is to 1) deliver and increase awareness and education; 2) development policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Purchase specialized equipment by responders to improve Hawaii's response capability to earthquake hazard.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No variances

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		27,149	0	673	0	0	0
Beginning Cash Balance	0	0	1,389	1,389	1,389	0	0
Revenues		24,414	0	0	0	0	0
Expenditures		26,476	0	0	1,389	0	0
Transfers							
List each by JV# and date							
JS5367 4/8/14		3,451					
Net Total Transfers	0	3,451	0	0	0	0	0
Ending Cash Balance	0	1,389	1,389	1,389	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	1,389	1,389	1,389	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2002, Public Law 107-295, 46 U.S.C. 70107

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-208-G  
 (Port Security Grant)  
 CFDA No. 97.111

Intended Purpose: To directly support maritime transportation infrastructure security activities

Source of Revenues: Maritime Transportation Security Act

Current Program Activities/Allowable Expenses: Allowable expenditures are based on the NOFO but support governance, maritime domain, IEDs and CBRNE, cybersecurity, security risk mitigation projects for port resilience and recovery, training and exercises and transportation worker identification credentialing.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures Revenues and expenditures in FY15 are much higher than FY16's because the majority of contracts and agreements have been executed before FY16. Less activities take place in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,695,860	5,636,007	18,129	0	0	0	0
Beginning Cash Balance	0	361,266	230,516	(0)	(0)	(0)	0
Revenues	5,316,335	5,290,166	11,016	0	0	0	0
Expenditures	4,955,620	5,420,916	9,895	0	0	0	0
Transfers							
List each by JV# and date							
JS1316 9/19/12	551						
JS4438 2/5/15			(174,204)				
JS1517 9/16/14			(56,534)				
JS1623 9/22/14			(899)				
Net Total Transfers	551	0	(231,637)	0	0	0	0
Ending Cash Balance	361,266	230,516	(0)	(0)	(0)	(0)	0
Encumbrances	5,636,007	18,129	0	0	0	0	0
Unencumbered Cash Balance	(5,274,740)	212,387	(0)	(0)	(0)	(0)	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2002, Public Law 107-295, 46 U.S.C. 70107

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-208-G  
 (Port Security Grant)  
 CFDA No. 97.111

Intended Purpose: To directly support maritime transportation infrastructure security activities

Source of Revenues: Maritime Transportation Security Act

Current Program Activities/Allowable Expenses: Allowable expenditures are based on the NOFO but support governance, maritime domain, IEDs and CBRNE, cybersecurity, security risk mitigation projects for port resilience and recovery, training and exercises and transportation worker identification credentialing.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures Revenues and expenditures in FY15 are much higher than FY16's because the majority of contracts and agreements have been executed before FY16. Less activities take place in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	19,120,807	17,310,757	10,556,602	0	0	0
Beginning Cash Balance	0	0	9,736	259,452	1,820	(0)	0
Revenues	0	1,819,586	6,765,256	2,087,690	0	0	0
Expenditures	0	1,809,850	6,754,155	2,345,322	1,820	0	0
Transfers							
List each by JV# and date							
JS4077 1/20/15			7,193				
JS4438 2/5/15			174,204				
JS6860 6/9/15			685				
JS1517 9/16/14			56,534				
Net Total Transfers	0	0	238,615	0	0	0	0
Ending Cash Balance	0	9,736	259,452	1,820	(0)	(0)	0
Encumbrances	0	5,910,435	5,928,564	0	0	0	0
Unencumbered Cash Balance	0	(5,900,699)	(5,669,112)	1,820	(0)	(0)	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2002, Public Law 107-295, 46 U.S.C. 70107

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No. S-15-208-G  
 (Port Security Grant)  
 CFDA No. 97.111

Intended Purpose: To directly support maritime transportation infrastructure security activities

Source of Revenues: Maritime Transportation Security Act

Current Program Activities/Allowable Expenses: Allowable expenditures are based on the NOFO but support governance, maritime domain, IEDs and CBRNE, cybersecurity, security risk mitigation projects for port resilience and recovery, training and exercises and transportation worker identification credentialing.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures Revenues & expenditures in FY16 are much higher than FY15's because the grant was awarded in late October 2014. It takes time to plan and execute agreements, so the majority of revenues and expenditures were collected and paid to vendors in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			3,900,000	3,900,000	3,047,150	0	0
Beginning Cash Balance				238,620	8,829	0	0
Revenues			238,620	623,059	0	0	0
Expenditures			0	852,850	8,829	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	238,620	8,829	0	0	0
Encumbrances				8,829	0	0	0
Unencumbered Cash Balance	0	0	238,620	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-13-209-G  
 (Homeland Security Grant)  
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,732,572	1,000,596	0	0	0	0	0
Beginning Cash Balance	0	92,616	0	0	0	0	0
Revenues	10,908,491	788,994	0	0	0	0	0
Expenditures	10,769,557	935,520	0	0	0	0	0
Transfers							
List each by JV# and date							
JS4311 1/24/13	(82,890)						
JS6612 6/7/13	(159,000)						
JS1315 9/19/12	195,571						
JS4586 2/17/14		(77,157)					
JS2659 10/31/12		131,067					
Net Total Transfers	(46,319)	53,910	0	0	0	0	0
Ending Cash Balance	92,616	0	0	0	0	0	0
Encumbrances	1,000,596	0	0	0	0	0	0
Unencumbered Cash Balance	(907,980)	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-14-209-G  
 (Homeland Security Grant)  
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures Revenues and expenditures in FY15 are much higher than FY16's because the majority of contracts and agreements have been executed before FY16. Less activities take place in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		15,992,659	7,909,831	2,142,052	0	0	0
Beginning Cash Balance		0	42,999	68,988	20,242	0	0
Revenues		8,115,779	5,793,768	1,720,352	28,880	0	0
Expenditures		8,082,828	5,767,779	1,769,098	49,122	0	0
Transfers							
List each by JV# and date							
JS4313 2/12/14		(67,110)					
JS4586 2/27/14		77,157					
Net Total Transfers	0	10,047	0	0	0	0	0
Ending Cash Balance	0	42,999	68,988	20,242	(0)	0	0
Encumbrances	0	502,877	62,434	0	0	0	0
Unencumbered Cash Balance	0	(459,879)	6,554	20,242	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-15-209-G  
 (Homeland Security Grant)  
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures Revenues & expenditures in FY16 are much higher than FY15's because the grant was awarded in late October 2014. It takes time to plan and execute agreements, so the majority of revenues and expenditures were collected and paid to vendors in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			3,733,000	3,652,445	1,728,667	0	0
Beginning Cash Balance			0	14	69,840	0	0
Revenues			80,569	1,993,605	1,658,827	0	0
Expenditures			80,555	1,923,779	1,728,667	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	14	69,840	0	0	0
Encumbrances			70,935	82,500	0	0	0
Unencumbered Cash Balance	0	0	(70,921)	(12,660)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-16-209-G  
 (Homeland Security Grant)  
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,800,000	3,576,674	671,675	0
Beginning Cash Balance				0	36,994	1,604	0
Revenues				194,819	2,868,006	670,071	0
Expenditures				157,826	2,905,000	671,675	0
Transfers							
List each by JV# and date							
JS1173 9/20/16					1,604		
Net Total Transfers	0	0	0	0	1,604	0	0
Ending Cash Balance	0	0	0	36,994	1,604	0	0
Encumbrances				52,994	0	0	0
Unencumbered Cash Balance	0	0	0	(16,000)	1,604	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-210-G (Starbase)  
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures Revenues and expenditures in FY16 are much smaller than those in FY15 because the majority of contracts and agreements have been executed in FY15. Less activities take place in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	300,000	65,034	0	0	0
Beginning Cash Balance	0	0	0	319,606	(0)	(0)	(0)
Revenues	0	0	185,821	36,861	0	0	0
Expenditures	0	0	234,966	0	0	0	0
Transfers							
List each by JV# and date							
JS0887 8/19/14			368,751				
JS5242 4/6/16				(36,861)			
JS0376 7/29/15				(319,606)			
Net Total Transfers	0	0	368,751	(356,467)	0	0	0
Ending Cash Balance	0	0	319,606	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	319,606	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-16-210-G (Starbase)  
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0		400,000	119,084	0	0
Beginning Cash Balance	0	0		0	166,225	0	0
Revenues	0	0		90,675	135,111	0	0
Expenditures	0	0		280,916	135,111	0	0
Transfers							
List each by JV# and date							
JS5242 4/6/16				36,861			
JS0376 7/29/15				319,606			
JS0165 7/21/16					(166,225)		
Net Total Transfers	0	0	0	356,467	(166,225)	0	0
Ending Cash Balance	0	0	0	166,225	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	166,225	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No. S-13-213-G  
 (Pre-Disaster Mitigation)

Intended Purpose: To provide funding support to states, Indian tribal governments, territories, and communities for pre-disaster mitigation planning and projects primarily addressing natural hazards.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	80,336	0	0	0	0	0
Beginning Cash Balance	0	6,559	6,559	0	0	0	0
Revenues	262,163	80,336	0	0	0	0	0
Expenditures	255,649	15,389	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6442 5/31/13	45						
JS3589 12/31/13		(64,947)					
JS6600 5/26/15			(6,559)				
Net Total Transfers	45	(64,947)	(6,559)	0	0	0	0
Ending Cash Balance	6,559	6,559	0	0	0	0	0
Encumbrances	80,336	0	0	0	0	0	0
Unencumbered Cash Balance	(73,777)	6,559	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No. S-14-213-G  
 (Pre-Disaster Mitigation)

Intended Purpose: To provide funding support to states, Indian tribal governments, territories, and communities for pre-disaster mitigation planning and projects primarily addressing natural hazards.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY16 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		445,583	380,636	355,104	0	0	0
Beginning Cash Balance		0	0	6,559	6,559	0	0
Revenues		15,389	25,532	0	0	0	0
Expenditures		64,947	25,532	0	6,559	0	0
Transfers							
List each by JV# and date							
JS3589 12/31/13		64,947					
JS3590 12/31/13		(15,389)					
JS6600 5/26/15			6,559				
Net Total Transfers	0	49,558	6,559	0	0	0	0
Ending Cash Balance	0	0	6,559	6,559	(0)	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	6,559	6,559	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No. S-13-214-G  
 (National Tsunami Hazard  
 Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	964,000	418,781	0	0	0	0	0
Beginning Cash Balance	0	66,818	15,743	(0)	(0)	(0)	(0)
Revenues	444,730	334,994	0	0	0	0	0
Expenditures	387,478	386,069	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6442 5/31/13	1						
JS6453 5/31/13	4,246						
JS1062 8/31/12	5,320						
JS1229 8/31/14			(15,743)				
Net Total Transfers	9,566	0	(15,743)	0	0	0	0
Ending Cash Balance	66,818	15,743	(0)	(0)	(0)	(0)	(0)
Encumbrances	418,781	0	0	0	0	0	0
Unencumbered Cash Balance	(351,962)	15,743	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No. S-14-214-G  
 (National Tsunami Hazard Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures No variations - revenues and expenditures between FY15 & FY16 don't have much differences.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	575,991	394,779	262,618	0	0	0
Beginning Cash Balance	0	0	0	17,906	3,622	(0)	(0)
Revenues		181,212	124,449	98,641	0	0	0
Expenditures		181,212	132,161	123,367	3,622	0	0
Transfers							
List each by JV# and date							
JS4143 1/22/15			9,875				
JS1229 8/31/14			15,743				
JS5271 4/7/16				10,443			
Net Total Transfers	0	0	25,618	10,443	0	0	0
Ending Cash Balance	0	0	17,906	3,622	(0)	(0)	(0)
Encumbrances		59,286	126,472	0	0	0	0
Unencumbered Cash Balance	0	(59,286)	(108,566)	3,622	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No. S-16-214-G  
 (National Tsunami Hazard Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY15 has no revenues or expenditures.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				495,943	481,178	0	0
Beginning Cash Balance				0	13,541	2,354	2,354
Revenues				153,991	51,620	0	0
Expenditures				140,450	62,806	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	13,541	2,354	2,354	2,354
Encumbrances	0	0	0	229,808	0	0	0
Unencumbered Cash Balance	0	0	0	(216,268)	2,354	2,354	2,354

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Authority: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-217-G  
 (Hawaii Army National Guards)  
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures There are differences in expenditures between FY15 & FY16. The reason was the majority of contracts and agreements have been executed before FY16. Less activities take place in FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	27,362,496	5,607,234	494,913	111,006	0	0	0
Beginning Cash Balance	0	5,101,750	773,223	147,151	61,275	(0)	(0)
Revenues	19,527,802	84,468	0	0	0	0	0
Expenditures	11,810,555	4,912,995	373,956	68,875	0	0	0
Transfers							
List each by JV# and date							
JS0286 7/24/12	2,000,000						
JS1675 10/11/12	(466,913)						
JS1895 10/19/12	(4,000,000)						
JS3127 11/8/12	(148,585)						
JS4858 3/12/14		1,500,000					
JS0683 8/8/13		(1,000,000)					
JS6222 5/6/15			(152,117)				
JS0550 7/31/14			(100,000)				
JS0376 7/29/15				(17,000)			
JS0165 7/21/16					(61,275)		
Net Total Transfers	(2,615,498)	500,000	(252,117)	(17,000)	(61,275)	0	0
Ending Cash Balance	5,101,750	773,223	147,151	61,275	(0)	(0)	(0)
Encumbrances	5,607,234	494,913	111,006	0	0	0	0
Unencumbered Cash Balance	(505,484)	278,310	36,145	61,275	(0)	(0)	(0)

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-217-G  
 (Hawaii Army National Guards)  
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures Revenues and expenditures in FY15 are much higher than FY16's because the majority of contracts and agreements have been executed before FY16. Less activities take place in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		31,646,617	17,489,385	10,853,331	10,350,430	9,965,286	0
Beginning Cash Balance		0	5,507,858	1,377,990	545,089	159,945	0
Revenues		19,906,979	192,967	0	0	0	0
Expenditures		14,224,765	6,636,054	502,901	385,144	159,945	0
Transfers							
List each by JV# and date							
JS4365 2/14/14		4,944					
JS4858 3/12/14		(1,500,000)					
JS0683 8/8/13		1,000,000					
JS0839 8/19/13		500,000					
JS3166 11/30/13		(179,300)					
JS6222 5/6/15			(1,686,781)				
JS0887 8/19/14			(1,000,000)				
JS2757 11/14/14			5,000,000				
JS0376 7/29/15				(330,000)			
Net Total Transfers	0	(174,356)	2,313,219	(330,000)	0	0	0
Ending Cash Balance	0	5,507,858	1,377,990	545,089	159,945	0	0
Encumbrances		7,811,028	1,040,991	157,945	157,945	0	0
Unencumbered Cash Balance	0	(2,303,170)	336,999	387,144	2,000	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No. S-15-217-G  
 (Hawaii Army National Guards)  
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures The majority of revenues & expenditures were collected and paid in FY15 for contracts and agreement signed in FY15 to allow Department of Defense to build and maintain the facilities in that year. DOD continued to bill NGB for projects completed afterward, but less activities take place in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			36,389,000	22,365,398	18,151,749	16,721,974	16,631,006
Beginning Cash Balance			0	5,302,389	1,958,267	528,492	437,524
Revenues			21,278,962	1,569,527	0	0	0
Expenditures			14,249,754	4,213,648	1,429,775	90,968	437,524
Transfers							
JS6222 5/6/15			1,758,610				
JS0550 7/31/14			514,571				
JS0887 8/19/14			1,000,000				
JS2757 11/14/14			(5,000,000)				
JS0376 7/29/15				(700,000)			
Net Total Transfers	0	0	(1,726,819)	(700,000)	0	0	0
Ending Cash Balance	0	0	5,302,389	1,958,267	528,492	437,524	(0)
Encumbrances			4,917,409	528,042	437,524	0	0
Unencumbered Cash Balance	0	0	384,979	1,430,225	90,968	437,524	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-16-217-G  
 (Hawaii Army National Guards)  
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				28,500,000	15,966,432	7,798,197	1,923,197
Beginning Cash Balance				0	3,357,259	3,682,662	1,887,662
Revenues				14,880,397	7,725,000	4,080,000	0
Expenditures				12,533,568	8,168,235	5,875,000	1,200,000
Transfers							
JS4790 3/11/16				(168,638)			
JS6980 6/27/16				24,068			
JS0376 7/29/15				1,155,000			
JS0917 9/6/16					168,638		
XXXXX					600,000		
XXXXX						695,000	
XXXXX							
Net Total Transfers	0	0	0	1,010,430	768,638	695,000	0
Ending Cash Balance	0	0	0	3,357,259	3,682,662	1,887,662	687,662
Encumbrances				8,202,553	3,700,000	1,100,000	687,662
Unencumbered Cash Balance	0	0	0	(4,845,295)	(17,338)	787,662	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-218-G  
 (Hawaii Air National Guards)  
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures No variance

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,632,700	36,389	0	0	0	0	0
Beginning Cash Balance	0	794,291	368,751	0	0	0	0
Revenues	1,509,399	107,236	0	0	0	0	0
Expenditures	1,077,839	32,775	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6058 5/9/13	42,731						
JS0287 7/24/12	200,000						
JS0942 8/29/12	120,000						
JS0231 7/19/13		(500,000)					
JS0887 8/19/14			(368,751)				
Net Total Transfers	362,731	(500,000)	(368,751)	0	0	0	0
Ending Cash Balance	794,291	368,751	0	0	0	0	0
Encumbrances	36,389	0	0	0	0	0	0
Unencumbered Cash Balance	757,902	368,751	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-218-G  
 (Hawaii Air National Guards)  
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures No revenues or expenditures collected or paid in FY16. The projects have been completed in FY15. No computations can be done because FY16 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		3,423,276	1,993,551	1,947,637	0	0	0
Beginning Cash Balance		0	768,964	0	0	0	0
Revenues		1,722,686	284,960	0	0	0	0
Expenditures		1,448,778	45,914	0	0	0	0
Transfers							
List each by JV# and date							
JS4365 2/14/14		(4,944)					
JS0231 7/19/13		500,000					
JS6291 5/7/15			(308,011)				
JS0551 7/31/14			(700,000)				
Net Total Transfers	0	495,056	(1,008,011)	0	0	0	0
Ending Cash Balance	0	768,964	0	0	0	0	0
Encumbrances		48,403	0	0	0	0	0
Unencumbered Cash Balance	0	720,561	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-218-G  
 (Hawaii Air National Guards)  
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures The agreement was signed in FY15 for that year's projects. Less revenues and expenditures collected and paid in FY16 because the majority of projects have been completed in FY15. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			3,100,000	1,984,570	1,930,021	0	0
Beginning Cash Balance			0	688,604	2,516	0	0
Revenues			796,024	170,571	0	0	0
Expenditures			1,115,430	54,549	0	0	0
Transfers							
List each by JV# and date							
JS0551 7/31/14			700,000				
JS6291 5/7/15			308,011				
JS3614 1/8/16				(200,000)			
JS5242 4/6/16				(102,110)			
JS0376 7/29/15				(500,000)			
JS0165 7/21/16					(2,516)		
Net Total Transfers	0	0	1,008,011	(802,110)	(2,516)	0	0
Ending Cash Balance	0	0	688,604	2,516	0	0	0
Encumbrances			56,150	0	0	0	0
Unencumbered Cash Balance	0	0	632,455	2,516	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-16-218-G  
 (Hawaii Air National Guards)  
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				6,300,000	5,155,195	4,455,195	0
Beginning Cash Balance				0	235,340	39,513	0
Revenues				578,035	950,000	0	0
Expenditures				1,144,805	700,000	39,513	0
Transfers							
List each by JV# and date							
JS3614 1/8/16				200,000			
JS5242 4/6/16				102,110			
JS0376 7/29/15				500,000			
JS0165 7/21/16					(155,827)		
JS0817 8/30/16					(290,000)		
JS0841 8/31/16					(35,000)		
JS0916 9/6/16					35,000		
Net Total Transfers	0	0	0	802,110	(445,827)	0	0
Ending Cash Balance	0	0	0	235,340	39,513	0	0
Encumbrances			0	56,763	31,776	0	0
Unencumbered Cash Balance	0	0	0	178,577	7,737	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 114  
 Name of Fun: Hawaii Natl Guard Youth Challenge Academy  
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-219-G  
 (Youth Challenge Academy)  
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,568,699	235,315	0	0	0	0	0
Beginning Cash Balance		4,810	14,497	(0)	(0)	(0)	(0)
Revenues	3,809,155	915,438	0	0	0	0	0
Expenditures	4,525,714	145,751	0	0	0	0	0
Transfers							
List each by JV# and date							
JS5053 3/8/13	(9,500)						
JS5613 4/15/13	(5,000)						
JS5949 5/2/13	(5,000)						
JS0099 7/12/12	420,870						
JS0516 7/31/12	200,000						
JS1088 8/31/12	170,000						
JS1820 10/17/12	(50,000)						
JS4405 2/19/14		(440,000)					
JS0548 7/31/13		(200,000)					
JS2482 10/29/13		(120,000)					
JS1028 8/26/14			(14,497)				
Net Total Transfers	721,370	(760,000)	(14,497)	0	0	0	0
Ending Cash Balance	4,810	14,497	(0)	(0)	(0)	(0)	(0)
Encumbrances	235,315	0	0	0	0	0	0
Unencumbered Cash Balance	(230,504)	14,497	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 114  
 Name of Fur: Hawaii Natl Guard Youth Challenge Academy  
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-219-G  
 (Youth Challenge Academy)  
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY16 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		5,584,387	732,043	573,410	0	0	0
Beginning Cash Balance		0	495,555	36,922	(0)	(0)	(0)
Revenues		4,587,899	0	0	0	0	0
Expenditures		4,852,344	158,634	0	0	0	0
Transfers							
List each by JV# and date							
JS4405 2/19/14		440,000					
JS0548 7/31/13		200,000					
JS2482 10/29/13		120,000					
JS0312 7/24/14			(300,000)				
JS0617 8/10/15				(36,922)			
Net Total Transfers	0	760,000	(300,000)	(36,922)	0	0	0
Ending Cash Balance	0	495,555	36,922	(0)	(0)	(0)	(0)
Encumbrances	0	305,814	64,281	0	0	0	0
Unencumbered Cash Balance	0	189,741	(27,360)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 114  
 Name of Fun: Hawaii Natl Guard Youth Challenge Academy  
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-219-G  
(Youth Challenge Academy)  
CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures The agreement was signed in FY15 for that year's trainings. No residual funds were available for FY16 trainings under this agreement. The expenditures incurred in FY15 were paid in FY16. There are variances in revenues and expenditures between FY15 & FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			5,584,387	975,535	864,152	0	0
Beginning Cash Balance			0	222,760	(0)	(0)	(0)
Revenues			4,511,149	0	386	0	0
Expenditures			4,608,852	111,383	386	0	0
Transfers							
List each by JV# and date							
JS0312 7/24/14 (DOD JV15-006)			300,000				
JS1028 8/26/14 (DOD JV15-041)			20,464				
JS4022 1/28/16				3,622			
JS0617 8/10/15				(100,000)			
JS3361 12/23/15				(7,500)			
JS3455 12/30/15				(7,500)			
Net Total Transfers			320,464	(111,378)	0	0	0
Ending Cash Balance			222,760	(0)	(0)	(0)	(0)
Encumbrances			226,469	386	0	0	0
Unencumbered Cash Balance	0	0	(3,709)	(386)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 114  
 Name of Fur: Hawaii Natl Guard Youth Challenge Academy  
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-16-219-G  
(Youth Challenge Academy)  
CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				5,584,387	942,143	492,143	0
Beginning Cash Balance				0	146,235	46,235	0
Revenues				4,640,181	600,000	0	0
Expenditures				4,642,245	450,000	46,235	0
Transfers							
List each by JV# and date							
JS4022 1/28/16				(3,622)			
JS0617 8/10/15				136,922			
JS3361 12/23/15				7,500			
JS3455 12/30/15				7,500			
JS0693 8/22/16					(250,000)		
Net Total Transfers			0	148,299	(250,000)	0	0
Ending Cash Balance			0	146,235	46,235	0	0
Encumbrances				134,242	0	0	0
Unencumbered Cash Balance	0	0	0	11,993	46,235	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No. S-13-220-G (Starbase)  
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY16 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	57,942	0	38,811	0	0	0	0
Beginning Cash Balance	0	38,811	38,811	0	0	0	0
Revenues	96,681	0	0	0	0	0	0
Expenditures	57,871	0	38,811	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	38,811	38,811	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	38,811	38,811	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Robert T. Stafford Disaster Relief and Emergency Assistance Act,  
 Public Law 93-288, as amended, 42 U.S.C. 5121-5206

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-223-G  
10/30/2004 Floods - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,200,000	0	0	0	0	0	0
Beginning Cash Balance	0	14,429	0	0	0	0	0
Revenues	394,196	0	0	0	0	0	0
Expenditures	394,196	0	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6453 5/31/13	14,429						
JS7023 6/24/14		(14,429)					
Net Total Transfers	14,429	(14,429)	0	0	0	0	0
Ending Cash Balance	14,429	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	14,429	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Robert T. Stafford Disaster Relief and Emergency Assistance Act,  
 Public Law 93-288, as amended, 42 U.S.C. 5121-5206

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-223-G  
10/30/2004 Floods - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	252,770	248,623	248,623	192,416	0	0
Beginning Cash Balance	0	0	12,558	12,558	99	(0)	(0)
Revenues	0	2,275	0	43,748	0	0	0
Expenditures	0	4,147	0	56,207	99	0	0
Transfers							
List each by JV# and date							
JS7023 6/24/14		14,429					
Net Total Transfers	0	14,429	0	0	0	0	0
Ending Cash Balance	0	12,558	12,558	99	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	12,558	12,558	99	(0)	(0)	(0)

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-15-226-G  
(Urban Security Grant)  
CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters for City & County of Honolulu.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,000,000	431,805	0	0
Beginning Cash Balance				0	99,245	0	0
Revenues				667,440	332,560	0	0
Expenditures				568,195	431,805	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	99,245	0	0	0
Encumbrances				99,245	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-16-226-G  
 (Urban Security Grant)  
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters for City & County of Honolulu.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,500,000	2,980,874	331,029	0
Beginning Cash Balance				0	0	72,905	0
Revenues				19,126	2,722,750	258,124	0
Expenditures				19,126	2,649,845	331,029	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	72,905	0	0
Encumbrances				0	331,029	0	0
Unencumbered Cash Balance	0	0	0	0	(258,124)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No. S-13-234-G  
Civil Justice Corp Grant  
CFDA No. 17.261

Intended Purpose: To help former youth offenders, ages 18-24, reconnect with their communities and find pathways to success through community service and education.

Source of Revenues: U.S. Dept . Of Labor, Employment Training Administration

Current Program Activities/Allowable Expenses: Civic Justice Corp Grant

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	850,002	356,903	0	0	0	0	0
Beginning Cash Balance	0	47,413	0	0	0	0	0
Revenues	194,750	272,178	0	0	0	0	0
Expenditures	235,104	356,903	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6409 5/30/13	132,655						
JS2042 10/26/12	(46,246)						
JS3799 12/20/12	1,359						
JS4754 3/6/14		(13,221)					
JS1232 8/31/13		50,534					
Net Total Transfers	87,767	37,313	0	0	0	0	0
Ending Cash Balance	47,413	0	0	0	0	0	0
Encumbrances	356,903	0	0	0	0	0	0
Unencumbered Cash Balance	(309,490)	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No. S-14-234-G  
 Civil Justice Corp Grant  
 CFDA No. 17.261

Intended Purpose: To help former youth offenders, ages 18-24, reconnect with their communities and find pathways to success through community service and education.

Source of Revenues: U.S. Dept . Of Labor, Employment Training Administration

Current Program Activities/Allowable Expenses: Civic Justice Corp Grant

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY16 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	261,428	2,087	0	0	0	0
Beginning Cash Balance	0	0	2,112	25	25	0	0
Revenues	0	298,741	0	0	0	0	0
Expenditures	0	175,718	2,087	0	25	0	0
Transfers							
List each by JV# and date							
JS4754 3/6/14		13,221					
JS1232 8/31/13		(83,598)					
JS6306 5/22/14		(50,534)					
Net Total Transfers	0	(120,911)	0	0	0	0	0
Ending Cash Balance	0	2,112	25	25	(0)	0	0
Encumbrances	0	2,087	0	0	0	0	0
Unencumbered Cash Balance	0	25	25	25	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No. S-13-269-G  
 (Floods)

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	175,000	0	0	0	0	0	0
Beginning Cash Balance	0	44,265	44,265	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6453 5/31/13	44,265						
JS6601 5/26/15			(44,265)				
Net Total Transfers	44,265	0	(44,265)	0	0	0	0
Ending Cash Balance	44,265	44,265	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	44,265	44,265	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-269-G  
 (Floods)

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	43,583	43,583	43,583	0	0	0
Beginning Cash Balance	0	0	0	44,265	44,265	(0)	(0)
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	44,265	0	0
Transfers							
List each by JV# and date							
JS6601 5/26/15			44,265				
Net Total Transfers	0	0	44,265	0	0	0	0
Ending Cash Balance	0	0	44,265	44,265	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	44,265	44,265	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-292-G  
March 2006 Flood - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,350,000	35,641	0	0	0	0	0
Beginning Cash Balance	0	46,896	11,254	0	0	0	0
Revenues	208,810	0	0	0	0	0	0
Expenditures	178,195	35,641	0	0	0	0	0
Transfers							
List each by JV# and date							
JS3968 12/31/12	(13,735.00)						
JS4160 1/15/13	(13,735.00)						
JS4674 2/15/13	27,470.00						
JS6453 5/31/13	2,160						
JS6453 5/31/13	14,121						
JS6442 5/31/13	0.17						
JS6602 5/26/15			(11,254)				
Net Total Transfers	16,281	0	(11,254)	0	0	0	0
Ending Cash Balance	46,896	11,254	0	0	0	0	0
Encumbrances	35,641	0	0	0	0	0	0
Unencumbered Cash Balance	11,254	11,254	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No. S-14-292-G  
March 2006 Flood - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances. The revenues in FY16 are quite small.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	218,352	218,352	218,352	0	0
Beginning Cash Balance	0	0	0	11,254	11,420	0	0
Revenues	0	0	0	166	0	0	0
Expenditures	0	0	0	0	11,420	0	0
Transfers							
List each by JV# and date							
JS6602 5/26/15			11,254				
Net Total Transfers	0	0	11,254	0	0	0	0
Ending Cash Balance	0	0	11,254	11,420	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	11,254	11,420	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Earthquake Hazards Reduction Act of 1977,  
Public Law 95-124, 42 U.S.C 7701

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF) P  
 Appropriation Acct. No. S-13-293-G  
 KIHOLE BAY EARTHQUAKE - PA

Intended Purpose: The purpose of this funding is to 1) deliver and increase awareness and education; 2) development policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Purchase specialized equipment by responders to improve Hawaii's response capability to earthquake hazard.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,300,858	46,386	0	0	0	0	0
Beginning Cash Balance	0	110,002	0	0	0	0	0
Revenues	338,419	0	0	0	0	0	0
Expenditures	336,846	46,386	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6453 5/31/13	339						
JS6442 5/31/13	257						
JS6668 6/13/13	63,119						
JS6922 6/25/13	46,386						
JS0742 8/20/12	(1,673)						
JS2065 10/11/13		(63,616)					
Net Total Transfers	108,429	(63,616)	0	0	0	0	0
Ending Cash Balance	110,002	0	0	0	0	0	0
Encumbrances	46,386	0	0	0	0	0	0
Unencumbered Cash Balance	63,616	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Earthquake Hazards Reduction Act of 1977,  
Public Law 95-124, 42 U.S.C 7701

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-293-G  
 KIHOLE BAY EARTHQUAKE - PA

Intended Purpose: The purpose of this funding is to 1) deliver and increase awareness and education; 2) development policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Purchase specialized equipment by responders to improve Hawaii's response capability to earthquake hazard.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: more revenues received and more payments made to fix diaster areas in FY15. It all depends on how fast FEMA approves the application or how fast the disaster victims respond and provide list of damaged properties or legal documents to allow FEMA to do the investigation. Every year varies. There are variances between FY15 and FY16 in revenues and expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	6,395,368	4,730,307	2,260,041	833,120	701,212	616,212
Beginning Cash Balance	0	0	240,326	1,047,179	168,923	174,918	89,918
Revenues	0	1,842,282	3,262,727	548,664	137,903	0	0
Expenditures	0	1,665,578	2,470,266	1,426,921	131,908	85,000	65,000
Transfers							
List each by JV# and date							
JS4972 3/19/14		6					
JS2065 10/11/13		63,616					
JS3920 1/13/15			14,392				
Net Total Transfers	0	63,622	14,392	0	0	0	0
Ending Cash Balance	0	240,326	1,047,179	168,923	174,918	89,918	24,918
Encumbrances	0	460,825	91,147	145,281	306,826	0	
Unencumbered Cash Balance	0	(220,499)	956,033	23,642	(131,908)	89,918	24,918

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-294-G  
**STORM/SURF/FLOOD/MUD**  
**12/4-7/2007 - PA**

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	700,000	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	66,057	0	0	0	0	0	0
Expenditures	66,057	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No. S-14-294-G  
**STORM/SURF/FLOOD/MUD**  
**12/4-7/2007 - PA**

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	635,530	0	0	0
Beginning Cash Balance	0	0	0	0	639	639	639
Revenues	0	0	0	394,774	0	0	0
Expenditures	0	0	0	394,135	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	639	639	639	639
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	639	639	639	639

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-295-G  
**SEVERE STORM/FLOOD**  
**DEC 10-16, 2008 - PA**

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	32,365	0	0	0	0	0
Beginning Cash Balance	0	49,041	0	0	0	0	0
Revenues	16,675	0	0	0	0	0	0
Expenditures	0	32,365	0	0	0	0	0
Transfers							
List each by JV# and date							
JS6922 6/25/13	32,365						
JS5391 3/27/15		(16,675)					
Net Total Transfers	32,365	(16,675)	0	0	0	0	0
Ending Cash Balance	49,041	0	0	0	0	0	0
Encumbrances	32,365	0	0	0	0	0	0
Unencumbered Cash Balance	16,675	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-295-G  
SEVERE STORM/FLOOD  
DEC 10-16, 2008 - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY16 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	359,949	338,733	115,202	115,202	115,202
Beginning Cash Balance	0	0	0	95,642	95,642	95,642	95,642
Revenues	0	0	100,183	0	0	0	0
Expenditures	0	0	21,216	0	0	0	0
Transfers							
List each by JV# and date							
JS5391 3/27/15			16,675				
Net Total Transfers	0	0	16,675	0	0	0	0
Ending Cash Balance	0	0	95,642	95,642	95,642	95,642	95,642
Encumbrances	0	0	115,202	115,202	115,202	115,202	115,202
Unencumbered Cash Balance	0	0	(19,559)	(19,559)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-296-G  
**MARCH 11, 2011 TSUNAMI WAVES-PA**

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	800,000	36,535	0	0	0	0	0
Beginning Cash Balance	0	22,803	3	0	0	0	0
Revenues	130,586	13,735	0	0	0	0	0
Expenditures	100,362	36,535	3	0	0	0	0
Transfers							
List each by JV# and date							
JS3968 12/31/12	13,735						
JS4160 1/15/13	13,735						
JS4160 1/15/13	3						
JS4674 2/15/13	(27,470)						
JS6442 5/31/13	3						
JS6922 6/25/13	(7,423)						
JS2060 10/29/12	(3)						
Net Total Transfers	(7,420)	0	0	0	0	0	0
Ending Cash Balance	22,803	3	0	0	0	0	0
Encumbrances	36,535	0	0	0	0	0	0
Unencumbered Cash Balance	(13,732)	3	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-296-G  
**MARCH 11, 2011 TSUNAMI WAVES-PA**

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: Both revenues collected and expenses paid are less in FY15 than in FY 16. It takes time to do the estimate for damaged areas and be qualified for the assistance from FEMA. It shows that more revenues received and more payments paid to diaster victims in FY16. It all depends on how fast FEMA approves the application or how fast the disaster victims respond and provide list of damaged properties or legal documents to allow FEMA to do the investigation. Every year varies. There are variances between FY15 and FY16 in revenues and expenditures. But the differences are quite small.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	4,902,743	4,845,950	4,827,789	915,295	700,401	500,000
Beginning Cash Balance	0	0	0	116,444	117,544	102,650	62,249
Revenues	0	41,404	148,996	195,455	200,000	160,000	280,000
Expenditures	0	56,793	18,160	194,355	214,894	200,401	300,000
Transfers							
List each by JV# and date							
JS3590 12/31/13	0	15,389					
JS3920 1/13/15			(14,392)				
Net Total Transfers	0	15,389	(14,392)	0	0	0	0
Ending Cash Balance	0	0	116,444	117,544	102,650	62,249	42,249
Encumbrances	0	0	116,621	915,295	700,401	500,000	200,000
Unencumbered Cash Balance	0	0	(177)	(797,751)	(597,751)	(437,751)	(157,751)

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-13-297-G  
SEVERE STORM/FLOOD MAR 3-11,  
2012 - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	0	0	0	0	0	0
Beginning Cash Balance	0	29,242	29,242	0	0	0	0
Revenues	886,735	0	0	0	0	0	0
Expenditures	798,978	0	0	0	0	0	0
Transfers							
List each by JV# and date							
JS4160 1/15/13	(3)						
JS6922 6/25/13	(71,328)						
JS1939 10/23/12	12,813						
JS2060 10/29/12	3						
JS6604 5/26/15			(29,242)				
Net Total Transfers	(58,515)	0	(29,242)	0	0	0	0
Ending Cash Balance	29,242	29,242	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	29,242	29,242	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-297-G  
SEVERE STORM/FLOOD MAR 3-11,  
2012 - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: FY15 and FY16 Revenues and Expenditures: more revenues received and more payments made to fix diaster areas in FY15. It all depends on how fast FEMA approves the application or how fast the disaster victims respond and provide list of damaged properties or legal documents to allow FEMA to do the investigation. Every year varies. There are variances between FY15 and FY16 in revenues and expenditures. But the differences are quite small.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	2,720,253	2,340,588	2,317,050	0	0	0
Beginning Cash Balance	0	0	0	31,826	32,052	32,052	32,052
Revenues	0	379,665	26,122	11,167	0	0	0
Expenditures	0	379,665	23,538	10,940	0	0	0
Transfers							
List each by JV# and date							
JS6604 5/26/15			29,242				
Net Total Transfers	0	0	29,242	0	0	0	0
Ending Cash Balance	0	0	31,826	32,052	32,052	32,052	32,052
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	31,826	32,052	32,052	32,052	32,052

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEF  
 Prog ID(s): DEF 110  
 Name of Fund: DHS(BESSD) - DOD TANF FUNDS  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): U  
 Appropriation Acct. No. S-13-315-G  
HDS - DOD TANF Funds

Intended Purpose: To provide afterschool positive youth development programs that are designed to help at-risk and not-at-risk youth, ages 10-19, statewide, focus on alternative activities, family strengthening, prevention & reduction of teen pregnancies/drug use.

Source of Revenues: Federal funds from Temporary Assistance to Needy Families (TANF)

Current Program Activities/Allowable Expenses: After-School and year-round customized program designed to meet the youth elements of targeted groups of youth on Kauai, Hawaii, Oahu, and Maui.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: FY15 and FY16 Revenues and Expenditures: No variations

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	86,827	0	0	0	0	0	0
Beginning Cash Balance	0	18,451	18,451	18,451	18,451	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	18,451	0	0
Transfers							
List each by JV# and date							
JS0857 8/24/12	18,451						
Net Total Transfers	18,451	0	0	0			
Ending Cash Balance	18,451	18,451	18,451	18,451	0	0	0
Encumbrances							
Unencumbered Cash Balance	18,451	18,451	18,451	18,451	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: State & Local Implementation Grant  
 Legal Author: Act of 2012, Public Law 112-96

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-500-G  
State & Local Implementation  
CFDA No. 11.549

Intended Purpose: To provide resources to assist regional, state, local, and tribal government entities as they plan for the nationwide public safety broadband network (PSBN) being developed by the First Responder Network Authority (FirstNet).

Source of Revenues: Middle Class Tax Relief and Job Creation Act of 2012 (Act).

Current Program Activities/Allowable Expenses: Funds provide the state with the resources to coordinate and collaborate with stakeholders to identify needs, gaps, and priorities for public safety wireless broadband.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: The revenues collected and payments made are very similar between FY15 and FY16. There are no variances in revenues or expenditures between FY15 & FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	4,000,000	3,975,145	3,657,380	121,943	0	0
Beginning Cash Balance	0	0	87	2,228	11,571	0	0
Revenues	0	24,942	319,907	300,433	117,950	0	0
Expenditures	0	24,855	317,766	291,090	121,943	0	0
Transfers							
List each by JV# and date							
JS0707 8/23/16					(5,973)		
JS1173 9/20/16					(1,604)		
Net Total Transfers					(7,578)	0	0
Ending Cash Balance	0	87	2,228	11,571	0	0	0
Encumbrances	0	160,000	147,152	121,943	0	0	0
Unencumbered Cash Balance	0	(159,913)	(144,924)	(110,372)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: Regional Cat. Preparedness Grant  
 Legal Author: Act, 2009, Public Law 110-329

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-501-G  
 Regional Catastrophic  
 Preparedness Program  
 CFDA No. 97.111

Intended Purpose: RCPGP is intended to support co-ordination of regional, all hazard planning for catastrophic events, including the development of all necessary integrated planning communities, plans, protocols, and procedures.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: To support an integrated planning system that provides all-hazard planning for catastrophic event.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY16 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		2,369,950	1,668,241	0	0	0	0
Beginning Cash Balance	0	0	12,000	(0)	(0)	0	0
Revenues		788,709	1,021,490	0	0	0	0
Expenditures		776,709	1,033,704	0	0	0	0
Transfers							
List each by JV# and date							
JS6860 6/9/15			(685)				
JS1623 9/22/14			899				
Net Total Transfers	0	0	214	0	0	0	0
Ending Cash Balance	0	12,000	(0)	(0)	(0)	0	0
Encumbrances		694,855	0	0	0	0	0
Unencumbered Cash Balance	0	(682,855)	(0)	(0)	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Amelioration of Physical Disasters  
 Legal Author: Public Law 93-288, 42 U.S.C 5121

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-502-G

**For Homeland Security Grants in FY13**  
CFDA No. 97.067

S-502-G was assigned to Homeland Security Grants in FY13 & FY14. Then it was assigned to MCCA in FY15. But MCCA accountant did not like the appropriation number and requested a new appropriation number, S-15-504-G to replace it. S-502-G has not been used since FY2015

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	16,957,519	16,882,319	16,882,319	0	0	0
Beginning Cash Balance	0	0	124,897	124,897	124,897	0	0
Revenues	0	125,097	0	0	0	0	0
Expenditures	0	200	0	0	124,897	0	0
Transfers							
List each by JV# and date							
Net Total Transfers					0	0	0
Ending Cash Balance	0	124,897	124,897	124,897	(0)	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	124,897	124,897	124,897	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Act of 1978, Section 202, 38 U.S.C 2408

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-503-G  
**(Gravesite Restoration for Maui Cemetery**  
**CFDA No. 64.203**

Intended Purpose: To replace the grass, and to clean, readjust and realign the grave markers at the Maui Veterans Cemetery.

Source of Revenues: U.S. Department of Veterans Affairs' (VA) State Cemetery Grant Program

Current Program Activities/Allowable Expenses: Funds provide the state with the resources to renovate cemetery with new grassing and aligned grave markers. So Veterans, family members, and friends of departed veterans on Maui will be able to visit their loved ones.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: Revenues & expenditures in FY15 are much higher than FY16's because all contracts and agreements have been executed in FY15. The revenues and expenditures collected and paid in FY16 are the residual balance of a contract executed in FY15. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2014	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,170,000	1,112,771	269,831	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues		57,229	842,939	192,570	0	0	0
Expenditures		57,229	842,939	192,570	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		881,780	79,887	0	0	0	0
Unencumbered Cash Balance	0	(881,780)	(79,887)	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-504-G  
 Army National Guard MCCA  
 CFDA No. 12.400

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Army National Guard Military Constructions for Facilities

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY15 has no revenues or expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	2,000,000	2,000,000	1,880,689	1,837,279	0
Beginning Cash Balance	0	0		0	49,327	5,917	0
Revenues	0	0	0	0	168,638	0	0
Expenditures	0	0	0	119,311	43,410	5,917	0
Transfers							
List each by JV# and date							
JS4790 3/11/16				168,638			
JS0917 9/6/16					(168,638)		
Net Total Transfers				168,638	(168,638)	0	0
Ending Cash Balance	0	0	0	49,327	5,917	0	0
Encumbrances	0	0	0	49,327	5,917	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-505-G  
Tropical Storm Iselle -9/12/14  
CFDA No. 97.036

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: more revenues received and more payments made to fix diaster areas in FY15. It all depends on how fast FEMA approves the application or how fast the disaster victims respond and provide list of damaged properties or legal documents to allow FEMA to do the investigation. Every year varies. There are variances between FY15 and FY16 in revenues and expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	6,000,000	4,631,356	4,561,608	4,476,608	4,376,608
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	1,368,644	69,748	85,000	100,000	108,000
Expenditures	0	0	1,368,644	69,748	85,000	100,000	108,000
Transfers							
List each by JV# and date							
Net Total Transfers					0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	1,355,778	1,337,513	1,252,513	1,152,513	1,044,513
Unencumbered Cash Balance	0	0	(1,355,778)	(1,337,513)	(1,252,513)	(1,152,513)	(1,044,513)

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-506-G  
**PU'U O'O Lava Flow - 11/3/14**  
**CFDA No. 97.036**

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY15 has no revenues or expenditures.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	20,000,000	20,000,000	16,764,275	15,864,275	15,364,275
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	3,235,725	900,000	500,000	345,000
Expenditures	0	0	0	3,235,725	900,000	500,000	345,000
Transfers							
List each by JV# and date							
Net Total Transfers					0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	3,235,725	2,335,725	1,835,725	1,490,725
Unencumbered Cash Balance	0	0	0	(3,235,725)	(2,335,725)	(1,835,725)	(1,490,725)

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-507-G  
National Tsunami Hazard  
Mitigation-CFDA No. 11.467  
(8/29/14)

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures: Revenues & expenditures in FY16 are much higher than FY15's because the grant was awarded in September 2014. It takes time to plan and execute agreements, so the majority of revenues and expenditures were collected and paid to vendors in FY16. There are variances in revenues and expenditures between FY15 and FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	538,760	135,714	0	0
Beginning Cash Balance	0	0	0	40,931	5,099	0	0
Revenues	0	0	40,931	377,656	23,472	0	0
Expenditures	0	0	0	403,046	28,571	0	0
Transfers							
List each by JV# and date							
JS5271 4/7/16				(10,443)			
Net Total Transfers				(10,443)	0	0	0
Ending Cash Balance	0	0	40,931	5,099	0	0	0
Encumbrances	0	0	0	28,571	0	0	0
Unencumbered Cash Balance	0	0	40,931	(23,472)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS  
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-16-509-G  
 Hawaii County Multi-Hazard  
 Mitigation Plan-CFDA No. 97.047

Intended Purpose: To provide funding support for pre-disaster mitigation planning and projects primarily addressing natural hazards. This program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: Hazard mitigation planning activities must primarily focus on natural hazards but may also address hazards caused by manmade events. Funding is restricted to a maximum of \$400,000 Federal share for a new mitigation plan. A maximum of \$150,000 for an existing local single jurisdiction plan.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No computations can be done because FY15 has no revenues or expenditures.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	66,781	6,030	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	60,750	45	0	0
Expenditures	0	0	0	60,750	45	0	0
Transfers							
List each by JV# and date							
Net Total Transfers				0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Security Deposits and Use Permits  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): T  
 Appropriation Acct. No. T-901-G

Intended Purpose: Offset expenses related to the use of facilities (staff, utilities) and payment to OHA for its share

Source of Revenues: Revenue for use of facilities (gym, parking, billeting and filming)

Current Program Activities/Allowable Expenses: Maintain the facilities and parking structures.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures: The revenues from facility rentals varies. It depends on activities and events. There are huge variances in revenues between FY15 & FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	88,447	94,227	633,671	115,173	135,000	135,000	135,000
Expenditures	112,997	33,700	56,712	50,835	80,000	80,000	80,000
Transfers							
List each by JV# and date							
JT0739 2/21/13	(137)						
JT0783 2/28/13	(176)						
JT1102 6/6/13	(200)						
JT0145 8/7/12	304,828						
JT0248 9/13/12	(236)						
JT0395 10/25/12	(230)						
JT0512 12/14/12	(136)						
JT0523 12/31/2013		(70)					
JT0778 3/31/2014		(480)					
JT1159 6/30/2014		(1,166)					
JT0182 8/27/2013		280,435					
JT0361 10/30/2013		(220)					
JT0430 11/30/2013		(65)					
JT0454 12/13/2013		65					
JT0454 12/13/2013		(50)					
JT0503 12/30/2013		(50)					
JT0512 12/31/2014			(300)				
JT0736 3/25/2015			(314)				
JT1118 6/30/2015			(300)				
JT0149 8/22/2014			340,232				
JT0274 9/30/2014			(305)				
JT0656 4/13/2016				(360)			
JT0854 6/27/2016				(320)			
JT0104 8/5/2015				915,444			
JT0219 9/25/2015				(329)			
JT0421 12/22/2015				(300)			
JT0067 7/20/2016					100,000		
JT0115 7/29/2016					875,160		
JT0375 10/12/16					(1,834)		
XXXXXXXXXX						991,510	
XXXXXXXXXX							1,006,510
Net Total Transfers	303,713	278,399	339,012	914,135	973,325	991,510	1,006,510
Ending Cash Balance	279,163	338,926	915,972	978,474	1,028,325	1,046,510	1,061,510
Encumbrances	1,306	0	2,457	4,468	8,650	10,000	12,000
Unencumbered Cash Balance	277,857	338,926	913,515	974,006	1,019,675	1,036,510	1,049,510

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Hawaii State Veterans Trust Fund  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-902-G

Intended Purpose: For the use of the upkeep and beautification of the Hawaii State Veterans Cemetery

Source of Revenues: Donations from family and friends of the deceased veteran

Current Program Activities/Allowable Expenses: Maintenance of the Hawaii State Veterans Cemetery

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	459	70	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
JT0145 8/7/12	15,900						
JT0182 8/27/13		15,900					
JT0149 8/22/14			16,359				
JT0104 8/5/15				16,429			
JT0115 7/29/16					16,429		
						16,429	
							16,429
Net Total Transfers	15,900	15,900	16,359	16,429	16,429	16,429	16,429
Ending Cash Balance	15,900	16,359	16,429	16,429	16,429	16,429	16,429
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	15,900	16,359	16,429	16,429	16,429	16,429	16,429

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Accumulated Vacation and Sick Leaves  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF) T  
 Appropriation Acct. No. T-903-G

Intended Purpose: Vacation and Sick Leave Payout for Federally-funded employees

Source of Revenues: Accumulation of Vacation and Sick Leave for Federally-funded Employees

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures: In this case, number of employees hired, retired or transferred to other units are the factors of changes in revenues and expenditures. In FY15, more revenues were transferred in and less expenditures were transferred out.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	72,792	128,279	20,973	10,310	60,000	60,000	60,000
Expenditures	0	31,794	3,076	5,874	48,000	48,000	48,000
Transfers							
List each by JV# and date							
JT0145 8/7/12	921,197.20						
JT0182 8/27/13		993,989					
JT0654 2/19/15			2,034				
JT0149 8/22/14			1,090,475				
JT0104 8/5/15				1,110,406			
JT0115 7/29/16					1,114,842		
XXXXXX						1,126,842	
XXXXXX							1,138,842
Net Total Transfers	921,197	993,989	1,092,509	1,110,406	1,114,842	1,126,842	1,138,842
Ending Cash Balance	993,989	1,090,475	1,110,406	1,114,842	1,126,842	1,138,842	1,150,842
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	993,989	1,090,475	1,110,406	1,114,842	1,126,842	1,138,842	1,150,842

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: ASSET FORFEITURE TRUST ACCOUNT  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): T  
 Appropriation Acct. No. T-905-G

Intended Purpose: An educational program of the Hawaii National Guard is used to educate and encourage youth to be drug free.  
 Source of Revenues: United States Health and Human Services provide the funding to Hawaii State Department of Health

Current Program Activities/Allowable Expenses: To set up at events to promote a drug free lifestyle, to engage customers in discussions about drug use and its dangers. There are brochures and educational training available in schools and youth service centers statewide.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: There are variances in expenditures, but it's immaterial

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	11,407	3,776	450	2,657	300	300	300
Transfers							
List each by JV# and date							
JT0145 8/7/12	141,244						
JT0182 8/27/13		129,837					
JT0149 8/22/14			126,060				
JT0104 8/5/15				125,610			
JT0115 7/29/16					122,953		
XXXXXX						122,653	
XXXXXX							122,353
Net Total Transfers	141,244	129,837	126,060	125,610	122,953	122,653	122,353
Ending Cash Balance	129,837	126,060	125,610	122,953	122,653	122,353	122,053
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	129,837	126,060	125,610	122,953	122,653	122,353	122,053

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: TSUNAMI & HURRICANE PREPAREDNESS EFFORTS  
 Legal Authority: ACT 5, SLH 2005

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-906-G

Intended Purpose: Install & maintain new siren warning systems, update tsunami evacuation maps, construct additional emergency shelter space and retrofit existing public buildings to serve as emergency shelters, develop statewide residential safe room design standards, provide 24 hour watch capability in the Emergency Operation Center.

Source of Revenues: Interest on the Hawaii Hurricane Reserve Trust Fund Principal

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances because no revenues or expenditures in both FY15 & FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	127,554	0	0	0	0	0
Beginning Cash Balance	0	162,045	162,045	162,045	162,045	162,045	162,045
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	162,045	162,045	162,045	162,045	162,045	162,045
Encumbrances							
Unencumbered Cash Balance	0	162,045	162,045	162,045	162,045	162,045	162,045

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: OHA Ceded Lands Proceeds  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-907-G

Intended Purpose: Payout to OHA for their share of the use of DOD facilities

Source of Revenues: OHA share for OHA Ceded Lands Revenues for use of DOD facilities

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures: No variations. the differences in expenditures between FY15 & FY16 are very small.

Financial Data							
	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (estimated)	FY 2018 (estimated)	FY 2019 (estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	1,150	2,036	1,219	1,309	1,834	2,000	2,100
Transfers							
List each by JV# and date							
JT0739 2/21/13	137						
JT0783 2/28/13	176						
JT1102 6/6/13	200						
JT0145 8/7/12	35						
JT0248 9/13/12	236						
JT0395 10/25/12	230						
JT0512 12/14/12	136						
JT0523 12/31/13		70					
JT0778 3/31/14		480					
JT1159 6/30/14		1,166					
JT0361 10/30/13		220					
JT0430 11/30/13		65					
JT0454 12/13/13		(65)					
JT0454 12/13/13		50					
JT0503 12/30/13		50					
JT0512 12/31/14			300				
JT0736 3/25/15			314				
JT1118 6/30/15			300				
JT0274 9/30/14			305				
JT0656 4/13/16				360			
JT0854 6/27/16				320			
JT0219 9/25/15				329			
JT0421 12/22/15				300			
JT0375 10/12/16					1834		
XXXXX						2000	
XXXXX							2100
Net Total Transfers	1,150	2,036	1,219	1,309	1,834	2,000	2,100
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	-	-	-	-	-	-	-

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: MAJOR DISASTER TRUST  
 Legal Authority: ACT 87, SLH 2010

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-908-G

Intended Purpose: To allow federal reimbursement monies for disaster relief to be deposited into a trust account for disaster relief.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Disaster Assistance

Current Program Activities/Allowable Expenses: To provide for immediate relief in the event of an occurrence of a major disaster

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY15 and FY16 Revenues and Expenditures: More reimbursements from FEMA in FY15 due to multiple disasters in that year. FY16 was more peaceful. Less reimbursements were requested. There are variances in revenues and expenditures between FY15 & FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	156,419	218,466	280,569	75,610	450,000	400,000	350,000
Expenditures	350,153	-	684,444	28,635	420,000	420,000	375,000
Transfers							
List each by JV# and date							
jt0145 8/7/12	663,519						
JT0182 8/27/13		505,427					
JT0149 8/22/14			723,894				
JT0104 8/5/15				320,018			
JT0115 7/29/16					366,993		
XXXXX						396,993	
XXXXX							376,993
Net Total Transfers	663,519	505,427	723,894	320,018	366,993	396,993	376,993
Ending Cash Balance	469,786	723,894	320,018	366,993	396,993	376,993	351,993
Encumbrances	-	-			-	-	-
Unencumbered Cash Balance	469,786	723,894	320,018	366,993	396,993	376,993	351,993

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DEPARTMENT OF DEFENSE  
 Prog ID(s): DEF 110  
 Name of Fund: Juvenile Accountability Block Grant  
 Legal Authority: \_\_\_\_\_

Contact Name: Candy Lum  
 Phone: 733-4260  
 Fund type (MOF): T  
 Appropriation Acct. No. T-911-G

Intended Purpose: The fund was established to provide support to the Department of Community Services (DCS) by Dept. of Defense (DOD). DOD's responsibilities include reimbursing DCS for expenses associated with the grant, fiscal oversight and monitoring of funds and periodic review of program performance.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY15 and FY16 Revenues and Expenditures: No variances

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	4,903	-	-	-	-	-	-
Expenditures	4,903	-	-	-	-	-	-
Transfers							
List each by JV# and date							
++							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 100  
 Name of Fund: Federal Funds - EDN 100  
 Legal Authority: Elementary and Secondary Education Act

Contact Name: Budget Execution  
 Phone: 784-6030  
 Fund type (MOF): Federal (N)  
 Appropriation Acct. No.: S-210-E

**Intended Purpose:**

Reimbursement for allowable expenditures for lower education.

**Source of Revenues:**

US Department of Education; US Department of Defense

**Current Program Activities/Allowable Expenses:**

Impact Aid program expenditures for substitutes and allocation to schools, Title I programs to support schools with high rates of students that qualify for free or reduced price lunch, migrant education programs, neglected and delinquent student programs, improving teacher and administrator programs, math and science partnerships, English language learner programs, community learning centers, and assessment programs.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

-\$10m Impact Aid ceiling based on historical and anticipated award levels, +\$339,391 for Impact Aid appropriation true up adjustment with EDN150, and -\$500,000 for ESSA (100/DB).

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	270,483,480	256,404,754	265,398,105	246,960,711	138,331,226	128,170,617	128,170,617
Beginning Cash Balance	73,667,081	102,775,092	78,036,398	60,990,951	76,577,393	67,580,417	55,751,034
Revenues	169,359,619	122,511,468	96,041,521	119,301,168	138,331,226	128,170,617	128,170,617
Expenditures	132,151,609	127,792,828	113,086,968	102,104,066	147,328,202	140,000,000	140,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV00028 (From: S-210 / To: S-240)	(8,100,000)	-	-				
AJV00272 (From: S-210 / To: S-240)	-	(8,000,000)	-				
AJV00452 (From: S-210 / To: S-240)		(3,156,477)	-				
AJV00453 (From: S-210 / To: S-240)		(678,423)	-				
AJV01306 (From: S-210 / To: S-230)		(4,390,100)	-				
AJV01322 (From: S-210 / To: S-230)		(1,189,000)	-				
AJV03028 (From: S-210 / To: S-220 & S-230)		(2,043,334)	-				
AJV00128 (From: S-210 / To: S-360 & S-361)				(1,610,660)			
AJV - Various	1	(1)	-				
Rounding/misc adjustment	-	1	-				
Net Total Transfers	(8,099,999)	(19,457,334)	-	(1,610,660)			
Ending Cash Balance	102,775,092	78,036,398	60,990,951	76,577,393	67,580,417	55,751,034	43,921,651
Encumbrances	14,675,012	14,546,948	14,090,181	8,996,976			
Unencumbered Cash Balance	88,100,080	63,489,450	46,900,770	67,580,417	67,580,417	55,751,034	43,921,651
Additional Information:							
Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 150  
 Name of Fund: Federal Funds - EDN 150  
 Legal Authority: I.D.E.A., SPED Pre-school, and Impact Aid Disabilities

Contact Name: Budget Execution  
 Phone: 784-6030  
 Fund type (MOF) Federal (N)  
 Appropriation Acct. No. S-215-E

Intended Purpose:

Reimbursement for allowable expenditures for special education .

Source of Revenues:

US Department of Education

Current Program Activities/Allowable Expenses:

To provide special education and related services to eligible students with disabilities in accordance with Federal and State regulations.

Purpose of Proposed Ceiling Adjustment (if applicable):

+\$3,461,349 for IDEA and +\$107,070 for IDEA Preschool Grant

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	64,303,868	67,644,166	73,599,911	68,745,963	48,899,355	52,467,774	52,467,774
Beginning Cash Balance	1,525,291	2,449,504	2,381,195	1,783,129	2,092,570	2,092,570	2,092,570
Revenues	40,980,725	44,581,897	43,669,534	43,509,460	45,000,000	52,467,774	52,467,774
Expenditures	40,056,513	44,650,205	44,267,600	43,200,019	45,000,000	52,467,774	52,467,774
Transfers							
List each net transfer in/out; list each account number							
AJV_____	-	-	-				
AJV - Various	1	-	-				
Rounding/misc adjustment	-	(1)	-				
Net Total Transfers	1	(1)	-				
Ending Cash Balance	2,449,504	2,381,195	1,783,129	2,092,570	2,092,570	2,092,570	2,092,570
Encumbrances	1,769,199	1,707,224	1,794,459	1,314,897			
Unencumbered Cash Balance	680,305	673,971	(11,330)	777,673	2,092,570	2,092,570	2,092,570

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 200  
 Name of Fund: Federal Funds - EDN 200  
 Legal Authority: Education for Homeless Children & Youth Grant

Contact Name: Budget Execution  
 Phone: 784-6030  
 Fund type (MOF) Federal (N)  
 Appropriation Acct. No. S-220-E

**Intended Purpose:**

Reimbursement for allowable expenditures for lower education.

**Source of Revenues:**

US Department of Education

**Current Program Activities/Allowable Expenses:**

To ensure that homeless children and youth have access to a free and appropriate education.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variances:** FY17 Homeless Assistant Act award to date is \$250,839 and additional revenue and expenditure estimate based on potential receipts given prior year experience.

**Financial Data**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	667,416	4,762,838	5,943,550	900,580	500,000	500,000	500,000
Beginning Cash Balance	543,544	526,130	408,056	397,436	390,350	390,350	390,350
Revenues	388,220	274,243	4,923,285	202,517	500,000	500,000	500,000
Expenditures	405,634	999,856	4,933,905	209,603	500,000	500,000	500,000
Transfers							
List each net transfer in/out; list each account number							
AJV03028 (From: S-210 / To: S-220 & S-230)	-	607,539	-				
AJV - Various	-	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	-	607,539	-				
Ending Cash Balance	526,130	408,056	397,436	390,350	390,350	390,350	390,350
Encumbrances	215	1,978	-	-			
Unencumbered Cash Balance	525,915	406,078	397,436	390,350	390,350	390,350	390,350

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 300  
 Name of Fund: Federal Funds - EDN 300  
 Legal Authority: P.L. 100-297 National Cooperative Education Statistics

Contact Name: Budget Execution  
 Phone: 784-6030  
 Fund type (MOF) Federal (N)  
 Appropriation Acct. No. S-230-E

Intended Purpose:

Reimbursement for allowable expenditures for lower education.

Source of Revenues:

US Department of Education

Current Program Activities/Allowable Expenses:

To support activities that will enable State Education Agencies to designate representatives to the Cooperative System who can speak for state interests, provide recommendations about Cooperative System activities, and initiate action at both state and national levels to further the Cooperative System's goals.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,146,079	5,684,737	3,596,339	1,036,768	-	-	-
Beginning Cash Balance	519,637	(169,247)	3,745,968	2,176,286	1,571,115	-	-
Revenues	2,863,150	1,280,549	1,468,264	-	-	-	-
Expenditures	3,552,034	4,380,230	3,037,946	605,171	1,571,115	-	-
Transfers							
List each net transfer in/out; list each account number							
AJV01306 (From: S-210 / To: S-230)	-	4,390,100	-				
AJV01322 (From: S-210 / To: S-230)		1,189,000					
AJV03028 (From: S-210 / To: S-220 & S-230)		1,435,795					
AJV - Various	-	-	-				
Rounding/misc adjustment	-	1	-				
Net Total Transfers	-	7,014,896	-	-			
Ending Cash Balance	(169,247)	3,745,968	2,176,286	1,571,115	0	-	-
Encumbrances	3,084,306	2,419,013	792,810	288,555			
Unencumbered Cash Balance	(3,253,553)	1,326,955	1,383,476	1,282,560	0	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 400  
 Name of Fund: Federal Funds - EDN 400  
 Legal Authority: USDA Child Nutrition Program

Contact Name: Cindy Loy  
 Phone: 733-8407  
 Fund type (MOF): Federal (N)  
 Appropriation Acct. No. S-240-E

Intended Purpose:

Reimbursement for allowable expenditures for school food services.

Source of Revenues:

US Department of Agriculture; US Department of Education

Current Program Activities/Allowable Expenses:

Child Nutrition programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Reflect anticipated grant revenues and expenditures.

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	67,104,187	74,647,721	52,104,968	59,118,967	64,205,300	64,205,300	64,205,300
Beginning Cash Balance	34,581,377	22,170,459	13,661,957	26,370,143	21,860,567	12,833,787	4,988,685
Revenues	19,691,754	463,360	422,993	134,516	55,170,670	55,563,041	56,111,262
Expenditures	62,988,386	76,744,225	52,159,265	57,195,976	64,197,450	63,408,143	63,878,457
Transfers							
List each net transfer in/out; list each account number							
AJV00028 (From: S-210 / To: S-240)	8,100,000						
AJV01601 (From: S-241 / To: S-240)	24,793,620						
AJV 595/982/1278 (From: S-240 / To: S-241)	(2,000,000)						
AJV00272 (From: S-210 / To: S-240)		8,000,000					
AJV00452 (From: S-210 / To: S-240)		3,156,477					
AJV00453 (From: S-210 / To: S-240)		678,423					
AJV00337 (From: S-241 / To: S-240)		15,704,234					
AJV00649 (From: S-241 / To: S-240)		189,587					
AJV00708 (From: S-241 / To: S-240)		5,402,336					
AJV00878 (From: S-241 / To: S-240)		11,390,287					
AJV01145 (From: S-241 / To: S-240)		10,015,686					
AJV01535 (From: S-241 / To: S-240)		11,235,334					
AJV03025 (From: S-241 / To: S-240)		2,000,000					
AJV00068 (From: S-241 / To: S-240)			4,564,253				
AJV00096 (From: S-241 / To: S-240)			12,225,995				
AJV00143 (From: S-241 / To: S-240)			528,081				
AJV00324 (From: S-241 / To: S-240)			224,372				
AJV00571 (From: S-241 / To: S-240)			11,634,184				
AJV00784 (From: S-241 / To: S-240)			5,061,192				
AJV00838 (From: S-241 / To: S-240)			9,193,851				
AJV00944 (From: S-241 / To: S-240)			4,145,384				
AJV01073 (From: S-241 / To: S-240)			5,633,085				
AJV01195 (From: S-241 / To: S-240)			4,792,699				

**Report on Non-General Fund Information**  
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AJV01357 (From: S-241 / To: S-240)			6,432,950				
AJV00050 (From: S-241 / To: S-240)				5,852,540			
AJV00145 (From: S-241 / To: S-240)				1,071,215			
AJV00212 (From: S-241 / To: S-240)				864,267			
AJV00376 (From: S-241 / To: S-240)				6,090,387			
AJV00533 (From: S-241 / To: S-240)				4,894,481			
AJV00625 (From: S-241 / To: S-240)				11,982,508			
AJV00724 (From: S-241 / To: S-240)				3,865,869			
AJV00840 (From: S-241 / To: S-240)				5,040,019			
AJV00982 (From: S-241 / To: S-240)				6,000,294			
AJV01174 (From: S-241 / To: S-240)				4,171,935			
AJV01372 (From: S-241 / To: S-240)				6,424,940			
AJV - Various	(7,906)	-	(1)				
Rounding/misc adjustment	-	(1)	8,413				
<b>Net Total Transfers</b>	<b>30,885,714</b>	<b>67,772,363</b>	<b>64,444,458</b>	<b>56,258,454</b>			
<b>Ending Cash Balance</b>	<b>22,170,459</b>	<b>13,661,957</b>	<b>26,370,143</b>	<b>25,567,137</b>	<b>12,833,787</b>	<b>4,988,685</b>	<b>(2,778,510)</b>
<b>Encumbrances</b>	<b>6,820,795</b>	<b>3,838,887</b>	<b>1,445,860</b>	<b>2,536,200</b>			
<b>Unencumbered Cash Balance</b>	<b>15,349,664</b>	<b>9,823,070</b>	<b>24,924,283</b>	<b>23,030,937</b>	<b>12,833,787</b>	<b>4,988,685</b>	<b>(2,778,510)</b>

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 400  
 Name of Fund: Federal Funds - EDN 400  
 Legal Authority: USDA Child Nutrition Program

Contact Name: Sue Uyehara  
 Phone: 587-3600  
 Fund type (MOF) Federal (N)  
 Appropriation Acct. No. S-241-E

**Intended Purpose:**

To provide each State agency with funds for its administrative expenses in supervising and giving technical assistance to local schools, school districts and institutions in their conduct of Child Nutrition Programs. State agencies that administer the distribution of USDA Foods to schools or adult care institutions are also provided with State Administrative Expense Funds (SAE). To administer the USDA's Child Nutrition Programs (CNP) at the State Agency (SA) level known as the Hawaii Child Nutrition Programs (HCNP).

**Source of Revenues:**

US Department of Agriculture

**Current Program Activities/Allowable Expenses:**

Funding will be expended for HCNP responsibilities such as the state wide administration of all CNP in Hawaii, monitoring, technical assistance, training, audits and general operation expenses including the salary of staff, contracts for goods or services, etc.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variances:**

	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,468,853	2,185,248	2,287,905	2,233,213	1,892,000	1,892,000	1,892,000
Beginning Cash Balance	-	826,617	3,611,642	(1,491,452)	(70,032)	-	-
Revenues	24,145,362	59,774,121	60,786,183	59,080,385	55,170,670	55,563,041	56,111,262
Expenditures	525,125	1,051,633	1,453,232	1,400,511	1,414,516	1,428,661	1,442,948
Transfers							
List each net transfer in/out; list each account number							
AJV01601 (From: S-241 / To: S-240)	(24,793,620)	-	-				
AJV0595/982/1278 (From: S-240 / To: S-241)	2,000,000	-	-				
AJV00337 (From: S-241 / To: S-240)	-	(15,704,234)	-				
AJV00649 (From: S-241 / To: S-240)	-	(189,587)	-				
AJV00708 (From: S-241 / To: S-240)	-	(5,402,336)	-				
AJV00878 (From: S-241 / To: S-240)	-	(11,390,287)	-				
AJV01145 (From: S-241 / To: S-240)	-	(10,015,686)	-				
AJV01535 (From: S-241 / To: S-240)	-	(11,235,334)	-				
AJV03025 (From: S-241 / To: S-240)	-	(2,000,000)	-				
AJV00068 (From: S-241 / To: S-240)	-	-	(4,564,253)				
AJV00096 (From: S-241 / To: S-240)	-	-	(12,225,995)				
AJV00143 (From: S-241 / To: S-240)	-	-	(528,081)				
AJV00324 (From: S-241 / To: S-240)	-	-	(224,372)				
AJV00571 (From: S-241 / To: S-240)	-	-	(11,634,184)				
AJV00784 (From: S-241 / To: S-240)	-	-	(5,061,192)				
AJV00838 (From: S-241 / To: S-240)	-	-	(9,193,851)				
AJV00944 (From: S-241 / To: S-240)	-	-	(4,145,384)				
AJV01073 (From: S-241 / To: S-240)	-	-	(5,633,085)				
AJV01195 (From: S-241 / To: S-240)	-	-	(4,792,699)				
AJV01357 (From: S-241 / To: S-240)	-	-	(6,432,950)				
AJV00050 (From: S-241 / To: S-240)				(5,852,540)			
AJV00145 (From: S-241 / To: S-240)				(1,071,215)			
AJV00212 (From: S-241 / To: S-240)				(864,267)			

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

AJV00376 (From: S-241 / To: S-240)				(6,090,387)			
AJV00533 (From: S-241 / To: S-240)				(4,894,481)			
AJV00625 (From: S-241 / To: S-240)				(11,982,508)			
AJV00724 (From: S-241 / To: S-240)				(3,865,869)			
AJV00840 (From: S-241 / To: S-240)				(5,040,019)			
AJV00982 (From: S-241 / To: S-240)				(6,000,294)			
AJV01174 (From: S-241 / To: S-240)				(4,171,935)			
AJV01372 (From: S-241 / To: S-240)				(6,424,940)			
AJV - Various	-	-	1				
Rounding/misc adjustment	-	1	-				
<b>Net Total Transfers</b>	<b>(22,793,620)</b>	<b>(55,937,463)</b>	<b>(64,436,045)</b>	<b>(56,258,454)</b>	<b>(53,686,122)</b>	<b>(54,134,380)</b>	<b>(54,668,314)</b>
<b>Ending Cash Balance</b>	<b>826,617</b>	<b>3,611,642</b>	<b>(1,491,452)</b>	<b>(70,032)</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Encumbrances</b>	<b>154,834</b>	<b>233,924</b>	<b>236,716</b>	<b>141,770</b>			
<b>Unencumbered Cash Balance</b>	<b>671,783</b>	<b>3,377,718</b>	<b>(1,728,168)</b>	<b>(211,802)</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Additional Information:</b>							
Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 500  
 Name of Fund: Federal Funds - EDN 500  
 Legal Authority: P.L. 105-220 Workforce Investment Act (Adult Education)

Contact Name: Deborah Miyao  
 Phone: 305-9777  
 Fund type (MOF) Federal (N)  
 Appropriation Acct. No. S-250-E

Intended Purpose:

Reimbursement for allowable expenditures for adult education.

Source of Revenues:

US Department of Education

Current Program Activities/Allowable Expenses:

Integrated English literacy and civics education services to individuals who are immigrants and other limited proficient populations.

Purpose of Proposed Ceiling Adjustment (if applicable):

\$217 increase for Adult Education State Leadership

Variances:

Financial Data						
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,800,154	6,279,816	5,489,228	3,266,540	3,266,757	3,266,757
Beginning Cash Balance	164,075	189,935	169,802	190,146	190,146	190,146
Revenues	1,803,480	1,838,712	2,053,609	2,100,000	2,100,000	2,100,000
Expenditures	1,777,619	1,858,845	2,033,265	2,100,000	2,100,000	2,100,000
Transfers						
List each net transfer in/out; list each account number						
AJV _____	-	-				
AJV - Various	-	-				
Rounding/misc adjustment	(1)	-				
Net Total Transfers	(1)	-				
Ending Cash Balance	189,935	169,802	190,146	190,146	190,146	190,146
Encumbrances	166,654	38,714	28,063			
Unencumbered Cash Balance	23,281	131,088	162,084	190,146	190,146	190,146
Additional Information:						
Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 100  
 Name of Fund: Federal Funds - EDN 100  
 Legal Authority: Elementary and Secondary Education Act and Perkins Career and Technical Education Act

Contact Name: Budget Execution  
 Phone: 784-6030  
 Fund type (MOF): Federal (P)  
 Appropriation Acct. No.: S-810-E

**Intended Purpose:**

For allowable expenditures for lower education.

**Source of Revenues:**

US Department of Defense and US Department of Education

**Current Program Activities/Allowable Expenses:**

Support for lower education including Advanced Placement Fee Payment, Education of Native Hawaiians, and Vocational Education Programs.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

+\$50,000 for Voc Tech, -\$439,000 for Education of Native Hawaiians, -\$95,000 for Student Scholarships, and +\$1.5m for DoD

**Variances:**

**Financial Data**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	19,609,972	34,023,611	18,164,946	7,534,000	8,550,000	8,550,000
Beginning Cash Balance	-	-	(516,186)	2,112,893	2,686,629	1,094,786	1,144,786
Revenues	-	4,750,509	7,034,756	5,007,142	7,534,000	8,550,000	8,550,000
Expenditures	-	5,266,695	4,405,677	4,433,406	9,125,843	8,500,000	9,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV_____	-	-	-				
AJV - Various	-	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	-	-	-				
Ending Cash Balance	-	(516,186)	2,112,893	2,686,629	1,094,786	1,144,786	694,786
Encumbrances	-	398,170	515,964	1,591,843			
Unencumbered Cash Balance	-	(914,356)	1,596,929	1,094,786	1,094,786	1,144,786	694,786

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 150  
 Name of Fund: Federal Funds - EDN 150  
 Legal Authority: Individuals with Disabilities Education Act

Contact Name: Budget Execution  
 Phone: 784-6030  
 Fund type (MOF) Federal (P)  
 Appropriation Acct. No. S-815-E

**Intended Purpose:**

For allowable expenditures for lower education special education services.

**Source of Revenues:**

US Department of Education

**Current Program Activities/Allowable Expenses:**

Support for special education services.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variations:** Although appropriation ceiling for FY17 and beyond shows as \$0, expenditures will be made against carryover ceiling.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	92,500	10,833,950	10,711,917	-	-	-
Beginning Cash Balance	-	-	-	(62,774)	(33,547)	(1,557,327)	(3,505,587)
Revenues	-	-	24,357	475,839	33,547	-	-
Expenditures	-	-	87,131	446,611	1,557,327	1,948,260	1,948,290
Transfers							
List each net transfer in/out; list each account number							
AJV_____	-	-	-				
AJV - Various	-	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	-	-	-				
Ending Cash Balance	-	-	(62,774)	(33,547)	(1,557,327)	(3,505,587)	(5,453,877)
Encumbrances	-	-	40,851	76,446			
Unencumbered Cash Balance	-	-	(103,625)	(109,993)	(1,557,327)	(3,505,587)	(5,453,877)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 200  
 Name of Fund: Federal Funds - EDN 200  
 Legal Authority: National Assessment of Education Progress (NAEP)

Contact Name: Robert Hillier  
 Phone: 733-4100  
 Fund type (MOF): Federal (P)  
 Appropriation Acct. No.: S-820-E

Intended Purpose:  
To fund the NAEP coordinator and related expenses.  
 Source of Revenues:  
US Department of Education.  
 Current Program Activities/Allowable Expenses:  
NAEP Coordinator and associated costs including attendance at mandatory training sessions.  
 Purpose of Proposed Ceiling Adjustment (if applicable):  
-\$22,000 "non-recurring" reduction, +\$45,794 in anticipation of increased expenditures due to fringe rate increase.  
 Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	187,000	342,058	366,718	250,000	273,794	273,794
Beginning Cash Balance	-	-	87,243	131,323	162,905	153,016	153,016
Revenues	-	119,125	247,496	236,928	240,111	273,794	273,794
Expenditures	-	31,882	203,416	205,346	250,000	273,794	273,794
Transfers							
List each net transfer in/out; list each account number							
AJV_____	-	-	-				
AJV - Various	-	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	-	-	-				
Ending Cash Balance	-	87,243	131,323	162,905	153,016	153,016	153,016
Encumbrances	-	-	1,591	2,525			
Unencumbered Cash Balance	-	87,243	129,732	160,380	153,016	153,016	153,016

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 300  
 Name of Fund: Federal Funds - EDN 300  
 Legal Authority: P.L. 100-297 National Cooperative Education Statistics

Contact Name: Budget Execution  
 Phone: 784-6030  
 Fund type (MOF) Federal (P)  
 Appropriation Acct. No. S-830-E

Intended Purpose:

To contribute to the goal of development comparable, uniform and timely education statistics across states and the nation as a whole.

Source of Revenues:

US Department of Education - National Center for Education Statistics.

Current Program Activities/Allowable Expenses:

Activities that will enable State Education Agency to designate representatives to the Cooperative System.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	30,000	1,182,900	6,684,294	30,000	30,000	30,000
Beginning Cash Balance	-	-	19,747	24,809	69,012	30,000	30,000
Revenues	-	19,747	1,127,962	1,808,365	1,852,054	1,618,641	1,862,098
Expenditures	-	-	1,122,900	1,764,162	1,891,066	1,618,641	1,862,098
Transfers							
List each net transfer in/out; list each account number							
AJV_____	-	-	-				
AJV - Various	-	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	-	-	-				
Ending Cash Balance	-	19,747	24,809	69,012	30,000	30,000	30,000
Encumbrances	-	-	-	835,934			
Unencumbered Cash Balance	-	19,747	24,809	(766,922)	30,000	30,000	30,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 100  
 Name of Fund: Regular Instruction - High School  
 Legal Authority: Section 302A-420, HRS

Contact Name: Frances Pitzer  
 Phone: 984-8000 ext 1238  
 Fund type (MOF): Special  
 Appropriation Acct. No. S-301-E

**Intended Purpose:**

The fund was established in 1921 to hold revenues collected by the Lahainaluna Boarding School and to finance its vocational program.

**Source of Revenues:**

Revenues are from Lahainaluna's Boarding Program educational and curriculum related activities

**Current Program Activities/Allowable Expenses:**

Allowable expenses include those which support Lahainaluna's Boarding Program Educational and curriculum related activities

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variances:** Program has ceased to sell farm products and will be spending down the available balance to support program activities.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000	30,000	30,000	30,000	30,000	30,000	30,000
Beginning Cash Balance	25,115	26,563	28,022	29,531	31,104	21,104	11,104
Revenues	4,088	2,508	1,554	1,664	-	-	-
Expenditures	2,640	1,049	45	92	10,000	10,000	10,000
Transfers							
List each net transfer in/out; list each account number							
AJV_____	-	-	-	-			
AJV - Various	-	-	-	-			
Rounding/misc adjustment	-	-	-	-			
Net Total Transfers	-	-	-				
Ending Cash Balance	26,563	28,022	29,531	31,104	21,104	11,104	1,104
Encumbrances	519	-	-	-			
Unencumbered Cash Balance	26,044	28,022	29,531	31,104	21,104	11,104	1,104

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 500  
 Name of Fund: Adult Education Special Fund  
 Legal Authority: Section 302A-435, HRS

Contact Name: Deborah Miyao  
 Phone: 305-9777  
 Fund type (MOF): Special  
 Appropriation Acct. No.: S-302-E

**Intended Purpose:**

This fund was established in 1945 to collect tuition for special interest classes and fees for the General Education Development (GED) test in the Adult Education Program.

**Source of Revenues:**

Revenues are from the Adult Education student tuition for special interest classes and GED test fees.

**Current Program Activities/Allowable Expenses:**

Allowable expenses include instructor salaries and supplies for Adult Education special interest classes as well as GED tests. The program is also supported by general and Federal funds.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variances:**

**Financial Data**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,631,000	3,631,000	3,631,000	3,631,000	3,631,000	3,631,000	3,631,000
Beginning Cash Balance	691,005	776,042	762,912	730,249	730,246	610,246	490,246
Revenues	403,407	319,444	196,746	234,198	180,000	180,000	180,000
Expenditures	398,078	332,575	229,409	234,201	300,000	300,000	300,000
Transfers							
List each net transfer in/out; list each account number							
AJV _____	-	-	-				
AJV - Various	79,708	-	-				
Rounding/misc adjustment	-	1	-				
Net Total Transfers	79,708	1	-				
Ending Cash Balance	776,042	762,912	730,249	730,246	610,246	490,246	370,246
Encumbrances	52,446	23,809	2,651	4,827			
Unencumbered Cash Balance	723,596	739,103	727,598	725,419	610,246	490,246	370,246

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 400  
 Name of Fund: School Food Service  
 Legal Authority: Section 302A-405, HRS

Contact Name: Cindy Loy  
 Phone: 733-8407  
 Fund type (MOF) Special  
 Appropriation Acct. No. S-304-E

**Intended Purpose:**

Created in 1960, this fund supports the expense of operating public school cafeterias.

**Source of Revenues:**

Revenues are from the sale of lunch, breakfast, and snacks primarily to students who pay either regular or reduced prices. Meal sales to others, such as teachers, are also deposited to this fund.

**Current Program Activities/Allowable Expenses:**

Allowable expenses are those that support the school lunch program. The program is also supported by general and Federal funds

**Purpose of Proposed Ceiling Adjustment (if applicable):**

To factor into the Food Service special fund ceiling the additional cost of collective bargaining.

**Variances:**

**Financial Data**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	39,845,349	40,461,923	40,426,578	40,683,429	40,676,578	40,818,357	40,818,357
Beginning Cash Balance	9,296,300	1,700,412	6,988,620	2,586,392	5,459,808	5,087,113	3,205,923
Revenues	25,879,119	23,361,932	22,514,238	24,467,313	24,432,845	25,566,286	25,566,286
Expenditures	33,475,022	18,073,744	26,916,902	21,593,897	24,805,540	27,447,476	26,946,930
Transfers							
List each net transfer in/out; list each account number							
AJV_____	-	-	-				
AJV - Various	15	-	-				
Rounding/misc adjustment	-	20	436				
Net Total Transfers	15	20	436				
Ending Cash Balance	1,700,412	6,988,620	2,586,392	5,459,808	5,087,113	3,205,923	1,825,279
Encumbrances	819	4,081	1,314	158			
Unencumbered Cash Balance	1,699,593	6,984,539	2,585,078	5,459,650	5,087,113	3,205,923	1,825,279

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 150  
 Name of Fund: Comprehensive Student Support Services Human Resources Stipend Program  
 Legal Authority: Section 302A-0707, HRS

Contact Name: Sean Arai  
 Phone: 441-8344  
 Fund type (MOF): Special  
 Appropriation Acct. No.: S-305-E

**Intended Purpose:**

This fund was established to create a special fund into which shall be deposited moneys received as repayment from students who have breached their contractual agreements under the Felix stipend program.

**Source of Revenues:**

Revenues are from moneys received as repayment from students who have breached their contractual agreements under the Felix stipend program.

**Current Program Activities/Allowable Expenses:**

Allowable expenses are to provide tuition assistance to students for the Felix consent decree recruitment and retention program, or any successor programs, and related costs.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	204,625	100,000	100,000	100,000	100,000	100,000	100,000
Beginning Cash Balance	228,328	239,569	244,633	209,107	355,390	405,565	380,565
Revenues	111,241	73,707	74,245	158,935	113,439	75,000	75,000
Expenditures	100,000	68,643	109,771	12,652	63,264	100,000	100,000
Transfers							
List each net transfer in/out; list each account number							
AJV _____	-	-	-				
AJV - Various	-	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	-	-	-				
Ending Cash Balance	239,569	244,633	209,107	355,390	405,565	380,565	355,565
Encumbrances	63,264	63,264	-	-			
Unencumbered Cash Balance	176,305	181,369	209,107	355,390	405,565	380,565	355,565

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 400  
 Name of Fund: Teacher Housing  
 Legal Authority: Section 302A-833, HRS

Contact Name: Christian Butt  
 Phone: 586-0875  
 Fund type (MOF) Revolving  
 Appropriation Acct. No. S-310-E

**Intended Purpose:**

The fund was established to fund the activities of the DOE's Teacher Housing Program.

**Source of Revenues:**

Revenues are from the monthly rent collected from the teacher cottage occupants.

**Current Program Activities/Allowable Expenses:**

Allowable expenses are for any and all of the purposes of teachers' housing, including the planning, construction, maintenance, and operation of teachers' housing, as well as for the salaries of the necessary personnel in charge thereof.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Raised ceiling adjustment due to increasing repair and maintenance cost of aging facilities, as well as current labor and material costs.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,022,325	450,000	450,000	450,000	450,000	520,000	520,000
Beginning Cash Balance	315,058	339,065	452,517	357,934	348,847	308,847	188,847
Revenues	313,384	322,771	323,292	322,488	360,000	400,000	420,000
Expenditures	289,378	209,319	417,875	331,574	400,000	520,000	520,000
Transfers							
List each net transfer in/out; list each account number							
AJV _____	-	-	-				
AJV - Various	1	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	1	-	-				
Ending Cash Balance	339,065	452,517	357,934	348,847	308,847	188,847	88,847
Encumbrances	16,501	109,128	9,136	10,136			
Unencumbered Cash Balance	322,564	343,389	348,798	338,712	308,847	188,847	88,847

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 200  
 Name of Fund: Hawaii Teachers Standard Board  
 Legal Authority: Section 302A-806, HRS

Contact Name: Lynn Hammonds  
 Phone: 586-2602  
 Fund type (MOF): Special  
 Appropriation Acct. No.: S-321-E

**Intended Purpose:**

This fund was created in 1998 to support the Hawaii Teachers Standard Board. The board establishes standards governing teacher licensing and credentialing within the department, conducts cyclical review of standards, and suggests revisions for their improvement, and monitors and takes action on licenses when there are instances of teacher misconduct.

**Source of Revenues:**

Revenues are primarily from teacher licensing fees.

**Current Program Activities/Allowable Expenses:**

Allowable expenses are to finance the Board's operational and personnel costs, and any reimbursement for members' travel expenses incurred while on official board business.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,321,776	2,340,720	2,359,364	2,341,086	2,321,746	2,321,746	2,321,746
Beginning Cash Balance	3,394,869	3,034,698	2,618,881	2,070,502	1,679,299	1,366,011	1,276,532
Revenues	695,105	602,830	530,867	540,893	725,000	810,000	810,000
Expenditures	1,055,356	1,018,646	1,079,246	932,096	1,038,288	899,479	898,960
Transfers							
List each net transfer in/out; list each account number							
AJV _____	-	-	-				
AJV - Various	80	-	-				
Rounding/misc adjustment	-	(1)	-				
Net Total Transfers	80	(1)	-				
Ending Cash Balance	3,034,698	2,618,881	2,070,502	1,679,299	1,366,011	1,276,532	1,187,572
Encumbrances	81,891	120,496	72,597	70,786			
Unencumbered Cash Balance	2,952,807	2,498,385	1,997,905	1,608,513	1,366,011	1,276,532	1,187,572

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 500  
 Name of Fund: Adult Education Revolving Fund  
 Legal Authority: Section 302A-435, HRS

Contact Name: Deborah Miyao  
 Phone: 305-9777  
 Fund type (MOF) Revolving  
 Appropriation Acct. No. S-322-E

**Intended Purpose:**

This fund was established in 1970 to receive fees assessed for Adult Education courses.

**Source of Revenues:**

Revenues are from the sale of books and supplies to Adult Education students.

**Current Program Activities/Allowable Expenses:**

Allowable expenses include the purchase of supplies and books for the Adult and Community Education Program.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	795,041	795,000	811,423	809,607	795,000	795,000	795,000
Beginning Cash Balance	408,186	422,697	434,262	439,210	480,143	380,143	280,143
Revenues	129,053	154,677	122,912	124,435	125,000	125,000	125,000
Expenditures	120,859	143,112	117,964	83,502	225,000	225,000	225,000
Transfers							
List each net transfer in/out; list each account number							
AJV_____	-	-	-				
AJV - Various	6,317	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	6,317	-	-				
Ending Cash Balance	422,697	434,262	439,210	480,143	380,143	280,143	180,143
Encumbrances	6,969	11,207	2,290	2,509			
Unencumbered Cash Balance	415,728	423,055	436,920	477,633	380,143	280,143	180,143

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 100  
 Name of Fund: Summer School and Intersession Fund  
 Legal Authority: Section 302A-1310, HRS

Contact Name: Budget Execution  
 Phone: 784-6030  
 Fund type (MOF): Special  
 Appropriation Acct. No. S-323-E

**Intended Purpose:**

The summer school fund was originally established in 1971 to finance summer school programs. Amended in 1996, the fund currently serves intersession programs for year-round school in addition to summer school programs.

**Source of Revenues:**

Revenues are from summer school and intersession program tuition.

**Current Program Activities/Allowable Expenses:**

Allowable expenses include summer school and intersession program support.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variances:**

**Financial Data**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,000,903	6,040,466	6,000,776	6,000,000	6,000,000	4,000,000	4,000,000
Beginning Cash Balance	1,613,686	1,763,401	1,543,922	1,641,301	1,588,665	1,388,665	1,188,665
Revenues	2,175,991	1,746,763	2,051,617	1,832,254	1,800,000	1,800,000	1,800,000
Expenditures	2,025,517	1,967,570	1,956,608	1,887,481	2,000,000	2,000,000	2,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV01116 (From: S-325 / To: S-323)	-	-	-	2,196			
AJV01368 (From: S-325 / To: S-323)				168			
AJV01476 (From: S-325 / To: S-323)				228			
	(759)	-	-				
Rounding/misc adjustment	-	1,328	2,370				
Net Total Transfers	(759)	1,328	2,370	2,591			
Ending Cash Balance	1,763,401	1,543,922	1,641,301	1,588,665	1,388,665	1,188,665	988,665
Encumbrances	1,537,606	1,287,440	39,978	68,743			
Unencumbered Cash Balance	225,795	256,482	1,601,323	1,519,922	1,388,665	1,188,665	988,665

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 400  
 Name of Fund: Community Use of School Facilities  
 Legal Authority: Section 302A-1148, HRS

Contact Name: Tracy Okumura  
 Phone: 784-5000  
 Fund type (MOF): Special  
 Appropriation Acct. No.: S-325-E

**Intended Purpose:**

The fund was established in 1982 to collect fees and charges from those who use school buildings, facilities, grounds and equipment for recreational and community purposes.

**Source of Revenues:**

Revenues are from the use of school facility charges.

**Current Program Activities/Allowable Expenses:**

Allowable expenses include payment of custodial services, replacement of custodial and janitorial supplies, and the repair, maintenance, and replacement of equipment used.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variances:** anticipating that schools will use available revenues for allowable purposes.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	2,030,000	2,050,000	2,000,000	2,000,000	2,000,000	2,000,000
Beginning Cash Balance	3,102,493	3,773,538	3,761,474	4,144,573	4,916,405	5,216,405	5,516,405
Revenues	2,396,476	2,188,947	2,253,728	2,286,427	2,300,000	2,300,000	2,300,000
Expenditures	1,725,850	2,200,366	1,871,180	1,512,003	2,000,000	2,000,000	2,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV01116 (From: S-325 / To: S-323)	-	-	-	(2,196)			
AJV01370 (From: S-325 / To: S-323)				(168)			
AJV01476 (From: S-325 / To: S-323)				(228)			
AJV - Various	419	(800)	-				
Rounding/misc adjustment	-	155	551				
Net Total Transfers	419	(645)	551	(2,591)			
Ending Cash Balance	3,773,538	3,761,474	4,144,573	4,916,405	5,216,405	5,516,405	5,816,405
Encumbrances	604,846	344,882	411,013	273,789			
Unencumbered Cash Balance	3,168,692	3,416,592	3,733,560	4,642,617	5,216,405	5,516,405	5,816,405

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 400  
 Name of Fund: School Bus Fare Revolving Fund  
 Legal Authority: Section 302A-407.5, HRS

Contact Name: James Kauhi  
 Phone: 586-0174  
 Fund type (MOF): Revolving  
 Appropriation Acct. No. S-326-E

Intended Purpose:

This fund was created in FY2002-03 to deposit school bus fares collected from students, parents, or guardians for state-provided school busing services.

Source of Revenues:

Revenues are from bus fares received from students or student's parents/guardians.

Current Program Activities/Allowable Expenses:

Allowable expenses include bus transportation for students.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

<b>Financial Data</b>	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,973,555	3,001,490	3,017,457	6,005,011	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	3,573,048	4,874,111	3,882,515	3,533,967	2,182,743	1,682,743	1,182,743
Revenues	2,542,516	2,527,559	2,579,201	2,599,580	2,500,000	2,500,000	2,500,000
Expenditures	1,237,322	3,519,155	2,927,749	3,950,804	3,000,000	3,000,000	3,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV_____	-	-	-				
AJV - Various	(4,131)	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	(4,131)	-	-				
Ending Cash Balance	4,874,111	3,882,515	3,533,967	2,182,743	1,682,743	1,182,743	682,743
Encumbrances	872,714	176,309	195,656	453,225			
Unencumbered Cash Balance	4,001,397	3,706,206	3,338,311	1,729,518	1,682,743	1,182,743	682,743

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 400  
 Name of Fund: Hawaii School-Level Minor R&M Spl Fnd  
 Legal Authority: Section 302A-1504.5, HRS

Contact Name: Gilbert Chun  
 Phone: 586-3452  
 Fund type (MOF): Special  
 Appropriation Acct. No. S-327-E

Intended Purpose:

This fund was established in 2001 to deposit funds received for school-level minor repairs and maintenance.

Source of Revenues:

Revenues are from funds collected pursuant to section 235-102.5(b), HRS and from grants and donations.

Current Program Activities/Allowable Expenses:

Allowable expenses include school-level minor repairs and maintenance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	210,000	200,000	200,000	200,000
Beginning Cash Balance	25,514	91,568	117,006	172,687	36,027	36,027	36,027
Revenues	76,676	76,204	74,400	72,333	75,000	75,000	75,000
Expenditures	10,622	50,766	18,719	208,993	75,000	75,000	75,000
Transfers							
List each net transfer in/out; list each account number							
AJV_____	-	-	-				
AJV - Various	-	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	-	-	-				
Ending Cash Balance	91,568	117,006	172,687	36,027	36,027	36,027	36,027
Encumbrances	-	5,110	5,221	-			
Unencumbered Cash Balance	91,568	111,896	167,466	36,027	36,027	36,027	36,027

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 100  
 Name of Fund: School Special Fee Revolving Account (Reimb for Lost Textbook & Equip)  
 Legal Authority: Section 302A-1130.5-6, HRS

Contact Name: Budget Execution  
 Phone: 784-6030  
 Fund type (MOF): Special  
 Appropriation Acct. No.: S-330-E

**Intended Purpose:**

This fund was established to deposit fees collected to replace damaged or lost books and supplies.

**Source of Revenues:**

Revenues are from fees collected from students who negligently break, damage, lose, or destroy equipment, textbooks, library books, and supplies.

**Current Program Activities/Allowable Expenses:**

Allowable expenses include the replacement of textbooks, library books, and supplies that are either broken, damaged, lost, or destroyed.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variances:**

**Financial Data**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500,000	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000
Beginning Cash Balance	1,247,029	1,283,744	1,341,176	1,375,542	1,436,674	1,346,674	1,216,674
Revenues	183,710	181,143	165,716	175,244	210,000	220,000	230,000
Expenditures	147,106	123,877	131,365	114,113	300,000	350,000	400,000
Transfers							
List each net transfer in/out; list each account number							
AJV_____	-	-	-				
AJV - Various	111	-	-				
Rounding/misc adjustment	-	166	15				
Net Total Transfers	111	166	15				
Ending Cash Balance	1,283,744	1,341,176	1,375,542	1,436,674	1,346,674	1,216,674	1,046,674
Encumbrances	36,988	33,039	28,134	30,444			
Unencumbered Cash Balance	1,246,756	1,308,137	1,347,408	1,406,230	1,346,674	1,216,674	1,046,674

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 400  
 Name of Fund: Education Design and Construction Project Assessment Fund  
 Legal Authority: Section 302A-1508, HRS

Contact Name: Duane Kashiwai  
 Phone: 784-5040  
 Fund type (MOF): Revolving  
 Appropriation Acct. No.: S-339-E

**Intended Purpose:**

Pursuant to Act 51, SLH 2004, this fund was transferred from the Department of Accounting and General Services to the DOE effective July 1, 2005. The fund was established to defray the costs of carrying out construction projects.

**Source of Revenues:**

Revenues are from assessed fees based on the superintendent's evaluation of capital improvement, repair and maintenance, and alteration costs.

**Current Program Activities/Allowable Expenses:**

Allowable expenses are for carrying out construction projects managed by the department.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	1,448,154	1,343,754	1,254,645	2,427,515	3,045,459	2,445,459	1,845,459
Revenues	1,025,702	1,082,684	2,686,153	3,264,147	2,400,000	2,400,000	2,400,000
Expenditures	1,130,103	1,171,792	1,513,283	2,646,204	3,000,000	3,000,000	3,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV _____	-	-	-				
AJV - Various	1	-	-				
Rounding/misc adjustment	-	(1)	-				
Net Total Transfers	1	(1)	-				
Ending Cash Balance	1,343,754	1,254,645	2,427,515	3,045,459	2,445,459	1,845,459	1,245,459
Encumbrances	461,924	288,535	698,145	670,733			
Unencumbered Cash Balance	881,830	966,110	1,729,370	2,374,726	2,445,459	1,845,459	1,245,459

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 150  
 Name of Fund: Recovery of Federal Reimbursement  
 Legal Authority: Section 353C-007, HRS

Contact Name: Carole Kwock  
 Phone: 285-6512  
 Fund type (MOF): Revolving  
 Appropriation Acct. No. S-345-E

Intended Purpose:

The fund was established to enhance the health and welfare of Hawaii's public school children by creating a revolving fund for the collection and disbursement of generated revenue to support the administration and operations of the DOE revenue maximization program.

Source of Revenues:

Revenues are from Federal reimbursements received by the department relating to the the Medicaid Program.

Current Program Activities/Allowable Expenses:

Allowable expenses are to meet the state match requirement for Federal grants and costs associated with Federal grants and costs associated with Federal grant reporting requirements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,502,559	3,504,738	3,503,408	3,503,657	3,500,000	3,500,000	3,500,000
Beginning Cash Balance	1,424,014	1,267,117	877,581	1,169,331	886,674	386,674	286,674
Revenues	1,176,898	997,999	1,175,002	940,971	1,500,000	2,000,000	2,200,000
Expenditures	1,333,795	1,387,535	883,252	1,223,628	2,000,000	2,100,000	2,200,000
Transfers							
List each net transfer in/out; list each account number							
AJV_____	-	-	-				
AJV - Various	-	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	-	-	-				
Ending Cash Balance	1,267,117	877,581	1,169,331	886,674	386,674	286,674	286,674
Encumbrances	800,000	539,613	616,620	-			
Unencumbered Cash Balance	467,117	337,968	552,711	886,674	386,674	286,674	286,674

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 500  
 Name of Fund: After-School Plus Program Revolving Fund  
 Legal Authority: Section 302A-1149.5, HRS

Contact Name: Marlene Zeug  
 Phone: 348-3944  
 Fund type (MOF): Interdepartmental  
 Appropriation Acct. No. S-346-E

**Intended Purpose:**

The fund was established in 2004 to deposit fees collected to support the after-school program.

**Source of Revenues:**

Revenues are from fees for after-school program participants at DOE provider sites and from DHS reimbursements. Revenues do not include fees collected by Private Providers. Private Providers retain fees collected.

**Current Program Activities/Allowable Expenses:**

Allowable expenses include operating the after-school program including personnel and operating costs with Federal grant reporting requirements.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variances:**

**Financial Data**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,200,000	10,204,186	10,200,000	11,200,000	11,200,000	11,200,000	11,200,000
Beginning Cash Balance	874,623	2,702,893	6,163,334	6,448,985	5,976,920	4,470,280	2,543,640
Revenues	10,681,595	10,265,706	6,748,372	6,798,808	7,143,360	7,143,360	7,143,360
Expenditures	8,853,326	6,805,265	7,412,848	7,270,873	8,650,000	9,070,000	9,070,000
Transfers							
List each net transfer in/out; list each account number							
AJV00222 (From: S-355 / To: S-346)	-	-	950,127				
AJV - Various	1	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	1	-	950,127				
Ending Cash Balance	2,702,893	6,163,334	6,448,985	5,976,920	4,470,280	2,543,640	617,000
Encumbrances	208,227	687,214	351,541	226,321			
Unencumbered Cash Balance	2,494,666	5,476,120	6,097,444	5,750,599	4,470,280	2,543,640	617,000

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 100  
 Name of Fund: Federal Grants Search, Development, and Application Revolving Fund  
 Legal Authority: Section 302A-1405, HRS

Contact Name: Kendra Oishi  
 Phone: 343-3529  
 Fund type (MOF): Revolving  
 Appropriation Acct. No. S-347-E

**Intended Purpose:**

This fund was established in FY2000-01 to deposit the recovery of administrative or central service costs incurred to carry out Federal grant awards through an indirect cost assessment authorized by the Federal government.

**Source of Revenues:**

Revenues are from indirect costs assessed on discretionary competitive Federal grants awarded to the department.

**Current Program Activities/Allowable Expenses:**

Allowable expenses are not limited to search and development applications but can be used for administrative purposes, such as developing program applications to secure additional revenue for the department.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variations:** Revenues for this fund have diminished as the source of revenue is the difference between the indirect cost rate for discretionary and non-discretionary grants, for discretionary grants. As the difference went from 9.6% (12.5%-2.9%) for FY15 to .1% (3.6%-3.5%) for FY16 and beyond, the projected revenues for this fund are minimal.

<b>Financial Data</b>	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,381,299	2,399,571	2,417,023	2,383,143	2,379,491	2,379,491	2,379,491
Beginning Cash Balance	2,247,208	2,845,255	3,278,134	3,092,296	1,571,916	376,916	201,916
Revenues	1,241,843	1,015,747	1,183,270	14,425	5,000	5,000	5,000
Expenditures	643,796	582,868	1,369,108	1,543,997	1,200,000	180,000	180,000
Transfers							
List each net transfer in/out; list each account number							
AJV01531 (Federal indirect cost assessments)	131,059	-	-				
AJV00158 (Federal indirect cost assessments)	118,325						
AJV01039 (Federal indirect cost assessments)	862,712						
AJV01135 (Federal indirect cost assessments)	116,665						
AJV00772 (Federal indirect cost assessments)		1,051,280					
AJV00637 (Federal indirect cost assessments)			1,088,627				
AJV00164 (Federal indirect cost assessments)				8,964			
AJV00698 (Federal indirect cost assessments)				111			
AJV00799 (Federal indirect cost assessments)				116			
AJV - Various	13,082	(35,534)	94,643				
Rounding/misc adjustment	-	1	-				
Net Total Transfers	1,241,843	1,015,747	1,183,270	9,191			
Ending Cash Balance	2,845,255	3,278,134	3,092,296	1,571,916	376,916	201,916	26,916
Encumbrances	-	85,290	156,723	119,975			
Unencumbered Cash Balance	2,845,255	3,192,844	2,935,573	1,451,941	376,916	201,916	26,916

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 100  
 Name of Fund: Education Research and Development Revolving Fund  
 Legal Authority: Section 302A-305, HRS

Contact Name: Budget Branch  
 Phone: 784-6022  
 Fund type (MOF): Revolving  
 Appropriation Acct. No.: S-348-E

**Intended Purpose:**

This fund was established in 2001 to deposit revenues from the commercial exploitation of products and services developed by the department.

**Source of Revenues:**

Revenues are from the sale of products and services developed by the department. For example, the fund has received royalties from the marketing and sublicensing of the department's Integrated Special Education System.

**Current Program Activities/Allowable Expenses:**

Allowable expenses include support for research and development activities within the department that will result in innovative curriculum, instructional materials, and information systems that can be marketed and sold to consumers outside the system.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Note: ceiling was eliminated in the during the 2016 Session in HB1700 CD1.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000,000	1,009,947	1,009,947	1,009,947	-	-	-
Beginning Cash Balance	120,883	120,883	120,883	120,883	91,363	-	-
Revenues	-	-	-	652	-	-	-
Expenditures	-	-	-	30,172	91,011	-	-
Transfers							
List each net transfer in/out; list each account number							
AJV _____	-	-	-				
AJV - Various	-	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	-	-	-		(352)		
Ending Cash Balance	120,883	120,883	120,883	91,363	0	-	-
Encumbrances	-	-	-	91,011			
Unencumbered Cash Balance	120,883	120,883	120,883	352	0	-	-

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 100  
 Name of Fund: Driver Education Fund  
 Legal Authority: Section 431:10C-115 and 431:10G-107, HRS

Contact Name: Jan Meeker  
 Phone: 305-9773  
 Fund type (MOF): Interdepartmental Transfer  
 Appropriation Acct. No.: S-350-E

**Intended Purpose:**

This fund was never created by statute, but was established in 1987 by the department to deposit fees collected by the insurance commissioner from motor vehicle insurers under Section 431:10C-115, HRS.

**Source of Revenues:**

Revenues are primarily received from the Department of Commerce and Consumer Affairs in accordance with Section 431:10C-115 and 431:10G-107, HRS.

**Current Program Activities/Allowable Expenses:**

Allowable expenses support the drivers education program.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variances:**

**Financial Data**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,995,821	3,999,991	4,003,468	4,004,133	3,995,605	3,995,605	3,995,605
Beginning Cash Balance	2,660,774	3,003,283	3,322,882	3,550,770	3,664,861	3,369,861	3,074,861
Revenues	1,900,199	1,904,400	1,904,216	2,054,910	1,905,000	1,905,000	1,905,000
Expenditures	1,557,688	1,584,800	1,676,328	1,940,819	2,200,000	2,200,000	2,200,000
Transfers							
List each net transfer in/out; list each account number							
AJV _____	-	-	-				
AJV - Various	(2)	-	-				
Rounding/misc adjustment	-	(1)	-				
Net Total Transfers	(2)	(1)	-				
Ending Cash Balance	3,003,283	3,322,882	3,550,770	3,664,861	3,369,861	3,074,861	2,779,861
Encumbrances	283,991	234,705	325,988	183,962			
Unencumbered Cash Balance	2,719,292	3,088,177	3,224,782	3,480,898	3,369,861	3,074,861	2,779,861

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 200  
 Name of Fund: Arts in Public Places  
 Legal Authority: Section 302A-420

Contact Name: Sandra Goya  
 Phone: 305-9704  
 Fund type (MOF) Interdepartmental Transfer  
 Appropriation Acct. No. S-353-E

Intended Purpose:

This fund was established to deposit funds received from the Works of Art Special Fund to implement an integrated visual arts in education program.

Source of Revenues:

Revenues are from the Works of Art Special Fund.

Current Program Activities/Allowable Expenses:

Allowable expenses include establishing and implementing an integrated visual arts in education program as joint collaboration between the DOE, Artist-in-the-School Program, and State Foundation on Culture and the Arts.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data						
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	252,604	254,368	266,380	270,031	270,031	270,031
Beginning Cash Balance	(14,480)	4,735	58,231	18,688	18,688	18,688
Revenues	144,767	202,012	147,826	150,000	150,000	150,000
Expenditures	125,551	148,516	187,369	150,000	150,000	150,000
Transfers						
List each net transfer in/out; list each account number						
AJV_____	-	-				
AJV - Various	-	-				
Rounding/misc adjustment	(1)	-				
Net Total Transfers	(1)	-				
Ending Cash Balance	4,735	58,231	18,688	18,688	18,688	18,688
Encumbrances	-	9,588	19			
Unencumbered Cash Balance	4,735	48,643	18,668	18,688	18,688	18,688

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN100  
 Name of Fund: Workers' Compensation  
 Legal Authority: Act 119, SLH 2015

Contact Name: Jeanetta Ma  
 Phone: 441-8466  
 Fund type (MOF) Interdepartmental Transfer  
 Appropriation Acct. No. S-360-E

Intended Purpose:

Ensure that the Department of Education (DOE) Workers' Compensation (WC) Unit is able to administer the State's self-insured WC Program and pay for all WC benefits and costs associated with the processing of these benefits as required by the Hawaii WC Law, Hawaii Revised Statutes (HRS) Chapter 386, Section 302A-430 and 302A-440, related Hawaii Administrative Rules (HAR), DOE policies and procedures and collective bargaining agreements for all eligible work related injured DOE employees, students, and volunteers who are assigned work which is of benefit to the DOE.

Source of Revenues:

Assessments made against non-general fund employees as imposed fringe benefit costs by the State Department of Accounting and General Services for WC, and transferred to the DOE as the DOE administers its own WC program.

Current Program Activities/Allowable Expenses:

The funds pay for WC benefits which include medical payments, wage loss and other related WC costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,000,000	1,000,000	1,700,000	1,700,000
Beginning Cash Balance				-	476,025	476,025	476,025
Revenues				1,156,525	1,000,000	1,000,000	1,000,000
Expenditures				1,353,593	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV00128 (From: S-210 / To: S-360)	-	-	-	673,093			
AJV - Various Rounding/misc adjustment	-	-	-				
Net Total Transfers				673,093			
Ending Cash Balance				476,025	476,025	476,025	476,025
Encumbrances				-			
Unencumbered Cash Balance				476,025	476,025	476,025	476,025
Additional Information:							
Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN100  
 Name of Fund: Unemployment Insurance  
 Legal Authority: Act 119, SLH 2015

Contact Name: Glenn Kunitake  
 Phone: 441-8345  
 Fund type (MOF) Interdepartmental Transfer  
 Appropriation Acct. No. S-361-E

Intended Purpose:

To administer the Unemployment Insurance Benefit Program and pay unemployment benefit to eligible employees as determined by Department of Labor and Industrial Relations (DLIR).

Source of Revenues:

Assessments made against non-general fund employees as imposed fringe benefit costs by the State Department of Accounting and General Services for Unemployment, and transferred to the Department of Education (DOE) as the DOE administers its Unemployment Insurance program.

Current Program Activities/Allowable Expenses:

Program funding 2.00 FTE to administer program activities. Also, cost of unemployment benefit being paid out as unemployment insurance claims determined by DLIR.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data						
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			2,500,000	2,500,000	1,800,000	1,800,000
Beginning Cash Balance			-	1,046,604	846,604	646,604
Revenues			195,395	1,100,000	1,100,000	1,100,000
Expenditures			86,358	1,300,000	1,300,000	1,300,000
Transfers						
List each net transfer in/out; list each account number						
AJV00128 (From: S-210 / To: S-361)	-	-	937,567			
AJV - Various						
Rounding/misc adjustment						
Net Total Transfers			937,567			
Ending Cash Balance			1,046,604	846,604	646,604	446,604
Encumbrances			-			
Unencumbered Cash Balance			1,046,604	846,604	646,604	446,604

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 100  
 Name of Fund: Donations and Gifts  
 Legal Authority: Section 302A-1122, HRS

Contact Name: Budget Execution  
 Phone: 784-6030  
 Fund type (MOF): Trust  
 Appropriation Acct. No.: T-902-E

**Intended Purpose:**

This fund was established in 1961 to account for donations made to schools for specific purposes.

**Source of Revenues:**

Revenues are from funds donated to schools for specific purposes.

**Current Program Activities/Allowable Expenses:**

Allowable expenses are those specified by the donations.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variances:**

**Financial Data**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,060,000	7,260,000	1,650,000	5,060,000	5,060,000	5,060,000	5,060,000
Beginning Cash Balance	7,325,223	7,782,622	9,009,040	9,495,706	11,478,157	9,478,157	6,478,157
Revenues	1,371,389	1,961,661	2,507,797	3,055,879	2,000,000	2,000,000	2,000,000
Expenditures	913,990	735,243	2,021,131	1,044,858	4,000,000	5,000,000	5,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV00089 (From: T-902 / To: T-913)	-	-	-	(28,576)			
AJV01230 (From: T-913 / To: T-902)				6			
AJV - Various	-	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	-	-	-	(28,570)			
Ending Cash Balance	7,782,622	9,009,040	9,495,706	11,478,157	9,478,157	6,478,157	3,478,157
Encumbrances	136,306	1,702,302	547,756	387,051			
Unencumbered Cash Balance	7,646,316	7,306,738	8,947,950	11,091,106	9,478,157	6,478,157	3,478,157

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 100  
 Name of Fund: Foundation and Other Grants  
 Legal Authority: Section 302A-1122, HRS

Contact Name: Budget Execution  
 Phone: 784-6030  
 Fund type (MOF): Trust  
 Appropriation Acct. No.: T-913-E

**Intended Purpose:**

This fund was established in 1961 to account for grants received from foundations, other non-profit organizations, and other state agencies for various projects.

**Source of Revenues:**

Revenues are from grants received from foundations and other non-profit organizations and agencies for various programs and projects.

**Current Program Activities/Allowable Expenses:**

Allowable expenses are those specified by the grants.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

To accommodate potential level of school spending, based on experience in FY15 and FY16.

**Variances:** Revenue and expenditure increase from FY16 to FY17 based on average over last 4 years for each.

**Financial Data**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,929,763	8,678,000	18,900,000	5,000,000	5,000,000	6,500,000	6,500,000
Beginning Cash Balance	10,413,599	10,835,108	11,272,710	12,919,394	8,645,779	7,645,779	7,145,779
Revenues	3,644,985	4,157,556	12,734,897	4,719,878	4,000,000	6,000,000	6,000,000
Expenditures	3,279,336	3,725,196	11,142,702	9,007,869	5,000,000	6,500,000	6,500,000
Transfers							
List each net transfer in/out; list each account number							
AJV00089 (From: T-902 / To: T-913)	-	-	-	28,576			
AJV01230 (From: T-913 / To: T-902)				(6)			
AJV00496 (From: T-913 / To: S-275)				(14,193)			
AJV - Various	55,860	5,266	-				
Rounding/misc adjustment	-	(24)	54,489				
Net Total Transfers	55,860	5,242	54,489	14,377			
Ending Cash Balance	10,835,108	11,272,710	12,919,394	8,645,779	7,645,779	7,145,779	6,645,779
Encumbrances	1,334,046	1,393,636	6,951,064	2,118,712			
Unencumbered Cash Balance	9,501,062	9,879,074	5,968,330	6,527,068	7,645,779	7,145,779	6,645,779

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 100  
 Name of Fund: Athletic Trust Fund  
 Legal Authority: Section 302A-1122, HRS

Contact Name: Raymond Fujino  
 Phone: 421-4394  
 Fund type (MOF): Trust  
 Appropriation Acct. No.: T-915-E

**Intended Purpose:**

This fund was established in 1961 to account for funds collected from athletic event-related activities.

**Source of Revenues:**

Revenues are from athletic event admission fees, league shares, activity book sales, and donations.

**Current Program Activities/Allowable Expenses:**

Allowable expenses are for school athletic programs. Funds are expended for supplies, equipment, travel, and other sports program expenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Based on anticipated potential level of expenditures by schools from their trust fund accounts.

Variations: Expenditure for FY18 and FY19 reflect potential for schools to spend up to the ceiling amount based on available revenue.

**Financial Data**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,700,000	2,332,048	1,520,338	1,530,438	1,500,000	1,540,000	1,540,000
Beginning Cash Balance	1,084,228	1,293,573	1,204,725	1,123,582	1,190,794	1,210,794	770,794
Revenues	1,059,367	1,056,515	871,097	1,004,408	1,000,000	1,100,000	1,200,000
Expenditures	850,667	1,145,362	952,240	937,195	980,000	1,540,000	1,540,000
Transfers							
List each net transfer in/out; list each account number							
AJV_____	-	-	-				
AJV - Various	645	-	-				
Rounding/misc adjustment	-	(1)	-				
Net Total Transfers	645	(1)	-				
Ending Cash Balance	1,293,573	1,204,725	1,123,582	1,190,794	1,210,794	770,794	430,794
Encumbrances	205,863	115,471	172,092	128,402			
Unencumbered Cash Balance	1,087,710	1,089,254	951,490	1,062,392	1,210,794	770,794	430,794

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 100  
 Name of Fund: OLELO-Educational Program Public TV  
 Legal Authority: Section 302A-1122, HRS

Contact Name: Donalyn Dela Cruz  
 Phone: 586-3232  
 Fund type (MOF): Trust  
 Appropriation Acct. No.: T-916-E

**Intended Purpose:**

This fund was established in 1992 to account for funds received under contract with Olelo: The Corporation for Community Television.

**Source of Revenues:**

Revenues are from funds received under contract with Olelo

**Current Program Activities/Allowable Expenses:**

Allowable expenses are for educational programs for the community. Expenses are for (1) live and interactive instruction, (2) supplementary classroom instructions, (3) instructional programs for home viewers, (4) student exhibits and school productions, and (5) information dissemination and networking.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Based on anticipated potential level of expenditure from this fund.

Variances: see above

**Financial Data**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000	1,300,000	1,300,000
Beginning Cash Balance	821,930	847,773	871,385	979,759	1,126,316	1,226,316	776,316
Revenues	612,580	616,181	735,332	745,130	800,000	850,000	900,000
Expenditures	586,738	592,568	626,958	598,573	700,000	1,300,000	1,300,000
Transfers							
List each net transfer in/out; list each account number							
AJV_____	-	-	-				
AJV - Various	1	-	-				
Rounding/misc adjustment	-	(1)	-				
Net Total Transfers	1	(1)	-				
Ending Cash Balance	847,773	871,385	979,759	1,126,316	1,226,316	776,316	376,316
Encumbrances	58,453	45,702	15,378	27,025			
Unencumbered Cash Balance	789,320	825,683	964,381	1,099,291	1,226,316	776,316	376,316

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Education  
 Prog ID(s): EDN500  
 Name of Fund: Adult Education Enrollment/Testing Fund  
 Legal Authority: Act 164, SLH 2011 (Executive Biennium Budget)

Contact Name: Deborah Miyao  
 Phone: 305-9777  
 Fund type (MOF): Trust  
 Appropriation Acct. No.: T-921-E

**Intended Purpose:**

This fund was established in 2011, at a time when State general fund support for the Adult and Community School program funding was reduced from \$5 million to \$2.5 million a year. In anticipation of operating with significantly reduced State support the program requested a Trust Fund be established to deposit fees.

**Source of Revenues:**

Fees

**Current Program Activities/Allowable Expenses:**

None

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Reduction in ceiling as the schools have not used this trust fund since its inception.

**Variances:**

**Financial Data**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	4,000,000	4,000,000	4,000,000	4,000,000	2,260,000	2,260,000
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	-	-	-	-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
AJV_____	-	-	-				
AJV - Various	-	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	-	-	-				
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances	-	-	-	-			
Unencumbered Cash Balance	-	-	-	-	-	-	-

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 100  
 Name of Fund: Office of Hawaiian Affairs  
 Legal Authority: Section 302A-1122, HRS

Contact Name: Budget Execution  
 Phone: 784-6030  
 Fund type (MOF): Trust  
 Appropriation Acct. No.: T-936-E

**Intended Purpose:**

This fund was established in 1991 to account for grants received from the Office of Hawaiian Affairs (OHA) for tutorial and other educational projects at various schools.

**Source of Revenues:**

Revenues are from OHA.

**Current Program Activities/Allowable Expenses:**

Allowable expenses are those spent for students of Hawaiian ancestry. The funds support tutorial and other educational programs at various schools focused on improving and uplifting educational conditions for students of Hawaiian ancestry.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not applicable.

**Variances:**

**Financial Data**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	800,000	600,000	600,000	600,000	600,000	600,000	600,000
Beginning Cash Balance	570,563	511,669	471,939	439,177	402,921	358,921	314,921
Revenues	-	-	-	-	-	-	-
Expenditures	58,894	39,730	32,762	36,256	44,000	44,000	44,000
Transfers							
List each net transfer in/out; list each account number							
AJV _____	-	-	-				
AJV - Various	-	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	-	-	-				
Ending Cash Balance	511,669	471,939	439,177	402,921	358,921	314,921	270,921
Encumbrances	6,542	-	-	199			
Unencumbered Cash Balance	505,127	471,939	439,177	402,722	358,921	314,921	270,921

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN 100  
 Name of Fund: Alu Like Projects  
 Legal Authority: Section 302A-1122

Contact Name: Budget Execution  
 Phone: 784-6030  
 Fund type (MOF): Trust  
 Appropriation Acct. No.: T-938-E

**Intended Purpose:**

This fund was established in 1992 to account for moneys received through a memorandum of agreement with Alu Like, Inc.'s Native Hawaiian Vocational Education Program.

**Source of Revenues:**

Revenues are from funds received under contract with Alu Like.

**Current Program Activities/Allowable Expenses:**

Allowable expenses are for students of Hawaiian ancestry who are participating in vocational educational programs. Educational programs provide career education counseling for students, staff development programs, and curriculum development.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

To allow the expenditure of trust funds for allowable purposes under the MOA.

Variances: FY18 and FY19 revenue and expenditures based on average for FY15 and FY16 for each.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	230,000	230,000	340,000	300,000	280,000	380,000	380,000
Beginning Cash Balance	76,362	70,036	62,709	49,428	55,849	45,849	45,849
Revenues	223,001	198,152	302,254	288,537	240,000	300,000	300,000
Expenditures	228,817	205,479	315,535	282,116	250,000	300,000	300,000
Transfers							
List each net transfer in/out; list each account number							
AJV _____	-	-	-				
AJV - Various	(510)	-	-				
Rounding/misc adjustment	-	-	-				
Net Total Transfers	(510)	-	-				
Ending Cash Balance	70,036	62,709	49,428	55,849	45,849	45,849	45,849
Encumbrances	-	7,089	4,449	3,586			
Unencumbered Cash Balance	70,036	55,620	44,979	52,263	45,849	45,849	45,849

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: EDN  
 Prog ID(s): EDN100  
 Name of Fund: Settlements  
 Legal Authority: 302A-1122

Contact Name: Gilbert Chun  
 Phone: 586-3452  
 Fund type (MOF) Trust  
 Appropriation Acct. No. T-968-E

**Intended Purpose:**

The fund is for monetary settlements awarded to the Department made pursuant to court approved settlement agreements. Funds are to be expended for the purposes defined in agreement.

**Source of Revenues:**

Court approved settlement agreements.

**Current Program Activities/Allowable Expenses:**

Funds to be expended pursuant to terms and conditions of the settlement. Unless the terms of a settlement agreement awarding funds to the Department indicate otherwise, funds received through this fund would be used to support public schools in the safe and appropriate delivery of K-12 education services to students.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

To establish an appropriation ceiling for this fund in anticipation of potential for the Department's receipt of settlement funds.

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	250,000	250,000
Beginning Cash Balance				564,781	368,675	168,675	-
Revenues			565,750			250,000	250,000
Expenditures			969	196,106	200,000	418,675	250,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	-						
Net Total Transfers	-						
Ending Cash Balance			564,781	368,675	168,675	-	-
Encumbrances	-		196,110	-			
Unencumbered Cash Balance			368,672	368,675	168,675	-	-

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOE (HSPLS)  
 Prog ID(s): EDN 407  
 Name of Fund: Libraries Services & Technology Act  
 Legal Authority: LSTA P.L. 104-208

Contact Name: Theola Striker  
 Phone: (808) 586-3693  
 Fund type (MOF): Special (P)  
 Appropriation Acct. No.: S-247-E

Intended Purpose: Support the LSTA goals of establishing electronic linkages among and between libraries; assists libraries in accessing information through electronic networks; paying costs for libraries to acquire or share computer systems/telecommunications technologies.

Source of Revenues: Federal Agency, Institute of Library and Museum Services

Current Program Activities/Allowable Expenses: Subscriptions to online database, computer hardwares & softwares, systems maintenance.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY13 & FY14 Revenues and Expenditures: Variances due to increase in cost of systems maintenance.  
 FY14 & FY15 Expenditures: Revenue variances due to less grant received and expenditure variance due to less computer repair & mtnc cost.  
 FY15 & FY16 Revenues and Expenditures: Variances due to increase cost of online database subscriptions and systems maintenance.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,918,756	2,639,760	1,365,244	1,365,244	1,365,244	1,365,244	1,365,244
Beginning Cash Balance	239	66,793	44,852	10,896	208	208	208
Revenues	1,343,101	1,655,900	1,035,941	1,151,422	1,000,000	1,000,000	1,000,000
Expenditures	1,276,547	1,677,841	1,069,897	1,162,110	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	66,793	44,852	10,896	208	208	208	208
Encumbrances	736,681	507,775	46,211	190,169	0	0	0
Unencumbered Cash Balance	(669,888)	(462,923)	(35,315)	(189,961)	208	208	208

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOE (HSPLS)  
 Prog ID(s): EDN 407  
 Name of Fund: Libraries Special Fund  
 Legal Authority: 312-3.5 HRS

Contact Name: Theola Striker  
 Phone: (808) 586-3693  
 Fund type (MOF): Special (B)  
 Appropriation Acct. No.: S-335-E

Intended Purpose: The purpose of this fund is to allow the library system to maintain its collection level, since lost or overdue books reduce the collection and resources that are available to the public.

Source of Revenues: Collection of overdue fines and lost book charges from patrons.

Current Program Activities/Allowable Expenses: The Statutes only permit for the purchase of books and library materials from this fund.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: FY13 & FY14 Expenditures: Variance due to less purchase of library books & materials in FY14. FY14 & FY15 Expenditures: Variance is due to increased online and ebooks subscription in FY15. FY15 & FY16 Revenue: Variance is due to decreased video rentals income. FY15 & FY16 Expenses: Less expenses due to lesser revenues.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Beginning Cash Balance	583,128	970,779	1,527,202	633,236	1,558,063	1,558,063	1,558,063
Revenues	1,060,314	1,063,378	1,006,246	963,477	1,000,000	1,000,000	1,000,000
Expenditures	672,664	506,955	1,900,212	1,038,650	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out; list each account number							
JS 4511, 02/22/16				1,000,000			
Net Total Transfers	0	0	0	1,000,000	0	0	0
Ending Cash Balance	970,779	1,527,202	633,236	1,558,063	1,558,063	1,558,063	1,558,063
Encumbrances	31,602	120,000	126,333	96,947	0	0	0
Unencumbered Cash Balance	939,177	1,407,201	506,904	1,461,116	1,558,063	1,558,063	1,558,063

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOE (HSPLS)  
 Prog ID(s): EDN 407  
 Name of Fund: Library Fee for Enhanced Services  
 Legal Authority: 312-21 HRS

Contact Name: Theola Striker  
 Phone: (808) 586-3693  
 Fund type (MOF): Special (B)  
 Appropriation Acct. No.: S-336-E

Intended Purpose: The purpose of this program is to provide enhanced services to the patrons. The fund is to be used to maintain current services and initiate new services.

Source of Revenues: Collection of various fees for enhanced library services from patrons.

Current Program Activities/Allowable Expenses: Ongoing operation of its fee for enhanced services program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 & FY14 Expenditures: Variance due to increased online and ebooks subscription.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,125,000	1,125,000	1,125,000	1,125,000	1,125,000	1,125,000	1,125,000
Beginning Cash Balance	2,918,554	3,160,022	3,536,039	3,275,678	2,322,778	2,322,778	2,322,778
Revenues	1,034,724	1,098,608	995,881	927,768	1,000,000	1,000,000	1,000,000
Expenditures	793,256	722,591	1,256,242	880,669	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out; list each account number							
JS 4511, 02/22/16				(1,000,000)			
Net Total Transfers	0	0	0	(1,000,000)	0	0	0
Ending Cash Balance	3,160,022	3,536,039	3,275,678	2,322,778	2,322,778	2,322,778	2,322,778
Encumbrances	73,277	210,433	72,283	36,179			
Unencumbered Cash Balance	3,086,745	3,325,606	3,203,395	2,286,599	2,322,778	2,322,778	2,322,778

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOE (HSPLS)  
 Prog ID(s): EDN 407  
 Name of Fund: Oahu Public Libraries - Donations & Gifts  
 Legal Authority: 312-1 HRS

Contact Name: Theola Striker  
 Phone: (808) 586-3693  
 Fund type (MOF): Trust  
 Appropriation Acct. No.: T-906-E

Intended Purpose: Donations to libraries to purchase books, library materials, equipment or services.

Source of Revenues: Donations by individuals or groups.

Current Program Activities/Allowable Expenses: Accordance to the terms and conditions of the donation.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: FY13 & FY14 Revenues and Expenditures: FY 13 expenditures were reimbursed by UH's BTOP grant in FY14. Due to insufficient expenditures in FY 14, it was classified as revenues. FY14 & FY15 Expenditures: Variance in expenses due to purchase of more library materials in FY15.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	276,848	283,104	372,705	368,772	373,890	374,890	375,890
Revenues	37,347	77,213	13,770	15,927	13,000	13,000	13,000
Expenditures	91,247	10,551	17,702	10,809	12,000	12,000	12,000
Transfers							
List each net transfer in/out; list each account number							
JT0099, 7/26/12	1,048						
JT0288, 9/27/12	2,992						
JT0465, 11/29/12	49,017						
JT0605, 1/11/13	7,099						
JT0895, 4/30/14		22,938					
Net Total Transfers	60,156	22,938	0	0	0	0	0
Ending Cash Balance	283,104	372,705	368,772	373,890	374,890	375,890	376,890
Encumbrances	34,050						
Unencumbered Cash Balance	249,054	372,705	368,772	373,890	374,890	375,890	376,890

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOE (HSPLS)  
 Prog ID(s): EDN 407  
 Name of Fund: HSPLS Computer Supplies - Donation  
 Legal Authority: 312-1 HRS

Contact Name: Theola Striker  
 Phone: (808) 586-3693  
 Fund type (MOF): Trust  
 Appropriation Acct. No.: T-907-E

Intended Purpose: Purchase of computer printer toner and paper.

Source of Revenues: Donations by individuals.

Current Program Activities/Allowable Expenses: Computer supplies.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY14 Revenues: Received more donations than expected.

FY15 Expenses: Variance is due to repair & maintenance of computer equipment and purchase of computer supplies.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	450,011	455,419	525,822	580,998	638,995	688,995	738,995
Revenues	97,175	109,224	115,342	120,640	100,000	100,000	100,000
Expenditures	35,036	38,820	60,166	62,643	50,000	50,000	50,000
Transfers							
List each net transfer in/out; list each account number							
JT0289, 9/27/12	2,376						
JT0288, 9/27/12	(2,992)						
JT0465, 11/29/12	(49,017)						
JT0605, 1/11/13	(7,099)						
Net Total Transfers	(56,732)	0	0	0	0	0	0
Ending Cash Balance	455,419	525,822	580,998	638,995	688,995	738,995	788,995
Encumbrances							
Unencumbered Cash Balance	455,419	525,822	580,998	638,995	688,995	738,995	788,995

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOE (HSPLS)  
 Prog ID(s): EDN 407  
 Name of Fund: Maui & Kauai Public Libraries - Donations & Gifts  
 Legal Authority: 312-1 HRS

Contact Name: Theola Striker  
 Phone: (808) 586-3693  
 Fund type (MOF): Trust  
 Appropriation Acct. No.: T-911-E

Intended Purpose: Purchase of books, library materials, equipment or servies.

Source of Revenues: Donations by individuals or groups.

Current Program Activities/Allowable Expenses: Accordance to the terms and conditions of the donation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: FY13 & FY14 Revenues and Expenditures: Variance in revenue due to donations received from private individuals, USDA and Friends of the Library of Hawaii in FY13. Variance in expenditure is due to libraries expending less than expected.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	101,300	153,136	145,875	143,786	144,967	139,967	134,967
Revenues	67,172	2,505	5,141	10,083	3,000	3,000	3,000
Expenditures	14,287	9,766	7,230	8,903	8,000	8,000	8,000
Transfers							
List each net transfer in/out; list each account number							
JT0099, 7/26/12	(1,048)						
Net Total Transfers	(1,048)	0	0	0	0	0	0
Ending Cash Balance	153,136	145,875	143,786	144,967	139,967	134,967	129,967
Encumbrances							
Unencumbered Cash Balance	153,136	145,875	143,786	144,967	139,967	134,967	129,967

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOE (HSPLS)  
 Prog ID(s): EDN 407  
 Name of Fund: Hawaii Public Libraries - Donations & Gifts  
 Legal Authority: 312-1 HRS

Contact Name: Theola Striker  
 Phone: (808) 586-3693  
 Fund type (MOF): Trust  
 Appropriation Acct. No.: T-912-E

Intended Purpose: Purchase of books, library materials, equipment or services.

Source of Revenues: Donations by individuals or groups.

Current Program Activities/Allowable Expenses: Accordance to the terms and conditions of the donation.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY14 & FY15 Expense: Variance due to decreased purchase of library materials in FY15.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	49,095	111,335	100,639	96,437	99,439	94,939	90,439
Revenues	73,906	2,003	3,799	5,302	3,500	3,500	3,500
Expenditures	11,666	12,700	8,000	2,300	8,000	8,000	8,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	111,335	100,639	96,437	99,439	94,939	90,439	85,939
Encumbrances							
Unencumbered Cash Balance	111,335	100,639	96,437	99,439	94,939	90,439	85,939

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments	10,764	10,764	10,764	10,764			

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOE (HSPLS)  
 Prog ID(s): EDN 407  
 Name of Fund: HSL & LBPH Public Libraries - Donations & Gifts  
 Legal Authority: 312-1 HRS

Contact Name: Theola Striker  
 Phone: (808) 586-3693  
 Fund type (MOF): Trust  
 Appropriation Acct. No.: T-917-E

Intended Purpose: Purchase of books, library materials, equipment or services.

Source of Revenues: Donations by individuals or groups.

Current Program Activities/Allowable Expenses: Accordance to the terms and conditions of the donation.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY13 & FY14 Revenues and Expenditures: Variance in revenue due to large donations from the Friends of the Library of Hawaii in FY14.  
 Variance in expenditures due to libraries expended more than expected. FY15 & FY16 Expenses: Variance is due to decreased purchase of library materials in FY16

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	362,737	353,283	340,737	312,454	328,192	304,192	280,192
Revenues	25,213	60,012	24,368	28,084	21,000	21,000	21,000
Expenditures	32,291	49,620	52,652	12,346	45,000	45,000	45,000
Transfers							
List each net transfer in/out; list each account number							
JT0289, 9/27/12	(2,376)						
JT0895, 4/30/14		(22,938)					
Net Total Transfers	(2,376)	(22,938)	0	0	0	0	0
Ending Cash Balance	353,283	340,737	312,454	328,192	304,192	280,192	256,192
Encumbrances	3,850	0	0	0	0	0	0
Unencumbered Cash Balance	349,433	340,737	312,453	328,191	304,191	280,191	256,191

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOE (HSPLS)  
 Prog ID(s): EDN 407  
 Name of Fund: Friends of the Library of Hawaii - Donations & Gifts  
 Legal Authority: 312-1 HRS

Contact Name: Theola Striker  
 Phone: (808) 586-3693  
 Fund type (MOF): Trust  
 Appropriation Acct. No.: T-918-E

Intended Purpose: Purchase of books, library materials, equipment or services.

Source of Revenues: Donations by individuals or groups.

Current Program Activities/Allowable Expenses: Development, use, support and maintenance of libraries and library services.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: FY13 & FY14 Revenues and Expenditures: Variance in revenue due to two large donations from the Friends of the Library of Hawaii. Variance in expenditure is due to libraries expended less than expected. FY15 Expenses: No major equipment purchase in FY15. FY16 Revenues: Increased in revenue due to the \$35,272 donation received for the Na'alehu Portable Project.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	303,831	403,941	340,509	336,214	369,166	365,166	361,166
Revenues	195,321	1,052	1,597	37,276	1,000	1,000	1,000
Expenditures	95,211	64,484	5,892	4,323	5,000	5,000	5,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	403,941	340,509	336,214	369,166	365,166	361,166	357,166
Encumbrances	66,000			2,149			
Unencumbered Cash Balance	337,941	340,509	336,214	367,018	365,166	361,166	357,166

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOE (HSPLS)  
 Prog ID(s): EDN 407  
 Name of Fund: Risk Management - Fire & Casualty Losses - HSPLS  
 Legal Authority: 41D-4 HRS

Contact Name: Theola Striker  
 Phone: (808) 586-3693  
 Fund type (MOF): Trust  
 Appropriation Acct. No.: T-967-E

Intended Purpose: Funds will be used for the purpose identified in the settlement agreement.

Source of Revenues: Monies received from settlements or claims or losses to HSPLS.

Current Program Activities/Allowable Expenses: Repair/replace damages/losses.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: FY14: Revenue and expenditure variances due to damage settlements.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,649	5,649	7,617	7,667	7,061	7,061	7,061
Revenues	0	5,837	50	0	0	0	0
Expenditures	0	3,869	0	606	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,649	7,617	7,667	7,061	7,061	7,061	7,061
Encumbrances							
Unencumbered Cash Balance	5,649	7,617	7,667	7,061	7,061	7,061	7,061

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Hawaiian Home Lands  
 Prog ID(s): HHL 602  
 Name of Fund: Hawaiian Home Loan Fund  
 Legal Authority: Hawaiian Homes Commission Act, Section 213(b)

Contact Name: Rodney Lau  
 Phone: 620-9531  
 Fund type (MOF) W  
 Appropriation Acct. No. S-302-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	2,000,000	2,000,000				
Beginning Cash Balance	2,230,618	2,414,975	2,430,751	2,571,114	2,732,907	1,892,907	1,052,907
Revenues	184,522	190,034	150,192	161,793	160,000	160,000	160,000
Expenditures	165	174,258	9,829	0	1,000,000	1,000,000	1,000,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
	2,230,619	2,414,976	930,752				
	(2,230,619)	(2,414,976)	(930,752)				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,414,975	2,430,751	2,571,114	2,732,907	1,892,907	1,052,907	212,907
<b>Encumbrances</b>							
Unencumbered Cash Balance	2,414,975	2,430,751	2,571,114	2,732,907	1,892,907	1,052,907	212,907

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Hawaiian Home Lands  
 Prog ID(s): HHL 602  
 Name of Fund: Hawaiian Home General Loan Fund  
 Legal Authority: Hawaiian Homes Commission Act, Section 213©

Contact Name: Rodney Lau  
 Phone: 620-9531  
 Fund type (MOF) W  
 Appropriation Acct. No. S-323-I

Intended Purpose: See attached

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	11,459,172	14,806,231	14,359,820	16,825,479	21,687,197	22,687,197	23,687,197
Revenues	6,076,940	211,151	7,015,808	5,562,684	4,000,000	4,000,000	4,000,000
Expenditures	2,729,881	2,617,541	4,550,149	4,680,966	6,000,000	6,000,000	6,000,000
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
		16,766,212	17,045,103	20,856,741	3,000,000	3,000,000	3,000,000
		(14,806,233)	(17,045,103)	(16,876,741)	0	0	0
Net Total Transfers	0	1,959,979	0	3,980,000	3,000,000	3,000,000	3,000,000
Ending Cash Balance	14,806,231	14,359,820	16,825,479	21,687,197	22,687,197	23,687,197	24,687,197
Encumbrances							
Unencumbered Cash Balance	14,806,231	14,359,820	16,825,479	21,687,197	22,687,197	23,687,197	24,687,197

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Hawaiian Home Lands  
 Prog ID(s): HHL 602  
 Name of Fund: Hawaiian Home Administration Account  
 Legal Authority: Hawaiian Homes Commission Act, Section 213(f)

Contact Name: Rodney Lau  
 Phone: 620-9531  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-325-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,030,827	13,030,827	13,517,243	13,517,243			
Beginning Cash Balance	15,341,118	19,134,898	28,359,287	33,334,120	29,844,497	27,532,109	25,219,721
Revenues	12,493,759	15,790,556	15,519,340	13,351,879	13,187,612	13,187,612	17,902,612
Expenditures	9,014,349	2,563,667	5,267,010	5,568,100	5,500,000	5,500,000	5,500,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
	2,216,870						
	12,504,969	13,900,000	16,178,652	19,229,098			
	(14,407,469)	(17,902,500)	(21,456,149)	(30,502,500)	(10,000,000)	(10,000,000)	(10,000,000)
Net Total Transfers	314,370	(4,002,500)	(5,277,497)	(11,273,402)	(10,000,000)	(10,000,000)	(10,000,000)
Ending Cash Balance	19,134,898	28,359,287	33,334,120	29,844,497	27,532,109	25,219,721	27,622,333
Encumbrances	936,247	867,885	2,655,915	2,223,181			
Unencumbered Cash Balance	18,198,651	27,491,402	30,678,205	27,621,316	27,532,109	25,219,721	27,622,333

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Hawaiian Home Lands  
 Prog ID(s): HHL 602  
 Name of Fund: Hawaiian Home Administration Account  
 Legal Authority: Hawaiian Homes Commission Act, Section 213 (f)

Contact Name: Rodney Lau  
 Phone: 620-9531  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-326-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,116,449	997,245	960,206	231,499	234,487	234,487	234,487
Revenues	0	0		2,988			
Expenditures	19,204	37,039	3,707				
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
	(1,100,000)		(725,000)				
Net Total Transfers	(1,100,000)	0	(725,000)	0	0	0	0
Ending Cash Balance	997,245	960,206	231,499	234,487	234,487	234,487	234,487
Encumbrances	109,476	75,379	71,672	0	0	0	0
Unencumbered Cash Balance	887,769	884,827	159,827	234,487	234,487	234,487	234,487

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Hawaiian Home Lands  
 Prog ID(s): HHL 602  
 Name of Fund: DHHL Revenue Bond Special Fund  
 Legal Authority: Hawaiian Homes Commission Act, Section 213.5

Contact Name: Rodney Lau  
 Phone: 620-9531  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-350-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,200,000	3,200,000					
Beginning Cash Balance	7,630,226	7,663,241	13,128,254	13,034,736	13,093,714	13,152,692	13,211,670
Revenues	3,034,069	727,607	3,049,690	3,070,477	3,070,477	3,070,477	3,070,477
Expenditures	3,001,054	3,631,297	3,143,208	3,011,499	3,011,499	3,011,499	3,011,499
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
	12,396,686	12,000,000	15,123,043				
	(12,396,686)	(3,631,297)	(15,123,043)				
Net Total Transfers	0	8,368,703	0	0	0	0	0
Ending Cash Balance	7,663,241	13,128,254	13,034,736	13,093,714	13,152,692	13,211,670	13,270,648
Encumbrances	1,496,146	283,849	340,641	529,140	529,140	529,140	529,140
Unencumbered Cash Balance	6,167,095	12,844,405	12,694,095	12,564,574	12,623,552	12,682,530	12,741,508

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Hawaiian Home Lands  
 Prog ID(s): HHL 602  
 Name of Fund: Hawaiian Home Land Trust Fund  
 Legal Authority: Hawaiian Homes Commission Act, Section 213.6

Contact Name: Rodney Lau  
 Phone: 620-9531  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-902-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	136,231,183	131,626,526	152,662,698	165,611,032	157,027,456	157,027,456	157,027,456
Revenues	22,764,661	19,574,055	34,043,769	2,140,035	1,700,000	1,700,000	1,700,000
Expenditures	21,237,794	28,537,883	21,152,230	10,723,611	1,700,000	1,700,000	1,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	47,632,440	60,000,000	20,000,000	83,768,825			
	(53,763,964)	(30,000,000)	(19,943,205)	(83,768,825)			
Net Total Transfers	(6,131,524)	30,000,000	56,795	0	0	0	0
Ending Cash Balance	131,626,526	152,662,698	165,611,032	157,027,456	157,027,456	157,027,456	157,027,456
Encumbrances	51,949,357	36,900,526	28,219,337	15,347,575			
Unencumbered Cash Balance	79,677,169	115,762,172	137,391,695	141,679,881	157,027,456	157,027,456	157,027,456

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Hawaiian Home Lands  
 Prog ID(s): HHL 602  
 Name of Fund: Hawaiian Home Operating Fund  
 Legal Authority: Hawaiian Homes Commission Act, Section 213 (e)

Contact Name: Rodney Lau  
 Phone: 620-9531  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-905-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	156,865,624	157,015,612	10,756,146	11,037,323	6,194,089	0	0
Beginning Cash Balance	784,380	894,165	1,101,638	1,599,294	2,088,540	2,088,540	2,088,540
Revenues	29,046	6,252	0	93	0	0	0
Expenditures	4,219,261	3,898,779	2,271,623	2,510,847	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
	4,537,885	4,523,353	3,740,184	3,000,000			
	(237,885)	(423,353)	(970,905)	0			
Net Total Transfers	4,300,000	4,100,000	2,769,279	3,000,000	0	0	0
Ending Cash Balance	894,165	1,101,638	1,599,294	2,088,540	2,088,540	2,088,540	2,088,540
Encumbrances	52,213	0	38,478	0			
Unencumbered Cash Balance	841,952	1,101,638	1,560,816	2,088,540	2,088,540	2,088,540	2,088,540

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Hawaiian Home Lands  
 Prog ID(s): HHL 602  
 Name of Fund: Hawaiian Home Operating Fund  
 Legal Authority: Hawaiian Homes Commission Act, Section 213 (e)

Contact Name: Rodney Lau  
 Phone: 620-9531  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-906-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	269,477	269,477	269,477	199	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	199			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			(269,278)				
Net Total Transfers	0	0	(269,278)	0	0	0	0
Ending Cash Balance	269,477	269,477	199	0	0	0	0
Encumbrances	52,213	0	0				
Unencumbered Cash Balance	217,264	269,477	199	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Hawaiian Home Lands  
 Prog ID(s): HHL 602  
 Name of Fund: Hawaiian Home Operating Fund  
 Legal Authority: Hawaiian Homes Commission Act, Section 213 (e)

Contact Name: Rodney Lau  
 Phone: 620-9531  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-915-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	16,937,973	15,390,105	15,616,295	24,204,544	44,278,180	42,778,180	41,278,180
Revenues	4,321,279	1,043,346	6,791,109	4,708,796	4,500,000	4,500,000	4,500,000
Expenditures	2,986,233	1,784,698	1,702,860	2,187,035	16,000,000	16,000,000	16,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	11,604,322	9,367,542	11,250,000	30,838,434	10,000,000	10,000,000	10,000,000
	(14,487,236)	(8,400,000)	(7,750,000)	(13,286,559)			
Net Total Transfers	(2,882,914)	967,542	3,500,000	17,551,875	10,000,000	10,000,000	10,000,000
Ending Cash Balance	15,390,105	15,616,295	24,204,544	44,278,180	42,778,180	41,278,180	39,778,180
Encumbrances	3,405,601	3,260,857	2,872,557	4,285,077			
Unencumbered Cash Balance	11,984,504	12,355,438	21,331,987	39,993,103	42,778,180	41,278,180	39,778,180

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Hawaiian Home Lands  
 Prog ID(s): HHL 602  
 Name of Fund: Native Hawaiian Rehabilitation Fund  
 Legal Authority: Hawaiian Homes Commission Act, Section 213 (i)

Contact Name: Rodney Lau  
 Phone: 620-9531  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-924-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6,158,412	5,629,777	5,849,259	5,934,451	6,217,609	7,305,609	7,305,609
Revenues	380,235	660,627	555,346	544,304	544,000	544,000	544,000
Expenditures	908,870	441,145	470,154	261,146	(544,000)	(544,000)	(544,000)
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	1,350,000	1,050,000	1,500,000				
	(1,350,000)	(1,050,000)	(1,500,000)				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,629,777	5,849,259	5,934,451	6,217,609	7,305,609	7,305,609	7,305,609
Encumbrances	2,628,048	2,209,403	1,375,579	282,593			
Unencumbered Cash Balance	3,001,729	3,639,856	4,558,872	5,935,016	7,305,609	7,305,609	7,305,609

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Hawaiian Home Lands  
 Prog ID(s): HHL 602  
 Name of Fund: Hawaiian Home Trust Fund  
 Legal Authority: Hawaiian Homes Commission Act, Section 213 (h)

Contact Name: Rodney Lau  
 Phone: 620-9531  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-917-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	8,592,559	8,592,559	11,250,100	12,199,530	12,199,530	12,199,530	12,199,530
Revenues	0	6,355,465	1,122,716				
Expenditures	0	4,097,924	1,122,716				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	8,592,559	8,992,559	12,199,530				
	(8,592,559)	(8,592,559)	(11,250,100)				
Net Total Transfers	0	400,000	949,430	0	0	0	0
Ending Cash Balance	8,592,559	11,250,100	12,199,530	12,199,530	12,199,530	12,199,530	12,199,530
Encumbrances							
Unencumbered Cash Balance	8,592,559	11,250,100	12,199,530	12,199,530	12,199,530	12,199,530	12,199,530

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Hawaiian Home Lands  
 Prog ID(s): HHL 602  
 Name of Fund: Hawaiian Homes Receipts Fund  
 Legal Authority: Hawaiian Homes Commission Act, Section 213 (g)

Contact Name: Rodney Lau  
 Phone: 620-9531  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-916-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	472,026	472,026	343,677	343,677	307,656	307,656	307,656
Revenues	4,668,952	3,299,171	3,634,714	3,943,979	3,980,000	3,980,000	3,980,000
Expenditures	3,055	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(4,665,897)	(3,427,520)	(3,634,714)	(3,980,000)	(3,980,000)	(3,980,000)	(3,980,000)
Net Total Transfers	(4,665,897)	(3,427,520)	(3,634,714)	(3,980,000)	(3,980,000)	(3,980,000)	(3,980,000)
Ending Cash Balance	472,026	343,677	343,677	307,656	307,656	307,656	307,656
Encumbrances							
Unencumbered Cash Balance	472,026	343,677	343,677	307,656	307,656	307,656	307,656

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Hawaiian Home Lands  
 Prog ID(s): HHL 602  
 Name of Fund: Security Deposits Clearing Account  
 Legal Authority: Administratively Created

Contact Name: Rodney Lau  
 Phone: 620-9531  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-901-I

Intended Purpose: The Security Deposits Clearing Account is primarily used as a reserve to hold general lessees' security deposits for the life of the general lease and native Hawaiian association benefit funds.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,587,084	2,365,372	2,598,035	1,643,528	2,937,905	2,937,905	2,937,905
Revenues	0	343,652		1,294,377			
Expenditures	1,221,712	110,989	954,507	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		1,050,000	(2,070,154)				
		(1,050,000)	2,070,154				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,365,372	2,598,035	1,643,528	2,937,905	2,937,905	2,937,905	2,937,905
Encumbrances	23,322	2,209,403	0				
Unencumbered Cash Balance	2,342,050	388,632	1,643,528	2,937,905	2,937,905	2,937,905	2,937,905

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Hawaiian Home Lands  
 Prog ID(s): HHL 602  
 Name of Fund: Hawaiian Home Administration Account  
 Legal Authority: Administratively Created

Contact Name: Rodney Lau  
 Phone: 620-9531  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-305-I

Intended Purpose: The Hawaiian Home Administration Account facilitates the transfer of cash between special and trust funds.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
	3,000,000	4,000,000	6,000,000	20,500,000			
	(3,000,000)	(4,000,000)	(6,000,000)	(20,500,000)			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Loan Fund (S-302)

Means of Financing: Revolving Fund

**1. Description and Purpose of Fund (including revenue source):**

The Hawaiian Home Loan Fund was established in 1921 as a revolving fund. Section 4 of the Hawaii Admissions Act (Act of March 18, 1959, Pub. L. 86-3, 73 Stat 4) made the Hawaiian Homes Commission Act (HHCA) a State law by compact with the United States. Under this compact, the Hawaiian Home Loan Fund may not be the subject of amendments that impair or reduce its powers and benefits without the consent of Congress.

Section 4 of the Hawaii Admissions Act, states in part:

"the Hawaiian home-loan fund, the Hawaiian home-operating fund, and the Hawaiian home-development fund shall not be reduced or impaired by any such amendment, whether made in the constitution or in the manner required for State legislation, and the encumbrances authorized to be placed on Hawaiian home lands by officers other than those charged with the administration of said Act, shall not be changed except with the consent of the United States" (emphasis added).

REVENUE LINE ITEMS:

Loan Principal Repayment - Pursuant to section 213(b) of the Hawaiian Homes Commission Act (HHCA), any principal repayment arising out of loans from the Hawaiian Home Loan Fund are to be credited to and deposited into the Hawaiian Home Loan Fund.

The Hawaiian Home Loan Fund is used principally to pay the net proceeds when a homestead lease is canceled or surrendered or when a lessee dies without leaving a qualified successor.

**2. Statutory Basis of Fund:**

Section 213 (b), Hawaiian Homes Commission Act of 1920, as amended

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home General Loan Fund (S-323)

Means of Financing: Revolving Fund

**1. Description and Purpose of Fund (including revenue source):**

Act 249, passed by the 1986 State Legislature consolidated all remaining loan funds other than the Hawaiian home-loan fund into the Hawaiian home-general loan fund. These loan funds were established through various amendments to the Act since statehood. The major source of receipts for this fund is through principal repayment of loans.

The department can make loans from the revolving funds for the following purposes:

1. Repair, maintenance, purchase or erection of dwellings on any tract and any permanent improvements.
2. Purchase of livestock and farm equipment (including aquaculture).
3. Assistance in developing land.
4. Farm loans not to exceed \$20,000.
5. Commercial loans.

In addition, the department may:

6. Use money in the Hawaiian home-operating fund to secure matching funds from federal, state or county agencies.
7. Loan or guarantee the repayment of loans up to fifty percent (50%) of the maximum single-residence loan amount allowed in Hawaii by the United States Department of Housing and Urban Development, Federal Housing Administration (FHA).
8. Permit loans to lessees by government agencies or private lenders, where the department assures payment of such loans in case of default.
9. Secure, pledge, or otherwise guarantee the repayment of money borrowed by the department from government agencies or private lenders. Money borrowed by the department or on departmental guarantees of loan shall at no time exceed \$100,000,000.

10. Use available loan fund monies or other funds as cash guarantees when required by lenders.
11. Exercise the functions of a lender of money in all direct loans made by government agencies or private lenders to lessees of which repayment is guaranteed by the department.
12. Pledge receivables of loan accounts outstanding as collateral to secure loans made by government agencies or private lenders to the department, the proceeds of which shall be used to make new loans.
13. Transfer into the Hawaiian home-trust fund any available money from any loan fund, except the Hawaiian home-loan fund, for use as cash guarantees or reserves when required by a federal agency authorized to insure or guarantee loans to lessees.

**2. Statutory Basis of Fund:**

Section 213 (c), Hawaiian Homes Commission Act of 1920, as amended

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Operating Fund (T-905, T-906, T-915)

Means of Financing: Trust Fund

**1. Description and Purpose of Fund (including revenue source):**

The Hawaiian Home-Development Fund, established in 1941 as a special fund, and the Hawaiian Home Operating Fund, established in 1948 as a revolving fund, were merged into one fund, the Hawaiian Home Operating Fund, in 1986. Section 4 of the Hawaii Admissions Act (Act of March 18, 1959, Pub. L. 86-3, 73 Stat 4) made the Hawaiian Homes Commission Act (HHCA) a State law by compact with the United States. Under this compact, the Hawaiian Home Development Fund and the Hawaiian Home Operating Fund may not be the subject of amendments that impair or reduce them without the consent of Congress. Consequently, the Hawaiian Home Operating Fund is managed as two separate portions, the operating portion and the development portion, to retain the characteristics and substance of the predecessors of this fund.

Section 4 of the Hawaii Admissions Act, states in part:

"the Hawaiian home-loan fund, the Hawaiian home-operating fund, and the Hawaiian home-development fund shall not be reduced or impaired by any such amendment, whether made in the constitution or in the manner required for State legislation, and the encumbrances authorized to be placed on Hawaiian home lands by officers other than those charged with the administration of said Act, shall not be changed except with the consent of the United States" (emphasis added).

REVENUE LINE ITEMS:

- 1-2) Loan Interest/ Investment Interest - Pursuant to section 213(b) of the Hawaiian Homes Commission Act (HHCA), any interest or earnings arising out of investments from the Hawaiian Home Loan Fund are to be credited to and deposited into the Hawaiian Home Operating Fund.
- 3) Miscellaneous - Pursuant to section 213(e) of the HHCA, all moneys received by the department from any other source, other than those prescribed in other funds of the HHCA, are deposited into the Hawaiian Home Operating Fund. This includes receipts collected from the Molokai and Anahola Water systems, hall rentals, and homestead lease payments.
- 4) Refund/ Reimbursables - Refunds and reimbursements of expenditures are deposited back to the fund of origination.

EXPENDITURE LINE ITEMS:

(1-14) Uses of the operating portion of the Hawaiian Home Operating Fund include:

1. Construction operation and maintenance of revenue-producing activities (such as the Molokai Water System, and the Anahola Farm Lots Water System, on the island of Kauai) that are intended to serve principally occupants on Hawaiian home lands.
2. Purchase of goods and services to be resold, rented or furnished on a charge basis to occupants of Hawaiian home lands. The department operates a community hall in Waimea on the island of Hawaii.
3. Cost of appraisals, studies, consultant services or other staff services, including those in section 202(b) of the HHCA. Some of DHHL temporary exempt hires are funded from this portion of the Operating Fund.

The uses of the development portion of the Hawaiian Home Operating Fund, which require approval of the Governor, include:

1. Improvement and development necessary to serve present and future occupants of Hawaiian home lands.
2. Improvements, additions, and repairs to all assets owned or leased by the department excluding structures or improvements that the department is obligated to acquire under Section 209 of the HHCA.
3. Engineering, architectural and planning services to maintain and develop properties.
4. For consultant services.
5. For purchase or lease of equipment.
6. For acquisition or lease of real property; and
7. Improvements constructed for the benefit of the beneficiaries of the HHCA and not otherwise permitted in the loan funds or Administration Account.

OTHER CHANGES IN FUND BALANCE:

- 1) The Hawaiian Homes Commission authorizes the quarterly transfer of moneys from the Hawaiian Home Receipts Fund to the Hawaiian Home Operating Fund.

- 2) Any amount in the Hawaiian Home Administration Account which is in excess of the amount approved by the State Legislature or made available for the fiscal period may be transferred to the Hawaiian Home Operating Fund.

**2. Statutory Basis of Fund:**

Section 213 (e), Hawaiian Homes Commission Act of 1920, as amended

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Administration Account (S-325, S-326, S-305)

Means of Financing: Special Fund

**1. Description and Purpose of Fund (including revenue source):**

In 1959, the Hawaii Admission Act (Act of March 18, 1959, Pub. L. 86-3, 73 Stat 4) provided that ownership of Hawaiian home lands (HHL) be transferred from the United States to the State of Hawaii. The Admission Act also provided that the HHL, as well as proceeds and income therefrom were to be held by the State in trust for native Hawaiians and administered in accordance with the Act, and that use of HHL for any other purpose would constitute a breach of trust for which suit may be brought by the United States.

Section 4 of the Hawaii Admissions Act, states in part:

"(3) that all proceeds and income from the "available lands" as defined by said Act, shall be used only in carrying out the provisions of said Act" (emphasis added).

Section 5(f) of the Hawaii Admissions Act, states in part:

"Such lands, proceeds, and income shall be managed and disposed of for one or more of the foregoing purposes in such manner as the constitution and laws of said State may provide, and their use for any other object shall constitute a breach of trust for which suit may be brought by the United States." (emphasis added).

**REVENUE LINE ITEMS:**

- 1) The entire receipts from any leasing or other dispositions of "available lands" are deposited into this account. Any interest or other earnings arising out of investments from this fund are to be credited to this fund.

Receipts of this fund are from general leases, licenses, revocable permits, rights of entry, and other dispositions of Hawaiian home lands. The Admission Act and the Constitution stipulate that all proceeds and income from "available lands," as defined by the HHCA, "shall be used only in carrying out the provisions of said Act."

**EXPENDITURE LINE ITEMS:**

- 1) The monies are to be expended by the department for salaries and all other administrative expenses of the department, excluding capital improvements, in the absence of general funds appropriated for operating and administrative costs.

Funds of the Administration Account must be incorporated in the Executive Budget and appropriated by the legislature before they can be used for salaries and operating costs.

**OTHER CHANGES IN FUND BALANCE:**

- 1) The HHCA provides that the excess of the receipts deposited into the Hawaiian Home Administration Account over the amount approved or made available by the legislature may be transferred to the Hawaiian Home Operating Fund.
- 2) All revenues from "available" lands are pledged to the repayment of DHHL revenue bonds. Monies in excess of the reserve and debt service requirements may be transferred back to the Administration Account upon Commission approval.

**2. Statutory Basis of Fund:**

Section 213(f), Hawaiian Homes Commission Act of 1920, as amended

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Receipts Fund (T-916)

Means of Financing: Trust Fund

**1. Description and Purpose of Fund (including revenue source):**

All interest monies from loans or investments received by the department from any fund (except the borrowed money loans in the Hawaiian home-general loan fund and the Hawaiian home-loan fund) are deposited into this fund. In essence, the fund was to serve as a clearing fund at the end of each quarter, all monies in this fund are transferred to the Hawaiian home-operating fund, the Hawaiian home-administration account, the Hawaiian home-trust fund and any loan fund in accordance with rules adopted by the department. Since this a clearing account and monies are transferred quarterly, as required by the Hawaiian Homes Commission Act, there are no beginning and ending balances at start and end of quarter. Consequently, there are no prior year or end of year balances.

**2. Statutory Basis of Fund:**

Section 213 (g), Hawaiian Homes Commission Act of 1920, as amended

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Trust Fund (T-917)

Means of Financing: Trust Fund

**1. Description and Purpose of Fund (including revenue source):**

Monies deposited into this fund are available for transfers into any other fund or account authorized by the Act or for any public purpose to further the purposes of the Act. Public purpose includes using the Hawaiian home trust fund as a reserve for loans insured or guaranteed by the Federal Housing Administration, Veteran Administration or any other federal agency authorized to insure or guarantee loans. A major deposit in the Hawaiian home-trust fund is the reserve for loans insured by the Federal Housing Administration.

**2. Statutory Basis of Fund:**

Section 213(h), Hawaiian Homes Commission Act of 1920, as amended

Department of Hawaiian Home Lands

Name of Fund: Native Hawaiian Rehabilitation Fund (T-924)

Means of Financing: Trust Fund

**1. Description and Purpose of Fund (including revenue source):**

The Native Hawaiian Rehabilitation Fund (NHRF) was created by the 1978 Constitutional Convention to finance various activities intended to exclusively benefit native Hawaiians. This includes, but is not limited to, educational, economic, political, social, and cultural processes by which the general welfare and conditions of native Hawaiians are improved and perpetuated. The specific sources of NHRF funds vary, but are primarily derived from certain lands labeled "ceded lands" which were conveyed from the federal government to the State when it was admitted into the Union.

REVENUE LINE ITEMS:

- 1) Thirty percent of the state receipts, derived from lands previously cultivated and cultivated as sugarcane lands and from water licenses, are deposited into this fund.
- 2) Any payments of principal, interest or other earnings arising out of the loan or investments from the fund are credited and deposited into this fund.

EXPENDITURE LINE ITEMS:

- 1) NHRF has been used for interim home construction. The fund is reimbursed by private lenders that provide permanent takeout loans (e.g. FHA loans).
- 2) NHRF has also been involved in the financing of community based projects and an education scholarship program within the native Hawaiian community.

**2. Statutory Basis of Fund:**

Section 213(i), Hawaiian Homes Commission Act of 1920, as amended

Department of Hawaiian Home Lands

Name of Fund: Department of Hawaiian Home Lands Revenue Bond Special Fund (S-350)

Means of Financing: Special Fund

**1. Description and Purpose of Fund (including revenue source):**

In 1959, the Hawaii Admission Act (Act of March 18, 1959, Pub. L. 86-3, 73 Stat 4) provided that ownership of Hawaiian home lands (HHL) be transferred from the United States to the State of Hawaii. The Admission Act also provided that the HHL, as well as proceeds and income therefrom were to be held by the State in trust for native Hawaiians and administered in accordance with the Act, and that use of HHL for any other purpose would constitute a breach of trust for which suit may be brought by the United States.

Section 4 of the Hawaii Admissions Act, states in part:

"(3) that all proceeds and income from the "available lands" as defined by said Act, shall be used only in carrying out the provisions of said Act" (emphasis added).

Section 5(f) of the Hawaii Admissions Act, states in part:

"Such lands, proceeds, and income shall be managed and disposed of for one or more of the foregoing purposes in such manner as the constitution and laws of said State may provide, and their use for any other object shall constitute a breach of trust for which suit may be brought by the United States." (emphasis added).

In October 1991, the department sold \$18 million of revenue bonds. All revenues from "available" lands were pledged to the repayment of these bonds. Monies in excess of the reserve and debt service requirements may be transferred back to the Administration Account upon Commission approval.

Pursuant to section 213.5 of the HHCA, the department is required to establish a Revenue Bond Special Fund for each undertaking financed by revenue bonds. A special fund was established for the revenue bonds issued in 1991. Sources of monies for this fund are:

1. Revenues committed for payment of debt service and maintenance of reserve accounts.
2. Interest from investments of reserve accounts.

The monies are primarily used to repay the debt service on the bonds and to maintain a reserve account as required by the bond resolution.

In 2009, DHHL issued \$42.5 million in revenue bonds.

**2. Statutory Basis of Fund:**

Section 213.5, Hawaiian Homes Commission Act of 1920, as amended

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Lands Trust Fund (T-902)

Means of Financing: Trust Fund

**1. Description and Purpose of Fund (including revenue source):**

Act 14, Special Session 1995, (DHHL Land Claims Settlement Act) settles all claims made on behalf of the Hawaiian Home Lands Trust against the State between August 21, 1959 and July 1, 1988. It requires that the State make twenty annual deposits of \$30,000,000 or their discounted equivalent into the Hawaiian Home Lands Trust Fund. Proceeds of the fund may be used by DHHL for capital improvements and to meet the requirements of the Hawaiian Homes Commission Act of 1920, as amended.

**2. Statutory Basis of Fund:**

Section 213.6, Hawaiian Homes Commission Act of 1920, as amended.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Human Services  
 Prog ID(s): HMS 501  
 Name of Fund: Juvenile Accountability Block Grant  
 Legal Authority: PL 107-273

Contact Name: Kerry Kiyabu  
 Phone: 587-5714  
 Fund type (MOF) N  
 Appropriation Acct. No. S-212-K

Intended Purpose:

To support states and units of local government in implementing their respective juvenile crime re-education plan in any of twelve areas to assure that as a result of their wrongdoing, juvenile offenders face individualized consequences that makes them aware of and accountable for the loss, damage or injury perpetuated on the victim.

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Office of Juvenile Justice and Delinquency Prevention. Plus the interest earned from the state's investment pool account.

Current Program Activities/Allowable Expenses:

The Office of Youth Services (OYS) continues the planning and program development functions for youth services as mandated by Act 375, SLH 2009.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	397,927	163,274	9,112	0	0	0
Beginning Cash Balance	445,411	357,875	335,221	168,578	0	0	0
Revenues	283,946	308,360	1,663	1,200	0	0	0
Expenditures	371,482	331,014	168,306	169,778	0	0	0
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	357,875	335,221	168,578	0	0	0	0
Encumbrances	270,172	173,810	168,579	0	0	0	0
Unencumbered Cash Balance	87,703	161,411	(1)	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Human Services</u>	Contact Name: <u>Kerry Kiyabu</u>
Prog ID(s):	<u>HMS 501</u>	Phone: <u>587-5714</u>
Name of Fund:	<u>Social Services Block Grant</u>	Fund type (MOF) <u>N</u>
Legal Authority	<u>Social Security Act, Title XX, as amended; Omnibus Budget Reconciliation Act of 1981, as amended, PL 97-35; Jobs Training Bill, PL 98-8 and 473; Medicaid and Medicare Patient and Program Act of 1987; Omnibus Budget Reconciliation Act of 1987, PL 100-203; Family Support Act of 1998, PL 100-485, Omnibus Budget Reconciliation Act of 1993, PL 106-66, 42 U.S.C. 1397 ET seq.</u>	Appropriation Acct. No. <u>S-223-K</u>

Intended Purpose:

Provide income eligible and current recipients of public assistance an array of services.

Source of Revenues:

U.S. Dept of Health and Human Services, Title XX Social Services Block Grant. Subgranted from Dept of Human Services, Social Services Division.

Current Program Activities/Allowable Expenses:

Act 375, SLH1989 mandated the OYS provide a continuum of services ranging from prevention to secure care and assume the responsibilities for juvenile corrections. To that end, on July 1, 1991, the OYS assumed the responsibility for the Hawaii Youth Correctional Facility Program. During the FY 95-97, the OYS continued planning and program development functions for a continuum of services which included community alternative and aftercare components for this target population.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	2,000,000	2,211,703	1,988,231	1,752,740	1,752,740	1,752,740
Beginning Cash Balance	71,069	30,446	9,891	20,782	0	0	0
Revenues	609	0	0	0	0	0	0
Expenditures	1,358,906	1,348,763	1,260,432	1,752,740	1,752,740	1,752,740	1,752,740
Transfers							
List each by JV# and date	1,317,674	1,328,208	1,271,323				
Net Total Transfers	1,317,674	1,328,208	1,271,323	1,731,958	1,752,740	1,752,740	1,752,740
Ending Cash Balance	30,446	9,891	20,782	0	0	0	0
Encumbrances	600,312	588,940	502,227	438,185	438,185	438,185	438,185
Unencumbered Cash Balance	(569,866)	(579,049)	(481,445)	(438,185)	(438,185)	(438,185)	(438,185)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Human Services</u>	Contact Name:	<u>Kerry Kiyabu</u>
Prog ID(s):	<u>HMS 501</u>	Phone:	<u>587-5714</u>
Name of Fund:	<u>Special Fund</u>	Fund type (MOF)	<u>N</u>
Legal Authority	<u>S-224-K contains the special funds of US Dept of Justice grants and the US Dept of Education grant. This is the cumulative financial data form. (From FY2013 only US DOJ.)</u>	Appropriation Acct. No.	<u>S-224-K - Comb</u>

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,206,297	1,476,497	1,589,103	1,499,105	400,000	400,000	400,000
Beginning Cash Balance	21,803	20,573	33,985	19,715	0	0	0
Revenues	842,710	830,533	819,429	749,370	400,000	400,000	400,000
Expenditures	843,940	817,121	833,699	769,085	400,000	400,000	400,000
	0	0	0				
Transfers	0	0	0				
List each by JV# and date	0	0	0				
	0	0	0				
	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
	0	0	0	0	0	0	0
Ending Cash Balance	20,573	33,985	19,715	0	0	0	0
	0	0	0	0	0	0	0
Encumbrances	510,140	552,264	375,418	0	0	0	0
	0	0	0	0	0	0	0
Unencumbered Cash Balance	(489,567)	(518,279)	(355,703)	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Human Services</u>	Contact Name: <u>Kerry Kiyabu</u>
Prog ID(s):	<u>HMS 501</u>	Phone: <u>587-5714</u>
Name of Fund:	<u>Juvenile Justice and Delinquency Prevention - Title II Formula Grants</u>	Fund type (MOF) <u>N</u>
Legal Authority	<u>Juvenile Justice and Delinquency Prevention Act of 2002, Sections 221-223, 42 U.S.C. Sections 5631 - 5633</u>	Appropriation Acct. No. <u>S-224-K (1-4)</u>

Intended Purpose:

To support State and local delinquency prevention and intervention efforts and juvenile justice system improvements.

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Office of Juvenile Justice and Delinquency Prevention.

Current Program Activities/Allowable Expenses:

Program Activities include: Planning and administration, State Advisory Group allocation, compliance monitoring; juvenile justice issues for American Indian tribes; prevention of substance abuse by juveniles, prevention of serious and violent crimes by juveniles, prevention of juvenile gang involvement and illegal youth gang activities; prevention of delinquency acts and identification of youth at risk of delinquency; and improvement of juvenile justice system operations, policies, and procedures including establishing a system of graduated sanctions, treatment programs, and aftercare.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,106,297	1,469,465	1,582,538	1,492,611	393,506	393,506	393,506
Beginning Cash Balance	20,794	19,139	33,985	19,715	0	0	0
Revenues	752,835	805,584	818,522	742,805	393,506	393,506	393,506
Expenditures	754,490	790,738	832,792	762,520	393,506	393,506	393,506
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	19,139	33,985	19,715	0	0	0	0
Encumbrances	489,889	552,264	375,418	0	0	0	0
Unencumbered Cash Balance	(470,750)	(518,279)	(355,703)	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Human Services  
 Prog ID(s): HMS 501  
 Name of Fund: Title V - Delinquency Prevention Program  
 Legal Authority: Incentive Grants for Local Delinquency Prevention Programs Act of 2002

Contact Name: Kerry Kiyabu  
 Phone: 587-5714  
 Fund type (MOF) N  
 Appropriation Acct. No. S-224-K (2-4)

Intended Purpose:

To support states and units of local government in implementing their respective juvenile crime re-education plan in any of twelve areas to assure

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Office of Juvenile Justice and Delinquency Prevention.

Current Program Activities/Allowable Expenses:

This program includes to reduce risks and enhance protective factors to prevent at-risk youth from entering the juvenile justice system and to intervene with first-time and non-serious offenders to keep them out of the juvenile justice system. In October 2011 Congress did not appropriate funds for this program. Therefore, the last award received was the 2011 award and it will be spent in state fiscal years 2014 and 2015.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	0	0	0	0	0	0
Beginning Cash Balance	1,009	1,434	0	0	0	0	0
Revenues	89,875	18,824	0	0	0	0	0
Expenditures	89,450	20,258	0	0	0	0	0
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,434	0	0	0	0	0	0
Encumbrances	20,251	0	0	0	0	0	0
Unencumbered Cash Balance	(18,817)	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Human Services  
 Prog ID(s): HMS 501  
 Name of Fund: Safe and Drug-Free Schools and Communities, State Grants  
 Legal Authority: PL 101-297 Elementary and Secondary Education Act of 1965,  
as amended

Contact Name: Kerry Kiyabu  
 Phone: 587-5714  
 Fund type (MOF) N  
 Appropriation Acct. No. S-224-K (3-4)

Intended Purpose:

Support community-based and other programs to prevent substance abuse among high-risk and other youths.

Source of Revenues:

U.S. Department of Education. The 2009 award was the last one received and final closeout occurred in FY2012.

Current Program Activities/Allowable Expenses:

Act 375, SLH 1989 established the Office of Youth Services as the state agency responsible to provide services and programs for youth at risk under one umbrella agency in order to facilitate optimum service delivery, to prevent delinquency, and to reduce the incidence of recidivism among juveniles through the provision of prevention, rehabilitation, and treatment services. In addition, the OYS is also responsible for program planning and development, intake/assessment, oversight, as well as consultation, technical assistance and staff training relating to the delivery of services.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Human Services</u>	Contact Name: <u>Kerry Kiyabu</u>
Prog ID(s):	<u>HMS 501</u>	Phone: <u>587-5714</u>
Name of Fund:	<u>PREA Program: Demonstration Projects to Establish "Zero Tolerance" Cultures for Sexual Assault in Correctional Facilities</u>	Fund type (MOF) <u>N</u>
Legal Authority	<u>Prison Rape Elimination Act of 2003, PL 108-79, as amended, codified at 42 USC 15801, et seq.</u>	Appropriation Acct. No. <u>S-224-K (4-4)</u>

Intended Purpose:

To assist correctional facilities in implementing comprehensive prevention, identification, and response mechanisms that will reduce the incidence of sexual abuse in confinement facilities; promote a culture of "zero tolerance" toward sexual abuse; and support facilities' efforts to achieve compliance with the PREA standards.

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Bureau of Justice Assistance

Current Program Activities/Allowable Expenses:

The PREA Program supports comprehensive demonstration projects with program design elements that are intended to reduce sexual victimization in correctional facilities, promote a "zero tolerance" environment, and assist correctional facilities in meeting the requirements of the PREA standards. Grants may be used to support the following types of design elements as part of a comprehensive approach: 1) policy and practice review and revision; 2) preventative infrastructure and technology enhancements; 3) inmate/detainee/resident education; 4) victim support services; 5) leadership and assessment of organizational culture; 6) data collection and performance measurements; 7) staffing support and training; 8) evaluation; and 9) PREA audit activities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		7,032	6,565	6,494	6,494	6,494	6,494
Beginning Cash Balance		0	0	0	0	0	0
Revenues		6,125	907	6,565	6,494	6,494	6,494
Expenditures		6,125	907	6,565	6,494	6,494	6,494
Transfers							
List each by JV# and date		0	0				
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance		0	0	0	0	0	0
Encumbrances		0	0	0	0	0	0
Unencumbered Cash Balance		0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Apprn Acct No. S-FY-223  
Transfers (List Each Transfer by JV# and Date)

<b>Cash Deposits</b>				
<b>OYS No.</b>	<b>JV#</b>	<b>S-14-223</b>	<b>S-15-223</b>	<b>Total Drawdowns</b>
T16-01	JS0093	28,225.13		28,225.13
T16-02	JS0141	2,884.97		2,884.97
T16-03	JS0175	32,408.84		32,408.84
T16-04	JS0363	14,450.00		14,450.00
T16-05	JS0381	11,805.87		11,805.87
T16-06	JS0530	34,795.33		34,795.33
T16-07	JS0725	6,834.97	3,333.33	10,168.30
T16-08	JS0769		5,000.00	5,000.00
T16-09	JS0863	15,577.60	5,716.65	21,294.25
T16-10	JS1013	70,861.88		70,861.88
T16-11	JS1167		5,116.26	5,116.26
T16-12	JS1308		10,658.38	10,658.38
T16-13	JS1428		5,500.00	5,500.00
T16-14	JS1521		5,792.22	5,792.22
T16-15	JS1670		18,049.09	18,049.09
T16-16	JS1871		4,190.51	4,190.51
T16-17	JS1973		3,333.34	3,333.34
T16-18	JS2183		4,563.82	4,563.82
T16-19	JS2329		3,817.17	3,817.17
T16-20	JS2386		13,247.13	13,247.13
T16-21	JS2453		43,850.02	43,850.02
T16-22	JS2530		31,350.00	31,350.00
T16-23	JS2613		2,280.00	2,280.00
T16-24	JS2718		14,267.92	14,267.92
T16-24	JS2718		9,943.33	9,943.33
T16-25	JS2786		8,460.00	8,460.00
T16-26	JS2929		19,289.26	19,289.26
T16-27	JS2953		5,100.46	5,100.46
T16-28	JS3109		4,597.87	4,597.87
T16-29	JS3182		8,333.33	8,333.33
T16-30	JS3262		14,190.00	14,190.00
T16-31	JS3278		25,842.91	25,842.91
T16-32	JS3397		12,936.20	12,936.20
T16-33	JS3435		7,795.37	7,795.37
T16-34	JS3618		4,716.37	4,716.37
T16-35	JS3675		3,333.34	3,333.34
T16-36	JS3741		11,780.00	11,780.00
T16-37	JS3814		79,082.24	79,082.24
T16-38	JS3909		9,940.00	9,940.00
T16-39	JS4050		22,231.84	22,231.84
T16-40	JS4172		15,240.35	15,240.35
T16-41	JS4346		3,380.00	3,380.00
T16-42	JS4407		17,190.00	17,190.00
T16-43	JS4447		86,638.46	86,638.46
T16-44	JS4541		19,996.35	19,996.35
T16-45	JS4674		14,450.14	14,450.14
T16-46	JS4689		6,890.00	6,890.00
T16-47	JS4770		14,388.50	14,388.50
T16-48	JS4886		55,263.33	55,263.33
T16-49	JS4941		15,788.99	15,788.99
T16-50	JS5139		5,277.36	5,277.36
T16-51	JS5190		17,521.36	17,521.36

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Apprn Acct No. S-FY-223  
Transfers (List Each Transfer by JV# and Date)

<b>Cash Deposits</b>				
<b>OYS No.</b>	<b>JV#</b>	<b>S-14-223</b>	<b>S-15-223</b>	<b>Total Drawdowns</b>
T16-52	JS5316		8,978.53	8,978.53
T16-53	JS5345		27,537.18	27,537.18
T16-54	JS5386		37,170.00	37,170.00
T16-55	JS5588		26,913.34	26,913.34
T16-56	JS5658		8,707.39	8,707.39
T16-57	JS5746		11,780.00	11,780.00
T16-58	JS5828		17,066.11	17,066.11
T16-59	JS5874		11,733.17	11,733.17
T16-60	JS5964		19,900.00	19,900.00
T16-61	JS6010		31,745.81	31,745.81
T16-62	JS6082		12,560.00	12,560.00
T16-63	JS6118		30,116.70	30,116.70
T16-64	JS6197		8,103.14	8,103.14
T16-65	JS6505		19,445.78	19,445.78
T16-66	JS6613		7,516.58	7,516.58
T16-67	JS6703		9,690.00	9,690.00
T16-68	JS6789		30,445.74	30,445.74
T16-69	JS6898		16,667.21	16,667.21
T16-70	JS6959		17,738.04	17,738.04
<b>Totals</b>		<b>217,844.59</b>	<b>1,053,477.92</b>	<b>1,271,322.51</b>

crossfoot 1,271,322.51

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Human Services  
 Prog ID(s): HMS 503/RA (HYCF)  
 Name of Fund: Youth Correctional Facility's Benefit Fund  
 Legal Authority: Section 352-21 HRS

Contact Name: Kerry Kiyabu  
 Phone: 587-5714  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-901-K

Intended Purpose:

Source of Revenues:

(1) Donations, (2) Any unauthorized money found in the possession of a ward or on the facility premises.

Current Program Activities/Allowable Expenses:

The fund is used for activities that would benefit the welfare and recreation of youth committed to the Hawaii Youth Correctional Facility.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,452	4,452	9,577	9,577	9,577	9,577	9,577
Revenues	1,000	5,125	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	4,452	9,577	9,577	9,577	9,577	9,577	9,577
Encumbrances							
Unencumbered Cash Balance	4,452	9,577	9,577	9,577	9,577	9,577	9,577

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Human Services  
 Prog ID(s): HMS 503/RA (HYCF)  
 Name of Fund: Hawaii Youth Correctional Facility Trust Fund  
 Legal Authority: Section 352-18, HRS

Contact Name: Kerry Kiyabu  
 Phone: 587-5714  
 Fund type (MOF) T  
 Appropriation Acct. No. T-998-K

Intended Purpose:

Source of Revenues:

(1) Money given to wards by their parents, relatives, etc., (2) cash earned by wards through the Behavior Modification Program, and (3) pay earned by wards that are on a work-release plan.

Current Program Activities/Allowable Expenses:

Withdrawals from a ward's account may be permitted: to pay legal obligations to dependents, to pay court-ordered restitution, etc. Any balance remaining at the Director's termination of legal custody will transfer to the ward or whomever has legal custody.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

<b>Financial Data</b>							
	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	764	674	603	579	579	579	579
Revenues	920	364	402	0	0	0	0
Expenditures	1,010	435	426	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	674	603	579	579	579	579	579
Encumbrances							
Unencumbered Cash Balance	674	603	579	579	579	579	579

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 206  
 Name of Fund: Low Income Home Energy Assistance Program (LIHEAP)  
 Legal Authority: P.L. 97-35

Contact Name: Lynn Sugiyama  
 Phone: 586-5847  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-204-K

Intended Purpose: Provides for cash payments to households that meet income and categorical qualifications for energy crisis intervention and credit.

Source of Revenues: DHHS - Administration for Children and Families, Low Income Home Energy Assistance Program (LIHEAP.)

Current Program Activities/Allowable Expenses: Provides funds for LIHEAP payments.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,107,051	5,958,106	6,158,927	5,553,592	5,553,592	5,553,592	5,553,592
Beginning Cash Balance	52,079	364,767	1,830,515	1,752,419	98,125	98,125	98,125
Revenues	6,532,944	6,851,076	5,462,375	3,278,428	5,600,000	5,600,000	5,600,000
Expenditures	5,858,826	5,174,301	5,540,471	4,932,722	5,600,000	5,600,000	5,600,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(361,430)	(211,027)		0	0	0	0
Ending Cash Balance	364,767	1,830,515	1,752,419	98,125	98,125	98,125	98,125
Encumbrances	267,888	42,585	449,418				
Unencumbered Cash Balance	96,879	1,787,930	1,303,001	98,125	98,125	98,125	98,125

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 211  
 Name of Fund: Temporary Assistance for Needy Families (TANF)  
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-201-K

Intended Purpose: Provides financial aid to state Temporary Assistance for Needy Families (TANF) Program.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Assistance payments to qualified TANF recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	44,000,000	44,000,000	44,000,000	44,000,000	44,000,000	44,000,000	44,000,000
Beginning Cash Balance	2,107,008	3,122,393	7,271,667	7,864,360	9,385,906	9,385,906	9,385,906
Revenues	42,056,457	41,271,585	34,771,967	20,739,390	20,000,000	20,000,000	20,000,000
Expenditures	41,082,331	37,196,059	34,179,274	19,217,844	20,000,000	20,000,000	20,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	41,259	73,748		0	0	0	0
Ending Cash Balance	3,122,393	7,271,667	7,864,360	9,385,906	9,385,906	9,385,906	9,385,906
Encumbrances	0	0	0				
Unencumbered Cash Balance	3,122,393	7,271,667	7,864,360	9,385,906	9,385,906	9,385,906	9,385,906

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 224  
 Name of Fund: Emergency Solutions Grant  
 Legal Authority: \_\_\_\_\_

Contact Name: Derek Oshiro  
 Phone: 586-5630  
 Fund type (MOF): N  
 Appropriation Acct. No. S-XX-216-K (1 of 6)

Intended Purpose: Provides resources to deal with the special needs segments of the homeless population.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides one-time grants for emergency needs to neighbor island homeless.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,151,108	423,453	452,036	609,636	609,636	609,636	609,636
Beginning Cash Balance	40,022	134	204	261	3,050	5,050	7,050
Revenues	411,124	433,009	417,097	373,920	373,000	373,000	373,000
Expenditures	451,012	432,939	417,040	371,131	371,000	371,000	371,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	134	204	261	3,050	5,050	7,050	9,050
Encumbrances	163,371	0	133,051	167,090	0	0	0
Unencumbered Cash Balance	(163,237)	204	(132,790)	(164,040)	5,050	7,050	9,050

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 224  
 Name of Fund: HPRP (ARRA)  
 Legal Authority: ARRA Act of 2009, P.L. 111-5

Contact Name: Derek Oshiro  
 Phone: 586-5630  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-217-K (2 of 6)

Intended Purpose: Homeless Prevention and Rapid Rehousing Program - these funds will provide temporary financial assistance and housing relocation and stabilization services to individuals and families that are homeless or would be homeless without this assistance.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Assistance payments to qualified program recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The grant has ended. Expended the balance of the grant in 2014.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	50,000	0	0	0	0	0	0
Beginning Cash Balance	5,670	5,670	0	0	0	0	0
Revenues	128,109	0	0	0	0	0	0
Expenditures	128,109	5,670	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,670	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	5,670	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 224  
 Name of Fund: HOPWA  
 Legal Authority: \_\_\_\_\_

Contact Name: Derek Oshiro  
 Phone: 586-5630  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-222-K (3 of 6)  
 SFY13 Apprn Acct. No. S-XX-216-K

Intended Purpose: Housing Opportunities for People With AIDS - provides funding to address the needs of homeless persons afflicted with HIV or AIDS.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides resources and services to qualified homeless recipients.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Due to a change in practice of having a separate appropriation per grant award. Prior year encumbrances were paid using the original appropriation account, revenue and expenditures were also reflected there. As of 2015, transaction go through the S-222-K account.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,151,108	203,453	205,107	243,296	243,296	243,296	243,296
Beginning Cash Balance	0	317	0	0	12,372	24,372	36,372
Revenues	183,802	164,858	167,320	233,118	233,000	233,000	233,000
Expenditures	183,485	165,175	167,320	220,746	221,000	221,000	221,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	317	0	0	12,372	24,372	36,372	48,372
Encumbrances	17,162	19,138	25,207	16,025	0	0	0
Unencumbered Cash Balance	(16,845)	(19,138)	(25,207)	(3,653)	24,372	36,372	48,372

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 224  
 Name of Fund: Shelter Plus Care Program Grant  
 Legal Authority: \_\_\_\_\_

Contact Name: Derek Oshiro  
 Phone: 586-5630  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-500-K (4 of 6)  
 SFY13 Apprn Acct. No. S-XX-216-K

Intended Purpose: Provides resources to deal with the special needs segments of the homeless population.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides funding and services to address the needs of homeless persons afflicted with a disability.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Starting in 2014, the new Shelter Plus Care Program grant funds have been combined with the new Continuum of Care grant. The older Shelter Plus Care Program grants are winding down and should be fully expended by fiscal year 2018.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,151,108	2,095,084	2,366,839	366,264	366,264	366,264	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,877,799	615,336	167,320	170,287	170,287	170,287	0
Expenditures	1,877,799	615,336	167,320	170,287	170,287	170,287	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	1,781,863	0	284,265				
Unencumbered Cash Balance	(1,781,863)	0	(284,265)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 224  
 Name of Fund: Supportive Housing Program Grant  
 Legal Authority: \_\_\_\_\_

Contact Name: Derek Oshiro  
 Phone: 586-5630  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-500-K (5 of 6)  
 SFY13 Apprn Acct. No. S-XX-216-K

Intended Purpose: Provides funding source to establish shelter housing units or social and economic self-sufficiency program for the homeless.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides funding source to establish shelter housing units or social and economic self-sufficiency program for the homeless.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: As of Fiscal Year 2015, the Supportive Housing Program grant has merged with the Continuum of Care grant. So this does not exist as a separate grant any more.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,151,108	271,755	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	199,053	87,411	0	0	0	0	0
Expenditures	199,053	87,411	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	236,239	0	0	0	0	0	0
Unencumbered Cash Balance	(236,239)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 224  
 Name of Fund: Continuum of Care  
 Legal Authority: \_\_\_\_\_

Contact Name: Derek Oshiro  
 Phone: 586-5630  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-500-K (6 of 6)

Intended Purpose: Provides funding source to establish shelter housing units or social and economic self-sufficiency program for the homeless.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides funding source to establish shelter housing units or social and economic self-sufficiency program for the homeless.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Due to a change in practice of having a separate appropriation per grant award. Prior year encumbrances were paid using the original

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	2,095,084	2,366,839	2,910,014	2,910,014	2,910,014	2,910,014
Beginning Cash Balance	0	0	0	0	22,196	44,196	66,196
Revenues	0	1,083,536	1,316,840	1,496,418	1,496,000	1,496,000	1,496,000
Expenditures	0	1,083,536	1,316,840	1,474,222	1,474,000	1,474,000	1,474,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	22,196	44,196	66,196	88,196
Encumbrances	0	839,640	983,942	717,667	717,667	0	0
Unencumbered Cash Balance	0	(839,640)	(983,942)	(695,471)	(673,471)	66,196	88,196

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 236  
 Name of Fund: Public Assistance-Maintenance Assistance  
 Legal Authority: Title IV-A, 45 CFR CHAPTER II, P.L. 104-193

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-236 ( 1 of 7 )  
 SFY14 Apprn Acct. No. S-XX-232-K

Intended Purpose: Provides monetarily supports and strengthens family life, especially those of needy children, and prepares adults w/ dependent

Source of Revenues: DHHS, ACF Tanf Title IV-A.

Current Program Activities/Allowable Expenses: Determines eligibility for financial assistance programs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,126,638	2,363,426	3,558,085	3,335,167	3,335,167	3,335,167	3,335,167
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	594,591	830,954	1,347,152	1,286,764	1,444,804	1,444,804	1,444,804
Expenditures	594,591	830,954	1,347,152	1,286,764	1,444,804	1,444,804	1,444,804
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	105,599						
Unencumbered Cash Balance	(105,599)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 236  
 Name of Fund: Supplemental Nutrition Assistance Program  
 Legal Authority: SNAP Act 1964, Title 7, Chapter II, C

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-236 ( 2 of 7 )  
 SFY14 Apprn Acct. No.: S-XX-236-K

Intended Purpose: Improves diets of low-income households by supplementing food purchasing power of eligible low-income families through the

Source of Revenues: USDA - FNS - SNAP

Current Program Activities/Allowable Expenses: Determines eligibility for food stamps assistance program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,126,638	14,022,747	17,516,123	28,635,657	28,635,657	28,635,657	28,635,657
Beginning Cash Balance	7,325	777	23	0	0	0	0
Revenues	5,726,953	9,134,537	9,362,389	13,588,621	14,500,000	14,500,000	14,500,000
Expenditures	5,733,501	9,135,290	9,362,412	13,588,621	14,500,000	14,500,000	14,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	777	23	0	0	0	0	0
Encumbrances	1,085,574						
Unencumbered Cash Balance	(1,084,797)	23	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 236  
 Name of Fund: Medical Assistance Program  
 Legal Authority: Sec. 1102, 49 Stat. 647 ( 42 U.S.C 1302 )

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-236 ( 3 of 7 )  
 SFY14 Apprn Acct. No. S-XX-234-K

Intended Purpose: Provides financial assistance to state for payments of medical assistance on behalf of cash assistance recipients and other

Source of Revenues: DHHS, CMS, Title XIX.

Current Program Activities/Allowable Expenses: Determines eligibility for financial assistance for payment of medical assistance on behalf of cash

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,126,638	1,126,739	175,000	175,000	175,000	175,000	175,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	2,162,666	995,993	5,082	1,812	125,000	125,000	125,000
Expenditures	2,162,666	995,993	5,082	1,812	125,000	125,000	125,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	403,424						
Unencumbered Cash Balance	(403,424)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 236  
 Name of Fund: Temporary Assistance for Needy Families (TANF)  
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF) N  
 Appropriation Acct. No. S-13-236 ( 4 of 7 )  
 SFY14 Apprn Acct. No. S-XX-231-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,126,638	6,496,341	7,859,506	10,929,528	10,929,528	10,929,528	10,929,528
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	4,876,585	3,739,803	3,742,977	3,429,888	4,968,697	4,968,697	4,968,697
Expenditures	4,876,585	3,739,803	3,742,977	3,429,888	4,968,697	4,968,697	4,968,697
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	554,910						
Unencumbered Cash Balance	(554,910)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 236  
 Name of Fund: Child Care Development Fund (CCDF)  
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF) N  
 Appropriation Acct. No. S-13-236 ( 5 of 7 )  
 SFY14 Apprn Acct. No. S-XX-230-K

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Provide child care subsidies, resource and referral services to qualified recipients, administrative and other operating costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,126,638	130,739	214,092	266,776	266,776	266,776	266,776
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	162,785	40,247	33,784	44,025	77,949	77,949	77,949
Expenditures	162,785	40,247	33,784	44,025	77,949	77,949	77,949
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	10,319						
Unencumbered Cash Balance	(10,319)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 236  
 Name of Fund: Refugee Resettlement Program  
 Legal Authority: Act 1980, SEC 414 (a) (6)

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-236 ( 6 of 7 )  
 SFY14 Apprn Acct. No. S-XX-501-K

Intended Purpose: Assist Hawaii's low-income, immigrant & refugee populations to overcome and alleviate workforce barriers to economic

Source of Revenues: DHHS - Administration for Children and Families, Refugee Program.

Current Program Activities/Allowable Expenses: Determine eligibility for Refugee Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,126,638	3,592	16,500	32,460	32,460	32,460	32,460
Beginning Cash Balance		0	0	0	0	0	0
Revenues	474	1,139	8,753	725	4,528	4,528	4,528
Expenditures	474	1,139	8,753	725	4,528	4,528	4,528
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	174						
Unencumbered Cash Balance	(174)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 236  
 Name of Fund: Low Income Home Energy Assistance Program (LIHEAP)  
 Legal Authority: P.L. 97-35

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-236 ( 7 of 7 )  
 SFY14 Apprn Acct. No.: S-XX-296-K

Intended Purpose: Provides for cash payments to households that meet income and categorical qualifications.

Source of Revenues: DHHS - Administration for Children and Families - Low Income Home Energy Assistance Program

Current Program Activities/Allowable Expenses: Provides funds for Low Income Home Energy Assistance Program (LIHEAP) payments.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,126,638	1,300	6,500	1,000	1,000	1,000	1,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	105,599						
Unencumbered Cash Balance	(105,599)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 237  
 Name of Fund: Employment and Training Program  
 Legal Authority: P.L. 99-198

Contact Name: Lance Tanaka  
 Phone: 586-5645  
 Fund type (MOF) N  
 Appropriation Acct. No. S-545-K  
eff FY 15 appr S-206 & S-545

Intended Purpose: Assist food stamp recipients in gaining skills, training, or experience that will increase their ability to obtain un-subsidized employment.

Source of Revenues: U. S. Department of Agriculture - Food and Nutrition Service  
 Food Stamp Employment and Training

Current Program Activities/Allowable Expenses: Provision of employability assessment and employment plan development services. Placement in educational components and in employment. The latter activity is stressed and may occur concurrently with the provision of other program services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			624,809	1,119,584	665,000	665,000	665,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues			170,225	409,945	665,000	665,000	665,000
Expenditures			170,225	409,945	665,000	665,000	665,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			76,022	328,882	0	0	0
Unencumbered Cash Balance	0	0	(76,022)	(328,882)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 237  
 Name of Fund: Employment and Training Program  
 Legal Authority: P.L. 99-198

Contact Name: Lance Tanaka  
 Phone: 586-5645  
 Fund type (MOF) N  
 Appropriation Acct. No. S-206-K  
eff FY 15 appr S-206 & S-545

Intended Purpose: Assist food stamp recipients in gaining skills, training, or experience that will increase their ability to obtain un-subsidized employment.

Source of Revenues: U. S. Department of Agriculture - Food and Nutrition Service  
 Food Stamp Employment and Training

Current Program Activities/Allowable Expenses: Provision of employability assessment and employment plan development services. Placement in educational components and in employment. The latter activity is stressed and may occur concurrently with the provision of other program services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	699,734	699,734	307,742	307,742	307,742	307,742	307,742
Beginning Cash Balance	0	0	0	1,140	1,140	0	0
Revenues	552,445	687,448	566,250	254,741	254,741	254,741	254,741
Expenditures	552,445	687,448	565,110	254,741	255,881	254,741	254,741
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	1,140	1,140	0	0	0
Encumbrances	75,140	149,802	0	0	0	0	0
Unencumbered Cash Balance	(75,140)	(149,802)	1,140	1,140	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Child Welfare Services  
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF) N  
 Appropriation Acct. No. S-249-K  
 FY13 appro no. S-207-K (1 of 14)

Intended Purpose:

Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Foster Care Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,146,283	11,982,086	16,210,000	22,823,252	22,823,252	22,823,252	22,823,252
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	12,288,079	10,462,388	10,883,841	11,985,824	16,661,606	16,661,606	16,661,606
Expenditures	12,288,079	10,462,388	10,883,841	11,985,824	16,661,606	16,661,606	16,661,606
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	638,279	195,658	112,723	0	0	0	0
Unencumbered Cash Balance	(638,279)	(195,658)	(112,723)	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Child Welfare Services  
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF) N  
 Appropriation Acct. No. S-255-K  
 FY13 appro no. S-207-K (2 of 14)

Intended Purpose:

Strengthen and improve the federally supported programs for adoption assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Assistance Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue and expenditure went up were due to increase in penetration rate.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,146,283	710,875	529,000	1,161,195	1,161,195	1,161,195	1,161,195
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	428,678	412,322	477,847	593,550	892,900	892,900	892,900
Expenditures	428,678	412,322	477,847	593,550	892,900	892,900	892,900
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Child Welfare Services  
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF) N  
 Appropriation Acct. No. S-281-K  
 FY13 appro no. S-207-K (3 of 14)

**Intended Purpose:**

Strengthen and improve the federally supported programs for guardianship assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Guardianship Assistance Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue and expenditure went up were due to increase in penetration rate.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,146,283	247,762	150,300	330,471	330,471	330,471	330,471
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	127,134	108,906	140,870	253,210	260,000	260,000	260,000
Expenditures	127,134	108,906	140,870	253,210	260,000	260,000	260,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Child Welfare Services  
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF) N  
 Appropriation Acct. No. S-502-K  
 FY13 appro no. S-207 (4 of 14)

**Intended Purpose:**

Strengthen and improve the federally supported programs to encourage adoptions of children with special needs and encourage support for the family.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Incentive Program)

Current Program Activities/Allowable Expenses: To strengthen & improve the federally supported programs for adoption of needy and dependent children

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 revenue and expenditure went up due to: did not meet the minimum requirement to receive the incentive award.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,146,283	158,398	516,400	400,000	400,000	400,000	400,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	24,225	0	176,000	0	150,000	400,000	400,000
Expenditures	24,225	0	176,000	0	150,000	400,000	400,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Child Welfare Services  
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-288-K  
 FY13 appro no.: S-207-K (5 of 14)

Intended Purpose: Determination and redetermination of a child's eligibility for the Hawaii Medquest Plan.

Source of Revenues: Title XIX (CMS)

Current Program Activities/Allowable Expenses: Administrative support to the Medical Assistance Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: We are expected more kids to be qualified for medicaid determination/redetermination for child welfare services.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,146,283	119,000	188,000	317,359	317,359	317,359	317,359
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	57,338	114,507	118,970	113,566	229,800	229,800	229,800
Expenditures	57,338	114,507	118,970	113,566	229,800	229,800	229,800
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Child Welfare Services  
 Legal Authority P.L. 93-647 Title XX SSA

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF) N  
 Appropriation Acct. No. S-207-K (6 of 14)

Intended Purpose:

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families  
 Title XX (Social Services Block Grant)

Current Program Activities/Allowable Expenses: Provide income eligible and current recipients of public assistance an array of services which will effectively assist these persons as prescribed on public welfare. Services are oriented toward achievement thru agency staff or thru purchase of services

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,146,283	16,407,545	20,555,125	25,507,768	25,507,768	25,507,768	25,507,768
Beginning Cash Balance	3,060,370	4,139,335	3,327,936	4,759,077	2,785,367	0	0
Revenues	12,460,431	12,010,408	16,720,793	8,732,719	8,700,000	8,700,000	8,700,000
Expenditures	11,381,466	12,821,807	15,289,652	10,706,429	11,485,367	8,700,000	8,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	4,139,335	3,327,936	4,759,077	2,785,367	0	0	0
Encumbrances	3,101,098	403,322	2,822,277	1,672,075			
Unencumbered Cash Balance	1,038,237	2,924,614	1,936,800	1,113,292	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Child Welfare Services  
 Legal Authority: Title IVB, Social Security Act

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No. S-240-K (7 of 14)  
prior to SY 2014 appn is S-207

Intended Purpose:

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families - Title IVB (Child Welfare)

Current Program Activities/Allowable Expenses: Establishes, extends and strengthens services provided by state and local public welfare programs for development & preventative or protective services which will prevent the neglect, abuse, exploitation or delinquency of children

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,146,283	16,407,545	1,125,000	2,106,050	1,125,000	1,125,000	1,125,000
Beginning Cash Balance	(48,542)	(500,828)	(425,592)	(262,913)	569,866	0	0
Revenues	779,932	1,263,544	676,523	2,157,167	1,125,000	1,125,000	1,125,000
Expenditures	1,232,218	1,188,308	513,844	1,324,388	1,694,866	1,125,000	1,125,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	(500,828)	(425,592)	(262,913)	569,866	0	0	0
Encumbrances		52,725					
Unencumbered Cash Balance	(500,828)	(478,317)	(262,913)	569,866	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Child Welfare Services  
 Legal Authority: Title IVB, Social Security Act

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No. S-247-K (8 of 14)  
prior to FY 2014 appn is S-207

Intended Purpose:

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families - Title IVB Part 2 (Family Preservation & Support)

Current Program Activities/Allowable Expenses: Fund planning and design of a continuum of services responsive to the diverse needs of children and families and establishment of community-based family support (preventive services) and family preservation (services for families at risk or in crisis) services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,146,283	1,138,962	985,000	1,758,372	1,044,346	1,044,346	1,044,346
Beginning Cash Balance	(261,345)	(246,299)	(321,524)	(304,250)	51,310	0	0
Revenues	684,918	490,174	950,942	1,419,830	993,036	1,044,346	1,044,346
Expenditures	669,872	565,399	933,668	1,064,270	1,044,346	1,044,346	1,044,346
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	(246,299)	(321,524)	(304,250)	51,310	0	0	0
Encumbrances	75,233	14,749	295,369	218,978			
Unencumbered Cash Balance	(321,532)	(336,273)	(599,619)	(167,668)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Child Welfare Services  
 Legal Authority: Title IVB, Social Security Act

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No. S-246-K (9 of 14)  
prior to FY 2014 appn is S-207

Intended Purpose:

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families - Title IVB Part 2 (Family Preservation & Support-Caseworker Visitation)

Current Program Activities/Allowable Expenses: Fund planning and design of a continuum of services responsive to the diverse needs of children and families and establishment of community-based family support (preventive services) and family preservation (services for families at risk or in crisis) services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,146,283	98,275	65,000	124,549	65,784	65,784	65,784
Beginning Cash Balance		0	0	1	2	0	0
Revenues		39,347	57,297	61,263	65,782	65,784	65,784
Expenditures		39,347	57,296	61,262	65,784	65,784	65,784
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	0	0	1	2	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	1	2	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Child Welfare Services  
 Legal Authority: P.L. 92-272 Title IVE, Section 477

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No. S-248-K (10 of 14)  
prior to FY 2014 appn is S-207

Intended Purpose:

Source of Revenues: U.S. Department of Health & Human Services - Independent Living Initiative

Current Program Activities/Allowable Expenses: Assist youth in foster care, ages 16-21, make the transition to independent living.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,146,283	500,000	500,000	870,805	500,000	500,000	500,000
Beginning Cash Balance	6,100	(37,415)	(37,411)	(37,084)	567	0	0
Revenues	349,194	180,165	550,541	487,887	500,000	500,000	500,000
Expenditures	392,709	180,161	550,214	450,236	500,567	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	(37,415)	(37,411)	(37,084)	567	0	0	0
Encumbrances	9,328	24,920	208,569	42,413			
Unencumbered Cash Balance	(46,743)	(62,331)	(245,653)	(41,846)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Child Welfare Services  
 Legal Authority: P.L. 92-272

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No. S-239-K (11 of 14)  
prior to FY 2014 appn is S-207

Intended Purpose:

Source of Revenues: U.S. Department of Health & Human Services - Education Voucher and Training

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaing reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,146,283	119,038	115,777	212,796	126,545	126,545	126,545
Beginning Cash Balance	(10,171)	(26,472)	(26,472)	(26,472)	0	0	0
Revenues	141,044	96,389	49,249	103,346	126,545	126,545	126,545
Expenditures	157,345	96,389	49,249	76,874	126,545	126,545	126,545
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	(26,472)	(26,472)	(26,472)	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	(26,472)	(26,472)	(26,472)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Child Development-Child Abuse and Neglect Basic State Grant, Part 1  
 Legal Authority: P.L. 100-294

Contact Name: Lance Tanaka  
 Phone: 586-5645  
 Fund type (MOF) N  
 Appropriation Acct. No. S-242-K (12 of 14)

Intended Purpose: Financial support for demonstration projects designed to prevent, identify and treat child abuse and neglect.

Source of Revenues: U.S. Department of Health and Human Services - Administration for Children and Families  
 Child Abuse Prevention and Treatment Act

Current Program Activities/Allowable Expenses: Case finding & outreach; child abuse/neglect investigation; 24-hour crisis intervention; homebased placement prevention; case management; multi-disciplinary team diagnostic consultation; individual & family counseling; group treatment; intra-family sex abuse treatment; mothers & infants-at-risk treatment; permanency planning & adoption; setting of licensing standards for child caring & child placing organizations; recruiting, training, certifying, re-certifying, monitoring & supporting foster parents & relative caregivers.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,146,283	471,368	191,100	492,065	191,000	191,000	191,000
Beginning Cash Balance	1	1	1	4	4	4	4
Revenues	68,125	171,870	26,109	0	191,000	191,000	191,000
Expenditures	68,125	171,870	26,106	0	191,000	191,000	191,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	1	1	4	4	4	4	4
Encumbrances	27,574	26,109	0	0	0	0	0
Unencumbered Cash Balance	(27,573)	(26,108)	4	4	4	4	4

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Children's Justice Act  
 Legal Authority: P.L. 100-294

Contact Name: Lance Tanaka  
 Phone: 586-5645  
 Fund type (MOF) P  
 Appropriation Acct. No. S-508-K (13 of 14)

Intended Purpose: To improve legal and administrative, civil and criminal proceedings relating to the investigation and prosecution of child abuse cases; to create model programs for testing innovative approaches; to reform state laws to provide protection for children.

Source of Revenues: U.S. Department of Health and Human Services - Administration for Children and Families  
 Children's Justice Act Grant

Current Program Activities/Allowable Expenses: Case finding & outreach; child abuse/neglect investigation; 24-hour crisis intervention; homebased placement prevention; case management; multi-disciplinary team diagnostic consultation; individual & family counseling; group treatment; intra-family sex abuse treatment; mothers & infants-at-risk treatment; permanency planning & adoption; setting of licensing standards for child caring & child placing organizations; recruiting, training, certifying, re-certifying, monitoring & supporting foster parents & relative caregivers.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,146,283	322,207	140,400	342,234	140,400	140,400	140,400
Beginning Cash Balance	(13,812)	(13,812)	(13,812)	(13,812)	(13,812)	0	0
Revenues	89,289	58,056	71,408	117,722	106,000	106,000	106,000
Expenditures	89,289	58,056	71,408	117,722	92,188	106,000	106,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	(13,812)	(13,812)	(13,812)	(13,812)	0	0	0
Encumbrances	8,664	0	0	0	0	0	0
Unencumbered Cash Balance	(22,476)	(13,812)	(13,812)	(13,812)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Family Violence Prevention and Services  
 Legal Authority: P.L. 100-294

Contact Name: Lance Tanaka  
 Phone: 586-5645  
 Fund type (MOF) N  
 Appropriation Acct. No. S-284-K (14 of 14)

Intended Purpose: Assist states in their efforts for preventing family violence and to carry out coordination, research, training, technical assistance, documentation and evaluation activities.

Source of Revenues: U.S. Department of Health and Human Services - Administration for Children and Families  
 Family Violence Prevention and Services State Grants

Current Program Activities/Allowable Expenses: Case finding & outreach; child abuse/neglect investigation; 24-hour crisis intervention; homebased placement prevention; case management; multi-disciplinary team diagnostic consultation; individual & family counseling; group treatment; intra-family sex abuse treatment; mothers & infants-at-risk treatment; permanency planning & adoption; setting of licensing standards for child caring & child placing organizations; recruiting, training, certifying, re-certifying, monitoring & supporting foster parents & relative caregivers.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,146,283	2,242,515	1,114,100	1,962,066	1,114,100	1,114,100	1,114,100
Beginning Cash Balance	(47,073)	(47,708)	(35,181)	(33,030)	(26,076)	(0)	(0)
Revenues	785,718	762,073	745,232	785,691	841,000	841,000	841,000
Expenditures	786,353	749,546	743,081	778,737	814,924	841,000	841,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	(47,708)	(35,181)	(33,030)	(26,076)	(0)	(0)	(0)
Encumbrances	102,385	109,128	220,387	25,342			
Unencumbered Cash Balance	(150,093)	(144,309)	(253,417)	(51,418)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 302  
 Name of Fund: Child Care Development Fund (CCDF)  
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-215-K (1 of 3)

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Supportive services, resource and referral services to qualified recipients, administrative and other operating costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,822,280	10,883,987	10,966,433	11,177,045	11,177,045	11,177,045	11,177,045
Beginning Cash Balance	1,380,429	584,128	769,681	3,099,069	440,029	0	0
Revenues	4,068,751	6,243,845	10,335,066	5,410,245	5,500,000	5,500,000	5,500,000
Expenditures	8,132,704	7,903,133	8,005,678	8,069,285	5,940,029	5,500,000	5,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	3,267,652	1,844,841		0	0	0	0
Ending Cash Balance	584,128	769,681	3,099,069	440,029	0	0	0
Encumbrances	2,643,748	2,664,126	4,286,602				
Unencumbered Cash Balance	(2,059,620)	(1,894,445)	(1,187,533)	440,029	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 302  
 Name of Fund: Head Start Collaboration Grant  
 Legal Authority: 42USC 9801 ET SEQ

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-215-K (2 of 3)

Intended Purpose: Facilitate collaboration among Head Start agencies and entities that carry out activities to benefit low-income children from birth to school entry; provide a structure and process for the Office of Head Start, and implement and improve policies and practices.

Source of Revenues: DHHS - Administration for Children and Families, Office of Head Start

Current Program Activities/Allowable Expenses: Personnel costs, administrative costs, and travel costs to carry out the work activities of the Head Start Collaboration Office.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	140,412	10,599					
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	129,813	10,599					
Expenditures	129,813	10,599					
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0					
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 302  
 Name of Fund: Head Start State Advisory Council Grant (ARRA)  
 Legal Authority: ARRA Act of 2009, P.L. 111-5

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-202-K (3 of 3)

Intended Purpose: Establish a State Advisory Council on Early Childhood Education and Care.

Source of Revenues: DHHS - Administration for Children and Families, Head Start Discretionary Grant

Current Program Activities/Allowable Expenses: Services to improve coordination and collaboration among early childhood education and care programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	664,277	366,843					
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	297,434	366,843					
Expenditures	297,434	366,843					
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0					
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2014 Legislature

Department: HMS  
 Prog ID(s): HMS303  
 Name of Fund: Child Placement Board & Related Client  
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-289-K

**Intended Purpose:**

Strengthen and improve the federally supported programs for foster care of needy and dependent children.

**Source of Revenues:**

Title IV-E, Social Security Act (ACF \_ Foster Care Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide and adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

**Purpose of Proposed Ceiling Increase (if applicable):**

Variances: Revenue and expenditure went up were due to increase in room & board rate by age group and increase in IV-E eligible children & FMAP is higher for FY2016 and expected to be the same for the future years.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,095,666	3,122,071	3,981,000	5,156,620	5,156,620	5,156,620	5,156,620
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	2,389,259	2,813,886	3,746,959	3,703,077	4,000,000	4,000,000	4,000,000
Expenditures	2,389,259	2,813,886	3,746,959	3,703,077	4,000,000	4,000,000	4,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HMS  
 Prog ID(s): HMS303  
 Name of Fund: Child Placement Board & Related Client  
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF) N  
 Appropriation Acct. No. S-203-K

Intended Purpose:

Strengthen and improve the federally supported programs for adoption assistance of needy and dependent children.

Source of Revenues:

Title IV-E, Social Security Act (ACF \_ Adoption Assistance Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide and adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue and expenditure went up were due to increase in room & board rate by age group & FMAP rate higher for FY2016 and anticipated to be the same for future years..

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,095,666	16,558,521	18,449,626	20,118,034	20,118,034	20,118,034	20,118,034
Beginning Cash Balance	0	0	0	(0)	(0)	(0)	(0)
Revenues	13,565,908	12,691,985	14,070,150	15,266,341	15,760,000	15,760,000	15,760,000
Expenditures	13,565,908	12,691,985	14,070,150	15,266,341	15,760,000	15,760,000	15,760,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	(0)	(0)	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	0	0	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HMS  
 Prog ID(s): HMS303  
 Name of Fund: Child Placement Board & Related Client  
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF) N  
 Appropriation Acct. No. S-512-K  
 FY13 appro no. S-203-k

**Intended Purpose:**

Strengthen and improve the federally supported programs for guardianship assistance of needy and dependent children.

**Source of Revenues:**

Title IV-E, Social Security Act (ACF \_ Guardianship Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide and adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

**Purpose of Proposed Ceiling Increase (if applicable):**

Variances: Revenue and expenditure went up were due to increase in room & board rate age group and increase in IV-E eligible children & FMAP rate is higher for FY 2016 and expected to be the same for future years.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,095,666	1,285,170	1,520,263	1,934,982	1,934,982	1,934,982	1,934,982
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	742,461	948,907	1,297,255	1,542,423	1,540,000	1,540,000	1,540,000
Expenditures	742,461	948,907	1,297,255	1,542,423	1,540,000	1,540,000	1,540,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HMS  
 Prog ID(s): HMS 303  
 Name of Fund: Child Placement Board & Related Client  
 Legal Authority: Title IV-E, Social Security Act - ARRA

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF) N  
 Appropriation Acct. No. S-205-K

**Intended Purpose:**

Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Supplements - Title IV-E, Social Security Act (ACF - Foster Care and Adoption Assistance)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: ARRA ended June 2011.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	29,428.00	2,576	0	0	0	0	0
Expenditures	29,428.00	2,576	-	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HMS  
 Prog ID(s): HMS 303  
 Name of Fund: Child Placement Board & Related Client  
 Legal Authority: Title IV-E, Social Security Act - ARRA

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF) N  
 Appropriation Acct. No. S-285-K

**Intended Purpose:**

Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Supplements - Title IV-E, Social Security Act (ACF - Foster Care and Adoption Assistance)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: ARRA ended June 2011.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	3,891.00	1,274	0	0	0	0	0
Expenditures	3,891.00	1,274	-	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 305  
 Name of Fund: Child Care Development Fund (CCDF)  
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-225-K

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Child care subsidies and assistance payments to qualified recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,530,754	38,530,754	38,530,754	38,530,754	38,530,754	38,530,754	38,530,754
Beginning Cash Balance	7,832,474	7,347,561	18,648,875	34,620,490	33,342,508	30,842,508	28,342,508
Revenues	19,964,821	29,458,341	28,209,102	5,732,631	5,000,000	5,000,000	5,000,000
Expenditures	16,668,034	16,069,313	12,237,487	7,010,613	7,500,000	7,500,000	7,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(3,781,700)	(2,087,714)		0	0	0	0
Ending Cash Balance	7,347,561	18,648,875	34,620,490	33,342,508	30,842,508	28,342,508	25,842,508
Encumbrances	0	0	0				
Unencumbered Cash Balance	7,347,561	18,648,875	34,620,490	33,342,508	30,842,508	28,342,508	25,842,508

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 601  
 Name of Fund: Adult Community Care Services  
 Legal Authority: P.L. 93-647 Title XX SSA

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-221-K

Intended Purpose:

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families  
 Title XX (Social Services Block Grant)

Current Program Activities/Allowable Expenses: Provide income eligible and current recipients of public assistance an array of services which will effectively prevent premature institutionalization of elderly/disabled adults; can be provided thru agency staff or thru purchase of services

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,952,073	2,984,228	3,491,919	3,324,405	725,550	725,550	725,550
Beginning Cash Balance	(53,423)	(87,725)	(108,646)	254,041	365,732	(0)	(0)
Revenues	543,036	483,547	1,413,666	649,389	725,550	725,550	725,550
Expenditures	577,338	504,468	1,050,979	537,698	1,091,282	725,550	725,550
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	(87,725)	(108,646)	254,041	365,732	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	(87,725)	(108,646)	254,041	365,732	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 601  
 Name of Fund: Adult Community Care Services  
 Legal Authority: Sec 1102, 49 stat, 647 (42 US 1302)

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-292-K  
prior to FY 2014 appn is S-221

Intended Purpose:

Source of Revenues: U.S. Department of Health & Human Services - Centers for Medicare & Medicaid Services - Medical Title XIX

Current Program Activities/Allowable Expenses: Provide financial assistance for payment of medical assistance on behalf of cash assistance recipients and other medically needy, who except for income and resources are categorically eligible.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,952,073	463,587	463,587	48,163	48,163	48,163	48,163
Beginning Cash Balance	423,889	284,496	250,577	262,955	12,380	(0)	(0)
Revenues	293,110	414,004	72,621	(212,026)	0	0	0
Expenditures	432,503	447,923	60,243	38,549	12,380	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	284,496	250,577	262,955	12,380	(0)	(0)	(0)
Encumbrances	92,514						
Unencumbered Cash Balance	191,982	250,577	262,955	12,380	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 601  
 Name of Fund: Adult Community Care Services  
 Legal Authority: P.L. 93-113 Title II Sec 211

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-504-K  
prior to FY 2014 appn is S-221

Intended Purpose:

Source of Revenues: Corporation for National & Community Service - Senior Companion Program

Current Program Activities/Allowable Expenses: Volunteer opportunities with stipends for low-income senior citizens age 60 or over to provide supportive services based on a care plan to service older adults with health-related problems in their own homes.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,952,073	465,534	420,873	554,241	400,089	400,089	400,089
Beginning Cash Balance	(82,978)	0	7,180	33,777	86,186	0	0
Revenues	514,773	400,089	350,844	329,124	400,089	400,089	400,089
Expenditures	431,795	392,909	324,247	276,715	486,275	400,089	400,089
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	0	7,180	33,777	86,186	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	7,180	33,777	86,186	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 601  
 Name of Fund: Adult Community Care Services  
 Legal Authority: P.L. 93-113 Title II Sec 211

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-509-K  
prior to FY 2014 appn is S-221

Intended Purpose:

Source of Revenues: Corporation for National & Community Service - Foster Grandparent Program

Current Program Activities/Allowable Expenses: Provide part-time volunteer opportunities with stipends for low-income persons age 60 or over. To render supportive person-to-person services in health, education, welfare and related residential and other settings to children having exceptional needs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,952,073	556,768	456,768	574,486	456,768	456,768	456,768
Beginning Cash Balance	42,156	40,095	39,494	68,419	103,360	0	0
Revenues	475,859	456,768	401,545	439,396	456,768	456,768	456,768
Expenditures	477,920	457,369	372,620	404,455	560,128	456,768	456,768
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	40,095	39,494	68,419	103,360	0	0	0
Encumbrances	16,000						
Unencumbered Cash Balance	24,095	39,494	68,419	103,360	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 601  
 Name of Fund: Adult Community Care Services  
 Legal Authority: Domestic Volunteer Service Act of 1973, (42 USC Chapter 22)

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-221-K

Intended Purpose:

Source of Revenues: Corporation for National & Community Service - Retired Senior & Volunteer Program

Current Program Activities/Allowable Expenses: To assist in carrying out a national service program as authorized by the Domestic Volunteer Service Act of 1973, as amended (42 USC, Chapter 22).

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,952,073						
Beginning Cash Balance	(32,890)	0	0	0	0	0	0
Revenues	32,890						
Expenditures	0						
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 601  
 Name of Fund: Adult Community Care Services  
 Legal Authority: P.L. 16-579

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-221-K

Intended Purpose:

Source of Revenues: Subgrant from Dept of the Attorney General for the Financial Exploitation Program

Current Program Activities/Allowable Expenses: Investigate reported incidents of financial exploitation and utilize the skills of an investigator/auditor to evaluate financial documents/records and to refer appropriate cases to law enforcement agencies for criminal prosecution

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,952,073						
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0						
Expenditures	0						
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

voca  
 Department: HMS  
 Prog ID(s): HMS 601  
 Name of Fund: Adult Community Care Services  
 Legal Authority: P.L. 16-579

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): N  
 Appropriation Acct. No. S-510-K  
prior to FY 2014 appn is S-221

Intended Purpose:

Source of Revenues: Subgrant from the Dept of the Attorney General for the Case Management for Elderly Victims of Crimes

Current Program Activities/Allowable Expenses: Improve services to elderly victims of abuse

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,952,073	241,370	217,905	296,056			
Beginning Cash Balance	(7,303)	(2,213)	40,095	39,325	57,833	0	0
Revenues	152,207	238,259	215,261	240,322	0	0	0
Expenditures	147,117	195,951	216,031	221,814	57,833	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	(2,213)	40,095	39,325	57,833	0	0	0
Encumbrances							
Unencumbered Cash Balance	(2,213)	40,095	39,325	57,833	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 601  
 Name of Fund: Respite Companion Program  
 Legal Authority: Older Americans Act

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-528-K  
prior to FY 2014 appn is S-318

Intended Purpose:

Source of Revenues: Subgrant from the State Department of Labor & Industrial Relations

Current Program Activities/Allowable Expenses: Limited personal care services to frail elderly clients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	366,525	217,718	200,844	286,511	210,069	210,069	210,069
Beginning Cash Balance	72,245	12,365	13,766	83,444	139,181	89,181	39,181
Revenues	203,033	72,877	180,855	203,222	200,000	200,000	200,000
Expenditures	262,913	71,476	111,177	147,485	250,000	250,000	239,181
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	12,365	13,766	83,444	139,181	89,181	39,181	0
Encumbrances	8,000						
Unencumbered Cash Balance	4,365	13,766	83,444	139,181	89,181	39,181	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 888  
 Name of Fund: Commission on the Status of Women  
 Legal Authority: Act 147, SLH 2005

Contact Name: Lance Tanaka  
 Phone: 586-5645  
 Fund type (MOF) T  
 Appropriation Acct. No. T-926-K

Intended Purpose:

Source of Revenues: Donations

Current Program Activities/Allowable Expenses: Expenditures that support the programs for the Commission on the Status of Women and assist the commission on becoming more self-sufficient.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,364	3,364	3,364	3,364	3,364	3,364	3,364
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,364	3,364	3,364	3,364	3,364	3,364	3,364
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	3,364	3,364	3,364	3,364	3,364	3,364	3,364

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2014 Legislature

Department: HMS  
 Prog ID(s): HMS 901  
 Name of Fund: Child Welfare Services  
 Legal Authority: Title IVE, Social Security Act

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF) N  
 Appropriation Acct. No. S-244-K

Intended Purpose: Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Foster Care, Adoption Assistance & Guardianship Assistance Programs)

Current Program Activities/Allowable Expenses: Administration of programs for foster care of needy and dependent children, adoption of children with special needs and guardianship assistance.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY 15 revenue and expenditure went up were due to: 1. paid for S-14-249 lapse due to allotment shortage, 2. anticipating increase in expenditure for future year due to the implementation of the Waiver Project.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,685,886	1,578,190	1,570,539	3,330,530	3,330,530	3,330,530	3,330,530
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	284,576	467,109	1,444,339	650,838	1,975,154	1,975,154	1,975,154
Expenditures	284,576	467,109	1,444,339	650,838	1,975,154	1,975,154	1,975,154
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	12,840	19,706	0				
Unencumbered Cash Balance	(12,840)	(19,706)	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HMS  
 Prog ID(s): HMS 901  
 Name of Fund: Child Welfare Services  
 Legal Authority: Title IVE, Social Security Act

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF) N  
 Appropriation Acct. No. S-513-K  
 FY appro no. S-244-k

Intended Purpose: Strengthen and improve the federally supported programs for adoption assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Assistance Program)

Current Program Activities/Allowable Expenses: Administration of programs for adoption of needy and dependent children, adoption of children with special needs and guardianship assistance.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue and expenditure went up were due to increase in penetration rate & expected this trend to be continued for the future years.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,685,886	52,009	56,000	89,810	89,810	89,810	89,810
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	26,067	27,853	33,055	42,995	64,685	64,685	64,685
Expenditures	26,067	27,853	33,055	42,995	64,685	64,685	64,685
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HMS  
 Prog ID(s): HMS 901  
 Name of Fund: Child Welfare Services  
 Legal Authority: Title IVE, Social Security Act

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF) N  
 Appropriation Acct. No. S-514-K  
 FY13 appro no. S-244-k

Intended Purpose: Strengthen and improve the federally supported programs for guardianship assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Guardianship Assistance Program)

Current Program Activities/Allowable Expenses: Administration of programs for guardianship of needy and dependent children.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue and expenditure went up were due to increase in penetration rate.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,685,886	15,191	25,567	30,733	30,733	30,733	30,733
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	7,493	7,881	21,800	20,749	21,800	21,800	21,800
Expenditures	7,493	7,881	21,800	20,749	21,800	21,800	21,800
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HMS  
 Prog ID(s): HMS 901  
 Name of Fund: Child Welfare Services  
 Legal Authority: Title IVE, Social Security Act

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF) N  
 Appropriation Acct. No. S-515  
 FY13 appro no. S-244

Intended Purpose: Determination and redetermination of a child's eligibility for the Hawaii Medquest Plan.

Source of Revenues: Title XIX (CMS)

Current Program Activities/Allowable Expenses: Administrative support to the Medical Assistance Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 revenue and expenditure went up were due to: More kids qualified for medicaid determination/redetermination for Child Welfare Services and this trend are expected to be continue for future years.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,685,886	15,149	16,000	30,021	30,021	30,021	30,021
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	41	10,091	15,261	8,566	22,059	22,059	22,059
Expenditures	41	10,091	15,261	8,566	22,059	22,059	22,059
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 903  
 Name of Fund: Public Assistance-Maintenance Assistance  
 Legal Authority: Title IV-A, 45 CFR Chapter II, P.L. 94-23.

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-227-K ( 1 of 11 )  
 SFY14 Apprn acct. No.: S-XX-530-K

Intended Purpose: Provides financial aid to state for aid to families with dependent children, assistance to repatriated U.S. nationals and administration of those programs.

Source of Revenues: DHHS, ACF Tanf Title IV-A

Current Program Activities/Allowable Expenses: Administrative support to public welfare programs, including program development, training, investigative and recovery services.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	64,201,266	3,168,181	4,466,729	5,342,127	5,342,127	5,342,127	5,342,127
Beginning Cash Balance		0	0	0	62,271	62,271	62,271
Revenues	2,619,503	1,910,029	4,996,219	2,939,880	2,745,037	2,745,037	2,745,037
Expenditures	2,619,503	1,910,029	4,996,219	2,877,609	2,745,037	2,745,037	2,745,037
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	62,271	62,271	62,271	62,271
Encumbrances	218,124	539,896	413,686	674,119			
Unencumbered Cash Balance	(218,124)	(539,896)	(413,686)	(611,848)	62,271	62,271	62,271

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 903  
 Name of Fund: Supplemental Nutrition Assistance Program  
 Legal Authority: SNAP Act 1964, Title 7, Chapter II, C

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-227-K ( 2 of 11 )  
 SFY14 Apprn acct. No.: S-XX-227-K

Intended Purpose: Improves diets of low-income households by supplementing food purchasing power of eligible low-income families through the

Source of Revenues: USDA - FNS - SNAP

Current Program Activities/Allowable Expenses: Determines eligibility for food stamps assistance program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	64,201,266	8,310,342	13,784,515	37,530,244	37,530,244	37,530,244	37,530,244
Beginning Cash Balance	102,313	64,500	278	0	0	0	0
Revenues	4,629,797	4,330,708	6,144,967	3,954,462	4,939,799	4,939,799	4,939,799
Expenditures	4,667,610	4,394,931	6,145,245	3,954,462	4,939,799	4,939,799	4,939,799
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	64,500	278	0	0	0	0	0
Encumbrances	1,084,082	969,088	613,065	1,338,836			
Unencumbered Cash Balance	(1,019,582)	(968,811)	(613,065)	(1,338,836)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 903  
 Name of Fund: Medical Assistance Program  
 Legal Authority: Sec. 1102, 49 Stat. 647 ( 42 U.S.C 1302 )

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-227-K ( 3 of 11 )  
 SFY14 Apprn acct. No. S-XX-518-K

Intended Purpose: Provides financial assistance to states for payments of medical assistance on behalf of cash assistance recipients and other medically needy who, except for income and resources, are categorically eligible.

Source of Revenues: DHHS, CMS, Title XIX.

Current Program Activities/Allowable Expenses: Determines eligibility for financial assistance for payment of medical assistance on behalf of cash assistance.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	64,201,266	1,056,900	323,145	482,854	482,854	482,854	482,854
Beginning Cash Balance		0	0	0	0	0	0
Revenues	801,051	410,907	21,297	11,004	50,000	50,000	50,000
Expenditures	801,051	410,907	21,297	11,004	50,000	50,000	50,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	68,498	4,429					
Unencumbered Cash Balance	(68,498)	(4,429)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 903  
 Name of Fund: Temporary Assistance for Needy Families (TANF)  
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-227-K (4 of 11)  
 SFY14 Apprn acct. No. S-XX-529-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	64,201,266	68,964,054	81,546,988	151,822,753	143,564,138	143,564,138	143,564,138
Beginning Cash Balance	199,772	(40,631)	24,684	8,375	37,074	37,074	37,074
Revenues	14,251,278	14,873,608	10,244,943	11,541,665	15,000,000	15,000,000	15,000,000
Expenditures	14,491,681	15,004,620	10,261,253	11,512,966	15,000,000	15,000,000	15,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(40,631)	(171,643)	8,375	37,074	37,074	37,074	37,074
Encumbrances	8,105,406	5,484,833	9,836,890	6,709,014			
Unencumbered Cash Balance	(8,146,037)	(5,656,476)	(9,828,515)	(6,671,940)	37,074	37,074	37,074

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 903  
 Name of Fund: Child Care Development Fund (CCDF)  
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-227-K (5 of 11)  
 SFY14 Apprn acct. No. S-XX-516-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	64,201,266	443,980	684,615	813,135	813,135	813,135	813,135
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	347,840	192,693	140,917	62,687	210,000	210,000	210,000
Expenditures	347,840	192,693	140,917	62,687	210,000	210,000	210,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	29,096	15,626	19,968	33,732			
Unencumbered Cash Balance	(29,096)	(15,626)	(19,968)	(33,732)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 903  
 Name of Fund: Low Income Home Energy Assistance Program ( LIHEAP )  
 Legal Authority: P.L. 97-35

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-227-K ( 6 of 11 )  
 SFY14 Apprn acct. No.: S-XX-519-K

Intended Purpose: Provides for cash payments to households that meet income and categorical qualifications.

Source of Revenues: DHHS - ACF - Low Income Home Energy Assistance Program

Current Program Activities/Allowable Expenses: Provides funds for Low Income Home Energy Assistance Program ( LIHEAP ) payments.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	64,201,266	776,230	1,086,895	1,524,267	1,524,267	1,524,267	1,524,267
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	359,139	207,790	388,308	423,023	440,000	440,000	440,000
Expenditures	359,139	207,790	388,308	423,023	440,000	440,000	440,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	87,741	30,447	324,114	22,443			
Unencumbered Cash Balance	(87,741)	(30,447)	(324,114)	(22,443)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 903  
 Name of Fund: Employment and Training Program  
 Legal Authority: P.L. 99 - 198

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-227-K ( 7 of 11 )  
 SFY14 Apprn acct. No.: S-XX-517-K

Intended Purpose: Assist food stamp recipients in gaining skills, training, or experience that will increase their ability to obtain un-subsidized employment.

Source of Revenues: USDA - FNS, SNAP Employment and Training

Current Program Activities/Allowable Expenses: Provision of employability assessment and employment plan development services. Placement in educational components.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	64,201,266	89,900	154,437	168,312	168,312	168,312	168,312
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	16,365	20,237	25,391	18,880	30,000	30,000	30,000
Expenditures	16,365	20,237	25,391	18,880	30,000	30,000	30,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			82	72			
Unencumbered Cash Balance	0	0	(82)	(72)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 903  
 Name of Fund: Public Assistance-Maintenance Assistance  
 Legal Authority: Title IV-A, 45 CFR Chapter II, P.L. 94-23.

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-227-K ( 8 of 11 )  
 SFY14 Apprn acct. No. S-XX-531-K

Intended Purpose: Provides financial aid to state for aid to families with dependent children, assistance to repatriated U.S. nationals and administration of those programs.

Source of Revenues: DHHS, ACF Tanf Title IV-A

Current Program Activities/Allowable Expenses: Administrative support to public welfare programs, including program development, training, investigative and recovery services.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	64,201,266	296,464	614,771	698,550	698,550	698,550	698,550
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	404,454	165,365	626,515	29,158	228,049	228,049	228,049
Expenditures	404,454	165,365	626,515	29,158	228,049	228,049	228,049
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			19,671	31,801			
Unencumbered Cash Balance	0	0	(19,671)	(31,801)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 903  
 Name of Fund: SNAP - Obesity Prevention  
 Legal Authority: P.L. 111 - 296

Contact Name: Lance Tanaka  
 Phone: 586-5645  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-520-K ( 9 of 11 )

Intended Purpose: To improve the likelihood that persons eligible for SNAP will make healthy food choices within a limited budget and choose physically self-sufficiency via an array of community - based programs & services. in addition to nutrition education.

Source of Revenues: USDA - FNS, SNAP

Current Program Activities/Allowable Expenses: Develop a nutrition education program for Hawaii's low-income households to improve making healthy food choices and choosing physically active lifestyles.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	64,201,266	988,000	1,192,073	1,190,888	1,190,888	1,190,888	1,190,888
Beginning Cash Balance	0	0	0	0	1,409	0	0
Revenues	0	243,412	541,699	672,006	954,450	954,450	954,450
Expenditures	0	243,412	541,699	670,597	955,859	954,450	954,450
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	1,409	0	0	0
Encumbrances		604,751	785,962	238,050			
Unencumbered Cash Balance	0	(604,751)	(785,962)	(236,640)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 903  
 Name of Fund: Refugee Resettlement Program  
 Legal Authority: Act 1980, SEC 414 (a) (6)

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-227-K ( 10 of 11 )  
 SFY14 Apprn acct. No.: S-XX-506-K

Intended Purpose: Assist Hawaii's low-income, immigrant & refugee populations to overcome and alleviate workforce barriers to economic self-sufficiency via an array of community - based programs & services.

Source of Revenues: DHHS - Administration for Children and Families, Refugee Resettlement Program.

Current Program Activities/Allowable Expenses: Determine eligibility for Refugee Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	64,201,266	598	3,000	3,000	3,000	3,000	3,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	36	89	514	25	1,000	1,000	1,000
Expenditures	36	89	514	25	1,000	1,000	1,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	18	18	0				
Unencumbered Cash Balance	(18)	(18)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 903  
 Name of Fund: Temporary Assistance for Needy Families (TANF)  
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-227-K (11 of 11)  
 SFY14 Apprn acct. No.: S-XX-532-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	1,453,785	1,750,256	1,750,256	1,750,256	1,750,256
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	489,858	438,631	550,000	550,000	550,000
Expenditures	0	0	489,858	438,631	550,000	550,000	550,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			1,875	12,480			
Unencumbered Cash Balance	0	0	(1,875)	(12,480)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 904  
 Name of Fund: Public Assistance-Maintenance Assistance  
 Legal Authority: Title IV-A, 45 CFR Chapter II, P.L. 94-23.

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-291-K ( 1 of 11 )  
 SFY14 Apprn Acct. No. S-XX-534-K

Intended Purpose: Provides financial aid to state for aid to families with dependent children, assistance to repatriated U.S. nationals and administration of these program.

Source of Revenues: DHHS, ACF Tanf Title IV-A.

Current Program Activities/Allowable Expenses: Administrative support for the Aid to Families with Dependent Children.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,758,532	298,411	322,152	532,854	532,854	532,854	532,854
Beginning Cash Balance		0	0	0	0	0	0
Revenues	252,055	201,179	190,598	186,257	243,392	243,392	243,392
Expenditures	252,055	201,179	190,598	186,257	243,392	243,392	243,392
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	2,928						
Unencumbered Cash Balance	(2,928)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 904  
 Name of Fund: Supplemental Nutrition Assistance Program  
 Legal Authority: SNAP Act 1964, Title 7, Chapter II, C

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-291-K ( 2 of 11 )  
 SFY14 Apprn Acct. No. S-XX-291-K

Intended Purpose: Improves diets of low-income households by supplementing food purchasing power of eligible low-income families through the issuance of food stamps.

Source of Revenues: USDA - FNS - SNAP

Current Program Activities/Allowable Expenses: Administrative support to the Supplemental Nutrition Assistance Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,758,532	1,334,892	1,581,039	2,800,205	2,800,205	2,800,205	2,800,205
Beginning Cash Balance		0	0	(0)	(0)	(0)	(0)
Revenues	792,435	946,851	1,214,536	932,814	1,277,919	1,277,919	1,277,919
Expenditures	792,435	946,851	1,214,536	932,814	1,277,919	1,277,919	1,277,919
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	(0)	(0)	(0)	(0)	(0)
Encumbrances	139,078						
Unencumbered Cash Balance	(139,078)	0	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 904  
 Name of Fund: Medical Assistance Program  
 Legal Authority: Sec. 1102, 49 Stat. 647 ( 42 U.S.C 1302 )

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-291-K ( 3 of 11 )  
 SFY14 Apprn Acct. No. S-XX-523-K

Intended Purpose: Provides financial assistance to states for payments of medical assistance on behalf of cash assistance recipients and other medically needy who, except for income and resources, are categorically eligible.

Source of Revenues: DHHS, CMS, Title XIX.

Current Program Activities/Allowable Expenses: Administrative support to the Medical Assistance Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,758,532	801,833	410,000	718,221	718,221	718,221	718,221
Beginning Cash Balance		0	0	0	0	0	0
Revenues	536,339	458,480	166,285	270,174	393,758	393,758	393,758
Expenditures	536,339	458,480	166,285	270,174	393,758	393,758	393,758
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	71,830						
Unencumbered Cash Balance	(71,830)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 904  
 Name of Fund: Low Income Home Energy Assistance Program ( LIHEAP )  
 Legal Authority: P.L. 97-35

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-291-K ( 4 of 11 )  
 SFY14 Apprn Acct. No.: S-XX-524-K

Intended Purpose: Provides for cash payments to households that meet income and categorical qualifications.

Source of Revenues: DHHS - ACF - Low Income Home Energy Assistance Program.

Current Program Activities/Allowable Expenses: Administrative support to the Low Income Home Energy Assistance Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,758,532	4,737	19,998	40,921	40,921	40,921	40,921
Beginning Cash Balance		0	0	0	0	0	0
Revenues	2,291	3,238	571	965	5,206	5,206	5,206
Expenditures	2,291	3,238	571	965	5,206	5,206	5,206
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	358						
Unencumbered Cash Balance	(358)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 904  
 Name of Fund: Employment and Training Program  
 Legal Authority: P.L. 99-198

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-291-K ( 5 of 11 )  
 SFY14 Apprn Acct. No. S-XX-522-K

Intended Purpose: Assist food stamp recipients in gaining skills, training, or experience that will increase their ability to obtain un-subsidized employment.

Source of Revenues: USDA - FNS, SNAP Employment and Training.

Current Program Activities/Allowable Expenses: Provision of employability assessment and employment plan development services. Placement in educational components.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,758,532	19,332	22,173	42,482	42,482	42,482	42,482
Beginning Cash Balance		0	0	0	0	0	0
Revenues	3,206	1,220	3,609	5,129	7,091	7,091	7,091
Expenditures	3,206	1,220	3,609	5,129	7,091	7,091	7,091
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0						
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 904  
 Name of Fund: Temporary Assistance for Needy Families (TANF)  
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-291-K ( 6 of 11 )  
 SFY14 Apprn Acct. No. S-XX-533-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,758,532	40,996	127,895	195,586	195,586	195,586	195,586
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	8,582	21,132	43,777	36,544	81,370	81,370	81,370
Expenditures	8,582	21,132	43,777	36,544	81,370	81,370	81,370
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0						
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 904  
 Name of Fund: Child Care Development Fund (CCDF)  
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-XX-291-K (7 of 11)  
 SFY14 Apprn Acct. No.: S-XX-521-K

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Provide child care subsidies, resource and referral services to qualified recipients, administrative and other operating costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,758,532	19,710	62,708	116,991	116,991	116,991	116,991
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	3,423	9,933	17,366	20,345	39,110	39,110	39,110
Expenditures	3,423	9,933	17,366	20,345	39,110	39,110	39,110
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	407						
Unencumbered Cash Balance	(407)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 904  
 Name of Fund: Refugee Resettlement Program  
 Legal Authority: Act 1980, SEC 414 (a) (6)

Contact Name: Emily Ung  
 Phone: 586-5637  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-291-K ( 8 of 11 )  
 SFY14 Apprn Acct. No. S-XX-507-K

Intended Purpose: Assist Hawaii's low-income, immigrant & refugee populations to overcome and alleviate workforce barriers to economic

Source of Revenues: DHHS - Administration for Children and Families, Refugee Program.

Current Program Activities/Allowable Expenses: Determine eligibility for Refugee Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,758,532	785	1,500	1,500	1,500	1,500	1,500
Beginning Cash Balance		0	0	0	0	0	0
Revenues	0	0	500	0	785	785	785
Expenditures	0	0	500	0	785	785	785
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 904  
 Name of Fund: Child Welfare Services  
 Legal Authority: Title IVE, Social Security Act

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-291-K ( 9 of 11 )  
For fy 14 new Appro S-14-525

Intended Purpose: Strengthen and improve the federally supported programs for adoption assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act ( ACF - Adoption Assistance ).

Current Program Activities/Allowable Expenses: Administration of programs for adoption assistance on needy and dependent children and adoption of children with special needs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 revenue and expenditure went up were due to: More kids qualified for medicaid determination/redetermination for Child Welfare Services and this trend are expected to be continue for future years.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,758,532	3,218	3,400	15,945	15,945	15,945	15,945
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	2,378	491	2,765	6,599	12,000	12,000	12,000
Expenditures	2,378	491	2,765	6,599	12,000	12,000	12,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 904  
 Name of Fund: Child Welfare Services  
 Legal Authority: Title IVE, Social Security Act

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF): N  
 Appropriation Acct. No. S-XX-291-K ( 10 of 11 )  
For fy 14 new Appro S-14-526

Intended Purpose: Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act ( ACF - Foster Care).

Current Program Activities/Allowable Expenses: Administration of programs for foster care on needy and dependent children.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 revenue and expenditure went up were due to: More kids qualified for medicaid determination/redetermination for Child Welfare Services and this trend are expected to be continue for future years.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,758,532	34,151	63,911	115,789	115,789	115,789	115,789
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	49,089	13,817	51,591	61,128	83,000	83,000	83,000
Expenditures	49,089	13,817	51,591	61,128	83,000	83,000	83,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	8,000	1,303					
Unencumbered Cash Balance	(8,000)	(1,303)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 904  
 Name of Fund: Child Welfare Services  
 Legal Authority: Title IVE, Social Security Act

Contact Name: Kathy Law  
 Phone: 586-5764  
 Fund type (MOF): N  
 Appropriation Acct. No. S-XX-291-K ( 11 of 11 )  
For fy 14 new Appro S-14-527

Intended Purpose: Strengthen and improve the federally supported programs for guardianship assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act ( ACF - Guardianship Assistance ).

Current Program Activities/Allowable Expenses: Administration of programs for guardianship assistance on needy and dependent children.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 revenue and expenditure went up were due to: More kids qualified for medicaid determination/redetermination for Child Welfare Services and this trend are expected to be continue for future years.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,758,532	736	1,050	4,017	4,017	4,017	4,017
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	555	137	852	2,718	2,800	2,800	2,800
Expenditures	555	137	852	2,718	2,800	2,800	2,800
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0					
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 224  
 Name of Fund: Dev/Implementation of Hsg First Pilot Pgm  
 Legal Authority: Act 191, SLH 2010

Contact Name: Derek Oshiro  
 Phone: 586-5630  
 Fund type (MOF): B  
 Appropriation Acct. No. S-11-338-K

Intended Purpose: Helping the chronically homeless from the streets and shelters into housing.

Source of Revenues: State funds.

Current Program Activities/Allowable Expenses: Develop and implement a housing first pilot program through collaboration between the Hawaii Public Housing Authority and the Department of Human Services for chronically homeless individuals and to provide housing and treatment services for the chronically homeless individuals.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Balance of the funds (\$25,686) returned in SFY 2016.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,000,000	25,686	25,686	25,686	0	0	0
Revenues	0	0	0	(25,686)	0	0	0
Expenditures	974,314	0	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	25,686	25,686	25,686	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	25,686	25,686	25,686	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 224  
 Name of Fund: Shelter Plus Care Program  
 Legal Authority: Act 191, SLH 2010

Contact Name: Derek Oshiro  
 Phone: 586-5630  
 Fund type (MOF): B  
 Appropriation Acct. No. S-11-356-K

Intended Purpose: Grants for rental assistance, in combination with supportive services from other sources to assist hard-to serve homeless persons  
 With disabilities.

Source of Revenues: General funds.

Current Program Activities/Allowable Expenses: Provide rental assistance for homeless people with disabilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Balance of the funds (\$6,646) returned in SFY 2016.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	14,662	6,646	6,646	6,646	0	0	0
Revenues	0	0	0	(6,646)	0	0	0
Expenditures	8,016	0	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,646	6,646	6,646	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	6,646	6,646	6,646	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Blueprint for Chnge Pgm for Walk-in Ctrs  
 Legal Authority: Act 191, SLH 2010 and Act 25,LH 2011

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF) S  
 Appropriation Acct. No. S-11-352

Intended Purpose:

Source of Revenues: Financed by moneys appropriated from the Emergency and Budget Reserve Fund

Current Program Activities/Allowable Expenses: This was established for the Neighborhood Place Walk-In Centers.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Balance of the funds (\$15,980) returned in SFY 2016.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,980						
Beginning Cash Balance	15,980	15,980	15,980	15,980	0	0	0
Revenues	0		0	(15,980)	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	15,980	15,980	15,980	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	15,980	15,980	15,980	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 601  
 Name of Fund: Hale Mahaolu for Personal Care Services  
 Legal Authority: Act 191, SLH 2010 and Act 25, LH 2011

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF) B  
 Appropriation Acct. No. S-11-355

Intended Purpose:

Source of Revenues: Financed by moneys appropriated from the Emergency and Budget Reserve Fund

Current Program Activities/Allowable Expenses: This was established to provide subsidized personal care services for low-income elders in Maui County.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Balance of the funds (\$4,059) returned in SFY 2016.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	35,000						
Beginning Cash Balance	35,000	4,059	4,059	4,059	0	0	0
Revenues	0		0	(4,059)	0	0	0
Expenditures	30,941	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	4,059	4,059	4,059	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	4,059	4,059	4,059	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 238  
 Name of Fund: Disability Determination  
 Legal Authority: Social Security Act, Title II 1954 and Title XVI 1972

Contact Name: Wallace Ma  
 Phone: 586-5631  
 Fund type (MOF) N  
 Appropriation Acct. No. S-238-K

**Intended Purpose:**

To determine the eligibility of applicants for disability insurance and supplemental security income by establishing whether or not an applicant is totally disabled for a period of not less than 12 consecutive months for substantial employment.

**Source of Revenues:**

Social Security Administration Disability Programs

**Current Program Activities/Allowable Expenses:**

In addition to determining eligibility for benefits, the program refers appropriate clients for vocational rehabilitation.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,106,475	8,801,190	7,325,287	11,929,977	11,929,977	11,929,977	11,929,977
Beginning Cash Balance	43,295	40,353	37,167	15,936	1,502	0	0
Revenues	5,754,237	5,828,614	6,295,247	6,523,547	6,655,000	6,655,000	6,655,000
Expenditures	5,757,179	5,831,800	6,316,478	6,537,981	6,656,502	6,655,000	6,655,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	40,353	37,167	15,936	1,502	0	0	0
Encumbrances			511,943	429,639			
Unencumbered Cash Balance	40,353	37,167	(496,007)	(428,137)	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: \_\_\_\_\_  
 Prog ID(s): \_\_\_\_\_  
 Name of Fund: \_\_\_\_\_  
 Legal Authority \_\_\_\_\_

Contact Name: \_\_\_\_\_  
 Phone: \_\_\_\_\_  
 Fund type (MOF) \_\_\_\_\_  
 Appropriation Acct. No. \_\_\_\_\_

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 802  
 Name of Fund: Independent Living Sevices for Older Blind Individuals  
 Legal Authority: Rehabilitation Act of 1973

Contact Name: Wallace Ma  
 Phone: 586-5631  
 Fund type (MOF) N  
 Appropriation Acct. No. S-282-K (eff FY 2014, S-294-K)  
 (1 of 7)

Intended Purpose:

Provide services to older blind individuals to help them attain their goals of living independently

Source of Revenues:

U.S. Department of Education Grant - Independent Living Services for Older Individuals who are Blind

Current Program Activities/Allowable Expenses:

Provide independent living skills training in money management, travel, meal preparation, self care, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	22,766,157	225,000	225,000	394,868	394,868	394,868	394,868
Beginning Cash Balance	0	0	93,920	39,192	22,707	0	0
Revenues	91,761	217,220	64,873	68,430	225,000	225,000	225,000
Expenditures	91,761	123,300	119,601	84,915	247,707	225,000	225,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	93,920	39,192	22,707	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	93,920	39,192	22,707	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 802  
 Name of Fund: Rehabilitation Services and Facilities - Hawaii  
 Legal Authority: P.L. 100-407

Contact Name: Wallace Ma  
 Phone: 586-5631  
 Fund type (MOF) N  
 Appropriation Acct. No. S-282-K (eff FY 2014, S-297-K)  
 (2 of 7)

Intended Purpose:

Supportive technology system for persons with disabilities

Source of Revenues:

U.S. Department of Education Grant - State Grants for Assistive Technology

Current Program Activities/Allowable Expenses:

Development of a statewide model system to deliver technology-related services and devices to person with disabilities

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	22,766,157	438,108	439,901	582,275	582,275	582,275	582,275
Beginning Cash Balance	0	0	1	81,047	0	0	0
Revenues	477,915	396,188	505,486	428,787	438,000	438,000	438,000
Expenditures	477,915	396,187	424,440	509,834	438,000	438,000	438,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	1	81,047	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	1	81,047	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 802  
 Name of Fund: Social Security Program Income  
 Legal Authority: P.L. 97-35 OBRA 1981

Contact Name: Wallace Ma  
 Phone: 586-5631  
 Fund type (MOF) N  
 Appropriation Acct. No. S-241-K, S-282-K  
 (3 of 7)

Intended Purpose:

Reimbursement funds are received from the Social Security Administration for rehabilitating Social Security clients

Source of Revenues:

Social Security Administration

Current Program Activities/Allowable Expenses:

The current activities include: 1) the processing of applications, 2) the development of rehabilitation plans, 3) placement of individuals in rehabilitation programs and 4) placement of individuals in jobs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	22,766,157	34,472,552	11,358,372	14,569,009	14,569,009	14,569,009	14,569,009
Beginning Cash Balance	9,040	67,723	27,368	32,492	160,302	0	0
Revenues	59,762	4,441	32,492	286,977	0	0	0
Expenditures	1,079	44,796	27,368	159,167	160,302	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	67,723	27,368	32,492	160,302	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	67,723	27,368	32,492	160,302	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 802  
 Name of Fund: Rehabilitation Services and Facilities - Supported Employment  
 Legal Authority: P.L. 99-506

Contact Name: Wallace Ma  
 Phone: 586-5631  
 Fund type (MOF) N  
 Appropriation Acct. No. S-282-K (eff FY 2014, S-295-K)  
 (4 of 7)

Intended Purpose:

Provide services to individuals with severe disabilities in attaining job opportunities and employment in the private sector, which would not normally have been offered to them.

Source of Revenues:

U.S. Department of Education Grant - Supported Employment services for individuals with severe disabilities.

Current Program Activities/Allowable Expenses:

Place and train persons with the most severe disabilities to enable them to maintain competitive employment in integrated settings

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	22,766,157	300,000	300,000	361,400	361,400	361,400	361,400
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	178,562	338,100	290,100	407,513	300,000	300,000	300,000
Expenditures	178,562	338,100	290,100	407,513	300,000	300,000	300,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 802  
 Name of Fund: Rehabilitation Services and Facilities - Independent Living  
 Legal Authority: P.L. 99-506

Contact Name: Wallace Ma  
 Phone: 586-5631  
 Fund type (MOF) N  
 Appropriation Acct. No. S-282-K (eff FY 2014, S-293-K)  
 (5 of 7)

Intended Purpose:

Provide services to severely disabled persons so they may attain their goal of functioning independently outside of institutions.

Source of Revenues:

U.S. Department of Education Grant - Independent Living - State Grants

Current Program Activities/Allowable Expenses:

1. Process applications for services; 2. Assess needs; 3. Develop service plan; 4. Provide services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	22,766,157	311,766	305,350	385,350	385,350	385,350	385,350
Beginning Cash Balance	0	0	0	52,346	0	0	0
Revenues	293,525	227,197	175,654	166,776	312,000	312,000	312,000
Expenditures	293,525	227,197	123,308	219,122	312,000	312,000	312,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	52,346	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	0	52,346	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 802  
 Name of Fund: Rehabilitation Training  
 Legal Authority: P.L. 93-112

Contact Name: Wallace Ma  
 Phone: 586-5631  
 Fund type (MOF) N  
 Appropriation Acct. No. S-282-K (eff FY 2014, S-298-K)  
 (6 of 7)

**Intended Purpose:**

To increase the number of personnel trained in providing vocational rehabilitation services to handicapped individuals.

**Source of Revenues:**

U.S. Department of Education Grant - Rehabilitation training - State Vocational Rehabilitation Unit In-Service Training

**Current Program Activities/Allowable Expenses:**

Plan for and provide training to improve the program's capacity to meet the employment needs of persons with disabilities.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	22,766,157	17,757	18,883	11,216	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	21,646	5,501	18,994	9,163	0	0	0
Expenditures	21,646	5,501	18,994	9,163	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 802  
 Name of Fund: Rehabilitation Services and Facilities - Basic Support  
 Legal Authority: P.L. 95-602

Contact Name: Wallace Ma  
 Phone: 586-5631  
 Fund type (MOF) N  
 Appropriation Acct. No. S-282-K  
 (7 of 7)

**Intended Purpose:**

Provide vocational rehabilitation services to persons with mental and physical handicaps to achieve gainful employment.

**Source of Revenues:**

U.S. Department of Education Grant - Rehabilitation services - Vocational Rehabilitation grants to States

**Current Program Activities/Allowable Expenses:**

The current activities include: 1) the processing of applications, 2) the development of rehabilitation plans, 3) placement of individuals in rehabilitation programs and 4) placement of individuals in jobs.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	22,766,157	34,472,552	11,358,372	34,072,377	34,072,377	34,072,377	34,072,377
Beginning Cash Balance	2,534,049	2,515,520	1,668,987	2,710,525	2,289,901	0	0
Revenues	13,565,998	13,225,945	13,694,472	13,900,558	12,700,000	12,700,000	12,700,000
Expenditures	13,584,527	14,072,478	12,652,934	14,321,182	14,989,901	12,700,000	12,700,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,515,520	1,668,987	2,710,525	2,289,901	0	0	0
Encumbrances			400,948	47,344			
Unencumbered Cash Balance	2,515,520	1,668,987	2,309,577	2,242,557	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 802  
 Name of Fund: Blind Shop Revolving & Handicraft Fund  
 Legal Authority: HRS 347-12

Contact Name: Wallace Ma  
 Phone: 586-5631  
 Fund type (MOF) W  
 Appropriation Acct. No. S-301-K

**Intended Purpose:**

The fund is to be used for workshop or home labor purposes for the blind that will benefit by such experience. Moneys in the fund may be expended for materials, machinery, and payment of compensation. All proceeds derived from the sale of products or home labor shall be deposited in the fund.

**Source of Revenues:**

Sale of products or home labor.

**Current Program Activities/Allowable Expenses:**

The program operates the Ho'opono workshop for the blind. Participants make or package items for resale to fund the operation of the workshop.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,170,950	1,330,200	1,330,200	1,330,200			
Beginning Cash Balance	71,330	71,330	71,330	71,330	71,330	0	0
Revenues							
Expenditures					71,330		
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	71,330	71,330	71,330	71,330	0	0	0
Encumbrances							
Unencumbered Cash Balance	71,330	71,330	71,330	71,330	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Child Welfare Services  
 Legal Authority: Act 232/94 HRS 346-7.5

Contact Name: Carmen Hollister  
 Phone: 586-5643  
 Fund type (MOF) B  
 Appropriation Acct. No. S-314-K

Intended Purpose:

Source of Revenues: DOH - fees remitted for issuance of marriage license and fees remitted for certified copies of birth, marriage and death certificates. (Spouse and Child Abuse)

Current Program Activities/Allowable Expenses: Funding used for services that support or provide spouse or child abuse intervention or prevention

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,007,587	1,007,587	1,007,587	1,007,587	1,007,587	1,007,587	1,007,587
Beginning Cash Balance	526,878	431,712	760,853	1,138,549	413,535	413,535	413,535
Revenues	549,131	407,579	491,138	412,103	1,137,000	1,137,000	1,137,000
Expenditures	644,297	78,438	113,442	1,137,116	1,137,000	1,137,000	1,137,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	431,712	760,853	1,138,549	413,535	413,535	413,535	413,535
Encumbrances		5,236		80,000			
Unencumbered Cash Balance	431,712	755,617	1,138,549	333,535	413,535	413,535	413,535

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 802  
 Name of Fund: Randolph Sheppard Account (Blind Vendors)  
 Legal Authority: Act 070/91

Contact Name: Wallace Ma  
 Phone: 586-5631  
 Fund type (MOF) W  
 Appropriation Acct. No. S-350-K

Intended Purpose:

Income from vending machines on federal property to be disbursed to blind vendors and for upgrading vending facility program.

Source of Revenues:

Income from vending machines on Federal property.

Current Program Activities/Allowable Expenses:

Assist blind vendors and promote vending machine sites in government buildings.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,396,473	1,330,200	1,330,200	1,330,232	1,330,232	1,330,232	1,330,232
Beginning Cash Balance	767,625	948,171	1,245,030	1,271,017	1,252,303	1,252,303	1,252,303
Revenues	791,269	922,584	742,395	625,224	240,000	240,000	240,000
Expenditures	610,723	625,725	716,408	643,938	240,000	240,000	240,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	948,171	1,245,030	1,271,017	1,252,303	1,252,303	1,252,303	1,252,303
Encumbrances			32				
Unencumbered Cash Balance	948,171	1,245,030	1,270,985	1,252,303	1,252,303	1,252,303	1,252,303

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 301  
 Name of Fund: Geist Foundation  
 Legal Authority: N/A

Contact Name: Lynn Sugiyama  
 Phone: 586-5647  
 Fund type (MOF) T  
 Appropriation Acct. No. T-916-K

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	545	545	545	545	545	545	545
Revenues	0	0	0	0	0	0	0
Expenditures		0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	545	545	545	545	545	545	545
Encumbrances							
Unencumbered Cash Balance	545	545	545	545	545	545	545

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): Not Applicable  
 Name of Fund: Special Deposits  
 Legal Authority: Administratively established

Contact Name: Derek Oshiro  
 Phone: 586-5630  
 Fund type (MOF) T  
 Appropriation Acct. No. T-903-K

Intended Purpose: To hold Supplemental Security Income (SSI) and Retirement Survivor and Disability Insurance (RSDI) in trust for foster children until they reach the age of majority.

Source of Revenues: Supplemental Security Income (SSI) and Retirement Survivor and Disability Insurance (RSDI) from the Social Security Administration on behalf of children in foster care.

Current Program Activities/Allowable Expenses: Cost of maintenance of foster children in out-of home care under the placement responsibility of DHS.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	324,838	353,329	363,351	393,479	414,732	437,222	459,712
Revenues	45,110	30,204	82,058	42,988	50,090	50,090	50,090
Expenditures	16,619	20,182	51,930	21,735	27,600	27,600	27,600
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	353,329	363,351	393,479	414,732	437,222	459,712	482,202
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	353,329	363,351	393,479	414,732	437,222	459,712	482,202

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): Not Applicable  
 Name of Fund: SSI Dedicated Funds  
 Legal Authority: Administratively Established

Contact Name: Derek Oshiro  
 Phone: 586-5630  
 Fund type (MOF) T  
 Appropriation Acct. No. T-905-K

Intended Purpose: To hold Supplemental Security Income (SSI) and Retirement Survivor and Disability Insurance (RSDI) in trust for foster children until they reach the age of majority.  
 Source of Revenues: Supplemental Security Income (SSI) and Retirement Survivor and Disability Insurance (RSDI) from the Social Security Administration on behalf of children in foster care.  
 Current Program Activities/Allowable Expenses: Cost of maintenance of foster children in out-of-home care under the placement responsibility of DHS. Approval has to be received by the Social Security Administration before any disbursements are made.  
 Purpose of Proposed Ceiling Adjustment (if applicable):  
 Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	12,216	12,216	10,606	10,606	10,606	10,203	9,800
Revenues	0	0	0	0	0	0	0
Expenditures	0	1,610	0	0	403	403	403
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	12,216	10,606	10,606	10,606	10,203	9,800	9,397
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	12,216	10,606	10,606	10,606	10,203	9,800	9,397

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): \_\_\_\_\_  
 Name of Fund: Donations - Homeless Programs  
 Legal Authority: Act 180, SLH 2010

Contact Name: Derek Oshiro  
 Phone: 586-5630  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-XX-906-K

Intended Purpose: Operating Support - Office of Homelessness

Source of Revenues: Donations from Hawaii Community Foundation (HCF)

Current Program Activities/Allowable Expenses: Expenditures that support the Governor's Coordinator on Homelessness (Example: salaries and fringes for the Special Assistant for Real Estate Development and Program Specialist, staff travel for interagency council meetings and conferences, other expenditures including postage, copying, reports and miscellaneous).

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	80,711	22,787	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	57,924	22,787	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	22,787	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	22,787	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 802  
 Name of Fund: Donations for Sight Conservation  
 Legal Authority: HRS 347-10

Contact Name: Wallace Ma  
 Phone: 586-5631  
 Fund type (MOF) T  
 Appropriation Acct. No. T-908-K

Intended Purpose:

Donation account for sight conservation.

Source of Revenues:

Donations.

Current Program Activities/Allowable Expenses:

Expend or distribute donations, eye glasses and other services for sight conservation and for assistance to blind and visually handicapped persons.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	71,855	65,935	69,680	74,635	373,641	373,641	373,641
Revenues	6,650	11,000	16,535	300,806	5,000	5,000	5,000
Expenditures	12,570	7,255	11,580	1,800	5,000	5,000	5,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	65,935	69,680	74,635	373,641	373,641	373,641	373,641
Encumbrances							
Unencumbered Cash Balance	65,935	69,680	74,635	373,641	373,641	373,641	373,641

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 601  
 Name of Fund: Recruitment of Foster Parents (Respite Companion Svc Prog Acct)  
 Legal Authority: Section 346-14 & 346-56, HRS

Contact Name: Carmen Hollister  
 Phone: 586-5643  
 Fund type (MOF) T  
 Appropriation Acct. No. T-915-K

Intended Purpose:

Source of Revenues: Financed by federal funds from the U.S. Department of Labor

Current Program Activities/Allowable Expenses: This was established to pay part time respite companions their accumulated vacation credits in the event that this grant is discontinued.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	6,110	6,110	6,110	6,110	6,110	6,110	6,110
Revenues	0		0	0	0	0	0
Expenditures		0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	6,110	6,110	6,110	6,110	6,110	6,110	6,110
Encumbrances							
Unencumbered Cash Balance	6,110	6,110	6,110	6,110	6,110	6,110	6,110

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): Not Applicable  
 Name of Fund: Donations for Social Services  
 Legal Authority: Not Applicable

Contact Name: Carmen Hollister  
 Phone: 586-5643  
 Fund type (MOF) T  
 Appropriation Acct. No. T-918-K

Intended Purpose: Donations received by the Social Services Program.

Source of Revenues: Donations.

Current Program Activities/Allowable Expenses: Funds are disbursed to meet the acute emergency needs of clients who need immediate cash to purchase food or other goods or services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	287,100	402,478	557,078	763,553	907,624	907,624	907,624
Revenues	607,130	564,500	339,000	411,843	267,772	267,772	267,772
Expenditures	491,752	409,900	132,525	267,772	267,772	267,772	267,772
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	402,478	557,078	763,553	907,624	907,624	907,624	907,624
Encumbrances	112,595		81,972				
Unencumbered Cash Balance	289,883	557,078	681,581	907,624	907,624	907,624	907,624

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): Not Applicable  
 Name of Fund: Temporary Deposits-Payroll Overpayments  
 Legal Authority: Administratively Established

Contact Name: Derek Oshiro  
 Phone: 586-5630  
 Fund type (MOF) T  
 Appropriation Acct. No. T-922-K

Intended Purpose: Temporary holding account for payroll overpayments.

Source of Revenues: Reimbursements from staff who were overpaid.

Current Program Activities/Allowable Expenses: When all overpayments have been received from an employee, the funds are reimbursed to the account from which the overpayment occurred.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	41,266	42,621	43,911	54,616	49,801	51,601	53,401
Revenues	8,725	14,939	39,302	22,206	21,000	21,000	21,000
Expenditures	7,370	13,649	28,597	27,021	19,200	19,200	19,200
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	42,621	43,911	54,616	49,801	51,601	53,401	55,201
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	42,621	43,911	54,616	49,801	51,601	53,401	55,201

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 211  
 Name of Fund: Electronic Benefit Transfer (EBT) Account  
 Legal Authority: N/A

Contact Name: Derek Oshiro  
 Phone: 586-5630  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-XX-923-K

Intended Purpose: This trust account was established to accommodate the Electronic Benefit Transfer (EBT) transactions. Funds are transferred into this account when financial benefits are authorized to the Department's clients and are then transferred daily to the DHS' contractor who issues the actual benefits to the clients when they access their accounts electronically.

Source of Revenues: DHHS - ACF, TANF Grant for TANF Cash Assistance (AF-F). The general fund is the source of revenue for the TAONF (AF-State). Amounts represent combined federal and general funds. The federal portion of TANF Cash Assistance (AF-F) is included in Appropriation Account S-XX-201-K.

Current Program Activities/Allowable Expenses: Assistance payments to qualified program recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	566,537	574,737	622,098	614,095	595,698	595,698	595,698
Revenues	90,928,931	85,098,187	81,529,425	73,148,928	73,000,000	73,000,000	73,000,000
Expenditures	90,920,731	85,050,826	81,537,428	73,167,325	73,000,000	73,000,000	73,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	574,737	622,098	614,095	595,698	595,698	595,698	595,698
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	574,737	622,098	614,095	595,698	595,698	595,698	595,698

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 601  
 Name of Fund: Foster Grandparent Program Account  
 Legal Authority: N/A

Contact Name: Carmen Hollister  
 Phone: 586-5643  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-924-K

Intended Purpose:

Source of Revenues: Bretzlaff Endowment Foundation through the Hawaii Justice Foundation

Current Program Activities/Allowable Expenses: Monies used to increase the number of foster grandparents and to defray related expenses purchase food or other goods or services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	45,146	47,765	27,565	36,554	36,097	36,097	36,097
Revenues	17,000	9,000	16,740	9,250	9,707	9,707	9,707
Expenditures	14,381	29,200	7,751	9,707	9,707	9,707	9,707
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	47,765	27,565	36,554	36,097	36,097	36,097	36,097
Encumbrances			292				
Unencumbered Cash Balance	47,765	27,565	36,262	36,097	36,097	36,097	36,097

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): HMS 211, 237, 305 and 903  
 Name of Fund: HANA Electronic Benefit Transfer (EBT) Account  
 Legal Authority: N/A

Contact Name: Derek Oshiro  
 Phone: 586-5630  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-XX-925-K

Intended Purpose: This trust account was established to accommodate the Electronic Benefit Transfer (EBT) transactions. Funds are transferred into this account when financial benefits are authorized to the Department's clients and are then transferred daily to the DHS' contractor who issues the actual benefits to the clients when they access their accounts electronically.

Source of Revenues: Amounts represent combined federal and general funds. The federal portion of HMS 211 (Temporary Assistance for Needy Families) is funded by DHHS - ACF, TANF Grant and is included in Appropriation Account S-XX-201-K. HMS 237 (Supplemental Nutrition Assistance Program) is funded by the US Department of Agriculture - Food & Nutrition Service and is included in Appropriation Account S-XX-206-K. HMS 305 (Child Care Subsidy Program) is funded by DHHS - ACF, CCDF Grant and is included in Appropriation Account S-XX-225-K. HMS 903 (TANF - FTW Program) is funded by DHHS - ACF, TANF Grant and is included in Appropriation Account S-XX-227-K.

Current Program Activities/Allowable Expenses: Assistance payments to qualified program recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	103,984	37,806	44,226	57,750	72,724	72,724	72,724
Revenues	34,911,465	32,388,194	33,038,675	28,503,471	28,500,000	28,500,000	28,500,000
Expenditures	34,911,442	32,381,774	33,025,151	28,488,497	28,500,000	28,500,000	28,500,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(66,201)	0	0	0	0	0	0
Ending Cash Balance	37,806	44,226	57,750	72,724	72,724	72,724	72,724
Encumbrances							
Unencumbered Cash Balance	37,806	44,226	57,750	72,724	72,724	72,724	72,724

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HMS  
 Prog ID(s): \_\_\_\_\_  
 Name of Fund: State Commission on Fatherhood  
 Legal Authority: Act 156, SLH 2003

Contact Name: Derek Oshiro  
 Phone: 586-5630  
 Fund type (MOF): T  
 Appropriation Acct. No.: T-XX-928-K

Intended Purpose: To provide for a statewide program to promote healthy family relationships between parents and children.

Source of Revenues: Donations and TANF Grant

Current Program Activities/Allowable Expenses: Expenditures that support the programs, and contracts relating to children and families.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,130	1,922	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	208	1,922	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,922	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1,922	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: DHS Med-Quest  
 Prog ID(s): HMS 902  
 Name of Fund: Health Care Payments  
 Legal Authority: 42CFR 431 10

Contact Name: Maria Iui  
 Phone: 692-7980  
 Fund type (MOF): C  
 Appropriation Acct. No.: S-273

Intended Purpose: Provide medical assistance payments for those under Fee For Service and Manage Care

Source of Revenues: Federal Quarterly Grant Awards

Current Program Activities/Allowable Expenses: Administrative support to Medical Assistance Program.

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Contracts and claims payments for administrative support to Medical Assistance Program.

Financial Data								
	FY 2013	FY 2014	FY 2015	FY2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling								
Beginning Cash Balance						0	0	0
Revenues								
Expenditures		7,419,193			0	0	0	0
Transfers		7,419,193						
List each by JV# and date								
Net Total Transfers		0			0	0	0	0
Ending Cash Balance		0			0	0	0	0
Encumbrances		3,022,434						
Unencumbered Cash Balance	0	(3,022,434)	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance								
Amount from Bond Proceeds								
Amount Held in CODs, Escrow Accounts, or Other Investments								

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: DHS Med-Quest  
 Prog ID(s): HMS 902  
 Name of Fund: Health Care Payments  
 Legal Authority: 42CFR 431 10

Contact Name: Maria Iui  
 Phone: 692-7980  
 Fund type (MOF) C  
 Appropriation Acct. No. S-274

Intended Purpose: Provide medical assistance payments for those under Fee For Service and Manage Care

Source of Revenues: Federal Quarterly Grant Awards

Current Program Activities/Allowable Expenses: Administrative support to Medical Assistance Program.

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Contracts and claims payments for administrative support to Medical Assistance Program.

Financial Data								
	FY 2013	FY 2014	FY 2015	FY2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling								
Beginning Cash Balance						0	0	0
Revenues								
Expenditures	0	16,650,889	0	0	0	0	0	0
Transfers		16,650,889						
List each by JV# and date								
Net Total Transfers	0	0			0	0	0	0
Ending Cash Balance	0	0			0	0	0	0
Encumbrances		0						
Unencumbered Cash Balance	0	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance								
Amount from Bond Proceeds								
Amount Held in CODs, Escrow Accounts, or Other Investments								

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: DHS Med-Quest  
 Prog ID(s): HMS 401  
 Name of Fund: Health Care Payments  
 Legal Authority: 42CFR 431 10

Contact Name: MV Maramag  
 Phone: 692-7981  
 Fund type (MOF) N  
 Appropriation Acct. No. S-229

Intended Purpose: Provide medical assistance payments for those under fee for service and manage care program.

Source of Revenues: Federal quarterly grant awards, federal share from various refunds like TPL, estate/probate, drug rebate

Current Program Activities/Allowable Expenses: Make direct medical payments to providers and health care plans on behalf of the eligible recipient

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Claims/Manage care payments for prior period.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		51,466,498	0		0	0	0
Revenues		115,507,121	1,371,048,074	1,412,989,404	1,370,577,631	1,370,577,631	1,370,577,631
Expenditures	10,301,183	169,567,604	1,405,684,564	1,460,832,598	1,418,420,825	1,418,420,825	1,418,420,825
	0						
Transfers							
List each by JV# and date							
Net Total Transfers	10,301,183	2,594,046	60,467,595	47,843,194	47,843,194	47,843,194	47,843,194
Ending Cash Balance		60	25,831,105	0	0	0	0
Encumbrances		0	13,269,527				
Unencumbered Cash Balance	0	60	12,561,578	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: DHS Med-Quest  
 Prog ID(s): HMS 902  
 Name of Fund: Health Care Payments  
 Legal Authority: 42CFR 431 10

Contact Name: Maria lui  
 Phone: 692-7980  
 Fund type (MOF) N  
 Appropriation Acct. No. S-233

Intended Purpose: Provide medical assistance payments for those under Fee For Service and Manage Care

Source of Revenues: Federal Quarterly Grant Awards

Current Program Activities/Allowable Expenses: Administrative support to Medical Assistance Program.

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Contracts and claims payments for administrative support to Medical Assistance Program.

Financial Data								
	FY 2013	FY 2014	FY 2015	FY2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling								
Beginning Cash Balance						0	0	0
Revenues								
Expenditures	1,936,066	885,942	28,148,460	16,693,594	61,633,231	61,633,231	61,633,231	61,633,231
Transfers								
List each by JV# and date								
Net Total Transfers	1,936,066	829,384	24,108,313	16,741,344	61,744,893	61,744,893	61,744,893	61,744,893
Ending Cash Balance	0	79,121	145,393	58,797	0	111,662	111,662	111,662
Encumbrances	936,773	45,279	3,400,561	10,511,732				
Unencumbered Cash Balance	<b>(936,773)</b>	33,842	<b>(3,255,168)</b>	<b>(10,452,935)</b>	0	111,662	111,662	111,662

Additional Information:

Amount Req. for Bond Conveyance								
Amount from Bond Proceeds								
Amount Held in CODs, Escrow Accounts, or Other Investments								

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: DHS Med-Quest  
 Prog ID(s): HMS 401  
 Name of Fund: Health Care Payments  
 Legal Authority: \_\_\_\_\_

Contact Name: MV Maramag  
 Phone: 692-7981  
 Fund type (MOF) B  
 Appropriation Acct. No. S-372-K

Intended Purpose: Provide medical assistance payments for medicaid recipients and the uninsured.

Source of Revenues: Nursing Home Sustainability Fees

Current Program Activities/Allowable Expenses: Make direct medical payments to providers and health care plans on behalf of the eligible recipient

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0		983,724	0	0	0
Revenues		0	10,973,859				
Expenditures		0	9,990,135				0
Transfers							
List each by JV# and date							
Net Total Transfers		0				0	0
Ending Cash Balance	0	0	983,724	983,724	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	983,724	983,724	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: DHS Med-Quest  
 Prog ID(s): HMS 401  
 Name of Fund: Health Care Payments  
 Legal Authority: \_\_\_\_\_

Contact Name: MV Maramag  
 Phone: 692-7981  
 Fund type (MOF) B  
 Appropriation Acct. No. S-382

Intended Purpose: Provide medical assistance payments for medicaid recipients and the uninsured.

Source of Revenues: Hospital Sustainability fees

Current Program Activities/Allowable Expenses: Make direct medical payments to providers and health care plans on behalf of the eligible recipient

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Claims/Manage care payments for prior period.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0		0	0	0
Revenues			47,424,018				0
Expenditures			47,424,018				0
Transfers							
List each by JV# and date							
Net Total Transfers						0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2013 Legislature

Department: DHS Med-Quest  
 Prog ID(s): \_\_\_\_\_  
 Name of Fund: Trust Account  
 Legal Authority: 42CFR 431 10

Contact Name: MV Maramag  
 Phone: 692-7981  
 Fund type (MOF) Trust Fund  
 Appropriation Acct. No. T-910-K

Intended Purpose: This trust account was established as temporary holding account for checks and money  
 medical assistance programs.

Source of Revenues: Penalty for noncompliance with Medicare/Medical requirement and donations for long term care research projects.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance			1,043,782	11,505	0	0	0
Revenues							
Expenditures							
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	1,043,782	11,505	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	1,043,782	11,505	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: DHS  
 Prog ID(s): HMS-229  
 Name of Fund: HPHA Administration  
 Legal Authority : 356D-13

Contact Name: Mark Buflo  
 Phone: 832-4468  
 Fund type (MOF) W  
 Appropriation Acct. No. S-304-K

Intended Purpose: Administration of Public Housing Projects

Source of Revenues: Administration Fees

Current Program Activities/Allowable Expenses: Administration of State and Federal Public Housing Projects

Purpose of Proposed Ceiling Increase (if applicable): NA

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)				
Appropriation Ceiling	2,514,399	2,944,010	2,944,010	0	0	0	0
Beginning Cash Balance	89,005	89,005	89,005	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each by JV# and date							
Net Total Transfers (00JM5983*)			89,005				
Ending Cash Balance	89,005	89,005	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	89,005	89,005	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* return to state treasury as the account was inactive

Department: DHS  
 Prog ID(s): HMS-220  
 Name of Fund: Housing Revolving Fund  
 Legal Authority 356D-45

Contact Name: Mark Buflo  
 Phone: 832-4468  
 Fund type (MOF) W  
 Appropriation Acct. No. S-308-K

Intended Purpose: Management, Operation and Maintenance of State Low Income Housing Projects

Source of Revenues: Rentals, Fees Reimbursements and Charges

Current Program Activities/Allowable Expenses: Management, Operation and Maintenance of State Low Income Housing Projects

Purpose of Proposed Ceiling Increase (if applicable): N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,043,038	4,062,417	4,946,226	4,946,226	4,946,226	4,946,226	4,946,226
Beginning Cash Balance	810,441	576,307	444,675	747,309	721,435	721,435	721,435
Revenues	1,151,553	1,450,131	2,617,370	1,938,255	1,900,000	1,900,000	1,900,000
Expenditures	1,385,688	1,582,109	2,314,736	1,964,129	1,900,000	1,900,000	1,900,000
Transfers	0	0					
List each by JV# and date							
Net Total Transfers	0	0					
Ending Cash Balance	576,307	444,329	747,309	721,435	721,435	721,435	721,435
Encumbrances	27,374	96,645	115,606	216,332	200,000	200,000	200,000
Unencumbered Cash Balance	548,933	347,683	631,703	505,103	521,435	521,435	521,435

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2011 Legislature

Department: DHS  
 Prog ID(s): HMS-220  
 Name of Fund: Rental Housing Augmentation / Assist Revolving  
 Legal Authority 356D-45

Contact Name: Mark Buflo  
 Phone: 832-4468  
 Fund type (MOF) W  
 Appropriation Acct. No. S-332-K

Intended Purpose: Development, Operation and Maintenance of All State Rental Housing Projects

Source of Revenues: All Funds Received by the Authority Under or Pursuant to this Act and / or the Housing Act of 1949

Current Program Activities/Allowable Expenses: Operation and Maintenance of all State Rental Housing Projects

Purpose of Proposed Ceiling Increase (if applicable): NA

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,354,876	7,861,561	2,100,675	4,768,344	8,347,302	1,347,302	1,347,302
Revenues	29,499,372	10,973,537	27,777,056	32,919,398	20,000,000	20,000,000	20,000,000
Expenditures	22,992,686	16,734,423	25,109,387	29,340,440	27,000,000	20,000,000	20,000,000
Transfers	0	0					
List each by JV# and date							
SEE ATTACHED							
Net Total Transfers	0	0					
Ending Cash Balance	7,861,561	2,100,675	4,768,344	8,347,302	1,347,302	1,347,302	1,347,302
Encumbrances	9,510	9,510	9,510	9,510			
Unencumbered Cash Balance	7,852,051	2,091,165	4,758,834	8,337,792	1,347,302	1,347,302	1,347,302

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



Department: DHS  
 Prog ID(s): HPHA  
 Name of Fund: Equipment Rental  
 Legal Authority: \_\_\_\_\_

Contact Name: Mark Buflo  
 Phone: 832-4468  
 Fund type (MOF) W  
 Appropriation Acct. No. S-336-K

Intended Purpose: The offices pay rental fees into the fund, which are used to replace equipment after it is retired.

Source of Revenues: Equipment rental fees (Federal and State) and interest earned from the State of Hawaii investment pool program

Current Program Activities/Allowable Expenses: To lease and replace (purchase) equipment of HPHA's administrative and project office

Purpose of Proposed Ceiling Increase (if applicable): NA

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	767,062	728,910	706,882	725,170	736,360	742,701	500,042
Revenues	5,342	2,885	19,040	11,831	7,341	7,341	7,341
Expenditures	43,495	24,914	752	640	1,000	250,000	1,000
Transfers							
List each by JV# and date	0						
Net Total Transfers							
Ending Cash Balance	728,910	706,882	725,170	736,360	742,701	500,042	506,383
Encumbrances							
Unencumbered Cash Balance	728,910	706,882	725,170	736,360	742,701	500,042	506,383

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: DHS  
 Prog ID(s): HMS-220  
 Name of Fund: Housing for Elders Revolving Fund  
 Legal Authority: 356D-72

Contact Name: Mark Buflo  
 Phone: 832-4468  
 Fund type (MOF) W  
 Appropriation Acct. No. S-337-K

Intended Purpose: Funds are expended for management, operation and maintenance of all Elderly Housing Projects

Source of Revenues: All funds collected pursuant to this act are deposited into this fund

Current Program Activities/Allowable Expenses: Funds are expended for management, operation and maintenance of all Elderly Housing Projects

Purpose of Proposed Ceiling Increase (if applicable): NA

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriator 1267910							
Beginning Cash Balance	2,155,962	2,378,995	2,883,238	1,740,473	3,181,880	3,481,880	2,381,880
Revenues	2,306,038	2,938,059	2,432,679	4,128,184	3,500,000	2,100,000	2,100,000
Expenditures	2,083,005	2,433,817	3,575,444	2,686,776	3,200,000	3,200,000	3,200,000
Transfers	0	0					
List each by JV# and date							
Net Total Transfers	0	0					
Ending Cash Balance	2,378,995	2,883,238	1,740,473	3,181,880	3,481,880	2,381,880	1,281,880
Encumbrances	323,993	904,853	1,185,530	1,173,157	516,093	516,093	516,093
Unencumbered Cash Balance	2,055,002	1,978,385	554,943	2,008,723	2,965,787	1,865,787	765,787

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: DHS  
 Prog ID(s): HPHA  
 Name of Fund: Payroll Clearance  
 Legal Authority: 356D-14

Contact Name: Mark Buflo  
 Phone: 832-4468  
 Fund type (MOF) T  
 Appropriation Acct. No. T-912-K

Intended Purpose: Account used to reconcile payroll payment throughout HPHA

Source of Revenues: Various

Current Program Activities/Allowable Expenses: Payroll disbursement

Purpose of Proposed Ceiling Increase (if applicable): NA

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	78,675	0	0	0
Revenues	16,786,447	17,928,348	19,563,145	19,393,781	19,500,000	19,500,000	19,500,000
Expenditures	16,786,447	17,928,348	19,484,470	19,472,456	19,500,000	19,500,000	19,500,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	78,675	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: DHS  
 Prog ID(s): HPHA  
 Name of Fund: Temporary deposits- payroll  
 Legal Authority: \_\_\_\_\_

Contact Name: Mark Buflo  
 Phone: 832-4468  
 Fund type (MOF) T  
 Appropriation Acct. No. T-913-K

Intended Purpose: To serve as a temporary deposit account for payroll that is overpaid to employees at HPHA

Source of Revenues: No revenue is generated by this fund. The monies deposited into this account are strictly reimbursement from employees that have been overpaid.

Current Program Activities/Allowable Expenses: No expenses are recorded in this fund.

Purpose of Proposed Ceiling Increase (if applicable): NA

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	35,246	32,522	32,640	29,915	27,190	24,466	24,466
Revenues	3,716	2,612	11,300	0	5,000	5,000	5,000
Expenditures	6,441	2,494	2,355	0	15,000	18,000	21,000
Transfers							
List each by JV# and date	0	0					
Net Total Transfers	0	0					
Ending Cash Balance	32,522	32,640	41,584	29,915	17,190	11,466	8,466
Encumbrances							
Unencumbered Cash Balance	32,522	32,640	41,584	29,915	17,190	11,466	8,466

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HRD  
 Prog ID(s): HRD 102  
 Name of Fund: Human Resources Development Special Fund  
 Legal Authority: Act 123, SLH 2001

Contact Name: Mary Ann Teshima  
 Phone: 587-1150  
 Fund type (MOF) B  
 Appropriation Acct. No. S-17-310-P

**Intended Purpose:**

The purpose of this fund is to support and facilitate the Department's entrepreneurial initiatives, training activities, and programs for government employees.

**Source of Revenues:**

Fees assessed for services provided under entrepreneurial initiatives; participant fees for in-service training programs; and moneys directed to the Department from other sources such as gifts, grants, and awards.

**Current Program Activities/Allowable Expenses:**

The fund covers expenses related to entrepreneurial activities such as providing workers compensation claims management and premium conversion plan administration services to other agencies; developing and conducting employee training programs; and administrative costs associated with special funds.

**Purpose of Proposed Ceiling Adjustment (if applicable):** Not applicable

**Variations:** The variations are due to several factors: (a) continuation of agreements to provide workers compensation claims management and employee benefits plan administration services, (b) increase in training registrations, (c) more timely receipt of administrative fees, and (d) implementation of IT projects.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	700,000	700,000	700,000	700,000	700,000	700,000	700,000
Beginning Cash Balance	1,140,330	1,284,900	1,277,717	1,372,413	1,623,933	1,688,653	1,468,805
Revenues	230,795	226,910	207,568	323,736	191,320	53,312	53,312
Expenditures	86,225	234,093	112,872	72,216	126,600	273,160	273,160
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,284,900	1,277,717	1,372,413	1,623,933	1,688,653	1,468,805	1,248,957
Encumbrances							
Unencumbered Cash Balance	1,284,900	1,277,717	1,372,413	1,623,933	1,688,653	1,468,805	1,248,957

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2016 Legislature  
Chronic Disease Prevention and Health Promotion Division**

**INTENDED PURPOSE**

1. National Cancer Prevention and Control Programs
  - a. Breast and Cervical Cancer Control Program: Provide free breast and cervical cancer outreach, screening, diagnostic, and case management services to high-risk women aged 50 and older who are low income and are uninsured or underinsured. Funds for treatment of women who are diagnosed with cancer in this program are available through a federal and state breast and cervical cancer treatment
  - b. Comprehensive Cancer Control Program: Develop and implement a statewide, integrated comprehensive cancer plan to reduce the incidence, morbidity and mortality of cancer through prevention, early detection, treatment, rehabilitation and palliation.
  - c. Management, Leadership and Coordination: Enhance capacity for coordination and integration between HBCCCP, HCCCP, and Coordinated Chronic Disease efforts.

**SOURCE OF REVENUES:**

1. National Cancer Prevention and Control Programs (a, b, and c): CDC, Division of Cancer Prevention and Control.

**CURRENT PROGRAM ACTIVITIES/ALLOWABLE EXPENSES:**

1. National Cancer Prevention and Control Program
  - a. Breast and Cervical Cancer Control Program: Contract for statewide cancer screening and diagnostic services; monitor service providers for appropriate and timely follow-up and treatment; provide professional education to service providers and community outreach workers; provide public education materials and activities to promote early detection services; assure that women receive high quality and appropriate care through the program; maintain surveillance of population trends in the state to help plan outreach activities.
  - b. Comprehensive Cancer Control Program: Maintain the Hawaii Comprehensive Cancer Coalition; maintain the Comprehensive Cancer Surveillance System; disseminate the State Cancer Plan; maintain and facilitate the action teams identified in the State Plan to foster implementation of the plan. Support Cancer Advocacy and Awareness Initiatives.
  - c. Management, Leadership and Coordination: Collaborate across cancer control programs, and with other chronic disease programs to gain efficiency and enhance effectiveness of cancer prevention and control activities: 1) Enhance coordination and integration of long-standing cancer activities across the HCCCP and BCCCP and with other chronic disease programs; and 2) Encourage innovation to achieve greater outcomes in areas of policy, systems, and environmental change, quality-clinical preventive services, surveillance, community-clinical linkages and health-systems change.

**Report on Non-General Fund Information  
for Submittal to the 2016 Legislature  
Chronic Disease Prevention and Health Promotion Division**

**INTENDED PURPOSE**

1. Hawaii State Department of Health Healthy Hawaii Initiative
  - a. Diabetes Prevention and Control Program: Address the prevention of diabetes and its complications, with a focus on reducing disparities among high-risk populations, through collaboration with state diabetes health system partners.
  - b. Heart Disease and Stroke Prevention Program: Develop state tracking system for and develop population-based interventions for the prevention of heart disease and stroke.
  - c. Obesity and Associated Risk Factors - Nutrition and Physical Activity: Improve healthful eating, and physical activity to prevent obesity and other chronic diseases by implementing population-based strategies and interventions.
  - d. School Health: Promote the adoption of policies and systems changes in the school setting to increase healthy eating and physical activity.

**SOURCE OF REVENUES:**

1. Hawaii State Department of Health Healthy Hawaii Initiative: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

**CURRENT PROGRAM ACTIVITIES/ALLOWABLE EXPENSES:**

1. Hawaii State Department of Health Healthy Hawaii Initiative: Basic and Plus Grant from the CDC funding provides for the four program areas to work across the seven strategies below to promote:
  1. Adoption and implementation of food service guidelines/nutrition standards, which include sodium reduction.
  2. Adoption of physical education/physical activity in schools.
  3. Adoption of physical activity in early child care and education and worksites.
  4. Reporting of blood pressure and A1C measures; and initiate activities that promote clinical innovations, team-based care, and self-monitoring of blood pressure.
  5. Awareness of high blood pressure among patients.
  6. Awareness of pre-diabetes among people at high risk for type 2 diabetes.
  7. Participation in American Diabetes Association-recognized, American Association of Diabetes Educator-accredited, state-accredited/certified, and/or Stanford licensed Diabetes Self-Management Education programs.
  8. Creation of supportive nutrition environments in schools.
  9. Implementation of quality improvement processes in health systems.
  10. Use of team-based care in health systems.
  11. Use of diabetes self-management programs in community settings.
  12. Use of lifestyle intervention programs in community settings for primary prevention of type 2 diabetes.

**Report on Non-General Fund Information  
for Submittal to the 2016 Legislature  
Chronic Disease Prevention and Health Promotion Division  
Asthma Control Program**

**INTENDED PURPOSE**

1. Hawaii State Department of Health Asthma Control Program  
Expand access and awareness of evidence based asthma self-management programs, with a focus on reducing disparities among high-risk population. Through a network of partnerships, the project is dedicated to creating comprehensive and coordinated collaborations with health care systems; linking schools and communities to health care facilities; and collaborating with other DOH chronic disease programs to provide strategic communications and coordinated efforts to address patient-centered medical homes and health care reimbursement.

**SOURCE OF REVENUES:**

1. Hawaii State Department of Health Asthma Control Program: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

**CURRENT PROGRAM ACTIVITIES/ALLOWABLE EXPENSES:**

1. Hawaii State Department of Health Asthma Control Program: The CDC provides funding for the program to work across three overarching strategies – Infrastructure Strategies, Services Strategies, and Health Systems Strategies. Under each of the three main strategies are specific and targeted strategies, as listed below.
  1. Infrastructure Strategies: Encompasses ongoing activities essential to the planning, delivery, and evaluation of public health activities and collaboration with health care systems. Infrastructure Strategies include:
    - i. Leadership
    - ii. Strategic Partnerships
    - iii. Strategic Communications
    - iv. Surveillance
    - v. Evaluation
  2. Services Strategies: Expand access to comprehensive asthma control services through home-based and/or school-based strategies. Services Strategies include:
    - i. Self-management education
    - ii. Linkages to Care
    - iii. Education for Caregivers
    - iv. Policies Supportive of Asthma Control
  3. Health Systems Strategies: Coordinate with health care organizations to improve coverage, delivery, and use of clinical and other services. Health Systems Strategies include:
    - i. Quality Improvement
    - ii. Team-based Care
    - iii. Coverage and Reimbursement
    - iv. System-level Linkages

**Report on Non-General Fund Information  
for Submittal to the 2016 Legislature  
Chronic Disease Prevention and Health Promotion Division**

**INTENDED PURPOSE**

1. Strategies to Prevent Obesity, Diabetes, and Heart Disease and Stroke Among Adults in Hawaii
  - a. Support implementation of population-wide and priority population approaches to prevent obesity, diabetes, and heart disease and stroke and reduce health disparities in these areas among adults.
  - b. Component 1 is to support environmental and system approaches to promote health, support and reinforce healthful behaviors, and build support for lifestyle improvements for the general population and particularly for those with uncontrolled high blood pressure and those at high risk for developing type 2 diabetes. Populations at high risk for type 2 diabetes include those with prediabetes or those who have a sufficient number of risk factors on evidence-based risk tests that put them in a high risk category.
  - c. Component 2 will support health system interventions and community-clinical linkages that focus on the general population and priority populations. Priority populations are those population subgroups with uncontrolled high blood pressure or at high risk for type 2 diabetes who experience racial/ethnic or socioeconomic disparities, including inadequate access to care, poor quality of care, or low income.

**SOURCE OF REVENUES:**

1. Strategies to Prevent Obesity, Diabetes, and Heart Disease and Stroke Among Adults in Hawaii : CDC National Center for Chronic Disease Prevention and Health Promotion

**CURRENT PROGRAM ACTIVITIES/ALLOWABLE EXPENSES:**

1. Strategies to Prevent Obesity, Diabetes, and Heart Disease and Stroke Among Adults in Hawaii: Grant from the CDC funding provides for the four program areas to work across the seven strategies below to promote:
  - Component 1a: Environmental strategies to promote health and support and reinforce healthful behaviors
    1. Implement nutrition and beverage standards including sodium standards in public institutions, worksites and other key locations such as hospitals.
    2. Strengthen healthier food access and sales in retail venues and community venues through increased availability, improved pricing, placement, and promotion.
    3. Strengthen community promotion of physical activity through signage, worksite policies, social support, and joint use agreements in communities and jurisdictions.
    4. Develop and/or implement transportation and community plans that promote walking.
  - Component 1b: Strategies to build support for healthy lifestyles, particularly for those at high risk, to support diabetes and heart disease and stroke prevention efforts
    5. Plan and execute strategic data-driven actions through a network of partners and local organizations to build support for lifestyle change.
    6. Implement evidence-based engagement strategies to build support for lifestyle change.
    7. Increase coverage for evidence-based supports for lifestyle change by working with network partners.
  - Component 2a: Health system Interventions to improve the quality of health care delivery to populations with the highest hypertension and prediabetes disparities
    1. Increase electronic health records (EHR) adoption and the use of health information technology (HIT) to improve performance.
    2. Increase the institutionalization and monitoring of aggregated/standardized quality measures at the provider level.

3. Increase engagement of non-physician team members in hypertension management in community health care systems.
4. Increase use of self-measured blood pressure monitoring tied with clinical support.
5. Implement systems to facilitate identification of patients with undiagnosed hypertension and people with prediabetes.

Component 2b: Community clinical linkage strategies to support heart disease and stroke and diabetes prevention efforts

6. Increase engagement of community health workers to promote linkages between health systems and community resources for adults with high blood pressure and adults with prediabetes or at high risk for type 2 diabetes.
7. Increase engagement of community pharmacists in the provision of medication-/self-management for adults with high blood pressure.
8. Implement systems and increase partnerships to facilitate bi-directional referral between community resources and health systems, including lifestyle change programs.

**Report on Non-General Fund Information  
for Submittal to the 2016 Legislature  
Chronic Disease Prevention and Health Promotion Division  
Tobacco Use Prevention – Public Health Approaches for Ensuring Quitline Capacity**

**INTENDED PURPOSE**

1. Hawaii State Department of Health Tobacco Prevention and Education Program (TPEP):
  - As it applies to the Centers for Disease Control and Prevention (CDC), Prevention and Public Health Fund grant, Tobacco Use Prevention – Public Health Approaches for Ensuring Quitline Capacity – to continue to expand the capacity of TPEP to implement evidence-based cessation interventions as it relates to the Hawaii Tobacco Quitline.

**SOURCE OF REVENUES:**

1. Hawaii State Department of Health Tobacco Prevention and Education Program:
  - CDC National Center for Chronic Disease Prevention and Health Promotion, Office on Smoking and Health - financed solely by the Prevention and Public Health Fund

**CURRENT PROGRAM ACTIVITIES/ALLOWABLE EXPENSES:**

1. Hawaii State Department of Health Tobacco Prevention and Education Program (TPEP): Ensuring Quitline capacity grant from CDC/Prevention and Public Health Fund funding provides enhancement for the Hawaii Tobacco Quitline by implementing the evidence-based cessation interventions listed below:
  - a. Ensure infrastructure for state Quitline.
  - b. Improve Quitline capacity.
  - c. Participate in surveillance and evaluation efforts.
  - d. Identify and target disparate populations.
  - e. Improve sustainability.
  - f. Increase media efforts.
  - g. Enhance Quitline protocol and operations.
  - h. Improve understanding of comprehensive cessation coverage for Medicaid recipients.
  - i. Promote health systems change.

**Report on Non-General Fund Information  
for Submittal to the 2016 Legislature  
Chronic Disease Prevention and Health Promotion Division  
National State-Based Tobacco Control Programs – Hawaii State Department of Health Tobacco  
Prevention and Education Program**

**INTENDED PURPOSE**

1. Hawaii State Department of Health Tobacco Prevention and Education Program (TPEP):
  - To support the implementation of evidence-based environmental, policy, and systems interventions, strategies, and activities to reduce tobacco use, secondhand smoke exposure, tobacco-related disparities and associated disease, disability, and death.

**SOURCE OF REVENUES:**

1. Hawaii State Department of Health Tobacco Prevention and Education Program:
  - Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion, Office on Smoking and Health

**CURRENT PROGRAM ACTIVITIES/ALLOWABLE EXPENSES:**

1. Hawaii State Department of Health Tobacco Prevention and Education Program: CDC National State-Based Tobacco Control Program grant supports a comprehensive tobacco control program in Hawaii to achieve four national goals: 1) prevent initiation of tobacco use among youth and young adults; 2) promote quitting among adults and youth; 3) eliminate exposure of secondhand smoke; and 4) identify and eliminate tobacco-related disparities among population groups through the implementation of:
  - a. State and community interventions.
  - b. Mass-reach health communication interventions.
  - c. Cessation interventions.
  - d. Surveillance and evaluation.
  - e. Infrastructure, administration, and management.

Department: DOH  
 Prog ID(s): HTH131DC  
 Name of Fund: Hawaii Immunization and Vaccines for Children Program  
 Legal Authority: PHS 317, 42 USC Sec 247(B)

Contact Name: Sarah Y. Park, MD, FAAP  
 Phone: (808) 587-6845  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-14-200 H

Intended Purpose: Prevent and control the transmission of vaccine-preventable diseases in persons of all ages; increase and maintain high immunization coverage.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Activities include: vaccine coverage assessments, promotion of vaccinations, vaccine storage, and improving the distribution and inventory system.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances primarily due to timing issues.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,805,000	4,500,000	4,500,000	3,100,000	3,100,000	3,100,000	3,100,000
Beginning Cash Balance		0	65,509	81,611	34,849	149,849	264,849
Revenues	3,094,225	3,011,344	2,781,888	2,644,596	3,015,000	3,015,000	3,015,000
Expenditures	2,852,499	2,283,374	2,765,786	2,691,358	2,900,000	2,900,000	2,900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	241,726	727,970	81,611	34,849	149,849	264,849	379,849
Encumbrances				398,818			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH  
 Prog ID(s): HTH 840 FG  
 Name of Fund: Nonpoint Source Management Program  
 Legal Authority PL 92-500, federal Water Pollution Control Act;  
Clean Water Act Section 319

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 201 H

Intended Purpose: Support State implementation of its nonpoint source management program developed under the Clean Water Act Section 319.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Development and implementation of watershed plans and total maximum daily loads, including projects and work to achieve restoration of nonpoint source impaired waters as well as implementation of Coastal Nonpoint Source program under Coastal Zone Act Reauthorization.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: All federal funds in HTH 840 were part of S 201 H prior to FY 2014. From FY 14 on, only one grant is included. Expenditures vary according to grant workplan.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	9,241,708	3,836,984	1,262,300	1,326,000	1,231,650	1,258,950	1,321,898
Beginning Cash Balance	0	0	87,194	33,782	0	0	0
Revenues	6,151,189	1,811,334	1,343,755	1,611,539	1,231,650	1,258,950	1,321,898
Expenditures	6,314,438	1,501,546	1,397,167	1,615,041	1,231,650	1,258,950	1,321,898
Transfers							
List each net transfer in/out; list each account number							
Various JV		0	0	5,183			
		0	0				
Net Total Transfers		0	0	5,183			
Ending Cash Balance	(163,249)	309,788	33,782	35,464	0	0	0
Encumbrances	2,651,071	2,785,826	2,543,399	2,543,399	2,543,399	2,543,399	2,543,399
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): HTH 420  
 Name of Fund: see source of funds  
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi  
 Phone: 586-4682  
 Fund type (MOF) N  
 Appropriation Acct. No. S 202 H

Intended Purpose: The purpose of this fund is to provide financial assistance for the State to carry out the State's plan for providing comprehensive community mental health services for adults with a serious mental illness.

Source of Revenues: 1) Community Mental Health Services Block Grant; 2) PATH Formula Grant (FY 2013 - FY 2014)

Current Program Activities/Allowable Expenses: Activities include the purchase of residential, treatment, and other support services for adults with severe and persistent mental illness.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,632,230	1,332,230	1,332,230	1,332,230	1,332,230	1,332,230	1,332,230
Beginning Cash Balance	74,861	7,510	60	200	18,417	18,417	18,417
Revenues	976,134	475,876	1,159,280	1,398,800	1,395,219	1,395,219	1,395,219
Expenditures	1,101,419	483,326	1,159,140	1,380,583	1,395,219	1,395,219	1,395,219
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(1,296)						
	59,230						
Net Total Transfers	57,934	0	0	0	0	0	0
Ending Cash Balance	7,510	60	200	18,417	18,417	18,417	18,417
Encumbrances	86,032	309,676	367,131	359,253			
Unencumbered Cash Balance	(78,522)	(309,616)	(366,931)	(340,837)	18,417	18,417	18,417

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH Contact Name: Edward Mersereau  
 Prog ID(s): HTH 440 Phone: 692-7507  
 Name of Fund: Substance Abuse Prevention and Treatment (SAPT) Block Grant Fund type (MOF) N  
 Legal Authority: Subparts II & III, Part B, Title XIX, Public Health Service Appropriation Acct. No. S 203 H  
 Act: Department of Health & Human Services, Substance Abuse & Mental Health Services Administration; SAPT Block Grant, Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)

**Intended Purpose:**

Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug abusers, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

**Current Program Activities/Allowable Expenses:**

The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Prior to FY14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. The new appropriation for the SAPT Block Grant is S-581-H and S-203-H will remain the parent appropriation.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,915,382	7,915,082	8,150,210	8,212,595	0	0	0
Beginning Cash Balance	137,174	665,350	453,104	451,467	561,028	561,028	561,028
Revenues	12,147,501	8,772,337	1,298,205	6,562,388			
Expenditures	11,619,325	8,984,583	1,299,842	6,452,827			
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	665,350	453,104	451,467	561,028	561,028	561,028	561,028
Encumbrances		1,316,733		1,411,129			
Unencumbered Cash Balance	665,350	(863,629)	451,467	(850,101)	561,028	561,028	561,028

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HEALTH  
 Prog ID(s): HTH 460  
 Name of Fund: Synectics Behavioral Health Services Information System State Agreement  
 Legal Authority: Sec 505 of Public Health Service Act (42.U.S.C. 290aa-4)

Contact Name: Wakaba Stephens  
 Phone: 733-9866  
 Fund type (MOF): N  
 Appropriation Acct. No.: S 204 H

**Intended Purpose:**

To continue the development of Hawaii's capacity to collect and report mental health treatment services data.

**Source of Revenues:**

Contract between Synectics for Management Decisions, Inc and SAMHSA.

**Current Program Activities/Allowable Expenses:**

Personnel cost of the Research & Evaluation Specialist position, travel for one person to attend a mainland training, and WICHE membership fee.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Various grants were included in S204 prior to FY2013. From FY2014 on, it contains only one grant.

No revenues and expenditures were recoded during FY2015 due to delay in the contract modification process.

The fund will be provided through AMHD in FY2017 and will not be allocated directly in CAMHD accounts.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,382,719	323,079	315,609	315,609	3,101	0	0
Beginning Cash Balance	1,701	69,480	13,000	13,000	13,000	13,000	13,000
Revenues	3,189,167	212,065	0	0	0	0	0
Expenditures	3,121,388	268,545	0	74,682	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS 1244 dated 9/9/2015				24,060			
JS 6982 dated 6/28/2016				50,622			
Net Total Transfers	0	0	0	74,682	0	0	0
Ending Cash Balance	69,480	13,000	13,000	13,000	13,000	13,000	13,000
Encumbrances	325,253	0	90,022	17,180			
Unencumbered Cash Balance	(255,773)	13,000	(77,022)	(4,180)	13,000	13,000	13,000

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH  
 Prog ID(s): HTH 560  
 Name of Fund: SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, & CHILDREN (WIC)

Contact Name: SUSAN KANOUR  
 Phone: 586-8190  
 Fund type (MOF) N

Legal Authority Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786.  
 Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C  
 1746.

Appropriation Acct. No. S 206 H

Intended Purpose: This fund contains HTH 560 GI federal grant funds. The purpose is to provide WIC families with supplemental nutrition, nutrition education and referrals.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Service

Current Program Activities/Allowable Expenses: Supplemental nutrition; nutrition education & referrals to WIC families.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2013, all federal grants for HTH 560 were appropriated under S 206 H. Effective in 07/01/13, all federal grants under HTH 560 received separate appropriations which accounts for the decrease in revenues and expenditures in FY 2014. Variance in revenues and expenditures between FY 2014-15 and FY 2015-16 is due to actual cash drawdowns for expenditures incurred in FY 2015 and FY 2016. The variance in revenues between FY 2016-17 reflects the anticipated WIC grant award for FY 2017 and beyond.

Financial Data							
	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (estimated)	FY 2018 (estimated)	FY 2019 (estimated)
Appropriation Ceiling	53,236,422	35,703,564	35,703,564	35,703,564	35,703,564	35,703,564	35,703,564
Beginning Cash Balance	340,562	579,290	309,760	600,530	387,868	387,868	387,868
Revenues	42,224,076	34,135,801	30,166,202	31,850,984	35,703,564	35,703,564	35,703,564
Expenditures	42,316,992	34,405,331	29,875,432	32,063,646	35,703,564	35,703,564	35,703,564
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	247,646	309,760	600,530	387,868	387,868	387,868	387,868
Encumbrances	4,722,998	3,019,340	2,157,984	1,872,109	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HEALTH  
 Prog ID(s): HTH 100  
 Name of Fund: RYAN WHITE CARE ACT TITLE II  
 Legal Authority: Public Health Service Act, 42,  
as amended by P.L. 111-87.

Contact Name: Phillip Nguyen  
 Phone: 586-4581  
 Fund type (MOF) N  
 Appropriation Acct. No. S 207 H

**Intended Purpose:**

To prevent and reduce the incidence of HIV/AIDS in the State of Hawaii

**Source of Revenues:**

U.S Department of Health & Human Service/Health Resources and Services Administration

**Current Program Activities/Allowable Expenses:**

Assistance in health care and support activities to those affected by HIV infection.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variations:** FY14 is when only Ryan White Grant included in S 207. In prior years, all federal grants of HTH100 were included in S 207. In FY16, grant has significant rebate funds increase compare to prior years, which results in ability to spend more for the program.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,281,674	3,507,482	3,507,482	3,830,015	4,572,267	4,572,267	4,572,267
Beginning Cash Balance	141,123	141,123	230,104	1,010,428	1,970,547	1,970,547	1,970,547
Revenues	7,116,042	2,839,273	3,685,889	5,478,699	3,906,870	3,906,870	3,906,870
Expenditures	6,739,067	2,807,445	2,905,565	4,518,581	3,906,870	3,906,870	3,906,870
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	141,123	518,457	1,010,428	1,970,547	1,970,547	1,970,547	1,970,547
Encumbrances				250,000			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: DOH  
 Prog ID(s): HTH131DB  
 Name of Fund: TP12-1201 HPP and PHEP Cooperative Agreements  
 Legal Authority: SEC 391(A), 317(K) of PHS, 42 USC SEC 241(A), 247(B)

Contact Name: Sarah Y. Park, MD, FAAP  
 Phone: (808) 587-6845  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-14-208 H

Intended Purpose: Provide technical assistance and resources to support state and local healthcare organizations in demonstrating measurable and sustainable progress toward achieving public health and healthcare preparedness capabilities which promote prepared and resilient communities.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to maintain, leverage, and build upon our capabilities to support the population in Hawaii to prepare for and recover from a disaster.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2015, CDC issued two additional grants to address the Ebola outbreak. In FY 2014, there was a 33% change in the award for the Hospital Preparedness Program.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	9,000,000	8,500,000	8,000,000	6,000,000	6,500,000	6,500,000	6,500,000
Beginning Cash Balance	1,233,969	2,921,455	321,281	330,141	329,322	329,322	329,322
Revenues	6,818,950	6,577,479	7,337,478	6,295,028	6,104,892	6,104,892	6,104,892
Expenditures	5,131,464	8,265,010	7,328,618	6,295,846	6,104,892	6,104,892	6,104,892
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,921,455	1,233,924	330,141	329,322	329,322	329,322	329,322
Encumbrances				486,802			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Senior Medicare Patrol Project  
 Legal Authority 42 USC 3031-3037B

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) P  
 Appropriation Acct. No. S 211 H

Intended Purpose:

The SMP program empowers seniors through increase awareness and understanding of healthcare programs. This knowledge help to protect themselves from the economic and health related consequences of Medicare and Medicaid fraud, error, and abuse.

Source of Revenues:

Adiministration on Aging

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ended

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	28,757	0				
Expenditures	0	28,757	0				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): HTH 420  
 Name of Fund: PATH Formula Grant  
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi  
 Phone: 586-4682  
 Fund type (MOF) N  
 Appropriation Acct. No. S 212 H

Intended Purpose: The purpose of the grant is to provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: PATH Formula Grant

Current Program Activities/Allowable Expenses: Activities include the purchase of homeless outreach services for individuals with severe and persistent mental illness.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: This account was established in FY 2015. The FY 2016 revenue and expenditure variances are attributed to delays in the execution of purchase of service contracts. Delays are not expected in FY17

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	300,000	300,000	300,000	300,000	300,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues			390,332	11,333	300,000	300,000	300,000
Expenditures			390,332	11,333	300,000	300,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			44,146	130,183			
Unencumbered Cash Balance	0	0	(44,146)	(130,183)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HEALTH  
 Prog ID(s): HTH 460  
 Name of Fund: Block Grants for Community Mental Health Services  
 Legal Authority Subparts I&III,B,Title XIX,PHS Act/45 CFR Part96

Contact Name: Wakaba Stephens  
 Phone: 733-9866  
 Fund type (MOF) N  
 Appropriation Acct. No. S 214 H

**Intended Purpose:**

To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

**Source of Revenues:**

Federally funded grant from SAMHSA.

**Current Program Activities/Allowable Expenses:**

Providing mental health services and promoting programs for public awareness on mental health

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Revenues and Expenditure increased from FY2014 to FY2015 due to the increase in the award amount.

The grant was assigned to S259 in FY2016.

The grant was assigned back to S214 in FY2017.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		870,586	1,256,472	475,499	1,282,195	1,241,698	1,241,698
Beginning Cash Balance	0	0	19	87	0	0	0
Revenues		696,062	781,040	315,778	1,241,698	1,241,698	1,241,698
Expenditures		696,043	780,973	315,864	1,241,698	1,241,698	1,241,698
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	19	87	0	0	0	0
Encumbrances		145,085	356,445	30			
Unencumbered Cash Balance	0	(145,066)	(356,359)	(30)	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Medicare Improvement for Patients and Providers  
 Legal Authority: MMSEA of 2007/MIPPA Sec 119e

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) N  
 Appropriation Acct. No. S 215 H

Intended Purpose:

To provide Part D counseling to Medicare beneficiaries who live in rural areas, and to promote the new Medicare prevention and wellness benefits.

Source of Revenues:

Centers for Medicare and Medicaid Services

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ended.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	35,181	0	0	0	0	0	0
Revenues	0						
Expenditures	35,181						
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information: Grant ended on 5/31/13

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Personal Responsibility Education Program (PREP)  
 Legal Authority: SSA, Title V, Section 513

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) N  
 Appropriation Acct. No. S 216 H

Intended Purpose: To educate adolescents on both abstinence and contraception to prevent pregnancy and sexually transmitted infections.

Source of Revenues: DHHS, Administration for Children & Families

Current Program Activities/Allowable Expenses: This grant will support an evidence-based teen pregnancy prevention program that will be held after school during the school year. It is a teen club model that encompasses three interrelated elements: supervised community volunteer service; classroom activities, and classroom-based discussions and activities related to key social-developmental tasks. The program targets Hawaii County's 15 to 19 year old youth in eight districts across the island.

Purpose of Proposed Ceiling Adjustment (if applicable): Effective 7/1/12, the grant was appropriated under S 216 H and switched to S 534 effective 7/1/13.

Source of Revenues: DHHS, Administration for Children & Families

Variances: Not applicable.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				0	0	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	98,000	0	0	0	0	0	0
	98,000						
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance		0	0	0	0	0	0
	0						
Encumbrances							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH  
 Prog ID(s): HTH 560

Contact Name: SUSAN KANOUR  
 Phone: 586-8190

Name of Fund: GENERAL INFRASTRUCTURE GRANT AWARD - CCM

Fund type (MOF) N

Legal Authority Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786. Healthy, Hunger-Free Kids Act of 2010 , Public Law 111-296, 7 U.S.C 1746.

Appropriation Acct. No. S 219 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to renovate the Malama I Ke Ola Health Center WIC office.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services

Current Program Activities/Allowable Expenses: Renovation expenses to improve the WIC clinic.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	425,761	0	0	0	0	0	0
Expenditures	425,761	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Title III Older Americans Act  
 Legal Authority Older Americans Act PL 106-501

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) N  
 Appropriation Acct. No. S 221 H

Intended Purpose:

Provide advocacy, planning program development, and coordinated system of opportunity and services for adults 60+ and family caregivers.

Source of Revenues:

Adiministration on Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,210,146	8,503,429	13,811,869	13,268,894	13,268,894	13,268,894	13,268,894
Beginning Cash Balance	176,721	4,374,347	258,057	209,151	82,780	0	0
Revenues	4,374,684	5,848,732	6,627,862	6,045,549	5,920,275	5,920,275	5,920,275
Expenditures	177,058	4,116,290	6,676,768	6,171,920	6,003,055	5,920,275	5,920,275
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance	4,374,347	6,106,789	209,151	82,780	0	0	0
Encumbrances	4,374,347			5,597,861			
Unencumbered Cash Balance	0	6,106,789	209,151	(5,515,081)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): HTH 720  
 Name of Fund: Title XVIII (Medicare) and Title XIX (Medicaid) and CLIA  
 Legal Authority Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Keith Ridley  
 Phone: 692-7227  
 Fund type (MOF) N  
 Appropriation Acct. No. S 223 H

**Intended Purpose:**

To meet contractual obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

**Source of Revenues:**

The source of revenues are from Federal contracts. Previously, all federal funds for Title XVIII (Medicare), Title XIX (Medicaid), and the Clinical Laboratory Improvement Amendments (CLIA) were in this S 223 H account. Beginning FY 2014, separate appropriation accounts were set up for all three funding sources. The remaining \$73,168 was from labor savings adjustment.

**Current Program Activities/Allowable Expenses:**

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,586,387	0	0	0	0	0	0
Beginning Cash Balance	153,779	157,039		0	0	0	0
Revenues	1,631,393	(88,610)					
Expenditures	1,628,133	68,429					
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	157,039	0	0	0	0	0	0
Encumbrances				36,067			
Unencumbered Cash Balance	157,039	0	0	(36,067)	0	0	0

**Additional Information:** Beginning FY 2014, the federal account (S-223-H) was split into (3) separate accounts (S-509-H, S-538-H, S-539-H).

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: PPHS Block Grant  
 Legal Authority: Preventive Health and Health Services Block Grant

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) P  
 Appropriation Acct. No. S XX 225 H

Intended Purpose: Alleviate or eliminate barriers to accessing healthcare for immigrants. Integrate data between chronic disease programs.

Source of Revenues: Preventive Health and Health Services Block Grant.

Current Program Activities/Allowable Expenses: Assist newly arrived immigrants to access health services in their native languages. Integrate data between chronic disease programs.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Original appropriation included numerous other Federal programs which have been assigned unique appropriation codes. Due to start of new project period, revenues and expenditures to be recorded in separate appropriation account.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,261,085	2,365,098	165,000	165,000	165,000	165,000	165,000
Beginning Cash Balance	37,183	144,871	96,219	67,251	63,578	63,578	63,578
Revenues	4,173,788	2,724,930	1,431,690	48,889	0	0	0
Expenditures	4,066,100	2,773,582	1,460,658	52,562	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	144,871	96,219	67,251	63,578	63,578	63,578	63,578
Encumbrances	81,877	173,935	0				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants	<i>Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment</i>						
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): HTH 760  
 Name of Fund: Vital Statistics Cooperative Program  
 Legal Authority: Act 134-2013

Contact Name: Alvin T. Onaka Ph.D.  
 Phone: (808) 586-4600  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-226-H

**Intended Purpose:**

Support the collection and delivery of vital statistics information.

**Source of Revenues:**

Contracts with the US Government for vital statistics information

**Current Program Activities/Allowable Expenses:**

Contracts with the Centers for Disease Control and Prevention (CDC) and Social Security Administration for the Vital Statistics Cooperative Program's.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

To match anticipated federal funds amount.

**Variances:** Revenues can fluctuate for additional competitive award added to the base contract amount.

FY15 to FY16 variance due to personnel costs. FY16 to FY17 variance due to personnel costs and anticipated contract expenditures.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	217,543	234,870			432,300	432,300	432,300
Beginning Cash Balance	121,608	344,733	302,573	473,071	748,965	778,965	808,965
Revenues	366,242	86,495	299,360	452,424	330,000	330,000	330,000
Expenditures	143,117	128,655	128,862	176,531	300,000	300,000	300,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	344,733	302,573	473,071	748,965	778,965	808,965	838,965
Encumbrances	16,355	16,355	0	12,000			
Unencumbered Cash Balance	328,378	286,218	473,071	736,965	778,965	808,965	838,965

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: ARRA -Behavioral Risk Factor Surveillance System CPPW  
 Legal Authority: American Recovery & Reinvestment Act (ARRA) of 2009

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) V  
 Appropriation Acct. No. S XX 227 H

Intended Purpose: Serve as an economic stimulus and allow for increased data collection and data quality control that would facilitate enhancement of Statewide Department of Health programs .

Source of Revenues: ARRA Funds from US Department of Health and Human Services, Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: The program increased data collection efforts and utilized the additional data and findings for improving and/or implementing agency health programs.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Program ended 4/30/13; appropriation closed

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	61	1,991	0	0	0	0	0
Revenues	215,243	20,856					
Expenditures	213,313	22,847					
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,991	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	1,991	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH  
 Prog ID(s): 907 AP  
 Name of Fund: Strengthening Public Health Infrastructure  
 Legal Authority: Act 164 SLH 2011, amended Act 16 SLH 2012  
Public Health Service Act, various sections, as amended.

Contact Name: Lorrin Kim  
 Phone: 586-4189  
 Fund type (MOF) P  
 Appropriation Acct. No. S-14-228-H  
(S-213-H Grant was included in S-228, effective FY14)

**Intended Purpose:**

Strengthening Public Health Infrastructure for Improved Health Outcomes (SPHI) - This grant program permits grant monies to be used by systematically increase the performance management capacity of public health departments in order to ensure that public health goals are effectively and efficiently met.

**Source of Revenues:**

US Department of Health and Human Services and Public Health Service. This grant ended 9/30/15.

**Current Program Activities/Allowable Expenses:**

SPHI grant funds currently related to salaries, consultants, equipment, and services to achieve grant goals.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variances:**

Variances: Revenue: FY13-FY14 difference is due to carry over amounts for two Grants overlapping (S-213-H & S-228-H). FY14-FY15 only one grant in FY15. FY15-FY16 carry over amount in FY16 and only 3 months grant period. Expenses: FY14-FY15 reporting two appropriation in FY14 to one in FY16 only 3 month of expenses.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,835,584	1,501,830	514,168	159,683			
Beginning Cash Balance	66,916	359,866	64,278	73,675			
Revenues	1,515,481	1,001,242	363,882	476,129			
Expenditures	1,222,531	1,296,830	354,485	479,157			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	359,866	64,278	73,675	70,647	0	0	0
Encumbrances				183,112			
Unencumbered Cash Balance	359,866	64,278	73,675	(112,465)	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH  
 Prog ID(s): HTH 907  
 Name of Fund: Various Federal Grants from the US DHHS/HRSA/PHS  
 Legal Authority: Act 164, SLH 2011, as amended by ACT 106, SLH 2012  
Public Health Service Act, various sections, as amended.

Contact Name: Betty Wood  
 Phone: 586-4530  
 Fund type (MOF) P  
 Appropriation Acct. No. S -15-228-H

Intended Purpose:

Preventive Health and Health Services Block Grant (PFFSBG) - This grant program was established in 1982 to help states and local communities focus on achieving the health objectives for the nation, identified in Healthy People 2000. States receiving block grant dollars must develop health plans, report to the federal government about their activities, and target public health interventions to populations in need. The flexible provisions of the grant allow each state to address health problems unique to that state. Examples of current funding areas include emergency epidemic response, chronic disease prevention and health promotion, emergency medical services, environmental health, infectious disease control, rape prevention, injury prevention, and disease and risk factor surveillance.

Source of Revenues:

US Department of Health and Human Services and Public Health Service. This grant number was S-228-H then changed to S-588-H. Going forward the assumption is this grant number will be S-588-H. This grant period ended 9/30/ 2016.

Current Program Activities/Allowable Expenses:

PHHSBG activities include the ongoing development of a Data and Information System Integration project; an Injury Prevention Initiative; support for statewide coordination of public education and awareness to Prevent Sexual Assault; and support for various special data projects as deemed appropriate.

Purpose of Proposed Ceiling Adjustment (if applicable):

None

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0		600,603			
Beginning Cash Balance	0	0	0	0	920,000	0	0
Revenues	0	0	0		0	0	0
Expenditures	0	0	0		158,350		
					307,060		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	761,650	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	761,650	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information.  
for Submittal to the 2017 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Maternal and Child Health Services Block Grant  
 Legal Authority: Title V of the SSA, as amended

Contact Name: Gordon Takaki  
 Phone: 733-8365  
 Fund type (MOF) N  
 Appropriation Acct. No. S 229 H

Intended Purpose: To assure women, especially mothers and children including children with special health needs (CSHN) and in particular those with low income or with limited availability of health services, access to quality maternal and child health services. This includes improving birth outcomes for women, improved health outcomes and health status for children of all ages including CSHN and supporting administrative activities that maintain and improve the health care system through needs assessment, surveillance, planning, policy development, systems building, and program support.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: a) Administration - Supports administrative positions, which provide the infrastructure for the Division, Maternal and Child Health (MCH) and CSHN Branches to carry out its mandate and functions, and allow for integration and planning between sections, Branches, the Department, and the community at-large; b) Epidemiology and Data Support - provide funds to CDC-assigned epidemiologist and research statistician positions at the MCH and CSHN Branches for data collection and analysis used for program planning, evaluation, and policy development; c) Child Health and Family Support Services - provides funds to this section, which is responsible for planning for issues related to child/adolescent health and family strengthening services to assist in the assurance of these services statewide, including program planning and technical support to purchase of service contractors. Needs assessments, planning and development activities are accomplished through surveys, studies, and community networking. Collaborates with providers and community stateholders to assure a system of services and established standards of care; and d) Perinatal Services-fund use include: 1) Program planning and technical support statewide to POS contractors and programs, which reduce maternal and infant mortality and morbidity; and on Hawaii Island, provide staffing to support high-risk women and children; 2) Provides advocacy and educational efforts to improve and enhance birth outcomes, disseminates perinatal information, and promotes networking among providers, agencies, and individuals associated with perinatal issues; and 3) Maintains a statewide information and referral phone line and website to assist pregnant women to access the perinatal services system (including Medicaid, primary care centers, OB/GYN, prenatal classes) and to encourage early and continuous prenatal care.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. The variance in revenues between FY 2016-2017 is based on an anticipated increase in the grant award. The variance in expenditures between FY 2016-2017 is due to increases in collective bargaining and fringe benefit costs. (This grant has a two year budget and expenditure period).

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	2,960,930	2,829,180	2,829,180	2,829,180	2,829,180	2,829,180
Beginning Cash Balance	0	0	72,155	76,057	93,037	490,040	887,043
Revenues	0	1,812,451	1,913,639	1,823,594	2,554,000	2,554,000	2,554,000
Expenditures	0	1,740,296	1,909,737	1,806,614	2,156,997	2,156,997	2,156,997
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	72,155	76,057	93,037	490,040	887,043	1,284,046
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH  
 Prog ID(s): HTH 710  
 Name of Fund: Food Safety Inspection Service  
 Legal Authority: Act 124, SLH 2016

Contact Name: Ebru Yilmaz-Pedro  
 Phone: 453-6667  
 Fund type (MOF) P  
 Appropriation Acct. No. S-14-231-H

Intended Purpose: This Cooperative Agreement will help monitor the safety of the food supply by checking for diseases and pests in swine.  
 Source of Revenues: United States Department of Agriculture (USDA), Food Safety and Inspection Services (FSIS).

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY14 was the last year of the grant with carry over funds to spent. No expenditures in FY15.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	497,363	497,363	497,363		0	0	0
Beginning Cash Balance	26,358	65,670	57,726	60,226	0	0	0
Revenues	459,833	12,167	2,500	0	0	0	0
Expenditures	420,522	20,110	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
		0					
		0	0				
Net Total Transfers		0	0				
Ending Cash Balance	65,670	57,727	60,226	60,226			
Encumbrances	37,618	10,309	0				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): HTH 730  
 Name of Fund: State/Tribal Youth Suicide Prev Grant (HI Gatekeeper)  
 Legal Authority: Section 321-231, HRS; Section 520E-2, PHS ACT

Contact Name: Terry Joyce  
 Phone: 733-9217  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-13-234 H

Intended Purpose: State/Tribal Youth Suicide Prevention.

Source of Revenues: Department of Health and Human Services Substance Abuse and Mental Health Services Administration CMHS.

Current Program Activities/Allowable Expenses: Provision of ASIST workshops to enhance youth suicide prevention efforts in Public Schools.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 1 below.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	47,029	0	0	0	0	0	0
Beginning Cash Balance	47,029	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	47,029	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Note 1:** Non-appropriated grant (GOV 12/12/08). SAMHSA grant ended September 29, 2011. The final budget period was 9/30/10 - 9/29/11.

**Note 2:** Data Source Reference for Actual FY 2016 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 3:** Data Source Reference for Actual FY 2016 Encumbrances (Unliquidated Balance): MBP490-A, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Hawaii Muscular Dystrophy STARnet Project  
 Legal Authority: Public Health Service Act, P.L. 100-202

Contact Name: Lane Aakhus  
 Phone: 733-9062  
 Fund type (MOF): N  
 Appropriation Acct. No.: S 235 H

Intended Purpose: To collect data to help determine the treatment and management protocols that result in the best health outcomes on Asisan and Pacific Islanders with Duchonne or Becker Muscular Dystrophy conditions.

Source of Revenues: U.S. Department of Health and Human Services, Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: Identify individuals with Duchonne or Becker Muscular Dystrophy born after 1982 and, with their informed consent, collect data on their treatment, management, and health status.

Purpose of Proposed Ceiling Adjustment (if applicable): Note that this is a non-appropriated federal grant which ended on 9/1/12.

Variances: Not applicable.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	53,506	0		0	0	0	0
Expenditures	53,506	0		0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0						
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH  
 Prog ID(s): HTH 849  
 Name of Fund: Various EPA Grants  
 Legal Authority: Various

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF): N  
 Appropriation Acct. No.: S 236 H

Intended Purpose: Fund full-time and split-funded positions in HTH 849 FA, FB, FC and FD.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Personal services and other current expense costs in 849 FA, FB, FC, and FD.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: All federal funds in HTH 849 were part of S 236 H prior to FY 2014. For FY15 and beyond, positions are directly funded from source grants, because new federal funds methodology impedes spending. Ceiling is included since positions are funded.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,940,906	712,500	570,000	570,000	575,500	575,500	575,500
Beginning Cash Balance	0	0	27,591	31,181	0	0	0
Revenues	2,362,495	631,666	62,207	1,372	0	0	0
Expenditures	2,348,021	630,262	58,617	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Various JVs			0	(7,361)			
Net Total Transfers			0	(7,361)			
Ending Cash Balance	14,474	1,404	31,181	25,192	0	0	0
Encumbrances	173,682	278,034	85,974	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Footnote:**

Prior to FY14, S-236 was the parent account for all appropriated federal funds in HTH 849. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award and MOF P has been added as a means of financing. Reference S-513, S-546, S-547, S-548, S-549.

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Supporting Evidence-Based Home Visitation to Prevent Maltreatment  
 Legal Authority: Child Abuse Prev and Treat Act, Title I, as amended, 42 U.S.C 5101 et seq.

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) N  
 Appropriation Acct. No. S 237 H

Intended Purpose: Support the state and local infrastructure needed for the high quality implementation of existing evidence-based home visiting programs to prevent child maltreatment.

Source of Revenues: U.S. Department of Health and Human Services, Administration for Children and Families, Children's Bureau

Current Program Activities/Allowable Expenses: The program aims to: (1) build state and local infrastructure and implement systems changes designed to spread the use of evidence-based home visiting programs; (2) support the implementation of specific evidence-based home visiting approaches within selected target populations, and with strong fidelity to proven, effective models; (3) conduct rigorous local evaluations examining the degree to which system change has occurred, and the effects of home visiting programs in reducing child maltreatment and achieving other family and child outcomes; and (4) conduct a cross-site evaluation drawing data and cross-cutting lessons from the grantees' local evaluations.

Purpose of Proposed Ceiling Adjustment (if applicable): Effective July 1, 2011, this grant was appropriated in S 206 H and the grant ended 9/29/11.

Variations: Not applicable.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	6,517	0	0	15,490	30,995	30,995	30,995
Revenues	0	0	0	1,126,464	0	0	0
Expenditures	0	0	0	1,110,959	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	6,517	0	0	30,995	30,995	30,995	30,995
Encumbrances	0	0	0	177,415	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH  
 Prog ID(s): HTH 840 FK  
 Name of Fund: Clean Water Revolving Funds - ARRA  
 Legal Authority: American Recovery and Reinvestment Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF): V  
 Appropriation Acct. No.: S 238 H

Intended Purpose: Implement ARRA funding of Clean Water Revolving Loan Fund construction activities.

Source of Revenues: ARRA

Current Program Activities/Allowable Expenses: Construction loans oversight, inspections, data management and reporting, financial report preparation, and completion of loan payment requests

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant activities ended in FY 14.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	2,581,472	290,208	0	0	0	0	0
Expenditures	2,581,472	290,208	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		290,208	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): HTH 730  
 Name of Fund: various - see attachment: S 241 H  
 Legal Authority: various - see attachment: S 241 H

Contact Name: Terry Joyce  
 Phone: 733-9217  
 Fund type (MOF) N  
 Appropriation Acct. No. S 241 H

Intended Purpose: various - see attachment: S 241 H.

Source of Revenues: various - see attachment: S 241 H.

Current Program Activities/Allowable Expenses: various - see attachment: S 241 H.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 below.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,803,480	10,563	22,722	0	0	0	0
Beginning Cash Balance	6,792	133,993	0	0	0	0	0
Revenues	2,353,251	17,804	0	0	0	0	0
Expenditures	2,226,050	63,432	0	0	0	0	0
Transfers							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	133,993	88,366	0	0	0	0	0
Encumbrances	105,904	38,412	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Note 1:** Data Source Reference for Actual FY 2016 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 2:** Data Source Reference for Actual FY 2016 Encumbrances (Unliquidated Balance): MBP490-A, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 3:** In FYs 2015 through FY 2019, grants previously reported in S 241 H, MOF "N," the Core Violence and Injury Prevention, PHHS Block Grant, EMSC Partnership Grant, Hospital Preparedness Program, and Emergency System for Advance Registration of Volunteer Health Professionals Grant Program are being reported in a new appropriation account and MOF "P" assigned to each grant. The supplemental budget for FY 2015 deleted the appropriated \$10,563 in MOF "N" to align with federal awards (ref: ACT 122, SLH 2014).

**Name of Fund:** Injury Prevention and Control, PHHS Block Grant #30-#31 (FY 13)

**Legal Authority:** Part A, Title XIX, Public Health Service Act, as amended (45 CFR Part 96)

**Intended Purpose (including purpose of proposed ceiling increase, if applicable):**

Develop, promote, implement, coordinate and evaluate projects and policies that will lead to the reduction of injury deaths, hospitalizations and costs in Hawai'i.

**Source of Revenues:**

Department of Health and Human Services Public Health Service Centers for Disease Control and Prevention

**Current Program Activities/Allowable Expenses:**

The following are some of the key objectives and activities that will be achieved by the end of the grant period:

1. Collect and analyze data on the causes of injury morbidity and mortality and produce and disseminate reports on fatal and non-fatal injuries
2. Evaluate the following injury prevention interventions and policies: two-day Applied Suicide Intervention Skills Training (ASIST) and safeTalk, Screening Brief Intervention, and Referral to Treatment (SBIRT), backseat seatbelt usage, and use of prescription drug monitoring system
3. Facilitate, coordinate, build and mobilize task forces and partnerships to support the implementation of the Hawaii Injury Prevention Plan (HIPP) and develop capacity to conduct injury prevention activities.
  - a. Collaborate with agencies and community-based organizations to develop and implement injury prevention initiatives identified in the HIPP.
  - b. Conduct core competency training for IPCS, the Injury Prevention Advisory Committee and other DOH and community programs.

- c. Sponsor safe transportation training for transportation officials, planners, and engineers, and community members, and an Injury 101 training for Trauma Coordinators and EMS personnel statewide.
- d. Support one injury prevention legislative or organizational policy initiative.
- e. Conduct at least one public awareness campaign or media event as identified in the Hawaii Injury Prevention Plan.
- f. Provide technical support and training and serve as a clearinghouse of injury information and data.

Allowable expenses include personnel costs, indirect costs, fringe benefits, travel, equipment, supplies, subcontracts, and other costs.

**Name of Fund:** Core Violence and Injury Prevention Program (Core VIPP)

**Legal Authority:** SEC 301,317, &391A 42USC241, 247B & 280B-B3

**Intended Purpose (including purpose of proposed ceiling increase, if applicable):**

Funds for this cooperative agreement provide support to State Health Departments (SHD) to maintain and strengthen their injury and violence prevention programs by increasing general support in key areas identified as core components of SHD injury and violence prevention programs

**Source of Revenues:**

Department of Health and Human Services Public Health Service Centers for Disease Control and Prevention

**Current Program Activities/Allowable Expenses:**

Over the course of the five-year project period, IPCS will pursue the following objectives:

- Maintain and enhance the infrastructure of injury prevention responsible for coordination and integration of the injury prevention and surveillance efforts;
- Collaborate with the Maternal and Child Health Branch, the lead agency for prevention of intimate partner violence, sexual assault, and child maltreatment, on the Maternal and Infant Early Childhood Home Visiting Program and strategic planning for child abuse and neglect prevention;
- Continue to collect, analyze and report on data crucial to understanding and addressing the burden of injuries;
- Coordinate the implementation of organizational, regulatory and legislative policy priorities with the support of IPAC to reduce the burden of injuries;
- Implement and evaluate evidence based program and policy interventions that will lead to the prevention of injuries; and
- Demonstrate improved outcomes in at least four prevention priorities by the end of the five-year project period.

Allowable expenses include personnel costs, indirect costs, fringe benefits, travel, supplies, subcontracts, and other costs.

**Name of Fund:** National Bioterrorism Hospital Preparedness Program

**Legal Authority:** Section 319C-2 of the Public Health Service Act (42 USC 247d-3b), as amended by the Pandemic and All-Hazards Preparedness Act of 2006 (Pub. L. No. 109-417)

**Intended Purpose (including purpose of proposed ceiling increase, if applicable):**

The purpose of the Hospital Preparedness Program (HPP) is to enable eligible entities to improve surge capacity and capability and enhance community and hospital preparedness for public health emergencies. The primary focus of the HPP is to build medical surge capability through associated planning, personnel, equipment, training and exercise capabilities at the State and local levels. The goal is a collective vision for National preparedness, and establishes National Priorities to guide preparedness efforts at the Federal, State, local and tribal levels.

**Source of Revenues:**

U.S. Department of Health and Human Services (HHS), Office of the Assistant Secretary for Preparedness and Response (ASPR), Office of Preparedness and Emergency Operations (OPEO), Division of National Healthcare Preparedness Programs (DNHPP)

**Current Program Activities/Allowable Expenses:**

Funds may be used to achieve the preparedness activities described in Pub. L. No. 109-417, Sections 2802(b) (1), (3)-(6) (42 USC 300hh-1(b) (1), (3)-(6)), which include, but are not limited to:

1. Setting up Emergency Systems for Advance Registration of Volunteer Health Professionals (ESAR VHP) systems within the State.
2. Developing statewide plans and community-wide plans for responding to public health and medical emergencies coordinated with the capacities of applicable national, State, and local health agencies and health care providers, including poison control centers.
3. Training or workforce development to enhance the operation of public health laboratories.
4. Improving methods to enhance the safety of workers and workplaces in the event of any hazard.

5. Enhanced training and planning to protect the health and safety of personnel, including health care professionals, involved in responding to many different planning scenarios.
6. Training of public health and health care personnel to (1) recognize and treat the mental health consequences of all hazards, and (2) assist in providing appropriate health care for large numbers of individuals.
7. Activities to address the health security needs of children and other vulnerable populations.
8. The purchase or upgrade of equipment (including stationary or mobile communications equipment), supplies, pharmaceuticals or other priority countermeasures to enhance preparedness for and response to all hazards.
9. Conducting exercises to test the capability and timeliness of public health and medical emergency response activities.

**Name of Fund:** EMSC Partnership Grant

**Legal Authority:** Public Health Service Act, Title XIX, Section 1910

**Intended Purpose (including purpose of proposed ceiling increase, if applicable):**

The purpose of the program is to assist States in expanding and improving their capacity to reduce and ameliorate pediatric emergencies, taking special care to include children with special health needs, culturally distinct populations and historically underrepresented groups, including Native American/Alaska Natives, and Native Hawaiians.

**Source of Revenues:**

U.S. Department of Health and Human Services Health Resources and Services Administration Maternal and Child Health Bureau

**Current Program Activities/Allowable Expenses:**

After establishing the Pediatric Sub-Committee (PSC) of the Emergency Medical Services Advisory Committee, Hawaii EMSC will work, in conjunction with the guidance of the PSC, with prehospital providers, hospitals, paramedic educators, State and County counterparts and key collaborators inclusive of pacific region partners (U.S. Territories and COFA) to meet stated project goals. These stated EMSC goals will include formalizing inter-facility agreements; monitoring the pediatric medical direction standards and equipment/supplies requirements; paramedic recertification requirements; reevaluating disaster preparedness; and initiating the development of pediatric specific emergency training, inclusive of training outcomes evaluations.

Allowable expenses include personnel costs, indirect costs, fringe benefits, travel, equipment, supplies, subcontracts, and other costs.

The EMSC State Partnership Grant was transitioned from the Department of Health, Emergency Medical Services and Injury Prevention System Branch to the University of Hawaii, Office of Research Services, John A. Burns School of Medicine, Department of Pediatrics,

effective 02/12/2014

**Name of Fund:** Emergency System for Advance Registration of Volunteer Health Professionals (ESAR-VHP) Grant Program.

**Legal Authority:** Public Law 107-188, the Public Health Security and Bioterrorism Preparedness and Response Act of 2002, authorized the development of ESAR-VHP. Statutory authority is contained in Title III, Section 319I, Public Health Service Act (42 U.S.C. 247d-7b), as amended by the Pandemic and All-Hazards Preparedness Act, P.L. 109-417.

**Intended Purpose (including purpose of proposed ceiling increase, if applicable):**

This grant provides support for activities to develop, refine, and maintain existing Emergency System for Advance Registration of Volunteer Health Professionals (ESAR-VHP) programs. The national ESAR-VHP program is a network of interoperable state systems that is a mechanism to enable health professional volunteers in public health emergencies and disasters. Each system is maintained by a state or group of states, for the purpose of verifying the credentials, certifications, licenses, accreditations, and hospital privileges of health care professionals who volunteer to provide health services during a public health emergency.

Funds provided through this new ESAR-VHP grant will support the integration of volunteers from the Territory of Guam, American Samoa, Republic of Palau and other Pacific Islands into Hawaii's ESAR-VHP to strengthen mutual aid within the Pacific region and to increase medical and public health surge capacity in the event of a major disaster. Through the Emergency Management Assistance Compact (EMAC), which provides a legal framework for the sharing of volunteer health professionals with other states and territories, Hawaii will improve emergency response and coordination within the broader Pacific region.

**Source of Revenues:**

U.S. Department of Health and Human Services (HHS), Office of the Secretary (OS), Office of the Assistant Secretary for Preparedness and Response (ASPR), Office of Preparedness and Emergency Operations (OPEO), National Healthcare Preparedness Programs (NHPP).

**Current Program Activities/Allowable Expenses:**

The primary purpose of this funding is to support the development, maintenance, and enhancement of the Hawaii ESAR-VHP through the

- Development of approaches and coordination activities within the Pacific region to facilitate the mobilization of volunteers across jurisdictions and strengthen regional mutual aid;
- Training of ESAR-VHP system administrators;
- Development or expansion of the system to identify volunteers willing to participate in a federally coordinated emergency response;
- Establishment of working relationships with external partners, such as the local and/or State Emergency Management Agency;
- Testing of the ESAR-VHP program (system and volunteers) through drills and exercises;
- Development and implementation of plans and processes for reporting program performance and capabilities;
- Joint Medical Reserve Corps (MRC) and ESAR-VHP volunteer recruitment activities;
- Development and implementation of mechanisms for the registration and credentials verification of MRC volunteers; and
- Integrated training and exercising of MRC and ESAR-VHP volunteers in coordination with local, state, and regional response partners.

Department: HEALTH  
 Prog ID(s): HTH 131  
 Name of Fund: Public Health Immunization Infrastructure  
 Legal Authority Public Health Service Act, Sections 301(A) and 317 (K) (2), as amended

Contact Name: Sarah Y. Park, M.D., F.A.A.P.  
 Phone: (808) 587-6843  
 Fund type (MOF) N  
 Appropriation Acct. No. S-14-242

Intended Purpose: To assist grantees in meeting specific needs and making the changes necessary to be more effective in the Affordable Care Act environment. It will allow enhancement of the technology infrastructure of the Hawaii Immunization Registry (HIR) that captures and shares comprehensive life span immunization data among authorized users to aid, coordinate, and promote effective and cost-efficient disease prevention and Source of Revenue Federal grants.

Current Program Activities/Allowable Expenses: Enhance interoperability between electronic health records and the Hawaii Immunization Registry and the use of HL7 standard messaging for data flow from provider offices to the Hawaii Immunization Registry. In addition, the development of a vaccine Purpose of Proposed Ceiling Increase (if applicable) Not applicable.

Variations: Grant ended. Variations primarily due to timing issues.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					0	0	0
Beginning Cash Balance		9,904	97	33	0	0	0
Revenues		99,975	89,739	30,265	0	0	0
Expenditures		109,782	89,803	30,298	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance	0	97	33	0	0	0	0
Encumbrances		102,656	119,280	16,982			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Senior Medicare Patrol Capacity Building Grant  
 Legal Authority: 42 USC 3031-3037-B

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF): P  
 Appropriation Acct. No.: S 244 H

Intended Purpose:

Provide advocacy, planning program development, and coordinated system of opportunity and services for adults 60+ and family caregivers. To expand the capacity of Senior Medicare Patrol projects to reach larger numbers of Medicare beneficiaries, their caregivers and family members with the SMP message of fraud prevention. The goal is to enhance SMP efforts to reach beneficiary populations in the geographical locations of the Medicare Strike Forces in collaboration with law enforcement, and other partners such as CMS and community organizations.

Source of Revenues:

Administration on Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Grant ended

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	88,712	157,453	98,218	23,463	0	0	0
Beginning Cash Balance	0	17,715	1,036	3,918	0	0	0
Revenues	86,246	77,775	71,585	18,786	(0)	0	0
Expenditures	68,531	16,679	68,703	22,704	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance	17,715	78,811	3,918	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	17,715	78,811	3,918	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): HTH 495  
 Name of Fund: see source of revenues  
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi  
 Phone: 586-4682  
 Fund type (MOF): P  
 Appropriation Acct. No.: S 245 H

Intended Purpose: The purpose of the various grants is to provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: 1) Hawaii's State Mental Health Data Infrastructure Grant for Quality Improvement (FY 13); 2) Mental Health Transformation State Incentive Grant (FY 13); 3) The Hawaii Multicultural Action Initiative (FY 13); 4) Mental Health Transformation Grant: Implementing a Trauma-Informed System of Care (FY 13 to FY 16)

Current Program Activities/Allowable Expenses: Activities include data infrastructure enhancement; development of a comprehensive strategy to respond to the needs and preferences of consumers with mental illness or families of persons with mental illness; development of a training curriculum and web-based link with public health information for ethnic minorities and newest immigrant populations; and enhancement and development of the core adult mental health service array and the infrastructure that supports those services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The FY 2017 variances are attributed to the completion all of the grants included in this appropriation account.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,510,962	937,748	731,827	0	0	0	0
Beginning Cash Balance	30,061	50,198	17,864	17,735	10,302	10,302	10,302
Revenues	1,559,928	375,580	310,734	143,873	0	0	0
Expenditures	1,528,486	407,914	310,863	151,305	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(11,305)						
Net Total Transfers	(11,305)	0	0	0	0	0	0
Ending Cash Balance	50,198	17,864	17,735	10,302	10,302	10,302	10,302
Encumbrances	163,096	10,287	3,497	18,316			
Unencumbered Cash Balance	(112,898)	7,577	14,238	(8,013)	10,302	10,302	10,302

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH  
 Prog ID(s): HTH 840 FF  
 Name of Fund: State Diesel Grant Program - ARRA  
 Legal Authority American Recovery and Reinvestment Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) V  
 Appropriation Acct. No. S 252 H

Intended Purpose: Reduce diesel emissions by retrofitting vehicles.

Source of Revenues: ARRA

Current Program Activities/Allowable Expenses: Target fleet, including school vehicles, for certified diesel retrofitting or replacement.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant activities ended in FY 12.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH  
 Prog ID(s): HTH 840 FJ  
 Name of Fund: Leaking Underground Storage Tank - ARRA  
 Legal Authority American Recovery and Reinvestment Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) V  
 Appropriation Acct. No. S 253 H

Intended Purpose: Implement ARRA-funded Leaking Underground Storage Tank activities.

Source of Revenues: ARRA

Current Program Activities/Allowable Expenses: Contract oversight, technical assistance and required federal reporting.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant activities ended in FY 13.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	110,670	0	0	0	0	0	0
Expenditures	110,670	0	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	23,804	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH  
 Prog ID(s): HTH 840 FH  
 Name of Fund: Drinking Water Revolving Funds - ARRA  
 Legal Authority: American Recovery and Reinvestment Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF): V  
 Appropriation Acct. No.: S 254 H

Intended Purpose: Implement ARRA-funded Drinking Water Revolving Fund construction activities.

Source of Revenues: ARRA

Current Program Activities/Allowable Expenses: Construction loans oversight, inspections, data management and reporting, financial report preparation, and completion of loan payment requests

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant activities ended in FY 13.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	367,813	0	0	0	0	0	0
Expenditures	367,813	0	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH  
 Prog ID(s): HTH 610 FR  
 Name of Fund: Air Pollution Control Program Support  
 Legal Authority: PL 95-95; Clean Air Act Sections 103 & 105

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 255 H

Intended Purpose: Establish indoor air quality program; provide information and educational material to managers, owners, and occupants of publicly-owned buildings and assist managers and owner-occupants of publicly-owned buildings to identify, assess and correct indoor air pollution problems.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Plan and develop comprehensive indoor air quality program; public outreach and networking.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: All grants in HTH 610 were included in S 255 H prior to FY 2014. From FY 14 on, it contains only one grant, which is a subaward. New federal funds methodology impedes spending, so many expenditures were coded directly to the prime grant award in HTH 840/FF, S-559-H.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	577,269	224,607	144,233	152,000	158,000	158,000	158,000
Beginning Cash Balance	0	0	41,760	39,533	39,607	39,607	39,607
Revenues	489,564	54,213	77,763	21,050	158,000	158,000	158,000
Expenditures	469,447	41,740	79,990	20,976	158,000	158,000	158,000
Transfers							
List each net transfer in/out; list each account number							
			0				
Net Total Transfers	0	0	0				
Ending Cash Balance	20,117	12,473	39,533	39,607	39,607	39,607	39,607
Encumbrances	16,541	16,441	0	38	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Footnote:** Prior to FY14, S-255 was the parent account for all appropriated federal funds in HTH 610. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award and MOF P has been added as a means of financing. Reference S-507 and S-536.

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: Healthy Hawaii Initiative  
 Legal Authority: Sections 301A, 311BC, & 317K2 of the PHS Act

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) N  
 Appropriation Acct. No. S XX 257 H

Intended Purpose: Provide public education and health programs in nutrition, physical activity and obesity prevention.

Source of Revenues: US Department of Health and Human Services, Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: None

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: This grant was incorporated into HTH590 (S 225 H) pursuant t Act 164/SLH 2011.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	130,585						
Expenditures	130,585						
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: ARRA - Special Supp. Nutrition Program for WIC  
 Legal Authority: P.L. 111-5

Contact Name: SUSAN KANOUR  
 Phone: 586-8190  
 Fund type (MOF) V  
 Appropriation Acct. No. S 258 H

Intended Purpose: The Technology grant would allow WIC to procure contracted services to: 1) conduct a feasibility study of transferring other WIC information systems including State Agency Models; 2) develop the Implementation Advance Planning Document (IAPD); 3) develop a Request for Proposal (RFP) for the replacement WIC information system; and 4) contract a Project Manager to oversee the systems transfer implementation.

Source of Revenues: U.S. Department of Agriculture, Food & Nutrition Service

Current Program Activities/Allowable Expenses: Hawaii WIC's contract ASO Log No. 10-193-ARRA with Burger Carroll & Assoc. (BCA) to conduct the feasibility study, develop the IAPD, and create a scope of work for the RFP for transfer data system has been completed.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Feasibility study, IAPD and Scope of Work for RFP was completed by BCA on October 27, 2011. Remaining balance of ARRA grant was used to pay for MIS costs to Maximus Health Services, Inc. on ASO Log No. 13-060.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	113,462	12,152	0	0	0	0	0
Expenditures	113,462	12,152	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HEALTH  
 Prog ID(s): HTH 460  
 Name of Fund: Block Grants for Community Mental Health Services  
 Legal Authority: Subparts I&III,B,Title XIX,PHS Act/45 CFR Part96

Contact Name: Wakaba Stephens  
 Phone: 733-9866  
 Fund type (MOF) N  
 Appropriation Acct. No. S 259 H

**Intended Purpose:**

To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

**Source of Revenues:**

Federally funded grant from SAMHSA.

**Current Program Activities/Allowable Expenses:**

Providing mental health services and promoting programs for public awareness on mental health

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

The grant was previously assigned to S214 but it was assigned to S259 in FY2016.

The grant was assigned back to S214 in FY2017.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,045,765	321,055	0	0
Beginning Cash Balance	0	0	0	0	18,265	18,265	18,265
Revenues				742,975	300,000	0	0
Expenditures				724,710	300,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	18,265	18,265	18,265	18,265
Encumbrances				255,785			
Unencumbered Cash Balance	0	0	0	(237,520)	18,265	18,265	18,265

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Aging & Disability Resource Center Expansion HD  
 Legal Authority: 42 USC 241; 24 CFR 52

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) P  
 Appropriation Acct. No. S 262 H

Intended Purpose:

To develop a hospital discharge planning model that meaningfully engages and solicits patient input and participation and maximizes the opportunity for Medicaid patients to return home and community based supports upon discharge. Also to establish highly visible and trustworthy Aging Disability Resource Centers that are easily accessible to the public and responsive to their need for information to long term care options.

Source of Revenues:

Administration on Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Grant ended 9/29/13

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	197,638	44,136	0	0	0	0	0
Beginning Cash Balance	5,456	0	0	0	0	0	0
Revenues	358,230	204,419	0	0	0	0	0
Expenditures	363,686	204,144	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance	0	275	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	275	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Hawaii's Community Living Project  
 Legal Authority: 42 USC 241; 24 CFR 52

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) N  
 Appropriation Acct. No. S 263 H

Intended Purpose:

Pilot project to assist individuals who are lacking financial resources but not Medicaid eligible, and are at functional risk of nursing home placement, to remain living in their home and avoid impoverishment.

Source of Revenues:

Administration on Aging

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ended.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	386,594						
Beginning Cash Balance	4,932	0	0	0	0	0	0
Revenues	594,703						
Expenditures	599,635						
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information: Grant ended on 9/30/13

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: ARRA -Healthy Promotion and Surveillance-Component I  
 Legal Authority: American Recovery & Reinvestment Act (ARRA) of 2009

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) V  
 Appropriation Acct. No. S XX 265 H

Intended Purpose: To create jobs, and to expand the existing program that promotes wellness and prevention of chronic disease through state-wide and local policy and systems change approaches.

Source of Revenues: ARRA Funds from US Department of Health and Human Services, Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: To further nutrition-access/support breastfeeding policy change and maternity care practices and increase the number of hospitals across the state that are Baby-Friendly certified. Also, introduce and pass worksite wellness liability legislation and align state and county policies and comply with new Federal Drug Administration Tobacco Control Act.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Grant ended 2/3/13.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	4,000	8,606	(0)	(0)	(0)	(0)	(0)
Revenues	64,222	18,194					
Expenditures	59,616	26,800					
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	8,606	(0)	(0)	(0)	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	8,606	(0)	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: ARRA -Healthy Promotion and Surveillance-Component III  
 Legal Authority: American Recovery & Reinvestment Act (ARRA) of 2009

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) V  
 Appropriation Acct. No. S XX 266 H

Intended Purpose: 'To create jobs, and to expand the existing program to promote wellness and prevent chronic disease through state-wide and local policy and systems change approaches.

Source of Revenues: ARRA Funds from US Department of Health and Human Services, Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: Expand promotion and reach of the Hawaii Tobacco Quitline to increase the number of people who successfully quit smoking.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Grant ended 2/3/13

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	72,323						
Expenditures	72,323						
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: ARRA Chronic Disease Self Management  
 Legal Authority: Div A, Title VII of the American Recovery and Reinvestment Act

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) V  
 Appropriation Acct. No. S 268 H

Intended Purpose:

To support state efforts to deploy evidence-based chronic disease self-management (CDSMP) programs that empower older adults with chronic diseases to maintain and improve their health status.

Source of Revenues:

Administration on aging

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ended.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0						
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	13,400						
Expenditures	13,400						
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HEALTH  
 Prog ID(s): HTH 460  
 Name of Fund: Hawaii's System of Care Expansion Implementation Cooperative Agreements  
 Legal Authority: Section 561 thru 565 of the Public Health Services Act As Amended

Contact Name: Wakaba Stephens  
 Phone: 733-9866  
 Fund type (MOF) P  
 Appropriation Acct. No. S 273 H

**Intended Purpose:**

To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

**Source of Revenues:**

Federally funded grant from SAMHSA.

**Current Program Activities/Allowable Expenses:**

Implementing the strategies developed through the System of Care Expansion Planning Grant. Strategies include development of an interagency architecture for problem-solving, specialized services, collaborative training initiatives, and the promotion of social inclusion through social media campaigns.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Revenues and expenditures increased from FY2013 to FY2014 due to the increase of activities.

Revenues and expenditures increased from FY2014 to FY2015 due to a new service contract.

Revenues and expenditures increased from FY2015 to FY2016 due to the increase of services provided in one of the contracts.

The grant in in the no-cost extension in FY2017-FY2018 and will end as of 9/29/2017.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	854,978	2,813,171	2,260,623	1,579,192	909,987	150,000	0
Beginning Cash Balance	0	925	19,821	15,490	30,995	30,995	30,995
Revenues	91,000	574,558	677,100	1,126,464	909,987	150,000	0
Expenditures	90,075	555,663	681,432	1,110,959	909,987	150,000	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	925	19,821	15,490	30,995	30,995	30,995	30,995
Encumbrances	4,220	25,500	49,171	177,415			
Unencumbered Cash Balance	(3,295)	(5,679)	(33,681)	(146,421)	30,995	30,995	30,995

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Hawaii's Lifespan Respite  
 Legal Authority PL 109-442

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) P  
 Appropriation Acct. No. S 280 H

Intended Purpose:  
 To assist family caregivers in accessing affordable high quality respite care.

Source of Revenues:  
 Administration on Community Living  
 Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:  
 Grant ended 7/31/15

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	68,639	151,423	74,295	0	0	0	0
Beginning Cash Balance	0	2,941	0	3,348	0	0	0
Revenues	47,425	74,187	59,292	10,002	(0)	0	0
Expenditures	44,484	77,128	55,944	13,349	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance	2,941	0	3,348	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	2,941	0	3,348	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HEALTH  
 Prog ID(s): HTH 460  
 Name of Fund: Hawaii's System of Care Expansion Planning Grant  
 Legal Authority: Section 561 of the Public Health Services Act As Amended

Contact Name: Wakaba Stephens  
 Phone: 733-9866  
 Fund type (MOF) N  
 Appropriation Acct. No. S 282 H

Intended Purpose:

To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental

Source of Revenues:

Federally funded grant from SAMHSA.

Current Program Activities/Allowable Expenses:

Developing a strategic plan that meets the needs of children and youth with co-occurring mental health needs and developmental disabilities through interagency collaboration, the provision of coordinated services., and focus group meetings.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

This grant ended in FY2014.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	445,900	29,550	0	0	0	0	0
Beginning Cash Balance	1,238	1,238	0	0	0	0	0
Revenues	434,998	(9,180)	0	0	0	0	0
Expenditures	416,150	10,906	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	20,086	(18,848)	0	0	0	0	0
Encumbrances	200	0	0	0	0	0	0
Unencumbered Cash Balance	19,886	19,886	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): HTH 720  
 Name of Fund: Upgrading Hawaii's Criminal Background Check System  
 Legal Authority Act 071, SLH 2015

Contact Name: Keith Ridley  
 Phone: 692-7227  
 Fund type (MOF) P  
 Appropriation Acct. No. S 284 H

**Intended Purpose:**

To upgrade Hawaii's Criminal Background Check system and subsequently enhance the state's ability to meet its obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing background checks for all parties wishing to provide services.

**Source of Revenues:**

The source of revenues are from Federal grant award 1A1CMS331099-01-01. The contract funding is for three (3) years, December 17, 2012 to December 16, 2015.

**Current Program Activities/Allowable Expenses:**

Develop a "rap back" program within the Hawaii Criminal Justice Data Center (HCJDC). Rap back is the term used for a system designed to determine whether an individual who had previously obtained a clearance on a criminal history background check but subsequently was arrested or convicted would continue to qualify for employment in certain health care work positions.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The majority of the grant work began in FY 2016 and will carry through FY 2017.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	797,000	797,000	736,591	736,591	736,591	0
Beginning Cash Balance		0	0	56	0	0	0
Revenues		0	60,465	231,450	200,000	9,000	0
Expenditures		0	60,409	231,506	200,000	9,000	
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	56	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	56	0	0	0	0

**Additional Information:**

Grant ends on 12/15/2016; a one (1) year no-cost grant extension will be submitted to the Centers for Medicare and Medicaid Services (CMS). DOH/OHCA is a pass-through - reimbursement to DHS and or HCJDC.

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): \_\_\_\_\_  
 Name of Fund: \_\_\_\_\_  
 Legal Authority \_\_\_\_\_

Contact Name: \_\_\_\_\_  
 Phone: \_\_\_\_\_  
 Fund type (MOF) \_\_\_\_\_  
 Appropriation Acct. No. S 291H

Intended Purpose:

Source of Revenues:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:  
 Grant ended

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					0	0	0
Beginning Cash Balance				30,601	0	0	0
Revenues				0	0	0	0
Expenditures				30,601	0	0	0
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Program for Infants and Toddlers with Handicaps  
 Legal Authority: P.L. 108-446, Individuals with Disabilities Act, Part C

Contact Name: Lane Aakhus  
 Phone: 733-9062  
 Fund type (MOF) N  
 Appropriation Acct. No. S 292 H

Intended Purpose: To provide a statewide, comprehensive, multidisciplinary system of early intervention services for infants and toddlers with special needs (age 0 to 3) with the State Department of Health as the lead agency in Hawaii.

Source of Revenues: U.S. Department of Education, Office of Special Education Programs

Current Program Activities: These funds are currently supporting multiple activities within that system of services, including administrative personnel, psychological, nutritional, and other professional support; and other required federal activities.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. The variance in revenues and expenditures between FY 2014-15 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. Variance in revenues between FY 2015-16 is due to the drawdown of \$2,634,871 in grant funds to cover actual expenditures incurred for FY 2015. Variance in expenditures between FY 2015-16 and beyond reflects a decrease in the projected expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	2,771,557	2,771,557	2,771,557	2,771,557	2,771,557	2,771,557
Beginning Cash Balance	0	0	60,784	69,089	6	6	6
Revenues	0	1,521,387	2,634,871	1,835,717	2,149,000	2,149,000	2,149,000
Expenditures	0	1,460,603	2,626,566	1,904,801	2,149,000	2,149,000	2,149,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	60,784	69,089	6	6	6	6
Encumbrances	0	410,052	117,843	300,532	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH  
 Prog ID(s): HTH 560

Contact Name: SUSAN KANOUR  
 Phone: 586-8190

Name of Fund: BREASTFEEDING PEER COUNSELING (WIC BFPC)

Fund type (MOF) N

Legal Authority Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786. Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C 1746.

Appropriation Acct. No. S 293 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to provide breastfeeding peer counseling to WIC women.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services

Current Program Activities/Allowable Expenses: Breastfeeding peer counseling services to WIC women.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between 2014-15 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. Variance in revenues between FY 2015-16 is due to the draw-down of \$258,427 for expenditures incurred in FY 2015. The variance in expenditures between FY 2015-16 is due to the addition of the outstanding encumbrances of \$39,147 from FY 2015 to the estimated expenditures for FY 2016, less the remaining balance of outstanding encumbrances of \$86,456 at 6/30/2016.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	382,144	382,144	382,144	382,144	382,144	382,144
Beginning Cash Balance	0	0	8,366	10,028	1,129	1,129	1,129
Revenues	0	182,393	258,427	251,897	319,043	319,043	319,043
Expenditures	0	174,027	256,765	260,796	319,043	319,043	319,043
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	8,366	10,028	1,129	1,129	1,129	1,129
Encumbrances		46,214	39,147	86,456	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:							
Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Community Based Child Abuse Prevention (CBCAP) Grant (project: 000270)  
 Legal Authority: Title II of the Child Abuse Prevention and Treatment Act  
(72 U.S.C. 5116 et seq.), amended by P.L. 108-36

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) N  
 Appropriation Acct. No. S 294 H

Intended Purpose: To support community-based efforts to develop, operate, expand, enhance, and coordinate initiatives, programs, and activities to prevent child abuse and neglect and to support the coordination of resources and activities to better strengthen and support families to reduce the likelihood of child abuse and neglect; and to foster understanding, appreciation and knowledge of diverse populations in order to effectively prevent and treat child abuse and neglect.

Source of Revenues: DHHS, Administration on Children, Youth and Families  
 Current Program Activities/Allowable Expenses:

- A. Support the Hawaii Children's Trust Fund (HCTF) in preventing child abuse and neglect through the development, implementation and evaluation of the HCTF Public Awareness Campaign statewide.
- B. Provide technical assistance to HCTF grantees and state service providers to develop outcome-based programs which incorporate evidence-based practices to strengthening families and to prevent child abuse and neglect.
- C. Lead and collaborate with the Title V Child Abuse and Neglect (CAN) workgroup and the Maternal Child Health Branch (MCHB) Violence Collaborative to include strength based approaches, promotion of protective factors, comprehensive data collection, analysis and evaluation of MCHB prevention programs.
- D. Use a community based participatory planning process to design and implement a statewide CAN prevention plan.
- E. Collaborate and partner with existing networks to build the capacity of: culturally appropriate focused models for disparate populations; data and surveillance; and parent engagement initiatives.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. Variances in revenues and expenditures from FY 2014 to FY 2016 is due to the figures being calculated on a cash basis in the state fiscal year. Each state fiscal year can include revenues and expenditures from multiple federal budget years. Fiscal year 2017 to FY 2019 is based on the latest award received which is an increase from previous awards.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	801,520	801,520	801,520	801,520	801,520	801,520
Beginning Cash Balance	0	0	3,578	3,662	5,373	5,373	5,373
Revenues	0	186,937	148,813	344,928	454,196	454,196	454,196
Expenditures	0	183,359	148,729	343,218	454,196	454,196	454,196
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	3,578	3,662	5,373	5,373	5,373	5,373
Encumbrances	0	7,778	83,345	16,108	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Family Planning Services Grant (project: 000239)  
 Legal Authority: Title X of the Public Health Service (PHS) Act, as amended; 42 CFR 59

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) N  
 Appropriation Acct. No. S 295 H

Intended Purpose: To assure statewide family planning services and related preventive health education, education, counseling and referrals in accordance to Title X Program requirements for all people of reproductive age (primarily low-income and hard-to-reach individuals that are most under-served).

Source of Revenues: U.S. Department of Health & Human Services (DHHS), PHS  
 Current Program Activities/Allowable Expenses:

- A. Maintain availability, through Purchase of Service (POS) contracts, of affordable, high quality family planning services to those in greatest need and with no other access to preventive medical services (i.e. populations including individuals at-risk of costly unintended pregnancy, and hard-to-reach individuals such as homeless, teens, at-risk youths, males, disabled, substance abusers, etc.).
- B. Evaluate medical services and community, participation, education and project promotion services provided through POS contracts.
- C. Facilitate training opportunities for clinical and administrative, financial and community, participation, education and project promotion providers and promote standards of care for family planning services and related preventive health services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variations: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. The variance in revenues and expenditures between 2014-15 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. Variance in revenues between FY 2015-16 is due to the drawdown of only \$2,084,422 for expenditures incurred in FY 2015. The variance in expenditures between FY 2015-16 is due to the addition of the outstanding encumbrances of \$661,276 from FY 2015 to the estimated expenditures for FY 2016.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	2,459,927	2,459,927	2,459,927	2,459,927	2,459,927	2,459,927
Beginning Cash Balance	0	0	1,699	308,673	17,999	17,999	17,999
Revenues	0	1,822,314	2,084,422	2,000,489	2,157,300	2,157,300	2,157,300
Expenditures	0	1,820,615	1,777,448	2,291,163	2,157,300	2,157,300	2,157,300
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	1,699	308,673	17,999	17,999	17,999	17,999
Encumbrances	0	311,907	661,276	412,700	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Preventive Hlth and Hlth Svcs Block Grant–Sexual Assault Prev Prog (project: 0nn203)  
 Legal Authority: Part A, Title XIX, PHS Act, as amended

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) N  
 Appropriation Acct. No. S 297 H

Intended Purpose: To provide support and coordination of statewide sexual violence prevention education services and to provide technical assistance to increase public awareness of sexual violence.

Source of Revenues: DHHS, CDC  
 Current Program Activities/Allowable Expenses:

- A. Manage purchase of service contracts for sexual assault primary prevention services for the general public and/or for selected populations such as youth and immigrants to increase awareness of sexual violence and to reduce attitudes that tolerate sexual violence.
- B. Collaborate on public awareness activities with Hawaii Coalition Against Sexual Assault.
- C. To collaborate and plan with the Sexual Violence Primary Prevention Planning Committee for such activities as a needs assessment of attitudes about sexual violence among selected communities.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. The variance in revenues and expenditures between 2014-15 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. Variance in revenues between FY 2015-16 is due to the draw-down of only \$18,578 in grant funds to cover the FY 2015 liquidations. In FY 2014 this grant will be under appropriation S 589.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	82,481	52,336	52,336	52,336	52,336	52,336
Beginning Cash Balance	0	0	761	0	787	787	787
Revenues	0	7,762	18,578	22,350	20,000	20,000	20,000
Expenditures	0	7,001	19,339	21,563	20,000	20,000	20,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	761	0	787	787	787	787
Encumbrances	0	20,000	0	11,612	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH  
 Prog ID(s): HTH 840 FG  
 Name of Fund: Water Pollution Control - Surface Water  
 Legal Authority: PL 92-500, federal Water Pollution Control Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 298 H

Intended Purpose: Comply with federal mandates of Environmental Protection Agency Clean Water Act that regulates protection of the environment and public health.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, technical assistance, permitting, enforcement, disease surveillance and investigation, laboratory capabilities and certification, public participation, data management, training for delegated programs, and regulation of permitted water pollution sources.

Purpose of Proposed Ceiling Increase (if applicable): Adjustment to appropriation ceiling to match anticipated award amounts on Form FF. The proposed decrease in MOF N ceiling is mainly due to this grant changing from 2-year to 3-year period for the current award.

Variances: Funds were part of S 201 H prior to FY 2014. Grant usually has a 2-year budget/project period, but current award is for 3-year period. Ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		3,365,634	4,003,530	2,050,000	4,204,000	4,061,768	2,080,418
Beginning Cash Balance		0	37,877	49,175	47,388	47,388	47,388
Revenues		1,081,855	1,510,614	1,464,707	2,102,000	2,030,884	2,080,418
Expenditures		1,145,978	1,499,316	1,466,494	2,102,000	2,030,884	2,080,418
Transfers							
List each net transfer in/out; list each account number							
			0				
Net Total Transfers			0				
Ending Cash Balance			49,175	47,388	47,388	47,388	47,388
Encumbrances		167,944	111,314	69,490	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH  
 Prog ID(s): HTH 840 FG  
 Name of Fund: Water Pollution Control - Monitoring Initiative  
 Legal Authority PL 92-500, federal Water Pollution Control Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 299 H

Intended Purpose: Prevent, reduce, and eliminate water pollution.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Surface water monitoring, providing public access to monitoring data, and participating in training pertinent to surface water monitoring.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Funds were part of S 201 H prior to FY 2014. Current total grant award period was uncertain, but seemed to be changing to a 1-year grant. However, newer information is that it will remain a 5-year grant. Expenditures vary according to grant workplan.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		610,242	277,695	292,000	539,000	264,709	277,945
Beginning Cash Balance		0	754	8,796	0	0	0
Revenues		253,750	148,589	127,019	269,500	264,709	277,945
Expenditures		252,996	140,547	132,567	269,500	264,709	277,945
Transfers							
List each net transfer in/out; list each account number							
Various JV				(2,831)			
Net Total Transfers	0	0	0	(2,831)			
Ending Cash Balance	0	754	8,796	417	0	0	0
Encumbrances		2,395	37,833	94	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: Health  
 Prog ID(s): HTH 730  
 Name of Fund: Emergency Medical Services Special Fund  
 Legal Authority: Section 321-234, 249-31 (b), 245-15, 245-3, HRS.

Contact Name: Terry Joyce  
 Phone: 733-9217  
 Fund type (MOF): B  
 Appropriation Acct. No.: S 301 H

Intended Purpose: Support for operating a state comprehensive emergency medical services system.

Source of Revenues: Fees from annual motor vehicle registration and cigarette tax imposed pursuant to Section 245-15 and 245-3, HRS.

Current Program Activities/Allowable Expenses: Emergency medical services; education and training of emergency medical personnel statewide.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variations: See Note 3, Note 4, and Note 5 below.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,196,503	13,196,503	14,196,503	14,796,503	14,796,503		
Beginning Cash Balance	25,972,043	22,560,179	22,888,806	24,021,862	26,085,245	15,609,181	30,146,823
Revenues	9,410,399	14,235,735	14,005,640	15,490,367	14,537,642	14,537,642	14,537,642
Expenditures	12,822,264	13,307,108	12,401,842	13,426,984	25,013,705		
Transfers							
JS1418 dated 09/12/2013		(600,000)					
JS4528 dated 02/10/2015			129,258				
JS6351 dated 05/11/2015			(600,000)				
Net Total Transfers		(600,000)	(470,742)	0	0	0	0
Ending Cash Balance	22,560,179	22,888,806	24,021,862	26,085,245	15,609,181	30,146,823	44,684,464
Encumbrances	9,475,750	8,326,628	9,308,103	10,217,202	0	0	0
Unencumbered Cash Balance	13,084,429	14,562,177	14,713,759	15,868,043	15,609,181	30,146,823	44,684,464

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Note 1:** Data Source Reference for Actual FY 2016 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 2:** Data Source Reference for Actual FY 2016 Encumbrances (Unliquidated Balance): MBP490-A, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 3:** The estimated revenues for FYs 2017-19 include projected revenue from vehicle registration fees, cigarette sales, and investment pool account and are based on revenues recorded in FAMIS as of: 09/15/2016. Pursuant to ACT 238, SLH 2015, effective July 1, 2015 the rate for tax collection for cigarette sales continues at \$0.0125 and is scheduled to remain in effect for the continuing years, but not more than \$8,800,000 in a fiscal year shall be deposited to the credit of the emergency medical services special fund.

**Note 4:** The estimated expenditures for FY 2017 are equal to fully expending estimated revenue available within the appropriation ceiling and expenditures for encumbrances remaining from the prior year. The estimated appropriation ceiling and expenditures for FY 2018 and FY 2019 (\$0) are based on acceptance of a budget request in Fiscal Biennium 2017-2019 to replace \$14,796,503 in Emergency Medical Services Special Funds with \$14,796,503 in General Funds for Statewide Ambulance Services.

**Note 5:** The transfer of funds in FY 2014 and FY 2015 are pursuant to the purposes of ACT 242, SLH 2013.

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Newborn Metabolic Screening Special Fund  
 Legal Authority: Section 321-291, HRS

Contact Name: Lane Aakhus  
 Phone: 733-9062  
 Fund type (MOF) B  
 Appropriation Acct. No. S 302 H

Intended Purpose: This fund is used for payment of its lawful operating expenditures, including, but not limited to laboratory testing, follow-up testing, educational materials, continuing education, quality assurance, equipment, and indirect cost.

Source of Revenues: Per the Hawaii Administrative Rules, the amount collected for each metabolic screening (testing) kit sold in Hawaii (current charge per kit is \$55) is deposited to this special fund.

Current Program Activities/Allowable Expenses: The Newborn Metabolic Screening Program (NBMSPP) has statewide responsibilities for assuring that infants born in the State of Hawaii are satisfactorily tested for 32 disorders which can cause mental and growth retardation and even death, if not detected and treated early in the newborn period. NBMSPP tracks and follows-up to assure that infants with detected diseases are provided with appropriate and timely treatment.

Purpose of Proposed Ceiling Adjustment (if applicable): In the FY 2017 Supplemental Budget we are requesting a \$250,000 increase in the ceiling.

Variances: The variance in revenues between FY 2013 to FY 2016 reflects an outstanding deposit from Tripler Army Medical Center for \$198,000 in FY 2016 towards the actual deposits to the special fund. The slight increase in expenditures between FY 2016 and beyond is reflective of the projected operational requirements for the

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,234,569	1,234,569	1,234,569	1,234,569	1,484,569	1,484,569	1,484,569
Beginning Cash Balance	1,445,970	1,175,795	1,151,679	1,049,158	601,079	726,079	851,079
Revenues	908,636	1,240,500	1,155,373	844,582	1,525,000	1,525,000	1,525,000
Expenditures	1,178,811	1,264,616	1,257,894	1,292,661	1,400,000	1,400,000	1,400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,175,795	1,151,679	1,049,158	601,079	726,079	851,079	976,079
Encumbrances	316,759	193,956	107,019	286,589	100,000	100,000	100,000
Unencumbered Cash Balance	859,036	957,723	942,139	314,490	626,079	751,079	876,079

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Hawaii Birth Defects Special Fund  
 Legal Authority: Section 321-426, HRS

Contact Name: Lane Aakhus  
 Phone: 733-9062  
 Fund type (MOF): B  
 Appropriation Acct. No.: S 304 H

Intended Purpose: This fund is used for payment of its lawful operating expenditures, including indirect costs.

Source of Revenues: \$10 of each marriage license fee collected by the Hawaii State Department of Health is deposited to this special fund.

Current Program Activities/Allowable Expenses: This fund enables the State to have a continuous, reliable and timely statewide information and monitoring source for ascertaining the number of births with specific disabilities and abnormalities due to birth defects, trends, and changes over time.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variance in revenues between FY 2013 to FY 2016 reflects the actual deposits to the special fund from the marriage license fees. The variance in expenditures between FY 2016 and FY 2017 and beyond reflects an increase in personnel and operating costs for the Hawaii Birth Defects Monitoring Program.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	395,000	395,000	413,853	413,853	413,853	413,853	413,853
Beginning Cash Balance	886,144	1,010,266	870,773	761,431	668,801	612,301	555,801
Revenues	390,492	258,064	255,001	259,485	303,500	303,500	495,700
Expenditures	266,370	397,557	364,343	352,115	360,000	360,000	410,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,010,266	870,773	761,431	668,801	612,301	555,801	641,501
Encumbrances	35,201	43,713	18,038	10,000	25,000	25,000	40,000
Unencumbered Cash Balance	975,065	827,060	743,393	658,801	587,301	530,801	601,501

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Community Health Centers Special Fund  
 Legal Authority: Section 321-1.65, HRS

Contact Name: Gordon Takaki  
 Phone: 733-8365  
 Fund type (MOF) B  
 Appropriation Acct. No. S 305 H

Intended Purpose: Funds to be used by the Department of Health for the operations of Federally Qualified Health Centers (FQHCs).

Source of Revenues: Cigarette tax assessed and collected pursuant to Section 245-3(a), HRS.

Current Program Activities/Allowable Expenses: (1) Purchase of service (POS) contracts to 13 FQHCs to provide medical (perinatal, pediatric, adult primary care) & support services (svcs) to un- and under-insured individuals that are at or below 250% of the federal poverty level. Optional svcs include behavioral health care, dental treatment, & pharmaceutical svcs. (2) POS contract to Hana Health (an FQHC) for the provision of urgent care (24/7), & for comprehensive primary care svcs. (3) POS contract to Waianae Coast Comprehensive Health Ctr (an FQHC) for the provision of 24-hr emergency room svcs.

Purpose of Proposed Ceiling Adjustment (if applicable): Proposed changes to FB 2013-15 and beyond include a ceiling increase due to a change in the cigarette tax rate from .0075 to .0125 per cigarette effective July 1, 2013. The proposed ceiling will increase from \$8,591,526 to \$12,341,526 due to an increase in revenue projections.

Variances: The increase in revenues between FY 2013-2014 and FY 2014-2015 is a result of an increase to the cigarette tax rate from .0075 to .0125 cents per cigarette sold effective 07/01/2013. The 2015 Legislature capped the revenues to \$8.8 million effective 7/1/15. The decrease in expenditures between FY 2014-2015 is due to a decrease in the number of uninsured due to the Affordable Care Act (ACA). The projected increase in expenditures between FY 2016-2017 and beyond is due to an expansion of services to the uninsured besides basic medical care.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,591,526	12,341,526	12,341,526	12,341,526	12,341,526	12,341,526	12,341,526
Beginning Cash Balance	5,097,477	5,068,995	7,413,632	11,633,389	15,070,359	13,278,833	11,487,307
Revenues	5,919,445	8,788,789	9,382,599	8,805,985	8,800,000	8,800,000	8,800,000
Expenditures	5,947,927	6,444,152	5,162,842	5,369,015	10,591,526	10,591,526	10,591,526
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	5,068,995	7,413,632	11,633,389	15,070,359	13,278,833	11,487,307	9,695,781
Encumbrances	2,815,419	2,208,503	2,950,744	3,205,278	1,750,000	1,750,000	1,750,000
Unencumbered Cash Balance	2,253,576	5,205,129	8,682,645	11,865,081	11,528,833	9,737,307	7,945,781

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HEALTH  
 Prog ID(s): HTH 460  
 Name of Fund: TITLE XIX Med QUEST Carveout/General Outpatient  
 Legal Authority: Section 334-115, HRS

Contact Name: Wakaba Stephens  
 Phone: 733-9866  
 Fund type (MOF) B  
 Appropriation Acct. No. S 306 H

**Intended Purpose:**

To deposit revenues collected from treatment services rendered by mental health and substance abuse programs operated by the state.

**Source of Revenues:**

Investment pool interest, reimbursement through DHS for administrative cost claims, reimbursement for Medicaid eligible current services, and refund/reimbursement of prior period expenditures.

**Current Program Activities/Allowable Expenses:**

Program Activities include assuring a comprehensive array of mental health services for children and adolescents as well as the funding of salaries for Quality Assurance Specialists at the CAMHD Family Guidance Centers.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Revenues and expenditures increased due to the increase of the service provided from FY2013 to FY2014.

Revenues increased from FY2015 to FY2016 due to the increase of the reimbursement of mainland placement costs.

Estimated expenditures have been increased from FY2016 to FY2017 due to the projected increase of the service contract costs.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,930,963	14,985,824	14,985,824	15,043,973	15,070,731	15,000,000	15,000,000
Beginning Cash Balance	2,489,257	2,040,140	2,161,023	2,533,306	5,138,052	5,138,052	5,138,052
Revenues	6,863,580	8,363,982	9,012,059	10,664,966	9,000,000	9,000,000	9,000,000
Expenditures	7,312,697	8,243,099	8,639,776	8,060,220	9,000,000	9,000,000	9,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,040,140	2,161,023	2,533,306	5,138,052	5,138,052	5,138,052	5,138,052
Encumbrances	2,805,746	1,386,068	1,395,327	1,382,417			
Unencumbered Cash Balance	(765,606)	774,955	1,137,979	3,755,635	5,138,052	5,138,052	5,138,052

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 440  
 Name of Fund: Drug Demand Reduction Assessments Special Fund  
 Legal Authority: Section 706-650(3), HRS

Contact Name: Edward Mersereau  
 Phone: 692-7507  
 Fund type (MOF) B  
 Appropriation Acct. No. S 307 H

**Intended Purpose:**

Deposits to the Drug Demand Reduction Assessments (DDRA) Special Fund are intended "to supplement drug treatment and other drug demand reduction programs." The DDRA Special Fund allows additional resources to be committed to support needed alcohol and substance abuse treatment services to individuals at risk of further involvement in the criminal justice and correctional system. Also, as the State's "Driving Under the Influence (DUI)" rate continues to increase, it is vital that DDRA funds be used to develop and implement a range of strategies that directly addresses this important community issue.

Source of Revenues: Impositions of monetary assessments in cases involving persons convicted of an offenses related to drugs and intoxicating compounds under Part IV of Chapter 712, HRS.

**Current Program Activities/Allowable Expenses:**

Current services funded by the DDRA Special Fund provide adult substance abuse treatment services to offenders referred by the Intake Service Center on the island of Oahu (i.e., Oahu Community Correctional Center); evaluation services and support for the Strategic Prevention Framework; and diversion of high-risk youth engaged in minor delinquent behavior identified by the Judiciary' Family Court.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Increase in Appropriation Ceiling from \$500,000 to \$750,000 per Act 119, SLH2015.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	500,000	500,000	750,000	750,000	750,000	750,000
Beginning Cash Balance	933,739	1,145,508	1,434,083	1,499,579	1,513,166	1,513,166	1,513,166
Revenues	640,796	654,358	579,814	510,673	750,000	750,000	750,000
Expenditures	429,027	365,783	514,318	497,086	750,000	750,000	750,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,145,508	1,434,083	1,499,579	1,513,166	1,513,166	1,513,166	1,513,166
Encumbrances		326,605	118,490	341,932			
Unencumbered Cash Balance	1,145,508	1,107,478	1,381,089	1,171,234	1,513,166	1,513,166	1,513,166

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: Health  
 Prog ID(s): HTH 906  
 Name of Fund: State Health Planning and Development Fund  
 Legal Authority: Section 323D - 12.6 HRS

Contact Name: Gayle Ogawa  
 Phone: 587-0787  
 Fund type (MOF) B  
 Appropriation Acct. No. S 309 H

Intended Purpose: Support expenses association with Agency duties as mandated by Chapter 323D HRS.

Source of Revenues: Certificate of Need application fees

Current Program Activities/Allowable Expenses: Expenses associated with the administration of the State's Certificate of Need (CON) program as mandated in chapter 323D HRS. Expenses associated with maintaining and revising the State's Health Plan as mandated in chapter 323D HRS. Expenses associated with ACT 219 SLH 2007 that requires all public reviews related to CON application for Maui be heard on Maui instead of Honolulu.  
 Purpose of Proposed Ceiling Adjustment (if applicable): N/A Proposed ceiling increase in FY13 (\$119,763) and beyond to have SHPDA Special Fund contribute to SHPDA Operating Expenses.

Variations: According to 323D HRS, the Certificate of Need (CON) applications fees collected are to assist with the expenses associated with the administration of the CON program. Collection of fees varies from year-to-year based upon the economy, capital projects/expenditures, and the number of applications submitted by the health provider community. The expenditures also depend on the number of applications and whether those applications are administrative or standard application, and whether the applications are contested.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	114,000	114,000	114,000	114,000	114,000	114,000	114,000
Beginning Cash Balance	299,470	456,461	551,452	530,855	458,379	463,379	468,379
Revenues	182,184	148,159	9,229	71,759	55,000	55,000	55,000
Expenditures	25,193	53,168	29,826	20,510	50,000	50,000	50,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	456,461	551,452	530,855	582,105	463,379	468,379	473,379
Encumbrances	4,000	2,000	532	0			
Unencumbered Cash Balance	452,461	549,452	530,323	582,105	463,379	468,379	473,379

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: Health  
 Prog ID(s): HTH 720  
 Name of Fund: Office of Health Care Assurance Special Fund  
 Legal Authority: Section 321-1.4, HRS

Contact Name: Keith Ridley  
 Phone: 692-7227  
 Fund type (MOF) B  
 Appropriation Acct. No. S 310 H

**Intended Purpose:**

The Hospital and Medical Facilities special fund was enacted in the 1999 Legislature and amended in the 2002 Legislature to allow for deposit of all monies collected by the department in licensing fees and penalties to be expended to assist in offsetting educational program expenses to enhance the capacity of the program to improve public outreach efforts and consultations to industries, educate the public, department staff and providers by the Office of Health Care Assurance (OHCA).

**Source of Revenues:**

Licensing fees and penalties. The amount of penalties are generally small. Licensing fees will be created through the administrative rules process, which may take several months to implement and barring legislative intervention resulting from concerns raised by healthcare facility operators. Anticipate licensing fees implementation by FYE 2012.

**Current Program Activities/Allowable Expenses:**

OHCA has the responsibility of managing the State licensure and Federal certification of medical and health care facilities, agencies and services provided throughout the State in order to ensure acceptable standards of care are provided.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

OHCA will implement licensing fees beginning in FY 2017. Fee collection will increase in subsequent fiscal years.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	406,000	406,000	406,000	406,000	406,000	406,000	406,000
Beginning Cash Balance	7,895	50,243	44,522	54,468	61,640	61,640	186,640
Revenues	44,529	457	20,244	430	25,000	150,000	250,000
Expenditures	2,181	6,178	10,308	762	25,000	25,000	25,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
			10	5,447			
				(42,455)			
				44,512			
Net Total Transfers	0	0	10	7,504	0	0	0
Ending Cash Balance	50,243	44,522	54,468	61,640	61,640	186,640	411,640
Encumbrances			4,499				
Unencumbered Cash Balance	50,243	44,522	49,969	61,640	61,640	186,640	411,640

**Additional Information:**

Previously, Civil Monetary Penalty (CMP) funds were deposited into OHCA Special Fund (S-XX-310-H). It was determined that these federal funds cannot be co-mingled with other funds; Act 071, SLH 2015, establishes a civil monetary special fund - request to allot/expend sent to Governor; once approved, previous CMP revenue/expenditure funds/transactions will be transferred from 310 to 374.

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: Health  
 Prog ID(s): HTH 730  
 Name of Fund: Trauma System Special Fund  
 Legal Authority: Section 321-22.5, 245-15, 245-3, 291-, HRS

Contact Name: Terry Joyce  
 Phone: 733-9217  
 Fund type (MOF): B  
 Appropriation Acct. No.: S 311 H

Intended Purpose: Support for the continuing development and operation of a comprehensive state trauma system.

Source of Revenues: Tax pursuant to Section 245-15 and 245-3, HRS. Fines/surcharges pursuant to Section 291-, HRS (ACT 231, SLH 2007).

Current Program Activities/Allowable Expenses: Personnel cost, under/uncompensated trauma care costs incurred by hospitals.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3, Note 4, and Note 5 below.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,867,453	6,876,371	12,220,204	7,428,363	7,433,731	7,433,731	
Beginning Cash Balance	9,393,095	10,225,792	14,463,189	14,106,557	15,223,501	7,549,513	7,534,338
Revenues	5,999,646	10,653,010	11,403,444	7,602,792	7,597,377	7,582,203	7,582,203
Expenditures	5,166,948	6,436,686	11,760,076	6,485,847	9,824,780	7,447,865	0
Transfers							
	0	0	0	0	(5,446,586)	(149,513)	0
FYs 2016-19, per Act 238, SLH 2015. See Note 3 below.							
Net Total Transfers	0	0	0	0	(5,446,586)	(149,513)	0
Ending Cash Balance	10,225,792	14,442,116	14,106,557	15,223,501	7,549,513	7,534,338	15,116,541
Encumbrances	2,591,321	2,476,805	2,179,741	2,376,915	0	0	0
Unencumbered Cash Balance	7,634,471	11,965,312	11,926,816	12,846,587	7,549,513	7,534,338	15,116,541

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Note 1:** Data Source Reference for Actual FY 2016 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 2:** Data Source Reference for Actual FY 2016 Encumbrances (Unliquidated Balance): MBP490-A, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 3:** The estimated revenues for FYs 2017-19 include projected revenue from cigarette sales, traffic fines, and investment pool account and are based on revenues recorded in FAMIS as of: 09/19/2016. The projected revenue for FY 2017 includes \$15,174.37 for refund/reimbursement of prior period expenditures. Pursuant to ACT 238, SLH 2015, the rate for tax collection for cigarette sales decreased from \$0.0150 to \$0.01125 effective July 1, 2015 and is scheduled to remain in effect for the continuing years, but not more than \$7,400,000 in a fiscal year shall be deposited to the credit of the trauma system special fund. The unexpended and unencumbered moneys in the fund in excess of \$7,400,000 on June 30 of each fiscal year shall be transferred by the director of finance into and become a realization of the general fund on that date.

**Note 4:** The estimated expenditures for FY 2017 and FY 2018 are equal to fully expending the estimated balance (or estimated revenue) from the prior year, up to the limit of the appropriation ceiling for the FY, and the liquidation of outstanding prior year encumbrance. The estimated appropriation ceiling and expenditures for FY 2019 (\$0) are based on acceptance of a budget request in Fiscal Biennium 2017-2019 to replace \$7,433,731 in Trauma System Special Funds with \$7,433,731 in General Funds for Statewide Trauma System Services.

**Note 5:** The estimated transfer of funds in FY 2017 and FY 2018 are pursuant to ACT 238, SLH 2015.

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FJ  
 Name of Fund: Deposit Beverage Container Special Fund  
 Legal Authority: Section 342G-104 HRS

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF): B  
 Appropriation Acct. No.: S 313 H

Intended Purpose: Increase participation and recycling rates for specified deposit beverage containers; provide a connection between manufacturing decisions and recycling program management; reduce litter; reimburse consumers 5 cents on redeemed glass, plastic and aluminum containers.

Source of Revenues: Beverage container deposit fees and handling fees

Current Program Activities/Allowable Expenses: Administration, rules development and amendment, program accounting and reporting, and personnel.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Increases in revenue due to more container deposits collected from customers and sent to DOH from businesses selling items in containers covered by the HI-5 program. Decrease in expenditures is due to lower container redemption rate by consumers, which results in lower expenditures to redemption centers for deposit reimbursements and handling fees.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	71,035,881	71,059,796	71,059,796	71,124,958	71,147,889	71,147,889	71,147,889
Beginning Cash Balance	19,465,875	15,789,810	15,483,202	22,289,136	25,235,235	25,235,235	25,235,235
Revenues	58,199,697	59,658,362	62,696,920	58,396,621	60,000,000	60,000,000	60,000,000
Expenditures	61,875,761	59,964,970	55,890,986	55,450,523	60,000,000	60,000,000	60,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance	15,789,810	15,483,202	22,289,136	25,235,235	25,235,235	25,235,235	25,235,235
Encumbrances	16,235,535	10,791,222	13,464,828	15,930,614	13,464,828	13,464,828	13,464,828
Unencumbered Cash Balance	(445,724)	4,691,980	8,824,308	9,304,621	11,770,407	11,770,407	11,770,407

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HEALTH  
 Prog ID(s): HTH 501  
 Name of Fund: Neurotrauma Special Fund  
 Legal Authority: Section 321H-4, HRS

Contact Name: Vanessa Lau  
 Phone: 587-6043  
 Fund type (MOF) B  
 Appropriation Acct. No. S 314 H

Intended Purpose: Funding and contracting for services relating to neurotrauma; for education; assistance to individuals and families to identify and obtain access to services; creation of a registry of neurotrauma injuries within Hawaii; and necessary administrative expenses not to exceed two percent to total amount collected.

Source of Revenues: Surcharges levied under Sections 291-11.5, 291-11.6, 291C-12, 291C-12.5, 291C-12.6, 291C-102 and 291E-61, HRS.

Current Program Activities/Allowable Expenses: Contracts in the area of education awareness with 1) Brain Injury Association-Hawaii; 2) University of Hawaii (Concussion management research Neurotrauma support; 3) University of Hawaii (Neurotrauma registry), and The Queen's Medical Center (Statewide stroke network).

Purpose of Proposed Ceiling Adjustment (if applicable): DDD requests to increase the ceiling of the Neurotrauma Special Fund by \$300,000 to develop a comprehensive system to support survivors of neurotrauma injuries effective FY 2018.

Variances:

FY 2014: DDD has been contracting with UH for 2 contracts: 1) Hawaii Concussion Awareness Management Program and 2) Hawaii Neurotrauma Registry. DDD's expenditures are based on billings received from UH, therefore expenditures could be more in one Fiscal Year compared to others. For example, FY 2014 expenditure was 38% over expenditures in FY 2013 because there was a total of \$551,733 expenditures were encumbered in FY 2013. Also, the Hawaii Neurotrauma Registry contract started in March 2013, only a few months before the end of FY 2013.

FY 2016: In July 2015, the Neurotrauma program and SF was transferred from DDD's Outcome and Compliance Branch (OCB) to Community Resource Branch (CRB) and CRB was in the process of learning and negotiating existing contracts before pursuing new projects, therefore total expenditures decreased in FY 2016. Also, an ongoing contract with Queen's Medical Center (QMC), Hawaiian Islands Regional Stoke Network, ended in February 2016. A new contract with QMC had a retro start date of March 1, 2016 but was not fully executed until August 2016, QMC could not begin to invoice until FY 2017.

FY 2017: We anticipate to expend almost the same amount that we expect to receive in revenue.

FY 2018: In August 2016, DDD submitted Form A to increase the ceiling of the Neurotrauma SF by \$300,000 to develop a new comprehensive system to support survivors of Neurotrauma injuries effective FY 2018.

Note: Net total transfers includes the fund balance (S-11-379H) for Partnerships in Community Living Program that was returned to the State General Fund.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,038,992	1,038,992	1,038,992	1,038,992	1,038,992	1,038,992	1,038,992
Beginning Cash Balance	2,342,680	2,459,415	2,228,554	2,070,798	2,153,642	2,133,642	1,813,642
Revenues	861,207	801,742	785,029	784,351	830,000	830,000	830,000
Expenditures	744,472	1,032,603	942,784	701,507	850,000	1,150,000	1,150,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,459,415	2,228,554	2,070,798	2,153,642	2,133,642	1,813,642	1,493,642
Encumbrances	1,370,468	1,146,012	827,852	783,712			
Unencumbered Cash Balance	1,088,947	1,082,542	1,242,947	1,369,930	2,133,642	1,813,642	1,493,642

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: Interdepartmental Transfer  
 Legal Authority: Item E-8, Act 162, 2009 SLH, as amended by Act 180, 2010 SLH

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) U  
 Appropriation Acct. No. S 316 H

Intended Purpose: Fund used for participation in the State Nutrition Assistance Program nutrition education program (SNAP-Ed; formerly called Food Stamp Nutrition Education or FSNE) enables the State to get reimbursements based on non-federal dollars spent for approved nutrition education activities with populations that qualify for the federal nutrition assistance program.

Source of Revenues: Interdept'l transfer of US Department of Agriculture (USDA) reimbursements through Department of Human Services

Current Program Activities/Allowable Expenses: The funds can only be received and expended for activities approved on a state plan that is submitted prior to the beginning of each federal fiscal year to provide nutrition education to populations that meet the federal SNAP eligibility criteria. USDA provides updated guidance yearly for the development of state plans.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The USDA instituted a change in their method of funding from matching funds to a grant funded award. This change caused the difference in funding amount which is reflected in the award/ceiling and a minor difference in expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,586,451	1,589,845	610,000	610,000	610,000	610,000	610,000
Beginning Cash Balance	168,455	267,545	289,682	294,853	137,323	137,323	137,323
Revenues	428,646	345,170	293,351	229,930	610,000	610,000	610,000
Expenditures	329,556	323,033	288,180	387,460	610,000	610,000	610,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	267,545	289,682	294,853	137,323	137,323	137,323	137,323
Encumbrances	276,816	315,324	289,358				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FJ  
 Name of Fund: Electronic Device Recycling Fund  
 Legal Authority: Act 13/2008

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) B  
 Appropriation Acct. No. S 319 H

Intended Purpose: Encourage recycling of electronic devices sold in the State to divert waste and leaching of possible hazardous materials components from landfills.

Source of Revenues: Initial registration and annual renewal fees from all manufacturers' brands of covered electronic devices.

Current Program Activities/Allowable Expenses: Review and/or approve manufacturers' plans for compliance; develop, maintain and update website and toll-free telephone number; and enforcement actions.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures vary depending on the scope of services for contracts with Hawaii, Kauai, and Maui counties to provide electronic device recycling programs.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	494,853	497,288	497,288	505,997	509,062	509,062	509,062
Beginning Cash Balance	918,512	1,162,407	1,231,460	1,410,083	1,602,752	1,685,601	1,768,450
Revenues	340,446	365,115	382,849	391,565	382,849	382,849	382,849
Expenditures	96,551	296,062	204,226	198,896	300,000	300,000	300,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,162,407	1,231,460	1,410,083	1,602,752	1,685,601	1,768,450	1,851,299
Encumbrances	309,010	415,491	601,380	630,752	601,380	601,380	601,380
Unencumbered Cash Balance	853,397	815,969	808,703	972,001	1,084,221	1,167,070	1,249,919

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Domestic Violence and Sexual Assault Special Fund  
 Legal Authority: Section 321-1.3, HRS

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) B  
 Appropriation Acct. No. S 321 H

Intended Purpose: For use by the Department of Health to fund programs or purchases of service supporting/providing domestic violence and sexual violence intervention and/or prevention.

Source of Revenues: Fees from birth, marriage, divorce and death certificates; and income tax designations per §235-102.5, HRS.

Current Program Activities/Allowable Expenses:

- A. Purchase of Service agreement to the Hawaii State Coalition Against Domestic Violence to provide leadership and oversight including consultation, technical assistance, and training in the implementation of the Domestic Violence Strategic Plan statewide in the Counties of Hawaii, Kauai, Maui, and the City and County of Honolulu.
- B. Community-based public awareness and prevention activities through the Hawaii State Coalition Against Domestic Violence and Hawaii Coalition Against Sexual Assault.
- C. Purchase of Service agreement to develop, implement, and evaluate curriculum for community based organizations on teen dating violence..

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variations: The variations in revenues between FY 2013 to FY 2016 is reflective of various revenues received. The variations in revenues between FY 2016 and FY 2017 is based on projected revenues. The variance in expenditures between FY 2013 and FY 2016 is reflective of program requirements during this timeframe. The variance in expenditures between FY 2016 and FY 2017 is based on an estimated increase in service activities for FY 2017.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	618,585	602,712	634,615	634,615	634,615	634,615	634,615
Beginning Cash Balance	472,375	423,798	380,438	550,380	554,732	545,732	536,732
Revenues	381,423	292,595	380,375	301,694	341,000	341,000	341,000
Expenditures	430,000	335,955	210,433	297,342	350,000	350,000	350,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	423,798	380,438	550,380	554,732	545,732	536,732	527,732
Encumbrances	221,316	109,778	141,175	226,404			
Unencumbered Cash Balance	202,482	270,660	409,205	328,328	545,732	536,732	527,732

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 610 FR  
 Name of Fund: Noise, Radiation and Indoor Air Quality Special Fund  
 Legal Authority: Section 342P-7, HRS

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF): B  
 Appropriation Acct. No.: S 322 H

Intended Purpose: Implement mandated functions and activities of the Indoor and Radiological Health Branch.

Source of Revenues: Fees for radiological technologists/radiation facilities, radiation services, noise permits, etc.

Current Program Activities/Allowable Expenses: Issue permits, notifications and variances; license and certify radiological technologists and asbestos and lead abatement contractors; provide emergency response and capabilities; respond to complaints; inspect facilities; conduct public outreach and education programs; participate in professional trainings.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Variances: Revenue varies depending on the number of noise permits/variances and radiation licenses that are issued each year. The amount of noise permits/variances changes depending on the amount of economic activity and construction projects. Odd-numbered years typically generate less revenue, since fewer radiation licenses are renewed in those years. Expenditures in FY14 and FY15 included computer equipment upgrade/replacement for the entire branch, purchase of monitoring equipment (noise, radiation, and air conditioning/ventilation), and costs related to relocation to Halawa in FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	279,288	279,288	279,288	280,673	279,288	279,288	279,288
Beginning Cash Balance	546,241	491,004	473,104	434,886	434,100	395,882	357,664
Revenues	102,182	181,565	142,062	145,101	142,062	142,062	142,062
Expenditures	157,419	199,465	180,280	143,861	180,280	180,280	180,280
Transfers							
List each net transfer in/out; list each account number							
				(2,025)			
Net Total Transfers				(2,025)			
Ending Cash Balance	491,004	473,104	434,886	434,100	395,882	357,664	319,446
Encumbrances	7,542	11,791	20,192	8,942	20,192	20,192	20,192
Unencumbered Cash Balance	483,462	461,313	414,694	425,158	375,690	337,472	299,254

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 610 FR  
 Name of Fund: Asbestos and Lead Abatement Special Fund  
 Legal Authority: Act 242/1998 and 342-P, HRS

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) B  
 Appropriation Acct. No. S 323 H

Intended Purpose: Support mandated functions and activities of the federal National Emissions Standards for Hazardous Air Pollutants, Asbestos-in-Schools and Lead-Based Paint Abatement programs.

Source of Revenues: Fees for demolition/renovation and accreditation of asbestos training.

Current Program Activities/Allowable Expenses: Inspections; public outreach and training for compliance and certification of lead-based paint abatement contractors.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies depending on the number of asbestos demolition/abatement projects and the number of workers on those projects each year. Expenditures for FY15 and FY 16 increased primarily due to higher personnel costs from step movements and using more special funds for program activities since the federal grant award for the asbestos program decreased.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	219,186	224,074	224,074	288,412	275,575	275,575	275,575
Beginning Cash Balance	316,042	336,238	357,320	382,256	365,683	390,619	415,555
Revenues	233,360	212,450	245,535	223,605	245,535	245,535	245,535
Expenditures	213,164	191,368	220,599	240,178	220,599	220,599	220,599

**Transfers**

List each net transfer in/out; list each account number

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Net Total Transfers	0	0	0				
Ending Cash Balance	336,238	357,320	382,256	365,683	390,619	415,555	440,491
Encumbrances	2,759	7,778	553	1,642	553	553	553
Unencumbered Cash Balance	333,479	349,542	381,703	364,041	390,066	415,002	439,938

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: Health  
 Prog ID(s): HTH 520  
 Name of Fund: Special Parent Information Network  
 Legal Authority HRS §348F-7

Contact Name: Kristine Pagano  
 Phone: 447-1397  
 Fund type (MOF) U (Interdepartmental Transfer)  
 Appropriation Acct. No. S 324 H 070

Intended Purpose: A Memorandum of Agreement between the Department of Education and the Department of Health-Disability and Communication Access Board to provide support in the operation and support of the Special Parent Information Network (SPIN) and the Special Education Advisory Council (SEAC).

Source of Reve Department of Education

Current Program Activities/Allowable Expenses: 2.0 positions and operating costs for SPIN and SEAC

Purpose of Proposed Ceiling Adjustment (if applicable): Increase in ceiling is due to collective bargaining.

Variances: The variance (decrease) in the revenues in FY 16 is due to a decrease in the amount of the Memorandum of Agreement with the Department of Education for the Special Parent Information Network. The variance (decrease) in the expenditures in FY 16 is due to the decrease of revenues to cover the costs for collective bargaining and the operating costs of the Special Education Advisory Council.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	188,295	253,338	253,338	273,411	280,848	280,848	280,848
Beginning Cash Balance	103,503	92,637	91,365	144,499	146,630	163,630	180,630
Revenues	180,591	174,800	275,992	217,460	217,000	217,000	217,000
Expenditures	191,457	176,072	222,858	212,272	200,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	92,637	91,365	144,499	149,687	163,630	180,630	197,630
Encumbrances		1,012	5,986	2,957			
Unencumbered Cash Balance	89,120	90,353	138,513	146,730	163,630	180,630	197,630

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Violence Prevention Program, Child Death Review (CDR).  
 Legal Authority: Act 162, SLH 2009, as amended by Act 180, SLH 2010

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) U  
 Appropriation Acct. No. S 325 H

Intended Purpose: To provide for a CDR Nurse Coordinator position to implement the statewide CDR System

Source of Revenues: Interdepartmental transfer from the Department of Human Services' (DHS) federal Child Abuse and Neglect State Grant funds and its Spouse and Child Abuse Special Fund.

- Current Program Activities/Allowable Expenses:
- Provides staffing to the State CDR Council who is responsible to analyze and evaluate data, to develop public health policy and to expand prevention programs to impact and strengthen the system to prevent further child deaths.
  - Provides staffing and assistance to the six local CDR Teams including the Department of Defense. The purpose of the local teams is to review the circumstances and causes of child deaths and to identify gaps in the delivery system and communication between organizations and to identify environmental, educational and organizational issues related to the prevention of child deaths.
  - Prepares reports in collaboration with the Council members to synthesize the data obtained from the team reviews.
  - Assists in CDR training and education activities.
  - Supports and collaborates with the research statistician to maintain a CDR surveillance system.
  - Maintains and updates CDR Policies and Procedures Manual.

Purpose of Proposed Ceiling Adjustment (if applicable): Function was eliminated by the 2014 Legislature.

Variances: The last revenues were in FY 2013. Expenditures will be to spend down the cash balance.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,864,562	203,441	203,441	203,441	203,441	203,441	203,441
Beginning Cash Balance	248,918	255,333	222,797	216,438	216,438	196,438	176,438
Revenues	74,000	0	0	0	0	0	0
Expenditures	67,585	32,536	6,359	0	20,000	20,000	20,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	255,333	222,797	216,438	216,438	196,438	176,438	156,438
Encumbrances	2,013	0					
Unencumbered Cash Balance	253,320	222,797	216,438	216,438	196,438	176,438	156,438

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 610 FN  
 Name of Fund: Vector Control, U-account  
 Legal Authority Act 259/2001 as amended by Act 177/2002; Act 213/2007

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) U  
 Appropriation Acct. No. S 327 H

Intended Purpose: Prevent introduction of air- and sea-borne vectors and diseases to the State.

Source of Revenues: State Department of Transportation transfer of funds for vector control work at major ports of entry.

Current Program Activities/Allowable Expenses: Identify potential breeding grounds and harborage sites and maintain traps and poison at stations at all airports and seaports under Department of Transportation jurisdiction.

Purpose of Proposed Ceiling Increase (if applicable): Housekeeping request to increase appropriation to adequately fund payroll costs.

Variances: Delay in transfer of funds in FY 14; two new U-funded positions added by Act 119, SLH 2015, with half-year salary in FY 16 and full-year salary in FY 17 and beyond.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	55,481	55,481	55,481	122,183	191,279	217,279	217,279
Beginning Cash Balance	48,338	54,535	871	538	2,869	2,869	2,869
Revenues	55,481	0	55,481	65,000	191,279	191,279	191,279
Expenditures	49,284	53,664	55,814	62,669	191,279	191,279	191,279
<b>Transfers</b>							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	54,535	871	538	2,869	2,869	2,869	2,869
<b>Encumbrances</b>							
Unencumbered Cash Balance	54,535	871	538	2,869	2,869	2,869	2,869

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HEALTH  
 Prog ID(s): HTH 460  
 Name of Fund: TITLE IV-E Reimbursement (Interdepartmental transfer from DHS)  
 Legal Authority: Act 259/01, as amended by Act 177/02; Act 200/03

Contact Name: Wakaba Stephens  
 Phone: 733-9866  
 Fund type (MOF) U  
 Appropriation Acct. No. S 328 H

**Intended Purpose:**

To provide training and skill development to foster parents/prospective foster parents licensed by child care (placement) organizations (CPO) that are licensed by Department of Human Services (DHS). Many of these youth are cared for through foster services and thus the Title IV-E funds are reimbursed to DOH through DHS. Also reimbursable are training activities provided to staff of CPOs with respect to their activities involving the licensing and training of foster homes.

**Source of Revenues:**

Reimbursement through DHS for eligible Title IV-E training.

**Current Program Activities/Allowable Expenses:**

Training and skill development of foster parents/prospective foster parents on an on-going basis.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Revenues decreased from FY2013 to FY2014 due to the vacancy of the Hospital Billing Clerk position.

Expenditures decreased from FY2013 to FY2014 and thereafter due to the lack of activities.

Revenues increased from FY2014 to FY2015 due to the Hospital Billing Clerk position being filled.

Revenues decreased from FY2015 to FY2016 due to decrease in billings processed.

Revenues are projected to increase from FY2016 to FY2017.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,258,470	2,264,888	2,264,888	2,275,159	2,281,992	2,281,992	2,281,992
Beginning Cash Balance	295,168	226,964	229,934	306,352	350,674	450,674	550,674
Revenues	14,300	5,605	76,417	44,323	100,000	100,000	100,000
Expenditures	82,504	2,635	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	226,964	229,934	306,352	350,674	450,674	550,674	650,674
Encumbrances	2,565	0	0	0			
Unencumbered Cash Balance	224,399	229,934	306,352	350,674	450,674	550,674	650,674

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HEALTH  
 Prog ID(s): HTH 100  
 Name of Fund: PHN, Farrington High School (DOE) U-Account  
 Legal Authority: Act 162/2009, as amended by Act 180/2010

Contact Name: Phillip Nguyen  
 Phone: 586-4581  
 Fund type (MOF) U  
 Appropriation Acct. No. S 326 H (FY12-13)  
S 329 H (FY14-19)

**Intended Purpose:**

U funding currently for: Registered Nurse IV (#118858) in the Public Health Nursing Branch (PHNB) for Farrington High School Transition Center; funding from Department of Education (DOE).

**Source of Revenues:**

U-funding (inter-agency) from the DOE.

**Current Program Activities/Allowable Expenses:**

PHNB provides a 1.00 FTE Registered Nurse IV (#118858), funded by the DOE, to Farrington High School Transition Center.

**Variations:** There was some problem with timely payment in FY15 and FY16, which resulted in only 1/2 of the revenue being deposited. The non-deposited revenue in FY15 and FY16 will be deposited into FY17.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	131,746	131,746	131,746		142,627	169,105	169,105
Beginning Cash Balance	140,463	152,522	138,881	76,306	1,571	159,648	159,648
Revenues	137,520	126,195	75,417	75,176	300,704	150,352	150,352
Expenditures	125,461	139,836	137,992	142,884	142,627	150,352	150,352
Transfers							
List each by JV# and date							
				(6,837)			
				(191)			
Net Total Transfers				(7,028)			
Ending Cash Balance	152,522	138,881	76,306	1,571	159,648	159,648	159,648
Encumbrances							
Unencumbered Cash Balance	152,522	138,881	76,306	1,571	159,648	159,648	159,648

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FJ  
 Name of Fund: Leaking Underground Storage Tank Fund  
 Legal Authority: Section 342L-51 HRS

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) W  
 Appropriation Acct. No. S 330 H

Intended Purpose: Respond to petroleum releases from underground storage tanks or tank systems in a manner consistent with Chapter 342L, HRS.

Source of Revenues: Fees from field citations or enforcement actions pursuant to Chapter 342L, HRS.

Current Program Activities/Allowable Expenses: Deposit fees and utilize funds to cover costs incurred in responding to petroleum releases per Sections 342L-8(g) and 342L-53(d), HRS.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies depending upon compliance and enforcement.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	1,050,678	1,081,878	1,092,078	1,101,828	1,127,680	1,137,430	1,147,180
Revenues	31,200	10,200	9,750	25,852	9,750	9,750	9,750
Expenditures	0	0	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,081,878	1,092,078	1,101,828	1,127,680	1,137,430	1,147,180	1,156,930
Encumbrances							
Unencumbered Cash Balance	1,081,878	1,092,078	1,101,828	1,127,680	1,137,430	1,147,180	1,156,930

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Early Intevention Special Fund  
 Legal Authority: § 321-355, HRS

Contact Name: Alice Ige  
 Phone: 733-8380  
 Fund type (MOF) B  
 Appropriation Acct. No. S 331 H

Intended Purpose: To generate revenues to reinvest into the early intervention services for the at-risk, zero-to-three population; and to expand and enhance early intervention services for infants and toddlers with special needs

Source of Revenues: Federal reimbursements from Medicaid and Title IV E and any other program income or grants earned by this fund.

Current Program Activities/Allowable Expenses: Program activities include community based, family centered early intervention services to infants and toddlers with

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variances in revenues between FY 2013 to FY 2016 and beyond is due to fluctuations in revenues derived from the Random Moment Survey and the number of Medicaid clients served by the Early Intervention Services Program. There is an anticipated increase in expenditures from FY 2017 and beyond due to collective bargaining and fringe benefit costs for personnel paid from this special fund account.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,095,044	3,095,044	3,095,044	3,095,044	3,095,044	3,095,044	3,095,044
Beginning Cash Balance	1,464,061	1,085,496	1,278,774	1,276,430	1,472,107	1,447,107	1,422,107
Revenues	434,633	547,066	371,579	531,594	400,000	400,000	400,000
Expenditures	813,198	353,789	373,923	335,917	425,000	425,000	425,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,085,496	1,278,773	1,276,430	1,472,107	1,447,107	1,422,107	1,397,107
Encumbrances	15,000	16,310	0	0	16,000	16,000	16,000
Unencumbered Cash Balance	1,070,496	1,262,463	1,276,430	1,472,107	1,431,107	1,406,107	1,381,107

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Health  
 Prog ID(s): HTH 520  
 Name of Fund: DCAB Special Fund  
 Legal Authority: HRS §348F-7

Contact Name: Kristine Pagano  
 Phone: 447-1397  
 Fund type (MOF) B  
 Appropriation Acct. No. S 334 H 070

Intended Purpose: Payment of costs associated with the sign language interpreter credentialing program and for operating and payment of costs associated with blueprint reviews under HRS §103-50.

Source of Rev: Sign language interpreter testing and blueprint review fees

Current Program Activities/Allowable Expenses: Ability to expend monies for sign language interpreter credentialing and for blueprint review costs.

Purpose of Proposed Ceiling Adjustment (if applicable): FY 2016 increase in ceiling due to transfer of 3.0 positions from general fund and creation of 1.0 new position. FY 2017 increase in ceiling due to transfer of additional 3.0 positions from the general fund to special fund.

Variations:

The variance (increase) in revenues for FY 2014 is due to the beginning of the collection of fees for blueprints reviews under HRS §103-50. The variance (decrease) in revenues for FY 2015 is due to fluctuations in construction projects reviewed under HRS §103-50. The variance (increase) in revenues for FY 2016 is due to increase of construction projects reviewed under HRS §103-50. The variance (decrease) expenditures in FY 2015 is due to decrease in sign language interpreter tests and the implementation of a Continuing Education Program. The variance (increase) expenditures in FY 2016 to FY 2019 is due to increase of staff, operating and payment of costs associated with blueprint reviews under HRS §103-50. The variance (increase) in expenditures in FY 2017 is also due to moving expenses.

Financial Data								
		FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
		(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		10,000	10,000	10,000	588,878	923,068	923,068	923,068
Beginning Cash Balance		7,054	191,128	1,270,903	2,192,563	3,044,071	3,351,071	3,651,071
Revenues		187,682	1,083,565	923,848	1,192,074	1,000,000	1,000,000	1,000,000
Expenditures		3,608	3,790	2,188	337,606	900,000	700,000	700,000
Transfers								
List each net transfer in/out/ or projection in/out; list each account number								
HTH 907 S-17-317H						207,000		
(transfer in)								
Net Total Transfers		0	0	0	0	207,000	0	0
Ending Cash Balance		191,128	1,270,903	2,192,563	3,047,031	3,351,071	3,651,071	3,951,071
Encumbrances		1,160	1,920	2,470	2,960			
Unencumbered Cash Balance		189,968	1,268,983	2,190,093	3,044,071	3,351,071	3,651,071	3,951,071
<u>Additional Information:</u>								
Amount Req. by Bond Covenants								
Amount from Bond Proceeds								
Amount Held in CODs, Escrow								
Accounts, or Other Investments								

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: Tobacco Settlement Special Fund  
 Legal Authority: Section 328L-2, HRS

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF): B  
 Appropriation Acct. No.: S 335 H

Intended Purpose: Per Act 118, SLH 2015, the fund's purpose is only to distribute the receipts from the Master Settlement Agreement.

Source of Revenues: Tobacco Master Settlement Agreement

Current Program Activities/Allowable Expenses: Program is only responsible for disbursement of funds pursuant to section 328L-2, HRS

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations: Revenue fluctuations occur as the amount is based on actual profits of the tobacco industry of which the State receives a set percentage. Expenditures will vary based on the available ceiling at the time of disbursement of funds; the percentage disbursed is pursuant to section 328L-2, HRS.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	53,299,643	50,299,643	50,965,644	50,965,644	48,616,356	48,616,356	48,616,356
Beginning Cash Balance	28,038,293	26,267,354	23,909,673	20,603,807	22,434,336	22,434,336	22,434,336
Revenues	45,212,650	52,310,019	46,880,993	49,059,604	47,229,075	35,914,586	35,914,586
Expenditures	17,605,335	26,997,621	47,186,859	47,229,075	47,229,075	35,914,586	35,914,586
Transfers							
List each net transfer in/out; list each account number							
	(26,128,254)	(24,670,080)					
JS4370 dtd 02/04/2015	(3,000,000)	(3,000,000)	(3,000,000)				
	(250,000)						
Net Total Transfers	(29,378,254)	(27,670,080)	(3,000,000)				
Ending Cash Balance	26,267,354	23,909,673	20,603,807	22,434,336	22,434,336	22,434,336	22,434,336
Encumbrances	8,696,775	6,133,520	7,223,294	0	0	0	0
Unencumbered Cash Balance	17,570,579	17,776,153	13,380,513	22,434,336	22,434,336	22,434,336	22,434,336

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FK  
 Name of Fund: Wastewater Treatment Certification Board Special Fund  
 Legal Authority: Act 238/SLH 1999

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) B  
 Appropriation Acct. No. S 336 H

Intended Purpose: Establish and collect fees for applications, conduct examinations, issue or renew certificates pursuant to 340B, HRS.

Source of Revenues: Fees for certification of wastewater treatment personnel

Current Program Activities/Allowable Expenses: Implement duties and powers of the Board pursuant to 340B-11, HRS

Purpose of Proposed Ceiling Increase (if applicable):

Variances: All wastewater treatment operators are required to renew their licenses every other year. The revenue projections reflect this pattern of revenue.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	21,021	21,021	21,021	28,021	28,021	28,021	28,021
Beginning Cash Balance	69,709	75,789	67,259	69,727	59,842	75,842	60,167
Revenues	24,530	12,325	21,630	11,326	44,000	12,325	44,000
Expenditures	18,450	20,855	19,162	21,212	28,000	28,000	28,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	75,789	67,259	69,727	59,842	75,842	60,167	76,167
Encumbrances	963	120	35	1,335	35	35	35
Unencumbered Cash Balance	74,826	67,139	69,692	58,506	75,807	60,132	76,132

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: Organ and Tissue Education Special Fund  
 Legal Authority: Sec. 327-5.6, HRS

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) B  
 Appropriation Acct. No. S 337 H

Intended Purpose: The fund consists of a \$1.00 donation collected at the time of motor vehicle registration and those funds shall be used exclusively for public education programs and activities on organ, tissue and eye donations.

Source of Revenues: \$1.00 donation at the time of motor vehicle registration.

Current Program Activities/Allowable Expenses: Funds are requested and used by the Organ Donor Center of Hawaii for public education activities on the lifesaving benefits of the Organ Donor Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Revenue/expenditures is dependent on number of motor vehicle registrations for the year.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	20,000	40,000	40,000	40,000	40,000
Beginning Cash Balance	24,112	22,610	44,919	61,087	44,224	34,224	24,224
Revenues	13,498	42,309	32,982	23,101	30,000	30,000	30,000
Expenditures	15,000	20,000	16,814	39,964	40,000	40,000	40,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	22,610	44,919	61,087	44,224	34,224	24,224	14,224
Encumbrances							
Unencumbered Cash Balance	22,610	44,919	61,087	44,224	34,224	24,224	14,224

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: Health  
 Prog ID(s): HTH 760  
 Name of Fund: Vital Statistics Improvement Special Fund  
 Legal Authority: HRS 338-14.6

Contact Name: Alvin T. Onaka Ph.D.  
 Phone: (808) 586-4600  
 Fund type (MOF) B  
 Appropriation Acct. No. S-338-H

**Intended Purpose:**

For the modernization and automation of the vital statistics system in this State. May also be used to assist in offsetting costs of the daily operations of the system of vital statistics.

**Source of Revenues:**

Fees for certified copies of birth, marriage, and death records.

**Current Program Activities/Allowable Expenses:**

Enhancement of Electronic Death & Electronic Birth Registration systems.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

None.

Variations: Expenditures variance due to enhancements, major enhancements are expected to decrease.

Revenues expected to remain around 300,000 pending any proposed fee increases, which are being discussed.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	583,608	660,155	646,822		660,466	660,466	660,466
Beginning Cash Balance	638,892	751,711	597,032	426,922	194,883	94,883	(5,117)
Revenues	401,637	265,682	362,266	274,306	300,000	300,000	300,000
Expenditures	288,818	420,362	532,376	506,345	400,000	400,000	400,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	751,711	597,031	426,922	194,883	94,883	(5,117)	(105,117)
Encumbrances	8,335	11,929	3,682	99,500			
Unencumbered Cash Balance	743,376	585,102	423,240	95,383	94,883	(5,117)	(105,117)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 610 FL/FQ and HTH 849 FA  
 Name of Fund: Sanitation and Environmental Health Special Fund  
 Legal Authority: Section 321-27 HRS  
 HTH 610 apprn \$1,798,267; HTH 849 apprn \$48,271

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) B  
 Appropriation Acct. No. S 340 H and S 315 H

Intended Purpose: Enhance capacity of environmental health programs to improve public outreach, educate public and professionals, plan for future and emerging needs, and provide trainings to maintain competencies among environmental health professionals

Source of Revenues: Fees from food establishment inspections, temporary food permits, licenses for embalmers and tattoo artists, plan review and swimming pool permits, etc.

Current Program Activities/Allowable Expenses: Enhance updated code for licenses and permits granted to food establishments; establish mechanisms for other fee-generating programs through promulgation of rules; enhance educational and public outreach programs; improve electronic systems infrastructure and capabilities; develop partnerships to enhance program operations; develop emergency response capabilities

Purpose of Proposed Ceiling Increase (if applicable): 1) Housekeeping request to fund salaries and fringe benefits for 3 Sanitarian positions at full-year amounts; and 2) Housekeeping request to increase appropriation to sufficiently fund fringe benefits.

Variances: Increase in fees, due to HAR revisions, started in FY 14 partial, and then in FY 15 and on as food establishments renew permits under the new system of higher annual fees; expenditures will increase in future as new hires are allocated up to authorized position level as they gain experience, as well as from pay increases, step movements, and fringe benefit rate increases.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	939,148	1,137,042	1,442,346	1,830,641	1,846,538	2,213,493	2,213,493
Beginning Cash Balance	1,080,595	710,303	695,771	1,707,998	2,404,367	2,804,367	3,004,367
Revenues	481,617	979,401	2,165,291	2,148,307	2,100,000	2,100,000	2,100,000
Expenditures	851,909	993,933	1,153,064	1,453,963	1,700,000	1,900,000	2,100,000
Transfers							
List each net transfer in/out; list each account number							
				2,025			
Net Total Transfers	0	0	0	2,025			
Ending Cash Balance	710,303	695,771	1,707,998	2,404,367	2,804,367	3,004,367	3,004,367
Encumbrances	11,257	32,360	3,427	268,146	3,427	3,427	3,427
Unencumbered Cash Balance	699,046	663,411	1,704,571	2,136,221	2,800,940	3,000,940	3,000,940

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FK and HTH 849 FB  
 Name of Fund: Water Pollution Control Revolving Fund  
 Legal Authority: Clean Water Act and Section 342D-83 HRS  
HTH 840 apprn \$101,179,291; HTH 849 apprn \$574,224

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) W  
 Appropriation Acct. No. S 341 H and S 387 H

Intended Purpose: Provide low interest loans to counties and private entities for wastewater project construction including non-point source pollution projects.

Source of Revenues: Loan repayments, federal capitalization grants, loan fees and interest, investment interest, capital projects funds (state match)

Current Program Activities/Allowable Expenses: Process and service loans; revise standards and procedures to streamline loan review process; monitor special conditions and payments of existing loans; process payments to counties; and analyze future revenues and cash flow.

Purpose of Proposed Ceiling Increase (if applicable): 1) Housekeeping request to fund salary and fringe benefits at full-year amounts for Engineer position; and 2) Housekeeping request to increase HTH 849 appropriation to sufficiently fund payroll costs.

Variances: Revenues, expenditures, and encumbrances will fluctuate depending on level and scheduling of construction loans and the pace of construction, which is affected by economic conditions. Revenues will actually increase later on as more loans are issued and more repayments are received.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	101,384,092	101,283,121	101,326,429	101,535,514	101,753,515	101,989,045	101,989,045
Beginning Cash Balance	108,097,858	148,844,338	128,692,666	143,384,694	154,394,788	107,194,788	63,694,788
Revenues	70,901,865	41,627,424	43,300,192	57,194,058	45,400,000	44,800,000	43,500,000
Expenditures	30,155,386	61,779,096	28,608,164	46,183,964	92,600,000	88,300,000	44,900,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	148,844,338	128,692,666	143,384,694	154,394,788	107,194,788	63,694,788	62,294,788
Encumbrances	54,852,238	37,314,071	17,959,056	30,339,861	25,000,000	25,000,000	25,000,000
Unencumbered Cash Balance	93,992,100	91,378,595	125,425,638	124,054,927	82,194,788	38,694,788	37,294,788

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH  
 Prog ID(s): HTH 849 FD, HTH 840 FE, FG, FH, FJ  
 Name of Fund: Environmental Response Revolving Fund  
 Legal Authority: Section 128D-2, HRS; also see Section 342, HRS  
HTH 849 apprn \$1,363,895; HTH 840 apprn \$426,496

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) W  
 Appropriation Acct. No. S-342-H, S-339-H

Intended Purpose: Fund emergency response actions as well as oil spill planning, prevention, preparedness, education, research, training, removal, and remediation. Includes accounts for (1) Local Emergency Planning Committees (LEPCs) by means of the Emergency Planning and Community Right to Know Act (EPCRA) filing fees used for administration and oversight of Chapter 128D, HRS; and (2) Voluntary Response Program which allows for voluntary actions taken by a party in response to hazardous substance releases and threats of diseases with a provision for relief from liability for an eligible party used for the purpose of administration and oversight pursuant to Section 128D-2, HRS. Per Section 128D-2, moneys from the fund shall be expended by the department for the following: (1) Removal, remediation, and detection of oil and pollutant or contaminant releases; (2) Removal and remediation of hazardous waste and any other solid, liquid, or gaseous substance that may harm the environment; and (3) The payment of costs listed under section 128D-4(c).

Source of Revenues: Tax of 5 cents per barrel of petroleum, fines/penalties, and Chemical Tier II reporting fees. 5 cents of the \$1.05 Environmental Response, Energy, and Food Security Tax is deposited to ERRF. The only consistent and reliable source of revenue is the oil tax. Airline fuel assessments are not included in the oil tax.

Current Program Activities/Allowable Expenses: Oil spill removal and remediation activities; respond to and investigate hazardous materials incidents; coordinate emergency response and related trainings. Conduct related activities in HTH 840, Environmental Management Division, Clean Water, Safe Drinking Water, and Solid and Hazardous Waste branches.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Over the past several years, Hawaii has imported fewer barrels of oil as it relies more heavily on renewable energy, which in turn has decreased the amount of income for the ERRF at the rate of about 5% per year. Expenditures are much lower from FY 16 since positions are no longer funded by ERRF, in order to maintain solvency of fund.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,872,993	5,212,361	5,212,361	1,712,734	1,790,391	1,790,391	1,790,391
Beginning Cash Balance	2,609,829	2,392,375	1,782,550	922,837	1,319,059	1,319,059	1,319,059
Revenues	3,106,241	3,066,834	2,543,690	1,517,180	1,000,000	1,000,000	1,000,000
Expenditures	3,323,695	3,676,659	3,403,403	1,120,958	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	2,392,375	1,782,550	922,837	1,319,059	1,319,059	1,319,059	1,319,059
Encumbrances	808,617	1,414,001	493,138	493,138	493,138	493,138	493,138
Unencumbered Cash Balance	1,583,758	368,549	429,699	825,921	825,921	825,921	825,921

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FH & HTH 849 FB  
 Name of Fund: Drinking Water Treatment Revolving Loan Fund  
 Legal Authority: Section 340E-35 HRS  
HTH 840 aprn \$106,840,582; HTH 849 aprn \$524,634

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) W  
 Appropriation Acct. No. S 344 H and S 386 H

Intended Purpose: Provide low interest loans to improve public and private drinking water systems in Hawaii.

Source of Revenues: Loan repayments, federal capitalization grants, loan fees and interest, investment interest, capital projects funds (state match)

Current Program Activities/Allowable Expenses: Review technical, financial and managerial viabilities of loan applicants; process and serve loans, and analyze future revenues and cash flow.

Purpose of Proposed Ceiling Increase (if applicable): Housekeeping request to increase HTH 849 appropriation to sufficiently fund payroll costs.

Variances: Increased pace of lending in the near-term to expend more loan funds and thus reduce the amount of unliquidated federal grant funds; revenues, expenditures, and encumbrances are dependent on the pace of construction

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	61,610,255	61,945,377	62,073,980	107,213,598	107,365,216	107,483,216	107,483,216
Beginning Cash Balance	45,823,633	64,924,030	69,440,601	46,981,972	52,390,982	27,890,982	27,190,982
Revenues	24,636,724	31,383,432	30,105,205	38,390,278	30,200,000	21,000,000	20,900,000
Expenditures	5,536,326	26,866,861	52,563,834	32,981,267	54,700,000	21,700,000	21,900,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	64,924,030	69,440,601	46,981,972	52,390,982	27,890,982	27,190,982	26,190,982
Encumbrances	11,440,709	42,865,385	38,249,407	59,332,737	24,000,000	24,000,000	24,000,000
Unencumbered Cash Balance	53,483,321	26,575,216	8,732,565	(6,941,754)	3,890,982	3,190,982	2,190,982

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: Health  
 Prog ID(s): HTH 420  
 Name of Fund: Mental Health and Substance Abuse Special Fund  
 Legal Authority: Section 334-15, HRS

Contact Name: Amy Yamaguchi  
 Phone: 586-4682  
 Fund type (MOF) B  
 Appropriation Acct. No. S 346 H

Intended Purpose: The purpose of the fund is to deposit all revenue collected from treatment services rendered by mental health and substance abuse programs operated by the State.

Source of Revenues: The source of revenue include payments from Medicaid, Medicare, and patients.

Current Program Activities/Allowable Expenses: Activities include the provision of community-based outpatient services, case management services, psychosocial rehabilitation services, crisis services, residential services, and treatment services. Allowable expenses are expenses incurred to provide or support the provision of program activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations: The FY16 variations are attributed to a decrease in the number of consumers served.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,610,000	11,610,000	11,795,000	11,610,000	11,610,000	11,610,000	11,610,000
Beginning Cash Balance	20,627,265	26,329,598	39,726,061	44,907,928	44,682,080	42,682,080	40,682,080
Revenues	7,329,778	14,484,578	6,986,969	5,573,238	8,000,000	8,000,000	8,000,000
Expenditures	1,627,445	1,088,115	1,805,102	5,799,086	10,000,000	10,000,000	10,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	26,329,598	39,726,061	44,907,928	44,682,080	42,682,080	40,682,080	38,682,080
Encumbrances	929,345	275,390		1,678,360			
Unencumbered Cash Balance	25,400,253	39,450,671	44,907,928	43,003,719	42,682,080	40,682,080	38,682,080

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FJ  
 Name of Fund: Environmental Management Special Fund  
 Legal Authority: Sec 342G-63 HRS

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) B  
 Appropriation Acct. No. S 348 H

Intended Purpose: Fund statewide education, demonstration, market development programs; provide training for municipal solid waste operators; & fund glass recovery programs. Amended by Act 173/00 to collect motor vehicle tire surcharge (Sec 342I-B HRS) & fines or penalties for violations pursuant to Sec 342I-J HRS. Amended by Act 176/02 to fund statewide beverage container redemption & recycling program in a separate special fund S 313 H 372, the Deposit Beverage Container Special Fund.

Source of Revenues: By statute, three separate revenue sources to be used solely for respective functions: advance glass disposal fee; solid waste disposal surcharge (tipping fee); and tire surcharge fee.

Current Program Activities/Allowable Expenses: Provide technical assistance to counties; municipal solid waste landfill permitting, closure review, groundwater monitoring, inspection and enforcement. Administer county glass recovery grant, conduct public outreach and administer demonstration projects. Pursuant to Act 173/00, for the period 1/1/00 to 12/31/05, moneys may be used to support permitting, monitoring and enforcement activities; promote market development and reuse for recovered motor vehicle tires, reuse through education, research etc.; support program for illegal dumping, clean-ups and the like. Pursuant to Act 176/02, monies in the fund shall be used to reimburse refund values and pay handling fees to redemption centers. DOH may also use the monies to: fund administrative, audit and compliance activities associated with collection and payment of the deposits and handling fees; conduct recycling education and demonstration projects; promote recyclable market development activities; support the handling and transportation of the deposit beverage containers to end-markets; hire personnel to oversee implementation of the program; and fund associated office expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue from solid waste disposal surcharge (tipping fees) and advance glass disposal fees fluctuates with economic conditions, e.g. construction activities. Revenues are estimated at same level throughout; decreasing due to diverting waste from landfills, but increasing due to more construction activities.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,128,156	5,205,787	5,158,521	5,219,475	2,279,626	2,279,626	2,279,626
Beginning Cash Balance	2,755,364	2,418,900	2,205,757	2,066,273	2,371,787	2,371,787	2,371,787
Revenues	1,321,333	1,309,842	1,466,242	1,430,540	1,430,540	1,430,540	1,430,540
Expenditures	1,657,797	1,522,985	1,605,726	1,125,025	1,430,540	1,430,540	1,430,540
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	2,418,900	2,205,757	2,066,273	2,371,787	2,371,787	2,371,787	2,371,787
Encumbrances	355,718	163,440	240,027	505,444	240,027	240,027	240,027
Unencumbered Cash Balance	2,063,182	2,042,317	1,826,246	1,866,344	2,131,760	2,131,760	2,131,760

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FF  
 Name of Fund: Clean Air Special Fund  
 Legal Authority: Sec 342B-32 HRS

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) B  
 Appropriation Acct. No. S 349 H

Intended Purpose: Establish and collect fees from covered, noncovered and agricultural burning permit sources.

Source of Revenues: Permit fees from covered (major) and noncovered (minor) sources of air pollution.

Current Program Activities/Allowable Expenses: Develop and administer the air program and the permitting, monitoring and enforcement requirements pursuant to Title V of the Clean Air Act.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: 1) Revenues were lower in FY 14, since the Clean Air Branch extended the deadline for larger facilities to submit their fees, which thus increased revenue received in FY 15 and FY 16. This extension was granted due to the implementation of the program's Emissions Inventory Program in August 2014. 2) New greenhouse gas (GHG) rules were implemented in FY 14, with new fees effective 1/1/15. The new GHG fees increased revenue by about \$1 million in FY 16, with half that amount of increase in FY 15. It is anticipated that overall revenue will be decreasing in future years due to the increasing use of renewable energy, such as solar and wind. Expenditures will steadily increase with the continued establishment and filling of eight positions, which commenced after approval of reorganization, as well as salary increases, step movements, and inflation.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,766,533	4,284,243	4,324,560	4,681,831	5,294,739	5,294,739	5,294,739
Beginning Cash Balance	9,718,551	10,182,864	7,438,342	7,798,602	8,301,211	8,330,166	7,832,017
Revenues	3,460,582	448,438	3,328,550	4,385,376	4,300,000	4,200,000	4,100,000
Expenditures	2,996,269	3,192,960	2,968,290	3,882,768	4,271,044	4,698,149	4,862,584
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	10,182,864	7,438,342	7,798,602	8,301,211	8,330,166	7,832,017	7,069,433
Encumbrances	269,144	148,813	50,316	108,078	550,316	550,316	550,316
Unencumbered Cash Balance	9,913,721	7,289,529	7,748,286	8,193,132	7,779,850	7,281,701	6,519,117

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 840 FG  
 Name of Fund: Clean Water Branch  
 Legal Authority: Act 134/SLH 2013

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) U  
 Appropriation Acct. No. S 362 H

Intended Purpose: Comply with federal mandates of Environmental Protection Agency Clean Water Act that regulates protection of the environment and public health.

Source of Revenues: State Department of Transportation

Current Program Activities/Allowable Expenses: Program development, technical assistance, permitting, enforcement, data management, and regulation of permitted water pollution sources.

Purpose of Proposed Ceiling Increase (if applicable): Housekeeping request to increase appropriation to adequately fund payroll costs.

Variances: For expenditures, due to delays in establishing and filling new positions in FY 13 and FY 14 and one position vacancy for part of FY 15. For revenues, due to timing of reimbursement from DOT.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	174,454	174,454	174,454	174,454	174,454	235,454	235,454
Beginning Cash Balance	0	43,613	36,778	60,600	49,136	49,136	49,136
Revenues	43,613	89,190	112,666	156,648	188,000	188,000	188,000
Expenditures	0	96,025	88,844	168,112	188,000	188,000	188,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	43,613	36,778	60,600	49,136	49,136	49,136	49,136
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	43,613	36,778	60,600	49,136	49,136	49,136	49,136

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Early Childhood Obesity Special Fund  
 Legal Authority: Act 106, SLH 2012

Contact Name: Keiko Nitta  
 Phone: 733-9079  
 Fund type (MOF): B  
 Appropriation Acct. No.: S 363 H

Intended Purpose: The purpose of these funds is to: 1) collect and analyze Hawaii specific early childhood obesity data to identify children at risk; 2) increase awareness of the health implications of early childhood obesity; and 3) promote best practices throughout community-based initiatives to improve healthy life choices.

Current Program Activities/Allowable Expenses: Funds will be used for obesity prevention programs such a training to child care providers, developing community-based

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: The variance in revenues from \$250,000 in FY 2013 to \$700 in FY 2014 is due to the fact that the Legislature appropriated the special fund for FY 2013 only. The increase in expenditures in FY 2014 is due to the liquidation of FY 2013 outstanding encumbrances in FY 2014. A biennium budget request to eliminate the appropriation ceiling commencing in FY 2016 was submitted by the Department of Health.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000	250,000	250,000	0	0	0	0
Beginning Cash Balance	0	173,428	24,642	24,642	0	0	0
Revenues	250,000	700	0	0	0	0	0
Expenditures	76,572	149,486	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0254 dated 07/23/2015				(24,642)			
Net Total Transfers				(24,642)			
Ending Cash Balance	173,428	24,642	24,642	0	0	0	0
Encumbrances	150,476	0	0	0			
Unencumbered Cash Balance	22,952	24,642	24,642	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HEALTH  
 Prog ID(s): HTH 100  
 Name of Fund: Medical Marijuana Registry Special Fund  
 Legal Authority: HRS Sect 321-59 (Act 177/SLH 2013)

Contact Name: Phillip Nguyen  
 Phone: 586-4581  
 Fund type (MOF) B  
 Appropriation Acct. No. S 364 H

**Intended Purpose:** (1) To offset the cost of the processing and issuance of patient registry identification certificates and primary caregiver registration certificates; (2) To fund positions authorized by the legislature; (3) To establish and manage a secure and confidential database; and (4) For any other expenditure necessary, as authorized by the legislature, to implement a medical marijuana registry program.

**Source of Revenues:**

Medical marijuana registration fee of no more than \$35

**Current Program Activities/Allowable Expenses:**

The Medical Marijuana (MMJ) Registry program is currently being set up and transitioning from the Department of Public Safety to Department of Health. Staff are being hired and training and system are being installed to take over the registry program effective 1/1/15.

**Variiances:** FY14 is the first year of the appropriation but there was no expenditure. FY15 is when the MMJ Registry Program became operational and has expenditures. Beginning with FY16, the revenue increase with the increase of number of patient registry due to the opening of marijuana dispensary.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		150,000	494,869	331,869	518,226	662,280	662,280
Beginning Cash Balance			328,037	530,799	669,038	669,038	669,038
Revenues		0	192,431	532,478	540,000	540,000	540,000
Expenditures		0	190,915	394,239	540,000	540,000	540,000
Transfers		328,037					
JS4116 dtd 01/22/2015			201,246				
Net Total Transfers		328,037	201,246				
Ending Cash Balance	0	328,037	530,799	669,038	669,038	669,038	669,038
Encumbrances	0	0	67,743	5,444			
Unencumbered Cash Balance	0	328,037	463,056	663,594	669,038	669,038	669,038

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: Health  
 Prog ID(s): HTH 730  
 Name of Fund: Emergency Medical Services Special Fund  
 Legal Authority: Section 321-234, 249-31 (b), 245-15, 245-3, HRS.  
Act 242, SLH 2013

Contact Name: Terry Joyce  
 Phone: 733-9217  
 Fund type (MOF) B  
 Appropriation Acct. No. S 370 H

Intended Purpose: Support for operating a state comprehensive emergency medical services system. The specific service intended in ACT 242, SLH 2013 is the establishment of a special emergency medical response vehicle unit based in the Maalaea area on Maui.

Source of Revenues: Fees from annual motor vehicle registration and cigarette tax imposed pursuant to Section 245-15 and 245-3, HRS.

Current Program Activities/Allowable Expenses: Emergency medical services; education and training of emergency medical personnel statewide.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3, Note 4, and Note 5 below.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	600,000	600,000	0	0	0	0
Beginning Cash Balance	0	0	600,000	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	1,070,742	0	0	0	0
Transfers							
JS1418 dated 09/12/2013		600,000					
JS4528 dated 02/10/2015			(129,258)				
JS6351 dated 05/11/2015			600,000				
Net Total Transfers	0	600,000	470,742	0	0	0	0
Ending Cash Balance	0	600,000	0	0	0	0	0
Encumbrances	0	470,742	0		0	0	0
Unencumbered Cash Balance	0	129,258	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Note 1:** Data Source Reference for Actual FY 2016 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 2:** Data Source Reference for Actual FY 2016 Encumbrances (Unliquidated Balance): MBP490-A, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 3:** The expenditures for FY 2015 are equal to expenditures of \$470,742 from liquidation of prior FY 2014 encumbrance and \$600,000 in FY 2015 for service pursuant to ACT 242, SLH 2013.

**Note 4:** The transfer of funds in FY 2014 and FY 2015 are pursuant to the purposes of ACT 242, SLH 2013.

**Note 5:** The actual and estimated appropriation ceiling and expenditures for FY 216 through FY 2019 are based on the expiration of funds assigned to S 370 H, pursuant to ACT 242, SLH 2013.

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Chapter 42F  
 Legal Authority: Act 191 SLH 10

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) B  
 Appropriation Acct. No. S 11 374 H

Intended Purpose:  
Chapter 42 F Grant in aid.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:  
Account Closed

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	198,218	198,218	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4652 dated 03/04/2016			(198,218)				
Net Total Transfers	0	0	(198,218)	0	0	0	0
Ending Cash Balance	0	198,218	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	198,218	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: Health  
 Prog ID(s): HTH 720  
 Name of Fund: OHCA SF - Civil Monetary Penalties (CMP)  
 Legal Authority Act 071, SLH 2015

Contact Name: Keith Ridley  
 Phone: 692-7227  
 Fund type (MOF) B  
 Appropriation Acct. No. S 374 H

**Intended Purpose:**

The purpose of this special fund is for the deposit of federal civil monetary penalty (CMP) moneys received from the U.S. Department of Health and Human Services' Centers for Medicare and Medicaid Services (CMS). Moneys in the CMP Special Fund shall be used by the Department of Health as approved by CMS to support activities that benefit patients or residents who use services at Medicare certified health care facilities or agencies. These activities can be for educational or quality of care improvement activities conducted by individual or groups of facilities or agencies that ultimately benefit the patients or residents.

**Source of Revenues:**

Revenues are from federal fines assessed against Medicare certified facilities or agencies during federal certification surveys conducted by the Department of Health's Office of Health Care Assurance (OHCA) on behalf of CMS.

**Current Program Activities/Allowable Expenses:**

OHCA has the responsibility of managing the State licensure and Federal certification of medical and health care facilities, agencies and services provided throughout the State in order to ensure acceptable standards of care are provided. CMP funds, upon approval of CMS, are to support activities that benefit that benefit patients or residents who use services at Medicare certified health care facilities or agencies. These activities can be for educational or quality of care improvement activities conducted by individual or groups of facilities or agencies that ultimately benefit the patients or residents.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

OHCA has received increased CMP assessments from CMS. Expenditures are grant awards as approved by OHCA and CMS.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	30,000	15,000	50,000	50,000
Beginning Cash Balance				0	141,286	297,572	312,572
Revenues				98,832	20,000	20,000	15,000
Expenditures				0	5,000	5,000	5,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
				42,454	141,286		
Net Total Transfers	0	0	0	42,454	141,286	0	0
Ending Cash Balance	0	0	0	141,286	297,572	312,572	322,572
Encumbrances							
Unencumbered Cash Balance	0	0	0	141,286	297,572	312,572	322,572

**Additional Information:**

Previously, Civil Monetary Penalty (CMP) funds were deposited into OHCA Special Fund (S-XX-310-H). It was determined that these federal funds cannot be co-mingled with other funds; Act 071, SLH 2015, establishes a civil monetary special fund - request to allot/expend sent to Governor; once approved, previous CMP revenue/expenditure funds/transactions will be transferred from 310 to 374.

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Health Aging Partnership  
 Legal Authority: Act 191 SLH 10

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) B  
 Appropriation Acct. No. S 11 381H

Intended Purpose:  
Healthy Aging

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:  
Account Closed

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	5,570	5,570	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4652 dated 03/01/2016			(5,570)				
Net Total Transfers	0	0	(5,570)	0	0	0	0
Ending Cash Balance	0	5,570	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	5,570	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: Health  
 Prog ID(s): HTH 720  
 Name of Fund: Dietitian Licensure Special Fund  
 Legal Authority: Act 280, SLH 2000

Contact Name: Keith Ridley  
 Phone: 692-7227  
 Fund type (MOF): B  
 Appropriation Acct. No.: S 388 H

**Intended Purpose:**

The fund is for the deposit of fees and fines collected from the licensing of dietitians in the state of Hawaii.

**Source of Revenues:**

Licensing fees and fines collected.

**Current Program Activities/Allowable Expenses:**

These funds may be used for the operation of the licensing program and funding of statewide continuing education and training programs for licensed dietitians.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

**Variances:**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	30,000	30,000	30,000	30,000	30,000
Beginning Cash Balance	12,675	20,300	28,575	36,547	30,000	30,000	30,000
Revenues	7,625	8,275	13,450	(6,547)	0	0	0
Expenditures	0	0	5,478	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	20,300	28,575	36,547	30,000	30,000	30,000	30,000
<b>Encumbrances</b>							
Unencumbered Cash Balance	20,300	28,575	36,547	30,000	30,000	30,000	30,000

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: Health  
 Prog ID(s): HTH 720  
 Name of Fund: Medical Marijuana Registry and Regulation Special Fund  
 Legal Authority: Section 321-30.1, HRS

Contact Name: Keith Ridley  
 Phone: 692-7227  
 Fund type (MOF) B  
 Appropriation Acct. No. S 397 H

**Intended Purpose:**

Pursuant to Act 241, SLH 2015, the purpose of this special fund is for the deposit of licensing fees and other related revenue from the medical marijuana dispensary licensing program.

**Source of Revenues:**

Revenues are from the licensing of medical marijuana dispensaries and whatever fines may be assessed against the medical marijuana dispensaries and their respective related facilities conducted by the Department of Health's Office of Health Care Assurance (OHCA).

**Current Program Activities/Allowable Expenses:**

OHCA has the responsibility of licensing the medical marijuana dispensaries in the state and ensuring compliance with and pursuant to Act 241, SLH 2015. Currently, funds are to be used for the implementation and on-going operations of a regulated statewide dispensary system for medical marijuana.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Variations: Variance in Revenues between FY16 and FY17 is due to FY16 being the first year to implement Medical Marijuana Dispensaries and many new application and license fees. In FY17, expecting only renewal license fees. In FY18 & FY19 not anticipating any application or license fees. Variance in expenditures between FY16 and FY17 is due to program starting up at the end of FY16 which resulted in not a full year of expenditures.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	750,000	750,000	750,000	750,000
Beginning Cash Balance				0	0	1,662,500	1,312,500
Revenues				1,605,000	1,112,500	400,000	400,000
Expenditures				243,859	750,000	750,000	750,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
					1,300,000		
Net Total Transfers	0	0	0	0	1,300,000	0	0
Ending Cash Balance	0	0	0	1,361,141	1,662,500	1,312,500	962,500
Encumbrances				64,553			
Unencumbered Cash Balance	0	0	0	1,296,588	1,662,500	1,312,500	962,500

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 100  
 Name of Fund: Comprehensive STD Prevention Projects  
 Legal Authority: Public Law 112-74, Patient Protection and Affordable  
Care Act, PL I11-148

Contact Name: Philip Nguyen  
 Phone: 586-4581  
 Fund type (MOF) P  
 Appropriation Acct. No. S 500 H (FY14-19)  
S 207 H (FY12-13)

**Intended Purpose:**

To prevent and reduce the incidence of STD in the State of Hawaii

**Source of Revenues:**

U.S Department of Health & Human Service/Centers for Disease Control and Prevention

**Current Program Activities/Allowable Expenses:**

STD disease intervention and detection

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:** The variance between FY14 and FY15 was due to several vacancies in FY15. The variance in revenues and expenditures between FY 2015-16 is due to a projected increase in the grant award for FY16.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		344,154			380,079	380,079	380,079
Beginning Cash Balance			13,455	11,714	12,561	12,561	12,561
Revenues	0	405,237	353,184	327,875	380,079	380,079	380,079
Expenditures	0	391,783	354,925	327,029	380,079	380,079	380,079
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	13,454	11,714	12,561	12,561	12,561	12,561
Encumbrances			151				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: DOH  
 Prog ID(s): HTH131DJ  
 Name of Fund: SDOH Category A, Part 1 and Category B Project for Viral Hepatitis  
 Legal Authority: PHS Act, Sec 1706, 42 USC 300u-5, Sec 2(d), PL 98-551

Contact Name: Sarah Y. Park, MD, FAAP  
 Phone: (808) 587-6845  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-501 H

Intended Purpose: Develop, coordinate, implement, and evaluate the statewide Hawaii Adult Viral Hepatitis Prevention Program (AVHPP) in accordance with CDC's recommendations and guidelines, as well as the US DHHS 2014 Viral Hepatitis Action Plan.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to pay for the Viral Hepatitis Prevention Coordinator and travel expenses.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Not applicable.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	100,000	90,000	90,000	90,000	90,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues	88,697	88,697	88,697	88,750	88,750	88,750	88,750
Expenditures	88,697	88,697	88,697	88,750	88,750	88,750	88,750
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: DOH  
 Prog ID(s): HTH131DJ  
 Name of Fund: HI's Epidemiology & Laboratory Capacity for Infectious Diseases  
 Legal Authority: 42 USC 241, 31 USC 6305, 42 CFR 52

Contact Name: Sarah Y. Park, MD, FAAP  
 Phone: (808) 587-6845  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-501 H

Intended Purpose: The ELC grant funds are used to improve and protect the health of Hawaii's citizens and visitors by providing DOH the tools and skills to improve surveillance for and respond to infectious diseases and other public health threats.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to maintain personnel to accomplish key objectives for the ELC grant and support and improve the following capacities to detect, mitigate, and prevent infectious diseases for the population of Hawaii: epidemiology, laboratory, and health information systems. Additional funding in FY 17 supports arboviral response, including Zika virus, as well as, addressing antimicrobial resistance and healthcare associated infections.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances in revenues and expenditures is generally due to variances in award receipt date, components, amounts, and timing of payments.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,000	1,000,000	1,500,000	1,500,000	4,000,000	4,000,000	4,000,000
Beginning Cash Balance		163,304	3,038	30,050	37,290	37,290	37,290
Revenues	592,318	955,794	892,127	1,139,461	3,804,682	4,000,000	4,000,000
Expenditures	429,014	955,671	865,115	1,132,220	3,804,682	4,000,000	4,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	163,304	163,427	30,050	37,290	37,290	37,290	37,290
Encumbrances			21,936	166,077			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 460  
 Name of Fund: Project Kealahou - A New Pathway for Girls  
 Legal Authority: Section 561 thru 565 of the Public Health Services Act As Amended

Contact Name: Wakaba Stephens  
 Phone: 733-9866  
 Fund type (MOF) P  
 Appropriation Acct. No. S 503 H

**Intended Purpose:**

To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

**Source of Revenues:**

Federally funded grant from SAMHSA.

**Current Program Activities/Allowable Expenses:**

Providing services to nurture positive relationships, emotional well-being and community connections for girls with mental illness.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Revenues and expenditures decreased from FY2014 to FY2015 due to the decrease in the award amount and activities.

The no cost extension was approved for FY2016 and ended as of 9/29/2016.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		3,191,795	1,440,032	1,485,427	668,666	0	0
Beginning Cash Balance		0	48,994	29,396	21,527	21,527	21,527
Revenues		1,800,757	845,636	808,893	230,000	0	0
Expenditures		1,751,763	865,234	816,762	230,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	48,994	29,396	21,527	21,527	21,527	21,527
Encumbrances		141,794	180,253	45,842			
Unencumbered Cash Balance	0	(92,800)	(150,857)	(24,315)	21,527	21,527	21,527

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: Health  
 Prog ID(s): HTH 495  
 Name of Fund: Hawaii Mental Health Data Infrastructure Grant of Quality Improvement  
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi  
 Phone: 586-4682  
 Fund type (MOF) P  
 Appropriation Acct. No. S 504 H

Intended Purpose: The purpose of the grant is to provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: Hawaii's State Mental Health Data Infrastructure Grant for Quality Improvement

Current Program Activities/Allowable Expenses: Data infrastructure enhancements for the continued collection of mental health client-level data element

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The FY 2016 expenditure variance is attributed to delays in the filling of the grant funded position.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	77,942	0	137,363	137,363	137,363	137,363
Beginning Cash Balance		0	48,193	146,008	188,910	188,910	188,910
Revenues		126,134	133,504	137,363	137,363	137,363	137,363
Expenditures		77,941	35,689	19,779	137,363	137,363	137,363
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS 1244 dated 09/09/2015				(24,060)			
JS 6982 dated 06/28/2016				(50,622)			
Net Total Transfers	0	0	0	(74,682)	0	0	0
Ending Cash Balance	0	48,193	146,008	188,910	188,910	188,910	188,910
Encumbrances			0	1,940			
Unencumbered Cash Balance	0	48,193	146,008	186,970	188,910	188,910	188,910

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: State Systems Development Initiative (SSDI)  
 Legal Authority: SSA, Title V, Section 502(a)(1), as amended; 42 USC 702

Contact Name: Gordon Takaki  
 Phone: 733-8365  
 Fund type (MOF) P  
 Appropriation Acct. No. S 505 H

Intended Purpose: The purpose of this grant is to improve data analysis capacity for programs. The grant focuses on the development of key MCH datasets through the establishment of the data linkages between birth records and agency data (including Medicaid files and hospital discharge data), as well as improving access to data from surveys and registries. The analysis of the data will open new paths to investigate health problems and to evaluate programs and policies for more effective Title V MCH program planning in Hawaii.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: Data collection, analysis, and publication related to the MCH population are carried out on an ongoing basis. These data are used for continuing needs assessment, annual performance/outcome measurement, program planning and evaluation, and policy development. Currently, data linkages have been made between infant birth and death certificates, birth records and newborn screening files, and birth records and WIC records. Direct access to key MCH survey and registry data has also been achieved. Annual data reports are developed and disseminated to policy makers and MCH stakeholders.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. The variance in revenues and expenditures between FY 2016-2017 is due to an anticipated increase in the grant award for FY 2017.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	99,984	105,992	105,992	105,992	105,992	105,992
Beginning Cash Balance	0	0	1,366	1,419	1,317	1,317	1,317
Revenues	0	86,313	94,380	91,134	100,000	100,000	100,000
Expenditures	0	84,947	94,327	91,236	100,000	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	1,366	1,419	1,317	1,317	1,317	1,317
Encumbrances	0	5,817	6,904	2,418	6,000	6,000	6,000
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: National Cancer Prevention & Control Program  
 Legal Authority: Various sections of the Public Health Service Act, as amended

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) P  
 Appropriation Acct. No. S 506 H

Intended Purpose: See Attachment S 506 H

Source of Revenues: See Attachment S 506 H

Current Program Activities/Allowable Expenses: See Attachment S 506 H

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,338,281	1,295,578	1,437,431	1,425,000	1,425,000	1,425,000
Beginning Cash Balance		0	13,125	10,358	11,015	11,015	11,015
Revenues		821,917	1,235,080	1,080,900	1,425,000	1,425,000	1,425,000
Expenditures		808,792	1,237,847	1,080,243	1,425,000	1,425,000	1,425,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	13,125	10,358	11,015	11,015	11,015	11,015
Encumbrances		510,211	342,362	388,696			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 610 FR  
 Name of Fund: State Lead Program Grants  
 Legal Authority Toxic Substances Control Act, Section 28; 40 CFR Part 31

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) P  
 Appropriation Acct. No. S 507 H

Intended Purpose: Implement lead-based training and certification program pursuant to Toxic Substances Control Act, Title IV, Sections 402 and 404

Source of Revenues: Federal grant funds from Environmental Protection Agency  
 Current Program Activities/Allowable Expenses: Administration, program development, data management, inspection, enforcement, training, certification

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Prior to FY14, S-255 was the parent account for all appropriated federal funds in HTH 610. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		319,012	209,519	220,000	220,000	233,011	244,661
Beginning Cash Balance			11,014	11,963			
Revenues		233,249	212,127	226,338	220,000	233,011	244,661
Expenditures		222,235	211,178	226,054	220,000	233,011	244,661
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance		11,014	11,963	12,247	0	0	0
Encumbrances							
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 710  
 Name of Fund: Food Emergency Response Network Cooperative Agreement Program  
 Legal Authority: Act 124, SLH 2016

Contact Name: Ebru Yilmaz-Pedro  
 Phone: 453-6667  
 Fund type (MOF) P  
 Appropriation Acct. No. S-14-508-H (FY14-15)  
S-16-508-H (FY16-17)

Intended Purpose: This Cooperative Agreement grant will enhance and expand Department's laboratory capacity and capabilities to detect microbiological threat agents in foods, develop screening technologies and to provide timely lab response to a local or nationwide foodborne pathogens.  
 Source of Revenues: Dept. of Health & Human Services (DHHS), Food & Drug Administration (FDA), Food Emergency Response Network (FERN)

Current Program Activities/Allowable Expenses: The fund will be used for laboratory support personnel, to procure equipment and other scientific supplies, to pay for extended service agreements for the purchased equipment that will be used to perform the tasks required in order to meet the Federal Cooperative Agreement.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The decrease in revenues for FY16 is due to decrease in salaries and wages result of one vacant position.  
 The fluctuations in expenditures are due to extended service agreements for the purchased equipment.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		330,322			257,000	257,000	257,000
Beginning Cash Balance		0	6,704	6,942	6,355	6,355	6,355
Revenues		212,886	229,873	199,858	257,000	257,000	257,000
Expenditures		206,182	229,635	200,445	257,000	257,000	257,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	6,704	6,942	6,355	6,355	6,355	6,355
Encumbrances			9,658	3,952			
Unencumbered Cash Balance	0	6,704	(2,716)	2,403	6,355	6,355	6,355

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: <u>Health</u>	Contact Name: <u>Keith Ridley</u>
Prog ID(s): <u>HTH 720</u>	Phone: <u>692-7227</u>
Name of Fund: <u>Title XVIII (Medicare)</u>	Fund type (MOF) <u>P</u>
Legal Authority <u>Social Security Act, Section 1864, and U.S. Public Law 100-578</u>	Appropriation Acct. No. <u>S 509 H</u>

**Intended Purpose:**

To meet contractual obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

**Source of Revenues:**

The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress despite the level of funding requested by OHCA. Congress' dilemma on whether or how to address the federal budget deficit makes the level of funding for this program unpredictable for the next few years. Beginning in FY14, DAGS split previous S-223-H account into three (3) separate accounts - 509, 538, and 539 therefore no previous data exists prior to FY14.

**Current Program Activities/Allowable Expenses:**

On behalf of CMS, perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii. Despite any changes to the federal funding, CMS expects the state to meet its contractual obligations of performing Medicare certification surveys on healthcare facilities and organizations within the program's jurisdiction.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	1,519,721	1,674,477	1,286,080	1,485,239	1,485,239	1,485,239
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	1,170,862	628,496	799,057	800,000	800,000	800,000
Expenditures	0	921,492	731,504	760,651	800,000	800,000	800,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
			46,000	50,000			
			51,753	(50,000)			
			30,000				
			76,000				
Net Total Transfers	0	0	203,753	0	0	0	0
Ending Cash Balance	0	249,370	100,745	38,406	0	0	0
Encumbrances		42,864	57,869	35,155			
Unencumbered Cash Balance	0	206,506	42,876	3,251	0	0	0

**Additional Information:**

For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment). Previously, all funds (Title XVIII S-509, XIX S-538, and CLIA S-539) received from CMS were held in account S-223-H. Beginning in FY14, the funds were separated and now have their own separate accounts. FFY16 Q4 expenditures are not included as federal Q4 reports have not been completed (overlap of fed and state fiscal years). For FY 2015, E-1 not included (funds received from CMS in September 2015).

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: Health  
 Prog ID(s): HTH 730  
 Name of Fund: Core Violence & Injury Prevention Program  
 Legal Authority: SEC 301, 317, & 319A 42USC241, 247B & 280B-B3

Contact Name: Terry Joyce  
 Phone: 733-9217  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-14-510 H, S-17-510 H

Intended Purpose: Provide support to increase effectiveness in reducing and preventing the leading cause of injuries.

Source of Revenues: Dept of Health & Human Services Centers for Disease Control & Prevention National Center for Injury Prevention & Control.

Current Program Activities/Allowable Expenses: Coordination & integration of injury prevention & surveillance efforts/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 and Note 4 below.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	215,328	325,255	252,997	1,250,000	250,000	250,000
Beginning Cash Balance	0	0	2,964	2,866	3,233	0	0
Revenues	0	193,037	109,659	146,338	283,103	250,000	250,000
Expenditures	0	190,073	109,758	145,971	286,336	250,000	250,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	2,964	2,866	3,233	0	0	0
Encumbrances	0	4,509	37,602	36,336	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).)

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Note 1:** Data Source Reference for Actual FY 2016 Beginning Balance: MBP477-A OPTION:2, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 2:** Data Source Reference for Actual FY 2016 Encumbrances (Unliquidated Balance): MBP490-A, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 3:** The estimated revenue for FY 2017 is equal to the amount in the notice of grant award for FY 2017 plus the remaining encumbrance for FY 2016 minus the beginning cash balance for FY 2017. The estimated expenditure for FY 2017 is equal to fully expending the amount in the notice of grant award for FY 2017 and the remaining encumbrance for FY 2016. The estimated expenditure for FYs 2018-2019 is equal to fully expending the amount in the anticipated notice of grant award for each year.

**Note 4:** In FYs 2011 through FY 2013, this grant was reported with other grants in appropriation S 241 H, MOF "N." The supplemental budget for FY 15, ACT 122, SLH 2014, includes an appropriation of \$150,000 for this grant that aligns with the anticipated federal award of \$150,000 per year. The budget for FB15-17 includes an increase in the ceiling in FY 2017 to include the anticipated award for the anticipated project period 08/01/2016 - 07/31/2021 (\$150,000 x 5 years = \$750,000). The actual award amount for 08/01/2016-07/31/2017 is \$250,000. The amount recommended for each year is \$250,000. Governor Approval to add \$500,000 to ceiling of \$750,000 is dated 10/11/16. In the budget request for FB2017-19, the program is requesting that the ceiling be adjusted to \$250,000 for FY 2018 and for FY 2019.

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 100  
 Name of Fund: Comprehensive HIV Prevention Projects  
 Legal Authority: Public Law 112-74, Patient Protection and Affordable  
Care Act, PL 111-148

Contact Name: Phillip Nguyen  
 Phone: 586-4581  
 Fund type (MOF) P  
 Appropriation Acct. No. S 207 H (FY12-13)  
S 511 H (FY14-19)

**Intended Purpose:**

To prevent and reduce the incidence of STD in the State of Hawaii

**Source of Revenues:**

U.S Department of Health & Human Service/Centers for Disease Control and Prevention

**Current Program Activities/Allowable Expenses:**

HIV counseling and testing, education and risk reduction

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variations:** In FY 2013, this grant was consolidated under S 207 H, therefore no data entered for that fiscal year. From FY17 and forward, a major component of the grant was removed and the award has significant been decreased.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,264,441	798,881	798,881	798,881
Beginning Cash Balance			1,841	30,105	23,096	23,096	23,096
Revenues		1,400,627	1,374,263	1,279,607	798,881	798,881	798,881
Expenditures		1,439,434	1,345,999	1,286,616	798,881	798,881	798,881
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	(38,806)	30,105	23,096	23,096	23,096	23,096
Encumbrances			340,389	1,852			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 840 FG  
 Name of Fund: Beach Monitoring and Notification Program  
 Legal Authority: PL 92-500, Water Pollution Control Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) P  
 Appropriation Acct. No. S 512 H

Intended Purpose: Implement State's beach monitoring and notification program

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, technical assistance, monitoring, risk assessment, enforcement, disease surveillance and investigation, laboratory capabilities and certification, public response and notification, data management and reporting

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Prior to FY14, S-201 was the parent account for all appropriated federal funds in HTH 840. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. Awards are received annually. Each award period is four years and expenditures vary according to workplan.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		807,024	312,000	328,000	328,000	324,450	340,673
Beginning Cash Balance			11,976	6,916			
Revenues		264,620	294,237	238,850	328,000	324,450	340,673
Expenditures		252,644	299,297	240,547	328,000	324,450	340,673
Transfers							
List each net transfer in/out; list each account number							
Various JVs				1,715			
Net Total Transfers			0	1,715			
Ending Cash Balance		11,976	6,916	6,934	0	0	0
Encumbrances		63,813	3,150	74,889			
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 849 FA  
 Name of Fund: Exchange Network  
 Legal Authority: P.L. 111-88; 40 CFR Part 3

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) P  
 Appropriation Acct. No. S 513 H

Intended Purpose: Develop and implement various data systems for Environmental Health Administration programs

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, reporting, and technical assistance

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Prior to FY14, S-236 was the parent account for all appropriated federal funds in HTH 849. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. Grant has multi-year award periods and expenditures vary according to workplan.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		460,262	300,000	315,000	315,000	315,000	330,750
Beginning Cash Balance			8,220	4,066			
Revenues		239,496	249,399	42,332	315,000	315,000	330,750
Expenditures		231,276	253,553	42,443	315,000	315,000	330,750
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance	0	8,220	4,066	3,955	0	0	0
Encumbrances		5,464	8,675	276,981			
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Senior Medicare Patrol Project  
 Legal Authority: 42 USC 3031-3037B

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) P  
 Appropriation Acct. No. S 514 H

**Intended Purpose:**

The SMP program empowers seniors through increase awareness and understanding of healthcare programs. This knowledge help to protect themselves from the economic and health related consequences of Medicare and Medicaid fraud, error, and abuse.

**Source of Revenues:**

Administration for Community Living

**Current Program Activities/Allowable Expenses:**

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Increase in federal grant amount

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	171,438	663,668	488,450	488,450	488,450	488,450
Beginning Cash Balance	0	0	0	6,331	6,925	0	0
Revenues	0	154,577	181,549	204,318	481,525	481,525	481,525
Expenditures	0	154,577	175,218	203,724	488,450	481,525	481,525

**Transfers**

List each net transfer in/out/ or projection in/out; list each account number

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
Net Total Transfers				0	0	0	0
Ending Cash Balance	0	0	6,331	6,925	0	0	0
Encumbrances		329		1,540			
Unencumbered Cash Balance	0	(329)	6,331	5,385	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 100  
 Name of Fund: HIV/AIDS  
 Legal Authority: Public Law 112-74, Patient Protection and Affordable  
Care Act, PL 111-148

Contact Name: Phillip Nguyen  
 Phone: 586-4581  
 Fund type (MOF) P  
 Appropriation Acct. No. S 207 H (FY12-13)  
S 515 H (FY14-19)

**Intended Purpose:**

To prevent and reduce the incidence of HIV/AIDS in the State of Hawaii

**Source of Revenues:**

U.S Department of Health & Human Service/Centers for Disease Control and Prevention

**Current Program Activities/Allowable Expenses:**

HIV/AIDS surveillance

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variations:** In FY 2013, this grant was consolidated under S 207 H, therefore no data entered for that fiscal year. The variance in revenues and expenditures between FY16 and FY17 is due to a projected increase in the grant award for FY 2016.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					255,297	255,297	255,297
Beginning Cash Balance			6,148	6,118	6,622	6,622	6,622
Revenues		188,998	193,778	201,318	234,838	234,838	234,838
Expenditures		182,850	193,808	200,814	234,838	234,838	234,838
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	6,148	6,118	6,622	6,622	6,622	6,622
Encumbrances			800				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 100  
 Name of Fund: Prospective Comparison of TST vs. IGRAs  
 Legal Authority: Public Law 112-74, Patient Protection and Affordable  
Care Act, PL 111-148

Contact Name: Phillip Nguyen  
 Phone: 586-4581  
 Fund type (MOF) P  
 Appropriation Acct. No. S 207 H (FY12-13)  
S 516 H (FY14-19)

**Intended Purpose:**

To prevent and reduce the incidence of tuberculosis (TB) in the State of Hawaii

**Source of Revenues:**

U.S Department of Health & Human Service/Centers for Disease Control and Prevention

**Current Program Activities/Allowable Expenses:**

TB outbreak and control activities, laboratory and direct observed therapy services

**Variations:** In FY 2013, this grant was consolidated under S 207 H, therefore no data entered for that fiscal year. The variance in revenues and expenditures between FY 2014-15 is due to an increase in the grant award for FY15. The variance in revenues and expenditures between FY2015-16 is due to an increase in the grant award for FY16 and the program couldn't fully spend the grant funds in FY15.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					472,403	474,058	477,750
Beginning Cash Balance			20,784	107,163	144,001	144,001	144,001
Revenues		303,449	438,206	340,794	472,403	474,058	477,750
Expenditures		282,665	351,827	303,956	472,403	474,058	477,750
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	20,784	107,163	144,001	144,001	144,001	144,001
Encumbrances			1,545				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 100  
 Name of Fund: Tuberculosis Control & Elimination in Hawaii  
 Legal Authority: Public Law 112-74, Patient Protection and Affordable  
Care Act, PL 111-148

Contact Name: Philip Nguyen  
 Phone: 586-4581  
 Fund type (MOF) P  
 Appropriation Acct. No. S 207 H (FY11-13)  
S 517 H (FY14-15)

**Intended Purpose:**

Compare the performance of Interferon Gamma Release Assays (IGRAs) and Tuberculin Skin Test (TST) in a large and heterogeneous cohort of patients in order to identify the method that best predicts progression to TB disease, overall and in important subgroups of patients.

**Source of Revenues:**

U.S Department of Health & Human Service/Centers for Disease Control and Prevention

**Current Program Activities/Allowable Expenses:**

Conducting a study to compare TST and IGRAs in diagnosing Latent Tuberculosis Infection (LTBI) and in predicting progression from LTBI to TB disease.

**Variations:**

The TB control and Elimination grant was moved to S 594 starting from FY16. The expenditures and revenue in FY16 below were made in error by the program. These expenditure and revenue should have been recorded in S 594 instead. S 517 is no longer being used after FY15.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance				0			
Revenues				2,928			
Expenditures				2,928			
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 100  
 Name of Fund: Hansen's Disease National Ambulatory Care Program  
 Legal Authority: P.L. 99-117

Contact Name: Phillip Nguyen  
 Phone: 586-4581  
 Fund type (MOF) P  
 Appropriation Acct. No. S 207 H (FY12-13)  
S 518 H (FY14-19)

**Intended Purpose:**

To prevent and reduce the incidence of Hansen's Disease (HD)

**Source of Revenues:**

U.S. Dept. of Health & Human Services/\*Community Program Reimbursement

**Current Program Activities/Allowable Expenses:**

HD services include medical, nursing, laboratory, educational, social, and rehabilitative services.

**Purpose of Proposed Ceiling Increase (if applicable):** Not Applicable

Variances: In FY 2013, this grant was consolidated under S 207 H, therefore no data entered for that fiscal year. The variance in revenues between FY 2015-16 is due to a projected increase in reimbursement revenue for FY 2016.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,096,993	1,096,993	1,065,157	1,065,157	1,065,157	1,065,157	1,065,157
Beginning Cash Balance			59,649	95,998	96,865	907,483	1,718,101
Revenues		738,038	937,767	911,241	1,810,618	1,810,618	1,810,618
Expenditures		923,045	901,418	910,374	1,000,000	1,000,000	1,000,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	(185,007)	95,998	96,865	907,483	1,718,101	2,528,719
Encumbrances							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 440  
 Name of Fund: Hawaii Tobacco State Enforcement Contract  
 Legal Authority HHSF223201110148C

Contact Name: Edward Mersereau  
 Phone: 692-7507  
 Fund type (MOF) P  
 Appropriation Acct. No. S 520 H

Intended Purpose: To ensure states maintain compliance with and enforce certain provisions of the Federal Tobacco Control Act regulations (21 CFR, Part 1140).

Source of Revenues: Department of Health and Human Services -Food and Drug Administration (FDA)

Current Program Activities/Allowable Expenses: Conduct unannounced tobacco inspections of retail outlets for compliance with respect to federal regulations, collect, document and preserve evidence; support FDA in any enforcement or judicial actions; coordinate with FDA for responses to press; respond to inquiries by FDA concerning inspections and activities conducted.

Purpose of Proposed Ceiling Adjustment (if applicable): The proposed ceiling increase of \$31,439 is requested through the FB17-19 Budget is reflective of the current contract award.

Variances: Current three year contract from 09/30/14 to 09/29/17.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		285,518	355,876	386,603	386,603	386,603	386,603
Beginning Cash Balance		0	12,997	86,189	23,259	23,259	23,259
Revenues			298,118	176,980	386,603	386,603	386,603
Expenditures			224,926	194,910	386,603	386,603	386,603
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS7135 dated 06/30/2016				(45,000)			
Net Total Transfers	0	0	0	(45,000)	0	0	0
Ending Cash Balance	0	0	86,189	23,259	23,259	23,259	23,259
Encumbrances			131,388	257,094			
Unencumbered Cash Balance	0	0	(45,199)	(233,835)	23,259	23,259	23,259

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: State Primary Care Office  
 Legal Authority: Sections 330(k), 330(m), and 333(d) of the PHS Act

Contact Name: Gordon Takaki  
 Phone: 733-8365  
 Fund type (MOF) P  
 Appropriation Acct. No. S 521 H

Intended Purpose: The goal of this grant is to improve primary care service delivery and workforce availability to meet the needs of underserved populations in Hawaii. Primary partners in this endeavor include the Bureau of Health Professions and Clinician and Recruitment Services of HRSA, DHHS; FHSD; Health Resources Administration, Hawaii State Department of Health, and the Hawaii Primary Care association (HPCA)

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: The program's five required overarching goals that strengthen the statewide primary care system are to: (1) Demonstrate organizational effectiveness and foster collaboration by establishing and maintaining public and private partnerships, participation in national conference calls initiated by the Bureau of Clinician and Recruitment Services, and the Shortage Designation Branch, convening of the Primary Care Provider Network forum, and attendance at required meetings held with the PCO Project Officer, PCO annual meeting, and HRSA's all grantee meeting throughout the project period; (2) Provide technical assistance to organizations/communities wishing to expand access to primary care for underserved populations; (3) Conduct primary care needs assessment for the production of the primary care needs assessment databook, and sharing data with the HPCA and other entities; (4) Facilitate workforce development for the National Health Service Corps (NHSC) and safety net/health center network through the evaluation and recommendation of recruitment and retention assistance applications, NHSC site monitoring to evaluate compliance with agreements, maintenance of an inventory of eligible NHSC placement sites with current site profiles, and facilitation of placement of NHSC providers according to needs of Health Center Network; and (5) submission of Health Professional Shortage Area designations and re-designation applications.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	178,179	178,179	178,179	178,179	178,179	178,179
Beginning Cash Balance	0	0	5,983	5,377	5,556	5,556	5,556
Revenues	0	164,045	157,682	152,235	167,994	167,994	167,994
Expenditures	0	158,062	158,288	152,056	167,994	167,994	167,994
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	5,983	5,377	5,556	5,556	5,556	5,556
Encumbrances	0	9,015	954	1,520	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Maternal & Child Health Bureau Early Childhood Comprehensive Systems (ECCS)  
 Legal Authority: SSA, Title V, Section 502(a)(1), as amended; 42 U.S.C. 702

Contact Name: Gordon Takaki  
 Phone: 733-8365  
 Fund type (MOF) P  
 Appropriation Acct. No. S 522 H

Intended Purpose: The ECCS Grant is funded in order to accomplish the Maternal and Child Health Bureau's Strategic Plan for Early Childhood Health across all states. This implementation grant requires setting incremental goals and objectives for Hawaii to develop an early childhood comprehensive system. Achievement of outcomes in the following key areas are to be addressed: a) access to health insurance and medical homes; b) mental health and social-emotional development; c) early childcare and education; d) parent education; and family support.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: Strategic management team consists of members from Hawaii's Departments of Health, Education, and Human Services, as well as early childhood stakeholders whom meet quarterly to provide oversight of implementation.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. The variance in revenues and expenditures between FY 2014-2015 is reflective of an increase in drawdowns and expenditures in FY 2015. The variance in revenues and expenditures between FY 2016-2017 and beyond is due to a substantial increase in the ECCS grant awarded to the State.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	140,000	140,000	140,000	426,600	426,600	426,600
Beginning Cash Balance	0	0	3,630	4,450	3,434	3,434	3,434
Revenues	0	120,435	153,947	112,731	140,000	140,000	140,000
Expenditures	0	116,805	153,127	113,747	140,000	140,000	140,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	3,630	4,450	3,434	3,434	3,434	3,434
Encumbrances	0	6,225	302	25,203	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: State Office of Rural Health (SORH)  
 Legal Authority: PHS Act, Title III, Section 338J

Contact Name: Gordon Takaki  
 Phone: 733-8365  
 Fund type (MOF) P  
 Appropriation Acct. No. S 523 H

Intended Purpose: The grant program coordinates and implements activities to support priority health needs of Hawaii's rural communities.

Source of Revenues: US Department of Health and Human Services, Health Resources and Services Administration, Office of Rural Health Policy.

Current Program Activities/Allowable Expenses: The program allocates its resources towards the implementation of the following goals: (1) collect and disseminate information; (2) coordinate rural health care activities in state in order to avoid duplication; and (3) provide technical assistance to public and non-profit private entities.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. Variance in revenues and expenditures between FY 2015-16 is due to the draw-down of \$233,562 in grant funds to cover the liquidation of expenditures incurred in FY 2015, whereas in FY 2016, both revenues and expenditures are projected to be lower. A slight increase in revenues and expenditures between FY 2016-2017 is anticipated.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	0	0	3,988	23,978	4,171	4,171	4,171
Revenues	0	142,207	233,562	147,011	180,000	180,000	180,000
Expenditures	0	138,219	213,572	166,818	180,000	180,000	180,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	3,988	23,978	4,171	4,171	4,171	4,171
Encumbrances	0	38,535	20,546	15,165	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: State Rural Hospital Flexibility Program (FLEX)  
 Legal Authority: Balanced Budget Act of 1997, Section 4201, PL 105-33

Contact Name: Gordon Takaki  
 Phone: 733-8365  
 Fund type (MOF) P  
 Appropriation Acct. No. S 524 H

Intended Purpose: This grant program is a Federal initiative that provides funding to State Governments to strengthen rural health. This program: (1) Allows small hospitals the flexibility to reconfigure operations and be licensed as Critical Access Hospital (CAHs), (2) Offers cost-based reimbursement for Medicare acute and skilled inpatient and outpatient services, (3) Encourages the development of rural-centric health networks, (4) Offers grants to States to help implement a CAH program in the context of broader initiatives to strengthen the rural health care infrastructure.

Source of Revenues: US Department of Health and Human Services, Health Resource Services Administration, Office of Rural Health Policy

Current Program Activities/Allowable Expenses: The FLEX program works with Hawaii's nine CAHs on improving quality and performance, developing health information technology plans, and conversion to ICD-10; with rural communities on developing integrated systems of care; with the Emergency Medical Systems and Injury Prevention Branch on improving trauma care in rural areas; and providing community health needs assessments in rural areas.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. The variance in revenues and expenditures between FY 2015-2016 is due to a decrease in draw-downs and expenditures occurring in FY 2016. Planned revenues and expenditures are anticipated to increase in FY 2017.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	437,240	437,240	437,240	437,240	437,240	437,240
Beginning Cash Balance	0	0	3,962	5,388	5,745	5,745	5,745
Revenues	0	263,022	431,576	252,779	419,316	419,316	419,316
Expenditures	0	259,060	430,150	252,422	419,316	419,316	419,316
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	3,962	5,388	5,745	5,745	5,745	5,745
Encumbrances	0	70,006	60,612	178,728	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Small Rural Hospital Improvement Grant (SHIP)  
 Legal Authority: SSA, Section 1820(g)(3), 42 USC 1395i-4

Contact Name: Gordon Takaki  
 Phone: 733-8365  
 Fund type (MOF) P  
 Appropriation Acct. No. S 525 H

Intended Purpose: This grant program is designed to help small rural hospitals comply with provision of the Patient Protection and Affordable Care Act. Grant monies may be used to prepare along three broad categories: (1) Value-Base Purchasing; (2) Accountable Care Organization/Shared Savings; and (3) Payment Bundling/PPS improvements.

Source of Revenues: US Department of Health and Human Services, Health Resource Services Administration, Office of Rural Health Policy

Current Program Activities/Allowable Expenses: Funds are used to provide HCAHPS satisfaction surveys and quality improvement and benchmark training for the CAHs and ICD-10 implementation for the eligible non-CAH hospital.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variations: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. The variance in revenues and expenditures between FY 2015-2016 is due to a slight decrease in expenditures in FY 2016, and a decrease in drawdowns (revenues) as a result. The variance in revenues and expenditures between FY 2016-2017 is due to an anticipated increase in expenditures for FY 2017 and an increase in drawdowns (revenues) as a result.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	94,500	94,500	94,500	94,500	94,500	94,500
Beginning Cash Balance	0	0	2,501	33	0	0	0
Revenues	0	36,500	77,419	62,193	90,000	90,000	90,000
Expenditures	0	34,000	79,887	62,226	90,000	90,000	90,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	2,501	33	0	0	0	0
Encumbrances	0	37,867	8,786	67,172	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Genetics Services Project  
 Legal Authority: Social Security Act (SSA) Title V, Section 502(a)(1), as amended

Contact Name: Lane Aakhus  
 Phone: 733-9062  
 Fund type (MOF) P  
 Appropriation Acct. No. S 526 H

Intended Purpose: To plan, develop, and evaluate a regional practice model that improves access to specialty genetic services, comprehensive primary care, and care coordination for Hawaii, Idaho, and Oregon children with heritable conditions and to increase the capacity of Alaska, California, Hawaii, Idaho, Nevada, Oregon, Washington, and Guam genetics and newborn screening programs to perform their assessment.

Source of Revenues: U.S. Department of Health and Human Services (DHHS), Health Resources and Services Administration (HRSA)

Current Program Activities: These funds are used to: 1) improve access to specialty metabolic services for children with metabolic conditions; 2) improve access to clinical genetic specialty services for children with metabolic conditions and congenital malformations; 3) improve access to comprehensive primary care; 4) improve access to public services; 5) develop strategies to monitor health outcomes; 6) promote third party reimbursement of genetic services; 7) assist Alaska to assess medical management and coordination needs; 8) assist Guam to develop a public health genetics plan; and 9) facilitate coordination between genetic services providers, families, primary care providers, state genetic programs workers to identify and implement strategies to increase the availability of pediatric subspecialty care for children with heritable conditions living outside urban areas.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	750,000	750,000	750,000	750,000	750,000	750,000
Beginning Cash Balance	0	0	2,248	8,926	1,171	1,171	1,171
Revenues	0	21,754	525,841	580,562	600,000	600,000	600,000
Expenditures	0	19,507	519,163	588,317	600,000	600,000	600,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	2,248	8,926	1,171	1,171	1,171	1,171
Encumbrances	0	451,228	469,569	215,791	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Universal Newborn Hearing Screening  
 Legal Authority: Section 399M of the Public Health Service (PHS) Act, as amended

Contact Name: Lane Aakhus  
 Phone: 733-9062  
 Fund type (MOF) P  
 Appropriation Acct. No. S 527 H

Intended Purpose: To further develop and refine the system of screening, assessment, and early intervention services for young children with hearing loss, so that all young children with hearing loss will reach developmentally appropriate milestones for language and communication.

Source of Revenues: U.S. Department of Health and Human Services (DHHS), Health Resources and Services Administration (HRSA)

Current Program Activities: These funds are used to: 1) complete implementation of universal newborn hearing screening program components by establishing a state advisory committee and an evaluation component; 2) improve existing components of screening, linkage with medical home, audiological assessment, data/tracking system, and professional and public educations; and 3) refine family support and early intervention services to meet the needs of young children with hearing impairment and their families.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. Variance in revenues between FY 2015-16 is due to the draw-down of only \$131,750 to cover expenditures incurred in FY 2015, whereas the FY 2016 revenue estimate reflects the grant award. The variance in expenditures between FY 2016 and FY 2017 and beyond reflects an increase in projected expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	450,000	450,000	450,000	450,000	450,000	450,000
Beginning Cash Balance	0	0	2,935	3,180	3,319	3,319	3,319
Revenues	0	130,441	131,750	123,542	250,000	250,000	250,000
Expenditures	0	127,506	131,505	123,403	250,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	2,935	3,180	3,319	3,319	3,319	3,319
Encumbrances	0	7,072	19,625	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Abstinence Only Education Grant (project: 000273)  
 Legal Authority: SSA, Title V, Section 510

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) P  
 Appropriation Acct. No. S 528 H

Intended Purpose: To provide States with funding for additional tools to address the rates of teen pregnancy among those groups who are most likely to bear children out of wedlock.

Source of Revenues: DHHS, Administration for Children & Families

Current Program Activities/Allowable Expenses:

This 2 year grant will support the sexual health education capacity on the 6 major islands using a place-based and abstinence-based, teen pregnancy prevention and positive youth development curriculum. Statewide island capacity building activities will include: on-line and in-person training for teachers and community connectors to facilitate the Hawaii developed curriculum; on-island health educator and technical assistance support from Department of Health and other local health care agencies; and post survey evaluations. Hawaii's Asian and Pacific Islander adolescents 11 to 13 years of age across the state and particularly on the neighbor islands will be the focal population.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. Variances in revenues and expenditures from FY 2014 to FY 2016 is due to the figures being calculated on a cash basis in the state fiscal year. Each state fiscal year can include revenues and expenditures from multiple federal budget years. The variance in revenues and expenditures between FY 2016 and FY 2017 is due to the projected expenditure of the entire grant award.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	0	0	34	0	0	0	0
Revenues	0	87,400	39,331	102,406	156,881	156,881	156,881
Expenditures	0	87,366	39,365	102,406	156,881	156,881	156,881
Transfers							
List each net transfer in/out/ or projection in/out; list each							
Net Total Transfers							
Ending Cash Balance	0	34	0	0	0	0	0
Encumbrances	0	38,550	120,000	159,594	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) (proj: 000602)  
 Legal Authority: Patient Protection and Affordable Care Act, P.L. 111-148

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) P  
 Appropriation Acct. No. S 529 H

Intended Purpose: To strengthen and improve home visiting programs, improve service coordination for at risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at risk communities.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

Current Program Activities/Allowable Expenses:

These funds are currently supporting the development of a Hawaii Home Visiting Network (HHVN). This is a public/private partnership with existing home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. This project will also build back a hospital based Early Identification program for families at risk for child maltreatment. Identified families will be offered home visiting services through the HHVN.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. Variances in revenues and expenditures from FY 2014 to FY 2016 is due to the figures being calculated on a cash basis in the state fiscal year. Each state fiscal year can include revenues and expenditures from multiple federal budget years. This grant has multiple budget periods operating concurrently. The variance in revenues and expenditures between FY 2016 and FY 2017 is due to the projected expenditure of the entire grant award.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	1,645,730	1,645,730	1,645,730	1,645,730	1,645,730	1,645,730
Beginning Cash Balance	0	0	244	5,807	45,775	45,775	45,775
Revenues	0	1,350,456	990,845	807,988	1,000,000	1,000,000	1,000,000
Expenditures	0	1,350,212	985,282	768,020	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	244	5,807	45,775	45,775	45,775	45,775
Encumbrances	0	431,209	194,066	352,632	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) (proj: 000629)  
 Legal Authority: Patient Protection and Affordable Care Act, P.L. 111-148

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF): P  
 Appropriation Acct. No.: S 530 H

Intended Purpose: To strengthen and improve home visiting programs, improve service coordination for at risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at risk communities.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

Current Program Activities/Allowable Expenses:

These funds are currently supporting the development of a Hawaii Home Visiting Network (HHVN). This is a public/private partnership with existing home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. This project will also build back a hospital based Early Identification program for families at risk for child maltreatment. Identified families will be offered home visiting services through the HHVN. This competitive grant award builds upon and expands the activities of the formula grant award. In addition to the HHVN development, these funds provide additional resources to support direct home visiting services to HHVN partner/providers.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. The variance is due to grant ending on 9/30/2014.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	3,135,981	3,135,981	0	0	0	0
Beginning Cash Balance	0	0	16,325	0	0	0	0
Revenues	0	1,534,892	1,363,670	0	0	0	0
Expenditures	0	1,518,567	1,379,995	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	16,325	0	0	0	0	0
Encumbrances	0	1,432,744	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Sexual Violence Prevention and Education (project: 000278)  
 Legal Authority: 42 USC 241, 243, 247b-4

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) P  
 Appropriation Acct. No. S 531 H

Intended Purpose: To provide sexual violence primary prevention services through activities, such as education and workshops, that increase awareness of sexual violence and reduce attitudes that condone sexual violence; to collaborate with, plan with, and provide technical support to public and private agencies, community stakeholders, and community-based organizations; and to increase the capacity of community-based organizations to engage in sexual violence primary prevention initiatives, activities, and partnerships.

Source of Revenues: DHHS, CDC

Current Program Activities/Allowable Expenses:

The funding provides for a program coordinator position that is responsible for statewide sexual assault prevention efforts including collaboration, planning, and support, as well as monitoring and evaluation services, for the following:

- A. Convene and maintain a Sexual Violence Prevention Planning Committee that consists of public and private agencies and community stakeholders.
- B. Implement the Sexual Violence Primary Prevention Plan.
- C. Gain and sustain public and private support for the Sexual Violence Primary Prevention Plan and its implementation by increasing awareness of such Plan among policy-makers, legislators, foundations, and local entrepreneurs in positions to provide funding or other resources for implementation.
- D. Provide technical assistance and support for state-wide sexual violence primary prevention community teams, consisting of various public and private agencies, individuals, and community stakeholders, in their efforts to (1) increase awareness of sexual violence and (2) reduce attitudes that condone sexual violence in their specific communities.
- E. Coordinate and collaborate with the Hawaii Coalition Against Sexual Assault to provide public awareness and prevention education activities in the community.
- F. Support private and public agencies to provide sexual violence primary prevention activities that (1) increase awareness of sexual violence and (2) increase understanding of healthy relationships through education to youth and young adults from the ages of 10 to 21 and through trainings to youth-serving agencies.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. Variances in revenues and expenditures from FY 2014 to FY 2016 is due to the figures being calculated on a cash basis in the state fiscal year. Each state fiscal year can include revenues and expenditures from multiple federal budget years. The variance in revenues between FY 2016 to FY 2017 is due to a projected increase in the grant amount.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	249,477	249,477	249,477	249,477	249,477	249,447
Beginning Cash Balance	0	0	2,797	4,900	2,948	2,948	2,948
Revenues	0	122,113	183,430	169,825	255,086	255,086	255,086
Expenditures	0	119,316	181,327	171,777	255,086	255,086	255,086
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	2,797	4,900	2,948	2,948	2,948	2,948
Encumbrances	0	4,275	4,836	120	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Disparities in Perinatal Health – Border Initiatives (project: 000286)  
 Legal Authority: Sections 330(h) and 751 of the PHS Act, as amended

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) P  
 Appropriation Acct. No. S 532 H

Intended Purpose: To improve birth outcomes and other measures of perinatal, post-partum, infant and interconception health status among high-risk women residing in Hawai'i County. This is to be accomplished through the development and implementation of a model of care for pregnant and postpartum women and their infants which is specific to the county's disparate populations and which integrates best practices, resources, and cultural/clinical expertise of the program and its partners. This project is a collaborative effort of the Department of Health's MCHB and Hawaii District Health Office in partnership with local perinatal and infant health care and social service providers, civic organizations, businesses, and cultural groups.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses:

- A. Implementing culturally competent core services which include outreach and client recruitment, screening and referral, health education and case management for pregnant women and continuing through a two year post-partum/interconception period. This is currently being accomplished through a local purchase of services contractor. The target population includes Native Hawaiian, Hispanic, Marshallese, Micronesian, other Pacific Islander, and Filipino women over age 18 and adolescents through age 18, regardless of ethnicity.
- B. Support and monitor progress of local and island-wide project consortia as they provide input, expertise and advice in developing a sustainable model of care.
- C. Developing procedures and protocols for program implementation, using information gathered from focus groups, cultural leaders, and program partners.
- D. Utilizing program forms and a participant tracking database to evaluate program impact and report progress and required prenatal/postpartum/interconception care indicators to HRSA.
- E. Improving knowledge, skills, and awareness of the standards of care and best practices through training forums. Topics include, but are not limited to: basic prenatal/postpartum/interconception care, including breastfeeding and birth spacing; case management related to risk factors not limited to depression, domestic violence, substance abuse, and other environmental factors; supporting medical and psycho-social needs of the family during childbearing; infant growth and development; parenting; immunization status; and cultural practices related to pregnancy and child rearing.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. The variance in revenues and expenditures between FY 2014-15 is reflective of the close-out. This grant was terminated in FY 2015.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	920,801	920,801	0	0	0	0
Beginning Cash Balance	0	0	19,940	0	0	0	0
Revenues	0	346,751	71,750	0	0	0	0
Expenditures	0	326,811	91,690	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	19,940	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Pregnancy Risk Assessment Monitoring System (project: 000319)  
 Legal Authority: Sections 301(a) & 317(k) of PHS Act; 42 USC 241(A) & 247B (K)

Contact Name: Gordon Takaki  
 Phone: 733-8365  
 Fund type (MOF) P  
 Appropriation Acct. No. S 533 H

Intended Purpose: The Hawai'i Pregnancy Risk Assessment Monitoring System (PRAMS) Program is a population-based surveillance system designed to identify and monitor maternal experiences, attitudes, and behaviors from preconception, through pregnancy and into the interconception period. The goal of the Hawaii PRAMS program is to improve the health of mothers and infants by reducing adverse outcomes such as low birth weight, infant mortality and morbidity, and maternal morbidity. PRAMS provides Hawaii-specific data for planning and assessing health programs and for describing maternal experiences that may contribute to maternal and infant health.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention & Health Promotion, Division of Reproductive Health

Current Program Activities/Allowable Expenses:

- A. Maintain a surveillance system to collect information from a defined sample of new mothers with live born infants.
- B. Conduct analysis and trend analysis of data to understand the relationship between behaviors, attitudes, and experiences relating to pregnancy and early infancy.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	195,445	195,445	195,445	195,445	195,445	195,445
Beginning Cash Balance	0	0	5,266	5,030	4,653	4,653	4,653
Revenues	0	151,847	148,700	162,717	163,000	163,000	163,000
Expenditures	0	146,581	148,936	163,094	163,000	163,000	163,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	5,266	5,030	4,653	4,653	4,653	4,653
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Personal Responsibility Education Grant (PREP) (project: 000613)  
 Legal Authority: SSA, Title V, Section 513

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) P  
 Appropriation Acct. No. S 534 H

Intended Purpose: To enable States to support personal responsibility education programs that replicate evidence-based effective program models that have scientifically been provided to change behavior such as delaying sexual activity, increasing condom or contraceptive use, or reducing pregnancy among youth.

Source of Revenues: DHHS, Administration for Children and Families

Current Program Activities/Allowable Expenses:

This 2 year grant will support an evidence-based teen pregnancy prevention curriculum designed to educate adolescents on both abstinence and contraception to prevent pregnancy and sexually transmitted infections, including HIV/AIDS. The teen club model incorporates adult preparation subjects of healthy relationships and life skills, educational and career success with supervised community volunteer service, facilitated classroom discussion and social-developmental task and concept activities. The grant targets Hawaii National Guard Youth Challenge Academy Hilo and Kalaeloa residential facilities for high school dropouts across the state, 16 to 18 years of age.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2013, this grant was consolidated under S 206 H, therefore no data entered for that fiscal year. The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. The variance in revenues between FY 2015-16 is because only \$169,001 was drawn down to cover the FY 2015 expenditure liquidations. Variance in expenditures between FY 2015-16 is due to the addition of the outstanding encumbrances of \$94,960 from FY 2015 to the estimated expenditures for FY 2016.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	351,689	351,689	351,689	351,689	351,689	351,689
Beginning Cash Balance	0	0	2,886	3,043	2,971	2,971	2,971
Revenues	0	107,040	169,001	178,334	250,000	250,000	250,000
Expenditures	0	104,154	168,844	178,406	250,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	2,886	3,043	2,971	2,971	2,971	2,971
Encumbrances	0	124,600	94,960	34,099	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: Collaborative State Public Health Actions  
 Legal Authority: Act 134, SLH 2013

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) P  
 Appropriation Acct. No. S 14 535 H

Intended Purpose: See Attachment S 535 H

Source of Revenues: See Attachment S 535 H

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		505,956	1,105,210	1,105,210	1,105,210	1,105,210	1,105,210
Beginning Cash Balance		0	14,489	8,634	11,287	11,287	11,287
Revenues		384,557	432,351	388,519	1,105,210	1,105,210	1,105,210
Expenditures		370,068	438,206	385,867	1,105,210	1,105,210	1,105,210
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	14,489	8,634	11,287	11,287	11,287	11,287
Encumbrances		17,437	134,573	547,259			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 610 FR  
 Name of Fund: Toxic Substance Compliance Monitoring  
 Legal Authority: Toxic Substances Control Act, Section 28; 40 CFR Part 31

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) P  
 Appropriation Acct. No. S 536 H

Intended Purpose: Implement asbestos monitoring, inspection and enforcement pursuant to Toxic Substances Control Act

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, data management, inspection, risk assessment and enforcement

Purpose of Proposed Ceiling Increase (if applicable): Decrease proposed, based on request to change means of financing for two asbestos program positions from P-other federal funds to A-general funds.

Variances: Prior to FY14, S-255 was the parent account for all appropriated federal funds in HTH 610. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. This EPA grant program is being severely reduced.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		207,959	170,920	160,000	96,000	82,989	71,339
Beginning Cash Balance			3,822	4,197	3,807	3,807	3,807
Revenues		159,056	131,423	57,256	96,000	82,989	71,339
Expenditures		155,234	131,048	57,646	96,000	82,989	71,339
<b>Transfers</b>							
List each net transfer in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance	0	3,822	4,197	3,807	3,807	3,807	3,807
Encumbrances		0	0				
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 710  
 Name of Fund: Food Safety Inspection Service Cooperative Agreement Program  
 Legal Authority: Act 124, SLH 2016

Contact Name: Ebru Yilmaz-Pedro  
 Phone: 453-6667  
 Fund type (MOF) P  
 Appropriation Acct. No. S-14-537-H  
S-15-537-H  
S-16-537-H

Intended Purpose: This Cooperative Agreement grant will enhance and expand Department's laboratory capacity and capabilities to detect microbiological threat

Source of Revenues: United States Department of Agriculture (USDA), Food Safety and Inspection Service (FSIS).

Current Program Activities/Allowable Expenses: The fund will be used for laboratory support personnel, to procure equipment and other scientific supplies, to pay for extended service agreements for the purchased equipment that will be used to perform the tasks required in order to meet the Federal Cooperative Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variances (decreases) in FY16 revenues and expenditures are due to decrease in grant amount for the Budget Period.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		159,880			0	0	0
Beginning Cash Balance		0	2,933	3,419	2,633	2,633	2,633
Revenues		121,106	123,905	98,791	0	0	0
Expenditures		118,173	123,419	99,576	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	2,933	3,419	2,633	2,633	2,633	2,633
Encumbrances		577	7,642				
Unencumbered Cash Balance	0	2,356	(4,223)	2,633	2,633	2,633	2,633

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: <u>Health</u>	Contact Name: <u>Keith Ridley</u>
Prog ID(s): <u>HTH 720</u>	Phone: <u>692-7227</u>
Name of Fund: <u>Title XIX (Medicaid)</u>	Fund type (MOF) <u>P</u>
Legal Authority <u>Social Security Act, Section 1864, and U.S. Public Law 100-578</u>	Appropriation Acct. No. <u>S 538 H</u>

**Intended Purpose:**

To meet contractual obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

**Source of Revenues:**

The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress despite the level of funding requested by OHCA. Congress' dilemma on whether or how to address the federal budget deficit makes the level of funding for this program unpredictable for the next few years. Beginning in FY14, DAGS split previous S-223-H account into three (3) separate accounts: S-223, S-223-H, and S-223-H therefore no previous data exists prior to FY14.

**Current Program Activities/Allowable Expenses:**

On behalf of CMS, perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii. Despite any changes to the federal funding, CMS expects the state to meet its contractual obligations of performing Medicare certification surveys on healthcare facilities and organizations within the program's jurisdiction.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	587,229	680,000	680,000	837,513	837,513	837,513
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	642,562	678,832	426,630	430,000	430,000	430,000
Expenditures	0	554,916	530,717	417,796	430,000	430,000	430,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
			(46,000)				
			(76,000)				
Net Total Transfers	0	0	(122,000)	0	0	0	0
Ending Cash Balance	0	87,646	26,115	8,834	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	87,646	26,115	8,834	0	0	0

**Additional Information:**

For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment). Previously, all funds (Title XVIII S-509, XIX S-538, and CLIA S-539) received from CMS were held in account S-223-H. Beginning in FY14, the funds were separated and now have their own separate accounts. FFY16 Q4 expenditures are not included as federal Q4 reports have not been completed (overlap of fed and state fiscal years). For FY 2015, E-1 not included (funds received from CMS in September 2015).

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: <u>Health</u>	Contact Name: <u>Keith Ridley</u>
Prog ID(s): <u>HTH 720</u>	Phone: <u>692-7227</u>
Name of Fund: <u>Title XVIII (CLIA)</u>	Fund type (MOF) <u>P</u>
Legal Authority <u>Social Security Act, Section 1864, and U.S. Public Law 100-578</u>	Appropriation Acct. No. <u>S 539 H</u>

**Intended Purpose:**

To meet contractual obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

**Source of Revenues:**

The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress despite the level of funding requested by OHCA. Congress' dilemma on whether or how to address the federal budget deficit makes the level of funding for this program unpredictable for the next few years. Beginning in FY14, DAGS split previous S-223-H account into three (3) separate accounts - 509, 538, and 520 therefore no previous data exists prior to FY14.

**Current Program Activities/Allowable Expenses:**

On behalf of CMS, perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii. Despite any changes to the federal funding, CMS expects the state to meet its contractual obligations of performing Medicare certification surveys on healthcare facilities and organizations within the program's jurisdiction.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	158,886	122,645	122,645	128,480	128,480	128,480
Beginning Cash Balance	0	0	0	2,033	0	0	0
Revenues	0	125,424	89,900	67,015	70,000	70,000	70,000
Expenditures	0	119,524	87,867	66,643	70,000	70,000	70,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	5,900	2,033	2,405	0	0	0
Encumbrances			2,149	987			
Unencumbered Cash Balance	0	5,900	(116)	1,418	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: Health  
 Prog ID(s): HTH 730  
 Name of Fund: EMSC Partnership Grants  
 Legal Authority: Public Health Service Act, Title XIX, Section 1910

Contact Name: Terry Joyce  
 Phone: 733-9217  
 Fund type (MOF) P  
 Appropriation Acct. No. S 540 H

Intended Purpose: To assist States in expanding and improving their capacity to reduce and ameliorate pediatric emergencies.

Source of Revenues: U.S. Department of Health & Human Services Health Resources & Services Administration Maternal & Child Health Bureau.

Current Program Activities/Allowable Expenses: Formalizing inter-facility agreements and monitoring standards/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 and Note 4 below.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	657,972	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	12,850	0	0	0	0	0
Expenditures	0	12,850	0	0	0	0	0
Transfers							
Cash transfer			0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Note 1:** Data Source Reference for Actual FY 2016 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 2:** Data Source Reference for Actual FY 2016 Encumbrances (Unliquidated Balance): MBP490-A, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 3:** In FYs 2011 through FY 2013, this grant was reported with other grants in appropriation S 241 H, MOF "N."

**Note 4:** The supplemental budget for FY 2015, ACT 122, SLH 2014, includes deletion of the appropriated funds for this grant to align with federal awards. The EMSC Partnership grant was transitioned from the Department of Health, Emergency Medical Services and Injury Prevention System Branch, to the University of Hawaii, Office of Research Services, John A. Burns School of Medicine, Department of Pediatrics, effective 02/13/2014.

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: Health  
 Prog ID(s): HTH 730  
 Name of Fund: Injury Prevention and Control, PHHS Block Grant  
 Legal Authority: Part A, Title XIX, Public Health Service Act

Contact Name: Terry Joyce  
 Phone: 733-9217  
 Fund type (MOF) P  
 Appropriation Acct. No. S-14-541 H, S-15-541 H

Intended Purpose: Develop, promote, coordinate & evaluate projects & policies that lead to the reduction of injury deaths, hospitalizations & costs.

Source of Revenues: Department of Health and Human Services, Public Health Service Centers for Disease Control and Prevention.

Current Program Activities/Allowable Expenses: Collect, analyze, report data on causes of injury morbidity & mortality/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 and Note 4 below.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	198,816	58,846	90,000	29,242	0	0
Beginning Cash Balance	0	0	2,143	0	2,462	0	0
Revenues	0	142,113	22,090	63,220	21,106	0	0
Expenditures	0	139,970	24,233	60,758	23,568	0	0
Transfers							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	2,143	0	2,462	0	0	0
Encumbrances	0	0	0	4,800	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Note 1:** Data Source Reference for Actual FY 2016 Beginning Balance: MBP477-A OPTION:2, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 2:** Data Source Reference for Actual FY 2016 Encumbrances (Unliquidated Balance): MBP490-A, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 3:** The estimated revenue for FY 2017 is equal to the expenditures in FY 2017 plus the amount remaining in encumbrance for FY 2016 minus the beginning cash balance for FY 2017. The estimated expenditure for FY 2017 is equal to actual expenditures for FY 2017 and expending the remaining encumbrance for FY 2016. The estimated expenditure for FYs 2018-2019 is equal to zero (0), as the grant was assigned S-16-587 for the period that begins 10/01/2016.

**Note 4:** In FYs 2011 through FY 2013, this grant was reported with other grants in appropriation S 241 H, MOF "N." The supplemental budget for FY 2015, ACT 122, SLH 2014, includes an appropriation of \$82,710 for this grant that aligns with an allocation of \$82,710 from the federal award. In FY 2015 (10/1/14), funding for this grant is reported in assigned appropriation S 587 H. In FY 2016 (10/1/15), funding for this grant is reported in S541. For FYs 2017-2019 (10/1/16) funding for this grant is reported in assigned appropriation S 587 H. The budget for FB2015-17, ACT 119, SLH 2015 includes an increase the ceiling in FY 2016 and FY 2017 to include the anticipated allocation of \$90,000 from the federal award.

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 840 FG  
 Name of Fund: Water Quality Management Planning  
 Legal Authority: PL 92-500, Water Pollution Control Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF): P  
 Appropriation Acct. No.: S 542 H

Intended Purpose: Implement State Water Quality Planning and Management Program

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Water quality standards amendments, bio-assessments, visual assessments, and other monitoring for Clean Water Act section 303(d) listing, development of Total Maximum Daily Loads, data management, and holding public information meetings and hearings

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Prior to FY14, S-201 was the parent account for all appropriated federal funds in HTH 840. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. Awards are received annually. Each award period is five years and expenditures vary according to workplan.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		400,133	110,000	116,000	115,000	110,250	115,763
Beginning Cash Balance			21,390	3,734	27,692	27,692	27,692
Revenues		57,631	117,271	126,405	115,000	110,250	115,763
Expenditures		36,241	134,927	105,740	115,000	110,250	115,763
<b>Transfers</b>							
List each net transfer in/out; list each account number							
Various JVs			0	3,293			
Net Total Transfers			0	3,293			
Ending Cash Balance	0	21,390	3,734	27,692	27,692	27,692	27,692
Encumbrances		54,202	163,408	74,038			
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 840 FJ  
 Name of Fund: Leaking Underground Storage Tank - Corrective Action  
 Legal Authority PL 94-580, Resource Conservation and Recovery Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) P  
 Appropriation Acct. No. S 543 H

Intended Purpose: Decrease the number of open leaking underground storage tanks and restore these sites in order to protect public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Oversight and management of Leaking Underground Storage Tank sites and implementation of procedures to compel reporting, assessment and remediation of releases from underground storage tanks by the responsible owner or operator

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Prior to FY14, S-201 was the parent account for all appropriated federal funds in HTH 840. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. Grant has a 5-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		732,016	3,745,000	768,000	768,000	493,500	518,175
Beginning Cash Balance			22,868	26,308			
Revenues		549,243	477,503	614,825	768,000	493,500	518,175
Expenditures		526,375	474,063	620,193	768,000	493,500	518,175
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance		22,868	26,308	20,940	0	0	0
Encumbrances		356	5,347	15,021			
Unencumbered Cash Balance		22,512	20,961	5,919	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 840 FJ  
 Name of Fund: Leaking Underground Storage Tank - Prevention  
 Legal Authority: PL 94-580, Resource Conservation and Recovery Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF): P  
 Appropriation Acct. No.: S 544 H

Intended Purpose: Develop and operate an inspection, compliance and enforcement program to prevent and detect releases from underground storage tanks pursuant to the Solid Waste Disposal Act

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Facility inspections at all operating facilities at least once every three years, referrals to compliance team for enforcement of violations, notices of compliance to owner/operators, implementing public records, secondary containment, delivery prohibition to non-compliant facilities and operator training requirements of the Solid Waste Disposal Act as amended by the Energy Policy Act of 2005

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Prior to FY14, S-201 was the parent account for all appropriated federal funds in HTH 840. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. Grant has a 5-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		531,928	1,738,000	332,000	353,000	348,600	366,030
Beginning Cash Balance			5,769	29,604			
Revenues		155,614	358,154	197,718	353,000	348,600	366,030
Expenditures		149,845	334,319	222,240	353,000	348,600	366,030
<b>Transfers</b>							
List each net transfer in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance		5,769	29,604	5,082	0	0	0
Encumbrances		9,610	12,254	10,456			
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 840 FF  
 Name of Fund: State Clean Diesel Grant-Diesel Retrofit  
 Legal Authority: PL 109-58, Energy Policy Act of 2005

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF): P  
 Appropriation Acct. No.: S 545 H

Intended Purpose: Reduce emissions from in-use diesel engines

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Develop and administer a program to reduce diesel emissions

Purpose of Proposed Ceiling Increase (if applicable): Adjustment to decrease federal fund ceiling to match Form FF. No positions are funded by this grant, so ceiling is needed only in the first year of each award period.

Variances: Prior to FY14, S-201 was the parent account for all appropriated federal funds in HTH 840. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. Current total grant award period is unknown, but was previously a 5-year grant, so is budgeted in that manner. Expenditures vary according to the contract schedule with the agency converting its diesel engines.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		151,145	847,586	82,000	205,000	0	409,412
Beginning Cash Balance							
Revenues		138,877	0	0	100,000	100,000	100,000
Expenditures		138,877	0	0	100,000	100,000	100,000
Transfers	List each net transfer in/out; list each account number						
			0				
Net Total Transfers			0				
Ending Cash Balance		0	0	0	0	0	0
Encumbrances		0	0	0	0	0	0
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 849 FD  
 Name of Fund: Department of Defense and State MOA  
 Legal Authority: P.L. 96-510, Comprehensive Environmental Response,  
 Compensation, and Liability Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) P  
 Appropriation Acct. No. S 546 H

Intended Purpose: Enable State to participate in assessment and remediation of contamination at military facilities

Source of Revenues: Reimbursement from U.S. Department of Defense

Current Program Activities/Allowable Expenses: Assessment and remediation

Purpose of Proposed Ceiling Increase (if applicable): Adjust federal fund ceiling to match anticipated award amounts on Form FF

Variances: Prior to FY14, S-236 was the parent account for all appropriated federal funds in HTH 849. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. Grant has a 2-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		440,000	801,500	292,000	842,000	787,500	1,614,375
Beginning Cash Balance			14,930	97,765			
Revenues		268,842	418,662	178,300	842,000	787,500	807,188
Expenditures		253,912	335,827	255,106	842,000	787,500	807,188
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance		14,930	97,765	20,959	0	0	0
Encumbrances		68,380	18,552	14,704			
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 849 FD  
 Name of Fund: State Response Program  
 Legal Authority: Comprehensive Environmental Response,  
 Compensation, and Liability Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) P  
 Appropriation Acct. No. S 547 H

Intended Purpose: Develop, implement, and maintain State Response Program

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Improve capacity to provide a public record, survey and inventory Brownfield sites, enhance oversight and enforcement authorities, provide meaningful opportunities for public participation, improve mechanisms for cleanup approval, verification of site cleanup, and management of institutional controls

Purpose of Proposed Ceiling Increase (if applicable): 1) Add Epidemiologist to investigate suspected health clusters from environmental sources; 2) Adjust federal fund ceiling per Form FF (decrease for FY 18; increase for FY 19).

Variances: Prior to FY14, S-236 was the parent account for all appropriated federal funds in HTH 849. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. Grant has a 2-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,699,015	1,917,150	1,030,000	2,014,000	1,006,504	2,063,333
Beginning Cash Balance			15,923	34,553			
Revenues		910,943	886,221	1,030,365	1,007,000	1,006,504	1,031,667
Expenditures		895,020	867,591	1,049,253	1,007,000	1,006,504	1,031,667
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance	0	15,923	34,553	15,665	0	0	0
Encumbrances		372,923	180,745	99,360			
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 849 FD  
 Name of Fund: Preliminary Assessment/Site Inspection  
 Legal Authority: Comprehensive Environmental Response,  
 Compensation, and Liability Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) P  
 Appropriation Acct. No. S 548 H

Intended Purpose: Site discovery and site screening activities to enable remediation and use of land with environmental contamination

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Site discovery, preliminary assessments, assistance with site inspections to determine which sites might be listed on the Superfund National Priority List

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Prior to FY14, S-236 was the parent account for all appropriated federal funds in HTH 849. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. Grant has a 2-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		386,051	235,000	769,000	289,000	591,938	303,188
Beginning Cash Balance			10,396	21,306			
Revenues		229,748	266,155	206,964	289,000	295,969	303,188
Expenditures		219,352	255,245	219,328	289,000	295,969	303,188
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance		10,396	21,306	8,942	0	0	0
Encumbrances		35,013	16,320	50,035			
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 849 FD  
 Name of Fund: Hazardous Materials Emergency Preparedness  
 Legal Authority: 49 U.S.C. 5101

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) P  
 Appropriation Acct. No. S 549 H

Intended Purpose: Provide hazardous materials training

Source of Revenues: Federal grant funds from U. S. Department of Transportation

Current Program Activities/Allowable Expenses: Provide training, support planning studies, travel to conferences

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Prior to FY14, S-236 was the parent account for all appropriated federal funds in HTH 849. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		225,408	112,704	111,000	114,000	113,424	119,095
Beginning Cash Balance			0	95,505			
Revenues		51,035	134,692	48,975	114,000	113,424	119,095
Expenditures		51,035	39,187	144,480	114,000	113,424	119,095
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	95,505	0	0	0	0
Encumbrances		26,792	55,840				
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 849 FC  
 Name of Fund: Japan Tsunami Debris Removal  
 Legal Authority: Request to Expend Non-Appropriated Other  
Federal Funds--Governor approved 12/18/12

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) P  
 Appropriation Acct. No. S 550 H

Intended Purpose: Remove Japan tsunami debris

Source of Revenues: Federal grant funds from National Oceanic and Atmospheric Administration

Current Program Activities/Allowable Expenses: Administrative, contract oversight, reporting, contracts to non-profit organizations to perform marine debris cleanup activities

Purpose of Proposed Ceiling Increase (if applicable):

Variances: One-time grant award

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		50,000	0	0	0	0	0
Beginning Cash Balance			240				
Revenues		19,690	20,434	0	0	0	0
Expenditures		19,450	20,674	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	240	0	0	0	0	0
Encumbrances		30,486	9,519				
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: State Health Insurance Assistance Program  
 Legal Authority: 42 USC 3031-3037B

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) P  
 Appropriation Acct. No. S 552 H

Intended Purpose:

Provides free in depth one on one insurance counseling and assistance to Medicare beneficiaries, their families, friends, and caregivers.

Source of Revenues:

Administration for Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	367,271	155,428	155,428	488,450	488,450	488,450
Beginning Cash Balance	0	0	466	4,042	3,971	0	0
Revenues	0	196,226	158,555	225,066	484,479	488,450	488,450
Expenditures	0	195,760	154,979	225,137	488,450	488,450	488,450
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	466	4,042	3,971	0	0	0
Encumbrances			449	680			
Unencumbered Cash Balance	0	466	3,593	3,291	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Hawaii ADRC  
 Legal Authority: 42 USC 3031-3037B

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) P  
 Appropriation Acct. No. S 553 H

Intended Purpose:  
 Supporting VDHCBS implementation and TCARE pilot.

Source of Revenues:  
 Administration for Community Living  
 Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:  
 Grant ended 9/30/15

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	183,883	294,332	0	0	0	0
Beginning Cash Balance	0	0	84	84	0	0	0
Revenues	0	73,518	57,018	21,791	0	0	0
Expenditures	0	73,434	57,018	21,874	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	0	84	84	0	0	0	0
Encumbrances			35,117				
Unencumbered Cash Balance	0	84	(35,033)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Title VII Ombudsman and Elder Abuse Prevention  
 Legal Authority: PL 109-365

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) N  
 Appropriation Acct. No. S 554 H

**Intended Purpose:**

Addresses the need for strong advocacy to protect and enhance the basic rights and benefits of vulnerable people.

**Source of Revenues:**

Administration for Community Living

Current Program Activities/Allowable Expenses:

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	103,193	159,947	158,675	103,146	103,146	103,146
Beginning Cash Balance	0	0	2,091	6,080	3,738	0	0
Revenues	0	71,471	108,407	91,675	99,408	103,146	103,146
Expenditures	0	69,380	104,418	94,017	103,146	103,146	103,146
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	2,091	6,080	3,738	0	0	0
Encumbrances				1,912			
Unencumbered Cash Balance	0	2,091	6,080	1,826	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: Nutrition Services Incentive Program  
 Legal Authority: PL 109-365

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) N  
 Appropriation Acct. No. S 555 H

Intended Purpose:  
 Rewards state agencies on aging that effectively deliver nutritious meals to older adults.

Source of Revenues:  
 Administration for Community Living  
 Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	739,203	1,150,109	409,291	409,291	409,291	409,291
Beginning Cash Balance	0	0	0	121	0	0	0
Revenues	0	38,891	415,439	689,674	409,291	409,291	409,291
Expenditures	0	38,891	415,318	689,795	409,291	409,291	409,291
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	121	0	0	0	0
Encumbrances		354,357	20,039	167,046			
Unencumbered Cash Balance	0	(354,357)	(19,918)	(167,046)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 840 FH  
 Name of Fund: Public Water System Supervision  
 Legal Authority PL 93-523, Safe Drinking Water Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 556 H

Intended Purpose: Protect public health by ensuring safe drinking water

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Ensure that public water systems provide safe drinking water through compliance with national primary dinking water regulations

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Prior to FY14, S-201 was the parent account for all appropriated federal funds in HTH 840. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. Grant has a 2-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		678,130	492,437	518,000	498,000	993,300	496,650
Beginning Cash Balance			1,095	14,271			
Revenues		436,770	385,776	472,851	498,000	496,650	496,650
Expenditures		435,675	372,600	473,247	498,000	496,650	496,650
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance		1,095	14,271	13,874	0	0	0
Encumbrances		4,110	3,762				
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 840 FH  
 Name of Fund: Water Pollution Control - Groundwater  
 Legal Authority: PL 92-500, Clean Water Act, Section 106

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 557 H

Intended Purpose: Prevent, reduce, and eliminate groundwater pollution

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Implement State Groundwater Protection Program and wellhead protection activities

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Prior to FY14, S-201 was the parent account for all appropriated federal funds in HTH 840. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. Grant has a 2-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		663,565	630,000	330,000	662,000	329,521	661,401
Beginning Cash Balance			14,728	5,219			
Revenues		320,684	338,985	196,369	331,000	329,521	330,701
Expenditures		305,957	348,494	196,926	331,000	329,521	330,701
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance		14,727	5,219	4,662	0	0	0
Encumbrances		202,123	62,111	10,076			
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 840 FJ  
 Name of Fund: Hazardous Waste Management Program  
 Legal Authority PL 94-580, Resource Conservation and Recovery Act

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 558 H

Intended Purpose: Implement regulations of the Resource Conservation and Recovery Act (RCRA)

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Ensure RCRA compliance at hazardous waste generation and management facilities, issue permits to hazardous waste management facilities, manage clean-up of contaminated sites, and develop and maintain programs aimed at reducing waste and increasing recycling

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Prior to FY14, S-201 was the parent account for all appropriated federal funds in HTH 840. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		801,643	529,254	556,000	536,000	522,874	549,017
Beginning Cash Balance			16,942	23,656			
Revenues		447,671	550,284	570,198	536,000	522,874	549,017
Expenditures		430,729	543,570	574,010	536,000	522,874	549,017
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance		16,942	23,656	19,844	0	0	0
Encumbrances		14,517	17,519				
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 840 FF/FO  
 Name of Fund: Air Pollution Control Program  
 Legal Authority: Clean Air Act, Section 105; 40 CFR Parts 31 & 35 Subpart A

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) N  
 Appropriation Acct. No. S 559 H

Intended Purpose: Protect and improve air quality and reduce the risks to public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Strategic planning and evaluation, compliance assistance, developing state implementation plans, monitoring air emissions, rule-making, issuing permits and other other program-related activities

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Prior to FY14, S-201 was the parent account for all appropriated federal funds in HTH 840. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. From FY 18, the appropriation for S-255-H in HTH 610 has been removed from this report.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,208,007	798,879	839,000	919,000	668,774	702,213
Beginning Cash Balance			22,329	45,934			
Revenues		707,114	672,357	656,696	919,000	668,774	702,213
Expenditures		684,785	648,752	684,972	919,000	668,774	702,213
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance		22,329	45,934	17,657	0	0	0
Encumbrances		15,217	12,439	11,186			
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 840 FF  
 Name of Fund: PM 2.5 Monitoring Network  
 Legal Authority: Clean Air Act, Section 103; 40 CFR Part 31

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) P  
 Appropriation Acct. No. S 560 H

Intended Purpose: Improve air quality and reduce risks to public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Monitor fine particulate matter to determine compliance with the PM 2.5 National Ambient Air Quality Standards and determine reductions in air emissions

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Prior to FY14, S-201 was the parent account for all appropriated federal funds in HTH 840. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. Grant has a 6-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		349,408	200,000	210,000	255,000	601,300	235,609
Beginning Cash Balance			9,723	6,581			
Revenues		91,285	134,258	113,990	255,000	300,650	235,609
Expenditures		81,562	137,400	116,607	255,000	300,650	235,609
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	9,723	6,581	3,964	0	0	0
Encumbrances		84	2,009				
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 849 FC  
 Name of Fund: NOAA Japan Tsunami Marine Debris Clean Up  
 Legal Authority: Request to Expend Non-Appropriated Other  
Federal Funds--Governor approved 9/9/13

Contact Name: Nancy Bartter  
 Phone: 586-7567  
 Fund type (MOF) P  
 Appropriation Acct. No. S 561 H

Intended Purpose: To provide the State of Hawaii with funding to conduct marine debris activities on state, tribal, or federal lands or waters, in response to the Great East Japan Earthquake of March 2011

Source of Revenues: Federal award from the National Oceanic and Atmospheric Administration (NOAA); funds are part of a financial gift from the government of Japan to the U.S. for Japan tsunami marine debris (JTMD) removal

Current Program Activities/Allowable Expenses: Salary and fringe benefits for State JTMD Coordinator; equipment, supplies, and other expenses for marine debris-related response actions, including for travel and contracts

Purpose of Proposed Ceiling Increase (if applicable):

Variances: One-time award. Funds were received by DOH upon approval by NOAA of the Statement of Work submitted by DLNR and DOH; funds were transferred from DOH to DLNR, as DLNR will be conducting the JTMD removal activities and incurring the expenses for these activities.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		250,000	0	0	0	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues		250,000	0	0	0	0	0
Expenditures		250,000	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance		N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: State Oral Disease Prevention Program Grant  
 Legal Authority: 301A, 311BC, 317K2 (42USC241A, 243BC247BK2)

Contact Name: Gordon Takaki  
 Phone: 733-8365  
 Fund type (MOF) P  
 Appropriation Acct. No. S 563 H

Intended Purpose: An oral health program is a critical part of all state public health departments, however the DOH Dental Health Division was eliminated as part of the 2009 budget restricts. This grant will be used to help rebuild the DOH's public dental health infrastructure.

Source of Revenues: DHHS, Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: The funding will be used to improve basic state oral health services, including support for program leadership and limited supported staff, monitoring oral disease levels and risk factors for oral disease, developing strong partnerships, educating state residents on ways to prevent oral diseases, and developing and evaluating prevention programs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: This grant was awarded in FY 2014, therefore no data is entered for FY 2013. The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2014 expenditures reflects only 9 months of the grant funds being liquidated. The variance in revenues and expenditures between FY 2016-2017 is due to three position vacancies in the Oral Health Program. These vacancies are expected to be filled in FY 2017.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	250,000	250,000	250,000	250,000	250,000
Beginning Cash Balance	0	0	17	10,839	453	453	453
Revenues	0	60,500	156,766	111,821	250,000	250,000	250,000
Expenditures	0	60,483	145,944	122,207	250,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	17	10,839	453	453	453	453
Encumbrances	0	134,147	16,477	57,439	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 440  
 Name of Fund: Strategic Prevention Framework-Partnerships for Success  
 Legal Authority Section 516 PHS Act as amended

Contact Name: Edward Mersereau  
 Phone: 692-7507  
 Fund type (MOF) P  
 Appropriation Acct. No. S 565 H

Intended Purpose: Implementation of the Strategic Prevention Framework process at the state and community levels to promote alignment and coordination of resources to better address substance abuse prevention priorities.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses: In collaboration with state and community level stakeholders, use data-driven decision making processes to develop and implement effective prevention strategies and sustainable prevention infrastructures to address underage drinking among persons ages 12 to 20.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variances: Five year award project from 09/30/13 to 09/29/18. Variance in FY14/15 due to delay in starting grant project.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,776,772	17,767,725	1,776,772	1,776,772	1,776,772	
Beginning Cash Balance		0	276	2,720	3,343	3,343	3,343
Revenues		2,900	161,559	247,652	1,776,772	1,776,772	
Expenditures		2,624	159,115	247,029	1,776,772	1,776,772	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	276	2,720	3,343	3,343	3,343	3,343
Encumbrances			52,894	117,806			
Unencumbered Cash Balance	0	276	(50,174)	(114,463)	3,343	3,343	3,343

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: <u>HTH</u>	Contact Name: <u>Edward Mersereau</u>
Prog ID(s): <u>HTH 440</u>	Phone: <u>692-7507</u>
Name of Fund: <u>Collaborative Agreement to Benefit Homeless Individuals (CABHI)</u>	Fund type (MOF) <u>P</u>
Legal Authority: <u>Section 509 and 520A Public Health Service Act, as amended</u>	Appropriation Acct. No. <u>S-566-H</u>

Intended Purpose: The intent of the CABHI-Hawaii Pathways Project (HPP) is to strengthen the infrastructure, partnerships, and service system to provide permanent housing to individuals, families, and veterans living on Oahu. HPP will assist chronically homelessness individuals with substance use or co-occurring substance use and mental health disorder through assertive outreach, case management, and treatment services. Specifically, HPP will provide the Pathways Housing First model, the only evidence-based program recognized by the National Registry of Evidence- Based Programs and Practices that provides a comprehensive housing and treatment model without preconditions of the individual's alcohol or drug use. The HPP will also assist in building sustainable partnerships, infrastructure, and practices through a

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Treatment (CSAT)

Current Program Activities/Allowable Expenses: Implementation of the Pathways Housing First model; including clinical services, intensive case management, housing, vocational, peer support and recovery support services.

Purpose of Proposed Ceiling Adjustment (if applicable): The proposed ceiling decrease of \$1,800 is requested through the FB17-19 Budget is reflective of the current grant award.

Variances: Three year project period from 09/30/13 to 09/29/16 plus one year no cost extension from 09/30/16 to 09/30/17.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		711,818	1,202,294	1,209,203	1,209,203	1,209,203	1,209,203
Beginning Cash Balance		0	0	2,907	3,000	3,000	3,000
Revenues			347,388	837,781	1,209,203	1,209,203	1,209,203
Expenditures			344,481	837,688	1,209,203	1,209,203	1,209,203
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	2,907	3,000	3,000	3,000	3,000
Encumbrances			825,021	528,378			
Unencumbered Cash Balance	0	0	(822,114)	(525,378)	3,000	3,000	3,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 560  
 Name of Fund: 2015 WIC INFRASTRUCTURE

Contact Name: SUSAN KANOUR  
 Phone: 586-8190  
 Fund type (MOF) P

Legal Authority: Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.

Appropriation Acct. No. S 567 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to provide funding for facility renovation to Waianae Coast Comprehensive Health Center WIC and for outreach for a statewide child retention campaign.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services

Current Program Activities/Allowable Expenses: Facility renovation expenditures and outreach activities for child retention.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variations: Variations between FY 2016 and 2017 is partly due to awarded contractor not wanting to enter into a contract for this project, because of limited time between award, execution of contract and completion of project and State staff inability to use entire awarded amount for the child retention campaign proposed project.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	351,500	351,500	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	25,668	0	0
Expenditures	0	0	0	0	25,668	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:							
Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: Health  
 Prog ID(s): HTH 904-AJ  
 Name of Fund: No Wrong Door  
 Legal Authority: PL 109-442

Contact Name: Shannon Chun  
 Phone: 586-7323  
 Fund type (MOF) N  
 Appropriation Acct. No. S 568 H

Intended Purpose:  
 Implementation of the No Wrong door System for all populations and all payers.

Source of Revenues:  
 Administration for Community Living  
 Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	850,000	850,000	850,000	850,000	850,000
Beginning Cash Balance	0	0	0	9,106	0	0	0
Revenues	0	0	49,948	840,894	850,000	850,000	850,000
Expenditures	0	0	40,842	850,000	850,000	850,000	850,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	9,106	0	0	0	0
Encumbrances	0	0	321,523				
Unencumbered Cash Balance	0	0	(312,417)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 560  
 Name of Fund: WIC REGIONAL INFRASTRUCTURE

Contact Name: SUSAN KANOUR  
 Phone: 586-8190  
 Fund type (MOF) P

Legal Authority: Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.

Appropriation Acct. No. S 569 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to transfer a legacy MIS system and implement a new MIS system for the WIC program.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services

Current Program Activities/Allowable Expenses: Replacement of MIS database.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances: Variances are due to the timing of receipt of contractor's invoice and draw-down of the grant.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	1,229,182	1,229,182	0	0
Beginning Cash Balance	0	0	0	0	61,052	0	0
Revenues	0	0	0	312,448	916,734	0	0
Expenditures	0	0	0	251,396	977,786	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	61,052	0	0	0
Encumbrances		0	0	260,376	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 710  
 Name of Fund: USDA, Maintaining Laboratory Designation Cooperative Agreement  
 Legal Authority: Act 124, SLH 2016

Contact Name: Ebru Yilmaz-Pedro  
 Phone: 453-6667  
 Fund type (MOF) P  
 Appropriation Acct. No. S-16-570-H

Intended Purpose: The purpose of this Agreement is to provide Federal financial assistance to maintain laboratory designation.

Source of Revenues United States Department of Agriculture (USDA), Animal and Plant Health Inspection Services (APHIS) and Veterinay Services (VS).

Current Program Activities/Allowable Expenses: The fund will be used for scientific supplies, equipment and extended service agreements for the purchased equipment that will be used to perform the tasks required in order to meet the Federal Cooperative Agreement.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Various allowable expense.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				31,719	31,719	31,719	31,719
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0	31,719	31,719	31,719
Expenditures				0	31,719	31,719	31,719
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 440  
 Name of Fund: Drug and Alcohol Services Information System (DASIS)  
 Legal Authority Section 505 Public Health Service Act (42.U.S.C. 290aa-4)

Contact Name: Edward Mersereau  
 Phone: 692-7507  
 Fund type (MOF) P  
 Appropriation Acct. No. S 571 H

Intended Purpose: To provide substance abuse treatment data to meet the Substance Abuse and Mental Health Services Administration (SAMHSA) statutory requirements.

Source of Revenues: U.S. Department of Justice, Office of Juvenile Justice and Delinquency Prevention (OJJDP)

Current Program Activities/Allowable Expenses: Data infrastructure enhancements for continued collection of substance abuse prevention and treatment data elements.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Prior to FY14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY14, per new accounting methodology for federal funds, a unique appropriation has been assigned to each federal award.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				37,538	37,538	37,538	37,538
Beginning Cash Balance		0	0	0	0	0	0
Revenues				37,538	37,538	37,538	37,538
Expenditures				37,538	37,538	37,538	37,538
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: PHHSBG-Chronic Disease Prevention  
 Legal Authority: Various sections of the Public Health Service Act, as amended

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) P  
 Appropriation Acct. No. S-15-574-H

**Intended Purpose:**

Preventive Health and Health Services Block Grant (PFFSBG) - This grant program was established in 1982 to help states and local communities focus on achieving the health objectives for the nation, identified in Healthy People 2000. States receiving block grant dollars must develop health plans, report to the federal government about their activities, and target public health interventions to populations in need. The flexible provisions of the grant allow each state to address health problems unique to that state. Examples of current funding areas include emergency epidemic response, chronic disease prevention and health promotion, emergency medical services, environmental health, infectious disease control, rape prevention, injury prevention, and disease and risk factor surveillance.

**Source of Revenues:**

US Department of Health and Human Services and Public Health Service. CDPHPD is a sub-recipient. These funds were originally included under appropriation account S-225-H and has subsequently been assigned a separate account, S-574-H.

**Current Program Activities/Allowable Expenses:**

PHHSBG activities include the ongoing development of a Data and Information System Integration project; an Injury Prevention Initiative; support for statewide coordination of public education and awareness to Prevent Sexual Assault; and support for various special data projects as deemed appropriate.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Variances: Project period started 10/1/2016.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				165,000	165,000	165,000	165,000
Beginning Cash Balance				0	3,272	3,272	3,272
Revenues				72,440	165,000	165,000	165,000
Expenditures				69,168	165,000	165,000	165,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	3,272	3,272	3,272	3,272
Encumbrances							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: National Asthma Control Program  
 Legal Authority: Act 122, SLH 2014

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) P  
 Appropriation Acct. No. S 15 575 H

Intended Purpose: See Attachment S 575 H

Source of Revenues: See Attachment S 575 H

Current Program Activities/Allowable Expenses: See Attachment S 575 H

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			500,000	500,000	500,000	500,000	500,000
Beginning Cash Balance		0	0	8,009	11,180	11,180	11,180
Revenues			177,800	533,806	500,000	500,000	500,000
Expenditures			169,791	530,635	500,000	500,000	500,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	8,009	11,180	11,180	11,180	11,180
Encumbrances			31,119	138,003			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: Behavioral Risk Factor Surveillance System  
 Legal Authority: Act 122, SLH 2014

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) P  
 Appropriation Acct. No. S 15 576 H

Intended Purpose: See Attachment S 576 H

Source of Revenues: See Attachment S 576 H

Current Program Activities/Allowable Expenses: See Attachment S 576 H

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Project period started 3/29/2015

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			123,778	400,000	400,000	400,000	400,000
Beginning Cash Balance		0	0	3,503	3,729	3,729	3,729
Revenues			16,800	232,646	400,000	400,000	400,000
Expenditures			13,297	232,420	400,000	400,000	400,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	3,503	3,729	3,729	3,729	3,729
Encumbrances			97				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: Heart Disease & Stroke, Diabetes and Obesity Prevention  
 Legal Authority: Act 122, SLH 2014

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) P  
 Appropriation Acct. No. S 15 578 H

Intended Purpose: See Attachment S 578 H

Source of Revenues: See Attachment S 578 H

Current Program Activities/Allowable Expenses: See Attachment S 578 H

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ends 6/29/2018.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			2,750,813	2,750,813	2,750,814	2,750,814	
Beginning Cash Balance		0	0	7,520	3,683	3,683	3,683
Revenues			47,700	1,299,679	2,750,814	2,750,814	
Expenditures			40,180	1,303,516	2,750,814	2,750,814	
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	7,520	3,683	3,683	3,683	3,683
Encumbrances			2,063,684	3,095,242			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 710  
 Name of Fund: USDA Swine Surveillance Cooperative Agreement  
 Legal Authority: Act 124, SLH 2016

Contact Name: Ebru Yilmaz-Pedro  
 Phone: 453-6667  
 Fund type (MOF) P  
 Appropriation Acct. No. S-16-579-H

Intended Purpose: The purpose of this Agreement is to provide Federal financial assistance to conduct "Swine Surveillance" activities.

Source of Revenues: United States Department of Agriculture (USDA), Animal and Plant Health Inspection Services (APHIS) and Veterinay Services (VS).

Current Program Activities/Allowable Expenses: The fund will be used to conduct "Swine Surveillance" activities as requested by USDA on swine.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Various allowable expense.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				6,500	8,000	8,000	8,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0	8,000	8,000	8,000
Expenditures				0	8,000	8,000	8,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: Health  
 Prog ID(s): HTH 710  
 Name of Fund: USDA APHIS Federal Swine Testing for IAV-S  
 Legal Authority: Act 124, SLH 2016

Contact Name: Ebru Yilmaz-Pedro  
 Phone: 453-6667  
 Fund type (MOF) P  
 Appropriation Acct. No. S-16-580-H

Intended Purpose: This Cooperative Agreement will help monitor the safety of the food supply by checking for diseases and pests in swine.

Source of Revenues: United States Department of Agriculture (USDA), Food Safety and Inspection Service (FSIS).

Current Program Activities/Allowable Expenses: The fund will be used for laboratory tests as requested by the United States Department of Agriculture on swine.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Various allowable expense.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					4,450	5,000	5,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues					4,450	5,000	5,000
Expenditures					4,450	5,000	5,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HTH Contact Name: Edward Mersereau  
 Prog ID(s): HTH 440 Phone: 692-7507  
 Name of Fund: Substance Abuse Prevention and Treatment (SAPT) Block Grant Fund type (MOF) N  
 Legal Authority Subparts II & III, Part B, Title XIS, Public Health Service Appropriation Acct. No. S-15-581 in FY15  
 Act: Department of Health & Human Services, Substance Abuse & Mental Health Services Administration; SAPT Block Grant, Interim  
 Final Rule (Title 45, Code of Federal Regulations, Part 96)

**Intended Purpose:**

Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug abusers, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

**Current Program Activities/Allowable Expenses:**

The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variations: Prior to FY14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					8,562,595	8,562,595	8,562,595
Beginning Cash Balance		0	0	66,770	0	0	0
Revenues			6,638,612	1,456,809	8,469,866	8,469,866	8,469,866
Expenditures			6,571,842	1,523,579	8,469,866	8,469,866	8,469,866
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	66,770	0	0	0	0
Encumbrances			1,483,299				
Unencumbered Cash Balance	0	0	(1,416,529)	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: DOH  
 Prog ID(s): HTH131DC  
 Name of Fund: Hawaii Immunization Registry/VTRCKS  
 Legal Authority: PHS Act, Sec 317, 42 USC 247(b)

Contact Name: Sarah Y. Park, MD, FAAP  
 Phone: (808) 587-6845  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-582 H

Intended Purpose: Enhance an Immunization Information System to interface with CDC's VTRCKS Vaccine Ordering and Management System.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: IT costs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Short-termed grant. Expiring this year. Variances due to timing of payments.

Financial Data							
	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (estimated)	FY 2018 (estimated)	FY 2019 (estimated)
Appropriation Ceiling			510,000				
Beginning Cash Balance		0	0	0	189		
Revenues			510,000	219,376	290,813		
Expenditures				219,187	290,813		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	510,000	189	189	0	0
Encumbrances				208,521			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 720 Office of Health Care Assurance  
 Name of Fund: Health Care Services (CTA)  
 Legal Authority: Act 093 (SLH 2012)

Contact Name: Keith Ridley  
 Phone: \_\_\_\_\_  
 Fund type (MOF) \_\_\_\_\_  
 Appropriation Acct. No. \_\_\_\_\_  
S 583H

**Intended Purpose:** Transfer of funds for payments to CTA.

**Source of Revenues:** DHS

**Current Program Activities/Allowable Expenses:** Contracted services with CTA.

**Purpose of Proposed Ceiling Increase (if applicable):** Not Applicable

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				316,495	316,495	316,495	316,495
Beginning Cash Balance				26,375	52,749	52,749	52,749
Revenues				342,869	316,495	316,495	316,495
Expenditures				316,494	316,495	316,495	316,495
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	52,749	52,749	52,749	52,749
Encumbrances				52,749			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 560  
 Name of Fund: WIC EBT TRANSFER PROJECTS  
 Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.

Contact Name: SUSAN KANOUR  
 Phone: 586-8190  
 Fund type (MOF) P

Legal Authority

Appropriation Acct. No. S 584 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to plan for implementation of electronic benefits transfer (EBT) and prepare a Implementation Advance Planning Document for submission to the USDA.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services

Current Program Activities/Allowable Expenses: Plan for implementation of electronic benefits transfer (EBT).

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variations: Variance due to timing of contract execution, contractor starting the work and receipt of contractor invoices for deliverables.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	250,000	250,000	250,000	0	0
Beginning Cash Balance	0	0	0	0	761	0	0
Revenues	0	0	0	4,716	245,284	0	0
Expenditures	0	0	0	3,955	246,045	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	761	0	0	0
Encumbrances		0	246,848	244,296	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: Tobacco Quitline  
 Legal Authority: Act 122, SLH 2014

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) P  
 Appropriation Acct. No. S 15 585 H

Intended Purpose: See Attachment S 585 H

Source of Revenues: See Attachment S 585 H

Current Program Activities/Allowable Expenses: See Attachment S 585 H

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			57,958	57,958	57,958	57,958	57,958
Beginning Cash Balance		0	0	7,250	0	0	0
Revenues			7,250	33,702	57,958	57,958	57,958
Expenditures			0	40,952	57,958	57,958	57,958
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	7,250	0	0	0	0
Encumbrances			25,463				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH590  
 Name of Fund: Tobacco Program Control  
 Legal Authority: Act 122, SLH 2014

Contact Name: Lola Irvin  
 Phone: 586-4488  
 Fund type (MOF) P  
 Appropriation Acct. No. S 15 586 H

Intended Purpose: See Attachment S 586 H

Source of Revenues: See Attachment S 586 H

Current Program Activities/Allowable Expenses: See Attachment S 586 H

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			857,590	900,000	900,000	900,000	900,000
Beginning Cash Balance		0	0	426	18,526	18,526	18,526
Revenues			72,250	848,943	900,000	900,000	900,000
Expenditures			71,824	830,844	900,000	900,000	900,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	426	18,526	18,526	18,526	18,526
Encumbrances			201,432	1,466			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: Health  
 Prog ID(s): HTH 730  
 Name of Fund: Injury Prevention and Control, PHHS Block Grant  
 Legal Authority: Part A, Title XIX, Public Health Service Act

Contact Name: Terry Joyce  
 Phone: 733-9217  
 Fund type (MOF) P  
 Appropriation Acct. No. S-15-587 H, S-16-587 H

Intended Purpose: Develop, promote, coordinate & evaluate projects & policies that lead to the reduction of injury deaths, hospitalizations & costs.

Source of Revenues: Department of Health and Human Services, Public Health Service Centers for Disease Control and Prevention.

Current Program Activities/Allowable Expenses: Collect, analyze, report data on causes of injury morbidity & mortality/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 and Note 4 below.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	90,000	48,884	90,000	90,000	90,000
Beginning Cash Balance	0	0	0	2,584	0	0	0
Revenues	0	0	43,700	46,102	90,000	90,000	90,000
Expenditures	0	0	41,116	48,686	90,000	90,000	90,000
Transfers							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	2,584	0	0	0	0
Encumbrances	0	0	4,185	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Note 1:** Data Source Reference for Actual FY 2016 Beginning Balance: MBP477-A OPTION:2, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 2:** Data Source Reference for Actual FY 2016 Encumbrances (Unliquidated Balance): MBP490-A, CY16, CM06, as of 06/30/16, State of Hawaii Financial Accounting & Management Information System.

**Note 3:** The estimated revenue for FYs 2017 through FY 2019 is equal to the amount anticipated to be allocated from the federal award. The estimated expenditure is equal to fully expending the estimated revenue available within the appropriation ceiling.

**Note 4:** In FYs 2011 through FY 2013, this grant was reported with other grants in appropriation S 241 H, MOF "N." The supplemental budget for FY 15, ACT 122, SLH 2014, includes an appropriation of \$82,710 for this grant that aligns with an allocation of \$82,710 from the federal award. In FY 2015 (10/1/14), funding for this grant is reported in assigned appropriation S 587 H. In FY 2016 (10/1/15), funding for this grant is reported in S541. For FYs 2017-2019 (10/1/16) funding for this grant is reported in assigned appropriation S-16-587 H. The budget for FB2015-17, ACT 119, SLH 2015 includes an increase the ceiling in FY 2016 and FY 2017 to include the anticipated allocation of \$90,000 from the federal award.

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 907  
 Name of Fund: Various Federal Grants from the US DHHS/HRSA/PHS  
 Legal Authority: Act 164, SLH 2011, as amended by ACT 106, SLH 2012  
Public Health Service Act, various sections, as amended.

Contact Name: Betty Wood  
 Phone: 586-4530  
 Fund type (MOF) P  
 Appropriation Acct. No. S 588

**Intended Purpose:**

Preventive Health and Health Services Block Grant (PFFSBG) - This grant program was established in 1982 to help states and local communities focus on achieving the health objectives for the nation, identified in Healthy People 2000. States receiving block grant dollars must develop health plans, report to the federal government about their activities, and target public health interventions to populations in need. The flexible provisions of the grant allow each state address health problems unique to that state. Examples of current funding areas include emergency epidemic response, chronic disease prevention and health promotion, emergency medical services, environmental health, infectious disease control, rape prevention, injury prevention, and disease and risk factor surveillance.

**Source of Revenues:**

US Department of Health and Human Services and Public Health Service. This grant number was S-228-H then changed to S-588-H. Going forward the assumption is this grant number will be S-588-H. This grant period ends annually on 9/30.

**Current Program Activities/Allowable Expenses:**

PHHSBG activities include the ongoing development of a Data and Information System Integration project; an Injury Prevention Initiative; support for statewide coordination of public education and awareness to Prevent Sexual Assault; and support for various special data projects as deemed appropriate.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

None

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	171,438		600,603			
Beginning Cash Balance	0	0	0	5,029	(0)	0	0
Revenues	0	154,577	323,500	328,267	920,000	920,000	920,000
Expenditures	0	154,577	318,471	333,297	920,000	920,000	920,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	5,029	(0)	(0)	0	0
Encumbrances				38			
Unencumbered Cash Balance	0	0	5,029	(38)	(0)	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Preventive Hlth and Hlth Svcs Block Grant – Sexual Assault Prev Prog (project: 0nn203)  
 Legal Authority: Part A, Title XIX, PHS Act, as amended

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) P  
 Appropriation Acct. No. S 589 H

Intended Purpose: To provide support and coordination of statewide sexual violence prevention education services and to provide technical assistance to increase public awareness of sexual violence.

Source of Revenues: DHHS, CDC

Current Program Activities/Allowable Expenses:

- A. Manage purchase of service contracts for sexual assault primary prevention services for the general public and/or for selected populations such as youth and immigrants to increase awareness of sexual violence and to reduce attitudes that tolerate sexual violence.
- B. Collaborate on public awareness activities with Hawaii Coalition Against Sexual Assault.
- C. To collaborate and plan with the Sexual Violence Primary Prevention Planning Committee for such activities as a needs assessment of attitudes about sexual violence among selected communities.

Purpose of Proposed Ceiling Adjustment (if applicable): Effective July 1, 2014, this grant is now appropriated in S 589 H.

Variances: The variance from FY 2015 to FY 2016 is due to each budget year of the grant spanning 2 state fiscal years. The variance in revenues and expenditures between FY 2016 and FY 2017 is due to an increase in the subaward, and the planned expenditure of the entire subaward amount in FY 2017.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	40,000	40,000	40,000	40,000	40,000
Beginning Cash Balance	0	0	0	730	(0)	(0)	(0)
Revenues	0	0	11,350	26,661	40,000	40,000	40,000
Expenditures	0	0	10,620	27,391	40,000	40,000	40,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	730	(0)	(0)	(0)	(0)
Encumbrances	0	0	22,000	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HTH  
 Prog ID(s): HTH 560  
 Name of Fund: WIC MIS PROJECT FUNDING

Contact Name: SUSAN KANOUR  
 Phone: 586-8190  
 Fund type (MOF) P

Legal Authority: Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.

Appropriation Acct. No. S 590 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to implement a MIS system to replace WIC's database called "SWICH".

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services

Current Program Activities/Allowable Expenses: Replacement of MIS database.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variations: Variance due to timing of receipt of invoices for scheduled deliverables and contract document.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	1,495,818	1,495,818	1,495,818	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	1,345,549	150,269	0	0
Expenditures	0	0	0	1,345,549	150,269	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		0	1,495,818	150,269	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: Health  
 Prog ID(s): HTH 495  
 Name of Fund: Hawaii Primary and Behavioral Health Care Integration Project  
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi  
 Phone: 586-4682  
 Fund type (MOF) P  
 Appropriation Acct. No. S 592 H

Intended Purpose: The purpose of the grant is to improve the physical health status of adults who have severe and persistent mental illness through the provision of primary and specialty care medical services.

Source of Revenues: Hawaii's Primary and Behavioral Health Care Integration Project grant

Current Program Activities/Allowable Expenses: Activities include the provision of primary and specialty care medical services and support services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The FY 2016 variances are attributed to delays in the establishing and filling of grant funded positions.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			0	0	0	0	0
Beginning Cash Balance		0	0	204	672	672	672
Revenues			3,200	14,653	397,535	397,535	397,535
Expenditures			2,996	14,185	397,535	397,535	397,535
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	204	672	672	672	672
Encumbrances			696	2,647			
Unencumbered Cash Balance	0	0	(492)	(1,975)	672	672	672

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: Health  
 Prog ID(s): HTH 760  
 Name of Fund: Hawaii National Violent Death Reporting System (NVDRS) Project  
 Legal Authority: Centers for Disease Control's National Violence Death Reporting Project

Contact Name: Alvin T. Onaka Ph.D.  
 Phone: (808) 586-4600  
 Fund type (MOF) N  
 Appropriation Acct. No. S-593-H

Intended Purpose:  
 Improve violent death data collection and reporting in the State of Hawaii

Source of Revenues:

Competitive federal grant award

Current Program Activities/Allowable Expenses:

Activities include working with local law enforcement, the Medical Examiner's office on Oahu and Medical coroners on the neighbor islands to discuss the collection and reporting of violent death (ie: murders and suicides) information. This includes meetings on all islands and data sharing agreements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Pending carryover request to CDC for \$138,000 in Federal grant funds from FY 15 to FY 16 due to start-up delay. FY 16 to FY 17 delays in procurement.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	337	20	20	20
Revenues			1,550	15,293	160,060	148,000	148,000
Expenditures			1,213	15,610	160,060	148,000	148,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	337	20	20	20	20
Encumbrances			1,289	175,381			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 100  
 Name of Fund: Tuberculosis Control & Elimination  
 Legal Authority: Public Law 112-74, Patient Protection and Affordable  
Care Act, PL 111-148

Contact Name: Phillip Nguyen  
 Phone: 586-4581  
 Fund type (MOF) P  
 Appropriation Acct. No. S 517 H (FY14-15)  
S 594 H (FY16-FY19)

**Intended Purpose:**

Compare the performance of Interferon Gamma Release Assays (IGRAs) and Tuberculin Skin Test (TST) in a large and heterogeneous cohort of patients in order to identify the method that best predicts progression to TB disease, overall and in important subgroups of patients.

**Source of Revenues:**

U.S Department of Health & Human Service/Centers for Disease Control and Prevention

**Current Program Activities/Allowable Expenses:**

Conducting a study to compare TST and IGRAs in diagnosing Latent Tuberculosis Infection (LTBI) and in predicting progression from LTBI to TB disease.

Purpose of Proposed Ceiling Increase (if applicable):

**Variances:** From FY15, the grant switched from S 517 to S 594.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					985,163	985,163	985,163
Beginning Cash Balance				18,631	22,100	22,100	22,100
Revenues		735,771	200,500	893,177	922,952	922,952	922,952
Expenditures		721,412	181,869	889,708	922,952	922,952	922,952
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	14,359	18,631	22,100	22,100	22,100	22,100
Encumbrances				3,441			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) (proj: 000602)  
 Legal Authority: Patient Protection and Affordable Care Act, P.L. 111-148

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) P  
 Appropriation Acct. No. S 595 H

Intended Purpose: To strengthen and improve home visiting programs, improve service coordination for at risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at risk communities.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

Current Program Activities/Allowable Expenses:

These funds are currently supporting the development of a Hawaii Home Visiting Network (HHVN). This is a public/private partnership with existing home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. This project will also build back a hospital based Early Identification program for families at risk for child maltreatment. Identified families will be offered home visiting services through the HHVN.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: This grant is identical to S 529 but we had established a separate appropriation code in FY 2015 since we received two \$1,000,000 grant in the same state fiscal year. The variance between FY 2016 to FY 2017 is due to the projected expenditure of the entire award in FY 2017.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	1,000,000	0	0	0	0
Beginning Cash Balance	0	0	0	0	80	80	80
Revenues	0	0	0	966	0	0	0
Expenditures	0	0	0	886	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	80	80	80	80
Encumbrances	0			0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: HEALTH  
 Prog ID(s): HTH 560  
 Name of Fund: Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) (proj: 000753)  
 Legal Authority: Patient Protection and Affordable Care Act, P.L. 111-148

Contact Name: Leighton Tamura  
 Phone: 733-9073  
 Fund type (MOF) P  
 Appropriation Acct. No. S 596 H

Intended Purpose: To continue to make significant progress under the MIECHV program towards implementing a high-quality home visiting program as part of a comprehensive early childhood system and maintain existing home visiting programs which have proven to be effective for eligible families or communities or incrementally expand the capacity to address additional unmet need.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

Current Program Activities/Allowable Expenses: These funds provide ongoing support to high-quality evidence-based home visiting programs and expand evidence-based home visiting programs, in whole or in part, through the MIECHV program by increasing enrollment and retention of families served. MIECHV funds support the Hawaii Home Visiting Network (HHVN), a public/private partnership of evidence-based and evidence-informed home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. The Department of Health (DOH) uses MIECHV funds to support Early Identification (EID) services prenatally and in birthing hospitals which screen families for child maltreatment risk factors.

Families who are identified to be at risk are voluntarily referred to HHVN partners who provide a comprehensive array of services that promote parental competence and successful early childhood health and development. In addition to supporting the HHVN EID and direct home visiting services, these funds provide expanded resources to: embarking on two year evaluation of home visiting program expansion, recruitment, enrollment, retention and quality improvement with Johns Hopkins University; launching a Brand Awareness/Social Media Campaign to increase recruitment, enrollment and retention; create a training institute with established Home Visitor Competencies. Strengthening relationships between home visiting, Department of Human Services, Child Welfare Services and early childhood community through shared experiences in the training institute and implementation of the State's Continuous Quality Improvement (CQI) Plan.

Purpose of Proposed Ceiling Adjustment (if applicable): Ceiling to be established in the Budget Details in the Supplement budget effective 7/1/16.

Variances: This MIECHV Expansion grant began 3/1/15. The entire grant award is 8,430,783 but doesn't expire until 9/30/2017. Depending on when activities are performed, expenditures and revenues in the state fiscal years covered by this grant will fluctuate. The variance in revenues and expenditures between FY 2016 and FY 2017 and beyond is due to a decrease in projected expenditures during this time period.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	8,430,783	8,430,783	8,430,783	8,430,783	8,430,783
Beginning Cash Balance	0	0	0	0	131,947	131,947	131,947
Revenues	0	0	0	2,352,218	1,000,000	1,000,000	1,000,000
Expenditures	0	0	0	2,220,271	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	131,947	131,947	131,947	131,947
Encumbrances	0	0	0	1,626,846	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information  
for Submittal to the 2014 Legislature**

Department: DOH  
 Prog ID(s): HTH131DB  
 Name of Fund: Ebola Preparedness and Response Activities for the State of HI  
 Legal Authority: PHSA, SEC 311, 42 USC 243

Contact Name: Sarah Y. Park, MD, FAAP  
 Phone: (808) 587-6845  
 Fund type (MOF): P  
 Appropriation Acct. No.: S-15-597 H

Intended Purpose: In partnership with referral hospitals and the local healthcare coalition, DOH will conduct an Ebola Virus Disease/infectious disease of high consequence preparedness and response program to improve the State healthcare system's readiness for a possible Ebola or high consequence infectious disease outbreak.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to support healthcare facilities and the healthcare coalition to develop consistent plans and capacity regarding identification, isolation, transport, and management of suspected or confirmed patients infected with Ebola or other high consequence infectious disease.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variance due to timing of payments. The bulk of the funds were distributed in FY 2016.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				680,000	151,500		
Beginning Cash Balance		0	0	0	0	0	0
Revenues				677,745	35,000	35,000	35,000
Expenditures				677,745	35,000	35,000	35,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				143,764			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: HTH  
 Prog ID(s): HTH 210 and HTH 212 (HTH 212 from FY 2010)  
 Name of Fund: Hawaii Health Systems Corporation - Corporate Office / Regions  
 Legal Authority: 323F-7

Contact Name: Nadine Asai  
 Phone: 733-4026  
 Fund type (MOF): Special  
 Appropriation Acct. No. S-xx-320-H and S-xx-356-H  
 which also include 303,350,351.352.353.354.  
 355, 371, 358, 359, 373, 312, and 365

**Intended Purpose:**

The purpose of this account is to deposit all fees, proceeds, reimbursements, and other that is owed to or received by the Corporation.

**Source of Revenues:**

Medicare/Medicaid, HMSA, Quest, Kaiser, Other Third Party, Patients, Sale of Meals, and other Miscellaneous Sources

**Current Program Activities/Allowable Expenses:**

Corporation: The major activities carried out by HHSC Corporation include policy formulation, hospital system governance, business development, quality assurance, strategic direction, planning and coordination, financial management, legal counsel, personnel management, materials management, information systems, and technical services to support its community hospitals.

Regions: The major activities and service provided by the twelve community facilities constitute the primary hospital acute care provider on the neighbor islands, and, in most instances, the only inpatient hospital services in rural locations. Acute inpatient services include surgical, medical, critical care, obstetrics, pediatric, and psychiatric care. Outpatient care services include ambulatory surgery, home health, and emergency room services. Clinical services include nursing, anesthesiology, central supply, radiology, oncology, pathology, respiratory therapy, physical and occupational therapy, social services, pharmacy, and dietary. Support services include administration, admitting, business, personnel, data processing, medical records, logistics, housekeeping, and maintenance.

**Purpose of Proposed Ceiling Increase (if applicable):**

No special fund ceiling increase is being requested

**Variances**

Expenditure variance is due to collective bargaining pay raises.

<b>Financial Data (amounts in 000s)</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(unaudited)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	521,093	521,093	521,093	554,137	560,080	582,539	582,539
Beginning Cash Balance	37,624	34,309	55,687	86,485	102,833	92,463	84,505
Revenues	542,002	591,882	635,491	645,687	593,255	593,255	582,320
General Funds	86,027	120,540	118,440	109,172	109,637	112,049	110,249
Expenditures	593,082	675,524	709,049	718,305	700,656	700,656	700,656
Repayment on Capital Lease Obligation, long-term debt, interest	49,581	20,515	38,639	21,998	12,606	12,606	12,606
Other - Issuance of long-term debt	(9,534)	(24,801)	(12,347)				
Other - Short-term investment	(7,285)	17,306	(14,208)	(1,792)			
Other - Issuance of bonds payable							
Other - Principal pymt on amount owed to SOH	5,500	2,500	2,000				
Transfers							
List each net transfer in/out; list each account number							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Net Total Transfers							
Ending Cash Balance	34,309	55,687	86,485	102,833	92,463	84,505	63,812
Encumbrances							
Unencumbered Cash Balance	34,309	55,687	86,485	102,833	92,463	84,505	63,812

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\*\*Beginning FY 2010, the Legislature separated the Corporate Office from the Regions and created HTH 212 for the Regions and left HTH 210 for the Corporate Office.

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 210  
 Name of Fund: Weinberg Grand Fund  
 Legal Authority: 323F-7

Contact Name: Nadine Asai  
 Phone: 733-4026  
 Fund type (MOF) Trust  
 Appropriation Acct. No. funds held outside of Treasury

**Intended Purpose:**

The Hawaii Health Systems Corporation was awarded a grant from the Harry and Jeannette Wienberg Foundation for telemedicine and telehealth infrastructure equipment and related expenses.

**Source of Revenues:**

Grant from the Harry and Jeannette Weinberg Foundation

**Current Program Activities/Allowable Expenses:**

The account remains open, but for the past few years there have been no transaction with the exception of interest and account analysis fee.

**Purpose of Proposed Ceiling Increase (if applicable):**

N/A

**Variances**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(unaudited)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	44,722	44,562	44,383	44,219	44,055	43,889	43,723
Revenues							
Interest	9	9	9	9	9	9	9
Expenditures	169	188	173	173	175	175	175
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	44,562	44,383	44,219	44,055	43,889	43,723	43,557
Encumbrances							
Unencumbered Cash Balance	44,562	44,383	44,219	44,055	43,889	43,723	43,557

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 212  
 Name of Fund: Leahi Hospital, Salary Overpayment Trust Account  
 Legal Authority: 323F-7

Contact Name: Nadine Asai  
 Phone: 733-4026  
 Fund type (MOF) Trust  
 Appropriation Acct. No. T-909-H

**Intended Purpose:**

Established as a temporary holding account for recoveries until the debt is satisfied. Funds are then subsequently transferred to the program's special funds with an adjustment to the Department of Accounting and General Services' payroll system.

**Source of Revenues:**

**Current Program Activities/Allowable Expenses:**

**Purpose of Proposed Ceiling Increase (if applicable):**

N/A

**Variances**

Financial Data (amounts in 000s)							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(unaudited)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	11	9	9	9	9	9	9
Revenues	1	2	-	-	-	-	-
Interest							
Expenditures	3	2	-	-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	9	9	9	9	9	9	9
Encumbrances							
Unencumbered Cash Balance	9	9	9	9	9	9	9

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 212  
 Name of Fund: Patients' Safekeeping Trust Funds (Kona and Leahi)  
 Legal Authority: 323F-7

Contact Name: Nadine Asai  
 Phone: 733-4026  
 Fund type (MOF) Trust  
 Appropriation Acct. No. T-915 and T-925

**Intended Purpose:**

Established to manage and safeguard long-term care patients' monies, which included pension, retirement, social security, and miscellaneous income. The monies are expended from these accounts with the patients' consent to meet their personal needs.

**Source of Revenues:**

Patients

**Current Program Activities/Allowable Expenses:**

**Purpose of Proposed Ceiling Increase (if applicable):**

N/A

**Variances**

Financial Data (amounts in 000s)							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(unaudited)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	123	83	83	85	85	85	85
Revenues			2				
Interest							
Expenditures	40						
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	83	83	85	85	85	85	85
Encumbrances							
Unencumbered Cash Balance	83	83	85	85	85	85	85

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2016 Legislature

Department: HTH  
 Prog ID(s): HTH 212  
 Name of Fund: Donations, Gifts, and Grant Accounts (Maluhia, Hamakua, SMMH, Kau, KVMH)  
 Legal Authority: 323F-7

Contact Name: Nadine Asai  
 Phone: 733-4026  
 Fund type (MOF): Trust  
 Appropriation Acct. No.: T-911, T-918, T-919, T-921, and T-923

**Intended Purpose:**

Established to receive donations, gifts and grants that are restricted by the grantor or donor or designated for specific purpose.

**Source of Revenues:**

Private foundations and individuals

**Current Program Activities/Allowable Expenses:**

**Purpose of Proposed Ceiling Increase (if applicable):**

N/A

**Variances**

Financial Data (amounts in 000s)							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(unaudited)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	36	36	36	36	36	36	36
Revenues							
Interest							
Expenditures							
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	36	36	36	36	36	36	36
Encumbrances							
Unencumbered Cash Balance	36	36	36	36	36	36	36

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 111/ PA  
 Name of Fund: Employment Service / Wagner-Peyser  
 Legal Authority: Workforce Innovation and Opportunity Act (WIOA)

Contact Name: Ricco V. Racela  
 Phone: 586-9972  
 Fund type (MOF): N  
 \*Appropriation Acct. No. S-201-L

**Intended Purpose:** The Employment Service (ES) program brings together individuals looking for employment and employers looking for job seekers. It does this by providing a variety of services, which are available to all individuals. The program provides Job seekers with career services, including labor exchange services, job search assistance, workforce information, referrals to employment, and other assistance. Employers can use the ES to post job orders and obtain qualified applicants.

**Source of Revenues:** Wagner-Peyser Formula Grant from US Department of Labor

**Current Program Activities/Allowable Expenses:** For Wagner-Peyser Employment Services Grants to States, funds must be used for career services, including labor exchange activities and the provision of labor market information. Training is not an allowable activity. Services available include assessments of career interests, career guidance when appropriate, job search workshops, and referral to jobs or training as appropriate.

**Purpose of Proposed Ceiling Adjustment (if applicable):** NA

**Variances:** None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	17,821,201	19,982,260	17,829,322	4,446,366	5,300,000	5,300,000	5,300,000
Beginning Cash Balance	198,326	119,609	161,473	253,413	211,240	-	-
Revenues	5,136,581	4,080,876	5,031,301	4,181,427	5,088,760	5,300,000	5,300,000
Expenditures	5,104,641	3,890,558	5,038,159	3,565,134	5,300,000	5,300,000	5,300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(110,657)	(148,454)	98,798	(658,466)			
Net Total Transfers	(110,657)	(148,454)	98,798	(658,466)	-	-	-
Ending Cash Balance	119,609	161,473	253,413	211,240	-	-	-
Encumbrances							
Unencumbered Cash Balance	119,609	161,473	253,413	211,240	-	-	-

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* Parent Appropriation is 201 and sub accounts are 201, 208, 217, 218, 219, 220, 221, 259, 260, 261, 222, 501, and 256.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 143  
 Name of Fund: EA Consultation Agreements  
Occupational Safety and Health Act, Section 21(d),  
 Legal Authority Public Law 105-197, 29USC 651

Contact Name: Naohiro Miyajima  
 Phone: 586-8902  
 Fund type (MOF) P  
 Appropriation Acct. No. S-223-L

Intended Purpose: To fund consultative workplace safety and health services, targeting smaller employers with more hazardous operations.

Source of Revenues: US Department of Labor/ Occupational Safety and Health Administration

Current Program Activities/Allowable Expenses: Cooperative Agreements to States to provide occupational safety and health consultative services to employers.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				521,365	683,202	542,730	542,730
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues					606,172	500,000	500,000
Expenditures				325,228	606,172	500,000	500,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
				325,228			
Net Total Transfers	-	-	-	325,228	-	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 901  
 Name of Fund: Labor Force Statistics Programs  
 Legal Authority: Public Law 58-57, 62-426, 71-537, 82-203, 93-203,  
93-567, 94-206, 94-311 & 94-369  
CFDA# 17.002

Contact Name: Ferdinand Casabay  
 Phone: 586-8905  
 Fund type (MOF) P

\*Appropriation Acct. No. S-291-L

Intended Purpose: To provide, analyze, and publish statistical data on payroll employment and the civilian labor force, employment and unemployment persons not in the labor force, labor demand and turnover, wages, hours, earnings, occupational employment, time use, and employment projections.

Source of Revenues: Federal Project Grants from US Department of Labor, Bureau of Labor Statistics

Current Program Activities/Allowable Expenses: Personnel salaries, fringe benefits, travel costs, equipment, supplies, contractual, administrative support technical costs (AS&T), non-personal services and operating expenses.

Purpose of Proposed Ceiling Adjustment (if applicable): n/a

Variances: None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,310,003	2,447,213	950,000	786,869	910,533	950,000	1,000,000
Beginning Cash Balance	14,445	2,313	8,286	16,368	62,798	(0)	(0)
Revenues	1,564,129	1,356,156	1,402,559	742,955	983,812	950,000	1,000,000
Expenditures	1,576,261	1,342,924	733,579	520,305	1,046,610	950,000	1,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(7,258)	(660,898)	(176,221)	-	-	-
Net Total Transfers	-	(7,258)	(660,898)	(176,221)	-	-	-
Ending Cash Balance	2,313	8,286	16,368	62,798	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	2,313	8,286	16,368	62,798	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* Parent appropriation is 291 and sub accounts are 291, 232 and 233.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 111 / PB  
 Name of Fund: Disability Employment Initiative  
 Legal Authority: Workforce Innovation and Opportunity Act, P.L. 113-28  
CFDA 17.207

Contact Name: Ferdinand Casabay  
 Phone: 586-8905  
 Fund type (MOF) N  
 Appropriation Acct. No. S-256-L

Intended Purpose: To strengthen the success and progress of DEI, increase the number of people with disabilities accessing American Job Centers (AJC) and institute systems change in AJCs statewide.

Source of Revenues: Federal Grant from US Department of Labor (Employment Service / Wagner-Peyser)

Current Program Activities/Allowable Expenses: Personnel salaries, fringe benefits, travel costs, equipment, supplies, contractual, administrative support technical costs (AS&T), non-personal services and operating expenses.

Purpose of Proposed Ceiling Adjustment (if applicable): n/a

Variations: None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	2,500,000	2,483,812	1,516,188	758,094
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	-	16,188	967,624	758,094	758,094
Expenditures	-	-	-	16,188	967,624	758,094	758,094
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 171  
 Name of Fund: Unemployment Insurance Fund  
 Legal Authority: Chapter 383-121, HRS

Contact Name: Ricco V. Racela  
 Phone: 586-9972  
 Fund type (MOF) Special (B)  
 Appropriation Acct. No. S-312-L, S-313-L  
 Effective 7/1/16, reported as T-912 & 913 L

Intended Purpose: All contributions pursuant to this chapter shall be paid into the fund and compensation and benefits payable pursuant to this chapter shall be paid from the fund.

Source of Revenue: Contributions collected pursuant to Chapter 383 Hawaii Revised Statutes, interest earned on moneys in the fund, property or securities and earnings of such property of securities, and moneys credited pursuant to Section 903 of the Social Security Act.

Current Program Activities/Allowable Expenses: Payment of unemployments insurance benefits and for refunds of contributions, and payment of Administrative expenses from money credited pursuant to Section of the Social Security Act.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Unemployment claims dropped from 4.0% to 3.3%, UI Tax Rate from Schedule D to Schedule C

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	516,167,344	360,000,000	358,058,908	358,000,000	358,000,000	358,000,000	358,000,000
Beginning Cash Balance	45,027,113	190,754,451	358,741,288	435,363,280	504,586,106	523,086,106	541,286,106
Revenues	477,408,172	410,278,253	260,401,589	212,812,591	182,000,000	189,600,000	197,500,000
Expenditures	336,857,562	242,291,416	183,779,597	143,589,765	163,500,000	171,400,000	175,900,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
	5,176,728						
Net Total Transfers	5,176,728	0	0	0	0	0	0
Ending Cash Balance	190,754,451	358,741,288	435,363,280	504,586,106	523,086,106	541,286,106	562,886,106
<b>Encumbrances</b>							
Unencumbered Cash Balance	190,754,451	358,741,288	435,363,280	504,586,106	523,086,106	541,286,106	562,886,106

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 171  
 Name of Fund: Unemployment Insurance Fund  
 Legal Authority: Chapter 383-127, HRS

Contact Name: Ricco V. Racela  
 Phone: 586-9972  
 Fund type (MOF) Special (B)  
 Appropriation Acct. No. S-314-L  
 Effective 7/1/16, reported as S-313--L

Intended Purpose: Payment of refunds, interest, and expenditures deemed necessary in the administration of thi chapter for which no allocation of federal adminstration funds have been made.

Source of Revenue: Interest, fines and penatlties collected pursuant to Chapter 383 Hawaii Revised Statutes.

Current Program Activities/Allowable Expenses: Payment of various expenses relating to the administration of the unemployment insurance program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	918,547	931,310	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Beginning Cash Balance	5,067,678	6,092,788	7,447,393	8,871,703	9,795,388	10,195,388	10,295,388
Revenues	1,054,980	1,354,605	1,429,860	923,699	1,200,000	1,200,000	1,200,000
Expenditures	29,870	0	5,550	14	800,000	1,100,000	1,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,092,788	7,447,393	8,871,703	9,795,388	10,195,388	10,295,388	9,995,388
Encumbrances							
Unencumbered Cash Balance	6,092,788	7,447,393	8,871,703	9,795,388	10,195,388	10,295,388	9,995,388

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 111/ PA  
 Name of Fund: Work Opportunity Tax Credit (WOTC)  
Tax Increase Prevention Act of 2014, Public Law  
 Legal Authority 113-295

Contact Name: Ricco V. Racela  
 Phone: 586-9972  
 Fund type (MOF) P

Appropriation Acct. No. S-208-L

**Intended Purpose:** This federal tax credit was designed to help individuals from certain target groups who consistently face significant barriers to employment move from economic dependency to self-sufficiency by encouraging businesses to hire target group members and be eligible to claim tax credits against the wages paid to the new hires during the first year of employment.

**Source of Revenues:** Federal Grants from US Department of Labor

**Current Program Activities/Allowable Expenses:** States are to use these formula grants for: accepting WOTC applications from employers; determining eligibility of individuals as members of the target groups; issuing employer certifications or denials; developing working agreements with partner agencies in American Job Centers (also called One-Stop Career Centers) or other State agencies to verify or document eligibility of new hires, including issuing Conditional Certifications; and coordinating efforts to promote WOTC with employers, job seekers and other Workforce innovation and Opportunity Act (WIOA) partners.

**Purpose of Proposed Ceiling Adjustment (if applicable):** N/A

**Variations:** None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		-	-	206,000	80,000	80,000	80,000
Beginning Cash Balance		-	-	-	-	-	-
Revenues		-	-	-	-	-	-
Expenditures		-	-	65,586	71,000	71,000	71,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number (from appropriation 201)							
				65,586	71,000	71,000	71,000
Net Total Transfers	-	-	-	65,586	71,000	71,000	71,000
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 111/ PA  
 Name of Fund: Alien Labor Certification Program  
Immigration and Nationality Act of 1952, Immigration Act 1990, Immigration Reform and Control Act 1986,  
 Legal Authority Omnibus Insular Areas Act 1991

Contact Name: Ricco V. Racela  
 Phone: 586-9972  
 Fund type (MOF) P

Appropriation Acct. No. S-209-L

**Intended Purpose:** To provide greater protection for U.S. and foreign workers while assisting U.S. employers seeking to hire temporary foreign workers when no able, willing, and qualified U.S. workers are available. To ensure that adequate working and living conditions are provided for foreign and domestic workers.

**Source of Revenues:** Federal Grants from US Department of Labor, Employment Training Administration

**Current Program Activities/Allowable Expenses:** Employers may, upon meeting certain conditions of employment, use this assistance to seek the admission of foreign workers for positions in the United States, provided that the employment of such foreign workers will not adversely affect the wages and working conditions of similarly-employed U.S. workers

**Purpose of Proposed Ceiling Adjustment (if applicable):** N/A

**Variances:** None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		-	-	70,000	80,000	80,000	80,000
Beginning Cash Balance		-	-	-	-	-	-
Revenues		-	-	-	-	-	-
Expenditures		-	-	53,728	71,564	71,564	71,564
Transfers							
List each net transfer in/out/ or projection in/out; list each account number (from appropriation 201)							
				53,728	71,564	71,564	71,564
Net Total Transfers	-	-	-	53,728	71,564	71,564	71,564
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 143  
 Name of Fund: Occupational Safety & Health  
 Legal Authority: Occupational Safety & Health Act, section 23(g),  
Public Law 91-596, 29 USC 60©

Contact Name: Naohiro Miyajima  
 Phone: 586-8902  
 Fund type (MOF) P

\*Appropriation Acct. No. S-215-L

Intended Purpose: To fund federally approved comprehensive State occupational safety and health programs that are "at least as effective" as the Federal program.

Source of Revenues: Federal Project Grant from US Department of Labor, Occupational Safety and Health.

Current Program Activities/Allowable Expenses: Grants to States to administer and enforce State programs for occupational safety and health, limited to States having federally approved plans.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,534,620	2,598,591	2,858,528	1,546,986	1,546,986	1,546,986	1,546,986
Beginning Cash Balance	5,134	14,010	7,871	82,794	120,385	120,385	120,385
Revenues	1,810,211	1,648,576	2,150,137	1,890,247	1,464,000	1,464,000	1,464,000
Expenditures	1,801,335	1,764,716	1,995,164	1,527,428	1,464,000	1,464,000	1,464,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
		110,001	(80,050)	(325,228)			
Net Total Transfers	0	110,001	(80,050)	(325,228)	0	0	0
Ending Cash Balance	14,010	7,871	82,794	120,385	120,385	120,385	120,385
Encumbrances							
Unencumbered Cash Balance	14,010	7,871	82,794	120,385	120,385	120,385	120,385

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* Parent appropriation is 215 and sub accounts are 215 and 223.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 111/ PA  
 Name of Fund: Trade Adjustment Assistance  
 Legal Authority: Trade Adjustment Assistance Reauthorization Act of 2015 (TAARA 2015)

Contact Name: Ricco V. Racela  
 Phone: 586-9972  
 Fund type (MOF): P

Appropriation Acct. No. S-216-L

Intended Purpose: The Trade Adjustment Assistance (TAA) for Workers Program is a federal entitlement program that assists workers impacted by foreign trade. Through the provision of a number of employment-related benefits and services, the TAA Program provides trade-affected workers with opportunities to obtain the support, resources, skills, and credentials they need to return to the workforce in a good job in an in-demand industry. The program services include training, employment and case management services, job search allowances, relocation allowances, wage supplements for workers aged 50 and older, and Trade Readjustment Allowances (TRA).

Source of Revenues: Federal Formula Grants from US Department of Labor, Employment Training Administration

Current Program Activities/Allowable Expenses: The weekly subsistence payments and wage supplements of TRA and A/RTAA are administered under the Unemployment Insurance program (see CFDA 17.225). State unemployment compensation and extended benefits must be exhausted before TRA is paid to eligible claimants. Trade impacted workers are eligible to receive job search and relocation allowances in addition to the costs of training. They may be paid subsistence and transportation allowances to attend approved training outside the normal commuting distance of a worker's regular place of residence.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)
Appropriation Ceiling		-	-	300,000.00	2,361,612.00	300,000.00	300,000.00
Beginning Cash Balance		-	-	-	-	-	-
Revenues		-	-	-	-	-	-
Expenditures		-	-	130,074.94	2,361,612.00	300,000.00	300,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number (from appropriation 201)							
				130,074.94	2,361,612.00	300,000.00	300,000.00
Net Total Transfers	-	-	-	130,074.94	2,361,612.00	300,000.00	300,000.00
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 111/ PA  
 Name of Fund: Disabled Veterans' Outreach Program (DVOP)  
 Legal Authority: Jobs for Veterans Act, Title 38, Part III, Chapter 41,  
Section 4103A (as amended)

Contact Name: Ricco V. Racela  
 Phone: 586-9972  
 Fund type (MOF) N

Appropriation Acct. No. S-218-L

Intended Purpose

To provide individualized career services to meet the employment needs of disabled and other eligible veterans identified by the Secretary of Labor with maximum emphasis in meeting the employment needs of those who are economically or educationally disadvantaged, particularly for veterans with significant barriers to employment.

Source of Revenues: Federal formula grants CFDA 17.801 from US Department of Labor, Veterans' Employment and Training Services

Current Program Activities/Allowable Expenses:

Funds must be used by States only for salaries, expenses and reasonable support of Disabled Veterans' Outreach Program (DVOP) Specialists who are assigned only those duties directly related to meeting the employment needs of eligible veterans according to the provisions of 38 U.S.C. 4103A.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variiances: None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		-	-	413,960	500,000	500,000	500,000
Beginning Cash Balance		-	-	-	-	-	-
Revenues		-	-	-	-	-	-
Expenditures		-	-	81,962	414,000	414,000	414,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number from Appropriation 201							
				81,962	414,000	414,000	414,000
Net Total Transfers	-	-	-	81,962	414,000	414,000	414,000
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 111/ PA  
 Name of Fund: Local Veterans' Employment Representative Program  
 Legal Authority: Jobs for Veterans Act, Title 38, Part III, Chapter 41,  
Section 4103A (as amended)  
CFDA 17.804

Contact Name: Ricco V. Racela  
 Phone: 586-9972  
 Fund type (MOF) N

Appropriation Acct. No. S-218-L

Intended Purpose: Conduct outreach to employers including conducting seminars for employers, conducting job search workshops and establishing job finding clubs; and to facilitate employment, training, and placement services furnished to veterans in a State under the applicable State employment service or One-Stop Career Center delivery systems whose sole purpose is to assist veterans to gain and retain employment

Source of Revenues: Federal formula grants from US Department of Labor, Veterans' Employment and Training Services

Current Program Activities/Allowable Expenses: Funds must be used only for salaries, expenses and reasonable support of Local Veterans' Employment Representatives who shall be assigned only those functions directly related to providing services to veterans according to provisions of 38 U.S.C. 4104.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		-	-	391,215	450,000	450,000	450,000
Beginning Cash Balance		-	-	-	-	-	-
Revenues		-	-	-	-	-	-
Expenditures		-	-	95,000	384,660	384,660	384,660
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
				95,000	384,660	384,660	384,660
Net Total Transfers	-	-	-	95,000	384,660	384,660	384,660
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 111/ PB  
 Name of Fund: Senior Community Service Employment Program  
 Legal Authority: Older American Act of 2016  
CFDA 17.235

Contact Name: Ricco V. Racela  
 Phone: 586-9972  
 Fund type (MOF) N  
 Appropriation Acct. No. S-222-L

**Intended Purpose:** To foster individual economic self-sufficiency; provide training in meaningful part-time opportunities in community service activities for unemployed low-income persons who are age 55 years of age or older, particularly persons who have poor employment prospects; and to increase the number of older persons who may enjoy the benefits of unsubsidized employment in both the public and private sectors.

**Source of Revenues:** Federal Formula Grants, Project Grants from US Department of Labor, Employment Training Administration.

**Current Program Activities/Allowa** Salaries, fringe benefits and training cost for older individuals placed in positions at government or non-profit agencies

**Purpose of Proposed Ceiling Adjustment (if applicable):** N/A

**Variances:** None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)
Appropriation Ceiling		1,814,197	2,231,455	2,500,000	2,500,000	2,500,000	2,500,000
Beginning Cash Balance		-	1,548	651	146,856	146,856	146,856
Revenues		1,430,400	1,852,400	1,723,517	1,846,110	1,846,110	1,846,110
Expenditures		1,428,852	1,853,297	1,577,311	1,846,110	1,846,110	1,846,110
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	-	1,548	651	146,856	146,856	146,856	146,856
Encumbrances							
Unencumbered Cash Balance	-	1,548	651	146,856	146,856	146,856	146,856

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 901 GA  
 Name of Fund: One Stop Workforce Info Grant  
 Legal Authority: Workforce Innovation and Opportunity Act (WIOA)  
CFDA 17.207

Contact Name: Ricco V. Racela  
 Phone: 586-9972  
 Fund type (MOF) N  
 Appropriation Acct. No. S-233-L

**Intended Purpose:** To provide the customer with access to all Department of Labor-funded programs within one physical facility or through electronic access.

**Source of Revenues:** Wagner-Peyser Formula Grant from US Department of Labor.

**Current Program Activities/Allowable Expenses:** All expenditures must be in accordance with Training And Employment Guidance -Wagner Peyser

**Purpose of Proposed Ceiling Adjustment (if applicable):** N/A

**Variances:** None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		-	417,803	456,604	468,969	468,969	468,969
Beginning Cash Balance		-	-	-	-	-	-
Revenues		-	-	-	-	-	-
Expenditures		-	205,605	294,316	468,969	468,969	468,969
Transfers							
List each net transfer in/out/ or projection in/out; list each account number from appropriation 291							
			205,605	294,316	468,969	468,969	468,969
Net Total Transfers	-	-	205,605	294,316	468,969	468,969	468,969
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 111/ PA  
 Name of Fund: Workforce Development  
 Legal Authority: Act 124/2016

Contact Name: Ricco V. Racela  
 Phone: 586-9972  
 Fund type (MOF): U  
 Appropriation Acct. No. S-317-L  
Include - S219, S220, S221

Intended Purpose To provide employment and training case management services for the SNAP

Source of Revenues: Inter-Departmental Transfer

Current Program Activities/Allowable Expenses: Salaries, fringe benefits and training cost for the SNAP

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,493,319	1,505,580	1,505,580	1,553,875	2,207,794	2,507,750	2,807,750
Beginning Cash Balance	29,339	12,031	35,514	223,659	174,702	120,783	120,827
Revenues	690,000	891,091	893,091	851,000	1,200,000	1,200,000	2,000,000
Expenditures	707,308	867,608	704,946	899,956	1,253,919	1,199,956	1,900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	12,031	35,514	223,659	174,702	120,783	120,827	220,827
Encumbrances							
Unencumbered Cash Balance	12,031	35,514	223,659	174,702	120,783	120,827	220,827

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 111 / Placement Services  
 Name of Fund: Employment and Training Special Fund  
 Legal Authority: Chapter 383-128, HRS

Contact Name: Ricco V. Racela  
 Phone: 586-9972  
 Fund type (MOF) Special (B)  
 Appropriation Acct. No. S-315-L, S-316-L, S-318-L  
 Prior to 7/1/16, reported as S-318-L  
 Effective 7/1/16, reported as S-316-L

Intended Purpose: To provide grants and subsidies to public, private and non-profit organizations for employment, education and training.

Source of Revenues: Employer contributions

Current Program Activities/Allowable Expenses: A) Programs to create a more diversified base for businesses; B) Programs for high-growth industries with critical shortages; C) Programs to retrain unemployed workers; D) Programs for individuals who do not qualify for federal or state programs; E) Programs for individuals to improve career employment prospects.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Revenue - Employment and training fund revenues are based on assessments imposed at a rate of .01 per cent of taxable wages. Variances are attributed to fluctuations in employer assessments. Expenses - services are adjusted to ensure continued solvency of the fund.

	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (estimated)	FY 2018 (estimated)	FY 2019 (estimated)
Appropriation Ceiling	3,641,622	3,643,786	3,642,288	3,642,288	3,642,288	3,642,288	3,642,288
Beginning Cash Balance	2,579,145	2,460,679	2,887,928	2,635,711	2,235,711	1,635,711	1,035,711
Revenues	438,906	1,417,646	1,129,425	1,549,751	1,000,000	1,000,000	1,000,000
Expenditures	557,372	990,397	1,381,642	1,084,968	1,600,000	1,600,000	1,600,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,460,679	2,887,928	2,635,711	3,100,495	1,635,711	1,035,711	435,711
<b>Encumbrances</b>							
Unencumbered Cash Balance	2,460,679	2,887,928	2,635,711	3,100,495	1,635,711	1,035,711	435,711

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 153RA  
 Name of Fund: Fair Housing Assistance Program  
 Legal Authority: Fair Housing Act 42 U.S.C. 3600 et seq.  
CFDA 14.401

Contact Name: Ricco Racela  
 Phone: 586-8902  
 Fund type (MOF): P  
 \*Appropriation Acct. No. S-224-L

**Intended Purpose:** To provide assistance to State and local fair housing enforcement agencies for complaint processing, training, technical assistance, education and outreach, data and information systems and other activities that will further fair housing within the agency's jurisdiction. The intent of the Fair Housing Assistance Program (FHAP) is to build a coordinated intergovernmental enforcement of fair housing laws and provide incentives for States and localities to assume a greater share of responsibility for administering fair housing laws.

**Source of Revenues:** Federal Project Grants from US Department of Housing and Urban Development

**Current Program Activities/Allowable Expenses:** HUD has discretion to provide contributions funding in lieu of the fixed amount, which provides funds for complaint processing, administrative costs, and training associated with the enforcement of a substantially equivalent fair housing law.

**Purpose of Proposed Ceiling Adjustment (if applicable):** N/A

**Variations:** None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			75,130.00	115,130.00	200,000.00	200,000.00	200,000.00
Beginning Cash Balance	-	-	-	146,150.00	183,245.00	183,245.00	183,245.00
Revenues			146,150.00	103,206.00	188,000.00	188,000.00	188,000.00
Expenditures				89,662.00	188,000.00	188,000.00	188,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				23,551.00			
Net Total Transfers	-	-	-	23,551.00	-	-	-
Ending Cash Balance	-	-	146,150.00	183,245.00	183,245.00	183,245.00	183,245.00
Encumbrances							
Unencumbered Cash Balance	-	-	146,150.00	183,245.00	183,245.00	183,245.00	183,245.00

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* Parent appropriation is 224 and sub account are 224 and 225.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 153RA  
 Name of Fund: Equal Employment Opportunity Commission  
 Title VII 1964, EPA 1963, ADEA 1967, ADA 1990,  
 Civil Right Act 1991, Rehabilitation Act 1973, GINA  
 Legal Authority 2008  
CFDA 30.002

Contact Name: Ricco V. Racela  
 Phone: 586-9972  
 Fund type (MOF) P

Appropriation Acct. No. S-225-L

**Intended Purpose:** To assist EEOC in the enforcement of Title VII of the Civil Rights Act of 1964, as amended, the Age Discrimination in Employment Act of 1967 and the Americans with Disabilities Act of 1990 by investigating and resolving charges of employment discrimination based on race, color, religion, sex or national origin.

**Source of Revenues:** Federal grants from US Equal Employment Opportunity Commission

**Current Program Activities/Allowable Expenses:** Contracts are made with official State and local Fair Employment Practices Agencies based on the resolution of individual charges of employment discrimination filed concurrently with those agencies and the EEOC and for the identification, investigation, conciliation and elimination of unlawful employment practices.

**Purpose of Proposed Ceiling Adjustment (if applicable):** N/A

**Variances:** None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		-	142,000.00	302,200.00	302,200.00	302,200.00	302,200.00
Beginning Cash Balance		-	-	50,000.00	181,564.00	-	-
Revenues		-	50,000.00	235,850.00	120,636.00	302,200.00	302,200.00
Expenditures		-	-	159,956.00	302,200.00	302,200.00	302,200.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				55,670.00			
Net Total Transfers	-	-	-	55,670.00	-	-	-
Ending Cash Balance	-	-	50,000.00	181,564.00	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	50,000.00	181,564.00	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations  
 Prog ID(s): LBR 901  
 Name of Fund: OSHA Bureau of Labor Statistic Program  
 Legal Authority: Public Law 62-426, 71-537, 91-596 and 94-206, 29  
USC 1-2  
CFDA 17.005

Contact Name: Naohiro Miyajima  
 Phone: 586-8902  
 Fund type (MOF) P

Appropriation Acct. No. S-232-L

**Intended Purpose:** To provide, analyze, and publish a diverse set of measures of employee compensation, including cost, wages, and benefits and work-related injuries, illnesses, and fatalities, compile work stoppages statistics, and conduct research to improve the measurement process.

**Source of Revenues:** Federal Project Grants from US Department of Labor, Bureau of Labor Statistics

**Current Program Activities/Allowable Expenses:** This program provides the following information: (A) level and trend data on employee wages and compensation; (B) studies of employee benefits plans; (C) national and state data on occupational injuries, illness and fatalities; and (D) information on work stoppages. It also makes available funds in the form of cooperative agreements to state agencies or designated local governments for collection of data on occupational injuries, illnesses, and fatalities. The Occupational Safety and Health Statistics program authorizes funds on a matching basis to states or local governments to assist them in developing and administering programs.

**Purpose of Proposed Ceiling Adjustment (if applicable):** N/A

**Variances:** None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				125,000	148,657	125,000	125,000
Beginning Cash Balance		-	-	-	-		
Revenues				-			
Expenditures				64,443	111,757	90,000	90,000
Transfers	List each net transfer in/out/ or projection in/out; list each account number from appropriation 291						
				64,443	111,757	90,000	90,000
Net Total Transfers	-	-	-	64,443	111,757	90,000	90,000
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor & Industrial Relations  
 Prog ID(s): LBR 171  
 Name of Fund: Unemployment Insurance Administration  
 Legal Authority: Social Security Act, As Amended  
CFDA: 17.225

Contact Name: Vyhien Peyton  
 Phone: 586-9970  
 Fund type (MOF) N  
 \*Appropriation Acct. No. S-XX-211-L

**Intended Purpose:** To administer the statewide Unemployment Insurance Program including the payment of allowances under special training programs.

**Source of Revenues:** Federal grant from the U.S. Department of Labor

**Current Program Activities/Allowable Expenses:** Payment of Administrative costs to administer the Unemployment Insurance Program

**Purpose of Proposed Ceiling Adjustment (if applicable):** Not applicable.

**Variations:** None.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	25,365,613	19,118,454	24,569,021	22,795,060	23,446,737	23,446,737	23,446,737
Beginning Cash Balance	16,379	14,708	21,103	356,590	622,180	22,180	22,180
Revenues	18,339,663	15,259,468	14,752,386	15,162,741	15,000,000	15,000,000	15,000,000
Expenditures	18,425,920	15,253,073	14,333,898	14,904,083	15,600,000	15,000,000	15,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	84,586		(83,001)	6,932			
Net Total Transfers	84,586	-	(83,001)	6,932	-	-	-
Ending Cash Balance	14,708	21,103	356,590	622,180	22,180	22,180	22,180
Encumbrances							
Unencumbered Cash Balance	14,708	21,103	356,590	622,180	22,180	22,180	22,180

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* Parent appropriation is 211 and sub accounts are 211, 227, 503 and 257.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor & Industrial Relations  
 Prog ID(s): LBR 171  
 Name of Fund: RESEA  
 Legal Authority Workforce Innovation and Opportunity Act, P.L 113-28  
(Award nos. UI-27108-15-55-A-15, UI-28127-16-60-A-15)

Contact Name: Vyhien Peyton  
 Phone: 586-9970  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-227-L

**Intended Purpose:** Funds are appropriated for Unemployment Insurance RESEA to conduct in-person reemployment and eligibility assessments and to provide reemployment services and referrals to training, as appropriate.

**Source of Revenues:** Federal grant from the U.S. Department of Labor

**Current Program Activities/Allowable Expenses:** Funds used to pay PSPB for UI Staff and NPS to provide RESEA services to UI Claimants and to cover expenditures under an MOU between UI and WDD to provide the reemployment services and referrals of training to UI claimants.

**Purpose of Proposed Ceiling Adjustment (if applicable):** Not applicable.

**Variances:** None.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	356,120	1,431,481			
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	-	-	-		
Expenditures	-	-	-	310,396	1,121,085	1,075,000	10,750,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number				From S-16-211	From S-17-211	From S-18-211	From S-19-211
				310,396	1,121,085	1,075,000	10,750,000
Net Total Transfers	-	-	-	310,396	1,121,085	1,075,000	10,750,000
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor & Industrial Relations  
 Prog ID(s): LBR 171  
 Name of Fund: UI Worker Misclassification Prevention & Detection  
 Legal Authority: Social Security Act, As Amended  
 (Award no. UI-26364-14-60-A-15)

Contact Name: Vyhien Peyton  
 Phone: 586-9970  
 Fund type (MOF) N  
 Appropriation Acct. No. S-15-503-L

**Intended Purpose:** To enable Hawaii UI to better meet USDOL requirements for misclassification detection and increase our enforcement activities for worker misclassification.

**Source of Revenues:** Federal grant from the U.S. Department of Labor

**Current Program Activities/Allowable Expenses:** To implement a new UI Tax Field Audit Application to improve the efficiency of the audit performance and reduce audit processing time. The application will enhance the audit selection process by identifying employers and directing audits towards employers most likely to have misclassified workers or underreported wages.

**Purpose of Proposed Ceiling Adjustment (if applicable):** Not applicable.

**Variances:** None.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	500,000		-	-	-
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	-	-	-	65,000	435,000	-	-
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
				65,000	435,000		
Net Total Transfers	-	-	-	65,000	435,000	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Governor's approval to expend federal grant was secured on 12/01/14

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor & Industrial Relations  
 Prog ID(s): LBR 171  
 Name of Fund: UI Program Integrity and Performance and System Improvements  
 Legal Authority: Social Security Act, As Amended  
 (Award no. UI-26390-14-60-A-15)

Contact Name: Vyhien Peyton  
 Phone: 586-9970  
 Fund type (MOF) N  
 Appropriation Acct. No. S-17-257-L

**Intended Purpose:** To design and implement applications to 1) prevent overpayments of UI benefits to individuals who are incarcerated and not qualified to receive UI benefits and 2) to procure and develop a report and payment receiving solution to improve UI program integrity, reduce the improper payment rate and improve overpayment recovery. Funds will be used to implement 2014 Program Integrity and Performance and System Improvements under Section 302 of the Social Security Act.

**Source of Revenues:** Federal grant from the U.S. Department of Labor

**Current Program Activities/Allowable Expenses:** 1) To hire a contractor to design an application to crossmatch a UI file of active claims with files obtained from the Department of Public Safety containing newly incarcerated individuals. 2) To hire a contractor to design a full scale UI Employer Web Application which allows liable employers to view UI account history and file quarterly wage data online and make contribution payments through an ACH process.

**Purpose of Proposed Ceiling Adjustment (if applicable):** Not applicable.

**Variances:** None.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-		598,000			-	-
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	-	-	-	112,841	485,159	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number				From S-16-211	From S-17-211		
				112,841	485,159		
Net Total Transfers	-	-	-	112,841	485,159	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Governor's approval to expend federal grant was secured on 03/10/16

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Labor & Industrial Relations  
 Prog ID(s): LBR 171  
 Name of Fund: UI Accessibility Automation for Limited English Proficiency  
 Legal Authority: Social Security Act, As Amended  
 (Award no. UI-27902-15-55-A-15)

Contact Name: Vyhien Peyton  
 Phone: 586-9970  
 Fund type (MOF) N  
 Appropriation Acct. No. S-16-258-L

**Intended Purpose:** To improve service to Hawaii's Limited English Proficiency (LEP) customers and ensure equal, effective and meaningful access to benefit rights afforded under the UI program. Funds will be used to implement 2015 Program Integrity and Performance and System Improvements under Section 302 of the Social Security Act.

**Source of Revenues:** Federal grant from the U.S. Department of Labor

**Current Program Activities/Allowable Expenses:** To expand and enhance the UI claimant web filing process to enable certain Limited English Proficiency (LEP) individuals to apply for benefits online.

**Purpose of Proposed Ceiling Adjustment (if applicable):** Not applicable.

**Variations:** None.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	500,000			-
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	-	-	-	-	250,000	250,000	-
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number					From S-17-211	From S-18-211	
					250,000	250,000	
Net Total Transfers	-	-	-	-	250,000	250,000	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Governor's approval to expend federal grant was secured on March 10,2016.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 405  
 Name of Fund: Conservation & Resources Enforcement  
 Legal Authority: Act 296, SLH 1996

Contact Name: Jason Redulla  
 Phone: 587-0066  
 Fund type (MOF): Special - B  
 Appropriation Acct. No.: S-302

**Intended Purpose:**

This fund was administratively established to receive funds from the Boating Special Fund to fund marine patrol responsibilities that were transferred from the Department of Public Safety pursuant to Act 296, SLH 1996.

**Source of Revenues:**

Funds received from the Boating Special Fund.

**Current Program Activities/Allowable Expenses:**

Expenses associated with the enforcement of marine boating and ocean recreation rules relating to boating safety, conservation, and search and rescue.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Variances: Increase in expenditures is anticipated as approved through DOBOR

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,583,055	1,626,083	1,626,093	1,952,117	1,952,117	1,952,117	1,952,117
Beginning Cash Balance	20,732	40,060	10,521	46,180	100,367	154,039	207,711
Revenues	423	345	402	504	0	0	0
Expenditures	1,295,031	1,281,179	1,408,386	1,509,989	1,510,000	1,510,000	1,510,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
	1,313,936	1,251,295	1,443,643	1,563,672	1,563,672	1,563,672	1,563,572
Net Total Transfers	1,313,936	1,251,295	1,443,643	1,563,672	1,563,672	1,563,672	1,563,572
Ending Cash Balance	40,060	10,521	46,180	100,367	154,039	207,711	261,283
Encumbrances	24,403	18,499	51,527	125,589	130,000	130,000	130,000
Unencumbered Cash Balance	15,657	(7,978)	(5,347)	(25,222)	24,039	77,711	131,283

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**

for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Acct	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR405	B	2	S	2015	302	DA	(29,340.10)	JRNL	972		00JS4240	2/8/2016
LNR405	B	2	S	2015	302	DA	(482.45)	JRNL	972		00JS0199	7/20/2015
LNR405	B	2	S	2015	302	DA	100,000.00	JRNL	971		00JS0279	7/23/2015
LNR405	B	2	S	2015	302	DA	80,000.00	JRNL	971		00JS0373	7/29/2015
LNR405	B	2	S	2015	302	DA	(174,261.45)	JRNL	972		00JS0647	8/10/2015
LNR405	B	2	S	2015	302	DA	55,000.00	JRNL	971		00JS0987	8/27/2015
LNR405	B	2	S	2015	302	DA	(55,000.00)	JRNL	972		00JS1175	9/4/2015
LNR405	B	2	S	2015	302	DA	(464.49)	JRNL	972		00JS1447	9/18/2015
LNR405	B	2	S	2015	302	DA	(13,285.32)	JRNL	972		00JS3279	12/17/2015
LNR405	B	2	S	2016	302	DA	100,000.00	JRNL	971		00JS3623	1/11/2016
LNR405	B	2	S	2016	302	DA	45,000.00	JRNL	971		00JS4165	2/3/2016
LNR405	B	2	S	2016	302	DA	45,000.00	JRNL	971		00JS4201	2/4/2016
LNR405	B	2	S	2016	302	DA	29,340.10	JRNL	971		00JS4240	2/8/2016
LNR405	B	2	S	2016	302	DA	45,000.00	JRNL	971		00JS4506	2/24/2016
LNR405	B	2	S	2016	302	DA	45,000.00	JRNL	971		00JS4662	3/3/2016
LNR405	B	2	S	2016	302	DA	45,000.00	JRNL	971		00JS4891	3/17/2016
LNR405	B	2	S	2016	302	DA	45,000.00	JRNL	971		00JS5106	3/30/2016
LNR405	B	2	S	2016	302	DA	45,000.00	JRNL	971		00JS5584	4/21/2016
LNR405	B	2	S	2016	302	DA	45,000.00	JRNL	971		00JS5645	4/25/2016
LNR405	B	2	S	2016	302	DA	90,000.00	JRNL	971		00JS5781	4/30/2016
LNR405	B	2	S	2016	302	DA	45,000.00	JRNL	971		00JS6206	5/25/2016
LNR405	B	2	S	2016	302	DA	35,000.00	JRNL	971		00JS6620	6/13/2016
LNR405	B	2	S	2016	302	DA	66,000.00	JRNL	971		00JS6936	6/24/2016
LNR405	B	2	S	2016	302	DA	150,000.00	JRNL	971		00JS0165	7/17/2015
LNR405	B	2	S	2016	302	DA	482.45	JRNL	971		00JS0199	7/20/2015
LNR405	B	2	S	2016	302	DA	174,261.45	JRNL	971		00JS0647	8/10/2015
LNR405	B	2	S	2016	302	DA	55,000.00	JRNL	971		00JS1175	9/4/2015
LNR405	B	2	S	2016	302	DA	55,000.00	JRNL	971		00JS1290	9/11/2015
LNR405	B	2	S	2016	302	DA	464.49	JRNL	971		00JS1447	9/18/2015
LNR405	B	2	S	2016	302	DA	55,000.00	JRNL	971		00JS1566	9/24/2015
LNR405	B	2	S	2016	302	DA	55,000.00	JRNL	971		00JS1648	9/28/2015
LNR405	B	2	S	2016	302	DA	55,000.00	JRNL	971		00JS1851	10/7/2015
LNR405	B	2	S	2016	302	DA	110,000.00	JRNL	971		00JS2679	11/16/2015
LNR405	B	2	S	2016	302	DA	110,000.00	JRNL	971		00JS2947	11/30/2015
LNR405	B	2	S	2016	302	DA	13,285.32	JRNL	971		00JS3279	12/17/2015
LNR405	B	2	S	2016	302	DA	(12,328.00)	JRNL	972	RISK MGT	00JM2643	12/18/2015
LNR405	B	2	S	2016	302	DA	55,000.00	JRNL	971		00JS3301	12/21/2015
							<u>1,563,672.00</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 141  
 Name of Fund: Water and Land Development  
 Legal Authority: Act 124, SLH 2016

Contact Name: Alyson Yim  
 Phone: 587-0259  
 Fund type (MOF): Special - B  
 Appropriation Acct. No.: S-303-C

**Intended Purpose:**

Operating special fund for geothermal/mineral resource management responsibilities and address public safety related to rockfalls or slope movement on lands under the jurisdiction of DLNR.

**Source of Revenues:**

Investment Pool proceeds; transfer of vacation earned with other departments.

**Current Program Activities/Allowable Expenses:**

Payroll, fringe benefits and operating expenses for program activities

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Requested increase to account for revised fringe benefit rate.

**Variances:**

Variance in expenditures is due to the anticipation of the division being fully staffed.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	606,041	613,103	702,663	709,916	709,916	710,000	710,000
Beginning Cash Balance	1,504,306	1,590,270	1,611,636	1,730,949	1,901,096	1,906,096	1,911,096
Revenues	12,054	4,676	7,640	4,040	5,000	5,000	5,000
Expenditures	328,857	568,276	587,876	485,409	709,916	710,000	710,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
	402,767	584,966	699,549	651,516	709,916	710,000	710,000
Net Total Transfers	402,767	584,966	699,549	651,516	709,916	710,000	710,000
Ending Cash Balance	1,590,270	1,611,636	1,730,949	1,901,096	1,906,096	1,911,096	1,916,096
Encumbrances	529,185	531,978	379,327	212,480	200,000	200,000	200,000
Unencumbered Cash Balance	1,061,085	1,079,658	1,351,622	1,688,616	1,706,096	1,711,096	1,716,096

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Accnt	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR141	B	2	S	2011	303	DO	(25.00)	JRNL	972		00JS0647	8/10/2015
LNR141	B	2	S	2014	303	DO	(25,329.29)	JRNL	972		00JS0647	8/10/2015
LNR141	B	2	S	2015	303	DO	(19,688.98)	JRNL	972		00JS4240	2/8/2016
LNR141	B	2	S	2015	303	DO	(300,000.00)	JRNL	972		00JS0090	7/10/2015
LNR141	B	2	S	2015	303	DO	(1,026,317.08)	JRNL	972		00JS0647	8/10/2015
LNR141	B	2	S	2016	303	DO	19,688.98	JRNL	971		00JS4240	2/8/2016
LNR141	B	2	S	2016	303	DO	300,000.00	JRNL	971		00JS0090	7/10/2015
LNR141	B	2	S	2016	303	DO	1,051,671.37	JRNL	971		00JS0647	8/10/2015
LNR141	B	2	S	2016	303	DO	354,958.00	JRNL	971		00JS0948	8/26/2015
LNR141	B	2	S	2016	303	DO	(55,686.00)	JRNL	972		00JS1446	9/18/2015
LNR141	B	2	S	2016	303	DO	354,958.00	JRNL	971		00JS3288	12/18/2015
LNR141	B	2	S	2016	303	DO	(2,714.00)	JRNL	972	RISK MGT	00JM2643	12/18/2015
							<u>651,516.00</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 806  
 Name of Fund: Park Development and Operation  
 Legal Authority: Administratively Established within Special Funds, 184-3.4 HRS  
Funded directly by 237D-6.5 HRS, as amended by Act 161 SLH 2013

Contact Name: Piikea Tomczyk  
 Phone: 7-0304  
 Fund type (MOF) Special - B  
 Appropriation Acct. No. S-305-C

Intended Purpose:

Act 120, SLH 2000, established the State Park Special Fund to maintain and operate the State Parks system and also authorized the transfer of unexpended balances from the 'Aina Ho'omalua Special Fund. Act 312, SLH 1991, originally established the 'Aina Ho'omalua Special Fund to collect State Parks' proceeds from park user fees or any leases or concession agreements to be used for the planning and development of interpretive programs.

Source of Revenues:

Camping entry and other park user fees, leases, and licensing.

Current Program Activities/Allowable Expenses:

To supplement the general funds for land-related repairs and maintenance of State Parks facilities. Also to establish interpretive programs to enhance park visitors' appreciation of Hawaii's unique cultural and natural resources.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Figures are based on budgeted expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,800,000	1,800,000	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000
Beginning Cash Balance	1,429,607	577,089	220,344	136,287	74,726	665,917	642,191
Revenues	904,188	1,298	780	281	2,000,850	2,001,850	2,001,850
Expenditures	1,756,706	358,043	84,837	61,842	1,409,659	2,025,576	2,025,576
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
					0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	577,089	220,344	136,287	74,726	665,917	642,191	618,465
Encumbrances	253,531	94,442	31,343	26,360	250,000	250,000	250,000
Unencumbered Cash Balance	323,558	125,902	104,944	48,366	415,917	392,191	368,465

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 804  
 Name of Fund: Na Ala Hele Program  
 Legal Authority: Act 200, SLH 2003

Contact Name: Aaron Lowe  
 Phone: 587-4175  
 Fund type (MOF): Special - B  
 Appropriation Acct. No.: S-306-C

**Intended Purpose:**

This fund was established by Act 67, SLH 1988, to collect proceeds from hunting license fees, law violation fines, hunter training fees and charges for use of public target ranges. Authorized expenditures include matching funds for federal grants-in-aid.

**Source of Revenues:**

Funds collected via the Highway Fuel Tax under Chapter 243, Hawaii Revised Statutes (HRS), to implement the Hawaii Statewide Trail and Access Program (Na Ala Hele) and transfer of \$100,000 from HTA pursuant to Act 250, SLH 2002.

**Current Program Activities/Allowable Expenses:**

Activities include the planning, developing, acquiring land or rights for public use of land, constructing, restoring, engaging in coordination activities and managing the trails and trail access system.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

The variance in expenditures is due to the non-receipt of HTA funds.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	692,766	1,012,912	1,207,912	1,082,471	1,098,414	1,098,414	1,098,414
Beginning Cash Balance	573,804	442,500	46,226	437,150	119,623	69,623	44,623
Revenues	414,740	304,667	272,730	283,077	300,000	300,000	300,000
Expenditures	543,218	697,841	629,085	845,051	600,000	575,000	575,000
Transfers							
List each net transfer in/out/ or in projection in/out; list wach account number							
	(2,826)	(3,100)	747,279	244,447	250,000	250,000	250,000
Net Total Transfers	(2,826)	(3,100)	747,279	244,447	250,000	250,000	250,000
Ending Cash Balance	442,500	46,226	437,150	119,623	69,623	44,623	19,623
Encumbrances	81,956	25,442	263,127	100,868	50,000	50,000	50,000
Unencumbered Cash Balance	360,544	20,785	174,023	18,755	19,623	(5,377)	(30,377)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Accnt	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR804	B	2	S	2014	306	DA	(4,491.43)	JRNL	972		00JS0647	8/10/2015
LNR804	B	2	S	2015	306	DA	(1,723.50)	JRNL	972		00JS4240	2/8/2016
LNR804	B	2	S	2015	306	DA	(40,000.00)	JRNL	972		00JS0090	7/10/2015
LNR804	B	2	S	2015	306	DA	(129,533.41)	JRNL	972		00JS0647	8/10/2015
LNR804	B	2	S	2016	306	DA	1,723.50	JRNL	971		00JS4240	2/8/2016
LNR804	B	2	S	2016	306	DA	250,000.00	JRNL	971		00JS4831	3/14/2016
LNR804	B	2	S	2016	306	DA	40,000.00	JRNL	971		00JS0090	7/10/2015
LNR804	B	2	S	2016	306	DA	134,024.84	JRNL	971		00JS0647	8/10/2015
LNR804	B	2	S	2016	306	DA	(5,553.00)	JRNL	972	RISK MGT	00JM2643	12/18/2015
							<u>244,447.00</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 906  
 Name of Fund: LNR - Natural Physical Environment  
 Legal Authority: Act 200, SLH 2003

Contact Name: Grace Teves  
 Phone: 587-0335  
 Fund type (MOF): Special - B  
 Appropriation Acct. No.: S-308-C

**Intended Purpose:**

This fund was administratively established to account for risk management costs imposed on special funds; it is also the operating account for position funded by special funds.

**Source of Revenues:**

Transfer from various Special fund accounts and administrative fees collected from enforcement activities under Civil Resource Violations System.

**Current Program Activities/Allowable Expenses:**

Personal services and other costs associated with special funded positions and risk management costs imposed on special funds

**Purpose of Proposed Ceiling Adjustment (if applicable):**

To cover payroll increase for position requested to be funded under LNR 906

**Variances:**

No significant changes

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,018,713	1,082,431	1,174,291	1,431,618	1,663,511	1,663,511	1,663,511
Beginning Cash Balance	638,490	660,421	610,349	606,703	664,153	700,153	736,153
Revenues	47,367	40,538	39,755	55,046	56,000	56,000	56,000
Expenditures	892,457	922,248	1,213,404	1,027,324	1,020,000	1,020,000	1,020,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list	867,021	831,638	1,170,003	1,029,728	1,000,000	1,000,000	1,000,000
Net Total Transfers	867,021	831,638	1,170,003	1,029,728	1,000,000	1,000,000	1,000,000
Ending Cash Balance	660,421	610,349	606,703	664,153	700,153	736,153	772,153
Encumbrances	10,779	11,116	2,583	35,868	30,000	30,000	30,000
Unencumbered Cash Balance	649,642	599,233	604,120	628,285	670,153	706,153	742,153

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**

for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Acct	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR906	B	2	S	2014	308	DA	(4,825.56)	JRNL	972		00JS0647	8/10/2015
LNR906	B	2	S	2015	308	DA	(982.83)	JRNL	972		00JS4240	2/8/2016
LNR906	B	2	S	2015	308	DA	(200,000.00)	JRNL	972		00JS0090	7/10/2015
LNR906	B	2	S	2015	308	DA	(399,292.66)	JRNL	972		00JS0647	8/10/2015
LNR906	B	2	S	2016	308	DA	982.83	JRNL	971		00JS4240	2/8/2016
LNR906	B	2	S	2016	308	DA	200,000.00	JRNL	971		00JS0090	7/10/2015
LNR906	B	2	S	2016	308	DA	404,118.22	JRNL	971		00JS0647	8/10/2015
LNR906	B	2	S	2016	308	DA	192,867.00	JRNL	971		00JS0948	8/26/2015
LNR906	B	2	S	2016	308	DA	754,937.00	JRNL	971		00JS1446	9/18/2015
LNR906	B	2	S	2016	308	DA	81,924.00	JRNL	971	RISK MGT	00JM2643	12/18/2015
							<u>1,029,728.00</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 111  
 Name of Fund: Bureau of Conveyances Special Fund  
 Legal Authority: Section 502-8, HRS

Contact Name: Kelsi Nishida  
 Phone: 808-587-0154  
 Fund type (MOF) Special - B  
 Appropriation Acct. No. S-309-C

**Intended Purpose:**

This fund was established to pay for the daily operating expense of the Bureau of Conveyances (BOC) and allows for the continual improvement of services to the public through the implementation of an electronic land records system, the ongoing digitization and preservation of our physical records, increasing efficiencies with workflow optimization and the forward planning and implementation for disaster recovery contingencies.

**Source of Revenues:**

Monies received from recording fees, miscellaneous service fees and legislatively mandated "transaction fees" on Regular System recordings only.

**Current Program Activities/Allowable Expenses:**

Activities include planning, design, equipment/software acquisition and systems implementation as well as staffing, training and workflow improvements and supporting the digitization, preservation and accessibility of all land records under the BOC's responsibility.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Continue with the digitization, preservation and access to the public land records, improve and preserve the physical records and facility as a business/cultural resource and to implement plans that streamline the Land Court certification workflow.

**Variances:**

The large increase variance in revenues between FY2017 and FY2018 is due to the implementation of the increased recording fees. The increase is anticipated to become effective at the end of FY2017, with FY2018 being the first full year of collecting the increased recording fees. This also assumes the continuation of the legislatively mandated "transaction fees" that would be expanded to both Regular System and Land Court recordings if adopted in the 2017 Legislative Session.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,646,939	4,779,966	5,004,966	5,763,443	6,026,606	6,546,796	7,124,067
Beginning Cash Balance	2,109,997	2,769,768	2,592,162	2,066,398	785,871	214,734	1,550,042
Revenues	4,836,318	4,278,117	4,115,138	4,292,920	5,082,914	8,019,549	8,019,549
Expenditures	4,253,774	4,382,342	4,528,239	5,454,424	5,526,606	6,546,796	7,124,067
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list	77,227	(73,381)	(112,663)	(119,023)	(127,445)	(137,445)	(147,445)
Net Total Transfers	77,227	(73,381)	(112,663)	(119,023)	(127,445)	(137,445)	(147,445)
Ending Cash Balance	2,769,768	2,592,162	2,066,398	785,871	214,734	1,550,042	2,298,079
Encumbrances	145,095	291,671	738,268	901,077		500,000	1,000,000
Unencumbered Cash Balance	2,624,673	2,300,491	1,328,130	(115,206)	214,734	1,050,042	1,298,079

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Accnt	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR111	B	2	S	2014	309	AK	(63,855.52)	JRNL	972		00JS0647	8/10/2015
LNR111	B	2	S	2015	309	AK	(39,082.00)	JRNL	972		00JS4240	2/8/2016
LNR111	B	2	S	2015	309	AK	43,943.00	JRNL	971		00JS6771	6/16/2016
LNR111	B	2	S	2015	309	AK	(500,000.00)	JRNL	972		00JS0090	7/10/2015
LNR111	B	2	S	2015	309	AK	(978,780.10)	JRNL	972		00JS0647	8/10/2015
LNR111	B	2	S	2015	309	AK	(4,332.75)	JRNL	972		00JS1107	8/31/2015
LNR111	B	2	S	2015	309	AK	(17,570.73)	JRNL	972		00JS2860	11/24/2015
LNR111	B	2	S	2015	309	AK	(36,545.50)	JRNL	972		00JS3279	12/17/2015
LNR111	B	2	S	2016	309	AK	39,082.00	JRNL	971		00JS4240	2/8/2016
LNR111	B	2	S	2016	309	AK	(43,943.00)	JRNL	972		00JS6771	6/16/2016
LNR111	B	2	S	2016	309	AK	500,000.00	JRNL	971		00JS0090	7/10/2015
LNR111	B	2	S	2016	309	AK	1,042,635.62	JRNL	971		00JS0647	8/10/2015
LNR111	B	2	S	2016	309	AK	4,332.75	JRNL	971		00JS1107	8/31/2015
LNR111	B	2	S	2016	309	AK	(117,859.00)	JRNL	972		00JS1446	9/18/2015
LNR111	B	2	S	2016	309	AK	17,570.73	JRNL	971		00JS2860	11/24/2015
LNR111	B	2	S	2016	309	AK	36,545.50	JRNL	971		00JS3279	12/17/2015
LNR111	B	2	S	2016	309	AK	(1,164.00)	JRNL	972	RISK MGT TRANSF	00JM2643	12/18/2015
							<u>(119,023.00)</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 806  
 Name of Fund: State Parks Special Funds  
 Legal Authority: Section 184-3.4, HRS

Contact Name: Piikea Tomczyk  
 Phone: 7-0304  
 Fund type (MOF): Special - B  
 Appropriation Acct. No. S-312, S-336, S-350-C

Intended Purpose:

Act 120, SLH 2000, established the State Park Special Fund to maintain and operate the State Parks system and also authorized the transfer of unexpended balances from the 'Aina Ho'omalulu Special Fund. Act 312, SLH 1991, originally established the 'Aina Ho'omalulu Special Fund to collect State Parks' proceeds from park user fees or any leases or concession agreements to be used for the planning and development of interpretive programs.

Source of Revenues:

Camping entry and other park user fees, leases, and licensing.

Current Program Activities/Allowable Expenses:

To supplement the general funds for land-related repairs and maintenance of State Parks facilities. Also to establish interpretive programs to enhance park visitors' appreciation of Hawaii's unique cultural and natural resources.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

the Beginning Cash Balance, Revenues and Transfers figures are updated to reflect DSP actual numbers

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,586,491	5,189,444	5,561,885	6,475,547	6,589,427	6,563,662	6,563,662
Beginning Cash Balance	1,484,716	1,921,068	2,424,364	1,775,082	1,446,791	1,605,055	1,601,319
Revenues	4,090,236	4,521,880	4,155,579	4,986,318	4,500,000	4,500,000	4,500,000
Expenditures	4,321,650	4,714,215	5,241,628	5,143,973	4,187,972	4,341,736	4,341,736
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	667,766	695,631	436,767	(115,501)	(153,764)	(162,000)	(162,000)
Net Total Transfers	667,766	695,631	436,767	(115,501)	(153,764)	(162,000)	(162,000)
Ending Cash Balance	1,921,068	2,424,364	1,775,082	1,501,926	1,605,055	1,601,319	1,597,583
Encumbrances	649,736	411,315	698,189	263,867	265,000	270,000	270,000
Unencumbered Cash Balance	1,271,332	2,013,049	1,076,893	1,238,059	1,340,055	1,331,319	1,327,583

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Accnt	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR807	B	2	S	2004	312	KEA	(21,687.98)	JRNL	972		00JS2860	11/24/2015
LNR807	B	2	S	2005	312	KEA	(16,530.42)	JRNL	972		00JS2860	11/24/2015
LNR807	B	2	S	2006	312	KEA	(45.00)	JRNL	972		00JS2860	11/24/2015
LNR806	B	2	S	2014	312	KEA	(19,686.91)	JRNL	972		00JS0647	8/10/2015
LNR806	B	2	S	2015	312	KEA	(5,551.28)	JRNL	972		00JS4240	2/8/2016
LNR806	B	2	S	2015	312	KEA	(1,667.74)	JRNL	972		00JS6771	6/16/2016
LNR806	B	2	S	2015	312	KEA	(400,000.00)	JRNL	972		00JS0090	7/10/2015
LNR806	B	2	S	2015	312	KEA	(600,193.09)	JRNL	972		00JS0647	8/10/2015
LNR806	B	2	S	2015	312	KEA	(12,992.19)	JRNL	972		00JS1107	8/31/2015
LNR806	B	2	S	2015	312	KEA	(3,696.41)	JRNL	972		00JS2860	11/24/2015
LNR806	B	2	S	2016	312	KEA	5,551.28	JRNL	971		00JS4240	2/8/2016
LNR806	B	2	S	2016	312	KEA	1,667.74	JRNL	971		00JS6771	6/16/2016
LNR806	B	2	S	2016	312	KEA	400,000.00	JRNL	971		00JS0090	7/10/2015
LNR806	B	2	S	2016	312	KEA	619,880.00	JRNL	971		00JS0647	8/10/2015
LNR806	B	2	S	2016	312	KEA	12,992.19	JRNL	971		00JS1107	8/31/2015
LNR806	B	2	S	2016	312	KEA	(116,285.00)	JRNL	972		00JS1446	9/18/2015
LNR806	B	2	S	2016	312	KEA	41,959.81	JRNL	971		00JS2860	11/24/2015
LNR806	B	2	S	2016	312	KEA	(37,479.00)	JRNL	972	RISK MGT T	00JM2643	12/18/2015
							<u>(153,764.00)</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 153  
 Name of Fund: Commercial Fisheries Special Fund  
 Legal Authority: Chapter 171-HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF) Special - B  
 Appropriation Acct. No. S-313

**Intended Purpose:**

Act 220, SLH 1996, established the Commercial Fisheries Special Fund to develop and conduct programs and activities for projects concerning aquatic life used for commercial purposes. Revenues from commercial fishing licenses, permits, fees, etc., are deposited into this account. Act121, SLH 2000, permanently established the Commercial Fisheries Special Fund for the management and conservation of aquatic life used for commercial purposes.

**Source of Revenues:**

All fees collected from the sale of commercial fishing licenses and other permits related to the commercial use of aquatic resources and its investment pool.

**Current Program Activities/Allowable Expenses:**

Developing and conducting resource monitoring programs and studies to determine sustainable use of aquatic life for commercial purposes. Also, to implement research programs and activities concerning the conservation and management of aquatic life for commercial purposes.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Ceiling change to reflect correction of a position funded by the special fund returning to LNR 153

**Variations:**

Significant increases expected for revenues and expenditures as higher commercial marine license fees implemented in FY 18 and FY 19.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	302,235	303,474	641,399	306,750	346,163	346,163	346,163
Beginning Cash Balance	720,152	750,585	819,288	574,137	389,008	389,008	389,008
Revenues	265,703	335,135	292,335	249,157	150,000	250,000	350,000
Expenditures	205,384	237,044	481,937	296,345	150,000	250,000	350,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
	(29,886)	(29,388)	(55,549)	(137,941)	0	0	0
Net Total Transfers	(29,886)	(29,388)	(55,549)	(137,941)	0	0	0
Ending Cash Balance	750,585	819,288	574,137	389,008	389,008	389,008	389,008
Encumbrances	132,965	148,014	212,544	133,992	100,000	100,000	100,000
Unencumbered Cash Balance	617,620	671,274	361,593	255,016	289,008	289,008	289,008

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**

for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Acct	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR141	B	2	S	2011	303	DO	(25.00)	JRNL	972		00JS0647	8/10/2015
LNR141	B	2	S	2014	303	DO	(25,329.29)	JRNL	972		00JS0647	8/10/2015
LNR141	B	2	S	2015	303	DO	(19,688.98)	JRNL	972		00JS4240	2/8/2016
LNR141	B	2	S	2015	303	DO	(300,000.00)	JRNL	972		00JS0090	7/10/2015
LNR141	B	2	S	2015	303	DO	(1,026,317.08)	JRNL	972		00JS0647	8/10/2015
LNR141	B	2	S	2016	303	DO	19,688.98	JRNL	971		00JS4240	2/8/2016
LNR141	B	2	S	2016	303	DO	300,000.00	JRNL	971		00JS0090	7/10/2015
LNR141	B	2	S	2016	303	DO	1,051,671.37	JRNL	971		00JS0647	8/10/2015
LNR141	B	2	S	2016	303	DO	354,958.00	JRNL	971		00JS0948	8/26/2015
LNR141	B	2	S	2016	303	DO	(55,686.00)	JRNL	972		00JS1446	9/18/2015
LNR141	B	2	S	2016	303	DO	354,958.00	JRNL	971		00JS3288	12/18/2015
LNR141	B	2	S	2016	303	DO	(2,714.00)	JRNL	972	RISK MGT 1	00JM2643	12/18/2015
							<u>651,516.00</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 402  
 Name of Fund: Forest and Wildlife Resources  
 Legal Authority: Act 200, SLH 2003

Contact Name: James Cogswell  
 Phone: 587-4187  
 Fund type (MOF) Special - B  
 Appropriation Acct. No. S-314-C

**Intended Purpose:**

This fund was administratively established to receive funds from the Special Land and Development Fund to develop a rapid response capacity within DLNR to address invasive species on public lands.

**Source of Revenues:**

Legislative appropriations for invasive species programs, management of native and endangered wildlife, and as match for federal natural resource conservation grants.

**Current Program Activities/Allowable Expenses:**

Coordinating efforts with the Invasive Species Committees (ISC) on addressing issues and activities to mitigate and eradicate invasive

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

**NO CEILING EFFECTIVE FY 2016**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,405,525	3,405,749	3,405,749	0			
Beginning Cash Balance	2,376,569	3,211,784	3,192,073	2,773,087	845,333	845,333	845,333
Revenues	9,755	18,797	14,117	12,909			
Expenditures	3,015,208	3,604,172	3,102,874	1,940,663			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	3,840,668	3,565,664	2,669,771				
Net Total Transfers	3,840,668	3,565,664	2,669,771	0	0	0	0
Ending Cash Balance	3,211,784	3,192,073	2,773,087	845,333	845,333	845,333	845,333
Encumbrances	2,608,241	2,094,942	2,106,456	89,263	0	0	0
Unencumbered Cash Balance	603,543	1,097,131	666,631	756,070	845,333	845,333	845,333

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 101  
 Name of Fund: Special Land and Development Fund  
 Legal Authority: Section 171-19, HRA

Contact Name: Russell Y. Tsuji  
 Phone: 587-0422  
 Fund type (MOF) Special - B  
 Appropriation Acct. No. S-316. S-318

**Intended Purpose:**

To fund the operations of the Land Management Division and to assist with funding for the operations and maintenance of public lands under the management jurisdiction of the Board and Department of Land and Natural Resources.

**Source of Revenues:**

Primary sources of funding for the Special Land and Development Fund include rents from leases and land dispositions of the Land Management Division.

**Current Program Activities/Allowable Expenses:**

Key activities include sales in fee, sale of general leases, issuance of revocable permits and the maintenance of public lands.

Purpose of Proposed Ceiling Adjustment (if applicable):

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,903,267	7,061,341	8,469,163	9,223,150	10,549,738	10,549,738	10,549,738
Beginning Cash Balance	9,503,296	10,506,869	13,791,611	12,221,047	13,653,067	12,161,067	13,591,067
Revenues	13,123,746	13,382,396	12,313,732	12,378,399	12,378,000	15,300,000	15,300,000
Expenditures	5,903,806	6,223,491	6,586,987	6,676,145	9,600,000	9,600,000	9,600,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
	(6,216,367)	(3,874,163)	(7,297,309)	(4,270,234)	(4,270,000)	(4,270,000)	(4,270,000)
Net Total Transfers	(6,216,367)	(3,874,163)	(7,297,309)	(4,270,234)	(4,270,000)	(4,270,000)	(4,270,000)
Ending Cash Balance	10,506,869	13,791,611	12,221,047	13,653,067	12,161,067	13,591,067	15,021,067
Encumbrances	974,058	987,067	1,350,219	3,180,527	3,300,000	3,300,000	3,500,000
Unencumbered Cash Balance	9,532,811	12,804,544	10,870,828	10,472,540	8,861,067	10,291,067	11,521,067

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Accnt	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR101	B	2	S	2014	316	DA	(107,005.94)	JRNL	972		00JS0647	8/10/2015
LNR101	B	2	S	2015	316	DA	(4,000,000.00)	JRNL	972		00JS0090	7/10/2015
LNR101	B	2	S	2015	316	DA	(5,780,214.85)	JRNL	972		00JS0647	8/10/2015
LNR101	B	2	S	2015	316	DA	(23,068.03)	JRNL	972		00JS1107	8/31/2015
LNR101	B	2	S	2015	316	DA	(3,293.20)	JRNL	972		00JS1447	9/18/2015
LNR101	B	2	S	2016	316	DA	(250,000.00)	JRNL	972		00JS4831	3/14/2016
LNR101	B	2	S	2016	316	DA	4,000,000.00	JRNL	971		00JS0090	7/10/2015
LNR101	B	2	S	2016	316	DA	5,887,220.79	JRNL	971		00JS0647	8/10/2015
LNR101	B	2	S	2016	316	DA	(957,550.00)	JRNL	972		00JS0948	8/26/2015
LNR101	B	2	S	2016	316	DA	23,068.03	JRNL	971		00JS1107	8/31/2015
LNR101	B	2	S	2016	316	DA	3,293.20	JRNL	971		00JS1447	9/18/2015
LNR101	B	2	S	2016	316	DA	(1,025,897.00)	JRNL	972		00JS1445	9/18/2015
LNR101	B	2	S	2016	316	DA	(134,340.00)	JRNL	972		00JS1446	9/18/2015
LNR101	B	2	S	2016	316	DA	(1,869.00)	JRNL	972	RISK MGT	00JM2643	12/18/2015
LNR101	B	2	S	2016	316	DA	(1,900,578.00)	JRNL	972		00JS3288	12/18/2015
							<u>(4,270,234.00)</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 101  
 Name of Fund: Land Conservation Fund  
 Legal Authority: HRS, Chapter 173A

Contact Name: Emma Yuen  
 Phone: 587-4170  
 Fund type (MOF): Special - B  
 Appropriation Acct. No. S-317-C

**Intended Purpose:**

To provide funding to county agencies, state agencies, and non-profit organizations for the acquisition (interests in fee simple or conservation easement) of lands having value as a resource to the State.

**Source of Revenues:**

Each fiscal year, ten percent or \$6,800,000, whichever is less, of the State real property Conveyance Tax, shall be paid into the land conservation fund pursuant to section 173A-5.

**Current Program Activities/Allowable Expenses:**

- (1) The acquisition of interests or rights in land having value as a resource to the State, whether in fee title or through the establishment of permanent conservation easements under chapter 198 or agricultural easements;
- (2) The payment of any debt service on state financial instruments relating to the acquisition of interests or rights in land having value as a resource to the State;
- (3) Annual administration costs for the fund, not to exceed five per cent of annual fund revenues of the previous year[; and]
- (4) Costs related to the operation, maintenance, and management of lands acquired by way of this fund that are necessary to protect, maintain, or restore resources at risk on these lands, or that provide for greater public access and enjoyment of these lands; provided that the costs related to the operation, maintenance, and management of lands acquired by way of this fund do not exceed five per cent of annual fund revenues of the previous year.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Two separate ceiling adjustments are proposed for FY18-19: 1) A one-time increase of \$2,228,250 in FY18. Due to staff turnover, the blanket encumbrance of FY15 was not contracted before the fiscal year deadline, and an allotment of \$2,228,250 was lost. These funds have already been awarded and contracts have been drafted but need this ceiling increase to be funded. 2) A recurring increase of \$1,700,000. This request seeks to make the spending ceiling equal the revenues of the LCF. This is fiscally responsible because of the existing cash balance in the fund, as well as anticipated future revenues providing \$6.8m per year.

**Variances:**

Appropriation ceiling varies in future fiscal years because DLNR is requesting a recurring \$1,700,000 ceiling increase through FY18-19, as well as an additional one-time ceiling increase of \$2,228,250 for FY18. Expenditures for FY17 are based on a completed transaction of \$192,750 for the Kalauao acquisition, estimated B&F central service fees and administrative charges of \$609,644 and a \$1,500,000 debt service payment for the Turtle Bay acquisition. The FY18 and 19 expenditures are based on the \$609,644 administrative charges and \$1,500,000 debt service payment, plus the projected encumbrances of the prior fiscal year. Encumbrances in FY17-19 are based on the ceiling minus the projected expenditures of each fiscal year.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,104,280	5,109,644	5,019,644	5,147,006	5,109,644	9,037,894	6,809,644
Beginning Cash Balance	9,977,742	10,589,433	16,278,836	22,415,656	19,429,704	23,927,310	25,810,416
Revenues	5,464,166	7,515,186	7,975,148	6,742,309	6,800,000	6,800,000	6,800,000
Expenditures	4,216,579	1,805,783	1,869,784	9,728,261	2,302,394	4,916,894	6,230,644
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
	(635,896)	(20,000)	31,456	0	0	0	0
Net Total Transfers	(635,896)	(20,000)	31,456	0	0	0	0
Ending Cash Balance	10,589,433	16,278,836	22,415,656	19,429,704	23,927,310	25,810,416	26,379,772
Encumbrances	7,244,967	9,520,997	11,554,163	4,695,000	2,807,250	4,121,000	579,000
Unencumbered Cash Balance	3,344,466	6,757,839	10,861,493	14,734,704	21,120,060	21,689,416	25,800,772

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 402  
 Name of Fund: Forest and Wildlife Resources  
 Legal Authority Act 164, SLH 2011 as amended by Act 106, SLH 2012

Contact Name: James Cogswell  
 Phone: 587-4187  
 Fund type (MOF) Interdepartmental - (U)  
 Appropriation Acct. No. S-16-320-C

Intended Purpose:

This fund is necessary in order to meet the directive and objectives of the Governor's Emergency Proclamation of April 14, 2011.

Source of Revenues:

Transfer funds from DOT and other sources.

Current Program Activities/Allowable Expenses:

Translocation of nene birds from the vicinity of Lihue Airport, Kauai.

Purpose of Proposed Ceiling Increase (if applicable):

Intended Purpose:

**Account closed - Emergency proclamation ended 4/25/16**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	800,000	1,500,000	1,500,000	1,846,262			
Beginning Cash Balance	0	566,667	630,974	402,328	368,528	368,528	368,528
Revenues	1,200,000	800,533	627,741	621,826			
Expenditures	633,333	736,226	856,387	655,626			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	566,667	630,974	402,328	368,528	368,528	368,528	368,528
Encumbrances	35,030	140,226	16,206	9,387	0	0	0
Unencumbered Cash Balance	531,637	490,748	386,122	359,141	368,528	368,528	368,528

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 802  
 Name of Fund: Hawaii Historic Preservation Special Fund  
 Legal Authority: Section 6E-16, HRS

Contact Name: Randolph M. K. Lee III  
 Phone: 692-8033  
 Fund type (MOF): Special - B  
 Appropriation Acct. No.: S-321-C

**Intended Purpose:**

This fund was established to account for the fees charged to partially defray the costs of administering sections 6E-3 (13), 6E-8, and 6E-42, as well as the receipt of gifts, grants, and other funding from public and private agencies involved in historic preservation.

**Source of Revenues:**

Appropriations, concession, donations, fines, interest earned, leases, review of filings and permits, and user fees.

**Current Program Activities/Allowable Expenses:**

Replenish goods, produce public information materials, financial assistance to public and private agencies, as well as the employment of professional and technical staff for the purposes of chapter 6E.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Expenditure increase in FY 2016 due to expenditures from S-209 being transferred into S-321. The two positions authorized in Act 119, SLH 2015 are vacant and are anticipated to filled.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	146,124	77,283	59,783	264,316	318,030	318,030	318,030
Beginning Cash Balance	95,704	63,539	90,982	140,029	3,985	28,985	53,985
Revenues	45,280	75,541	75,684	73,827	75,000	75,000	180,000
Expenditures	76,380	47,182	23,638	208,582	50,000	50,000	131,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
00JM2643, 12/18/15	(1,065)	(916)	(2,999)	(1,289)	0	0	0
Net Total Transfers	(1,065)	(916)	(2,999)	(1,289)	0	0	0
Ending Cash Balance	63,539	90,982	140,029	3,985	28,985	53,985	102,985
Encumbrances	0	0	3,679	5,053	25,000	25,000	25,000
Unencumbered Cash Balance	63,539	90,982	136,350	(1,068)	3,985	28,985	77,985

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 810  
 Name of Fund: Prevention of Natural Disasters  
 Legal Authority: Act 134, SLH 2013

Contact Name: Alyson Yim  
 Phone: 587-0259  
 Fund type (MOF) Special - B  
 Appropriation Acct. No. S-323-C

**Intended Purpose:**

Operating special fund for LNR 810 program

**Source of Revenues:**

Investment Pool proceeds; transfer of vacation earned with other departments.

**Current Program Activities/Allowable Expenses:**

Payroll, fringe benefits and operating expenses for program activities

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Requested increase to account for revised fringe benefit rate.

**Variances:**

FY 16 revenues include vacation transfers from other agencies, projected revenue to be received will be coming from interest earned.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	733,971	795,158	702,314	819,450	839,896	840,000	840,000
Beginning Cash Balance	280,556	203,182	213,055	188,743	263,179	284,075	305,075
Revenues	11,187	907	1,371	4,448	1,000	1,000	1,000
Expenditures	766,992	753,168	694,761	749,462	820,000	820,000	820,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list	678,431	762,134	669,078	819,450	839,896	840,000	840,000
Net Total Transfers	678,431	762,134	669,078	819,450	839,896	840,000	840,000
Ending Cash Balance	203,182	213,055	188,743	263,179	284,075	305,075	326,075
Encumbrances	3,078			601	0	0	0
Unencumbered Cash Balance	200,104	213,055	188,743	262,578	284,075	305,075	326,075

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Acnt	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR810	B	2	S	2015	323	BOL	(147.76)	JRNL	972		00JS4240	2/8/2016
LNR810	B	2	S	2015	323	BOL	(100,000.00)	JRNL	972		00JS0128	7/14/2015
LNR810	B	2	S	2015	323	BOL	(87,921.96)	JRNL	972		00JS0647	8/10/2015
LNR810	B	2	S	2016	323	BOL	147.76	JRNL	971		00JS4240	2/8/2016
LNR810	B	2	S	2016	323	BOL	100,000.00	JRNL	971		00JS0128	7/14/2015
LNR810	B	2	S	2016	323	BOL	87,921.96	JRNL	971		00JS0647	8/10/2015
LNR810	B	2	S	2016	323	BOL	409,725.00	JRNL	971		00JS0948	8/26/2015
LNR810	B	2	S	2016	323	BOL	409,725.00	JRNL	971		00JS3288	12/18/2015
							<u>819,450.00</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 101  
 Name of Fund: Beach Restoration Special Fund  
 Legal Authority: Section 171-156, HRS, Act 84, SLH 1999

Contact Name: Sam Lemmo  
 Phone: 587-0377  
 Fund type (MOF): Special - B  
 Appropriation Acct. No.: S-325-C

**Intended Purpose:**

Act 84, SLH 1999 established this fund to provide for the restoration of public beach lands in those instances in which such restoration is considered to benefit the people of the State.

**Source of Revenues:**

Monies received from lease or development of public lands; fines for unauthorized shoreline structures, donations, fees for processing applications, and grants. Funds also received from the Tourism Accomodations Tax.

**Current Program Activities/Allowable Expenses:**

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Revenues increased due to receipt of \$600,000 per year from Tourism Accomodations Tax. This will result in an increase in expenditures related to beach restoration or maintenance projects as well as acquisition of data related to beach protection.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	260,000	260,000	600,000	600,000	1,600,000	1,600,000	1,600,000
Beginning Cash Balance	693,551	1,110,151	1,498,883	1,189,382	1,143,028	1,043,028	943,028
Revenues	199,207	542,865	403,811	166,524	900,000	900,000	900,000
Expenditures	182,607	154,133	311,312	212,878	1,000,000	1,000,000	1,000,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
	400,000		(402,000)				
Net Total Transfers	400,000	0	(402,000)	0	0	0	0
Ending Cash Balance	1,110,151	1,498,883	1,189,382	1,143,028	1,043,028	943,028	843,028
Encumbrances	100,029	152,162	186,246	145,162	200,000	200,000	200,000
Unencumbered Cash Balance	1,010,122	1,346,721	1,003,136	997,866	843,028	743,028	643,028

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 404  
 Name of Fund: Water Resource Management Fund  
 Legal Authority: Section 174C-5.5, HRS

Contact Name: Michael Yoshinaga  
 Phone: 587-0241  
 Fund type (MOF): Special - B  
 Appropriation Acct. No.: S-326

**Intended Purpose:**

Act 200, SLH 2000 established the Water Resource Management Fund, to be used for the following: 1) Monitoring program and activities concerning water resource quality, protection and management, 2) Research programs and activities concerning water conservation and investigation of alternative sources of water, 3) Preparation and dissemination of information to the public concerning activities authorized under Chapter 174, HRS, 4) Data collection, development and update of long-range planning documents, and 5) Any other protection, management, operational or maintenance function authorized and deemed necessary by the Commission on Water Resource Management. From FY 02, funds for the Special Land and Development Fund (S-304-C) have been deposited into the Water Resource Management Fund as needed, to revise and update the Hawaii Water Plan.

**Source of Revenues:**

Water permits filling fees, fines and penalties, copying costs, 25% of fees derived from water licenses.

**Current Program Activities/Allowable Expenses:**

Monitoring program activities, research program and activities, plan and studies, data collection.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

To cover CB pay raises (FY 2017) and an increase to the fringe benefit assessment rate (FY 2018).

**Variances:**

Variances in Appropriation Ceiling for FY 2016 (actual) and FYs 2017-2019 (estimated) due to transferring funds from S-326 into S-354.

Variances in Expenditures for FY 2016 (actual) and FYs 2017-2019 (estimated) due to transferring funds from S-326 into S-354.

Variances in Transfers for FY 2016 (actual) and FYs 2017-2019 (estimated) due to transferring funds from S-326 to S-354.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	479,749	691,818	866,878	978,575	356,474	311,617	311,617
Beginning Cash Balance	1,457,956	1,577,582	1,454,062	1,468,833	1,242,796	320,179	(602,438)
Revenues	61,142	61,858	67,249	66,132	66,000	66,000	66,000
Expenditures	407,800	492,905	511,831	245,500	356,474	311,617	311,617
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
	466,284	307,527	459,353	(46,669)	(632,143)	(677,000)	(677,000)
Net Total Transfers	466,284	307,527	459,353	(46,669)	(632,143)	(677,000)	(677,000)
Ending Cash Balance	1,577,582	1,454,062	1,468,833	1,242,796	320,179	(602,438)	(1,525,055)
Encumbrances	149,500	343,866	497,814	451,056			
Unencumbered Cash Balance	1,428,082	1,110,196	971,019	791,740	320,179	(602,438)	(1,525,055)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Acnt	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR404	B	2	S	2014	326	DL	(48,765.90)	JRNL	972		00JS0651	8/11/2015
LNR404	B	2	S	2014	326	DL	(2,399.00)	JRNL	972		00JS1447	9/18/2015
LNR404	B	2	S	2015	326	DL	(300,000.00)	JRNL	972		00JS0128	7/14/2015
LNR404	B	2	S	2015	326	DL	(622,253.27)	JRNL	972		00JS0651	8/11/2015
LNR404	B	2	S	2016	326	DL	300,000.00	JRNL	971		00JS0128	7/14/2015
LNR404	B	2	S	2016	326	DL	671,019.17	JRNL	971		00JS0651	8/11/2015
LNR404	B	2	S	2016	326	DL	2,399.00	JRNL	971		00JS1447	9/18/2015
LNR404	B	2	S	2016	326	DL	(46,104.00)	JRNL	972		00JS1446	9/18/2015
LNR404	B	2	S	2016	326	DL	(565.00)	JRNL	972	RISK MGT	00JM2643	12/18/2015
							<u>(46,669.00)</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 810  
 Name of Fund: Dam and Reservoir Safety Special Fund (DRSSF)  
 Legal Authority: Act 262, SLH 2007

Contact Name: Alyson Yim  
 Phone: 587-0259  
 Fund type (MOF): Special - B  
 Appropriation Acct. No. S-328-C

Intended Purpose:  
 Provide funding to improve the safety of dams and reservoirs in the State.  
 Source of Revenues:

Appropriations by the legislature; fees and administrative charges collected under Act 262, SLH 2007; fines or penalties imposed under act 262, SLH 2007; moneys from public or private sources to benefit dam and reservoir safety; moneys collected from sale of retail items by DLNR relating to dam and reservoir safety; other moneys collected pursuant to Act 262, SLH 2007 or rules adopted thereunder; and moneys derived from interest, dividends or other income from other sources.

Current Program Activities/Allowable Expenses:  
 Use of the DRSSF includes, but is not limited to, conducting investigations, research, and collection of data; monitoring and inspection programs and activities, including enforcement; preparing and disseminating information to the public related to dam and reservoir safety; provision of training and/or educational activities related to dam and reservoir safety; employing any necessary remedial measures to protect person and property; and administrative or other related costs and expenses for dam and reservoir safety.

Purpose of Proposed Ceiling Adjustment (if applicable):  
 n/a

Variances:  
 Application fees continuously fluctuate making it difficult to predict revenue collected year to year.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,300,000	1,300,000	1,300,000	1,300,000	1,300,000	1,300,000	1,300,000
Beginning Cash Balance	759,695	1,477,237	1,930,336	2,357,262	2,765,906	3,115,906	3,465,906
Revenues	934,063	622,942	644,484	748,557	650,000	650,000	650,000
Expenditures	216,521	169,843	205,488	339,913	300,000	300,000	300,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
			(12,070)	0	0	0	0
Net Total Transfers	0	0	(12,070)	0	0	0	0
Ending Cash Balance	1,477,237	1,930,336	2,357,262	2,765,906	3,115,906	3,465,906	3,815,906
Encumbrances	187,885	0	387,977	1,278,285	1,000,000	1,000,000	1,000,000
Unencumbered Cash Balance	1,289,352	1,930,336	1,969,285	1,487,621	2,115,906	2,465,906	2,815,906

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: Sport Fishing Restoration Program Coordination  
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Special - B  
 Appropriation Acct. No.: S-341-C

**Account no longer exist in FY 17**

Intended Purpose:

Temporary payroll fund set up in order to accommodate a special funded employee that was mistakenly placed in a program without a special fund in FY 16.

Source of Revenues:

Commercial Fisheries Special Fund

Current Program Activities/Allowable Expenses:

Special funded employee was transferred back to LNR 153 at the beginning of FY 17.

Purpose of Proposed Ceiling Increase (if applicable):

n/a

Variances:

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(estimated)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				39,413			
Beginning Cash Balance	0	0	0	0	5,620	5,620	5,620
Revenues				0			
Expenditures				39,380			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				45,000			
Net Total Transfers	0	0	0	45,000	0	0	0
Ending Cash Balance	0	0	0	5,620	5,620	5,620	5,620
Encumbrances					0	0	0
Unencumbered Cash Balance	0	0	0	5,620	5,620	5,620	5,620

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 407  
 Name of Fund: Natural Area Reserves Fund  
 Legal Authority: Section 195-9

Contact Name: \_\_\_\_\_  
 Phone: \_\_\_\_\_  
 Fund type (MOF) Special - B  
 Appropriation Acct. No. S-342-C

**Intended Purpose:**

This fund was established pursuant to HRS 195-9, SLH 1991, to receive and expend State and private funds to implement the purposes of Source of Revenues:

Prior to FY16, revenues were from monies received from the State and private agencies, particularly, pursuant to HRS 247-7, 25% of Convey

**Current Program Activities/Allowable Expenses:**

Expenses related to the Natural Area Partnership and Forest Stewardship Programs, Natural Area Reserve System, Watershed Partnerships, and Youth Conservation Corps.

Purpose of Proposed Ceiling Increase (if applicable):

**Variances:**

**Account closed per Act 084.**

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	9,620,788	7,195,731	12,344,125	0	0	0	0
Beginning Cash Balance	5,976,669	9,517,127	14,514,081	17,711,187	15,491,171	15,491,171	15,491,171
Revenues	13,684,653	18,788,313	19,946,623	98,702	0	0	0
Expenditures	6,993,265	8,839,644	8,361,337	2,318,718	0	0	0
Transfers							
List each net transfer in/out. Or projection in/out; list each account number							
	(3,150,930)	(4,951,715)	(8,388,180)				
Net Total Transfers	(3,150,930)	(4,951,715)	(8,388,180)	0	0	0	0
Ending Cash Balance	9,517,127	14,514,081	17,711,187	15,491,171	15,491,171	15,491,171	15,491,171
Encumbrances	5,183,061	3,052,024	3,199,657	488,734			
Unencumbered Cash Balance	4,334,066	11,462,057	14,511,530	15,002,437	15,491,171	15,491,171	15,491,171

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 804  
 Name of Fund: Wildlife Revolving Fund  
 Legal Authority: Section 183D-10.5, HRS

Contact Name: James Cogswell  
 Phone: 587-4187  
 Fund type (MOF) Revolving - W  
 Appropriation Acct. No. S-343-C

**Intended Purpose:**

This fund was established by Act 67, SLH 1988, to collect proceeds from hunting license fees, law violation fines, hunter training fees and charges for use of public target ranges. Authorized expenditures include matching funds for federal grants-in-aid,

**Source of Revenues:**

License fees

**Current Program Activities/Allowable Expenses:**

Current activities include education, trail maintenance and research to develop new public hunting areas.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

The increase in revenues and expenditures between fiscal years is due to the anticipated increased in revenues due to new hunting fees and stamps.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	676,015	572,088	572,088	588,820	635,428	635,428	635,428
Beginning Cash Balance	89,776	116,395	16,577	58,714	297,327	347,327	347,327
Revenues	203,715	178,790	222,194	580,042	600,000	600,000	600,000
Expenditures	177,096	278,607	180,057	341,429	550,000	600,000	650,000
Transfers							
List each by JV# and date					0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	116,395	16,577	58,714	297,327	347,327	347,327	297,327
Encumbrances	50,703	25,969	18,332	59,151	100,000	100,000	100,000
Unencumbered Cash Balance	65,692	(9,392)	40,382	238,176	247,327	247,327	197,327

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: Forest Stewardship Fund  
 Legal Authority: Section 195F-4, HRS

Contact Name: Melissa Sprecher  
 Phone: 587-4172  
 Fund type (MOF): Special - B  
 Appropriation Acct. No.: S-347

**Intended Purpose:**

This fund was established by Act 327, SLH 1991, to provide funding to private landowners to manage, protect, and restore important watersheds, timber resources, fish and wildlife habitats, isolated population of rare and endangered plants, native vegetation.

**Source of Revenues:**

Sale of forestry products, timber; special use permits and fees

**Current Program Activities/Allowable Expenses:**

The key activity is to provide financial and technical assistance to landowners to manage, protect, and restore important natural resources in Hawaii's forested and formerly forested lands.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

The decrease in expenditures between FY15 and onwards is due to Act 084. Another account was created for DOFAW-Special Land Development Fund.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,614,789	3,637,996	3,637,997	1,000,000	1,500,000	1,500,000	1,500,000
Beginning Cash Balance	2,654,320	2,732,239	1,876,648	2,158,128	1,000,637	750,637	500,637
Revenues	732,139	249,415	340,310	418,841	500,000	500,000	500,000
Expenditures	2,701,245	3,700,707	4,501,163	1,532,755	750,000	750,000	750,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	2,047,025	2,595,701	4,442,333	(43,577)	0	0	0
Net Total Transfers	2,047,025	2,595,701	4,442,333	(43,577)	0	0	0
Ending Cash Balance	2,732,239	1,876,648	2,158,128	1,000,637	750,637	500,637	250,637
Encumbrances	1,859,854	1,578,980	1,651,251	569,591	250,000	250,000	250,000
Unencumbered Cash Balance	872,385	297,668	506,877	431,046	500,637	250,637	637

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Acnt	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR172	B	2	S	2014	347	DF	(201,962.83)	JRNL	972		00JS0651	8/11/2015
LNR172	B	2	S	2015	347	DF	(11,752.87)	JRNL	972		00JS4240	2/8/2016
LNR172	B	2	S	2015	347	DF	(47,793.84)	JRNL	972		00JS6771	6/16/2016
LNR172	B	2	S	2015	347	DF	(50,000.00)	JRNL	972		00JS0128	7/14/2015
LNR172	B	2	S	2015	347	DF	(253,042.07)	JRNL	972		00JS0651	8/11/2015
LNR172	B	2	S	2015	347	DF	(1,808.51)	JRNL	972		00JS1107	8/31/2015
LNR172	B	2	S	2015	347	DF	(13,006.82)	JRNL	972		00JS2860	11/24/2015
LNR172	B	2	S	2015	347	DF	(2,156.74)	JRNL	972		00JS3279	12/17/2015
LNR172	B	2	S	2016	347	DF	11,752.87	JRNL	971		00JS4240	2/8/2016
LNR172	B	2	S	2016	347	DF	47,793.84	JRNL	971		00JS6771	6/16/2016
LNR172	B	2	S	2016	347	DF	50,000.00	JRNL	971		00JS0128	7/14/2015
LNR172	B	2	S	2016	347	DF	455,004.90	JRNL	971		00JS0651	8/11/2015
LNR172	B	2	S	2016	347	DF	1,808.51	JRNL	971		00JS1107	8/31/2015
LNR172	B	2	S	2016	347	DF	(34,377.00)	JRNL	972		00JS1446	9/18/2015
LNR172	B	2	S	2016	347	DF	13,006.82	JRNL	971		00JS2860	11/24/2015
LNR172	B	2	S	2016	347	DF	2,156.74	JRNL	971		00JS3279	12/17/2015
LNR172	B	2	S	2016	347	DF	(9,200.00)	JRNL	972	RISK MGT	00JM2643	12/18/2015
							<u>(43,577.00)</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 805  
 Name of Fund: Sport Fish Special Fund  
 Legal Authority: 187A-9.5, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Special Funds - B  
 Appropriation Acct. No.: S-348-C

Intended Purpose:

This fund was established by Act 143, SLH 1993, to insure compliance with the Federal Aid Sport Fish Restoration Act (Dingell-Johnson/Wallop-Breaux) for the matching of State funds. Revenues collected from sport fish license fees, permits and interest income are used for sport fish projects.

Source of Revenues:

Monies received from collection of sport fish license fees, permits and interest income

Current Program Activities/Allowable Expenses:

Monitor recreational fishing success and harvest levels with creel censuses, maintain the statewide system of open-water fish aggregating devices, and maintain and improve existing artificial reefs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

No significant variations, only minor increases in revenues and expenditures are anticipated.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	75,815	76,131	76,131	99,400	99,400	99,400	99,400
Beginning Cash Balance	81,486	73,091	75,008	74,409	63,495	63,495	63,495
Revenues	32,537	28,720	28,374	25,304	28,000	29,000	30,000
Expenditures	40,932	26,803	28,973	36,218	28,000	29,000	30,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	73,091	75,008	74,409	63,495	63,495	63,495	63,495
Encumbrances	2,903	162	9,799	13,000	13,000	14,000	15,000
Unencumbered Cash Balance	70,188	74,846	64,610	50,495	50,495	49,495	48,495

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 405  
 Name of Fund: Conservation and Resources Enforcement  
 Legal Authority: Act 78, SLH 2011

Contact Name: Jason Redulla  
 Phone: 587-0066  
 Fund type (MOF): Special - B  
 Appropriation Acct. No.: S-349-C

Intended Purpose:

This fund was established to deposit grants, awards, donations, gifts, transfers or moneys derived from public or private sources for purposes of enforcing the provisions of Title 12, Chapters 6D, 6E, and 6K.

Source of Revenues:

Grants, awards, donations, gifts, transfers or moneys including fees, reimbursements, administrative charges, penalties collected from enforcement activities, Current Program Activities/Allowable Expenses:

Expenditures include, but not limited to training, equipment, IT, safety, wireless communication, travel, work performed in cooperation with enforcement authorities of the state, counties, and federal government, etc..

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Revenue increase due to receipt of TAT funds.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	550,000	550,000	550,000	550,000	550,000	550,000	550,000
Beginning Cash Balance	177,972	414,061	297,176	298,325	280,622	280,622	280,622
Revenues	246,248	201,022	68,828	412	400,000	400,000	400,000
Expenditures	10,159	317,907	65,679	18,115	400,000	400,000	400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			(2,000)	0			
Net Total Transfers	0	0	(2,000)	0	0	0	0
Ending Cash Balance	414,061	297,176	298,325	280,622	280,622	280,622	280,622
Encumbrances	2,071	118,582	0	0	400,000	400,000	400,000
Unencumbered Cash Balance	411,990	178,594	298,325	280,622	(119,378)	(119,378)	(119,378)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: DOFAW-SLDF  
 Legal Authority: Act 084

Contact Name: Melissa Sprecher  
 Phone: 587-4172  
 Fund type (MOF) Special - B  
 Appropriation Acct. No. S-353

**Intended Purpose:**

This fund was established by Act 327, SLH 1991, to provide funding to private landowners to manage, protect, and restore important watersheds, timber resources, fish and wildlife habitats, isolated population of rare and endangered plants, native vegetation.

**Source of Revenues:**

Sale of forestry products, timber; special use permits and fees

**Current Program Activities/Allowable Expenses:**

The key activity is to provide financial and technical assistance to landowners to manage, protect, and restore important natural resources in Hawaii's forested and formerly forested lands.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

The increase in expenditures between FY 17 & FY 18 is due to encumbrances anticipated to be expended in FY 17.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				955,475	955,475	955,475	955,475
Beginning Cash Balance				0	642,453	697,928	753,403
Revenues				0			
Expenditures				313,022	900,000	900,000	900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS1445, 9/18/15				477,738			
00JS3288, 12/18/15				477,737			
Net Total Transfers	0	0	0	955,475	955,475	955,475	955,475
Ending Cash Balance				642,453	697,928	753,403	808,878
Encumbrances				642,453	310,950	366,425	421,900
Unencumbered Cash Balance				0	386,978	386,978	386,978

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 404  
 Name of Fund: CWRM-SLDF  
 Legal Authority: Section 174C-5.5, HRS

Contact Name: Michael Yoshinaga  
 Phone: 587-0241  
 Fund type (MOF): Special - B  
 Appropriation Acct. No.: S-354-C SLDF

Intended Purpose:  
To cover salaries and Surface Water Program expenses of the Commission on Water Resource Management.

Source of Revenues:  
Funds transferred from the Special Land and Development Fund (S-304-C) into this subaccount.  
Current Program Activities/Allowable Expenses:  
Aside from salaries, funds are used to cover Surface Water Program expenses relating to hydrological studies/investigation, data collection, and travel.

Purpose of Proposed Ceiling Adjustment (if applicable):  
To cover the increase to the fringe benefit assessment rate.

Variances:  
Variances in Expenditures for FY 2016 (actual) and FYs 2017-2019 (estimated) due to transferring funds from S-326 into S-354.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				622,101	632,143	677,000	677,000
Beginning Cash Balance		0	0	0	255,243	255,243	255,243
Revenues							
Expenditures				366,858	632,143	677,000	677,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS1445, 09/18/15				256,051	632,143	677,000	677,000
00JS3288, 12/08/15				366,050			
Net Total Transfers	0	0	0	622,101	632,143	677,000	677,000
Ending Cash Balance	0	0	0	255,243	255,243	255,243	255,243
Encumbrances				64,169	0	0	0
Unencumbered Cash Balance	0	0	0	191,074	255,243	255,243	255,243

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 806  
 Name of Fund: Special Land Development Fund  
 Legal Authority: Act 164 SLH 2011

Contact Name: Piieka Tomczyk  
 Phone: 7-0304  
 Fund type (MOF) Special - B  
 Appropriation Acct. No. S-355-C SLDF

**Intended Purpose:**

Lifeguard Services at Keawa'ula Beach, Kaena Point State Park, Oahu

**Source of Revenues:**

Transfer of funds from Special Land Development Fund to State Parks Special Fund (S312)

**Current Program Activities/Allowable Expenses:**

To fund lifeguard expenses at Kaena Point, Oahu

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variances:**

Lifeguard contract increase of 16%

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				584,216	677,691	677,691	677,691
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures				584,216	677,691	677,691	677,691
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
00JS1445, 09/18/2015				292,108	677,691	677,691	677,691
00JS3288, 12/18/2015				292,108			
Net Total Transfers	0	0	0	584,216	677,691	677,691	677,691
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 801  
 Name of Fund: Boating Special Fund  
 Legal Authority: Section 248-8, HRS

Contact Name: Kevin Yim  
 Phone: 587.1979  
 Fund type (MOF): Special - B  
 Appropriation Acct. No. S-359, 360-C

**Intended Purpose:**

This fund was established pursuant to Section 248-8, HRS, to collect revenues to implement the boating and ocean recreation programs.

**Source of Revenues:**

Monies received from collection of recreational and commercial boaters for the use of boating facilities., overseeing the ocean waters of the state, and for the registration of vessels.

**Current Program Activities/Allowable Expenses:**

Improving and expanding the capacity of existing mooring and launching facilities; operating, administering, maintaining and policing boating harbors and launching ramps; constructing new facilities; registering boats and maintaining a centralized vessel registration file; regulating the commercial use of boating facilities; administering a marine casualty and investigation program; constructing and maintaining navigation aids for boating facilities; conducting public education in boating safety; and overseeing the ocean waters of the state of Hawaii.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Increased expenditures due to plans to use excess cash to fund repairs and maintainence at the harbor facilities and the increased cost of filing vacancies.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,618,658	16,829,958	17,152,948	19,070,872	19,070,872	19,070,872	19,070,872
Beginning Cash Balance	7,050,576	7,572,186	9,371,428	9,259,261	11,891,244	11,391,244	10,891,244
Revenues	14,791,829	15,035,549	15,664,684	16,548,229	16,500,000	16,500,000	16,500,000
Expenditures	12,442,278	11,871,813	14,148,468	12,173,138	15,000,000	15,000,000	15,000,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
	(1,827,941)	(1,364,494)	(1,628,383)	(1,743,108)	(2,000,000)	(2,000,000)	(2,000,000)
Net Total Transfers	(1,827,941)	(1,364,494)	(1,628,383)	(1,743,108)	(2,000,000)	(2,000,000)	(2,000,000)
Ending Cash Balance	7,572,186	9,371,428	9,259,261	11,891,244	11,391,244	10,891,244	10,391,244
Encumbrances	1,681,114	3,301,356	3,342,465	3,403,282	3,500,000	3,500,000	3,500,000
Unencumbered Cash Balance	5,891,072	6,070,072	5,916,796	8,487,962	7,891,244	7,391,244	6,891,244

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Acct	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR801	B	2	S	2014	359	KEV	(20.12)	JRNL	972		00JS4242	2/8/2016
LNR801	B	2	S	2014	359	KEV	(15,716.66)	JRNL	972		00JS0200	7/20/2015
LNR801	B	2	S	2014	359	KEV	(350,000.00)	JRNL	972		00JS0328	7/27/2015
LNR801	B	2	S	2014	359	KEV	(48,829.69)	JRNL	972		00JS1821	10/6/2015
LNR801	B	2	S	2015	359	KEV	(267,022.93)	JRNL	972		00JS4242	2/8/2016
LNR801	B	2	S	2015	359	KEV	(223,636.45)	JRNL	972		00IS7142	6/30/2016
LNR801	B	2	S	2015	359	KEV	(150,000.00)	JRNL	972		00JS0165	7/17/2015
LNR801	B	2	S	2015	359	KEV	(4,252,190.35)	JRNL	972		00JS0204	7/20/2015
LNR801	B	2	S	2016	359	KEV	112,197.31	JRNL	971		00JS3503	12/31/2015
LNR801	B	2	S	2016	359	KEV	134,194.49	JRNL	971		00JS3623	1/11/2016
LNR801	B	2	S	2016	359	KEV	272,273.66	JRNL	971		00JS3751	1/19/2016
LNR801	B	2	S	2016	359	KEV	27,943.46	JRNL	971		00JS3893	1/21/2016
LNR801	B	2	S	2016	359	KEV	132,998.76	JRNL	971		00JS3965	1/26/2016
LNR801	B	2	S	2016	359	KEV	106,397.02	JRNL	971		00JS3966	1/26/2016
LNR801	B	2	S	2016	359	KEV	364,943.37	JRNL	971		00JS3967	1/26/2016
LNR801	B	2	S	2016	359	KEV	123,112.98	JRNL	971		00JS4165	2/3/2016
LNR801	B	2	S	2016	359	KEV	82,203.79	JRNL	971		00IS4201	2/4/2016
LNR801	B	2	S	2016	359	KEV	267,043.05	JRNL	971		00JS4242	2/8/2016
LNR801	B	2	S	2016	359	KEV	216,660.20	JRNL	971		00JS4374	2/17/2016
LNR801	B	2	S	2016	359	KEV	82,157.98	JRNL	971		00JS4452	2/22/2016
LNR801	B	2	S	2016	359	KEV	100,494.24	JRNL	971		00JS4506	2/24/2016
LNR801	B	2	S	2016	359	KEV	309,808.57	JRNL	971		00JS4662	3/3/2016
LNR801	B	2	S	2016	359	KEV	381,280.04	JRNL	971		00JS4700	3/7/2016
LNR801	B	2	S	2016	359	KEV	149,288.88	JRNL	971		00JS4777	3/10/2016
LNR801	B	2	S	2016	359	KEV	206,889.03	JRNL	971		00JS4891	3/17/2016
LNR801	B	2	S	2016	359	KEV	96,938.36	JRNL	971		00JS4963	3/22/2016
LNR801	B	2	S	2016	359	KEV	362,077.20	JRNL	971		00JS5055	3/28/2016
LNR801	B	2	S	2016	359	KEV	215,908.49	JRNL	971		00JS5106	3/30/2016
LNR801	B	2	S	2016	359	KEV	300,304.97	JRNL	971		00JS5150	3/31/2016
LNR801	B	2	S	2016	359	KEV	218,892.04	JRNL	971		00JS5186	3/31/2016
LNR801	B	2	S	2016	359	KEV	94,476.87	JRNL	971		00JS5210	4/5/2016
LNR801	B	2	S	2016	359	KEV	106,751.77	JRNL	971		00JS5305	4/11/2016
LNR801	B	2	S	2016	359	KEV	75,711.47	JRNL	971		00JS5445	4/14/2016
LNR801	B	2	S	2016	359	KEV	81,873.01	JRNL	971		00JS5482	4/15/2016
LNR801	B	2	S	2016	359	KEV	156,011.83	JRNL	971		00JS5584	4/21/2016
LNR801	B	2	S	2016	359	KEV	121,870.43	JRNL	971		00JS5645	4/25/2016
LNR801	B	2	S	2016	359	KEV	769,616.13	JRNL	971		00JS5781	4/30/2016
LNR801	B	2	S	2016	359	KEV	276,962.46	JRNL	971		00JS5852	5/5/2016
LNR801	B	2	S	2016	359	KEV	135,272.28	JRNL	971		00JS5853	5/5/2016
LNR801	B	2	S	2016	359	KEV	57,678.59	JRNL	971		00JS5905	5/9/2016
LNR801	B	2	S	2016	359	KEV	255,787.59	JRNL	971		00JS6046	5/17/2016
LNR801	B	2	S	2016	359	KEV	212,184.17	JRNL	971		00JS6069	5/18/2016
LNR801	B	2	S	2016	359	KEV	584,292.59	JRNL	971		00JS6206	5/25/2016
LNR801	B	2	S	2016	359	KEV	317,117.57	JRNL	971		00JS6463	5/31/2016
LNR801	B	2	S	2016	359	KEV	99,653.11	JRNL	971		00JS6481	6/3/2016
LNR801	B	2	S	2016	359	KEV	78,320.81	JRNL	971		00JS6539	6/7/2016
LNR801	B	2	S	2016	359	KEV	61,540.55	JRNL	971		00JS6540	6/7/2016
LNR801	B	2	S	2016	359	KEV	189,470.53	JRNL	971		00JS6620	6/13/2016
LNR801	B	2	S	2016	359	KEV	84,855.48	JRNL	971		00JS6800	6/20/2016
LNR801	B	2	S	2016	359	KEV	152,450.08	JRNL	971		00JS6827	6/21/2016
LNR801	B	2	S	2016	359	KEV	243,339.98	JRNL	971		00JS6907	6/23/2016
LNR801	B	2	S	2016	359	KEV	333,291.66	JRNL	971		00JS6936	6/24/2016
LNR801	B	2	S	2016	359	KEV	40,177.08	JRNL	971		00JS7030	6/29/2016
LNR801	B	2	S	2016	359	KEV	130,080.59	JRNL	971		00JS7131	6/30/2016
LNR801	B	2	S	2016	359	KEV	171,777.60	JRNL	971		00JS7141	6/30/2016
LNR801	B	2	S	2016	359	KEV	223,636.45	JRNL	971		00JS7142	6/30/2016
LNR801	B	2	S	2016	359	KEV	191,359.83	JRNL	971		00JS7278	6/30/2016
LNR801	B	2	S	2016	359	KEV	1,102,235.37	JRNL	971		00JS0202	7/20/2015
LNR801	B	2	S	2016	359	KEV	4,252,190.35	JRNL	971		00JS0204	7/20/2015
LNR801	B	2	S	2016	359	KEV	15,716.66	JRNL	971		00JS0200	7/20/2015
LNR801	B	2	S	2016	359	KEV	163,272.76	JRNL	971		00JS0201	7/20/2015
LNR801	B	2	S	2016	359	KEV	44,090.46	JRNL	971		00JS0279	7/23/2015
LNR801	B	2	S	2016	359	KEV	119,561.04	JRNL	971		00JS0357	7/28/2015
LNR801	B	2	S	2016	359	KEV	(163,272.76)	JRNL	971		00JS0372	7/29/2015
LNR801	B	2	S	2016	359	KEV	8,836.75	JRNL	971		00JS0373	7/29/2015
LNR801	B	2	S	2016	359	KEV	350,000.00	JRNL	971		00JS0328	7/27/2015
LNR801	B	2	S	2016	359	KEV	106,167.55	JRNL	971		00JS0460	7/31/2015
LNR801	B	2	S	2016	359	KEV	102,847.03	JRNL	971		00JS0523	8/5/2015
LNR801	B	2	S	2016	359	KEV	30,029.65	JRNL	971		00JS0524	8/5/2015
LNR801	B	2	S	2016	359	KEV	10,000.00	JRNL	971		00JS0525	8/5/2015
LNR801	B	2	S	2016	359	KEV	14,471.14	JRNL	971		00JS0587	8/7/2015
LNR801	B	2	S	2016	359	KEV	166,284.37	JRNL	971		00JS0589	8/7/2015
LNR801	B	2	S	2016	359	KEV	41,835.77	JRNL	971		00JS0639	8/10/2015
LNR801	B	2	S	2016	359	KEV	44,433.17	JRNL	971		00JS0653	8/11/2015
LNR801	B	2	S	2016	359	KEV	179,306.73	JRNL	971		00JS0704	8/13/2015
LNR801	B	2	S	2016	359	KEV	139,445.08	JRNL	971		00JS0988	8/27/2015
LNR801	B	2	S	2016	359	KEV	207,024.65	JRNL	971		00JS0987	8/27/2015
LNR801	B	2	S	2016	359	KEV	72,172.47	JRNL	971		00JS1121	8/31/2015
LNR801	B	2	S	2016	359	KEV	178,809.00	JRNL	971		00JS1209	9/8/2015
LNR801	B	2	S	2016	359	KEV	117,918.77	JRNL	971		00JS1291	9/11/2015
LNR801	B	2	S	2016	359	KEV	198,863.57	JRNL	971		00JS1290	9/11/2015
LNR801	B	2	S	2016	359	KEV	(160,581.00)	JRNL	972		00JS1446	9/18/2015
LNR801	B	2	S	2016	359	KEV	137,331.10	JRNL	971		00JS1566	9/24/2015
LNR801	B	2	S	2016	359	KEV	233,841.98	JRNL	971		00JS1648	9/28/2015
LNR801	B	2	S	2016	359	KEV	55,797.93	JRNL	971		00JS1658	9/29/2015
LNR801	B	2	S	2016	359	KEV	48,829.69	JRNL	971		00JS1821	10/6/2015
LNR801	B	2	S	2016	359	KEV	152,615.76	JRNL	971		00JS1822	10/6/2015
LNR801	B	2	S	2016	359	KEV	124,288.00	JRNL	971		00JS1851	10/7/2015
LNR801	B	2	S	2016	359	KEV	189,987.86	JRNL	971		00JS2001	10/14/2015
LNR801	B	2	S	2016	359	KEV	179,055.84	JRNL	971		00JS2154	10/21/2015
LNR801	B	2	S	2016	359	KEV	218,213.90	JRNL	971		00JS2155	10/21/2015
LNR801	B	2	S	2016	359	KEV	121,029.76	JRNL	971		00JS2168	10/22/2015
LNR801	B	2	S	2016	359	KEV	160,422.53	JRNL	971		00JS2197	10/23/2015
LNR801	B	2	S	2016	359	KEV	61,333.65	JRNL	971		00JS2400	10/29/2015
LNR801	B	2	S	2016	359	KEV	132,219.45	JRNL	971		00JS2337	10/27/2015
LNR801	B	2	S	2016	359	KEV	275,812.84	JRNL	971		00JS2679	11/16/2015
LNR801	B	2	S	2016	359	KEV	282,271.99	JRNL	971		00JS2947	11/30/2015
LNR801	B	2	S	2016	359	KEV	210,862.35	JRNL	971		00JS3071	12/4/2015
LNR801	B	2	S	2016	359	KEV	147,620.91	JRNL	971		00JS3176	12/11/2015
LNR801	B	2	S	2016	359	KEV	63,196.94	JRNL	971		00JS3228	12/15/2015
LNR801	B	2	S	2016	359	KEV	565,690.59	JRNL	971		00JS3230	12/15/2015
LNR801	B	2	S	2016	359	KEV	(6,527.00)	JRNL	972	RISK MGT	100JM2643	12/18/2015
LNR801	B	2	S	2016	359	KEV	386,686.70	JRNL	971		00JS3301	12/21/2015
LNR801	B	2	S	2016	359	KEV	201,831.51	JRNL	971		00JS3452	12/30/2015
							<u>15,486,225.06</u>					

Report on Non-General Fund Information  
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Program ID	MOF	Appn Type	Fund	FY	Acct	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR801	B	2	S	2015	360	KEV	(1,102,235.37)	JRNL	972		00JS0202	7/20/2015
LNR801	B	2	S	2015	360	KEV	(144,090.46)	JRNL	972		00JS0279	7/23/2015
LNR801	B	2	S	2015	360	KEV	(10,000.00)	JRNL	972		00JS0525	8/5/2015
LNR801	B	2	S	2016	360	KEV	(112,197.31)	JRNL	972		00JS3503	12/31/2015
LNR801	B	2	S	2016	360	KEV	(234,194.49)	JRNL	972		00JS3623	1/11/2016
LNR801	B	2	S	2016	360	KEV	(272,273.66)	JRNL	972		00JS3751	1/19/2016
LNR801	B	2	S	2016	360	KEV	(27,943.46)	JRNL	972		00JS3893	1/21/2016
LNR801	B	2	S	2016	360	KEV	(132,998.76)	JRNL	972		00JS3965	1/26/2016
LNR801	B	2	S	2016	360	KEV	(106,397.02)	JRNL	972		00JS3966	1/26/2016
LNR801	B	2	S	2016	360	KEV	(364,943.37)	JRNL	972		00JS3967	1/26/2016
LNR801	B	2	S	2016	360	KEV	(168,112.98)	JRNL	972		00JS4165	2/3/2016
LNR801	B	2	S	2016	360	KEV	(127,203.79)	JRNL	972		00JS4201	2/4/2016
LNR801	B	2	S	2016	360	KEV	(216,660.20)	JRNL	972		00JS4374	2/17/2016
LNR801	B	2	S	2016	360	KEV	(82,157.98)	JRNL	972		00JS4452	2/22/2016
LNR801	B	2	S	2016	360	KEV	(145,494.24)	JRNL	972		00JS4506	2/24/2016
LNR801	B	2	S	2016	360	KEV	(354,808.57)	JRNL	972		00JS4662	3/3/2016
LNR801	B	2	S	2016	360	KEV	(381,280.04)	JRNL	972		00JS4700	3/7/2016
LNR801	B	2	S	2016	360	KEV	(149,288.88)	JRNL	972		00JS4777	3/10/2016
LNR801	B	2	S	2016	360	KEV	(251,889.03)	JRNL	972		00JS4891	3/17/2016
LNR801	B	2	S	2016	360	KEV	(96,938.36)	JRNL	972		00JS4963	3/22/2016
LNR801	B	2	S	2016	360	KEV	(362,077.20)	JRNL	972		00JS5055	3/28/2016
LNR801	B	2	S	2016	360	KEV	(260,908.49)	JRNL	972		00JS5106	3/30/2016
LNR801	B	2	S	2016	360	KEV	(300,304.97)	JRNL	972		00JS5150	3/31/2016
LNR801	B	2	S	2016	360	KEV	(218,892.04)	JRNL	972		00JS5186	3/31/2016
LNR801	B	2	S	2016	360	KEV	(94,476.87)	JRNL	972		00JS5210	4/5/2016
LNR801	B	2	S	2016	360	KEV	(106,751.77)	JRNL	972		00JS5305	4/11/2016
LNR801	B	2	S	2016	360	KEV	(75,711.47)	JRNL	972		00JS5445	4/14/2016
LNR801	B	2	S	2016	360	KEV	(81,873.01)	JRNL	972		00JS5482	4/15/2016
LNR801	B	2	S	2016	360	KEV	(201,011.83)	JRNL	972		00JS5584	4/21/2016
LNR801	B	2	S	2016	360	KEV	(166,870.43)	JRNL	972		00JS5645	4/25/2016
LNR801	B	2	S	2016	360	KEV	(859,616.13)	JRNL	972		00JS5781	4/30/2016
LNR801	B	2	S	2016	360	KEV	(276,962.46)	JRNL	972		00JS5852	5/5/2016
LNR801	B	2	S	2016	360	KEV	(135,272.28)	JRNL	972		00JS5853	5/5/2016
LNR801	B	2	S	2016	360	KEV	(57,678.59)	JRNL	972		00JS5905	5/9/2016
LNR801	B	2	S	2016	360	KEV	(255,787.59)	JRNL	972		00JS6046	5/17/2016
LNR801	B	2	S	2016	360	KEV	(212,184.17)	JRNL	972		00JS6069	5/18/2016
LNR801	B	2	S	2016	360	KEV	(629,292.59)	JRNL	972		00JS6206	5/25/2016
LNR801	B	2	S	2016	360	KEV	(317,117.57)	JRNL	972		00JS6463	5/31/2016
LNR801	B	2	S	2016	360	KEV	(99,653.11)	JRNL	972		00JS6481	6/3/2016
LNR801	B	2	S	2016	360	KEV	(78,320.81)	JRNL	972		00JS6539	6/7/2016
LNR801	B	2	S	2016	360	KEV	(61,540.55)	JRNL	972		00JS6540	6/7/2016
LNR801	B	2	S	2016	360	KEV	(224,470.53)	JRNL	972		00JS6620	6/13/2016
LNR801	B	2	S	2016	360	KEV	(84,855.48)	JRNL	972		00JS6800	6/20/2016
LNR801	B	2	S	2016	360	KEV	(152,450.08)	JRNL	972		00JS6827	6/21/2016
LNR801	B	2	S	2016	360	KEV	(243,339.98)	JRNL	972		00JS6907	6/23/2016
LNR801	B	2	S	2016	360	KEV	(399,291.66)	JRNL	972		00JS6936	6/24/2016
LNR801	B	2	S	2016	360	KEV	(40,177.08)	JRNL	972		00JS7030	6/29/2016
LNR801	B	2	S	2016	360	KEV	(130,080.59)	JRNL	972		00JS7131	6/30/2016
LNR801	B	2	S	2016	360	KEV	(171,777.60)	JRNL	972		00JS7141	6/30/2016
LNR801	B	2	S	2016	360	KEV	(191,359.83)	JRNL	972		00JS7278	6/30/2016
LNR801	B	2	S	2016	360	KEV	(119,561.04)	JRNL	972		00JS0357	7/28/2015
LNR801	B	2	S	2016	360	KEV	(88,836.75)	JRNL	972		00JS0373	7/29/2015
LNR801	B	2	S	2016	360	KEV	(106,167.55)	JRNL	972		00JS0460	7/31/2015
LNR801	B	2	S	2016	360	KEV	(102,847.03)	JRNL	972		00JS0523	8/5/2015
LNR801	B	2	S	2016	360	KEV	(30,029.65)	JRNL	972		00JS0524	8/5/2015
LNR801	B	2	S	2016	360	KEV	(14,471.14)	JRNL	972		00JS0587	8/7/2015
LNR801	B	2	S	2016	360	KEV	(166,284.37)	JRNL	972		00JS0589	8/7/2015
LNR801	B	2	S	2016	360	KEV	(41,835.77)	JRNL	972		00JS0639	8/10/2015
LNR801	B	2	S	2016	360	KEV	(44,433.17)	JRNL	972		00JS0653	8/11/2015
LNR801	B	2	S	2016	360	KEV	(179,306.73)	JRNL	972		00JS0704	8/13/2015
LNR801	B	2	S	2016	360	KEV	(262,024.65)	JRNL	972		00JS0987	8/27/2015
LNR801	B	2	S	2016	360	KEV	(139,445.08)	JRNL	972		00JS0988	8/27/2015
LNR801	B	2	S	2016	360	KEV	(72,172.47)	JRNL	972		00JS1121	8/31/2015
LNR801	B	2	S	2016	360	KEV	(178,809.00)	JRNL	972		00JS1209	9/8/2015
LNR801	B	2	S	2016	360	KEV	(253,863.57)	JRNL	972		00JS1290	9/11/2015
LNR801	B	2	S	2016	360	KEV	(117,918.77)	JRNL	972		00JS1291	9/11/2015
LNR801	B	2	S	2016	360	KEV	(192,331.10)	JRNL	972		00JS1566	9/24/2015
LNR801	B	2	S	2016	360	KEV	(288,841.98)	JRNL	972		00JS1648	9/28/2015
LNR801	B	2	S	2016	360	KEV	(55,797.93)	JRNL	972		00JS1658	9/29/2015
LNR801	B	2	S	2016	360	KEV	(152,615.76)	JRNL	972		00JS1822	10/6/2015
LNR801	B	2	S	2016	360	KEV	(179,288.00)	JRNL	972		00JS1851	10/7/2015
LNR801	B	2	S	2016	360	KEV	(189,987.86)	JRNL	972		00JS2001	10/14/2015
LNR801	B	2	S	2016	360	KEV	(179,055.84)	JRNL	972		00JS2154	10/21/2015
LNR801	B	2	S	2016	360	KEV	(218,213.90)	JRNL	972		00JS2155	10/21/2015
LNR801	B	2	S	2016	360	KEV	(121,029.76)	JRNL	972		00JS2168	10/22/2015
LNR801	B	2	S	2016	360	KEV	(160,422.53)	JRNL	972		00JS2197	10/23/2015
LNR801	B	2	S	2016	360	KEV	(132,219.45)	JRNL	972		00JS2337	10/27/2015
LNR801	B	2	S	2016	360	KEV	(61,333.65)	JRNL	972		00JS2400	10/29/2015
LNR801	B	2	S	2016	360	KEV	(385,812.84)	JRNL	972		00JS2679	11/16/2015
LNR801	B	2	S	2016	360	KEV	(392,271.99)	JRNL	972		00JS2947	11/30/2015
LNR801	B	2	S	2016	360	KEV	(210,862.35)	JRNL	972		00JS3071	12/4/2015
LNR801	B	2	S	2016	360	KEV	(147,620.91)	JRNL	972		00JS3176	12/11/2015
LNR801	B	2	S	2016	360	KEV	(63,196.94)	JRNL	972		00JS3228	12/15/2015
LNR801	B	2	S	2016	360	KEV	(565,690.59)	JRNL	972		00JS3230	12/15/2015
LNR801	B	2	S	2016	360	KEV	(441,686.70)	JRNL	972		00JS3301	12/21/2015
LNR801	B	2	S	2016	360	KEV	(201,831.51)	JRNL	972		00JS3452	12/30/2015
							<u>(17,229,333.06)</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 407  
 Name of Fund: IUCN - SLDF  
 Legal Authority Act 122, SLH 2014

Contact Name: Emma Yuen  
 Phone: 587-0054  
 Fund type (MOF) Special - B  
 Appropriation Acct. No. S-371-C

**Intended Purpose:**

This fund was established pursuant to Act 122, SLH 2014 for IUCN - SLDF.

**Source of Revenues:**

Transfer from Special Land Development Fund

Current Program Activities/Allowable Expenses:

Expenses related to the IUCN World Congress.

Purpose of Proposed Ceiling Increase (if applicable):

**Variances:**

Special account for IUCN expenditures

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				0			
Beginning Cash Balance	0	0	0	3,156,374	2,062,268	19,874	19,874
Revenues			0				
Expenditures			743,626	1,094,106	2,042,394		
Transfers							
List each net transfer in/out. Or projection in/out; list each account number							
			3,900,000				
Net Total Transfers	0	0	3,900,000	0	0	0	0
Ending Cash Balance	0	0	3,156,374	2,062,268	19,874	19,874	19,874
Encumbrances			3,136,500	2,042,394			
Unencumbered Cash Balance	0	0	19,874	19,874	19,874	19,874	19,874

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 153  
 Name of Fund: Sport Fish Restoration Program  
 Legal Authority: Chapter 171-HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF) Federal - N  
 Appropriation Acct. No. S-202-C

Intended Purpose:

Statewide Marine Fisheries Development

Source of Revenues:

U. S. Fish and Wildlife Service (CFDA No. 15.605)

Current Program Activities/Allowable Expenses:

Funds various fisheries project having significant benefit to recreational and commercial fishermen.

Purpose of Proposed Ceiling Adjustment (if applicable):

Fund increases in the construction and deployment of Fish Aggregating Devices or FADs.

Variances:

Higher estimated cost in FY 17 and out years to resolve backlog of replacing missing FADs.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				220,963	420,000	420,000	420,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues					400,000	400,000	400,000
Expenditures					400,000	400,000	400,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances					25,000	230,000	35,000
Unencumbered Cash Balance	0	0	0	0	(25,000)	(230,000)	(35,000)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: Sport Fishing Restoration Program Coordination  
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Federal - N  
 Appropriation Acct. No.: S-203-C (Parent Account)

**Intended Purpose:**

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, for coordination of the Statewide Sport Fish Restoration (Dingell-Johnson) Program, which includes projects in development and operation, research, surveys and inventories, technical guidance, aquatic resources education and boating access in the freshwater, and estuarine and marine areas.

**Source of Revenues:**

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

**Current Program Activities/Allowable Expenses:**

Salary and administrative costs for the coordination of the Statewide Sport Fish Restoration Program; maintaining State eligibility, maximizing obligations;

**Purpose of Proposed Ceiling Increase (if applicable):**

Cover increases in proposed salary increases.

**Variances:**

n/a

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,103,765	120,000	127,000	134,600	150,000	160,000	170,000
Beginning Cash Balance	344,412	310,264	28,556	45,264	33,077	28,077	28,077
Revenues	2,388,160	106,883	127,507	110,066	120,000	130,000	140,000
Expenditures	2,422,308	731,641	133,884	122,253	125,000	130,000	135,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		343,051	23,085		0	0	0
Net Total Transfers	0	343,051	23,085	0	0	0	0
Ending Cash Balance	310,264	28,556	45,264	33,077	28,077	28,077	33,077
Encumbrances	696,800	34,774	20,774	16,811	20,000	25,000	30,000
Unencumbered Cash Balance	(386,536)	(6,218)	24,490	16,266	8,077	3,077	3,077

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 402  
 Name of Fund: Forests and Wildlife Resources  
 Legal Authority: Act 200, SLH 2003

Contact Name: James Cogswell  
 Phone: 587-4187  
 Fund type (MOF) Federal - N (Parent Account)  
 Appropriation Acct. No. S-204-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service for the implementation of Hawaii Non-Game Management Program.

Source of Revenues:

U.S. Department of Agriculture, U.S. Forest Service and U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Grant closed 6/30/16

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	23,938,285	1,928,155	0	0			
Beginning Cash Balance	256,507	434,095	221,128	141,063	59,534	74,534	74,534
Revenues	7,866,417	617,513	228,642	27,606	30,000		
Expenditures	7,688,829	2,340,821	463,643	109,135	15,000		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		1,510,341	154,936	0			
Net Total Transfers	0	1,510,341	154,936	0	0	0	0
Ending Cash Balance	434,095	221,128	141,063	59,534	74,534	74,534	74,534
Encumbrances	3,282,546	912,469	285,340	94,993			
Unencumbered Cash Balance	(2,848,451)	(691,341)	(144,277)	(35,459)	74,534	74,534	74,534

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 405  
 Name of Fund: Conservation and Resources Enforcement  
 Legal Authority: Act 78, SLH 2011

Contact Name: Andrew Choy  
 Phone: 587-0200  
 Fund type (MOF) Federal - N  
 Appropriation Acct. No. S-205-C (Parent Account)

**Intended Purpose:**

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, for the implementation of a Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Conduct statewide certification courses, seminars, and advanced training in hunter education, which include responsibility, conservation and outdoor safety.

Purpose of Proposed Ceiling Adjustment (if applicable):

**Variances:**

This account is closed.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	851,807	413,000	0		0	0	0
Beginning Cash Balance	449,095	506,472	356,266	83,259	16,351	16,351	16,351
Revenues	747,878	52,582	0	1,288	0	0	0
Expenditures	690,501	1,429	73,893	68,196	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
		(201,359)	(199,114)	0	0	0	0
Net Total Transfers	0	(201,359)	(199,114)	0	0	0	0
Ending Cash Balance	506,472	356,266	83,259	16,351	16,351	16,351	16,351
Encumbrances	264,611	157,151	68,196	0	0	0	0
Unencumbered Cash Balance	241,861	199,115	15,063	16,351	16,351	16,351	16,351

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 804  
 Name of Fund: Forests Recreation  
 Legal Authority: Act 200, SLH 2003

Contact Name: James Cogswell  
 Phone: 587-4187  
 Fund type (MOF) Federal - N  
 Appropriation Acct. No. S-206-C (Parent Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, to administer the Statewide Federal Aid in Wildlife Restoration (Pittman-Robertson) Program, which includes projects for development and operations, game research and surveys, non-game and endangered species and technical guidance. This was also established to account for federal grant monies from US Federal Highways Administration for Recreational Trails Program.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Construct and maintain hiking trails' inventory and conduct surveys of game birds and mammals; construct and maintain other forest

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Grant closed FY 16

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,241,456	307,731	0	0	0	0	0
Beginning Cash Balance	135,416	90,665	58,830	28,001	53,605	0	0
Revenues	1,821,770	663,811	247,743	0			
Expenditures	1,866,521	1,206,072	294,072	5,896	53,605		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		510,426	15,500	31,500			
Net Total Transfers	0	510,426	15,500	31,500	0	0	0
Ending Cash Balance	90,665	58,830	28,001	53,605	0	0	0
Encumbrances	1,510,580	559,977		83,695			
Unencumbered Cash Balance	(1,419,915)	(501,147)	28,001	(30,090)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**

for Submittal to the 2017 Legislature

<b>Program ID</b>	<b>MOF</b>	<b>Appn Type</b>	<b>Fund</b>	<b>FY</b>	<b>Accnt</b>	<b>Function</b>	<b>Net Amount</b>	<b>Trans Type</b>	<b>Trans Code</b>	<b>Opt Dept Data</b>	<b>Doc No</b>	<b>Doc Date</b>
LNR804	N	A	S	2013	206	KEA	(13,000.00)	JRNL	972		00JS3062	12/4/2015
LNR804	N	A	S	2014	206	KEA	37,000.00	JRNL	971		00JS7065	6/29/2016
LNR804	N	A	S	2014	206	KEA	15,500.00	JRNL	971		00JS7231	6/30/2016
LNR804	N	A	S	2014	206	KEA	(8,000.00)	JRNL	972		00JS3062	12/4/2015
							<u>31,500.00</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 805  
 Name of Fund: Statewide Marine Research and Surveys  
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Federal - N  
 Appropriation Acct. No.: S-207-C (Parent Account)

**Intended Purpose:**

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, for the purpose of marine research and surveys.

**Source of Revenues:**

U.S. Department of Interior, Fish and Wildlife Service, Sportfish Restoration Grant (CFDA 15.605)

**Current Program Activities/Allowable Expenses:**

Staff and operating costs necessary to monitor recreational fishing success and harvest levels with creel censuses, conduct ulua movement patterns study and life histories of marine fishes, and evaluate the effectiveness of bottomfish restricted fishing areas.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Proposed moderate ceiling increase needed to accommodate the increase activity planned for this project.

**Variances:**

No significant variances, only gradually increases in revenues and expenditures.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,417,216	981,746	807,750	1,038,000	950,000	1,000,000	1,050,000
Beginning Cash Balance	364,094	380,369	231,450	404,001	446,548	496,548	546,548
Revenues	929,447	834,263	741,105	808,424	900,000	950,000	1,000,000
Expenditures	913,172	972,432	668,554	765,877	850,000	900,000	950,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
		(10,750)	100,000	0	0	0	0
Net Total Transfers	0	(10,750)	100,000	0	0	0	0
Ending Cash Balance	380,369	231,450	404,001	446,548	496,548	546,548	596,548
Encumbrances	475,593	490,967	549,936	484,067	500,000	500,000	500,000
Unencumbered Cash Balance	(95,224)	(259,517)	(145,935)	(37,519)	(3,452)	46,548	96,548

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 405  
 Name of Fund: Hi Hunter Education Program  
 Legal Authority: Act 134, SLH 2013

Contact Name: Andrew Choy  
 Phone: 587-0200  
 Fund type (MOF) Federal - N  
 Appropriation Acct. No. S-208-C (Sub Account)

**Intended Purpose:**

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, for the implementation of a Statewide Hunter Safety Training Program.

**Source of Revenues:**

U.S. Department of Interior, Fish and Wildlife Service

**Current Program Activities/Allowable Expenses:**

Conduct statewide certification courses, seminars, and advanced training in hunter education, which include responsibility, conservation and outdoor safety. Issue completion certificates and exemption letters.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Ceiling increase necessary to expend obligated federal funds and prevent reversion: additional projected expenditures include: filling of one (1) vacancy position, long term rental of self-storage unit, program facility maintenance, curriculum development, instructor training, and statewide shooting range maintenance.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		378,259	520,687	965,000	965,000	965,000	965,000
Beginning Cash Balance	0	0	65,003	260,618	363,972	188,972	113,972
Revenues		361,307	439,094	596,302	525,000	525,000	525,000
Expenditures		446,304	417,593	492,948	700,000	700,000	700,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
		150,000	174,114		0	100,000	100,000
Net Total Transfers	0	150,000	174,114	0	0	100,000	100,000
Ending Cash Balance	0	65,003	260,618	363,972	188,972	113,972	38,972
Encumbrances		16,601	65,422	90,509	100,000	100,000	100,000
Unencumbered Cash Balance	0	48,402	195,196	273,463	88,972	13,972	(61,028)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 802  
 Name of Fund: Hawaii Historic Preservation Special Fund  
 Legal Authority: Act 200, SLH 2003

Contact Name: Randolph M. K. Lee III  
 Phone: 692-8033  
 Fund type (MOF): Federal - N  
 Appropriation Acct. No.: S-209-C

**Intended Purpose:**

This fund was established to account for federal grant monies received from the U.S. Department of Interior, National Park Service, to administer the Federal Historic Preservation Grant-in-Aid projects.

**Source of Revenues:**

U.S. Department of Interior, National Park Service

**Current Program Activities/Allowable Expenses:**

Review development projects for their impacts on historic properties; inventory, register and protect historic properties; inform and educate the public with regards to Hawaii's heritage and protect historic preservation concerns; prepare the State Historic Preservation Functional Plan and other preservation plans, especially regional syntheses; and manage select historic properties.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	734,069	575,000	575,000	649,065	574,946	574,946	574,946
Beginning Cash Balance	469,670	380,267	200,443	184,525	182,137	339,632	497,127
Revenues	582,161	664,862	741,795	247,342	574,946	574,946	574,946
Expenditures	671,564	844,686	757,713	249,730	417,451	417,451	517,451
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	380,267	200,443	184,525	182,137	339,632	497,127	554,622
Encumbrances	170,080	64,146	31,583	3,762	157,495	157,495	57,495
Unencumbered Cash Balance	210,187	136,297	152,942	178,375	182,137	339,632	497,127

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 405  
 Name of Fund: Hi Hunter Education Program  
 Legal Authority: Act 134, SLH 2013

Contact Name: Andrew Choy  
 Phone: 587-0200  
 Fund type (MOF): Federal - N  
 Appropriation Acct. No.: S-210-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, for the development and construction of shooting range facilities

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Land acquisition, coordination, development and construction of shooting range facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Ceiling increase necessary to expend full grant award toward shooting range development on Kauai and shooting range facilities modernization on Hawaii Island

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		80,000	452,094	0	957,094	0	0
Beginning Cash Balance	0	0	49,681	136,924	140,163	(22,337)	(184,837)
Revenues		0	62,393	170,676	187,500	187,500	187,500
Expenditures		319	150	167,437	350,000	350,000	350,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		50,000	25,000				
Net Total Transfers	0	50,000	25,000	0	0	0	0
Ending Cash Balance	0	49,681	136,924	140,163	(22,337)	(184,837)	(347,337)
Encumbrances		0	0	38,636	250,000	250,000	250,000
Unencumbered Cash Balance	0	49,681	136,924	101,527	(272,337)	(434,837)	(597,337)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 805  
 Name of Fund: Statewide Marine fisheries Development  
 Legal Authority: Section 187A-9, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Federal - N  
 Appropriation Acct. No.: S-214-C (Sub-Account)

Intended

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, for the purpose of maintenance and development of artificial reefs.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sportfish Restoration Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Staff and operating costs necessary to obtain permits for new artificial reef sites as well as existing sites and add additional structures to provide additional habitat and evaluate the effectiveness of added structures.

Purpose of Proposed Ceiling Adjustment (if applicable):

Proposed moderate ceiling increase needed to accommodate the increase activity planned for this project.

Variances:

No significant variances, only gradually increases in revenues and expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				450,000	675,000	700,000	750,000
Beginning Cash Balance		0	0	0	7,100	57,100	107,100
Revenues				21,290	500,000	650,000	700,000
Expenditures				14,190	450,000	600,000	650,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
				0	0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	7,100	57,100	107,100	157,100
Encumbrances					0	0	0
Unencumbered Cash Balance	0	0	0	7,100	57,100	107,100	157,100

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 805  
 Name of Fund: Statewide Freshwater Fisheries Development  
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Federal - N  
 Appropriation Acct. No.: S-224-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, for the purpose of conducting freshwater fisheries development projects.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sportfish Restoration Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salaries and operating expenses to manage and evaluate the effectiveness of the freshwater public fishing areas and fishery management areas; stock, monitor and assess trout fishing at Kokee, Kauai, Public Fishing Area

Purpose of Proposed Ceiling Adjustment (if applicable):

Proposed moderate ceiling increase needed to accommodate the increase activity planned for this project.

Variances:

No significant variances, only gradually increases in revenues and expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		40,000	210,000	270,000	270,000	270,000	270,000
Beginning Cash Balance		0	48,076	48,708	57,860	67,860	77,860
Revenues		250,809	185,179	180,987	210,000	220,000	230,000
Expenditures		222,733	184,547	171,835	200,000	210,000	220,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		20,000	0				
Net Total Transfers	0	20,000	0	0	0	0	0
Ending Cash Balance	0	48,076	48,708	57,860	67,860	77,860	87,860
Encumbrances		3,410	3,746	9,285	5,000	5,000	5,000
Unencumbered Cash Balance	0	44,666	44,962	48,575	62,860	72,860	82,860

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 801  
 Name of Fund: Boating Special Fund  
 Legal Authority: Section 248-8, HRS

Contact Name: Kevin Yim  
 Phone: 587.1979  
 Fund type (MOF): Federal - N  
 Appropriation Acct. No.: S-250-C

**Intended Purpose:**

This fund was established to account for federal grant monies received from the U.S. Coast Guard to conduct a State Recreational Boating Safety program.

**Source of Revenues:**

United States Coast Guard

**Current Program Activities/Allowable Expenses:**

Conducting public education in boating safety; enforcing boating safety rules on the water and small boat harbors; and maintaining navigational aids.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variances:**

N/A

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,703	801,411	80,000	1,059,460	1,500,000	1,500,000	1,500,000
Beginning Cash Balance	488,775	927,194	528,650	539,009	721,053	921,053	1,121,053
Revenues	1,017,055	1,129,403	1,070,592	1,241,504	1,300,000	1,300,000	1,300,000
Expenditures	578,636	1,522,941	1,060,233	1,059,460	1,100,000	1,100,000	1,100,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
		(5,006)		0	0	0	0
Net Total Transfers	0	(5,006)	0	0	0	0	0
Ending Cash Balance	927,194	528,650	539,009	721,053	921,053	1,121,053	1,321,053
Encumbrances	178,517	78,103	10,544	10,544	11,000	11,000	11,000
Unencumbered Cash Balance	748,677	450,547	528,465	710,509	910,053	1,110,053	1,310,053

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: Freshwater Fisheries Research and Surveys  
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF) Federal - N  
 Appropriation Acct. No. S-251-C (Sub-Account)

**Intended Purpose:**

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, for coordination of the Statewide Sport Fish Restoration (Dingell-Johnson) Program, which includes projects in development and operation, research, surveys and inventories, technical guidance, aquatic resources education and boating access in the freshwater, and estuarine and marine areas.

**Source of Revenues:**

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

**Current Program Activities/Allowable Expenses:**

Salary, operational, and administrative costs for the coordination of the Statewide Sport Fish Restoration Program; maintaining State eligibility, maximizing obligations; submitting all grant documents and annual reports; assuring Federal, State and County compliances.

**Purpose of Proposed Ceiling Increase (if applicable):**

Cover gradual increases in project cost.

**Variances:**

n/a

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		48,750	50,625	60,000	60,000	65,000	70,000
Beginning Cash Balance	0	0	12,718	33,643	42,792	50,292	57,792
Revenues		55,014	41,205	66,647	60,000	62,500	65,000
Expenditures		21,939	20,280	57,498	52,500	55,000	57,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(20,357)	0		0	0	0
Net Total Transfers	0	(20,357)	0	0	0	0	0
Ending Cash Balance	0	12,718	33,643	42,792	50,292	57,792	65,292
Encumbrances					0	0	0
Unencumbered Cash Balance	0	12,718	33,643	42,792	50,292	57,792	65,292

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: Freshwater Technical Guidance  
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Federal - N  
 Appropriation Acct. No.: S-252-C (Sub-Account)

**Intended Purpose:**

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, for the purpose of providing freshwater technical guidance.

**Source of Revenues:**

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

**Current Program Activities/Allowable Expenses:**

Salary and operational costs to review environmental impact statements, permit applications, legislation, investigate fish kills, provide environmental guidance to State, County and private agencies to mitigate freshwater environmental disturbances.

**Purpose of Proposed Ceiling Increase (if applicable):**

Cover gradual increases in salary and administration cost.

**Variances:**

Significant higher revenue and expenditures expected due to normal reclassing of payroll in FY17 compared to lower amount reclassified of payroll in FY 16.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		80,000	60,000	81,000	90,000	90,000	90,000
Beginning Cash Balance	0	0	6,234	18,747	30,495	40,495	50,495
Revenues		52,770	61,464	32,304	75,000	80,000	85,000
Expenditures		49,536	48,951	20,556	65,000	70,000	75,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		3,000	0		0	0	0
Net Total Transfers	0	3,000	0	0	0	0	0
Ending Cash Balance	0	6,234	18,747	30,495	40,495	50,495	60,495
Encumbrances		1,941	0	0	0	0	0
Unencumbered Cash Balance	0	4,293	18,747	30,495	40,495	50,495	60,495

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: Marine Technical Guidance  
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Federal - N  
 Appropriation Acct. No.: S-253-C (Sub-Account)

**Intended Purpose:**

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, for the purpose of providing marine technical guidance.

**Source of Revenues:**

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

**Current Program Activities/Allowable Expenses:**

Salary and operational costs to review environmental impact statements, permit applications, legislation, investigate fish kills, provide

**Purpose of Proposed Ceiling Increase (if applicable):**

Cover gradual increases in salary and administration cost.

**Variances:**

Significant higher revenue and expenditures expected due to normal reclassing of payroll in FY17 compared to lower amount reclassified of payroll in FY 16.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		150,000	150,000	150,000	180,000	185,000	190,000
Beginning Cash Balance	0	0	10,752	38,539	110,527	125,527	140,527
Revenues		117,234	130,115	131,485	140,000	145,000	150,000
Expenditures		96,482	102,328	59,497	125,000	130,000	135,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(10,000)			0	0	0
Net Total Transfers	0	(10,000)	0	0	0	0	0
Ending Cash Balance	0	10,752	38,539	110,527	125,527	140,527	155,527
Encumbrances		0	0	360	0	0	0
Unencumbered Cash Balance	0	10,752	38,539	110,167	125,527	140,527	155,527

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: Statewide Marine Research and Surveys  
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Federal - N  
 Appropriation Acct. No.: S-254-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, to conduct statewide marine research and survey projects.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salary and operational costs to conduct marine research and surveys to improve recreational fishing, e.g. investigations of estuarine habitats, bottomfish movements, and development and improvement of an aquatic resources database.

Purpose of Proposed Ceiling Increase (if applicable):

Additional contract staff and operational cost projected for FY17 and out years.

Variances:

Significant increased in payroll and operational cost for estuarine habitat and database projects expected for FY 17.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		680,459	777,000	829,950	1,233,625	1,240,000	1,250,000
Beginning Cash Balance	0	0	146,281	212,750		30,000	60,000
Revenues		689,830	669,202	689,487	980,000	990,000	1,000,000
Expenditures		370,927	667,733	636,431	950,000	960,000	970,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(172,622)	65,000	0	0	0	0
Net Total Transfers	0	(172,622)	65,000	0	0	0	0
Ending Cash Balance	0	146,281	212,750	265,806	30,000	60,000	90,000
Encumbrances		263,306	267,588	340,666	300,000	300,000	300,000
Unencumbered Cash Balance	0	(117,024)	(54,838)	(74,860)	(270,000)	(240,000)	(210,000)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: Aquatic Resources Education  
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Federal - N  
 Appropriation Acct. No.: S-255-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration (Dingell-Johnson) Program, to conduct a statewide aquatic resources education project.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salary and operational costs to perform education and outreach: conducting fishing education classes, teacher's workshops, educational presentations, public service announcements, displays at appropriate events, presentations to fishing clubs, civic groups, disbuting printed materials related to marine and freshwater resources and watershed-based approaches to fisheries management.

Purpose of Proposed Ceiling Increase (if applicable):

Moderate ceiling increases in FY17 and out years are due to schedule salary increase and programatic increases.

Variances:

Significant variances in expenditures are due to increases in payroll reclass of federal funds.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(estimated)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		337,500	412,500	375,000	432,000	440,000	445,000
Beginning Cash Balance	0	0	6,061	28,365	197,798	247,798	297,798
Revenues		311,847	339,931	321,962	350,000	375,000	400,000
Expenditures		280,786	237,875	152,529	300,000	325,000	350,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(25,000)	(79,752)	0	0	0	0
Net Total Transfers	0	(25,000)	(79,752)	0	0	0	0
Ending Cash Balance	0	6,061	28,365	197,798	247,798	297,798	347,798
Encumbrances		9,326	2,520	103,984	50,000	50,000	50,000
Unencumbered Cash Balance	0	(3,265)	25,845	93,814	197,798	247,798	297,798

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 402  
 Name of Fund: Hawaii Non-Fame Management Program  
 Legal Authority: Act 134, SLH 2013

Contact Name: James Cogswell  
 Phone: 587-4187  
 Fund type (MOF) Federal - N (Sub Account)  
 Appropriation Acct. No. S-256-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Hawaii Nongame Management Program - to manage, preserve and protect native avifauna and their habitats.

Source of Revenues:

U.S. Department of Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - operating and maintenance, population and habitat management and facilities construction.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

N/A

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		250,000	800,000	1,010,033	1,371,650	1,200,000	1,200,000
Beginning Cash Balance	0	0	62,663	104,606	45,971	5,971	15,971
Revenues		240,365	518,601	693,559	760,000	810,000	850,000
Expenditures		217,702	351,658	752,194	800,000	800,000	850,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS5969, 4/23/15		40,000	(125,000)	0	0	0	0
00JS0353, 07/28/14							
00JS5969, 4/23/15							
Net Total Transfers	0	40,000	(125,000)	0	0	0	0
Ending Cash Balance	0	62,663	104,606	45,971	5,971	15,971	15,971
Encumbrances		16,988	157,305	197,141	200,000	200,000	200,000
Unencumbered Cash Balance	0	45,675	(52,699)	(151,170)	(194,029)	(184,029)	(184,029)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 402  
 Name of Fund: State Wildlife Grant  
 Legal Authority: Act 134, SLH 2013

Contact Name: James Cogswell  
 Phone: 587-4187  
 Fund type (MOF) Federal - N (Sub Account)  
 Appropriation Acct. No. S-258-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Hawaii Nongame Management Program - to manage, preserve and protect native avifauna and their habitats.

Source of Revenues:

U.S. Department of Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - operating and maintenance, population and habitat management and facilities construction.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

The variance in expenditures between FY 17 & 18 reflects FY 16 encumbrances anticipated to be expended FY 17.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		550,000	550,000	490,638	490,528	550,000	550,000
Beginning Cash Balance	0	0	55,321	15,866	69,484	69,484	54,484
Revenues		341,858	343,256	418,000	500,000	520,000	530,000
Expenditures		254,105	377,711	365,382	500,000	535,000	535,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached IList		(32,432)	(5,000)	1,000	0	0	0
Net Total Transfers	0	(32,432)	(5,000)	1,000	0	0	0
Ending Cash Balance	0	55,321	15,866	69,484	69,484	54,484	49,484
Encumbrances		187,029	240,269	330,636	350,000	350,000	350,000
Unencumbered Cash Balance	0	(131,708)	(224,403)	(261,152)	(280,516)	(295,516)	(300,516)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**

for Submittal to the 2017 Legislature

<b>Program ID</b>	<b>MOF</b>	<b>Appn Type</b>	<b>Fund</b>	<b>FY</b>	<b>Acct</b>	<b>Function</b>	<b>Net Amount</b>	<b>Trans Type</b>	<b>Trans Code</b>	<b>Opt Dept Data</b>	<b>Doc No</b>	<b>Doc Date</b>
LNR402	N	A	S	2014	258	DF	1,000.00	JRNL	971		00JS0166	7/17/2015
LNR402	N	A	S	2015	258	DF	(39,000.00)	JRNL	972		00JS7065	6/29/2016
LNR402	N	A	S	2015	258	DF	25,000.00	JRNL	971		00JS0166	7/17/2015
LNR402	N	A	S	2015	258	DF	30,000.00	JRNL	971		00JS1637	9/28/2015
LNR402	N	A	S	2015	258	DF	(16,000.00)	JRNL	972		00JS3062	12/4/2015
LNR402	N	A	S	2016	258	DF	(16,000.00)	JRNL	972		00JS7065	6/29/2016
LNR402	N	A	S	2016	258	DF	16,000.00	JRNL	971		00JS3062	12/4/2015
							<u>1,000.00</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 804  
 Name of Fund: Hawaii Game Management Program  
 Legal Authority: Act 134, SLH 2013

Contact Name: James Cogswell  
 Phone: 587-4187  
 Fund type (MOF): Federal - N  
 Appropriation Acct. No.: S-261-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, to administer the Statewide Federal Aid in Wildlife Restoration (Pittman-Robertson) Program, which includes projects for development and operations, game research and surveys, non-game and endangered species and technical guidance.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Construct and maintain hiking trails' inventory and conduct surveys of game birds and mammals; construct and maintain other forest recreational facilities; research and develop new public hunting areas.

Purpose of Proposed Ceiling Increase (if applicable):

n/a

Variances:

n/a

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		800,000	1,875,426	2,567,973	2,238,375	2,200,000	2,200,000
Beginning Cash Balance	0	0	49,866	13,740	29,616	29,616	29,616
Revenues		1,095,534	1,446,158	1,998,425	2,000,000	2,000,000	2,000,000
Expenditures		1,014,558	1,516,784	1,951,049	2,000,000	2,000,000	2,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(31,110)	34,500	(31,500)	0	0	0
Net Total Transfers	0	(31,110)	34,500	(31,500)	0	0	0
Ending Cash Balance	0	49,866	13,740	29,616	29,616	29,616	29,616
Encumbrances		655,786		1,365,946	1,200,000	1,200,000	1,200,000
Unencumbered Cash Balance	0	(605,920)	13,740	(1,336,330)	(1,170,384)	(1,170,384)	(1,170,384)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**

for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Accnt	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR804	N	A	S	2014	261	KEA	10,000.00	JRNL	971		00JS3834	1/20/2016
LNR804	N	A	S	2014	261	KEA	5,000.00	JRNL	971		00JS4463	2/23/2016
LNR804	N	A	S	2014	261	KEA	(3,000.00)	JRNL	972		00JS7065	6/29/2016
LNR804	N	A	S	2014	261	KEA	47,000.00	JRNL	971		00JS0329	7/27/2015
LNR804	N	A	S	2014	261	KEA	(20,000.00)	JRNL	972		00JS1176	9/4/2015
LNR804	N	A	S	2014	261	KEA	20,000.00	JRNL	971		00JS2273	10/27/2015
LNR804	N	A	S	2014	261	KEA	(55,000.00)	JRNL	972		00JS3062	12/4/2015
LNR804	N	A	S	2015	261	KEA	(10,000.00)	JRNL	972		00JS3834	1/20/2016
LNR804	N	A	S	2015	261	KEA	(6,000.00)	JRNL	972		00JS7065	6/29/2016
LNR804	N	A	S	2015	261	KEA	20,000.00	JRNL	971		00JS7231	6/30/2016
LNR804	N	A	S	2015	261	KEA	(47,000.00)	JRNL	972		00JS0329	7/27/2015
LNR804	N	A	S	2015	261	KEA	(84,000.00)	JRNL	972		00JS0757	8/17/2015
LNR804	N	A	S	2015	261	KEA	30,000.00	JRNL	971		00JS2273	10/27/2015
LNR804	N	A	S	2015	261	KEA	41,000.00	JRNL	971		00JS3062	12/4/2015
LNR804	N	A	S	2016	261	KEA	(5,000.00)	JRNL	972		00JS4463	2/23/2016
LNR804	N	A	S	2016	261	KEA	(28,000.00)	JRNL	972		00JS7065	6/29/2016
LNR804	N	A	S	2016	261	KEA	(35,500.00)	JRNL	972		00JS7231	6/30/2016
LNR804	N	A	S	2016	261	KEA	84,000.00	JRNL	971		00JS0757	8/17/2015
LNR804	N	A	S	2016	261	KEA	20,000.00	JRNL	971		00JS1176	9/4/2015
LNR804	N	A	S	2016	261	KEA	(50,000.00)	JRNL	972		00JS2273	10/27/2015
LNR804	N	A	S	2016	261	KEA	35,000.00	JRNL	971		00JS3062	12/4/2015
							<u>(31,500.00)</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 804  
 Name of Fund: National Recreational Trails  
 Legal Authority: Act 134, SLH 2013

Contact Name: Aaron Lowe  
 Phone: 587-4175  
 Fund type (MOF): Federal - N  
 Appropriation Acct. No.: S-262-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S Federal Highways Administration for the Recreational Trails Program

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Statewide rehabilitation, maintenance and development of multi-use trails, access roads and OHV Parks.

Purpose of Proposed Ceiling Increase (if applicable):

n/a

Variances:

n/a

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,100,000	1,300,000	1,305,149	1,300,000	1,300,000	1,300,000
Beginning Cash Balance	0	0	24,725	164,602	221,577	321,577	421,577
Revenues		357,534	1,003,158	1,193,915	1,200,000	1,200,000	1,200,000
Expenditures		172,810	863,281	1,136,940	1,100,000	1,100,000	1,100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(160,000)			0	0	0
Net Total Transfers	0	(160,000)	0	0	0	0	0
Ending Cash Balance	0	24,725	164,602	221,577	321,577	421,577	521,577
Encumbrances		404,834	505,930	758,362	700,000	700,000	700,000
Unencumbered Cash Balance	0	(380,109)	(341,328)	(536,785)	(378,423)	(278,423)	(178,423)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 402  
 Name of Fund: Forests and Wildlife Resources  
 Legal Authority: Act 134, SLH 2013

Contact Name: James Cogswell  
 Phone: 587-4187  
 Fund type (MOF) Other Federal - P (Parent Account)  
 Appropriation Acct. No. S-504-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Interior, Fish and Wildlife Service for Statewide Wildlife Restoration Program.. This fund also receives monies from U.S. Department of Agriculture for the implementation of various NRCS Grants/Agreements.

Source of Revenues:

U.S. Department of Interior, Fish & Wildlife Service, U.S. Department of Agriculture

Current Program Activities/Allowable Expenses:

Research and control forest insect disease, increase the population of endangered species and develop unique ecosystem.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Variance is due to anticipated land acquisitions for FY 17 & FY 18. (Land acquisition is part of the roll-over amount from S-14-504)

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		13,536,355	0				
Beginning Cash Balance	0	0	3,159	179,177	192,579	192,579	292,579
Revenues		2,072,393	927,717	300,803	2,600,000	2,700,000	200,000
Expenditures		652,132	875,699	274,901	2,600,000	2,600,000	492,579
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list		(1,417,102)	124,000	(12,500)	0	0	0
Net Total Transfers	0	(1,417,102)	124,000	(12,500)	0	0	0
Ending Cash Balance	0	3,159	179,177	192,579	192,579	292,579	(0)
Encumbrances		307,330	271,894	263,405	100,000	100,000	
Unencumbered Cash Balance	0	(304,171)	(92,717)	(70,826)	92,579	192,579	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

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**Report on Non-General Fund Information**

for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Accnt	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR402	P	A	S	2014	504	DF	148,000.00	JRNL	971		00JS7065	6/29/2016
LNR402	P	A	S	2014	504	DF	5,000.00	JRNL	971		00JS7231	6/30/2016
LNR402	P	A	S	2014	504	DF	(50,000.00)	JRNL	972		00JS0166	7/17/2015
LNR402	P	A	S	2014	504	DF	(5,000.00)	JRNL	972		00JS0947	8/26/2015
LNR402	P	A	S	2014	504	DF	(75,500.00)	JRNL	972		00JS1637	9/28/2015
LNR402	P	A	S	2014	504	DF	(35,000.00)	JRNL	972		00JS2273	10/27/2015
							<u>(12,500.00)</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 405  
 Name of Fund: Conservation and Resources Enforcement  
 Legal Authority: Act 134, SLH 2013

Contact Name: Andrew Choy  
 Phone: 587-0200  
 Fund type (MOF): Special - B  
 Appropriation Acct. No.: S-505-C

Intended Purpose:  
 Parent account for other federal fund appropriations

Source of Revenues:  
 Federal funds grants roll-over  
 Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:  
 Parent Account, federal grants fully accounted in separate appropriations.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,044,855	0	0			
Beginning Cash Balance	0	0	586,355	509,548	508,344	508,344	508,344
Revenues		289,891	0	0	0	0	0
Expenditures		443,880	76,807	1,204	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
		740,344					
Net Total Transfers	0	740,344	0	0	0	0	0
Ending Cash Balance	0	586,355	509,548	508,344	508,344	508,344	508,344
Encumbrances		255,097	16,980	72	0	0	0
Unencumbered Cash Balance	0	331,258	492,568	508,272	508,344	508,344	508,344

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 806  
 Name of Fund: Land and Water Conservation Fund  
 Legal Authority: Act 134, SLH 2013

Contact Name: Martha Yent/Piikea Tomczyk  
 Phone: 7-0287 / 7-0304  
 Fund type (MOF): Other Federal - P  
 Appropriation Acct. No.: S-506-C

**Intended Purpose:**

This account was established to maintain the federal grant monies received from the U.S. Department of Interior, National Park Service, to administer the Statewide Federal Land and Water Conservation Fund Grant Program, which provides matching grants to State and County agencies for acquiring and developing outdoor recreation areas.

**Source of Revenues:**

U.S. Department of Interior, National Park Service

**Current Program Activities/Allowable Expenses:**

Continue development and updating of the State Comprehensive Outdoor Plan and contact State and County agencies for program participation.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

Partial future grant drawdowns for county, Kaiwi and Waianapanapa projects. This is a pass through account.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,200,000	1,218,456	1,218,456	1,218,456	1,218,456	1,218,456
Beginning Cash Balance	0	0	1,009	63,263	12,722	(41,278)	(41,278)
Revenues		411,337	104,571	104,371	767,431	600,000	1,080,000
Expenditures		456,493	15,812	148,277	767,431	600,000	1,080,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0329, 7/27/2015		46,165	(26,505)	(6,635)	(54,000)	0	(200,000)
00JS2601, 11/12/2015							
Net Total Transfers	0	46,165	(26,505)	(6,635)	(54,000)	0	(200,000)
Ending Cash Balance	0	1,009	63,263	12,722	(41,278)	(41,278)	(241,278)
Encumbrances		0	0	0	0	0	0
Unencumbered Cash Balance	0	1,009	63,263	12,722	(41,278)	(41,278)	(241,278)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 407  
 Name of Fund: Natural Area Reserves & Watershed Management  
 Legal Authority: Act 134, SLH 2013

Contact Name: Emma Yuen  
 Phone: 587-0054  
 Fund type (MOF) Other Federal - P  
 Appropriation Acct. No. S-507-C (Parent Account)

Intended Purpose:

This fund is established to account for federal grant monies from U.S. Dept. of Interior Fish and Wildlife Service for the implementation of various programs.

Source of Revenues:

Federal Grants from U.S. Department of the Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grants within the natural areas and watersheds Statewide.

All expenses relating to the YCC (Americorps) grant; support of invasive species control and other approved federal projects within the Natural Area Reserves (NAR) and Watershed Areas Statewide.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Grants ended 6/30/16. (ceiling will be transferred to sub-accounts)

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		900,000	248,536				
Beginning Cash Balance	0	0	792,350	959,640	973,497	973,497	973,497
Revenues		792,505	123,775	136,893	0	0	0
Expenditures		156	29,485	123,036	0	0	0
Transfers							
List each net transfer in/out/ Or projection in/out; list each		(789,173)					
			73,000				
Net Total Transfers	0	0	73,000	0	0	0	0
Ending Cash Balance	0	792,350	959,640	973,497	973,497	973,497	973,497
Encumbrances		46,837	131,086	12,809	0	0	0
Unencumbered Cash Balance	0	745,512	828,554	960,688	973,497	973,497	973,497

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**

for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Acnt	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR407	P	A	S	2014	507	DF	61,000.00	JRNL	971		00JS7065	6/29/2016
LNR407	P	A	S	2014	507	DF	22,500.00	JRNL	971		00JS7231	6/30/2016
LNR407	P	A	S	2014	507	DF	(117,000.00)	JRNL	972		00JS0166	7/17/2015
LNR407	P	A	S	2014	507	DF	(27,000.00)	JRNL	972		00JS0947	8/26/2015
LNR407	P	A	S	2014	507	DF	(30,500.00)	JRNL	972		00JS1637	9/28/2015
							<u>(91,000.00)</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 805  
 Name of Fund: Statewide Marine Fisheries Development  
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Other Federal - P  
 Appropriation Acct. No.: S-508-C (Parent Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, for the purpose of conducting statewide marine fisheries development activities.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sportfish Restoration Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salaries and operating costs for the development and maintenance of man-made shelters and structures (artificial reefs) for attracting and sustaining marine life to new fishing areas, thus improving recreational fishing opportunities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Appropriation S-508 changes to S-214

Variances:

see above

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		450,000	283,254				
Beginning Cash Balance		0	202,199	0	0	0	0
Revenues		242,164	1,946				
Expenditures		30,715	0				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(9,250)	(204,145)				
Net Total Transfers	0	(9,250)	(204,145)	0	0	0	0
Ending Cash Balance	0	202,199	0	0	0	0	0
Encumbrances		0			0	0	0
Unencumbered Cash Balance	0	202,199	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 153  
 Name of Fund: WPACFIN  
 Legal Authority: 187A-8, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Other Federal - P  
 Appropriation Acct. No.: S-509-C (Parent Account)

Intended Purpose:

and Atmospheric Administration (NOAA) to operate and continue improving the Fisheries Information System of Hawaii; prepare summary reports of commercial landings.

Source of Revenues:

NOAA Pacific Fisheries Data Program grant (CFDA 11.437) Western Pacific Fisheries Information Network

Current Program Activities/Allowable Expenses:

Salaries and operating costs for licensing, collecting/recording/processing commercial fish catch and dealer reports, summarizing collected fish catch data.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variations:

n/a

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		84,075	170,000	109,140	109,140	108,308	111,441
Beginning Cash Balance	0	0	29,862	26,559	46,543	46,543	46,543
Revenues		88,499	80,772	104,059	109,140	108,308	111,441
Expenditures		83,637	84,075	84,075	109,140	108,308	111,441
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
		25,000		0	0	0	0
Net Total Transfers	0	25,000	0	0	0	0	0
Ending Cash Balance	0	29,862	26,559	46,543	46,543	46,543	46,543
Encumbrances			26,558	0	0	0	0
Unencumbered Cash Balance	0	29,862	1	46,543	46,543	46,543	46,543

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 810  
 Name of Fund: Prevention of Natural Disasters  
 Legal Authority: Act 134, SLH 2013

Contact Name: Alyson Yim  
 Phone: 587-0259  
 Fund type (MOF): Other Federal Funds- P  
 Appropriation Acct. No.: S-510-C

Intended Purpose:

Parent account for roll-over federal funds received from the Federal Emergency Management Agency to carry out Flood Plan Management activities and develop a flood hazard mitigation plan to strengthen the State's role in the National Flood Insurance Program, dam safety grants from the National Dam Safety Program and NOAA grants for flood mitigation.

Source of Revenues:

Roll-over funds

Current Program Activities/Allowable Expenses:

Program activities, including but not limited to: maintain/update of the Statewide Flood Control plan; National Flood Insurance Program; prepare post-flood reports; collect flood data; coordinate stream maintenance activities; inspect existing dams and reservoirs; and provide technical support to state, federal and private dam owners.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	71,047	0		0	0	0
Beginning Cash Balance	0	0	48,381	62,548	28,002	28,002	28,002
Revenues		323,726	361,612	0	0	0	0
Expenditures		145,620	111,597	323	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0167, 07/17/2015		(129,725)	(235,848)	(34,223)	0	0	0
Net Total Transfers	0	(129,725)	(235,848)	(34,223)	0	0	0
Ending Cash Balance	0	48,381	62,548	28,002	28,002	28,002	28,002
Encumbrances		112,757	323				
Unencumbered Cash Balance	0	(64,376)	62,225	28,002	28,002	28,002	28,002

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: Aquatic Resources  
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF) Other Federal - P  
 Appropriation Acct. No. S-511-C (Parent Account)

Intended Purpose:  
 Parent account for maintenance of federal grants  
 Source of Revenues:  
 Rollover funds from NOAA and USFWS grants  
 Current Program Activities/Allowable Expenses:  
 Salary and operating costs for various projects previously approved by B&F and Legislature.  
 Purpose of Proposed Ceiling Increase (if applicable):  
 Appropriation ceiling obtain from Datamart.  
 Variances:  
 There are no plans to utilized this account.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		2,052,000	0	0	60,000		
Beginning Cash Balance	0	0	104,747	353,916	374,915	374,915	374,915
Revenues		593,815	795,992	2,279	0		
Expenditures		213,136	474,269	44,215	0		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(275,932)	(72,554)	62,935			
Net Total Transfers	0	(275,932)	(72,554)	62,935	0	0	0
Ending Cash Balance	0	104,747	353,916	374,915	374,915	374,915	374,915
Encumbrances		284,482	181,561	236	0	0	0
Unencumbered Cash Balance	0	(179,735)	172,355	374,679	374,915	374,915	374,915

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**

for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Acct	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR401	P	A	S	2014	511	DIF	(25,823.69)	JRNL	972		00JS3885	1/21/2016
LNR401	P	A	S	2014	511	DIF	25,823.69	JRNL	972		00JS4816	3/14/2016
LNR401	P	A	S	2014	511	DIF	65,212.66	JRNL	971		00JS1241	9/9/2015
LNR401	P	A	S	2014	511	DIF	(2,277.35)	JRNL	972		00JS1388	9/16/2015
							<u>62,935.31</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: Forestry Resource Management & Dev  
 Legal Authority: Section 195F-4, HRS

Contact Name: Melissa Sprecher  
 Phone: 587-4172  
 Fund type (MOF) Other Federal - P  
 Appropriation Acct. No. S-512-C (Parent Account)

**Intended Purpose:**

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of various programs under the Cooperative Forestry Assistance Act.

**Source of Revenues:**

Federal Grant from U.S. Department of Agriculture, Forest Service

**Current Program Activities/Allowable Expenses:**

Operate the Central Tree Nursery in Kamuela; plant trees,; review and update forest resource inventory, and provide private landowners with funds, seedlings and technical forestry assistance.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Grants will be closing end of FY 17.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		3,530,254	10,200,000	7,000,000			
Beginning Cash Balance	0	0	86,621	168,843	195,459	193,793	193,793
Revenues		897,684	766,121	378,392	88,334		
Expenditures		227,063	686,899	180,276	90,000		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See Attached List		(584,000)	3,000	(171,500)			
Net Total Transfers	0	(584,000)	3,000	(171,500)	0	0	0
Ending Cash Balance	0	86,621	168,843	195,459	193,793	193,793	193,793
Encumbrances		672,220	158,524	29,564	0		
Unencumbered Cash Balance	0	(585,599)	10,319	165,895	193,793	193,793	193,793

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**

for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Acnt	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR172	P	A	S	2014	512	MG	39,500.00	JRNL	971		00JS7065	6/29/2016
LNR172	P	A	S	2014	512	MG	(134,000.00)	JRNL	972		00JS0166	7/17/2015
LNR172	P	A	S	2014	512	MG	(10,000.00)	JRNL	972		00JS0329	7/27/2015
LNR172	P	A	S	2014	512	MG	(45,000.00)	JRNL	972		00JS0947	8/26/2015
LNR172	P	A	S	2014	512	MG	(15,000.00)	JRNL	972		00JS2593	11/12/2015
LNR172	P	A	S	2014	512	MG	(7,000.00)	JRNL	972		00JS2593	11/12/2015
							<u>(171,500.00)</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

SSSS Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: Urban & Community Forestry  
 Legal Authority Act 134, SLH 2013

Contact Name: Melissa Sprecher  
 Phone: 587-4172  
 Fund type (MOF) \_\_\_\_\_  
 Appropriation Acct. No. S-513-C (Sub Account)

**Intended Purpose:**

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of various programs under the Cooperative Forestry Assistance Act.

**Source of Revenues:**

Federal Grant from U.S. Department of Agriculture, Forest Service

**Current Program Activities/Allowable Expenses:**

Operate the Central Tree Nursery in Kamuela; plant trees,; review and update forest resource inventory, and provide private landowners with funds, seedlings and technical forestry assistance,

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variiances:**

The increase in expenditures between FY 16 & FY 17 is due to encumbrances anticipated to be expended in FY 17.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		300,000	300,000	300,000	242,312	275,000	275,000
Beginning Cash Balance	0	0	35,870	60,361	88,067	58,067	28,067
Revenues		359,441	204,949	277,028	290,000	290,000	295,000
Expenditures		148,571	180,458	250,322	320,000	320,000	320,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS2593, 11/12/2015		(175,000)		1,000			
Net Total Transfers	0	(175,000)	0	1,000	0	0	0
Ending Cash Balance	0	35,870	60,361	88,067	58,067	28,067	3,067
Encumbrances		13,710	64,462	147,542	130,000	130,000	130,000
Unencumbered Cash Balance	0	22,160	(4,101)	(59,475)	(71,933)	(101,933)	(126,933)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: Forest Legacy - Administration  
 Legal Authority: Act 134, SLH 2013

Contact Name: Irene Sprecher  
 Phone: 587-4167  
 Fund type (MOF): Other Federal Funds - P  
 Appropriation Acct. No.: S-516-C (Sub Account)

**Intended Purpose:**

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Forest Legacy - Administration Grant under the Cooperative Forestry Assistance Act.

**Source of Revenues:**

Federal Grant from U.S. Department of Agriculture, Forest Service

**Current Program Activities/Allowable Expenses:**

Forest Legacy Program protects "working forests" those that protect water quality, provide habitat, forest products opportunities for recreation and other benefits through conservation acquisitions. A primary focus of this Program is to educate private landowners on the importance of protecting their forest lands and acquire threatened forests.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

The anticipated increase in expenditures and encumbrances in the next Fiscal Years reflects anticipated increase grant spending the next years.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		35,000	0	63,913	30,000	30,000	30,000
Beginning Cash Balance	0	0	2,433	30,593	43,361	38,361	33,361
Revenues		2,433	29,248	16,984	25,000	25,000	25,000
Expenditures		0	1,088	4,216	30,000	30,000	30,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0	0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	2,433	30,593	43,361	38,361	33,361	28,361
Encumbrances		5,334			5,000	5,000	5,000
Unencumbered Cash Balance	0	(2,901)	30,593	43,361	33,361	28,361	23,361

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: Forest Stewardship Program  
 Legal Authority: Act 134, SLH 2013

Contact Name: Melissa Sprecher  
 Phone: 587-4172  
 Fund type (MOF) P  
 Appropriation Acct. No. S-517-C (Sub Account)

**Intended Purpose:**

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Forest Stewardship Program under the Cooperative Forestry Assistance Act.

**Source of Revenues:**

Federal Grant from U.S. Department of Agriculture, Forest Service

**Current Program Activities/Allowable Expenses:**

Forest Stewardship Program Provides technical assistance, through State Forestry Agency partners, to non-industrial private owners to encourage and enable active long-term forest management. A primary focus of the program is the development of comprehensive, multi-resource management plans that provide landowners with the information they need to manage their forests for a variety of products and service.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		100,000	65,000	79,000	76,900	80,000	80,000
Beginning Cash Balance	0	0	37,314	34,703	25,962	12,962	4,962
Revenues		53,545	73,667	58,533	60,000	65,000	70,000
Expenditures		67,035	86,278	82,274	73,000	73,000	73,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached List		50,804	10,000	15,000			
Net Total Transfers	0	50,804	10,000	15,000	0	0	0
Ending Cash Balance	0	37,314	34,703	25,962	12,962	4,962	1,962
Encumbrances		0	0	9,513	10,000	10,000	10,000
Unencumbered Cash Balance	0	37,314	34,703	16,449	2,962	(5,038)	(8,038)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**

for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Accnt	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR172	P	A	S	2014	517	MG	(12,847.46)	JRNL	972		00JS0757	8/17/2015
LNR172	P	A	S	2014	517	MG	(6,000.00)	JRNL	972		00JS0807	8/19/2015
LNR172	P	A	S	2014	517	MG	(10,000.00)	JRNL	972		00JS2593	11/12/2015
LNR172	P	A	S	2016	517	MG	12,847.46	JRNL	971		00JS0757	8/17/2015
LNR172	P	A	S	2016	517	MG	6,000.00	JRNL	971		00JS0807	8/19/2015
LNR172	P	A	S	2016	517	MG	25,000.00	JRNL	971		00JS2593	11/12/2015
							<u>15,000.00</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: Cooperative Lands Forest Health  
 Legal Authority: Act 134, SLH 2013

Contact Name: Robert Hauff  
 Phone: 587-4172  
 Fund type (MOF) Other Federal Funds - P  
 Appropriation Acct. No. S-518-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Cooperative Lands Forest Health Program under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - monitoring and control of forests pests statewide

Purpose of Proposed Ceiling Increase (if applicable):

n/a

Variances:

The anticipated increase in expenditures and encumbrances in the next Fiscal Years reflects anticipated increase grant spending the next years.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		210,000	210,000	650,000	400,000	400,000	400,000
Beginning Cash Balance	0	0	151,357	118,779	88,996	138,996	188,996
Revenues		233,899	115,730	157,326	400,000	400,000	400,000
Expenditures		32,542	148,308	187,109	350,000	350,000	350,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(50,000)		0	0	0	0
Net Total Transfers	0	(50,000)	0	0	0	0	0
Ending Cash Balance	0	151,357	118,779	88,996	138,996	188,996	238,996
Encumbrances		50,939	142,801	125,099	200,000	200,000	200,000
Unencumbered Cash Balance	0	100,418	(24,022)	(36,103)	(61,004)	(11,004)	38,996

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: Forestry Health Protection - Invasice Plants  
 Legal Authority: Act 134, SLH 2013

Contact Name: Robert Hauff  
 Phone: 587-4172  
 Fund type (MOF) Other Federal Funds - P  
 Appropriation Acct. No. S-519-C (Sub Account)

**Intended Purpose:**

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Cooperative Lands Forest Health Program under the Cooperative Forestry Assistance Act.

**Source of Revenues:**

Federal Grant from U.S. Department of Agriculture, Forest Service

**Current Program Activities/Allowable Expenses:**

All expenses relating to the implementation of Federal Grant approved projects - monitoring and control of forests pests statewide

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

There is an anticipated decrease in federal awards in the next 3 years.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		400,000	415,000	100,000	50,000	50,000	50,000
Beginning Cash Balance	0	0	169,474	4,706	7,722	27,722	37,722
Revenues		362,252	605,627	112,349	350,000	75,000	75,000
Expenditures		0	770,395	210,833	330,000	65,000	65,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0765, 6/29/16		(192,778)		(3,500)	0	0	0
00JS0166, 7/17/15				5,000			
00JS0166, 7/17/15				100,000			
Net Total Transfers	0	(192,778)	0	101,500	0	0	0
Ending Cash Balance	0	169,474	4,706	7,722	27,722	37,722	47,722
Encumbrances		386,101	108,005	377,865	25,000	25,000	25,000
Unencumbered Cash Balance	0	(216,627)	(103,299)	(370,143)	2,722	12,722	22,722

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 153  
 Name of Fund: Interjurisdictional Fisheries Act  
 Legal Authority: 187A-8, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF) Other Federal - P  
 Appropriation Acct. No. S-520-C (Sub- Account)

Intended Purpose:

This fund was established to account for federal grant funds from the U.S. Department of Commerce's National Oceanographic and Atmospheric Administration (NOAA) to operate and maintain the Commercial Marine Licensing System.

Source of Revenues:

NOAA Pacific fisheries Data Program grant (CFDA 11.437), funds from the Interjurisdictional Fisheries Act

Current Program Activities/Allowable Expenses:

Funds are used to maintain and improve the State of Hawaii's on-line Commercial Marine Licensing System and Fishing Reports; includes software, maintenance, internet licensing fees, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Develop and maintain licensing and dealer reporting system.

Variances:

Large increases in ceiling and corresponding revenues and expenditures due to increasing value of the fishery and therefore additional federal funds available.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		47,880	159,075	191,834	277,295		
Beginning Cash Balance	0	0	4,998	10,255	10,255	10,255	10,255
Revenues		30,003	25,064	35,784	85,000	90,000	95,000
Expenditures		35,005	26,790	35,784	85,000	90,000	95,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		10,000	11,981				
Net Total Transfers	0	10,000	11,981	0	0	0	0
Ending Cash Balance	0	4,998	15,253	10,255	10,255	10,255	10,255
Encumbrances		2,617	0	10,471	0	0	0
Unencumbered Cash Balance	0	2,381	15,253	(216)	10,255	10,255	10,255

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: Humpback What National Marine Sanctuary  
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF) Other Federal - P  
 Appropriation Acct. No. S-523-C (Sub Account)

**Intended Purpose:**

This fund was established to account for federal grant monies received from the U.S. Department of Commerce, National Oceanic and

**Source of Revenues:**

U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA), Marine Sanctuary Program MOA (CFDA 11.429)

**Current Program Activities/Allowable Expenses:**

Salary and operating costs for personnel co-managing the Humpback Whale National Marine Sanctuary.

**Purpose of Proposed Ceiling Increase (if applicable):**

The 100K ceiling will be used to support sanctuary duties including personnel and operating cost.

**Variances:**

The 100K in federal funds is due to an agreement with NOAA supporting sanctuary work.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		300,000	95,000	0	100,000		
Beginning Cash Balance	0	0	31,292	2,214	24,773	24,773	24,773
Revenues		0	139,651	63,849	100,000		
Expenditures		79,421	129,360	25,833	100,000		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list		110,713	(39,369)	(15,457)			
Net Total Transfers	0	110,713	(39,369)	(15,457)	0	0	0
Ending Cash Balance	0	31,292	2,214	24,773	24,773	24,773	24,773
Encumbrances		179	0	0	0	0	0
Unencumbered Cash Balance	0	31,113	2,214	24,773	24,773	24,773	24,773

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**

for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Accnt	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR401	P	A	S	2015	523	DO	(5,000.00)	JRNL	972		00JS1177	9/4/2015
LNR401	P	A	S	2015	523	DO	(16,726.78)	JRNL	972		00JS1241	9/9/2015
LNR401	P	A	S	2015	523	DO	1,269.99	JRNL	971		00JS1388	9/16/2015
LNR401	P	A	S	2016	523	DO	5,000.00	JRNL	971		00JS1177	9/4/2015
							<u>(15,456.79)</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: Papahanaumokuakea Monument  
 Legal Authority: 187A-8, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Other Federal - P  
 Appropriation Acct. No.: S-524-C (Sub Account)

**Intended Purpose:**

This fund was established to account for federal grant monies received from the U.S. Department of Commerce, National Oceanic and

**Source of Revenues:**

U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA), Marine Sanctuary Program MOA (CFDA 11.429)

**Current Program Activities/Allowable Expenses:**

Salary and operating costs for personnel co-managing the Papahanaumokuakea Marine National Monument.

**Purpose of Proposed Ceiling Increase (if applicable):**

n/a

**Variances:**

The 95K in federal funds is due to an agreement with NOAA supporting the monument work.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		189,000	196,164	0	95,000		
Beginning Cash Balance	0	0	67,678	9,137	5,305	5,305	5,305
Revenues		117,749	115,951	133,320	95,000		
Expenditures		122,931	83,470	89,673	95,000		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		72,860	(91,022)	(47,479)	0	0	0
Net Total Transfers	0	72,860	(91,022)	(47,479)	0	0	0
Ending Cash Balance	0	67,678	9,137	5,305	5,305	5,305	5,305
Encumbrances		0	0	0	0	0	0
Unencumbered Cash Balance	0	67,678	9,137	5,305	5,305	5,305	5,305

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**

for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Accnt	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR401	P	A	S	2015	524	DO	5,000.00	JRNL	971		00JS5958	5/11/2016
LNR401	P	A	S	2015	524	DO	(5,000.00)	JRNL	972		00JS1177	9/4/2015
LNR401	P	A	S	2015	524	DO	(48,485.88)	JRNL	972		00JS1241	9/9/2015
LNR401	P	A	S	2015	524	DO	1,007.36	JRNL	971		00JS1388	9/16/2015
LNR401	P	A	S	2016	524	DO	(5,000.00)	JRNL	972		00JS5958	5/11/2016
LNR401	P	A	S	2016	524	DO	5,000.00	JRNL	971		00JS1177	9/4/2015
							<u>(47,478.52)</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: Hawaii Marine Recreational Fishing Survey  
 Legal Authority: 187A-8, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Other Federal - P  
 Appropriation Acct. No.: S-525-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA) for collecting fisheries catch data.

Source of Revenues:

NOAA, Pacific Fisheries Data Program Grant (CFDA 11.437)

Current Program Activities/Allowable Expenses:

Salary and operating costs needed to collect current fish catch and effort data from non-commercial fishers via intercept or creel surveys in the main Hawaiian Islands (O'ahu, Kaua'i, Maui, Moloka'i and Hawai'i) and to provide the data and/or any analyses upon request to various resource management agencies.

Purpose of Proposed Ceiling Increase (if applicable):

n/a

Variances:

Lower ceiling in FY17 and the out years reflected by lower revenues and expenditures.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		290,000	330,000	285,000	285,000	285,000	285,000
Beginning Cash Balance	0	0	234	83,179	61,288	61,288	61,288
Revenues		205,351	390,231	335,572	285,000	285,000	285,000
Expenditures		186,190	360,465	357,463	285,000	285,000	285,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(18,928)	53,179	0	0	0	0
Net Total Transfers	0	(18,928)	53,179	0	0	0	0
Ending Cash Balance	0	234	83,179	61,288	61,288	61,288	61,288
Encumbrances		103,811	73,345	0	0	0	0
Unencumbered Cash Balance	0	(103,577)	9,834	61,288	61,288	61,288	61,288

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: Monk Seals & Sea Turtles Management  
 Legal Authority: 187A-8, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF) Other Federal - P  
 Appropriation Acct. No. S-526-C (Sub Account)

**Intended Purpose:**

This fund was established to account for federal grant monies received from the U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA) grant to provide support for State of Hawaii co-management of monk seals and sea turtles in the Hawaiian Islands Humpback Whale National Marine Sanctuary.

**Source of Revenues:**

U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA), Unallied Science Program grant (CFDA 11.472)

**Current Program Activities/Allowable Expenses:**

Salaries and operating costs for management, outreach and education programs for monk seals and sea turtles. One continuing grant approved for FY14-FY16; however, funds are dispersed on an annual basis; DO NOT ISSUE SEPARATE YEARLY APPROPRIATION CODES.

**Purpose of Proposed Ceiling Increase (if applicable):**

The FY 18 ceiling lower for the initial year of a 3-year grant due to reduced outreach work planned, but FY 19 ceiling higher because of additional staffing proposed.

**Variances:**

Revenues and expenditures remain level because ceilings for FY 18 and 19 are only proposed and may only be funded at 300K.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		453,000	466,182	476,261	0	399,187	583,882
Beginning Cash Balance	0	0	26,717	68,019	75,084	75,084	75,084
Revenues		63,985	23,216	374,799	0	300,000	300,000
Expenditures		141,149	40,414	367,734	0	300,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		103,881	58,500	0	0	0	0
Net Total Transfers	0	103,881	58,500	0	0	0	0
Ending Cash Balance	0	26,717	68,019	75,084	75,084	75,084	75,084
Encumbrances		187,506	801	358,848	0	0	0
Unencumbered Cash Balance	0	(160,789)	67,218	(283,764)	75,084	75,084	75,084

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: Fish and Wildlife Management Assistance  
 Legal Authority 187A-8, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF) Other Federal - P  
 Appropriation Acct. No. S-529-C (Sub Account)

**Intended Purpose:**

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, to implement Hawaii's Aquatic Invasive Species Management Plan

**Source of Revenues:**

U.S. Department of the Interior, Fish and Wildlife Service, Fish and Wildlife Management Assistance Grant (CFDA 15.608)

**Current Program Activities/Allowable Expenses:**

Salary and operating costs for implementing the State of Hawaii Aquatic Invasive Species Management Plan, which includes investigating, monitoring, controlling and eradicating invasive species; identifying new invasives.

**Purpose of Proposed Ceiling Increase (if applicable):**

none

**Variances:**

Revenues and expenditures expected to be approximately 20K.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		25,000	25,000	25,000	25,000	25,000	25,000
Beginning Cash Balance	0	0	5,000	25,905	30,021	30,021	30,021
Revenues		0	19,625	8,871	20,000	20,000	20,000
Expenditures		0	23,720	4,755	20,000	20,000	20,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		5,000	25,000		0	0	0
Net Total Transfers	0	5,000	25,000	0	0	0	0
Ending Cash Balance	0	5,000	25,905	30,021	30,021	30,021	30,021
Encumbrances		24,286	566	0	0	0	0
Unencumbered Cash Balance	0	(19,286)	25,339	30,021	30,021	30,021	30,021

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 402  
 Name of Fund: State Fire Assistance  
 Legal Authority: Act 134, SLH 2013

Contact Name: Robert Hauff  
 Phone: 587-4174  
 Fund type (MOF) Federal - N (Sub Account)  
 Appropriation Acct. No. S-530-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture , Forest Service for the implementation of Cooperative Fire Protection State Fire Assistance/Voluntary Fire Assistance

Source of Revenues:

U.S. Department of Agriculture - Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - State Fire Assistance/Voluntary Fire Assistance

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Variance in FY 16 & 17 revenues/expenditures reflects decrease in federal funding in FY 17. Anticipated Federal Award Increases in FY 18 & 19

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		900,000	800,000	400,000	300,000	500,000	500,000
Beginning Cash Balance	0	0	67,383	175,939	269,636	219,636	219,636
Revenues		172,772	684,695	673,850	300,000	400,000	400,000
Expenditures		215,389	577,203	580,153	350,000	400,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		110,000	1,064				
Net Total Transfers	0	110,000	1,064	0	0	0	0
Ending Cash Balance	0	67,383	175,939	269,636	219,636	219,636	119,636
Encumbrances		63,238	344,693	168,557	150,000	200,000	200,000
Unencumbered Cash Balance	0	4,145	(168,754)	101,079	69,636	19,636	(80,364)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 402  
 Name of Fund: Multi-species Habitat Conservation Plan-Kauai  
 Legal Authority: Act 134, SLH 2013

Contact Name: James Cogswell  
 Phone: 587-4187  
 Fund type (MOF): Other Federal - P (Sub Account)  
 Appropriation Acct. No.: S-533-C

**Intended Purpose:**

This fund was established to account for federal grant monies from U.S. Department of Interior, Fish & Wildlife Service for the implementation of Multi-Species Habitat Conservation Plan - Kauai

**Source of Revenues:**

U.S. Department of Interior, Fish and Wildlife Service

**Current Program Activities/Allowable Expenses:**

All expenses relating to the implementation of Federal Grant approved projects - protection and recovery of trust resources of the islands.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Grant award expended over the life of the grant. Grant ends 12/30/16

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		445,331	118,447	0			
Beginning Cash Balance	0	0	7,443	2,119	32,089	0	0
Revenues		62,333	182,525	247,860	71,060		
Expenditures		29,874	187,849	229,390	103,149		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(25,015)		11,500			
Net Total Transfers	0	(25,015)	0	11,500	0	0	0
Ending Cash Balance	0	7,443	2,119	32,089	0	0	0
Encumbrances		261,003	310,402	80,428			
Unencumbered Cash Balance	0	(253,559)	(308,283)	(48,339)	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**

for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Accnt	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR402	P	A	S	2014	533	DF	(65,000.00)	JRNL	972		00JS7065	6/29/2016
LNR402	P	A	S	2014	533	DF	18,000.00	JRNL	971		00JS0166	7/17/2015
LNR402	P	A	S	2014	533	DF	23,500.00	JRNL	971		00JS1637	9/28/2015
LNR402	P	A	S	2014	533	DF	35,000.00	JRNL	971		00JS2273	10/27/2015
LNR402	P	A	S	2015	533	DF	(5,000.00)	JRNL	972		00JS7065	6/29/2016
LNR402	P	A	S	2015	533	DF	5,000.00	JRNL	971		00JS0166	7/17/2015
							<u>11,500.00</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 402  
 Name of Fund: Endangered Wildlife Program  
 Legal Authority: Act 134. SLH 2013

Contact Name: James Cogswell  
 Phone: 587-4187  
 Fund type (MOF) Other Federal - P (Sub Account)  
 Appropriation Acct. No. S-535-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Endangered Wildlife Program

Source of Revenues:

U.S, Department of Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - funding for programs for supporting the conservation and recovery of the Alala, Koloa, Kauai forest birds and endangered insect and snail species, as well as operations and maintenance of the captive breeding facilities for four endangered birds on Maui and Hawaii Islands.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

N/A

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		850,000	865,055	740,800	725,686	800,000	800,000
Beginning Cash Balance	0	0	72,342	66,732	150,458	200,458	200,458
Revenues		651,634	827,499	885,823	850,000	850,000	850,000
Expenditures		554,792	833,109	802,097	800,000	850,000	900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(24,500)	0	0	0	0	0
Net Total Transfers	0	(24,500)	0	0	0	0	0
Ending Cash Balance	0	72,342	66,732	150,458	200,458	200,458	150,458
Encumbrances		402,988	402,190	294,872	300,000	300,000	300,000
Unencumbered Cash Balance	0	(330,646)	(335,458)	(144,414)	(99,542)	(99,542)	(149,542)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 405  
 Name of Fund: Domestic Cannabis Eradication/surpress  
 Legal Authority: Act 134, SLH 2013

Contact Name: Jason Redulla  
 Phone: 587-0066  
 Fund type (MOF): Other Federal - P  
 Appropriation Acct. No.: S-538-C (Sub-Account)

**Intended Purpose:**

This fund was established to account for federal grant monies received from the U.S. Department of Justice, Drug Enforcement Administration, to supplement State funding for the eradication and suppression of domestic cannabis. Funds are also provided by the U.S. Department of Justice via the Department of Attorney General's Office.

**Source of Revenues:**

U.S. Department of Justice, Drug Enforcement Administration

**Current Program Activities/Allowable Expenses:**

Herbicidal eradication of marijuana on State lands.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

An application for funds is submitted each year. It is unknown how much money will be awarded to DOCARE each year.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		300,000	270,000	270,000	270,000	270,000	270,000
Beginning Cash Balance	0	0	357,977	314,150	281,806	281,806	281,806
Revenues		270,000	213,363	170,690	170,690	170,690	170,690
Expenditures		12,023	257,190	203,034	170,690	170,690	170,690
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
		100,000			0	0	0
Net Total Transfers	0	100,000	0	0	0	0	0
Ending Cash Balance	0	357,977	314,150	281,806	281,806	281,806	281,806
Encumbrances		48,747	315	2,179			
Unencumbered Cash Balance	0	309,230	313,835	279,627	281,806	281,806	281,806

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 405  
 Name of Fund: Joint Enforcement Agreement (JEA)  
 Legal Authority: Act 134, SLH 2013

Contact Name: Jason Redulla  
 Phone: 587-0066  
 Fund type (MOF): Other Federal - P  
 Appropriation Acct. No.: S-539-C (Sub-Account)

**Intended Purpose:**

This fund was established to account for federal grant monies received from the Joint Enforcement Agreement between the U.S. Department of Commerce, National Oceanic Atmospheric Administration and the State Dept of Land and Natural Resources

**Source of Revenues:**

U.S. Department of Commerce, National Oceanic and Atmospheric Administration

**Current Program Activities/Allowable Expenses:**

This fund was established to account for federal grant monies received from the Joint Enforcement Agreement between the U.S. Department of Commerce, National Oceanic Atmospheric Administration and the State Dept of Land and Natural Resources

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

An application for grant monies is submitted every year. It is unknown how much money DOCARE will receive each year.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		700,000	629,743	629,743	550,000	550,000	550,000
Beginning Cash Balance	0	0	271,926	420,678	590,838	571,513	552,188
Revenues		270,259	250,808	689,485	500,000	500,000	500,000
Expenditures		98,333	102,056	519,325	519,325	519,325	519,325
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
		100,000			0	0	0
Net Total Transfers	0	100,000	0	0	0	0	0
Ending Cash Balance	0	271,926	420,678	590,838	571,513	552,188	532,863
Encumbrances		11,864	11,767	15,859	25,000	25,000	25,000
Unencumbered Cash Balance	0	260,062	408,911	574,979	546,513	527,188	507,863

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 407  
 Name of Fund: Statewide Endangered Plant Program  
 Legal Authority: Act 134, SLH 2013

Contact Name: Emma Yuen  
 Phone: 587-0054  
 Fund type (MOF): Other Federal - P  
 Appropriation Acct. No.: S-541-C (Sub Account)

Intended Purpose:

This fund was established to receive and expend federal grant monies - endangered plant program protection in the natural areas and watersheds statewide.

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - endangered plant protection within the the natural and watersheds statewide.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

N/A

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		750,000	825,000	790,801	725,686	800,000	800,000
Beginning Cash Balance	0	0	16,000	67,723	26,811	1,811	1,811
Revenues		112,722	650,323	849,765	850,000	900,000	950,000
Expenditures		60,393	490,600	981,677	875,000	900,000	950,000
Transfers							
List each net transfer in/out/ Or projection in/out; list each account number							
		(36,330)	(108,000)	91,000			
Net Total Transfers	0	(36,330)	(108,000)	91,000	0	0	0
Ending Cash Balance	0	16,000	67,723	26,811	1,811	1,811	1,811
Encumbrances		632,257	948,827	666,038	600,000	600,000	600,000
Unencumbered Cash Balance	0	(616,258)	(881,104)	(639,227)	(598,189)	(598,189)	(598,189)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**

for Submittal to the 2017 Legislature

Program ID	MOF	Appn Type	Fund	FY	Acct	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR407	P	A	S	2014	541	DF	1,000.00	JRNL	971		00JS7065	6/29/2016
LNR407	P	A	S	2014	541	DF	3,000.00	JRNL	971		00JS7231	6/30/2016
LNR407	P	A	S	2014	541	DF	(10,000.00)	JRNL	972		00JS0166	7/17/2015
LNR407	P	A	S	2014	541	DF	20,000.00	JRNL	971		00JS0947	8/26/2015
LNR407	P	A	S	2014	541	DF	(15,000.00)	JRNL	972		00JS1176	9/4/2015
LNR407	P	A	S	2014	541	DF	25,000.00	JRNL	971		00JS1925	10/9/2015
LNR407	P	A	S	2014	541	DF	56,000.00	JRNL	971		00JS3062	12/4/2015
LNR407	P	A	S	2015	541	DF	(5,000.00)	JRNL	972		00JS4463	2/23/2016
LNR407	P	A	S	2015	541	DF	(34,000.00)	JRNL	972		00JS7065	6/29/2016
LNR407	P	A	S	2015	541	DF	110,000.00	JRNL	971		00JS0166	7/17/2015
LNR407	P	A	S	2015	541	DF	5,000.00	JRNL	971		00JS0947	8/26/2015
LNR407	P	A	S	2015	541	DF	(25,000.00)	JRNL	972		00JS1925	10/9/2015
LNR407	P	A	S	2015	541	DF	(50,000.00)	JRNL	972		00JS3062	12/4/2015
LNR407	P	A	S	2016	541	DF	5,000.00	JRNL	971		00JS4463	2/23/2016
LNR407	P	A	S	2016	541	DF	(1,000.00)	JRNL	972		00JS7065	6/29/2016
LNR407	P	A	S	2016	541	DF	(3,000.00)	JRNL	972		00JS7231	6/30/2016
LNR407	P	A	S	2016	541	DF	15,000.00	JRNL	971		00JS1176	9/4/2015
LNR407	P	A	S	2016	541	DF	(6,000.00)	JRNL	972		00JS3062	12/4/2015
							<u>91,000.00</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 407  
 Name of Fund: Yellow - Faced Bee Species  
 Legal Authority: Act 134, SLH 2013

Contact Name: Emma Yuen  
 Phone: 587-0054  
 Fund type (MOF) Other Federal - P  
 Appropriation Acct. No. S-542-C (Sub Account)

**Intended Purpose:**

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service for the Yellow-Faced Bee Grant - to conduct collaborative research in the area of Species of Concern and Declining Species and Habitat.

**Source of Revenues:**

U.S. Department of the Army

**Current Program Activities/Allowable Expenses:**

All expenses relating to the implementation of Federal Grant approved projects - funding for the research done for one year.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variations:**

**Grant closed.**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		87,902	0	0			
Beginning Cash Balance	0	0	3,952	3,952			
Revenues		53,952	0	83,950			
Expenditures		0	0	87,902			
Transfers							
List each net transfer in/out/ Or projection in/out; list each account number		(50,000)					
	0	(50,000)	0	0	0	0	0
Ending Cash Balance	0	3,952	3,952	(0)	0	0	0
Encumbrances		84,825	84,825				
Unencumbered Cash Balance	0	(80,874)	(80,873)	(0)	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 407  
 Name of Fund: Pu'u Wa-awa'a Forest Reserve Prot & Rest  
 Legal Authority: \_\_\_\_\_

Contact Name: Emma Yuen  
 Phone: 587-0054  
 Fund type (MOF) Other Federal - P  
 Appropriation Acct. No. S-555-C (Sub Account)

**Intended Purpose:**

This fund was established to receive and expend federal grant monies - Pu'u wa'a-wa'a Forest Reserve Protection and Restoration

**Source of Revenues:**

U.S, Department of the Interior, Fish & Wildlife Service

**Current Program Activities/Allowable Expenses:**

All expenses relating to the implementation of Federal Grant approved projects - endangered plant protection within the the natural and watersheds statewide.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Grant award expended over the life of the grant. Grant ends 9/30/18.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		200,000	0				
Beginning Cash Balance	0	0	1,158	1,237	11,237	1,237	0
Revenues		1,158	58,199	85,000	25,000	30,643	
Expenditures		0	58,120	75,000	35,000	31,880	
Transfers							
List each net transfer in/out/ Or projection in/out; list each account number							
Net Total Transfers	0	0	0	0		0	0
Ending Cash Balance	0	1,158	1,237	11,237	1,237	0	0
Encumbrances		58,121	126,938	14,941	0		
Unencumbered Cash Balance	0	(56,963)	(125,701)	(3,704)	1,237	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 810  
 Name of Fund: Prevention of Natural Disasters  
 Legal Authority: Act 134, SLH 2013

Contact Name: Alyson Yim  
 Phone: 587-0259  
 Fund type (MOF): Other Federal Funds- P  
 Appropriation Acct. No.: S-545-C

Intended Purpose:

Operating federal fund for LNR 810 program. This fund was established to account for federal grant monies received from the Federal Emergency Management Agency to carry out grant funded dam safety activities

Source of Revenues:

FEMA National Dam Safety Program federal grant

Current Program Activities/Allowable Expenses:

Payroll, program operating expenses

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		101,764	120,000	98,176	120,000	120,000	120,000
Beginning Cash Balance	0	0	11,197	57,720	0	0	0
Revenues		0	95,313	123,894	120,000	120,000	120,000
Expenditures		53,803	85,790	77,706	120,000	120,000	120,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		65,000	37,000		0	0	0
					0	0	0
					0	0	0
Net Total Transfers	0	65,000	37,000	0	0	0	0
Ending Cash Balance	0	11,197	57,720	103,908	0	0	0
Encumbrances		14,098	22,768	16,100			
Unencumbered Cash Balance	0	(2,901)	34,952	87,808	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 810  
 Name of Fund: National Dam Safety Program  
 Legal Authority: Act 134, SLH 2013

Contact Name: Alyson Yim  
 Phone: 587-0259  
 Fund type (MOF): Other Federal Funds- P  
 Appropriation Acct. No.: S-546-C

Intended Purpose:

Operating federal fund for LNR 810 program. This fund was established to account for federal grant monies received from the Federal Emergency Management Agency to carry out dam safety activities.

Source of Revenues:

FEMA National Flood Insurance Program federal grant

Current Program Activities/Allowable Expenses:

program operating expenses

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		57,791	85,602	55,843	80,000	80,000	80,000
Beginning Cash Balance	0	0	23,711	17,871	57,302	57,302	57,302
Revenues		2,833	59,906	102,028	65,000	65,000	65,000
Expenditures		4,122	65,746	96,820	65,000	65,000	65,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
		25,000	0	34,223	0	0	0
Net Total Transfers	0	25,000	0	34,223	0	0	0
Ending Cash Balance	0	23,711	17,871	57,302	57,302	57,302	57,302
Encumbrances		2,339	43,940	0			
Unencumbered Cash Balance	0	21,372	(26,069)	57,302	57,302	57,302	57,302

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Acct	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR810	P	A	S	2014	546	BOL	(5,000.00)	JRNL	972		00JS2116	10/20/2015
LNR810	P	A	S	2015	546	BOL	34,222.51	JRNL	971		00JS0167	7/17/2015
LNR810	P	A	S	2016	546	BOL	5,000.00	JRNL	971		00JS2116	10/20/2015
							<u>34,222.51</u>					

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 810  
 Name of Fund: Cooperating Technical Partners  
 Legal Authority: Act 134, SLH 2013

Contact Name: Alyson Yim  
 Phone: 587-0259  
 Fund type (MOF): Other Federal Funds- P  
 Appropriation Acct. No.: S-547-C

Intended Purpose:

Operating federal fund for LNR 810 program. This fund was established to account for federal grant monies received from the Federal Emergency Management Agency to grant funded activities.

Source of Revenues:

FEMA National Flood Insurance Program (Cooperating Technical Partners) federal grant

Current Program Activities/Allowable Expenses:

Payroll, program operating expenses

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		140,000	165,000	140,000	170,000	170,000	170,000
Beginning Cash Balance	0	0	16,903	24,809	18,603	18,603	18,603
Revenues		1,044	26,830	61,636	170,000	170,000	170,000
Expenditures		9,141	28,924	67,842	170,000	170,000	170,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
		25,000	10,000				
Net Total Transfers	0	25,000	10,000	0	0	0	0
Ending Cash Balance	0	16,903	24,809	18,603	18,603	18,603	18,603
Encumbrances		10,146	8,843	99,493			
Unencumbered Cash Balance	0	6,757	15,966	(80,890)	18,603	18,603	18,603

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: Poamoho Watershed Protection Program  
 Legal Authority: Unappropriated

Contact Name: Melissa Sprecher  
 Phone: 587-4172  
 Fund type (MOF): Other Federal Funds - P  
 Appropriation Acct. No.: S-550-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Poamoho Watershed Protection Program under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Poamoho Watershed Protection Program

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Grant award expended over the life of the grant. Grant ends 7/27/17

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		300,000					
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				113,596	150,000	36,404	0
Expenditures				113,596	150,000	36,404	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			113,596		36,404	0	0
Unencumbered Cash Balance	0	0	(113,596)	0	(36,404)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: Scleractinian Corals Along Kona Coast  
 Legal Authority: Act 134, SLH 2013 as amended by Act 122, SLH 2014

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF) Other Federal - P  
 Appropriation Acct. No. S-551-C (Sub Account)

**Intended Purpose:**

This fund was established to account for federal grant monies received from the U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA) for use in supporting coral reef projects.

**Source of Revenues:**

NOAA, National Ocean Service, Coral Reef Conservation Program Grant (CFDA 11.482)

**Current Program Activities/Allowable Expenses:**

South Kohala Implementaiton Coordination; South Kohala and West Maui reduction of pollutant loans, Moon calendar; West Maui coral reef resilience; assessment of coral reef settlement; conducting stream corridor assessments, supporting Makai Watch, and understanding coral health.

**Purpose of Proposed Ceiling Increase (if applicable):**

none and ceiling increases for FY18 & 19 are only projections.

**Variances:**

FY17 ceiling decreased, but not significant and higher ceiling for out years are only requested estimates.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		662,690	628,713	735,000	682,000	889,067	889,067
Beginning Cash Balance	0	0	17,891	66,929	100,774	125,774	175,774
Revenues		64,573	495,766	551,960	650,000	850,000	850,000
Expenditures		144,816	479,718	518,115	625,000	800,000	800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		98,134	32,990	0	0	0	0
Net Total Transfers	0	98,134	32,990	0	0	0	0
Ending Cash Balance	0	17,891	66,929	100,774	125,774	175,774	225,774
Encumbrances		412,800	393,936	741,055	500,000	500,000	500,000
Unencumbered Cash Balance	0	(394,909)	(327,007)	(640,281)	(374,226)	(324,226)	(274,226)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 407  
 Name of Fund: Ka'u Forest Invasives Control  
 Legal Authority: \_\_\_\_\_

Contact Name: Emma Yuen  
 Phone: 587-0054  
 Fund type (MOF) Other Federal - P  
 Appropriation Acct. No. S-554-C (Sub Account)

**Intended Purpose:**

This fund was established to receive and expend federal grant monies - Ka'u Forest Invasives Control

**Source of Revenues:**

U.S, Department of the Interior, Fish & Wildlife Service

**Current Program Activities/Allowable Expenses:**

All expenses relating to the implementation of Federal Grant approved projects - Ka'u Forest Invasives Control

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Grant award expended over the life of the grant. Grant ends 9/30/18.

	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (estimated)	FY 2018 (estimated)	FY 2019 (estimated)
Appropriation Ceiling		50,000	0				
Beginning Cash Balance	0	0	0	246	568	1,068	
Revenues		0	7,272	33,448	7,500	1,780	
Expenditures		0	7,026	33,126	7,000	2,848	
Transfers							
List each net transfer in/out/ Or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
		0	0	0	0	0	0
Ending Cash Balance	0	0	246	568	1,068	0	0
Encumbrances		46,000	38,974	8,097	2,000		
Unencumbered Cash Balance	0	(46,000)	(38,728)	(7,529)	(932)	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: Maui Dry Forest Service  
 Legal Authority: Unappropriated

Contact Name: Melissa Sprecher  
 Phone: 587-4172  
 Fund type (MOF) Other Federal Funds - P  
 Appropriation Acct. No. S-560-C (Sub Account)

**Intended Purpose:**

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Maui Dryland Forest Revival Project under the Cooperative Forestry Assistance Act.

**Source of Revenues:**

Federal Grant from U.S. Department of Agriculture, Forest Service

**Current Program Activities/Allowable Expenses:**

All expenses relating to the implementation of Federal Grant approved projects.

Purpose of Proposed Ceiling Increase (if applicable):

**Variances:**

Grant award is expended over the life of the grant. Grant is closing FY 18 (7/02/17)

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			225,000				
Beginning Cash Balance	0	0	0	39,880	2,471	1,971	
Revenues			110,472	80,227	17,500	16,801	
Expenditures			70,592	117,636	18,000	18,772	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0	0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	39,880	2,471	1,971	0	0
Encumbrances			49,408	31,770	0	0	0
Unencumbered Cash Balance	0	0	(9,528)	(29,299)	1,971	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: Nurseries and Seeds Forest Service  
 Legal Authority: Act 134, SLH 2013 as amended by Act 122, SLH 2014

Contact Name: Melissa Sprecher  
 Phone: 587-4172  
 Fund type (MOF) Other Federal Funds - P  
 Appropriation Acct. No. S-561-C (Sub Account)

**Intended Purpose:**

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Cooperative Lands Forest Health Program under the Cooperative Forestry Assistance Act.

**Source of Revenues:**

Federal Grant from U.S. Department of Agriculture, Forest Service

**Current Program Activities/Allowable Expenses:**

All expenses relating to the implementation of Federal Grant approved projects - nurseries and seeds forest service.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Grant award expended over the life of the grant. Grant ends 6/30/18.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			79,000	69,100			
Beginning Cash Balance	0	0	0	1,550	0	0	
Revenues			1,550	36,636	50,000	50,000	9,914
Expenditures				38,186	50,000	50,000	9,914
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	1,550	0	0	0	0
Encumbrances					0	0	0
Unencumbered Cash Balance	0	0	1,550	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: Improved Koa Forest Service  
 Legal Authority: Act 134, SLH 2013 as amended by Act 122, SLH 2014

Contact Name: Melissa Sprecher  
 Phone: 587-4172  
 Fund type (MOF): Other Federal Funds - P  
 Appropriation Acct. No.: S-562-C (Sub Account)

**Intended Purpose:**

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Cooperative Lands Forest Health Program under the Cooperative Forestry Assistance Act.

**Source of Revenues:**

Federal Grant from U.S. Department of Agriculture, Forest Service

**Current Program Activities/Allowable Expenses:**

All expenses relating to the implementation of Federal Grant approved projects - Koa Forest service.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Grant closed 6/30/16

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			79,000	71,000			
Beginning Cash Balance	0	0	0	687	1,973	0	0
Revenues			57,055	79,246	13,699		
Expenditures			56,368	77,960	15,672	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	687	1,973	0	0	0
Encumbrances			78,632	671	0	0	0
Unencumbered Cash Balance	0	0	(77,945)	1,302	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: Kau Forest Service  
 Legal Authority: Act 134, SLH 2013 as amended by Act 122, SLH 2014

Contact Name: Melissa Sprecher  
 Phone: 587-4172  
 Fund type (MOF) Other Federal Funds - P  
 Appropriation Acct. No. S-563-C (Sub Account)

**Intended Purpose:**

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Cooperative Lands Forest Health Program under the Cooperative Forestry Assistance Act.

**Source of Revenues:**

Federal Grant from U.S. Department of Agriculture, Forest Service

**Current Program Activities/Allowable Expenses:**

All expenses relating to the implementation of Federal Grant approved projects - Kau Forest Service

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Grant award expended over the life of the grant. Grant ends FY 18 (7/02/17)

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			300,000				
Beginning Cash Balance	0	0	0	0	716	0	0
Revenues				9,170	275,000	15,830	
Expenditures				8,454	275,716	15,830	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0	0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	716	0	0	0
Encumbrances			10,188	213,884	15,830	0	0
Unencumbered Cash Balance	0	0	(10,188)	(213,168)	(15,830)	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: Natural Resources Stewardship (CFDA No. 15.944)  
 Legal Authority: Section 187-A. HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Other Federal - P  
 Appropriation Acct. No.: S-564-C (Sub Account)

Intended Purpose:  
 To identify potential impacts and land-based stressors on coral recruitment  
 Source of Revenues:  
 National Fish and Wildlife Foundation Award no. P13AC00436  
 Current Program Activities/Allowable Expenses:  
 Operational cost for monitoring surveys along the Kona Coast of Hawaii Island.

Purpose of Proposed Ceiling Increase (if applicable):

none

Variances:

No funding available for FY 17 because the \$100,250 in FY 16 is the total funding for the 3-year grant.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			100,250	100,250	0	0	0
Beginning Cash Balance	0	0	0	0	7,657	2,236	0
Revenues				22,878	31,029	34,214	
Expenditures				27,350	36,450	36,450	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS2956, 11/30/2015				12,129	0	0	0
Net Total Transfers	0	0	0	12,129	0	0	0
Ending Cash Balance	0	0	0	7,657	2,236	0	0
Encumbrances				40,179	0	0	0
Unencumbered Cash Balance	0	0	0	(32,522)	2,236	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 805  
 Name of Fund: Statewide Marine Fisheries Development  
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Other Federal - N  
 Appropriation Acct. No.: S-565-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, for the purpose of conducting statewide marine fisheries development activities.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sportfish Restoration Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salaries and operating costs for the development and maintenance of man-made shelters and structures (artificial reefs) for attracting and sustaining marine life to new fishing areas, thus improving recreational fishing opportunities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Appropriation S-565 changes to S-214

Variances:

see above

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			450,000	0			
Beginning Cash Balance	0	0	0	113,767	140,643	140,643	140,643
Revenues			9,621	26,876			
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			104,146	0	0	0	0
Net Total Transfers	0	0	104,146	0	0	0	0
Ending Cash Balance	0	0	113,767	140,643	140,643	140,643	140,643
Encumbrances			0	0	0	0	0
Unencumbered Cash Balance	0	0	113,767	140,643	140,643	140,643	140,643

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 407  
 Name of Fund: Endangered Invertebrate Program  
 Legal Authority: Non-Appropriated

Contact Name: Emma Yuen  
 Phone: 587-0054  
 Fund type (MOF): Other Federal - P  
 Appropriation Acct. No.: S-567-C (Sub Account)

**Intended Purpose:**

This fund was established to receive and expend federal grant monies - for the endangered invertebrate program.

**Source of Revenues:**

U.S, Department of the Interior, Fish & Wildlife Service

**Current Program Activities/Allowable Expenses:**

All expenses relating to the implementation of Federal Grant approved projects - for the endangered invertebrate program.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Anticipated increase in Federal Award in FY 17, 18 & 19

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			200,000	235,000	265,000	365,000	365,000
Beginning Cash Balance	0	0	0	8,954	25,354	(24,646)	(74,646)
Revenues			5,554	157,068	200,000	300,000	400,000
Expenditures		0	6,600	140,668	250,000	350,000	400,000
Transfers							
List each net transfer in/out/ Or projection in/out; list each account number							
			10,000	0	0	0	0
Net Total Transfers	0	0	10,000	0	0	0	0
Ending Cash Balance	0	0	8,954	25,354	(24,646)	(74,646)	(74,646)
Encumbrances			89,900	246,102	200,000	200,000	200,000
Unencumbered Cash Balance	0	0	(80,946)	(220,748)	(224,646)	(274,646)	(274,646)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 402  
 Name of Fund: Captive Breeding of Akikiki and Akekee  
 Legal Authority: Non-Appropriated

Contact Name: James Cogswell  
 Phone: 587-4187  
 Fund type (MOF) Other Federal - P  
 Appropriation Acct. No. S-569-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Hawaii Nongame Management Program - to manage, preserve and protect native avifauna and their habitats.

Source of Revenues:

U.S, Department of Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Mauna Kea Fire Vehicles

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Grant award expended over the life of the grant. Grant ends 12/31/18

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			65,000	134,684	148,161		
Beginning Cash Balance	0	0	0	327	25,517	517	517
Revenues			327	95,086	100,000	100,000	52,432
Expenditures			0	69,896	125,000	100,000	52,949
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	327	25,517	517	517	0
Encumbrances			62,888	122,102	100,000		
Unencumbered Cash Balance	0	0	(62,561)	(96,585)	(99,483)	517	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 402  
 Name of Fund: Mauna Kea Fire Vehicles  
 Legal Authority: Act 134. SLH 2013

Contact Name: James Cogswell  
 Phone: 587-4187  
 Fund type (MOF) Other Federal - P (Sub Account)  
 Appropriation Acct. No. S-570-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Hawaii Nongame Management Program - to manage, preserve and protect native avifauna and their habitats.

Source of Revenues:

U.S, Department of Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Mauna Kea Fire Vehicles

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Grant award expended over the life of the grant. Grant ends 12/31/16.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		0	471,390				
Beginning Cash Balance	0	0	0	462,708	25,654	0	0
Revenues		0	471,389				
Expenditures		0	8,681	437,054	25,654		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	462,708	25,654	0	0	0
Encumbrances			436,879	19,176	0	0	0
Unencumbered Cash Balance	0	0	25,829	6,478	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 407  
 Name of Fund: Ahihi Kinau Coastal Wetlands  
 Legal Authority: Non-Appropriated

Contact Name: Emma Yuen  
 Phone: 587-0054  
 Fund type (MOF): Other Federal - P  
 Appropriation Acct. No.: S-571-C (Sub Account)

**Intended Purpose:**

This fund was established to receive and expend federal grant monies - for the Ahihi Kinau Coastal Wetlands

**Source of Revenues:**

U.S, Department of the Interior, Fish & Wildlife Service

**Current Program Activities/Allowable Expenses:**

All expenses relating to the implementation of Federal Grant approved projects - for the Ahihi Kinau Coastal Wetlands.

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Grant award expended over the life of the grant. Grant is closing 01/01/17

	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (estimated)	FY 2018 (estimated)	FY 2019 (estimated)
Appropriation Ceiling			344,400				
Beginning Cash Balance	0	0	0	22,986	32,560	0	0
Revenues			26,266	120,453	197,681		
Expenditures		0	3,280	110,879	230,241		
Transfers							
List each net transfer in/out/ Or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	22,986	32,560	0	0	0
Encumbrances			250,000	194,335	0	0	0
Unencumbered Cash Balance	0	0	(227,014)	(161,775)	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 404  
 Name of Fund: Kahana Stream Restoration Project  
 Legal Authority: Non-Appropriated

Contact Name: Kathy Yoda / Dean Uyeno  
 Phone: 587-0234 / 587-0249  
 Fund type (MOF): Other Federal - P  
 Appropriation Acct. No.: S-574

Intended Purpose:

Matching funds for fish habitat restoration and hau tree removal project on Kahana Stream, Oahu.

Source of Revenues:

U.S. Fish and Wildlife Management Assistance Award.

Current Program Activities/Allowable Expenses:

Funds are used for vegetation removal and outplanting supplies (e.g., equipment rental, herbicide, weed control fabric, etc.) and contracting vegetation removal professionals.

Purpose of Proposed Ceiling Adjustment (if applicable):

Award expires on September 30, 2017 and is based on balance of appropriation remaining.

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				70,000	69,547	0	0
Beginning Cash Balance		0	0	0	180	0	0
Revenues				453	69,727	0	0
Expenditures				273	69,727	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	180	180	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	180	180	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 153  
 Name of Fund: HI 2015-15 Fisheries Support Project  
 Legal Authority: 187A-8, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF) Other Federal - P  
 Appropriation Acct. No. S-520-C (Sub- Account)

Intended Purpose:

This fund was established to account for federal grant funds from the U.S. Department of Commerce's National Oceanographic and Atmospheric Administration (NOAA) to operate and maintain the Commercial Marine Licensing System.

Source of Revenues:

NOAA Pacific fisheries Data Program grant (CFDA 11.437), funds from the fisheries support project.

Current Program Activities/Allowable Expenses:

Funds are used to maintain and improve the State of Hawaii's on-line Commercial Marine Licensing System and Fishing Reports; includes software, maintenance, internet licensing fees, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Develop on-line dealer reporting system.

Variations:

Currently there are no expenditures; however, it is anticipated only small variances in revenues and expenditures in FY17 and the out years.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				91,158	100,000	100,000	100,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				0	130,000	130,000	130,000
Expenditures				0	130,000	130,000	130,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
				0			
				0			
				0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: S&PF Competitive: Kauai Hardwoods  
 Legal Authority: Act 119, SLH 2015

Contact Name: Melissa Sprecher  
 Phone: 587-4172  
 Fund type (MOF) Other Federal Funds - P  
 Appropriation Acct. No. S-576-C (Sub Account)

**Intended Purpose:**

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of State & Private Forestry Competitive: Kauai's Native Hardwoods

**Source of Revenues:**

Federal Grant from U.S. Department of Agriculture, Forest Service

**Current Program Activities/Allowable Expenses:**

All expenses relating to the implementation of Federal Grant approved projects - Kaua's Native Hardwoods

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Grant award expended over the life of the grant. Grant ends 6/30/18

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				75,000			
Beginning Cash Balance	0	0	0	0	1,210	2,210	2,210
Revenues				1,371	27,000	27,000	19,629
Expenditures				161	26,000	27,000	21,839
Transfers							
List each net transfer in/out/ or projection in/out; list each account number					0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	1,210	2,210	2,210	0
Encumbrances				3,135	20,000	19,629	0
Unencumbered Cash Balance	0	0	0	(1,925)	(17,790)	(17,419)	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: False Killer Whale, Section 6 Grant  
 Legal Authority: Section 187-A. HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF) Other Federal - P  
 Appropriation Acct. No. S-580-C (Sub Account)

**Intended Purpose:**

Minimize and Mitigate the Incidental Take of False Killer Whales

**Source of Revenues:**

Section 6 Grant ((NA15NMF4720015)

**Current Program Activities/Allowable Expenses:**

Outreach activities to educated fishermen and general public to reduce the incidental take of false killer whales and other cetaceans.

**Purpose of Proposed Ceiling Increase (if applicable):**

The higher ceiling for FY17 is need to hire additional staff for the project.

**Variances:**

The increased ceiling for FY17 to \$569,114 is the remaining amount for the 3-year grant totaling \$909,914.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				340,800	569,114	0	0
Beginning Cash Balance	0	0	0	0	1,302	1,302	1,302
Revenues				18,777	0	0	0
Expenditures				17,475	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number					0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	1,302	1,302	1,302	1,302
Encumbrances				273,656	200,000	150,000	100,000
Unencumbered Cash Balance	0	0	0	(272,354)	(198,698)	(148,698)	(98,698)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 407  
 Name of Fund: Enhancing Rare Native Invertebrates  
 Legal Authority: Non-Appropriated

Contact Name: Emma Yuen  
 Phone: 587-0054  
 Fund type (MOF): Other Federal - P  
 Appropriation Acct. No.: S-585-C (Sub Account)

**Intended Purpose:**

This fund was established to receive and expend federal grant monies - for the Enhancing Rare Native Invertebrates

**Source of Revenues:**

U.S, Department of the Interior, Fish & Wildlife Service

**Current Program Activities/Allowable Expenses:**

All expenses relating to the implementation of Federal Grant approved projects - for the Enhancing Rare Native Invertebrates

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Grant award expended over the life of the grant. Grant ends 12/31/18.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				248,949			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues					80,000	80,000	88,949
Expenditures		0			80,000	80,000	88,949
Transfers							
List each net transfer in/out/ Or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				58,800	120,000	20,000	
Unencumbered Cash Balance	0	0	0	(58,800)	(120,000)	(20,000)	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 407  
 Name of Fund: Puuhala Watershed Acquisiiton  
 Legal Authority: Non-Appropriated

Contact Name: Emma Yuen  
 Phone: 587-0054  
 Fund type (MOF): Other Federal - P  
 Appropriation Acct. No.: S-588-C (Sub Account)

**Intended Purpose:**

This fund was established to receive and expend federal grant monies - for the Puuhala Watershed Acquisition

**Source of Revenues:**

U.S, Department of the Interior, Fish & Wildlife Service

**Current Program Activities/Allowable Expenses:**

All expenses relating to the implementation of Federal Grant approved projects - for the Puuhala Watershed Acquisition

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances:**

Land Acquisition - one time transaction

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,566,875			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues					1,566,875		
Expenditures		0			1,566,875		
Transfers							
List each net transfer in/out/ Or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: OHIA Wilt  
 Legal Authority: Non-Appropriated

Contact Name: Robert Hauff  
 Phone: 587-4172  
 Fund type (MOF) Other Federal Funds - P  
 Appropriation Acct. No. S-590-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of State & Private Forestry Competitive: Kauai's Native Hardwoods

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Kaua's Native Hardwoods

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

The variance between FY 16 reflects the anticipated increase in spending in the next Fiscal Years.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				250,000	20,000	250,000	250,000
Beginning Cash Balance	0	0	0	0	5,067	5,067	67
Revenues				12,178	200,000	200,000	200,000
Expenditures				7,111	200,000	205,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0	0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	5,067	5,067	67	67
Encumbrances				83,610	100,000	100,000	100,000
Unencumbered Cash Balance	0	0	0	(78,543)	(94,933)	(99,933)	(99,933)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: Hawaii CREP Planners  
 Legal Authority: Non-Appropriated

Contact Name: Melissa Sprecher  
 Phone: 587-4172  
 Fund type (MOF): Other Federal Funds - P  
 Appropriation Acct. No.: S-592-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Natural Resources Conservation Service (NRCS) for Hawaii CREP Planners

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, NRCS

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Hawaii CREP Planners

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Grant ends 8/31/17

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				49,995	69,000		
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				20,242	90,000	8,753	
Expenditures				20,242	90,000	8,753	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number					0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				27,753	0	0	0
Unencumbered Cash Balance	0	0	0	(27,753)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 404  
 Name of Fund: Iao Stream Fish Passage Project  
 Legal Authority: Non-Appropriated

Contact Name: Kathy Yoda / Rebecca Alakai  
 Phone: 587-0234 / 587-0266  
 Fund type (MOF): Other Federal - P  
 Appropriation Acct. No. S-593-C

Intended Purpose:

Matching funds to improve biological connectivity and restore native ecosystem functions at specific sites on Wailuku River (formerly Iao Stream), Maui.

Source of Revenues:

U.S. Fish and Wildlife Management Assistance Award.

Current Program Activities/Allowable Expenses:

Funds will be used at specific sites for professional services and materials to modify existing stream diversion structures and/or identified barriers to fish migration on Wailuku River.

Purpose of Proposed Ceiling Adjustment (if applicable):

Award expires on September 30, 2017 and is based on balance of appropriation remaining.

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				25,000	25,000	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0	25,000	0	0
Expenditures				0	25,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: Hawaii Anchialine Habitat Inventory  
 Legal Authority: 187A-8, HRS

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Other Federal - P  
 Appropriation Acct. No.: S-594-C (Non-Appropriated)

Intended Purpose:  
 Inventory of Anchialine Habitats on the Island of Hawaii  
 Source of Revenues:  
 U.S. Fish and Wildlife Service  
 Current Program Activities/Allowable Expenses:  
 Conduct workshop and inventory anchialine habitats on the island of Hawaii

Purpose of Proposed Ceiling Increase (if applicable):

none

Variances:

FY 16 grant expected to be fully expended in FY 17.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				11,944	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				0	11,944		
Expenditures				0	11,944		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 101  
 Name of Fund: Ceded Lands Proceeds - Oahu  
 Legal Authority: Section 171-18,HRS

Contact Name: Lea Reyes  
 Phone: 587-0436  
 Fund type (MOF) Trust - T  
 Appropriation Acct. No. T-901

**Intended Purpose:**

This fund was established by Act 32, SLH 1962, in accordance with the requirements of the Admission Act. All revenues derived from the sale of ceded public lands (including ceded land remnants) and the rents from leases, licenses, and permits of ceded lands (less the 30 percent derived from sugarland leases and water licenses) are required to be deposited into this fund.

**Source of Revenues:**

20% of revenues derived from ceded lands.

**Current Program Activities/Allowable Expenses:**

Under the provisions of the Admissions Act and Section 10-13.5, HRS, as amended by Act 271, SLH 1980, 20 percent of the income from the Public Land Trust is to be paid to the Office of Hawaiian Affairs to be used for betterment of native Hawaiians. The remainder of the revenues are transferred into the State General Fund.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variations:**

N/A

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	374,100	0	0	0	0	0	0
Revenues	754,002	846,917	739,055	813,086	880,000	890,000	890,000
Expenditures	1,128,102	846,917	739,055	813,086	880,000	890,000	890,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 101  
 Name of Fund: Ceded Lands Proceeds - Maui  
 Legal Authority: Section 171-18,HRS

Contact Name: Lea Reyes  
 Phone: 587-0436  
 Fund type (MOF) Trust - T  
 Appropriation Acct. No. T-902

**Intended Purpose:**

This fund was established by Act 32, SLH 1962, in accordance with the requirements of the Admission Act. All revenues derived from the sale of ceded public lands (including ceded land remnants) and the rents from leases, licenses, and permits of ceded lands (less the 30 percent derived from sugarland leases and water licenses) are required to be deposited into this fund.

**Source of Revenues:**

20% of revenues derived from ceded lands.

**Current Program Activities/Allowable Expenses:**

Under the provisions of the Admissions Act and Section 10-13.5, HRS, as amended by Act 271, SLH 1980, 20 percent of the income from the Public Land Trust is to be paid to the Office of Hawaiian Affairs to be used for betterment of native Hawaiians. The remainder of the revenues are transferred into the State General Fund.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variances:**

N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues	302,865	355,350	392,405	369,581	419,000	419,000	419,000
Expenditures	302,865	355,350	392,405	369,581	419,000	419,000	419,000
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 101  
 Name of Fund: Ceded Lands Proceeds - Hawaii  
 Legal Authority: Section 171-18,HRS

Contact Name: Lea Reyes  
 Phone: 587-0436  
 Fund type (MOF) Trust - T  
 Appropriation Acct. No. T-903

**Intended Purpose:**

This fund was established by Act 32, SLH 1962, in accordance with the requirements of the Admission Act. All revenues derived from the sale of ceded public lands (including ceded land remnants) and the rents from leases, licenses, and permits of ceded lands (less the 30 percent derived from sugarland leases and water licenses) are required to be deposited into this fund.

**Source of Revenues:**

20% of revenues derived from ceded lands.

**Current Program Activities/Allowable Expenses:**

Under the provisions of the Admissions Act and Section 10-13.5, HRS, as amended by Act 271, SLH 1980, 20 percent of the income from the Public Land Trust is to be paid to the Office of Hawaiian Affairs to be used for betterment of native Hawaiians. The remainder of the revenues are transferred into the State General Fund.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variances:**

N/A

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues	1,616,132	1,596,970	1,401,110	1,336,771	1,420,000	1,430,000	1,430,000
Expenditures	1,616,132	1,596,970	1,401,110	1,336,771	1,420,000	1,430,000	1,430,000
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 101  
 Name of Fund: Ceded Lands Proceeds - Kauai  
 Legal Authority: Section 171-18,HRS

Contact Name: Lea Reyes  
 Phone: 587-0436  
 Fund type (MOF) Trust - T  
 Appropriation Acct. No. T-904

**Intended Purpose:**

This fund was established by Act 32, SLH 1962, in accordance with the requirements of the Admission Act. All revenues derived from the sale of ceded public lands (including ceded land remnants) and the rents from leases, licenses, and permits of ceded lands (less the 30 percent derived from sugarland leases and water licenses) are required to be deposited into this fund.

**Source of Revenues:**

20% of revenues derived from ceded lands.

**Current Program Activities/Allowable Expenses:**

Under the provisions of the Admissions Act and Section 10-13.5, HRS, as amended by Act 271, SLH 1980, 20 percent of the income from the Public Land Trust is to be paid to the Office of Hawaiian Affairs to be used for betterment of native Hawaiians. The remainder of the revenues are transferred into the State General Fund.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variances:**

N/A

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues	568,780	478,723	479,564	486,743	510,000	510,000	510,000
Expenditures	568,780	478,723	479,564	486,743	510,000	510,000	510,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 101  
 Name of Fund: Temporary Deposits  
 Legal Authority: N/A

Contact Name: Lea Reyes  
 Phone: 587-0436  
 Fund type (MOF): Trust - T  
 Appropriation Acct. No.: T-905

Intended Purpose:

This fund was created to account for temporary deposits such as security deposits for leases, permits and licenses, and also for the payment of appraisal fees chargeable to the applicant.

Source of Revenues:

Temporary Deposits

Current Program Activities/Allowable Expenses:

This trust fund is being used as a holding account.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,429,157	1,460,294	1,521,439	1,671,191	1,577,338	1,597,338	1,617,338
Revenues	201,267	249,781	343,594	212,018	320,000	320,000	320,000
Expenditures	170,130	188,636	193,842	305,871	300,000	300,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,460,294	1,521,439	1,671,191	1,577,338	1,597,338	1,617,338	1,637,338
Encumbrances	35,394	48,782	33,610	27,250			
Unencumbered Cash Balance	1,424,900	1,472,657	1,637,581	1,550,088	1,597,338	1,617,338	1,637,338

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 101  
 Name of Fund: Temporary Deposits - Undistributed Proceeds  
 Legal Authority: N/A

Contact Name: Lea Reyes  
 Phone: 587-0436  
 Fund type (MOF): Trust - T  
 Appropriation Acct. No.: T-906

Intended Purpose:

This trust fund was established to deposit land rental and water license revenues that are collected by DLNR for, and later transferred to, the Department of Hawaiian Home Lands, the Department of Agriculture, the Department of Transportation, and the Hawaii Community Development Authority.

Source of Revenues:

Revenues generated by rentals and water license fees managed by DLNR for other State agencies.

Current Program Activities/Allowable Expenses:

This fund serves as a holding account for revenues generated by the rental of property or from water licenses managed by DLNR for other State agencies.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	297,200	475,980	478,284	598,129	549,776	599,776	649,776
Revenues	1,176,275	993,937	821,056	584,502	700,000	700,000	700,000
Expenditures	997,495	991,633	701,211	632,855	650,000	650,000	650,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	475,980	478,284	598,129	549,776	599,776	649,776	699,776
<b>Encumbrances</b>							
Unencumbered Cash Balance	475,980	478,284	598,129	549,776	599,776	649,776	699,776

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 101  
 Name of Fund: Donations, Gifts, & Grants from Private a& Governmental Entities  
 Legal Authority: N/A

Contact Name: Lea Reyes  
 Phone: 587-0436  
 Fund type (MOF) Trust - T  
 Appropriation Acct. No. T-907

**Intended Purpose:**

This fund was created to account for donations, gifts and grants from private entities to be spent for specific purposes.

**Source of Revenues:**

Donations, gifts, settlement proceeds, etc.

**Current Program Activities/Allowable Expenses:**

Used primarily as a holding account for various receipts and expenditures.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variances:**

FY 15 Revenue increased due to Pila'a Settlement of \$7.7 M. The increase of expenditures is also due to anticipated expenditure of Land Legacy Acquisition

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,816,102	5,880,198	5,987,858	16,147,939	19,194,511	20,694,511	22,394,511
Revenues	3,179,225	512,360	11,100,838	3,604,857	4,000,000	4,200,000	4,200,000
Expenditures	1,115,129	392,905	940,757	558,285	2,500,000	2,500,000	2,500,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
		(11,795)					
Net Total Transfers	0	(11,795)	0	0	0	0	0
Ending Cash Balance	5,880,198	5,987,858	16,147,939	19,194,511	20,694,511	22,394,511	24,094,511
Encumbrances	543,461	974,366	1,537,013	1,394,943	1,500,000	1,500,000	1,500,000
Unencumbered Cash Balance	5,336,737	5,013,492	14,610,926	17,799,568	19,194,511	20,894,511	22,594,511

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 402  
 Name of Fund: Preservation of Endangered Plants  
 Legal Authority: N/A

Contact Name: James Cogswell  
 Phone: 587-4187  
 Fund type (MOF) Trust - T  
 Appropriation Acct. No. T-908-C

**Intended Purpose:**

To account for donated revenues for activities related to endangered plants.

**Source of Revenues:**

Revenues consist of donations from the Hawaii Credit Union League which are required to be used for the preservation of Hawaii's endangered plants.

**Current Program Activities/Allowable Expenses:**

Expenses related to development, production and issuance of endangered plant tags, and protection and management and endangered plants.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variances:**

N/A

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,341	2,341	228	228	0	0	0
Revenues		0	0	0	0		
Expenditures		2,113	0	228			
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,341	228	228	0	0	0	0
Encumbrances					0	0	0
Unencumbered Cash Balance	2,341	228	228	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 906  
 Name of Fund: Kaho`olawe Rehabilitation Trust Fund  
 Legal Authority: N/A

Contact Name: Michael Nahoopii  
 Phone: (808) 243-5020  
 Fund type (MOF): Trust - T  
 Appropriation Acct. No.: T-909

**Intended Purpose:**

This fund was established to receive federal funds, legislative appropriations, and moneys from grants, donations or proceeds for the rehabilitation and environmental restoration of the Island of Kaho`olawe.

**Source of Revenues:**

Monies received via State and Federal appropriations, and monies from grants, donations, or proceeds for the rehabilitation and environmental restoration of the Island of Kaho`olawe.

**Current Program Activities/Allowable Expenses:**

Expenses related to the operation of the Kaho`olawe Island Reserve Commission for the preservation, protection, rehabilitation, revegetation and education of the island.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variances:**

Majority of the revenue received comes from grants and the amounts of the grants vary year to year.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	8,143,292	5,574,767	3,119,589	509,183	522,585	572,585	572,585
Revenues	99,987	288,988	463,164	720,529	250,000	250,000	250,000
Expenditures	2,668,512	2,744,166	3,073,570	707,127	200,000	250,000	250,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,574,767	3,119,589	509,183	522,585	572,585	572,585	572,585
Encumbrances	1,849,354	1,358,352	185,667	174,081			
Unencumbered Cash Balance	3,725,413	1,761,237	323,516	348,504	572,585	572,585	572,585

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 101  
 Name of Fund: Land Div - Water Monitoring Services  
 Legal Authority: N/A

Contact Name: Lea Reyes  
 Phone: 587-0436  
 Fund type (MOF): Trust - T  
 Appropriation Acct. No.: T-911

Intended Purpose:

This trust account was created to account for donations received from various subsidiaries of sugar plantations. The donations were to be used for the operation and maintenance of sugarcane irrigation stations. Currently, no donations, gifts, or grants are being made to this account.

Source of Revenues:

Private parties pay for stream gauging services rendered by the United States Geological Survey (USGS).

Current Program Activities/Allowable Expenses:

This account serve as a clearing account for private parties to obtain and pay for stream gauging services rendered by USGS.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	8,532	8,728	9,361	9,376	9,576	9,576	9,576
Revenues	17,366	18,583	18,575	18,960			
Expenditures	17,170	17,950	18,560	18,760			
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8,728	9,361	9,376	9,576	9,576	9,576	9,576
Encumbrances	8,670	9,280	9,280	9,480			
Unencumbered Cash Balance	58	81	96	96	9,576	9,576	9,576

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 801  
 Name of Fund: Boating Security Deposits  
 Legal Authority: N/A

Contact Name: Kevin Yim  
 Phone: 587-1979  
 Fund type (MOF): Trust - T  
 Appropriation Acct. No.: T-915

Intended Purpose:

This trust account was transferred from the Department of Transportation on July 1, 1992. This fund was created to account for security deposits collected from the boating tenants of small boat harbors.

Source of Revenues:

Monies received as security deposits from the boating tenants of small boat harbors. The security deposits are usually equivalent to three months' rent.

Current Program Activities/Allowable Expenses:

This account is being used as a holding account and the security deposits are returned when the rental agreements are terminated.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,308,699	1,482,364	1,640,311	1,702,770	1,806,528	1,910,286	2,014,044
Revenues	173,665	163,407	134,554	153,642	153,642	153,642	153,642
Expenditures	0	5,460	72,095	49,884	49,884	49,884	49,884
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,482,364	1,640,311	1,702,770	1,806,528	1,910,286	2,014,044	2,117,802
Encumbrances							
Unencumbered Cash Balance	1,482,364	1,640,311	1,702,770	1,806,528	1,910,286	2,014,044	2,117,802

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 402  
 Name of Fund: Endangered Species Trust Fund  
 Legal Authority: Section 195D-31, HRS

Contact Name: James Cogswell  
 Phone: 587-4187  
 Fund type (MOF): Trust - T  
 Appropriation Acct. No.: T-919

Intended Purpose:

Funds will be used to conserve aquatic life, wildlife, and land plants.

Source of Revenues:

To account for monies provided for habitat conservation plans.

Current Program Activities/Allowable Expenses:

Preparation and implementation of habitat conservation plans and safe harbor agreements. Also, to provide additional incentives for private landowners to recover and protect threatened and endangered species on their lands.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,956,390	2,178,551	2,681,318	3,369,856	3,499,682	3,699,682	3,899,682
Revenues	1,462,578	1,779,420	2,112,279	2,016,364	2,100,000	2,100,000	2,100,000
Expenditures	1,240,417	1,276,653	1,423,741	1,886,538	1,900,000	1,900,000	1,900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,178,551	2,681,318	3,369,856	3,499,682	3,699,682	3,899,682	4,099,682
Encumbrances	1,454,544	1,207,964	1,907,488	1,445,769	1,500,000	1,500,000	15,000
Unencumbered Cash Balance	724,007	1,473,354	1,462,368	2,053,913	2,199,682	2,399,682	4,084,682

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 402  
 Name of Fund: Endangered Species Trust Fund  
 Legal Authority: Act 164, SLH 2011 as amended by Act 106, SLH 2012

Contact Name: James Cogswell  
 Phone: 587-4187  
 Fund type (MOF) Trust - T  
 Appropriation Acct. No. T-920-C

**Intended Purpose:**

Funds will be used to provide management for threatened and endangered species.

**Source of Revenues:**

Fees for processing applications for incidental take licenses.

**Current Program Activities/Allowable Expenses:**

Division is responsible for processing and providing assistance for applications of incidental take licenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variances:**

N/A

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	136,197	136,197	99,755	192,520	180,545	180,545	180,545
Beginning Cash Balance	0	21,500	33,870	51,982	63,331	75,331	87,331
Revenues	21,500	12,370	18,112	11,349	12,000	12,000	12,000
Expenditures	0	0	0				
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	21,500	33,870	51,982	63,331	75,331	87,331	99,331
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	21,500	33,870	51,982	63,331	75,331	87,331	99,331

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 801  
 Name of Fund: Boating Ceded Land Proceeds - Oahu  
 Legal Authority: N/A

Contact Name: Kevin Yim  
 Phone: 587-1979  
 Fund type (MOF): Trust - T  
 Appropriation Acct. No.: T-921

Intended Purpose:

To comply with Executive Orders 03-03 and 06-06, which set up the procedures for the transfer of ceded land receipts of the Office of Hawaiian Affairs (OHA).

Source of Revenues:

20% of revenues derived from the Division of Boating and Ocean Recreation 's ceded lands on the island of Oahu.

Current Program Activities/Allowable Expenses:

At the end of each quarter, funds accumulated in this account are transferred to OHA.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	194,907	188,242	123,611	149,893	215,648	270,701	325,754
Revenues	420,539	406,502	439,083	505,053	505,053	505,053	505,053
Expenditures	427,204	471,133	412,801	439,298	450,000	450,000	450,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	188,242	123,611	149,893	215,648	270,701	325,754	380,807
Encumbrances							
Unencumbered Cash Balance	188,242	123,611	149,893	215,648	270,701	325,754	380,807

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 801  
 Name of Fund: Boating Ceded Land Proceeds - Maui  
 Legal Authority: N/A

Contact Name: Kevin Yim  
 Phone: 587-1979  
 Fund type (MOF) Trust - T  
 Appropriation Acct. No. T-922

**Intended Purpose:**

To comply with Executive Orders 03-03 and 06-06, which set up the procedures for the transfer of ceded land receipts of the Office of Hawaiian Affairs

**Source of Revenues:**

20% of revenues derived from the Division of Boating and Ocean Recreation 's ceded lands on the island of Maui.

**Current Program Activities/Allowable Expenses:**

At the end of each quarter, funds accumulated in this account are transferred to OHA.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variances:**

N/A

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	489,717	330,729	194,349	248,849	246,405	249,461	252,517
Revenues	649,271	618,666	648,955	678,056	678,056	678,056	678,056
Expenditures	808,259	755,046	594,455	680,500	675,000	675,000	675,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	330,729	194,349	248,849	246,405	249,461	252,517	255,573
<b>Encumbrances</b>							
Unencumbered Cash Balance	330,729	194,349	248,849	246,405	249,461	252,517	255,573

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 801  
 Name of Fund: Boating Ceded Land Proceeds - Hawaii  
 Legal Authority: N/A

Contact Name: Kevin Yim  
 Phone: 587-1979  
 Fund type (MOF) Trust - T  
 Appropriation Acct. No. T-923

**Intended Purpose:**

To comply with Executive Orders 03-03 and 06-06, which set up the procedures for the transfer of ceded land receipts of the Office of Hawaiian Affairs

**Source of Revenues:**

20% of revenues derived from the Division of Boating and Ocean Recreation 's ceded lands on the Island of Hawaii.

**Current Program Activities/Allowable Expenses:**

At the end of each quarter, funds accumulated in this account are transferred to OHA.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variances:**

N/A

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	77,598	62,205	36,420	43,515	56,542	69,042	81,542
Revenues	115,487	124,180	131,703	151,430	151,000	151,000	151,000
Expenditures	130,880	149,965	124,608	138,403	138,500	138,500	138,500
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	62,205	36,420	43,515	56,542	69,042	81,542	94,042
<b>Encumbrances</b>							
Unencumbered Cash Balance	62,205	36,420	43,515	56,542	69,042	81,542	94,042

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 801  
 Name of Fund: Boating Ceded Land Proceeds - Kauai  
 Legal Authority: N/A

Contact Name: Randy Nako  
 Phone: \_\_\_\_\_  
 Fund type (MOF): Trust - T  
 Appropriation Acct. No.: T-924

Intended Purpose:

To comply with Executive Orders 03-03 and 06-06, which set up the procedures for the transfer of ceded land receipts of the Office of Hawaiian Affairs (OHA).

Source of Revenues:

20% of revenues derived from the Division of Boating and Ocean Recreation 's ceded lands on the island of Kauai.

Current Program Activities/Allowable Expenses:

At the end of each quarter, funds accumulated in this account are transferred to OHA.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	76,978	60,958	43,717	47,058	49,453	51,453	53,453
Revenues	142,264	156,174	152,287	144,069	144,000	144,000	144,000
Expenditures	158,284	173,415	148,946	141,674	142,000	142,000	142,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	60,958	43,717	47,058	49,453	51,453	53,453	55,453
Encumbrances							
Unencumbered Cash Balance	60,958	43,717	47,058	49,453	51,453	53,453	55,453

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 172  
 Name of Fund: Asistance in Managing Land Fund  
 Legal Authority: N/A

Contact Name: Melissa Sprecher  
 Phone: 587-4172  
 Fund type (MOF): Trust - T  
 Appropriation Acct. No.: T-926

Intended Purpose:

This account will be used to assist the Office of Hawaiian Affairs (OHA) in the management of the 25,856 acre Wao Kele O Puna Forest Reserve on the Island of Hawaii.

Source of Revenues:

Funds from OHA to manage the land.

Current Program Activities/Allowable Expenses:

Expenditures related to the management of land.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	56,431	225,663	167,722	125,760	124,837	124,037	123,237
Revenues	278,062	385	532	181	200	200	200
Expenditures	108,830	58,326	42,494	1,104	1,000	1,000	1,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	225,663	167,722	125,760	124,837	124,037	123,237	122,437
Encumbrances	163,506	52,861	10,420	9,316	10,000	10,000	10,000
Unencumbered Cash Balance	62,157	114,861	115,340	115,521	114,037	113,237	112,437

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 141  
 Name of Fund: Accrued Vacation and Sick Leave Fund  
 Legal Authority: Act 124, SLH 2016

Contact Name: Alyson Yim  
 Phone: 587-0259  
 Fund type (MOF): Trust - T  
 Appropriation Acct. No.: T-930-C

**Intended Purpose:**

This trust fund accounts for the accrued vacation and sick leave for project-funded (CIP) employees of the Engineering and State Parks Divisions. This account provides the funding for vacation and sick leave payouts upon departure of project-funded staff.

**Source of Revenues:**

Investment Pool proceeds; transfer of vacation earned with other departments.

**Current Program Activities/Allowable Expenses:**

Expenses related to vacation and sick leave pay-outs for employees who leave from a Capital Improvement Projects (CIP) staff position.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

n/a

**Variances:**

n/a

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				188,181	188,181	188,181	188,181
Beginning Cash Balance	0	0	0	0	394,602	369,602	344,602
Revenues				445,860	25,000	25,000	25,000
Expenditures				51,258	50,000	50,000	50,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	394,602	369,602	344,602	319,602
Encumbrances				49,151			
Unencumbered Cash Balance	0	0	0	345,451	369,602	344,602	319,602

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 101  
 Name of Fund: Ceded Land Proceeds, GF Portion - Oahu  
 Legal Authority: N/A

Contact Name: Lea Reyes  
 Phone: 587-0436  
 Fund type (MOF): Trust - T  
 Appropriation Acct. No.: T-931

Intended Purpose:

To comply with Executive Order 06-06, which carries out the requirements of Act 178, SLH 2006.

Source of Revenues:

20% of revenues derived from the use of ceded lands on the Island of Oahu.

Current Program Activities/Allowable Expenses:

Upon direction from the Governor or the Director of Department of Budget and Finance, funds accumulated in this account will be used to make up for any shortfall in \$3,775,000 to be transferred to OHA at the end of each fiscal quarter.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6,362,273	6,886,152	7,730,326	8,627,853	9,453,719	10,313,719	11,173,719
Revenues	523,879	844,174	897,527	825,866	860,000	860,000	860,000
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,886,152	7,730,326	8,627,853	9,453,719	10,313,719	11,173,719	12,033,719
Encumbrances							
Unencumbered Cash Balance	6,886,152	7,730,326	8,627,853	9,453,719	10,313,719	11,173,719	12,033,719

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 101  
 Name of Fund: Ceded Land Proceeds, GF Portion - Maui  
 Legal Authority: N/A

Contact Name: Lea Reyes  
 Phone: 587-0436  
 Fund type (MOF): Trust - T  
 Appropriation Acct. No.: T-932

**Intended Purpose:**

To comply with Executive Order 06-06, which carries out the requirements of Act 178, SLH 2006.

**Source of Revenues:**

20% of revenues derived from the use of ceded lands on the Island of Maui.

**Current Program Activities/Allowable Expenses:**

Upon direction from the Governor or the Director of Department of Budget and Finance, funds accumulated in this account will be used to make up for any shortfall in \$3,775,000 to be transferred to OHA at the end of each fiscal quarter.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variances:**

N/A

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,720,653	2,062,669	2,592,633	3,198,687	3,735,743	4,335,743	4,935,743
Revenues	471,002	529,964	606,054	537,056	600,000	600,000	600,000
Expenditures	128,986	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,062,669	2,592,633	3,198,687	3,735,743	4,335,743	4,935,743	5,535,743
Encumbrances							
Unencumbered Cash Balance	2,062,669	2,592,633	3,198,687	3,735,743	4,335,743	4,935,743	5,535,743

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 101  
 Name of Fund: Ceded Land Proceeds, GF Portion - Hawaii  
 Legal Authority: N/A

Contact Name: Lea Reyes  
 Phone: 587-0436  
 Fund type (MOF): Trust - T  
 Appropriation Acct. No.: T-933

**Intended Purpose:**

To comply with Executive Order 06-06, which carries out the requirements of Act 178, SLH 2006.

**Source of Revenues:**

20% of revenues derived from the use of ceded lands on the Island of Hawaii.

**Current Program Activities/Allowable Expenses:**

Upon direction from the Governor or the Director of Department of Budget and Finance, funds accumulated in this account will be used to make up for any shortfall in \$3,775,000 to be transferred to OHA at the end of each fiscal quarter.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variances:**

N/A

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	4,985,293	5,797,707	6,702,325	7,629,895	8,729,358	9,829,358	10,929,358
Revenues	908,990	904,618	927,570	1,099,463	1,100,000	1,100,000	1,100,000
Expenditures	96,576	0	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,797,707	6,702,325	7,629,895	8,729,358	9,829,358	10,929,358	12,029,358
<b>Encumbrances</b>							
Unencumbered Cash Balance	5,797,707	6,702,325	7,629,895	8,729,358	9,829,358	10,929,358	12,029,358

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 101  
 Name of Fund: Ceded Land Proceeds, GF Portion - Kauai  
 Legal Authority: N/A

Contact Name: Lea Reyes  
 Phone: 587-0436  
 Fund type (MOF): Trust - T  
 Appropriation Acct. No.: T-934

**Intended Purpose:**

To comply with Executive Order 06-06, which carries out the requirements of Act 178, SLH 2006.

**Source of Revenues:**

20% of revenues derived from the use of ceded lands on the Island of Kauai.

**Current Program Activities/Allowable Expenses:**

Upon direction from the Governor or the Director of Department of Budget and Finance, funds accumulated in this account will be used to make up for any shortfall in \$3,775,000 to be transferred to OHA at the end of each fiscal quarter.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

N/A

**Variances:**

N/A

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,862,559	3,246,644	3,712,077	4,153,669	4,613,132	5,083,132	5,553,132
Revenues	384,085	465,433	441,592	459,463	470,000	470,000	470,000
Expenditures	0	0	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,246,644	3,712,077	4,153,669	4,613,132	5,083,132	5,553,132	6,023,132
<b>Encumbrances</b>							
Unencumbered Cash Balance	3,246,644	3,712,077	4,153,669	4,613,132	5,083,132	5,553,132	6,023,132

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Land and Natural Resources  
 Prog ID(s): LNR 401  
 Name of Fund: In-Lieu Fee Mitigation Program (Port Royal)  
 Legal Authority: N/A

Contact Name: Brian Kanenaka  
 Phone: 587-0332  
 Fund type (MOF): Trust - T  
 Appropriation Acct. No.: T-935

Intended Purpose:

To account for the Department's In-Lieu Fee Mitigation Program. The 2008 Federal Mitigation Rule requires the establishment of a separate account for this program.

Source of Revenues:

Fees from permittees, fines, penalties, grants, interest income.

Current Program Activities/Allowable Expenses:

The account may be used for the selection, design, acquisition, implementation, and management of in-lieu compensatory mitigation projects, and administrative expenditures.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	8,316,014	8,280,022	7,229,459	6,609,851	6,331,461	6,043,461	5,755,461
Revenues	17,138	59,983	29,448	11,893	12,000	12,000	1,200
Expenditures	53,130	1,122,341	649,056	290,285	300,000	300,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		11,795					
00JT0120, 08/07/2015				2			
Net Total Transfers	0	11,795	0	2	0	0	0
Ending Cash Balance	8,280,022	7,229,459	6,609,851	6,331,461	6,043,461	5,755,461	5,456,661
Encumbrances	639,243	462,336	579,840	487,031	500,000	500,000	500,000
Unencumbered Cash Balance	7,640,779	6,767,123	6,030,011	5,844,430	5,543,461	5,255,461	4,956,661

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>David Thornton</u>
Prog ID(s):	<u>PSD 502 - Narcotics Enforcement Division</u>	Phone:	<u>837-8740</u>
Name of Fund:	<u>Domestic Cannabis Eradication Suppression</u>	Fund type (MOF)	<u>Federal (P)</u>
Legal Authority	<u>Act 119, SLH 15/ AM Act 124, SLH 16</u>	Appropriation Acct. No.	<u>S-16-237</u>

Intended Purpose: The purpose is to fund the Division's participation in marijuana eradication and suppression operations and training statewide.

Source of Revenues: The source of revenue is federal monies provided to the Division in accordance with an agreement between the Department of Public Safety and the Federal government

Current Program Activities/Allowable Expenses:

Current program activities include: marijuana eradication operations, training for eradication operations and coordination meetings. Allowable expenses include: helicopter rentals, travel and per diem costs, overtime, purchases of safety equipment and operational supplies.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Variances: Variases between fiscal years are dependent on the amount/availability of Federal funds applied and awarded. Revenues and expenditures are based on this amount and balances may be carried over to the next fiscal year.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	150,000.00	793.67	793.67	816.17	(3,820.85)	6,179.15	16,179.15
Revenues	0.00	141,555.04	150,000.00	86,482.50	100,000.00	100,000.00	100,000.00
Expenditures	149,206.33	141,555.04	149,977.50	91,119.52	90,000.00	90,000.00	90,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	793.67	793.67	816.17	(3,820.85)	6,179.15	16,179.15	26,179.15
Encumbrances	0.00	0.00	0.00	1,454.47			
Unencumbered Cash Balance	793.67	793.67	816.17	(5,275.32)	6,179.15	16,179.15	26,179.15

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Sherman M. Takao</u>
Prog ID(s):	<u>PSD 900 - General Administration</u>	Phone:	<u>587-3474</u>
Name of Fund:	<u>Justice Reinv Tech Assist For State Govt</u>	Fund type (MOF):	<u>N</u>
Legal Authority:	<u>Administrative Authority</u>	Appropriation Acct. No.:	<u>S-16-259-V1</u>

Intended Purpose:

The Justice Reinvestment Act was the product of a "justice reinvestment" approach which consisted of a comprehensive, data-driven analysis of Hawaii's criminal justice system, for which the CSG Justice Center served as a technical assistance provider with guidance from a high-level inter-agency, inter-branch working group, combined with extensive engagement of criminal justice system stakeholders.

The legislation makes significant improvements to public safety by requiring programming and parole release decisions to be based on an objective risk and needs assessment, by focusing supervision resources on those most likely to reoffend, and by holding offenders more accountable to victims.

Source of Revenues:

Grant from the Council of State Governments

Current Program Activities/Allowable Expenses:

To support the implementation and capacity building activities of the Justice Reinvestment Work Group. The State would provide requisite training and reimbursement for travel that is necessary to educate and develop skilled workforce related to the Justice Reinvestment Act.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Variations: Variations between fiscal years are dependent on the amount/availability of Federal funds applied and awarded. Revenues and expenditures are based on this amount and balances may be carried over to the next fiscal year. Grant projected to end upon final expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	367,767	367,767	367,767	367,767		
Beginning Cash Balance	0.00	0.00	0.41	0.35	41,197.25	0.00	0.00
Revenues	0.00	159,801.00	59,919.00	99,272.25			
Expenditures	0.00	159,800.59	59,919.06	58,075.35	41,197.25		
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.41	0.35	41,197.25	0.00	0.00	0.00
Encumbrances	0.00	50,000.00	50,000.00	50,000.00			
Unencumbered Cash Balance	0.00	(49,999.59)	(49,999.65)	(8,802.75)	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Pamela Ferguson-Brey</u>
Prog ID(s):	<u>PSD 613 - Crime Victim Compensation Commission</u>	Phone:	<u>587-1143</u>
Name of Fund:	<u>Victim of Crime Act (VOCA) Grant</u>	Fund type (MOF)	<u>Federal (P)</u>
Legal Authority	<u>Administrative Authority</u>	Appropriation Acct. No.	<u>S-16-264-V1</u>

Intended Purpose:

This account was established to deposit proceeds from the VOCA Grant. The VOCA Grant provides financial assistance and reimbursements to violent Source of Revenues:

Federal fund proceeds from the VOCA Grant.

Current Program Activities/Allowable Expenses:

Funds are primarily used to pay compensation to victims of violent crimes. The grant allows the Crime Victim Compensation Commission to use 5% of the grant for administrative purposes such as personnel costs and office supplies.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The FY 2013 increase in revenue for this special fund account was due to the fact that the Commission needed to spend the balance of funds from the federal grants set to expire on September 30, 2012, and September 30, 2013. The FY 2014 decrease in revenue for the account was due to the fact that the Commission expenditures compensations cases are less in FY 14. The FY 2013 increase in expenditures directly correlates to the increase in revenue drawn down from the federal grants. Funds are requested to be drawn from the federal grant to match compensation expenditures already calculated. Therefore, the FY 14 decrease in expenditures also directly correlates to the decrease in revenue. The projections for the out years were based on the available grants balance.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	859,315	859,315	859,315	859,315	859,315	859,315	859,315
Beginning Cash Balance	17,779.97	19,174.88	18,949.88	17,503.33	16,682.88	16,682.88	16,682.88
Revenues	406,300.00	296,859.14	278,678.19	341,025.00	300,000.00	300,000.00	300,000.00
Expenditures	404,905.09	297,084.14	280,124.74	341,845.45	300,000.00	300,000.00	300,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	19,174.88	18,949.88	17,503.33	16,682.88	16,682.88	16,682.88	16,682.88
Encumbrances	0.00	0.00	0.00	0.00			
Unencumbered Cash Balance	19,174.88	18,949.88	17,503.33	16,682.88	16,682.88	16,682.88	16,682.88

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Chris Lansford</u>
Prog ID(s):	<u>PSD 422 - Hawaii Correctional Industries</u>	Phone:	<u>587-3475</u>
Name of Fund:	<u>Correctional Industries Revolving Fund</u>	Fund type (MOF):	<u>Revolving (W)</u>
Legal Authority:	<u>Section 354D-10, HRS</u>	Appropriation Acct. No.:	<u>S-16-306-V1</u>

Intended Purpose:

To establish a comprehensive work program for inmates that provides them with training and work skills that increases their employment prospects after release.

Source of Revenues:

Moneys collected by the Department from the sale or disposition of goods and services produced in accordance with Section 354D-10, HRS.

Current Program Activities/Allowable Expenses:

The Correctional Industries Revolving Fund is used for the purchase or lease of capital resources, salaries of staff and inmates in the operation of correctional industries programs in accordance with Section 354D-10, HRS.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The sales increased in FY 14. In FY 15 the program established a sales team to bring business to the industries. New sales team has continued the growth in sales into FY16, and is confident it will have a positive effect on our revenues for the outyears.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	9,813,527	9,887,705	9,887,705	10,135,780	10,151,991	10,151,991	10,151,991
Beginning Cash Balance	1,614,435.86	1,327,717.07	1,375,327.41	746,450.17	510,758.71	510,758.71	510,758.71
Revenues	3,900,115.12	4,523,319.47	4,902,442.68	5,516,268.79	7,500,000.00	7,500,000.00	7,500,000.00
Expenditures	4,186,833.91	4,475,709.13	5,531,319.92	5,751,960.25	7,500,000.00	7,500,000.00	7,500,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0	0.00	0.00	0	0	0	0
Ending Cash Balance	1,327,717.07	1,375,327.41	746,450.17	510,758.71	510,758.71	510,758.71	510,758.71
Encumbrances	154,440.54	456,764.31	312,292.73	847,320.67			
Unencumbered Cash Balance	1,173,276.53	918,563.10	434,157.44	(336,561.96)	510,758.71	510,758.71	510,758.71

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Tessie V. Fernandez</u>
Prog ID(s):	<u>PSD 407 - Oahu Community Correctional Center</u>	Phone:	<u>587-1239</u>
Name of Fund:	<u>OCCC Inmate Store</u>	Fund type (MOF)	<u>Revolving (W)</u>
Legal Authority	<u>Section 353-31, HRS</u>	Appropriation Acct. No.	<u>S-16-315-V1</u>

**Intended Purpose:**

The account was established for the purpose of purchasing items to be resold to inmates at the Oahu Community Correctional Center (OCCC).

**Source of Revenues:**

All moneys received from the resale of allowable items in the OCCC inmate store.

**Current Program Activities/Allowable Expenses:**

Proceeds of this fund shall be used only for purchasing items to be resold to inmates and for purchasing goods and services for the operation of the inmate store that will benefit inmate needs.

**Purpose of Proposed Ceiling Increase (if applicable):**

Not Applicable.

**Variances:** The projections for the out years were based on the previous actual revenues and expenditures. The projections for the out years were based on the previous actual. This account has been inactive for an extended period of time and will be closed within the next few Fiscal Years.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000.00	30,000.00	30,000.00	30,000.00	30,000.00	30,000.00	30,000.00
Beginning Cash Balance	424.00	424.00	424.00	424.00	424.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	0.00	0.00	0.00	0.00	424.00	0.00	0.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	424.00	424.00	424.00	424.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00			
Unencumbered Cash Balance	424.00	424.00	424.00	424.00	0.00	0.00	0.00

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Department of Public Safety  
 Prog ID(s): PSD 402 - Halawa Correctional Facility  
 Name of Fund: HCF Inmate Store  
 Legal Authority Section 353-31, HRS

Contact Name:  
 Phone:  
 Fund type (MOF)  
 Appropriation Acct. No.

Tessie V. Fernandez  
587-1239  
Revolving (W)  
S-16-316-V1

**Intended Purpose:**

The account was established for the purpose of purchasing items to be resold to inmates at the Halawa Correctional Facility (HCF).

**Source of Revenues:**

All moneys received from the resale of allowable items in the HCF inmate store.

**Current Program Activities/Allowable Expenses:**

Proceeds of this fund shall be used only for purchasing items to be resold to inmates and for purchasing goods and services for the operation of the inmate store that will benefit inmate needs.

**Purpose of Proposed Ceiling Increase (if applicable):**

Not Applicable.

paid in FY 2013. Projections for the out years were based on the previous actual. This account slowed activity for an extended period of time and will be closed within the next few Fiscal Years.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	28,719.00	28,719.00	28,719.00	28,719.00	28,719.00	28,719.00	28,719.00
Beginning Cash Balance	40,231.64	24,446.21	48,077.80	65,690.18	65,690.18	43,690.18	21,690.18
Revenues	12,933.57	23,631.59	17,612.38	0.00	0.00	0.00	0.00
Expenditures	28,719.00	0.00	0.00	0.00	22,000.00	22,000.00	21,690.18
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	24,446.21	48,077.80	65,690.18	65,690.18	43,690.18	21,690.18	0.00
Encumbrances	0.00	0.00	0.00	0.00			
Unencumbered Cash Balance	24,446.21	48,077.80	65,690.18	65,690.18	43,690.18	21,690.18	0.00

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Department of Public Safety  
 Prog ID(s): PSD 404 - Waiawa Correctional Facility  
 Name of Fund: WCF Inmate Store  
 Legal Authority: Section 353-31, HRS

Contact Name:  
 Phone:  
 Fund type (MOF)  
 Appropriation Acct. No.

Tessie V. Fernandez  
587-1239  
Revolving (W)  
S-16-319-V1

**Intended Purpose:**

The account was established for the purpose of purchasing items to be resold to inmates at the Waiawa Correctional Facility (WCF).

**Source of Revenues:**

All moneys received from the resale of allowable items in the WCF inmate store.

**Current Program Activities/Allowable Expenses:**

Proceeds of this fund shall be used only for purchasing items to be resold to inmates and for purchasing goods and services for the operation of the inmate store that will benefit inmate needs.

**Purpose of Proposed Ceiling Increase (if applicable):**

Not Applicable.

**Variances:** The expenditure variance is due to unpaid expenditures in FY 13 that were paid in FY 2014. This account has been inactive for an extended period of time and will be closed within the next few Fiscal Years.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000	15,000	15,000	15,000	15,000	15,000	15,000
Beginning Cash Balance	5,094.91	3,593.73	739.35	739.35	2.15	(0.00)	(0.00)
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	1,501.18	2,854.38	0.00	737.20	2.15	0.00	0.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	3,593.73	3,593.73	739.35	2.15	(0.00)	(0.00)	(0.00)
Encumbrances	0.00	0.00	0.00	0.00			
Unencumbered Cash Balance	3,593.73	3,593.73	739.35	2.15	(0.00)	(0.00)	(0.00)

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Pamela Ferguson-Brey</u>
Prog ID(s):	<u>PSD 613 - Crime Victim Compensation Commission</u>	Phone:	<u>587-1143</u>
Name of Fund:	<u>CVCC Special Fund</u>	Fund type (MOF):	<u>Special (B)</u>
Legal Authority:	<u>Act 206, SLH 1998</u>	Appropriation Acct. No.:	<u>S-16-323-V1</u>

Intended Purpose:

Act 206, SLH 1998 established this account and a system of compensation fees to generate revenue to fund the operation of the Crime Victim Compensation Commission (CVCC).

Source of Revenues:

Funds received pursuant to Section 354D-12(b)(1), 351-35, 351-62.6, 351-63, 706-605, and 853-1.

Current Program Activities/Allowable Expenses:

Moneys received are used for compensation payments, operating expenses, and to fund positions as authorized by the legislature.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variations: The increase in revenue for FY 2013 is related to an increase in restitution reimbursed to the Commission for cases in which the Commission previously paid the victim. Restitution collection increased due to the start of the Justice Reinvestment (JRI) project and the focus by the commission's JRI section on restitution collection. The increase also included an increase in compensation fees collected by the Commission's JRI section. The increase in revenue for FY 2014 is related to a significant increase in compensation fee collection from the Judiciary after a legislative request for the Judiciary to monitor the compensation fee collections and report back to them. Additional revenue increases were for collection of inmate wages from the facilities and an increase in restitution reimbursements. The FY 2013 increase in expenditures is due to the payment by the Commission for two years worth of admin and assessment fees for FY 2012 and FY 2013. The decrease in expenditures for FY 2014 from FY 2013 reflect regular expenses less the admin and assessment fees.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,865,067	1,892,173	1,892,173	2,080,151	2,098,552	2,098,552	2,098,552
Beginning Cash Balance	952,418.60	888,858.42	1,023,921.55	1,119,360.28	1,239,738.30	1,239,738.30	1,239,738.30
Revenues	946,947.33	1,043,449.57	902,667.87	967,205.26	1,000,000.00	1,000,000.00	1,000,000.00
Expenditures	1,010,507.51	908,386.44	807,229.14	846,827.24	1,000,000.00	1,000,000.00	1,000,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	888,858.42	1,023,921.55	1,119,360.28	1,239,738.30	1,239,738.30	1,239,738.30	1,239,738.30
Encumbrances	147,606.60	1,237.50	347.90	714.72			
Unencumbered Cash Balance	741,251.82	1,022,684.05	1,119,012.38	1,239,023.58	1,239,738.30	1,239,738.30	1,239,738.30

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>David Thornton</u>
Prog ID(s):	<u>PSD 502 - Narcotics Enforcement Division (NED)</u>	Phone:	<u>837-8470</u>
Name of Fund:	<u>Controlled Substance Registration Revolving Fund</u>	Fund type (MOF):	<u>Revolving (W)</u>
Legal Authority:	<u>Act 268, SLH 1996</u>	Appropriation Acct. No.:	<u>S-16-325-V1</u>

**Intended Purpose:**

This revolving fund was established mainly for the purpose of offsetting the cost of the electronic prescription accountability system, the NED forensic drug laboratory facility, and the registration, investigation, and control of the manufacture, distribution, prescription, and dispensation of controlled substances and regulated chemicals within the State.

**Source of Revenues:**

All fees collected pursuant to Sections 329-31, 329-67, and 329-123(b).

**Current Program Activities/Allowable Expenses:**

The fund is expended for its intended purpose and to fund positions authorized by the legislature. The NED ensures the annual registration of all persons who handle controlled substances and regulated chemicals in the State, and all patients authorized by their physician to utilize marijuana for medical purposes. On 12/31/2014 the medical marijuana program will be transferred to Department of Health.

**Purpose of Proposed Ceiling Increase (if applicable):**

Not Applicable.

**Variances:** The revenue increased in FY 2014 is attributed to a late deposit in FY 2013 medical marijuana and controlled substance registration check into the account. On 6/25/13 Governor Abercrombie signed into law Act 177 authorized the transfer of the medical marijuana program from Department of Public Safety to the Department of Health on 12/31/14 (HB6668 CD1.) With this transfer, the Department has experienced a dramatic loss in revenue in FY16. However, revenues are expected to nearly double beginning the second half of FY17 due to the increase in fee schedule for the Prescription Monitoring Program from \$60 to \$115.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	661,051	812,737	771,864	921,675	934,078	844,748	844,748
Beginning Cash Balance	461,930.92	518,378.34	369,701.57	158,170.75	107,020.58	107,020.58	107,020.58
Revenues	526,461.65	745,668.16	587,277.11	382,683.36	573,000.00	764,000.00	764,000.00
Expenditures	470,014.23	566,308.26	597,561.72	433,833.53	573,000.00	764,000.00	764,000.00
Transfers							
List each by JV# and date							
JS1198 - 9/30/14		(253,526.67)					
JS2885 - 11/18/13		(74,510.00)					
JS4116 - 1/22/15			(201,246.21)				
Net Total Transfers	0.00	(328,036.67)	(201,246.21)	0.00	0.00	0.00	0.00
Ending Cash Balance	518,378.34	369,701.57	158,170.75	107,020.58	107,020.58	107,020.58	107,020.58
Encumbrances	10,265.07	56,040.00	60,291.61	14,716.51			
Unencumbered Cash Balance	508,113.27	313,661.57	97,879.14	92,304.07	107,020.58	107,020.58	107,020.58

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>James Hirano</u>
Prog ID(s):	<u>PSD 406 - Maui Community Correctional Center</u>	Phone:	<u>808-243-5860</u>
Name of Fund:	<u>Maui County Grant</u>	Fund type (MOF):	<u>County Grant (S)</u>
Legal Authority:	<u>Administrative Authority</u>	Appropriation Acct. No.:	<u>S-16-331-V1</u>

Intended Purpose:

Funds are awarded by the Maui County council to the Maui Community Correctional Center (MCCC) as a partnership to enable MCCC inmates to participate in valuable community activities that assist in the reintegration process.

Source of Revenues:

Maui County Council grant award to MCCC.

Current Program Activities/Allowable Expenses:

Funds are used to pay for expenses incurred by the MCCC inmate workline in areas of light construction.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The expenditure variance is due to unpaid expenditures in FY 13 that were paid in FY 2014.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	209,721	209,721	209,721	209,721	209,721	209,721	209,721
Beginning Cash Balance	216,770.85	230,933.07	194,007.28	197,218.57	189,819.06	189,819.06	89,972.17
Revenues	116,772.69	115,383.89	117,086.55	96,508.74	117,000.00	117,000.00	117,000.00
Expenditures	102,610.47	152,309.68	113,875.26	103,908.25	117,000.00	117,000.00	117,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	230,933.07	194,007.28	197,218.57	189,819.06	189,819.06	189,819.06	89,972.17
Encumbrances	42,726.04	733.45	2,491.06	13,589.81			
Unencumbered Cash Balance	188,207.03	193,273.83	194,727.51	176,229.25	189,819.06	189,819.06	89,972.17

0.175749  
0.087526

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Tessie Fernandez</u>
Prig ID(s):	<u>PSD 900 - General Administration</u>	Phone:	<u>587-1239</u>
Name of Fund:	<u>Federal Reimbursement Maximization Special Fund</u>	Fund type (MOF)	<u>Special (B)</u>
Legal Authority	<u>Act 172, SLH 2001</u>	Appropriation Acct. No.	<u>S-16-345-V1</u>

Intended Purpose:

The purpose of this grant is to continue building on PSD's success in operating the substance abuse treatment and reentry programs. The funds were used for two Office Assistant III positions (one at Oahu Community Correctional Center and another at Women's Community Correctional Center). The funding of

Source of Revenues:

All federal reimbursements received relating to the State Criminal Alien Assistance Program (SCAAP).

Current Program Activities/Allowable Expenses:

Funds are currently being used to meet the state match requirement for various grants, vehicle replacement and radios.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variances: The revenue for this account is based on federal requirements to report the number of non-US citizens incarcerated for three or more days within the fiscal year. The revenue changes every year based on data received from all 50 States. If States do no report within the required timeframe, more revenue is divided up to the States that had reported the data. Higher expenditures in FY 2014 is due to increased in usage of this fund for corrections purposes.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	667,984	667,984	667,984	667,984	667,984	667,984	667,984
Beginning Cash Balance	1,628,702.87	1,665,801.17	1,676,962.94	1,485,245.17	1,369,840.69	1,369,840.69	1,369,840.69
Revenues	198,389.22	311,540.00	240,868.00	227,572.00	300,000.00	300,000.00	300,000.00
Expenditures	161,290.92	300,378.23	432,585.77	342,976.48	300,000.00	300,000.00	300,000.00
Transfers		0.00	0.00				
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	1,665,801.17	1,676,962.94	1,485,245.17	1,369,840.69	1,369,840.69	1,369,840.69	1,369,840.69
Encumbrances	127,295.49	319,579.83	440,607.15	587,528.82			
Unencumbered Cash Balance	1,538,505.68	1,357,383.11	1,044,638.02	782,311.87	1,369,840.69	1,369,840.69	1,369,840.69

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Department of Public Safety  
 Prog ID(s): PSD 420 - Corrections Program Services  
 Name of Fund: Hawaii Acad For Trng on Sex Offender Mgt  
 Legal Authority: Administratively Created

Contact Name:  
 Phone:  
 Fund type (MOF)  
 Appropriation Acct. No.

Michael Hoffman  
587-1266  
Federal (N)  
S-14-501-V1 / S-17-238-V1

Intended Purpose:  
 Create an IT Specialist to develop online training courses on evidence-based practices for sex offender management.

Source of Revenues:  
 2014 Edward Byrne Memorial Justice Assistance Grant (JAG)

Current Program Activities/Allowable Expenses:  
 Salary for IT Specialist to create online training courses on evidence-based practices for sex offender management. Other expenses include travel for training, office supplies, equipment and software costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Variations: Variations between fiscal years are dependent on the amount/availability of Federal funds applied and awarded. Revenues and expenditures are based on this amount and balances may be carried over to the next fiscal year.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0		74,076	89,744	89,744		
Beginning Cash Balance	0.00	0.00	0.00	4,828.29	192.75	0.00	0.00
Revenues		0.00	14,000.00	59,000.00	16,744.00	0.00	0.00
Expenditures		0.00	9,171.71	63,635.54	16,936.75	0.00	0.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	4,828.29	192.75	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00			
Unencumbered Cash Balance	0.00	0.00	4,828.29	192.75	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Tessie Fernandez</u>
Prog ID(s):	<u>PSD 900 - General Administration</u>	Phone:	<u>587-1239</u>
Name of Fund:	<u>Automated Victim Information and Notification System</u>	Fund type (MOF)	<u>Special (B)</u>
Legal Authority	<u>Act 190,SLH 2012</u>	Appropriation Acct. No.	<u>S-16-350-V1</u>

Intended Purpose:

This fund was established as the Automated Victim Information & Notification System Special Fund.

Source of Revenues:

4% surcharge on any item purchased by an In-State or Out--of-State inmate from a correctional facility commissary.

Purpose of Proposed Ceiling Increase (if applicable)

All proceeds or revenues that are derived fro any commission that is realized pursuant to a telephone service agreement executed by the Department for telephone services.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Variances: Act 190, SLH 2012 established this account. It took time to accumulate revenues to ensure sufficient funds are available to fund expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		250,000	250,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	0.00	140,729.01	330,503.95	446,050.38	582,853.60	582,853.60	582,853.60
Revenues	140,729.01	246,274.94	232,535.44	252,327.52	273,896.00	273,896.00	273,896.00
Expenditures	0.00	56,500.00	116,989.01	115,524.30	273,896.00	273,896.00	273,896.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	140,729.01	330,503.95	446,050.38	582,853.60	582,853.60	582,853.60	582,853.60
Encumbrances	56,500.00	0.00	10,500.00	10,500.00			
Unencumbered Cash Balance	84,229.01	330,503.95	435,550.38	572,353.60	582,853.60	582,853.60	582,853.60

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Department of Public Safety  
 Prog ID(s): PSD 900 - General Administration  
 Name of Fund: CF/CCC- Administrator/Inmate Activity Fund  
 Legal Authority: Section 353-20, HRS

Contact Name: \_\_\_\_\_  
 Phone: \_\_\_\_\_  
 Fund type (MOF): \_\_\_\_\_  
 Appropriation Acct. No.: \_\_\_\_\_

Tessie Fernandez  
587-1239  
Trust (T)  
T-16-902-V1

**Intended Purpose:**

This account was established to provide a mechanism to deposit funds that can be used for the benefit of all inmates at the facilities.

**Source of Revenues:**

Interest derived from the Prisoner Trust Account, 25% of net annual profit from facilities that operate an inmate store with inventory, and 100% of the net annual profit from facilities that operate an inmate store with contracted services.

**Current Program Activities/Allowable Expenses:**

Funds are used to purchase food supplies for special inmate activities, repair and maintenance of recreational equipment, musical instruments, TVs, VCRs, and video tape purchases.

**Purpose of Proposed Ceiling Increase (if applicable):**

Not Applicable.

Variances: Significant decrease is due to change in the main source of revenue; inmate stores. Expenditures decrease accordingly based on revenue intake.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)
Appropriation Ceiling	75,065	75,065	75,065	75,065	75,065	75,065	75,065
Beginning Cash Balance	71,846.18	89,719.73	80,798.08	99,999.24	78,712.19	78,712.19	78,712.19
Revenues	49,774.37	16,118.20	18,839.06	36,987.01	15,000.00	15,000.00	15,000.00
Expenditures	31,900.82	25,039.86	25,675.42	27,624.63	15,000.00	15,000.00	15,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	89,719.73	80,798.07	73,961.72	109,361.62	78,712.19	78,712.19	78,712.19
Encumbrances	2,466.75	2,082.51	0.00	8,662.42			
Unencumbered Cash Balance	87,252.98	78,715.56	73,961.72	100,699.20	78,712.19	78,712.19	78,712.19

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>David Thornton</u>
Prog ID(s):	<u>PSD 502 - Narcotics Enforcement Division</u>	Phone:	<u>837-8470</u>
Name of Fund:	<u>Narcotics Enforcement Agency Trust Account - FedeFund type (MOF)</u>		<u>Trust (T)</u>
Legal Authority	<u>Section 329-55 &amp; Chapter 712A, HRS</u>	Appropriation Acct. No.	<u>T-16-904-V1</u>

Intended Purpose:

This trust fund was established for the federal forfeiture proceeds derived directly or indirectly from or realized through unlawful activities.

Source of Revenues:

Federal forfeiture proceeds.

Current Program Activities/Allowable Expenses:

Funds are used for the purpose of narcotics enforcement activities only. Federal guidelines mandate that funds be used to supplement and not supplant existing state funding. Funds are used for training, purchase of equipment, and operational supplies that enhance narcotics law enforcement operations.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The Narcotics Enforcement Division (NED) during FY 2013 through FY 2015 has had to rely on it T-904 account more heavily due to replacement of necessary investigative equipment and training cost as authorized under this program while also decreasing its participation in Federal taskforces and reduction in the use of the S-235 funds. In FY 2016 NED will formally partner with the DEA and FBI in conducting pharmaceutical controlled substance investigations. This taskforce should bring additional revenues from Federal pharmaceutical controlled substance diversion cases resulting in forfeiture.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	966,386.10	929,996.96	1,015,248.60	1,202,019.17	1,179,121.31	1,179,121.31	1,179,121.31
Revenues	168,549.85	190,435.18	323,428.91	107,910.10	100,000.00	100,000.00	100,000.00
Expenditures	204,938.99	105,183.54	136,658.34	130,807.96	100,000.00	100,000.00	100,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	929,996.96	1,015,248.60	1,202,019.17	1,179,121.31	1,179,121.31	1,179,121.31	1,179,121.31
Encumbrances	4,811.78	19,524.84	88,688.46	219,359.53			
Unencumbered Cash Balance	925,185.18	995,723.76	1,113,330.71	959,761.78	1,179,121.31	1,179,121.31	1,179,121.31

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>David Thornton</u>
Prog ID(s):	<u>PSD 502 - Narcotics Enforcement Division</u>	Phone:	<u>837-8470</u>
Name of Fund:	<u>Drug Law Enforcement Equipment Procurement Prog</u>	Fund type (MOF):	<u>Trust (T)</u>
Legal Authority:	<u>National Defense Authorization Act of 1993, Section 1122</u>	Appropriation Acct. No.:	<u>T-16-905-V1</u>

Intended Purpose:

The National Defense Authorization Act of 1993, Section 1122, established a requirement that the Department of Defense develop procedures enable State and Local governments to purchase law enforcement equipment suitable for counter-drug activities through the federal procurement. This account was established to temporarily hold funds collected from the State and other agencies until ordered goods are received and paid.

Source of Revenues:

Funds collected from State and local law enforcement agencies awaiting receipt of goods purchased through federal procurement.

Current Program Activities/Allowable Expenses:

On March 31, 1997, the administrator of the Narcotics Enforcement Division (NED) was designated by the Governor to be the State Point of Contact (SPOC) for this program. The SPOC screens all purchase requests and guarantees that the requesting agencies has funds to pay for the ordered equipment. To facilitate this, NED established the Drug Law Enforcement Equipment Procurement program Trust Account to temporarily hold funds collected from State and other agencies until ordered goods are received and paid.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The projections for the out years were based on the previous actual revenues and expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	30,303.05	34,035.13	34,035.13	34,035.13	8,055.13	8,055.13	8,055.13
Revenues	190,202.32	0.00	0.00	0.00	150,000.00	150,000.00	150,000.00
Expenditures	186,470.24	0.00	0.00	25,980.00	150,000.00	150,000.00	150,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	34,035.13	34,035.13	34,035.13	8,055.13	8,055.13	8,055.13	8,055.13
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	34,035.13	34,035.13	34,035.13	8,055.13	8,055.13	8,055.13	8,055.13

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Clifford Asato</u>
Prog ID(s):	<u>PSD 900 - General Administration</u>	Phone:	<u>587-2520</u>
Name of Fund:	<u>Temporary Deposits - Payroll Assignment</u>	Fund type (MOF)	<u>Trust (T)</u>
Legal Authority	<u>Section 331 of the State Accounting Manual</u>	Appropriation Acct. No.	<u>T-16-907-V1</u>

Intended Purpose:

This trust account was established for the collection of salary overpayments made to individuals on Leave Without Pay or no longer employed by the Department. The intent is to identify the individuals and to collect payroll overpayment in a timely manner.

Source of Revenues:

Salary overpayments made to individuals on Leave Without Pay or no longer employed by the Department.

Current Program Activities/Allowable Expenses:

Moneys collected are reverted back to the General Fund for prior year overpayment collections and to the specific program appropriation for current year overpayment collections.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The variance in revenue is due to higher salary overpayment collections. The expenditure variance is due to the funds collected not being returned to the States.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2018
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	839,946.89	866,056.15	907,657.15	826,424.25	500,100.92	500,100.92	500,100.92
Revenues	26,859.73	41,708.62	22,208.16	44,822.19	22,000.00	22,000.00	22,000.00
Expenditures	750.47	107.62	103,441.06	371,145.52	22,000.00	22,000.00	22,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	866,056.15	907,657.15	826,424.25	500,100.92	500,100.92	500,100.92	500,100.92
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	866,056.15	907,657.15	826,424.25	500,100.92	500,100.92	500,100.92	500,100.92

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>David Thornton</u>
Prog ID(s):	<u>PSD 502 - Narcotics Enforcement Division</u>	Phone:	<u>837-8470</u>
Name of Fund:	<u>Narcotics Enforcement Agency Trust Account - StateFund type (MOF)</u>		<u>Trust (T)</u>
Legal Authority	<u>Section 329-55 &amp; Chapter 712A, HRS</u>	Appropriation Acct. No.	<u>T-16-908-V1</u>

Intended Purpose:

This trust fund was established for the state forfeiture proceeds derived directly on indirectly from or realized through unlawful activities.

Source of Revenues:

State forfeiture proceeds.

Current Program Activities/Allowable Expenses:

Funds are used for the purpose of narcotics enforcement activities only. Funds are used for training, purchase of equipment, and operational supplies that enhance narcotics law enforcement operations.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The projections for the out years were based on the previous actual revenues and expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	39,176.47	39,176.47	39,176.47	39,176.47	24,085.02	24,085.02	24,085.02
Revenues	0.00	0.00		1,000.00	1,000.00	1,000.00	1,000.00
Expenditures				16,091.45	1,000.00	1,000.00	1,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	39,176.47	39,176.47	39,176.47	24,085.02	24,085.02	24,085.02	24,085.02
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	39,176.47	39,176.47	39,176.47	24,085.02	24,085.02	24,085.02	24,085.02

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Department of Public Safety  
 Prog ID(s): PSD 900 General Administration  
 Name of Fund: Gifts to the Department of Public Safety  
 Legal Authority: Section 353-32, HRS

Contact Name: \_\_\_\_\_  
 Phone: \_\_\_\_\_  
 Fund type (MOF) \_\_\_\_\_  
 Appropriation Acct. No. \_\_\_\_\_

Tessie Fernandez  
587-1240  
Trust (T)  
T-16-910-V1

**Intended Purpose:**

This account was established to deposit any gift money given to the Department of Public Safety and expended in accordance with the law and any terms and conditions that may pertain to the gift.

**Source of Revenues:**

Moneys given, bequeathed, devised, or in any other manner provided from sources other than the legislature or the federal government to the Department of Public Safety.

**Current Program Activities/Allowable Expenses:**

Any expenditure is subject to the approval of the Director of Public Safety and according to the terms or conditions imposed by the donor.

**Purpose of Proposed Ceiling Increase (if applicable):**

Not Applicable.

Variances: The projections for the out years were based on the previous actual expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	7,666.89	7,666.89	7,666.89	7,666.89	7,666.89	6,666.89	5,666.89
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	0.00	0.00	0.00	0.00	1,000.00	1,000.00	1,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	7,666.89	7,666.89	7,666.89	7,666.89	6,666.89	5,666.89	4,666.89
Encumbrances	3,906.00	3,906.00	3,906.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	3,760.89	3,760.89	3,760.89	7,666.89	6,666.89	5,666.89	4,666.89

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Pamela Ferguson-Brey</u>
Prog ID(s):	<u>PSD 613 - Crime Victim Compensation Commission</u>	Phone:	<u>587-1143</u>
Name of Fund:	<u>Victim Restitution Trust Fund</u>	Fund type (MOF):	<u>Trust (T)</u>
Legal Authority:	<u>Administrative Authority</u>	Appropriation Acct. No.:	<u>T-16-915-V1</u>

Intended Purpose:

This account was established as part of a Pilot Project in accordance with Section 353-22.6, HRS, Victim Restitution.

Source of Revenues:

Restitution collected from inmates and other offenders pursuant to their court orders.

Current Program Activities/Allowable Expenses:

Court ordered restitution collected from inmates and other offenders are disbursed to crime victims as stipulated or reimbursed to CVCC in cases where CVCC has already provided compensation to crime victims from crime related expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The FY 2013 increase in revenue for the trust account is due to an increase in the collection of payments of restitution by inmates and parolees as a result of the start of the Justice Reinvestment (JRI) project and the commission's focus on restitution collection. Both the FY 2013 and FY 2014 increases in expenditures are directly related to the increase in revenue. This trust account was set up to be a repository for restitution funds. As such, restitution paid by offenders is deposited into the account and then paid out to their victims. An increase in revenue correlates directly to an increase in expenditures. The projections for the out years were based on the 1st QTR actual revenue collections in FY 15.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	260,835.95	206,092.17	153,461.29	151,433.34	151,433.34	189,579.78	151,433.34
Revenues	591,650.43	746,517.76	295,875.54	676,984.01	250,000.00	250,000.00	250,000.00
Expenditures	646,394.21	799,148.64	297,903.49	638,837.57	250,000.00	250,000.00	250,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	206,092.17	153,461.29	151,433.34	189,579.78	151,433.34	189,579.78	151,433.34
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	206,092.17	153,461.29	151,433.34	189,579.78	151,433.34	189,579.78	151,433.34

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017

Department: Department of Public Safety  
 Prog ID(s): PSD 900 - General Administration  
 Name of Fund: Prisoner's Trust Account  
 Legal Authority: Section 353-20, HRS

Contact Name: \_\_\_\_\_  
 Phone: \_\_\_\_\_  
 Fund type (MOF): \_\_\_\_\_  
 Appropriation Acct. No.: \_\_\_\_\_

Clifford Asato  
587-2520  
Trust (T)  
T-16-998-V1

**Intended Purpose:**

The account was established to deposit moneys earned by a committed person, moneys given by family, and other authorized sources. The Department maintains an individual ledger account for each committed person and issues statements showing credits and de

**Source of Revenues:**

Moneys earned by a committed person, moneys given by family, and other authorized sources.

**Current Program Activities/Allowable Expenses:**

The Department shall allow any committed person under its direction to draw from funds in the committed person's account such amounts and for such purpose as it may deem proper. Upon parole or discharge of a committed person, the department shall pay the

**Purpose of Proposed Ceiling Increase (if applicable):**

Not Applicable.

Variances: The variance is due to inmate accounts for all facilities.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,381,332.88	1,265,064.92	1,246,538.10	1,427,457.04	1,421,200.14	1,421,200.14	1,421,200.14
Revenues	4,143,236.18	4,659,774.97	4,620,608.09	5,665,640.90	5,500,000.00	5,500,000.00	5,500,000.00
Expenditures	4,259,504.14	4,678,301.79	4,439,689.15	5,671,897.80	5,500,000.00	5,500,000.00	5,500,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00				
Ending Cash Balance	1,265,064.92	1,246,538.10	1,427,457.04	1,421,200.14	1,421,200.14	1,421,200.14	1,421,200.14
Encumbrances							
Unencumbered Cash Balance	1,265,064.92	1,246,538.10	1,427,457.04	1,421,200.14	1,421,200.14	1,421,200.14	1,421,200.14

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: TAX  
 Prog ID(s): TAX 107  
 Name of Fund: CIGARETTE TAX STAMP ADMINISTRATIVE SPECIAL FUND  
 Legal Authority: HRS 245-41.5

Contact Name: EMILL B. ACOSTA  
 Phone: 587-1501  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-325-T

Intended Purpose: To provide funding to administer and operate the cigarette tax stamp program.

Source of Revenues: The allocated portion of the stamp fee designated to pay for the cost to the State of providing the stamps as provided by section 245-26.

Current Program Activities/Allowable Expenses: Monies in the fund are used to purchase stamps from the manufacturer and to pay for the cost of distributing stamps through a financial institution.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Variances in expenditures from FY15 to FY16 were due to a price reduction with a renewed contract with the financial institution.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	272,000	547,875	272,000	272,000	272,000	272,000	272,000
Beginning Cash Balance	412,885	108,799	517,698	505,995	593,611	758,109	922,607
Revenues	255,700	219,079	233,681	225,117	252,000	252,000	252,000
Expenditures	146,900	166,238	135,311	87,502	87,502	87,502	87,502
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
	(412,886)						
		356,058					
			(110,073)				
				(49,999)			
Net Total Transfers	(412,886)	356,058	(110,073)	(49,999)	0	0	0
Ending Cash Balance	108,799	517,698	505,995	593,611	758,109	922,607	1,087,105
Encumbrances	41,618	36,268	47,006	72,468			
Unencumbered Cash Balance	67,181	481,430	458,989	521,143	758,109	922,607	1,087,105

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: TAX  
 Prog ID(s): TAX 107  
 Name of Fund: TAX ADMINISTRATION SPECIAL FUND  
 Legal Authority: HRS §235-20.5

Contact Name: EMILL B. ACOSTA  
 Phone: 587-1501  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-327-T

Intended Purpose: To offset costs associated with administrering sections 235-20, 235-110.9, and 235-110.91; to fund operations of the Special Enforcement Section (SES) and taxpayer education programs.

Source of Revenues: Fees collected under sections 235-20, 235-110.9 and 235-110.91; revenues collected by SES pursuant to section 231-85; fines assessed pursuant to section 237D-4.

Current Program Activities/Allowable Expenses: Issuing comfort letters, letter rulings, written opinions, and other guidance to taxpayers; issuing certificates under sections 235-110.9 and 235-110.91; administering the operations of SES; and developing, implementing, and providing taxpayer education programs, including tax publications.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Variances in the revenues were due to revenues collected by SES not being deposited into the Tax Administration Special fund, also began cases involving transient accommodations tax (TAT). Variances in the expenditures were due to increased travel expenses, fluctuation in staff, and central service and administrative expenses.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	781,627	500,000	775,875	791,104	797,097	797,097	797,097
Beginning Cash Balance	1,117,664	931,798	471,859	1,953,704	2,641,947	2,809,649	2,977,351
Revenues	24,650	20,250	1,663,126	2,674,245	3,000,000	3,000,000	3,000,000
Expenditures	223,761	380,189	321,670	532,298	532,298	532,298	532,298
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
	13,245						
		(100,000)					
			140,389				
				(1,453,704)	(2,300,000)	(2,300,000)	(2,300,000)
Net Total Transfers	13,245	(100,000)	140,389	(1,453,704)	(2,300,000)	(2,300,000)	(2,300,000)
Ending Cash Balance	931,798	471,859	1,953,704	2,641,947	2,809,649	2,977,351	3,145,053
Encumbrances	867	0	868	0			
Unencumbered Cash Balance	930,931	471,859	1,952,836	2,641,947	2,809,649	2,977,351	3,145,053

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: General Administration  
 Legal Authority Act 119/SLH 2015

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): B  
 Appropriation Acct. No.: S - 001 - D

Intended Purpose:

Operating funds for Administration

Source of Revenues:

Assessments from the other three Divisions. Airports, Harbors and Highways

Current Program Activities/Allowable Expenses:

Departmental Administration and staff operations, payroll, non-labor expenses, protocol fund, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,833,618	17,536,442	17,314,203	17,668,916			
Beginning Cash Balance	7,301,410	7,341,574	10,161,706	11,515,829	6,711,386	6,711,386	6,711,386
Revenues	102,953	388,105	492,476	227,866			
Expenditures	12,422,346	12,030,367	13,481,553	13,964,494			
Transfers							
List each net transfer in/out! or pro-jection in/out; list each account number							
JV-001 dtd 7/06/15				0			
JV-011 dtd 7/17/15				(2,500)			
JV-012 dtd 7/22/15				4,402,632			
JV-028 dtd 8/27/15				(6,034,231)			
JV-045 dtd 9/23/15				5,247,563			
JV-093 dtd 12/23/15				4,088,096			
JV-161 dtd 3/22/16				3,930,625			
JV-257 dtd 6/30/16				(2,700,000)			
Net Total Transfers	12,359,557	14,462,394	14,343,200	8,932,185	0	0	0
Ending Cash Balance	7,341,574	10,161,706	11,515,829	6,711,386	6,711,386	6,711,386	6,711,386
Encumbrances	1,375,119	1,894,495	1,666,707	1,869,972			
Unencumbered Cash Balance	5,966,455	8,267,211	9,849,122	4,841,414	6,711,386	6,711,386	6,711,386
Additional Information-							
Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 695  
 Name of Fund: Aloha Tower Development Corporation  
 Legal Authority Act 119/SLH 2015

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): B  
 Appropriation Acct. No.: S - 088 - D

Intended Purpose:  
 ATDC was placed within the Department of Transportation for administrative purposes, pursuant to section 26-35.

Source of Revenues:  
 Monthly lease payments

Current Program Activities/Allowable Expenses:  
 The monies on deposit in the fund shall be used for lease payments to the Department of Transportation and for the development, re-development or improvement of the Honolulu Waterfront located seaward of Nimitz Highway between Pier 4 and Pier 11.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,800,368	1,829,736	1,839,565			
Beginning Cash Balance	1,000,000	552,318	898,946	681,673	1,462,143	1,462,143	1,462,143
Revenues	614,818	617,769	7,727	1,005,674	1,000,000	1,000,000	1,000,000
Expenditures	1,062,500	268,141	225,000	225,204			
Transfers							
List each net transfer in/out! or pro-jection in/out; list each account number							
JV-013 dtd 7/23/15				0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	552,318	898,946	681,673	1,462,143	2,462,143	2,462,143	2,462,143
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	552,318	898,946	681,673	1,462,143	2,462,143	2,462,143	2,462,143
Additional Information-							
Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: 49 U.S.C. SEC 5309 - NEW STARTS  
 Legal Authority: Administratively Created

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): N  
 Appropriation Acct. No.: S - 213 - D (S-14-213-D)

Intended Purpose:

Federal Transit Administration (FTA) Capital Improvement grant under Section 5309. These funds assist in financing the acquisition, construction, reconstruction, and improvement of facilities and equipment for use in mass transportation in urban areas.

Source of Revenues:

FTA Grant HI-03-0038

Current Program Activities/Allowable Expenses:

Pass thru payments to DLNR

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	11,970,430	8,461,747	4,461,747	1,961,747
Beginning Cash Balance	0	0	0	0	1,400,000	1,400,000	1,400,000
Revenues	0	0	0	998,687	4,000,000	2,500,000	1,900,000
Expenditures	0	0	0	998,687	4,000,000	2,500,000	1,900,000
Transfers							
List each net transfer in/out! or pro-jection in/out; list each account number							
JV-120 dtd 1/22/16				500,000			
JV-123 dtd 1/28/16				500,000			
JV-239 dtd 6/30/16				-1,000,000			-1,400,000
JV-003 dtd 7/9/16				1,400,000			
Net Total Transfers	0	0	0	1,400,000	0	0	-1,400,000
Ending Cash Balance	0	0	0	1,400,000	1,400,000	1,400,000	0
Encumbrances	0	0	0	2,509,996			
Unencumbered Cash Balance	0	0	0	-1,109,996	1,400,000	1,400,000	0

Additional Information-

Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: 49 U.S.C. SEC 5311 - NONURBANIZED AREA FORMULA  
 Legal Authority: Administratively Created

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): N  
 Appropriation Acct. No.: S - 231 - D

Intended Purpose:

Federal Transit Administration (FTA) Capital Improvement grant under Section 5311. These funds provide financial, operating, and technical assistance in providing public transportation in non-urbanized areas.

Source of Revenues:

FTA Grant HI-18-X032

Current Program Activities/Allowable Expenses:

Pass thru payments to Counties of Maui, Kauai and Hawaii

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	500,000	257,337	57,337	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	242,663	200,000	57,000	0
Expenditures	0	0	0	242,663	200,000	57,000	0
Transfers							
List each net transfer in/out! or pro-jection in/out; list each account number							
JV-207 dtd 5/26/16				500,000	200,000	57,000	
JV-239 dtd 6/30/16				-500,000	-200,000	-57,000	
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	0	0	0	0	0	0	0
Additional Information-							
Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: SPR - State Planning and Research  
 Legal Authority Administratively Created

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): N  
 Appropriation Acct. No.: S - 236 - D (S-14-236-D)

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program.

Source of Revenues:

FHWA Grant SPR-0010 (33) / (34) / (35)

Current Program Activities/Allowable Expenses:

Staff time

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	540,000	509,000	0	0	0
Beginning Cash Balance	0	0	0	34,924	34,924	0	0
Revenues	0	0	30,847	0			
Expenditures	0	0	30,847	0			
<b>Transfers</b>							
List each net transfer in/out! or pro-jection in/out; list each account number							
JV-130 dtd 2/6/15			34,924		-34,924		
Net Total Transfers	0	0	34,924	0	-34,924	0	0
Ending Cash Balance	0	0	34,924	34,924	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	0	0	34,924	34,924	0	0	0

Additional Information-

Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Non-Appropriated  
 Legal Authority Administratively Created

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): N  
 Appropriation Acct. No.: S - 240- D (S-16-240-D)

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization.

Source of Revenues:

PL-0052(037)

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	4,695,244	4,339,096	0	0
Beginning Cash Balance	0	0	0	0	43,127	43,127	43,127
Revenues	0	0	0	30,909			
Expenditures	0	0	0	45,950			
Transfers							
List each net transfer in/out! or pro-jection in/out; list each account number							
JV-200 dtd 5/13/16				58,168			
	0	0	0	58,168	0	0	0
Ending Cash Balance	0	0	0	43,127	43,127	43,127	43,127
Encumbrances	0	0	0	310,198			
Unencumbered Cash Balance	0	0	0	-267,071	43,127	43,127	43,127

Additional Information:

Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: SPR - State Planning and Research  
 Legal Authority: Administratively Created

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): N  
 Appropriation Acct. No.: S - 255 - D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program.

Source of Revenues:

FHWA Grant SPR-0010 (36) / (37) / (38)

Current Program Activities/Allowable Expenses:

Staff time

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	72,744	68,643	68,643	68,643	0
Beginning Cash Balance	0	0	0	18,234	22,684	22,684	22,684
Revenues	0	0	2,335	4,450			
Expenditures	0	0	4,101	0			
<b>Transfers</b>							
List each net transfer in/out! or pro-jection in/out; list each account number							
JV-153 dtd 2/27/15			20,000				
Net Total Transfers	0	0	20,000	0	0	0	0
Ending Cash Balance	0	0	18,234	22,684	22,684	22,684	22,684
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	0	0	18,234	22,684	22,684	22,684	22,684

Additional Information-

Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Intermodal Surface Transportation Efficiency Programs  
 Legal Authority Act 134, SLH 2013/ Act 122, SLH 2014/ Act 119, SLH 2015

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): N  
 Appropriation Acct. No.: S - 256 - D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Staff time  
 Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,690,489	1,118,158	6,513,574	11,793,093			
Beginning Cash Balance	4,774	16,140	31,566	0	0	0	0
Revenues	6,433	151,324	3,359	0			
Expenditures	100,728	57,767	0	0			
Transfers							
List each net transfer in/out! or pro-jection in/out; list each account number							
Net Total Transfers	105,661	-78,131	-34,925	0	0	0	0
Ending Cash Balance	16,140	31,566	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	16,140	31,566	0	0	0	0	0
Additional Information-							
Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: 49 U.S.C. SEC 5310 - CAPITAL ASSISTANCE  
 Legal Authority: Administratively Created

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): N  
 Appropriation Acct. No.: S - 257- D (S-14-257-D)

Intended Purpose:

Federal Transit Act Capital Assistance grant under Section 5310. These funds will aid in financing capital items for private nonprofit groups which will help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

Source of Revenues:

FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310. Appropriation S-xx-335-D provides the 20% private contributions, but for FY16, there were no private contributions.

Current Program Activities/Allowable Expenses:

FTA Grant No. HI-16-0034, -0035, -0036, & -0037. Financing capital items under Section 5310 for various non-profit organizations in the State of Hawaii. For FY16 only staff time was charged.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,292,468	3,486,419	2,138,940	2,124,860	2,107,276		
Beginning Cash Balance	471	0	63,049	97,630	97,630	97,630	97,630
Revenues	46,286	1,300,396	68,661	17,584			
Expenditures	46,286	1,347,478	14,080	17,584			
Transfers							
List each net transfer in/out! or pro-ecction in/out; list each account number							
Net Total Transfers	-471	110,131	-20,000	0	0	0	0
Ending Cash Balance	0	63,049	97,630	97,630	97,630	97,630	97,630
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	0	63,049	97,630	97,630	97,630	97,630	97,630

Additional Information:

Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: FTA (Federal Transit Authority)  
 Legal Authority: Administratively Created

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): N  
 Appropriation Acct. No.: S - 258- D (S-14-258-D)

Intended Purpose:

Federal Transit Administration (FTA) Funds - to account for pre-FY14 FTA grants which are still active.

Source of Revenues:

Federal Transit Administration (FTA) Funds.

Current Program Activities/Allowable Expenses:

FTA Grant Nos. HI-18-X031 & HI-03-0038 & HI-04-0004, -0007, -0009, -0010, -0013, -0014 & HI-37-X006, -X008 & HI-57-X008 & HI-80-X019, -0021,-0023 & HI-34-0001.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	28,174,481	30,882,626	17,263,920	9,912,334	6,802,205		
Beginning Cash Balance	566,784	556,984	542,282	680,565	1,984,317	1,984,317	1,984,317
Revenues	9,748,278	10,896,462	9,729,411	3,113,881			
Expenditures	9,758,078	10,879,164	9,591,128	3,110,129			
<b>Transfers</b>							
List each net transfer in/out! or pro-jection in/out; list each account number							
JV-057 dtd 10/23/15				2,000,000			
JV-239 dtd 6/30/16				-2,000,000			
JV-003 dtd 6/30/16				1,300,000			
Net Total Transfers	0	-32,000	0	1,300,000	0	0	0
Ending Cash Balance	556,984	542,282	680,565	1,984,317	1,984,317	1,984,317	1,984,317
Encumbrances	0	2,739,542	120,871	2,710,079			
Unencumbered Cash Balance	556,984	-2,197,260	559,694	-725,762	1,984,317	1,984,317	1,984,317
<b>Additional Information-</b>							
Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Oahu Metropolitan Plan Org Tech Study Grant  
 Legal Authority: Administratively Created

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): N  
 Appropriation Acct. No.: S - 259- D (S-84-259-D)

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization.

Source of Revenues:

Federal Highway Administration (FHWA) Funds.

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,771,144	1,328,274	111,430	48,943	0		
Beginning Cash Balance	123,670	76,079	80,121	55,133	256	256	256
Revenues	395,278	238,602	57,499	52,234			
Expenditures	442,869	234,560	62,487	48,943			
Transfers							
List each net transfer in/out! or pro-ecion in/out; list each account number							
JV-200 dtd 5/13/16				-58,168			
Net Total Transfers	0	0	-20,000	-58,168	0	0	0
Ending Cash Balance	76,079	80,121	55,133	256	256	256	256
Encumbrances	350,005	111,430	48,943	0			
Unencumbered Cash Balance	-273,926	-31,309	6,190	256	256	256	256
Additional Information-							
Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Oahu Metropolitan Plan Org - Exp Clearing Acct  
 Legal Authority: Administratively Created

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): W  
 Appropriation Acct. No.: S - 334- D (S-84-334-D)

Intended Purpose:

For Oahu Metropolitan Planning Organization

Source of Revenues:

City/State contribute dues

Current Program Activities/Allowable Expenses:

OahuMPO administrative costs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0		
Beginning Cash Balance	624,488	500,631	111,418	401,720	100,711	100,711	100,711
Revenues	1,352,744	863,562	2,061,181	66,881			
Expenditures	1,476,601	1,252,775	1,740,879	67,890			
<b>Transfers</b>							
List each net transfer in/out! or pro-ecion in/out; list each account number							
JV-005 dtd 7/17/15				-300,000			
Net Total Transfers	0	0	-30,000	-300,000	0	0	0
Ending Cash Balance	500,631	111,418	401,720	100,711	100,711	100,711	100,711
Encumbrances	170,830	29,701	40,482	20,214			
Unencumbered Cash Balance	329,801	81,717	361,238	80,497	100,711	100,711	100,711
<b>Additional Information-</b>							
Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Protocol Funds for Executive Heads  
 Legal Authority Act 200?Section 143/SLH 2003

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): B  
 Appropriation Acct. No.: S - 398 - D

Intended Purpose:  
Provide discretionary funds for the head of the department.

Source of Revenues:  
\$2,500.00 is transferred from the "General Administration Fund, S-XX-001-D" to fund this appropriation

Current Program Activities/Allowable Expenses:  
To provide discretionary funds for the head of the department in accordance with Section 143 of Act 200, SLH 2003 per Comptroller's Memorandum 2003-22 dated 09/23/03.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500	2,500	2,500	2,500			
Beginning Cash Balance	1,830	1,824	2,112	2,048	1,106	1,106	1,106
Revenues	0	0	0	0			
Expenditures	676	388	452	1,393			
Transfers							
List each net transfer in/out! or pro-jection in/out; list each account number							
JV-011 dtd 7/17/15				2,500			
JV-028 dtd 8/27/15				(2,019)			
Net Total Transfers	670	676	388	481	0	0	0
Ending Cash Balance	1,824	2,112	2,048	1,136	1,106	1,106	1,106
Encumbrances	0	0	29	30			
Unencumbered Cash Balance	1,824	2,112	2,019	1,106	1,106	1,106	1,106
Additional Information-							
Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Non-Appropriated  
 Legal Authority: Administratively Created

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): P  
 Appropriation Acct. No.: S - 504- D (S-14-504-D)

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for the National Summer Transportation Institute program. Appn lapses 6/30/16.

Source of Revenues:

Federal Transit Administration (FTA) Funds.

Current Program Activities/Allowable Expenses:

Cost reimbursable contract with University of Hawaii Outreach College

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	20,000	12,011	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	7,989	0			
Expenditures	0	0	7,989	0			
Transfers							
List each net transfer in/out! or pro-jection in/out; list each account number							
	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Non-Appropriated  
 Legal Authority: Administratively Created

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): N  
 Appropriation Acct. No.: S - 505- D (S-15-505-D)

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization.

Source of Revenues:

PL-0052(036)

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	2,879,201	2,872,185	2,829,608	0	0
Beginning Cash Balance	0	0	0	12,984	15,412	15,412	15,412
Revenues	0	0	0	45,005			
Expenditures	0	0	7,016	42,577			
Transfers							
List each net transfer in/out! or pro-jection in/out; list each account number							
	0	0	20,000	0	0	0	0
Ending Cash Balance	0	0	12,984	15,412	15,412	15,412	15,412
Encumbrances	0	0	112,984	469,803			
Unencumbered Cash Balance	0	0	-100,000	-454,391	15,412	15,412	15,412
Additional Information-							
Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: Bid bond Deposits - Administration  
 Legal Authority: \_\_\_\_\_

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): T  
 Appropriation Acct. No.: T - 905 - D

Intended Purpose:  
 Receipt of and disbursement of Bid Bond Deposits for contracts that are put out to bid.  
 Source of Revenues:  
 Receipt fo bid bond deposits for contracts that are put out to bid. Interest revenue from the Budget & Finance investment Pool program.  
 Current Program Activities/Allowable Expenses:  
 Procurement & contracting.  
 Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:  
 Revenues varies depending on the number of contracts that are put out for bid and expenditures varies depending on how many bid bond deposits are refunded in a paticular year.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	295,581	188,365	63,561	49,425	7,400	7,400	7,400
Revenues	55,567	326,161	165,552	10,343			
Expenditures	162,783	412,155	179,688	52,368			
Transfers							
List each net transfer in/out! or pro-jection in/out; list each account number							
Net Total Transfers	0	(38,810)	0	0	0	0	0
Ending Cash Balance	188,365	63,561	49,425	7,400	7,400	7,400	7,400
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	188,365	2,112	49,425	7,400	7,400	7,400	7,400
Additional Information-							
Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: TRN  
 Prog ID(s): TRN 995  
 Name of Fund: WASHTO Quality Award Program  
 Legal Authority: \_\_\_\_\_

Contact Name: Clarita Hiranaka  
 Phone: 587-2211  
 Fund Type (MOF): T  
 Appropriation Acct. No.: T - 908 - D

Intended Purpose:  
 Receipt of and disbursement of cash awards for the Employees Incentive Awards Program.  
 Source of Revenues:  
 WASHTO  
 Current Program Activities/Allowable Expenses:  
 Cash awards for the Employees Incentive Awards Program.  
 Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:  
 Cash awards are not disbursed until the next fiscal year.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	3,000	3,000	3,000	3,000	3,000	3,000
Revenues	3,000	3,000	3,000	3,000			
Expenditures	0	3,000	3,000	3,000			
Transfers							
List each net transfer in/out! or pro-jection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,000	3,000	3,000	3,000	3,000	3,000	3,000
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	3,000	3,000	3,000	3,000	3,000	3,000	3,000
Additional Information-							
Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Report on Non-General Fund Information for Submittal to the 2017 Legislature			
Department:	TRN	Contact Name:	Kendrick Au
Prog ID(s):	TRN 301-395	Phone:	587-1893
Name of Fund:	Revenue Bonds	Fund type (MOF)	Bond Funds
Legal Authority	A345/SL 86 - A124/SL 16	Appropriation Acct. No.	B-86-651-D to B-16-680

Intended Purpose: To Fund CIP Projects in Accordance with Bond Covenants

Source of Revenues: Revenue Bond Proceeds

Current Program Activities/Allowable Expenses: CIP Projects

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures are expected to increase from FY2018 through FY2019 due to the increased construction activity related to the "Build-out" of the KMR Container Yard Facilities at the Honolulu Harbor; expenditures made prior to FY2018 expenditures are attributed to projects that had to be completed before the Container Yard Facilities could be built at the Honolulu Harbor. Plan is to issue approximately \$257.5 Million New Money Revenue Bonds in 3rd Quarter FY2018.

**Financial Data**

	FY 2013 (actual)	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (estimated)	FY 2018 (estimated)	FY 2019 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	174,021,815	155,538,578	144,147,370	111,761,268	81,310,073	33,310,073	183,310,073
Revenues	-	-	-			257,392,000	
Expenditures	18,483,237	11,391,208	32,386,102	30,451,195	48,000,000	100,000,000	120,000,000
Transfers							
List each by JV# and date							
Transfer to Debt Service Reserve Revolving Debt Service Account						(7,392,000)	
Net Total Transfers	0	0	0	0	0	(7,392,000)	0
Ending Cash Balance	155,538,578	144,147,370	111,761,268	81,310,073	33,310,073	183,310,073	63,310,073
Encumbrances	41,188,048	74,016,134	86,529,524	62,783,710	18,310,073	157,392,000	37,392,000
Unencumbered Cash Balance	114,350,530	70,131,236	25,231,744	18,526,363	15,000,000	25,918,073	25,918,073

Additional Information:

Amount Req. for Bond Conveyance							
Amount Held in CODs, Escrow Accounts, or Other Investments			-			14,784,000	

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Report on Non-General Fund Information for Submittal to the 2017 Legislature											
Department: <u>TRN</u>				Contact Name: <u>Kendrick Au</u>							
Prog ID(s): <u>TRN 301-395</u>				Phone: <u>587-1893</u>							
Name of Fund: <u>Federal Operating Allot (Type A)</u>				Fund type (MOF): <u>Special Funds (N &amp; V Funds)</u>							
Legal Authority: <u>Fed Fund</u>				Appropriation Acct. No.: <u>S-09-248-D to S-15-249-D</u>							
Intended Purpose: <u>State Funds Match Required by Federal Grants</u>											
Source of Revenues: <u>Loans from Harbors Special Funds</u>											
Current Program Activities/Allowable Expenses: <u>Port Security Improvements</u>											
Purpose of Proposed Ceiling Increase (if applicable):											
Variances											

Financial Data											
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2010	FY 2011		FY 2012
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(actual)	(actual)		(actual)
Appropriation Ceiling											
Beginning Cash Balance	2,318,418	2,075,029	2,075,033	2,075,039	1,023,818	640,475	640,475	161,234	162,167		1,341,853
Revenues	2,145,217	4	6					933	72,136		620,985
Expenditures	1,386,350			160,273					151,948		1,299,993
Transfers											
List each by JV# and date											
Loan from Harbors Special Fund									26,998		1,655,573
Repayment of Loans Made by Harbors Special Fund	(1,002,256)			(890,948)	(383,343)						
Net Total Transfers	(1,002,256)			(890,948)	(383,343)				26,998		1,655,573
Ending Cash Balance	2,075,029	2,075,033	2,075,039	1,023,818	640,475	640,475	640,475	162,167	109,353		2,318,418
Encumbrances								26,998	541,130		1,003,175
Unencumbered Cash Balance	2,075,029	2,075,033	2,075,039	1,023,818	640,475	640,475	640,475	135,169	(431,777)		1,315,243

Additional Information:											
Amount Req. for Bond Conveyance											
Amount from Bond Proceeds											
Amount Held in CODs, Escrow											
Form 37-47 (rev. 9/27/16)											

9/29/2016 15:11

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Report on Non-General Fund Information for Submittal to the 2017 Legislature											
Department:	TRN			Contact Name:	Kendrick Au						
Prog ID(s):	TRN 301-395			Phone:	587-1893						
Name of Fund:	State CIP			Fund type (MOF):	Special Funds (B & R Funds)						
Legal Authority:	A296/SL 91 - A124/SL 16			Appropriation Acct. No.:	S-91-627-D to S-16-960-D						
Intended Purpose: To Fund CIP Projects with Specific Appropriations											
Source of Revenues: Harbors Special Fund											
Current Program Activities/Allowable Expenses: CIP Projects											
Purpose of Proposed Ceiling Increase (if applicable):											
Variances: FY2013 CIP expenditures included the purchase of a land parcel located at Kalaeloa, Oahu which used approximately \$10 million of Harbors Special Funds; no such large CIP expenditures occurred in FY2014. Variances: FY2015 CIP expenditures for projects funded by Harbors Cash Balances, other than Harbors Modernization Projects, are planned to proceed.											

Financial Data										
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2010	FY 2011	FY 2012
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(actual)	(actual)	(actual)
Appropriation Ceiling	20,000,000	20,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	15,000,000	15,000,000
Beginning Cash Balance	47,672,618	42,909,731	39,200,359	35,641,152	40,939,836	93,003,883	31,896,883	29,737,796	59,281,801	53,937,222
Revenues			4,821	900,000						
Expenditures	13,399,200	3,128,892	9,009,775	4,790,326	44,000,000	111,600,000	53,000,000	5,329,012	4,943,177	13,393,107
Transfers In	8,636,313		5,445,747	9,189,010					(401,402)	7,128,503
List each by JV# and date										
Trf fm State Operating to CIP Per Sch FY09 CIP Trf										
Reversion of Lapsed CIP Funds FY09 JS1038										
Net Transfer / Reversion of CIP Funds		(580,480)								
Trf fm State Operating to CIP per FY17 Multi-Year					96,064,047	50,493,000	53,000,000		0	
								34,873,018		
Net Total Transfers	8,636,313	(580,480)	5,445,747	9,189,010	96,064,047	50,493,000	53,000,000	34,873,018	(401,402)	7,128,503
Ending Cash Balance	42,909,731	39,200,359	35,641,152	40,939,836	93,003,883	31,896,883	31,896,883	59,281,801	53,937,222	47,672,618
Encumbrances	8,449,587	15,309,780	8,852,183	18,841,578	15,000,000	15,000,000	15,000,000	24,846,014	23,308,123	17,394,625
Unencumbered Cash Balance	34,460,144	23,890,579	26,788,969	22,098,258	78,003,883	16,896,883	16,896,883	34,435,787	30,629,099	30,277,993

<b>Additional Information:</b>										
Amount from Bond Proceeds										
Amount Held in CODs, Escrow Accounts, or Other Investments										
Form 37-47 (rev. 9/27/16)						9/29/2016 15:11				

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Report on Non-General Fund Information for Submittal to the 2017 Legislature											
Department:	TRN				Contact Name:	Kendrick Au					
Prog ID(s):	TRN 301-395				Phone:	587-1893					
Name of Fund:	Federal CIP				Fund type (MOF)	Special Funds (N & V Funds)					
Legal Authority	Fed Fund - A91/SL99 - A124/SL 16				Appropriation Acct. No.	S-94-269-D to S-16-500-D					

Intended Purpose: Cash Allotments to Provide for State Funds to Match Federal Grant Funds

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data											
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2010		FY 2011	FY 2012
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(actual)		(actual)	(actual)
Appropriation Ceiling											
Beginning Cash Balance	11,854,196	13,131,554	13,131,554	1,575,295	328,525	328,525	328,525	1,951,588		1,983,201	5,009,986
Revenues	7,402,898	948,492	284,458	0	0	0	0	456,281		879,780	18,196,755
Expenditures	4,040,250	948,492	284,458	217,450	0	0	0	394,958		8,022,995	14,108,124
Transfers										(30,000)	
List each by JV# and date											
Other Transfer			(11,556,259)					(29,710)			
Loans In from State Operating Allot											
Loans In from State Operating Allot											
TIGER ARRA										10,200,000	2,755,579
Repayment of Loans Made by Harbors Special Fund	(2,085,290)			(1,029,320)							
Net Total Transfers	(2,085,290)	0	(11,556,259)	(1,029,320)				(29,710)		10,170,000	2,755,579
Ending Cash Balance	13,131,554	13,131,554	1,575,295	328,525	328,525	328,525	328,525	1,983,201		5,009,986	11,854,196
Encumbrances	1,024,076	75,585	75,585	75,585	0	0	0	854,944		11,506,228	2,976,452
Unencumbered Cash Balance	12,107,478	13,055,969	1,499,710	252,940	328,525	328,525	328,525	1,128,257		(6,496,242)	8,877,744

Additional Information:

Amount Req. for Bond Conveyance											
Amount from Bond Proceeds											
Form 37-47 (rev. 9/27/16)							9/29/2016 15:11				
Amount Held in CODs, Escrow											
Form 37-47 (rev. 10/14/15)											

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Report on Non-General Fund Information for Submittal to the 2017 Legislature										
Department:	TRN					Contact Name:	Kendrick Au			
Prog ID(s):	TRN 301-395					Phone:	587-1893			
Name of Fund:	Revolving Funds					Fund type (MOF)	Special Funds (W & B Funds)			
Legal Authority	Bond Resoln & S266-19 HRS					Appropriation Acct. No.	S-97-386-D to S-17-349-D			
Intended Purpose: Debt Service Cash Reserves to Comply With Bond Certificate of the DOT Director for Revenue Bonds Issued										
Source of Revenues: Transfers of Funds from TRN 395 (Harbors Administration Operating Unit)										
Current Program Activities/Allowable Expenses: Repay Revenue Bond Debt										
Purpose of Proposed Ceiling Increase (if applicable):										
Variances: Expenditures increase from FY2016 to FY2018 due to the increased debt service related to the issuance of New Money Revenue Bond in 2018 to fund the KMR Container Yard.										

Financial Data											
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2010		FY 2011	FY 2012
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(actual)		(actual)	(actual)
Appropriation Ceiling											
Beginning Cash Balance	42,360,275	42,574,852	42,696,495	42,836,819	43,030,157	46,151,794	63,071,375	25,098,983		25,107,866	38,404,529
Revenues - Transfers from Harbor Special Fund Operating Allotment Account Deposited Monthly for the Interest and Principal Payments Due on Bonds	31,867,683	32,101,220	31,416,215	31,401,847	34,298,047	48,114,047	61,045,000	23,247,208		27,103,954	0
Revenues Amount from Bond Proceeds						7,392,000					
Expenditures	31,653,106	31,979,577	31,275,891	31,186,685	31,176,410	38,586,466	45,981,416	23,238,325		25,262,324	27,868,464
Transfers											
List each by JV# and date											
Transfer to Increase Stores Acct		0	0	(21,824)							103,787
Trfs for Debt Svc Reserve:									-		
Amounts Held in Reserve per 8th Suppl Certificate	0	0	0	0	0	0	0			11,455,033	0
Monthly Trfs to Bond Debt Svc Reserve from State Operating Allot for TRN395 All Revenue Bond	0	0	0	0	0	0	0				31,720,423
Net Total Transfers	0	0	0	(21,824)	0	0	0	0		11,455,033	31,824,210
Ending Cash Balance	42,574,852	42,696,495	42,836,819	43,030,157	46,151,794	63,071,375	78,134,959	25,107,866		38,404,529	42,360,275
Encumbrances	10,709							9,011		-	221
Unencumbered Cash Balance	42,564,143	42,696,495	42,836,819	43,030,157	46,151,794	63,071,375	78,134,959	25,098,855		38,404,529	42,360,054
<b>Additional Information:</b>											
Amount Req. for Bond Conveyance											
Amount from Bond Proceeds						7,392,000					
Accounts, or Other Investments											

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Report on Non-General Fund Information for Submittal to the 2017 Legislature										
Department:	<u>TRN</u>			Contact Name:	<u>Kendrick Au</u>			Contact Name:		
Prog ID(s):	<u>TRN 301-395</u>			Phone:	<u>587-1893</u>			Phone:		
Name of Fund:	<u>Federal Operating Appropriation Type F</u>			Fund type (MOF):	<u>Special Funds (N Funds)</u>			Fund type (MOF):		
Legal Authority:	<u>Fed Fund</u>			Appropriation Acct. No.:	<u>S-97-296</u>			Appropriation Acct. No.:		
Intended Purpose: To Fund Projects for Disaster Recovery from Hurricane Iniki; Cash Balances Were Transferred in FY2015 Because This Purpose Has Expired.										
Source of Revenues: Loans from Harbors Special Funds										
Current Program Activities/Allowable Expenses:										
Purpose of Proposed Ceiling Increase (if applicable):										
Variances										

Financial Data											
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2010	FY 2012	FY 2013	FY 2014
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)	(actual)	(actual)	(actual)	(actual)
Appropriation Ceiling											
Beginning Cash Balance	0	0	2,802	0	0	0	0	2,802	2,802	2,802	2,802
Revenues											
Expenditures			2,802								
Transfers											
List each by JV# and date			0								
Net Total Transfers			0								
Ending Cash Balance	0	0	0	0	0	0	0	2,802	2,802	2,802	2,802
Encumbrances											
Unencumbered Cash Balance	0	0	0	0	0	0	0	2,802	2,802	2,802	2,802
<b>Additional Information:</b>											
Amount Req. for Bond Conveyance											
Amount from Bond Proceeds											
Amount Held in CODs, Escrow Accounts, or Other Investments											
Form 37-47 (rev. 9/27/16)											

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Report on Non-General Fund Information for Submittal to the 2017 Legislature							
Department:	TRN	Contact Name:				Kendrick Au	
Prog ID(s):	TRN 301-395	Phone:				587-1893	
Name of Fund:	Harbor Special Fund	Fund type (MOF)				Special Funds (B Funds)	
Legal Authority	S266-19 HRS	Appropriation Acct. No.				S-13-341-D to S-17-341-D	
Intended Purpose: Parent Fund for the Commercial Harbors System into							
Source of Revenues: User Fees Assessed to Harbor Users, Primarily Wharfage Fees, Port Entry Fees, Dockage Fees and Rents							
Current Program Activities/Allowable Expenses: Transfers of Funds to Operating Units and for Cash Funded CIP Projects							
Purpose of Proposed Ceiling Increase (if applicable):							
Variances:							
Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,618,881	90,618,881	128,489,000	109,143,801	109,143,801	113,899,111	112,880,585
Beginning Cash Balance	82,330,224	97,638,817	129,921,778	144,140,819	145,442,722	53,824,874	110,855,763
Revenues	101,371,586	111,770,283	111,124,534	120,043,711	113,590,000	136,423,000	154,354,000
Expenditures	0	0	0	0	0	0	0
<b>Transfers</b>							
List each by JV# and date							
Transfer to Increase Stores Acct							
Net Transfer / Reversion of CIP Funds	(8,636,313)	580,480	33,074,914				
Trf to State CIP per Multi-Year		0			(96,064,047)	(50,493,000)	(53,000,000)
Trf to Program Appropriations for Harbors Operations	(76,815,011)	(77,974,837)	(127,834,407)	(107,079,151)	(106,981,801)	(111,693,921)	(110,631,295)
Other Transfer Departmental Pro-Rata Share to TRN 995	(1,517,223)	(2,104,373)	(2,146,000)	(2,473,647)	(2,162,000)	(2,205,190)	(2,249,290)
Trf to State CIP Restricted Cash				(9,189,010)			
Other Transfers - Net	(2,181,992)	11,408					
Loan Out to Federal CIP TIGER ARRA Pier 29 & ARRA PSGP Fence							
Loans Out to Fed Operating Funds Appropriation Type A							
Repayment of Loans Made to Federal Appropriations	3,087,546	0					
Revenue Bond Funds Originally Advanced from State Operating Funds to HMP						85,000,000	
<b>Net Total Transfers</b>	<b>(86,062,993)</b>	<b>(79,487,322)</b>	<b>(96,905,493)</b>	<b>(118,741,808)</b>	<b>(205,207,848)</b>	<b>(79,392,111)</b>	<b>(165,880,585)</b>
Ending Cash Balance	97,638,817	129,921,778	144,140,819	145,442,722	53,824,874	110,855,763	99,329,178
Encumbrances							
Unencumbered Cash Balance	97,638,817	129,921,778	144,140,819	145,442,722	53,824,874	110,855,763	99,329,178
<b>Additional Information:</b>							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Form 37-47 (rev. 9/27/16)							9/29/2016 15:11

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Report on Non-General Fund Information for Submittal to the 2017 Legislature												
Department: TRN								Contact Name: Kendrick Au				
Prog ID(s): TRN 301-395								Phone: 587-1893				
Name of Fund: State Operating Allotment								Fund type (MOF) Special Funds (B Funds)				
Legal Authority: A345/SL86 - A119/SL15								Appropriation Acct. No. S-87-055-D to S-16-055-D				
Intended Purpose: Funds Appropriated by Legislature for the Operating Costs of the State of Hawaii Commercial Harbors System												
Source of Revenues: Transfers of Funds from the Harbors Special Fund												
Current Program Activities/Allowable Expenses: Operating Costs of the Commercial Harbors System												
Purpose of Proposed Ceiling Increase (if applicable):												
Variances: Increase in projected expenditures for FY2015 over FY2014 actuals due to \$1.3 million for EPA Consent Decree Penalties, approximately \$3.1 million for Personal Services costs including new staff positions to comply with EPA Consent Decree, the incurring of storm drain cleaning costs of approximately \$1.5 million, \$5 million Asset Management System, drydocking services for the Fireboat (Moku Ahi) of approximately \$.5 million, and \$.717 million for replacement of aged equipment, offset by decreases in FY2014 actuals due to delay in personnel services costs and other current expenditures												
Financial Data												
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019		FY 2010	FY 2011	FY 2012	FY 2013
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)		(actual)	(actual)	(actual)	(actual)
Appropriation Ceiling	90,618,881	90,618,881	98,489,000	109,143,801	109,143,801	113,899,111	112,880,585		86,084,756	88,662,472	90,590,022	90,618,881
Beginning Cash Balance	0	35,844,423	37,509,546	62,264,535	89,331,325	99,249,126	90,051,237		119,486,130	#REF!	39,212,238	35,848,054
Revenues	72,419	38,392	58,088	57,691	0	0	0		70,110,689	0	22,598	72,419
Expenditures	41,758,362	40,991,712	41,741,236	47,957,843	59,385,133	69,397,145	69,283,902		39,926,540	39,295,855	37,162,526	41,758,362
<b>Transfers</b>										4,090,994		
List each by JV# and date												
Tif to Program Appropriations for Harbors Operations from Harbors Special Fund S-FY-341-D	76,815,011	77,974,837	101,235,404	109,749,442	106,981,801	111,693,921	110,631,295		(34,873,018)		68,877,083	76,815,011
Debt Service - Reimbursable General Obligation Bonds	(3,380,832)	(3,380,687)	(3,381,053)	(3,380,653)	(3,380,820)	(3,380,618)	(3,380,808)			(2,959,988)	(3,380,916)	(3,380,832)
Net Transfer / Reversion of CIP Funds												
Trfs to Debt Svc Reserve	(31,751,867)	(31,975,707)	(31,416,215)						(23,165,694)	(27,015,411)	(31,720,423)	(31,751,867)
Trfs for Debt Svc Reserve FY16 MultiYear			0	(31,401,847)	(34,298,047)	(48,114,047)	(61,045,000)					
Other Transfer									#REF!			
<b>Net Total Transfers</b>	41,682,312	42,618,443	66,438,136	74,966,942	69,302,934	60,199,256	46,205,487		#REF!	(25,884,405)	33,775,744	41,682,312
Ending Cash Balance	(3,631)	37,509,546	62,264,535	89,331,325	99,249,126	90,051,237	66,972,822		#REF!	#REF!	35,848,054	35,844,423
Encumbrances	21,246,960	23,608,303	30,949,609	27,695,890	27,695,890	27,695,890	27,695,890		16,603,794	17,970,848	20,547,222	21,246,960
Unencumbered Cash Balance	(21,250,591)	13,901,243	31,314,926	61,635,435	71,553,236	62,355,347	39,276,932		#REF!	#REF!	15,300,832	14,597,463
<b>Additional Information:</b>												
Amount Req. for Bond Conveyance												
Amount from Bond Proceeds												
Amount Held in CODs, Escrow Accounts, or Other Investments												
Form 37-47 (rev. 9/27/16)							9/29/2016 15:11					

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Report on Non-General Fund Information for Submittal to the 2017 Legislature												
Department:	<u>TRN</u>										Contact Name: <u>Kendrick Au</u>	
Prog ID(s):	<u>TRN 301-395</u>										Phone: <u>587-1893</u>	
Name of Fund:	<u>Operating Trust</u>										Fund type (MOF) <u>Trust &amp; Agency (T Funds)</u>	
Legal Authority											Appropriation Acct. No. <u>T-93-941-D to T-17-941-D</u>	
Intended Purpose: <u>Security Deposits from Leases and Contracts &amp; Insurance Proceeds for Kawaihae Harbor Earthquake Damage</u>												
Source of Revenues: <u>Insurance Proceeds for Kawaihae Harbor Earthquake Damage Received in FY08 and Security Deposits</u>												
Current Program Activities/Allowable Expenses: <u>N/A</u>												
Purpose of Proposed Ceiling Increase (if applicable):												
Variances												
Financial Data												
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019		FY 2010	FY 2011	FY 2012	FY 2013
	(actual)	(estimated)	(actual)	(actual)	(estimated)	(estimated)	(estimated)		(actual)	(actual)	(actual)	(actual)
Appropriation Ceiling												
Beginning Cash Balance	0	3,511,881	2,627,206	2,636,830	4,601,712	4,601,712	4,601,712		3,487,503	3,248,689	3,308,469	3,252,765
Revenues	10,883,498	11,509,539	13,010,972	14,035,705	16,791,000	16,081,000	18,277,000		6,159,499	7,906,987	9,050,458	10,883,498
Expenditures - Payments to OHA	10,559,140	11,509,317	12,683,923	12,000,921	16,791,000	16,081,000	18,277,000		6,398,313	7,847,207	9,029,188	10,559,140
Expenditures - Payments to Refund Security Deposits Primarily KMR Tenants	65,242	884,897	317,425	69,902	-	-	-				76,974	65,242
Transfers												
List each by JV# and date												
<b>Net Total Transfers</b>												
Ending Cash Balance	259,116	2,627,206	2,636,830	4,601,712	4,601,712	4,601,712	4,601,712		3,248,689	3,308,469	3,252,765	3,511,881
Encumbrances	0	2,540	2,000	2,000	2,000	2,000	2,000		77,540	2,540	2,540	2,540
Unencumbered Cash Balance	259,116	2,624,666	2,634,830	4,599,712	4,599,712	4,599,712	4,599,712		3,171,149	3,305,929	3,250,225	3,509,341
Additional Information:												
Amount Req. for Bond Conveyance												
Amount from Bond Proceeds												
Amount Held in CODs, Escrow												
Form 37-47 (rev. 9/27/16)												
												9/29/2016 15:11

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: Transportation  
 Prog ID(s): TRN 501-595  
 Name of Fund: Revenue Bonds  
 Legal Authority: Various (Latest: ACT 122 SLH 2014)

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund Type (MOF): Revenue Bond Funds (E)  
 Appropriation Acct. No.: B-00-529, B-04-500 etc.

Intended Purpose:

Source of Revenues:

Issuance of Highway Revenue Bonds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	97,973,800	136,721,000	155,955,000	89,538,000	75,344,000	87,300,000	78,060,000
Beginning Cash Balance	80,062,894	27,180,265	4,150,304	85,377,254	47,276,159	128,794,053	89,927,127
Revenues	-	-	120,683,617	-	120,000,000		120,000,000
Expenditures	50,782,629	23,779,961	37,456,667	38,101,095	38,482,106	38,866,927	39,255,596
Transfers	(2,100,000)	750,000	(2,000,000)	-			

List each net transfer in/out! or pro-jection in/out; list each account number

Net Total Transfers	(2,100,000)	750,000	(2,000,000)	-	0	0	0
Ending Cash Balance	27,180,265	4,150,304	85,377,254	47,276,159	128,794,053	89,927,127	170,671,531
Encumbrances	83,912,067	149,507,206	104,271,678	139,113,962	140,505,101	141,910,152	143,329,254
Unencumbered Cash Balance	(56,731,802)	(145,356,902)	(18,894,424)	(91,837,803)	(11,711,048)	(51,983,026)	27,342,277

Additional Information-

Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: Transportation  
 Prog ID(s): TRN 501-597  
 Name of Fund: Federal Operating Allotment  
 Legal Authority: FHS Act PL 89-564, 1966/HRS 286-2

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund Type (MOF): Other Federal Funds  
 Appropriation Acct. No.: S-15-215, 203, 204, 205, 206, 225 etc.

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Federal Reimbursement - Federal Clearing accounts for O&M and county pass thru funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	12,903,324	13,006,585	22,533,524	19,709,737	21,131,705	10,596,413	11,112,413
Beginning Cash Balance	6,150,645	5,760,843	15,568,634	17,715,551	18,776,368	52,287,120	86,132,979
Revenues	51,886,513	69,518,050	38,887,561	38,026,235	38,406,497	38,790,562	39,178,468
Expenditures	3,683,695	5,208,412	4,256,780	4,847,273	4,895,745	4,944,703	4,994,150
Transfers	(48,592,620)	(54,501,847)	(32,483,864)	(32,118,145)			
List each net transfer in/out! or pro-jection in/out; list each account number							
Net Total Transfers	(48,592,620)	(54,501,847)	(32,483,864)	(32,118,145)	0	0	0
Ending Cash Balance	5,760,843	15,568,634	17,715,551	18,776,368	52,287,120	86,132,979	120,317,297
Encumbrances	5,465,365	4,405,158	5,848,044	4,862,187	4,910,809	4,959,917	5,009,517
Unencumbered Cash Balance	295,478	11,163,476	11,867,507	13,914,181	47,376,311	81,173,062	115,307,780

Additional Information-

Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: Transportation  
 Prog ID(s): TRN 501-595  
 Name of Fund: State CIP  
 Legal Authority: Various (Latest:ACT 122, SLH 2014)

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund Type (MOF): Special Funds (including county and private) (B,R,S)  
 Appropriation Acct. No.: Various Appropriations

Intended Purpose:

Source of Revenues:

Special Funds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,000,000	18,669,000	26,200,000	17,266,000	53,000,000	16,500,000	16,500,000
Beginning Cash Balance	3,712,125	3,405,714	3,390,005	3,816,899	1,918,020	8,695,109	15,374,969
Revenues	-	-	-	-	-	-	-
Expenditures	11,036,127	12,467,473	9,646,454	9,626,644	46,722,911	9,820,140	9,918,341
Transfers	10,729,716	12,451,763	10,073,348	7,727,766	53,500,000	16,500,000	16,500,000

List each net transfer in/out! or pro-jection in/out; list each account number

Net Total Transfers	10,729,716	12,451,763	10,073,348	7,727,766	53,500,000	16,500,000	16,500,000
Ending Cash Balance	3,405,714	3,390,005	3,816,899	1,918,020	8,695,109	15,374,969	21,956,628
Encumbrances	18,653,028	17,920,458	13,313,801	15,209,497	15,361,592	15,515,208	15,670,360
Unencumbered Cash Balance	(15,247,314)	(14,530,453)	(9,496,902)	(13,291,477)	(6,666,483)	(140,239)	6,286,268

Additional Information-

Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: Transportation  
 Prog ID(s): TRN 501-595  
 Name of Fund: Federal CIP  
 Legal Authority: Title 23 U.S.C. As Amended, (Latest:ACT 122, SLH 2014)

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund Type (MOF): Other Federal Funds (N.V)  
 Appropriation Acct. No.: S-85-221, S-93-237, S-94-240 others

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	117,167,000	89,145,000	358,326,000	97,652,000	166,855,000	261,400,000	204,640,000
Beginning Cash Balance	79,091,274	96,573,693	83,740,576	28,225,459	33,161,184	33,334,619	33,509,787
Revenues	96,703,781	97,289,948	139,976,559	113,006,078	150,000,000	151,500,000	153,015,000
Expenditures	153,050,844	148,772,475	195,936,728	148,343,135	149,826,566	151,324,832	152,838,080
Transfers	73,829,482	38,649,410	45,109,339	40,272,782			

List each net transfer in/out! or pro-jection in/out; list each account number

Net Total Transfers	73,829,482	38,649,410	45,109,339	40,272,782			
Ending Cash Balance	96,573,693	83,740,576	28,225,459	33,161,184	33,334,619	33,509,787	33,686,707
Encumbrances	348,545,634	602,350,709	527,907,140	150,219,591	151,721,786	153,239,004	154,771,394
Unencumbered Cash Balance	(251,971,941)	(518,610,133)	(499,681,681)	(117,058,406)	(118,387,168)	(119,729,217)	(121,084,687)

Additional Information-

Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: Transportation  
 Prog ID(s): TRN 501-595  
 Name of Fund: Revolving & OHA  
 Legal Authority: Non Lapsing

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund Type (MOF): Revolving Funds  
 Appropriation Acct. No.: S-15-322, 332, etc

Intended Purpose:

Source of Revenues:

Transfer in from other funds

Current Program Activities/Allowable Expenses:

Payroll and administration activities. Clearing accounts for payroll, fringe's and construction admin programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		-					
Beginning Cash Balance	40,770,298	39,718,677	40,509,241	44,228,956	21,568,680	829,750	713,431
Revenues	47,832,844	48,267,158	53,772,208	33,602,071	33,938,092	34,277,473	34,620,247
Expenditures	58,206,590	58,596,595	60,992,493	70,967,348	71,677,021	72,393,791	73,117,729
Transfers	9,322,125	11,120,000	10,940,000	14,705,000	17,000,000	38,000,000	38,000,000

List each net transfer in/out! or pro-jection in/out; list each account number

Net Total Transfers	9,322,125	11,120,000	10,940,000	14,705,000	17,000,000	38,000,000	38,000,000
Ending Cash Balance	39,718,677	40,509,241	44,228,956	21,568,680	829,750	713,431	215,949
Encumbrances	-	43,425	36,357	65,430	66,084	66,745	67,413
Unencumbered Cash Balance	39,718,677	40,465,816	44,192,600	21,503,250	763,666	646,686	148,537

Additional Information-

Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: Transportation  
 Prog ID(s): TRN 501-597  
 Name of Fund: State Operating Allotment  
 Legal Authority: HRS 243-4 HRS 249-31 HRS 249-33 ACT 223 SLH 1999

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund Type (MOF): Special Funds  
 Appropriation Acct. No.: S-15-321,010,020,026,029,032,035,036,039

Intended Purpose:

Source of Revenues:

Fuel Taxes, Vehicle Registration Fee, Motor Vehicle Weight, Rental Motor Vehicle Surcharge Tax and other income

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	272,503,384	274,336,981	285,782,442	263,068,584	301,780,372	302,602,634	285,182,293
Beginning Cash Balance	103,848,876	109,073,034	110,916,164	147,881,763	171,366,280	177,886,280	188,070,280
Revenues	258,343,552	257,788,813	299,027,588	280,196,636	279,165,000	280,561,000	281,963,000
Expenditures	204,354,237	238,828,328	223,204,823	220,333,222	272,645,000	270,377,000	253,263,000
Transfers	(48,765,157)	(17,117,355)	(38,857,166)	(36,378,896)			

List each net transfer in/out! or pro-jection in/out; list each account number

Net Total Transfers	(48,765,157)	(17,117,355)	(38,857,166)	(36,378,896)			
Ending Cash Balance	109,073,034	110,916,164	147,881,763	171,366,280	177,886,280	188,070,280	216,770,280
Encumbrances	123,627,276	120,132,079	140,226,894	147,091,268	148,562,181	150,047,803	151,548,281
Unencumbered Cash Balance	(14,554,243)	(9,215,915)	7,654,869	24,275,012	29,324,099	38,022,478	65,221,999

Additional Information-

Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: Transportation  
 Prog ID(s): TRN 501-595  
 Name of Fund: Operating Trust  
 Legal Authority: Non Lapsing

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund Type (MOF): Trust Funds  
 Appropriation Acct. No.: T-15-921, 931, T-15-923, etc.

Intended Purpose:

Source of Revenues:

Cash receipts

Current Program Activities/Allowable Expenses:

Trust and Agency Funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	23,347,219	22,735,958	17,324,153	15,157,231	13,099,038	11,020,263	8,920,700
Revenues	1,931,947	743,374	209,838	1,185,208	1,197,060	1,209,031	1,221,121
Expenditures	1,159,255	6,155,179	2,376,760	3,243,401	3,275,835	3,308,594	3,341,679
Transfers	(1,383,953)	-	-	-	-	-	-

List each net transfer in/out! or pro-jection in/out; list each account number

Net Total Transfers	(1,383,953)	-	-	-	0	0	0
Ending Cash Balance	22,735,958	17,324,153	15,157,231	13,099,038	11,020,263	8,920,700	6,800,141
Encumbrances	9,282,865	10,145,446	8,286,612	9,294,217	9,387,159	9,481,031	9,575,841
Unencumbered Cash Balance	13,453,093	7,178,707	6,870,619	3,804,821	1,633,103	(560,331)	(2,775,700)

Additional Information-

Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information  
for Submittal to the 2017 Legislature

Department: Transportation  
 Prog ID(s): TRN 501-597  
 Name of Fund: Safe Routes to School Program  
 Legal Authority: Section 291C-4, HRS

Contact Name: Alma Calucag  
 Phone: 587-2256  
 Fund Type (MOF): Special Funds  
 Appropriation Acct. No.: S-15-318

Intended Purpose:

Source of Revenues:

Speeding and Traffic Violation fines

Current Program Activities/Allowable Expenses:

Counties Safe Routes to School Projects 1465500

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	300,000	2,647,065	1,465,500	1,465,500	1,240,000	1,336,396	734,396
Beginning Cash Balance	-	417,666	844,379	837,084	986,655	1,132,671	1,280,148
Revenues	417,666	629,165	707,370	733,578	740,914	748,323	755,806
Expenditures	-	204,480	712,637	589,007	594,897	600,846	606,854
Transfers	-	2,029	(2,029)	5,000			

List each net transfer in/out! or pro-jection in/out; list each account number

Net Total Transfers	-	2,029	(2,029)	5,000			
Ending Cash Balance	417,666	844,379	837,084	986,655	1,132,671	1,280,148	1,429,099
Encumbrances	-	-	43,232	582,635	588,462	594,346	600,290
Unencumbered Cash Balance	417,666	844,379	793,852	404,019	544,210	685,802	828,810

Additional Information-

Amount Req. by Bond							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Transportation Highways Division  
Non-General Funds (excluding Federal Funds)

Table 8

<u>Name of Fund</u>	<u>Unencumbered Cash Balance</u>	<u>MOF</u>	<u>Statutory Reference</u>
Revenue Bonds	(91,837,802.63)	Issuance of Highway Revenue Bond	ACT 252 SLH 1994 ACT 287 SLH 1996 ACT 116 SLH 1998 ACT 259 SLH 2001
Federal Operating Allotment	13,914,180.97	Federal Reimbursements	FHS Act PL 89-564, 1966/HRS 286-2
State CIP	(13,291,476.80)	Special Funds	A200/SL 03, A178/SL 05, A200/SL 03
Federal CIP	(117,058,406.18)	Federal Reimbursements	Title 23 U.S.C. As Amended
Revolving & OHA	21,503,249.73	Transfer in from other funds.	Non Lapsing
State Operating Allotment	24,275,012.02	Fuel Taxes, Vehicle Registration Fee	HRS 243-4 HRS 249-31 HRS 249-33 ACT 223 SLH 1999
Operating Trust	3,804,820.66	Cash Receipts	Non Lapsing
Safe Route to School Program	404,019.46	Speeding And Traffic Violation Fines	Section 291C-4, HRS

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport Revenue Fund (O & M)  
 Legal Authority: HRS 248-8/261-5/261-7

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-060-D TO S-XX-087-D

Intended Purpose:  
 Operating Appropriations  
 Source of Revenues:  
 Airport Operating Revenues and Interest Income  
 Current Program Activities/Allowable Expenses:  
 Operating and maintenance expenses including state surcharge and debt service  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	473,520,454	495,993,189	554,091,772	435,788,768	507,422,987	534,179,736	546,248,939
Beginning Cash Balance	133,805,317	165,864,009	180,459,375	227,550,661	237,572,369	237,572,369	237,572,369
Revenues	130,788	121,507	61,061	4,264,906			
Expenditures	310,836,076	314,396,297	328,938,312	348,122,414	309,355,280	344,595,316	365,309,628
Transfers	342,763,980	328,870,156	375,968,536	353,879,216			
List each by JV# and date							
Net Total Transfers	342,763,980	328,870,156	375,968,536	353,879,216	309,355,280	344,595,316	365,309,628
Ending Cash Balance	165,864,009	180,459,375	227,550,661	237,572,369	237,572,369	237,572,369	237,572,369
Encumbrances	90,028,607	102,342,323	127,413,112	155,728,384			
Unencumbered Cash Balance	75,835,402	78,117,052	100,137,548	81,843,985	237,572,369	237,572,369	237,572,369

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 195  
 Name of Fund: Airport Revenue Fund  
 Legal Authority: Act 106, SLH 2012, Section 9.1 and 9.2

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-308-D

Intended Purpose:

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds. Transfer from Administration

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Increase in debt service costs due to sale of bonds

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,750,000	20,900,000	30,900,000	10,507,207	1,140,000	6,242,000	44,239,000
Beginning Cash Balance	0	0	442,195	0	79	79	79
Revenues							
Expenditures			442,195	523,645	6,242,000	36,319,000	36,318,000
Transfers				523,724			
List each by JV# and date							
Net Total Transfers	0	0	0	523,724	6,242,000	36,319,000	36,318,000
Ending Cash Balance	0	0	0	79	79	79	79
Encumbrances							
Unencumbered Cash Balance	0	0	0	79	79	79	79

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport Revenue Fund  
 Legal Authority: HRS 248-8/261-5

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-361-D

Intended Purpose:  
 Airport Revenue Fund  
 Source of Revenues:  
 Airport operating revenue  
 Current Program Activities/Allowable Expenses:  
 Transferred operating revenues to fund operating activities. No allowable expenses  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances  
 Projected incremental increases based on projected increases in passenger traffic and inflation

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	163,041,524	130,960,086	149,161,962	105,786,091	102,272,805	169,181,544	198,726,228
Revenues	363,168,980	331,504,699	347,457,609	359,063,294	386,061,000	424,681,000	457,436,000
Expenditures							
Transfers	(382,776,790)	(301,016,462)	(378,468,594)	(349,790,139)			
List each by JV# and date							
5% surcharge (per audited F/S)	(12,473,628)	(12,286,361)	(12,364,886)	(12,786,441)			
Net Total Transfers	(395,250,418)	(313,302,823)	(390,833,479)	(362,576,580)	(319,152,261)	(395,136,316)	(415,404,628)
Ending Cash Balance	130,960,086	149,161,962	105,786,091	102,272,805	169,181,544	198,726,228	240,757,600
Encumbrances							
Unencumbered Cash Balance	130,960,086	149,161,962	105,786,091	102,272,805	169,181,544	198,726,228	240,757,600

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Passenger Facility Charge Special Fund  
 Legal Authority: HRS 261-5.5

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-363-D

Intended Purpose:

Used for Passenger Facility Charge Program (Inception date 10/01/01)

Source of Revenues:

Passenger Facility Charge (PFC) Collections and related interest income

Current Program Activities/Allowable Expenses:

Collection for above intended purposes. To fund eligible PFC funded CIP projects approved by the Federal Aviation Administration (FAA).

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	69,413,982	91,830,518	99,107,951	108,276,897	134,522,929	138,623,929	151,987,929
Revenues	36,435,201	34,218,366	36,483,697	38,866,936	37,927,000	38,680,000	39,421,000
Expenditures							
Transfers	(14,018,665)	(26,940,933)	(27,314,750)	(12,620,904)			
List each by JV# and date							
Net Total Transfers	(14,018,665)	(26,940,933)	(27,314,750)	(12,620,904)	(33,826,000)	(25,316,000)	(20,599,000)
Ending Cash Balance	91,830,518	99,107,951	108,276,897	134,522,929	138,623,929	151,987,929	170,809,929
Encumbrances							
Unencumbered Cash Balance	91,830,518	99,107,951	108,276,897	134,522,929	138,623,929	151,987,929	170,809,929

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Rental Motor Vehicle Customer Fac Chg Special Fund  
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-364-D

Intended Purpose: Monies collected from the motor vehicle customer facility charge shall be used for the enhancement, renovation, operation, and maintenance of existing rental motor vehicle customer facilities and related services at state airports

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Collection of above intended purposes. To fund improvements to the rental motor vehicle customer facilities at state airports

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	42,285,328	49,371,707	21,313,337	110,083,199	167,808,469	0	0
Revenues	50,494,596	55,299,432	64,366,563	69,216,192	67,179,000	68,189,000	69,199,000
Expenditures							
Transfers	(43,408,217)	(83,357,802)	24,403,299	(11,490,922)			
List each by JV# and date							
Net Total Transfers	(43,408,217)	(83,357,802)	24,403,299	(11,490,922)	(234,987,469)	(68,189,000)	(69,199,000)
Ending Cash Balance	49,371,707	21,313,337	110,083,199	167,808,469	0	0	0
Encumbrances							
Unencumbered Cash Balance	49,371,707	21,313,337	110,083,199	167,808,469	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Prepaid Airport Use Charge Fund  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-365-D

Intended Purpose:

To provide for overpayments of Airport Use Charges

Source of Revenues:

Airport Use Charge (AUC) overpayment based on airport/airline lease agreement

Current Program Activities/Allowable Expenses:

To refund AUC overpayment based on agreed signatory airlines payment plan as approved by the Director of Transportation

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Payment out is to clear overpayment balance due as agreed to in the payment plan.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,801,843	40,671,687	21,797,541	0	0	0	0
Revenues							
Expenditures	7,445,000		0				
Transfers	44,314,844	(18,874,146)	0	0			
List each by JV# and date							
Net Total Transfers	44,314,844	(18,874,146)	(21,797,541)	0	0	0	0
Ending Cash Balance	40,671,687	21,797,541	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	40,671,687	21,797,541	0	0	0	0	0

Account Closed. Balance Transferred to Trust Account T-XX-963

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Reserve for Future CIP  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-366-D

Intended Purpose:  
 Provide a reserve for future Airport System Capital Improvement Projects  
 Source of Revenues:  
 Airport operating revenues and interest income  
 Current Program Activities/Allowable Expenses:  
 Transferred to fund the Airport System Capital Improvement Projects  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	87,088,892	57,638,527	53,214,466	58,104,972	34,173,696	34,173,696	32,099,044
Revenues	47,953						
Expenditures							
Transfers	(29,498,318)	(4,424,061)	4,890,506	(23,931,276)			
List each by JV# and date							
Net Total Transfers	(29,498,318)	(4,424,061)	4,890,506	(23,931,276)		(2,074,652)	(30,191,000)
Ending Cash Balance	57,638,527	53,214,466	58,104,972	34,173,696	34,173,696	32,099,044	1,908,044
Encumbrances							
Unencumbered Cash Balance	57,638,527	53,214,466	58,104,972	34,173,696	34,173,696	32,099,044	1,908,044

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Reserve for Airline Rate Mitigation  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-367-D

Intended Purpose:

To provide a reserve for payment of interest on revenue bonds and to be in compliance with revenue bond covenants

Source of Revenues:

Aiport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Used to meet the calculation of net revenue and taxes. To provide funding for debt service - Interest portion based on airport/airline lease agreement

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers	0	0	0	0			
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Reserve for O & M Expenses  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-368-D

Intended Purpose: Providing a reserve for payment of operating and maintenance expenses. Also for deficiencies in any other account for lawful airports system purpose. Amount on deposit should be equal to 25% of the budgeted operating and maintenance expenses.

Source of Revenues:

Aiport operating revenues and interest income.

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	62,949,400	67,110,506	69,420,151	77,857,740	84,064,428	85,928,428	96,377,428
Revenues							
Expenditures							
Transfers	4,161,106	2,309,645	8,437,589	6,206,688			
List each by JV# and date							
Net Total Transfers	4,161,106	2,309,645	8,437,589	6,206,688	1,864,000	10,449,000	6,258,000
Ending Cash Balance	67,110,506	69,420,151	77,857,740	84,064,428	85,928,428	96,377,428	102,635,428
Encumbrances							
Unencumbered Cash Balance	67,110,506	69,420,151	77,857,740	84,064,428	85,928,428	96,377,428	102,635,428

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Debt Service Funded Coverage  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-369-D

Intended Purpose:

To cover shortfalls in debt service coverage requirements. Minimum deposit must be 25% of current year debt service

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	24,223,252	24,223,252	19,311,268	19,311,268	22,338,019	21,029,000	21,802,000
Revenues							
Expenditures							
Transfers							
List each by JV# and date		(4,911,984)	0	3,026,751			
Net Total Transfers	0	(4,911,984)	0	3,026,751	(1,309,019)	773,000	4,519,000
Ending Cash Balance	24,223,252	19,311,268	19,311,268	22,338,019	21,029,000	21,802,000	26,321,000
Encumbrances							
Unencumbered Cash Balance	24,223,252	19,311,268	19,311,268	22,338,019	21,029,000	21,802,000	26,321,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport System Interest Account  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-375-D

Intended Purpose:  
 Used for payment of interest on outstanding bonds  
 Source of Revenues:  
 Airport operating revenues and interest income  
 Current Program Activities/Allowable Expenses:  
 Payment of interest on outstanding revenue bonds  
 Purpose of Proposed Ceiling Increase (if applicable):

Variations  
 Increase is dependent on bond sale for airport improvement program

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	27,434,378	23,068,731	21,672,006	20,757,862	35,445,920	35,445,920	35,445,920
Revenues	44,587,337	43,033,987	41,515,725	60,346,535	45,182,000	46,454,000	62,699,000
Expenditures	45,071,037	43,810,662	42,274,856	40,735,372	45,182,000	46,454,000	62,699,000
Transfers	(3,881,947)	(620,050)	(155,012)	(4,923,105)			
List each by JV# and date							
Net Total Transfers	(3,881,947)	(620,050)	(155,012)	(4,923,105)			
Ending Cash Balance	23,068,731	21,672,006	20,757,862	35,445,920	35,445,920	35,445,920	35,445,920
Encumbrances							
Unencumbered Cash Balance	23,068,731	21,672,006	20,757,862	35,445,920	35,445,920	35,445,920	35,445,920

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport System Serial & Principal Account  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-376-D

Intended Purpose:

Used for principal payments on outstanding serial bonds

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Payment of principal on outstanding serial revenue bonds

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Increase is dependent on bond sale for airport improvement program

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	27,545,000	40,305,000	34,210,000	35,725,000	37,290,000	38,935,000	40,755,000
Revenues	40,305,000	34,210,000	35,725,000	37,290,000	38,935,000	40,755,000	42,585,000
Expenditures	27,545,000	40,305,000	34,210,000	35,725,000	37,290,000	38,935,000	40,755,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	40,305,000	34,210,000	35,725,000	37,290,000	38,935,000	40,755,000	42,585,000
Encumbrances							
Unencumbered Cash Balance	40,305,000	34,210,000	35,725,000	37,290,000	38,935,000	40,755,000	42,585,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport System Debt Service Reserve  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-378-D

Intended Purpose: Used as a reserve for the payment of principal, interest, and premium for bonds outstanding. The amount on deposit should be equal to the maximum aggregate of debt outstanding for all bonds in any future year.

Source of Revenues:

Proceeds for the sale of Airport System Revenue Bonds

Current Program Activities/Allowable Expenses:

Principal interest and premiums relating to bonds outstanding. No activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Increase in revenue dependent on bond sale for airport improvement program

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	121,389,008
Revenues						24,496,000	19,875,000
Expenditures							
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	121,389,008	141,264,008
Encumbrances							
Unencumbered Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	121,389,008	141,264,008

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	121,389,008	141,264,008

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Airport System Major Maintenance, Renewal/Replacement  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) D  
 Appropriation Acct. No. S-XX-379-D

Intended Purpose: To support deficiencies in the interest account, serial bond principal account, and the sinking fund. Also to fund major maintenance and repair projects not recurring annually in nature.

Source of Revenues:

Airport operating revenues and interest account

Current Program Activities/Allowable Expenses:

To fund eligible projects meeting the funding criteria for major maintenance, renewal and replacement account in accordance with the bond resolution

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Estimated expenditures dependent on ongoing and future projects for airport programs

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	58,060,552	59,980,198	59,898,925	60,000,000	60,000,000	60,000,000	60,000,000
Revenues							
Expenditures	322,585	101,075	26,808	0	3,000,000	3,000,000	3,000,000
Transfers	2,242,231	19,802	127,883	0			
List each by JV# and date							
Net Total Transfers	2,242,231	19,802	127,883	0	3,000,000	3,000,000	3,000,000
Ending Cash Balance	59,980,198	59,898,925	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000
Encumbrances	155,984	54,909					
Unencumbered Cash Balance	59,824,214	59,844,016	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Bond Revenue CIP Accounts  
 Legal Authority: HRS 39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) E  
 Appropriation Acct. No. B-XX-XXX

Intended Purpose:  
 Bond funded capital improvement projects  
 Source of Revenues:  
 Sale of Bond  
 Current Program Activities/Allowable Expenses:  
 Funded capital improvement projects  
 Purpose of Proposed Ceiling Increase (if applicable):  
 New capital improvement projects will be implemented  
 Variances

Revenue and expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,079,919,000	213,417,000	536,540,000	251,743,000	348,919,000	490,437,000	146,657,000
Beginning Cash Balance	233,502,847	213,141,261	144,748,530	43,114,377	205,066,630	320,442,630	222,594,630
Revenues				250,006,365	250,000,000	220,471,000	581,094,000
Expenditures	20,361,586	68,392,731	101,634,153	88,054,112	134,624,000	318,319,000	447,048,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	213,141,261	144,748,530	43,114,377	205,066,630	320,442,630	222,594,630	356,640,630
Encumbrances	165,446,678	483,912,419	405,371,526	813,157,977			
Unencumbered Cash Balance	47,694,583	(339,163,889)	(362,257,150)	(608,091,347)	320,442,630	222,594,630	356,640,630

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds				250,006,365	250,000,000	220,471,000	581,094,000
EB-5							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 114  
 Name of Fund: General Obligation Bond Fund  
 Legal Authority: HRS 39

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) C  
 Appropriation Acct. No. B-XX-700/B-XX-701

Intended Purpose:  
 Various Improvements to Ellison Onizuka Space Museum/KONA Airport Fed Inspection Station  
 Source of Revenues:  
 General Obligation Bond  
 Current Program Activities/Allowable Expenses:  
 Capital improvement project  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		3,000,000	3,000,000	3,000,000	2,500,000	2,500,000	
Beginning Cash Balance				0	0	0	0
Revenues						2,500,000	
Expenditures						2,500,000	
Transfers							
List each by JV# and date							
Net Total Transfers				0	0	0	0
Ending Cash Balance				0	0	0	0
Encumbrances							
Unencumbered Cash Balance				0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds					0	2,500,000	
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Special State CIP  
 Legal Authority: HRS 248-8/261-5

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) B  
 Appropriation Acct. No. S-XX-7XX-D, S-XX-8XX-D

Intended Purpose:  
 Special funded capital improvement projects  
 Source of Revenues:  
 Airport operating revenue  
 Current Program Activities/Allowable Expenses:  
 Funded capital improvement projects  
 Purpose of Proposed Ceiling Increase (if applicable):

**Variances**

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	34,125,000	12,470,000	11,450,000	15,300,000	12,800,000	14,551,000	14,500,000
Beginning Cash Balance	63,552,929	71,730,029	62,176,381	64,690,663	60,885,348	37,388,348	0
Revenues			0				
Expenditures	21,321,217	13,977,709	24,827,418	6,810,741	23,497,000	39,463,000	30,191,000
Transfers	29,498,317	4,424,061	27,341,700	3,005,426			
List each by JV# and date							
Net Total Transfers	29,498,317	4,424,061	27,341,700	3,005,426		2,074,652	30,191,000
Ending Cash Balance	71,730,029	62,176,381	64,690,663	60,885,348	37,388,348	0	0
Encumbrances	26,251,129	40,350,438	57,466,425	92,060,265			
Unencumbered Cash Balance	45,478,900	21,825,943	7,224,239	(31,174,917)	37,388,348	0	0

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Special State CIP - Funded by Others  
 Legal Authority: HRS 261-5.5

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) X  
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:  
 Used to fund projects funded by the Passenger Facility Charge Program & other contributions  
 Source of Revenues:  
 Passenger Facility Charges Funds  
 Current Program Activities/Allowable Expenses:  
 Funded approved capital improvement projects  
 Purpose of Proposed Ceiling Increase (if applicable):  
 New capital improvement project will be implemented  
 Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	51,699,000	46,204,000	100,000	125,000	125,000	100,000	100,000
Beginning Cash Balance	12,962,054	10,278,289	29,831,970	9,559,053	14,519,350	14,519,350	14,519,350
Revenues							
Expenditures	16,702,429	7,387,252	16,103,989	28,697,307	33,265,000	24,316,000	13,928,000
Transfers	14,018,664	26,940,933	(4,168,928)	33,657,604			
List each by JV# and date							
Net Total Transfers	14,018,664	26,940,933	(4,168,928)	33,657,604	33,265,000	24,316,000	13,928,000
Ending Cash Balance	10,278,289	29,831,970	9,559,053	14,519,350	14,519,350	14,519,350	14,519,350
Encumbrances	8,070,247	61,808,632	6,008,372	27,726,316			
Unencumbered Cash Balance	2,208,042	(31,976,662)	3,550,681	(13,206,966)	14,519,350	14,519,350	14,519,350

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Special State CIP - Funded by Others  
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) X/B  
 Appropriation Acct. No. S-XX-9XX-D; S-XX-7XX-D

**Intended Purpose:**

Used to fund projects funded by the Consolidated Rent A Car Facility Charge Program

**Source of Revenues:**

Rent A Car Facility Charges (CFC) Funds

**Current Program Activities/Allowable Expenses:**

Funded approved capital improvement projects

**Purpose of Proposed Ceiling Increase (if applicable):**

New capital improvement project will be implemented

**Variances:**

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	223,660,000	90,000,000	42,000,000	86,811,000	129,810,000	306,636,000	209,174,000
Beginning Cash Balance	3,102,475	28,792,886	106,478,703	71,946,658	48,431,290	188,466,759	278,700,759
Revenues			34,910,142		41,100,000	365,000,000	
Expenditures	17,717,806	5,671,985	43,848,165	34,623,020	129,810,000	306,636,000	209,174,000
Transfers	43,408,217	83,357,802	(25,594,022)	11,107,652			
List each by JV# and date							
Net Total Transfers	43,408,217	83,357,802	(25,594,022)	11,107,652	228,745,469	31,870,000	32,881,000
Ending Cash Balance	28,792,886	106,478,703	71,946,658	48,431,290	188,466,759	278,700,759	102,407,759
Encumbrances	28,792,886	222,748,193	179,715,500	256,601,553			
Unencumbered Cash Balance	0	(116,269,490)	(107,768,842)	(208,170,263)	188,466,759	278,700,759	102,407,759

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
EB-5			34,910,142		41,100,000		
CFC						365,000,000	
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Federal CIP  
 Legal Authority: Title 49 United State Code (Grants)

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-17X-D; S-XX-26X-D to  
S-XX-29X-D; S-XX-30X-D

Intended Purpose:

Capital improvement projects

Source of Revenues:

Federal Grants

Current Program Activities/Allowable Expenses:

Capital improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement project will be implemented; additional funds required for for existing appropriations

Variances

Revenue and expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	103,372,000	64,025,000	12,810,000	47,875,000	44,205,000	11,002,000	3,000
Beginning Cash Balance	10,020,846	7,722,885	13,463,387	13,910,085	15,463,906	15,463,906	15,463,906
Revenues	21,022,812	58,613,115	40,078,813	28,892,579	61,072,000	85,438,000	40,647,000
Expenditures	22,710,278	56,138,751	39,556,205	25,813,439	61,072,000	85,438,000	40,647,000
Transfers	(610,495)	3,266,138	(75,911)	(1,525,319)			
List each by JV# and date							
Net Total Transfers	(610,495)	3,266,138	(75,911)	(1,525,319)	0	0	0
Ending Cash Balance	7,722,885	13,463,387	13,910,085	15,463,906	15,463,906	15,463,906	15,463,906
Encumbrances	56,365,521	40,766,888	40,521,771	45,701,388			
Unencumbered Cash Balance	(48,642,636)	(27,303,501)	(26,611,687)	(30,237,482)	15,463,906	15,463,906	15,463,906

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2015 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Federal O & M  
 Legal Authority: Title 49 United State Code (Grants)

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) N  
 Appropriation Acct. No. S-XX-20X-D to S-XX-25X-D

Intended Purpose:  
 Federal portion of projects matched by operating funds  
 Source of Revenues:  
 Federal grants  
 Current Program Activities/Allowable Expenses:  
 Operating and maintenance expenses  
 Purpose of Proposed Ceiling Increase (if applicable):

**Variances**

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,000,000	3,450,000	7,037,250	9,695,545	10,419,800	8,244,750	3,606,000
Beginning Cash Balance	3,621,919	4,422,415	1,327,075	1,131,366	1,032,386	1,032,386	1,032,386
Revenues	4,246,793	2,178,911	251,975	270,728	2,000,000	2,000,000	2,000,000
Expenditures	4,056,791	2,008,113	523,595	1,895,027	2,000,000	2,000,000	2,000,000
Transfers	610,494	(3,266,138)	75,911	1,525,319			
List each by JV# and date							
Net Total Transfers	610,494	(3,266,138)	75,911	1,525,319	0	0	0
Ending Cash Balance	4,422,415	1,327,075	1,131,366	1,032,386	1,032,386	1,032,386	1,032,386
Encumbrances	2,972,544	857,718	4,743,121	4,989,448			
Unencumbered Cash Balance	1,449,871	469,357	(3,611,755)	(3,957,062)	1,032,386	1,032,386	1,032,386

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Special State CIP - Funded by Others  
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) R  
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:  
 Used to fund project funded by private contribution  
 Source of Revenues:

Current Program Activities/Allowable Expenses:  
 Funded approved capital improvement project  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					3,995,000		
Beginning Cash Balance	131	131	131	0	0	0	0
Revenues	0	0	0				
Expenditures	0	0	0				
Transfers	0	0	(131)	0			
List each by JV# and date							
Net Total Transfers	0	0	(131)	0	0	0	0
Ending Cash Balance	131	131	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	131	131	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 195  
 Name of Fund: Temporary Deposits  
 Legal Authority: HRS 261-5/261-7

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) T  
 Appropriation Acct. No. T-XX-961

Intended Purpose:  
 Security and Other Deposits  
 Source of Revenues:  
 Customer security and other deposits  
 Current Program Activities/Allowable Expenses:  
 Received and refunded security and other deposits  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,526,787	6,491,195	5,866,733	55,541,397	7,387,041	7,387,041	7,387,041
Revenues	1,133,808	851,445	202,145,900	1,647,910			
Expenditures	169,400	1,475,907	152,471,236	431,613			
Transfers				(49,370,653)			
List each by JV# and date							
Net Total Transfers				(49,370,653)			
Ending Cash Balance	6,491,195	5,866,733	55,541,397	7,387,041	7,387,041	7,387,041	7,387,041
Encumbrances							
Unencumbered Cash Balance	6,491,195	5,866,733	55,541,397	7,387,041	7,387,041	7,387,041	7,387,041

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Prepaid Airport Use Charge Fund  
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) T  
 Appropriation Acct. No. T-XX-963-D

**Intended Purpose:**

To provide for overpayments of Airport Use Charges

**Source of Revenues:**

Airport Use Charge (AUC) overpayment based on airport/airline lease agreement

**Current Program Activities/Allowable Expenses:**

To refund AUC overpayment based on agreed signatory airlines payment plan as approved by the Director of Transportation

**Purpose of Proposed Ceiling Increase (if applicable):**

**Variances**

Payment out is to clear overpayment balance due as agreed to in the payment plan.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	8,591,873	9,245,460	9,361,678	8,361,678
Revenues	0	0	0	4,653,587	1,116,218		
Expenditures	0	0	0	4,000,000	1,000,000	1,000,000	1,000,000
Transfers			8,591,873				
List each by JV# and date							
Net Total Transfers			8,591,873				
Ending Cash Balance	0	0	8,591,873	9,245,460	9,361,678	8,361,678	7,361,678
Encumbrances							
Unencumbered Cash Balance	0	0	8,591,873	9,245,460	9,361,678	8,361,678	7,361,678

**Additional Information:**

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: DOT  
 Prog ID(s): TRN 102 - TRN 195  
 Name of Fund: Energy Savings Performance Contract  
 Legal Authority: HRS 36-41

Contact Name: Kurt Yamasaki  
 Phone: 838-8646  
 Fund type (MOF) T  
 Appropriation Acct. No. T-XX-964-D

Intended Purpose:  
 ESCO/ESPC Energy Savings  
 Source of Revenues:  
 Savings from Utilities and Interest Income  
 Current Program Activities/Allowable Expenses:  
 Payment of P&I, COPS Issuance costs and trustee fees  
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
				(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	40,778,779	24,306,466	(0)	(0)
Revenues	0	0	0	8,090,011			
Expenditures	0	0	0	24,562,324	24,306,466		
Transfers			40,778,779				
List each by JV# and date							
Net Total Transfers			40,778,779				
Ending Cash Balance	0	0	40,778,779	24,306,466	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	0	0	40,778,779	24,306,466	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Office of the Governor  
 Prog ID(s): GOV 100  
 Name of Fund: Healthcare Transformation  
 Legal Authority: \_\_\_\_\_

Contact Name: Ashley Advincula  
 Phone: 586-0034  
 Fund type (MOF) Special  
 Appropriation Acct. No. S-13-234-Q, S-14-234-Q,  
S-15-234-Q, S-16-234-Q

Intended Purpose: To support Healthcare Transformation efforts

Source of Revenues: Federal Funds

Current Program Activities/Allowable Expenses: Personnel and Operating Expenses

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	245,000	961,255	209,859	209,639	0	0	0
Beginning Cash Balance	0	5	3,464	3,464	(0)	(0)	(0)
Revenues	188,833	754,855	220	0	0	0	0
Expenditures	188,828	751,396	220	3,464	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5	3,464	3,464	(0)	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	5	3,464	3,464	(0)	(0)	(0)	(0)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Office of the Governor  
 Prog ID(s): GOV 100  
 Name of Fund: Early Learning - Head Start  
 Legal Authority: \_\_\_\_\_

Contact Name: Ashley Advincula  
 Phone: 586-0034  
 Fund type (MOF) Special  
 Appropriation Acct. No. S-14-235-Q, S-15-235-Q, S-16-235-Q

Intended Purpose: To support the Early Learning initiative of Governor's New Day Plan

Source of Revenues: Federal Funds

Current Program Activities/Allowable Expenses: Personnel and Operating Expenses

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	125,000	271,282	191,544	0	0	0
Beginning Cash Balance	0	0	25,717	0	0	0	0
Revenues	0	129,435	54,021	0	0	0	0
Expenditures	0	103,718	79,738	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	25,717	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	25,717	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Office of the Governor  
 Prog ID(s): GOV 100  
 Name of Fund: All Payer Claims Database  
 Legal Authority: \_\_\_\_\_

Contact Name: Ashley Advincula  
 Phone: 586-0034  
 Fund type (MOF) Special  
 Appropriation Acct. No. S-14-500-Q

Intended Purpose: To support Healthcare Transformation efforts

Source of Revenues: Federal Funds

Current Program Activities/Allowable Expenses: Personnel and Operating Expenses

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	3,023,387	3,009,469	2,867,882	0	0	0
Beginning Cash Balance	0	0	13,648	17,778	0	0	0
Revenues	0	27,566	145,716	7,472	0	0	0
Expenditures	0	13,918	141,587	25,250	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	13,648	17,778	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	13,648	17,778	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Office of the Governor  
 Prog ID(s): GOV 100  
 Name of Fund: Healthcare Transformations SIM Planning - Round Two  
 Legal Authority: \_\_\_\_\_

Contact Name: Ashley Advincula  
 Phone: 586-0034  
 Fund type (MOF) Special  
 Appropriation Acct. No. S-15-501-Q

Intended Purpose: To support Healthcare Transformation efforts

Source of Revenues: Federal Funds

Current Program Activities/Allowable Expenses: Personnel and Operating Expenses

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	1,500,000	1,425,738	369,775	0	0
Beginning Cash Balance	0	0	0	18,547	23,815	0	0
Revenues	0	0	92,809	1,061,232	221,494	0	0
Expenditures	0	0	74,262	1,055,963	245,309	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	18,547	23,815	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	0	18,547	23,815	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Office of the Governor  
 Prog ID(s): GOV 100  
 Name of Fund: Hawaii No Wrong Door System Planning Project  
 Legal Authority: \_\_\_\_\_

Contact Name: Ashley Advincula  
 Phone: 586-0034  
 Fund type (MOF) Special  
 Appropriation Acct. No. S-15-502-Q

Intended Purpose: To support Healthcare Transformation efforts

Source of Revenues: Federal Funds

Current Program Activities/Allowable Expenses: Personnel and Operating Expenses

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	225,000	0	0	0	0
Beginning Cash Balance	0	0	0	4,992	2,777	0	0
Revenues	0	0	29,998	167,041	0	0	0
Expenditures	0	0	25,007	169,256	2,777	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	4,992	2,777	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	0	4,992	2,777	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Office of the Governor  
 Prog ID(s): GOV 100  
 Name of Fund: Early Learning  
 Legal Authority: Act 164, SLH 2011

Contact Name: Ashley Advincula  
 Phone: 586-0034  
 Fund type (MOF) Trust  
 Appropriation Acct. No. T-13-903-Q, T-14-903-Q

Intended Purpose: Education initiatives under the Governor's New Day Plan

Source of Revenues: W.K. Kellogg Foundation

Current Program Activities/Allowable Expenses: Personnel and operating expenses

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	203,542	68,339	0	0	0	0	0
Beginning Cash Balance	55,568	54,054	(0)	(0)	(0)	(0)	(0)
Revenues	147,974	14,285	0	0	0	0	0
Expenditures	149,488	68,339	0	0	0	0	0
Transfers	0						
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	54,054	(0)	(0)	(0)	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	54,054	(0)	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Office of the Governor  
 Prog ID(s): GOV 100  
 Name of Fund: Early Learning  
 Legal Authority: \_\_\_\_\_

Contact Name: Ashley Advincula  
 Phone: 586-0034  
 Fund type (MOF) Trust Fund  
 Appropriation Acct. No. T-13-904-Q, T-14-904-Q

Intended Purpose: Early Learning initiative from Governor's New Day Plan

Source of Revenues: Private grants, Hawaii Community Foundation

Current Program Activities/Allowable Expenses: Personnel and Operating Expenses

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	9,998	1,308	0	0	0	0	0
Revenues	70,000	0	0	0	0	0	0
Expenditures	78,690	1,308	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,308	0	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	1,308	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Office of the Governor  
 Prog ID(s): GOV 100  
 Name of Fund: Healthcare Transformation  
 Legal Authority: \_\_\_\_\_

Contact Name: Ashley Advincula  
 Phone: 586-0034  
 Fund type (MOF) Trust  
 Appropriation Acct. No. T-13-905-Q, T-14-905-Q,  
T-15-905-Q, T-16-905-Q

Intended Purpose: Healthcare Transformation under the Governor's New Day Plan

Source of Revenues: Healthcare Association of Hawaii

Current Program Activities/Allowable Expenses: Personnel and Operating Expenses

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	86,094	157,094	0	0	0	0
Revenues	159,750	213,000	35,500	0	0	0	0
Expenditures	73,656	142,000	192,594	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	86,094	157,094	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	86,094	157,094	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Office of the Governor  
 Prog ID(s): GOV 100  
 Name of Fund: Early Learning  
 Legal Authority: \_\_\_\_\_

Contact Name: Ashley Advincula  
 Phone: 586-0034  
 Fund type (MOF) Trust  
 Appropriation Acct. No. T-14-906-Q, T-15-906-Q

Intended Purpose: To support efforts to build and implement a birth through 3rd grade policy agenda

Source of Revenues: National Governors Association (NGA)

Current Program Activities/Allowable Expenses: Personnel and Operating Costs

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	3,585	(0)	(0)	(0)	(0)
Revenues	0	25,000	0	0	0	0	0
Expenditures	0	21,415	3,585	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	3,585	(0)	(0)	(0)	(0)	(0)
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	3,585	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Office of the Governor  
 Prog ID(s): GOV 100  
 Name of Fund: Healthcare Transformation  
 Legal Authority: \_\_\_\_\_

Contact Name: Ashley Advincula  
 Phone: 586-0034  
 Fund type (MOF) Trust  
 Appropriation Acct. No. T-14-907-Q, T-15-907-Q, T-16-907-Q

Intended Purpose: To support the State of Hawaii early childhood action strategy program manager

Source of Revenues: Hawaii Community Foundation Omidyar 'Ohana Fund

Current Program Activities/Allowable Expenses: Personnel and Operating Expenses

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	16,498	20,108	162,664	0	0
Revenues	0	100,650	123,750	262,500	70	0	0
Expenditures	0	84,153	120,139	119,944	162,734	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	16,498	20,108	162,664	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	16,498	20,108	162,664	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Office of the Governor  
 Prog ID(s): GOV 100  
 Name of Fund: Healthcare Transformation  
 Legal Authority: \_\_\_\_\_

Contact Name: Ashley Advincula  
 Phone: 586-0034  
 Fund type (MOF) Trust  
 Appropriation Acct. No. T-14-908-Q, T-15-908-Q

Intended Purpose: To support a full-time policy analyst position

Source of Revenues: Hawaii Association of Health Plans

Current Program Activities/Allowable Expenses: Personnel Expenses

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	26,979	0	0	0	0
Revenues	0	72,240	0	0	0	0	0
Expenditures	0	45,261	26,979	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	26,979	0	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	26,979	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: Office of the Governor  
 Prog ID(s): GOV 100  
 Name of Fund: Technology Transformation Fund  
 Legal Authority: \_\_\_\_\_

Contact Name: Ashley Advincula  
 Phone: 586-0034  
 Fund type (MOF) Trust  
 Appropriation Acct. No. T-14-909-Q, T-15-909-Q, T-16-909-Q

Intended Purpose: To support two new positions within the Office of the Governor to support the implementation of the State Business and Information Technology/Information Resource Management Transformation plan.

Source of Revenues: Hawaii Community Foundation

Current Program Activities/Allowable Expenses: Personnel and Operating Expenses

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	68,615	107,272	0	0	0
Revenues	0	215,125	300,000	2,156	0	0	0
Expenditures	0	146,510	261,343	109,428	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	68,615	107,272	0	0	0	0
<b>Encumbrances</b>							
Unencumbered Cash Balance	0	68,615	107,272	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: Federal Work Study Program  
 Legal Authority: 304A-2003, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) N  
 Appropriation Acct. No. S 202 F

**Intended Purpose:**

Through the Federal Work Study (FWS) Program, undergraduate and graduate students work part-time to help pay for the cost of their post-secondary education.

**Source of Revenues:**

U.S. Department of Education

**Current Program Activities/Allowable Expenses:**

The FWS program provides assistance to approximately 400 students in on-campus and off-campus employment. Some employment positions are community service based.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193
Expenditures	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: Federal Grants-In-Aid For Natural Resources -  
 Experiment Stations  
 Legal Authority: Hatch Act (P.L. 84-352) - Multi State

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) N  
 Appropriation Acct. No. S 202 F

**Intended Purpose:**

Regional research fund to support cooperative regional research by State Agricultural Experiment Stations (SAES) by stimulating and facilitating inter-state cooperation on research of a regional and national character both among SAES and within the U.S. Department of Agriculture (USDA).

**Source of Revenues:**

\*\*Funds are appropriated by USDA per the Formula Fund Grant process. Appropriation ceiling is the award funding amount for each FY. and is subject to change.

**Current Program Activities/Allowable Expenses:**

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	495,072	464,270	524,650				
Beginning Cash Balance ***	0	(82,889)	(17,270)	(16,741)	(41,663)	(41,663)	(41,663)
Revenues	379,977	571,694	549,377	483,043	518,000	518,000	518,000
Expenditures	462,867	506,075	548,848	507,965	518,000	518,000	518,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(82,889)	(17,270)	(16,741)	(41,663)	(41,663)	(41,663)	(41,663)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(82,889)	(17,270)	(16,741)	(41,663)	(41,663)	(41,663)	(41,663)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\*\*\*Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
Federal Grants-In-Aid For Natural Resources -  
 Name of Fund: Experiment Stations  
 Legal Authority: Hatch Act, As Amended (P.L. 84-352)

Contact Name: Bob Nagao  
 Phone: 956-4557

Fund type (MOF) N  
 Appropriation Acct. No. S 202 F

**Intended Purpose:**

To promote the efficient production, marketing, distribution, and utilization of farm products to assure a position in research equal to that of industry.

**Source of Revenues:**

\*\*Funds are appropriated by USDA per the Formula Fund Grant process. Appropriation ceiling is the award funding amount for each FY. and is subject to change.

**Current Program Activities/Allowable Expenses:**

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	1,104,384	1,021,964	1,128,911				
Beginning Cash Balance ***	0	(161,875)	(122,234)	(11,887)	(21,650)	(21,650)	(21,650)
Revenues	1,003,921	1,025,683	1,364,616	1,141,569	1,123,424	1,123,424	1,123,424
Expenditures	1,165,796	986,042	1,254,270	1,151,332	1,123,424	1,123,424	1,123,424
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(161,875)	(122,234)	(11,887)	(21,650)	(21,650)	(21,650)	(21,650)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(161,875)	(122,234)	(11,887)	(21,650)	(21,650)	(21,650)	(21,650)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\*\*\*Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
Federal Grants-In-Aid For Natural Resources -  
 Name of Fund: Experiment Stations  
 Legal Authority McIntire-Stennis Act (P.L. 87-788)

Contact Name: Bob Nagao  
 Phone: 956-4557

Fund type (MOF) N  
 Appropriation Acct. No. S 202 F

**Intended Purpose:**

To promote research in Forestry in Land Grant Colleges and Agricultural Experiment Stations

**Source of Revenues:**

\*\*Funds are appropriated by USDA per the Formula Fund Grant process. Appropriation ceiling is the award funding amount for each FY. and is subject to change.

**Current Program Activities/Allowable Expenses:**

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	243,618	226,585	251,000				
Beginning Cash Balance ***	0	(28,079)	(13,331)	(2,628)	(643)	(643)	(643)
Revenues	191,398	245,155	272,736	267,027	275,000	275,000	275,000
Expenditures	219,477	230,407	262,033	265,042	275,000	275,000	275,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(28,079)	(13,331)	(2,628)	(643)	(643)	(643)	(643)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(28,079)	(13,331)	(2,628)	(643)	(643)	(643)	(643)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\*\*\*Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
Federal Grants-In-Aid For Natural Resources -  
 Name of Fund: Experiment Stations  
 Legal Authority P.L. 95-113 Section 1433

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) N  
 Appropriation Acct. No. S 202 F

**Intended Purpose:**

To conduct research on animal health and disease.

**Source of Revenues:**

\*\*Funds are appropriated from US Department of Agriculture Appropriations and are subject to change each FY.

**Current Program Activities/Allowable Expenses:**

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	1,492	1,358	2,363				
Beginning Cash Balance ***	0	0	0	0	0	0	0
Revenues	1,492	1,358	2,363	10,147	12,000	12,000	12,000
Expenditures	1,492	1,358	2,363	10,147	12,000	12,000	12,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\*\*\*Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
Federal Grants-In-Aid For Natural Resources -  
 Name of Fund: Agricultural Extension Service  
 Legal Authority: Smith-Lever Act, As Amended (7 U.S.C)

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) N  
 Appropriation Acct. No. S 202 F

**Intended Purpose:**

To diffuse among the people of the United States useful and practical information on subjects relating to agriculture and home economics, and to encourage the application of the same.

**Source of Revenues:**

\*\*Funds are appropriated from US Department of Agriculture Appropriations and are subject to change each FY.

**Current Program Activities/Allowable Expenses:**

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	1,328,880	1,228,310	1,378,329				
Beginning Cash Balance ***	0	(379,273)	(366,982)	(12,563)	(212,276)	(212,276)	(212,276)
Revenues	1,344,012	1,547,032	1,576,864	1,218,925	1,385,000	1,385,000	1,385,000
Expenditures	1,723,285	1,534,741	1,222,445	1,418,638	1,385,000	1,385,000	1,385,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(379,273)	(366,982)	(12,563)	(212,276)	(212,276)	(212,276)	(212,276)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(379,273)	(366,982)	(12,563)	(212,276)	(212,276)	(212,276)	(212,276)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\*\*\*Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: University of Hawaii  
 Prog ID(s): UOH 100-UH-Manoa  
Federal Grants-In-Aid For Natural Resources -  
 Name of Fund: Agricultural Extension Service  
 Legal Authority Civil Service Retirement Act (8/21/20)

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) N  
 Appropriation Acct. No. S 202 F

Intended Purpose:

To fund the employer share of federal civil service retirement contributions.

Source of Revenues:

\*\*Funds are appropriated from US Department of Agriculture Appropriations and are subject to change each FY.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Difference in expenditures due to reduction in liability for FY 2015. Payments are based on number of retirees and amounts to be paid out vary for each FY.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	8,813	5,012	4,027				
Beginning Cash Balance ***	0	0	(1,112)	0	0	0	0
Revenues	5,755	6,642	7,986	3,900	0	0	0
Expenditures	5,755	7,754	6,874	3,900	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	(1,112)	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	(1,112)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\*\*\*Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: State Higher Education Loan Fund  
 Legal Authority: 304A-2160, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): W  
 Appropriation Acct. No.: S-350-F

**Intended Purpose:**

Per statute, all interest and payments received on account of principal shall be credited to this fund. Loans shall be disbursed to needy students that meet eligibility requirements.

**Source of Revenues:**

Repayment of loans from borrowers and interest earned.

**Current Program Activities/Allowable Expenses:**

Awarding and issuance of loans to eligible students with amount of loan being determined based on need for financial aid, academic promise, and department.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

General Accounting and Loan Collection (GALC) provides Financial Aid Services an allocation to award the State Higher Education loan to students  during each academic year.

**Variations:**

FY 2014 increase in revenues due to increase in student loan repayments.

FY 2015 increase in expenditures due to issuance of more student loans due to increase in repayments.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000	500,000	550,000	550,000	625,000	625,000	625,000
Beginning Cash Balance	396,984	434,676	592,439	634,701	648,699	648,699	648,699
Revenues	562,026	631,565	665,637	680,159	650,000	650,000	650,000
Expenditures	448,455	473,802	623,375	666,160	650,000	650,000	650,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(75,879)	0	0	0	0	0	0
Ending Cash Balance	434,676	592,439	634,701	648,699	648,699	648,699	648,699
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	434,676	592,439	634,701	648,699	648,699	648,699	648,699

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Commercial Enterprises RF (Athletics)  
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): W  
 Appropriation Acct. No.: S-351-F

**Intended Purpose:**

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

For the H Zone stores and online operations. The H Zone stores opened on July 1, 2014 under the Mānoa Athletics Department. The store was previously run as Rainbwtique under Auxiliary Services.

**Source of Revenues:**

Revenues are from the sales of merchandise (Hawai'i Athletics apparel and accessories).

**Current Program Activities/Allowable Expenses:**

The current program activities/allowable expenses are the H Zone stores and online operations. Expenses include the cost of the merchandise sold, rent and associated expenses paid for the Ward Center store, personnel expenses, and other expenses needed to run a retail and online retail operation.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

The H Zone stores opened on July 1, 2014. Expenses incurred in FY 2014 included personnel expenses to set up the store, the purchase of the point of sale system and other supplies needed to set up a retail operation. FY 2015 was the first full year of operations causing increased revenue and expenses. Expenses were especially high in 2015 due to the purchasing of inventory to have in stores.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,000,000					
Beginning Cash Balance		0	(109,967)	(373,034)	(441,674)	(441,674)	(341,674)
Revenues		(4,015)	1,057,475	1,168,770	1,200,000	500,000	500,000
Expenditures		105,952	1,320,543	1,237,410	1,200,000	400,000	400,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	(109,967)	(373,034)	(441,674)	(441,674)	(341,674)	(241,674)
Encumbrances		364,540	69,425	38,125	20,000	20,000	20,000
Unencumbered Cash Balance	0	(474,506)	(442,459)	(479,799)	(461,674)	(361,674)	(261,674)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Commercial Enterprises RF (Auxiliary Services)  
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.  
 To account for revenues and expenses generated from conferences coordinated through Conference Center on behalf of its sponsors.

**Source of Revenues:**

Revenues are generated through sponsor contributions and exhibitor fees.

**Current Program Activities/Allowable Expenses:**

The Conference Center provides services in organizing conferences for a variety of sponsors. Services include coordination of registration and management of revenues and expenses for conferences and workshops.  
 Allowable expenses include payroll, daily operating expenses, renovating and leasing commercial space, meeting room rental, food and beverages, non-personal services, and conference related supplies.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Decrease in Revenues and Expenditures in FY15 is due to the closure of all Rainbowtique locations at the end of FY2014.  
 Decrease in Revenues and Expenditures in FY15 to FY16 is due to budget constraints campus wide as well as other government agencies, resulting in lower demand for Conference Services.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,000,000	3,000,000	6,000,000	6,000,000	6,000,000	6,000,000	6,000,000
Beginning Cash Balance	0	(960,155)	(1,047,564)	(1,024,902)	(1,226,251)	(1,225,771)	(1,225,277)
Revenues	3,106,120	2,423,906	1,020,469	689,468	623,480	642,184	661,450
Expenditures	3,505,226	2,425,194	997,205	874,817	623,000	641,690	660,941
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(561,049)	(86,120)	(602)	(16,000)	0	0	0
Ending Cash Balance	(960,155)	(1,047,564)	(1,024,902)	(1,226,251)	(1,225,771)	(1,225,277)	(1,224,768)
Encumbrances	266,639	279,533	256,598	39,058	0	0	0
Unencumbered Cash Balance	(1,226,794)	(1,327,096)	(1,281,500)	(1,265,309)	(1,225,771)	(1,225,277)	(1,224,768)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Commercial Enterprises RF (Discoveries & Inventions)  
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

**Source of Revenues:**

Manoa revenue derived from patents and licenses royalties arranged through OTTED

**Current Program Activities/Allowable Expenses:**

To support various activities associated with revenue generating project, other operating costs, program activities and research and development.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	81,073	84,100	83,998	84,148
Revenues				158	150	150	150
Expenditures				35,275	252	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	81,073	38,143	0	0	0
Ending Cash Balance	0	0	81,073	84,100	83,998	84,148	84,298
Encumbrances			0	0			
Unencumbered Cash Balance	0	0	81,073	84,100	83,998	84,148	84,298

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Commercial Enterprises RF (UH Press)  
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): W  
 Appropriation Acct. No.: S-351-F

**Intended Purpose:**

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Intended purpose are revenues and expenses associated with the acquisition, production, distribution and marketing of books, journals and other media produced by or distributed by the University of Hawaii Press.

**Source of Revenues:**

The UH Press publishes in permanent form books, journals, recordings, films and electronic communication forms of high merit which add to the sum or foster human wisdom, particularly those which reflect the regional or special interests and responsibilities of the University and other scholarly research organizations in the State of Hawai'i. The Press currently publishes books on Hawai'i, Asia and the Pacific and provides printing services. Revenues to this fund are from sales of publications and production services (printing).

**Current Program Activities/Allowable Expenses:**

Allowable expenses are fixed and variable expenses associated with the acquisition, production, distribution and marketing of books, journals and other media produced by or distributed by the University of Hawai'i Press.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

FY14 was down year in revenues. FY15 revenues returned to more normal levels. FY16 revenues down.

For FY14 expenses were up due to several new recruitments in preparation for multiple retirements, investment in electronic book publishing, and investment in increasing book and journal output. In addition for FY15, investment in technology infrastructure was made. All increases in expenses are investments towards future increase in revenue. FY16 expenses reduced slightly despite increase in salaries.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,079,839	5,079,839	5,709,839	5,709,839	5,709,839	5,709,839	5,709,839
Beginning Cash Balance	3,624,350	4,127,781	3,721,970	3,063,771	2,413,123	1,913,123	1,713,123
Revenues	4,661,447	4,231,092	4,657,229	4,490,924	4,500,000	4,700,000	4,800,000
Expenditures	4,158,017	4,636,903	5,287,428	5,141,571	5,000,000	4,900,000	4,800,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	(28,000)	0	0	0	0
Ending Cash Balance	4,127,781	3,721,970	3,063,771	2,413,123	1,913,123	1,713,123	1,713,123
Encumbrances	555,453	823,023	1,394,052	1,343,490	1,000,000	1,000,000	1,000,000
Unencumbered Cash Balance	3,572,328	2,898,947	1,669,718	1,069,633	913,123	713,123	713,123

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100 and UOH-110  
 Name of Fund: Research and Training RF  
 Legal Authority: 304A-2253, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

**Source of Revenues:**

Indirect overhead revenue generated by the University from research and training extramural awards.

**Current Program Activities/Allowable Expenses:**

The fund is used to support various offices by providing services and resources that enhance research, training, and other sponsored programs and activities, such as sponsor cost sharing or matching requirements, faculty start-up packages, repairs and maintenance of research buildings and equipment, and other operational expenses related to research and training at the University.

The fund is also used to ensure University compliance with federal, state, and sponsor requirements for programmatic, regulatory, fiscal, and property stewardship.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

The Research and Training Revolving Fund is requesting an expenditure ceiling from \$33,317,341 to \$50,000,000. Research has increased significantly in the Mānoa Campus and thus increasing indirect overhead expenditures to further enhance research, training and other sponsored programs and activities and to ensure that the University is in compliance with federal, state, and sponsor requirements for programmatic, regulatory, fiscal and property stewardship.

**Variances:**

Expenditure increases in FY 2014 due to increased utility costs for Manoa units, as well as increased RTRF spending by research units.

Expenditure decrease in FY 2015 due to reduced RTRF allocations to Manoa units.

Expenditure decrease in FY 2016 due to reduced RTRF allocations to Manoa units.

**Transfers:**

Transfers out include \$1,882,404 for debt service.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	33,317,341	33,317,341	33,317,341	33,317,341	33,317,341	33,317,341	33,317,341
Beginning Cash Balance	20,866,229	23,350,727	20,531,207	19,135,420	19,076,332	20,101,615	21,132,024
Revenues	34,161,692	35,546,189	33,134,035	30,889,197	29,569,251	29,717,097	29,865,682
Expenditures	29,716,492	36,584,022	32,166,296	28,401,958	28,543,968	28,686,688	28,830,121
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(1,965,416)	(1,723,210)	(2,363,526)	(2,546,328)	0	0	0
Ending Cash Balance	23,346,012	20,589,684	19,135,420	19,076,332	20,101,615	21,132,024	22,167,585
Encumbrances	1,596,733	2,337,274	2,284,137	1,561,220	0	0	0
Unencumbered Cash Balance	21,749,279	18,252,410	16,851,283	17,515,112	20,101,615	21,132,024	22,167,585

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: Student Health Center RF  
 Legal Authority: 304A-2255, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, this fund was established to pay the cost of operations of the Student Health Center services and shall be replenished through charges made for medical services and other related goods and services, or through transfers from other accounts or funds.

Allows program to provide certain essential medical services, supplies, drugs and lab services as required in medical treatment and the ability to assess certain costs for reimbursement.

**Source of Revenues:**

Fees for services, sales of medical supplies/prescriptions, mandatory student fees, insurance reimbursements.

**Current Program Activities/Allowable Expenses:**

Provide primary medical care to university community and promote good health practices.

Allowable expenses are costs incurred to provide these services.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

FY2014, Phase I of renovation to facility initiated thus incurring design/architectural costs. Also, personnel costs and pharmaceuticals needs increased.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,000,000	4,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000
Beginning Cash Balance	1,615,365	2,811,966	3,621,079	4,699,528	5,911,499	7,251,499	8,286,499
Revenues	4,023,957	4,224,477	4,617,376	4,696,454	5,340,000	5,535,000	5,535,000
Expenditures	2,827,356	3,415,364	3,538,926	3,484,484	4,000,000	4,500,000	5,000,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,811,966	3,621,079	4,699,528	5,911,499	7,251,499	8,286,499	8,821,499
Encumbrances	37,353	86,789	72,647	75,064	0	0	0
Unencumbered Cash Balance	2,774,613	3,534,290	4,626,882	5,836,435	7,251,499	8,286,499	8,821,499

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: Transcript and Diploma RF  
 Legal Authority: 304A-2256, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, this fund was established to defray the cost of transcripts and diplomas, and shall be replenished through charges made for transcripts and diplomas or through transfers from other accounts or funds.

Means to defray or recover costs for the preparation, ordering and issuance of transcripts and diplomas and their covers upon requests from students.

**Source of Revenues:**

Transcript fees, diploma fees, interest earned.

**Current Program Activities/Allowable Expenses:**

Issues transcripts of academic records and issues diplomas and certificates to graduates.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

In FY15 due to budget cuts in general funds, staff members were transferred to revolving funds; In FY16, staff was transferred off revolving funds and increased students on federal work study program.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	425,000	425,000	425,000	425,000	425,000	425,000	425,000
Beginning Cash Balance	423,883	390,936	313,320	292,967	326,140	264,489	215,356
Revenues	372,100	364,405	357,867	363,377	360,000	360,000	360,000
Expenditures	405,048	442,021	378,220	330,205	421,651	409,133	429,590
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	390,936	313,320	292,967	326,140	264,489	215,356	145,766
Encumbrances	69,519	14,334	12,927	6,712	0	0	0
Unencumbered Cash Balance	321,417	298,986	280,040	319,428	264,489	215,356	145,766

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Student Activities RF (Associated Students of the University of Hawaii)  
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type: (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

ASUH is the University Board of Regents chartered organization responsible for undergraduate student governance on the Mānoa campus. ASUH's purpose as articulated in its constitution, is to "(1) create a body responsive to the needs and desires of its constituents; (2) provide for administrative continuity, and (3) develop a responsible as well as critical awareness of prevalent attitudes and actions through participation in co-curricular activities...".

Because the nature of this organization is one of student self-governance and decision-making, there is a great potential for annual shifts and changes to the group's subprograms and activities.

**Source of Revenues:**

Mandatory student fees, interest income, stock dividends.

**Current Program Activities/Allowable Expenses:**

ASUH Travel Fund, grant funding for Registered Independent Organization of the UH, sponsoring of one-day events, speakers, programs and advocacy of student interests with UH administrators, BOR representatives and legislators.

Allowable expenses include personnel costs, general operating expenses, repairs and maintenance, funding grants, travel, stipends, advertising, food and other program-related expenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

In FY 2014, ASUH received less in student fees as compared to FY 2013. FY 2015 revenues increased because ASUH requested \$275,000 from the Stadium Endowment as compared to the \$200,000 requested in FY 2014.

In FY 2014, more funds were expended for scholarships, research awards and graduate test prep awards than in the previous FY.

In FY 2016, ASUH received more student fees than the previous year and also withdrew more from the Stadium Endowment fund.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	436,187	436,187	436,187	436,187	436,187	436,187	436,187
Beginning Cash Balance	(5,469)	107,901	26,107	17,895	71,548	65,548	62,548
Revenues	421,677	311,645	383,754	431,277	430,000	400,000	400,000
Expenditures	308,307	390,438	388,967	372,624	433,000	400,000	400,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(3,000)	(3,000)	(5,000)	(3,000)	(3,000)	(3,000)
Ending Cash Balance	107,901	26,107	17,895	71,548	65,548	62,548	59,548
Encumbrances	42,470	0	426	12,633	10,000	10,000	10,000
Unencumbered Cash Balance	65,431	26,107	17,468	58,916	55,548	52,548	49,548

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Student Activities RF (Broadcast Communication Authority)  
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): W  
 Appropriation Acct. No.: S-351-F

**Intended Purpose:**

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Broadcast Communication Authority is the chartered student organization whose purposes are to (1) govern and operate broadcast facilities for the education and entertainment of the University community and the public, and (2) provide an avenue for the training and education of individuals involved in student broadcast programs of the organization.

**Source of Revenues:**

Mandatory student fees, interest income

**Current Program Activities/Allowable Expenses:**

KTUH 90.3 FM - the campus radio station operating with a FCC license to provide alternative musical, cultural and educational programming, 24 hours per day. Student Video Filmmakers Association - SVFA provides students with technical and management training in video and film production. SVFA produces a monthly one-half hour program, UH Magazine, on public access television.

Allowable expenses include personnel costs, general operating expenses, repairs and maintenance, advertising, travel, stipends, food and other program-related expenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Given the evolution of media technology, the BCA has plans to enhance student involvement and activities, thereby increasing operational expenses. Increased program activity is occurring for the video production service known as UH Productions. This requires more expensive ongoing equipment expenses.

**Variations:**

In FY 2013, UHP updated much of their equipment resulting in increased expenditures. In FY 2014, the expenditures decreased to more normal rates. In FY 2015, UHP collected for production of advertising videos increasing revenue for that FY. In FY 2016, student assistants costs decreased and UHP paid out fewer stipends compared with FY 2015. UHP also did not collect as much for production of advertising videos as in the previous year.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	122,000	220,000	220,000	220,000	220,000	220,000	220,000
Beginning Cash Balance	328,703	222,157	163,900	122,043	90,316	90,316	90,316
Revenues	121,478	117,482	125,270	111,727	125,000	125,000	125,000
Expenditures	228,024	175,739	167,127	143,454	125,000	125,000	125,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	222,157	163,900	122,043	90,316	90,316	90,316	90,316
Encumbrances	2,999	642	50	0	0	0	0
Unencumbered Cash Balance	219,158	163,258	121,993	90,316	90,316	90,316	90,316

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Student Activities RF (Board of Publications)  
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Board of Publications is responsible for all student publications supported by funds derived from student activity fees. The board strives to provide the best journalistic services possible while providing an educational environment for students through on-the-job training in the publications field.

**Source of Revenues:**

Mandatory student fees, interest income, advertising revenue, subscriptions, printing revenue.

**Current Program Activities/Allowable Expenses:**

Ka Leo O Hawai'i - daily student newspaper; Hawai'i Review - UH Mānoa literary journal; Student Planner - annual planner/handbook; Beau Press - printing facility dedicated to BOP publications and UH system printing jobs.

Allowable expenses include personnel costs, general operating expenses, repairs & maintenance costs, equipment, printing expenses, stipends, travel, food, and other program-related expenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

In FY 2016, revenue generating display advertising contracts expired and were not renewed awaiting the roll out of a new mobile app and web redesign. Staff vacancies, decrease in stipends and lower printing costs attributed to the decline in expenditures between FY 2015 and FY 2016.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,003,300	1,003,300	1,003,300	1,003,300	1,003,300	1,003,300	1,003,300
Beginning Cash Balance	652,526	535,367	444,010	314,793	297,544	142,544	92,544
Revenues	704,471	755,159	695,710	603,340	645,000	700,000	700,000
Expenditures	821,629	846,515	824,928	620,589	800,000	750,000	750,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	535,367	444,010	314,793	297,544	142,544	92,544	42,544
Encumbrances	98,630	79,196	45,356	90,113	40,000	30,000	30,000
Unencumbered Cash Balance	436,737	364,815	269,438	207,431	102,544	62,544	12,544

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Student Activities RF (Campus Center Board)  
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Campus Center Board is responsible for setting policy regarding the operations of the Campus Center complex, provides directional input into the planning of the Center's Master Plan, and provides a learning experience to its members by interfacing with a diverse group of people. The Campus Center Activities Council presents co-curricular programs for the University community under four major committees: Culture, Educational Support, Personal Wellness and Recreation.

**Source of Revenues:**

Mandatory student fees, interest income

**Current Program Activities/Allowable Expenses:**

The CC Activities Council sponsors programs for the University community. Programs vary depending upon the student programmers. Some programs include speakers, musical performers, Homecoming Scholar Award, and the All Nighter events each semester.

Allowable expenses include personnel costs, general operating expenses, repair and maintenance costs, equipment, stipends, advertising, travel, food and other program-related expenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Due to the opening of the new Recreation Center and the implementation of the One Card program, increase in funding is necessary.

**Variances:**

In FY 2014, the increase in expenditures were due to the implementation of the One Card program and the opening of the New Warrior Recreation Center. In FY 2015, the number of events hosted by the Campus Center Board decreased and they did not sponsor the Aloha Bash concert that was featured in FY 2014 and prior years. The increase in expenditures from the prior fiscal year was attributed to sponsoring of the Aloha bash concert and the programming committees organizing and hosting more events in FY 2016.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	529,000	1,029,000	1,029,000	1,029,000	1,029,000	1,029,000	1,029,000
Beginning Cash Balance	1,228,181	982,813	614,844	709,612	611,162	611,162	611,162
Revenues	549,437	558,423	540,001	560,198	550,000	550,000	550,000
Expenditures	761,305	908,962	439,545	658,649	550,000	550,000	550,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(33,500)	(17,430)	(5,688)	0	0	0	0
Ending Cash Balance	982,813	614,844	709,612	611,162	611,162	611,162	611,162
Encumbrances	337,272	12,331	9,689	33,678	15,000	15,000	15,000
Unencumbered Cash Balance	645,541	602,513	699,924	577,485	596,162	596,162	596,162

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Student Activities RF (Co-Curricular Activities)  
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

This major appropriation consists of a number of miscellaneous program accounts established for different student activity programs as authorized by HRS 304A-2257. These accounts serve a myriad of student needs including orientation and transition programs, leadership education for students and student groups, registered independent clubs and organizations, student leadership training and development system-wide, student transportation services, and program equipment reserve and replacement.

**Source of Revenues:**

Mandatory student fees, interest income, program registration fees

**Current Program Activities/Allowable Expenses:**

Personnel costs, general operating expenses, repairs and maintenance costs, equipment, printing expenses, stipends, travel, food, and other program-related expenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

In FY 2012 and 2013, balances included Division Food Service, UPASS and Student Athletic Fee. Effective FY 2014, UPASS, Student Athletic Fee and Division Food Service programs will be reported separately. In FY 2015, revenue increase is attributable to increase in the number of students registering to attend New Student Orientation. Also, added One Card program account. In FY 2015, a payment of \$42,539.86 was inadvertently charged to the One Card program but not corrected until FY16. Also, personnel, advertising & rental cost decreased for New Student Orientation in FY 2016.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0				
Beginning Cash Balance	3,085,634	627,146	535,826	482,131	483,294	487,524	491,754
Revenues	12,114,496	222,077	266,210	240,090	250,000	250,000	250,000
Expenditures	10,076,556	317,626	324,136	243,156	250,000	250,000	250,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(922,161)	4,230	4,230	4,230	4,230	4,230	4,230
Ending Cash Balance	4,201,414	535,826	482,131	483,294	487,524	491,754	495,984
Encumbrances	76,717	95,513	161,502	27,140	30,000	30,000	30,000
Unencumbered Cash Balance	4,124,697	440,313	320,629	456,154	457,524	461,754	465,984

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Student Activities RF (Division Food Service)  
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The UHM Student Affairs Dining Services program was established with the objective of providing innovative dining services programs to satisfy the nutritional needs of the University of Hawai'i at Mānoa community. The program, which falls under the Office of Student Affairs, is a partnership between Student Housing Services and Student Life and Development. It is managed by Sodexo as a financially self-sustaining operation, meaning the revenue generated at the various residence dining halls, retail operations in the student union, and other student affairs-related facilities goes towards the continual improvement of the program.

**Source of Revenues:**

Mandatory and voluntary meal plan sales, flash cash dining card sales, and all credit and cash sales recorded at the dining locations.

**Current Program Activities/Allowable Expenses:**

Monthly expenditure reimbursements according to authorized purchases made through the Sodexo management contract. This includes day to day food and beverage inventory purchasing as well as facility maintenance and supply needs in order to operate.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

With the task of managing a food service contract, an increase in the expenditure ceiling is a necessity to provide optimum goods and services.

**Variances:**

In FY 2015, revenue decreased from prior year due to the drop in sales for mandatory, voluntary and other meal plans. The expenditure variance was attributed to additional distributions to Campus Center & Student Housing programs in FY 2014. No distribution was made in FY 2015.

In FY 2016, only ten payments were processed to Sodexo compared to twelve for FY 2015.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			8,200,000	8,200,000	8,200,000	8,200,000	8,200,000
Beginning Cash Balance		3,288,209	4,432,921.9	5,316,007	7,372,094	7,872,094	8,372,094
Revenues		11,517,116	10,155,962	10,126,210	10,000,000	10,000,000	10,000,000
Expenditures		10,332,403	9,272,877	8,070,123	9,500,000	9,500,000	9,500,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(40,000)	0	0	0	0	0
Ending Cash Balance	0	4,432,922	5,316,007	7,372,094	7,872,094	8,372,094	8,872,094
Encumbrances		553,922	50	1,695,261	500,000	500,000	500,000
Unencumbered Cash Balance	0	3,879,000	5,315,957	5,676,833	7,372,094	7,872,094	8,372,094

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Student Activities RF (Graduate Student Organization)  
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

GSO is the University Board of Regents chartered organization responsible for graduate student governance on the Mānoa campus. GSO's purpose, as articulated in its constitution, is "(a) to provide representative input on policies affecting UHM graduate students in general or any subgroups thereof; (b) to provide an advisory body for the Dean of the Graduate Division of the University of Hawai'i System; (c) to provide an organization from which graduate student representatives can be selected for recommendation to serve upon campus-wide committees; and (d) to initiate and maintain co-curricular programs that are relevant to UH graduate students". This appropriation also consists of the student affairs division food service.

**Source of Revenues:**

Mandatory student fees, interest income, food service meal plan and declining balance sales

**Current Program Activities/Allowable Expenses:**

The Graduate Student Organization sponsors a Grants & Awards Program that supports graduate student research activities, participates in the orientation of new graduate students, offers social and other networking opportunities, and provides funding for advertising, travel, stipends, and other program-related expenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

The Graduate Student Organization has increased the allocation for their grants and awards program which is approx. two-thirds of their annual expenditures. As such, their expenditure ceiling needs to be increased.

**Variances:**

In FY 2013 & 2014, GSO received funding from the Chancellor's Office and the OVCRGE, therefore spent less from their operating accounts.

In FY 2015, the amount for stipended positions were reduced by 10% from FY 2014 and not all positions were filled throughout the year.

Also, requests for grants and awards decreased in FY 2015 compared to FY 2014.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	79,300	179,300	179,300	179,300	179,300	179,300	179,300
Beginning Cash Balance	69,423	31,996	45,600	67,280	86,479	87,828	87,828
Revenues	122,971	118,054	112,441	106,629	111,434	110,000	110,000
Expenditures	160,398	104,450	90,761	87,430	110,085	110,000	110,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	31,996	45,600	67,280	86,479	87,828	87,828	87,828
Encumbrances	686	0	0	450	0	0	0
Unencumbered Cash Balance	31,310	45,600	67,280	86,029	87,828	87,828	87,828

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Student Activities RF (Student Athletic Fee)  
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Student Athletic Fee Committee is responsible for the planning, implementation and funding of events to encourage students to participate in athletic events and improve campus spirit. The committee also allocates funds to the Manoa Maniacs to provide activities related to athletics.

**Source of Revenues:**

Mandatory student fee of 8% of the Athletic fee from the Athletic Department.

**Current Program Activities/Allowable Expenses:**

Sponsoring athletic related activities for UHM students. Allowable expenses include transportation costs, advertising, rental fees, stipends, food, and other program-related expenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

In FY 2015, SAFC expended approximately \$32,000 on musical instruments for the UH Band which accounted for the variance between FY 2014 & FY 2015. The difference in expenditures from FY 2015 to FY 2016, is due to the amount of participation from RIOs & Athletics with Manoa Maniacs. Every year the collaboration with groups on campus fluctuates based on their availability to consistently meet & work with students to host events.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		129,732	110,794	58,735	137,317	117,317	97,317
Revenues		142,469	138,949	133,213	130,000	130,000	130,000
Expenditures		147,965	176,987	28,381	130,000	130,000	130,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(13,443)	(14,020)	(26,250)	(20,000)	(20,000)	(20,000)
Ending Cash Balance	0	110,793	58,735	137,317	117,317	97,317	77,317
Encumbrances		0	1,343	2,100	0	0	0
Unencumbered Cash Balance	0	110,793	57,392	135,217	117,317	97,317	77,317

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

NOTE: Prior to FY 2014, account balances are with CC-SAPFB. Effective with FY 2014, account balances for the Athletic Fee Committee will be reported separately.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Student Activities RF (Student Activity & Program Fee Board)  
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): W  
 Appropriation Acct. No.: S-351-F

**Intended Purpose:**

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Student Activity and Program Fee Board affords students the opportunity to provide input into the allocation of fees to support a variety of co-curricular programs which benefit students, including but not limited to, intercollegiate athletics, intramurals, university theater, registered student clubs and organizations and University programs.

**Source of Revenues:**

Mandatory student fees, interest income

**Current Program Activities/Allowable Expenses:**

A grant-funding program is conducted twice a year where intercollegiate athletics, intramurals, university theater, registered student clubs and organizations, and University programs can apply for monies to conduct co-curricular programs which contribute to the quality of campus life.

Allowable expenses include personnel costs, general operating expenses, repairs and maintenance, advertising, travel, stipends, food, and other program-related expenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

The board increased student fees in 2011 and since then has made a concerted effort to increase funding to various organizations. As such, their expenditure ceiling is anticipated to be exceeded as organizations make use of their grant funds.

**Variances:**

In FY 2014 the Student Athletic Fee program was broken out and reported separately from the Student Activity and Program Fee resulting in a decrease in both revenues and expenditures as compared to FY 2013.

In FY 2015, Kennedy Theater was appropriated and spent \$85,000 compared to \$120,000 in FY 2014 and overall requests for funding decreased.

In FY 2016, SAPFB increased their funding by approximately 57% which significantly attributed to the increase in expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	108,200	408,200	408,200	408,200	408,200	408,200	408,200
Beginning Cash Balance	747,303	524,991	453,399	484,628	336,255	335,025	333,795
Revenues	580,029	430,915	418,643	404,825	400,000	400,000	400,000
Expenditures	672,609	501,277	386,184	551,969	400,000	400,000	400,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(1,230)	(1,230)	(1,230)	(1,230)	(1,230)	(1,230)
Ending Cash Balance	654,723	453,399	484,628	336,255	335,025	333,795	332,565
Encumbrances	62,810	7,659	1,164	22,189	10,000	10,000	10,000
Unencumbered Cash Balance	591,913	445,740	483,464	314,066	325,025	323,795	322,565

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

NOTE: For FY 2013, account balances include Student Athletic Fee program. Effective with FY 2014, account balances for the Student Athletic Fee program will be reported separately.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Student Activities RF (UPASS Transportation Program)  
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The UPASS program includes both mandatory and opt-in revenues collected for student bus passes according to an established memorandum of agreement between the Department of Transportation Services, the City and County of Honolulu, and the University of Hawai'i at Manoa (UHM). The program is intended to provide affordable public transportation to UHM students and contribute to the reduction of traffic.

**Source of Revenues:**

Revenue is collected from the UPASS mandatory student fees and opt-in payments collected at the SLD Ticket and Information Office.

**Current Program Activities/Allowable Expenses:**

Fall/Spring Mandatory UPASS payments and monthly Opt-in payments to the City and County of Honolulu.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The cost of the UPASS increased for Fall 2015 and Spring 2016 from \$30 to \$40 and expenditures increased relative to the revenue.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Beginning Cash Balance		286,059	371,215	360,324	324,430	324,430	324,430
Revenues		1,220,926	1,172,408	1,416,421	1,700,000	1,700,000	1,700,000
Expenditures		1,135,770	1,183,300	1,452,315	1,700,000	1,700,000	1,700,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	371,215	360,324	324,430	324,430	324,430	324,430
Encumbrances		0	0	0	0	0	0
Unencumbered Cash Balance	0	371,215	360,324	324,430	324,430	324,430	324,430

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Student Activities RF (Lab School)  
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

To support the extra-curricular student activities and services at the Education Laboratory School that cannot otherwise be funded through the State General Fund.

**Source of Revenues:**

Parental contributions of students attending the Education Laboratory School.

**Current Program Activities/Allowable Expenses:**

The Education Laboratory School (ELS) Student Activity accounts are funded solely through parental contributions of students who attend the ELS.

The funds are used by ELS student government and to support student activities that cannot otherwise be funded through the State General Fund.

These include: school activities (dances, proms, awards, yearbook, field trips, graduation, etc.), athletics (uniforms, league fees, awards, etc.) and class activities.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	21,042	35	35	35	0	0	0
Revenues	35	0	0	0	0	0	0
Expenditures	21,042	0	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	(35)	0	0	0
Ending Cash Balance	35	35	35	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	35	35	35	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note: Lab School has become a charter school outside the University. Fund will be closed.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Student Activities RF - National Student Exchange  
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

For FY 2010-2012 (Fall 2011 only): To collect tuition and fees from National Student Exchange consortium students.

Effective Spring 2012: To collect fees from National Student Exchange consortium students. Tuition to be collected through BANNER.

**Source of Revenues:**

FY 2010 - FY 2012 (Fall 2011 only) tuition and student fees. Effective Spring 2012, student fees.

**Current Program Activities/Allowable Expenses:**

Consortium obligations (student placement fees and institutional membership fees, travel expenses for annual placement conference), and direct operating expenses (student assistants, telecom, duplicating, mailing, supplies, etc.).

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

FY15- Start to pay NSE fee in same fiscal year that fees are collected from students to provide a more accurate budget picture, resulting in two payments to National NSE office for student application fees. Unit also required upgrade of computer and replacement of air conditioner.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	22,479	24,234	25,947	18,850	19,383	19,662	19,941
Revenues	20,344	19,663	18,513	11,593	13,500	13,500	13,500
Expenditures	18,589	17,950	25,610	11,060	13,221	13,221	13,221
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	24,234	25,947	18,850	19,383	19,662	19,941	20,220
Encumbrances	173	0	200	0	0	0	0
Unencumbered Cash Balance	24,061	25,947	18,650	19,383	19,662	19,941	20,220

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Housing Assistance RF (repealed)  
 Legal Authority: 304A-2258, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

Intended Purpose:

The UH Housing Assistance RF was repealed pursuant to Act 124, SLH 2011.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(10)	(510)	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	500	(510)	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(510)	0	0	0	0	0	0
Encumbrances	23	23	23	(23)	0	0	0
Unencumbered Cash Balance	(533)	(22)	(23)	23	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Graduate Application RF (Grad Division)  
 Legal Authority: 304A-2260, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, application processing fees shall be deposited into this fund and shall be used to pay the costs of processing applications to all graduate programs.

Received application fees collected from individuals applying for various graduate programs within the University of Hawai'i. Revenues from application fees shall be used to pay for costs of processing applications to all graduate programs.

**Source of Revenues:**

Application fee to graduate programs.

**Current Program Activities/Allowable Expenses:**

Applicants to the Graduate Division's graduate programs are charged a \$100 application fee. The costs of processing applications, cost of applications, and the development of a web-based application process are being funded by these revenues.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

The Graduate Application Revolving fund is requesting an expenditure ceiling increase from \$350,000 to \$600,000.

The expenditure ceiling has remained at \$350,000 since 2008. Because of both rising operational expenses and the decrease in G Fund allocations, the Graduate Application Revolving Fund had to absorb more of the associated costs related to the graduate application process.

We anticipate this trend to continue indefinitely.

**Variances:**

FY13 revenues- The graduate application fee was increased from \$70 to \$100 in Spring 2013, resulting in increase revenues.

FY14 expenditures- Due to rising operating costs and decrease in G fund allocations, expenses related to the application process was absorbed by RF.

FY15 expenditures- Alternate fund sources were available to cover graduate application fee operating costs.

FY17 - FY19 projected expenditures - Projected expenditures are estimated to be lower than prior years due to a shift of personnel expenses back to General Funds.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	350,000	600,000	600,000	600,000	600,000	600,000	600,000
Beginning Cash Balance	253,577	331,054	310,978	301,027	297,204	316,862	336,520
Revenues	473,592	447,258	405,727	410,593	404,000	404,000	404,000
Expenditures	396,115	467,334	415,678	414,416	384,342	384,342	384,342
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	331,054	310,978	301,027	297,204	316,862	336,520	356,178
Encumbrances	117	1,290	2,212	937	0	0	0
Unencumbered Cash Balance	330,938	309,688	298,815	296,267	316,862	336,520	356,178

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Graduate Application RF (Law School)  
 Legal Authority: 304A-2260, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, application processing fees shall be deposited into this fund and shall be used to pay the costs of processing applications to all graduate programs.

To defray costs associated with Law School student services function related to admissions, applications, new student recruitment, etc.

**Source of Revenues:**

Law School fees from processing of applications for admittance to the William S. Richardson School of Law.

**Current Program Activities/Allowable Expenses:**

Expenses related to the processing of Law School applications.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

FY13 - FY14 - FY 15 Expenditures variance due to receiving revenues late in the last quarter and expenditures were made up in FY14 and FY15.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	72,000	72,000	72,000	72,000	72,000	72,000	72,000
Beginning Cash Balance	(8,997)	24,174	23,473	3,718	2,660	2,660	2,660
Revenues	36,911	35,241	32,837	32,424	33,000	33,000	33,000
Expenditures	3,740	35,941	52,592	33,482	33,000	33,000	33,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	24,174	23,473	3,718	2,660	2,660	2,660	2,660
Encumbrances	75	75	75	75	0	0	0
Unencumbered Cash Balance	24,099	23,398	3,643	2,585	2,660	2,660	2,660

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Graduate Application RF (Shidler)  
 Legal Authority: 304A-2260, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): W  
 Appropriation Acct. No.: S-351-F

**Intended Purpose:**

Per statute, application processing fees shall be deposited into this fund and shall be used to pay the costs of processing applications to all graduate programs.

To defray costs associated with Shidler College of Business student services function related to graduate admissions and applications.

**Source of Revenues:**

Graduate Application fees paid by applicants to the Shidler College of Business Graduate Programs and any interest earned.

**Current Program Activities/Allowable Expenses:**

Expenses related to the processing of Shidler Graduate applications such as postage, printing, and processing of applications.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

The variance is due to partial collection in FY2013. Shidler starting graduate fee collection in March 2013. The variance from FY2014 to FY2015 is due to a decrease in applications to programs and the timing of the deadlines. The expenditures spent in FY2014 were high due to not spending in FY2013 and covering costs related to processing applications. The expenditures decreased in FY2015 in order to end with around \$2,500 cash balance. The decrease in expenditures in FY2016 is due to limiting costs for an anticipated lower revenue projection toward the end of the fiscal year.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	14,394	4,228	2,647	6,436	4,943	4,943
Revenues	14,394	33,248	25,590	25,868	20,000	25,000	25,000
Expenditures	0	43,414	27,171	22,080	21,493	25,000	25,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	14,394	4,228	2,647	6,436	4,943	4,943	4,943
Encumbrances	0	1,498	1,441	1,493	0	0	0
Unencumbered Cash Balance	14,394	2,730	1,206	4,943	4,943	4,943	4,943

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: Seed Distribution Program RF  
 Legal Authority: 304A-2263, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, this fund was established to enable the seed distribution program to operate at a level that will adequately meet the demand for seeds (from farmers, homeowners, and seed companies).

**Source of Revenues:**

Revenues are generated from farmers, seed companies, garden shops, educational institutions and homeowners.

**Current Program Activities/Allowable Expenses:**

Current program activities include cultivation and production of vegetables and garden seeds which are sold to the public. Allowable expenses include labor, materials, supplies and equipment that are necessary for the cultivation and production of the various vegetables and garden seeds.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Variance in revenues due to increase in sales of seeds. Increase in expenditures due to hiring of staff as a result of increased seed production/sales.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	68,264	68,264	68,264	68,264	68,264	68,264	68,264
Beginning Cash Balance	2,216	12,648	14,810	9,329	16,692	16,692	16,692
Revenues	54,472	62,027	64,135	54,342	60,000	60,000	60,000
Expenditures	44,040	59,865	69,616	46,979	60,000	60,000	60,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	12,648	14,810	9,329	16,692	16,692	16,692	16,692
Encumbrances	0	0	256	0	0	0	0
Unencumbered Cash Balance	12,648	14,810	9,073	16,692	16,692	16,692	16,692

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UHM Conference Center RF  
 Legal Authority: 304A-2264, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

Intended Purpose:  
 The UHM Conference Center RF was repealed pursuant to Act 124, SLH 2011.  
 Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(137)	(141)	(141)	(84)	(0)	(0)	(0)
Revenues	(0)	(0)	(0)	(0)	0	0	0
Expenditures	57	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	54	0	57	84	0	0	0
Ending Cash Balance	(141)	(141)	(84)	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(141)	(141)	(84)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: Laboratory School Summer Programs RF  
 Legal Authority: 304A-2266, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

Intended Purpose:

The Laboratory School Summer Programs RF was repealed pursuant to Act 124, SLH 2011.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Operations of this program have been moved to an RCUH acct. Currently in the process of closing this acct.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(3)	(3)	(3)	(3)	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	3	0	0	0
Ending Cash Balance	(3)	(3)	(3)	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(3)	(3)	(3)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note: Lab School has become a charter school outside the University. Fund will be closed once <\$3.00> deficit offset this FY.  
 Coordinating w/ GALC re: outstanding A/Rs.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Real Property & Facilities Use RF (CTAHR)  
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

To account for revenue generated from lease/rental of facilities to offset expenses of facilities.

**Source of Revenues:**

Revenue is generated from leases and facilities rentals.

**Current Program Activities/Allowable Expenses:**

Current program activities include land leases, facilities, rentals.

Allowable expenses include materials, supplies and labor necessary to partially maintain off-campus facilities.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Variance in expenditures due to delay in repair and maintenance costs, primarily re-roofing.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	125,000	125,000	125,000	125,000	125,000	125,000	125,000
Beginning Cash Balance	98,388	98,760	103,892	110,590	117,341	124,341	131,341
Revenues	6,724	6,988	6,698	6,751	7,000	7,000	7,000
Expenditures	6,352	1,855	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	98,760	103,892	110,590	117,341	124,341	131,341	138,341
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	98,760	103,892	110,590	117,341	124,341	131,341	138,341

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Real Property & Facilities Use RF (OPF)  
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.  
 Use of facilities for private, non-eleemosynary (non-charitable) organizations and for purposes which are not consistent with the objective of higher education.

**Source of Revenues:**

Various fees are collected for short-term use of facilities: e.g., facility use charges, lease agreements, commercial filming charges and deposits for the use of building keys by contractors.

**Current Program Activities/Allowable Expenses:**

Planned expenditures include the repair/replacement of classroom furnishings as well as supplies and services needed to repair and maintain the campus facilities.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

FY12-13: \$11,700 key deposits incorrectly deposited to 0702 OC, will be corrected; \$24,250 additional fees collected from Verizon for COW lease fees.  
 FY13-14: \$19,000 key deposits incorrectly deposited to 0702 OC, will be corrected; \$6,826 from Nextel not received.  
 FY14-15: The increase in expenditures is due to the hire of a Safety Officer in response to HIOSH findings.  
 FY16-15: Increase in revenues: \$6,445 revenues received for Charlot House usage, \$7,851 received from leases for FY17, increased facilities use.  
 Decrease in expenditures: Planned purchases postponed for evaluation.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	450,000	450,000	450,000	450,000	450,000	450,000	450,000
Beginning Cash Balance	609,248	603,406	553,575	408,796	395,249	268,397	168,903
Revenues	259,704	224,104	206,249	240,814	240,804	248,028	255,468
Expenditures	117,546	125,935	203,028	98,361	211,656	191,522	173,983
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
SW AiM Payment				(156,000)	(156,000)	(156,000)	(164,000)
Net Total Transfers	(148,000)	(148,000)	(148,000)	(156,000)	(156,000)	(156,000)	(164,000)
Ending Cash Balance	603,406	553,575	408,796	395,249	268,397	168,903	86,388
Encumbrances	74,735	298	298	2,621	0	0	0
Unencumbered Cash Balance	528,671	553,277	408,498	392,628	268,397	168,903	86,388

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Real Property & Facilities Use RF (CRCH)  
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): W  
 Appropriation Acct. No.: S-351-F

**Intended Purpose:**

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

**Source of Revenues:**

Various fees are collected for short-term use of facilities

**Current Program Activities/Allowable Expenses:**

Current program activities include land leases, facilities, rentals.

Allowable expenses include materials, supplies and labor necessary to partially maintain off-campus facilities.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	1,901	4,501	7,601
Revenues				2,401	3,400	4,000	4,000
Expenditures				500	800	900	900
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	1,901	4,501	7,601	10,701
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	1,901	4,501	7,601	10,701

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Real Property & Facilities Use RF (SOEST)  
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): W  
 Appropriation Acct. No.: S-351-F

**Intended Purpose:**

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

**Source of Revenues:**

Various fees are collected for short-term use of facilities

**Current Program Activities/Allowable Expenses:**

Current program activities include land leases, facilities, rentals.

Allowable expenses include materials, supplies and labor necessary to partially maintain off-campus facilities.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	41,703	86,805	95,631
Revenues				183,367	145,102	108,826	
Expenditures				141,664	100,000	100,000	95,631
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	41,703	86,805	95,631	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	41,703	86,805	95,631	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: Hawaii Educator Loan Program RF  
 Legal Authority: 304A-2276, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-351-F

**Intended Purpose:**

Per statute, this fund was established for the purpose of providing loans pursuant to section 304A-701, HRS.  
 Tool to recruit college students to become educators and ensure that these graduates teach and remain in the Hawai'i public school system.

**Source of Revenues:**

Funds appropriated by the Legislature. Repayments of loans made by borrowers; interest earned to be used for future loans.  
 NOTE: For FY08, appropriation of \$250,000 (Act 273, SLH 2007) was not released by the Governor.

**Current Program Activities/Allowable Expenses:**

Loans made to eligible students.  
 Purpose of Proposed Ceiling Adjustment (if applicable):

**Variances:**

Revenues vary based on student loan repayments. Should students meet the loan requirements they are not required to repay the loan.  
 In FY16 decrease in student loans issued; increase in student loan payments.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,000	600,000	600,000	600,000	600,000	600,000	600,000
Beginning Cash Balance	8,458	14,741	9,402	6,014	9,267	6,617	3,967
Revenues	5,683	8,661	1,212	6,902	1,000	1,000	1,000
Expenditures	(600)	14,000	4,600	3,650	3,650	3,650	500
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	14,741	9,402	6,014	9,267	6,617	3,967	4,467
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	14,741	9,402	6,014	9,267	6,617	3,967	4,467

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100 and UOH-110  
 Name of Fund: UH Tuition and Fees SF (Manoa Regular Session)  
 Legal Authority: 304A-2153, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F; S-185-F

**Intended Purpose:**

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

**Source of Revenues:**

Revenues are derived from the collection of regular credit tuition and tuition-related course and fee charges.

**Current Program Activities/Allowable Expenses:**

Funds deposited into the fund shall be expended to maintain and improve the University's programs and operations.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

FY15 Expenditure variance is due to campuswide initiative to reduce spending and achieve campus reserve requirements.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	178,422,463	155,989,733	182,989,733	182,989,733	182,989,733	182,989,733	182,989,733
Beginning Cash Balance	63,399,541	22,740,077	(1,538,439)	2,232,069	(29,832,292)	(32,896,326)	(32,500,416)
Revenues	169,254,845	172,472,636	181,270,092	184,424,739	191,540,612	191,540,612	191,540,612
Expenditures	175,552,269	159,502,636	137,903,695	134,450,823	143,441,730	143,441,730	143,441,730
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Act 236 Assessments					(7,842,632)	(4,382,688)	(4,382,688)
					(43,320,284)	(43,320,284)	(43,320,284)
Net Total Transfers	(34,365,166)	(37,236,233)	(39,595,925)	(82,038,276)	(51,162,916)	(47,702,972)	(47,702,972)
Ending Cash Balance	22,736,951	(1,526,156)	2,232,032	(29,832,292)	(32,896,326)	(32,500,416)	(32,104,506)
Encumbrances	9,535,812	9,430,387	10,630,301	9,336,485	9,000,000	9,000,000	9,000,000
Unencumbered Cash Balance	13,201,139	(10,956,543)	(8,398,269)	(39,168,777)	(41,896,326)	(41,500,416)	(41,104,506)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* UH Manoa 304A-2153, HRS has four categories (Regular, Outreach-Summer, Outreach-CCECS and Outreach-Academic Support), with a total Unencumbered Cash Balance of \$332,639. Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/16) and before the close of Fiscal Period 12.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100 and UOH-110  
 Name of Fund: UH Tuition and Fees SF (Manoa Summer Session)  
 Legal Authority: 304A-2153, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

**Source of Revenues:**

Revenues are derived from tuition and fees assessed to students enrolling in summer credit based courses offered through Outreach College.

**Current Program Activities/Allowable Expenses:**

Program develops and offers University credit courses, in cooperation with other University of Hawaii academic departments and outside sponsors, to students from Hawaii and around the world during the summer terms.

Allowable expenses include personnel cost, goods and services associated with the offering of credit based courses through Outreach College.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

FY13-14 Revenue variance is due to a Continuing Ed account incorrectly coded as a Summer Session account. Attribute was corrected for FY14.

FY14 Expenditure variance is due to increases in Utilities and other program expenditures .

FY15 Revenue variance -Variance due to adjustment in account attributes between Summer and CCECS-Credit. FY15 shows 7% increase in revenue, matching increase in tuition fees.

FY15 Expenditure variance - Total expenditures is the sum total of various departments. Some departments had significant increases in expenditure, e.g. Library Services, Natural Sciences. While other departments saw significant decreases e.g. SPAS and VCAA. Increase in Library Services expenditures attributed to Student Success initiatives and improvements.

FY16 Expenditure Variance - Total expenditures is the sum total of various departments. Several departments had significant decreases in expenditures likely due to enforced expenditure restrictions and 5% reserve requirement.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007
Beginning Cash Balance	21,206,047	21,849,312	19,240,116	16,504,766	15,398,115	14,595,876	13,793,637
Revenues	24,296,978	12,142,318	15,054,248	16,066,322	14,773,962	14,773,962	14,773,962
Expenditures	10,932,532	13,211,213	15,471,932	12,989,625	14,076,201	14,076,201	14,076,201
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(12,257,904)	(5,474,888)	(2,317,665)	(4,183,348)	(1,500,000)	(1,500,000)	(1,500,000)
Ending Cash Balance	22,312,589	15,305,529	16,504,766	15,398,115	14,595,876	13,793,637	12,991,398
Encumbrances	849,338	361,823	601,988	124,358	0	0	0
Unencumbered Cash Balance	21,463,251	14,943,707	15,902,778	15,273,757	14,595,876	13,793,637	12,991,398

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* UH Manoa 304A-2153, HRS has four categories (Regular, Outreach-Summer, Outreach-CCECS and Outreach-Academic Support), with a total Unencumbered Cash Balance of \$332,639. Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/16) and before the close of Fiscal Period 12.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100 and UOH-110  
 Name of Fund: UH Tuition and Fees SF (Outreach CCECS-Credit)  
 Legal Authority: 304A-2153, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-306-F

**Intended Purpose:**

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

**Source of Revenues:**

Revenues are derived from tuition and fees assessed to students enrolling in credit based courses offered through Outreach College, including Extension terms, Evening and Weekend and Off-island credit course offerings.

**Current Program Activities/Allowable Expenses:**

Program develops and offers University credit courses, in cooperation with other University of Hawaii academic departments and outside sponsors, to non-traditional students on Oahu and the neighbor islands (Outreach) during evening/weekend terms. Activities include developing and implementing distance education programs.

Allowable expenses include personnel cost, goods and services associated with the offering of credit based courses through Outreach College.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

FY13 Revenue variance is due to a Continuing Ed account incorrectly coded as a Summer Session account. Attribute was corrected for FY14. Also, Service Fee revenue was incorrectly recorded as negative revenue, erroneously reducing the FY13 revenue total. Corrections were processed in FY14, resulting in an inflated revenue amount for FY14.

FY14 Expenditure variance due to incorrect recording of Service Fees as a negative expenditure in FY13, understating the total expenditures for the fiscal year. Corrections were processed in FY14, resulting in an inflated expenditure amount for the fiscal year. There was also an overall increase in expenditures in non-Outreach College departments.

FY15 Revenue Variance - Variance due to adjustment in account attributes between Summer and CCECS-Credit. Overall increase less than tuition increase due to lower enrollment for AY1415.

FY15 Expenditure Variance - Total expenditures is the sum total of various departments and variance from FY14 to FY15 is not attributed to a single factor. Some departments had significant increases in expenditure, e.g. Natural Sciences and Arts & Humanities while other departments had significant decreases e.g. Social Science. Overall decrease in expenditure likely due to FY15 budget restrictions.

FY16 Expenditure Variance - Total expenditures is the sum total of various departments. Several departments had significant decreases in expenditures likely due to enforced expenditure restrictions and 5% reserve requirement.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007
Beginning Cash Balance	19,814,611	24,017,206	21,556,095	21,993,326	26,894,120	27,300,449	27,706,778
Revenues	5,119,152	21,957,747	19,633,734	21,435,946	17,885,633	17,885,633	17,885,633
Expenditures	11,955,771	23,431,550	19,053,818	16,300,140	14,956,850	14,956,850	14,956,850
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	11,039,214	2,948,622	(142,685)	(235,012)	(2,522,454)	(2,522,454)	(2,522,454)
Ending Cash Balance	24,017,206	25,492,025	21,993,326	26,894,120	27,300,449	27,706,778	28,113,107
Encumbrances	404,545	101,866	102,454	129,477	0	0	0
Unencumbered Cash Balance	23,612,661	25,390,159	21,890,872	26,764,643	27,300,449	27,706,778	28,113,107

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* UH Manoa 304A-2153, HRS has four categories (Regular, Outreach-Summer, Outreach-CCECS and Outreach-Academic Support), with a total Unencumbered Cash Balance of \$332,661. Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/16) and before the close of Fiscal Period 12.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
UH Tuition & Fee SF (Outreach College  
 Name of Fund: Summer Session (Acad Support))  
 Legal Authority 304A-2153, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

**Source of Revenues:**

Academic support does not generate revenues. When revenues appear under this fund it is usually because we post reimbursement of current year expenses (a revenue subcode) instead of posting a reversal of expense (i.e., REX).

**Current Program Activities/Allowable Expenses:**

Providing administrative support for programs and activities of the Outreach College. Administrative services currently provide all fiscal and personnel services, student services, marketing and computer services. Allowable expenses include personnel costs, goods and services associated with providing support to Outreach.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

FY15 Variance due to creation of new BPID 1403 for Outreach College Academic Support under legal authority 304A-2153. No prior year to compare. 4% increase from FY14 total expenditures for Academic Support under legal authority 304A-2156. No significant variance.

FY16 Revenue Variance : Revenue collected in FY15 was for one time reimbursement for prior year expenditure. Revenue is not normally collected in the academic support accounts.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			2,801,615	2,801,615	2,801,615	2,801,615	2,801,615
Beginning Cash Balance		0	1,413	(2,365,754)	(2,522,454)	0	0
Revenues			1,859	0	0	0	0
Expenditures			2,366,526	2,506,765	2,460,900	2,460,900	2,460,900
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	(2,500)	2,350,066	4,983,354	2,460,900	2,460,900
Ending Cash Balance	0	0	(2,365,754)	(2,522,454)	0	0	0
Encumbrances			180,500	14,531			
Unencumbered Cash Balance	0	0	(2,546,254)	(2,536,985)	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* UH Manoa 304A-2153, HRS has four categories (Regular, Outreach-Summer, Outreach-CCECS and Outreach-Academic Support), with a total Unencumbered Cash Balance of \$332,639. Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/16) and before the close of Fiscal Period 12.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: Library Special Fund  
 Legal Authority: 304A-2155,HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, all fines, fees and other revenue derived from the UH libraries' operations shall be deposited in this fund, to be used to support and improve the services provided by the libraries.

To provide photocopying services of library books and journals; access to printing from library databases; interlibrary loans; document delivery services; preservation services and other library services to library users, faculty, researchers, and other educational institutions. To replace and repair lost, stolen, and damaged library materials.

**Source of Revenues:**

Revenues from library fines and service fees; document delivery fees; preservation fees; coin-op/debit card operated copy machines; printers; library system cost recovery service fees.

**Current Program Activities/Allowable Expenses:**

Provides photocopying; document delivery and preservation services from the Library External Services Program; and other library services to library users, faculty, researchers, systemwide libraries, and other educational institutions.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

Revenue: A large drop in the revenue for FY2017 is anticipated with the change from the library's internal debit card system for copying and printing, to use of the OneCard system which is UH Manoa campus. All balances on the debit cards would have to be refunded.

Expenditures: Expenses on the Voyager system will remain high for FY2017 to reduce the balance in that account. As that account was designed to \$0 each year and expenses were not taken from there in previous years, effort is being made to clear this now.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,094,534	1,094,534	1,094,534	1,094,534	1,094,534	1,094,534	1,094,534
Beginning Cash Balance	135,809	501,477	647,276.61	361,971	413,370	120,857	60,857
Revenues	546,777	620,958	503,745	659,265	322,700	390,000	385,000
Expenditures	141,940	438,034	789,051	542,300	569,500	450,000	400,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
2220682 GoPrint rev to ITS					(45,713)		
Net Total Transfers	(39,169)	(37,124)	(0)	(65,566)	(45,713)	0	0
Ending Cash Balance	501,477	647,277	361,971	413,370	120,857	60,857	45,857
Encumbrances	140,893	121,819	86,927	73,752	33,000	20,000	15,000
Unencumbered Cash Balance	360,584	525,457	275,043	339,618	87,857	40,857	30,857

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Community Services SF (Theatre Group)  
 Legal Authority: 304A-2156, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-306-F

**Intended Purpose:**

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

The Theatre Group fund includes the special fund activities for both the Dept. of Theatre and the Dept. of Music. Box office receipts are used to support production expenses, student assistant support, and fund a reserve for future production start-up costs.

**Source of Revenues:**

Revenues come from box office receipts from the sale of theatre tickets, performances, music performances, and festivals.

**Current Program Activities/Allowable Expenses:**

Kennedy Theatre Mainstage, Prime Time, Kennedy Theatre Special Events, Late Night Theatre Performances, Music Concerts, Recitals and Festivals.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

**Theatre & Dance (Kennedy Theatre):**

Theatre production expenses vary widely depending on a large number of factors including design, materials available for recycling, special equipment needed, and the demands of the play or musical. In 2013, our student designers made a concerted effort to use recycled materials from our shops. We also used our SAPFB account to cover more production expenses that year. Other major production expenses include labor, which also varies widely from year to year depending on the difficulty of the build and the needs of that season. Special production expenses for 2014 also included honorariums for guest artists. For 2014-15, the difference was mainly due to our first Hawaiian Theatre production, Lā'ieikawai. The box office receipts were much higher than anticipated, and our expenses for that production were largely covered by our Asian Theatre fund as well as external grants, so we did not need to use our production fund. In 2015-16, we were back to a more "normal" year in terms of overall expenditures and income.

**Music Dept Activity:**

The amount of revenue will depend on the number of performances scheduled and how many tickets are sold for that event. This will vary every year. Expenses for our performances are for student help, printing of tickets, posters, program and for some performers, 70% of their tickets sales will be paid to them. Most of our venue rentals come from high school auditoriums, but some years, we might have performances at the Blaisdell, which will be a big rental expense.

**Band Activity:**

The amount of revenue will depend on the amount of bands participating in the Rainbow Invitational marching Band Festival and also how many tickets each band sells. It will also depend on the amount of judges we bring in as well as where they are from because of the airfare cost and the amount of days they will be here in Oahu.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	260,000	260,000	260,000	260,000	260,000	260,000	260,000
Beginning Cash Balance	180,738	209,068	191,726.35	252,090	238,434	198,934	219,434
Revenues	163,065	159,849	189,326	141,138	116,000	166,500	141,500
Expenditures	134,735	177,190	128,963	154,794	155,500	146,000	136,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	209,068	191,726	252,090	238,434	198,934	219,434	224,934
Encumbrances	9,333	3,957	5,035	0	0	0	0
Unencumbered Cash Balance	199,735	187,770	247,055	238,434	198,934	219,434	224,934

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
UH Community Services SF (Agricultural  
 Name of Fund: Diagnostic Service Center)  
 Legal Authority 304A-2156, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.  
 To cover the cost of materials, supplies, student helpers, and other operating expenses necessary to provide agricultural diagnostic services to the public.

**Source of Revenues:**

Revenues are generated by the collection of fees charged for the analysis of soil, water, plant tissue, disease, insects and pests, and feed and forage for State, Federal, and County agencies, agribusinesses, farmers and homeowners.

**Current Program Activities/Allowable Expenses:**

Activities include sample analysis of soil, water, plant tissue, diseases, insects and pests, and feed and forage. Allowable expenses include materials, supplies, technicians, student assistants and other operational expenses that are necessary to provide the various analyses requested.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Variances in revenue and expenditures due to fluctuations in amount of services provided to customers and the types of analysis done by the facility. Although the number of analysis increased (increased revenue), the types of analysis did not require much technical man-hours (lower expenditures).

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	358,242	358,242	358,242	358,242	358,242	358,242	358,242
Beginning Cash Balance	82,485	41,195	33,792	20,794	22,484	22,484	22,484
Revenues	161,143	169,590	170,620	146,252	146,000	146,000	146,000
Expenditures	202,433	176,993	183,618	144,562	146,000	146,000	146,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	41,195	33,792	20,794	22,484	22,484	22,484	22,484
Encumbrances	854	151	2,801	396	0	0	0
Unencumbered Cash Balance	40,340	33,641	17,993	22,088	22,484	22,484	22,484

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Community Services SF (LLL HELP)  
 Legal Authority: 304A-2156, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

The Hawai'i English Language Program provides full-time intensive English language training for international students and scholars preparing to begin undergraduate or studies, or for use in a scholarly professional setting.

**Source of Revenues:**

Fees collected from students.

**Current Program Activities/Allowable Expenses:**

HELP offers English courses in four eight-week sessions and two six-week summer sessions. HELP also offers weekly workshops and study sessions, and offers TOEFL exams each quarter. Also authorized to issue I-20 AB forms for applicants.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

There is a significant increase in revenue from FY 2015 to FY 2016 due to increased enrollment due to a new agreement with Yasuda Women's University. This agreement guarantees enrollment of Yasuda Women's University students for a 6 month period (every February to July) in the HELP Program. Tuition is \$4720 per student for this 6-month period and covers tuition and books. Enrollment in the inaugural year was 73. Looking forward, it will increase to 84 in FY 2017.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	700,942	1,104,000	1,269,600	1,269,600	1,269,600	1,269,600	1,269,600
Beginning Cash Balance	387,700	463,276	509,460	869,368	1,303,502	1,672,002	1,893,102
Revenues	1,033,245	847,015	1,172,185	1,422,372	1,474,000	1,474,000	1,474,000
Expenditures	957,672	800,831	812,276	988,238	1,105,500	1,252,900	1,252,900
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	463,274	509,460	869,368	1,303,502	1,672,002	1,893,102	2,114,202
Encumbrances	0	1,536	530	11,802	0	0	0
Unencumbered Cash Balance	463,274	507,923	868,839	1,291,700	1,672,002	1,893,102	2,114,202

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Community Services SF (LLL Special Account)  
 Legal Authority: 304A-2156, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

To enable the College to provide telecommunications support to UH systems and agencies of the State of Hawai'i using our technical resources and language expertise.

**Source of Revenues:**

Usage of facilities and equipment.

**Current Program Activities/Allowable Expenses:**

Satellite uplinks and downlinks, high quality recording of radio and television programs, language tapes and facilities rental.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The need for use of rooms and computer labs and corresponding revenues vary year to year depending upon whether conferences/workshops/classes are held on campus which require certain IT needs. For FY 2016 expenditures decreased significantly because earlier years saw a big increase due to renovation projects done to upgrade the facilities. The improvements include new furniture, painting and soundproofing rooms, and computer upgrades/replacement. Normally the expenditures are relatively low since the annual upkeep of the facilities is minimal. However, every five years or so, large expenditures occur in order to keep the facilities current and up-to-date.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	50,000	50,000	50,000	50,000	50,000	50,000	50,000
Beginning Cash Balance	59,043	52,243	24,400	33,889	44,965	49,965	54,965
Revenues	10,721	9,522	10,888	11,218	10,000	10,000	10,000
Expenditures	17,521	37,365	1,399	141	5,000	5,000	5,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	52,243	24,400	33,889	44,965	49,965	54,965	59,965
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	52,243	24,400	33,889	44,965	49,965	54,965	59,965

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
UH Community Services SF (Outreach College  
 Name of Fund: Summer Session - Acad Support)  
 Legal Authority 304A-2156, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

To account for expenses generated by administrative support services for Outreach College.

**Source of Revenues:**

Academic support does not generate revenues. When revenues appear under this fund it is usually because we post reimbursement of current year expenses (a revenue subcode) instead of posting a reversal of expense (i.e., REX).

**Current Program Activities/Allowable Expenses:**

Providing administrative support for programs and activities of the Outreach College. Administrative services currently provide all fiscal and personnel services, student services, marketing and computer services. Allowable expenses include personnel costs, goods and services associated with providing support to Outreach.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Revenue Variance : Increase mainly due to Reimbursement (0825) to Marketing account 2238822. Applicable to both Fiscal Years.

Expenditure Variance : Variance due to overall increase to expenditures.

FY15 Expenditure variance due to transfer of accounts from legal authority 304A-2156 to legal authority 304A-2153 effective FY15. Expenditures recorded under new account effective FY15. 4% increase in expenditures for Academic Support, no significant variance.

**Transfers:**

Account 2242072 - \$1,439,487.15 - transfer out - consolidate cash balances for Outreach Admin Support accounts, balance as of FYE 14

Account 2242072 - \$1,962,383.33 - transfer out- consolidate cash balances for Outreach Admin Support accounts, balances as FYE 13

Account 2300211 - \$1,962,383,33 - transfer in - consolidate cash balances for Outreach Admin Support accounts, balance as of FYE 13

FY16 Variance: Expenditure total includes BPID other than Outreach College 1230. Effective FY15 accounts were moved from legal authority 304A-2156 and new accounts were established 304A-2153. No new Outreach College expenditures or revenues are expected for FY17. Majority of revenue was collected under BPID 001358-SOC SCI OUTREACH ADMIN - NON-CREDIT.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,451,615	2,451,615					
Beginning Cash Balance	(1,830,985)	(3,850,735)	(6,071,894)	(5,889,016)	(5,874,814)	(5,874,814)	(5,874,814)
Revenues	9,202	12,155	22,504	6,686	0	0	0
Expenditures	2,004,185	2,273,841	70,139	98,985	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(24,767)	40,596	230,512	106,502	0	0	0
Ending Cash Balance	(3,850,735)	(6,071,825)	(5,889,016)	(5,874,814)	(5,874,814)	(5,874,814)	(5,874,814)
Encumbrances	17,229	458,853	1,486	1,550	0	0	0
Unencumbered Cash Balance	(3,867,964)	(6,530,678)	(5,890,502)	(5,876,364)	(5,874,814)	(5,874,814)	(5,874,814)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
UH Community Services SF (Outreach College  
 Name of Fund: Summer Session and CCECS - Non-Credit)  
 Legal Authority 304A-2156, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

To account for revenues and expenses generated from noncredit programs, public events and the International Programs.

**Source of Revenues:**

Revenues are earned through course fees charged for Non-Credit Programs, International Programs, and through ticket sales for Community Program artistic performances.

**Current Program Activities/Allowable Expenses:**

Programs develop and offer University noncredit courses and programs, sometimes in cooperation with outside sponsors. Community Programs includes performances and public events held for the benefit of the community beyond the University. Noncredit programs develops noncredit courses in subject areas that range from professional development to personal enrichment. International programs assist international students in developing their English language skills and assists those students who wish to apply to the University by ensuring that the student meets the University's academic requirements prior to entrance. Allowable expenses include personnel costs, good and services associated with the offering of courses and artistic performances.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Expenditure Variance : Variance due to overall increase to expenditures.

FY 2016 Revenue Variance : Variance due to overall decrease in Professional Program and International Program enrollment.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,100,161	5,100,161	5,100,161	5,100,161	5,100,161	5,100,161	5,100,161
Beginning Cash Balance	(767,428)	(570,688)	(577,594)	(742,224)	(1,227,370)	(1,049,811)	(870,024)
Revenues	2,626,969	2,767,650	2,906,457	2,500,698	3,013,087	3,013,087	3,013,087
Expenditures	2,389,605	2,734,697	2,827,026	2,879,813	2,833,300	2,833,300	2,833,300
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(40,624)	(39,859)	(244,061)	(106,031)	(2,228)	0	0
Ending Cash Balance	(570,688)	(577,594)	(742,224)	(1,227,370)	(1,049,811)	(870,024)	(690,237)
Encumbrances	108,357	183,713	216,749	189,314	0	0	0
Unencumbered Cash Balance	(679,045)	(761,307)	(958,973)	(1,416,684)	(1,049,811)	(870,024)	(690,237)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Auxiliary Enterprises SF - Art  
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-306-F

**Intended Purpose:**

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

The Art Auxiliary Enterprise Fund includes the special funds activities for all areas in the Dept. of Art & Art History. Proceeds are used to support the Art Gallery operations and other department activities.

**Source of Revenues:**

Proceeds are collected from the sale of art, art gallery catalogues, and other related activities. Student art supplies fees collected are now part of the regular tuition schedule, so revenue projections are considerably lower.

**Current Program Activities/Allowable Expenses:**

Art Gallery Exhibitions, Art Department Sales.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Revenues have gone down since the Gallery hasn't had a traveling exhibit to generate rental income nor catalogs for exhibits the last couple of years or so. The Gallery director started two years ago and we had casual hires after the previous Director was terminated. Since they haven't done catalogs for a while, the only sales would be for older catalogs which is why there aren't many sales. This is the only account of the three that would have revenue since we have lab fees now which go into another account.

Majority of the expenditure variances occur within the Art Gallery. Exhibitions and programming costs vary a lot based on the scope of each project. Factors that contribute to variances include printing, travel and accommodations for visiting artists/scholars.

For FY2013: Exhibits were scheduled in the interim when the Gallery Director position was vacant so weren't as complicated to set up. For the Video Choreo exhibit, it was videos so there were no shipping costs and we already had the equipment to show the videos. For the Framing Paradise exhibit, it involved all local work so there were no shipping costs. We also received other funding (Center for Japanese Studies, SFCA) and used more UHF funds. For Phoebe Cumming's exhibit, she created the work in the exhibit here so there were no shipping costs.

For FY2014: For the Convergence exhibit, the artist was invited to come so there were travel costs. For the Yuan exhibit, the artist was invited to come so there were travel costs. Supplies for her exhibit had to be purchased but no shipping costs were incurred.

For FY2015: For the RE/Charting exhibit, some supplies were purchased and there were shipping costs to return exhibit items. The incoming shipping was done at the end of the previous fiscal year. For the Bindings exhibit, there were shipping and crating costs since artwork from several artists were brought in and returned. The exhibit supplies costs were higher mostly due to the complicated vinyl signage required for the exhibit.

For FY2016: The revenues were higher since they received more orders for old catalogs. They also had a catalog for the Fall New NY exhibit. The expenses were lower since they received other funding for the New NY exhibit.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	190,000	190,000	190,000	190,000	190,000	190,000	190,000
Beginning Cash Balance	63,522	53,051	25,195	12,117	7,743	6,843	6,343
Revenues	3,556	2,569	55	691	600	1,000	1,000
Expenditures	14,027	30,424	13,133	5,065	1,500	1,500	1,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	53,051	25,195	12,117	7,743	6,843	6,343	5,843
Encumbrances	1,568	1,568	1,568	0	0	0	0
Unencumbered Cash Balance	51,483	23,627	10,549	7,743	6,843	6,343	5,843
Additional Information:							
Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Auxiliary Enterprises SF (Auxiliary Services Admin)  
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-306-F

**Intended Purpose:**

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Responsible for administering various Auxiliary Services programs and to implement the UH Faculty Housing Assistance Master Plan by providing financial assistance loans and rental housing units to faculty and staff of UH.

**Source of Revenues:**

Revenue is derived from overhead assessment, rental and parking fees, laundry commissions, and interest earned.

**Current Program Activities/Allowable Expenses:**

The rental housing program consists of 29 condominiums at the Kauhokahaloa Iki (K-Iki) housing project. Funds are also expended to provide housing assistance loans to newly and recently appointed eligible personnel. Other expenses include payroll and operating expenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

Increase in expenditures from FY13 to FY14 due to higher payroll and utilities.

Decrease in expenditures from FY14 to FY15 due to over estimate of overhead assessment calculation

Increase in expenditures from FY15 to FY16 due to a decrease in overhead assessment

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,782,914	1,782,914	1,782,914	1,782,914	1,782,914	1,782,914	1,782,914
Beginning Cash Balance	4,353,004	4,740,298	5,045,906	5,475,714	5,731,801	6,115,257	6,463,071
Revenues	630,962	640,448	650,973	676,868	706,415	729,924	753,783
Expenditures	243,688	334,841	221,164	423,176	322,960	382,110	320,312
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	20	0	0	2,396	0	0	0
Ending Cash Balance	4,740,298	5,045,906	5,475,714	5,731,801	6,115,257	6,463,071	6,896,542
Encumbrances	7,098	7,242	28,179	12,407	0	0	0
Unencumbered Cash Balance	4,733,200	5,038,664	5,447,535	5,719,394	6,115,257	6,463,071	6,896,542

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* Expenditures in projected years include repair and replacement projects charged to accounts which do not count against the expenditure ceiling.

Projected R&R Costs by FY: 34,562 0 76,000 7,000

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Auxiliary Enterprises SF (Transportation Services)  
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-306-F

**Intended Purpose:**

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Responsible for the purchasing and maintenance of motor vehicles, and various motor vehicle services for official use by University personnel.

**Source of Revenues:**

Revenue is derived from vehicle rentals and leases, operating gas pumps, vehicle repairs and maintenance.

**Current Program Activities/Allowable Expenses:**

Payroll, gas, oil and supplies for vehicle repairs and maintenance.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Increase in expenditures from FY13 to FY14 due to increase in vehicle replacement purchases, payroll, supplies, and Fleet Svcs office building renovations.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,544,334	2,544,337	2,544,337	2,544,337	2,544,337	2,544,337	2,544,337
Beginning Cash Balance	1,695,435	1,745,499	1,530,260	1,225,394	990,836	826,281	741,533
Revenues	1,193,781	1,137,558	1,145,987	1,100,563	1,210,620	1,331,682	1,464,850
Expenditures	1,143,718	1,352,797	1,452,852	1,335,121	1,375,175	1,416,430	1,458,923
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	2,000	0	0	0	0
Ending Cash Balance	1,745,499	1,530,260	1,225,394	990,836	826,281	741,533	747,460
Encumbrances	204,736	495,075	585,433	356,973	0	0	0
Unencumbered Cash Balance	1,540,763	1,035,185	639,961	633,863	826,281	741,533	747,460

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Auxiliary Enterprises SF (Lab School Cafeteria)  
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-306-F

**Intended Purpose:**

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

To support the operations of the Education Laboratory School Cafeteria. The primary objective of the cafeteria is to provide governmental standard lunches and breakfasts to students at the school, a service which is universal in public schools in Hawai'i and across the nation.

**Source of Revenues:**

Cafeteria sales, federal reimbursements.

**Current Program Activities/Allowable Expenses:**

The Education Laboratory School is a special state public school (charter school) providing a test base for research activities of the Curriculum Research and Development Group. Under HRS 303-26, the Education Laboratory School is included in the State's national School Lunch program in the same manner as any other public school.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	10,544	13	13	13	13	0	0
Revenues	13	0	0	0	0	0	0
Expenditures	10,545	0	0	0	13	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	13	13	13	13	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	13	13	13	13	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note: Lab School has become a charter school outside the University. Fund was closed at the end of FY 2012.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
UH Auxiliary Enterprises SF (Animal and  
 Name of Fund: Veterinary Services)  
 Legal Authority 304A-2157, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

To support the Manoa and Kaka'ako animal facilities.

**Source of Revenues:**

Revenues are from biomedical and behavioral research contracts and grants. Researchers who house their animals at AVSP pay a per diem for each animal that the staff cares for, and for any technical or veterinary services provided by AVSP.

**Current Program Activities/Allowable Expenses:**

Any expenses necessary to provide animal housing and husbandry for biomedical research and the system-wide administration and oversight for the care and use of vertebrate animals at UH.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

For FY13-FY14, the average daily cage counts dropped by 562 resulting in decreased revenues.

For FY14-FY15, the average daily cage counts dropped by 916 resulting in decreased revenues.

For FY14-FY15, moved \$188,858 in expenditures to RTRF to make up for projected shortfall.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,310,000	1,310,000	2,200,000				
Beginning Cash Balance	(142,922)	(53,657)	(184,467)	(1,399)	72,469	72,646	72,832
Revenues	1,285,039	1,042,088	875,712	836,990	1,052,000	1,104,600	1,157,200
Expenditures	1,195,773	1,172,898	692,644	763,123	1,051,823	1,104,414	1,157,005
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(53,657)	(184,467)	(1,399)	72,469	72,646	72,832	73,027
Encumbrances	46,687	33,745	21,604	33,824	0	0	0
Unencumbered Cash Balance	(100,344)	(218,212)	(23,003)	38,645	72,646	72,832	73,027

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Auxiliary Enterprises SF (Dental Hygiene Clinic -Nrsng)  
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Augments department's instructional allocation to operate the Dental Hygiene clinic.

**Source of Revenues:**

\$25.00 Patient Fee assessed for Dental Hygiene services. Dental Hygiene received \$24.50 and \$0.50 is paid to the Campus Center for handling cash collection of fee and depositing into special fund.

**Current Program Activities/Allowable Expenses:**

Primary purpose for FY 14-15 funds ONLY: Pay for DH Lecturer costs per agreement w/ Dean for support 1) to hire replacement permanent faculty (Dr. Fong) ; 2) to advertise for replacement of retiring permanent instructional faculty (Dr. Ebert) and 3) to hire permanent faculty in Fall 2015.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

FY 14-15 ONLY -For DH Lecturers salary & FB costs.

FY 2016, 2017, 2018 - Return to incremental equipment replacement plan. Major equipment, magnaclave, vacuum pumps, will need incremental replacement over the coming fiscal years.

**Variances:**

Majority of DH Clinic equipment is over 10 years old, additional funds needed to held for emergency replacement funds in order to continue DH clinic operations. Difference between FY 2013 and FY 2014 expenditures are due to hiring of full time lecturers for Fall and Spring of FY 2014 as temporary replacements for retiring faculty to work in the Dental Hygiene Clinic and to assist with coverage for the Dental Hygiene Clinic as program prepares for accreditation in FY 2016.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000	90,822	30,000	30,000	30,000	30,000	30,000
Beginning Cash Balance	71,303	97,280	50,782	3,063	12,968	13,651	14,151
Revenues	42,815	44,324	42,464	46,040	40,000	40,000	40,000
Expenditures	16,838	90,822	90,184	36,135	39,317	39,500	39,500
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	97,280	50,782	3,063	12,968	13,651	14,151	14,651
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	97,280	50,782	3,063	12,968	13,651	14,151	14,651

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
UH Auxiliary Enterprises SF (Center for Student  
 Name of Fund: Development - OSA)  
 Legal Authority 304A-2157, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Provide means to recover costs for providing educational and vocational counseling and testing services to students and other members of the community.

**Source of Revenues:**

Various testing fees.

**Current Program Activities/Allowable Expenses:**

Administers various tests such as interest tests and national standardized tests.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

FY2014, graduate assistant resigned 6/30/13 and no replacement hired so payroll costs significantly reduced in comparison to FY2013.

FY2015, more tests were administered than anticipated generating more revenue. Testing companies provided revenues to UH for proctoring services.

FY2015, with more tests administered, required to purchase more testing materials. UH now responsible for paying individuals for proctoring testing hours.

FY2016, revenues decreased because less tests were administered due to a decline in computer based testing and class testing (career component of Business 315 is no longer mandatory). Expenditures increased due to MBTI training and replacement of 6 computers in the lab.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	130,000	130,000	130,000	130,000	130,000	130,000	130,000
Beginning Cash Balance	139,318	144,274	173,659	199,882	195,372	196,372	197,372
Revenues	68,552	62,704	80,400	61,069	61,000	61,000	61,000
Expenditures	63,595	33,319	54,177	65,578	60,000	60,000	60,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	144,274	173,659	199,882	195,372	196,372	197,372	198,372
Encumbrances	2,349	294	1,184	3	0	0	0
Unencumbered Cash Balance	141,925	173,365	198,698	195,369	196,372	197,372	198,372

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Auxiliary Enterprises SF (Manoa Career Center)  
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Provide the service of creating and maintaining credential files for classified students and alumni of UHM.

This service is used in support of student/alumni efforts to secure employment, gain admission to graduate or professional schools.

**Source of Revenues:**

User fees

**Current Program Activities/Allowable Expenses:**

Credential files are used in support of efforts to secure teaching and other academically-related employment, applying for admission to graduate or post baccalaureate professional schools and applications for fellowships, grants and other awards. A credential file is a collection of original letters/documents of appraisal solicited by the student or alumnus from professors and others who can provide a professional evaluation or recommendation of the student's performance potential.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The variance of \$153 in revenue was due to fewer students requesting for credential file.

Expenditure variance due to non-recurring expenditure of supporting travel for two faculty to attend a College & Employers conference.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	33,853	34,983	34,210	31,296	32,071	32,171	32,271
Revenues	1,131	894	741	878	800	800	800
Expenditures	0	1,668	3,656	102	700	700	700
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	34,983	34,210	31,296	32,071	32,171	32,271	32,371
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	34,983	34,210	31,296	32,071	32,171	32,271	32,371

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Auxiliary Enterprises SF (Psy Clinic Fees)  
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-306-F

**Intended Purpose:**

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Deposit all revenues to support clinic-related and administrative activities.

**Source of Revenues:**

Clinic Fees

**Current Program Activities/Allowable Expenses:**

Funds deposited into the fund shall be expended to maintain and support clinic activities. Includes office equipment, supplies, testing equipment, treatment manuals, stipends/honorarium for grad students working in the clinic, clinic-related research activities, other admin costs, student travel and related expenses to conferences or workshops.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

FY 14 revenues declined due to a reduced number of clients served from previous year. FY 14 expenditures increased significantly due to late start up of clinic activities.

FY 15 revenues declined due to a reduced number of clients served from previous year. FY 15 expenditures decreased due to college-wide implementation of reduced expenditures.

FY 16 revenues declined significantly due to lower student training needs for the type of assessment and treatment services provided and charged to clients. We anticipate significant year-to-year variance given change in student training needs.

FY 16 expenditures declined due to lower demand for student research-related support due to student success at securing research related funds from other sources. We anticipate significant year-to-year variance given change in student research support needs and varying availability of other funding sources.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	20,000	20,000	20,000	20,000	20,000
Beginning Cash Balance	0	5,600	4,049	3,672	2,692	2,192	1,692
Revenues	5,600	4,395	2,320	290	3,000	3,000	3,000
Expenditures	0	5,947	2,696	1,270	3,500	3,500	3,500
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,600	4,048	3,672	2,692	2,192	1,692	1,192
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	5,600	4,048	3,672	2,692	2,192	1,692	1,192

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Auxiliary Enterprises SF (UHM VCAA)  
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

To cover costs associated with providing immigration and federal compliance services for entrepreneurial and non-credit training programs.

**Source of Revenues:**

Administrative fees assessed for immigration services for entrepreneurial and non-credit training programs.

**Current Program Activities/Allowable Expenses:**

Direct expenses incurred in providing immigration and federal compliance services.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

FY13 expenditure- HGEA salary savings returned to unit for operating expenses. Therefore, no expenses were incurred on SF.

FY14 revenue - Increase in number of program participants requiring immigration services resulted in increase revenue.

FY14 expenditure- HGEA salary savings not available resulting in no additional funds. Therefore, expenses for visa related costs were incurred on SF.

FY15 expenditure- \$2578 visa related travel expenses incurred in June were paid in July due to fiscal year end deadlines.

FY17 - FY19 projected expenditures -- expenditures are expected to be greater than previous years due to additional travel expenses for Annual NASFA conference and visa processing fees for employees.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	20,194	28,646	32,722	39,213	46,012	49,312	52,612
Revenues	8,452	13,144	13,769	13,500	13,700	13,700	13,700
Expenditures	0	9,069	7,277	6,701	10,400	10,400	10,400
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	28,646	32,722	39,213	46,012	49,312	52,612	55,912
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	28,646	32,722	39,213	46,012	49,312	52,612	55,912

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
UH Scholarship & Assistance SF (UHM Athletics  
 Name of Fund: Tuition Scholarship)  
 Legal Authority 304A-2159, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii. To cover the cost of Mānoa Athletics Department scholarship expenses for student-athletes. Funds were initially allocated through the Star program, but were unable to be processed through the Star program. The Star program allocation for Manoa Athletics was decreased by \$1,104,874 and the same amount was transferred to Manoa Athletics. This was a one time transfer.

**Source of Revenues:**

Transfer from Mānoa Chancellor's Office through a decrease in the Star program.

**Current Program Activities/Allowable Expenses:**

Scholarship expenses for Mānoa Athletics student-athletes.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The expenditures and transfers in 2014 were a one time expense/transfer due to the change in accounting for non-resident student-athletes. There should not be any more expenses or transfers in this account from 2015 on.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	1	1	1	1	1
Revenues		0	0	0	0	0	0
Expenditures		1,104,873	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	1,104,874	0	0	0	0	0
Ending Cash Balance	0	1	1	1	1	1	1
Encumbrances			0	0	0	0	0
Unencumbered Cash Balance	0	1	1	1	1	1	1

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
UH Scholarship & Assistance SF (CBA Executive  
 Name of Fund: MBA Scholarship)  
 Legal Authority 304A-2159, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.  
 To provide financial assistance to qualified students enrolled at the UHM Shidler College of Business Full-time MBA Program.

**Source of Revenues:**

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

**Current Program Activities/Allowable Expenses:**

Per BOR policy, a percentage of tuition revenue earned by the University must be made available to students in the form of merit-based scholarship.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The variance in FY2014 and FY2015 is due the initial creation of this scholarship account and only partial awards given. The variance in FY2016 continues to be attributed to only awarding scholarships to a limited amount of students. The remainder is being awarded by other UH and UHF sources.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		29,236	200,000	250,000	0	0	0
Revenues		0	0	0	0	0	0
Expenditures		18,543	273,326	343,773	500,000	500,000	500,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	189,307	323,326	93,773	500,000	500,000	500,000
Ending Cash Balance	0	200,000	250,000	0	0	0	0
Encumbrances		0	0	0			
Unencumbered Cash Balance	0	200,000	250,000	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
UH Scholarship & Assistance SF (Manoa Tuition  
 Name of Fund: Scholarship)  
 Legal Authority 304A-2159, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557

Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Grants and scholarships.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(1,700)	(586,427)	684,428	2,585,401	6,500	6,500	6,500
Revenues	0	0	0	0	0	0	0
Expenditures	29,224,586	29,243,137	31,850,312	34,072,390	36,935,690	36,935,690	36,935,690
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-in from TFSF			33,751,285				
Net Total Transfers	28,639,859	30,513,992	33,751,285	31,493,489	36,935,690	36,935,690	36,935,690
Ending Cash Balance	(586,427)	684,428	2,585,401	6,500	6,500	6,500	6,500
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(586,427)	684,428	2,585,401	6,500	6,500	6,500	6,500

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
UH Scholarship & Assistance SF (Outreach  
 Name of Fund: Tuition Scholarship)  
 Legal Authority 304A-2159, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557

Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii. To account for scholarship expenditures awarded to students during the Mānoa Summer terms and the Outreach College Extension terms.

**Source of Revenues:**

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

**Current Program Activities/Allowable Expenses:**

Per BOR policy, a percentage of tuition revenue earned by the University must be made available to students in the form of need-based and merit-based scholarship. Allowable expenses include scholarships and financial aid.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

FY15 Expenditure - increase in scholarship allocation

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(787,138)	(612,392)	(517,910)	(475,866)	(24,652)	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	612,392	638,805	863,982	1,293,687	1,500,000	1,500,000	1,500,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	787,138	733,287	906,026	1,744,901	1,524,652	1,500,000	1,500,000
Ending Cash Balance	(612,392)	(517,910)	(475,866)	(24,652)	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	(612,392)	(517,910)	(475,866)	(24,652)	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
UH Scholarship & Assistance SF (VCAA ISS  
 Name of Fund: Scholarship Overaward)  
 Legal Authority 304A-2159, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557

Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

**Source of Revenues:**

Not applicable. Fund acts more as a clearing account for scholarships in excess of just tuition (i.e., cost of attendance). Transfers from other accounts will clear out the expenditures that are posted to this fund to cover these scholarship expenses.

**Current Program Activities/Allowable Expenses:**

Cost of attendance tuition scholarship expenditures.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

FY16 Student overawards were not claimed in a timely manner before the end of the FY, resulting in a positive balance.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	(853)	6,672	(0)	(0)
Revenues			0	0			
Expenditures			853	(7,525)	6,672		
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	(853)	6,672	(0)	(0)	(0)
Encumbrances			0	0			
Unencumbered Cash Balance	0	0	(853)	6,672	(0)	(0)	(0)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: Center for Nursing SF  
 Legal Authority: 304A-2163, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, any legislative appropriations, federal or private grants, or any other funds collected for the purposes of the Center for Nursing are deposited in this fund and shall be expended to support the Center's activities.

**Source of Revenues:**

\$40.00 special Nursing License fee collected from new and renewal (every two years) of LPN/RN nursing fee .

**Current Program Activities/Allowable Expenses:**

All operational expenses allowable under Hawaii Revised Statutes. Budget for the Center is maintained on a biennial contract due to the legislatively mandated nurse license fee occurring biennially. Carryover on even years when the license renewal fees are received are used to sustain personnel and efforts during odd years.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

FY 2017-2019 Request for increase in appropriation ceiling due to filling of Executive Director position, UHPA collective bargaining increases, expanded program activities.

**Variances:**

FY 2014 - Special fees from DCAA incorrectly reported in "Revenue" line; FY 2013, FY 2015-2019 only estimated interest revenue report as "revenue".  
 FY 2013 , FY 2015-2019 - \$40 special nursing fee reported in "Transfer" line from DCCA;  
 Revenue/transfers from DCAA vary every other year due to the renewal cycle for the licenses with higher volume in even FYs (FY14 949,029, FY 16 (1,024,840) & FY 18 proj 1,010,000) and lower volume in odd FYs (FY15 133,440, FY17 & FY19 proj 140,000).  
 Difference between FY 2013 and FY 2014 expenditures are due to salary and fringe benefit savings from not having an Executive Director from Dec 2013 through May 2014 (FY2014), funding of Evidence Based Practice Initiative in FY 2013, printing of annual report in FY 2013 and not FY 2014, relocation costs in FY 2013 for Executive Director and larger amount of reimbursement for expenditures received in the first quarter of FY 2014 for expenditures made in FY 2013.  
 Difference between FY 2014 and FY 2015 expenditures are due to larger amount of reimbursements for expenditures (\$49,988) received in FY 2015 (compared to FY 2014) for workshops and other administrative costs.  
 Difference between FY 2015 and FY 2016 expenditures are due to having an Executive Director (started late April 2015) for entire FY 2016.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	463,306	463,306	463,306	463,306	463,306	463,306	463,306
Beginning Cash Balance	754,600	267,548	867,363	673,281	1,285,966	708,774	1,082,374
Revenues	2,112	949,029	1,298	2,609	1,000	2,000	1,000
Expenditures	577,603	384,534	328,820	414,764	718,192	638,400	621,313
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	88,440	35,320	133,440	1,024,840	140,000	1,010,000	140,000
Ending Cash Balance	267,548	867,363	673,281	1,285,966	708,774	1,082,374	602,061
Encumbrances	3,778	3,258	21,989	20,435	15,000	15,000	15,000
Unencumbered Cash Balance	263,770	864,105	651,292	1,265,531	693,774	1,067,374	587,061

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Revenue Undertakings Fund (Bookstore)  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-306-F

**Intended Purpose:**

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

The primary purpose of the Bookstore system is to provide the required textbooks utilized in courses offered and educational and instructional materials for faculty and staff in their academic fields of study. In addition, the Bookstore system provides general office supplies, sundries, computer hardware, software, peripherals, printing & copying services and other merchandise for the convenience of the campus community.

**Source of Revenues:**

Revenues are generated through the sales of goods and services.

**Current Program Activities/Allowable Expenses:**

The Bookstore system provides required textbooks and course materials, general reference books, magazines, enrichment materials, technical reference, supplementary materials, and recreational and leisure reading materials. In support of the expanding use of technology in academics, the Bookstore system offers a wide array of computer hardware, software and peripherals along with the repair and servicing of computer hardware. The Bookstore system also provides school and office supplies, sundries, souvenirs, emblematic merchandise and other merchandise to meet the needs of the various campuses. Emblematic and souvenir items were also available via the Internet on the Bookstores' websites.

All expenditures are for the Bookstore system's cost of goods sold and operating expenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

The FY14 decrease in expenditures is due to the Bookstore system's \$1.3m decrease in year-end accruals. The decrease is attributable to the change in purchasing habits by our textbook buyers. The Fall 2014 semester started one week later than it did in prior years therefore managers purchased most of their Fall 2014 textbooks in July (FY 2015) instead of June. The decrease is also attributable to the loss of Adobe Software sales due to Adobe's new company policy. Following trends, Textbook buyers noticed more students are purchasing required course textbooks from online retailers such as Amazon.com, which has impacted both sales and COGS for the bookstore system. The loss of Adobe and decrease in textbooks COGS accounts for an additional \$1m decrease in expenditures.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	31,885,173	31,885,173	31,885,173	31,885,173	31,885,173	31,885,173	31,885,173
Beginning Cash Balance	3,372,830	3,373,530	4,988,041	5,895,483	5,234,130	6,283,536	7,374,895
Revenues	27,391,166	25,815,567	24,469,181	23,053,477	22,790,221	23,473,928	24,178,145
Expenditures	26,544,838	23,846,706	23,207,730	23,380,495	21,386,954	22,028,563	22,689,419
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
2262752					(80,000)	(80,000)	(80,000)
2262862					(273,861)	(274,006)	(273,876)
<b>Net Total Transfers</b>	<b>(273,929)</b>	<b>(354,349)</b>	<b>(354,009)</b>	<b>(334,336)</b>	<b>(353,861)</b>	<b>(354,006)</b>	<b>(353,876)</b>
Ending Cash Balance	3,945,228	4,988,041	5,895,483	5,234,130	6,283,536	7,374,895	8,509,745
Encumbrances	694,982	1,246,952	2,283,920	1,794,608	1,710,956	1,762,285	1,815,154
Unencumbered Cash Balance	3,250,246	3,741,089	3,611,563	3,439,522	4,572,579	5,612,610	6,694,591

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\*Stan Sheriff Center is reported under the Commercial Enterprises Revolving fund effective FY2014

\*Campus Source moved under the Manoa Bookstore's operations effective FY2014

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Revenue Undertakings Fund (Faculty Housing)  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To provide rental housing units to faculty and staff at UH Mānoa.

**Source of Revenues:**

Revenue is derived from rental and parking fees, laundry commissions, and interest earned.

**Current Program Activities/Allowable Expenses:**

The rental housing program consists of 208 rental apartment units at the Waahila and Kauiokahaloa Nui (K-Nui) housing projects with a wait list of faculty and staff.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Increase in expenditures from FY13 to FY14 due to increases in payroll and utilities.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,361,491	1,361,491	1,361,491	1,361,491	1,361,491	1,361,491	1,361,491
Beginning Cash Balance	3,602,177	3,944,573	4,148,428	4,476,822	4,771,393	3,033,344	2,066,212
Revenues	2,695,714	2,758,758	2,831,474	2,905,824	2,877,790	2,959,846	3,042,677
Expenditures	1,237,093	1,435,615	1,389,292	1,497,091	3,502,426	2,815,315	1,690,807
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
\$675,000.00 transfer-out for debt service (2262682)							
\$226,331.25 transfer-out for debt service (2262682)							
\$212,831.25 transfer-out for debt service (2262682)							
Net Total Transfers	(1,116,225)	(1,119,288)	(1,113,788)	(1,114,163)	(1,113,413)	(1,111,663)	(1,111,563)
Ending Cash Balance	3,944,573	4,148,428	4,476,822	4,771,393	3,033,344	2,066,212	2,306,519
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	3,944,573	4,148,428	4,476,822	4,771,393	3,033,344	2,066,212	2,306,519

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* Wa'ahila (017263) and K-Nui (017273) Fac Hsg Sec Dep accounts contain funds belonging to faculty housing tenants. Funds are a liability and are returned to tenant upon completion of lease.

\* Expenditures in projected years include repair and replacement projects charged to accounts which do not count against the expenditure ceiling.

Projected R&R Costs by FY: 397,109 2,956,000 111,000 111,000

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Revenue Undertakings Fund (Food Services)  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Responsible for maintaining and operating food services on the Mānoa campus.

Source of Revenues:

Revenue is derived from Paradise Palms, mobile vendors and vending operation rebates.

Current Program Activities/Allowable Expenses:

Payroll and daily operating expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in revenues from FY13 to FY14 due to the recording of Pepsi funds used to pay for the Ching Field Construction debt service.

Decrease in expenses from FY15 to FY16 due to reduced payroll cost (FTE left in April and did not hire new casual hire in FY16) and utilities

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	553,441	553,441	553,441	553,441	553,441	553,441	553,441
Beginning Cash Balance	580,519	612,824	557,023	596,062	743,114	902,327	1,044,552
Revenues	521,245	757,156	787,763	821,984	634,979	654,028	673,649
Expenditures	480,509	495,421	514,714	461,908	475,766	511,804	527,158
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(8,431)	(317,536)	(234,010)	(213,024)	0	0	0
Ending Cash Balance	612,824	557,023	596,062	743,114	902,327	1,044,552	1,191,043
Encumbrances	27,116	35,971	47,331	46,108	0	0	0
Unencumbered Cash Balance	585,707	521,052	548,731	697,006	902,327	1,044,552	1,191,043

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* Expenditures in projected years include repair and replacement projects charged to accounts which do not count against the expenditure ceiling.

Projected R&R Costs by FY: 46,000 196,000 280,000 16,000

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Revenue Undertakings Fund (Parking Operations)  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Responsible for maintaining and operating the parking facilities for the Mānoa campus.

**Source of Revenues:**

Revenue is derived from parking permits and passes, gate receipts and parking citations.

**Current Program Activities/Allowable Expenses:**

Payroll and daily operating expenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

To cover higher recurring expenditures caused by increases in utilities, payroll, contracted services and a proposed bus pass subsidy program.

**Variances:**

Decrease in revenues from FY13 to FY14 due to the following: JABSOM/CC in Kakaako took over the operations of their lot beginning in FY2014.

Also, Parking sold more parking permits for the zone 20 structure in FY2014, which resulted in a loss of daily parking revenues. We generate more revenues from daily parking than permits. Finally, the repaving of Maile Way took away 60 green pay-to-park stalls because they were converted to permit stalls.

Decrease in expenditures from FY13 to FY14 due to parking structure phase I, 5th floor deck coating repairs that occurred in FY13.

Increase in revenues from FY14 to FY15 due return of stalls lost in Zone 13 IT building construction, increase in upper campus permit sales, Lab school late payment for FY14 passes, increase in citations fines paid, AD Walls made no commission payment in FY14 and increase in Enterprises commissions.

Decrease in expenditures from FY14 to FY15 due decrease in contracted services, student workers, supplies and Ceded Land payment.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,250,000	6,250,000	6,750,845	6,750,845	6,750,845	6,750,845	6,750,845
Beginning Cash Balance	3,341,004	2,586,237	2,209,612	3,363,446	4,081,048	4,256,314	4,301,995
Revenues	6,897,845	5,943,634	6,605,358	6,356,205	6,331,798	6,331,798	6,331,798
Expenditures	7,605,213	6,258,763	5,393,159	5,577,563	6,092,615	6,286,117	6,486,938
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(47,399)	(61,496)	(58,365)	(61,039)	(63,917)	0	0
Ending Cash Balance	2,586,237	2,209,612	3,363,446	4,081,048	4,256,314	4,301,995	4,146,855
Encumbrances	2,127,412	2,379,106	2,899,854	2,806,202	2,800,000	2,800,000	2,800,000
Unencumbered Cash Balance	458,825	(169,494)	463,592	1,274,846	1,456,314	1,501,995	1,346,855

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* Proposal to increase parking rates beginning in FY15 is pending BOR approval

\* Expenditures in projected years include repair and replacement projects charged to accounts which do not count against the expenditure ceiling.

Projected R&R Costs by FY: 1,422,000 0 0 100,000

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Revenue Undertakings Fund (Campus Center Operations & Recreation Services)  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

This major appropriation provides funding to cover principal and interest payments of the State General Obligation & Revenue bonds sold to provide construction, renovation, and repair funds for student life facilities including Campus Center, Hemenway Hall, and Student Recreation Services. Funds are also used to cover costs of operations and maintenance of student life facilities, programs, services, and activities designed to meet the social, intellectual, recreational and cultural needs of campus community members served.

**Source of Revenues:**

Mandatory student fees, interest income, room rental income, leisure class fees, game commissions, ticket sales, league registration fees, equipment rental fees, passport handling fees.

**Current Program Activities/Allowable Expenses:**

Daily operations of the Campus Center and Hemenway Halls including the Ticket & Information Desk, Gamesroom, Computer Lab, Marketing & Graphics, Meeting & Events Services, Building Operations, Grounds Maintenance, Leisure Classes, Leisure Rentals, Recreational Sports Program.

Allowable expenses include personnel, general operating expenses, repair & maintenance costs, utilities, equipment, advertising, travel, food, and other operations-related expenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

With the completion of the new Recreation Center, operational and personnel cost are expected to increase significantly.

**Variances:**

Increase of expenditures in FY 2015 was mainly attributed to custodial supplies and personnel costs for the Warrior Recreation Center.

Increase in Revenues for FY 2014 was attributed mainly to the opening of the Warrior Recreation Center and revenue for the membership fees.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,740,835	2,740,835	5,740,835	5,740,835	5,740,835	5,740,835	5,740,835
Beginning Cash Balance	10,888,460	12,768,482	15,029,298	14,695,577	15,081,625	15,181,625	15,281,625
Revenues	7,396,132	8,145,384	7,631,615	7,852,524	7,300,000	7,300,000	7,300,000
Expenditures	3,786,294	3,859,798	4,535,813	5,606,467	5,200,000	5,200,000	5,200,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Debt service and depreciation expense							
Net Total Transfers	(1,729,817)	(2,024,770)	(3,429,523)	(1,860,008)	(2,000,000)	(2,000,000)	(2,000,000)
Ending Cash Balance	12,768,482	15,029,298	14,695,577	15,081,625	15,181,625	15,281,625	15,381,625
Encumbrances	424,928	287,283	1,528,469	399,142	300,000	300,000	300,000
Unencumbered Cash Balance	12,343,554	14,742,014	13,167,108	14,682,484	14,881,625	14,981,625	15,081,625

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Revenue Undertakings Fund (Student Housing - OSA)  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-306-F

**Intended Purpose:**

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor. Student Housing Services is a self-supporting University Project. It is an integral part of the educational program and academic support services. It assists in the recruitment and retention of students by providing safe and reasonably priced accommodations conducive to student learning and affords opportunities for personal growth and individual development. Voluntary and Mandatory R&R reserves are established to maintain the physical infrastructure and appearance of the Student Housing residence halls and apartments. As part of the University Bond System, Student Housing is required to fund and maintain reserves for projects related to renovation, repair and maintenance.

**Source of Revenues:**

Revenues are derived from rental income, application fees, commissions and rebates, investment income and miscellaneous fees and fines.

**Current Program Activities/Allowable Expenses:**

On-campus accommodations are available for approximately 3,900 students. Approximately 52% are Hawai'i residents and 48% from the mainland and foreign countries. Student Housing offers wellness halls, honors floors, freshmen year experience halls and a variety of resident programs and projects throughout the academic year. All expenses associated with the operation of residence halls are paid from revenues, including personal services, utilities, materials and supplies, repair and maintenance, etc. Voluntary R&R reserve funds can be used for general repair, renovation and replacement of facilities' systems, furniture, exterior/interior envelopes. Funds can also be used for emergency issues and to fund large scale projects in lieu of having to use bond monies and associated interest. Mandatory R&R reserve funds can be used for major repair, renovation and replacement of capital assets.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

- (1) Increase in FY 2014 revenues vs. FY 2013 is primarily due to 5% Board approved rate increase, along with higher average occupancy.
- (2) Decrease in FY 2015 expenditures vs. FY 2014 is primarily due to completion of Windows General Repairs project in FY 2015 (\$1,861,312 spent in FY 2014 vs. \$207,111 spent in FY 2015).

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,212,179	15,212,179	17,412,179	17,412,179	17,412,179	17,412,179	17,412,179
Beginning Cash Balance	13,302,792	13,318,968	14,413,222	18,830,630	24,488,733	24,550,658	24,335,701
Revenues	21,712,826	24,109,333	25,686,122	26,187,607	26,236,032	26,278,140	26,320,746
Expenditures	14,592,013	15,696,330	14,025,906	13,439,616	19,080,470	19,707,273	20,359,103
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Transfers-out for debt service			(7,242,808)	(7,089,889)	(7,093,637)	(6,785,824)	(6,476,082)
Net Total Transfers	(7,104,637)	(7,318,749)	(7,242,808)	(7,089,889)	(7,093,637)	(6,785,824)	(6,476,082)
Ending Cash Balance	13,318,968	14,413,222	18,830,630	24,488,733	24,550,658	24,335,701	23,821,262
Encumbrances	2,532,218	2,160,492	1,223,231	1,137,633	2,500,000	2,500,000	3,000,000
Unencumbered Cash Balance	10,786,750	12,252,730	17,607,399	23,351,099	22,050,658	21,835,701	20,821,262

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* Expenditures exceed the ceiling during FY 2013 - FY 2017 because the following expenditures are projected to be made out of the Voluntary R&R funds:

FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
(actual)	(estimated)	(estimated)	(estimated)	(estimated)
3,356,459	3,361,004	3,524,176	3,528,727	3,533,415

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Revenue Undertakings Fund (Telecommunications)  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To fund Telecommunication operations

Source of Revenues:

To fund Telecommunication operations

Current Program Activities/Allowable Expenses:

Supplies and equipment to support the Telecommunication operations

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Revenue FY15 Continued network infrastructure upgrade of buildings on the Manoa Campus

Revenues and Expenditures for FY16 - Less buildings remained for network infrastructure upgrade on the Manoa Campus

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,291,065	4,291,065	4,291,065	4,291,065	4,291,065	4,291,065	4,291,065
Beginning Cash Balance	12,089,154	9,148,281	7,836,352	5,799,266	4,917,173	5,103,371	4,689,605
Revenues	5,294,151	7,591,379	4,860,360	3,856,673	3,800,000	3,800,000	3,800,000
Expenditures	7,012,192	7,688,251	5,681,262	3,520,390	2,399,000	3,001,096	3,001,096
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(1,222,832)	(1,215,058)	(1,216,185)	(1,218,375)	(1,214,802)	(1,212,670)	(186,261)
Ending Cash Balance	9,148,281	7,836,352	5,799,266	4,917,173	5,103,371	4,689,605	5,302,248
Encumbrances	4,958,405	3,840,673	1,856,295	2,049,801	2,500,000	2,500,000	2,800,000
Unencumbered Cash Balance	4,189,876	3,995,679	3,942,970	2,867,372	2,603,371	2,189,605	2,502,248

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Debt Svc Trf \*                      1,217,261                      1,216,186                      1,218,376                      1,214,802                      1,212,670                      186,261

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Revenue Undertakings Fund (UHRUF Administration)  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Administration of the University Revenue-Undertakings Fund and the University Bond System (UBS).

**Source of Revenues:**

Interest income and transfer from Department of Health for Series 2002A and Refunding Series 2006A revenue bond debt service (Sect 328L-2, HRS)

**Current Program Activities/Allowable Expenses:**

Develops and implements policies, rules, and procedures to ensure the self-financing nature of the bond projects. Prepares prospectus and financing models; complies and analyzes financial data relating to the sale of university revenue bonds; administers the service of debt; and performs required post-issuance financial disclosures and federal tax compliance on issued revenue bonds.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Revenues - pool interest distribution

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	9,931,500	9,930,637	9,928,846	9,926,669	9,563,907	9,466,772	9,465,253
Revenues	4,612	2,896	2,344	4,374	4,350	4,350	4,000
Expenditures	0	0	0	0			
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(5,475)	(4,687)	(4,521)	(367,136)	(101,485)	(5,869)	(2,189)
Ending Cash Balance	9,930,637	9,928,846	9,926,669	9,563,907	9,466,772	9,465,253	9,467,064
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	9,930,637	9,928,846	9,926,669	9,563,907	9,466,772	9,465,253	9,467,064

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount Required for Debt Service	9,926,025	9,925,950	9,924,325	9,548,715	9,462,422	9,460,903	9,463,064

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Revenue Undertakings Fund (Ching Field)  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To account for all revenues and costs of the university project (Ching Field Project) as defined by section 304A-2671, HRS.

**Source of Revenues:**

Income, revenues, or moneys received by the university including appropriations related to university projects.

**Current Program Activities/Allowable Expenses:**

Funds are applied to costs of construction, operation, repair, and maintenance of university projects, university systems, or networks; to pay principal and interest on revenue and general obligation bonds; to reimburse the university for expenses related to issuance of revenue bonds; or to provide reserve for renewal and replacement of university projects, university systems, or networks.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Decrease in revenues from FY14 to FY15 due to decrease in interest

Increase in expenditures from FY14 to FY15 due to increase in Rating Agency Fees, Arbitrage Report Fee, and Audit cost

Increase in revenues from FY15 to FY16 due to increase in interest

Decrease in expenditures from FY15 to FY16 due to decrease in Rating Agency Fees, Arbitrage Report Fee, and Audit cost

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		(212)	(0)	(1)	53,966	53,579	53,180
Revenues		85	2	138	0	0	0
Expenditures		386	430	376	54,387	54,399	54,411
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	512	428	54,205	54,000	54,000	54,000
Ending Cash Balance	0	(0)	(1)	53,966	53,579	53,180	52,769
Encumbrances		0	0	0			
Unencumbered Cash Balance	0	(0)	(1)	53,966	53,579	53,180	52,769

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Revenue Undertakings Fund (Cancer Center)  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.  
 CRCH Project Construction Operating Account

Source of Revenues:

Bond System

Current Program Activities/Allowable Expenses:

None

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	8	8	0	3,119,244	6,219,374	8,219,861	10,219,983
Revenues	0	0	0	7,783	7,800	7,800	7,800
Expenditures	0	0	0	6,965	7,313	7,678	8,062
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(8)	3,117,934	3,099,312	2,000,000	2,000,000	2,000,000
Ending Cash Balance	8	0	3,117,934	6,219,374	8,219,861	10,219,983	12,219,720
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	8	0	3,117,934	6,219,374	8,219,861	10,219,983	12,219,720

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Revenue Undertakings Fund (IFA - PANSTARRS 2)  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	175	175	175
Revenues				6	0	0	0
Expenditures				331	500	500	500
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	500	500	500	500
Ending Cash Balance	0	0	0	175	175	175	175
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	0	175	175	175	175

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Revenue Undertakings Fund (Law - Exp/Mod Oper)  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

**Source of Revenues:**

**Current Program Activities/Allowable Expenses:**

Expenses related to the construction of the Law School's Clinical Building, including expenses related to the issuance and maintenance of revenue bonds such as rating fees, etc.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues				13	48	50	0
Expenditures				116	1,658,926	1,805,958	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	103	1,658,878	1,805,908	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Revenue Undertakings Fund (Regional Biolab)  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.  
 Design and construct a Pacific Regional Biosafety laboratory

Source of Revenues:

Revenue Bonds

Current Program Activities/Allowable Expenses:

None - The Project was canceled.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		2	2	1	3	3	3
Revenues		0	0	2	0	0	0
Expenditures		0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	2	2	3	3	3	3
Encumbrances		0	0	0			
Unencumbered Cash Balance	0	2	2	3	3	3	3

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UH Revenue Undertakings Fund (OVCRGE Biomed)  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To provide for all costs of construction, operation, repair, and maintenance of a university project.

**Source of Revenues:**

Revenue Bonds

**Current Program Activities/Allowable Expenses:**

Bond related expenses such as bond audit, rating agency fees, arbitrage reports, etc.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Variance due to adjustments to annual audit agency fees for the Sinclair Library and CMORE bond debt service payments.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3	102	3	0	2	0	0
Revenues	100	13	(0)	(6)	0	0	0
Expenditures	1,739	1,664	1,948	1,791	1,800	1,800	1,800
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	1,739	1,550	1,946	1,799	1,798	1,800	1,800
Ending Cash Balance	102	3	0	2	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	102	3	0	2	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: Hawaii Cancer Research SF  
 Legal Authority: 304A-2168, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the moneys in this fund shall be used by the University of Hawaii for the Cancer Research Center of Hawaii's research and operating expenses and capital expenditures.

Source of Revenues:

State revenues from taxes on the purchase of cigarettes.

Current Program Activities/Allowable Expenses:

Current year research, operating, and capital expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Needed for OCI Planning and Design contracts, for the construction of the new Cancer Center building.

Variations:

FY 2015 expenditures are negative as (\$20.4M) adjustment was posted as exp to record Kakaako construction proj closeout

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000,000	30,000,000	26,000,000	26,000,000	26,000,000	26,000,000	26,000,000
Beginning Cash Balance	38,317,301	28,865,113	20,782,020	29,363,149	20,688,472	12,841,246	5,103,867
Revenues	14,558,847	15,831,448	14,871,188	14,294,600	14,100,000	13,960,000	13,820,000
Expenditures	16,285,127	16,006,565	(4,719,978)	11,993,673	12,000,000	11,750,000	11,975,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Debt service (2265392)			(7,892,579)	(7,876,293)	(7,947,226)	(7,947,379)	(7,947,379)
Repair reserve (2265392)			(3,117,458)	(3,092,347)	(2,000,000)	(2,000,000)	(2,000,000)
Net Total Transfers	(7,725,909)	(7,907,976)	(11,010,037)	(10,975,605)	(9,947,226)	(9,947,379)	(9,947,379)
Ending Cash Balance	28,865,113	20,782,020	29,363,149	20,688,472	12,841,246	5,103,867	(2,998,512)
Encumbrances	860,012	1,602,792	1,003,934	1,145,085	0	0	0
Unencumbered Cash Balance	28,005,101	19,179,228	28,359,215	19,543,386	12,841,246	5,103,867	(2,998,512)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: Energy Systems Development SF  
 Legal Authority: 304A-2169.1, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, this fund was established for the purpose of developing an integrated approach to and portfolio management of renewable energy and energy efficiency technology projects that will reduce Hawaii's dependence on fossil fuel, imported oil, and other imported energy resources and move Hawaii toward energy self-sufficiency.

**Source of Revenues:**

\$.10 from each dollar of the barrel tax authorized under Act 73, 2010; Re-established under Act 107, 2014.

**Current Program Activities/Allowable Expenses:**

Obtaining matching funds from federal and private sources for research, development, and demonstration of renewable energy sources; awarding contracts or grants to develop and deploy technologies that will reduce Hawaii's dependence on imported energy resources and oil; manage the portfolio of projects commissioned under the fund.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Although established in July 2007, no funds were allocated to this fund until July 2010 when under ACT 73, 10 cents of the tax on each barrel of oil was to be deposited into the account. As specified in HRS304A-1892, the energy systems development special fund was to be managed by HNEI. However, the authorization to access those funds was included in the Budget Worksheets under Program ID# BED120, under the Department of Business, Economic Development and Tourism. The authorization to access these new funds has since been resolved and UH is now proceeding as intended.

**Variances:**

The variance in expenditures was caused by delays in securing agreements with subcontractors and project partners for planned projects. Program is continuing to negotiate these agreements in FY17. The variance in revenue (Net Total Transfers) can only be explained by an increased use of oil within the State of Hawaii during the past fiscal year; revenue is derived from a 10 cents tax on each barrel of imported oil.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,200,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	3,943,666	199,495	398,045	1,628,556	3,846,786	3,348,786	2,850,786
Revenues	7,803	519	2,032	6,138	2,000	2,000	2,000
Expenditures	6,527,774	3,181	822,080	572,148	2,500,000	2,500,000	2,500,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	2,775,800	201,212	2,050,559	2,784,240	2,000,000	2,000,000	2,000,000
Ending Cash Balance	199,495	398,045	1,628,556	3,846,786	3,348,786	2,850,786	2,352,786
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	199,495	398,045	1,628,556	3,846,786	3,348,786	2,850,786	2,352,786

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

The Energy Systems Development Special Fund included a sunset date of June 30, 2013, effectively ending the University's authorization to use the remaining funds. Act 107, SLH 2014 re-established the Energy Systems Development Special Fund effective July 1, 2014. The projected revenues reflect the anticipated interest revenue that will be earned by the fund's annual ending cash balance. Estimated expenditures in FY17 reflect the planned expenditures for FY17 by HNEI and its project partners. Planned expenditures include external subcontracts, MOAs with other UH departments, and other State agencies. The collection of this fund is made by another State agency (B&F) and transferred to UH; these collections are reflected under Net Total Transfers.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: Child Care Programs SF  
 Legal Authority: 304A-2173, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, this fund was established for the operation of child care programs and the construction and renovation of child care centers established by the University of Hawaii.

Fees charged are deposited into this fund.

**Source of Revenues:**

Fees for services, application and comprehensive fees.

**Current Program Activities/Allowable Expenses:**

Program provides quality integrated child care services and provides training opportunities for the development of competent professionals by serving as a training site.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

FY2014, supplemental time off salary reduction concluded on 6/30/13 and salary restoration and new salary schedule was implemented, thereby, large increase in personnel costs.

FY2015, approved 3% fee increase effective 01/01/2015 generated additional revenues.

FY 2016, decrease in expenditures due to lower Personnel costs and non-recurring \$24,000 purchase of playground equipment in FY 2015.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000,000	1,375,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
Beginning Cash Balance	10,189	159,953	103,265	159,395	373,496	398,496	418,496
Revenues	1,027,342	1,035,734	1,193,039	1,120,281	1,125,000	1,150,000	1,175,000
Expenditures	877,578	1,092,422	1,136,909	906,180	1,100,000	1,130,000	1,165,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	159,953	103,265	159,395	373,496	398,496	418,496	428,496
Encumbrances	55,449	83,206	16,157	33,349	0	0	0
Unencumbered Cash Balance	104,504	20,059	143,238	340,147	398,496	418,496	428,496

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: UHM Intercollegiate Athletics SF  
 Legal Authority: 304A-2176, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, this fund shall be used to receive, deposit, disburse, and account for funds from the activities of the intercollegiate athletic programs. The Athletics Department Special Fund is used to account for the financial transactions of the UH Manoa Intercollegiate Athletics programs. Financial supports cover all activities (administrative, support services, and sports) necessary and proper to operate and maintain an intercollegiate sports program at the NCAA Division 1 level with both male and female student participants. Unlike General Funds, the Special Fund is unique and flexible and therefore intangible factors considered during establishment were: (1) provides flexibility to address unanticipated expenditures which may require a considerable appropriation over the original ceiling in the late fourth quarter of the fiscal year; (2) permit expenditures that are common and necessary to athletic's operations that normally are not authorized by General Fund policies; and (3) allow the Athletic Department to provide over 500 student-athletes the opportunity and support to participate at the highest level of collegiate competition.

**Source of Revenues:**

The Athletics Department Special Fund earns revenue through a variety of sources. Tickets to Intercollegiate Athletic events for football, men's and women's basketball and volleyball, and baseball are sold. Revenue is also earned from television and radio broadcast rights relating to the intercollegiate athletic events. Corporate sponsorships are another source of revenue where sponsorships and tickets are sold to corporations and businesses. Opposing teams also pay guarantees to the Athletic Department for contests played at opposing teams' sites. The National Collegiate Athletic Association and the Mountain West and Big West Conferences with the Athletic Department participated in also pay out funds for the Department's participation. Revenue is also earned from concession sales at the Stan Sheriff Center and the Les Murakami Stadium. Donations are another source or revenue. Also, students of UH Mānoa pay an athletic fee of which the Athletics Department retains 92% of the amount collected. FY 2017-FY 2019 revenues assume that the department receives \$2.7M in general funds from the legislature and \$0.5M additional from Mānoa in general funds for student support positions.

**Current Program Activities/Allowable Expenses:**

The Athletic Department's Special Fund expenses include payment to employees for services, scholarship and medical expenses of student-athletes, travel for student-athletes, coaches and staff to competitions and meetings, recruiting of prospective student-athletes, equipment, materials and supplies needed for operations, payments to officials, dues to the Big West and Mountain West Conferences, payment of guarantees to/for visiting teams, credit card and transaction fees for ticket sales and other operating expenses. FY 2017-FY 2019 expenses assume that the department receives \$2.7M in general funds from the legislature and \$0.5M additional from Mānoa in general funds for student support positions.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

Revenues decreased by 13% from 2012 to 2013 due to decreased ticket sales, concessions, tv revenue and corporate partnerships. Revenues increased by 29.6% from 2014 to 2015 due to donations from AKA being deposited to the special fund instead of the restricted fund because AKA revised what their annual donation could be used for. In the past AKA's donation could only be used for scholarship and medical expenses, but the beginning in 2014, their donation could be used for any expenses that support the Athletics Department. Also, \$1.7 million of AKA's FY 2014 was not received until FY 2015 causing FY 2015 revenue to be higher.

Increase in expenses from 2012 to 2013 due to joining the Big West Conference and Mountain West Conference and having to pay travel subsidies.

Increase in expenses from 2014 to 2015 due to paying scholarship expenses from the special fund instead of the restricted fund. See note above relating to the AKA donation. Increase also due to higher team travel, guarantee and personnel expenses.

Decrease in revenue of \$4.2M from FY 2015 to FY 2016 due to ticket sales declining \$0.4M due to the poor performance of our football team. Decrease also due to not receiving the Ahahui Koa Ānuehue (AKA) donation of \$2.1M by June 30, 2016. Donation was received after fiscal year end, but was booked as a receivable at 6/30/16. Decrease also due to receiving \$1.7M of the FY 2014 AKA donation in FY 2015, although amount was booked as a receivable as of 06/30/14.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000
Beginning Cash Balance	(8,850,642)	(12,482,514)	(15,353,905)	(16,166,321)	(23,306,842)	(23,375,474)	(27,643,783)
Revenues	16,802,719	18,267,168	23,677,540	19,487,240	24,326,255	20,484,113	20,292,929
Expenditures	20,853,108	22,415,379	24,837,053	26,800,447	24,594,887	24,952,423	26,146,840
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	418,517	1,276,820	347,098	172,686	200,000	200,000	200,000
Ending Cash Balance	(12,482,514)	(15,353,905)	(16,166,321)	(23,306,842)	(23,375,474)	(27,643,783)	(33,297,693)
Encumbrances	567,871	586,089	530,317	544,176	500,000	500,000	500,000
Unencumbered Cash Balance	(13,050,385)	(15,939,994)	(16,696,638)	(23,851,018)	(23,875,474)	(28,143,783)	(33,797,693)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-100  
 Name of Fund: Animal Research Farm, Waialeale, Oahu SF  
 Legal Authority: 304A-2177, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-306-F

**Intended Purpose:**

Per statute, receipts from fees realized from the sale of livestock, services, and supplies shall be deposited in this fund, and shall be expended for animal research, and services and supplies related thereto.

To account for revenues from the sale of livestock raised on the Waialeale Livestock Experiment Station and to account for expenditures required to maintain the station, conduct research experiments, and purchase livestock.

**Source of Revenues:**

Revenues are generated by the sale of livestock and by-products from research and demonstration projects conducted at the station.

**Current Program Activities/Allowable Expenses:**

Current program activities include livestock research projects of priority to the State of Hawaii.

Allowable expenses include labor, materials, supplies and equipment that are necessary to maintain the facility and to ensure continued use for research and instruction purposes.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Variance in revenues and expenditures due to anticipated abolishment of fund in FY2014. The bill was vetoed, therefore, this fund was not abolished. Activities are still ongoing at the farm but are being supported other funds within CTAHR's operating budget.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	45,000	145,000					
Beginning Cash Balance	20,927	1,569	(7,181)	(3)	(0)	(0)	(0)
Revenues	549	(7)	(12)	0	0	0	0
Expenditures	19,907	8,743	(7,190)	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	3	0	0	0
Ending Cash Balance	1,569	(7,181)	(3)	(0)	(0)	(0)	(0)
Encumbrances	2,966	2,275	2,243	0	0	0	0
Unencumbered Cash Balance	(1,397)	(9,456)	(2,246)	(0)	(0)	(0)	(0)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-110  
 Name of Fund: UH at Manoa Malpractice SF  
 Legal Authority: 304A-2152, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-185-F

**Intended Purpose:**

Per statute, this fund shall be used for costs arising from the defense and settlement of claims against the University, its students, or its faculty for professional malpractice in programs that provide professional services.

To maintain a reserve with which to pay expenses related to malpractice claims filed against John A. Burns School of Medicine faculty physicians.

**Source of Revenues:**

Interest earned from investment of available cash by the University of Hawai'i and charging faculty physicians' funding agencies their fair share of the reserve contribution.

**Current Program Activities/Allowable Expenses:**

Judgements, settlements, attorney fees and other costs related to the defense against malpractice claims filed against faculty physicians and entities.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Revenues FY 2014: Variance due to increase in malpractice premiums billed to UCERA/Hospital

Expenditures FY 2014: Variance due to drop in claims expense.

Revenues FY 2015: Variance due to centralization and timing of malpractice premiums received from UCERA/Hospital

Expenditures FY 2015: Variance due to increase in claims cost and admin salaries.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	700,000	700,000	700,000	700,000	700,000
Beginning Cash Balance	1,059,188	1,132,867	1,297,416	1,591,624	1,404,108	1,209,108	1,114,108
Revenues	222,656	260,773	872,796	457,198	425,000	450,000	450,000
Expenditures	148,976	96,224	578,589	644,715	620,000	545,000	545,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,132,867	1,297,416	1,591,624	1,404,108	1,209,108	1,114,108	1,019,108
Encumbrances	0	0	22,531	0	0	0	0
Unencumbered Cash Balance	1,132,867	1,297,416	1,569,093	1,404,108	1,209,108	1,114,108	1,019,108

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-110  
UH Auxiliary Enterprises SF (JABSOM Hyperbaric  
 Name of Fund: Treatment Center)  
 Legal Authority 304A-2157, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557

Fund type (MOF) B  
 Appropriation Acct. No. S-185-F

**Intended Purpose:**

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

For the operation of the Hyperbaric Treatment Center which was transferred from the Department of Health to the University of Hawai'i in the FY 1998 appropriations act.

**Source of Revenues:**

Revenues to the fund are generated from patients who are treated at the center for hyperbaric oxygen services for diving accidents and medical health treatments. Payments for these services come from insurance companies and patients. Interest earned from investment of available cash is another source of revenue.

**Current Program Activities/Allowable Expenses:**

Expenses related to the operation of the HTC including salaries and fringe, office and medical supplies, communications, equipment, repairs, janitorial and laundering services, patient meals, travel, etc.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Revenues FY 2013/FY 2014: Service revenues are recorded on UCERA (physician practice plan) books effective FY2013.

Revenues FY2015: Service revenues gradually dropping on collections of aged account receivables

Expenditures FY 2013/FY 2014: Drop in operating expenditures due to insufficient funds remaining in this special fund. Expenditures now posted to UCERA's books and JABSOM tuition account.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	525,000	525,000	525,000	525,000	525,000	2,500	2,500
Beginning Cash Balance	99,241	1,616	1,878.61	2,017	2,191	2,391	2,591
Revenues	7,878	262	138	174	200	200	200
Expenditures	105,503	0	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,616	1,879	2,017	2,191	2,391	2,591	2,791
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1,616	1,879	2,017	2,191	2,391	2,591	2,791

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-110  
 Name of Fund: Hawaii Medical Education SF  
 Legal Authority: 304A-2164, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-308-F

**Intended Purpose:**

Per statute, all funds received by the Medical Education Council shall be deposited into this fund, and shall be expended for the purposes of the Graduate Medical Education program.

**Source of Revenues:**

Funding dependent on securing funds by the Medical Education Council and from both public and private sources to support a plan for health care workforce and state training program. This includes federal funding through Medicare, Medicaid and other federal programs.

**Current Program Activities/Allowable Expenses:**

Expenses related to the MEC carrying out its operation, duties and powers.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

*Fund repealed by the 2015 Legislature*

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-110  
 Name of Fund: UH Revenue Undertakings Fund (JABSOM)  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-185-F

**Intended Purpose:**

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To support the medical school's operations and train and graduate new physicians until June 30, 2015.

**Source of Revenues:**

Interest earned from investment of available cash by the University of Hawaii.

**Current Program Activities/Allowable Expenses:**

Funds are to be used to cover operating expenses related to the education and training of medical students.

This includes lease and utility costs at our medical education sites.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Special fund ceiling is being transferred from UH Manoa to JABSOM beginning in FY 2013.

**Variances:**

Revenues FY 2014: Variance represents overspend of tobacco settlement funds

Revenues FY 2016: Tobacco Settlement fund sunsets as of FYE 2015.

Expenditures FY 2015: Variance due to reduction of tobacco settlement funds received.

Expenditures FY 2016: Tobacco Settlement fund effective sunset date was July 1, 2015.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,750,000	2,284,040	2,000,000	0	0	0	0
Beginning Cash Balance	7	79	34	20	(0)	(0)	(0)
Revenues	79	34	20	(0)	0	0	0
Expenditures	2,736,116	2,643,717	1,706,997	3,119	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	2,736,110	2,643,638	1,706,963	3,099	0	0	0
Ending Cash Balance	79	34	20	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	79	34	20	(0)	(0)	(0)	(0)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-110  
John A. Burns School of Medicine SF -  
 Name of Fund: Physician Workforce Assessment  
 Legal Authority: 304A-2171, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-185-F

**Intended Purpose:**

Per statute, this fund was established to support JABSOM's activities related to physician workforce assessment and planning.  
 To maintain accurate physician workforce assessment information and provide or update personal and professional information to be maintained in a secure database.

**Source of Revenues:**

Interest earned from investment of available cash by the University of Hawaii and medical license renewal fees charged to physicians.

**Current Program Activities/Allowable Expenses:**

To support physician workforce assessment and planning activities in Hawaii provided that expenditures are limited to no more than \$245,000 annually through June 30, 2017.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

To support retention of physicians in accordance with SB 240.

**Variances:**

Revenues FY2013/FY2014: Physician license renewal fees are collected/received biennially.

Revenues FY 2015: Physician license renewal fees are collected/received biennially.

Expenditures FY 2013: Variance due to increase in payroll.

Expenditures FY 2014: Variance due to increase in vendor services and casual hires

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	245,000	245,000	245,000	245,000	245,000	0	0
Beginning Cash Balance	232,835	582,575	338,286	609,990	860,734	616,434	616,434
Revenues	500,237	711	516,500	488,259	700		
Expenditures	150,497	245,000	244,796	237,514	245,000		
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	582,575	338,286	609,990	860,734	616,434	616,434	616,434
Encumbrances	95,656	41	204	624	0	0	0
Unencumbered Cash Balance	486,919	338,245	609,786	860,110	616,434	616,434	616,434

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-110  
 Name of Fund: UH Graduate Application RF (JABSOM)  
 Legal Authority: 304A-2260, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-381-F

**Intended Purpose:**

Per statute, application processing fees shall be deposited into this fund and shall be used to pay the costs of processing applications to all graduate programs.

**Source of Revenues:**

Required application fee paid by all applicants to the John A. Burns School of Medicine and to the medical electives program, and interest earned from investment of available cash.

**Current Program Activities/Allowable Expenses:**

Expenses related to the distribution, collection and processing of the applications, including salaries and fringe, operating supplies, equipment, repairs, printing, communications, parking fees, travel, etc.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Increase needed to cover portion of Director of Admissions salary and full time support staff for medical student admissions.

**Variances:**

Revenues FY 2014: Variance due to increase in volume of applicants.  
 Revenues FY 2015: Variance due to reduction in application fees.  
 Revenues FY 2016: Variance due to increase in volume of applicants.  
 Expenditures FY 2014: Variance due to hiring of support staff.  
 Expenditures FY 2015: Variance due to hiring of support staff.  
 Expenditures FY 2016: Transfer out of support staff cost.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	150,000	150,000	200,000	200,000	200,000	250,000	250,000
Beginning Cash Balance	35,175	79,988	127,771.17	91,659	198,493	198,493	198,493
Revenues	187,579	233,721	202,485	283,715	250,000	250,000	250,000
Expenditures	142,765	185,937	238,597	176,881	250,000	250,000	250,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	79,988	127,771	91,659	198,493	198,493	198,493	198,493
Encumbrances	3,080	1,649	4,036	6,576	0	0	0
Unencumbered Cash Balance	76,908	126,122	87,623	191,917	198,493	198,493	198,493

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-110  
 Name of Fund: UH Real Property & Facilities Use RF (JABSOM)  
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) W  
 Appropriation Acct. No. S-381-F

**Intended Purpose:**

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

Facilities usage revolving fund to cover expenses related to the rental and usage of JABSOM facilities.

**Source of Revenues:**

Revenues collected for use of JABSOM facilities which include land, buildings, grounds, furnishings and equipment. Interest earned from investment of available cash is another source of revenue.

**Current Program Activities/Allowable Expenses:**

Expenses related to operating JABSOM facilities including repairs and maintenance, contractor services, supplies, security, janitorial services, utilities and other operational expenses.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Revenues FY 2014: Variance due to parking lot C revenues collected from users by JABSOM on behalf of HCDA.

Revenues FY 2015: Variance due to increased lease revenues.

Expenditures FY 2014: Variance due to parking lot lease paid to HCDA for Lot C.

Expenditures FY 2015: Variance due to increase in parking lot lease paid to HCDA for Lot C.

Expenditures FY 2016: Variance due to hiring of support staff.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	160,000	160,000	160,000	280,000	280,000
Beginning Cash Balance	63,127	108,504	98,553.14	196,544	214,861	214,861	214,861
Revenues	49,571	134,729	257,148	264,229	280,000	280,000	280,000
Expenditures	(5,057)	144,680	159,157	242,793	280,000	280,000	280,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(9,252)	0	0	(3,119)	0	0	0
Ending Cash Balance	108,504	98,553	196,544	214,861	214,861	214,861	214,861
Encumbrances	0	3,500	8,739	9,197	0	0	0
Unencumbered Cash Balance	108,504	95,053	187,805	205,664	214,861	214,861	214,861

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-110  
 Name of Fund: Hawaii Health Corps RF  
 Legal Authority: 309H-6, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): W  
 Appropriation Acct. No.: T-996

**Intended Purpose:**

Per statute, any appropriations by the legislature for the Hawaii rural health care provider loan repayment program, donations/grants, reimbursement of loan repayments, interest earned, or proceeds from the operations of the Hawaii health corps program shall be deposited in this fund. The program funds loan repayment of health provider education expenses for MDs, Physician Assistants and Nurse Practitioners who commit to serve for at least two years in certain rural areas of the State that are designated as a Health Professional Shortage Area (HPSA). This program receives no State appropriated funding.

**Source of Revenues:**

Gifts, donations and grants from public agencies and private persons; Reimbursements of loan repayments under the Hawaii rural health care provider loan repayment program; Proceeds of the operations of the Hawaii health corps program; and interest earned on monies deposited into the fund.

**Current Program Activities/Allowable Expenses:**

The program funds loan repayment of health provider education expenses for MDs, Physician Assistants and Nurse Practitioners who serve for at least two years in certain rural areas of the State that are designated as a Health Professional Shortage Area (HPSA) site.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Not Applicable. No funds have been appropriated and grant funds expire in Fiscal Year 2015.

**Variances:**

*Fund repealed by the 2015 Legislature*

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0				
Expenditures	0	0	0				
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: UH Tuition and Fees SF (Regular Session)  
 Legal Authority: 304A-2153, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) B  
 Appropriation Acct. No. S-312-F

**Intended Purpose:**

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations. Credit courses are offered to both in-state and out-of-state students during the regular academic year (fall/spring).

**Source of Revenues:**

Tuition and fees for regular session.

**Current Program Activities/Allowable Expenses:**

Funds are expended for instruction, academic support, student support and institutional support.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The decrease in expenditures in FY 2016 is due to the timing of expending funds. Some acquisition of goods and services were carried over to FY 2017.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	28,798,049	29,126,841	31,657,396	31,074,004	30,066,043	29,771,043	29,346,043
Beginning Cash Balance	12,668,017	14,388,940	16,038,924	16,160,017	1,485,745	1,031,404	912,269
Revenues	34,467,793	35,508,765	36,074,899	35,596,848	35,676,164	35,676,164	35,676,164
Expenditures	25,951,239	27,271,349	27,619,837	24,707,218	27,007,218	25,201,362	25,705,390
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer in for Summer Session			356,866	376,852	383,602	391,274	399,100
Transfer out for URUF Debt Service			(716,524)	(538,102)	(923,010)	(512,010)	(512,010)
Transfer out for URUF R&R			(40,000)	(37,705)	(40,000)	(40,000)	(40,000)
Transfer out for Scholarships			(6,350,000)	(6,550,000)	(6,550,000)	(6,550,000)	(6,550,000)
Transfer out for System Assessments			(873,279)	(902,460)	(922,148)	(931,369)	(940,683)
Transfer out for Programmatic Support			(711,212)	(514,108)	(405,000)	(413,100)	(421,362)
Transfer out for Act 236				(17,398,378)	(666,731)	(2,538,732)	(2,074,570)
<b>Net Total Transfers</b>	<b>(6,793,509)</b>	<b>(6,587,576)</b>	<b>(8,334,149)</b>	<b>(25,563,901)</b>	<b>(9,123,287)</b>	<b>(10,593,937)</b>	<b>(10,139,526)</b>
Ending Cash Balance	14,391,062	16,038,779	16,159,837	1,485,745	1,031,404	912,269	743,517
Encumbrances	2,717,192	1,998,066	2,686,773	3,449,728	3,000,000	3,000,000	3,000,000
Unencumbered Cash Balance	11,673,870	14,040,714	13,473,065	(1,963,983)	(1,968,596)	(2,087,731)	(2,256,483)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* UH Hilo 304A-2153, HRS has two categories (Regular and Summer/CCECS), with a total Unencumbered Cash Balance of (\$89,235).

Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/16) and before the close of Fiscal Period 12.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: UH Tuition and Fees SF (Summer Session, CCECS)  
 Legal Authority: 304A-2153, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) B  
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Credit courses are offered to both in-state and out-of-state students by CCECS and during the summer.

Source of Revenues:

Tuition and fees for summer session and CCECS credit courses.

Current Program Activities/Allowable Expenses:

Funds are expended for instruction, academic support, student support and institutional support.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The decreased revenue in FY 2014 and increase in FY 2016 are results of the fluctuation of enrollment during the Summer Session.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	891,534	891,534	1,040,534	1,057,534	1,082,534	1,082,534	1,082,534
Beginning Cash Balance	1,655,649	1,950,314	1,917,123	1,804,128	1,881,238	1,968,596	2,087,731
Revenues	1,457,723	1,232,293	1,282,767	1,460,383	1,501,547	1,561,609	1,624,073
Expenditures	986,379	966,472	976,554	1,006,551	1,055,587	1,076,699	1,082,233
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(176,680)	(299,011)	(419,208)	(376,722)	(358,602)	(365,775)	(373,088)
Ending Cash Balance	1,950,314	1,917,123	1,804,128	1,881,238	1,968,596	2,087,731	2,256,483
Encumbrances	35,065	15,338	11,727	6,490	0	0	0
Unencumbered Cash Balance	1,915,248	1,901,785	1,792,400	1,874,748	1,968,596	2,087,731	2,256,483

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* UH Hilo 304A-2153, HRS has two categories (Regular and Summer/CCECS), with a total Unencumbered Cash Balance of (\$89,235).

Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/16) and before the close of Fiscal Period 12.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: Library SF  
 Legal Authority: 304A-2155, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) B  
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, all fines, fees and other revenue derived from the UH libraries' operations shall be deposited in this fund, to be used to support and improve the services provided by the libraries.

Source of Revenues:

Revenue generated from the collection of fines and user fees for use of the library services.

Current Program Activities/Allowable Expenses:

Purchase of replacement books and periodicals, and also materials and supplies in support of the library operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue generated is based on usage and collection of fines which will fluctuate from year to year. Expenditures are based on the need to purchase new and/or replacement books and materials. Large purchases are made periodically when funds are available and new or replacement materials, books and supplies are necessary.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	41,309	41,309	41,309	21,309	21,309	21,309	21,309
Beginning Cash Balance	37,035	26,766	33,218	7,704	4,671	4,271	5,015
Revenues	16,474	15,371	13,779	9,769	9,200	9,384	9,572
Expenditures	26,743	8,919	39,293	12,802	9,600	8,640	8,813
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	26,766	33,218	7,704	4,671	4,271	5,015	5,774
Encumbrances	1,390	0	832	223	0	0	0
Unencumbered Cash Balance	25,376	33,218	6,872	4,448	4,271	5,015	5,774

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: UH Community Services SF  
 Legal Authority: 304A-2156, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) B  
 Appropriation Acct. No. S-312-F

**Intended Purpose:**

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

UH Hilo offers community members access to the university's faculty and resources, and for developing community educational opportunities.

**Source of Revenues:**

Revenues are generated from tuition and fees charged to students for community service programs (i.e., non-credit classes).

**Current Program Activities/Allowable Expenses:**

Funds are expended for the purpose of providing community educational programs.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The increase in revenue and expenditures is a result of increased marketing and development of the non-credit courses available to the public. Additional marketing, advertising and development of non-credit programs added to the cost of operations and also salary costs increased due to collective bargaining negotiations and the end of the temporary salary reductions. Expenditures in FY 2015 included one time investments to promote the CCEC's non-credit programs.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	416,860	416,860	716,860	553,315	604,736	604,736	604,736
Beginning Cash Balance	547,707	389,058	333,660	160,637	97,985	77,544	70,764
Revenues	145,631	143,017	187,057	193,122	176,211	183,259	190,590
Expenditures	422,356	522,007	754,974	541,461	482,652	487,479	492,353
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	118,076	323,592	394,894	285,686	286,000	297,440	309,338
Ending Cash Balance	389,059	333,660	160,637	97,985	77,544	70,764	78,339
Encumbrances	39,769	36,925	38,207	3,772	35,000	35,000	35,000
Unencumbered Cash Balance	349,289	296,736	122,430	94,213	42,544	35,764	43,339

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: UH Auxiliary Enterprises SF  
 Legal Authority: 304A-2157, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) B  
 Appropriation Acct. No. S-312-F

**Intended Purpose:**

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

**Source of Revenues:**

Revenues generated from rental fees and charges imposed for the use of or services furnished by University programs.

**Current Program Activities/Allowable Expenses:**

Funds are expended for repairs and maintenance, replacement, operation and administration of the facilities/programs.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The increased revenue in FY 2014 is primarily due to the increased collections for graphic services and health services. The increase in revenue in FY 2016 is due to commissions from the food service provider at Imiloa and increase rentals and sale of services. The increase in expenditures in FY 2016 is primarily due to the utility costs incurred by the food service provider.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	505,999	505,999	505,999	655,999	655,999	685,999	685,999
Beginning Cash Balance	346,541	340,294	396,441	411,915	517,762	571,013	625,329
Revenues	470,908	526,695	516,432	739,484	703,454	717,523	731,874
Expenditures	477,154	471,469	502,696	633,638	650,203	663,207	676,471
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	922	1,737	1	0	0	0
Ending Cash Balance	340,295	396,441	411,915	517,762	571,013	625,329	680,732
Encumbrances	91,009	112,103	152,100	127,767	100,000	100,000	100,000
Unencumbered Cash Balance	249,286	284,339	259,815	389,994	471,013	525,329	580,732

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: UH Scholarship & Assistance SF  
 Legal Authority: 304A-2159, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) B  
 Appropriation Acct. No. S-312-F

**Intended Purpose:**

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

**Source of Revenues:**

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

**Current Program Activities/Allowable Expenses:**

Funds are expended for student tuition scholarships.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The increase in expenditures are a result of the university's commitment to provide tuition scholarships to its students. The amounts are based on a percentage of tuition revenues, both of which increased.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,284,000	6,153,000	6,354,348	6,554,348	6,754,348	6,754,348	6,754,348
Beginning Cash Balance	(41,659)	(987)	0	469,209	700,194	700,194	700,194
Revenues	0	0	0	0	0	0	0
Expenditures	5,051,197	5,603,971	5,880,791	6,319,015	6,550,000	6,550,000	6,550,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer in from Tuition and Fees			6,350,000	6,550,000	6,550,000	6,550,000	6,550,000
Net Total Transfers	5,091,869	5,604,958	6,350,000	6,550,000	6,550,000	6,550,000	6,550,000
Ending Cash Balance	(987)	0	469,209	700,194	700,194	700,194	700,194
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(987)	0	469,209	700,194	700,194	700,194	700,194

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: University Revenue Undertakings Fund  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) B  
 Appropriation Acct. No. S-312-F

**Intended Purpose:**

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Fund is used to account for revenues and expenditures of the student housing and food services operations.

**Source of Revenues:**

Revenues generated from dorm rentals, application fees and food services.

**Current Program Activities/Allowable Expenses:**

Funds are expended for payroll, materials, supplies, services, debt service, R&M and equipment for the student housing and food service operations.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The increase in revenue in FY 2014 is the result of increased rates and occupancy due to the opening of a new residence hall. The increase in expenditures in FY 2014 is the result of increased operating cost for the new student housing facility. The increase in expenditures in FY 2015 is the result of payments for prior year outstanding charges and also for the replacement of food service equipment. The decrease in expenditures in FY 2016 is due to both FY 2015 being higher than normal and overall lower student enrollment.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,919,744	4,304,744	4,404,744	4,724,267	5,458,514	5,713,514	5,978,514
Beginning Cash Balance	3,537,663	3,582,607	4,054,172	3,784,013	4,284,146	3,747,782	3,139,494
Revenues	4,409,173	5,842,383	6,093,147	5,901,662	6,119,617	6,180,813	6,242,621
Expenditures	3,593,818	4,009,572	5,607,205	4,540,802	5,446,704	5,555,638	5,666,751
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(770,411)	(1,361,246)	(756,101)	(860,728)	(1,209,277)	(1,233,463)	(1,258,132)
Ending Cash Balance	3,582,607	4,054,172	3,784,013	4,284,146	3,747,782	3,139,494	2,457,232
Encumbrances	919,469	821,211	461,260	425,158	500,000	500,000	500,000
Unencumbered Cash Balance	2,663,138	3,232,961	3,322,754	3,858,989	3,247,782	2,639,494	1,957,232

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: UHH Mauna Kea Lands Management SF  
 Legal Authority: 304A-2170, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) B  
 Appropriation Acct. No. S-312-F

**Intended Purpose:**

Per statute, the proceeds of this fund shall be used for managing the Mauna Kea lands and enforcing administrative rules adopted relating to the Mauna Kea lands.

**Source of Revenues:**

Fees and charges for the use of land and facilities within the Mauna Kea Lands.

**Current Program Activities/Allowable Expenses:**

Salaries, utilities, security, and other operational expenses to manage the Mauna Kea Lands.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The increase in expenditures in FY 2014 and decrease in FY 2015 is due to payments made to the Office of Hawaiian Affairs for the use of ceded land. In FY 2014, payment was made for the current year as well as previous years beginning FY 2007. Beginning FY 2015, the University began receiving payments for the sublease with TMT resulting in an increase in revenue. Expenditures increased in FY 2016 due to an increased need for ranger service.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	350,000	350,000	726,000	726,000	756,000	766,000	926,000
Beginning Cash Balance	533,770	696,776	176,206	325,826	289,232	385,380	471,776
Revenues	420,932	424,454	660,043	655,972	679,906	693,504	707,374
Expenditures	257,927	945,024	510,659	692,567	583,758	607,108	631,393
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	237	(0)	0	0	0
Ending Cash Balance	696,775	176,206	325,826	289,232	385,380	471,776	547,757
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	696,775	176,206	325,826	289,232	385,380	471,776	547,757

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: UHH CIP Project Assessment SF  
 Legal Authority: 304A-2172, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) B  
 Appropriation Acct. No. S-312-F

**Intended Purpose:**

Per statute, this fund was established to defray costs involved in 1) carrying out capital improvements program (CIP) projects managed by the University; 2) equitably assessing, collecting, and distributing moneys for current and other expenses associated with CIP projects, repair and maintenance projects, and major renovation projects; 3) managing the payment of expenses assessable against CIP projects managed by or through the University; and 4) managing funds representing accumulated vacation and sick leave credits and retirement benefits for non-general funded employees under the CIP projects managed by the University.

**Source of Revenues:**

Assessment on capital improvements program projects, repair and maintenance projects and major renovation projects.

**Current Program Activities/Allowable Expenses:**

Expenses related to central management, oversight and administration of the projects.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Revenue and expenditures are based on capital improvement program projects, repair and maintenance projects, and major renovation projects that are managed by the University which fluctuates from year to year resulting in the variances.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	25,000	25,000	5,000	5,000	5,000	5,000	5,000
Beginning Cash Balance	19,688	17,611	4,394	3,281	711	1,111	1,419
Revenues	0	0	5,000	0	5,000	5,000	5,000
Expenditures	2,077	13,217	6,113	2,570	4,600	4,692	4,786
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	17,611	4,394	3,281	711	1,111	1,419	1,633
Encumbrances	25	280	273	137	0	0	0
Unencumbered Cash Balance	17,586	4,114	3,008	574	1,111	1,419	1,633

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: UH Hilo Intercollegiate Athletics SF  
 Legal Authority: 304A-2176, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) B  
 Appropriation Acct. No. S-312-F

**Intended Purpose:**

Per statute, this fund shall be used to receive, deposit, disburse, and account for funds from the activities of the intercollegiate athletic programs.

**Source of Revenues:**

Ticket sales, sponsorships and other related income.

**Current Program Activities/Allowable Expenses:**

Expenses in support of the athletic programs and sports camps.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The fluctuation of revenue is the result of the level of public interest in attending sporting events. Ticket sales, concession and merchandise sales will vary from year to year depending on the number of fans attending home games. Expenditures will vary based on the number of road trips each team has scheduled.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	292,789	292,789	192,789	292,789	267,789	267,789	267,789
Beginning Cash Balance	128,077	36,652	37,069	129,545	135,452	197,932	258,722
Revenues	191,265	218,630	221,564	180,273	209,480	213,670	217,943
Expenditures	282,690	218,213	146,189	185,118	147,000	152,880	158,995
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	17,101	10,753	0	0	0
Ending Cash Balance	36,652	37,069	129,545	135,452	197,932	258,722	317,670
Encumbrances	5,049	4,795	13,629	19,446	5,000	5,000	5,000
Unencumbered Cash Balance	31,603	32,275	115,916	116,006	192,932	253,722	312,670

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: UH-Hilo Theatre SF  
 Legal Authority: 304A-2178, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) B  
 Appropriation Acct. No. S-312-F

**Intended Purpose:**

Per statute, admissions, advertising sales, corporate sponsorships, marketing, merchandising, donation, fundraising, fees, charges, and other moneys collected in conjunction with the UH Hilo theatre program shall be deposited in this fund, and may be expended for all costs associated with the theatre program.

**Source of Revenues:**

Theatre ticket sales.

**Current Program Activities/Allowable Expenses:**

Expenses related to programs and performances held at the Theatre.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The fluctuation in the revenue and expenditures is a result of the number and size of recitals and performances scheduled for each year. Depending on the mix of activities in a given fiscal year, the revenue and expenditures will fluctuate. Some events generate less revenue, but costs less. In FY 2013, the Theatre was not in operation for the whole year due to repairs and maintenance work being done.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	130,035	130,035	130,035	170,035	170,035	170,035	170,035
Beginning Cash Balance	129,280	120,495	97,859	52,309	26,009	27,609	30,681
Revenues	92,127	144,444	133,020	114,094	145,600	148,512	151,482
Expenditures	100,912	167,080	178,570	140,394	144,000	145,440	146,894
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	120,495	97,859	52,309	26,009	27,609	30,681	35,269
Encumbrances	19,192	7,702	4,764	3,559	6,000	6,000	6,000
Unencumbered Cash Balance	101,303	90,157	47,546	22,450	21,609	24,681	29,269

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: UH Commercial Enterprises RF  
 Legal Authority: 304A-2251, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF): W  
 Appropriation Acct. No.: S-317-F

**Intended Purpose:**

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Activities of the Testing Center and College of Business and Economics consultant service is supported by this fund.

**Source of Revenues:**

Testing fees and service charges.

**Current Program Activities/Allowable Expenses:**

Expenses in support of the Testing Center and CoBE services.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

FY 2014 was a transition year for the testing center when we experienced a change in the responsible department. As a result of this change in oversight, we are expecting activity at the center to increase therefore resulting in increased revenue and expenses.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	28,207	28,207	28,207	28,207	28,207	28,207	28,207
Beginning Cash Balance	13,676	8,135	15,595	13,199	12,285	9,305	11,825
Revenues	8,170	7,690	8,587	18,093	25,020	25,520	26,031
Expenditures	13,710	230	10,982	19,008	28,000	23,000	23,460
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8,136	15,595	13,199	12,285	9,305	11,825	14,396
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	8,136	15,595	13,199	12,285	9,305	11,825	14,396

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: Research and Training RF  
 Legal Authority: 304A-2253, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) W  
 Appropriation Acct. No. S-317-F

**Intended Purpose:**

Per statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

**Source of Revenues:**

Indirect overhead revenue generated by the University from research and training extramural awards.

**Current Program Activities/Allowable Expenses:**

The fund is used to support various offices by providing services and resources that enhance research, training, and other sponsored programs and activities, such as sponsor cost sharing or matching requirements, faculty start-up packages, repairs and maintenance of research buildings and equipment, and other operational expenses related to research and training at the University.

The fund is also used to ensure University compliance with federal, state, and sponsor requirements for programmatic, regulatory, fiscal, and property stewardship.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Revenue generated is determined by the level of research and training extramural spending. As research and training awards fluctuate, so will the indirect overhead revenue generated. Spending will follow the same pattern.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,952,912	2,952,912	3,952,912	4,398,912	3,998,912	3,998,912	3,998,912
Beginning Cash Balance	369,267	788,461	693,795	463,308	673,627	509,257	545,257
Revenues	3,720,831	3,820,891	2,830,493	3,829,747	3,328,088	2,986,000	3,045,720
Expenditures	3,302,530	3,582,328	3,420,628	4,106,985	3,892,458	3,350,000	3,417,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(333,471)	359,649	487,557	400,000	400,000	400,000
Ending Cash Balance	787,568	693,554	463,308	673,627	509,257	545,257	573,977
Encumbrances	91,285	75,183	59,382	67,791	60,000	60,000	60,000
Unencumbered Cash Balance	696,283	618,370	403,926	605,836	449,257	485,257	513,977

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: Transcript & Diploma RF  
 Legal Authority: 304A-2256, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) W  
 Appropriation Acct. No. S-317-F

**Intended Purpose:**

Per statute, this fund was established to defray the cost of transcripts and diplomas, and shall be replenished through charges made for transcripts and diplomas or through transfers from other accounts or funds.

**Source of Revenues:**

Fees collected for transcript requests and diploma applications.

**Current Program Activities/Allowable Expenses:**

Expenses for the issuance of transcripts and diplomas, and costs associated with the support of these functions.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The increase in expenditures is due to the hiring of personnel to assist with maintaining accurate student records and verification of course completion.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	114,337	114,337	139,337	139,337	139,337	139,337	139,337
Beginning Cash Balance	180,627	189,028	193,159	146,948	110,485	36,124	34,364
Revenues	62,145	64,390	59,265	60,122	56,000	58,240	60,570
Expenditures	53,743	60,259	105,476	96,584	130,361	60,000	61,200
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	189,028	193,159	146,948	110,485	36,124	34,364	33,734
Encumbrances	8,169	345	745	1,718	0	0	0
Unencumbered Cash Balance	180,859	192,814	146,203	108,768	36,124	34,364	33,734

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: UH Student Activities RF  
 Legal Authority: 304A-2257, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF): W  
 Appropriation Acct. No.: S-317-F

**Intended Purpose:**

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

**Source of Revenues:**

Fees collected, ticket sales and other related income.

**Current Program Activities/Allowable Expenses:**

Expenses necessary to offer activities and programs to the students.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

The increase in expenditures in FY 2014 is the result of increased expenses in support of providing activities for the student body. There was also the need to repair and/or replace equipment in the Student Life Center and offering more outdoor recreational activities for the students. The decrease in expenditures in FY 2016 is due to the decrease in overall student activities due to the decrease in student enrollment.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,005,355	2,005,355	2,400,355	2,000,355	2,400,355	2,400,355	2,400,355
Beginning Cash Balance	2,316,669	2,325,469	1,820,873	1,660,417	1,817,555	1,880,058	1,906,079
Revenues	1,541,567	1,588,559	1,725,290	1,827,773	1,886,592	1,886,592	1,886,592
Expenditures	1,532,767	2,093,155	1,887,811	1,670,635	1,824,089	1,860,571	1,897,782
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	2,065	0	0	0	0
Ending Cash Balance	2,325,469	1,820,873	1,660,417	1,817,555	1,880,058	1,906,079	1,894,889
Encumbrances	543,435	59,202	96,577	72,844	60,000	60,000	60,000
Unencumbered Cash Balance	1,782,034	1,761,672	1,563,839	1,744,711	1,820,058	1,846,079	1,834,889

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: Career and Technical Training Projects RF  
 Legal Authority: 304A-2268, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) W  
 Appropriation Acct. No. S-317-F

**Intended Purpose:**

Per statute, receipts from fees for services, supplies, and use of equipment provided by or in connection with the career and technical training projects shall be deposited in this fund, and shall be expended for vocational and technical training projects, and supplies, equipment, and services related thereto.

**Source of Revenues:**

Receipts from fees for services and sale of supplies provided by or in connection with these projects.

**Current Program Activities/Allowable Expenses:**

Expenses in support of projects.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The fluctuation in revenue is due to the unpredictability of farm products available for sale. The fluctuation of expenditures is due to the periodic need to purchase large cost items, such as tractors or replacement computers for the labs. **This fund has been repealed as of 7/1/2015.**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,000	16,000	46,000				
Beginning Cash Balance	55,212	56,904	41,387	3	3	3	3
Revenues	2,249	3,145	2	0	0	0	0
Expenditures	557	18,661	41,386	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	56,904	41,387	3	3	3	3	3
Encumbrances	1,146	40,913	0	0	0	0	0
Unencumbered Cash Balance	55,758	475	3	3	3	3	3

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: Hawaiian Language College RF  
 Legal Authority: 304A-2270, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) W  
 Appropriation Acct. No. S-317-F

**Intended Purpose:**

Per statute, revenues from the sale of Hawaiian language materials shall be deposited in this fund, and shall be expended to support the Hawaiian Language College at UH Hilo.

**Source of Revenues:**

Sales of published materials and other related items.

**Current Program Activities/Allowable Expenses:**

Expenses in support of the college's operation.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The increase in revenue is due to a higher demand for publications. The increase in expenditures in FY 2015 is the result of hiring personnel to help support the development of these published materials and an increase in the printing costs associated with producing these publications. The decrease in expenditures in FY 2016 is due to the majority of development and printing costs being charged in the prior fiscal year.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	72,135	72,135	122,135	122,135	122,135	122,135	122,135
Beginning Cash Balance	97,758	95,470	102,800	81,302	108,470	93,670	90,374
Revenues	54,102	67,974	76,896	92,421	75,200	76,704	78,238
Expenditures	56,390	60,645	98,393	65,253	90,000	80,000	81,600
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	95,470	102,800	81,302	108,470	93,670	90,374	87,012
Encumbrances	0	0	3,094	33,634	0	0	0
Unencumbered Cash Balance	95,470	102,800	78,208	74,836	93,670	90,374	87,012

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: Conference Center RF - UH Hilo  
 Legal Authority: 304A-2272, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) W  
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, all fees, charges, and other moneys collected in conjunction with the UH Hilo Conference Center program shall be deposited in this fund, and may be expended on costs associated with conducting conferences, seminars, and courses offered by the program.

Source of Revenues:

Conference fees and other related income.

Current Program Activities/Allowable Expenses:

Expenditures related to the administration of conferences, workshops, seminars and other educational activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	3	3	3	3	3	3	3
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3	3	3	3	3	3	3
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	3	3	3	3	3	3	3

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: UH Real Property & Facilities Use RF  
 Legal Authority: 304A-2274, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF): W  
 Appropriation Acct. No.: S-317-F

**Intended Purpose:**

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

Activities of the Small Business Incubator and University Research Park is recorded in this fund.

**Source of Revenues:**

Rental income.

**Current Program Activities/Allowable Expenses:**

Expenses related to the oversight of the Small Business Incubator and University Research Park.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Revenues and expenditures will fluctuate based on the number of tenants occupying the facilities during the course of the year.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	71,046	71,046	71,046	71,046	71,046	71,046	71,046
Beginning Cash Balance	96,474	124,152	154,384	169,162	193,084	200,625	207,168
Revenues	64,648	67,659	55,921	79,071	65,000	66,300	67,626
Expenditures	36,970	37,427	40,906	55,149	57,459	59,757	62,148
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	(237)	0	0	0	0
Ending Cash Balance	124,152	154,384	169,162	193,084	200,625	207,168	212,646
Encumbrances	763	670	620	8,833	5,000	5,000	5,000
Unencumbered Cash Balance	123,389	153,714	168,542	184,251	195,625	202,168	207,646

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: UH Parking RF  
 Legal Authority: 304A-2275, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) W  
 Appropriation Acct. No. S-317-F

**Intended Purpose:**

Per statute, all fees, fines, or other moneys collected from a University parking facility may be deposited in this fund.

**Source of Revenues:**

Parking fees and fines.

**Current Program Activities/Allowable Expenses:**

Expenditures made in support of providing parking services.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The decrease in the FY 2016 expenditures is due to the reduction of guard service at designated campus entrances.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	487,245	489,130	489,130	491,674	501,674	501,674	501,674
Beginning Cash Balance	147,799	153,532	141,303	126,705	183,750	278,100	280,144
Revenues	246,442	241,873	251,010	268,374	269,350	272,044	274,764
Expenditures	240,708	254,101	265,607	211,330	175,000	270,000	272,700
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	153,532	141,303	126,705	183,750	278,100	280,144	282,208
Encumbrances	21,448	24,745	26,609	100,946	50,000	50,000	50,000
Unencumbered Cash Balance	132,083	116,558	100,096	82,803	228,100	230,144	232,208

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-210  
 Name of Fund: College Work Study Program  
 Legal Authority: 304-8, HRS

Contact Name: Lois Fujiyoshi  
 Phone: 932-7664  
 Fund type (MOF) N  
 Appropriation Acct. No. S-266-F

**Intended Purpose:**

Fund is used to account for the allocation and expenditure related to our Federal Work Study program.

**Source of Revenues:**

US Department of Education

**Current Program Activities/Allowable Expenses:**

Salaries for eligible student assistants.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Expenditures will fluctuate depending on the number of students working who qualify for the Federal Work Study program. Qualification is based on the student's financial need.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	394,018	418,990	443,962	443,962	443,962	443,962	443,962
Beginning Cash Balance	0	0	0	0	(16)	0	0
Revenues	278,150	294,872	326,400	275,289	320,729	323,936	327,176
Expenditures	278,150	294,872	326,400	275,305	320,713	323,936	327,176
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	(16)	0	0	0
Encumbrances	0	0					
Unencumbered Cash Balance	0	0	0	(16)	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
 Name of Fund: UH Tuition & Fees SF (Regular Session)  
 Legal Authority: 304A-2153, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF) B  
 Appropriation Acct. No. S-335-F

**Intended Purpose:**

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Funds are used to pay for personnel and other expenditures to support the mission of the university.

**Source of Revenues:**

Revenues collected by the university for regular credit tuition, tuition-related course and fee charges, and any other charges to students.

**Current Program Activities/Allowable Expenses:**

To maintain and improve the university's programs, services, and operations.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

Higher than projected enrollment increased revenues. Enrollment increased by 12.7% from Fall 2013 to 2014, increased by 1.2% from Fall 2014 to Fall 2015, and increased by 9.2% from Fall 2015 to 2016. With the 89 FTE positions UHWO received in 2014, many temporary hires were converted to permanent positions thus a decrease in TFSF expenditures in FY15. In FY16, as permanent positions were filled, general funds shortfall for payroll was charged TFSF. Increase in faculty overload in FY16 to account for growing enrollment.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	19,152,479	18,134,958	18,500,958	15,456,479	15,456,479	15,501,479	15,501,479
Beginning Cash Balance	(444,630)	(1,232,499)	984,082	3,246,762	(2,064,099)	(1,085,361)	(371,301)
Revenues	8,219,297	10,590,609	12,682,401	14,091,424	15,086,359	15,689,813	16,317,406
Expenditures	5,690,314	6,019,700	4,414,754	7,381,256	10,000,054	10,400,056	10,816,058
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Debt Service trans-out	(3,180,445)	(1,817,702)	(2,858,865)	(4,286,155)	(2,257,034)	(2,228,678)	(2,229,005)
Scholarship trans-out		(115,590)	(2,937,327)	(2,266,615)	(2,103,247)	(2,000,000)	(2,100,000)
EB-5 & Other System Assess. Act 236	(132,991)	(424,489)	(208,776)	(116,229)	(111,000)	(125,000)	(135,000)
				(5,352,029)	363,714	(222,019)	(397,448)
Net Total Transfers	(3,313,435)	(2,357,781)	(6,004,967)	(12,021,028)	(4,107,567)	(4,575,697)	(4,861,453)
Ending Cash Balance	(1,229,082)	980,629	3,246,762	(2,064,099)	(1,085,361)	(371,301)	268,594
Encumbrances	72,813	297,040	322,618	738,203	758,828	800,000	820,000
Unencumbered Cash Balance	(1,301,895)	683,589	2,924,144	(2,802,302)	(1,844,189)	(1,171,301)	(551,406)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* UH West Oahu 304A-2153, HRS has two categories (Regular and Summer), with a total Unencumbered Cash Balance of \$20,725.

Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/16) and before the close of Fiscal Period 12.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
 Name of Fund: UH Tuition & Fees SF (Summer Session)  
 Legal Authority: 304A-2153, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF) B  
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations. To provide courses during the summer months to compliment the regular academic year calendar.

Source of Revenues:

Revenues collected for tuition and fee charges associated with summer courses.

Current Program Activities/Allowable Expenses:

To maintain and improve the university's programs, services, and operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in enrollment for summer 2015 and 2016 generated higher than projected revenue and expenditures.  
 In Summer 2015, portion of expenses charged to other funds.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,727,521	2,727,521	2,500,000	1,500,000	1,500,000	1,500,000	1,500,000
Beginning Cash Balance	1,327,866	1,634,918	1,828,127	2,411,201	2,828,427	1,849,689	1,177,001
Revenues	628,992	547,282	940,002	1,053,837	1,676,262	1,743,312	1,813,045
Expenditures	325,209	350,803	141,198	381,611	400,000	416,000	432,640
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Debt Services					(2,000,000)	(2,000,000)	(2,000,000)
EB-5 Interest trans-out			(127,500)	(255,000)	(255,000)	0	0
Emrgncy Siren & Bond Assess.			(88,231)	0	0	0	0
Net Total Transfers	0	0	(215,731)	(255,000)	(2,255,000)	(2,000,000)	(2,000,000)
Ending Cash Balance	1,631,649	1,831,397	2,411,201	2,828,427	1,849,689	1,177,001	557,406
Encumbrances	0	0	0	5,400	5,500	5,700	6,000
Unencumbered Cash Balance	1,631,649	1,831,397	2,411,201	2,823,027	1,844,189	1,171,301	551,406

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* UH West Oahu 304A-2153, HRS has two categories (Regular and Summer), with a total Unencumbered Cash Balance of \$20,725.

Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/16) and before the close of Fiscal Period 12.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
 Name of Fund: Library SF  
 Legal Authority: 304A-2155, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF) B  
 Appropriation Acct. No. S-335-F

**Intended Purpose:**

Per statute, all fines, fees and other revenue derived from the UH libraries' operations shall be deposited in this fund, to be used to support and improve the services provided by the libraries.

To purchase/replace books, serials, periodicals, and to support library services.

**Source of Revenues:**

Fines, fees, and other revenue derived from UHWO Library operations.

**Current Program Activities/Allowable Expenses:**

To purchase, replace or repair library materials and to support and improve the services provided by the library.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

With the transfer to the new Kapolei campus library in Fall 2012, library revenue and expenditures increased mainly attributed by the income generated from library copy cards. Library also received a one time assistance from RTRF in FY14 to offset expenditures. No RTRF allocation provided in FY15 and 16 thus the increase in expenditures.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000	20,000	20,000	20,000	50,000	75,000	75,000
Beginning Cash Balance	6,643	10,009	19,273	18,211	13,666	10,908	8,759
Revenues	12,611	14,616	15,290	19,463	20,242	21,052	21,894
Expenditures	9,245	5,352	16,353	24,008	23,000	23,200	23,500
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	10,009	19,273	18,211	13,666	10,908	8,759	7,153
Encumbrances	752	688	4,185	6,313	6,000	6,000	6,000
Unencumbered Cash Balance	9,257	18,585	14,025	7,353	4,908	2,759	1,153

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
 Name of Fund: UH Community Services SF  
 Legal Authority: 304A-2156, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF) B  
 Appropriation Acct. No. S-335-F

**Intended Purpose:**

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

**Source of Revenues:**

All revenues including interest, derived and collected from the university's provision of public service programs.

**Current Program Activities/Allowable Expenses:**

Expenses related to managing public service programs.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

FY14 increase in revenue received from the Institute for Reading Development (IRD). IRD program discontinued in FY15. In FY16, UHWO initiated non-credit programs such as Project Lead the Way and International Program resulting in an increase in revenue and expenditures.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	100,000	250,000	250,000	320,000	320,000
Beginning Cash Balance	59,075	73,769	103,073	39,507	95,737	95,737	90,737
Revenues	22,606	33,205	10,432	146,715	150,000	175,000	195,000
Expenditures	5,645	3,901	28,910	90,485	150,000	180,000	200,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(2,267)	0	(45,089)	0	0	0	0
Ending Cash Balance	73,769	103,073	39,507	95,737	95,737	90,737	85,737
Encumbrances	2,896	0	8,833	37,808	25,000	28,000	30,000
Unencumbered Cash Balance	70,874	103,073	30,674	57,929	70,737	62,737	55,737

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
 Name of Fund: UH Auxiliary Enterprises SF  
 Legal Authority: 304A-2157, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-335-F

**Intended Purpose:**

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

To provide auxiliary services to students, faculty, staff and others

**Source of Revenues:**

All revenue to include interest, food services and vending machines

**Current Program Activities/Allowable Expenses:**

Expenses related to the management of auxiliary services

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

UHWO Bookstore operations transferred to system in FY13, revenue and expenditures decreased as a result of this transfer. Increase in vending operations in FY 15 resulted in a constant increase in revenue and expenditures. As enrollment increased, vending services and revenue increased as well.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		20,000	100,000	75,000	75,000	100,000	100,000
Beginning Cash Balance	0	(2,549)	8,598	20,302	34,460	47,911	59,206
Revenues	43,212	18,373	21,686	25,864	28,451	31,296	34,425
Expenditures	45,761	7,227	9,982	11,706	15,000	20,000	25,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(2,549)	8,598	20,302	34,460	47,911	59,206	68,631
Encumbrances	0	6,786	1,500	246	500	750	1,000
Unencumbered Cash Balance	(2,549)	1,812	18,802	34,214	47,411	58,456	67,631

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
 Name of Fund: UH Scholarship & Assistance SF  
 Legal Authority: 304A-2159, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF): B  
 Appropriation Acct. No.: S-335-F

**Intended Purpose:**

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

**Source of Revenues:**

Scholarship accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

**Current Program Activities/Allowable Expenses:**

Tuition scholarships to students attending the university

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Increase in expenditures is related to the increase in scholarship awards and a higher distribution of scholarships based on tuition revenue.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000,000	1,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Beginning Cash Balance	(343,575)	(929,379)	(1,765,411)	(55,482)	(142,059)	(0)	(0)
Revenues	0	0	0	0	0	0	0
Expenditures	585,804	912,324	1,227,398	2,353,192	1,961,188	2,000,000	2,100,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Scholarship trans-in from TFSF		76,292	2,937,327	2,266,615	2,103,247	2,000,000	2,100,000
Net Total Transfers	0	76,292	2,937,327	2,266,615	2,103,247	2,000,000	2,100,000
Ending Cash Balance	(929,379)	(1,765,411)	(55,482)	(142,059)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(929,379)	(1,765,411)	(55,482)	(142,059)	(0)	(0)	(0)

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
 Name of Fund: UH West Oahu SF  
 Legal Authority: 304A-2166, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF) B  
 Appropriation Acct. No. S-335-F

**Intended Purpose:**

Per statute, proceeds of this fund shall be used for planning, land acquisition, design, construction, and equipment necessary for the development of the permanent campus of UH West Oahu; and planning, land acquisition, design, improvement, and construction of infrastructure and other public or common facilities necessary for the development of the campus.

**Source of Revenues:**

Revenue derived from land sales and leases

**Current Program Activities/Allowable Expenses:**

Planning, land acquisition, design, construction, and equipment necessary for the development of the permanent campus of the University of Hawai'i - West O'ahu in Kapolei, including infrastructure and other public or common facilities.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Revenue (final payment of land sale to Tokai University) was recorded by GALC in FY14 (13 month), but deposited in FY12 and expended in FY13. In FY16, transferred deposits to the UH Real Property and Facilities Use revolving fund account. In FY17, will utilize RF account (HRS 304A-2274).

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000,000	10,000,000	10,000,000	200,000	200,000	300,000	300,000
Beginning Cash Balance	3,486,638	1,139,052	120,826	269,876	86,603	36,603	11,603
Revenues	25,752	(787,954)	4,050	(24,555)	0	0	0
Expenditures	2,373,339	(1,582,913)	0	158,717	50,000	25,000	5,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(1,813,185)	145,000	0	0	0	0
Ending Cash Balance	1,139,052	120,826	269,876	86,603	36,603	11,603	6,603
Encumbrances	32,932	31,665	190,482	1,807	1,000	1,250	500
Unencumbered Cash Balance	1,106,119	89,161	79,393	84,796	35,603	10,353	6,103

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
UH Revenue Undertakings SF (WO Campus  
 Name of Fund: Development)  
 Legal Authority 304A-2167.5, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF) B  
 Appropriation Acct. No. S-335-F

**Intended Purpose:**

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.  
 To allow the university to develop the new campus in Kapolei.

**Source of Revenues:**

Proceeds from the sale of public lands, all net rents from leases, licenses, and permits; or all proceeds derived from the development rights of public lands.

**Current Program Activities/Allowable Expenses:**

Planning, land acquisition, design, construction, and equipment necessary for the development of the permanent campus of the University of Hawai'i - West O'ahu in Kapolei including infrastructure and other public or common facilities.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

See notes below.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000	1,000,000	4,000	1,000	1,000	1,000	1,000
Beginning Cash Balance	403	2,000,004	382,070	259	21	21	21
Revenues	4	254	259	278	200	200	200
Expenditures	8,327,583	2,002,759	3,231	3,154	3,200	3,200	3,200
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Rating Agent and Other Fees					3,000	3,000	3,000
Net Total Transfers *	10,327,179	384,571	(378,839)	2,638	3,000	3,000	3,000
Ending Cash Balance	2,000,004	382,070	259	21	21	21	21
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	2,000,004**	382,070	259	21	21	21	21

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* Includes \$10,324,912 Short Term Loan funded by System while UHWO awaited receipt of EB-5 funds.

\*\* \$2,000,000 Deposits Payable from CanAm Enterprises. Refunded in FY 2014 to Can Am once EB-5 loan received.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
 Name of Fund: Henry Giugni Moving Image Archives SF  
 Legal Authority: 304A-2180, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF) B  
 Appropriation Acct. No. S-335-F

**Intended Purpose:**

Per statute, any legislative appropriation, federal or private grants, and any other funds collected for the purposes of the 'Ulu'ulu: The Henry Giugni Moving Image Archive shall be deposited in this fund. Moneys shall be expended to support the activities of the moving image archive.

**Source of Revenues:**

State legislative appropriations, federal and private grants, and other funds.

**Current Program Activities/Allowable Expenses:**

Expenditures to support the activities of the moving image archive.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

Act 90, SLH 2012 established this special fund without an appropriation.

**Variances:**

In FY2015, agreement signed to transfer \$500,000 to UHWO as part of Oceanic Cable franchise fee obligation to the State of Hawaii Cable Television Division.  
 Revenue: FY16 \$250,000; FY17 \$200,000; FY18 \$175,000

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	50,000	500,000	470,000	475,000	475,000
Beginning Cash Balance	0	0	0	500,000	682,695	682,695	607,695
Revenues	0	0	500,000	250,000	200,000	175,000	0
Expenditures	0	0	0	67,305	200,000	250,000	250,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	500,000	682,695	682,695	607,695	357,695
Encumbrances	0	0	23,246	21,266	50,000	50,000	50,000
Unencumbered Cash Balance	0	0	476,754	661,429	632,695	557,695	307,695

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
 Name of Fund: UH Commercial Enterprises RF  
 Legal Authority: 304A-2251, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF): W  
 Appropriation Acct. No.: S-348-F

**Intended Purpose:**

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

**Source of Revenues:**

All revenues including interest, derived and collected from the university's commercial enterprises.

**Current Program Activities/Allowable Expenses:**

Expenses related to the cost associated with the operation of the enterprises.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

UHWO Bookstore operations transferred to system.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	20,000	0	0	0	0
Beginning Cash Balance	11,799	12,269	12,285	3	0	0	0
Revenues	470	16	3	0	0	0	0
Expenditures	0	0	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	(12,286)	(3)	0	0	0
Ending Cash Balance	12,269	12,285	3	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	12,269	12,285	3	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
 Name of Fund: Research and Training RF  
 Legal Authority: 304A-2253, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF) W  
 Appropriation Acct. No. S-348-F

**Intended Purpose:**

Per statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

**Source of Revenues:**

Indirect overhead revenue generated by the University from research and training extramural awards.

**Current Program Activities/Allowable Expenses:**

The fund is used to support various offices by providing services and resources that enhance research, training, and other sponsored programs and activities, such as sponsor cost sharing or matching requirements, faculty start-up packages, repairs and maintenance of research buildings and equipment, and other operational expenses related to research and training at the University.

The fund is also used to ensure University compliance with federal, state, and sponsor requirements for programmatic, regulatory, fiscal, and property stewardship.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

One time agreement to support HUD activities and library databases in FY2014. With increase in revenue, expenditures also increased to fulfill allocation. In FY2015 received one time \$65,000 for PV project, which remained encumbered at the end of FY2015 and expended in FY2016.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	150,000	150,000	150,000	225,000	225,000	225,000	225,000
Beginning Cash Balance	18,093	(38,916)	7,437	88,488	7,595	0	0
Revenues	25,433	105,465	148,595	140,203	165,521	175,000	190,000
Expenditures	82,442	98,410	61,642	221,136	173,116	175,000	190,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	39,298	(5,903)	40	0	0	0
Ending Cash Balance	(38,917)	7,437	88,488	7,595	0	0	0
Encumbrances	0	0	65,000	0	0	0	0
Unencumbered Cash Balance	(38,917)	7,437	23,488	7,595	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
 Name of Fund: Transcript & Diploma RF  
 Legal Authority: 304A-2256, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF): W  
 Appropriation Acct. No.: S-348-F

**Intended Purpose:**

Per statute, this fund was established to defray the cost of transcripts and diplomas, and shall be replenished through charges made for transcripts and diplomas or through transfers from other accounts or funds.

**Source of Revenues:**

Revenue is derived from fees collected for transcript and diploma requests.

**Current Program Activities/Allowable Expenses:**

Expenses related to managing the cost of transcripts and diplomas.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Increase in student help payroll, printing costs and subscription costs led to an overall increase in expenditures in FY2014-2016.

Increase in requests for transcripts and diplomas in FY2015 and FY2016 resulted in an increase in revenue.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	100,000	50,000	50,000	50,000	50,000
Beginning Cash Balance	35,154	44,120	47,752	55,018	62,290	67,505	69,761
Revenues	19,578	19,802	22,826	25,837	28,421	31,263	34,389
Expenditures	10,613	16,170	15,561	18,565	23,206	29,007	36,259
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	44,120	47,753	55,018	62,290	67,505	69,761	67,892
Encumbrances	590	1,033	1,350	4,711	4,500	4,750	5,000
Unencumbered Cash Balance	43,529	46,720	53,668	57,580	63,005	65,011	62,892

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
 Name of Fund: UH Student Activities RF  
 Legal Authority: 304A-2257, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF) W  
 Appropriation Acct. No. S-348-F

**Intended Purpose:**

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

**Source of Revenues:**

Funds assessed as compulsory student activity fees collected by the University of Hawai'i on behalf of chartered student organizations and student activity programs.

**Current Program Activities/Allowable Expenses:**

Expenses related to each respective chartered student organization or student activity program for any purpose which it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Effective FY2014, student fees increased from \$5/student to \$120/student. In FY2014, a \$64/student refund was issued to all fall students who paid the \$120 in student fees. Expenditures increased to provide services per new fee schedule and to serve the growing student population.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	500,000	1,000,000	815,000	815,000	815,000	815,000
Beginning Cash Balance	41,796	39,643	249,040	487,845	739,109	908,892	966,474
Revenues	27,516	380,338	572,633	603,312	627,444	652,542	678,644
Expenditures	29,670	170,941	333,828	352,048	457,662	594,960	773,448
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	39,643	249,040	487,845	739,109	908,892	966,474	871,669
Encumbrances	0	442	4,872	37,460	40,000	42,500	45,000
Unencumbered Cash Balance	39,643	248,598	482,973	701,649	868,892	923,974	826,669

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
 Name of Fund: Center for Labor Education & Research RF - CLEAR  
 Legal Authority: 304A-2267, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF) W  
 Appropriation Acct. No. S-348-F

**Intended Purpose:**

Per statute, all fees, charges, and other moneys collected in conjunction with the Center for Labor Education and Research shall be deposited in this fund, and expended to defray the cost of operating the CLEAR, excluding compensation of the permanent staff.

To provide labor-related education, labor-related research, and education services.

**Source of Revenues:**

Class fees and services to sustain the operation of the unit.

**Current Program Activities/Allowable Expenses:**

Funds are used to support the operational expenses for the Center for Labor Education and Research.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Increase in revenue higher than projected and processed less expenditures than anticipated.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	10,000	10,000	10,000	10,000	10,000
Beginning Cash Balance	7,713	3,760	2,238	4,387	6,847	9,097	11,347
Revenues	4,469	5,761	6,632	7,803	8,000	8,250	8,250
Expenditures	8,422	7,283	5,574	5,343	5,750	6,000	6,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	1,091	0	0	0	0
Ending Cash Balance	3,760	2,239	4,387	6,847	9,097	11,347	13,597
Encumbrances	196	2,237	2,462	2,385	2,500	2,750	3,000
Unencumbered Cash Balance	3,564	1	1,925	4,462	6,597	8,597	10,597

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
Center for Labor Education & Research RF (Rice  
 Name of Fund: & Roses)  
 Legal Authority 304A-2267, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF) W  
 Appropriation Acct. No. S-348-F

**Intended Purpose:**

Per statute, all fees, charges, and other moneys collected in conjunction with the Center for Labor Education and Research shall be deposited in this fund, and expended to defray the cost of operating the CLEAR, excluding compensation of the permanent staff.

To defray the cost of the production of the Rice and Roses programs produced by the Center for Labor Education and Research and documenting the labor movement history in Hawai'i.

**Source of Revenues:**

Funds are raised through reproduction or sale of documentaries.

**Current Program Activities/Allowable Expenses:**

Production of the Rice and Roses program which includes interviewing and recording oral histories from various labor leaders; scriptwriting and producing television quality documentaries. CLEAR also maintains a library of labor education materials and videos.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

No activity in the Rice and Roses program

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000	100	0	0	0	0	0
Beginning Cash Balance	1	1	1	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	(1)	0	0	0	0
Ending Cash Balance	1	1	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1	1	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
 Name of Fund: UH Real Property & Facilities Use RF  
 Legal Authority: 304A-2274, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF) W  
 Appropriation Acct. No. S-348-F

**Intended Purpose:**

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

**Source of Revenues:**

All revenues collected for the use of university real property and facilities.

**Current Program Activities/Allowable Expenses:**

Expenses related to managing and operating university facilities.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Increase in revenue received from external MOAs, concession contracts, and facilities use agreements. Expenses increased to support campus facilities/programs.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,309,000	2,909,900	2,420,000	900,000	900,000	900,000	900,000
Beginning Cash Balance	0	30,714	119,360	174,577	337,334	430,245	516,802
Revenues	87,147	180,208	181,117	259,915	272,911	286,557	300,885
Expenditures	56,433	91,562	107,184	97,161	180,000	200,000	250,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	(18,716)	3	0	0	0
Ending Cash Balance	30,714	119,360	174,577	337,334	430,245	516,802	567,686
Encumbrances	16,042	6,064	26,386	19,309	20,000	22,500	25,000
Unencumbered Cash Balance	14,672	113,296	148,190	318,025	410,245	494,302	542,686

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-700  
 Name of Fund: Federal Work Study  
 Legal Authority: 304A-2003, HRS

Contact Name: Linda Saiki  
 Phone: 689-2503  
 Fund type (MOF) N  
 Appropriation Acct. No. S-205-F

Intended Purpose:

To provide need-based financial aid to students through employment.

Source of Revenues:

Federal Work Study Program

Current Program Activities/Allowable Expenses:

Expenses related to providing students with financial aid through employment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Less than anticipated students worked under the Federal Work Study Program in FY 2014.

Efforts in place to promote and encourage students to participate in the FWS program.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	26,772	33,544				
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	12,149	9,289	18,925	45,774	60,000	65,000	70,000
Expenditures	12,367	9,289	18,925	45,774	60,000	65,000	70,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	218	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-800  
 Name of Fund: UH Tuition and Fees SF  
 Legal Authority: 304A-2153, HRS

Contact Name: Michael Unebasami  
 Phone: 956-6280  
 Fund type (MOF) B  
 Appropriation Acct. No. S-300-F

**Intended Purpose:**

The fund is used to account for revenues from tuition-related course and fee charges which are expended to maintain or improve the university's programs and operations.

**Source of Revenues:**

Revenues are from tuition-related course and fee charges collected by the University from students.

**Current Program Activities/Allowable Expenses:**

To recover part of the cost of instruction from resident and non-resident students in the form of tuition, late and change in registration fees, application fees, and out-of-state or non-resident fees.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

For the Community Colleges as a whole, enrollment decreased slightly for the year (3%, headcount) and expenditure levels generally track enrollment. In addition, initiatives for replacement of aging equipment and repairs and maintenance projects were deferred to FY17 pending a refinement of the methodology for allocating funds for these purposes.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	65,664,436	71,167,365	76,183,293	76,201,367	76,201,367	76,201,367	76,201,367
Beginning Cash Balance	22,722,331	16,686,249	15,826,470	16,219,438	5,470,004	5,458,428	5,458,428
Revenues	62,395,040	64,717,309	66,258,757	67,058,990	62,942,975	62,942,975	64,201,835
Expenditures	63,210,009	59,634,922	59,140,477	52,428,782	63,135,177	62,942,975	64,201,835
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
2231722 trf to UH System for Presidents Emerging Leaders Prog				(1,250)	(1,250)	(1,250)	(1,250)
2232672 trf to UH System for Presidents Emerging Leaders Prog				(1,250)	(1,250)	(1,250)	(1,250)
2218832 trf to UH System for Presidents Emerging Leaders Prog				(1,250)	(1,250)	(1,250)	(1,250)
2228112 trf to UH System for Presidents Emerging Leaders Prog				(1,250)	(1,250)	(1,250)	(1,250)
2218872 trf to UH System for Presidents Emerging Leaders Prog				(1,250)	(1,250)	(1,250)	(1,250)
2224382 trf to UH System for Presidents Emerging Leaders Prog				(1,250)	(1,250)	(1,250)	(1,250)
2226672 trf to UH System for Presidents Emerging Leaders Prog				(3,750)	(3,750)	(3,750)	(3,750)
2301485 trf to UH System for UH Foundation assessment				(4,803)	(4,803)	(4,803)	(4,803)
2301486 trf to UH System for UH Foundation assessment				(145,511)	(145,511)	(145,511)	(145,511)
2301487 trf to UH System for UH Foundation assessment				(62,486)	(62,486)	(62,486)	(62,486)
2301493 trf to UH System for UH Foundation assessment				(44,979)	(44,979)	(44,979)	(44,979)
2301502 trf to UH System for UH Foundation assessment				(61,557)	(61,557)	(61,557)	(61,557)
2301515 trf to UH System for UH Foundation assessment				(164,835)	(164,835)	(164,835)	(164,835)
2301516 trf to UH System for UH Foundation assessment				(51,022)	(51,022)	(51,022)	(51,022)
2221652 trf to UH System for eBuilder system assessment				(116,391)	(116,391)	(116,391)	(116,391)
2230272 trf for debt service				(445,247)	(445,247)	(445,247)	(445,247)
2301485 trf to UH System for Kualifiancial system assessment				(167,134)	(167,134)	(167,134)	(167,134)
2301486 trf to UH System for Kualifiancial system assessment				(187,855)	(187,855)	(187,855)	(187,855)
2301487 trf to UH System for Kualifiancial system assessment				(75,422)	(75,422)	(75,422)	(75,422)
2301493 trf to UH System for Kualifiancial system assessment				(304,019)	(304,019)	(304,019)	(304,019)
2301502 trf to UH System for Kualifiancial system assessment				(120,444)	(120,444)	(120,444)	(120,444)

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

2301515 trf to UH System for Kualifiancial system assessment				(493,098)	(493,098)	(493,098)	(493,098)
2301516 trf to UH System for Kualifiancial system assessment				(435,309)	(435,309)	(435,309)	(435,309)
2301485 trf to UH System for Risk Mgmt assessment				(69,933)	(69,933)	(69,933)	(69,933)
2301486 trf to UH System for Risk Mgmt assessment				(20,645)	(20,645)	(20,645)	(20,645)
2301487 trf to UH System for Risk Mgmt assessment				(11,501)	(11,501)	(11,501)	(11,501)
2301493 trf to UH System for Risk Mgmt assessment				(69,712)	(69,712)	(69,712)	(69,712)
2301502 trf to UH System for Risk Mgmt assessment				(21,326)	(21,326)	(21,326)	(21,326)
2301515 trf to UH System for Risk Mgmt assessment				(51,960)	(51,960)	(51,960)	(51,960)
2301516 trf to UH System for Risk Mgmt assessment				(41,149)	(41,149)	(41,149)	(41,149)
2224502 trf for debt service				(165,041)	(165,041)	(165,041)	(165,041)
2221652 trf for debt service				(1,282,719)	(1,282,719)	(1,282,719)	(1,282,719)
2301485 trf to CCSF for UHCC reserve				(82,187)	(82,187)	(82,187)	(82,187)
2301486 trf to CCSF for UHCC reserve				(513,401)			
2301502 trf to CCSF for UHCC reserve				(659)	(659)	(659)	(659)
2301516 trf to CCSF for UHCC reserve				(59,981)	(59,981)	(59,981)	(59,981)
2224502 trf to Revenue Undertaking SF for bond system expenses				(333)	(333)	(333)	(333)
2286922 trf for debt service				(572,909)	(572,909)	(572,909)	(572,909)
2286922 trf to Revenue Undertaking SF for bond system expenses				(3,057)	(3,057)	(3,057)	(3,057)
2230272 trf to Revenue Undertaking SF for bond system expenses				(440)	(440)	(440)	(440)
2218832 trf to UH System for course scheduling analysis				(2,000)	(2,000)	(2,000)	(2,000)
2235172 trf to UH System for course scheduling analysis				(2,000)	(2,000)	(2,000)	(2,000)
2235612 trf to UH System for course scheduling analysis				(2,000)	(2,000)	(2,000)	(2,000)
2301486 trf to UH System for course scheduling analysis				(2,000)	(2,000)	(2,000)	(2,000)
2301502 trf to UH System for course scheduling analysis				(2,000)	(2,000)	(2,000)	(2,000)
2301442 trf from CCSF for Starfish licence fees and personnel support				22,294			
2300492 trf to UH System for centralization of financial aid project				(103,013)	(23,415)	(23,415)	(23,415)
Act 236 transfer to UH system				(19,430,608)	5,558,955	5,378,329	5,378,329
Net Total Transfers	(5,220,812)	(5,941,200)	(6,725,364)	(25,379,642)	180,626	0	0
Ending Cash Balance	16,686,550	15,827,436	16,219,386	5,470,004	5,458,428	5,458,428	5,458,428
Encumbrances	6,282,855	6,534,917	7,036,216	5,458,428	5,458,428	5,458,428	5,458,428
Unencumbered Cash Balance	10,403,695	9,292,519	9,183,170	11,576	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

\* Community Colleges 304A-2153, HRS ended FY 2016 with a total Unencumbered Cash Balance of \$11,576.

Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/16) and before the close of Fiscal Period 12.

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-800  
 Name of Fund: Community Colleges SF  
 Legal Authority: 304A-2162, HRS

Contact Name: Michael Unebasami  
 Phone: 956-6280  
 Fund type (MOF): B  
 Appropriation Acct. No. S-300-F

**Intended Purpose:**

Per statute, this fund was established to receive, disburse, and account for funds of programs and activities of the community colleges that help make available the resources of the community colleges to the communities they serve.

The fund is used to account for receipts and disbursements related to special programs and activities including off-campus programs, summer session programs, overseas program study abroad, exchange programs, cultural enrichment programs, and consultative services which help make available the resources of the community colleges to the communities they serve. Special fund deposits relate to a wide variety of programs and activities.

**Source of Revenues:**

Revenues are from fees for special programs and activities including off-campus programs, summer session programs, overseas programs, evening sessions, study abroad, exchange programs, cultural enrichment programs, and consultative services which help make available the resources of the community colleges to the communities they serve.

**Current Program Activities/Allowable Expenses:**

The fund is used to account for all costs associated with conducting special programs and activities such as non-credit continuing education programs, special community service programs, special credit programs, summer session, parking operation, facilities use, transcript and diploma services, library operation, vocational and technical projects, vending machine operation, and related support services and facilities.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,149,621	22,049,621	22,049,621	22,049,621	22,049,621	22,049,621	22,049,621
Beginning Cash Balance	27,674,849	27,758,890	25,753,480	27,946,455	19,884,344	19,926,979	19,414,446
Revenues	20,119,867	19,771,020	18,329,237	17,354,509	18,854,000	18,854,000	18,854,000
Expenditures	19,616,165	21,222,128	17,104,334	15,544,706	18,298,832	18,854,000	18,854,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
2301803 admin assessment trf from Conf Ctr RF				2,120	2,120	2,120	2,120
2238122 trf to Revenue Undertaking SF for Culinary Inst of Pacific electrical sys				(110,352)	(110,352)	(110,352)	(110,352)
2238122 trf for debt service				(590,443)	(590,443)	(590,443)	(590,443)
2282902 transfer from TFSF for UHCC reserve				59,981			
2282922 transfer from TFSF for UHCC reserve				659			
2282932 transfer from TFSF for UHCC reserve				82,187			
2282942 transfer from TFSF for UHCC reserve				513,401			
2238122 trf for bond system expenses				(751)	(751)	(751)	(751)
2246992 trf to UH System for course scheduling analysis project				(2,000)	(2,000)	(2,000)	(2,000)
2256252 trf to TFSF for Starfish licence fees and personnel support				(22,294)	(22,294)	(22,294)	(22,294)
2261852 distribution of royalties from UH System				8,695	8,695	8,695	8,695
2277222 error correction, trf from OCET Intl Custom Contract Trng				202,492	202,492	202,492	202,492
Act 236 transfer to UH system				(10,015,609)			
<b>Net Total Transfers</b>	<b>(419,661)</b>	<b>(544,426)</b>	968,072	<b>(9,871,914)</b>	<b>(512,533)</b>	<b>(512,533)</b>	<b>(512,533)</b>
Ending Cash Balance	27,758,890	25,763,356	27,946,455	19,884,344	19,926,979	19,414,446	18,901,913
Encumbrances	3,398,636	3,835,037	2,678,816	1,722,765	1,722,765	1,722,765	1,722,765
Unencumbered Cash Balance	24,360,254	21,928,319	25,267,639	18,161,579	18,204,214	17,691,681	17,179,148

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-800  
 Name of Fund: University Revenue Undertakings Fund  
 Legal Authority: 304A-2167.5, HRS

Contact Name: Michael Unebasami  
 Phone: 956-6280  
 Fund type (MOF) B  
 Appropriation Acct. No. S-300-F

**Intended Purpose:**

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

**Source of Revenues:**

Revenues are all income, revenue, or moneys received by the university, including any appropriation related to university projects, university systems, or networks.

**Current Program Activities/Allowable Expenses:**

Funds are applied to costs of construction, operation, repair, and maintenance of university projects, systems, or networks; to pay for principal and interest on revenue or general obligation bonds; to reimburse the university for expenses related to issuance of revenue bonds; or to provide a reserve for renewal and replacement of university projects, systems, or networks.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Decrease in revenues are due to interest charges incurred during the fiscal year. \$3,390 of the expenses are an allocated share of University bond system expenses such as audit cost, rating agency fees, arbitrage report, and US Bank administration/paying agent fees. The fees are for the Culinary Institute of the Pacific, the Waianae Education Center acquisition, the Palama Nui campus at West Hawai'i, and various energy conservation/alternative energy projects for all campuses. The remaining \$73,000 of the expenses are for work related to the electrical distribution system for the Culinary Institute of the Pacific.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	184,559	184,559	184,559	184,559	184,559	184,559	184,559
Beginning Cash Balance	3	(2,302)	(66)	286	37,782	37,782	37,782
Revenues	(2,302)	(2,280)	(2,266)	(1,369)	0	0	0
Expenditures	2,210	2,909	3,309	76,390	4,903	4,903	4,903
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
2300437 trf from CCSF for Culinary Inst of Pacific electrical system				110,352			
2300437 trf from CCSF for Bond System expenses				751	751	751	751
2271642 trf from TFSF for Bond System expenses				354	354	354	354
2300471 trf from TFSF for Bond System expenses				742	742	742	742
2276702 trf from TFSF fro Bond System expenses				3,056	3,056	3,056	3,056
Net Total Transfers	2,207	7,425	5,927	115,255	4,903	4,903	4,903
Ending Cash Balance	(2,302)	(66)	286	37,782	37,782	37,782	37,782
Encumbrances	0	0	0	37,352	37,352	37,352	37,352
Unencumbered Cash Balance	(2,302)	(66)	286	430	430	430	430

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-800  
 Name of Fund: UH Commercial Enterprises RF  
 Legal Authority: 304A-2251, HRS

Contact Name: Michael Unebasami  
 Phone: 956-6280  
 Fund type (MOF) W  
 Appropriation Acct. No. S-380-F

**Intended Purpose:**

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

The fund is used to account for receipts and disbursements related to commercial enterprise activities including sponsorship in private, cultural, and athletic performances, goods produced by university programs, or goods bearing the University logo.

**Source of Revenues:**

Revenues deposited into the fund are from the operation of commercial enterprises that are related and incidental to the primary purposes of the university, including private, cultural and athletic performances and the sale of goods produced by the university or goods bearing the university logo.

**Current Program Activities/Allowable Expenses:**

Funds are expended for all costs and expenses associated with the operations of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies and equipment.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Currently, revenues are only an allocated share of interest income earned by the University during the fiscal year. Slight increases or decreases in allocated revenue are a function of prevailing interest rates.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	400,000	80,000	80,000	80,000	80,000	80,000	80,000
Beginning Cash Balance	46,740	46,833	46,891	46,998	47,091	47,191	47,291
Revenues	93	58	76	92	100	100	100
Expenditures	0	0	0	0			
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
3400231 royalties distribution from Commercial Enterprises RF				1			
Net Total Transfers	0	0	31	1	0	0	0
Ending Cash Balance	46,833	46,891	46,998	47,091	47,191	47,291	47,391
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	46,833	46,891	46,998	47,091	47,191	47,291	47,391

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-800  
 Name of Fund: Research & Training RF  
 Legal Authority: 304A-2253, HRS

Contact Name: Michael Unebasami  
 Phone: 956-6280  
 Fund type (MOF): W  
 Appropriation Acct. No.: S-380-F

**Intended Purpose:**

Per statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

**Source of Revenues:**

Revenues are from indirect overhead revenues generated by the University from research and training programs.

**Current Program Activities/Allowable Expenses:**

The fund is used to account for expenses related to cost sharing or matching requirements of grants or contracts, research or training seed money, travel grants for faculty and staff, start-up requirements, and operational expenses related to research and training at the University.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Although the level of extramural award funding varies from year to year, it has generally increased for the Community Colleges over the last five years. As a result, overhead revenues from extramural awards have generally increased over the same time period. The actual level of overhead revenue may increase or decrease from year to year since spending for extramural awards can fluctuate throughout the life of the award. In addition, the funding period for extramural awards may cross fiscal years, further contributing to annual fluctuations. Similarly, expenditures of overhead revenue have generally increased as the level of revenue has increased. However the timing of the expenditures are governed by the timing of opportunities for new awards, by startup, cost sharing or matching requirements of existing awards, or by the need for other operational expenses in support of research or training at the Community Colleges.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,071,772	2,273,543	2,275,314	2,275,314	2,275,314	2,275,314	2,275,314
Beginning Cash Balance	1,078,730	991,745	1,262,414	2,440,709	2,930,088	2,155,857	1,631,626
Revenues	1,615,352	1,726,836	2,855,548	2,699,622	2,146,229	2,146,229	2,146,229
Expenditures	1,703,356	1,424,525	1,753,549	2,244,183	2,950,000	2,700,000	2,700,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
3800297 overhead revenue from Hawai'i P-20 program				29,540	29,540	29,540	29,540
3800297 overhead revenue from UH System for Careers in Intelligence Conf				4,400			
Net Total Transfers	0	(32,124)	76,296	33,940	29,540	29,540	29,540
Ending Cash Balance	990,726	1,261,932	2,440,709	2,930,088	2,155,857	1,631,626	1,107,395
Encumbrances	36,492	39,064	10,358	163,002	163,002	163,002	163,002
Unencumbered Cash Balance	954,234	1,222,868	2,430,351	2,767,086	1,992,855	1,468,624	944,393

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-800  
 Name of Fund: UH Student Activities RF  
 Legal Authority: 304A-2257, HRS

Contact Name: Michael Unebasami  
 Phone: 956-6280  
 Fund type (MOF): W  
 Appropriation Acct. No.: S-380-F

**Intended Purpose:**

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

**Source of Revenues:**

Revenues are from compulsory student activity fees collected on behalf of chartered student organizations and student activity programs.

**Current Program Activities/Allowable Expenses:**

The fund is used to account for receipts and expenses associated with student oriented activities, programs, and services developed and implemented by chartered student organizations and student activity programs such as student government, student publications, social or cultural activities, honor society activities, new student orientation.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,519,439	1,739,439	1,739,439	1,739,439	1,739,439	1,739,439	1,739,439
Beginning Cash Balance	1,236,175	1,493,531	1,865,968	1,922,903	2,097,216	1,771,450	1,445,684
Revenues	1,845,407	1,944,905	1,733,030	1,714,558	1,628,830	1,628,830	1,661,407
Expenditures	1,588,051	1,607,782	1,676,095	1,540,245	1,954,596	1,954,596	1,993,688
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	35,314	0	0	0	0	0
Ending Cash Balance	1,493,531	1,865,968	1,922,903	2,097,216	1,771,450	1,445,684	1,113,403
Encumbrances	55,055	111,105	124,994	148,527			
Unencumbered Cash Balance	1,438,476	1,754,863	1,797,909	1,948,689	1,771,450	1,445,684	1,113,403

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-800  
 Name of Fund: Community College Conference Center RF  
 Legal Authority: 304A-2273, HRS

Contact Name: Michael Unebasami  
 Phone: 956-6280  
 Fund type (MOF) W  
 Appropriation Acct. No. S-380-F

**Intended Purpose:**

Per statute, this fund was established for conference center programs conducted by the various community colleges. The fund is used to account for receipts and disbursements related to conferences, seminars, and courses.

**Source of Revenues:**

Revenues are from fees paid by participants and/or sponsors for conferences, seminars, and courses.

**Current Program Activities/Allowable Expenses:**

The fund is used to account for receipts and expenses associated with conducting conference center activities, including but not limited to, expenses for honoraria, hotel and room rentals, food and refreshment, printing and mailing, airfare and per diem, leis, rental of audio visual equipment, and conference supplies and materials.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,050,000	950,000	950,000	950,000	950,000	950,000	950,000
Beginning Cash Balance	978,002	840,714	860,725	901,273	682,705	682,705	682,705
Revenues	162,015	283,641	619,415	586,505	610,000	610,000	610,000
Expenditures	299,303	263,630	578,867	600,461	610,000	610,000	610,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
3330802 admin assessment to CCSF control acct				(2,120)			
3400078 error correction, trf to CCSF Honda Intl Ctr				(202,492)			
<b>Net Total Transfers</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(204,612)</b>	<b>0</b>	<b>0</b>	<b>0</b>
Ending Cash Balance	840,714	860,725	901,273	682,705	682,705	682,705	682,705
Encumbrances	25,008	50,855	31,309	37,597			
Unencumbered Cash Balance	815,706	809,870	869,964	645,108	682,705	682,705	682,705

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-800  
 Name of Fund: Federal Work Study Program  
 Legal Authority: 304A-2003, HRS

Contact Name: Michael Unebasami  
 Phone: 956-6280  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-200-F

**Intended Purpose:**

The fund is used to account for receipts and disbursement related to the Federal Work Study Financial Aid Program.

**Source of Revenues:**

Revenues are from the US Department of Education, which reimburses the University for student wages paid to students who qualify for financial aid under the Federal Work Study Program.

**Current Program Activities/Allowable Expenses:**

The fund is used to account for reimbursements from the US Department of Education and disbursements for student wages paid to students who qualify for financial aid under the Federal Work Study Program.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

The Federal Work Study program is a need based financial aid program in which the US Department of Education reimburses the university for a portion of student wages paid to students who qualify. Revenues and expenditures are based on the number of students who qualify and the amount of financial aid each student can receive which may vary from year to year depending on the financial resources of individual students.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	540,927	540,927	540,927	540,927	540,927	540,927	540,927
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	357,102	348,080	425,956	366,615	540,927	540,927	540,927
Expenditures	357,102	348,080	425,956	366,615	540,927	540,927	540,927
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-800  
 Name of Fund: Carl D. Perkins Voc & Applied Technology Act of 1990  
 Legal Authority: 304A-2403, HRS

Contact Name: Michael Unebasami  
 Phone: 956-6280  
 Fund type (MOF): N  
 Appropriation Acct. No.: S-200-F

**Intended Purpose:**

The fund is used to account for receipts and disbursements related to the Federal Perkins Vocational and Applied Technology Program which provides funding for courses directly related to preparing individuals for employment in current or emerging occupations requiring other than a baccalaureate or advanced degree. Programs include competency-based applied learning which contributes to an individual's academic knowledge, higher-order reasoning, problem-solving skills, and the occupational-specific skills.

**Source of Revenues:**

Revenues are from the US Department of Education, which reimburses the University for disbursements related to the Federal Perkins Vocational and Applied Technology Program.

**Current Program Activities/Allowable Expenses:**

The fund is used to maintain, extend, improve, and develop new vocational education programs at the community college campuses. Allowable expenses include occupationally-relevant equipment, vocational curriculum materials, materials for learning labs, curriculum development or modification, staff development, career counseling and guidance activities, efforts for academic-vocational integration, supplemental services for special populations, hiring vocational staff, remedial classes, and expansion of tech prep programs.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

Revenues and expenditures for the Federal Perkins Vocational and Applied Technology Program may vary from year to year based on the number of students who are enrolled in courses for vocational or technical programs, the number of classes offered to accommodate student demand, and changes in curriculum, counseling, and student support activities.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,734,398	3,870,635	3,887,369	3,887,369	3,887,369	3,887,369	3,887,369
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	2,472,980	2,586,254	2,881,405	3,179,811	3,887,369	3,887,369	3,887,369
Expenditures	2,472,980	2,586,254	2,881,405	3,179,811	3,887,369	3,887,369	3,887,369
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-881  
 Name of Fund: State Aquarium SF  
 Legal Authority: 304A-2165, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF) B  
 Appropriation Acct. No. S-304-F

**Intended Purpose:**

Per statute, all revenues derived from all fees for admission and all fees for the use of the aquarium facilities and programs collected in conjunction with the operation of the state aquarium shall be deposited in this fund.

The purpose of this fund is to support the operations of the Waikīkī Aquarium by providing a fund to receive revenue and process expenditures that occur in the daily operations.

**Source of Revenues:**

Revenues are derived from admission fees, education program fees, rental of the facility, and other miscellaneous activities that occur at the Aquarium site.

**Current Program Activities/Allowable Expenses:**

Expenses are incurred for the general operations of the Aquarium including salaries of non-general funded employees.

Funds are also used to assist in the maintenance of the Waikīkī Aquarium facility as needed.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variations:**

FY 2014 Revenues: This variance can be attributed to our recent admission fee increase approved by BoD 2013

FY 2014 Expenditures: Staff Recruitment(s) as well as energy costs are attributed to this variance

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,117,141	3,117,141	3,117,141	3,117,141	3,117,141	3,117,141	3,117,141
Beginning Cash Balance	463,841	210,892	170,818	173,761	83,087	33,587	33,587
Revenues	1,931,584	2,388,987	2,578,805	2,606,500	2,650,500	2,700,000	2,700,000
Expenditures	2,184,533	2,429,062	2,575,862	2,697,174	2,700,000	2,700,000	2,700,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	210,892	170,818	173,761	83,087	33,587	33,587	33,587
Encumbrances	154,384	43,910	35,642	80,585	33,000	33,000	33,000
Unencumbered Cash Balance	56,508	126,908	138,119	2,502	587	587	587

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information**  
for Submittal to the 2017 Legislature

Department: UOH  
 Prog ID(s): UOH-881  
 Name of Fund: UH Commercial Enterprises RF (Hanauma Bay Gift Shop)  
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao  
 Phone: 956-4557  
 Fund type (MOF): W  
 Appropriation Acct. No.: S-351-F

**Intended Purpose:**

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Fund is established for the operation of the Hanauma Bay gift shop including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

**Source of Revenues:**

Revenue is earned through sales of marine-related merchandise at the Hanauma Bay gift shop.

**Current Program Activities/Allowable Expenses:**

Expenses are incurred for the general operations of the gift shop, including salaries of non-general funded employees.

**Purpose of Proposed Ceiling Adjustment (if applicable):**

**Variances:**

The aquarium no longer operates this concession. We plan on submitting a proposal next RFP opening.

<b>Financial Data</b>							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	996,499	996,499	996,499	996,499	996,499	996,499	996,499
Beginning Cash Balance	339,051	421,633	106,551	81,194	45,185	20,185	10,185
Revenues	411,329	1,731	156	113	0	0	0
Expenditures	328,747	316,813	25,512	36,123	25,000	10,000	5,000
<b>Transfers</b>							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	421,633	106,551	81,194	45,185	20,185	10,185	5,185
Encumbrances	61,709	32,002	68,367	38,071			
Unencumbered Cash Balance	359,924	74,549	12,828	7,114	20,185	10,185	5,185

**Additional Information:**

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							