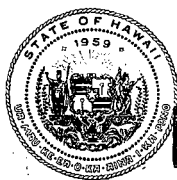


DAVID Y. IGE
GOVERNOR OF HAWAII



VIRGINIA PRESSLER, M.D.
DIRECTOR OF HEALTH

DEPT. COMM. NO. 235

STATE OF HAWAII
DEPARTMENT OF HEALTH
P. O. BOX 3378
HONOLULU, HI 96801-3378

In reply, please refer to:

January 9, 2017

The Honorable Ronald D. Kouchi,
President and Members of the Senate
Twenty-Ninth State Legislature
State Capitol, Room 409
Honolulu, Hawaii 96813

The Honorable Joseph Souki, Speaker
and Members of the House of
Representatives
Twenty-Ninth State Legislature
State Capitol, Room 431
Honolulu, Hawaii 96813

Dear President Kouchi, Speaker Souki, and Members of the Legislature:

For your information and consideration, I am transmitting a copy of the

Annual Report of the Activities of the Board of Certification of Operating Personnel in Wastewater Treatment Facilities as required by 340B-12 Hawaii Revised Statutes (HRS). In accordance with Section 93-16, Hawaii Revised Statutes, I am also informing you that the report may be viewed electronically at <http://health.hawaii.gov/opppd/department-of-health-reports-to-2017-legislature/>.

Sincerely,

A handwritten signature in cursive script that reads "Virginia Pressler".

VIRGINIA PRESSLER

Director of Health

Enc.

c: Legislative Reference Bureau

**REPORT TO THE TWENTY-NINTH LEGISLATURE
STATE OF HAWAII
2017**

**PURSUANT TO SECTION 340B-12, HAWAII REVISED STATUTES,
REQUIRING THE DEPARTMENT OF HEALTH TO PROVIDE
AN ANNUAL REPORT OF THE ACTIVITIES OF THE
BOARD OF CERTIFICATION OF OPERATING PERSONNEL IN
WASTEWATER TREATMENT FACILITIES,
Fiscal Year 2015-2016**

**PREPARED BY:
STATE OF HAWAII
DEPARTMENT OF HEALTH
BOARD OF CERTIFICATION OF OPERATING PERSONNEL IN
WASTEWATER TREATMENT FACILITIES
NOVEMBER 2016**

BOARD OF CERTIFICATION OF OPERATING PERSONNEL IN WASTEWATER TREATMENT PLANT FACILITIES

EXECUTIVE SUMMARY

The Board of Certification of Operating Personnel in Wastewater Treatment Plants (Board) conducted seven meetings during the fiscal year (FY) 2015-2016. In these meetings there were abundant agenda items, time constraints, and budget concerns. The Board was able to make progress on but not complete tasks seen as important including organizing its files/records. The Board was able to update the website and successfully continued a new computer-based testing model for operator certification exams. The exams are an option to paper exams that are available on Oahu only. There was no time available to discuss proposed changes to certification rules under chapter 11-61, Hawaii Administrative Rules (HAR).

The Board is burdened with performing tasks that could be done by dedicated support staff under a wastewater operator certification program. Over the years, the Board had administrative support from Department of Health staff. As the economy improves, the Legislature should seriously consider providing adequate support staff for the operator certification program.

Operator certification examinations were administered by Board members statewide in the month of August 2015 and also in the month of February 2016 to 111 applicants, with 29 successfully passing the examinations, for an overall passing rate of 26%. The FY 2015-2016 passing rate was higher (26% vs. 21%) than last FY 2014-2015. This year, computer-based-tests (CBTs) were available on Oahu and there were 16 applicants with 8 passing their examinations for a passing rate of 50%. About the same number of examinees applied for operator certification tests this 2015-2016 FY (127) as last FY 2014-2015 (126). Passing rates for each grade fluctuate over the years. Average passing rates for operators taking Grade 3 and Grade 4 exams are typically lower than for operators taking Grade 1 and Grade 2 exams. This is because Grade 3 and Grade 4 exams require the operator to have more advanced knowledge of the operation and maintenance of a wide variety of WWTP processes (including many that the operators may not have first-hand experience with) and contain more complex problems than the Grade 1 and 2 exams. The passing rates for CBT are much greater than the paper examinations.

A total of 376 WWTP operators have permanent Grade 1 through Grade 4 operator certificates in Hawaii. However, in the next several years it is anticipated that treatment plants will face a critical shortage of operators due to expected retirements of 37% of the workforce (January 2009 ABC Conference). Nationwide projections for the next four to six years indicate that for every 10 operators retiring only four will be replaced (January 2009 ABC Conference). Incentives and additional training opportunities must be developed to retain senior operators longer, and to recruit

and impart the skills needed for junior operators to pass their certification exams.

Significant Board actions during the FY 2015-2016 include:

- Reviewing applications for examinations;
- Conducting two wastewater operator certification examinations;
- Conducting continuous computer-based, operator certification examinations;
- Classifying WWTPs;
- Evaluating applications for issuing reciprocity certifications;
- Evaluating operator college transcripts, outside training courses, and workshops for granting continuing educational units;
- Implementing chapter 11-61, HAR, for the mandatory certification of operating personnel in WWTPs; and
- Issuing permanent and temporary operator certificates

Funding Source for the Board

Act 238, Session Laws of Hawaii (SLH) 1999 amended chapter 340B Hawaii Revised Statutes to establish the Wastewater Treatment Certification Board Special Fund. The revenues for this fund are generated from monies collected for registration, certificate renewal, reciprocity, and temporary certificates are used specifically for defraying the costs of the operations of the Board.

In FY 2015-2016, \$11,326.38 in revenues was collected. At the end of the fiscal year, a cash balance of \$59,840.77 existed in the fund.

Status of Training of Operating Personnel in Wastewater Treatment Facilities

The State Department of Health established the Statewide Wastewater Operator Training Center (SWOTC) in September 1988. State budget cuts forced elimination of the SWOTC Coordinator position in November 2009. From September 2010 through June 2015, operator training was coordinated by the University of Hawaii at Manoa, Water Resources Research Center (WRRC) on an interim basis and DOH handled the fiscal affairs of the SWOTC. From July 2015, DOH transferred the complete operation and management of the operator training program to WRRC which now independently runs the SWOTC and offers classes statewide funded by the counties.

2017 ANNUAL REPORT
BOARD OF CERTIFICATION OF OPERATING PERSONNEL
IN WASTEWATER TREATMENT FACILITIES

INTRODUCTION

Effective operation and maintenance of wastewater treatment works are essential to prevent pollution of the waters of the state and protect public health. Also, the efficient management of wastewater treatment works is an important economic asset to the public, to county and state officials, and to operators. Furthermore, certification of wastewater treatment works operators assures the employment of personnel competent to provide proper operation and maintenance, and efficient and cost effective management of wastewater treatment works.

This Annual Report summarizes the Board of Certification of Operating Personnel in Wastewater Treatment Plants (Board) activities and accomplishments during the fiscal year (FY) 2015-2016. It also provides information about the Board's organizational structure.

ORGANIZATIONAL STRUCTURE

The Board is placed, for administrative purposes, in the State Department of Health. The nine members of the Board are appointed by the Governor and confirmed by the Legislature for four-year terms. The present Board is comprised of the following members which include representatives from each of the major counties of the State, as required by section 340B Hawaii Revised Statutes (HRS):

- | | |
|------------------|---|
| Kevin Nakamura | - Board Chair, Certified Operator, Hawaii Water Environment Association (HWEA). |
| | - July 2015, switch to State Department of Health |
| Virgilio Viernes | - Board Vice-Chair, Certified Operator, County of Maui |
| Roger Babcock Jr | - Faculty, University of Hawaii at Manoa, College of Engineering |
| Lee Mansfield | - Professional Engineer (PE), private practice |
| Vacant | - Hawaii Water Environment Association (HWEA). |
| Robert Souza | - Certified Operator, City and County of Honolulu |
| Vacant | - Certified Operator, County of Hawaii |
| Loren Tanigawa | - Certified Operator, County of Kauai |
| Ronald Hay | - Certified Operator, private practice |

The Board member seat representing the county of Hawaii has been vacant since the March 2010 Board meeting. The Board member seat representing the State Department of Health was vacant for the past three fiscal years. For next FY 2016-2017, Robert Souza was elected as the Board's Chair and Roger Babcock was elected Vice-Chair.

BOARD MEETINGS DURING FISCAL YEAR 2015–2016

During the FY 2015-2016, the Board held seven meetings on:

July 23-24, 2015	September 3, 2015	October 15-16, 2015
December 10-11, 2015	March 10-11, 2016	May 5, 2016
June 30, 2016		

There has been a noticeable increase in meeting agenda items as operators have become more involved on certification issues than in the past. These additional agenda items require more of the Board's time and have limited the Board's ability to discuss revisions to chapter 11-61, Hawaii Administrative Rules (HAR), which governs the operator certification program.

SUMMARY OF CERTIFICATION EXAMINATIONS

The Board conducted two paper examinations statewide for all grades of wastewater treatment plant (WWTP) operators during FY 15-16. The first was held in August 2015 and the second in February 2016. The results of these examinations are depicted in the following tables:

August 2015 Examination

Grade	Pass	Applicants	Passing %
1	6	14	43
2	5	14	36
3	2	9	22
4	0	16	0
Total	13	53	25

February 2016 Examination

Grade	Pass	Applicants	Passing %
1	8	18	44
2	4	10	40
3	2	11	18
4	2	19	11
Total	16	58	28

The Board also facilitated continuous CBTs for all grades of operators at AMP Corp in Honolulu during FY 15-16. Several operators took CBTs as depicted in the following table:

Computer-Based Tests 2015-16

Grade	Pass	Applicants	Passing %
1	2	2	100
2	4	5	80
3	2	3	67
4	0	6	0
Total	8	16	50

COMPARISON OF PASSING PERCENTAGES

Statewide

Date of Exam	Grade 1	Grade 2	Grade 3	Grade 4
February 2016	44	40	18	11
August 2015	43	36	22	0
February 2015	44	28	16	11
August 2014	47	17	18	7
February 2014	57	50	19	8
August 2013	36	39	14	5
February 2013	50	55	62	9
August 2012	60	50	17	29
February 2012	35	25	56	14
August 2011	67	67	42	50
February 2011	55	50	32	4
August 2010	27	24	20	0
February 2010	79	64	31	10
August 2009	46	24	8	5
February 2009	52	45	57	10
August 2008	50	41	10	6
February 2008	50	31	22	9
August 2007	80	40	25	25

Statistics:

A total of 111 paper examinations were taken in FY 2015-2016 of which 29 operators passed their exams for an overall passing rate of 26%. A total of 16 CBTs were taken in FY 2015-16 of which 8 operators passed their exams for an overall passing rate of 50%. If the paper and CBTs results are combined, there were 127 exams and 37 passes for a rate of 29%. As shown in the table above, the passing percentages for all grades have fluctuated over the years.

In the past five fiscal years, passing percentages for Grade 1 ranged from 35% to 67%, Grade 2 ranged from 17% to 67%, Grade 3 ranged from 14% to 62%, and Grade 4 ranged from 0% to 50%. In general, Grades 1 and 2 have higher passing rates than for Grades 3 and 4. This is because Grade 3 and Grade 4 exams require the operator to have more advanced knowledge of the operation and maintenance of a wide variety of WWTP processes (including many that the operators may not have first-hand experience with) and contain more complex problems than the Grade 1 and 2 exams.

Operators are encouraged to enroll in courses offered by the Statewide Wastewater Operator Training Center and correspondence courses provided by California State University Sacramento (CSUS). Additional training courses from other sources including online web-based courses are evaluated and approved by the Board to enhance the operator's learning progress.

The Association of Boards of Certification (ABC) Certification Examination questions reference the courses offered by CSUS. Analysis has proven that the majority of the operators who have completed the CSUS courses and taken courses offered by the Training Center are passing the ABC Certification examinations.

Statewide Wastewater Operator Training Center (SWOTC)

The State Department of Health established the training center 28 years ago in September 1988. Due to budget constraints, the State eliminated the SWOTC Coordinator position in November 2009. From September 2010 through June 2015, operator training was coordinated by the University of Hawaii at Manoa, Water Resources Research Center (WRRC) with DOH maintaining control of financial matters. DOH turned over the operation and management completely to WRRC on July 1, 2015 which is now being operated by WRRC with financial support from the Counties.

Training courses included introductory basic wastewater courses to more complex advanced courses in activated sludge, sludge conditioning, and anaerobic digestion.

Exam participants who have successfully completed WWTP operator courses with the training center have traditionally performed better on exams than those who did not participate in the training program. The SWOTC provides an important role in the certification of WWTP operators statewide.

Wastewater Branch, State Department of Health

The Construction and Operations Section of the Wastewater Branch has assisted the Board by providing information and vital data for all WWTPs requiring classification. Wastewater Branch inspection reports of WWTPs are used by the Board to classify new plants as they are constructed. This ensures that certified operators possessing skills commensurate with a plant's classification rating are operating the plants. The Board currently maintains a database of approximately 180 classified WWTPs in the state.

OTHER SERVICES PROVIDED BY THE BOARD

Services	15-16	14-15	13-14	12-13	11-12
Total number of operator certifications renewed: Permanent Certifications	346	346	385	385	405
Requests for Temporary Certifications	14	16	28	13	19
Requests for Reciprocity Certifications	12	16	9	16	5
Evaluation of college transcripts, etc. for CEUs	38	12	11	11	15
Requests for Training Course Review	16	36	11	124	33
Direct Responsible Charge notifications	27	44	20	29	89
Classification of Treatment Plants	11	7	9	6	11

The Board reviews operator requests to evaluate college transcripts, certificates of completion for workshops, conferences, and short training courses for approval of allowable CEU credits. These CEUs are needed by operators to show that the applicant has met the educational requirements for operator certification examinations. Applicants must also show appropriate years of WWTP operating experience was obtained in order to qualify for various grade levels of certification.

The January 2002 amendments to chapter 11-61, HAR, changed the renewal period for active operator certificates to every two years instead of annually. This change allowed the Board to reduce paperwork and the cost associated with annual renewals which is comparable with other ABC member states. The amendments also require operators to obtain two (2) CEU credits prior to renewing their operator certificates. In recent years, this requirement resulted in a substantial increase in the number of operator requests for Board review to determine allowable CEU credits for college transcripts, workshops, and short courses attended by operators.

Significant Board Actions

The primary goal of the certification program is to ensure that all WWTPs are operated by qualified personnel. During the FY 2015-2016, the Board achieved the following objectives:

1. Continued to review and determine the number of CEUs allowed for satisfactory completion of new training courses offered by other institutions;
2. Resolved operator concerns on applications, qualifications for examination, and certificate renewal requirements;
3. Continued to inform operators of the Board's functions and decisions via minutes of the Board meetings and by conducting a special forum at the HWEA annual conference in

February 2016. Board minutes are accessible to operators and are available on the Board's website at,

<http://health.hawaii.gov/wastewater/home/boc>

Meetings are open to any operator interested in attending or making a presentation before the Board. The Board intends to conduct another forum session at the upcoming 2017 HWEA conference;

4. Continued contracting with ABC Services for the development and scoring of operator certification examinations. The ABC Services examinations consist of 100 questions selected by experts and validated to create nationally standardized examinations that are used in all 50 states. Scoring 70% or higher on the exam is required to pass the exams. The ABC tests continue to be offered biannually as a paper test on all islands in February and August. Since 2013-14 the Board has contracted with AMP Corp to offer computer-based tests (CBT) in Honolulu as an option available to all operators at an additional cost to the operator (\$90). Operators are now allowed to take a test up to three times in a calendar year using any combination of paper and CBT;
7. Continued to provide information to operators and other agencies about Hawaii's certification program. Provided listings of operator CEUs earned, training completed and issued new certified operator certificates;
8. Continued to review and update all forms and related instructional sheets;
9. Implemented amendments to Title 11, Chapter 61, HAR, Mandatory Certification of Operating Personnel in Wastewater Treatment Facilities, dated January 20, 2002.

Schedule of Meetings for Fiscal Year 2016-2017

The Board plans to schedule meetings approximately every other month with additional meetings as necessary for conducting a presentation at the 2017 HWEA conference. Meetings scheduled for FY 2016-17 are as follows:

July, 2016	September, 2016	October, 2016
December, 2016	January, 2017	March, 2017
May, 2017	June, 2017	

General business conducted at these meetings includes:

1. Providing an informational meeting for operators in attendance;
2. Reviewing and processing applications for certification examinations, selecting Certification exam questions and discussing the results of the exams;

3. Reviewing and preparing responses to correspondence received from operating personnel and other agencies;
4. Reviewing, and approving or denying operator applications for Temporary Certificates;
5. Reviewing and issuing Reciprocity Certificates to qualifying operators moving to Hawaii from other states; and
6. Reviewing, and approving or denying operator certificate renewals.

Resource Issue

The Board would benefit by having full time staff to administer the Operator Certification program. The January 2002 amendments to chapter 11-61, HAR, and an influx of newly hired operators have resulted in large volumes of correspondence, applications, and operator requests for the Board to review. At the time of the Board's inception under chapter 340B HRS, no positions were created in the Department of Health to support Board functions. As a result, Wastewater Branch staff have shared their time and efforts to support Board functions. Now that the program has grown, it is has become a heavy burden for staff to continue providing this support. Many of the elements of the certification program are time sensitive as deadlines are established in chapter 11-61, HAR. Additional administrative support is needed by Board to ensure the quality of competent, certified WWTP operators in the workforce.

REVENUES AND EXPENSES

Expenses During the Fiscal Year (July 2015 to June 2016):

Object Code	Title	Cost
3020	ABC Examinations	\$5,537.00
3210	Stationery & Office Supplies	\$196.61
3510	Membership Dues	\$900.00
3610	Freight & Delivery Charges	\$30.39
3710	Postage	\$43.10
3810	Telephone & Telegraph	\$282.19
4210	Intra-State Transportation-Employees	\$495.22
4290	Intra-State Transportation-Others	\$6,103.37
4310	Subsistence Allowance, Intra-State-- Employees	\$135.00
4315	Excess Lodging, Intra-State--Employees	\$24.42
4390	Subsistence Allowance Intra-State- Others	\$3,898.12
4395	Excess Lodging, Intra-State, Others	\$183.46
4690	Hire of Passenger Cars--Others	\$777.87
5620	Rental of Office Equipment	\$405.68
7210	Trng. Costs & Registration Fees	\$1,380.00
7230	Photocopy—Xerox Services	\$96.19
7290	Other Misc. Current Expenditures	\$693.54
	Total	\$21,182.16

Funding for the Board

Act 238, Session Laws of Hawaii (SLH) 1999 amended chapter 340B HRS which established the Wastewater Treatment Certification Board Special Fund. The revenues for this fund are generated from moneys collected for registrations, renewals, reciprocity and new certificates and are to be used specifically for financing the operations of the Board. During FY 2015-2016, revenues were \$11,326.38 as compared to last fiscal year's revenues of \$21,630.00. Revenues vary each year and are typically more during operator certificate renewal years. Over the past seven fiscal years, revenues have averaged \$17,518.

Fiscal Situation

The Board's annual budget of \$28,021 was in effect for fiscal year 2015-2016. Total expenses were \$21,182.16 which exceeded revenues of \$11,326.38 accounting for the Board's efforts to reduce backlog of agenda items by holding more meetings on the outer islands. The Board will continue to use appropriate procedures to manage its budget.

For FY 2016-2017, the Board has an annual budget of \$28,021. Any current or future budget restrictions will hamper Board efforts to schedule additional meetings for rule amendments and to administer chapter 11-61, HAR, entitled "Mandatory Certification of Wastewater Treatment Personnel in Wastewater Treatment Plants." Further budget reductions will severely restrict the Board's ability to administer the operator certification program.

At the close of the FY 2015-2016, there was a cash balance of \$59,840.77 in the special fund account.

Recommendation

The Board is in need of administrative support personnel to administer chapter 11-61, HAR. Currently, the Board is a "working board" that must screen and review each of the hundreds of applications for certificate renewals, exams, requests for reciprocity and CEUs which consume much of the Board's time and effort at meetings. Second day meetings are needed to address the deferment of agenda items and developing important and much needed amendments to chapter 11-61, HAR. Ideally, as done in other states, a certification program coordinator with dedicated support staff should be established to administer the program. Legislative action to create and fund positions should be seriously considered as Hawaii's economy improves.