

House District 25

Senate District 13

THE TWENTY-NINTH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES

Log No:

For Legislature's Use Only

Type of Grant Request:

GRANT REQUEST – OPERATING

GRANT REQUEST – CAPITAL

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Recipient" means any organization or person receiving a grant.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN):

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN):

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual: YMCA of Honolulu

Dbas:

Street Address: 1441 Pali Highway, Honolulu, Hawaii 96813

Mailing Address: 1441 Pali Highway, Honolulu, Hawaii 96813

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name MICHAEL BRODERICK

Title President and CEO

Phone # # 808-541-5484

Fax # 808-533-1286

E-mail mbroderick@ymcahonolulu.org

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION INCORPORATED IN HAWAII
- FOR PROFIT CORPORATION INCORPORATED IN HAWAII
- LIMITED LIABILITY COMPANY
- SOLE PROPRIETORSHIP/INDIVIDUAL
- OTHER

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

Improvement, renovation and expansion of YMCA of Honolulu Nu'uauu Branch Facilities to address significant youth, community and compliance needs.

3. 4. FEDERAL TAX ID #

5. STATE TAX ID #:

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2018: \$ 1,875,000

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
- EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ _____
 FEDERAL \$ _____
 COUNTY \$ _____
 PRIVATE/ \$3,000,000

THIS PROJECT ADDRESSES EXISTING AND NEW SERVICES

MICHAEL BRODERICK, PRESIDENT & CEO
NAME & TITLE

1/20/17
DATE SIGNED



RECEIVED
1/20/17

in

Application for Grants

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background:

The YMCA of Honolulu was founded in 1869 and incorporated under the laws of the Kingdom of Hawai'i in 1882. Every year, we serve more than 100,000 individuals in a variety of programs. YMCA programs and services are open to children, teens, women and men of all ages, faiths and backgrounds. In all our programs, we promote our core values of caring, honesty, respect and responsibility. We are a fellowship of people helping each other to learn, grow and improve our own lives as well as the lives of others. Our programs and services center around three areas of focus: Youth Development, Healthy Living and Social Responsibility.

2. The goals and objectives related to the request:

Funding is requested to contribute toward an estimated \$8 million improvement, renovation and expansion of Nu'uaniu branch facilities. These renovations are primarily focused on increasing access to the community, serving the needs of under-served families and children, improving the branch's ability to meet ADA and other compliance requirements. Three areas of focus include the gathering place, the youth facilities and legal compliance requirements, including disability, gender and general accessibility.

3. The public purpose and need to be served:

Reinvesting in this facility will allow us to create a hub for community life in downtown, support the Title 1 schools and low-income communities around it, better serve people with mobility and accessibility challenges, and partner with local companies to provide wellness services to their employees. This facility provides youth, family and adult programs including youth A+ afterschool programs, a childhood obesity program (a comprehensive program for severely obese youth), Youth and Government, Hiroshima Exchange Program, YMCA impact programs and many other services.

4. Describe the target population to be served:

The YMCA of Honolulu serves children and families in Honolulu and across Oahu. Target population will be the community members of Nu'uaniu, Downtown, Makiki and the McKinley School Complex area. The YMCA provides programs and activities for children and families throughout the island. The Nu'uaniu Branch is located in the heart of Honolulu, and serves families and people of all ages, backgrounds, income levels and cultures.

5. Describe the geographic coverage:

Nu'uaniu, Downtown, Makiki and the McKinley School Complex areas. The YMCA of Honolulu (9 branches) is headquartered on the same property as the Nu'uaniu branch and serves as a hub for services across Oahu.

II. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities;

The YMCA of Honolulu requests \$1,875,000 of a \$8,000,000 major renovation of its Nu'uaniu branch in three areas: the gathering place to provide accessibility, compliance and a place for community gathering; youth facilities which address the needs of students and youth in programs such as A+ afterschool programs; and significant disability, gender, elder and other accessibility-related renovations and improvements.

2. Provide a projected annual timeline for accomplishing the results or outcomes of the service:

February – April 2017:	Pre-design
February – November 2017:	Entitlements
April – December 2017:	Design
November 2017 – April 2018:	Permitting
April – October 2017:	RFP (Engagement of General Contractor)
October 2017 – April 2019:	Construction

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results:

The YMCA of Honolulu will contract with Fray Heath to serve as project manager. Randal Ikeda, Nu'uaniu Branch Executive Director, will be the day-to-day staff member responsible for overseeing the project. He will provide regular reports to Michael Doss, COO, who will

monitor the site on a daily basis and report to President and CEO Michael Broderick who also will oversee the project.

4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

The outcomes will be evaluated individually as follows:

Objective #1: renovate and improve the gathering place

Objective #2: renovate, improve and upgrade the youth facilities

Objective #3: renovate, improve and increase accessibility/compliance

III. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.
2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2018.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$150,000	\$350,000	\$500,000	\$875,000	\$1,875,000

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2018.

Foundations: \$ 625,000

- Atherton Family Foundation
- Harry and Jeanette Weinberg Foundation
- McInerny Foundation
- GN Wilcox Trust

Corporations: \$ 250,000

- Bank of Hawaii
- First Hawaiian Bank
- Other corporations

Individuals: \$ 500,000

CDBG: \$ 1,750,000

YMCA Funds \$ 3,000,000

Grand Total: \$ 6,125,000

4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

Not applicable.

5. The applicant shall provide a listing of all federal, state, and county government contracts and grants it has been and will be receiving for program funding.

The YMCA of Honolulu Waipahu Branch was awarded approval of a Grant in Aid in the 2016 legislative session to repair/restore the iconic Waipahu smokestack, but the funds have not yet been released (\$200,000)

6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2016.

\$14,118,000 was the balance of unrestricted current assets as of Dec. 31, 2016.

IV. Experience and Capability

A. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

The YMCA of Honolulu has been providing programs in the community for more than 67 years. The programs provided by the YMCA have been successfully managed by the administrative team which currently is overseen by the COO, Mr. Michael Doss. His experience as an executive in charge of 9 of the organization's 10 branches have included many capital projects, which include the construction of the Honolulu Leeward Branch, the redevelopment of the Honolulu Central Branch, among others. Here is a list of some of the current contracts for the most recent three years that can verify our experience to manage and successfully oversee government contracts:

Title of Project:

Juvenile Drug Court Outpatient Substance Abuse Treatment Program (J 16272)

Point of Contact: Joel Tamayo, Section Administrator

Juvenile Drug Court, 1st Circuit Court
777 Punchbowl Street, 2nd Floor
Honolulu, Hawaii
96813 Phone: (808)
534-6588

Title of Project:

Outpatient Substance Abuse Treatment Services to Juveniles (J 16268)
Point of Contact: Ramona Yano, Program Specialist
First Judicial Circuit
4675 Kapolei Parkway
Kapolei, Hawaii 96707
Phone: (808) 954-8226

Title of Project:

Kalihi-Palama Schools Out Project (OCS-GIA-15-06)
Point of Contact: Ben Cabrerros
Office of Community Services
830 Punchbowl Street, Room 420
Honolulu, Hawaii 96813
Email: Benjamin.c.cabrerros@hawaii.gov

Title of Project:

Adolescent School Based Substance Abuse Treatment Services ASO No. 14-073
Point of Contact: Terri Nakano, Program Specialist
Alcohol and Drug Abuse Division
601 Kamokila Blvd. Room 360
Kapolei, Hawaii 96707
Phone: (808) 692-751

B. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

The YMCA of Honolulu is the sole owner of the Nu'uuanu Branch located at 1441 Pali Highway, Honolulu, HI 96813.

A. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

The YMCA of Honolulu consists of an experienced and very capable leadership team, led by Michael Broderick, President and Chief Executive Officer. Michael Doss is the Executive Vice President and the Chief Operating Officer. Michael Chinaka is the Senior Vice President and the Chief Financial Officer. Kerri Van Duynes is the Vice President of Development. Lisa Ontai is the Vice President of Marketing and Mission Advancement. Randal Ikeda is the Nu'uuanu Branch Executive Director. The leadership team will be coordinating this effort, under the guidance of Mr. Broderick.

B. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.

Judge Michael F. Broderick (Retired)

Michael Broderick is the President & CEO of the YMCA of Honolulu. The Y has 10 branches, 1,400 employees and a \$27-million dollar budget. Previously, he was a Family Court Judge where he presided over more than 10,000 cases involving families and children. Michael also was the Director of the Hawaii State Judiciary, responsible for the day to day operations of the Court system, as well as the Director of the Judiciary's Center for Alternative Dispute Resolution. Michael has mediated or facilitated many complex public policy cases in Hawaii. He serves in leadership roles on a number of boards, councils and commissions and has received numerous awards, all related to strengthening the foundation of Hawaii's community. Michael received his undergraduate degree from Stanford University (Phi Beta Kappa) and his law degree from UCLA (Highest Honors).

Michael J. Doss M.S. M.B.A

Michael Doss, is the YMCA of Honolulu's Executive Vice President in charge of Operations, reporting to the President & CEO Michael Broderick. As part of the Association's executive team, Michael has oversight responsibility for 9 of the organization's 10 branches and has provided oversight and leadership to many capital projects including:

- \$2.2-million facility renovation (YMCA of Hutchinson, KS)
- Construction of our \$14.5-million Y of Honolulu Leeward Branch
- Redevelopment of our Y of Honolulu Central Branch.

Prior to joining the YMCA of Honolulu, Michael, a 28-year YMCA veteran, served as District Vice President of the YMCA of Greater St. Louis, Executive Director of the YMCA of Hutchinson, KS, and Associate Executive Director of the YMCA of Greater Green Bay, WI.

Randal Ikeda

Randal Ikeda is the executive director at Nu‘uanu YMCA and he reports to the Chief Operating Officer, Michael Doss. As the Branch’s executive director, he has responsibility for the branch operations which includes membership, youth programs, and aquatics. He has four years of experience as the executive director of the Nu‘uanu YMCA and 11 years prior sector experience, as well as 10 years of public sector experience at the Los Angeles County Metro Transportation Authority.

Organizational chart attached.

C. Compensation

The applicant shall provide the annual salaries paid by the applicant to the three highest paid officers, directors, or employees of the organization by position.

Michael Broderick, President and Chief Executive Officer - \$220,000

Michael Doss, Executive Vice President and Chief Operating Officer - \$163,776

Michael Chinaka, Senior Vice President and Chief Fiscal Officer - \$136,054

VI. Other

A. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

The organization is involved in claims arising in the ordinary course of business. Management, after consultation with legal counsel, is of the opinion that the ultimate resolution of these matters will not have a material adverse effect on the Association's financial statements.

B. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

Not applicable

C. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see Article X, Section 1, of the State Constitution for the relevance of this question.

Not applicable

D. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2017-18 the activity funded by the grant if the grant of this application is:

- (1) Received by the applicant for fiscal year 2017-18, but
- (2) Not received by the applicant thereafter.

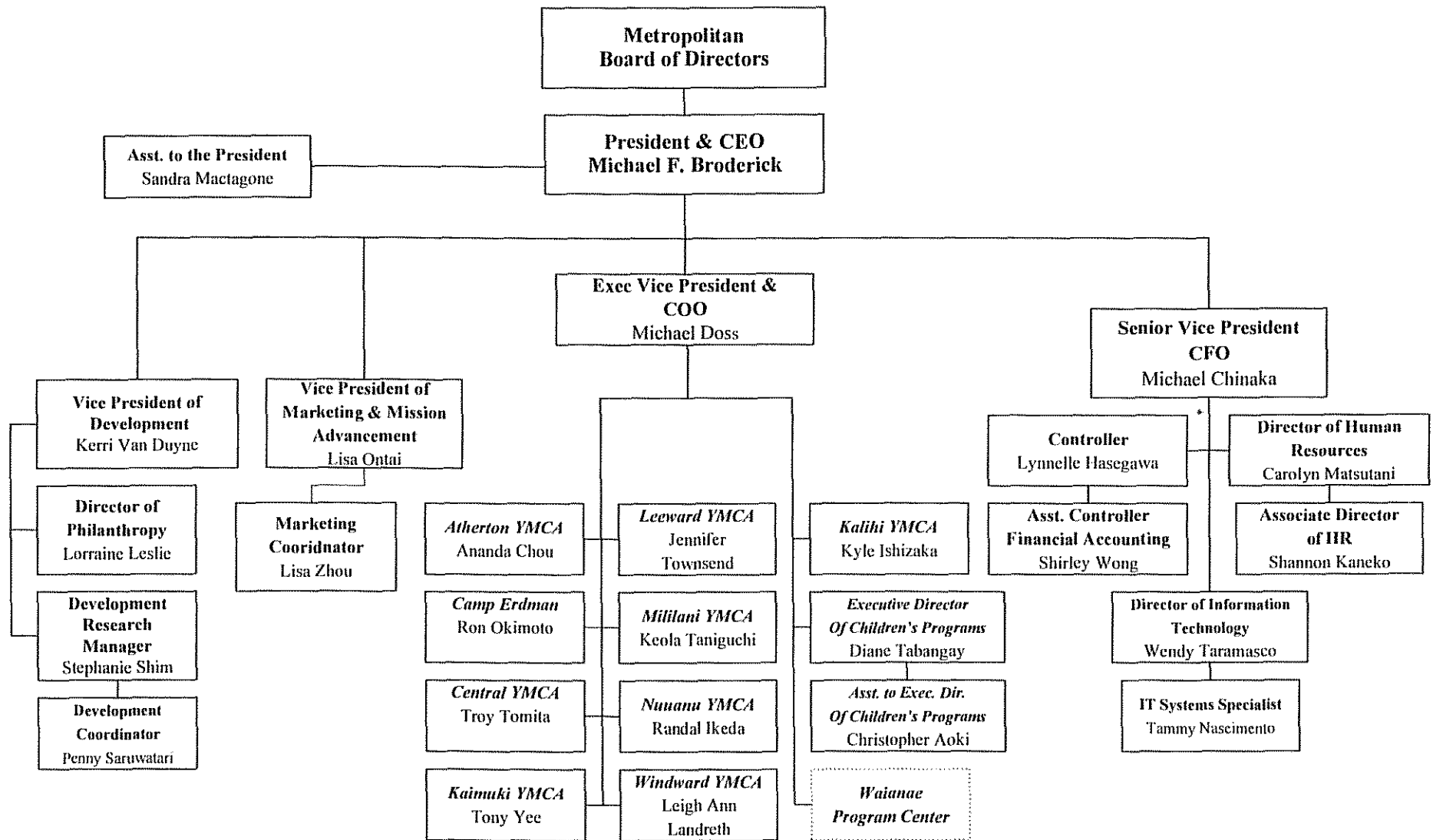
Not applicable.

E. Certificate of Good Standing (If the Applicant is an Organization)

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2016.

Attached.

YMCA of Honolulu



BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2017 to June 30, 2018

App

YMCA of Honolulu

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries	N/A			
2. Payroll Taxes & Assessments				
3. Fringe Benefits				
TOTAL PERSONNEL COST				
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island	N/A			
2. Insurance				
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training				
6. Supplies				
7. Telecommunication				
8. Utilities				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES				
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL	1,875,000		1,750,000	4,375,000
TOTAL (A+B+C+D+E)	1,875,000			
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	1,875,000	Michael Broderick, President & CEO (808) 541-5484		
(b) Total Federal Funds Requested		Name (Please type or print) Phone		
(c) Total County Funds Requested	1,750,000	[Redacted Signature] 1/20/17		
(d) Total Private/Other Funds Requested	4,375,000	Date		
TOTAL BUDGET	8,000,000	Michael Broderick, President & CEO Name and Title (Please type or print)		

BUDGET JUSTIFICATION - PERSONNEL SALARIES AND WAGES

Period: July 1, 2017 to June 30, 2018

Applicant: _____ YMCA of Honolulu _____

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
N/A				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
TOTAL:				
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2017 to June 30, 2018

Applicant: _____ YMCA of Honolulu _____

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
N/A			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2017 to June 30, 2018

Applicant: _____YMCA of Honolulu_____

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2015-2016	FY: 2016-2017	FY:2017-2018	FY:2017-2018	FY:2018-2019	FY:2019-2020
PLANS			N/A			
LAND ACQUISITION			N/A			
DESIGN			150,000			
CONSTRUCTION			1,725,000			
EQUIPMENT			N/A			
TOTAL:			1,875,000			
JUSTIFICATION/COMMENTS:						
Funding is requested to contribute toward an estimated \$8 million improvement, renovation and expansion of Nu'uauu branch facilities.						

GOVERNMENT CONTRACTS AND / OR GRANTS

Apt

YMCA of Honolulu

Contracts Total: 200,000

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1	Grant-in-Aid 2016	N/A		State	200,000
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
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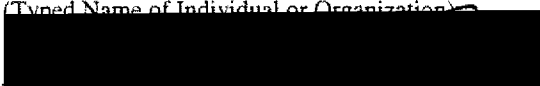
**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAII REVISED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

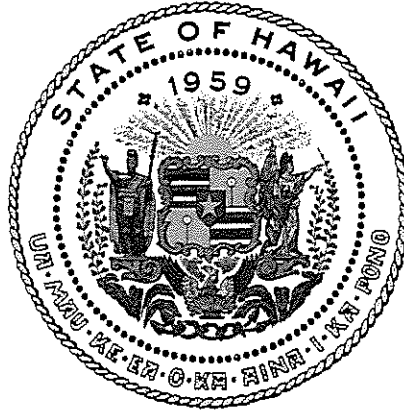
- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

YMCA of Honolulu
(Typed Name of Individual or Organization)
 1/20/17
(Signature) (Date)

Michael Broderick, President & CEO
(Typed Name) (Title)



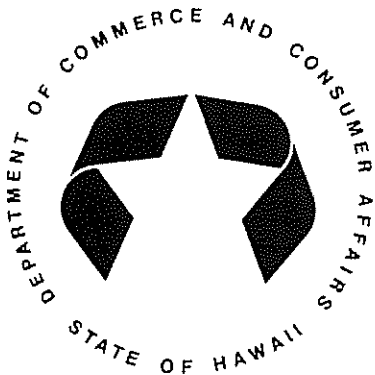
Department of Commerce and Consumer Affairs

CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

YOUNG MEN'S CHRISTIAN ASSOCIATION OF HONOLULU

was incorporated under the laws of Hawaii on 02/04/1882 ;
that it is an existing nonprofit corporation; and that,
as far as the records of this Department reveal, has complied
with all of the provisions of the Hawaii Nonprofit Corporations
Act, regulating domestic nonprofit corporations.



IN WITNESS WHEREOF, I have hereunto set
my hand and affixed the seal of the
Department of Commerce and Consumer
Affairs, at Honolulu, Hawaii.

Dated: January 20, 2017

Director of Commerce and Consumer Affairs