| nouse District   |                   | NTH LEGISLATURE  | I M                             |
|--|-------------------|--|---------------------------------|
|  |                   | N FOR GRANTS   | Log No:                         |
| Senate District CHAPTE   | ER 42F, HAW       | All REVISED STATUTES   |                                 |
|  |                   |  | For Legislature's Use Only      |
| Type of Grant Request:   |                   |  |                                 |
|  |                   |  |                                 |
| ☐ GRANT REQUEST – OPERATING  |                   | GRANT REQUEST CAPITAL  |                                 |
|  |                   |  |                                 |
| "Grant" means an award of state funds by the legislature, by   | y an appropriati  | on to a specified recipient, to support the activit  | ies of the recipient and permit |
| the community to benefit from those activities.  |                   |  |                                 |
| "Recipient" means any organization or person receiving a gr  | grant.            |  |                                 |
|  |                   |  |                                 |
|  |                   |  |                                 |
| STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (L  | LEAVE BLANK IF    | unknown):  |                                 |
|  |                   | ·  |                                 |
| STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN):   |                   |  |                                 |
| 1. APPLICANT INFORMATION:  |                   | 2. CONTACT PERSON FOR MATTERS INVOLVING  | G THIS APPLICATION:             |
| Legal Name of Requesting Organization or Individual: 1 Ola   | la Lābui Inc      |  |                                 |
|  | and meaning them. | Name Aukahi Austin Seabury, Ph.D.  |                                 |
| Dba:   |                   | Title _ Executive Director, Licensed Clinical P  | sychologist                     |
| Street Address: 1441 Kapi'olani Blvd., Suite 1802, Honolulu  | ı HI 96814        | Phone # _ 808-525-6255   |                                 |
| Mailing Address: 1441 Kapi'olani Blvd., Suite 1802, Honoluli   | in HI 96814       |  |                                 |
| Walling Noticess. 1441 Napi olarii Birat, Galta 1002, Floriolak  |                   | Fax # <u>808-525-6234</u>  |                                 |
|  |                   | E-mail <u>aaustin@iolalahui.org</u>  |                                 |
|  |                   |  |                                 |
| 3. TYPE OF BUSINESS ENTITY:  |                   | 6. DESCRIPTIVE TITLE OF APPLICANT'S REQUE  | ST:                             |
| Non profit Corporation Incorporated in HA  | lawaii            | PSYCHOLOGY TRAINING PROGRAM FOR HAWAI  | 'i's Rural and Underserved      |
| For profit Corporation Incorporated in Ha  |                   | COMMUNITIES  |                                 |
| ☐ LIMITED LIABILITY COMPANY ☐ SOLE PROPRIETORSHIP/INDIVIDUAL   |                   | THE STATE OF THE S |                                 |
| OTHER  |                   |  |                                 |
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|  |                   |  |                                 |
|  |                   |  |                                 |
|  |                   |  |                                 |
|  |                   |  |                                 |
|  |                   | 7. AMOUNT OF STATE FUNDS REQUESTED:  |                                 |
| 4. FEDERAL TAX ID #:   |                   | 7. AMOUNT OF STATE POROS REQUESTED.  |                                 |
| 5. STATE TAX ID#:  |                   |  |                                 |
|  |                   | FISCAL YEAR 2018: \$ 273,509   | DHANEANS NEW - COLUMN           |
|  |                   |  |                                 |
| D converse and con |                   |  | <del></del>                     |
| 8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:  NEW SERVICE (PRESENTLY DOES NOT EXIST)  | SPECIFY THE A     | MOUNT BY SOURCES OF FUNDS AVAILABLE  |                                 |
| ☑ EXISTING SERVICE (PRESENTLY IN OPERATION)  |                   | F THIS REQUEST:  |                                 |
|  | STA<br>FED        | TE \$ERAL \$   |                                 |
|  |                   | NTY \$   |                                 |
|  | PRI               | /ATE/OTHER \$  |                                 |
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|  | A Aluzain Aliz    | CTIN CEADING ON D. EVENITIVE DIDECTOR  | 1/20/2017                       |
|  | A. AUKAHI AUS     | STIN SEABURY, PH.D., EXECUTIVE DIRECTOR  | DATE SIGNED                     |
| Rev 12/2/16  |                   |  |                                 |



**Application for Grants** 

# **Application for Grants**

If any item is not applicable to the request, the applicant should enter "not applicable".

# I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background;

I Ola Lāhui (IOL) is a 501(c)(3) non-profit organization that has been in operation since July 2007. IOL's mission is to provide culturally-minded, evidence-based behavioral health care that is responsive to the needs of medically underserved, Native Hawaiian, and rural communities.

IOL was developed specifically to address the critical mental health workforce shortage and underserved community health disparities by increasing the number of trained psychologists available to work within these communities, providing mental health and chronic disease management services and conducting research to ensure the effectiveness of the training and treatments we provide. Achieving these goals will substantially improve the mental and physical health of Hawai'i's people.

I Ola Lāhui has a 9 year history of partnering with organizations across 6 different islands in Hawai'i, closing the gap between the needs of communities and the access to mental health services. Since I Ola Lāhui was founded in 2007, it has demonstrated consistent success and growth by partnering with 14 different community health clinics and 5 eldercare service facilities, and providing patient education, staff training, consultation, and public outreach. To date, across all pre- and post-doctoral psychology trainees, we have served over 7,721 patients and trained 56 psychologists in training to work with underserved populations (i.e., rural, uninsured, unemployed, homeless). The training occurs in many medically underserved communities in Hawai'i (e.g., Molokai, Hilo, Waimānalo, Leeward, and North Shore districts on O'ahu). IOL trainees provide urgently needed mental health services to patients in these clinics while simultaneously preparing for careers working in medically underserved areas in the future.

### 2. The goals and objectives related to the request;

This proposal seeks funding to support I Ola Lāhui clinical psychology training program to address the serious mental health workforce shortage by increasing the number of appropriately trained providers working in Hawai'i's rural areas and consequently increasing the number of available mental health appointments delivered in a manner acceptable to the communities being served. Long-term goals include creating a stable, sustainable, and committed pipeline of providers capable of addressing a large number of conditions, both physical and mental, which is

culturally appropriate and adaptable to the State's multi-cultural population. We train across all levels in psychology from practicum during the early years of graduate training, through internship which is the final year before completing doctoral training, to postdoctoral fellowship which is the last step prior to licensure. While our internship is accredited and currently funded in part by federal grant funds, we are seeking support to expand our practicum and postdoctoral fellowship programs.

The following are the main goals and objectives for this proposal.

Goal A: Support a sustainable workforce of qualified psychologists trained in underserved and/or rural mental health with vulnerable and underserved

populations.

Objective A.1: Increase the number of appropriately trained and culturally competent psychologists available to provide mental health services to vulnerable and underserved populations through practicum and postdoctoral training in psychology.

Objective A.2: Increase the availability of mental health service hours in underserved and rural communities.

These goals and objectives would allow IOL to address the significant needs in rural Hawai'i with regard to health professional shortages and physical and mental health disparities for vulnerable and underserved populations. Additionally, this project will support a model for psychology training and service provision that is generalizable to other populations that may differ in geography and ethnicity.

3. The public purpose and need to be served;

I Ola Lāhui was developed specifically to address the critical mental health workforce shortage and resulting health disparities experienced by underserved and/or rural communities in Hawai'i. IOL achieves this by increasing the number of highly trained psychologists available to work within Hawai'i communities, providing assessments and interventions for traditional mental health needs (e.g., depression, anxiety, trauma, substance abuse, etc.) and chronic disease management (e.g., diabetes, hypertension, obesity, asthma, smoking cessation), and conducting research to ensure the effectiveness of the treatments we provide.

Psychology workforce shortage. The HRSA state health workforce report for Hawai'i, published in 2000, indicated that Hawai'i ranked 46<sup>th</sup> among states in psychologists per capita. Although the number of psychologists licensed to practice in the state has increased during the current century, the rate of growth fails to keep pace with the rest of the country. Bureau of Labor Statistics projections predict that, nationally, the number of practicing psychologists will increase by 22% for the decade 2010 – 2020, whereas State Department of Labor and Industrial Relations statistics project only 7% growth for the state of Hawai'i during this period. The shortage is even more critical in rural, medically underserved areas within the state. For example,

a recent study on the island of Hawai'i (Withy, Andaya, Vitousek, & Sakamoto, 2009) found a 47% shortage of psychologists based on current unmet need.

Across the community health clinics in Hawai'i, it is estimated that up to 70% of the patients are in need of mental health interventions, but in 2005, only 11% actually received such services. IOL seeks to increase the number of psychologists trained and willing to serve in these clinics to improve this statistic, and thus, health care access and service delivery to medically underserved populations.

4. Describe the target population to be served;

Our target populations are vulnerable and medically underserved community members in Hawai'i, spanning diverse backgrounds that are in need of mental health services.

5. Describe the geographic coverage.

The geographic coverage is the State of Hawai'i. The lack of access to appropriate mental health care is a critical issue on all islands. I Ola Lāhui has 9 years of experience partnering with facilities in federally designated shortage ages and medically underserved communities on 6 islands and this training program has the potential to improve access to mental health care across the state.

# II. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities;

This request seeks to extend and expand the current IOL training program with a focus on practicum and postdoctoral fellowship. The proposed training program will address the mental health needs of rural and/or medically underserved areas in the state of Hawai'i through the provision of clinical psychology training and supervision in evidence-based, culturally-minded mental health services.

This proposal seeks funding to support I Ola Lāhui's clinical psychology training program to increase the number of appropriately trained providers working in Hawai'i's rural areas. We seek to provide the training and service provision in both traditional mental health and chronic disease management to provide access to quality care for vulnerable and underserved populations in Hawai'i.

IOL's training program will: 1) provide training in foundational competency areas (ethics, cultural and individual diversity) 2) provide intensive, generalist training to prepare students for the practice of professional psychology; 3) provide a diverse clinical training experience with an emphasis on integrated mental health service delivery in underserved and rural community

health/primary care settings; and, 4) foster the development of professional practice, research, and organizational/administrative skills to function independently across a variety of community health care settings in Hawai'i's rural and medically underserved areas.

All trainees will spend time involved in supervised, face-to-face direct service delivery in medically underserved and rural clinics. Trainees will also provide outreach to and will offer mental health seminars (e.g., suicide prevention, stress management, recognizing signs of depression, motivational interviewing, kupuna health, etc.) to allied providers and residents in their service communities. Trainees will be supervised at these sites by on-site Licensed Clinical Psychologists working there.

In addition, trainees will participate in multiple training activities that consist of learning seminar didactics (e.g., ethics in underserved and rural settings, tobacco cessation, provider burnout prevention, depression in the elderly, etc.), advocacy training, case conferences, research, professional development supervision, and peer supervision with IOL's supervising psychologists.

At I Ola Lāhui, the supervising psychologists are well versed in supervising and training from a cultural competency perspective based upon years of experience in rural mental health service delivery. I Ola Lāhui's faculty is diverse with a wide range of expertise and interests as clinicians and researchers. Members of the faculty and board have joint affiliations with the Hawai'i Board of Psychology, Hawai'i Psychological Association, Kahuku Medical Center, Nā Pu'uwai Native Hawaiian Health Care System, University of Hawai'i at Mānoa, Waimānalo Health Center, Alu Like, Big Island Substance Abuse Council, Hawai'i Island Family Health Center.

2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;

| Time Period                | Program Activity  |
|----------------------------|---|
| July 2017 – August 2017    | Prepare trainees for on-site training (and immersion in     |
|                            | underserved communities)                                    |
| September 2017 – June 2017 | Trainees participate in supervised, face-to-face direct     |
|                            | service delivery in medically underserved and rural clinics |
| July 2017 – June 2017      | Trainees participate in multiple training activities that   |
|                            | consist of learning seminar didactics, advocacy training,   |
|                            | case conferences, research, and supervision                 |
| June 2017                  | Reports completed for the Legislature                       |

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and

IOL has designated staff members that are responsible for quality assurance (QA) and evaluation of this program. With a mission that is centered on culturally-minded, evidence-based care and the scientist-practitioner model, the IOL team is deeply committed to ongoing QA monitoring to assure the highest level of quality of the training and services we provide throughout our organization.

Several QA measures have been utilized since the start of IOL. These measures are ongoing and workflows have been established to gather and report on them (verbally and/or in writing) at weekly, monthly, and quarterly time periods. These measures include: 1) performance evaluations, 2) supervisor and trainee feedback, and 3) patient satisfaction measures. We will continue to use these metrics to ensure a quality experience for trainees and patients at sites. It will be the QA/QI Manager's responsibility to monitor these indicators and lead problem solving discussions regarding any concerns identified in a timely manner and to ensure that concerns are adequately addressed and maintain the overall integrity of the program.

4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

The program outcomes to be completed by the end of the proposed project are:

- 1. Six (6) psychologists in training will complete one year of training in mental health provision to underserved and/or rural communities.
- 2. 250 hours of supervision and training will be provided.
- 3. Five psychologists in training will report an increased likelihood of serving in an underserved and/or rural community.
- 4. 2.500 available mental health service hours at sites.

#### III. Financial

### Budget

- 1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.
- 2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2018.

| Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 | Total Grant |
|-----------|-----------|-----------|-----------|-------------|
| \$68,577  | \$68,577  | \$68,577  | \$68,577  | \$274,309   |

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2018.

| Source of Fundin | ng                        | Grant Period           | Amount<br>Requested | Status  |
|------------------|---------------------------|------------------------|---------------------|---------|
| City & County o  | f Honolulu- Grants In Aid | 10/1/2017 to 9/30/2018 | \$125,000           | Applied |
| Office of Hawaii | an Affairs                | 7/1/2017 to 6/30/2019  | \$234,338           | Applied |

4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

IOL has not been granted any state or federal tax credits within the prior three years and has not applied for any tax credits pertaining to any capital project.

5. The applicant shall provide a listing of all federal, state, and county government contracts and grants it has been and will be receiving for program funding.

Page 9 of this application details the list of all federal, state, and county government contracts and grants it has been and will be receiving. Although funds from these sources support I Ola Lāhui including other initiatives and programs and therefore provide general support for the organization, none of these funds go directly toward supporting the proposed program for practicum and postdoctoral fellowship training.

6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2016.

I Ola Lāhui's unrestricted current assets as of December 31, 2016 totaled \$152,712.42.

# IV. Experience and Capability

#### A. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

I Ola Lāhui has a 9 year history of partnering with organizations across 6 different islands in Hawai'i to train providers to serve in underserved areas, closing the gap between the needs of communities and the access to mental health services. Since I Ola Lāhui was founded in 2007, it has demonstrated consistent success and growth by partnering with 14 different community health clinics and 5 eldercare service facilities. IOL has trained 40 interns and postdoctoral fellows and out of these, 80% have continued serving in underserved and rural communities.

<u>List of Past and Current Community Partner Organizations:</u>

Big Island Substance Abuse Council, Hilo

Hawai'i Island Family Health Center, Hilo

Hawai'i Primary Care Association

Ho'ola Lahui Hawai'i Community Health Center, Kaua'i

Hui No Ke Ola Pono, Maui

Ke Ola Hou, Lana'i

Kū Aloha Ola Mau Health Clinic, Oʻahu

Lana'i Community Health Center, Lana'i

Lau Ola Clinic, UH JABSOM Department of Native Hawaiian Health, O'ahu

Malama I Ke Ola Health Center, Maui

Moloka'i Community Health Center, Moloka'i

Na Pu'uwai Native Hawaiian Health Systems Clinic, Moloka'i

Tripler Army Medical Hospital, O'ahu

Waimanalo Community Health Center, O'ahu

West Hawai'i Community Health Center, Kona

Listed below are projects funded through grants and contracts completed within the past three years.

| Category             | Source  | Timeframe     | Comments  |
|----------------------|---|---------------|---|
| Training<br>Grant    | Health Resource Services Administration Graduate Psychology Education | 7/2016-6/2019 | Internship Training   |
| Training<br>Grant    | Health Resource Services Administration Graduate Psychology Education | 9/2014-8/2016 | Internship Training &<br>Service to Military<br>Beneficiaries |
| Training<br>Contract | Waimānalo Health Center   | 2007-2017     | Intern & Postdoctoral<br>Training                             |
| Training<br>Contract | Na Pu'uwai Native Hawaiian<br>Health System                           | 2007-2017     | Intern & Postdoctoral<br>Training                             |
| Training<br>Contract | Hilo Medical Center (Hawaii<br>Island Family Health Ctr)              | 2013-2017     | Internship + Faculty Training/Service                         |
| Training<br>Contract | Big Island Substance Abuse<br>Council                                 | 2016-201      | Internship Training   |
| Training<br>Contract | Ku Aloha Ola Mau  | 2013-2014     | Postdoctoral Training   |
| Training<br>Contract | Malama I Ke Ola   | 2012-2014     | Postdoctoral Training   |

| Mental<br>Health<br>Service          | Kahuku Medical Center                                    | 2016-2017               | Initiated on-site mental health services                         |
|--------------------------------------|--|-------------------------|--|
| Mental<br>Health<br>Service<br>Grant | Health City and County of Honolulu Service Grants in Aid |                         | Opened mental health<br>services in Aiea, Elderly<br>Outreach    |
| Health<br>Service<br>Grant           | Queens Health System/HMSA<br>Foundation                  | 2014-2015               | Smoking Cessation<br>Services                                    |
| Health<br>Service<br>Grant           | Hawaii Community Foundation                              | 7/2016-6/2019           | Smoking Cessation for<br>Moloka'i                                |
| Mental<br>Health<br>Service<br>Grant | Hawaii Community Foundation                              | 11/1/2013-<br>6/30/2016 | Smoking Cessation for<br>Moloka'i and Lāna'i                     |
| Mental<br>Health<br>Service<br>Grant | Friends of Hawai'i                                       | 7/2016-<br>12/2016      | Perinatal Stress Program   |
| Mental<br>Health<br>Service<br>Grant | Friends of Hawai'i                                       | 7/2015-<br>12/2015      | Mindfulness Based<br>Stress & Health<br>Management               |
| Health<br>Service<br>Grant           | Friends of Hawai'i                                       | 7/2014-<br>12/2014      | Diabetes Self-<br>Management                                     |
| Health<br>Service<br>Grant           | Office of Hawaiian Affairs                               | 7/1/2015-<br>6/30/2017  | Kūlana Hawai 'i Weight<br>Management for<br>Honolulu and Leeward |
| Health<br>Service<br>Grant           | Office of Hawaiian Affairs                               | 7/1/2013-<br>6/30/2015  | Kūlana Hawai'i Weight<br>Management for O'ahu<br>and Moloka'i    |
| Health<br>Service<br>Grant           | CVS  | 1/2015-<br>12/2015      | Smoking Cessation<br>Services and Outreach                       |

| Health<br>Service<br>Grant                 | Atherton Foundation                        | 7/2014-6/2015 | Chronic Disease Self-<br>Management                           |
|--|--|---------------|---|
| Health<br>Service<br>Contract              | HMSA                                       | 2012-2015     | Kūlana Hawai'i Weight<br>Management for O'ahu<br>and Moloka'i |
| Mental<br>Health<br>Service<br>Contract    | Tripler Army Medical Center                | 2010-2015     | Services and Outreach to Military Beneficiaries               |
| Mental<br>Health<br>Service<br>Contract    | Department of Health And Human<br>Services | 2010-2017     | Social Security Disability Evaluations                        |
| Facilitation & Consulting Service Contract | Office of Hawaiian Affairs                 | 2014-2015     | Management Training,<br>Team Building                         |

#### B. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

IOL has its main clinic, training, and administrative offices in Honolulu on the island of O'ahu. The offices include designated work stations for interns and other trainees, 6 dedicated treatment rooms, 8 staff and trainee offices, a conference room where didactic instruction and group treatment sessions occur, a gym used for our weight and stress management programs, and a lunch room. Interns gather at the IOL offices two days per week to participate in research, didactic instruction, case conferences, and supervision. Some of the interns also complete a portion of their clinical experiences at the IOL offices. We are expanding to a second location in the Leeward area of O'ahu to provide greater accessibility to West and Central O'ahu. Our facility will offer mental health services and programs to community members and have an expanding role as an internship training site in the coming years.

# V. Personnel: Project Organization and Staffing

### A. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

#### **Key Personnel:**

There are 5 key staff positions required for the success of this effort including the Director of Training, the Practicum Director/Director of Research and Evaluation, the Executive Director, and the Project Staff/Research Assistant. In addition, the roles of the Postdoctoral Fellow, the Administrative Assistant, and Clinical Faculty are described below. IOL staff has the experience and capability to achieve the project's desired outcomes.

#### Jill Oliveira Gray, Ph.D., Director of Training (0.4 FTE)

Dr. Oliveira Gray is the Director of Training and is responsible for program development, trainee selection, training coordination, supervision, and evaluation of the trainees each year. She will work closely with the trainees through supervision and training based on feedback gathered from clinic staff and medical providers to improve their performance and enhance collaboration. She will also help design programs in response to community needs, in consultation with the other key staff. Dr. Oliveira Gray also serves as Clinical Faculty (see described below). Dr. Oliveira Gray is an expert in mental health for vulnerable and underserved population, has worked, researched, and trained providers in this field for 17 years, has served as the Hawai'i Psychological Association President, and has received many awards (e.g., Distinguished Service Award, Outstanding Contribution to the State Award, Healthcare Heroes Award, and Award for Service Provision to Rural Areas). She also currently serves on the Hawai'i Board of Psychology and Alu Like Board of Directors.

# Allison Hu Seales, Ph.D., Practicum Director/Director of Research and Evaluation (0.3 FTE).

Dr. Seales is the Practicum Director and the Director of Research and Evaluation. She will oversee the practicum training, and will conduct the QA and evaluation components of the project. She will be responsible for practicum development, supervision, and evaluation. Dr. Seales will also serve to coordinate with supervisors and administrators at practicum training sites and with universities in Hawai'i. Dr. Seales also serves as Clinical Faculty. Dr. Seales has worked in and trained practicum students, interns, and postdoctoral fellows in rural, underserved communities for 10 years and has received the Hawai'i Psychological Association Award for Service Provision to Rural Areas. She also served as the Director of Behavioral Health at Na Pu'uwai on Molokai for 6 years.

# A. Aukahi Austin Seabury, Ph.D., Executive Director (0.4 FTE).

Dr. Austin Seabury is the Executive Director and a Licensed Clinical Psychologist. Dr. Austin Seabury will provide leadership, fiscal, and administrative support, and is involved in the

recruitment, selection and training of trainees each year. Dr. Austin Seabury is also responsible for ensuring the diversity and utility of training experiences available to the trainees each year through establishing and maintaining community partnerships. Dr. Austin will work closely with health clinic administration to solidify training agreements and staff positions toward sustainability. She also maintains responsibility for fiscal management and ensuring adequate resourcing and support of the project within the organization. Dr. Austin also serves as Clinical Faculty. Dr. Austin is a skilled administrator with over 9 years of experience as the executive director of IOL. She also has won many awards (i.e., Hawaii Psychological Association Outstanding Teacher of Psychology Award, and the HPA Provision of Psychological Services to Rural Communities Award. She also current serves on the Healthcare Workforce Advisory Board for the State Department of Labor and the Na Limahana o Lonopuha Native Hawaiian Health Consortium).

#### TBN. Project Staff/Research Assistant (0.5 FTE)

The Project Staff/Research Assistant will serve to work with the Director of Training, Director of Research and Evaluation, and Executive Director to manage data related to QA and program outcomes, and to assist with communication with community partners and project team. The Project Staff/Research Assistant is expected to have experience with data management and project implementation.

#### **Other Personnel:**

#### TBN. Postdoctoral Fellow (1.0 FTE)

The Postdoctoral fellow will be recruited based on interest in serving vulnerable and underserved communities. They will receive training and supervision in mental health service in these communities, program administration and development, community engagement and outreach, and training of others, along with other important skills necessary. The postdoctoral fellow, under the supervision of the Clinical Faculty, will provide behavioral health services including screening, assessment, and intervention to patients in underserved sites.

#### Chaunte Seabury. Administrative Assistant (0.25 FTE)

Ms. Seabury will provide any needed assistance to key staff. She has experience in this position since 2011.

Clinical Faculty/Supervisors will be responsible for providing didactic instruction to trainees to improve their knowledge and preparation for practice in underserved and/or rural areas. They will also provide as needed additional supervision and consultation to trainees regarding their clinical work and program development activities. They also provide professional development supervision to ensure that trainees are supported in their ability to practice independently Clinical Supervisors are responsible for the on-site supervision, training, and evaluation of trainees while they are at the underserved clinic sites. They also play an essential role in communicating with providers and staff at the clinic sites to determine clinic priorities and ensure collaborative program development occurs that is relevant to the specific community setting.

All of IOL's trainees must be currently enrolled in or have graduated from an accredited psychology graduate training program in order to participate in training at our site.

#### C. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see Article X, Section 1, of the State Constitution for the relevance of this question.

This grant will not be used to support or benefit a sectarian or non-sectarian private educational institution.

#### D. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2017-18 the activity funded by the grant if the grant of this application is:

- (1) Received by the applicant for fiscal year 2017-18, but
- (2) Not received by the applicant thereafter.

The establishment of a pipeline of future psychologists who are well-trained and motivated to serve rural and underserved communities is an essential component of a functioning health system for Hawai\*i. I Ola Lāhui has a nine-year track record of sustained funding for psychology training with proven results for increasing the behavioral health workforce. The success of that effort is due in large part to our committed and skilled staff along with a core of key partner organizations that all recognize the importance and value of this effort. Those organizations have provided funding support to IOL through grants and service contracts as listed above. Our plan is to use the grant period to expand the number of trainees for next year and give sites the opportunity to see the benefit of the services they provide, with the intention of building sustainable financial support for the expanded program.

PARTNERSHIPS: Our goals for sustaining and expanding activities are to continue partnerships beyond the grant period as well as to develop further partnerships to increase our future funding sources and referral streams for treatment services.

#### SUPPORT:

IOL is especially committed to sustaining and expanding the training program because it is our mission to increase access to mental health services for underserved communities. As such, we will continue to seek ongoing funding support to ensure that the training program continues. If funded, we will use the grant period to expand services while simultaneously working with partners to identify mechanisms that will sustain it beyond that time. If the grant is not funded, we will be unlikely to be able to support the staff and space needed to expand training in the coming year, but will continue to seek opportunities to do so in the future.

## E. Certificate of Good Standing (If the Applicant is an Organization)

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2016.

Please find attached I Ola Lāhui's certificate of good standing from the Director of Commerce and Consumer Affairs dated January 20, 2017

# **Application for Grants**

If any item is not applicable to the request, the applicant should enter "not applicable".

# I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background;

I Ola Lāhui (IOL) is a 501(c)(3) non-profit organization that has been in operation since July 2007. IOL's mission is to provide culturally-minded, evidence-based behavioral health care that is responsive to the needs of medically underserved, Native Hawaiian, and rural communities.

IOL was developed specifically to address the critical mental health workforce shortage and underserved community health disparities by increasing the number of trained psychologists available to work within these communities, providing mental health and chronic disease management services and conducting research to ensure the effectiveness of the training and treatments we provide. Achieving these goals will substantially improve the mental and physical health of Hawai'i's people.

I Ola Lāhui has a 9 year history of partnering with organizations across 6 different islands in Hawai'i, closing the gap between the needs of communities and the access to mental health services. Since I Ola Lāhui was founded in 2007, it has demonstrated consistent success and growth by partnering with 14 different community health clinics and 5 eldercare service facilities, and providing patient education, staff training, consultation, and public outreach. To date, across all pre- and post-doctoral psychology trainees, we have served over 7,721 patients and trained 56 psychologists in training to work with underserved populations (i.e., rural, uninsured, unemployed, homeless). The training occurs in many medically underserved communities in Hawai'i (e.g., Molokai, Hilo, Waimānalo, Leeward, and North Shore districts on O'ahu). IOL trainees provide urgently needed mental health services to patients in these clinics while simultaneously preparing for careers working in medically underserved areas in the future.

2. The goals and objectives related to the request;

This proposal seeks funding to support I Ola Lāhui clinical psychology training program to address the serious mental health workforce shortage by increasing the number of appropriately trained providers working in Hawai'i's rural areas and consequently increasing the number of available mental health appointments delivered in a manner acceptable to the communities being served. Long-term goals include creating a stable, sustainable, and committed pipeline of providers capable of addressing a large number of conditions, both physical and mental, which is

#### B. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.

Please see attached Organization Charts for I Ola Lāhui and the Proposed Project

## C. Compensation

The applicant shall provide the annual salaries paid by the applicant to the three highest paid officers, directors, or employees of the organization by position.

| Position                                   | Employee                        | Annual Salary |
|--|---------------------------------|---------------|
| Executive Director, Licensed Clinical      | A. Aukahi Austin Seabury, Ph.D. | \$90,000      |
| Psychologist                               | _                               |               |
| Director of Training, Licensed Clinical    | Jill Oliveira Gray, Ph.D.       | \$90,000      |
| Psychologist                               | -                               |               |
| Practicum Director, Director of Research & | Allison Seales, Ph.D.           | \$58,078      |
| Evaluation, Licensed Clinical Psychologist |                                 |               |

## VI. Other

#### A. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

I Ola Lāhui does not have any pending litigation or any outstanding judgement to which we are a party.

#### B. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

I Ola Lāhui's training faculty are all licensed to practice psychology in the state of Hawai'i, as is required for supervision of psychology trainees in this state. All of our faculty members have worked in rural and underserved communities prior to becoming supervisors with our organization. Although not required for graduate psychology training, our internship training program is accredited by the American Psychological Association and a member of the Association of Psychology Postdoctoral and Internship Centers.

# **BUDGET REQUEST BY SOURCE OF FUNDS**

Period: July 1, 2017 to June 30, 2018

| Applicant: 1 OLA LÄHUI, INC. |  |
|------------------------------|--|
|------------------------------|--|

|              | UDGET                                   | Total State | Total Federal           | Total County            | Total Private/Other |
|--------------|---|-------------|-------------------------|-------------------------|---------------------|
| C            | ATEGORIES                               | •           |                         |                         | Funds Requested     |
|              |   | (a)         | (b)                     | (c)                     | (d)                 |
| A.           | PERSONNEL COST                          |             |                         |                         |                     |
| ľ            | 1. Salaries                             | 165,232     | 0                       | 0                       | 0                   |
|              | 2. Payroll Taxes & Assessments          | 1,049       | 0                       | 0                       | 0                   |
| l            | 3. Fringe Benefits                      | 28,007      | 0                       | 0                       | 0                   |
|              | TOTAL PERSONNEL COST                    | 194,288     |                         |                         |                     |
| В.           | OTHER CURRENT EXPENSES                  |             |                         |                         |                     |
| l            | Airfare, Inter-Island                   | 800         | 0                       | 0                       | 0                   |
|              | 2. Insurance                            | 0           | 0                       | 0                       | 0                   |
| ļ            | Lease/Rental of Equipment               | 0           | 0                       | 0                       | 0                   |
| ·            | 4. Lease/Rental of Space                | 72,821      | 0                       | 0                       | 0                   |
|              | 5. Staff Training                       | 4,800       | 0                       | 0                       | 0                   |
|              | 6. Supplies                             | 1,200       | 0                       | 0                       | 0                   |
|              | 7. Telecommunication                    | 0           | 0                       | 0                       | 0                   |
|              | 8. Utilities                            | 0           | 0                       | 0                       | 0                   |
|              | 9. Ground Transportation, Interisland   | 400         | 0                       | 0                       | 0                   |
|              | 11                                      |             |                         | <b>4011</b>             |                     |
| ]            | 12                                      |             |                         |                         |                     |
|              | 13                                      |             | ARTHUR C. V.            |                         |                     |
|              | 14                                      |             |                         |                         |                     |
|              | 15                                      |             |                         |                         |                     |
|              | 16                                      |             |                         | <del></del>             |                     |
|              | 17                                      |             |                         |                         |                     |
|              | 18                                      |             |                         |                         |                     |
|              | 19                                      |             |                         |                         |                     |
|              | 20                                      |             |                         |                         |                     |
|              |   |             |                         |                         |                     |
|              | TOTAL OTHER CURRENT EXPENSES            | 80,021      | 0                       | 0                       | 0                   |
| C.           | EQUIPMENT PURCHASES                     | 0           |                         |                         |                     |
| D.           | MOTOR VEHICLE PURCHASES                 | 0           |                         |                         |                     |
| E.           | CAPITAL                                 | 0           |                         |                         |                     |
| то           | TAL (A+B+C+D+E)                         | 274,309     | 0                       | 0                       | 0                   |
|              |   |             | Budget Prepared         | Bv:                     |                     |
| اءا          | URCES OF FUNDING                        |             |                         | J ·                     |                     |
|              |   | 074.000     |                         |                         |                     |
|              | (a) Total State Funds Requested         |             | Ayda Aukahi Austin Sea  | abury, Ph.D.            | 808-525-6255        |
|              | (b) Total Federal Funds Requeste        | 0           |                         |                         | Phone //a /         |
| ľ            | (c) Total County Funds Requeste         | 0           |                         |                         | 1/60/2017           |
|              | (d) Total Private/Other Funds Requested | 0           | Signature of Authorized | Official )              | Date                |
|              |   |             | Ayda Aukahi Austin Sea  | abury, Ph.D., Executive | Director            |
| TOTAL BUDGET |   |             | Name and Title (Please  |                         |                     |
|              |   |             |                         | . , .,                  |                     |
|              |   |             |                         |                         |                     |

## **BUDGET JUSTIFICATION - PERSONNEL SALARIES AND WAGES**

Period: July 1, 2017 to June 30, 2018

| Applicant: 1 OLA LÄHUI, INC. |  |
|------------------------------|--|
|                              |  |

| POSITION TITLE   | FULL TIME<br>EQUIVALENT | ANNUAL SALARY<br>A | % OF TIME<br>ALLOCATED TO<br>GRANT REQUEST<br>B | TOTAL<br>STATE FUNDS<br>REQUESTED<br>(A x B) |
|--|-------------------------|--------------------|---|--|
| Director of Training                                   | 1                       | \$90,000.00        | 40.00%  | \$ 36,000.00                                 |
| Practicum Director/Director of Research and Evaluation | 0.6                     | \$54,000.00        | 50.00%  | \$ 27,000.00                                 |
| Executive Director                                     | 1                       | \$90,000.00        | 40.00%  | \$ 36,000.00                                 |
| Project Staff/Research Assistant                       | 1                       | \$40,000.00        | 50.00%  | \$ 20,000.00                                 |
| Postdoctoral Fellow                                    | 1                       | \$35,000.00        | 100.00%   | \$ 35,000.00                                 |
| Administrative Assistant                               | 1                       | \$37,440.00        | 30.00%  | \$ 11,232.00                                 |
|  |                         |                    |   | \$ -   |
|  |                         |                    |   | \$ -   |
|  |                         |                    |   | \$ -   |
|  |                         |                    |   | \$ -   |
|  |                         |                    |   | \$ -   |
|  |                         |                    |   | \$ -   |
|  |                         |                    |   | \$ -   |
|  |                         |                    |   | \$ -   |
| TOTAL:   |                         |                    |   | 165,232.00                                   |

JUSTIFICATION/COMMENTS: Please see staffing plan for description of roles.

# **BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES**

Period: July 1, 2017 to June 30, 2018

| DESCRIPTION<br>EQUIPMENT |        | IO. OF<br>TEMS | COST PER            | i        | OTAL<br>OST                        | TOTAL<br>BUDGETED |
|--------------------------|--------|----------------|---------------------|----------|------------------------------------|-------------------|
|                          |        |                |                     | \$       |                                    |                   |
|                          |        |                |                     | \$       |                                    |                   |
|                          |        |                |                     | \$       |                                    |                   |
|                          |        |                |                     | \$       | ***                                |                   |
|                          |        |                |                     | \$       | <u>-</u>                           |                   |
|                          | TOTAL: |                |                     |          |                                    |                   |
|                          |        |                |                     |          | 25(40)20203030344                  |                   |
| USTIFICATION/COMMENTS:   |        |                |                     |          | <u>abdideloodinteleksteoriebid</u> |                   |
| JSTIFICATION/COMMENTS:   |        |                |                     |          |                                    |                   |
|                          |        | 10.05          |                     |          |                                    |                   |
| DESCRIPTION              | i      | IO. OF         | COST PER<br>VEHICLE | 1        | OTAL<br>COST                       | TOTAL             |
|                          | i      |                | COST PER<br>VEHICLE | С        | OTAL<br>OST                        |                   |
| DESCRIPTION              | i      |                |                     | \$       | OST                                | TOTAL             |
| DESCRIPTION              | i      |                |                     | \$<br>\$ | ost<br>-                           | TOTAL             |
|                          | i      |                |                     | \$       | -<br>-                             | TOTAL             |

JUSTIFICATION/COMMENTS: NOT APPLICABLE

TOTAL:

# **BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS**

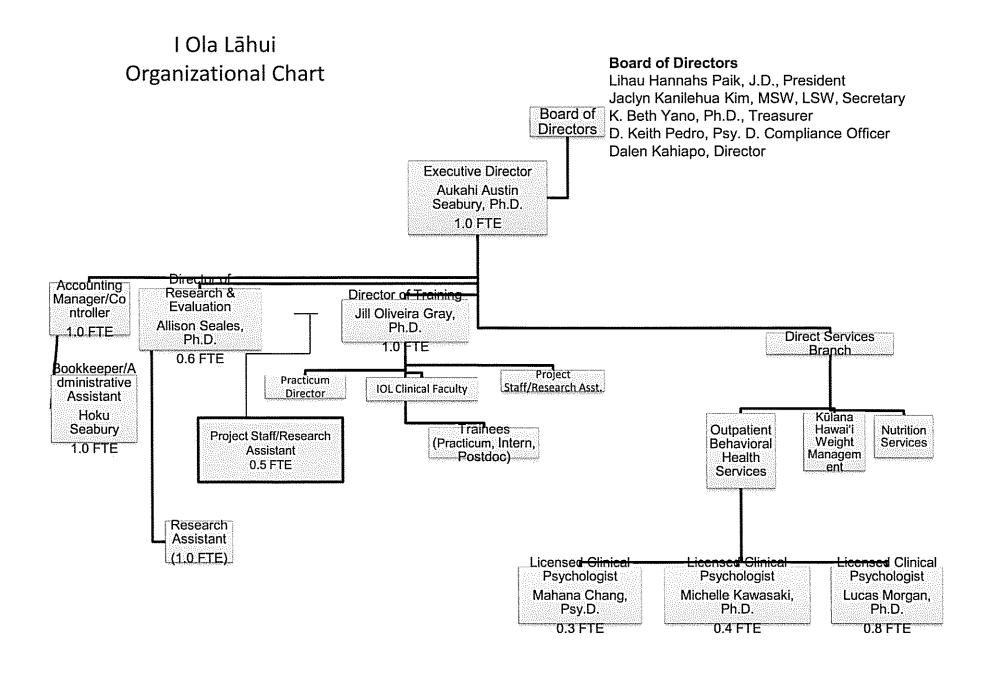
Period: July 1, 2017 to June 30, 2018

|                    | FUNDII  | NG AMOUNT RI  | EQUESTED                 |                       |   |              |
|--------------------|---|---------------|--------------------------|-----------------------|---|--------------|
| TOTAL PROJECT COST | ALL SOURCES OF FUNDS<br>RECEIVED IN PRIOR YEARS |               | STATE FUNDS<br>REQUESTED | OF<br>FUNDS REQUESTED | FUNDING REQUIRED IN<br>SUCCEEDING YEARS |              |
|                    | FY: 2015-2016                                   | FY: 2016-2017 | FY:2017-2018             | FY:2017-2018          | FY:2018-2019                            | FY:2019-2020 |
| PLANS              |   |               |                          |                       |   |              |
| LAND ACQUISITION   |   |               |                          |                       |   |              |
| DESIGN             |   |               |                          |                       |   |              |
| CONSTRUCTION       | ***   |               |                          |                       |   |              |
| EQUIPMENT          |   |               |                          |                       |   |              |
| TOTAL:             | ***************************************         |               |                          |                       |   |              |

# **GOVERNMENT CONTRACTS AND / OR GRANTS**

Applicant: I OLA LĀHUI, INC. Contracts Total: 1,629,044

|    | CONTRACT DESCRIPTION   | EFFECTIVE<br>DATES                      | AGENCY   | GOVERNMENT<br>ENTITY<br>(U.S. / State / Haw /<br>Hon / Kau / Mau)  | CONTRACT<br>VALUE                       |   |
|----|--|---|--|--|---|---|
| 1  | Kūlana Hawai'i Weight Management Grant   |   | Office of Hawaiian Affai   | State  | \$                                      | 360,000   |
| 2  | Expanding Mental Health Services   |   | Dept of Community Svo  |  |   | 125,000   |
| 3  | Internship Training Program  | 7/1/2016-6/30/2019                      |  | U.S.   | \$                                      | 950,869   |
| 4  | Subaward for Preventing Cardiovascular Disease in Native Hav   | 4/21/2015-1/31/202                      | JABSOM Dept NatHaw   | Univ of Hawaii   | \$                                      | 193,175   |
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IOL Training Program Organizational Chart Jill Oliveira Gray, Ph.D. Project Staff/Research **Director of Training** Assistant Allison Seales, Ph.D. Practicum Director **Clinical Faculty IOL Faculty** Jill Oliveira Gray, Ph.D., (Honolulu) Aukahi Austin Seabury, Ph.D. (Honolulu) **Postdoctoral** Internship **Practicum** Allison Seales, Ph.D. (Honolulu) Fellowship Program Program Mahana Chang, Ph.D. (Honolulu) Michelle Kawasaki, Ph.D. 12-month Accredited by Clinical training (Kahuku, Aiea) supervised clinical American while completing Lucas Morgan, Ph.D. (Honolulu, experience prior Psychological Graduate courses Aiea) Kristin Rajala, Psy.D. (Hilo) to licensure. Association Diagnostic, Clinical Site Faculty\* Number of 12-month Intervention and Isidro Hermosura, Psy.D. placements supervised clinical **Advanced Training** (Waimanalo) determined by experience prior to Levels Available Erin Ogawa, Psy.D. (Molokai) good-fit sites graduation. Hannah Preston-Pita, Psy.D. available. (Hilo) 7 spaces for 2017-5 spaces for 2017-1 space for 2017-2018 Training Year 2018 Training Year \*Employed by Clinical Site 2018 Training Year

# DECLARATION STATEMENT OF APPLICANTS FOR GRANTS PURSUANT TO CHAPTER 42F, HAWAI'I REVISED STATUTES

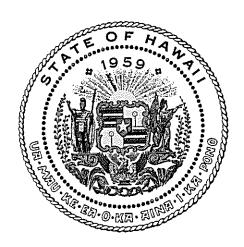
The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
  - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
  - c) Agrees not to use state funds for entertainment or lobbying activities; and
  - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is incorporated under the laws of the State; and
  - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
  - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

| I Ola Lāhui, Inc.   |                    |  |
|---|--------------------|--|
| (T 1) |                    |  |
|   | 1/20/20=           | 7  |
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|   |                    |  |
| Ayda Aukahi Austin Seabury, Ph.D.   | Executive Director | or   |
| (Typed Name)  | (Title)            |  |
| Rev 12/2/16   | 10                 | Application for Grants   |



# Department of Commerce and Consumer Affairs

### CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

I OLA LAHUI, INC.

was incorporated under the laws of Hawaii on 07/11/2007; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.



IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: January 20, 2017

Cathir. Cowal Color

Director of Commerce and Consumer Affairs