

House District 13
Senate District 6

THE TWENTY-NINTH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES

Log No:

For Legislature's Use Only

Type of Grant Request:

GRANT REQUEST – OPERATING

GRANT REQUEST – CAPITAL

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities

"Recipient" means any organization or person receiving a grant.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN):

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN):

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual
Db/a HANA HEALTH
Street Address: 4590 HANA HIGHWAY
HANA, HAWAII 96713
Mailing Address:
P.O. BOX 807
HANA, HAWAII 96713

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name Cheryl Vasconcellos
Title Executive Director
Phone # 808-248-7515, ext. 26
Fax # 808-248-7225
E-mail: cvasconcellos@hanahealth.org

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION INCORPORATED IN HAWAII
 FOR PROFIT CORPORATION INCORPORATED IN HAWAII
 LIMITED LIABILITY COMPANY
 SOLE PROPRIETORSHIP/INDIVIDUAL
 OTHER

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

HANA HEALTH FACILITIES DESIGN AND CONSTRUCTION

4. FEDERAL TAX ID #: [REDACTED]
5. STATE TAX ID #: [REDACTED]

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2018: \$ 1,000,000

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
 EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ 500,000
FEDERAL \$ 1,000,000
COUNTY \$ _____
PRIVATE/OTHER \$ _____

TYPE NAME & TITLE OF AUTHORIZED REPRESENTATIVE

[REDACTED]
/ AUTHORIZED SIGNATURE

Cheryl Vasconcellos, Executive Director
NAME & TITLE

1-12-17
DATE SIGNED



RECEIVED

1/19/17

na

Application for Grants and Subsidies

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Include the following:

1. A brief description of the applicant's background;

Hana Health is a 501(c)(3) non-profit organization. The purpose of Hana Health is to improve the general health and well-being of the Hana community through the provision of medical care and other social, economic and health related programs. Hana Health is the only health care provider in the Hana district, serving the primary health care and emergent medical needs of residents and visitors alike. Hana has been designated by the federal government as a Medically Under-Served Population, a Primary Care Health Professional Shortage Area, a Dental Health Professional Shortage Area and a Mental Health Professional Shortage Area.

Hana Health currently provides primary medical care, dental health services and behavioral health care to the people of Hana. Unlike most primary care clinics in the State, Hana Health coordinates activities with American Medical Response and Maui Memorial Medical Center in the provision of urgent/emergency medical services. Hana Health provides assistance in stabilizing patients with life threatening illness or traumatic injury prior to transport to the hospital on the other side of the island. This takes place seven days a week, 24 hours a day as **Hana Health is the only health care provider in the district.** In addition, Hana Health operates the Hana Fresh farm, farm stand and nutrition center, the cornerstone of our healthy lifestyle and disease prevention programs.

In calendar year 2016, Hana Health provided medical care to 2,017 individual patients who made 6,591 visits to the Health Center of which 201 were for behavioral health services, 139 were for vision services, 310 were urgent care visits and 48 were emergency visits (stabilized and transported to tertiary care: 40 by ground ambulance, 6 by fixed wing, 2 by helicopter). Dental care was provided to an additional 460 patients who made 968 visits to the health center. Fifty Nine percent (58%) of the patients served were insured through Medicaid, Medicare, or were uninsured and provided with subsidized care. Forty percent (40%) of patients served were Native Hawaiian.

2. The goals and objectives related to the request;

Goal I. It is the goal of Hana Health to construct facilities that are modern, safe, “green” and designed to meet current and projected health care, ancillary and support service needs.

Objectives.

A. Complete the site plan, design work and construction documents to include the preparation of detailed working drawings and specifications for health center development. Specifically;

- A 4,000 square foot Rehabilitation and Support Center which will accommodate;
 - Physical Therapy and Cardiac Rehabilitation
 - Behavioral/Mental Health Services
 - Outreach and Education Services
 - Realignment of Hana Health’s driveway to the opposite side of the property for safety reasons (the sale of the neighboring property resulted in the narrowing of Hana Health’s driveway by more than 10 feet).

B. Obtain a building permit from the County of Maui.

C. Initiate and complete construction.

3. The public purpose and need to be served;

Construction of modern health care and support service facilities in the Hana District will improve the health, wellness and safety of the resident population, as well as visitors to the area. Without Hana Health, the only medical center in the District of Hana, the entire resident population of the District, as well as the approximately 600,000 visitors per year would be at greatly increased risk for serious, often fatal medical problems and injury resulting in death.

Geographic Need Indicators: Located in Maui County, the rural district of Hana (Census Tract 301) is one of the most isolated areas in the state of Hawaii. Hana town is 57 miles from Wailuku, the County Seat. The trip takes approximately 2.5 hours along a single lane road with 632 turns and 59 bridges. The road winds through tropical rain forests and along steep cliffs. Hana District is made up of small, isolated settlements scattered over 233 square miles. Many of the villages are located a minimum of 45 minutes from the main town of Hana. Limited access to health and social services, as well as education, employment

and economic opportunity can largely be attributed to Hana's isolation and relatively small population base.

Income and Poverty Level: The median household income in the State of Hawaii is \$68,201, \$64,567 in Maui County, and \$47,056 in the Hana District. Hana's median household income is 44% below the State of Hawaii, and 37% below Maui County (U.S. Census 2010-2014 American Community Survey 5-Year Estimates).

The mean household income in the State of Hawaii is \$85,972, \$84,035 in Maui County, and \$66,024 in the Hana District. Hana's mean household income is 30% below the State of Hawaii, and 27% below Maui County.

Per capita income in the State of Hawaii is \$29,552. Per capita income in Hana is \$20,590, 43% lower than the state. (U.S. Census 2010-2014 American Community Survey 5-Year Estimates). This is the second lowest of all communities in the State (State Primary Care Needs Assessment Data Book, 2016).

More than 12% of Hana families live below the poverty level, compared to 8% in Maui County and 7.8% statewide (U.S. Census 2010-2014 American Community Survey 5-Year Estimates). According to the State Primary Care Needs Assessment, 16% of Hana's population is living at or below poverty, and children live in 21% of the households receiving public assistance. Seventy-six percent (76%) of Hana High and Elementary School students participate in the Free and Reduced Cost Lunch Program based on family size and income (Hana High and Elementary Trend Report 2014-2015, Department of Education).

At the same time, Hana has one of the highest cost of living in the State and the country, at 88% higher than the U.S. average. Maui County is 81% higher, and the State of Hawaii is 85% higher than the U.S. average (Sperling's Best Places 2016, Research and Analysis)

The District of Hana is one of the poorest communities in the State, with a cost of living that is higher than the State average. Overall, the standard of living in Hana is far below most other areas in the State.

The economics of the area force many families to live in multigenerational households, 70% among Native Hawaiian Hana residents, compared to 47% of all Hana residents. The mean household size among Native Hawaiians living in Hana, was 4.35 compared to 3.29 for all Hana residents and compared to 3.01 statewide.

The socioeconomic status of the district is presumed to have a negative impact on health status based on numerous studies in the field.

“Socioeconomic status (SES), whether measured by income, education or occupational status, is among the most robust determinants of variations in health outcomes in virtually every society throughout the world (WHO Health Commission 2008).

“The potential power of the socioeconomic status (SES) paradigm in understanding health disparities—including racial/ethnic disparities—is evident in the fact that socioeconomic differences in health outcomes have been widely documented for most health conditions in most countries. People who are poorer and who have less education are more likely to suffer from diseases, to experience loss of functioning, to be cognitively and physically impaired, and to experience higher mortality rates” (Adler, Boyce, Chesney, Folkman, and Syme, 1993; Adler et al., 1994; Marmot, Kogevinas, and Elston, 1987; Marmot, Ryff, Bumpass, Shipley, and Marks, 1997; Preston and Taubman, 1994; Williams, 1990 Race/Ethnicity, Socioeconomic Status, and Health).

Additionally, the economy of the district has a significant impact on the availability and accessibility of needed services and is a barrier to assuring a seamless system of health care.

Unemployment: Unemployment in the Hana District was 7.5% in 2014 compared to 8.7% in the rest of Maui County, and 6.7% in the State according to U.S. Census 2010-2014 American Community Survey 5-Year Estimates. According to the State Primary Care Needs Assessment Data Book (2016), 10% of the Hana labor force is unemployed. Fifty-seven percent (57%) of Hana wage earners were in the private sector, 13.5% were in government jobs and almost 30% were self-employed in 2014. This compares to 75.5%, 15% and 9% respectively in the County of Maui; and 71.7%, 21% and 7% respectively in the State - U.S. Census 2010-2014 American Community Survey 5-Year Estimates. **Almost one third of the Hana District workforce is self-employed. This is significant, as it is more than three times the rate in the rest of the County and State, and could infer the lack of other viable employment opportunities in the District, as well as a more self-reliant, culturally based work force. Self-employment also suggests that this population may be less likely to have health insurance coverage.** Particularly when noting that more than 15% of the Hana District labor force works in agriculture, fishing and hunting, compared to less than 3% of the Maui County labor force and only 1.6% of the State labor force. Another 19% of the Hana District labor force works in construction compared to 8% County wide, and 6.9% statewide.

The Hana Health Needs Assessment (2016) also finds that seven percent (7%) of households in the district have someone that is unemployed and looking for work, while seventeen percent (17%) of households have someone who is underemployed, working on a part-time basis but wanting full-time work. Twenty-four percent (24%) of Hana’s labor force is unemployed or underemployed. Among Native Hawaiians living in the Hana District, 9% of households have

someone who is unemployed and looking for work, while 22% of households have someone who is underemployed, working on a part-time basis but wanting full time work. Thirty-one percent (31%) of Hana's Native Hawaiian labor force is unemployed or underemployed. Employer mandated health insurance in Hawaii is directly tied to full-time employment, which suggests that up to 17% of part-time workers and 22% of Native Hawaiians working part-time may lack adequate health insurance coverage. Among Native Hawaiians who are unemployed or underemployed, the lack of jobs and responsibilities at home that include childcare and also elder care inhibit employment. Some are physically or mentally unable to hold a job while others lack adequate training and/or transportation. In 2014, the broadest measure of labor underutilization, designated U-6 (which includes the unemployed, workers employed part time for economic reasons, and those marginally attached to the labor force), was 10.2% in Hawaii, down from 12.8 percent the year before (Bureau of Labor Statistics).

Health: "Disease incidence and mortality are strongly associated with lifestyle and risk factors. Of all racial groups living in Hawaii, Native Hawaiians are the racial group with the highest proportion of risk factors leading to illness, disability and premature death. "The data depicts Native Hawaiians experiencing high rates of circulatory diseases and malignant neoplasms, particularly digestive and respiratory types, which appear to be strongly associated to risk factors such as smoking, alcohol consumption, obesity, sedentary life, and so on. The data also indicate that large segments of the Native Hawaiian population were recipients of state and federal sponsored health care services, a clear indication that low income is a barrier to full access to health care systems" (Office of Hawaiian Affairs 2006 Data Book).

"Chronic disease, disability, and early death are destructive forces in individual lives and in whole communities. Their toll is high-and they do not strike at random. A large body of evidence indicates that socioeconomic status (SES) is a strong predictor of health. Better health is associated with having more income, more years of education, and a more prestigious job, as well as living in neighborhoods where a higher percentage of residents have higher incomes and more education." (Network on Socioeconomic Status and Health, Macarthur Foundation).

Native Hawaiians living in the Hana District have a high risk health profile resulting in the onset of preventable chronic health conditions and premature death. Sixty two (62%) of Hana Health patients have been identified as "high risk" for diabetes and hypertension. This is exasperated by high rates of unemployment/underemployment and poverty. In addition, there is limited access to mediating opportunities due to the extreme isolation, small population, limited economic base and rural nature of the Hana District. When asked to identify problems facing their community, in a 2011 needs assessment conducted by QMark Research and Polling for Hana Health, Native Hawaiians

identified healthcare related issues (31%) and lack of job opportunities (27%) as the two most serious problems facing Hana.

In "Planning the Future of Community Health Centers in Hawaii" published in October 2010, the Hawaii Primary Care Association points out that currently, each neighbor island suffers from a primary care provider deficit of at least 20%. Further, that recruitment and retention in Hawaii's rural community health centers is "extraordinarily challenging" given the environment most of the health centers work in. Hana Health, like other rural health centers in the state, is unable to meet the ancillary needs of both the physician and professional workforce. The lack of adequate schooling for children, unaffordable housing, insufficient spousal employment opportunities, and the need for peer socialization greatly hinder health center recruitment and retention efforts. These underlying community deficits make retention of providers at health centers difficult.

Hana's isolation and relatively small population base has resulted in limited access to health care, educational opportunity, social services and quality employment. The cost of providing service of any kind to this small, yet vulnerable population is extremely high, often 30% to 50% higher than the main population centers of Maui.

Facility Needs: Built in 1965, the current 50 year old medical center underwent a major facelift last year including the installation of new windows, floors, central air conditioning and a new roof. This was made possible through a 2010 legislative appropriation. However, current and planned programs have outgrown the 4,000 square foot facility. For the past ten years Hana Health has leased five trailers to help alleviate the space crunch, but this cannot be a permanent solution, nor has it solved the basic problem.

Program expansion is hampered by the lack of facilities. Health and wellness programs are often conducted outside, under a tent. New medical programs/services cannot be initiated due to space limitations, i.e. cardiac rehabilitation, specialty services provided by visiting physicians, physical therapy, etc. The Hana Fresh farm and farm stand operate under tents and is in need of a permanent facility for the washing, packing and refrigeration of Hana Fresh Farm produce particularly to meet new food safety requirements.

The current health center entrance is unsafe (the sale of the neighboring property resulted in the narrowing of Hana Health's driveway by more than 10 feet) and needs to be re-aligned to the opposite side of the property. Storage space is non-existent. There is a large shed used to store everything from medical records, to clinic and farm stand supplies, There are tents located throughout the property that provide "storage space" for supplies and equipment.

4. Describe the target population to be served; and

Residents of the Hana District make up Hana Health's primary target population with a special emphasis on meeting the needs of Native Hawaiians.

5. Describe the geographic coverage.

The District of Hana – Census Tract 301.

II. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities;

Prepare a site plan, schematic designs and construction documents to include the preparation of detailed working drawings and specifications for health center development. Secure all permits necessary to initiate construction. Initiate and complete construction work.

Tasks and Responsibilities:

- A. Prepare/Publish RFP and select architect - Board of Directors and Executive Director.
- B. Prepare and sign contract – Architect, Executive Director
- C. Revise and update existing site plan to reflect current and future operations and space requirements – Architect, Board of Directors, Executive Director.
- D. Prepare schematic designs and preliminary cost estimates - Architect.
- E. Modify schematic designs as necessary and prepare construction documents – Architect, Board of Directors, Executive Director.
- F. Prepare cost estimate and “value engineer” project as necessary – Architect.
- G. Prepare Final Cost Estimates – Architect
- H. Prepare and submit building permit application to County of Maui – Architect
- I. Modify plans as necessary to secure building permit – Architect

- J. Prepare/Publish RFP and select contractor – Board of Directors and Executive Director.
- K. Prepare and sign contract – Contractor, Executive Director
- L. Initiate and complete construction - Contractor

- 2. **Provide a projected annual timeline for accomplishing the results or outcomes of the service;**

See Attached Timeline.

- 3. **Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and**

Hana Health is committed to providing quality services to all beneficiaries in an organization - wide effort to continuously improve our processes and the delivery of care to the community we serve. Hana Health maintains a Quality Assurance/Performance Improvement System to assure excellence in the quality of care provided. The system is designed to increase accountability, support quality improvement, facilitate and support program decisions, monitor the population's health status, empower patients and families to make informed health care decisions and provide evidence to eliminate wasteful practices. Hana Health's quality assurance program measures, monitors, tracks and improves performance in key aspects of all operations.

The Board of Directors maintains oversight for the Quality Assurance/Performance Improvement Program and will be monitoring progress on this project as well.

Architect services will include the management of the construction project. The continuing involvement of the architect during the construction phase will help ensure that the completed buildings support the design intent, conforms to the construction documents and ensures the quality of materials and workmanship. The architect will be available for advice and consultation with the Executive Director and the Board of Directors. Additionally, the project will be monitored against both time and cost objectives.

- 4. **List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.**

- A. Completion of Construction Documents
- B. Secure a building permit
- C. Completion of Construction

III. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.
2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2015.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
200,000	300,000	250,000	250,000	1,000,000

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2018.

Hana Health intends to apply for other funds for this project including the County of Maui, CDBG, and private foundations.

Hana Health has already secured \$1,000,000 in federal funding for this project. However, funds will not be released until the balance of the project funding is secured.

4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

NA

5. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2016.

There are no unrestricted current assets as of December 31, 2016.

IV. Experience and Capability

- A. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

Hana Health has been providing medical care to the district of Hana since July 1997 and State POS agreements have been awarded to Hana Health for the same period.

Hana Health's Executive Director and Board of Directors has a demonstrated track record in securing and managing public funds for both operations and capital improvement. In addition, Hana Health manages a twelve-acre project site which currently includes the medical center, an employee residence, administrative offices, **Hana Fresh Nutrition Center built in 2012** (a \$2.3 million project), the Hana Fresh organic farm and farm stand. The Executive Director recently managed a Large Capacity Cesspool replacement project, a medical center renovation project, and the employee residence renovation project.

Hana Health has received funds for and has managed the following projects over the last three years:

1. State Purchase of Service for operation of the Hana Health in the amount of \$1,164,000 for operations.
2. Bureau of Primary Care FQHC 330 funds (federal) in the amount of \$1,100,000 for operations.
3. State CIP grant (2010 appropriation) in the amount of \$900,000 to upgrade the current medical center.
4. State CIP appropriation in the amount of \$200,000 for replacement of Large Capacity Cesspools

B. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities. The applicant shall also describe how the facilities meet ADA requirements, as applicable.

Hana Health operates on a 2.035 acre parcel of property where the current medical center is located, and on an adjoining ten acre parcel where the Hana Fresh farm is located. Both parcels are owned by the State of Hawaii and have been leased to Hana Health for 55 years, at \$1 per year. Hana Health facilities range from 50 to 80 years old and are in need of continual repair and maintenance. Built in 1965, the current 50 year old, 4,000 square foot medical center underwent a major renovation last year including the installation of new

windows, floors, central air conditioning and a new roof. The newly constructed Hana Fresh Nutrition Center was completed in May 2012. There are 3 modular units that support administrative office and program needs.

V. Personnel: Project Organization and Staffing

A. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

Staffing

Position Requirements

Executive Director

A minimum of 10 years experience in similar capacity.

The Executive Director is responsible for project implementation and oversight. She has worked with several architectural and planning firms to address the completion of state and federal environmental assessments, zoning issues, conceptual designs for the health center campus, schematic designs and construction documents for the Hana Health Nutrition Center and the Maui County building permit process. She managed construction of the recently completed Nutrition Center, as well as the permit process for the Telemedicine, Business and Training Center. DLNR would not provide approval for this project, so it had to be tabled, resulting in the termination of a \$500,000 EDA grant. Ms. Vasconcellos managed a \$900,000 state CIP grant for the renovation of the medical center which was completed this past December. She also managed the installation of three new septic systems to replace the existing Large Capacity Cesspools located on the property as required by EPA. This \$260,000 project was completed last year as well. Ms. Vasconcellos managed a \$350,000 health center renovation project in 1997, and in her prior position as the Executive Director of Planned Parenthood of Hawaii, she managed ten clinic renovation/construction projects.

B. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organizational chart that illustrates the placement of this request.

See Attached.

See Attached.

C. Compensation

The applicant shall provide the annual salaries paid by the applicant to the three highest paid officers, directors, or employees of the organization by position.

Physician	\$202,100
Physician	\$195,000
Dentist	\$161,000

VI. Other

A. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

None.

B. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that applicant possesses relevant to this request.

All clinical staff are appropriately licensed and credentialed.

C. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see Article X, Section 1. of the State Constitution for the relevance of the question.

NA

D. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2017-18 the activity funded by the grant if the grant of this application is:

- (1) Received by the applicant for fiscal year 2017-18, but
- (2) Not received by the applicant thereafter.

This is a CIP request which will not require on-going funding.

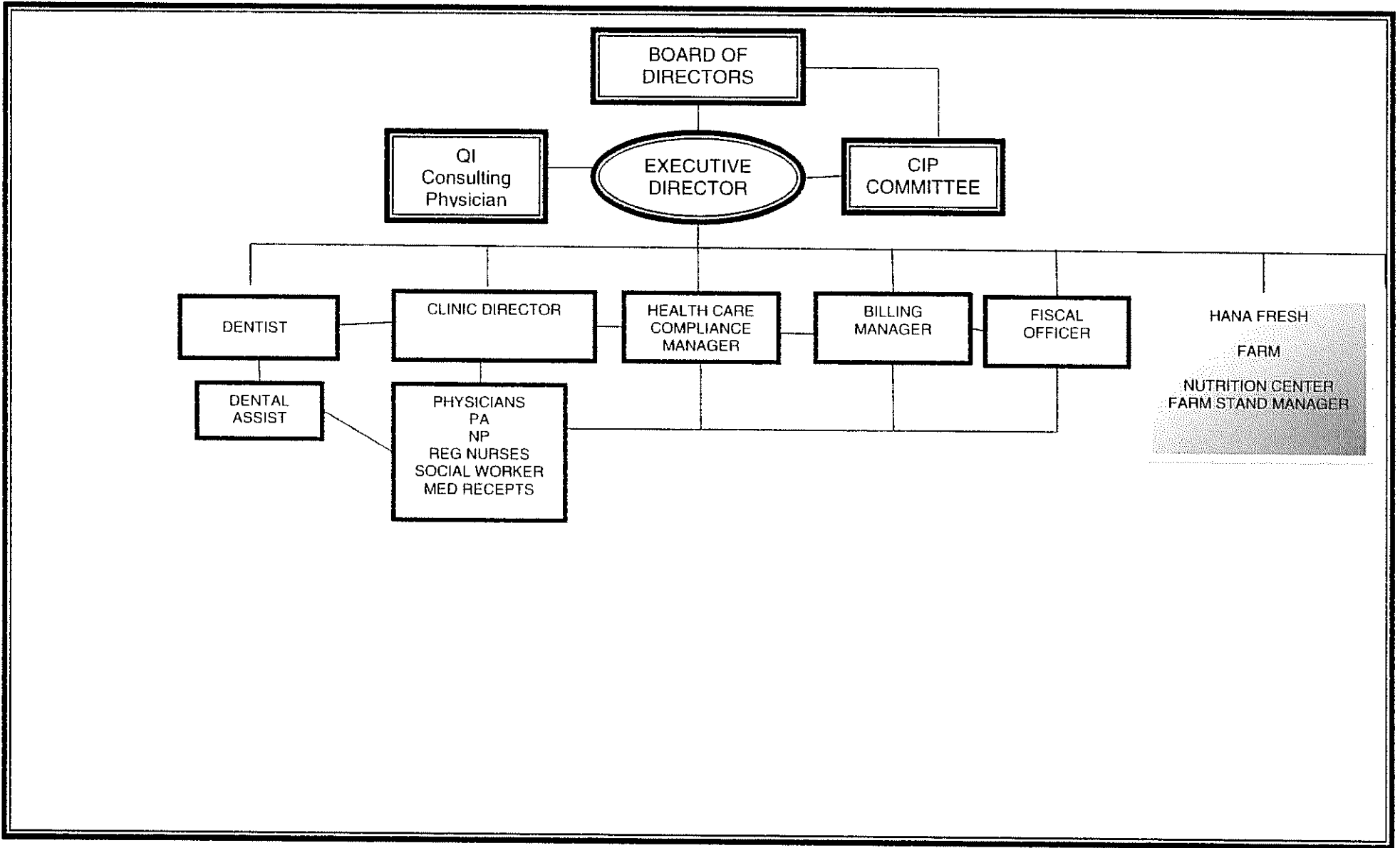
E. Certificate of Good Standing (If the Applicant is an Organization)

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2015.

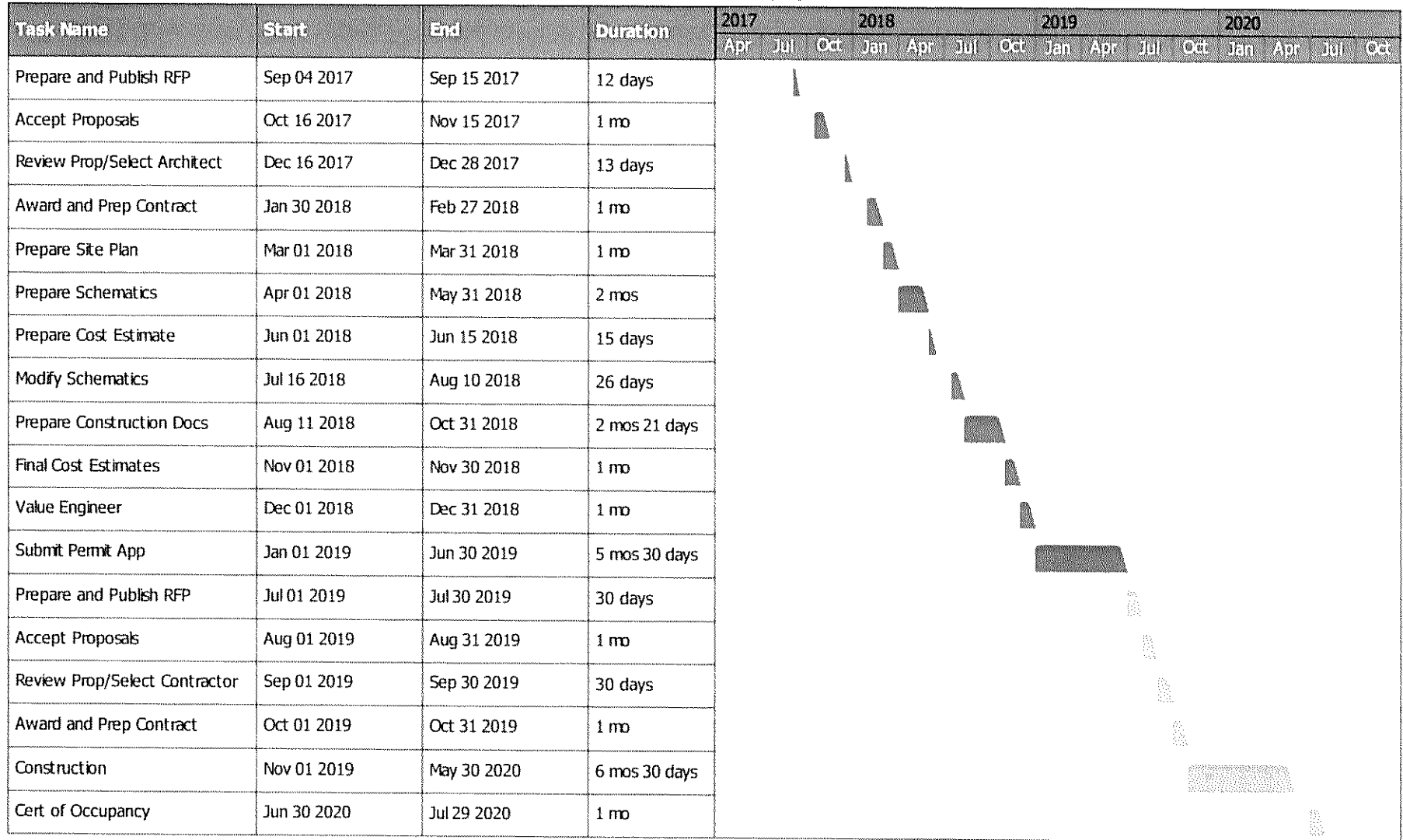
See Attached.



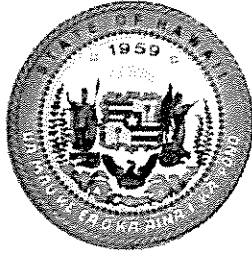
ORGANIZATION CHART
2017



Hana Health Rehabilitation and Support Center



Planning
 Construction



**STATE OF HAWAII
STATE PROCUREMENT OFFICE**

CERTIFICATE OF VENDOR COMPLIANCE

This document presents the compliance status of the vendor identified below on the issue date with respect to certificates required from the Hawaii Department of Taxation (DOTAX), the Internal Revenue Service, the Hawaii Department of Labor and Industrial Relations (DLIR), and the Hawaii Department of Commerce and Consumer Affairs

Vendor Name: HANA HEALTH

DBA/Trade Name: HANA COMMUNITY HEALTH CENTER, HANA FRESH

Issue Date: 01/11/2017

Status: Compliant

Hawaii Tax#: W20512184-01

New Hawaii Tax#:

FEIN/SSN#: XX-XXX6154

UI#: XXXXXX4555

DCCA FILE#: 102110

Status of Compliance for this Vendor on issue date:

Form	Department(s)	Status
A-6	Hawaii Department of Taxation	Compliant
	Internal Revenue Service	Compliant
COGS	Hawaii Department of Commerce & Consumer Affairs	Exempt
LIR27	Hawaii Department of Labor & Industrial Relations	Compliant

Status Legend:

Status	Description
Exempt	The entity is exempt from this requirement
Compliant	The entity is compliant with this requirement or the entity is in agreement with agency and actively working towards
Pending	The entity is compliant with DLIR requirement
Submitted	The entity has applied for the certificate but it is awaiting approval
Not Compliant	The entity is not in compliance with the requirement and should contact the issuing agency for more information

BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2017 to June 30, 2018

Applicant: HANA HEALTH

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries				
2. Payroll Taxes & Assessments				
3. Fringe Benefits				
TOTAL PERSONNEL COST				
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island				
2. Insurance				
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training				
6. Supplies				
7. Telecommunication				
8. Utilities				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES				
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL	1,000,000	1,000,000	500,000	1,500,000
TOTAL (A+B+C+D+E)	1,000,000	1,000,000	500,000	1,500,000
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	1,000,000	CHERYL VASCONCELLOS 808-248-7515, EXT 26		
(b) Total Federal Funds Requested	1,000,000	Name (Please type or print) Phone		
(c) Total County Funds Requested	500,000	1-11-17		
(d) Total Private/Other Funds Requested	1,500,000	Signature of Authorized Official Date		
TOTAL BUDGET	4,000,000	EXECUTIVE DIRECTOR		
		Name and Title (Please type or print)		

BUDGET JUSTIFICATION - PERSONNEL SALARIES AND WAGES

NA

Period: July 1, 2017 to June 30, 2018

Applicant: HANA HEALTH

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
TOTAL:				
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2017 to June 30, 2018

Applicant: HANA HEALTH

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
NA			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
NA			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2017 to June 30, 2018

Applicant: HANA HEALTH

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2015-2016	FY: 2016-2017	FY:2017-2018	FY:2017-2018	FY:2018-2019	FY:2019-2020
PLANS						
LAND ACQUISITION						
DESIGN			500,000			
CONSTRUCTION	500,000	1,000,000	500,000	500,000		
EQUIPMENT						
TOTAL:	500,000	1,000,000	1,000,000	500,000		
JUSTIFICATION/COMMENT Estimates are based on the approximate cost of new construction in the Hana district, and past experience. \$1,000/square foot. 4,000 sq. ft. building.						

GOVERNMENT CONTRACTS AND / OR GRANTS

Applicant: HANA HEALTH

Contracts Total: 8,812,000

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1	State Purchase of Service - Health Center Operations	July1, 2016 - June 30, 2020	DOH	State	4,512,000
2	330 FQHC Grant - Health Center Operations	March 1, 2017 - Feb 28,2020	HRSA	Federal	3,300,000
3	330 FQHC Grant - Construction - Rehab Center	May 1, 2016 - April 30, 2019	HRSA	Federal	1,000,000
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**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

HANA HEALTH

(Typed Name of Individual or Organization)



(Signature)

1-11-17

(Date)

Cheryl Vasconcelos

(Typed Name)

Executive Director

(Title)