

House District 15 \_\_\_\_\_

Senate District 8 \_\_\_\_\_

**THE TWENTY-EIGHTH LEGISLATURE  
APPLICATION FOR GRANTS  
CHAPTER 42F, HAWAII REVISED STATUTES**

Log No: \_\_\_\_\_

For Legislature's Use Only

Type of Grant Request:

GRANT REQUEST – OPERATING

GRANT REQUEST – CAPITAL

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Recipient" means any organization or person receiving a grant.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN): \_\_\_\_\_

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN): \_\_\_\_\_

**1. APPLICANT INFORMATION:**

Legal Name of Requesting Organization or Individual:  
Waioli Corporation  
Dbas:  
Grove Farm museum  
Street Address:  
4050 Nawiliwili Road, Lihue, Kauai, Hawaii 96766  
Mailing Address:  
Post Office Box 1631, Lihue, Kauai, Hawaii 96766

**2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:**

Name ROBERT J. SCHLECK  
Title Director  
Phone #808-245-3202  
Fax # 808-245-7988  
E-mail grovefarm@hawaiiantel.net

**3. TYPE OF BUSINESS ENTITY:**

- NON PROFIT CORPORATION INCORPORATED IN HAWAII
- FOR PROFIT CORPORATION INCORPORATED IN HAWAII
- LIMITED LIABILITY COMPANY
- SOLE PROPRIETORSHIP/INDIVIDUAL
- OTHER

**6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:**

GROVE FARM MUSEUM SUGAR PLANTATION LOCOMOTIVE INTERACTIVE LEARNING PARK is a capital improvement project that will complete the restoration of the 1891 original locomotive rail line and provide for a mile-long educational exhibit showcasing Hawaii's sugar plantation history and culture. The park will inspire education and research as well as socio-economic growth.

4. FEDERAL TAX ID #: [REDACTED]

5. STATE TAX ID #: [REDACTED]

**7. AMOUNT OF STATE FUNDS REQUESTED:**

FISCAL YEAR 2017: \$2,000,000.00

**8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:**

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
- EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ \_\_\_\_\_  
FEDERAL \$ \_\_\_\_\_  
COUNTY \$ \_\_\_\_\_  
PRIVATE/OTHER \$ 61,074.00

ROBERT J. SCHLECK  
Name & Title

JANUARY 20, 2016  
date signed



**RECEIVED**  
1/21/16 *ma*

## Application for Grants

*If any item is not applicable to the request, the applicant should enter "not applicable".*

### I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background;

The applicant preserves and shares over a thousand years of the rich multicultural history of Kauai. Within the preserved hundreds of acres in its care are:

- the still farmed taro fields and auwae systems of the Waioli Valley carbon dated to 900 A.D.
- the missionary effort at the 1836 Waioli Mission House in Hanalei
- the intact 1914 historic Mahamoku beach house in Hanalei
- the pristine open space intact 635 acre ahupua'a of Lepeuli on Kauai's northeast coast
- the plantation story of many cultures at 1850 Grove Farm museum site in Lihue
- the active plantation steam locomotive Learning Park across from the Lihue mill site

The governing 501(c)(3) non-profit community organization, Waioli Corporation, responsibly stewards and authentically preserves these pristine sites and collections as educational resources benefiting the State community as well as the worldwide audience.

2. The goals and objectives related to the request;

The goals of this capital improvement project are to:

- preserve and showcase the 181-year history of the sugar industry and sugar plantations in Hawaii from 1835 until 2016
- collect, archive and exhibit the stories of the people, cultures and lifestyles that were the backbone of the successful sugar farming
- provide an educational resource of the sugar history of Hawaii that inspires, motivates and sparks imaginations of students and visitors
- create a gathering place where the community can share and promote Kauai's history

The objectives are to:

- complete the mile-long sugar plantation steam locomotive rail line
- restore the Lihue Plantation switchmen's house
- construct a plantation era reception/gift shop building
- construct a storage shed for five reproduction locomotive cane cars
- construct a shed for oversized plantation machinery
- restore the Lihue Plantation locomotive water tank
- construct a nineteenth century A-frame plantation shed
- install a mile long exhibit of sugar cane varieties and irrigation methods

3. The public purpose and need to be served;

*"Molasses is the smell of my childhood... Sometimes I think that if the sugar mills all closed, my childhood would disappear"* lamented Jolene Valente, a former resident from the west side of Kauai, in a Star Bulletin interview. It serves as an example of the voices and memories that unless collected and preserved will be silenced and vanish into the forgotten.

- With the closing of the last sugar plantation in Hawaii this year, this project serves not just a public purpose, but a community responsibility to seize the last opportunity to collect and archive surviving first hand personal stories as a memorial to the multi-cultural plantation laborers' histories and life experiences.
- The public need served is an educational and entertaining resource that teaches the history, innovation and technology of the multi-ethnic island sugar farmers. It will be the only "Museum of Science and Industry" in Hawaii.
- Additionally, the public need and purpose is served with this project by establishing a repository for the collecting, archiving and exhibition of any surviving plantation culture, including worker employment histories and family records.

*"What we learn from the past, may be most important for Hawaii's future."*  
~ Carol MacLennan, author of Sovereign Sugar

4. Describe the target population to be served; and

The primary target populations are the plantation workers and their descendants and local or visiting students and scholars. However, this exhibit will have something for everyone, from the local statewide community whose family members worked on the plantations to the various visitor groups, such as Cultural tourism, Ecotourism, Edutourism, Geotourism, Genealogy tourism, Industrial tourism, Railroad Enthusiasts, and Recreational Travelers.

5. Describe the geographic coverage.

The geographic coverage will not only include the entire state of Hawaii, but also the many visitors from all over the world that come to Kauai. Families of plantation

and field workers from many different countries including but not limited to China, Japan, Korea, the Philippines, Portugal, Germany, and Puerto Rico will be interested in learning about their history. The Learning Park will also excite the visitors of Hawaiian Tourism's major market areas that will add Oceania, Latin America, several other European countries and North America as well. In summary, the Learning Park will be visited by the worldwide population.

## II. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks, and responsibilities;

The applicant embraces the responsibility to collect, document, preserve and exhibit the island people's shared common experiences of plantation life and personal histories. We welcome the State Legislator's participation in this responsibility that will include many of your own family's personal histories and genealogies.

Your legislative support can capture this fleeting opportunity to preserve this history and involve you as a visionary by showcasing the Hawaiian sugar plantation past for your constituents and their future generations.

The scope of work, tasks and responsibilities will provide for:

- A Sense of Place – An Island Community Identity

The Learning Park will provide a gathering place where parents and grandparents can talk story with their families about the old days as their memories are refreshed by the sights, sounds and smells of the sugar cane trains and other plantation equipment in use, on display, or viewed in historic film footage. This interaction can not only bring a family closer together, but offer a one-of-a-kind setting for everyone to come and experience a sense of place by connecting to its rich multi-cultural plantation past.

- Education

The Learning Park is the site for local and visiting students to learn about infinite topics ranging from the obvious to the obscure. It provides hands-on learning opportunities that meet the standards of the Department of Education benchmarks as well as private, charter and other specialized schools. The Learning Park is a site where teachers can integrate Science, Technology, Engineering, and Mathematics (STEM) into their curriculum.

- Employment

This project will employ professionals and individuals from the community to operate heavy equipment, accomplish track installation, build the structures and design and install the exhibits.

- Volunteer Community Support and Involvement

The applicant is very appreciative to the Kauai Community that continues to come together with donations and in-kind hands-on labor to contribute to the development of this memorial to their ancestors.

- Tourism

The desire by tourists to go to a destination is stimulated by its attractions. The applicant's authentic plantation steam locomotives already draw a loyal tourist following to Kauai, and once the plantation story and exhibits are completed the Learning Park will become a "must-see" tourist destination. These visitors will also stimulate adjacent local businesses in the Lihue historic corridor.

### **Scope of Work and Tasks**

#### Completion of the Railroad Track Installation

- Purchase and laying of #2 rock-ballast as a road bed for the remaining 3,480 feet of the right of way.
- Cost for the installation of the remaining length of ties and rail purchased by Grove Farm museum in 2000.

**NOTE:** An example of volunteer community support and involvement is the in-kind donation of grubbing and grading of the locomotive right-of-way from Goodfellow Bros. Inc., General Contractor, valued at \$50,000.00.

#### Facilities Construction

The project structures are all based on historic buildings which are costly because of the need for specialty materials and experienced preservation carpenters.

- Construction Switchmen's Quarters/Exhibit Space

The original switchmen's building will be restored on the still existing foundation and will house multimedia educational exhibits for visitors to view as they wait to board the train.

- Construction of Reception and Gift Shop Building

This building will be replicated from the original plantation era office building at Grove Farm museum and will serve as the reception, gift shop and restrooms structure as well as provide meeting room space for the community.

- Construct Shed for Cane Cars

An immediate need is a storage building for the five reproduction oak cane cars to protect them from the destructive elements.

- Construction of Shed for Oversized Plantation Machinery

This steel and corrugated roofing structure will be based on the original Lihue Plantation locomotive building and will house the museum's unique collection of Industrial Revolution era large plantation machinery, including the last Fowler steam plough in Hawaii, the original Broadbent seed cane planter and a Kenworth cane truck and cane trailer to name a few.

- **Restore Lihue Plantation Locomotive Water Tank**  
Reconstruct the redwood water tank on the still existing 15 ft. concrete posts to serve its original purpose of filling the steam locomotive boilers.
- **Construction of 1850s A-Frame Plantation Structure**  
This authentic plantation storage shed will provide housing and security for nineteenth century cane hauling ox cart and yolk as well as mule carriers, cane crushing mill stones, tillers and other nineteenth century cane hauling equipment
- **Installation of Mile-Long Exhibit of Sugar Cane Varieties and Irrigation**  
Clear the invasive species beside the locomotive right-of-way in order to plant the many collected varieties of sugar developed by the different plantations and the Hawaii Sugar Planters Association. This exhibit will use working examples of the different irrigation methods including open ditch, flume and drip.

2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;

The proposed timeline for accomplishing the results is reasonable for the size of the project. Upon receipt of funds the rail line extension will commence immediately with the purchase and spreading of ballast, followed by the ties and rails currently warehoused at the site being laid. At the same time, the carpentry crew will order materials and begin the building construction. Based on the installation of the already completed 1800 feet of track and previous historic construction projects, a start to finish time will be completed in 12 months. This table demonstrates the length of each item to be built. Projects will be worked on simultaneously:

<b>ITEM</b>	<b>EST. TIME</b>
Cane Car Shed	5 weeks
Track	6 months
Reception	3 months
Switchmen's	5 weeks
Water Tank	2 months
Oversize shed	6 months
A-Frame	2 months
Cane exhibit	6 months

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and

The applicant's quality assurance will be based on the historic 1891 track structure and alignment, while the museum's historic building plan documents will serve as the designs of the structures to be constructed. The evaluation plan will be monitored and evaluated through the County of Kauai review, permitting and inspection process.

The capital project effort will be evaluated by the Museum Director, Robert Schleck and Rail Line Supervisor, Scott Johnson. They will facilitate the evaluation of the work flow and results based on:

- Did the job start and flow according to timeline projections?
  - Was the work conducted in a professional manner?
  - Was the work completed on time and on budget?
  - After item completions, are the results achieving the applicant’s goals?
4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

In addition to the evaluation plan above, the applicant’s administrative staff and its accounting firm Albert W. Stiglmeier, CPAs will track the accounting of this capital improvement project. Each item can then be easily tracked and evaluated to help the applicant review the overall quality assurance of the project. Any arising issues in the project can be easily tracked and re-evaluated to improve results and report a final narrative to the State expending agency.

### **III. Financial**

#### **Budget**

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.  
Enclosed.
2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2017.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$1,000,000.00	\$500,000.00	\$250,000.00	\$250,000.00	\$2,000,000.00

3. The applicant shall provide a listing of all other sources of funding that they are seeking for the fiscal year 2017.

The applicant continues to search and apply for grant opportunities that fit within the project’s goals. This includes opportunities for education, culture, arts and humanities. A letter of inquiry has been submitted to the Harry and Jeanette

Weinberg Foundation for funding in fiscal year 2017. The National Railway Historical Society has a grant opportunity due March 1, 2016. Other opportunities for funding will include our annual fund drive and other in kind donations from our very supportive community.

4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

The applicant has received \$711.01 in tax credits within the prior three years.

5. The applicant shall provide a listing of all federal, state, and county government contracts and grants it has been and will be receiving for program funding.

N/A

6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2015.

\$138,717.00

#### **IV. Experience and Capability**

##### **A. Necessary Skills and Experience**

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

Over the last 40 years the applicant's employees involved in this project have authentically restored and preserved three Kauai sugar plantation steam locomotives, and re-established two railroad lines in two locations at Puhi and Lihue on the island of Kauai. Their railroading expertise has established them as pre-eminent resources within the islands and the mainland. They have worked on locomotive restoration projects for the Alexander & Baldwin Sugar Museum on Maui, the Hawaiian Railway Society on Oahu and the Union Pacific Railroad in Cheyenne, Wyoming.

Additionally during those same forty years, the carpenters scheduled for the building construction in this project have authentically repaired or restored more than sixty historic museum buildings at Waioli Mission House and Grove Farm museums, including the recovery of these structures from two devastating hurricanes, Iwa and Iniki. Their commitment to authenticity and detail has proven their skill level and



exists as a model of historic preservation throughout the country. Skills are best learned through “hands-on” experience, of which all of our project participants have a great deal.

These historic sites have been restored and maintained for the past 100 years to the highest standards of authenticity and detail, and are recognized nationally as models of the finest quality.

## **B. Facilities**

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

The Learning Park is currently located within the historic corridor of Lihue across from the site of the 1842 Lihue Plantation sugar mill. In addition to the installation rail line extension, this project proposes to restore and construct the historic plantation structures detailed in the Scope of Work above. The planned construction will be ADA compliant to ensure accessibility to everyone.

## **V. Personnel: Project Organization and Staffing**

### **A. Proposed Staffing, Staff Qualifications, Supervision, and Training**

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

The project team includes, but is not limited to the following:

- Robert Schleck, Museum Director since 1995 will be the project manager if this request is funded. Mr. Schleck received his B.A. from the University of Wisconsin and began in 1971 as an employee of Miss Mabel Wilcox, founder of the museums. His knowledge of how to accurately preserve the historic properties comes from his time working with her, as does his vision of a completed Learning Park. His experience in caring for the sites has ensured their authenticity for the present and future generations. He will be assisted by Museum Curator, Moises Madayag.
- Scott Johnson, the museum’s Steam Locomotive Engineer will serve as the Rail Line Extension Supervisor and has over 35 years of experience with trains and railroading ranging from restoration, preservation, maintenance, operation, and education. He has done an enormous amount of research on Kauai’s railroad history and enthusiastically shares his knowledge with Kauai Elementary and Middle School students and other special groups. Harold Rosa, Sam Maehata,

Oscar Gano and Brandon Fujioka who installed the initial phase of the rail line will assist in the completion of the rail line.

- Robert Bean and Steve Ehrhardt the museum’s in-house preservation carpenter will serve as the historic buildings construction team. They have been maintaining the museums’ 60+ historic structures for more than 25 years.

**B. Organization Chart**

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.

<b>PROJECT STAFF</b>	<b>RESPONSIBILITY</b>
Robert Schleck	Project Manager
Moises Madayag	Exhibit Researcher and Designer
Scott Johnson	Rail Line Extension Supervisor
Harold Rosa	Rail Line Grounds Supervisor
Robert Bean	Construction Supervisor
Steve Ehrhardt	Construction Crew
Sam Maehata	Project Crew
Oscar Gano	Project Crew
Brandon Fujioka	Project Crew

**C. Compensation**

The applicant shall provide the annual salaries paid by the applicant to the three highest paid officers, directors, or employees of the organization by position.

Director	\$88,423
Carpentry Supervisor	\$66,815
Carpenter	\$53,289

## **VI. Other**

### **A. Litigation**

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgment. If applicable, please explain.

The applicant is currently involved with two pending litigations:

- Dispute with land owner in Waioli Valley regarding access to land owner's property. Trial Court ruled in favor of the applicant, but that judgment is on appeal by the land owner.
- Contractual dispute with contractor over value of rock removed from the applicant's property. Litigation is pending.

There are no outstanding judgments.

### **B. Licensure or Accreditation**

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

The applicant is a non-profit 501(c)(3) organization operating on the island of Kauai, State of Hawaii. Waioli Corporation was incorporated on December 17, 1974 and annually registers with the Business Division of the Department of Commerce and Consumer Affairs for the State of Hawaii.

### **C. Private Educational Institutions**

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see Article X, Section 1, of the State Constitution for the relevance of this question.

The applicant's Learning Park will absolutely support and benefit all educational institutions, sectarian and nonsectarian. Though the funds awarded will not directly support the schools, students will benefit through exposure to the various curricula stimulating the five senses and active imaginations. This "Museum of Science and Industry" will be a centrally located gathering place for students and community members to get involved in various sugar plantation educational programs, activities, and events. The Learning Park will be available for programs in Science, Technology, Engineering, and Mathematics (STEM), island culture, agriculture, and family histories.

*"One visit, one performance, one touch, and who knows how you could spark a child's imagination?" ~ Michelle Obama at the opening of the Whitney Museum*

**D. Future Sustainability Plan**

The applicant shall provide a plan for sustaining after the fiscal year 2016-17 the activity funded by the grant if the grant of this application is:

- (1) Received by the applicant for the fiscal year 2016-17, but
- (2) Not received by the applicant thereafter.

The goal of the Learning Park is to be self-sustaining through the socio-economic and educational programs described in this application. Operations and new jobs created will be funded through the increased income from admissions, gift shop, refreshment sales and fundraising events.

**E. Certificate of Good Standing (If the Applicant is an Organization)**

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2015.

Enclosed.

## BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2016 to June 30, 2017

Applicant: Waioli Corporation

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries	85,806.58			
2. Payroll Taxes & Assessments				
3. Fringe Benefits				
TOTAL PERSONNEL COST	85,807			
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island				
2. Insurance				
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training				
6. Supplies				
7. Telecommunication				
8. Utilities				
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17				
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20				
TOTAL OTHER CURRENT EXPENSES				
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL	1914193			
<b>TOTAL (A+B+C+D+E)</b>	<b>2,000,000</b>			
<b>SOURCES OF FUNDING</b>		Budget Prepared By:		
(a) Total State Funds Requested	2,000,000	Robert Schleck	808-245-3202	
(b) Total Federal Funds Requested		[REDACTED]	Phone	
(c) Total County Funds Requested			Date	1.20.2016
(d) Total Private/Other Funds Requested				
<b>TOTAL BUDGET</b>	<b>2,000,000</b>	Director Name and Title (Please type or print)		

## BUDGET JUSTIFICATION - PERSONNEL SALARIES AND WAGES

Period: July 1, 2016 to June 30, 2017

Applicant: Waloii Corporation

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
Executive Director		\$88,016.00	30.00%	\$ 26,404.80
Curator		\$52,571.00	25.00%	\$ 13,142.75
Locomotive Engineer		\$46,259.00	100.00%	\$ 46,259.00
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
<b>TOTAL:</b>				<b>\$ 85,806.55</b>

**JUSTIFICATION/COMMENTS:**  
 The Executive Director position on this project brings oversight and accountability with alignment to mission and purpose according to the grant proposal. The Curator will assist in ensuring that the project work stay on time and on budget as well as prepare for the new exhibits. The Locomotive Engineer is pivotal to the completion of the laying of the track and the overall completion of the project.

# BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2016 to June 30, 2017

Applicant: Waiohi Corporation

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
N/A			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:			\$ -	
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
N/A			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:			\$ -	
JUSTIFICATION/COMMENTS:				

# BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2016 to June 30, 2017

Applicant: Waioli Corporation

TOTAL PROJECT COST	FUNDING AMOUNT REQUESTED					
	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2014-2015	FY: 2015-2016	FY:2016-2017	FY:2016-2017	FY:2017-2018	FY:2018-2019
PLANS						
LAND ACQUISITION						
DESIGN						
CONSTRUCTION	13531	61074	1914193	75000		
EQUIPMENT						
	<b>TOTAL:</b>	13,531	61,074	1,914,193	75,000	

**JUSTIFICATION/COMMENTS:**

The previous years funds received and in-kind donations are from community individuals and businesses and designated to be used toward this project.



**GOVERNMENT CONTRACTS AND / OR GRANTS**

Applicant: Waioli Corporation

Contracts Total: -

	<b>CONTRACT DESCRIPTION</b>	<b>EFFECTIVE DATES</b>	<b>AGENCY</b>	<b>GOVERNMENT ENTITY</b> (U.S. / State / Haw / Hon / Kau / Mau)	<b>CONTRACT VALUE</b>
1	N/A				
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**DECLARATION STATEMENT OF  
APPLICANTS FOR GRANTS PURSUANT TO  
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:


- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
  - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
  - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
  - c) Agrees not to use state funds for entertainment or lobbying activities; and
  - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
  
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
  - a) Is incorporated under the laws of the State; and
  - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
  
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
  - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
  - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

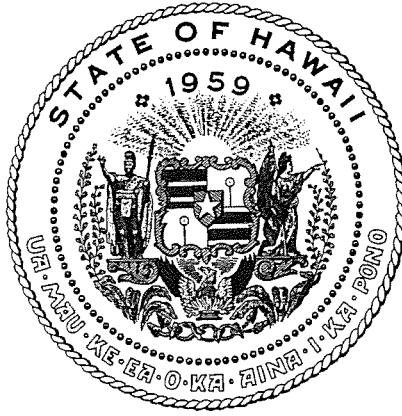
Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Waioli Corporation

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	<i>1-11-2016</i>
	(Date)
Robert J. Schleck	Director
(Typed Name)	(Title)

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## Department of Commerce and Consumer Affairs

### CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

WAIOLI CORPORATION

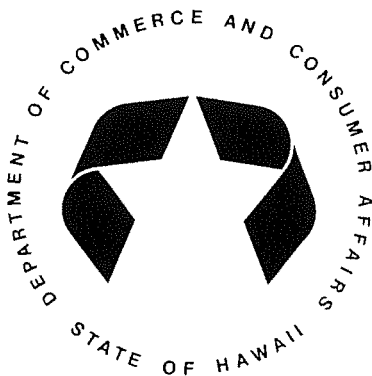
was incorporated under the laws of Hawaii on 12/24/1952 ; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: January 19, 2016



Director of Commerce and Consumer Affairs



**WAIOLI CORPORATION**  
**BOARD OF TRUSTEES**  
**2015 – 2016**

100% of the Waioli Corporation Board of Trustees participate in activities and attend meetings.

PRESIDENT/SECRETARY

**Mr. Samuel W. Pratt**

Post Office Box 3974  
Lihue, Kauai, Hawaii 96766  
President - Niu Pia Land Company, Ltd.  
Financial Advisor - Anuenue Associates  
E. H. W. Broadbent Foundation Board of Directors  
Island School Board of Directors  
Kauai Junior Golf Board of Directors  
Serves on every Waioli committee

VICE-PRESIDENT

**Mr. Reginald P. Gage**

Post Office Box 428  
Kalaheo, Kauai, Hawaii 96741  
Retired Chief Appraiser for County of Kauai  
Retired Kauai Real Property Assessor for State of Hawaii  
National Botanical Garden, Kokee Museum,  
Kauai Museum, Kauai Historical Society,  
Hawaii Historic Foundation  
Society for Hawaiian Archaeology

TREASURER

**Mr. Gaylord H. Wilcox**

111 Royal Circle  
Honolulu, Hawaii 96816  
President, Editions Limited  
Mid-Pacific Institute Board of Trustees  
Serves on Land Committee and as Finance Committee  
Chair

TRUSTEES

**Mr. Lindsay A. Faye, Jr. (Tony)**

Post Office Box 1870  
Koloa, Kauai, Hawaii 96756  
Retired Plantation Manager, Kikiaola Land Co. Ltd.  
Kauai Museum, Hui O Laka

**Mrs. Wayne Richardson III (Kathy)**

2965 Mokoi Street  
Lihue, Kauai, Hawaii 96766  
Homemaker, Island School Board of Directors  
Serves on Waioli's Finance, Land, Personnel and Board  
Development Committees

**Ms. Patsy W. Sheehan**

Post Office Box 81  
Hanalei, Kauai, Hawaii 96714  
Mission Houses Museum Board Member, Le Jardin School  
Board Member, Lihue Cemetery Board Member  
Kapiolani Health Foundation Fundraising Committee  
Serves on Waioli's Board Development Committee and as  
Land Committee Chair

**Mr. Richard Sloggett III (Dick)**

Lihue, Kauai, Hawaii 96766  
Self Employed Financial Advisor  
Former Board Member of Wilcox Hospital Foundation  
Advisory Member of Salvation Army and YWCA – Camp  
Sloggett  
Serves on Waioli's Finance Committee

**Mr. Albert Spencer**

353 Ainauka Place  
Kapaa, Kauai, Hawaii 96746  
Professor of Accounting and Economics at Kauai  
Community College  
Serves on Waioli's Finance Committee

**Mrs. Robert F. Wilson (Mary)**

Post Office Box 6  
Lihue, Kauai, Hawaii 96766  
Retired Grove Farm museum Tour Coordinator and guide  
Retired Educator, Former President of the Mokihana Club  
Wilcox Hospital Auxiliary and All Saints Church member  
Serves on Waioli's Nominating Committee and as  
Community Outreach & Development Committee Chair

Internal Revenue Service  
District Director

Department of the Treasury

Date: SEP 19 1980

LA:EO:80: 2098

Our Letter Dated:  
February 18, 1975

Person to Contact:  
W.E. Pure

Contact Telephone Number:  
(213) 688-5787

▷ Waioli Corporation  
P.O. Box 1631  
Lihue-Kauai, HI 96766

Gentlemen:

This modifies our letter of the above date in which we stated that you would be treated as an organization which is not a private foundation until the expiration of your advance ruling period.


Based on the information you submitted, we have determined that you are not a private foundation within the meaning of section 509(a) of the Internal Revenue Code, because you are an organization of the type described in section 509(a)(1)\*. Your exempt status under section 501(c)(3) of the code is still in effect.

Grantors and contributors may rely on this determination until the Internal Revenue Service publishes notice to the contrary. However, a grantor or a contributor may not rely on this determination if he or she was in part responsible for, or was aware of, the act or failure to act that resulted in your loss of section 509(a)(1)\* status, or acquired knowledge that the Internal Revenue Service had given notice that you would be removed from classification as a section 509(a)(1)\* organization.

Because this letter could help resolve any questions about your private foundation status, please keep it in your permanent records.

If you have any questions, please contact the person whose name and telephone number are shown above.

Sincerely yours

  
District Director

cc: Robert S. Bromberg, u/PA

\* and section 170(b)(1)(A)(vi)

P.O. Box 2350, Los Angeles, Calif. 90053

Letter 1050 (DO) (7-77)

cab