House District26	THE TWENTY-EIG APPLICATION	Log No: .	
Senate District13	CHAPTER 42F, HAW	All REVISED STATUTES	For Legistature's Use Only
			For Legislature's Ose Only
Type of Grant Request:			w.
GRANT REQUEST - OP	ERATING	X GRANT REQUEST – CAPITAL	
"Grant" means an award of state funds by the legi- permit the community to benefit from those activit "Recipient" means any organization or person rec	ies.	ion to a specified recipient, to support the activi	ties of the recipient and
STATE DEPARTMENT OR AGENCY RELATED TO THIS RDEPARTMENT OF LAND AND NATURAL R STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN	ESOURCES		
1. APPLICANT INFORMATION:		2. CONTACT PERSON FOR MATTERS INVOLVING	THIS APPLICATION:
Legal Name of Requesting Organization or Individ FRIENDS OF FALLS OF CLYDE, INC. Dba N/A	ual	Name BRUCE MCEWAN Title PRESIDENT	
Street Address PIER 7, HONOLULU HARBOR, H	ONOLULU. HI 96813		
Mailing Address:PO BOX 4674, HONOLULU, HI 9		Phone # 808-265-1141	
Waling Address FO BOX 4074, HONOLOLO, HI s		Fax # <u>N/A</u>	
		E-mail bemacewan@gmail.com	<u> </u>
3. TYPE OF BUSINESS ENTITY:			
X Non profit Corporation Incorporation For profit Corporation Incorporation Incorporat		6. DESCRIPTIVE TITLE OF APPLICANT'S REQUE PRESERVATION OF NATIONAL HISTORIC CLYDE, PHASE ONE: DRYDOCK AND HULL S	LANDMARK SHIP FALLS OF
4. FEDERAL TAN ID#:		7. AMOUNT OF STATE FUNDS REQUESTED:	
5. STATE TAN ID#:		FISCAL YEAR 2017: \$250,000.00	·
8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST: N NEW SERVICE (PRESENTLY DOES NOT EXIST) EXISTING SERVICE (PRESENTLY IN OPERATION)	SPECIFY THE A AT THE TIME OF STA FED COL	ERAL \$0 INTY \$0	
	Pren	/ATE/OTHER \$25,000	
TYPE NAME & TITLE OF AUTHORIZED REPRESENTATIVE	BRUCE MCE	- WAN, PRESIDENT	1-18-2016
AUTHORIZED SIGNATURE			DATE SIGNED



I. Background and Summary

1. A brief description of the applicant's background

Falls of Clyde is a National Historic Landmark vessel that was launched on December 12, 1878 in Port Glasgow, Scotland. After 20 years as a cargo vessel, she was purchased by Captain William Matson in 1898 and sailed under the flag of the Kingdom of Hawaii until she was reflagged to the United States by special act of Congress. She enjoyed an illustrious career serving Hawaii before being retired in Seattle. Her return to Hawaii in 1963 resulted from a grassroots campaign spearheaded by historian John Wright and columnist Bob Krauss.

In 2008, Friends of Falls of Clyde, Inc. (FFOC) assumed ownership and stewardship from Bishop Museum. The mission of Friends of Falls of Clyde is to preserve and fully restore the last iron-hull, four-masted ship sailing oil tanker in the world, *Falls of Clyde*. FFOC has been working to take the ship to drydock to ensure that her hull, which is the foundation of her structure, is returned to good condition for the long-term restoration work that needs to be done. FFOC is committed to the long-term preservation and restoration of *Falls of Clyde*, and to the continuing operation of the ship as a public maritime museum, a heritage experience which cannot be equaled any other way.

2. The goals and objectives related to the request

Falls of Clyde has not been drydocked in more than 25 years. Although her condition has deteriorated over this period, experts say she is in relatively good shape. Naval architects and engineers have stated that the steel tanks put in when she was converted to an oil tanker have served to reinforce the hull strength.

During the drydock period, anticipated to be approximately six weeks, hull cleaning, repair and painting will be accomplished.

3. The public purpose and need to be served

Falls of Clyde's role in Hawaii is part of the legacy of commercial sailing and great Pacific transitions in the 20th Century. In 2009, SCR138 recognized Falls of Clyde as an "historic symbol of Hawaii and...should always be treasured and protected by the State of Hawaii and its residents." She is part of the story of Captain William Matson and the sugar plantation fleet, and the industrial revolution here, bringing fuel that powered the growth of the islands. Falls of Clyde is representative of this period of dynamic change in the Pacific and the

world. Built in 1878, she is the only survivor of the "Falls" line of great ships constructed by Russell & Co., a former premier shipbuilder in Scotland.

She was listed on the National Register of Historic Places in 1973 and designated a National Historic Landmark in 1989, in recognition of her unique role in American maritime history. She is the only surviving sailing oil tanker left in the world. Her status makes her a potential candidate for a position in a Worldwide Maritime Heritage Site that is being worked on by an international group attempting to get UNESCO to incorporate historic ships throughout the world into one official heritage site. There are approximately 20 ships in the world that would qualify and *Falls of Clyde* is one of them.

Falls of Clyde has come to represent Hawaii's historic waterfront. The importance of our historic working waterfront cannot be overlooked. The waterfront is the lifeline for the island, as it has always been. While Falls of Clyde was open to visitors as a museum ship, there was no more suitable nor recognizable venue for maritime events than the historic ship in Honolulu Harbor. School children took field trips to the ship and participated in virtual voyages, staying onboard under the direction of the ship's "Mates." Numerous organizations, both public and private, held various events onboard the ship.

Hawaii's film industry relied on *Falls of Clyde* for cameo roles in several features, including 2010's "Princess Ka'iulani." The ship was also a major component of Hawaii's annual Harbor Days, the regular celebration of waterfront heritage, highlighting the historic steam landing, maritime companies, showing off a * tugboat "hula," and more.

In Hawaii, the public (both tourists and local residents) and those people involved in marine and historic preservation organizations need a maritime venue like *Falls* of *Clyde* to understand what the era of sailing ships was really like. She is a visible symbol around which the heritage of the Honolulu waterfront revolves. The ship captures the days of sail and the plantation era, which shaped so much of Hawaii's modern culture and society.

4. Describe the target population to be served

Falls of Clyde is the only surviving iron-hull, four-masted, full-rigged sailing oil tanker in the world. Even in her currently deteriorated condition, it is easy to see the regal stature and stately elegance of her structure. A fixture in Honolulu for more than 50 years, she has twice been restored to her full glory, which made her a unique historic tourist attraction, an educational resource for our youth, and a venue for memorable community events. If she becomes part of a Worldwide

Maritime Heritage Site, she will also be a strong draw for international maritime history buffs traveling wherever a historic ship is berthed.

Prior to its closure by Bishop Museum in 2007 for safety reasons, there were more than 30,000 visitors to *Falls of Clyde* each year, with a peak of more than 50,000 people before the events of 9/11. As a public maritime museum, the ship's visitors were a robust combination of tourists and local residents of varying ages, from school children to retirees and every age in-between.

5. Describe the geographic coverage

Currently located in the heart of Honolulu at Pier 7, *Falls of Clyde* is centrally located for its visitors and its volunteer work corps. Honolulu Harbor provides a natural and historically accurate environment for this popular maritime museum.

II. Service Summary and Outcomes

1. Describe the scope of work, tasks and responsibilities

The mission of Friends of Falls of Clyde is to preserve and restore the iron-hull, four-masted ship *Falls of Clyde*, which is registered as the last sailing oil tanker in the world. From the inception of FFOC in 2008, the goal has been to take the ship into drydock to ensure that the hull, the foundation of the structure, is in condition for the long-term restoration work that needs to be done.

When her physical integrity was validated through years of assessment, Pacific Shipyard International (PSI) agreed to take *Falls of Clyde* into their drydock. This agreement was reached when FFOC hired JMS Naval Architects & Salvage Engineers to conduct a condition survey and develop a scope of work and drydock plan. FFOC has worked with both of these project partners to refine the drydock scope of work.

When she is drydocked, Falls of Clyde will have the following work done:

- Clean the hull
- Gauge the hull thickness
- Make any repairs needed to ensure the long-term strength of the hull
- Paint the hull
- Put on cathodic protection

FFOC is assessing the best way to handle the hull repairs and is looking at preservation practices used by other historic ships.

There will be a full photo documentation of the project. In addition, there will be a laser scan using the National Park Service HABS/HAER resources. This tool

will provide guidance for accuracy in future preservation and restoration work on Falls of Clyde.

2. Provide the projected annual timeline for accomplishing the results or outcomes of the service

Months 1-3: FFOC will coordinate schedules and implementation of the drydock plan between PSI, JMS, and the towing company.

Months 4-5: Falls of Clyde will be towed to the PSI drydock and the scope of work will be executed.

Months 6-12: Falls of Clyde will be returned to Pier 7 where volunteers and contractors will begin the next phase of the restoration work which is to restore the main deck cabins.

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results

As a National Historic Landmark, the Falls of Clyde restoration will be accomplished in accordance with the U.S. Secretary of the Interior's "Standards for Historic Vessel Preservation Projects," as approved and published by the U.S, Department of the Interior, National Parks Service, the National Maritime Initiative. FFOC will hire an on-site expert in historic ship preservation as the Owner's Representative at PSI. Further, the restoration work will be completed under the guidance of the State of Hawaii Historic Preservation Division of the department of Land and Natural Resources.

4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

The U.S. Secretary of the Interior's "Standards for Historic Vessel Preservation Projects" has specific standards for the protection, documentation and stabilization of historic vessels, as well as preservation, restoration and rehabilitation of said vessels, including guidelines for application of the standards. Here is a quote from the document:

Together with the accompanying Guidelines, the Standards provide a framework for responsible preservation practice that recognizes the unique problems of historic preservation in a maritime context.

The standards set forth herein were inspired by and follow the format of the Secretary of the Interior's Standards for Historic Preservation Projects. Guidelines for Applying the Standards have been prepared with the advice and participation of professionals representing a broad range of experience and expertise in maritime preservation, and with heavy reliance on guidelines published in conjunction with the Secretary's Standards. (p. 3)

The Falls of Clyde drydock and hull stabilization project will document regular adherence to the standards and guidelines, as delineated in the National Parks Service, Department of Interior "Guidelines for Recording Historic Ships" (3rd Ed., 2004), prepared by the Historic American Buildings Survey/Historic American Engineering Record/Historic American Landscapes Survey.

III. Financial

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.

See Budget Worksheets attached.

2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2017.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$100,000	\$50,000	\$50,000	\$50,000	\$250,000

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal 2017.

FFOC is actively engaged in a multi-year capital campaign to complete full restoration of *Falls of Clyde* to maritime museum quality. This request is to fund 17% of the Drydock and Hull Stabilization Project, which is Phase 1 of the total restoration project. FFOC has applied for the National Maritime Heritage Grant through the National Park Service. FFOC believes that a show of financial support from the State Legislature, which is consistent with the statements in SCR138 quoted in section I.3 above, can be leveraged to get additional funding

from community and corporate foundations for the remaining portion of this project. FFOC does have applications pending with some local foundations.

4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the three prior years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

Friends of Falls of Clyde has not applied for any state or federal tax credits.

- 5. The applicant shall provide a listing of all federal, state, and county government contracts and grants it has been and will be receiving for program funding.
 - Friends of Falls of Clyde has not received any government contracts or grants.
- 6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2015.

Friends of Falls of Clyde had unrestricted current assets of \$70,000, as of December 31, 2015.

IV. Experience and Capability

A. Necessary Skills and Experience

Friends of Falls of Clyde is dedicated to preserving and fully restoring Falls of Clyde to its previous maritime museum quality. Further, FFOC is committed to ensuring this restoration is completed in accordance with the standards set by the U.S. Department of the Interior and the State of Hawaii Historic Preservation Division of the Department of Land and Natural Resources. To this end, the FFOC has in the past and continues its practice of engaging qualified expertise. This has included contracting with National Parks Service ship preservation specialists who conducted a limited conditional visual survey of the vessel in 2009. Additional expert examinations have been conducted by the senior curator of the Australian National Maritime Museum, the president of the Maritime Museum of San Diego, and a professional rigger of historic sailing ships. These were followed up with the completion of a photographic and video survey of all interior spaces on the vessel and development of a complete work order for the drydock project.

Pacific Shipyards International (PSI), the drydock partner for this project, is part of the Pacific Marine & Supply family of companies that has served the maritime and industrial sectors of Hawaii since 1944. With a commitment to professional

quality and service, PSI carries the following certifications, licenses and approvals (not all applicable to this project):

- NAVSEA Approved Quality Control/Assurance System
- ISO 901:2000 Compliant Quality Control System
- ABS/AWS/NAVSEA Approved Welding Procedures
- HAZWOPER Certified
- NPDES Facility Permit
- Operators
 - +Professionally trained drydock operator
 - +DOT licensed heavy equipment operator
 - +USCG Licensed Vessel Operators
 - +Certified Crane Operators
- Inspectors
 - +NACE Coating Inspectors
 - +NBPI Coatings Inspectors
 - +PCMS Inspectors
 - +NDT Inspectors
- SSPC-QP1 Contractor; SSPC-QP2
 - +SSPC-C7 Certified Blasters
 - +SSPC-C12 Certified Painters
 - +SSPC-C13 Certified Water-Jetting Operators
 - +SSPC-C14 Certified Plural Component Applications
 - +SSPC Certified Instructor on staff
- Hawaii State Contractors License #C29939
 - +C33 Painting
 - +C48 Structural Steel
 - +C55 Waterproofing
 - +C56 Welding

B. Facilities

Falls of Clyde has been berthed at Pier 7 in Honolulu Harbor, near the landmark Aloha Tower and Honolulu's Cruise Ship Terminal, for over 40 years. For the drydock period she would be towed to Pacific Shipyards International at Pier 41 in Honolulu Harbor.

V. Personnel: Project Organization and Staffing

A. Proposed Staffing, Staff Qualifications, Supervision and Training

Friends of Falls of Clyde has no paid employees and is operated by its volunteer working Board of Directors. There are currently no plans to hire employees.

When necessary, professional expertise will be contracted. For example, an attorney will be engaged, as needed, to review legal contracts and agreements.

B. Organization Chart

Friends of Falls of Clyde has no paid employees and is operated by its volunteer working Board of Directors. There are currently no plans to hire employees.

C. Compensation

Currently, Friends of Falls of Clyde has no paid employees, but is operated by its volunteer working Board of Directors.

There will two contracted positions funded through the drydock and ship hull stabilization project. These contracts total \$30,000 and are detailed in the attached budget worksheets.

VI. Other

A. Litigation

Friends of Falls of Clyde does not have any litigation pending.

B. Licensure or Accreditation

As a preservation organization, Friends of Falls of Clyde does not hold licensure or accreditation. However, *Falls of Clyde* was entered into the National Register of Historic Places in 1973, designated as a U.S. National Historic Landmark in 1989, and recognized by the Hawaii State Legislature as an "historic symbol of Hawaii" by SCR138 in 2009.

C. Federal and County Grants

Friends of Falls of Clyde has submitted an application for the National Maritime Heritage Grants program. However, at the time of this submission, a decision regarding funding has not yet been made.

D. Private Educational Institutions

Friends of Falls of Clyde is not a private educational institution.

E. Future Sustainability Plan

Following the drydock and ship hull stabilization, Falls of Clyde will be towed back to its Pier 7 berth. As Falls of Clyde moves through its restoration process, there will be volunteers, individuals and groups, who will assist with different components of her restoration. FFOC plans to restore the ship's cabins in phases. Each phase will be financed separately as part of the long-term capital campaign. Also, each project may draw the interest of different volunteer groups. We will have both general labor work and more skilled work like woodwork and welding, where students from trade schools can get experience.

In addition, FFOC has developed a business plan to operate *Falls of Clyde* as a museum ship similar to the way it was operated as part of the Hawaii Maritime Center with tours, educational programs, and special events.

F. Certificate of Good Standing (If the Applicant is an Organization)

See Friends of Falls of Clyde Certificate of Good Standing attached.

BUDGET REQUEST BY SOURCE OF FUNDS

Applicant:	Friends of	Falls of Clyde_		

	BUDGET	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A.	PERSONNEL COST	(2)	(0)	(0)	(0)
\ \hat{\pi}	1. Salaries	0	1		
	2. Payroll Taxes & Assessments	0			327
	3. Fringe Benefits	0			
	TOTAL PERSONNEL COST				
В.	OTHER CURRENT EXPENSES			•	
"	Airfare, Inter-Island				
	2. Insurance				
	Lease/Rental of Equipment				
	Lease/Rental of Space				
	5. Staff Training			16.	
	6. Supplies				
	7. Telecommunication				
	8. Utilities				
	9 Contracted Services	30,000		220	
	10 Drydock	100,000			
	11 Clean, Inspect and Paint Hull	120,000			
	12				
	13				
	14				
	15				
	16				
	17				•
	18				
	19				
	20				
	TOTAL OTHER CURRENT EXPENSES	250,000			
C.	EQUIPMENT PURCHASES	0			
D.	MOTOR VEHICLE PURCHASES	0			
Ε.	CAPITAL	0			
то	TAL (A+B+C+D+E)	250,000			
			Budget Prepared	By:	
00	UDGES OF FUNDAMS		Dudget Flepaled	Ly.	
30	URCES OF FUNDING				
	(a) Total State Funds Requested		Bruce McEwan		808-265-1141
	(b) Total Federal Funds Requeste	ed -	Name (Please type or p	rint)	Phone
	(c) Total County Funds Requested	1			1/18/16
	(d) Total Private/Other Funds Requested		Signature of Authorized	Official	Date
			Droeident		
TO	TAL BUDGET		President	A	re.
10	TAL BUDGET		Name and Title (Please	type or print)	

BUDGET JUSTIFICATION - PERSONNEL SALARIES AND WAGES

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
		0.000		\$
iends of Falls of Clyde does not have employees.				\$
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TOTAL:				

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER		TOTAL COST	TOTAL BUDGETED
			\$	_	
Friends of Falls of Clyde does not plan to purchase equipment	19000000		\$	-	
through this project.	**		\$	-	-
			\$		
			\$	_	
TOTAL:					
9.	%				
	(•				
DESCRIPTION	NO. OF	COST PER		TOTAL	TOTAL
	NO. OF VEHICLES	COST PER VEHICLE		TOTAL COST	TOTAL BUDGETED
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DESCRIPTION OF MOTOR VEHICLE Friends of Falls of Clyde does not plan to purchase motor		Action Among the Lie Service	\$	COST -	
DESCRIPTION OF MOTOR VEHICLE Friends of Falls of Clyde does not plan to purchase motor		Action Among the Lie Service	\$	COST -	
DESCRIPTION		Action Among the Lie Service	\$ \$ \$		
DESCRIPTION OF MOTOR VEHICLE Friends of Falls of Clyde does not plan to purchase motor		Action Among the Lie Service	\$ \$ \$		
DESCRIPTION OF MOTOR VEHICLE Friends of Falls of Clyde does not plan to purchase motor vehicles through this project.		Action Among the Lie Service	\$ \$ \$		

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

	FUNDI	NG AMOUNT RE	EQUESTED			
TOTAL PROJECT COST	ALL SOURCE RECEIVED IN	S OF FUNDS PRIOR YEARS	STATE FUNDS REQUESTED	OF FUNDS REQUESTED		EQUIRED IN
	FY: 2014-2015	FY: 2015-2016	FY:2016-2017	FY:2016-2017	FY:2017-2018	FY:2018-2019
PLANS		al .		-		20
LAND ACQUISITION/DRYDOCK	Vi.		100000			
DESIGN						
CONSTRUCTION/HULL WORK			120000			
EQUIPMENT			ų.			
TOTAL:			220,000			

GOVERNMENT CONTRACTS AND / OR GRANTS

Applicant:	Friends of Falls of Clyde_	Contracts Total:
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		<u> </u>		GOVERNMENT	
-	CONTRACT DESCRIPTION	- DATES	AGENCY	ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
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DECLARATION STATEMENT OF APPLICANTS FOR GRANTS PURSUANT TO CHAPTER 42F, HAWAI'I REVISED STATUTES -

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a). Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded, and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

_FRIENDS OF FALLS OF_FALLS O (Typed Name of Individual or Organization		•
	1/18/2016	
(Signature)	(Date)	ь
_BRUCE MCEWAN(Typed Name)	PRESIDENT(Title)	•
Rev 12/15/15	10	Application for Grants



Department of Commerce and Consumer Affairs

CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

FRIENDS OF FALLS OF CLYDE

was incorporated under the laws of the State of Hawaii on 08/28/2008; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: January 19, 2016

Director of Commerce and Consumer Affairs

