

House District 26  
Senate District 12

THE TWENTY-EIGHTH LEGISLATURE  
APPLICATION FOR GRANTS  
CHAPTER 42F, HAWAII REVISED STATUTES

Log No:

For Legislature's Use Only

Type of Grant Request:

GRANT REQUEST - OPERATING

GRANT REQUEST - CAPITAL

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Recipient" means any organization or person receiving a grant.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN):

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN):

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:

DbA: BALLET HAWAII

Street Address: 777 SOUTH HOTEL STREET

Mailing Address: # 101

HONOLULU, HI 96813

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name JUDY MUNCASTER

Title GRANT ADMINISTRATOR

PHONE: 808-521-8600

FAX: 808-521-0383

E-MAIL: judy@ballethawaii.org

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION INCORPORATED IN HAWAII  
 FOR PROFIT CORPORATION INCORPORATED IN HAWAII  
 LIMITED LIABILITY COMPANY  
 SOLE PROPRIETORSHIP/INDIVIDUAL  
 OTHER

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

REPLACEMENT OF 13 YEAR OLD  
NUTCRACKER SET AND COSTUMES

4. FEDERAL TAX ID #:

5. STATE TAX ID #:

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2017: \$ 125,000

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)  
 EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE  
AT THE TIME OF THIS REQUEST:

STATE \$ \_\_\_\_\_  
FEDERAL \$ \_\_\_\_\_  
COUNTY \$ \_\_\_\_\_  
PRIVATE/OTHER \$ 100,000

KENJI STEVENS 11/22/16  
NAME & TITLE EXECUTIVE DIRECTOR DATE SIGNED



RECEIVED  
1-22-16

✓

**DECLARATION STATEMENT OF  
APPLICANTS FOR GRANTS PURSUANT TO  
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
  - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
  - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
  - c) Agrees not to use state funds for entertainment or lobbying activities; and
  - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
  
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
  - a) Is incorporated under the laws of the State; and
  - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
  
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
  - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
  - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Kenji Stevens, Executive Director

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(Signature)  (Date) January 22 (8AM) 2016

## BALLET HAWAII

### Grant in Aid Application

#### BACKGROUND

Ballet Hawaii has been part of the state's cultural infrastructure since 1976, providing dance performances for the community, training for students and teachers, and employment for musicians and production personnel. Its dance schools located in downtown Honolulu and West Oahu have approximately 580 students who are given many opportunities for personal growth. Their lessons and participation in performances help develop social skills, agility and coordination. Other potential enhancement of skills includes development of rhythm, creativity, focus, discipline, commitment and stage presence. There are many health benefits as well. Ballet technique corrects posture and alignment in individuals of all ages. It is also an excellent form of exercise for body conditioning and toning.

**Ballet Hawaii's mission is to enrich Hawaii's cultural environment by teaching, presenting, producing and promoting dance.**

Ballet Classes are available to students from age four through eighteen, with adult classes given four times a week for those who want to continue their training or who wish to use ballet for conditioning. During the year, "Master" classes are opened up to advanced students and dance teachers. All students and faculty are encouraged to fulfill a professional etiquette of the highest standard. The classes offered represent an inclusive vision to reach people of all backgrounds and abilities through the art of dance with a non-competitive and positive environment in which artistic endeavors can thrive.

Large-scale productions are mounted at least twice a year using both students and invited guest artists. These productions are normally at the Blaisdell Concert Hall or Hawaii Theatre, with guest artists who are principal dancers from major mainland dance companies such as the New York, Boston, San Francisco, Miami, Cincinnati and Carolina Ballet Companies. Whenever possible, symphony musicians are hired to provide the musical accompaniment. Recent productions include *Coppelia*, *Giselle*, *Cinderella*, *Sleeping Beauty*, *Peter Pan*, *Nutcracker*, *Romeo and Juliet* and *Alice in Wonderland*.

Ballet Hawaii has presented many national and international dance companies to the community. Included are the Mark Morris Dance Company, LINES Ballet, Les Ballets Trockadero de Monte-Carlos, Pilobolus, Paul Taylor Dance Company, Smuin Ballet, Beijing Modern Dance Company, Giordano Jazz Dance Chicago, Complexions, Martha Graham Dance Company and the famous Mikhail Baryshnikov.

#### Goals/ Objectives

To build a new *Nutcracker* set and design appropriate costumes:

The present set and costumes are 13 years old and need to be replaced. The stage hand union of the Blaisdell Concert Hall has told the ballet that the present sets are no longer safe to be used and parts of it are showing signs of mildew, which is a health concern. The majority of the costumes have been cleaned and fitted to so many dancers over the years that they also need to be replaced. This annual production is the highlight of the "world of ballet" and accounts for approximately half of the school's production income.

To continue to provide an educational experience for children

This is done partly via the *Nutcracker* production under the auspices of the ANGEL Program. This program provides performance tickets and transportation to students from underserved school and selected social agencies so that they are able to attend a ballet performance at no cost. Military families are also included, with tickets given primarily to those who had a family member deployed or about to be deployed. All branches of the military are included. Attendance at the special performance of *Nutcracker* averages 1,200 annually.

Some of the schools and organizations that have benefited from this program include:

Nanakuli High & Int. School	Campbell High School	Waipahu Elementary
Ala Wai Elementary	Palolo Elementary	Dole Middle School
Kuhio Elementary	Lincoln Elementary	Waipahu High School
Ilima Intermediate	Aiea Middle School	Farrington High School
McKinley High School	Central Intermediate	Kaimuki High School
Makaha Elementary	Waianae Elementary	Washington Intermediate
Waianae Elementary	Kalakaua Middle School	Waikiki Elementary
Kapolei Middle School	Kaahumanu Elementary	August Ahrens Elementary
Kaewai Elementary	Leilehua High School	Jefferson Elementary
Central Middle School	Muscular Dystrophy Families	Adult Friends for Youth
Parents and Children Together	Easter Seals	Kids Hurt Too
Big Brother/ Big Sisters	Queen Lili'uokalani Childrens	Castle High School
Waipahu High School	Center	Pokakei Elementary School
	Make A Wish Hawaii	

The educational aspect of their attendance includes a special booklet designed to tell these children about the history of what they are going to see, ballet terms and the etiquette of attending a performance. As the music of the performance is provided by the Hawaii Symphony Orchestra, this provides an additional benefit. These children also have the opportunity to view the Honolulu City Lights as they arrive or leave the performance.

#### Public Purpose and Need

What would a holiday be without a *Nutcracker* performance? This annual production is the highlight of the “world of ballet”. To dance in *Nutcracker* is the reward for the many hours of classes and dedication that the students put in during the year. Approximately 185 students are used in the production. The ballet triple casts some of the roles, so that all can participate in some way. The main roles are filled by guest artists from leading national and international ballet companies who serve as examples of excellence for both the students and the dance community.

#### Target Population and Geographic Coverage

Attendance at the three performances of *Nutcracker* averages 5,500 each year. (excluding the special performance for the Angel program) The majority of ticket buyers come from Oahu, although many mainland visitors and neighbor island residents also participate. The student dancers come from all parts of Oahu.

### **SERVICE SUMMARY AND OUTCOMES**

#### Scope of work, tasks and responsibilities

The building of the set and costume design will be the responsibility of the ballet’s artistic director, a selected choreographer and a project coordinator. Preliminary plans have started and the resulting focus is to stray somewhat from the traditional Act I Party Scene that takes place in Europe and make it relevant to the local community. Instead, it will take place at the Hawaii governor’s residence of Washington Place. After completion, Washington Place was the residence of Mary Dominis, wife of Captain John Dominis and later the residence of Queen Lili’uokalani until her death in 1917. On Christmas Eve in 1858, Mary invited 100 keiki to her mansion. According to historical accounts, a Douglas fir Christmas tree was set up in the second-floor parlor with gifts on the tree for the children. They were later sent home and their parents remained to celebrate Christmas with dinner and dancing at the mansion. In order to coordinate the affiliation with Washington Place,

a committee consisting of Dawn Ige, Alice Guild and Mary Philpotts was formed. All are familiar with the residence.

### Time-line

The set is to be completed and make its debut at the Blaisdell Concert Hall by December, 2016, in order to mark the beginning of Ballet Hawaii's 40<sup>th</sup> Anniversary.

November, 2015	Select Choreographer and Lighting Designer	Completed
November-December, 2015	Determine production concept	Completed
February, 2016	Research potential set and costume designers	(put out for bid)
February, 2016	Select Project Coordinator	
March-April, 2016	Select set designers and scene shop	
May-June, 2016	Refine designs	
July, 2016	Begin Construction	
July, 2016	Prop Designs completed	
August-December, 2016	Completion and approval of all aspects	
December 12, 2016	Blaisdell "load in"	
December 15, 2016	Angel Performance	
<b>December, 16-18, 2016</b>	<b>Public Performances</b>	

At all levels of activities, the project will be evaluated for quality assurance by the ballet's Executive Director and Artistic Director as well as the Project Coordinator and Choreographer.

### **FINANCIAL**

Refer to attached project budget and cast list for costumes

Recent balance sheet attached

Funding from the state will be requested in the third and fourth quarter of 2016. The ballet has currently raised \$100,000 from a Strong Foundation grant and will continue to raise private funds for the rest of 2016.

A March, 2014-2017 State Tax Credit was granted to the ballet for the Ballet Hawaii West lease at 94-450 Mokuola Street, Waipahu, HI 96797.

### **EXPERIENCE and CAPABILITY**

The previous *Nutcracker* set with new costumes was built 13 years ago under the direction of **Artistic Director, Pamela Taylor-Tongg**. Ms. Taylor-Tongg will also be overall director of this project. She has recently completed her 30<sup>th</sup> year with the ballet and is responsible for its growth. Under her guidance, Ballet Hawaii has grown from twelve students to over five hundred. In 2012, she was appointed to the Mayor's Commission on Culture and the Arts.

Assisting Ms. Taylor-Tongg is **Septime Webre**. Mr. Webre is Choreographer and Artistic Director of the Washington Ballet and has worked with Ballet Hawaii on many of its productions, including the recent performances of *Alice (in Wonderland)*. Much in demand, he has created works that appear in the repertoires of many companies in North America. A former member of the board of directors of Dance/USA, Mr. Webre sits on the board of the Cultural Alliance of Greater Washington D.C. and has received a number of fellowships for both his dancing and choreography.

Facilities

Not applicable

Part of the set construction, props and costume design will be done locally whenever possible, as cost permits.

**PERSONNEL**

Septime Webre and Pam Taylor-Tongg will oversee this project.

The set coordinator has not been determined at this time.

Organization Chart: See attachment

Compensation

No Ballet Hawaii Board member receives compensation. The annual salary of the three highest paid employees are as follows:

Executive Director, \$68,000

Artistic Director, \$68,000

Director of Development, \$55,000

**OTHER**

Litigation and Accreditation: Not Applicable

Future Sustainability: The set and costumes will hopefully last a minimum of ten years and no future funding of this project will be necessary.

Certificate of Good Standing: attached

## BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2016 to June 30, 2017

BALLET HAWAII

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST 363550	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2014-2015	FY: 2015-2016	FY:2016-2017	FY:2016-2017	FY:2017-2018	FY:2018-2019
PLANS	0	0	0	0	0	0
LAND ACQUISITION	0	0	0	0	0	0
DESIGN	0	0	28000	0	0	0
CONSTRUCTION	0	0	97000	0	0	0
EQUIPMENT	0	0	0	0	0	0
<b>TOTAL:</b>	0	0	125,000	0	0	0
<b>JUSTIFICATION/COMMENTS:</b>						
Remaining part of project will come from other sources. To date: \$100,00 has been received						

			<b>BALLET HAWAII</b>	
			<b>Budget</b>	
			<b>Nutcracker Set and Costumes</b>	
<b>SET DESIGN</b>				
Set/ Prop/Lighting Design			\$28,000	
Construction			\$97,000	
Set Supervision			\$6,000	
Payroll Expenses			\$54,550	
Choreographer			\$30,000	
	<b>Total:</b>		<b>\$215,550</b>	
<b>COSTUMES</b>				
<u>ACT I Party Scene</u>				
39 costumes				
<u>ACT 1 Mice &amp; Battle Scene</u>				
33 costumes				
<u>ACT 1 Snow Scene</u>				
16 costumes				
<u>ACT II Opening</u>				
32 costumes				
<u>ACT II Divertissement</u>				
48 costumes				
Total Costumes: 168	<b>Total:</b>		<b>148,000</b>	
<b>Budget Total</b>			<b>\$363,550</b>	
Received:			\$100,000	
Remaining			\$263,500	
Grant in Aid Request			\$125,000	
<i>Prepared 12/15,2015</i>				



## **Ballet Hawaii**

**Proposed New Nutcracker**

**Draft Cast List**

### **ACT 1**

#### **PARTY SCENE**

9 Adult Party Women

9 Adult Party Men

3 Teenage Boys (Nutcracker Prince and Prep Boys)

3 Teenage Girls (Clara and 2 Girlfriends)

1 Female Dancing Doll

2 Male Dancing Dolls

6 Little Girls

6 Little Boys (Can be played by girls)

#### ***39 Costumes for Party Scene***

Clara

Fritz

Clara's Mother

Clara's Father

Clara's Grandfather

Clara's Godfather Mr. Drosselmeyer

Drosselmeyer's Nephew/Nutcracker Prince

Butler

Maid 1

Maid 2

Elegant Family Mother

Elegant Family Father

Elegant Sister 1 (Clara's Girlfriend)

Elegant Sister 2

Elegant Brother 1

Elegant Brother 2

Military Family Father

Military Family Mother

Military Sister (Clara's Girlfriend)

Military Twin Brother 1

Military Twin Brother 2

Big Family Father

Big Family Mother

Big Family Sisters 1-5

Big Family Brothers 1-3

Two Spinster Aunts

Two Teenage Prep School Boys

The Merry Widow  
2 Historical Male Guests (To be researched)

Dancing Dolls:

Male and Female Duet (To be researched)  
Male Solo Doll (To be researched)

#### **MICE AND BATTLE SCENE**

6 Baby Mice  
6 Adult Rats  
12 Toy Soldiers  
3 Bunny Regiment Leaders  
4 Dolls  
Rat King  
Nutcracker

**33 Costumes for Mice/Battle Scene**

#### **SNOW SCENE**

Snow King  
Snow Queen  
12 Snowflakes  
Nutcracker Prince  
Clara's nightgown

**16 Costumes for The Snow Scene**

#### **ACT 2**

Opening of Act 2

8 Big Butterflies  
8 Small Butterflies  
4 Bumblebees  
6 Mushrooms  
4 Sugarplum Pages

Sugarplum Fairy  
Cavalier

**32 Costumes for the Opening of Act 2**

Spanish: 2 or 6 Costumes

Chinese: 12 Costumes

Arabian: 2 Costumes

Russian: 3 or 7 Costumes

Marzipan: 6 Costumes

Mother Ginger: 10 Costumes

Waltz of the Flowers: 13 Costumes (12 Corps and one Dew Drop)

***48 Divertissement Costumes for Act 2***

***Total Costumes: 168***

**GOVERNMENT CONTRACTS AND / OR GRANTS**

Ballet Hawaii

Contracts Total:

10,000

	<b>CONTRACT DESCRIPTION</b>	<b>EFFECTIVE DATES</b>	<b>AGENCY</b>	<b>GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)</b>	<b>CONTRACT VALUE</b>
1	Not Applicable				
2					
3					
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## Department of Commerce and Consumer Affairs

### CERTIFICATE OF GOOD STANDING

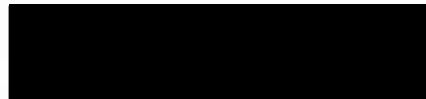
I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

**BALLET HAWAII**

was incorporated under the laws of Hawaii on 09/01/1988 ; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: December 31, 2015



*Director of Commerce and Consumer Affairs*

**Ballet Hawaii**  
**Balance Sheet**  
As of December 31, 2015

	Total
<b>ASSETS</b>	
<b>Current Assets</b>	
Bank Accounts	
Total Bank Accounts	\$ 445,768.59
Accounts Receivable	
1200 Accounts Receivable	3,709.42
Total Accounts Receivable	\$ 3,709.42
Total Other current assets	\$ 41,734.43
<b>Total Current Assets</b>	<b>\$ 491,212.44</b>
<b>Fixed Assets</b>	
<b>Total Fixed Assets</b>	<b>\$ 393,959.86</b>
<b>Other Assets</b>	
Building Fund-Charles Schwab	0.00
Deposits	9,500.00
Deposits-Rent	16,735.49
Investment Fund-Charles Schwab	0.00
Morgan Stanley 129 052XXX 165	146,567.16
Morgan Stanley 129 062XXX 165	41,423.14
Smith Barney	0.00
<b>Total Other Assets</b>	<b>\$ 214,225.79</b>
<b>TOTAL ASSETS</b>	<b>\$ 1,099,398.09</b>
<b>LIABILITIES AND EQUITY</b>	
<b>Liabilities</b>	
<b>Current Liabilities</b>	
Accounts Payable	
2000 Accounts Payable	72,806.35
Total Accounts Payable	\$ 72,806.35
Credit Cards	
BOA	2,060.01
BOH - VISA (deleted)	0.00
Total Credit Cards	\$ 2,060.01
<b>Other Current Liabilities</b>	
2100 Payroll Liabilities	0.00
401(k) Payable	-1,846.04
Accrued Payroll (deleted)	0.00
Advance pay (deleted)	0.00
Employee FICA (deleted)	0.00
Employer FICA (deleted)	0.00
FWH (deleted)	0.00
Health Welfare (deleted)	0.00
SUI (deleted)	0.00

SWH	784.56
Union Annuity (deleted)	0.00
<b>Total 2100 Payroll Liabilities</b>	<b>-\$ 1,061.48</b>
2110 Direct Deposit Liabilities	0.00
2200 Accrued GET	336.78
Accrued Expenses	0.00
Deferred Revenue	4,680.67
Temporarily Restricted Funds	182,270.00
<b>Total Other Current Liabilities</b>	<b>\$ 186,225.97</b>
<b>Total Current Liabilities</b>	<b>\$ 261,092.33</b>
<b>Long-Term Liabilities</b>	
3990CW	384,371.84
NP-BOH	0.00
<b>Total Long-Term Liabilities</b>	<b>\$ 384,371.84</b>
<b>Total Liabilities</b>	<b>\$ 645,464.17</b>
<b>Equity</b>	
1110 Retained Earnings	388,818.68
3000 Opening Bal Equity	0.00
Net Income	65,115.24
<b>Total Equity</b>	<b>\$ 453,933.92</b>
<b>TOTAL LIABILITIES AND EQUITY</b>	<b>\$ 1,099,398.09</b>

Wednesday, Jan 13, 2016 06:23:14 PM PST GMT-10 - Accrual Basis

