

House District \_\_\_\_\_  
Senate District \_\_\_\_\_

THE TWENTY-EIGHTH LEGISLATURE  
APPLICATION FOR GRANTS  
CHAPTER 42F, HAWAII REVISED STATUTES

Log No:

For Legislature's Use Only

Type of Grant Request:

GRANT REQUEST – OPERATING

GRANT REQUEST – CAPITAL

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Recipient" means any organization or person receiving a grant.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN): \_\_\_\_\_

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN): \_\_\_\_\_

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:

Db/a: Animal Haven, Inc.

Street Address:

Mailing Address: P.O. Box 4406, Kaneohe, HI 96707

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name FRANK DEGIACOMO

Title President

Phone # (253) 237-2653

Fax # \_\_\_\_\_

E-mail AnimalHavenHawaii@gmail.com

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION INCORPORATED IN HAWAII  
 FOR PROFIT CORPORATION INCORPORATED IN HAWAII  
 LIMITED LIABILITY COMPANY  
 SOLE PROPRIETORSHIP/INDIVIDUAL  
 OTHER

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

A REQUEST FOR \$350,000 TO CONSTRUCT AN ANIMAL SHELTER AND STERILIZATION CLINIC ON THE ISLAND OF OAHU.

4. FEDERAL TAX ID #: \_\_\_\_\_

5. STATE TAX ID #: \_\_\_\_\_

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2017: \$ 350,000

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)  
 EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ \_\_\_\_\_  
FEDERAL \$ \_\_\_\_\_  
COUNTY \$ \_\_\_\_\_  
PRIVATE/OTHER \$ 5,000

REPRESENTATIVE:

AUTHORIZED SIGNATURE

Frank DeGiacomo, President  
NAME & TITLE

1/22/16  
DATE SIGNED



RECEIVED  
1/22/16



## Application for Grants

*If any item is not applicable to the request, the applicant should enter "not applicable".*

### I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background;

Animal Haven is a no-kill animal rescue and rights advocacy organization created specifically as a Society for the Prevention of Cruelty to Animals. Animal Haven's mission is one of animal protection.

On O`ahu, Animal Haven has helped evacuate chickens from a Park and Ride. We have helped numerous abused and neglected animals, cared for feral cat colonies, and tried, unsuccessfully to gain the release of pound animals to recues that could adopt them out.

The organization has performed well as the animal control contractor for chickens for the City and County of Honolulu, until Mayor Caldwell said that the City and County of Honolulu could no longer afford the \$59,000 per year. We received over 5,000 calls. We responded with over 1,000 visits.

We currently operate a 30 foot mobile veterinary clinic that allows for two veterinarians to provide affordable spay and neuter services.

We currently have a two acres Nanakuli. We would use that to land for a much needed no-kill shelter for the Leeward side of O`ahu that will be losing the Oahu SPCA this year.

2. The goals and objectives related to the request;

In 2014, Animal Haven secured a long term lease for 2 acres of land in Nanakuli to establish a low cost veterinary clinic and animal shelter. Our long term goal is to renovate the two existing dilapidated structures, and build a third large structure for purposes of both sheltering and veterinary care.

3. The public purpose and need to be served;

We Estimate that there are approximately 365,000 dogs and cats on O`ahu, and approximately 30%, or about 120,000 of them are not sterilized. It is estimated that somewhere between 30,000 and 60,000 animals are being rounded up and

killed at the pound each year, and the numbers will minimally double with the increased killing capacity of the pound with the addition of their new facility in Kapolei and their expansion of their Mo`ili`ili facility. Many in O`ahu would like to see those numbers reduced dramatically, but are unable to afford truly low cost spay/neuter surgeries. Between the pound, and all the rescues, and the private veterinarians there is somewhere around 10,000 spay/neuter surgeries a year being done on an island with a human population of around a million, and an animal companion population in the same range. That is woefully inadequate. We intend to increase that number dramatically.

4. Describe the target population to be served; and

We provide free or low cost spay/neuter services, and will expand into rehabilitation programs to prepare animals for adoptions, trap/neuter/return for feral cats; foster care programs to give animals extra help they need for adoption; mobile adoptions increasing locations of adoption sites that will make adoption more accessible to the public; and big high volume adoption events.

5. Describe the geographic coverage.

The island of O`ahu.

## **II. Service Summary and Outcomes**

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities;

Architectural drawings for the new facilities will be completed. Building permits from the City and County of Honolulu Department of Planning and Permitting will be secured. This will be completed by the President of the Board of Directors.

An experienced Construction Administrator will provide building support.

Funding from this GIA, if awarded, would be used solely for the rehabilitation of existing structures and building of the new facility.

2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;

Jan- April get the design done. June through August get the permitting approved. September through October secure GIA funding. November through

December secure the Construction Administrator. January-June do the construction. July and on start the sterilization clinic and the sheltering components. This will all be the responsibility of the President and Board of Directors.

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and

Frank DeGiacomo is the President of Animal Haven. He brings 15 years of experience in animal rescue, animal control, shelter management, shelter medicine, and nonprofit management to this organization.

4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

- Number of people and their animal companions served by the shelter.
- Number of people and their animal companions served.
- Number of animals receiving veterinary care.
- Number of animals receiving sterilization services.
- Number of animals being microchipped.
- Number of animals being adopted.
- Number of feral cat colonies using trap/neuter/return.
- Reduction of the number of animals being tortured and heinously killed at the pound.

### III. Financial Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.  
See Attached.
2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2017.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
50,000	200,000	50,000	50,000	350,000

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2017.

Revenue from our mobile veterinary clinic.

4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.
5. The applicant shall provide a listing of all federal, state, and county government contracts and grants it has been and will be receiving for program funding.
6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2015.

#### **IV. Experience and Capability**

##### **A. Necessary Skills and Experience**

Frank De Giacomo, President

Set up, and was in charge of, peafowl control for O'ahu. Formerly vice-president of Animal CARE Foundation, which was a nonprofit veterinary clinic which focused primarily on neutering animals. He handled every aspect of that animal rescue nonprofit for about 9 years. He has a law degree, and has law enforcement and corrections experience. He was also campaigns director for Alley Cat Allies, a Congressional staffer, and .

David De Giacomo, Vice President

Information technologies specialist having worked for local governments. Accounting background.

Veronica Grey, Director

Shark expert, author, film producer, model, and popular surfer icon.

##### **B. Facilities**

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

The sanctuary facilities will be situated on 2 acres of land in Nanakuli, utilizing one Quonset building and one ohana sized shed that we want to refurbish. We want to add an additional 20,000 square foot building.

## **V. Personnel: Project Organization and Staffing**

### **A. Proposed Staffing, Staff Qualifications, Supervision and Training**

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

As previously mentioned, Frank DeGiacomo has extensive nonprofit shelter and veterinary clinic experience. Anya Yuschchenko, a licensed veterinarian in Hawai'i who has extensive shelter experience in both the US and abroad, as well as close to 20 years overall of veterinary experience. She will be the Chief Veterinarian, among our staff of veterinarians, vet techs, clinic aids, and volunteers.

### **B. Organization Chart**

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.

Not Applicable

### **C. Compensation**

The applicant shall provide the annual salaries paid by the applicant to the three highest paid officers, directors, or employees of the organization by position..

Veterinarians -\$30,000 each per year

## **VI. Other**

### **A. Litigation**

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

Not Applicable

**B. Licensure or Accreditation**

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

Not applicable.

**C. Private Educational Institutions**

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see Article X, Section 1, of the State Constitution for the relevance of this question.

Not applicable

**D. Future Sustainability Plan**

The applicant shall provide a plan for sustaining after fiscal year 2016-17 the activity funded by the grant if the grant of this application is:

- (1) Received by the applicant for fiscal year 2016-17, but
- (2) Not received by the applicant thereafter.

Once the grant is provided our veterinary services will sustain the organization and its facilities, as well as any future animal control contracts that we may successfully obtain, as well as grants from a variety of private funding sources.

**E. Certificate of Good Standing (If the Applicant is an Organization)**

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2015.

Not available at this time due to not timely notifying the Department of Taxation that we don't owe any corporate income tax or sales tax as a nonprofit, but it can be furnished for the Legislature at a later time.



## BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2016 to June 30, 2017

Applicant: Animal Haven, Inc.

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
<b>A. PERSONNEL COST</b>				
1. Salaries				
2. Payroll Taxes & Assessments				
3. Fringe Benefits				
<b>TOTAL PERSONNEL COST</b>				
<b>B. OTHER CURRENT EXPENSES</b>				
1. Airfare, Inter-Island				
2. Insurance				
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training				
6. Supplies				
7. Telecommunication				
8. Utilities				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
<b>TOTAL OTHER CURRENT EXPENSES</b>				
<b>C. EQUIPMENT PURCHASES</b>				
<b>D. MOTOR VEHICLE PURCHASES</b>				
<b>E. CAPITAL</b>	350,000			
<b>TOTAL (A+B+C+D+E)</b>	350,000			
<b>SOURCES OF FUNDING</b>	Budget Prepared By:			
(a) Total State Funds Requested	<u>Frank DeGiacomo</u>			
(b) Total Federal Funds Requested	[REDACTED]			
(c) Total County Funds Requested	Phone 1/21/16			
(d) Total Private/Other Funds Requested	Date			
<b>TOTAL BUDGET</b>	Signature of Authorized Official <u>Frank DeGiacomo, President</u> Name and Title (Please type or print)			



## BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2016 to June 30, 2017

Applicant: Animal Haven, Inc

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
			\$ -	
<i>Not Applicable</i>			\$ -	
			\$ -	
			\$ -	
			\$ -	
	TOTAL:			
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
			\$ -	
<i>Not Applicable</i>			\$ -	
			\$ -	
			\$ -	
			\$ -	
	TOTAL:			
JUSTIFICATION/COMMENTS:				

## BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2016 to June 30, 2017

Applicant: Animal Haven, Inc.

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2014-2015	FY: 2015-2016	FY:2016-2017	FY:2016-2017	FY:2017-2018	FY:2018-2019
PLANS			350,000	0	0	0
LAND ACQUISITION				0	0	0
DESIGN				0	0	0
CONSTRUCTION				0	0	0
EQUIPMENT				0	0	0
TOTAL:			350,000	0	0	0
JUSTIFICATION/COMMENTS:						

**GOVERNMENT CONTRACTS AND / OR GRANTS**

Applicant: Animal Haven, Inc.

Contracts Total: -

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1	<i>Not Applicable</i>				
2					
3					
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**DECLARATION STATEMENT OF  
APPLICANTS FOR GRANTS PURSUANT TO  
CHAPTER 42F, HAWAII REVISED STATUTES**


The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
  - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
  - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
  - c) Agrees not to use state funds for entertainment or lobbying activities; and
  - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
  - a) Is incorporated under the laws of the State; and
  - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
  - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
  - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Animal Haven, Inc.  
(Typed Name of Individual or Organization)

 (Signature)      1/21/14 (Date)

Frank De Giacomo  
(Typed Name)      President (Title)