

House District 46

Senate District 22

THE TWENTY-EIGHTH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES

Log No: _____

For Legislature's Use Only

Type of Grant Request:

GRANT REQUEST - OPERATING

GRANT REQUEST - CAPITAL

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Recipient" means any organization or person receiving a grant.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN): _____

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN): _____

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:
ORI (Opportunities and Resources Inc.)

Dbas:

Street Address: 64-1510 Kamehameha Highway
Wahiawa, Hawaii 96786

Mailing Address: 64-1510 Kamehameha Highway
Wahiawa, Hawaii 96786

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name SUSANNA F. CHEUNG

Title President and CEO

Phone # 808-622-3929

Fax # 808-621-5191

E-mail helemano808@hawaii.rr.com

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION INCORPORATED IN HAWAII
- FOR PROFIT CORPORATION INCORPORATED IN HAWAII
- LIMITED LIABILITY COMPANY
- OTHER
- SOLE PROPRIETORSHIP/INDIVIDUAL

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

REHABILITATION OF 30+ YEAR OLD HOUSING FACILITIES FOR
ADULTS WITH INTELLECTUAL / DEVELOPMENTAL DISABILITIES

4. FEDERAL TAX ID #: _____

5. STATE TAX ID #: _____

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2016: \$ 500,000

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
- EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE
AT THE TIME OF THIS REQUEST:

STATE \$ 500,000

FEDERAL \$ _____

COUNTY \$ _____

PRIVATE/OTHER \$ 400,606

TYPE NAME & TITLE OF AUTHORIZED REPRESENTATIVE:

AUTHORIZED SIGNATURE

SUSANNA F. CHEUNG, PRESIDENT AND CEO
NAME & TITLE

JANUARY 29, 2015
DATE SIGNED



RECEIVED
1-29-15

Application for Grants

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background;

ORI (Opportunities and Resources Inc. – formerly known as Opportunities for the Retarded, Inc.) is a private, non-profit agency founded in 1980 and based in Central Oahu. Its mission is to promote the general welfare of and provide training for persons with intellectual disabilities. ORI's programs provide day activity, education, training, employment opportunities, and housing thus providing a continuum of care and promoting quality of life to persons with varying levels of intellectual disabilities and needs.

The agency is one of the few major Central Oahu training facilities, which lies just two miles north of Wahiawa on an eight-acre parcel of land, known to many as Helemano Plantation. The agency's goals are to nurture and empower persons with special needs to develop themselves to their fullest capacity and to share their contributions to the community. It aims to provide equal educational opportunity to learn regardless of physical and mental challenges.

ORI has been serving individuals with disabilities and their families for 35 years. Its residential training facility has been in existence for over 30 years and has successfully provided for the various needs of ORI's residents. However, an influx of capital funds is needed to address the physical deterioration of the facility over the years.

2. The goals and objectives related to the request;

The primary goal of this funding request is to upgrade and rehabilitate ORI's 30+ year-old residential training facility serving adults with intellectual / developmental disabilities. This funding will help to maintain the integrity of the residential structure, upgrade its interior and exterior appearance, repair or replace equipment and furnishings and address any hazards to the health and well-being of individuals with disabilities in the residential training facility at ORI.

3. The public purpose and need to be served;

ORI's residential training facility provide for a service needed by its constituents, which are primarily adults with intellectual / developmental disabilities and who are also economically disadvantaged. The rehabilitation and upgrade of the facility will ameliorate the immediate safety concerns and barriers to ORI's aging facility.

4. Describe the target population to be served; and

The target group to be served by the residential training facility will include the severely disabled, the elderly, and the disadvantaged.

5. Describe the geographic coverage.

Current residents with severe disabilities already at ORI will immediately benefit from the rehabilitation and upgrade of their residential training facility.

It is anticipated that future utilization of the services will come primarily from residents in the facility's neighboring communities of Central Oahu and the North Shore, and possibly parts of the fastest growing community of Ewa (Campbell area). Nevertheless, the agency will not limit providing services to anyone eligible.

Information obtained from the Center on the Family 2003 Community Profiles show the following statistics with regards to ORI's targeted areas of services.

Target Areas to be Served	Total Population	Population Ages 21-64 with disabilities	% of persons 65 & older living in poverty	% of Persons 65 & older with disability	% of Persons 65 & older living alone
Leilehua (Wahiawa)	41,608	16.6%	9.9%	47.2%	16.9%
Waialua	12,435	17.7%	18.0%	40.2%	14.5%
Kahuku	17,877	16.0%	10.5%	43.8%	15.5%
Waipahu	51,458	22.0%	12.1%	50.7%	8.0%
Mililani	45,123	10.2%	3.2%	41.5%	13.0%
Campbell	43,637	18.7%	8.3%	47.2%	9.2%
Pearl City	48,861	14.7%	4.4%	37.6%	10.9%

A demographic analysis of these areas indicate that the number of adults with disabilities tend to be higher than the state average or within a percent difference from the state average of 17.7%. The Honolulu county percentage of adults with disabilities is a percent less, at 16.8%. In comparison to the above numbers, with

the exception of Mililani and Pearl City, there are a significant number of adults with disabilities in these areas.

In addition, the elderly population is growing at a dramatic rate. Between the years 1990 to 2000, there was an increase of 28.5% in the population, aged 65 years and older, in Hawaii alone. According to the United States Administration on Aging (AOA), the rate of increase is anticipated to be higher between the years 2010 and 2030 when the baby boom generation reaches age 65.

By 2030, the 65 years old + population will be 20% of the population, which is more than double of what it was in 2000. Minorities will make up 25.4% of the elder population by 2030. Of the elderly population, approximately 7.4% will be below the poverty level.

Historically, the communities surrounding ORI has had high percentages of persons falling below the federally defined poverty level. There are also a significant number of adults with disabilities and elderly with disabilities living alone in these targeted service areas.

II. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities;

ORI's residential training facility is an elderly and disabled development consisting of nine, single-story, wood frame, residential duplexes. Each building contains one, five-bedroom unit. There are an additional three respite buildings within the complex, with one larger unit utilized as a Recreation Activity Room.

The property is now 30+ years old and many of the major components had surpassed their expected life. Interior unit upgrades and replacements include plumbing, electrical, lighting fixture and accessibility improvements. Exterior improvements would include exterior doors, site lighting, roofing repairs, gutter replacement, windows and, as funds allow, parking lot renovations.

In order to proceed with the project, funding commitment from a private or public source is needed. In-kind construction labor will be sought, if possible, to offset the costs of the upgrade and rehabilitation of the residential training facility.

In order to accomplish the project's primary goals to renovate ORI's residential training facility, the following is a list of tasks and responsibilities:

- (1) Contingent upon the state's approval, requirements and notice to proceed, owner can advertise and procure a contractor to do the work;
- (2) Prepare a contingency plan when renovation/construction is taking place to ensure that other commitments to serving the disabled continue;
- (3) Mobilize workers for the project and begin renovation/ construction as planned;
- (4) Order/purchase the equipment and supplies, as needed;
- (5) After renovation is completed, obtain the necessary reviews from the city and state inspectors (i.e., building, electrical, plumbing, food sanitation, fire, etc.)

2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;

Month 1	Notice to Proceed Received
Month 2	Planning and coordination to begin work on the project while also ensuring that any commitments to serving the disabled continue. Use contingency plan as needed. Begin ordering/purchasing supplies/ materials needed for the renovation / construction.
Month 3	Mobilize workers for the project and begin rehabilitation and construction.
Month 4 to 24	Completion of rehabilitation work for nine homes and three respite rooms. Obtain any necessary city and state inspection approvals.

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and

Quality assurance on the rehabilitation and upgrade of the residential training facility will be obtained with a satisfactory final inspection by the appropriate state and/or city and county departments.

Professional consultants with experience in construction will be sought as needed. ORI's executive management and maintenance team has the experience necessary to coordinate the project to its satisfactory completion and is able to consult with others who have the professional expertise to guide the project through.

4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

The requested funds include planning, rehabilitation and construction plus some equipment replacement costs for the upgrade of ORI's residential training facility. The measure of the project's achievement would be the completion of the rehabilitation of the facility ameliorating conditions posing as immediate safety concerns and training barriers.

III. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.

Please see attached budget.

2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2016.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$ 125,000	\$ 125,000	\$ 125,000	\$ 125,000	\$ 500,000

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2016.

ORI continues to seek funds through grant applications with the state and private foundations. Agency reserve funds earmarked for the facility's needs are insufficient to cover necessary renovations. Fundraising efforts to support ORI's program needs will continue. In-kind contributions to offset construction costs will also be sought.

4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

Not applicable

5. The applicant shall provide a listing of all government contracts and grants it has been and will be receiving for program funding.

ORI received an operational grant-in-aid for the period of July 2013 to June 2014 from the Hawaii State Department of Transportation, for the specific purpose of assisting ORI in providing transportation services to meet the needs of persons with disabilities seeking to obtain and/or maintain employment.

ORI also received 80% matching funds in 2013 and 2014 from the Federal Transit Administration's Section 5310 Capital Assistance for the Transportation of the Elderly and Disabled Program in order to purchase an ADA wheelchair-accessible mini-van and a 4-wheel Long Bed Crew Cab Truck for ORI's program participants.

We were not approved for any other transportation operational or capital assistance grant funds thereafter.

6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2014.

The balance of ORI's unrestricted current assets as of December 31, 2014, is \$ 472,161.74.

IV. Experience and Capability

A. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

ORI (Opportunities and Resources Inc. – formerly Opportunities for the Retarded, Inc.)'s experience in residential training and supportive services spans to over 30+ years. It has been successfully providing residential services to adults with intellectual / developmental disabilities since then.

There is no other verifiable experience of related projects or contracts for the most recent three years. However, during the last 34 years of its existence, ORI underwent construction, renovation and development within its 10-acre property.

B. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

ORI's residential training facility is 30+ years old. The facility is an elderly and disabled development consisting of nine, single-story, wood frame, residential duplexes. Each building contains one, five-bedroom unit. There are also three common Respite buildings within the complex, with one larger unit utilized as a Recreation Activity Room for the entire residential complex.

A third party inspection and capital needs assessment for the residential project was conducted in September 2014, which evaluated accessibility compliance and overall maintenance and condition of the property.

The assessment concluded the need for interior and exterior improvements to address the physical upgrade needs of the facility since many of its components have surpassed their expected life and to meet accessibility modifications requirements.

V. Personnel: Project Organization and Staffing

A. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

Funds requested will primarily be for construction materials and labor costs. Project management and administration will be in-kind.

ORI's residential training facility is managed by an experienced administration management team. The project will be carried out by a contractor whose work will be overseen by ORI's management and/or their designee.

ORI also has a maintenance crew with many years of experience in carpentry, plumbing, and electrical work. They can provide advice and guidance and assist with completion of the certain aspects of the work.

B. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.

Please see attached organization chart of the agency.

The agency's executive management led by ORI's President and CEO is responsible for obtaining the necessary resources, planning and implementation of major capital needs improvements of the facility. The President and CEO directs the Administrative Team and Maintenance Crew of the agency.

The Program Department of the agency is responsible for overseeing the day-to-day operations and maintenance of the residential and day training facility.

C. Compensation

The applicant shall provide the annual salaries paid by the applicant to the three highest paid officers, directors, or employees of the organization by position..

The three highest paid employees in the company are:

	<u>Employee Name</u>	<u>Annual Salary</u>	<u>Position/Dept.</u>
1.	Yvonne de Luna	\$ 62,452.08	Exec. Asst./PR
2.	Vicente Marcos	\$ 60,875.76	Maintenance
3.	Jack Lappay	\$ 51,504.00	Program

VI. Other

A. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

Not applicable

B. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

ORI's residential facilities must meet federal, state and city and county regulations, in order to maintain ORI's continuing facility and program licensure by the state. The licensure is necessary to provide housing and program services to adults with severe disabilities and/or the elderly.

C. Federal and County Grants

The applicant shall separately specify the amount of federal and county grants awarded since July 1, 2014.

ORI received an operational grant-in-aid for the period of July 2013 to June 2014 from the Hawaii State Department of Transportation, for the specific purpose of assisting ORI in providing transportation services to meet the needs of persons with disabilities seeking to obtain and/or maintain employment. The amount of this grant is **\$ 37,800.**

ORI also received 80% capital funds in 2014 from the Federal Transit Administration's Section 5310 Capital Assistance for the Transportation of the Elderly and Disabled Program in order to purchase an ADA wheelchair-accessible

mini-van and a Long Bed Crew Cab Truck for ORI's program participants. The federal grant share for the Mini-Van and Crew Cab Truck combined was \$ 79,123.00.

We were not approved for any other transportation operational or capital assistance grant funds thereafter.

D. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see Article X, Section 1, of the State Constitution for the relevance of this question.

Not applicable.

E. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2015-16 the activity funded by the grant if the grant of this application is:

- (1) Received by the applicant for fiscal year 2015-16, but
- (2) Not received by the applicant thereafter.

ORI (Opportunities and Resources Inc.) will comply with this requirement, as needed.

The agency will also continue to seek other sources of funding to address its ongoing need for facility improvements / maintenance. There will be prioritization of need, which will be impacted by availability of funds.

F. Certificate of Good Standing (If the Applicant is an Organization)

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2014.

Please see attached Certificate of Good Standing for ORI (Opportunities and Resources Inc.), dated January 18, 2015.

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2015 to June 30, 2016

Applicant: ORI (Opportunities and Resources I

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2013-2014	FY: 2014-2015	FY:2015-2016	FY:2015-2016	FY:2016-2017	FY:2017-2018
PLANS						
LAND ACQUISITION						
DESIGN						
CONSTRUCTION	0	0	\$ 500,000.00	\$ 10,000.00		
EQUIPMENT						
TOTAL:	0	0	\$ 500,000.00	\$ 10,000.00		
JUSTIFICATION/COMMENT Additional funds are needed to cover the gap between projected facility rehab costs and available reserve funds.						

GOVERNMENT CONTRACTS AND/OR GRANTS

Applicant: ORI (Opportunities and Resources Inc.)

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1.	Transportation grant to support access to jobs for disabled (FTA)	7/01/13 – 6/30/14	Hawaii Department of Transportation	State	\$ 37,800.00
2.	Capital assistance grant for purchase of ADA vehicles (FTA)	7/01/13 – 6/30/14	Hawaii Department of Transportation	State	\$ 79,123.00
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10.					
				TOTAL	\$ 116,923.00

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAII REVISIED STATUTES**

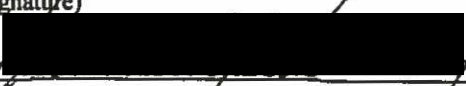
The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

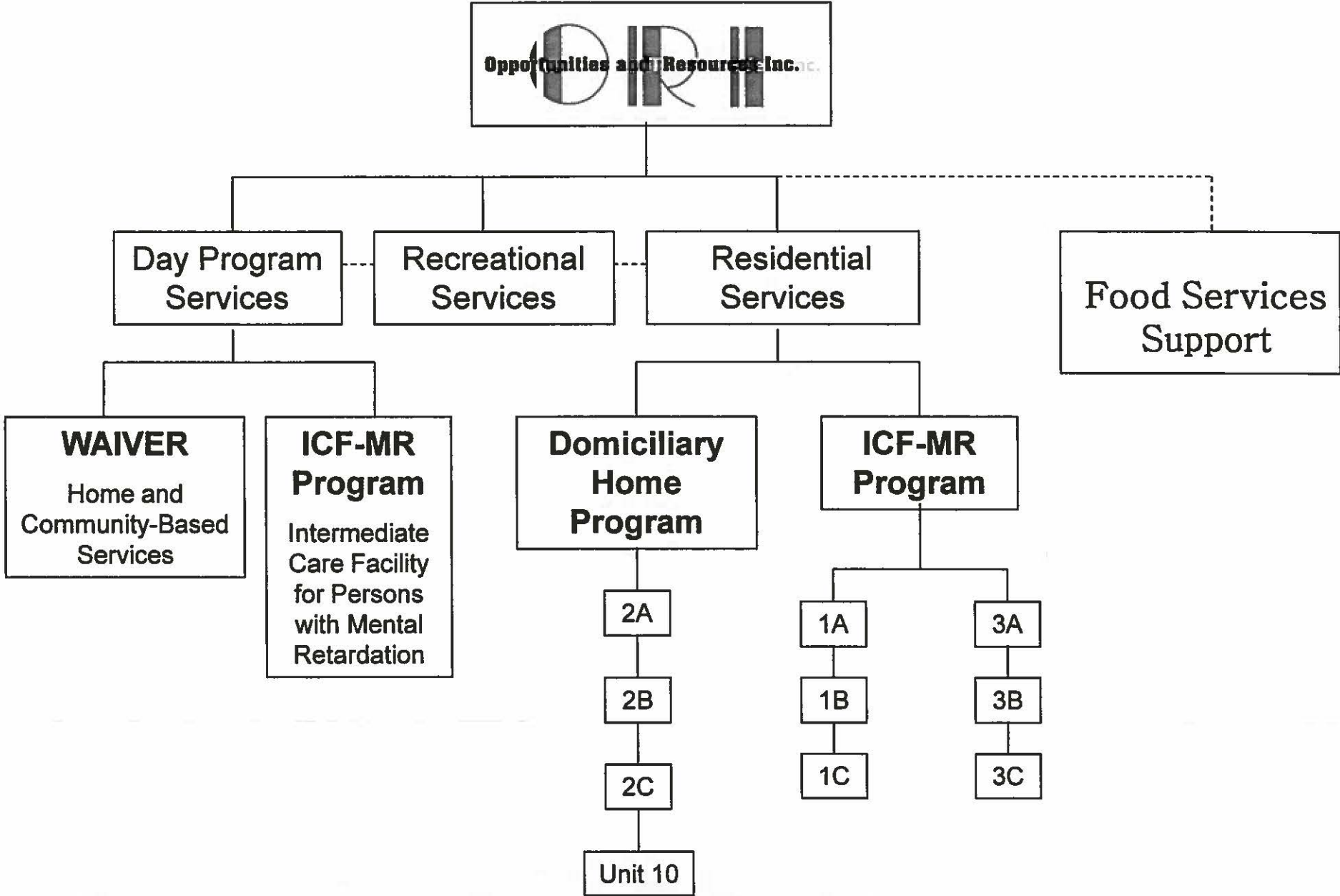
Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

ORI (Opportunities and Resources Inc.)
(Typed Name of Individual or Organization)


(Signature)
January 29, 2015
(Date)
President and CEO
(Typed Name)
(Title)

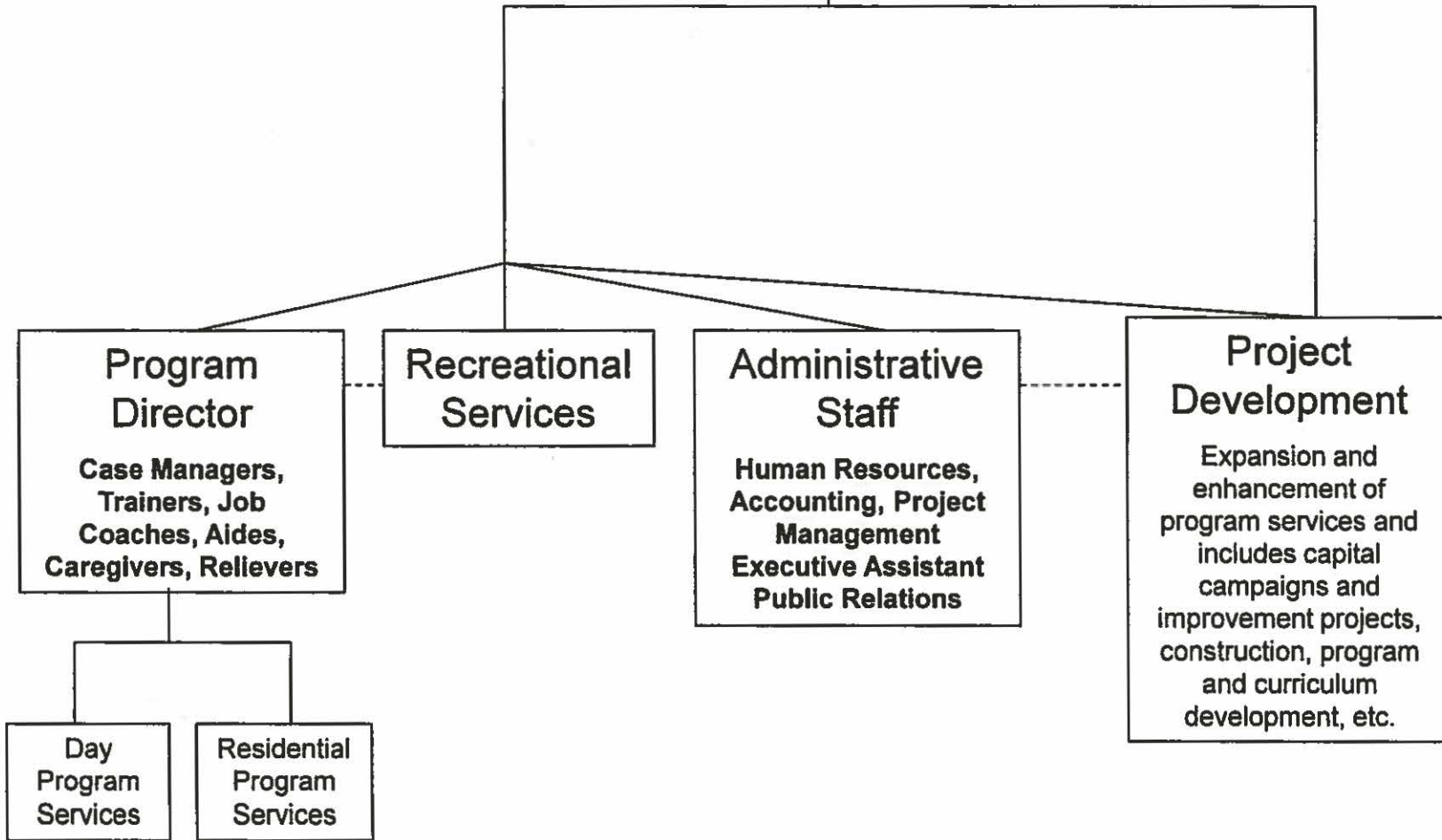
ORGANIZATIONAL CHART

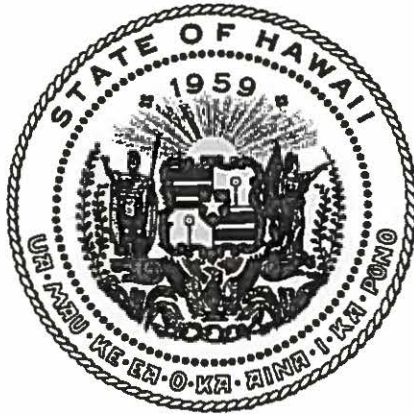




Board of Directors

President & CEO





Department of Commerce and Consumer Affairs

CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

OPPORTUNITIES AND RESOURCES, INC.

was incorporated under the laws of Hawaii on 04/08/1980 ; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: January 18, 2015

Interim Director of Commerce and Consumer Affairs

