

House District 49

Senate District 24

THE TWENTY-EIGHTH LEGISLATURE  
APPLICATION FOR GRANTS  
CHAPTER 42F, HAWAII REVISED STATUTES

Log No:

For Legislature's Use Only

Type of Grant Request:

GRANT REQUEST – OPERATING

GRANT REQUEST – CAPITAL

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Recipient" means any organization or person receiving a grant.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN):

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN):

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:

Dba: Hawaii Maoli

Street Address: 91-1270 Kinoiki St.

Mailing Address:  
91-1270 Kinoiki St.  
Kapolei, HI 96707

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name MAILE ALAU

Title Executive Director

Phone # (808) 394-0050

Fax # (808) 394-0057

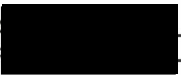
E-mail maile@hawaii.maoli.org

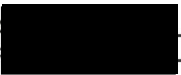
3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION INCORPORATED IN HAWAII
- FOR PROFIT CORPORATION INCORPORATED IN HAWAII
- LIMITED LIABILITY COMPANY
- OTHER
- SOLE PROPRIETORSHIP/INDIVIDUAL

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

SUPPORT ESTABLISHMENT OF HA'IKU VALLEY  
CULTURAL PRESERVE

4. FEDERAL TAX ID # 

5. STATE TAX ID # 

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2016: \$ 100,000

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
- EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE  
AT THE TIME OF THIS REQUEST:

STATE \$ 100,000

FEDERAL \$ \_\_\_\_\_

COUNTY \$ \_\_\_\_\_

PRIVATE/OTHER \$ 57,200

TYPE NAME & TITLE OF AUTHORIZED REPRESENTATIVE



AUTHORIZED SIGNATURE

MAILE ALAU, EXECUTIVE DIRECTOR  
NAME & TITLE

1/30/15  
DATE SIGNED



RECEIVED  
1-30-15 ✓

Applicant: Hawaii Maoli for Ko'olaupoko Hawaiian Civic Club

## **I. Background and Summary**

1. Hawaii Maoli is a 501(c)(3) non-profit that primarily provides support and assistance to Native Hawaiian community organizations, and is submitting this application as a fiscal sponsor on behalf of the Ko'olaupoko Hawaiian Civic Club. The Ko'olaupoko Hawaiian Civic Club was established in 1937 and is one of the largest of the clubs in the Association of Hawaiian Civic Clubs. Its members come primarily from the Ko'olaupoko district of O'ahu.
2. The goals and objectives for this request is to support the establishment of the Ha'iku Valley Cultural Preserve, an initiative that has been vetted by the state legislature several times in the past, including the 2008 passage of a bill to establish the preserve (vetoed by then Gov. Lingle) and the recent legislative review of a similar bill in 2012. The Office of Hawaiian Affairs is currently working to acquire a longterm license from Dept. of Hawaiian Home Lands to manage Ha'iku Valley and will work to support establishment of this preserve. The purpose of this request is to facilitate some of the initial work that will be necessary.
3. The public purpose and need to be served for this project is to restore the valley to community access for preservation of historic sites and recreational areas, and to recognize and raise awareness of the Hawaiian cultural significance of the area.
4. The target population to be served includes the entire population of the State of Hawai'i.
5. Ha'iku Valley is an upland `ili of the ahupua`a of He'eia in Ko'olaupoko, and is accessible from major thoroughfares on O'ahu. It is approximately 15-20 minutes from downtown Honolulu. Its previous use in post-contact times has been to serve as a military communications station (OMEGA Station); prior to that, kupa'aina families from He'eia and kahuna la'au lapa'au lived in the valley and raised medicinal plants.

## **II. Services Summary and Outcomes**

1. The scope of work for this project is to serve as phase 1 of development of the Ha'iku Valley Cultural Preserve. Among the primary tasks will be to hire a security firm to ensure adequate security to protect the property and safeguard the neighborhood from trespassers and other nuisance behaviors. Other tasks would include: contracting with a planning firm to identify studies or permits that may be required for establishment of the preserve; setting up an operations center from which to conduct planning and other activities; acquiring additional liability insurance and D/O insurance to protect the organizations involved in working on the preserve.

2. Projected annual timeline:

Months 1 - 3 – Solicit bids for security and planning services; award contracts; secure operations center; acquire insurance

Months 4-6 – Work with planning consultant, stakeholders and community representatives to update conceptual plan

Months 7-9 – Continuing planning and community outreach efforts; prepare scope of work for phase 2

Months 10-12 – Solicit additional funding for phase 2

3. The methods to be used to monitor this project will include the following activities:

- Project log of planned activities, including work periods assigned, duration, confirmation that each activity was completed
- Project budget report to be updated (internally) quarterly; final project budget report to be completed and submitted to the State of Hawai'i
- Project coordinators will maintain a log of communications with stakeholders and funders and provide updates to key stakeholders on a bi-monthly basis.

4. The measures of effectiveness with which this project will be reported to the State of Hawai'i will include the following:

- Is project on schedule with regarding to RFP for planning and security contracts?
- Is project team working with the stakeholders and community effectively? If so, what community supports have been forthcoming for this project?
- How many stakeholders have been consulted regarding the updated conceptual plan for the cultural preserve? Has their input been incorporated into the plan?
- Is the project staying within its budget for each activity funded by the State of Hawai'i?

### III. Financial

#### Budget

1. (See attached itemized budget)

2. Anticipated quarterly funding request for FY 2016:

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
50,000	25,000	15,000	10,000	100,000

3. Listing of other sources of funding that will be sought for this project:

- a) Hawai'i Tourism Authority
- b) Harold Castle Foundation

- c) Hawai'i Community Foundation
  - d) Alexander & Baldwin Foundation
  - e) Other
4. State/federal tax credits have not been sought and are not now being solicited for this project.
  5. Applicant does not currently have any government contracts or grants for this project.
  6. Balance of unrestricted current assets as of December 31, 2014, is attached (Hawaii Maoli).

#### **IV. Experience and Capability**

##### **A. Necessary Skills and Experience**

Both Hawaii Maoli and the Ko'olaupoko Hawaiian Civic Club have extensive experience managing large projects and programs that involve working with the community and government agencies. Hawaii Maoli has managed numerous grants over the past 10 years for the Association of Hawaiian Civic Clubs and others, including the building of phase 1 of the Prince Kuhio Community Center in Kapolei (funded in part by the State of Hawai'i), the annual Prince Kuhio festivals, and many others. Hawaii Maoli has also managed the Prince Kuhio Community Center since its establishment over 10 years ago.

The Ko'olaupoko Hawaiian Civic Club has a membership with a diverse range of skills, including land and resource management and educational programs. One of the club's major initiatives has been to initiate the Ko'olaupoko Ahupua'a Boundary Marker Project, with the placement of signage to identify traditional boundaries of the ahupua'a in this Windward O'ahu district. Subsequently, additional projects were started on the Wai'anae coast and by the O'ahu Council of the Association of Hawaiian Civic Clubs, to ensure the marking of ahupua'a boundaries around the entire island of O'ahu. This project involved collaborating with Neighborhood boards and other community organizations, state and county transportation departments, and cultural practitioners islandwide. This project team has expertise in managing lands and staffing, and is fully prepared to oversee the project in collaboration with Hawaii Maoli, the Ko'olau Foundation, and the Office of Hawaiian Affairs.

**B. Facilities**

Hawaii Maoli operates out of its offices in the Prince Kuhio Community Center at 91-1270 Kinoiki Street in Kapolei.

The Ko'olaupoko Hawaiian Civic Club operates out of its offices in the Kane'ohē Atrium Building, 46-005 Kawa Street, Suite 104, Kane'ohē, HI 96744. The grant allows for a cost-sharing process to enable planning and community meetings at these offices for the duration of the grant.

**V. Personnel: Project Organization and Staffing**

**A. Proposed Staffing, Staff Qualifications, Supervision and Training**

The organization is fully-equipped to provide grant oversight and management, as needed, to ensure that any funding provided by the State of Hawai'i will be used appropriately, and provides all necessary project reports to funders periodically during and after the duration of the grant.

The organization's project team has the experience and expertise to manage the activities of this grant-in-aid and ensure full adherence to any and all funding requirements. The civic club shares space with the Ko'olau Foundation, which has taken the lead in working on the cultural preserve, and is a full partner with the foundation to pursue the establishment of the preserve. Members of the club managed the O'ahu Ahupua'a Boundary Marker project, initiated the year-round cultural awareness program for Ko'olaupoko, and have years of experience in government managerial and supervisory capacities.

**B. Organization Chart**

See attached Organizational Chart

**C. Compensation**

Hawai'i Maoli is compensated with a 10% fiscal sponsorship fee for managing the grant funds and ensuring that all reports are completed and submitted.

Members of the Ko'olaupoko Hawaiian Civic Club will provide primarily in-kind volunteer service from funding for this grant; however, project management

funding may become available for them from other grants being pursued. The civic club has no paid employees.

**VI. Other**

**A. Litigation**

Neither organization is engaged in any litigation.

**B. Licensure or Accreditation**

N/A

**C. Federal and County Grants**

Hawaii Maoli has not received any federal or county grants since July 1, 2014.

The Ko`olaupoko Hawaiian Civic Club has not received any federal or county grants since July 1, 2014.

**D. Private Educational Institution**

The grant will not be used to support or benefit a sectarian or non-sectarian private educational institution.

**E. Future Sustainability Plan**

Hawai'i Maoli intends to support the Ko`olaupoko Hawaiian Civic Club and its partner organization, the Ko`olau Foundation, in securing additional funding for Phases II – V to establish the Ha`iku Valley Cultural Preserve. Hawaii Maoli is a subscribing member to the Foundation Center of New York, which provides a global search engine for funding resources to non-profits and community organizations.

The Ko`olaupoko Hawaiian Civic Club and the Ko`olau Foundation also have been working closely with two potential local funding resources, the Harold Castle Foundation and the Office of Hawaiian Affairs, who may be able to assist with current and future phases of the project.

In addition, there may be other funding opportunities derived from the acquisition of the valley by the Office of Hawaiian Affairs.

**F. Certificate of Good Standing (If the Applicant is an Organization)**

Please see attached.

**DECLARATION STATEMENT OF  
APPLICANTS FOR GRANTS AND SUBSIDIES PURSUANT TO  
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants and subsidies pursuant to Section 42F-103, Hawaii Revised Statutes:
  - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant or subsidy is awarded;
  - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
  - c) Agrees not to use state funds for entertainment or lobbying activities; and
  - d) Allows the state agency to which funds for the grant or subsidy were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant or subsidy.
- 2) The applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
  - a) Is incorporated under the laws of the State; and
  - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant or subsidy is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
  - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
  - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants or subsidies used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant or subsidy was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant or subsidy used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Hawaii Maoli for Ko'olaupoko Hawaiian Civic Club  
(Typed Name of Individual or Organization)



(Signature)

1/30/15  
(Date)

Maile Alau  
(Typed Name)

Executive Director  
(Title)

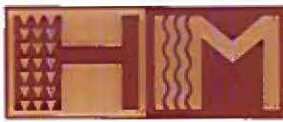


## BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2015 to June 30, 2016

Applicant: Hawaii Maoli for Ko'olaupoko HCC

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
<b>A. PERSONNEL COST</b>				
1. Salaries	0			
2. Payroll Taxes & Assessments	0			
3. Fringe Benefits	0			
<b>TOTAL PERSONNEL COST</b>	<b>0</b>			
<b>B. OTHER CURRENT EXPENSES</b>				
1. Airfare, Inter-Island	0			
2. Insurance	3,000			
3. Lease/Rental of Equipment	0			6,000
4. Lease/Rental of Space	12,000			1,200
5. Staff Training	0			10,000
6. Supplies	0			6,000
7. Telecommunication	0			12,000
8. Utilities	0			
9. Consultant - Planning	20,000			
10. Contract services - Security	55,000			
11. Contract services - sanitation/trash	0			4,800
12. Contract services - property mgmt	0			12,000
13. Fiscal sponsorship fee	10,000			5,200
14				
15				
16				
17				
18				
19				
20				
<b>TOTAL OTHER CURRENT EXPENSES</b>	<b>100,000</b>			<b>57,200</b>
<b>C. EQUIPMENT PURCHASES</b>				
<b>D. MOTOR VEHICLE PURCHASES</b>				
<b>E. CAPITAL</b>				
<b>TOTAL (A+B+C+D+E)</b>	<b>157,200</b>			
<b>SOURCES OF FUNDING</b>		Budget Prepared By:		
(a) Total State Funds Requested	100,000	Maile Alau (808) 394-0050		
(b) Total Federal Funds Requested		Name (Please type or print) Phone		
(c) Total County Funds Requested		[Redacted Signature]		
(d) Total Private/Other Funds Requested	57,200	Signature of Authorized Official Date		
<b>TOTAL BUDGET</b>	<b>157,200</b>	Maile Alau, Executive Director		
		Name and Title (Please type or print)		

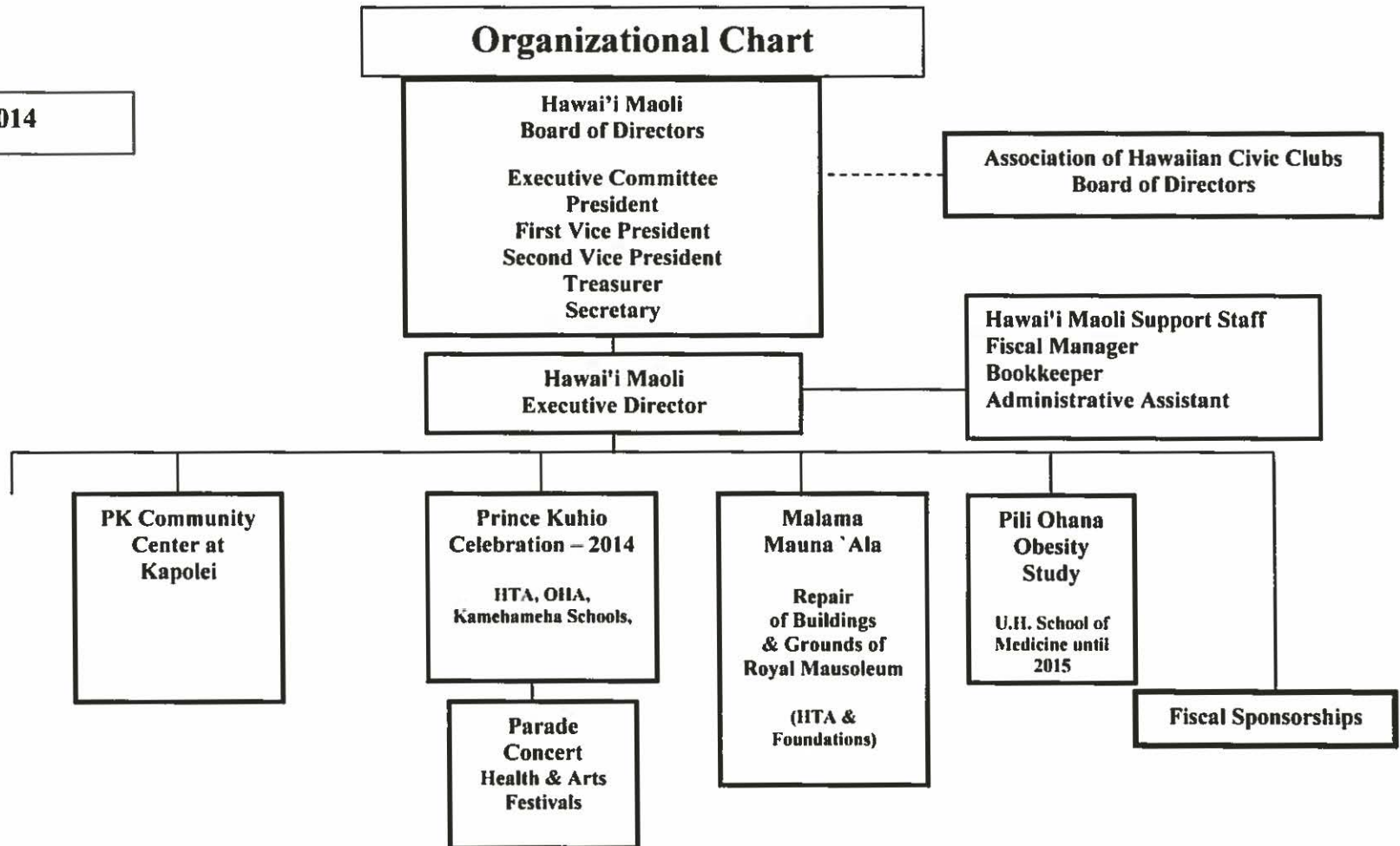


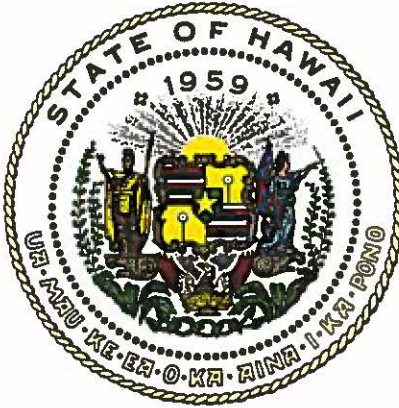
HAWAI'IMAOLI

91-1270 Kinoiki Street, Kapolei, HI 96707

### Organizational Chart

2014





## Department of Commerce and Consumer Affairs

### CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

**HAWAI'I MAOLI**

was incorporated under the laws of Hawaii on 06/17/1997 ; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.



IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: December 12, 2014

Director of Commerce and Consumer Affairs

**HAWAI'I MAOLI**  
**Balance Sheet**  
 As of December 31, 2014

	<u>Dec 31, 14</u>
<b>ASSETS</b>	
<b>Current Assets</b>	
<b>Checking/Savings</b>	
111 · AMERICAN SAVINGS BANK	7,975.10
112 · FIRST HAWAIIAN BANK	515.62
113 · BANK OF HAWAII	12,047.52
114 · PRINCE KUHIO FEDERAL CU	33,508.33
115 · Petty Cash	600.50
<b>Total Checking/Savings</b>	<u>54,647.07</u>
<b>Accounts Receivable</b>	
121 · Accounts Receivables.	62.68
<b>Total Accounts Receivable</b>	<u>62.68</u>
<b>Other Current Assets</b>	
141 · Insurance Premium Recvble - Emp	257.49
142 · Undeposited Funds	300.00
143 · Prepaid Insurance	
143.4 · Workers Comp	474.00
<b>Total 143 · Prepaid Insurance</b>	<u>474.00</u>
144 · Advancement/Deposits	260.98
<b>Total Other Current Assets</b>	<u>1,292.47</u>
<b>Total Current Assets</b>	<u>56,002.22</u>
<b>Fixed Assets</b>	
<b>150 · Equipment &amp; Furniture</b>	
<b>151 · Project Assets</b>	
151.1 · He Ola Malaila Computers	9,176.18
<b>Total 151 · Project Assets</b>	<u>9,176.18</u>
<b>152 · Computers</b>	
152.5 · Computer Resource Center	1,727.59
152.1 · Prec Workstation-Henry Gomes	3,335.19
152.2 · Deli Pentium Computer - Henry G	2,169.14
152.3 · Slimline Desktop	645.78
152.4 · MicroSoft Pro Installation	330.00
<b>Total 152 · Computers</b>	<u>8,207.70</u>
<b>153 · Other Fixed Assets</b>	
153.1 · Dell Server	2,132.99
153.2 · Teleconference Equip	15,128.39
153.3 · Warranty	155.18
153.5 · 2008 Adjustment	2,317.17
<b>Total 153 · Other Fixed Assets</b>	<u>19,733.73</u>
<b>Total 150 · Equipment &amp; Furniture</b>	<u>37,117.61</u>
<b>160 · Accumulated Depreciation</b>	
<b>161 · AD - Project Assets</b>	
161.1 · He Ola Malaila Computers	-7,123.81
<b>Total 161 · AD - Project Assets</b>	<u>-7,123.81</u>
<b>162 · AD - Computers</b>	
162.5 · Computer Resource Center	-1,727.59
162.1 · Prec Workstation-Henry Gomes	-3,335.19
162.2 · Dell Pentium Computer - Henry G	-2,169.14
162.3 · Slimline Desktop	-645.78
162.4 · MicroSoft Pro Installation	-330.00
<b>Total 162 · AD - Computers</b>	<u>-8,207.70</u>

**HAWAI'I MAOLI**  
**Balance Sheet**  
As of December 31, 2014

	<u>Dec 31, 14</u>
163 · AD - Other Fixed Assets	
163.1 · Dell Server	-2,132.99
163.2 · Teleconference Equip	-15,128.39
163.3 · Warranty-Accum Depreciation	-155.18
163.5 · 2008 Adjustment	-2,317.17
<b>Total 163 · AD - Other Fixed Assets</b>	<u>-19,733.73</u>
<b>Total 160 · Accumulated Depreciation</b>	<u>-35,065.24</u>
<b>Total Fixed Assets</b>	<u>2,052.37</u>
<b>TOTAL ASSETS</b>	<u><u>58,054.59</u></u>
<b>LIABILITIES &amp; EQUITY</b>	
<b>Liabilities</b>	
<b>Current Liabilities</b>	
<b>Accounts Payable</b>	
210 · Accounts Payable	-150.00
<b>Total Accounts Payable</b>	-150.00
<b>Other Current Liabilities</b>	
215.2 · GET Payable	345.55
215.3 · Prepaid Rent	600.00
215.8 · Due to others	240.50
<b>Total Other Current Liabilities</b>	<u>1,186.05</u>
<b>Total Current Liabilities</b>	1,036.05
<b>Long Term Liabilities</b>	
222 · Security Deposit Rental	1,150.00
<b>Total Long Term Liabilities</b>	<u>1,150.00</u>
<b>Total Liabilities</b>	2,186.05
<b>Equity</b>	
308 · Net Assets	121,911.26
Net Income	-66,042.72
<b>Total Equity</b>	<u>55,868.54</u>
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<u><u>58,054.59</u></u>

## BUDGET JUSTIFICATION PERSONNEL - SALARIES AND WAGES

Hawaii Maoli for Ko'olaupoko Hawaiian Civic Club

Period: July 1, 2015 to June 30, 2016

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
N/A				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
<b>TOTAL:</b>				
<b>JUSTIFICATION/COMMENTS:</b>				

## BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Applicant: Hawaii Maoli for Ko'olaupoko Hawaii      Period: July 1, 2015 to June 30, 2016

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
N/A			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
<b>TOTAL:</b>				
<b>JUSTIFICATION/COMMENTS:</b>				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
N/A			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
<b>TOTAL:</b>				
<b>JUSTIFICATION/COMMENTS:</b>				

# GOVERNMENT CONTRACTS AND/OR GRANTS

Applicant: Hawai'i Maoli for Ko'olaupoko Hawaiian Civic Club

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau )	CONTRACT VALUE
1.	Makaha Watershed Restoration	10/1/15-9/30/2016	DLNR	State	\$500,000
2.	Prince Kuhio Festival	1/29/2015 – 11/30/2016	HTA	State	\$200,000
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10.					
				TOTAL	<b>\$700,000</b>