

House District 20
Senate District 10

THE TWENTY-EIGHTH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES

Log No:

For Legislature's Use Only

Type of Grant Request:

GRANT REQUEST - OPERATING

GRANT REQUEST - CAPITAL

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Recipient" means any organization or person receiving a grant.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN):

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN):

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual: Hawai'i Symphony Orchestra

Dbas:

Street Address: 3610 Waialae Avenue, Honolulu, HI 96816

Mailing Address: 3610 Waialae Avenue, Honolulu, HI 96816

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name JONATHAN PARRISH

Title Executive Director

Phone # 808-380-7722

Fax # none

E-mail jparrish@hawaiisymphonyorchestra.org

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION INCORPORATED IN HAWAII
- FOR PROFIT CORPORATION INCORPORATED IN HAWAII
- LIMITED LIABILITY COMPANY
- OTHER
- SOLE PROPRIETORSHIP/INDIVIDUAL

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

Hawai'i Symphony Orchestra 2015-16 Season Operating Grant.

This grant will enable the Hawai'i Symphony Orchestra to continue to present classical and popular symphonic music concerts and expand its community programming and geographic presence to reach a broader, more diverse audience.

4. FEDERAL TAX ID # [REDACTED]

5. STATE TAX ID # [REDACTED]

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2016: \$ 500,000

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
- EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ 0
FEDERAL \$ 0
COUNTY \$ 0
PRIVATE/OTHER \$ 0

TYPE NAME & TITLE OF AUTHORIZED REPRESENTATIVE

[REDACTED]

PAUL J. KOSASA, CHAIR, BOARD OF DIRECTORS
NAME & TITLE

1/29/15
DATE SIGNED



RECEIVED
1-30-15

Application for Grants

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background;

The Hawai'i Symphony Orchestra (HSO) was established in 2011 by a group of visionary community leaders to re-launch a professional symphony orchestra for our state. The HSO is the successor organization to the Honolulu Symphony, which was founded in 1902 and performed for more than a century before ceasing operations and filing for bankruptcy in 2009. The HSO has presented 43 classical Masterworks, 9 pops concerts and two community concerts attended by more than 60,000 people on O'ahu since its debut in 2012.

The mission of the Hawai'i Symphony Orchestra is to present the highest-quality performances of great music, bringing national and international distinction to the orchestra and its community; to delight and educate audiences of all ages and backgrounds, and enhance the cultural vitality and quality of life in these Islands; and to operate in a financially sound manner.

The Board of Directors is composed of volunteer civic and business professionals who dedicate considerable time, expertise and resources to oversee the organization's financial stability and effectiveness. The Board and the professional staff are committed to careful growth and to presenting musical offerings that reflect our community's cultural diversity.

The support of 1,200-1,500 subscribers and individual ticket buyers per concert indicate a growing audience for all of the HSO offerings, including the classical Masterworks, pops, holiday special concerts, free community concerts and the education outreach sessions.

The orchestra employs 64 professional musicians who are contracted for 16 weeks. This is the minimum core personnel used for pops, community, and educational programs. For our Masterworks series, an additional 20 musicians are contracted. Without these musicians, the HSO would not be able to perform most of the standard classical symphonic repertoire. Our core of 64 is advantageous in light of Hawai'i's geographic isolation. It greatly lessens our need for and the expense of importing qualified professional musicians from 3,000+ miles away for every concert.

The musicians' professionalism, training, dedication and willingness to share their expertise with the community helps define the unique value of the new HSO. Artistic Advisor JoAnn Falletta, a renowned American conductor who has conducted orchestras around the world, leads the HSO creative team. Acclaimed by *The New York Times* as "one of the finest conductors of her generation," she also serves as Music Director of the Buffalo Philharmonic Orchestra and the Virginia Symphony Orchestra and as Principal Guest Conductor of the Brevard Music Center.

The HSO performed its first concert on March 4, 2012 and presented eight pairs of classical and popular music concerts during the first year of operations. The premiere season was an artistic success that met with standing ovations and strong community enthusiasm.

The 2013-2014 HSO season of 12 concerts included conductors Jahja Ling and Andrew Litton, violinist Sarah Chang, pianists Cecile Licad and Joyce Yang, as well as flutist Sir James Galway and Bela Fleck, one of the world's most accomplished banjo players. The spring concerts featured music by Beethoven, Gershwin, and Paganini and conductors Junichi Hirokami, Maximiano Valdes, and Gerard Schwarz. In March the orchestra presented the very first concert of the pops series, "Star Wars and More: The Best of John Williams," conducted by Stuart Chafetz. HSO fused Eastern and Western music through "Concerto for Koto," featuring artist Yumi Kurosawa, who played a traditional Japanese stringed koto with the orchestra. The season highlight was a magnificent sold-out December performance of Beethoven's 9th Symphony.

The current 2014-2015 HSO season opened on September 13 to coincide with the 50th anniversary of the Blaisdell Concert Hall (NBC). The HSO was proud to offer a free community concert and two Masterworks performances to celebrate 50 years of concerts, ballets, operas and educational services in this municipal venue, which has entertained millions of Hawai'i residents and visitors.

This season's concerts bring national and international artists to Hawai'i with a total of 12 Masterworks and three **musi**that**POPS** family-friendly concerts. Our "Hooray for Hollywood," featuring scores from popular movies, the sold-out "Disney in Concert" with live singers and film clips, and "*Cirque de la Symphonie*," featuring aerialists, acrobats and strongmen with the symphony musicians have already begun to attract new audiences to the orchestra. Plans for a second free community concert are underway for Windward or Central O'ahu.

Our new Community Outreach and Education program has begun to expose young people to the orchestra through small ensemble performances and to engage senior citizens with live music in their own retirement communities. Each one-hour presentation includes performance, instrument demonstration and discussion by quartets or quintets. This pilot program is funded by partial grant support from the Cooke Foundation and the Atherton Family Foundation.

2. The goals and objectives related to the request;

The HSO is seeking Grant-in-Aid funds to broaden community access to world-class symphonic performances for general audiences, families, youth and senior citizens with its fourth season of concerts and Community Outreach and Education sessions. As a new organization, we are grateful for the Legislature's consideration of this grant for critical operational support to insure that the HSO can achieve its mission, build its resources and move toward greater self-sufficiency.

1) The HSO's primary objective is to present its professional symphony to local audiences in 12 Masterworks classical concerts with national and international guest conductors and soloists, four **musicthatPOPS** concerts, three free family-friendly community concerts, two holiday special concerts and 20 music education sessions featuring small ensembles at schools, youth centers and senior residences.

The HSO's live concert performances and education sessions expect to reach 18,000 community audience members with 16 performances at Blaisdell Concert Hall (NBC), Hawai'i Theatre and/or Pearl City Cultural Center or other venues; three community concerts in Windward, Central and/or Leeward neighborhoods serving another 4,000 attendees, and 1,000 youth and senior citizens during 20 music education sessions in venues around O'ahu. Neighbor Island performances are anticipated, depending on availability of funds for inter-island travel and concert venues.

3. The public purpose and need to be served;

Music is human expression at the highest level that communicates across the generations, cultures and geographic locations. Music adds a dimension to the quality of life that enables people of diverse backgrounds to come together and relate to one another through a shared non-verbal language. For most people, music is a soundtrack to our daily lives. It's on the radio, TV, in retail stores, and in your headphones with a personal iTunes playlist. But it is more than entertainment. When you listen to music, multiple areas of your brain become engaged and active and *playing* music is "the brain's equivalent of a full-body workout." (Source: Educator Anita Collins and animator Sharon Colman Graham on [TED-Ed's](#) animated video, "How playing an instrument benefits your brain.")

Imagine watching a movie like "Star Wars" with the dialogue but no music. What is it about the music swelling up at just the right moment that captures our emotions? The "Star Wars" film score was composed for a full symphony orchestra and, thanks to the presence of the HSO, O'ahu audiences were able to hear live excerpts in concert on November 21, 2014.

The presence of a world-class symphony orchestra is a hallmark of the cultural vitality of a great city. Honolulu was a cultural leader when it established its first symphony more than a century ago. For 100+ years, symphonic music was one of Hawai'i's most treasured resources. When the Honolulu Symphony Orchestra closed in 2009, it left a

marked gap in the musical and cultural quality of life and music education options for the community.

Our community's remote location limits the variety of live touring performances and workshops by trained, professional musicians. The HSO's presence strives to provide local audiences of all ages with ample opportunities to hear the excitement and magic of professional live musical performances by nationally and internationally known artists. How else will young people experience the engagement between performer and audience, see and hear the instruments that comprise a symphony orchestra, and identify them by name and sound? The virtual or on-line musical experience can simply not compare.

The new HSO is re-establishing its presence as a vital resource for musical and educational experiences throughout our community. Our symphony musicians provide the essential live professional orchestral component for Hawaii Opera Theatre, Ballet Hawaii, and the O'ahu Choral Society performances, enhancing the scope of cultural activities in Honolulu. The musicians also provide private instruction to hundreds of students of all ages, including those in the Hawai'i Youth Symphony, which requires its top orchestra members to study weekly with a private teacher.

The public benefits of the HSO as a resident professional orchestra impact the community in the following positive ways:

- The arts and culture sector drives the local economy through job creation and by stimulating the growth of goods and services.
- According to the Arts & Economic Prosperity Calculator 2010 produced by Americans for the Arts (www.AmericansForTheArts.org), direct economic activity in the Hawai'i arts sector totaled \$159,605,567; event-related spending by arts and culture audiences totaled \$70.320 million excluding the cost of admission, revenue generated to the local government was \$3.188 million and revenue generated to the state government was \$14.306 million.
- It is the state's largest single employer of professional performing musicians, many who otherwise would not be in residence in Hawai'i.
- It employs a professional staff, stagehands, and security personnel.
- It brings to Hawai'i internationally-known guest artists whom most residents would not otherwise have the opportunity to experience.
- Its musicians are essential to the productions of ballet, opera, and chamber music companies in Hawai'i.
- Its musicians, as employees of the orchestra, visit schools and senior residences to perform for and educate students and senior citizens about music and music instruments.
- Its musicians, outside of their employment in the orchestra, teach students in grades K-12 and in colleges and universities, and individual adults pursuing music studies.

- Its presence has a positive impact on the professional quality of other leading arts organizations and possibilities for collaboration.
 - Free community concerts foster an atmosphere of joyful interaction, strengthen social networks and reduce the isolation of individuals and families.
 - Organized civic and cultural activities are associated with positive outcomes for families.
 - Discounted tickets are extended to students and members of the military, who would otherwise not be able to afford to attend concerts.
 - The visitor industry, as an economic driver of our state, demands that our destination continue to be recognized for its cultural offerings as well as for its natural beauty and climate.
 - It is a cultural attraction and entertainment option for visitors.
 - As an integral part of Hawai'i's cultural landscape that enhances the quality of life, it attracts business executives, university personnel, and others to live and work in Hawai'i.
4. Describe the target population to be served; and
- Adult, adolescent and child resident audiences, business travelers and tourists who attend HSO performances;
 - Senior citizens who are visited and educated by HSO musicians (under their employment agreement with the orchestra);
 - Students in schools and at youth centers who are visited and educated by HSO musicians (under their employment agreement with the orchestra);
5. Describe the geographic coverage.

The HSO serves residents of Hawai'i, primarily on the island of O'ahu. It also serves the Neighbor Islands through radio broadcasts throughout the islands in collaboration with Hawai'i Public Radio. Anticipated live performances will also serve the Neighbor Islands, pending secured funding and scheduling.

II. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities;

HSO's fourth season (2015-2016) will expand to 16 weeks from 14 weeks in previous seasons. It will include 12 Masterworks classical concerts with national and international guest conductors and soloists, four **musiethatPOPS** concerts, three free community

concerts, two special holiday concerts and 20 education sessions featuring small groups of musicians at schools, youth centers and senior citizen residences.

The grant will fund 30% of the musicians' salaries and payroll taxes, 30% of the concert hall rental expenses and 30% of costs for collateral materials and concert programs during the fall 2015/spring 2016 segments of the season, depending on the timing of the successful GIA contract.

Our approach is to present diverse programming that will appeal to a range of audiences from first-time family audiences who will attend our informal community concerts to sophisticated listeners at the concert hall. Concert conversations will take place on stage prior to the Masterworks concerts with a host and the guest performers to provide educational background to audience members about the musical selections and the artists.

The 2015-2016 schedule will build on the programming mix refined over the past three seasons. While the programs, dates and venues are not confirmed at the time of this grant submission, artistic personnel and repertoire will match or exceed the quality of previous seasons.

2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;

January 2015 – October 2015

Planning, contracting, marketing and designing promotional materials

October 2015 – June 2016

- Produce the 16-week Masterworks and **musicthatPOPs** concerts at the Blaisdell Concert Hall and other venues on O'ahu and the Neighbor Islands.
 - Produce three free community concerts in Honolulu, Windward, Central and/or West O'ahu locations.
 - Conduct the 20 Community Outreach and Education sessions.
 - Distribute 250 free tickets to students for Masterworks concerts at the Blaisdell Concert Hall during the season.
3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and

The artistic components that produce a memorable musical experience for an audience are a brilliant composition, highly-trained, professional musicians who perform live on exquisite instruments, with adequate rehearsal time under the leadership of an experienced conductor in a venue that is aesthetically pleasing and acoustically excellent.

High quality concert experiences also require infrastructure that supports the musical product, including administration, marketing and promotions, ticketing systems, the comfort and amenities available in the facility, the convenience of parking, and the welcoming demeanor of "front-of-house" personnel who assist patrons.

To assure quality, the HSO will provide training, feedback and coaching for its employees and volunteers. We will work with our vendors to monitor their services and conduct periodic surveys of concertgoers to assess their experiences, noting and implementing appropriate suggestions for quality improvements.

The HSO will also work closely with the managers at senior residences and educators in schools in which education programs are presented, as well as with the co-presenters of our free community outreach concerts on O'ahu.

Evaluation tools such as on-line surveys will measure the degree to which the orchestra has met audience expectations and how, together with internal feedback, we can improve the experience.

4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

This program's effectiveness will be measured by the number of people who attend concerts, the quality of their experiences as measured by the published reviews of professional music critics, the surveys described in #3 above, and the level of financial support from individuals, corporations, and foundations.

The measures of success of the education program will be the number of students and seniors reached and the quality of the experience, as reported in evaluations by the educators and managers who requested the program. Invitations to return to the school or senior residence are an effective measure by which to evaluate our success.

The orchestra will monitor the results periodically and adjust its outreach, marketing plans, programming, and fundraising efforts as appropriate.

Measures of effectiveness:

Concert attendance measures:	
Number of audience members at Masterworks and musicthatPOPS concerts (16 programs)	20,000
Number of discounted student tickets sold (16 programs)	1,000
Number of students attending with free tickets	250
Number of audience members at free community concerts (3 concerts)	4,000
Education program measures:	
Number of students reached	750
Number of senior citizens reached	250

Percent of educators and program managers who report they would repeat the experience again	90%
Quality of concert experience measures:	
Percent of press reviews that are favorable	90%
Percent of surveyed concert-goers who report a positive experience	90%
Financial support measures:	
Gross revenue from ticket sales	\$1,100,000
Number of individual contributors	600
Total dollars contributed by individuals	\$850,000
Total dollars contributed by corporate sponsors (includes in-kind)	\$400,000
Total dollars contributed by foundations	\$550,000

III. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.

The total budget for the FY 2015-16 season (July 1, 2015 – June 30, 2016) is in development at the time of this grant request. The budget is projected to be \$3,500,000, pending Board approval. The requested grant of \$500,000 is 14% of the total overall projected budget.

2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2016.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$0	\$200,000	\$150,000	\$150,000	\$500,000

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2016.

Please see the chart below for potential funding sources for fiscal year 2015-2016 for this project.

Earned Revenue	
Gross ticket sales	1,100,000
Contracted services	60,000
Misc. income (ads/merchandise)	30,000
Contributed Revenue	
Donations	850,000
Sponsorships	285,000

subscribers, individual concert patrons, current and prospective donors and sponsors.

- Presented our first free Windward community concert in 2014, the first symphonic performance there in more than 20 years, followed by a second free community concert in Honolulu.
- Launched the HSO musician ensemble visits to schools, youth centers and senior residence communities.
- Hired dedicated professional administrative staff to handle the financial, marketing, fundraising, music education and administrative functions of the organization.
- Balanced the budget for FY2014 and produced audited financial statements for public inspection.
- Opened our own on-site box office, including online purchase capacity and hired a Patron Services Representative in July 2014. The new process encourages advance ticket sales, delivers excellent customer service, and cultivates subscriber and individual patron loyalty. We continue to partner with the Blaisdell box office for individual advance and day of show ticket sales.
- Plans for the 2015-2016 season are now in process, with dates pending for concert performances beginning in late October.
- Guest artists and conductors are beginning to approach us to perform with HSO as word of our resumption of performances and artistic excellence has spread through the industry.

B. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

The HSO's principal administrative office and box office are located at 3610 Waialae Avenue, Honolulu.

Its principal concert venue is the Neal S. Blaisdell Concert Hall, owned and operated by the City & County of Honolulu, which meets ADA requirements. Additional venues may include the Hawai'i Theatre, Pearl City Cultural Center, University of Hawai'i West O'ahu, Leeward Community College, Kailua District Park Pavilion, and school or college/university auditoriums on O'ahu.

For Neighbor Island concerts, private, county, community, school, or university facilities such as Maui Arts and Cultural Center, Kahilu Theatre, University of Hawai'i in Hilo and Kauai Community College Performing Arts Center would be appropriate venues.

V. Personnel: Project Organization and Staffing

A. **Proposed Staffing, Staff Qualifications, Supervision and Training**

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

The Hawai'i Symphony Orchestra leadership team is composed of the Board of Directors, chaired by Paul Kosasa, and eleven other community leaders who serve without compensation, and Jonathan Parrish who has served as Executive Director of the HSO since November 2013. Mr. Parrish has been an HSO musician since 1998 (currently on leave), and was General Manager of Chamber Music Hawai'i for a decade.

The administrative staff positions include a Director of Finance (CPA with 30+ years of accounting experience), Director of Development (25 years of non-profit executive and fundraising experience), Director of Marketing (arts professional with 8 years in nonprofit and corporate marketing experience), Patron Services Representative, Education Coordinator (.5 FTE); Office Assistant and volunteer clerical personnel. The professional production team includes the Orchestra Personnel Manager, Music Librarian, Stage Manager, Stagehands and Security, who are integral partners for all performances.

Artistic Advisor JoAnn Falletta, the renowned American conductor who has conducted orchestras around the world, leads the HSO artistic team, which includes an Artistic Administrator. In addition to Ms. Falletta's part-time contract with the HSO, she serves as the Music Director of the Buffalo Philharmonic Orchestra and the Virginia Symphony Orchestra and Principal Guest Conductor of the Brevard Music Center.

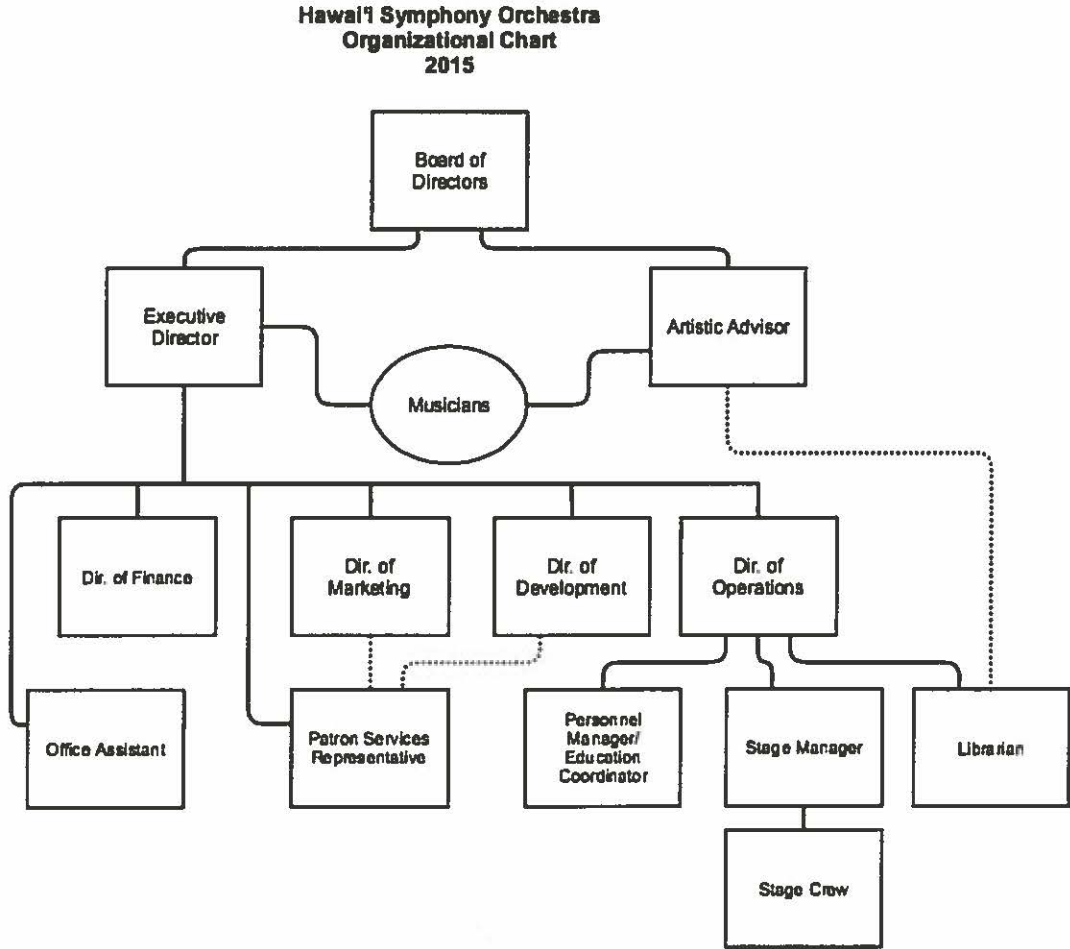
The Hawai'i Symphony Orchestra employs 64 musicians who are contracted for a minimum of 16 weeks. This is the minimum core personnel used for pops, community, and educational programs. For Masterworks concerts, an additional 20 musicians are contracted. The HSO musicians are members of the Musician's Association of Hawai'i Local 677, the American Federation of Musicians. The members of the stage crew at the NBC belong to IATSE, Local 665.

The HSO professional musicians are selected through a highly competitive international audition process. All artistic positions require experienced, talented and established professionals who are recognized for excellence in musical accomplishments. Most have studied music since secondary school and have earned degrees in music. Many have graduate degrees and doctorates as well.

Guest artists and conductors are chosen based on artistic merit, reputation, and demonstrated performance ability.

B. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.



C. Compensation

The applicant shall provide the annual salaries paid by the applicant to the three highest paid officers, directors, or employees of the organization by position.

Executive Director	\$100,000
Director of Finance	\$70,000
Director of Development	\$70,000

VI. Other

A. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgment. If applicable, please explain.

There is no pending litigation.

B. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

No licensure or accreditation is relevant. However, the HSO is a member of the League of American Orchestras, Hawai'i Arts Alliance, Hawai'i Association of Non-Profit Organizations, Association of Fundraising Professionals, Aloha Chapter, Hawai'i Visitor and Convention Bureau and the Kailua Chamber of Commerce.

C. Federal and County Grants

The applicant shall separately specify the amount of federal and county grants awarded since July 1, 2014.

Federal	\$0
County	\$0

D. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see Article X, Section 1, of the State Constitution for the relevance of this question.

Not applicable

E. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2015-16 the activity funded by the grant if the grant of this application is:

- (1) Received by the applicant for fiscal year 2015-16, but
- (2) Not received by the applicant thereafter.

The orchestra's business objective is to build a base of contributed revenue from individuals, businesses, grants, sponsorships, and special events to a level that sustains the orchestra (65% of the budget.) Earned ticket revenue covers about 35% of operating expenses. According to the American Symphony Orchestra League, the industry standard range for earned ticket revenue for musical organizations of the size and scope of the HSO is 30-40%.

We believe that public confidence in the HSO will increase as the organization demonstrates both programmatic excellence and financial responsibility. The requested grant funds this year for critical operational support will enable the HSO to achieve its mission, build its resources and expand its outreach.

By offering another successful season in 2015-2016 that introduces more potential concertgoers to the HSO offerings, solidifies existing business relationships and develops new business sponsors, grantors and individual donors, we will move toward greater self-sufficiency.

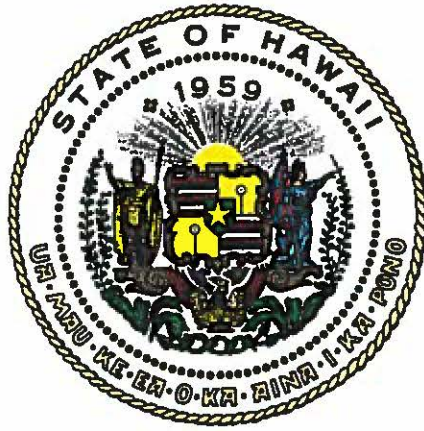
HSO employs professional development staff to plan and execute fundraising initiatives. A committee of the Board of Directors is directly engaged in fundraising, especially in the areas of corporate sponsorships, major gifts and special events. Recurring gifts will provide core support for the HSO, and our plans include increasing the number of donors each year in all giving categories.

As the business objectives are achieved, the artistic objective of providing access to great symphonic music and music education to Hawai'i's students, adult residents, and visitors can also be achieved, sustained and expanded.

F. Certificate of Good Standing (If the Applicant is an Organization)

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2014.

The certificate is attached.



Department of Commerce and Consumer Affairs

CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

HAWAII SYMPHONY ORCHESTRA

was incorporated under the laws of Hawaii on 06/13/2011 ; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: January 29, 2015

Interim Director of Commerce and Consumer Affairs



BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2015 to June 30, 2016

Applicant: Hawai'i Symphony Orchestra

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries	411,000			
2. Payroll Taxes & Assessments	31,442			
3. Fringe Benefits	0			
TOTAL PERSONNEL COST	442,442			
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island	0			
2. Insurance	0			
3. Lease/Rental of Equipment	0			
4. Lease/Rental of Space (Concert Halls)	35,558			
5. Staff Training	0			
6. Supplies	0			
7. Telecommunication	0			
8. Utilities	0			
9. Collateral and Concert Programs	22,000			
10.				
11.				
12.				
13.				
14.				
15.				
16.				
17.				
18.				
19.				
20.				
TOTAL OTHER CURRENT EXPENSES	57,558			
C. EQUIPMENT PURCHASES	0			
D. MOTOR VEHICLE PURCHASES	0			
E. CAPITAL	0			
TOTAL (A+B+C+D+E)	500,000			
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	500,000	Jonathan Parrish 380-7722		
(b) Total Federal Funds Requested	0	Name (Please type or print) Phone		
(c) Total County Funds Requested	0			
(d) Total Private/Other Funds Requested	0	Signature of Authorized Official Date		
TOTAL BUDGET	500,000	Jonathan Parrish, Executive Director		
		Name and Title (Please type or print)		

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2015 to June 30, 2016

Applicant: Hawai'i Symphony Orchestra

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				

JUSTIFICATION/COMMENTS:

Not applicable

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				

JUSTIFICATION/COMMENTS:

Not Applicable

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2015 to June 30, 2016

Applicant: Hawai'i Symphony Orchestra

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2013-2014	FY: 2014-2015	FY:2015-2016	FY:2015-2016	FY:2016-2017	FY:2017-2018
PLANS						
LAND ACQUISITION						
DESIGN						
CONSTRUCTION						
EQUIPMENT						
TOTAL:						
JUSTIFICATION/COMMENTS: <p style="text-align: center;">Not Applicable</p>						

GOVERNMENT CONTRACTS AND/OR GRANTS

Applicant: Hawai'i Symphony Orchestra

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1.	GIA FY2016 Request to expand season and audience outreach with live classical, pops and free community concerts	7/1/15 – 6/30/16	TBD	State	\$500,000 applying 1/30/15
2.	GIA FY2015 Operations funding to present live concerts on the Neighbor Islands	7/1/14 – 6/30/15	SFCA	State	\$400,000 awarded; contract pending
3.	OCS-GIA-14-02 Operations funding to present live classical and pops concerts	7/1/13 – 6/30/14	OCS, Dept. of Labor and Industrial Relations	State	\$450,000 awarded; project complete; final payment pending
4.	GIA FY2016 Operations funding to present live classical, pops and free community concerts; youth and senior citizen music education sessions	10/1/15 – 9/30/16	City & County of Honolulu Office of Community Services	Honolulu	\$149,523 applied 12/12/14; decision pending
5.	MAYOR'S GRANT PROGRAM Ukulele concerto commission composed by Dr. Byron Yasui for guest artist Jake Shimabukuro to perform with the orchestra on 6/6 & 6/7/15	1/1/15 – 12/31/15	Mayor's Office of Culture and the Arts	Honolulu	\$10,000 applied 11/14/14; awarded 1/28/15; contract pending
				TOTAL	\$1,509,523

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAI'I REVISED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Hawai'i Symphony Orchestra

(Typed Name of Individual or Organization)

(Signature)

1/29/15

(Date)

Paul J. Kosasa

Chair, Board of Directors

(Typed Name)

(Title)