



NEIL ABERCROMBIE  
GOVERNOR

SHAN S. TSUTSUI  
LT. GOVERNOR

STATE OF HAWAII  
OFFICE OF THE DIRECTOR  
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS  
335 MERCHANT STREET, ROOM 310  
P.O. Box 541  
HONOLULU, HAWAII 96809  
Phone Number: 586-2850  
Fax Number: 586-2856  
[www.hawaii.gov/dcca](http://www.hawaii.gov/dcca)

KEALI'I S. LOPEZ  
DIRECTOR

JO ANN M. UCHIDA TAKEUCHI  
DEPUTY DIRECTOR

January 2, 2014

The Honorable David Ige, Chair  
Senate Committee on Ways and Means  
State Capitol, Room 208  
415 South Beretania Street  
Honolulu, Hawaii 96813

The Honorable Sylvia Luke, Chair  
House Committee on Finance  
State Capitol, Room 306  
415 South Beretania Street  
Honolulu, Hawaii 96813

Dear Chairs Ige and Luke:

In reply to a request dated November, 18, 2013 regarding budget briefing, transmitted herewith are the requested copies of the department's budget testimony for the respective committees. An electronic version (pdf) will be sent to [wamtestimony@capitol.hawaii.gov](mailto:wamtestimony@capitol.hawaii.gov) and [fintestimony@capitol.hawaii.gov](mailto:fintestimony@capitol.hawaii.gov).

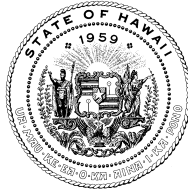
Should you have any questions, please feel free to contact Ms. Meoh-Leng Silliman, Business Management Officer, at 586-2844.

Very truly yours,

KEALI'I S. LOPEZ  
Director

Attachment

c: Governor's Policy Office  
Department of Budget and Finance



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PRESENTATION  
OF THE DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS  
BEFORE THE JOINT  
SENATE COMMITTEE ON WAYS AND MEANS  
HOUSE COMMITTEE ON FINANCE  
SUPPLEMENTAL BUDGET REQUEST FOR THE FISCAL YEAR 2015  
JANUARY 9, 2014

Honorable Chair Ige and Chair Luke, Vice Chair Kidani and Vice Chairs Nishimoto and Johanson, and Members of the Senate Committee on Ways and Means and House Committee on Finance:

I am Keali'i Lopez, and I am the Director of Commerce and Consumer Affairs ("DCCA"). I appreciate this opportunity to discuss the department's fiscal year 2015 budget with the committees.

### **Mission Statement**

The Department's mission is to fairly regulate business, while ensuring consumer protection in commercial transactions in Hawaii.

DCCA's funding structure was established by the legislature in 1996 through the creation of the compliance resolution fund ("CRF"). With the exception of the general funds provided in Act 180, Session Laws of Hawaii ("SLH") 2013 to start up the Hawaii Post-Secondary Education Authorization Program, the department receives no general revenues. Instead, the CRF has become the primary funding source for DCCA's programs. The Department's financial strategy is based on ensuring that divisions have revenues to pay their expenses and that they contribute equitably to departmental overhead costs, while maintaining sufficient reserves to address unexpected contingencies. The legislature's foresight to develop this self-sufficiency model has benefitted our customers and the public we serve and the State over many years. Self-sufficiency notwithstanding, strong fiscal oversight continues to be important to the Department. The fees and revenue collected by the Department are provisioned for the purpose of delivering important commerce and consumer protection related services.

Toward that end, I appreciate the Committees' thorough review and consideration of the department's budget.

### **Impact of Current Economic and Fiscal Conditions**

The DCCA, along with several other departments, has been active in implementing the Federal Patient Protection and Affordable Care Act of 2010 ("PPACA"). The Insurance Division ("INS") in particular has been heavily involved in rate review and approval of health insurers and health insurance policies in conformance with the federal law and the Hawaii Prepaid law. To that end, the Insurance Division's workload has increased dramatically, especially in light of the time constraints prescribed by federal law. The division went from reviewing 10-20 policies a year to over 200 variations of existing and new policies and staff is faced with reconciling Hawaii's existing laws with an ever-changing federal regulatory structure. In light of these new, long-term responsibilities, INS is requesting approval to add positions to meet the increased workload.

Similarly, the Division of Consumer Advocacy is seeking a ceiling increase to permit the division to retain additional consultants that can provide subject matter expertise in the growing number of alternative energy issues under consideration by the Public Utilities Commission. These resources will assist the division in responding timely, efficiently, and effectively to the energy-related issues facing the state.

### **Federal Funds**

The Insurance Division has applied for and received various federal grants related to the PPACA. All of these federal funds received are non-recurring and are one-time project grants with expiration dates. No programs have lost or are at risk of losing federal funds prior to the grant expiration date.

### **Budget Request**

The Department formulated a budget that provides the resources necessary for our programs to perform their functions and meet their goals and objectives. Requests for additional resources were prioritized to facilitate the accomplishment of our department's mission.

Where appropriate, trade-offs and transfers are proposed and ceiling increases limited to situations where: 1) resources are needed to carry out legislatively prescribed functions and actions, such as the continuation of the Post-Secondary Education Authorization Program (Act 180, SLH 2013), payment of claims against the Mortgage Loan Recovery Fund by consumers harmed by persons that violate Chapter 454F, HRS, and the new regulatory responsibilities resulting from the PPACA; 2) additional resources are needed to handle increased workloads stemming from new initiatives (e.g. the clean energy and broadband initiatives) and growth in licensing areas such as the Hawaii's captive insurance industry; and 3) funds are needed for

information technology projects such as the migration of our email system to a new platform, and for software upgrades that will facilitate standardization of information technology across the various state agencies.

The following are significant adjustments contained in our budget request:

**1. Add 1.00 position and \$749,714 in special funds to support renewable energy initiatives for the Division of Consumer Advocacy (“DCA”).**

DCA represents the interests of utility, communication, and transportation service consumers before regulatory bodies such as the Public Utilities Commission (“PUC”), Federal Surface Transportation Board, and Federal Communications Commission. The program reviews requests for rate increases, capital improvement projects, integrated energy resource plans, issues regarding competition in the telecommunications and electric power industries, certificates to operate, and other applications filed with regulatory bodies by utility and transportation companies.

DCA requests additional funding to retain outside consultants. This will facilitate DCA’s ability to conduct more extensive and thorough analyses to help investigate new and complex issues surrounding clean energy and broadband initiatives. Retaining the expertise of outside consultants allows DCA to present more credible evidence to the PUC in rate cases and investigative dockets, such as the Maui/Oahu undersea cable, Lanai Wind, decoupling, feed-in tariff, and on-bill financing. DCA is also seeking to fund another position for a third attorney to assist with various legal issues related to existing and anticipated proceedings. The additional attorney is necessary for DCA to effectively advocate for consumers in the upcoming rate cases that are anticipated from the electric utilities, Hawaii Gas, private water, private sewer, and Young Brothers. Furthermore, DCA’s role in the State’s push toward liquid natural gas involves complex legal issues, including utility regulation, environmental regulation compliance, potential antitrust violations, federal and state jurisdiction, and Jones Act.

**2. Add 2.00 positions and \$95,045 in general funds and \$128,832 in special funds to support the Post-Secondary Educational Act of 1965 pursuant to Act 180, SLH 2013.**

The Hawaii Post-Secondary Education Authorization Program (“HPEAP”) requests an appropriation to continue and establish the program as a stand-alone. Because the program will not be self-sustaining based on projected revenues, this request is for general revenues of \$95,045 in FY15 to cover Personal Service costs for the program. The program seeks a special fund ceiling of \$128,832 in FY15 for Other Current Expenses and equipment expenses. These requests are necessary for continuation of the program.

HPEAP is staffed by a Program Administrator and the program requests authorization for a Program Specialist position that can assist with compliance. Although the program is in its infancy, it is critical that HPEAP have the capacity to appropriately handle the application and authorization process as well as complaints investigation and enforcement. The primary

purpose of the U.S. Department of Education regulation is to require that the states provide meaningful consumer protection relating to the practices of post-secondary schools, and it is essential that the program have the ability to effectively check for compliance with the HPEAP law and respond timely to consumer complaints.

**3. Add \$2,000,000 in trust funds to allow the Department of Labor and Industrial Relations to implement the capital improvement grant pursuant to Chapter 42F, HRS, for the Hawaii Public Television Foundation.**

The Cable Television Division ("CATV") establishes policies and standards for cable communication services. It reviews applications submitted by cable television companies and conducts inspections, tests, and compliance reviews as applicable. It develops and coordinates with other State agencies the implementation of the State's Institutional Network ("INET"). It monitors contractual requirements relating to public, educational, and governmental ("PEG") access on the cable television companies' cable systems. It receives and investigates complaints and answers inquiries or provides information relating to cable communications.

CATV is requesting a ceiling increase of \$2,000,000 to allow for an inter-departmental transfer to the Department of Labor and Industrial Relations ("DLIR") for Capital Improvement Program ("CIP") Grant to Hawaii Public Television Foundation.

Act 134, SLH 2013, provided a \$2,000,000 CIP appropriation for a Hawaii Public Television Foundation Grant-In-Aid project (plans, design and construction of a new building for PBS Hawaii). The expending agency is DLIR, with the funding to be provided by DCCA. This request will provide CATV with the appropriation for the transfer of funds to DLIR.

**4. Add 6.00 positions and \$481,124 in special funds to support the new responsibilities related to the PPACA for the Insurance Division.**

INS regulates, examines, and licenses insurance entities doing business in the State, regulate, examines and monitors the financial condition of domestic insurers; examines and licenses sales producers and brokers; reviews policy rates & forms filings; receives and investigates complaints; administers appeals pursuant to the patients' bill of rights & responsibility act; regulates captive insurers and develop Hawaii as a captive insurance domicile; and prosecutes automobile insurance claims fraud.

INS requests the addition of six positions to the Health Branch. Through the PPACA grants, additional positions were created to assist INS to address very complex issues. These federal funds will conclude in 2014 and INS seeks to add six of these established positions to its operating budget (special fund) in order to provide the manpower needed to meet the new regulatory responsibilities resulting from the PPACA. It is essential that INS maintains these experienced staff to address the challenges posed by the rapid growth in the health regulatory environment.

Delay in creating these additional positions will result in longer processing times for rate evaluations and approvals, licensing, examinations, and other regulatory activities that are essential in order for INS to continue its timely servicing, regulation and development of the health insurance industry. Failure to keep up with the needs of the industry in a timely manner will also undermine Hawaii's reputation as one of the leading states for healthcare.

### **Additional Information**

#### Table 1: Prioritized List of Functions

The Prioritized List of Functions encompasses all of the major functions and associated activities performed by the Department's programs.

#### Table 2: Department-Wide Totals

The Department's FY14 operating budget is \$53,980,835 (\$50,425,843 special funds; \$2,554,992 trust funds; \$1,000,000 competitive federal grants). The Department's proposed FY15 operating budget is \$56,779,909 (\$95,045 general funds, \$51,809,872 special funds; \$4,624,992 trust funds; \$250,000 competitive federal grants).

#### Table 3: Program ID Totals

The Department's total appropriated amount for FY14 in Act 134 (SLH 2013) is \$53,980,835 (\$50,425,843 special funds; \$2,554,992 trust funds; \$1,000,000 competitive federal grants). The FY15 amount proposed is \$56,779,909 (\$95,045 general funds; \$51,809,872 special funds; \$4,624,992 trust funds; \$250,000 competitive federal grants), an increase of 5% as compared to the FY14 appropriation.

#### Table 4: Budget Decisions

The Department's requests, Budget and Finance's recommendations, and the Governor's decisions are listed.

#### Table 5: Proposed Budget Reductions

None.

#### Table 6: Proposed Budget Additions

##### **1. Special Funds**

- CCA-102: Add a permanent Staff Attorney position (funded via a trade-off with Other Current Expenses) for the Cable Television Division.
- CCA-103: \$700,000 for consulting contracts for the Division of Consumer Advocacy.
- CCA-103: Add \$49,714 for a permanent Staff Attorney position for the Division of Consumer Advocacy.

- CCA-105: Convert two temporary civil service positions to permanent for the Professional and Vocational Licensing Division.
- CCA-106: \$481,124 for six permanent positions for the Insurance Division.
- CCA-106: Add a permanent Examiner position for the Captive Insurance Branch, Insurance Division.
- CCA-107: \$128,832 for current expenses for the Hawaii Post-Secondary Education Authorization Program.
- CCA-191: \$175,000 to fund information technology projects for the Information Systems & Communications Office.

## **2. Trust Funds**

- CCA-102: \$2,000,000 for an inter-departmental transfer for the Cable Television Division.
- CCA-104: \$110,000 for claims for the Division of Financial Institutions.

## **3. General funds**

- CCA-107: \$95,045 for two permanent positions for the Hawaii Post-Secondary Education Authorization Program.

### Table 7: Current Year (FY14) Restrictions

None.

### Table 8: Emergency Appropriation Requests

None.

### Table 9: Expenditures Exceeding Appropriation Ceilings

None.

### Table 10: Intradepartmental Transfer of Funds

In FY13, General Support (CCA-191) transferred ceiling to Office of Consumer Protection (CCA-110) to provide for the increase in Central Services Assessment transfers resulting from greater than anticipated multi-state settlements.

### Table 11: Active Federal Awards

The two active HHS grants are: 1) Grants to Support States in Health Insurance Rate Review Grant Cycle II and 2) Grants to Support States in Health Insurance Rate Review Grant Cycle III.

Table 12: Non-General Fund Balances (excluding Federal Funds)

Estimated unencumbered cash balances for specific funds totals (\$39,063,781 compliance resolution fund; \$126,254,227 hurricane reserve trust fund; \$8,641,709 all other trust funds; and \$4,281,749 all other special funds) and excess balance, if any, are provided.

Table 13: Vacancy Summary

Vacancy summaries on November 30, 2013 are listed by Program IDs.

Table 14: Vacancy Report

Vacancies on November 30, 2013 are listed by Program IDs.

Table 15: Personnel Separations

Personnel Separations for the period of July 1, 2011 to November 30, 2013 are listed by Program IDs.

Table 16: New Hires

Positions filled from July 1, 2011 to November 30, 2013 are listed by Program IDs.

Table 17: Unauthorized Positions:

None.

Table 18: Overtime Expenditures

Percent of overtime expenditures incurred in FY 12 was 0.3% of base salary for special funds, and 0% for trust funds; FY 13 overtime expenditures are 0.3% for special funds and 0.1% for trust funds; estimated budgeted amounts for FY 14 are 0.5% of base salary for both special funds and trust funds, and proposed budgeted amounts for FY 15 are 0.7% and 2.4% of base salary for special funds and trust funds, respectively.

Table 19: Overpayment Expenditures

Outstanding overpayment listed.

Table 20: Contract Costs

Listing of active contracts by program ID and MOF is provided.

Table 21: Capital Improvement Program (CIP) Requests

None.



Table 22: CIP Lapses

None.

Table 23: Division Resources

Listing of all divisions by Program ID is provided.

Table 24: Organizational Charts

The Department's organizational chart for the current year (FY14) is attached. All changes that were made are indicated.

Attached to this briefing is information presented in the tables in your prescribed format. My program administrators and I are available to answer any questions you and your committee members may have concerning our programs and the materials submitted for this presentation.

Department of Commerce and Consumer Affairs  
Prioritized List of Functions

Table 1

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
1	Business Registration & Securities Regulation: Ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, service marks and other business registration filings, and provide public access to this information; ensure compliance with and enforcement of securities and franchise laws; educate the public regarding aspects of investment fraud, precautions and where victims can get help, as part of securities enforcement and compliance efforts; and run business centers that offer personalized assistance to small and start-up businesses.	<ul style="list-style-type: none"> <li>● Corporations, partnerships, trade names, limited liability companies, etc. Determine that all documents are filed in compliance with applicable statutory requirements. Assist the public in accessing information regarding documents that have been successfully filed with the Division, including implementing online access to public registration services and public documents. Provide personalized assistance to businesses registering with the Division.</li> <li>● Sales of securities and franchises. Examine applications for registration of securities and franchises to determine that security or franchise offerings comply with statutory requirements; register securities, sales agents, broker-dealers, investment advisers, investment adviser representatives and franchises; examine financial reports and records of securities dealers and investment companies; conduct investigations of reported fraud and other securities or franchise violations and prosecute violations; and conduct investor education outreach to communities throughout Hawaii.</li> </ul>	CCA111	Chapters 26-9(g), 414, 414D, 415A, 417E, 419, 420D, 421, 421C, 421H, 421I, 421J, 423, 424, 425, 425E, 428, 474, 482, 482E, 485A, and 519, HRS.
2	Insurance Regulatory Services: Regulatory oversight of the insurance industry.	<ul style="list-style-type: none"> <li>● Examine insurers, health maintenance organizations, rating organizations, mutual and fraternal benefit societies, advisory organizations, agencies, independent claims organizations, guaranty associations.</li> <li>● Investigate complaints of alleged violations of insurance statutes and rules and other consumer requests for assistance.</li> <li>● Conduct qualification examinations and license insurers, health maintenance organizations and all categories of producers to transact insurance.</li> <li>● Approve/disapprove policies and rate filings.</li> <li>● License, examine and regulate captive insurance companies and develop the captive industry in Hawaii.</li> <li>● Review insurance entities' financial, operating and tax statements.</li> <li>● Regulatory surveillance for market conduct and financial condition of all insurers, health maintenance organizations, and mutual and fraternal benefit societies for compliance with insurance laws.</li> <li>● Investigate of violations and enforce motor vehicle claims fraud law.</li> <li>● Administer the Hawaii Joint Underwriting Plan, including the development and promulgation of motor vehicle insurance rates.</li> <li>● Implement Federal Health Care reforms relating to Health Care Exchanges and Premium Rate Review.</li> </ul>	CCA106	Chapter 287, 386, 386A, 392, 393, 431, 431E, 431K, 431L, 431M, 431N, 431P, 432, 432C, 432D, 432E, 435C, 435E, 448D, 481R, 481X and 488, HRS.

Department of Commerce and Consumer Affairs  
Prioritized List of Functions

Table 1

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
3	Office of Consumer Protection: Maintain Complaint Database; Investigate Complaints; Prosecute Legal Actions; Legislation; Consumer Education; Landlord-Tenant Hotline.	<ul style="list-style-type: none"> <li>● Investigation of Complaints. Receive all complaints on a statewide basis: walk-ins, mail and telephone; evaluate complaints in relation to investigation threshold criteria; for cases meeting threshold requirements, determine all facts of complaint; determine from facts whether or not there is a violation of any consumer protection law, refer cases which, on their face, fall within the jurisdiction of another agency, and inform complainant of findings of fact and course of action to be taken, if any, by this office or another governmental agency; coordinate fact finding functions with other governmental agencies; monitor advertisements; subpoena witnesses for investigation of facts.</li> <li>● Prosecution. Determine adequacy of evidence to prove violation prior to filing a complaint in court; negotiate Assurance of Voluntary Compliance or Consent Judgment prior to the filing of a complaint in court; take court action against entities that violate consumer protection law; obtain injunction, civil penalty and restitution for consumers in court actions and settlement of cases.</li> <li>● Landlord-Tenant Cases. Provide Landlord-Tenant code information to callers.</li> <li>● Legislation. Promulgate rules and regulations to protect consumers; draft consumer protection legislation for the Department; recommend consumer protection legislation to the Legislature; and offer testimony on other consumer protection legislation.</li> </ul>	CCA110	Chapters 209, 290, 436M, 437, 437D, 446, 446E, 457G, 476, 477, 477E, 480, 480D, 480E, 480F, 481A, 481B, 481C, 481D, 481F, 481H, 481K, 481L, 481M, 481P, 486N, 487, 487A, 487J, 487N, 487R, 506, and 521, HRS.
4	Consumer Advocate for Comm, Util & Tran Svcs: Ensure the provision of reliable regulated service at reasonable cost to ratepayers, and educate consumers regarding aspects of public utility regulation.	<ul style="list-style-type: none"> <li>● Advocate positions on behalf of consumers of utility and transportation services before the State of Hawaii Public Utilities Commission ("PUC"), the Federal Communications Commission ("FCC"), and other federal, state and local regulatory agencies. Positions are developed and advocated concerning: (a) Applications for authority to offer new services; (b) Rate and fare proposals; (c) Revenue and earnings requirements of regulated utilities; (d) Financing of equipment, plant, and other facilities, (e) Review of capital improvement projects; (f) Transfers, extensions, and cancellations of permits, franchises, and certificates; (g) Regulations governing regulated industries; (h) Formulation of policies and long range planning for telecommunications and energy utilities; (i) service quality; and (j) agreements and contracts between a regulated company and suppliers and/or other parties.</li> <li>● Compliance investigations are conducted regarding the adequacy and efficiency of utility services.</li> </ul>	CCA103	Sections 269-51 through 269-55, HRS.

Department of Commerce and Consumer Affairs  
 Prioritized List of Functions

Table 1

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
		<ul style="list-style-type: none"> <li>Education of consumers relating to utility regulation and consumer rights through web site, newsletters, sponsored events, and attendance at trade-shows, fairs, business and community meetings, etc.</li> <li>Advance and advocate clean energy and broadband initiative goals.</li> </ul>		
5	Financial Services Regulation: Supervise and regulate financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators, and mortgage loan originator companies	<ul style="list-style-type: none"> <li>License, examine and supervise state-chartered and licensed banks, trust companies, savings and loan associations, financial services loan companies, credit unions, escrow depositories, and money transmitters.</li> <li>License mortgage servicers.</li> <li>License and examine mortgage loan originators and mortgage loan originator companies.</li> </ul>	CCA104	Chapters 412, 449, 454F, 454M, 489D, HRS.
6	Professional & Vocational Licensing: Receive and review applications for professional and vocational licenses, condominium, real estate subdivision and time share projects; prepare & analyze legislative proposals to amend professional and vocational licensing laws; and update and evaluate examinations.	<ul style="list-style-type: none"> <li>License and regulate 48 different professions and vocations. Provide administrative support to 25 regulatory licensing boards and, on behalf of the director of the department, administer 23 regulatory licensing programs.</li> <li>The trust funds are dedicated to specific statutory purposes to educate the licensees who are the contributors to the fund, serve as a source of monetary recovery for injured consumers, or support increased government service demands by licensees. One fund is federally mandated to collect fees on its behalf, with revenues going to the federal agency.</li> </ul>	CCA105	Chapters 373, 436B, 436E, 436H, 437, 437B, 438, 439, 440, 440E, 441, 442, 443B, 444, 447, 448, 448E, 448F, 448H, 451A, 451D, 451J, 452, 453, 453D, 455, 457, 457A, 457B, 457G, 458, 459,
7	Regulated Industries Complaints Office: Investigation and prosecution of possible (professional and vocational) licensing law violations.	<ul style="list-style-type: none"> <li>Receive complaints, conduct investigations, and prosecute cases alleging professional and vocational licensing law violations.</li> <li>Provides educational information to consumers and industry members; provides real time licenising, business registration, and complaint history information to the public.</li> <li>Administers the State Certified Arbitration Program (lemon law program).</li> </ul>	CCA112	Section 26-9(h), HRS.
8	Cable Television: Compliance with Hawaii's cable television law and rules.	<ul style="list-style-type: none"> <li>Ensure quality service for cable television subscribers by issuing new franchises, franchise renewals and transfers of franchises.</li> <li>Ensure expansion and enhancement of the Institutional Network (INET)</li> <li>Identify new and improved services, future community needs and interests and enhancing technologies.(i.e., DBS, broadband, etc)</li> <li>Oversee technical inspections and establish reporting requirements.</li> <li>Award PEG contracts and provide support for PEG access.</li> <li>Establish a work group to develop procedures for streamlined permitting functions applicable to the development of broadband services or technology.</li> </ul>	CCA102	Chapter 440G, HRS.

Department of Commerce and Consumer Affairs  
 Prioritized List of Functions

Table 1

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
		<ul style="list-style-type: none"> <li>● Implement activities to promote ubiquitous access to high speed broadband at affordable prices throughout the State.</li> <li>● Develop plans and recommend legislation to expand and accelerate deployment of broadband infrastructure; support public-private efforts to develop broadband infrastructure; work with government agencies, broadband providers, and other stakeholders to advance the provision of broadband services to un-served and under-served areas in the State.</li> </ul>		
9	<p>General Support:                      Administration of the Department; conducts administrative hearings for the Department, administers the Medical Inquiry and Conciliation Panel, and the Design Claims Conciliation Panel; administration of the Mortgage Dispute Resolution Program; and assists in information technology needs of the Department.</p>	<ul style="list-style-type: none"> <li>● Provides: (1) general policy and administrative leadership, supervision and coordination of the various programs of the Department; (2) assistance in the direction and supervision of operating divisions; and (3) centralized personnel management and organizational analysis support services to operating divisions.</li> <li>● Provides: (1) centralized budgeting and accounting services; (2) centralized clerical services; (3) building management; and (4) other administrative support services.</li> <li>● Conducts contested case hearings for all divisions within DCCA that are required by law to provide contested case hearings under the provisions of HRS Chapter 91.</li> <li>● Conducts contested case hearings under Chapter 103D, HRS (procurement law).</li> <li>● Conducts contested case hearings for the Department of Education relating to the Individuals With Disabilities Education Act.</li> <li>● Convenes panels of qualified attorneys and medical professionals to review inquiries regarding health care practitioners under the provisions of HRS Chapter 671.</li> <li>● Convenes panels of qualified attorneys and design professionals to review claims against design professionals under the provisions of HRS Chapter 672</li> <li>● Conducts contested case hearings for the Employees' Retirement System of the State of Hawaii regarding disputes concerning benefits under the provisions of HAR Title 6, Chapters 20 and 23.</li> </ul>	CCA191	Section 26-9, HRS.
		<ul style="list-style-type: none"> <li>● Administers program providing the services of neutral parties in effort to resolve non-judicial foreclosures against properties of owner-occupants under the Mortgage Dispute Resolution Program established by Act 48 of the 2011 Legislature.</li> <li>● Provides all divisions of DCCA with: (1) information technology hardware, software and custom programming support; (2) DCCA website support, (3) telephone, and other communication services support.</li> </ul>		

Department of Commerce and Consumer Affairs  
Department Totals

Table 2

Fiscal Year 2014				
Act 134/13 Appropriation	Restriction	Emergency Appropriations	Total FY14	MOF
\$ 50,425,843.00			\$ 50,425,843.00	B
\$ 2,554,992.00			\$ 2,554,992.00	T
\$ 1,000,000.00			\$ 1,000,000.00	P
			\$ -	
			\$ -	
			\$ -	
\$ 53,980,835.00	\$ -	\$ -	\$ 53,980,835.00	Total
Fiscal Year 2015				
Act 134/13 Appropriation	Reductions	Additions	Total FY15	MOF
\$ 50,275,202.00		\$ 1,534,670.00	\$ 51,809,872.00	B
\$ 2,514,992.00		\$ 2,110,000.00	\$ 4,624,992.00	T
\$ 250,000.00			\$ 250,000.00	P
		\$ 95,045.00	\$ 95,045.00	A
			\$ -	
			\$ -	
\$ 53,040,194.00	\$ -	\$ 3,739,715.00	\$ 56,779,909.00	Total

Department of Commerce and Consumer Affairs  
Program ID Totals

Table 3

Prog ID	Program Title	MOF	As appropriated by Act 134/13 (FY14)			Governor's Submittal (FY15)			Percent Change of \$\$\$\$
			Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	
CCA-102	Cable Television	B	7.00	0.00	\$ 2,391,537	8.00	0.00	\$ 2,391,537	0%
CCA-102	Cable Television	T	0.00	0.00	\$ -	0.00	0.00	\$ 2,000,000	-
CCA-103	Consumer Advocate for Comm, Util, & Tran Svc	B	23.00	0.00	\$ 3,031,508	24.00	0.00	\$ 3,781,222	25%
CCA-104	Financial Services Regulation	B	34.00	0.00	\$ 3,384,920	34.00	0.00	\$ 3,384,920	0%
CCA-104	Financial Services Regulation	T	0.00	0.00	\$ 110,000	0.00	0.00	\$ 220,000	100%
CCA-105	Professional & Vocational Licensing	B	54.00	12.00	\$ 6,040,488	56.00	10.00	\$ 6,040,488	0%
CCA-105	Professional & Vocational Licensing	T	8.00	3.00	\$ 2,144,311	8.00	3.00	\$ 2,104,311	-2%
CCA-106	Insurance Regulatory Services	B	85.00	2.00	\$ 14,350,016	92.00	2.00	\$ 14,831,140	3%
CCA-106	Insurance Regulatory Services	T	0.00	0.00	\$ 200,000	0.00	0.00	\$ 200,000	0%
CCA-106	Insurance Regulatory Services	P	0.00	4.00	\$ 1,000,000	0.00	4.00	\$ 250,000	-75%
CCA-107*	Hawaii Post-Secondary Education Authorization Program	A	0.00	0.00	\$ -	2.00	0.00	\$ 95,045	-
CCA-107*	Hawaii Post-Secondary Education Authorization Program	B	0.00	0.00	\$ -	0.00	0.00	\$ 128,832	-
CCA-110	Office of Consumer Protection	B	17.00	1.00	\$ 1,781,593	17.00	1.00	\$ 1,784,652	0%
CCA-110	Office of Consumer Protection	T	0.00	0.00	\$ 100,681	0.00	0.00	\$ 100,681	0%
CCA-111	Business Registration and Securities Regulation	B	71.00	8.00	\$ 6,649,240	71.00	8.00	\$ 6,649,240	0%
CCA-112	Regulated Industries Complaints Office	B	66.00	1.00	\$ 5,631,030	66.00	1.00	\$ 5,631,030	0%
CCA-191	General Support	B	44.00	8.00	\$ 7,165,511	44.00	8.00	\$ 7,186,811	0%
	General Fund Total	A	0.00	0.00	\$ -	2.00	0.00	\$ 95,045	-
	Special Fund Total	B	401.00	32.00	\$ 50,425,843	412.00	30.00	\$ 51,809,872	3%
	Trust Fund Total	T	8.00	3.00	\$ 2,554,992	8.00	3.00	\$ 4,624,992	81%
	Other Federal Fund Total	P	0.00	4.00	\$ 1,000,000	0.00	4.00	\$ 250,000	-75%
			409.00	39.00	\$ 53,980,835	422.00	37.00	\$ 56,779,909	5%
*	New program established in FY2014 pursuant to Act 180, SLH 2013.								

Department of Commerce and Consumer Affairs  
Budget Request Decisions

Table 4

Prog ID	Description of Request	MOF	Initial Department Request			Initial Budget & Finance Recommendation			Governor's Final Decision		
			Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
CCA-102	Add Staff Attorney position and trade off/transfer funds to assist with telecom reform, broadband deployment, and cable regulation for the Cable Television Division.	B	1.00	0.00	\$ -	1.00	0.00	\$ -	1.00	0.00	\$ -
CCA-102	Add funds for inter-departmental transfer to the Department of Labor and Industrial Relations for Capital Improvement Program Grant to Hawaii Public Television Foundation	T	0.00	0.00	\$ 2,000,000	0.00	0.00	\$ 2,000,000	0.00	0.00	\$ 2,000,000
CCA-103	Add position and funds to support Clean Energy Initiatives for DCA Legal Branch	B	1.00	0.00	\$ 49,714	1.00	0.00	\$ 49,714	1.00	0.00	\$ 49,714
CCA-103	Increase Other Current Expenses for consultant services for the Division of Consumer Advocacy (DCA)	B	0.00	0.00	\$ 700,000	0.00	0.00	\$ 700,000	0.00	0.00	\$ 700,000
CCA-104	Increase Mortgage Loan Recovery Fund for other current expenses for the Division of Financial Institutions	T	0.00	0.00	\$ 110,000	0.00	0.00	\$ 110,000	0.00	0.00	\$ 110,000
CCA-105	Convert Administrative Assistant position to permanent for the Professional and Vocational Licensing Division	B	2.00	(2.00)	\$ -	2.00	(2.00)	\$ -	2.00	(2.00)	\$ -
CCA-106	Convert filled positions and funds to support new PPACA-related responsibilities for the Insurance Regulatory Division (IRD)	B	6.00	0.00	\$ 481,124	0.00	0.00	\$ -	6.00	0.00	\$ 481,124
CCA-106	Add position count and trade off/transfer funds for the IRD Captive Insurance Branch	B	1.00	0.00	\$ -	0.00	0.00	\$ -	1.00	0.00	\$ -
CCA-107	Add 1.00 filled and 1.00 new positions and funds for the Hawaii Post-secondary Education Authorization Program established by Act 180, SLH 2013.	A	2.00	0.00	\$ 95,045	2.00	0.00	\$ 95,045	2.00	0.00	\$ 95,045
CCA-107	Add funds for the Hawaii Post-secondary Education Authorization Program established by Act 180, SLH 2013	B	0.00	0.00	\$ 128,832	0.00	0.00	\$ 128,832	0.00	0.00	\$ 128,832
CCA-191	Add funds for Information Systems & Communications Office (ISCO) to update email system to the cloud based architecture	B	0.00	0.00	\$ 175,000	0.00	0.00	\$ 175,000	0.00	0.00	\$ 175,000
	General Fund Total	A	2.00	0.00	\$ 95,045	2.00	0.00	\$ 95,045	2.00	0.00	\$ 95,045
	Special Fund Total	B	11.00	(2.00)	\$ 1,534,670	4.00	(2.00)	\$ 1,053,546	11.00	(2.00)	\$ 1,534,670
	Trust Fund Total	T	0.00	0.00	\$ 2,110,000	0.00	0.00	\$ 2,110,000	0.00	0.00	\$ 2,110,000
	Other Federal Fund Total	P	0.00	0.00	\$ -	0.00	0.00	\$ -	0.00	0.00	\$ -
	<b>TOTAL</b>		<b>13.00</b>	<b>(2.00)</b>	<b>\$ 3,739,715</b>	<b>6.00</b>	<b>(2.00)</b>	<b>\$ 3,258,591</b>	<b>13.00</b>	<b>(2.00)</b>	<b>\$ 3,739,715</b>



Department of Commerce and Consumer Affairs  
Proposed Budget Reductions

Table 5

<u>Prog ID</u>	<u>Description of Reduction</u>	<u>Impact of Reduction</u>	<u>MOE</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	<u>Carry-over?</u> <u>(Y/N)</u>
	No proposed reductions						

Department of Commerce and Consumer Affairs  
Proposed Budget Additions

Table 6

<u>Prog ID</u>	<u>Request Category</u>	<u>Prog ID Priority</u>	<u>Dept-Wide Priority</u>	<u>Description of Addition</u>	<u>Justification</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
CCA-102	O	1	4	Add Staff Attorney position to assist with telecom reform, broadband deployment, and cable regulation; funding via a trade-off with Other Current Expenses (Personal Svces-Fee Basis).	Given the increased responsibility for broadband deployment, the possibility of enacting regulation streamlining efforts through telecommunications reform, and the existing heavy regulatory proceedings, the workload of the Cable Television Division has increased. The nature of the workload, which is more complex, highly technical, and greater in volume, involves the existing, sole attorney in nearly every interaction of the Cable Television Division. Accordingly, the sole attorney's workload has increased significantly. As such, another staff attorney is needed to handle the increased responsibilities.	B	1.00	0.00	\$ -
CCA-102	O	2	8	Increase ceiling for inter-departmental transfer to the Department of Labor.	To provide for a \$2,000,000 inter-departmental transfer to the Department of Labor to fund a CIP project (GIA) authorized in Act 134, SLH 2013.	T	0.00	0.00	\$ 2,000,000
CCA-103	O	1	1	Ceiling increase for consultant work.	Increasing the consultant budget will allow the Consumer Advocate to present analyses that will hopefully expedite and facilitate actions that need to be taken to further greater renewable energy integration, an interisland power cable, LNG, biofuels, and other New Day Initiatives. It will also help the Consumer Advocate be more proactive on some of these issues by allowing more interim (i.e., analysis outside of docketed proceedings) analyses to be conducted so that proceedings do not get delayed while waiting for analyses to be conducted once the docket has been opened. Increasing the budget should facilitate the increase in percentage of alternative energy sources used by electric utility companies, which is one of the Consumer Advocate's measure of effectiveness.	B	0.00	0.00	\$ 700,000

Department of Commerce and Consumer Affairs  
Proposed Budget Additions

Table 6

<u>Prog ID</u>	<u>Request Category</u>	<u>Prog ID Priority</u>	<u>Dept- Wide Priority</u>	<u>Description of Addition</u>	<u>Justification</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
CCA-103	ND	2	6	Add position and funds to support Clean Energy Initiatives for DCA Legal Branch	DCA is seeking to fund another position for a third attorney to assist with various legal issues related to existing and anticipated proceedings. The additional attorney is necessary for DCA to effectively advocate for consumers in the upcoming rate cases that are anticipated from the electric utilities, Hawaii Gas, private water, private sewer, and Young Brothers. Furthermore, DCA's role in the State's push toward liquid natural gas involves complex legal issues, including utility regulation, environmental regulation compliance, potential antitrust violations, federal and state jurisdiction, and Jones Act.	B	1.00	0.00	\$ 49,714
CCA-104	O	1	2	Ceiling increase for the Mortgage Loan Recovery Fund established by HRS Chapter 454F in order to pay for claims against the recovery fund and to cover any miscellaneous contingency expenses.	Purpose of this request is to increase the appropriation for the Mortgage Loan Recovery Fund ("Fund") established by HRS Chapter 454F in order to pay claims against the Fund and to pay any expenses related to the administration of the Fund. Although no expenditures for claims or expenses have been made since the Fund was established in 2010, the Division of Financial Institutions ("DFI") is currently aware of potential claims against two licensees that could require the payment of the maximum \$100,000 per licensee. Under the current appropriation ceiling, claimants would have to be paid over two years.	T	0.00	0.00	\$ 110,000
CCA-105	O	1	10	Convert 2 civil service temporary positions to permanent civil service	Converting these positions to permanent status is needed if the programs are to able to attract and retain qualified personnel.	B	2.00	(2.00)	\$ -

Department of Commerce and Consumer Affairs  
Proposed Budget Additions

Table 6

<u>Prog ID</u>	<u>Request Category</u>	<u>Prog ID Priority</u>	<u>Dept- Wide Priority</u>	<u>Description of Addition</u>	<u>Justification</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
CCA-106	O	1	5	Increase ceiling and add positions for regulatory oversight related to the Patient Protection and Affordable Care Act.	<p>Through the PPACA grants, additional positions were created to assist INS to address very complex issues. These federal funds will conclude in 2014 and INS seeks to add six of these established positions to its operating budget (special fund) in order to provide the manpower needed to meet the new regulatory responsibilities resulting from the PPACA. It is essential that INS maintains these experienced staff to address the challenges posed by the rapid growth in the health regulatory environment.</p> <p>Delay in creating these additional positions will result in longer processing times for rate evaluations and approvals, licensing, examinations, and other regulatory activities that are essential in order for INS to continue its timely servicing, regulation and development of the health insurance industry.</p>	B	6.00	0.00	\$ 481,124
CCA-106	O	2	9	Trade-off funds from Other Current Expenses for one (1) permanent examiner position.	<p>This additional position is essential for maintaining the Captive Insurance Branch's ability to accomplish its mandated statutory requirements to assist the Insurance Commissioner with the prudent regulation and development of Hawaii's captive insurance industry. This positions is critical to overseeing and coordinating the timely planning, initiation and completion of required financial surveillance and examination procedures for the benefit of the licensee, its insureds and potential claimants.</p>	B	1.00	0.00	\$ -

Department of Commerce and Consumer Affairs  
Proposed Budget Additions

Table 6

<u>Prog ID</u>	<u>Request Category</u>	<u>Prog ID Priority</u>	<u>Dept- Wide Priority</u>	<u>Description of Addition</u>	<u>Justification</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	
CCA-107	CA	1	3	Request for general funds for Personal Services for two positions for the Hawaii Post-secondary Education Authorization Program authorized in Act 180, SLH 2013.	The program requests an appropriation to continue and establish the program as a stand-alone. Because the program will not be self-sustaining based on projected revenues, this request is for general revenues of \$95,045 in FY15 to cover Personal Service costs for the program.  HPEAP is staffed by a Program Administrator and the program requests authorization for a Program Specialist position that can assist with compliance. Although the program is in its infancy, it is critical that HPEAP have the capacity to appropriately handle the application and authorization process as well as complaints investigation and enforcement. The primary purpose of the U.S. Department of Education regulation is to require that the states provide meaningful consumer protection relating to the practices of post-secondary schools, and it is essential that the program have the ability to effectively check for compliance with the HPEAP law and respond timely to consumer complaints.	A	2.00	0.00	\$ 95,045	
CCA-107	CA	2	3	Request for special fund ceiling for the Hawaii Post-secondary Education Authorization Program authorized in Act 180, SLH 2013.	The program seeks a special fund ceiling of \$128,832 in FY15 to meet the operating needs (Other Current Expenses/Equipment) of the program .	B	0.00	0.00	\$ 128,832	
CCA-191	ND	1	7	Ceiling increase to fund information technology projects.	OIMT has directed that all departments migrate their email systems to a cloud-based architecture and adopt MS Office 365 as the State standard. This request is to cover the software licenses, services, and hardware required to comply with OIMT's initiatives.	B	0.00	0.00	\$ 175,000	
<b>Total additions to base</b>								<b>13.00</b>	<b>(2.00)</b>	<b>\$ 3,739,715</b>

Department of Commerce and Consumer Affairs  
 Current Year (FY14) Restrictions

Table 7

<u>Prog ID</u>	<u>MOF</u>	<u>Restriction \$\$\$</u>	<u>Percent of Act 134/13 Prog ID Appropriation</u>	<u>Impact</u>
NONE				

Department of Commerce and Consumer Affairs  
Emergency Appropriation Requests

Table 8

<u>Prog ID</u>	<u>Description of Request</u>	<u>Explanation of Request</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
NONE						

Department of Commerce and Consumer Affairs  
Expenditures Exceeding the Appropriation

Table 9

<u>Prog ID</u>	<u>MOF</u>	<u>Date</u>	<u>Appropriation</u>	<u>Amount Exceeding Appropriation</u>	<u>Percent Exceeded</u>	<u>Reason for Exceeding Ceiling</u>	<u>Legal Authority</u>	<u>Recurring (Y/N)</u>	<u>GF Impact (Y/N)</u>
NONE									



Department of Commerce and Consumer Affairs  
 Intradepartmental Transfers

Table 10

<u>Actual or Anticipated Date of Transfer</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	<u>From Prog ID</u>	<u>Percent of Program ID Appropriation Transferred From</u>	<u>To Prog ID</u>	<u>Percent of Receiving Program ID Appropriation</u>	<u>Reason for Transfer</u>	<u>Recurring (Y/N)</u>
3/18/2013	B	-	-	\$150,000.00	CCA-191	2.3%	CCA-110	9.8%	To provide ceiling needed for Central Services Assessment transfers due to greater than projected multi-state settlements.	N

Department of Commerce and Consumer Affairs  
Federal Awards

Table 11

<u>State Expending Agency</u>	<u>Program ID</u>	<u>Award Number</u>	<u>CFDA Number</u>	<u>Award Description</u>	<u>Awarding Federal Agency</u>	<u>Award Amount</u>	<u>Award Amount Allocated to the Pgm ID in Column B</u>	<u>State Appropriation Symbol</u>	<u>Contact Name</u>	<u>Contact Phone</u>	<u>Contact Email</u>
R	CCA-106	PRPPR12002 9-01-00	93.511	Grants to Support States in Health Insurance Rate Review Grant Cycle II	HHS/Centers for Medicare & Medicaid Services	\$3,000,000	\$3,000,000	S-14-205-R	Lloyd Lim	586-2790	<a href="mailto:lilim@dcca.hawaii.gov">lilim@dcca.hawaii.gov</a>
R	CCA-106	PRPPR14004 5-01-00	93.511	Grants to Support States in Health Insurance Rate Review Grant Cycle III	HHS/Centers for Medicare & Medicaid Services	\$3,023,387	\$3,023,387	S-14-500-R	Lloyd Lim	586-2790	<a href="mailto:lilim@dcca.hawaii.gov">lilim@dcca.hawaii.gov</a>

Department of Commerce and Consumer Affairs  
Non-General Fund Listing

Table 12

<u>Name of Fund</u>	<u>Purpose</u>	<u>Statutory Reference</u>	<u>MOF</u>	<u>Beginning FY14 Unencumbered Cash Balance</u>	<u>Estimated FY14 Revenues</u>	<u>Estimated FY14 Expenditures and Encumbrances</u>	<u>Estimated FY14 Net Transfers</u>	<u>Estimated FY14 Ending Unencumbered Cash Balance</u>	<u>Balance in Excess of Program Needs</u>
Captive Insurance Administrative Fund	Funds expended by the Insurance Commissioner to carry out his/her duties and obligations under article 19 of chapter 431, HRS.	Section 431:19-101.8, HRS, Act 261, 1997 SLH; Act 131, SLH 1999; Act 1, 2005 Special Session; Act 213, SLH 2007; Act 158 SLH 2008	B	\$ 4,108,631	\$ 2,345,000	\$ 2,443,510	\$ -	\$ 4,019,151	\$ -
Compliance Resolution Fund	The CRF, in existence since July 1, 1996, evolved into the primary funding source for the various DCCA programs as the department moved its operations away from support by general tax revenues to funding by fees and charges generated by its various programs. Pursuant to section 26-9(o), Hawaii Revised Statutes, fees shall be assessed and deposited into the CRF for the issuance of a license, permit, certificate, or registration, subsequent renewals, together with all other fines, income, and penalties collected or reimbursement of costs or attorneys' fees assessed as a result of actions brought by the department. The director may use the moneys in the fund to employ and train hearings officers, investigators, attorneys, accountants, and other necessary personnel for CRF funded operations, and the fund shall defray all other administrative costs, including costs of operating the supporting offices of DCCA. Any other activity related to compliance resolution may also be funded by the CRF.	Section 26-9(o), 269-33, 412:2-109, 431:2-215, 431:2-216, 449-14, 454F-18, 454F-23, 454M-11, 487-2, 489D-12.5, & Chapter 440G, HRS	B	\$ 45,403,559	\$ 34,916,306	\$ 41,328,489	\$ -	\$ 39,063,781	\$ -
Driver Education Fund Underwriter's Fee	Provide drivers training and education.	Section 431:10C-115, HRS; Section 431:10G-107, HRS	B	\$ 150,147	\$ 3,002,000	\$ -	\$ (2,900,000)	\$ 252,147	\$ -
Mortgage Foreclosure Dispute Resolution Special Fund	To give a mortgagor the power to require a foreclosing mortgagee to engage in dispute resolution with the mortgagor prior to a nonjudicial mortgage foreclosure in an effort to avoid foreclosure or mitigate damages if foreclosure is unavoidable.	Act 48, SLH 2011, Section 39	B	\$ 10,451	\$ 205,500	\$ 316,120	\$ -	\$ 10,451	\$ -
Protocol Funds for Executive Heads	To enhance program effectiveness and efficiency by providing direction and general support services.	Section 26-9(o), HRS	B	\$ 2,500	\$ -	\$ 2,500	\$ -	\$ -	\$ -
		Subtotal "B" Funds		\$ 49,675,288	\$ 40,468,806	\$ 44,090,619	\$ (2,900,000)	\$ 43,345,530	\$ -
Captive Insurance Companies Loc Escrow	The fund shall be used to maintain cash drawn from Letters of Credit (LOC) issued on behalf of captive insurance licensees and in favor of the Insurance Commissioner.	Section 431:19-104(b), HRS	T	\$ 513	\$ -	\$ -	\$ -	\$ 513	\$ -
Commissioner's Education & Training Fund	This fund is used for the education and training of Insurance Division staff and personnel, and to pay for the cost of consumer education and information.	Section 431:2-214, HRS; Act 348, SLH 1987	T	\$ 979,721	\$ 155,000	\$ 203,239	\$ -	\$ 934,721	\$ -

Department of Commerce and Consumer Affairs  
Non-General Fund Listing

Table 12

<u>Name of Fund</u>	<u>Purpose</u>	<u>Statutory Reference</u>	<u>MOF</u>	<u>Beginning FY14 Unencumbered Cash Balance</u>	<u>Estimated FY14 Revenues</u>	<u>Estimated FY14 Expenditures and Encumbrances</u>	<u>Estimated FY14 Net Transfers</u>	<u>Estimated FY14 Ending Unencumbered Cash Balance</u>	<u>Balance in Excess of Program Needs</u>
Condominium Education Trust Fund	514B-71, HRS, the Condominium Education Trust Fund is intended to finance or promote: "(1) Education and research in the field of condominium management, condominium registration, and real estate for the benefit of the public and those required to be registered under this chapter; (2) The improvement and more efficient administration of condominium associations; and (3) Expeditious and inexpensive procedures for resolving condominium association disputes."	Sections 514A-40( c), 131, 132, 133, HRS	T	\$ 861,536	\$ 198,000	\$ 649,413	\$ -	\$ 418,555	\$ -
Contract Service Providers	The fund will hold financial security deposits placed in trust with the Commissioner by service contract providers for all service contracts issued and in force in this State.	Section 481X-4, HRS	T	\$ 41,560	\$ -	\$ -	\$ -	\$ 41,560	\$ -
Contractors Education Fund	To be used for consumers, licensees, Contractors License Board members and staff; to be used for publications, media exposure, participation in national association meetings, classes and other educational purpose the Board deems necessary.	Section 444-29, HRS	T	\$ 463,855	\$ 50,000	\$ 56,968	\$ -	\$ 456,887	\$ -
Contractors Recovery Fund	Provide fund from which a person injured by an act, representation, transaction, or conduct of a duly licensed contractor may recover by an order of the court, an amount of not more than \$12,500 per contract and \$25,000 per contractor.	Section 444-26, HRS	T	\$ 771,673	\$ 100,000	\$ 200,000	\$ -	\$ 671,673	\$ -
Hurricane Reserve Trust Fund	Provide hurricane insurance in the event that such coverage is unavailable in the private insurance market.	Chapter 431P HRS	T	\$ 20,794,227	\$ 2,000,000	\$ 40,000	\$ 103,500,000	\$ 126,254,227	\$ -
Medical Malpractice Patients' Comp Fund	In 1976 this fund was established to provide doctors medical malpractice insurance for coverage in excess of their underlying policy from a commercial insurer.	Act 232, SLH 1984; Act 219, SLH 1976	T	\$ 178,618	\$ -	\$ 178,618	\$ -	\$ -	\$ -
Mortgage Loan Recovery Fund	To allow consumers harmed by persons that violate Chapter 454F, HRS, to recover damages sustained by the fraud, misrepresentation, or deceit of such persons.	Section 454F-41, HRS	T	\$ 803,115	\$ 285,100	\$ 110,000	\$ -	\$ 978,215	\$ -
Multi-State Mortgage Servicer Agreement Fund	Provide support of general consumer protection enforcement efforts, including but not limited to, mortgage rescue fraud, and to educate homeowners about mortgage rescue fraud scams. Monitor compliance with the terms of the multi-state mortgage servicer settlement agreements, supervise and regulate the mortgage loan industry, and provide consumer education.	Multi-state Mortgage Settlement Agreement/Multi-state Mortgage Servicer Settlement Agreements	T	\$ 1,982,948	\$ 371,000	\$ 985,410	\$ -	\$ 1,463,683	\$ -
Premium Taxes Paid Pending Appeal	Premium taxes paid in protest due to denial of high tech tax credits.	Sections 431:7-202, 431:7-209, HRS	T	\$ 1,314,201	\$ -	\$ -	\$ -	\$ 1,314,201	\$ -

Department of Commerce and Consumer Affairs  
Non-General Fund Listing

Table 12

<u>Name of Fund</u>	<u>Purpose</u>	<u>Statutory Reference</u>	<u>MOF</u>	<u>Beginning FY14 Unencumbered Cash Balance</u>	<u>Estimated FY14 Revenues</u>	<u>Estimated FY14 Expenditures and Encumbrances</u>	<u>Estimated FY14 Net Transfers</u>	<u>Estimated FY14 Ending Unencumbered Cash Balance</u>	<u>Balance in Excess of Program Needs</u>
Real Estate Appraisers Registry Fee	The Legislature finds that the regulation of real estate appraisers is reasonably necessary to protect consumers. The Legislature further finds that 12 United States Code §3301 et seq. requires that real estate appraisals utilized in connection with federally related transactions be performed by individuals who are licensed or certified appraisers. The purposes of this chapter (466K, HRS) are to implement the requirements of 12 United States Code Sec §3301 et seq. and to require that all real estate appraisals be performed by licensed or certified appraisers. The requirements of this chapter do not apply to any real estate appraiser employed by any county for purposes of valuing real property for ad valorem taxation.	Chapter 466K, HRS; Title 16, Chapter 114, HAR	T	\$ 5,330	\$ 55,000	\$ 55,000	\$ -	\$ 5,330	\$ -
Real Estate Education Fund	As stated in §467-19(b), HRS, the Real Estate Education Trust Fund is intended to "promote the advancement of education and research in the field of real estate for the benefit of the public and those licensed under the provisions of this chapter and the improvement and more efficient administration of the real estate industry."	Sections 467-11-19, HRS	T	\$ 789,530	\$ 122,000	\$ 689,052	\$ -	\$ 335,739	\$ -
Real Estate Recovery Fund	As stated in §467-16(a), HRS, the Real Estate Recovery Trust Fund is intended to provide recovery to persons "aggrieved by an act, representation, transaction, or conduct of a duly licensed real estate broker, or real estate salesperson, upon the grounds of fraud, misrepresentation, or deceit". The statute establishes limits of \$25,000 per transaction and \$50,000 per licensee.	Sections 467-16-19, 21-24, HRS	T	\$ 718,442	\$ 50,000	\$ 502,310	\$ -	\$ 266,132	\$ -
Restitution for Consumers	To hold and disburse moneys received or recovered by the Office of Consumer Protection which are due to consumers as restitution.	Section 487:14 (c), HRS	T	\$ 147,521	\$ -	\$ 40,000	\$ -	\$ 107,521	\$ -
St Certified Motor Vehicle Arbitration Fd	Administration of the State Certified Motor Vehicle Arbitration Program (Lemon Law) created pursuant to Chapter 481I, HRS.	Chapter 481I, HRS	T	\$ 128,779	\$ 1,500	\$ 5,000	\$ -	\$ 126,091	\$ -
Temp Deposits-Commerce/Consumer Affairs	For temporary deposits. Moneys deposited in this fund may be later transferred to the appropriate fund.		T	\$ 1,977,960	\$ 1,748,581	\$ 2,218,955	\$ -	\$ 1,520,888	\$ -
		Subtotal "T" Funds		\$ 31,959,529	\$ 5,136,181	\$ 5,933,965	\$ 103,500,000	\$ 134,895,936	\$ -
Funds held outside the State Treasury by Time Warner	Franchise fees over the cap amount that is paid by TWE to Olelo are provided to DCCA for INET/Broadband purposes.	Decision & Order No. 346, Section IV.1.4		\$ 6,313,011	\$ 1,500,000	\$ -	\$ -	\$ 7,813,011	\$ 5,813,011

Department of Commerce and Consumer Affairs  
Vacancy Summary

Table 13

Prog ID/Org	MOF	All Established Positions					Salaries and Wages		
		Total Permanent FTE	Total Vacant Permanent FTE	Total Temporary FTE	Total Vacant Temporary FTE	% Vacant	Budgeted Amount for All Positions	Budgeted Amount for All Filled Positions	Approximate Vacancy Savings Rate
						-			-
CCA 102/FA	B	7.00	2.00	0.00	0.00	28.6%	\$ 503,729.00	\$ 388,193.00	22.9%
						-			-
CCA 103/HA	B	23.00	7.00	0.00	0.00	30.4%	\$ 1,293,388.00	\$ 906,783.00	29.9%
						-			-
CCA 104/BA	B	32.00	5.00	0.00	0.00	15.6%	\$ 2,070,202.00	\$ 1,764,622.00	14.8%
						-			-
CCA 105/GA	B	54.00	6.00	9.00	1.00	11.1%	\$ 2,917,524.00	\$ 2,655,036.00	9.0%
CCA 105/GA	T	8.00	0.00	3.00	0.00	0.0%	\$ 499,692.00	\$ 499,692.00	0.0%
						-			-
CCA 106/EA	B	85.00	13.00	2.00	1.00	16.1%	\$ 4,929,384.00	\$ 4,027,036.00	18.3%
CCA 106/EA	P	0.00	0.00	4.00	1.00	25.0%	\$ 347,500.00	\$ 285,000.00	18.0%
						-			-
CCA 110/DA	B	17.00	2.00	1.00	0.00	11.1%	\$ 996,708.00	\$ 950,940.00	4.6%
						-			-
CCA 111/CA	B	71.00	13.00	8.00	1.00	17.7%	\$ 3,482,928.00	\$ 2,995,032.00	14.0%
						-			-
CCA 112/AB	B	66.00	8.00	1.00	1.00	13.4%	\$ 3,450,480.00	\$ 3,001,428.00	13.0%
						-			-
CCA 191/AA	B	24.00	0.00	2.00	0.00	0.0%	\$ 1,407,936.00	\$ 1,407,936.00	0.0%
CCA 191/AH	B	4.00	0.00	5.00	0.00	0.0%	\$ 584,772.00	\$ 584,772.00	0.0%
CCA 191/AI	B	16.00	0.00	0.00	0.00	0.0%	\$ 973,992.00	\$ 973,992.00	0.0%

Department of Commerce and Consumer Affairs  
 Vacant Positions as of November 30

Table 14

Prog ID	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Describe if Filled by other Means	Priority # to Retain
CCA 104	--	01/16/14	121007	CRF FINANCIAL INST EXAM MGR	Y	NA	93	P	1.00	B	\$ 79,104.00	--	Y	N	--		1
CCA 106	--	12/01/14	5907	CHIEF DEPUTY INS COMMISSIONER**	N	EM7	35	P	1.00	B	\$ 99,204.00	\$ 99,204.00	Y	N	--		2
CCA 106	08/30/13	03/01/14	108002	CAPTIVE INSURANCE ADMINISTRATOR	Y	NA	93	P	1.00	B	\$ 82,500.00	\$ 84,156.00	Y	N	--		3
CCA 102	11/16/12	02/16/14	120245	CATV PROGRAM SPECIALIST	Y	NA	13	P	1.00	B	\$ 67,488.00	\$ 67,488.00	Y	N	--		4
CCA 110	12/14/12	03/01/14	23118	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 27,756.00	\$ 27,756.00	Y	N	--		5
CCA 112	07/03/12	01/16/14	101306	SUPERVISING ATTORNEY	Y	NA	73	P	1.00	B	\$ 75,216.00	\$ 75,216.00	Y	N	--		6
CCA 103	--	03/01/14	40834	PU RATE ANALYST VII *	N	28	23	P	1.00	B	\$ 62,424.00	\$ 55,764.00	Y	N	--		7
CCA 105	12/09/11	02/01/14	118936	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 27,756.00	\$ 26,364.00	Y	N	--		8
CCA 104	01/16/13	03/01/14	28752	FINANCIAL INST EXAMINER II	N	24	13	P	1.00	B	\$ 57,708.00	\$ 57,708.00	Y	N	--		9
CCA 103	--	03/01/14	28849	ENGINEER (PU) IV *	N	24	13	P	1.00	B	\$ 51,312.00	\$ 47,436.00	Y	N	--		10
CCA 106	--	05/01/14	120468	INS PROPERTY & CASUALTY ACTUARY	Y	NA	13	T	1.00	B	\$ 160,000.00	--	Y	N	--		11
CCA 111	08/16/13	06/30/14	46584	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 25,668.00	\$ 26,700.00	N	N	--		12
CCA 112	09/25/13	02/01/14	101305	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 27,756.00	\$ 28,836.00	Y	N	--		13
CCA 104	07/16/13	05/01/14	17398	FINANCIAL INST EXAMINER II	N	24	13	P	1.00	B	\$ 53,352.00	\$ 53,352.00	Y	N	--		14
CCA 103	--	04/01/14	21377	PU RATE ANALYST V *	N	24	13	P	1.00	B	\$ 51,312.00	\$ 47,436.00	Y	N	--		15
CCA 110	--	03/01/14	120993	CRF INVESTIGATOR I	Y	NA	13	P	1.00	B	\$ 18,012.00	--	Y	N	--		16
CCA 112	10/16/13	04/01/14	102729	STAFF ATTORNEY	Y	NA	73	P	1.00	B	\$ 61,104.00	\$ 65,688.00	N	N	--		17
CCA 105	04/16/13	02/01/14	12746	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 32,424.00	\$ 32,424.00	Y	N	--		18
CCA 111	08/01/12	06/30/14	32896	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 30,036.00	\$ 30,036.00	Y	Y	5		19
CCA 105	09/16/13	03/01/14	36374	REG BDS/COMMS ADMIN ASST I	N	22	13	P	1.00	B	\$ 75,960.00	\$ 75,960.00	Y	N	--		20
CCA 105	09/03/13	06/30/14	118935	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 31,212.00	\$ 32,424.00	Y	N	--		21
CCA 106	11/01/13	02/01/14	113029	IRF STAFF ATTORNEY III	Y	NA	73	P	1.00	B	\$ 75,648.00	\$ 75,648.00	Y	N	--		22
CCA 112	02/01/13	05/01/14	103178	RI INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 53,352.00	\$ 53,352.00	Y	N	--		23
CCA 104	09/05/13	05/01/14	26271	FINANCIAL INST EXAMINER II	N	24	13	P	1.00	B	\$ 57,708.00	\$ 60,012.00	Y	N	--		24
CCA 103	02/01/11	04/01/14	106291	DCA RESEARCH ANALYST V	Y	NA	13	P	1.00	B	\$ 72,996.00	\$ 72,996.00	Y	N	--		25
CCA 111	06/01/11	06/30/14	35709	BUSINESS REGISTRATION ASST	N	15	03	P	1.00	B	\$ 33,756.00	\$ 46,176.00	Y	N	--		26
CCA 104	04/01/11	06/01/14	4295	FINANCIAL INST EXAMINER IV	N	27	23	P	1.00	B	\$ 57,708.00	\$ 78,984.00	Y	N	--		27
CCA 112	09/16/11	06/30/14	118464	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 45,576.00	\$ 57,024.00	N	N	--		28
CCA 106	09/14/13	03/01/14	110317	CRF RATE & POLICY ANALYST IV	Y	NA	13	P	1.00	B	\$ 53,352.00	\$ 53,352.00	Y	N	--		29
CCA 111	11/01/13	03/01/14	32897	BUSINESS REGISTRATION ASST	N	15	03	P	1.00	B	\$ 48,048.00	\$ 49,932.00	N	N	--		30
CCA 103	11/01/13	06/01/14	15984	PU RATE ANALYST V	N	24	13	P	1.00	B	\$ 51,312.00	\$ 53,364.00	N	N	--		31
CCA 105	04/16/13	06/30/14	42126	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 30,036.00	\$ 30,036.00	Y	N	--		32
CCA 112	06/01/11	06/30/14	118461	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 45,576.00	\$ 45,576.00	Y	N	--		33
CCA 105	08/01/13	06/30/14	11250	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 35,064.00	\$ 36,516.00	N	N	--		34
CCA 106	06/17/13	03/01/14	25147	INS RATE & POLICY ANALYST III	N	20	13	P	1.00	B	\$ 55,500.00	\$ 55,500.00	Y	N	--		35
CCA 103	--	06/01/14	120189	DCA RESEARCH ANALYST V	Y	NA	13	P	1.00	B	\$ 45,937.00	--	Y	N	--		36
CCA 111	12/01/11	03/01/14	49514	SECURITIES EXAMINER III	N	22	13	P	1.00	B	\$ 42,132.00	\$ 42,132.00	N	N	--		37
CCA 111	09/07/12	06/30/14	102159	CRF STAFF ATTORNEY	Y	NA	73	T	1.00	B	\$ 73,692.00	\$ 73,692.00	Y	N	--		38
CCA 106	08/21/13	04/01/14	120528	INS HEALTH PREMIUM RATE ANALYST	Y	NA	13	T	1.00	P	\$ 62,500.00	\$ 49,332.00	Y	N	--		39
CCA 112	04/26/11	06/30/14	101312	RI INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 45,576.00	\$ 45,576.00	Y	N	--		40
CCA 105	--	06/30/14	120700	OFFICE ASSISTANT V	N	12	03	T	1.00	B	\$ 30,036.00	--	Y	N	--		41
CCA 111	10/01/13	06/30/14	29154	BUSINESS REGISTRATION ASST	N	15	03	P	1.00	B	\$ 33,756.00	\$ 49,344.00	N	N	--		42
CCA 112	--	04/01/14	120232	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 45,576.00	--	Y	N	--		43
CCA 106	--	02/01/14	120950	CRF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 64,920.00	--	Y	N	--		44
CCA 106	--	03/01/14	120976	CIAF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 32,500.00	--	Y	N	--		45
CCA 112	06/30/12	06/30/14	120233	RI INVESTIGATOR IV	Y	NA	13	T	1.00	B	\$ 49,320.00	\$ 49,320.00	N	N	--		46
CCA 111	02/04/09	03/01/14	14817	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 26,700.00	\$ 26,700.00	Y	Y	8		47
CCA 111	09/16/13	06/30/14	38869	LEGAL CLERK	N	14	03	P	1.00	B	\$ 32,424.00	\$ 32,424.00	Y	N	--		48
CCA 106	--	04/01/14	120977	CIAF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 32,500.00	--	Y	N	--		49
CCA 111	05/01/13	01/16/14	26208	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 33,756.00	\$ 35,064.00	N	N	--		50
CCA 106	--	04/01/14	120978	CIAF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 32,500.00	--	Y	N	--		51
CCA 111	08/13/13	03/01/14	49536	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 27,756.00	\$ 42,684.00	Y	N	--		52
CCA 106	--	05/01/14	120979	CIAF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 32,500.00	--	Y	N	--		53
CCA 111	12/31/09	03/01/14	46581	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 25,668.00	\$ 31,212.00	Y	N	--		54
CCA 106	05/22/09	04/01/14	118637	CRF LICENSING CLERK	Y	NA	03	P	1.00	B	\$ 28,836.00	\$ 28,836.00	Y	N	--		55

Department of Commerce and Consumer Affairs  
 Vacant Positions as of November 30

Table 14

Prog ID	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm		MOF	Budgeted Amount	Actual Salary Last Paid	Authority	Occupied	# of 89 Hire Appts	Describe if Filled by other Means	Priority # to Retain
								Temp. (P/T)	FTE				to Hire (Y/N)	by 89 Day Hire (Y/N)			
CCA 111	01/01/13	06/30/14	38510	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 25,668.00	\$ 25,368.00	Y	N	--		56
CCA 102	10/01/13	01/09/14	22873	SECRETARY III	N	16	63	P	1.00	B	\$ 48,048.00	\$ 49,932.00	Y	Y	1		Offer Accepted
CCA 103	--	01/07/14	15098	PU RATE ANALYST V *	N	24	13	P	1.00	B	\$ 51,312.00	\$ 70,560.00	Y	N	--		Offer Accepted
CCA 106	08/08/13	12/30/13	101970	IRF STAFF ATTORNEY	Y	NA	73	P	1.00	B	\$ 76,428.00	\$ 76,428.00	Y	N	--		Offer Accepted
CCA 106	08/23/13	12/16/13	110212	CRF MULTI-MEDIA EDUCATION SPCLT	Y	NA	13	P	1.00	B	\$ 75,960.00	\$ 62,424.00	Y	N	--		Offer Accepted
CCA 111	04/11/12	01/02/14	49535	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 28,836.00	\$ 28,836.00	Y	N	--		Offer Accepted
*	Position restored, pursuant to Act 130, SLH 2010, effective July 1, 2010.																
**	Incumbent on leave without pay to accept exempt appointment to Pos. # 100690, NTE 12/01/14.																



Department of Commerce and Consumer Affairs  
Personnel Separations

Table 15

Prog ID/Org	Separation Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	T/P	MOF	Budgeted FTE	Budgeted Salary	Actual FTE	Actual Salary
CCA 102/FA	09/30/13	22873	Secretary II	N	14	63	P	B	1.00	\$48,048.00	1.00	\$49,932.00
CCA 102/FA	02/28/13	102761	Cable Television Administrator	Y	NA	93	P	B	1.00	\$97,644.00	1.00	\$97,644.00
CCA 102/FA	11/15/12	120245	CATV Program Specialist	Y	NA	13	P	B	1.00	\$70,000.00	1.00	\$67,488.00
CCA 102/FA	05/31/12	120246	CATV Program Specialist	Y	NA	13	T	B	1.00	\$70,000.00	1.00	\$75,960.00
CCA 103/HA	04/30/13	5664	Legal Clerk	N	14	03	P	B	1.00	\$35,064.00	1.00	\$35,064.00
CCA 103/HA	10/31/13	15984	PU Rate Analyst V	N	24	13	P	B	1.00	\$51,312.00	1.00	\$51,312.00
CCA 103/HA	07/15/11	21378	Office Assistant IV	N	10	03	P	B	1.00	\$27,756.00	1.00	\$27,756.00
CCA 104/BA	07/15/13	17398	Financial Institutions Examiner II	N	24	13	P	B	1.00	\$53,352.00	1.00	\$53,352.00
CCA 104/BA	09/04/13	26271	Financial Institutions Examiner II	N	24	13	P	B	1.00	\$57,708.00	1.00	\$57,708.00
CCA 104/BA	01/15/13	28752	Financial Institutions Examiner II	N	24	13	P	B	1.00	\$57,708.00	1.00	\$57,708.00
CCA 104/BA	04/26/13	106293	FIERF Fin Inst Examiner II	Y	NA	13	P	B	1.00	\$64,920.00	1.00	\$64,920.00
CCA 104/BA	11/16/12	113141	Financial Inst Specialist	Y	NA	13	P	B	1.00	\$64,920.00	1.00	\$67,488.00
CCA 104/BA	04/01/13	118396	Office Assistant III	N	08	03	P	B	1.00	\$33,756.00	1.00	\$33,756.00
CCA 104/BA	01/25/13	120670	CRF Fin Inst Examiner I	Y	NA	13	P	B	1.00	\$55,500.00	1.00	\$49,332.00
CCA 105/GA	06/28/13	8394	Secretary IV	N	18	63	P	B	1.00	\$42,684.00	1.00	\$42,684.00
CCA 105/GA	07/31/13	11250	Office Assistant IV	N	10	03	P	B	1.00	\$35,064.00	1.00	\$36,516.00
CCA 105/GA	04/15/13	12746	Office Assistant IV	N	10	03	P	B	1.00	\$32,424.00	1.00	\$30,036.00
CCA 105/GA	10/15/12	17724	Office Assistant IV	N	10	03	P	B	1.00	\$28,836.00	1.00	\$28,836.00
CCA 105/GA	04/15/13	22646	Office Assistant IV	N	10	03	P	B	1.00	\$36,516.00	1.00	\$36,516.00
CCA 105/GA	10/02/11	36375	Secretary II	N	14	03	P	B	1.00	\$41,040.00	1.00	\$38,988.00
CCA 105/GA	07/01/13	36375	Secretary II	N	14	03	P	B	1.00	\$36,516.00	1.00	\$36,516.00
CCA 105/GA	04/15/13	42126	Office Assistant IV	N	10	03	P	B	1.00	\$33,756.00	1.00	\$32,424.00
CCA 105/GA	07/31/12	43736	Office Assistant IV	N	10	03	P	B	1.00	\$36,516.00	1.00	\$36,516.00
CCA 105/GA	09/03/12	49274	Office Assistant V	N	12	03	P	B	1.00	\$36,516.00	1.00	\$36,516.00
CCA 105/GA	01/01/13	49274	Office Assistant V	N	12	03	P	B	1.00	\$36,516.00	1.00	\$31,212.00
CCA 105/GA	04/30/13	102249	Condo Program Specialist	Y	NA	13	P	T	1.00	\$53,664.00	1.00	\$53,664.00
CCA 105/GA	09/02/13	118935	Office Assistant IV	N	10	03	P	B	1.00	\$31,212.00	1.00	\$32,424.00
CCA 105/GA	12/08/11	118936	Office Assistant IV	N	10	03	T	B	1.00	\$27,756.00	1.00	\$27,756.00
CCA 105/GA	01/31/12	118938	Secretary II	N	14	03	T	B	1.00	\$36,516.00	1.00	\$34,692.00
CCA 105/GA	10/16/11	118940	Office Assistant III	N	08	03	T	T	1.00	\$30,036.00	1.00	\$28,536.00
CCA 105/GA	01/15/13	118940	Office Assistant III	N	08	03	P	T	1.00	\$30,036.00	1.00	\$37,668.00
CCA 105/GA	08/26/11	118941	Office Assistant III	N	08	03	T	T	1.00	\$25,668.00	1.00	\$24,384.00
CCA 106/EA	07/31/12	128	Insurance Licensing Assistant	N	15	03	P	B	1.00	\$37,968.00	1.00	\$37,968.00
CCA 106/EA	05/20/13	128	Insurance Licensing Assistant *	N	15	63	P	B	1.00	\$37,968.00	1.00	\$16.23
CCA 106/EA	10/31/11	22241	Investigator III	N	20	13	P	B	1.00	\$55,500.00	1.00	\$52,728.00

Department of Commerce and Consumer Affairs  
Personnel Separations

Table 15

<u>Prog ID/Org</u>	<u>Separation Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>
CCA 106/EA	02/15/13	22241	Investigator III *	N	20	73	P	B	1.00	\$55,500.00	1.00	\$20.26
CCA 106/EA	04/30/13	25140	Insurance Rate & Policy Analyst IV	N	22	13	P	B	1.00	\$57,708.00	1.00	\$57,708.00
CCA 106/EA	06/16/13	25147	Insurance Rate & Policy Analyst III	N	20	13	P	B	1.00	\$55,500.00	1.00	\$55,500.00
CCA 106/EA	11/30/12	42124	Insurance Rate & Policy Analyst IV	N	22	13	P	B	1.00	\$64,920.00	1.00	\$64,920.00
CCA 106/EA	08/07/13	101970	IRF Staff Attorney	Y	NA	73	P	B	1.00	\$76,428.00	1.00	\$76,428.00
CCA 106/EA	02/29/12	103232	MV Investigator III	Y	NA	13	P	B	1.00	\$51,312.00	1.00	\$48,744.00
CCA 106/EA	08/29/13	108002	Captive Insurance Administrator	Y	NA	00	P	B	1.00	\$82,500.00	1.00	\$84,156.00
CCA 106/EA	10/03/11	110211	IRF Rate & Policy Analyst	Y	NA	13	P	B	1.00	\$51,312.00	1.00	\$48,744.00
CCA 106/EA	05/31/12	110212	CRF Multi-Media Education Specialist	Y	NA	13	P	B	1.00	\$60,816.00	1.00	\$75,960.00
CCA 106/EA	08/22/13	110212	CRF Multi-Media Education Specialist	Y	NA	13	P	B	1.00	\$75,960.00	1.00	\$60,024.00
CCA 106/EA	04/02/12	110317	CRF Rate & Policy Analyst IV	Y	NA	13	P	B	1.00	\$51,312.00	1.00	\$49,908.00
CCA 106/EA	09/13/13	110317	CRF Rate & Policy Analyst IV	Y	NA	13	P	B	1.00	\$53,352.00	1.00	\$53,352.00
CCA 106/EA	01/04/13	110445	IRF Staff Attorney III	Y	NA	73	P	B	1.00	\$72,996.00	1.00	\$73,044.00
CCA 106/EA	05/31/12	110570	Insurance Examiner III *	N	26	93	P	B	1.00	\$73,044.00	1.00	\$26.68
CCA 106/EA	04/09/12	110611	MV Investigator IV	Y	NA	13	P	B	1.00	\$51,312.00	1.00	\$51,312.00
CCA 106/EA	08/27/12	110705	IRF Program Analyst	Y	NA	13	P	B	1.00	\$60,024.00	1.00	\$60,024.00
CCA 106/EA	06/29/12	110964	IRF Program Assistant	Y	NA	03	P	B	1.00	\$62,424.00	1.00	\$55,500.00
CCA 106/EA	06/17/13	112455	CIAF Insurance Examiner I	Y	NA	13	P	B	1.00	\$66,900.00	1.00	\$55,008.00
CCA 106/EA	08/29/12	112456	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	\$64,800.00	1.00	\$64,800.00
CCA 106/EA	10/31/13	113029	IRF Staff Attorney III	Y	NA	73	P	B	1.00	\$75,648.00	1.00	\$75,648.00
CCA 106/EA	07/18/12	118104	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	\$59,400.00	1.00	\$59,400.00
CCA 106/EA	05/31/12	118630	CRF Insurance Examiner II	Y	NA	13	P	B	1.00	\$57,708.00	1.00	\$62,400.00
CCA 106/EA	02/15/12	119029	Insurance Licensing Clerk	N	11	03	P	B	1.00	\$28,836.00	1.00	\$36,072.00
CCA 106/EA	11/15/12	119185	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$26,700.00
CCA 106/EA	08/20/13	120528	INS Health Premium Rate Analyst	Y	NA	13	T	B	1.00	\$62,500.00	1.00	\$49,332.00
CCA 110/DA	11/25/11	19454	Legal Clerk	N	14	03	P	B	1.00	\$35,064.00	1.00	\$30,804.00
CCA 110/DA	03/30/12	19454	Legal Clerk	N	14	03	P	B	1.00	\$35,064.00	1.00	\$35,064.00
CCA 110/DA	12/13/12	23118	Office Assistant III	N	08	03	P	B	1.00	\$27,756.00	1.00	\$27,756.00
CCA 110/DA	08/29/13	23118	Office Assistant III *	N	08	63	P	B	1.00	\$27,756.00	1.00	\$12.34
CCA 110/DA	08/12/12	100351	Staff Attorney III	Y	NA	73	P	B	1.00	\$81,360.00	1.00	\$81,360.00
CCA 110/DA	11/30/13	120363	Investigator IV	N	22	13	P	B	1.00	\$49,332.00	1.00	\$49,332.00
CCA 111/CA	09/06/12	14817	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$26,700.00
CCA 111/CA	10/31/13	26208	Office Assistant IV	N	10	03	P	B	1.00	\$33,756.00	1.00	\$35,064.00
CCA 111/CA	01/21/13	29100	Office Assistant III	N	08	03	P	B	1.00	\$28,836.00	1.00	\$28,836.00
CCA 111/CA	05/15/12	29152	Clerical Supervisor II	N	14	04	P	B	1.00	\$37,968.00	1.00	\$37,968.00
CCA 111/CA	04/15/12	29153	Office Assistant IV	N	10	03	P	B	1.00	\$31,212.00	1.00	\$31,212.00
CCA 111/CA	11/30/11	29154	Business Registration Assistant	N	15	03	P	B	1.00	\$51,936.00	1.00	\$49,344.00
CCA 111/CA	12/02/12	32895	Office Assistant III	N	08	03	P	B	1.00	\$28,836.00	1.00	\$28,836.00

Department of Commerce and Consumer Affairs  
Personnel Separations

Table 15

<u>Prog ID/Org</u>	<u>Separation Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>
CCA 111/CA	04/30/13	32896	Office Assistant III	N	08	03	P	B	1.00	\$30,036.00	1.00	\$30,036.00
CCA 111/CA	09/30/13	32897	Business Registration Assistant	N	15	03	P	B	1.00	\$48,048.00	1.00	\$49,932.00
CCA 111/CA	11/30/11	38510	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$25,368.00
CCA 111/CA	09/15/13	38510	Office Assistant III	N	08	03	P	B	1.00	\$25,668.00	1.00	\$26,700.00
CCA 111/CA	11/30/11	38869	Legal Clerk	N	14	03	P	B	1.00	\$32,424.00	1.00	\$33,312.00
CCA 111/CA	07/31/12	38869	Legal Clerk	N	14	03	P	B	1.00	\$32,424.00	1.00	\$32,424.00
CCA 111/CA	04/20/12	40364	Office Assistant III	N	08	03	P	B	1.00	\$25,668.00	1.00	\$25,668.00
CCA 111/CA	04/10/12	46581	Office Assistant III	N	08	03	P	B	1.00	\$31,212.00	1.00	\$31,212.00
CCA 111/CA	11/15/13	46581	Office Assistant III *	N	08	63	P	B	1.00	\$25,668.00	1.00	\$12.34
CCA 111/CA	07/31/12	46582	Office Assistant III	N	08	03	P	B	1.00	\$28,836.00	1.00	\$31,212.00
CCA 111/CA	01/16/13	46582	Office Assistant III	N	08	03	P	B	1.00	\$28,836.00	1.00	\$25,668.00
CCA 111/CA	08/12/13	46584	Office Assistant III	N	08	03	P	B	1.00	\$25,668.00	1.00	\$26,700.00
CCA 111/CA	09/30/12	49518	Office Assistant IV	N	10	03	P	B	1.00	\$37,968.00	1.00	\$37,968.00
CCA 111/CA	12/02/12	49521	Office Assistant III	N	08	03	P	B	1.00	\$31,212.00	1.00	\$31,212.00
CCA 111/CA	12/31/12	49535	Office Assistant IV	N	10	03	P	B	1.00	\$28,836.00	1.00	\$28,836.00
CCA 111/CA	07/31/11	101841	Staff Attorney	Y	NA	73	P	B	1.00	\$82,680.00	1.00	\$78,552.00
CCA 111/CA	04/11/12	101841	Staff Attorney	Y	NA	73	P	B	1.00	\$82,680.00	1.00	\$87,372.00
CCA 111/CA	07/02/12	102086	CRF Investigator IV	Y	NA	13	T	B	1.00	\$45,576.00	1.00	\$45,576.00
CCA 111/CA	07/31/13	102159	CRF Staff Attorney	Y	NA	73	T	B	1.00	\$68,388.00	1.00	\$73,692.00
CCA 111/CA	05/16/12	102529	CRF Investigator IV	Y	NA	13	T	B	1.00	\$45,576.00	1.00	\$45,576.00
CCA 111/CA	04/02/12	102532	CRF Staff Attorney II	Y	NA	73	T	B	1.00	\$72,996.00	1.00	\$73,692.00
CCA 111/CA	11/09/12	102532	CRF Staff Attorney III	Y	NA	73	T	B	1.00	\$72,996.00	1.00	\$73,500.00
CCA 111/CA	03/30/12	102780	CRF Staff Attorney II	Y	NA	73	P	B	1.00	\$68,388.00	1.00	\$68,388.00
CCA 111/CA	05/15/12	102780	CRF Staff Attorney II	Y	NA	73	P	B	1.00	\$68,388.00	1.00	\$73,008.00
CCA 111/CA	04/30/12	108006	CRF Investigator IV	Y	NA	13	T	B	1.00	\$47,412.00	1.00	\$47,412.00
CCA 111/CA	08/15/11	117393	Securities Education Assistant	Y	NA	03	P	B	1.00	\$33,756.00	1.00	\$32,064.00
CCA 112/AB	10/16/11	12731	Investigator IV	N	22	13	P	B	1.00	\$45,576.00	1.00	\$43,296.00
CCA 112/AB	08/24/12	12731	Investigator IV *	N	22	73	P	B	1.00	\$45,576.00	1.00	\$21.91
CCA 112/AB	04/15/12	100890	Complaints & Enforcement Officer	Y	NA	73	P	B	1.00	\$95,040.00	1.00	\$95,040.00
CCA 112/AB	09/24/13	101305	Office Assistant IV	N	10	03	P	B	1.00	\$27,756.00	1.00	\$28,836.00
CCA 112/AB	07/02/12	101306	Supervising Attorney	Y	NA	73	P	B	1.00	\$75,216.00	1.00	\$75,216.00
CCA 112/AB	02/10/12	101319	RI Investigator IV	Y	NA	13	P	B	1.00	\$45,576.00	1.00	\$43,296.00
CCA 112/AB	10/16/13	102729	Staff Attorney	Y	NA	73	P	B	1.00	\$61,104.00	1.00	\$65,688.00
CCA 112/AB	01/31/13	103178	RI Investigator IV	Y	NA	13	P	B	1.00	\$55,500.00	1.00	\$53,352.00
CCA 112/AB	06/29/12	118045	RI Investigator IV	Y	NA	13	P	B	1.00	\$49,332.00	1.00	\$49,332.00
CCA 112/AB	08/20/12	118430	Investigator II	N	18	13	P	B	1.00	\$38,988.00	1.00	\$38,988.00
CCA 112/AB	09/15/11	118464	Investigator IV	N	22	13	P	B	1.00	\$60,024.00	1.00	\$57,024.00
CCA 112/AB	08/15/12	118502	Investigator IV	N	22	13	P	B	1.00	\$60,024.00	1.00	\$45,576.00
CCA 112/AB	07/31/12	118554	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$26,700.00

Department of Commerce and Consumer Affairs  
Personnel Separations

Table 15

<u>Prog ID/Org</u>	<u>Separation Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>	
CCA 112/AB	01/08/12	118627	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$28,536.00	
CCA 112/AB	07/17/11	119178	Office Assistant III	N	08	03	P	B	1.00	\$30,036.00	1.00	\$28,536.00	
CCA 112/AB	06/29/12	120233	RI Investigator IV	Y	NA	13	T	B	1.00	\$45,576.00	1.00	\$49,320.00	
CCA 191/AA	02/28/13	6567	Office Assistant IV	N	10	03	P	B	1.00	\$33,756.00	1.00	\$33,756.00	
CCA 191/AA	02/14/12	11249	Account Clerk III	N	11	03	P	B	1.00	\$31,212.00	1.00	\$34,692.00	
CCA 191/AA	05/31/12	13493	Cashier II	N	12	03	P	B	1.00	\$41,400.00	1.00	\$41,040.00	
CCA 191/AA	07/01/12	19657	Cashier I	N	10	03	P	B	1.00	\$36,516.00	1.00	\$36,516.00	
CCA 191/AA	02/09/12	30388	Cashier I	N	10	03	P	B	1.00	\$27,756.00	1.00	\$26,364.00	
CCA 191/AA	01/02/12	39806	Program Budget Analyst IV	N	22	73	P	B	1.00	\$64,920.00	1.00	\$45,036.00	
CCA 191/AA	07/15/13	45090	Account Clerk IV	N	13	03	P	B	1.00	\$35,064.00	1.00	\$36,516.00	
CCA 191/AA	01/31/12	100897	Deputy Director	Y	NA	00	P	B	1.00	\$100,248.00	1.00	\$100,248.00	
CCA 191/AI	01/05/12	102769	Information Systems Manager	Y	NA	93	P	B	1.00	\$95,040.00	1.00	\$90,288.00	
CCA 191/AA	09/15/11	112797	CCA Administrative Assistant	Y	NA	73	P	B	1.00	\$66,732.00	1.00	\$61,680.00	
CCA 191/AA	04/13/12	112797	CCA Administrative Assistant	Y	NA	73	P	B	1.00	\$66,732.00	1.00	\$67,488.00	
CCA 191/AA	09/13/13	112797	CCA Administrative Assistant	Y	NA	73	P	B	1.00	\$60,000.00	1.00	\$62,400.00	
CCA 191/AH	03/26/12	119019	Legal Clerk	N	14	03	T	B	1.00	\$32,424.00	1.00	\$32,424.00	
			* - Incumbent employed as a 89-day non-civil service appointee, paid on a hourly basis.										

Department of Commerce and Consumer Affairs  
New Hires

Table 16

<u>Prog ID/Org</u>	<u>New Hire Effective Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>
CCA 102/FA	10/01/13	22873	Secretary III *	N	16	63	P	B	1.00	\$48,048.00	1.00	\$15.59
CCA 102/FA	09/06/11	102761	Cable Television Administrator	Y	NA	93	P	B	1.00	\$97,644.00	1.00	\$92,760.00
CCA 102/FA	08/15/13	102761	Cable Television Administrator	Y	NA	93	P	B	1.00	\$97,644.00	1.00	\$104,700.00
CCA 102/FA	11/16/12	120244	CATV Program Specialist	Y	NA	13	P	B	1.00	\$74,285.00	1.00	\$74,292.00
CCA 102/FA	08/17/11	120246	CATV Program Specialist	Y	NA	13	T	B	1.00	\$70,000.00	1.00	\$72,168.00
CCA 102/FA	08/16/12	120246	CATV Program Specialist	Y	NA	13	P	B	1.00	\$70,000.00	1.00	\$67,488.00
CCA 103/HA	07/02/13	5664	Legal Clerk	N	14	03	P	B	1.00	\$35,064.00	1.00	\$36,516.00
CCA 103/HA	10/03/11	6318	Legal Clerk	N	14	03	P	B	1.00	\$36,516.00	1.00	\$38,988.00
CCA 103/HA	10/17/11	21378	Office Assistant IV	N	10	03	P	B	1.00	\$27,756.00	1.00	\$30,804.00
CCA 103/HA	08/12/13	120186	DCA Research Analyst VII	Y	NA	23	P	B	1.00	\$63,781.00	1.00	\$68,004.00
CCA 103/HA	05/01/13	120187	DCA Research Analyst V	Y	NA	13	P	B	1.00	\$45,937.00	1.00	\$53,664.00
CCA 103/HA	05/01/13	120188	DCA Research Analyst V	Y	NA	13	P	B	1.00	\$45,937.00	1.00	\$45,600.00
CCA 104/BA	07/18/11	26272	Office Assistant III	N	08	03	P	B	1.00	\$25,668.00	1.00	\$24,384.00
CCA 104/BA	01/17/12	37194	Fin Inst Regulation Analyst I	N	24	13	P	B	1.00	\$64,920.00	1.00	\$43,296.00
CCA 104/BA	08/28/12	102610	CRF Financial Institutions Examiner II	Y	NA	13	P	B	1.00	\$67,488.00	1.00	\$60,024.00
CCA 104/BA	01/03/12	102765	FIERF Fin Inst Exam Mgr	Y	NA	93	P	B	1.00	\$83,412.00	1.00	\$79,236.00
CCA 104/BA	10/28/13	106293	FIERF Financial Inst Examiner II	Y	NA	13	P	B	1.00	\$64,920.00	1.00	\$62,424.00
CCA 104/BA	12/26/12	113141	Financial Inst Specialist	Y	NA	13	P	B	1.00	\$64,920.00	1.00	\$73,044.00
CCA 104/BA	11/25/13	118396	Office Assistant III	N	08	03	P	B	1.00	\$33,756.00	1.00	\$25,668.00
CCA 104/BA	07/18/11	119529	CRF Financial Institutions Examiner IV	Y	NA	23	P	B	1.00	\$75,960.00	1.00	\$61,680.00
CCA 104/BA	12/03/12	120670	CRF Financial Institutions Examiner I	Y	NA	13	P	B	1.00	\$55,500.00	1.00	\$49,332.00
CCA 104/BA	09/16/13	118659	CRF Financial Inst Examiner II	Y	NA	13	P	B	1.00	\$57,708.00	1.00	\$57,708.00
CCA 104/BA	09/03/13	120670	CRF Financial Inst Examiner II	Y	NA	13	P	B	1.00	\$55,500.00	1.00	\$62,424.00
CCA 105/GA	09/16/13	3769	Supvg Reg Bds/Comms Admin Asst	N	28	23	P	B	1.00	\$62,424.00	1.00	\$85,428.00
CCA 105/GA	07/16/13	6539	Clerical Supervisor IV	N	16	04	P	B	1.00	\$35,064.00	1.00	\$35,064.00
CCA 105/GA	10/01/13	8394	Secretary IV	N	18	63	P	B	1.00	\$42,684.00	1.00	\$56,172.00
CCA 105/GA	01/02/13	17724	Office Assistant IV	N	10	03	P	B	1.00	\$28,836.00	1.00	\$28,836.00
CCA 105/GA	09/27/13	22646	Office Assistant IV	N	10	03	P	B	1.00	\$36,516.00	1.00	\$27,756.00
CCA 105/GA	11/21/11	26925	Regulatory Bds/Comms Admin Asst I	N	22	13	P	B	1.00	\$45,576.00	1.00	\$43,296.00
CCA 105/GA	02/01/12	36375	Secretary II	N	14	03	P	B	1.00	\$41,040.00	1.00	\$34,692.00
CCA 105/GA	08/22/13	36375	Secretary II	N	14	03	P	B	1.00	\$36,516.00	1.00	\$36,516.00
CCA 105/GA	03/16/12	42126	Office Assistant IV	N	10	03	P	B	1.00	\$33,756.00	1.00	\$30,036.00
CCA 105/GA	11/01/11	42706	Regulatory Bds/Comms Admin Asst I	N	22	13	P	B	1.00	\$45,576.00	1.00	\$57,024.00
CCA 105/GA	02/10/12	43735	Office Assistant IV	N	10	03	P	B	1.00	\$31,212.00	1.00	\$26,364.00
CCA 105/GA	09/03/13	43736	Office Assistant IV	N	10	03	P	B	1.00	\$27,756.00	1.00	\$32,424.00
CCA 105/GA	04/16/13	49273	Office Assistant V	N	12	03	P	B	1.00	\$44,412.00	1.00	\$32,424.00

Department of Commerce and Consumer Affairs  
New Hires

Table 16

<u>Prog ID/Org</u>	<u>New Hire Effective Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>
CCA 105/GA	10/16/12	49274	Office Assistant V	N	12	03	P	B	1.00	\$36,516.00	1.00	\$31,212.00
CCA 105/GA	04/16/13	49274	Office Assistant V	N	12	03	P	B	1.00	\$36,516.00	1.00	\$34,824.00
CCA 105/GA	08/21/13	102249	Condo Program Specialist	Y	NA	13	P	T	1.00	\$53,664.00	1.00	\$53,364.00
CCA 105/GA	07/18/11	118936	Office Assistant IV	N	10	03	T	B	1.00	\$27,756.00	1.00	\$27,756.00
CCA 105/GA	09/04/12	118938	Secretary II	N	14	03	P	B	1.00	\$36,516.00	1.00	\$39,480.00
CCA 105/GA	12/17/12	118940	Office Assistant III	N	08	03	P	T	1.00	\$30,036.00	1.00	\$37,668.00
CCA 105/GA	06/03/13	118940	Office Assistant III	N	08	03	P	B	1.00	\$30,036.00	1.00	\$25,668.00
CCA 105/GA	07/01/11	118941	Office Assistant III	N	08	03	T	T	1.00	\$25,668.00	1.00	\$24,384.00
CCA 105/GA	08/01/12	118941	Office Assistant III	N	08	03	P	T	1.00	\$25,668.00	1.00	\$26,700.00
CCA 105/GA	10/01/13	120701	Office Assistant IV	N	10	03	T	B	1.00	\$32,424.00	1.00	\$27,756.00
CCA 106/EA	02/21/13	128	Insurance Licensing Assistant *	N	15	03	P	B	1.00	\$37,968.00	1.00	\$16.23
CCA 106/EA	06/28/13	128	Insurance Licensing Assistant	N	15	03	P	B	1.00	\$37,968.00	1.00	\$33,756.00
CCA 106/EA	10/03/11	5913	Office Assistant IV	N	10	03	P	B	1.00	\$27,756.00	1.00	\$26,364.00
CCA 106/EA	11/16/12	15542	Insurance Rate & Policy Analyst III	N	20	13	P	B	1.00	\$64,920.00	1.00	\$43,824.00
CCA 106/EA	01/03/12	22241	Investigator III *	N	20	73	P	B	1.00	\$55,500.00	1.00	\$19.24
CCA 106/EA	03/04/13	22241	Investigator III	N	20	13	P	B	1.00	\$55,500.00	1.00	\$55,500.00
CCA 106/EA	02/16/12	25055	Secretary III	N	16	63	P	B	1.00	\$42,684.00	1.00	\$40,548.00
CCA 106/EA	06/17/13	25140	Insurance Rate & Policy Analyst IV	N	22	13	P	B	1.00	\$57,708.00	1.00	\$60,024.00
CCA 106/EA	09/06/11	42121	Office Assistant III	N	08	03	P	B	1.00	\$25,668.00	1.00	\$24,384.00
CCA 106/EA	05/21/12	42122	Office Assistant III	N	08	03	P	B	1.00	\$25,668.00	1.00	\$25,668.00
CCA 106/EA	09/16/13	42124	Insurance Rate & Policy Analyst IV	N	22	13	P	B	1.00	\$64,920.00	1.00	\$53,352.00
CCA 106/EA	12/05/12	103232	INS Fraud Investigator IV	Y	NA	13	P	B	1.00	\$51,212.00	1.00	\$55,500.00
CCA 106/EA	04/23/12	110211	IRF Rate & Policy Analyst	Y	NA	13	P	B	1.00	\$51,312.00	1.00	\$47,412.00
CCA 106/EA	10/17/11	110212	CRF Multi-Media Education Specialist	Y	NA	13	P	B	1.00	\$60,816.00	1.00	\$72,168.00
CCA 106/EA	06/03/13	110212	CRF Multi-Media Education Specialist	Y	NA	13	P	B	1.00	\$60,816.00	1.00	\$60,024.00
CCA 106/EA	12/16/11	110317	CRF Rate & Policy Analyst IV	Y	NA	13	P	B	1.00	\$51,312.00	1.00	\$47,412.00
CCA 106/EA	08/16/12	110317	CRF Rate & Policy Analyst IV	Y	NA	13	P	P	1.00	\$51,312.00	1.00	\$53,352.00
CCA 106/EA	07/29/13	110445	IRF Staff Attorney III	Y	NA	73	P	B	1.00	\$73,044.00	1.00	\$72,996.00
CCA 106/EA	04/16/12	110570	Insurance Examiner III *	N	26	93	P	B	1.00	\$73,044.00	1.00	\$26.68
CCA 106/EA	06/01/12	110570	Insurance Examiner III	N	26	23	P	B	1.00	\$73,044.00	1.00	\$73,044.00
CCA 106/EA	07/01/11	110609	Supervising Forensic Investigator V	Y	NA	23	P	B	1.00	\$67,488.00	1.00	\$64,152.00
CCA 106/EA	10/01/12	110611	INS Fraud Investigator IV	Y	NA	13	P	B	1.00	\$51,312.00	1.00	\$60,024.00
CCA 106/EA	08/12/11	110696	IRF Insurance Examiner III	Y	NA	13	P	B	1.00	\$62,424.00	1.00	\$72,168.00
CCA 106/EA	01/16/13	110705	IRF Program Analyst	Y	NA	13	P	B	1.00	\$60,024.00	1.00	\$67,488.00
CCA 106/EA	07/22/13	110964	CRF Insurance Examiner II	Y	NA	13	P	B	1.00	\$55,500.00	1.00	\$64,920.00
CCA 106/EA	10/04/11	112401	Insurance Rate & Policy Analyst IV	N	22	13	P	B	1.00	\$53,352.00	1.00	\$48,744.00
CCA 106/EA	10/01/13	112455	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	\$55,008.00	1.00	\$62,424.00
CCA 106/EA	06/18/13	112456	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	\$64,800.00	1.00	\$60,024.00

Department of Commerce and Consumer Affairs  
New Hires

Table 16

<u>Prog ID/Org</u>	<u>New Hire Effective Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>
CCA 106/EA	07/16/13	118104	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	\$64,792.00	1.00	\$62,424.00
CCA 106/EA	01/22/13	118630	CRF Insurance Examiner II	Y	NA	13	P	B	1.00	\$57,708.00	1.00	\$62,424.00
CCA 106/EA	08/01/12	119029	Insurance Licensing Clerk	N	11	03	P	P	1.00	\$28,836.00	1.00	\$33,756.00
CCA 106/EA	03/01/13	119185	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$31,212.00
CCA 106/EA	08/01/13	120530	INS Health Premium Rate Analyst	Y	NA	13	T	P	1.00	\$62,500.00	1.00	\$49,332.00
CCA 110/DA	09/19/11	19454	Legal Clerk	N	14	03	P	B	1.00	\$35,064.00	1.00	\$31,884.00
CCA 110/DA	12/01/11	19454	Legal Clerk	N	14	03	P	B	1.00	\$35,064.00	1.00	\$33,312.00
CCA 110/DA	11/13/12	19454	Legal Clerk	N	14	03	P	B	1.00	\$35,064.00	1.00	\$32,424.00
CCA 110/DA	05/16/13	23118	Office Assistant III *	N	08	63	P	B	1.00	\$27,756.00	1.00	\$12.34
CCA 110/DA	03/01/12	25072	Investigator IV	N	22	13	P	B	1.00	\$67,488.00	1.00	\$64,920.00
CCA 110/DA	07/01/11	100350	Consumer Protection Director	Y	NA	93	P	B	1.00	\$97,644.00	1.00	\$92,760.00
CCA 110/DA	05/01/13	100351	Staff Attorney II	Y	NA	73	P	B	1.00	\$81,360.00	1.00	\$73,056.00
CCA 110/DA	12/16/11	101721	Staff Attorney	Y	NA	73	T	B	1.00	\$72,552.00	1.00	\$72,552.00
CCA 111/CA	07/01/13	29100	Office Assistant III	N	08	03	P	B	1.00	\$28,836.00	1.00	\$25,668.00
CCA 111/CA	11/01/13	29152	Clerical Supervisor III	N	14	04	P	B	1.00	\$32,424.00	1.00	\$39,480.00
CCA 111/CA	08/01/12	29153	Office Assistant IV	N	10	03	P	B	1.00	\$31,212.00	1.00	\$31,212.00
CCA 111/CA	01/28/13	32895	Office Assistant III *	N	08	63	P	B	1.00	\$28,836.00	1.00	\$12.34
CCA 111/CA	07/01/13	32895	Office Assistant III	N	08	03	P	B	1.00	\$28,836.00	1.00	\$25,668.00
CCA 111/CA	05/21/12	38510	Office Assistant III *	N	08	63	P	B	1.00	\$26,700.00	1.00	\$12.34
CCA 111/CA	01/02/13	38510	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$25,668.00
CCA 111/CA	12/01/11	38868	Office Assistant III	N	08	03	P	B	1.00	\$28,836.00	1.00	\$25,368.00
CCA 111/CA	12/02/11	38869	Legal Clerk	N	14	03	P	B	1.00	\$32,424.00	1.00	\$30,804.00
CCA 111/CA	08/16/11	40362	Office Assistant III	N	08	03	P	B	1.00	\$30,036.00	1.00	\$24,384.00
CCA 111/CA	12/03/12	40364	Office Assistant III	N	08	03	P	B	1.00	\$25,668.00	1.00	\$28,836.00
CCA 111/CA	05/16/12	40365	Business Registration Assistant	N	15	03	P	B	1.00	\$51,936.00	1.00	\$39,480.00
CCA 111/CA	08/20/12	46581	Office Assistant III *	N	08	63	P	B	1.00	\$31,212.00	1.00	\$12.34
CCA 111/CA	01/16/13	46582	Office Assistant III	N	08	03	P	B	1.00	\$28,836.00	1.00	\$25,668.00
CCA 111/CA	07/01/13	46582	Office Assistant III	N	08	03	P	B	1.00	\$25,668.00	1.00	\$25,668.00
CCA 111/CA	07/06/11	46584	Office Assistant III	N	08	03	P	B	1.00	\$25,668.00	1.00	\$24,384.00
CCA 111/CA	12/03/12	49518	Office Assistant IV	N	10	03	P	B	1.00	\$37,968.00	1.00	\$33,756.00
CCA 111/CA	09/16/13	49521	Office Assistant III	N	08	03	P	B	1.00	\$31,212.00	1.00	\$26,700.00
CCA 111/CA	03/12/12	49522	Business Registration Assistant *	N	15	03	P	B	1.00	\$33,756.00	1.00	\$16.23
CCA 111/CA	04/16/12	49522	Business Registration Assistant	N	15	03	P	B	1.00	\$33,756.00	1.00	\$35,064.00
CCA 111/CA	02/13/12	101841	Staff Attorney	Y	NA	73	P	B	1.00	\$82,680.00	1.00	\$83,004.00
CCA 111/CA	08/16/13	101841	Staff Attorney	Y	NA	73	P	B	1.00	\$87,372.00	1.00	\$87,372.00
CCA 111/CA	09/17/12	102086	CRF Investigator IV	Y	NA	13	T	B	1.00	\$45,576.00	1.00	\$51,312.00
CCA 111/CA	09/01/11	102159	CRF Staff Attorney	Y	NA	73	T	B	1.00	\$68,388.00	1.00	\$70,008.00

Department of Commerce and Consumer Affairs  
New Hires

Table 16

<u>Prog ID/Org</u>	<u>New Hire Effective Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>
CCA 111/CA	08/01/12	102529	CRF Investigator IV	Y	NA	13	T	B	1.00	\$45,576.00	1.00	\$47,412.00
CCA 111/CA	05/01/12	102531	CRF Staff Attorney II	Y	NA	73	T	B	1.00	\$71,592.00	1.00	\$68,004.00
CCA 111/CA	08/17/11	102532	CRF Staff Attorney III	Y	NA	73	T	B	1.00	\$72,996.00	1.00	\$70,008.00
CCA 111/CA	08/08/12	102532	CRF Staff Attorney III	Y	NA	73	T	B	1.00	\$72,996.00	1.00	\$73,500.00
CCA 111/CA	05/01/13	102532	CRF Staff Attorney II	Y	NA	73	T	B	1.00	\$72,996.00	1.00	\$69,300.00
CCA 111/CA	04/12/12	102780	CRF Staff Attorney II	Y	NA	73	P	B	1.00	\$68,388.00	1.00	\$73,008.00
CCA 111/CA	01/07/13	102780	CRF Staff Attorney II	Y	NA	73	P	B	1.00	\$68,388.00	1.00	\$71,808.00
CCA 111/CA	07/03/12	108006	CRF Investigator IV	Y	NA	13	T	B	1.00	\$47,412.00	1.00	\$51,312.00
CCA 111/CA	04/16/13	117393	Securities Education Assistant	Y	NA	03	P	B	1.00	\$33,756.00	1.00	\$32,424.00
CCA 111/CA	08/01/12	120455	Securities Multi-Media Assistant	Y	NA	03	P	B	1.00	\$33,756.00	1.00	\$37,968.00
CCA 112/AB	08/16/12	12132	Investigator V	N	24	13	P	B	1.00	\$51,312.00	1.00	\$51,312.00
CCA 112/AB	04/16/12	12731	Investigator IV *	N	22	73	P	B	1.00	\$45,576.00	1.00	\$21.91
CCA 112/AB	11/07/12	12731	Investigator IV	N	22	13	P	B	1.00	\$45,576.00	1.00	\$45,576.00
CCA 112/AB	07/03/12	100890	Complaints & Enforcement Officer	Y	NA	73	P	B	1.00	\$95,040.00	1.00	\$89,832.00
CCA 112/AB	10/03/12	101307	Staff Attorney	Y	NA	73	P	B	1.00	\$62,652.00	1.00	\$66,708.00
CCA 112/AB	08/28/12	101319	RI Investigator IV	Y	NA	13	P	B	1.00	\$45,576.00	1.00	\$53,352.00
CCA 112/AB	12/01/11	103178	RI Investigator IV	Y	NA	13	P	B	1.00	\$55,500.00	1.00	\$50,688.00
CCA 112/AB	05/01/13	118045	RI Investigator IV	Y	NA	13	P	B	1.00	\$49,332.00	1.00	\$47,412.00
CCA 112/AB	08/12/13	118430	Investigator I	N	16	13	P	B	1.00	\$38,988.00	1.00	\$36,024.00
CCA 112/AB	10/17/11	118502	Investigator IV	N	22	13	P	B	1.00	\$60,024.00	1.00	\$43,296.00
CCA 112/AB	04/22/13	118502	Investigator IV	N	22	13	P	B	1.00	\$60,024.00	1.00	\$45,576.00
CCA 112/AB	11/01/11	118552	Legal Clerk	N	14	03	P	B	1.00	\$32,424.00	1.00	\$30,804.00
CCA 112/AB	10/16/12	118554	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$25,668.00
CCA 112/AB	11/06/13	118627	Office Assistant III	N	08	03	P	B	1.00	\$25,668.00	1.00	\$25,668.00
CCA 112/AB	11/01/11	119164	RI Investigator IV	Y	NA	13	T	B	1.00	\$51,312.00	1.00	\$46,860.00
CCA 112/AB	01/09/12	119178	Office Assistant III	N	08	03	P	B	1.00	\$30,036.00	1.00	\$28,536.00
CCA 112/AB	12/01/11	120233	RI Investigator IV	Y	NA	13	T	B	1.00	\$45,576.00	1.00	\$46,860.00
CCA 191/AA	04/16/13	6567	Office Assistant IV	N	10	03	P	B	1.00	\$33,756.00	1.00	\$36,516.00
CCA 191/AA	08/16/11	11249	Account Clerk III	N	10	03	P	B	1.00	\$31,212.00	1.00	\$34,692.00
CCA 191/AA	05/01/12	11249	Account Clerk IV	N	13	03	P	B	1.00	\$31,212.00	1.00	\$33,756.00
CCA 191/AA	07/02/12	13493	Cashier II	N	12	03	P	B	1.00	\$41,400.00	1.00	\$39,480.00
CCA 191/AA	02/16/12	30388	Cashier I *	N	10	63	P	B	1.00	\$27,756.00	1.00	\$12.68
CCA 191/AA	07/02/12	30388	Cashier I	N	10	03	P	B	1.00	\$27,756.00	1.00	\$27,756.00
CCA 191/AA	07/18/11	39806	Program Budget Analyst IV	N	22	73	P	B	1.00	\$64,920.00	1.00	\$45,036.00
CCA 191/AA	07/16/13	39806	Program Budget Analyst II	N	18	13	P	B	1.00	\$45,036.00	1.00	\$38,988.00
CCA 191/AA	10/16/13	45090	Account Clerk IV	N	13	03	P	B	1.00	\$35,064.00	1.00	\$31,212.00
CCA 191/AA	04/16/12	100897	Deputy Director	Y	NA	00	P	B	1.00	\$100,248.00	1.00	\$97,644.00



Department of Commerce and Consumer Affairs  
New Hires

Table 16

<u>Prog ID/Org</u>	<u>New Hire Effective Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>	
CCA 191/AA	06/01/12	102754	CCA Communications Officer	Y	NA	73	T	B	1.00	\$62,436.00	1.00	\$78,984.00	
CCA 191/AI	03/01/13	102769	Information Systems Manager	Y	NA	93	P	B	1.00	\$95,040.00	1.00	\$97,644.00	
CCA 191/AA	01/03/12	112797	CCA Administrative Assistant	Y	NA	73	P	B	1.00	\$66,732.00	1.00	\$64,116.00	
CCA 191/AA	07/02/12	112797	CCA Administrative Assistant	Y	NA	73	P	B	1.00	\$66,732.00	1.00	\$60,000.00	
CCA 191/AA	09/16/13	112797	CCA Administrative Assistant	Y	NA	73	P	B	1.00	\$60,000.00	1.00	\$62,400.00	
CCA 191/AH	09/16/11	119019	Legal Clerk	N	14	03	T	B	1.00	\$32,424.00	1.00	\$30,804.00	
CCA 191/AH	12/17/12	119019	Legal Clerk	N	14	03	T	B	1.00	\$32,424.00	1.00	\$37,968.00	
			* - New Hire was appointed to 89-day non-civil service appointment, paid on a hourly basis.										

Department of Commerce and Consumer Affairs  
 Unauthorized Positions

Table 17

<u>Prog ID/Org</u>	<u>Date Established</u>	<u>Legal Authority</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>FTE</u>	<u>Annual Salary</u>	<u>Filled (Y/N)</u>	<u>Occupied by 89 Day Hire (Y/N)</u>
	NONE												

Department of Commerce and Consumer Affairs  
Overtime Expenditures

Table 18

Prog ID	Program Title	MOF	FY12 (actual)			FY13 (actual)			FY14 (estimated)			FY15 (budgeted)		
			<u>Base Salary</u> \$\$\$\$	<u>Overtime</u> \$\$\$\$	<u>Overtime</u> Percent	<u>Base Salary</u> \$\$\$\$	<u>Overtime</u> \$\$\$\$	<u>Overtime</u> Percent	<u>Base Salary</u> \$\$\$\$	<u>Overtime</u> \$\$\$\$	<u>Overtime</u> Percent	<u>Base Salary</u> \$\$\$\$	<u>Overtime</u> \$\$\$\$	<u>Overtime</u> Percent
CCA 102	Cable Television	B	\$ 648,405	\$ 572	0.1%	\$ 665,273	\$ 1,258	0.2%	\$ 741,046	\$ 500	0.1%	\$ 730,175	\$ 5,000	0.7%
CCA 103	Consumer Advocate for Communication, Utilities & Transportation Service	B	\$ 1,579,753	0	0.0%	\$ 1,866,005	\$ 643	0.0%	\$ 1,930,135	\$ 5,000	0.3%	\$ 1,909,796	\$ 20,000	1.0%
CCA 104	Financial Services Regulation	B	\$ 2,958,720	\$ 320	0.0%	\$ 2,952,564	\$ 76	0.0%	\$ 3,004,530	\$ 13,000	0.4%	\$ 2,971,144	\$ 13,000	0.4%
CCA 105	Professional & Vocational Licensing	B	\$ 4,371,282	\$ 48,945	1.1%	\$ 4,370,391	\$ 45,833	1.0%	\$ 4,422,505	\$ 65,000	1.5%	\$ 4,362,374	\$ 50,000	1.1%
CCA 105	Professional & Vocational Licensing	T	\$ 880,982	\$ -	0.0%	\$ 881,095	\$ 581	0.1%	\$ 885,088	\$ 4,000	0.5%	\$ 880,982	\$ 21,360	2.4%
CCA 106	Insurance Regulatory Services	B	\$ 6,780,367	\$ 803	0.0%	\$ 6,772,269	\$ 3,178	0.0%	\$ 7,194,725	\$ 10,000	0.1%	\$ 7,274,749	\$ 38,072	0.5%
CCA 110	Protection of the Consumer	B	\$ 1,330,606	\$ -	0.0%	\$ 1,322,838	\$ -	0.0%	\$ 1,454,381	\$ -	0.0%	\$ 1,459,622	\$ -	0.0%
CCA 111	Business Registration & Securities Regulation	B	\$ 5,128,035	\$ 16,439	0.3%	\$ 5,142,864	\$ 19,755	0.4%	\$ 5,211,815	\$ 60,000	1.2%	\$ 5,131,685	\$ 60,000	1.2%
CCA 112	Regulated Industries Complaints Office	B	\$ 4,878,695	\$ 1,190	0.0%	\$ 4,898,117	\$ 1,420	0.0%	\$ 4,992,754	\$ 2,500	0.1%	\$ 4,933,021	\$ 15,000	0.3%
CCA 191	General Support	B	\$ 4,240,266	\$ 13,355	0.3%	\$ 4,210,640	\$ 24,047	0.6%	\$ 4,575,686	\$ 20,000	0.4%	\$ 4,467,074	\$ 20,000	0.4%
	<b>Total by MOF</b>	<b>B</b>	<b>\$ 31,916,129</b>	<b>\$ 81,624</b>	<b>0.3%</b>	<b>\$ 32,200,961</b>	<b>\$ 96,210</b>	<b>0.3%</b>	<b>\$ 33,527,577</b>	<b>\$ 176,000</b>	<b>0.5%</b>	<b>\$ 33,239,640</b>	<b>\$ 221,072</b>	<b>0.7%</b>
	<b>Total by MOF</b>	<b>T</b>	<b>\$ 880,982</b>	<b>\$ -</b>	<b>0.0%</b>	<b>\$ 881,095</b>	<b>\$ 581</b>	<b>0.1%</b>	<b>\$ 885,088</b>	<b>\$ 4,000</b>	<b>0.5%</b>	<b>\$ 880,982</b>	<b>\$ 21,360</b>	<b>2.4%</b>

Department of Commerce and Consumer Affairs  
Overpayments

Table 19

<u>Employee Name</u>	<u>Date of Over-payment</u>	<u>Gross Amount Overpaid</u>	<u>Amount Recovered</u>	<u>Balance</u>	<u>Category</u>				<u>Reason for Overpayment</u>	<u>Referred to Attorney General</u>
					<u>Employed</u>		<u>Not Employed</u>			
					<u>Occurred &gt; 2 Years</u>	<u>Occurred &lt; 2 Years</u>	<u>Occurred &gt; 2 Years</u>	<u>Occurred &lt; 2 Years</u>		
NAUTH, INDIRA	10/29/2013	\$ 16		\$ 16		x			LWOP was not reported on a timely basis	No

Department of Commerce and Consumer Affairs  
Contract Costs

Table 20

Prog ID	MOF	Frequency		Max Value	Outstanding Balance	Term of Contract			Organization	Category E/L/P/C/ G/S	Description	Method and Frequency of Monitoring	POS Y/N
		Amount	(M/A/O)			Date Executed	From	To					
CCA102	B	74,870.12	O	153,682.32	78,812.20	06/30/08	07/01/13	06/30/14	SQUIRE SANDERS (US) LLP	C	Expert legal counsel services for telecom and broadband	Monthly invoices	Y
CCA102	B	41,564.32	O	114,656.25	73,091.93	07/01/10	07/01/10	06/30/13	MERINA & COMPANY, LLP	S	Expert accounting services for cable television services	Monthly invoices	Y
CCA102	B	47,462.50	O	200,000.00	152,537.50	07/01/11	07/01/11	06/30/16	MERINA & COMPANY, LLP	S	Expert accounting services for cable television services	Monthly invoices	Y
CCA102	B	30,099.71	O	250,000.00	219,900.29	06/30/08	07/01/13	06/30/14	SQUIRE SANDERS (US) LLP	C	Expert legal counsel services for telecom and broadband	Monthly invoices	Y
CCA102	B	6,867.50	O	200,000.00	193,132.50	07/01/11	07/01/11	06/30/16	MERINA & COMPANY, LLP	S	Expert accounting services for cable television services	Monthly invoices	Y
CCA102	B	3,300.00	O	120,000.00	116,700.00	07/01/13	07/01/13	06/30/18	MERINA & COMPANY, LLP	S	Expert accounting services for cable television services	Monthly invoices	Y
CCA103	B	141,040.42	O	154,500.00	13,459.58	06/01/08	01/01/13	12/31/13	SAWVEL AND ASSOCIATES, INC.	S	Engineering analysis related to HECO rate case (2008-0083)	Monthly review	Y
CCA103	B	191,721.84	O	197,404.00	5,682.16	05/21/09	03/27/13	04/30/14	UTILITECH, INC.	S	Regulatory and accounting analysis associated with HELCO rate case (2009-0164)	Monthly review	Y
CCA103	B	20,873.08	O	50,000.00	29,126.92	05/21/09	03/27/13	04/30/14	UTILITECH, INC.	S	Regulatory and accounting analysis associated with MECO rate case (2009-0163)	Monthly review	Y
CCA103	B	3,577.02	O	103,543.34	99,966.32	06/30/08	07/01/08	06/30/14	SQUIRE SANDERS (US) LLP	S	Legal analysis and consulting related to matters before the Federal Communications Commission	Monthly review	Y
CCA103	B	0.00	O	200,000.00	200,000.00	06/01/08	01/01/13	12/31/13	SAWVEL AND ASSOCIATES, INC.	S	Engineering analysis related to Reliability Standards (2011-0206)	Monthly review	Y
CCA103	B	8,173.08	O	10,000.00	1,826.92	11/03/10	07/01/12	03/31/13	UTILITECH, INC.	S	Regulatory and accounting analysis associated with re-investigation of decoupling (2010-0080)	Monthly review	Y
CCA103	B	179,405.83	O	197,225.00	17,819.17	09/14/11	09/14/11	Resolution	UTILITECH, INC.	S	Regulatory and accounting analysis associated with re-investigation of decoupling (2011-0092)	Monthly review	Y
CCA103	B	4,608.78	O	12,500.00	7,891.22	09/26/11	09/26/11	Resolution	SAWVEL AND ASSOCIATES, INC.	S	Engineering analysis related to MECO rate case (2011-0099)	Monthly review	Y
CCA103	B	20,880.00	O	25,000.00	4,120.00	10/31/11	10/31/11	Resolution	HILL, STEPHEN G.	S	Cost of capital analysis related to MECO rate case (2011-0092)	Monthly review	Y
CCA103	B	9,185.00	O	22,000.00	12,815.00	06/22/12	06/22/12	Resolution	TECHNICAL ASSOCIATES, INC.	S	Cost of capital analysis related to HELCO rate case (2012-0099)	Monthly review	Y
CCA103	B	39,040.40	O	106,000.00	66,959.60	06/26/12	06/26/12	Resolution	SAWVEL AND ASSOCIATES, INC.	S	Engineering analysis related to HELCO rate case (2012-0099)	Monthly review	Y

Department of Commerce and Consumer Affairs  
Contract Costs

Table 20

<u>Prog ID</u>	<u>MOF</u>	<u>Amount</u>	<u>(M/A/O)</u>	<u>Max Value</u>	<u>Outstanding Balance</u>	<u>Date Executed</u>	<u>From</u>	<u>To</u>	<u>Organization</u>	<u>E/L/P/C/G/S</u>	<u>Description</u>	<u>Frequency of Monitoring</u>	<u>POS Y/N</u>
CCA103	B	22,606.34	O	25,000.00	2,393.66	06/01/08	01/01/13	12/31/13	UTILITECH, INC.	S	Regulatory and accounting analysis associated with original investigation of decoupling (2008-0274) and HECO rate case (2008-0083)	Monthly review	Y
CCA103	B	0.00	O	35,000.00	35,000.00	11/03/10	04/01/13	03/31/14	UTILITECH, INC.	S	Regulatory and accounting analysis associated with re-investigation of decoupling (2010-0080)	Monthly review	Y
CCA103	B	0.00	O	40,000.00	40,000.00	09/14/11	09/14/11	Resolution	UTILITECH, INC.	S	Regulatory and accounting analysis associated with re-investigation of decoupling (2011-0092)	Monthly review	Y
CCA103	B	25,637.81	O	125,000.00	99,362.19	06/21/12	06/21/12	Resolution	SYNAPSE ENERGY ECONOMICS, INC.	S	Economic analysis related to the HECO Companies Integrated Resources Planning proceeding (2012-0036)	Monthly review	Y
CCA103	B	112,398.86	O	205,600.00	93,201.14	08/23/12	08/23/12	Resolution	UTILITECH, INC.	S	Regulatory and accounting analysis associated with re-investigation of decoupling (2013-0141)	Monthly review	Y
CCA105	B	0.00	M	19,440.00	19,440.00	08/19/13	08/19/13	08/18/14	CENTURY COMPUTERS, INC.	S	PVL requires the continuation of the scanning and imaging services to further ensure the protection and preservation of historical documentation from damage or destruction. The scanning project is to include all files located in the basement of our building.	The Licensing Branch Chief regularly monitors the scanning and imaging of the documentation.	Y
CCA106	B	31,901.18	O	45,000.00	13,098.82	04/17/09	04/17/09	Duration of matter	DALEY & HEFT, LLP	C	Special Deputy Attorney General services	Completed work reviewed for accuracy and completeness	S
CCA106	B	177,928.43	O	280,000.00	102,071.57	12/01/09	12/01/09	Duration of matter	KOBAYASHI, SUGITA & GODA, LLP	C	Special Deputy Attorney General services	Completed work reviewed for accuracy and completeness	S
CCA106	B	0.00	O	50,000.00	50,000.00	04/15/10	04/15/12	04/14/13	SIMONS, MARTIN M.	S	Services of an actuary to assist in analyzing rate filings for property and casualty insurance products.	Completed work reviewed for accuracy and completeness	S

Department of Commerce and Consumer Affairs  
Contract Costs

Table 20

<u>Prog ID</u>	<u>MOF</u>	<u>Amount</u>	<u>(M/A/O)</u>	<u>Max Value</u>	<u>Outstanding Balance</u>	<u>Date Executed</u>	<u>From</u>	<u>To</u>	<u>Organization</u>	<u>E/L/P/C/G/S</u>	<u>Description</u>	<u>Frequency of Monitoring</u>	<u>POS Y/N</u>
CCA106	B	57,499.98	O	200,000.00	142,500.02	01/01/12	01/01/13	12/01/13	INS REGULATORY INSURANCE	S	Services of an actuary to assist in analyzing rate filings for health insurance products.	Completed work reviewed for accuracy and completeness	S
CCA106	B	74,957.50	O	75,000.00	42.50	11/07/12	11/07/12	11/06/13	WYMAN, OLIVER ACTUARIAL	S	Services of an actuary to assist in analyzing rate filings for life and accident & health insurance products.	Completed work reviewed for accuracy and completeness	S
CCA106	B	19,718.00	O	60,000.00	40,282.00	04/18/13	04/18/13	04/17/16	WYMAN, OLIVER ACTUARIAL	S	Services of an actuary to assist in analyzing rate filings for property and casualty insurance products.	Completed work reviewed for accuracy and completeness	S
CCA106	B	56,148.68	O	90,000.00	33,851.32	04/15/13	04/15/13	03/31/14	RISK & REGULATORY CONSULTING	S	Provide auditing services for a market conduct examinations of a domestic insurers.	Bi-weekly status reports received and completed reports reviewed	S
CCA106	B	0.00	O	75,000.00	75,000.00	11/07/12	11/07/13	11/06/14	WYMAN, OLIVER ACTUARIAL	S	Services of an actuary to assist in analyzing rate filings for life and accident & health insurance products.	Completed work reviewed for accuracy and completeness	S
CCA106	B	45,052.34	O	176,000.00	130,947.66	09/16/13	09/16/13	09/15/14	PKF PACIFIC HAWAII LLP	S	Provide auditing and accounting services for financial examinations of domestic insurers.	Bi-weekly status reports received and completed reports reviewed	S
CCA106	B	0.00	O	560,000.00	560,000.00	09/16/13	09/16/13	09/15/14	ACCUITY LLP	S	Provide auditing and accounting services for financial examinations of domestic insurers.	Bi-weekly status reports received and completed reports reviewed	S
CCA106	B	0.00	O	80,000.00	80,000.00	09/01/13	09/01/13	06/30/14	LEWIS & ELLIS, INC.	S	Provide auditing and accounting services for financial examinations of captive insurers.	Bi-weekly status reports received and completed reports reviewed	S

Department of Commerce and Consumer Affairs  
Contract Costs

Table 20

<u>Prog ID</u>	<u>MOF</u>	<u>Amount</u>	<u>(M/A/O)</u>	<u>Max Value</u>	<u>Outstanding Balance</u>	<u>Date Executed</u>	<u>From</u>	<u>To</u>	<u>Organization</u>	<u>E/L/P/C/G/S</u>	<u>Description</u>	<u>Frequency of Monitoring</u>	<u>POS Y/N</u>
CCA106	B	0.00	O	36,000.00	36,000.00	09/16/13	09/16/13	06/30/14	NOBLE CONSULTING SERVICES, INC	S	Provide auditing and accounting services for financial examinations of captive insurers.	Bi-weekly status reports received and completed reports reviewed	S
CCA110	B	2,899.44	M	3,865.68	966.24	01/01/09	-	-	DEPARTMENT OF WATER SUPPLY	L	Lease expired; contract to be closed	-	N
CCA110	B	7,681.76	M	26,101.88	18,420.12	10/01/12	08/01/13	07/01/14	NEW KAIKO'O BUILDING, INC.	L	Lease rent for Hilo office, shared with RICO	Monthly	N
CCA111	B	18,166.67	M	60,000.00	41,833.33	09/07/07	08/01/13	07/01/14	RONIN PROPERTIES, LLC	L	Lease rent for the Business Action Center.	Monthly rental payments are regular payments. Utility bills are monitored by supervisor of the BAC.	N
CCA111	B	29,531.25	O	39,375.00	9,843.75	12/01/10	12/01/12	11/30/13	HAWAII COUNCIL ON ECONOMIC	S	Contract with HCEE to teach teachers to provide programs for students to learn careful investing skills.	Investor education staff monitor and participate in teachers training program.	Y
CCA112	B	13,525.00	M	13,529.00	4.00	07/01/87	07/01/12	07/01/13	PUUONE DEVELOPMENT	L	Lease rent for Maui RICO office	Review monthly invoices and pay	N
CCA112	B	2,165.68	M	2,171.08	5.40	12/01/09	08/01/12	07/01/13	SIGNATURE SERVICES	L	Janitorial services for Kona RICO office	Review monthly	N
CCA112	B	0.00	M	4,731.05	4,731.05	12/01/09	08/01/12	07/01/13	SIGNATURE SERVICES	L	Lease rent for Kona RICO office	Review monthly invoices and pay	N
CCA112	B	5,636.25	M	13,529.00	7,892.75	07/01/87	08/01/13	07/01/14	PUUONE DEVELOPMENT	L	Lease rent for Maui RICO office	Review monthly invoices and pay	N
CCA112	B	10,333.32	M	31,001.96	20,668.64	12/01/09	08/01/13	07/01/14	SIGNATURE SERVICES	L	Lease rent for Kona RICO office	Review monthly invoices and pay	N



Department of Commerce and Consumer Affairs  
 Capital Improvements Program Requests

Table 21

<u>Prog ID</u>	<u>Prog ID</u> <u>Priority</u>	<u>Dept- Wide Priority</u>	<u>Project Title</u>	<u>MOF</u>	<u>FY14 \$\$\$</u>	<u>FY15 \$\$\$</u>
			NONE			

Department of Commerce and Consumer Affairs  
 Capital Improvements Program Lapses

Table 22

<u>Prog ID</u>	<u>Act/Year of Appropriation</u>	<u>Project Title</u>	<u>MOF</u>	<u>Amount \$\$\$\$</u>	<u>Reason</u>
		NONE			

Department of Commerce and Consumer Affairs  
Division Resources

Table 23

<u>Division</u>	<u>Associated Program IDs</u>						
Cable Television	CCA-102						
Consumer Advocate for Comm, Util, & Tran Svc	CCA-103						
Financial Services Regulation	CCA-104						
Professional & Vocational Licensing	CCA-105						
Insurance Regulatory Services	CCA-106						
Office of Consumer Protection	CCA-110						
Business Registration and Securities Regulation	CCA-111						
Regulated Industries Complaints Office	CCA-112						
General Support	CCA-191						
Hawaii Post-Secondary Education Authorization Program	CCA-107	Specific appropriation, pursuant to Act 180, SLH 2013. Supplemental budget request for program to be stand-alone.					

Department of Commerce and Consumer Affairs  
Organizational Charts

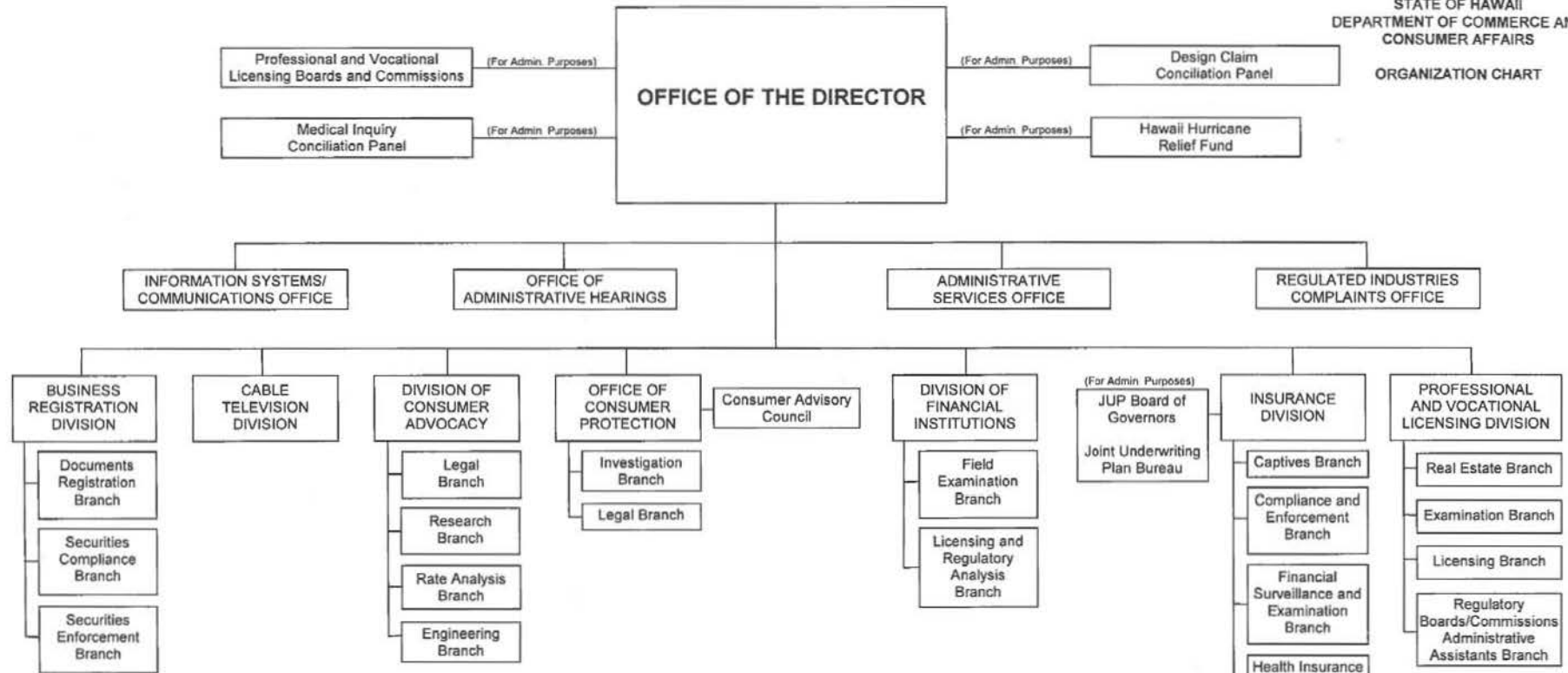
Table 24

<u>Year of Change</u> <u>FY14/FY15</u>	<u>Page</u> <u>Number</u>	<u>Description of Change</u>
FY 2014	3	Establishment of temporary, exempt position, Pos. No. 120945 (Pseudo No. 94010R), MFDR Office Assistant IV, effective July 1, 2013, NTE June 30, 2015, pursuant to Act 134, SLH 2013.
FY 2014	3	Establishment of permanent, exempt position, Pos. No. 120990 (Pseudo No. 94013R), HPEAP Administrator, effective September 3, 2013, as authorized by Act 180, SLH 2013.
FY 2014	5	Reallocation of Pos. No. 39806, Program Budget Analyst IV, SR-22, to Program Budget Analyst II, SR-18, effective July 1, 2013, for recruitment purposes.
FY 2014	9	Reallocation of Pos. No. 22873, Secretary II, SR-14, to Secretary III, SR-16, effective October 1, 2013.
FY 2014	13	Establishment of temporary, exempt position, Pos. No. 120936 (Pseudo No. 94101R), DFI Compliance Examiner II, effective July 1, 2013, NTE June 30, 2015, pursuant to the National Mortgage Settlement Agreement.
FY 2014	13	Redescription of exempt position, Pos. No. 120936, DFI Compliance Examiner II, to DFI Compliance Examiner I, effective July 22, 2013, for recruitment purposes.
FY 2014	13	Redescription of exempt position, Pos. No. 120670, CRF Financial Institutions Examiner I, to CRF Financial Institutions Examiner II, effective August 30, 2013.
FY 2014	13	Establishment of permanent, exempt position, Pos. No. 121007, CRF Financial Institutions Examination Manager, effective September 23, 2013, to replace civil service position, Pos. No. 115, Financial Inst Examination Manager.
FY 2014	13	Reallocation of Pos. No. 26271, Financial Institutions Examiner III, SR-26, to Financial Institutions Examiner II, SR-24, effective October 23, 2013, for recruitment purposes.
FY 2014	19	Establishment of permanent, exempt position, Pos. No. 120950 (Pseudo No. 99008R), CRF Insurance Examiner II, effective July 8, 2013, as authorized by Act 158, SLH 2008.
FY 2014	19	Redescription of exempt position, Pos. No. 116591, CIAF Insurance Examiner II, to CIAF Insurance Examiner III, effective August 19, 2013.

Department of Commerce and Consumer Affairs  
Organizational Charts

Table 24

<u>Year of Change</u> <u>FY14/FY15</u>	<u>Page</u> <u>Number</u>	<u>Description of Change</u>
FY 2014	19	Establishment of permanent, exempt position, Pos. No. 120976 (Pseudo No. 94001R), CIAF Insurance Examiner II, effective September 3, 2013, as authorized by Act 134, SLH 2013.
FY 2014	19	Establishment of permanent, exempt position, Pos. No. 120977 (Pseudo No. 94002R), CIAF Insurance Examiner II, effective September 3, 2013, as authorized by Act 134, SLH 2013.
FY 2014	19	Establishment of permanent, exempt position, Pos. No. 120978 (Pseudo No. 94003R), CIAF Insurance Examiner II, effective September 3, 2013, as authorized by Act 134, SLH 2013.
FY 2014	19	Establishment of permanent, exempt position, Pos. No. 120979 (Pseudo No. 94004R), CIAF Insurance Examiner II, effective September 3, 2013, as authorized by Act 134, SLH 2013.
FY 2014	21	Abolishment of temporary, exempt position, Pos. No. 120296, OAH Program Specialist, effective July 1, 2013.
FY 2014	21	Abolishment of temporary, exempt position, Pos. No. 120297, OAH Office Assistant IV, effective July 1, 2013.
FY 2014	23	Establishment of permanent, exempt position, Pos. No. 120993 (Pseudo No. 94011R), CRF Investigator I, effective September 16, 2013, as authorized by Act 134, SLH 2013.
FY 2014	23	Establishment of temporary, civil service position, Pos. No. 120992 (Pseudo No. 93105R), Legal Clerk, SR-14, effective September 16, 2013, NTE June 30, 2015, as authorized by Act 134, SLH 2013.
FY 2014	25	Reallocation of Pos. No. 36374, Regulatory Boards/Commissions Administrative Assistant III, SR-26, to Regulatory Boards/Commissions Administrative Assistant I, SR-22, effective September 23, 2013, for recruitment purposes.
FY 2014	28	Reallocation of Pos. No. 118476, Investigator II, SR-18, to Investigator III, SR-20, effective September 16, 2013.
FY 2014	28	Reallocation of Pos. No. 120370, Investigator II, SR-18, to Investigator III, SR-20, effective September 16, 2013.



PROFESSIONAL AND VOCATIONAL LICENSING BOARDS, COMMISSIONS AND PROGRAMS

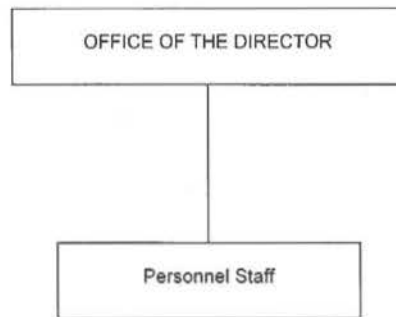
Accountancy  
Activity Providers and Activity Desks\*  
Acupuncture  
Athletic Trainers\*  
Barbering and Cosmetology  
Boxing  
Cemetery and Pre-Need Funeral Authority\*  
Chiropractic Examiners  
Collection Agencies\*  
Commercial Employment Agencies\*  
Condominium Property Regimes\*  
Contractors

Dental Examiners  
Dispensing Opticians\*  
Electricians and Plumbers  
Electrologists\*  
Elevator Mechanics  
Engineers, Architects, Surveyors and Landscape Architects  
Hearing Aid Dealers and Fitters\*  
Limited Equity Housing Cooperatives\*  
Marriage and Family Therapists\*  
Massage Therapy  
Medical  
Mental Health Counselors  
Mixed Martial Arts

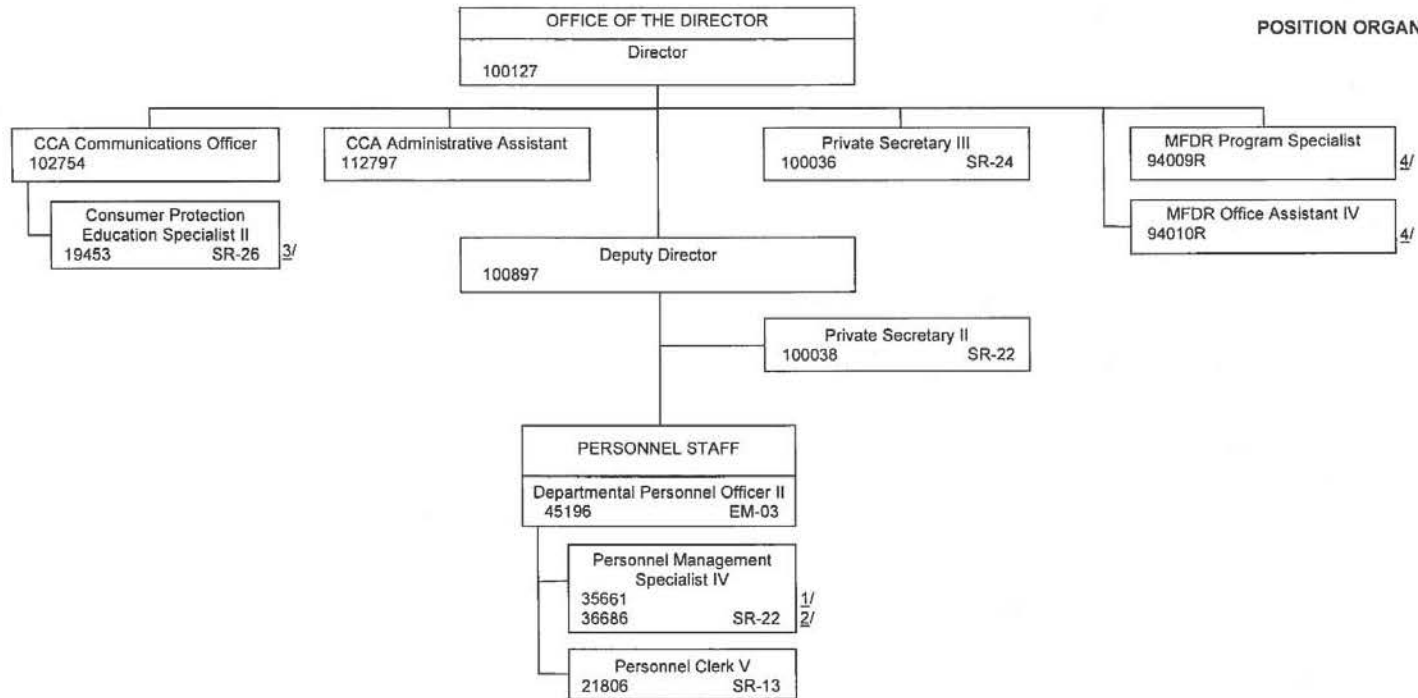
Motor Vehicle Industry Licensing  
Motor Vehicle Repair Industry Licensing  
Naturopathic Medicine  
Nurses Aides\*  
Nursing  
Nursing Home Administrators\*  
Occupational Therapists\*  
Optometry  
Pest Control  
Pharmacy  
Physical Therapy  
Pilotage\*  
Private Detectives and Guards

Psychology  
Real Estate  
Real Estate Appraisers\*  
Respiratory Therapists\*  
Social Workers\*  
Speech Pathologists and Audiologists  
Subdivision\*  
Time Sharing\*  
Travel Agencies\*  
Uniform Athlete Agents\*  
Veterinary Examiners

STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
OFFICE OF THE DIRECTOR  
ORGANIZATION CHART



STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 OFFICE OF THE DIRECTOR  
 POSITION ORGANIZATION CHART

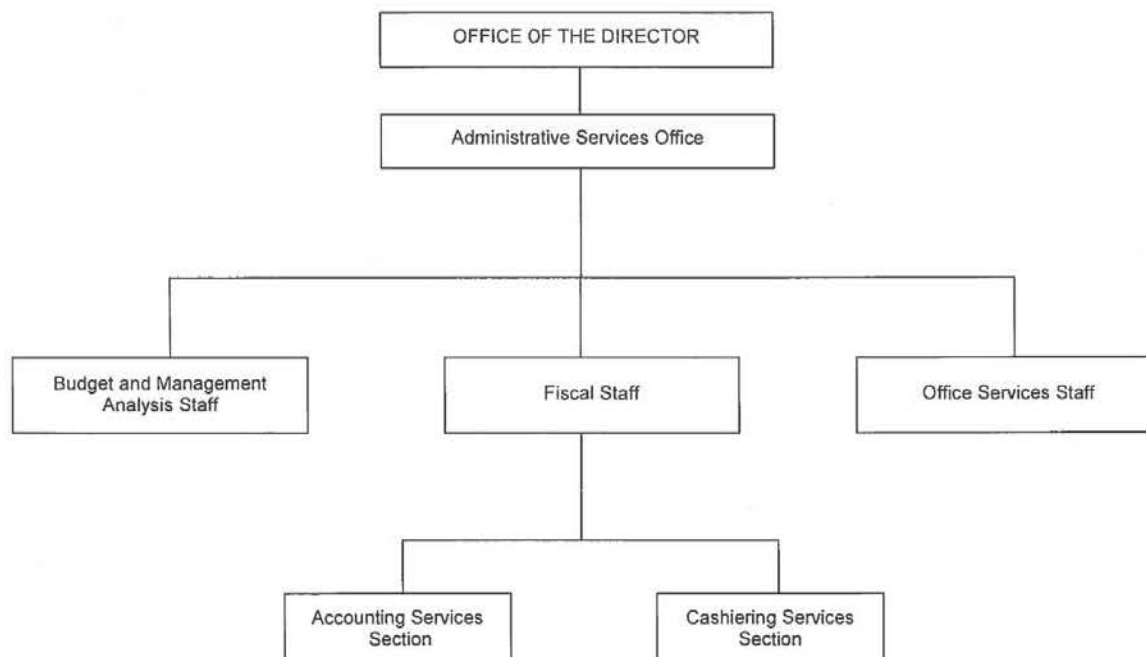


- 1/ Personnel Management Specialist III, SR-20, Position No. 35661, reallocated to Personnel Management Specialist IV, SR-22, effective April 1, 2013.
- 2/ Personnel Management Specialist III, SR-20, Position No. 36686, reallocated to Personnel Management Specialist IV, SR-22, effective October 1, 2012.
- 3/ Consumer Protection Education Specialist II, SR-26, Position No. 19453, redescribed effective September 16, 2012.
- 4/ Unestablished, temporary position authorized by Act 134, SLH 2013.

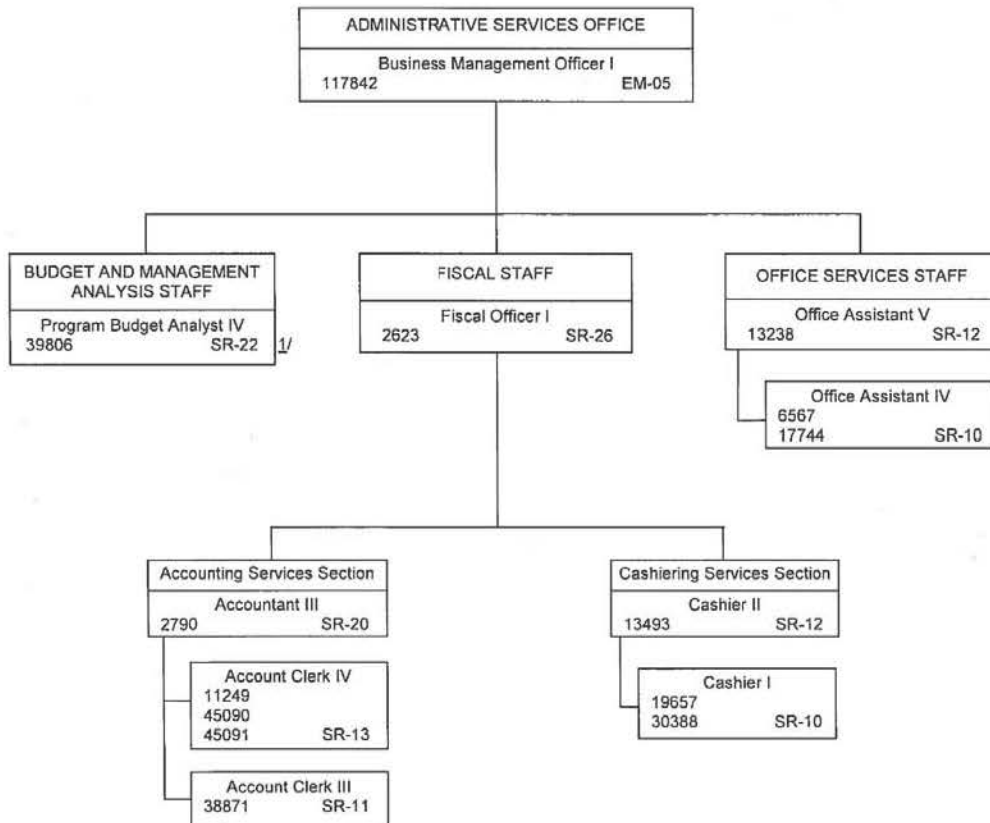


STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
ADMINISTRATIVE SERVICES OFFICE

ORGANIZATION CHART

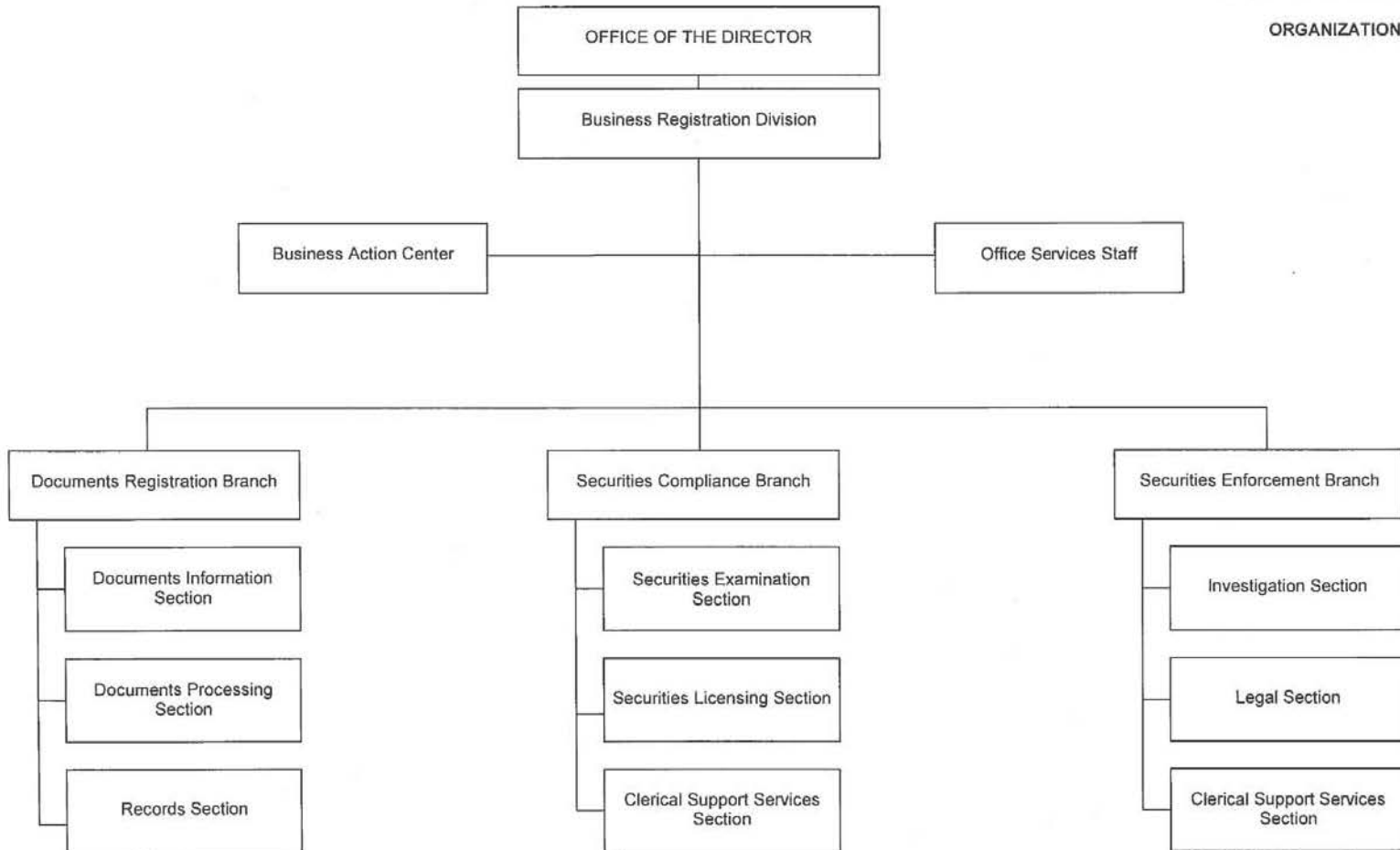


STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 ADMINISTRATIVE SERVICES OFFICE  
 POSITION ORGANIZATION CHART

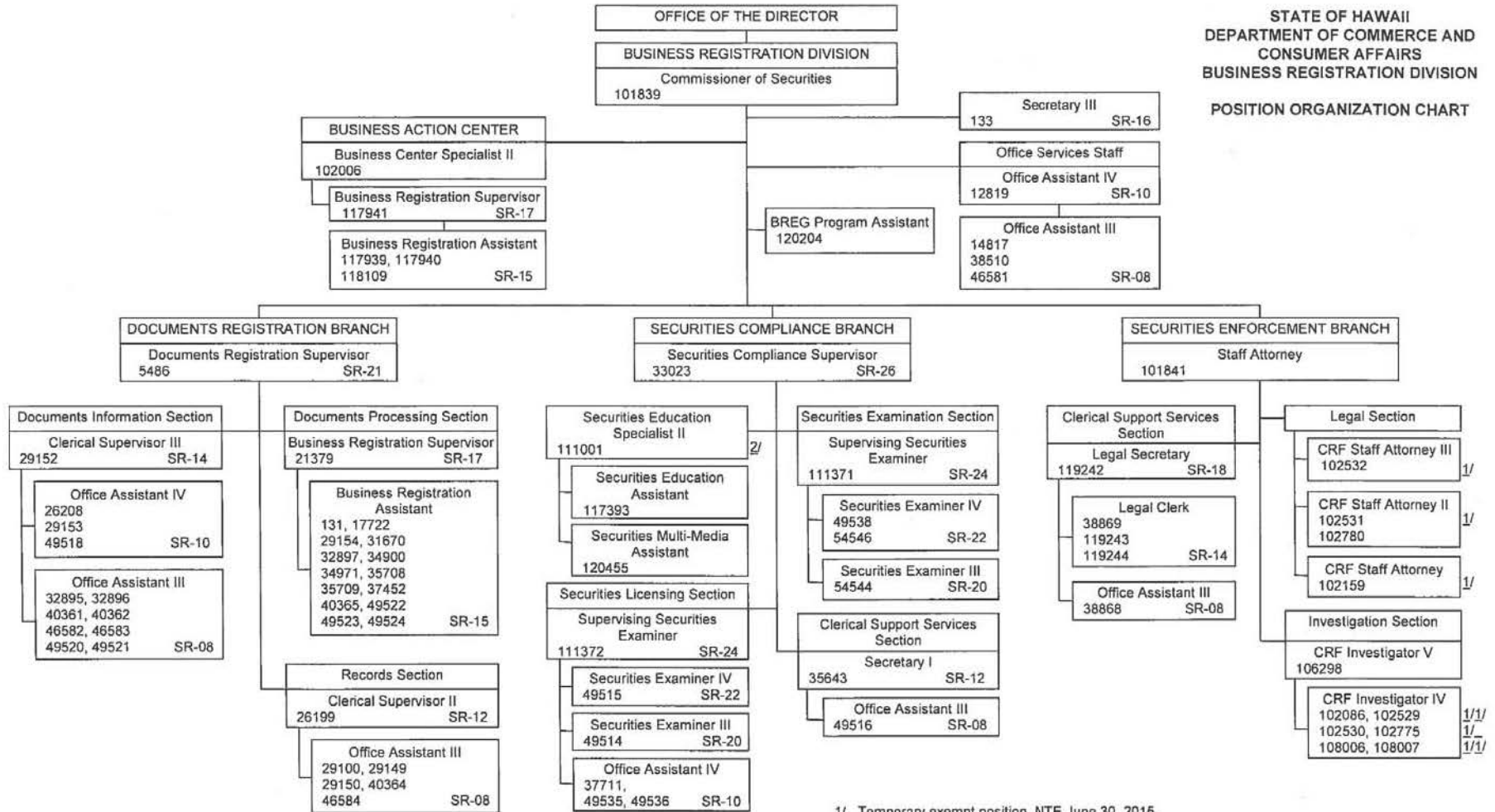


1/ Pending reallocation of position downwards for recruitment purposes.

STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
BUSINESS REGISTRATION DIVISION  
ORGANIZATION CHART



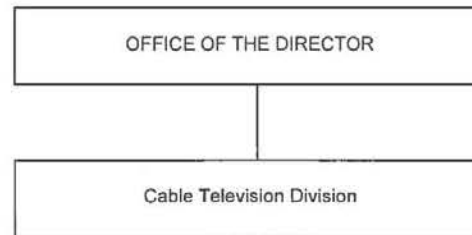
STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 BUSINESS REGISTRATION DIVISION  
 POSITION ORGANIZATION CHART



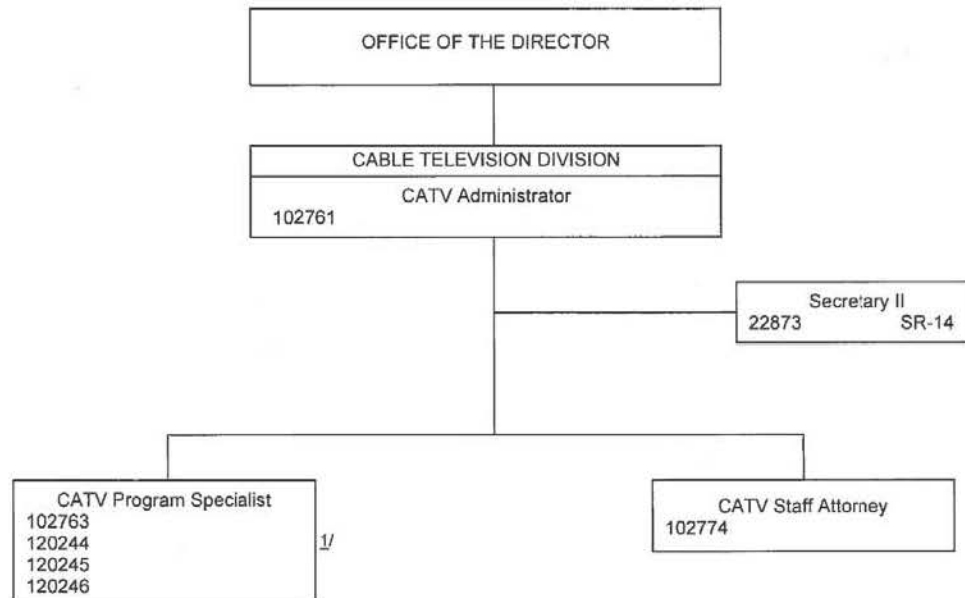
1/ Temporary exempt position, NTE June 30, 2015.  
 2/ Securities Education Specialist, exempt, redescribed to Securities Education Specialist II effective September 4, 2012.

Note: CRF denotes Compliance Resolution Fund

STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
CABLE TELEVISION DIVISION  
ORGANIZATION CHART

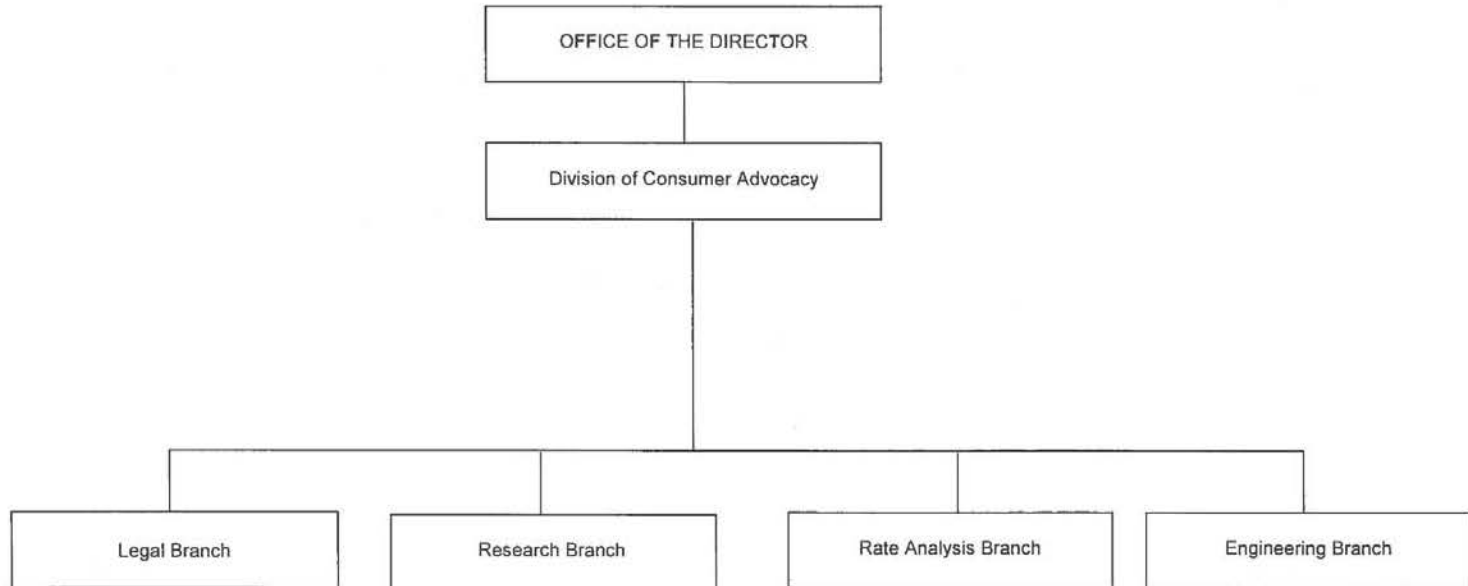


STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
CABLE TELEVISION DIVISION  
POSITION ORGANIZATION CHART

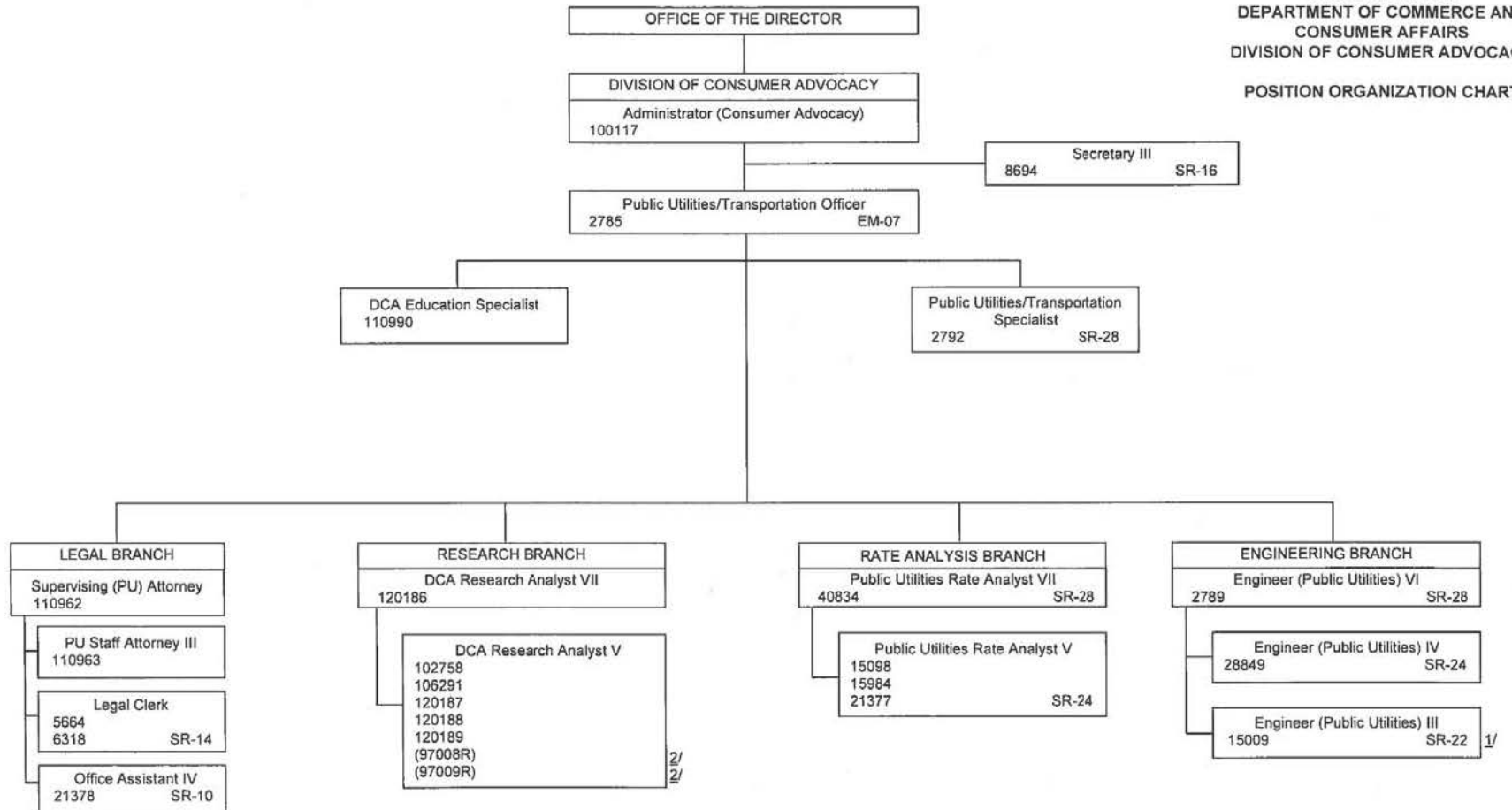


1/ CATV Financial Analyst, exempt, Position No. 120244, redescribed to CATV Program Specialist effective October 8, 2012.

STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
DIVISION OF CONSUMER ADVOCACY  
ORGANIZATION CHART



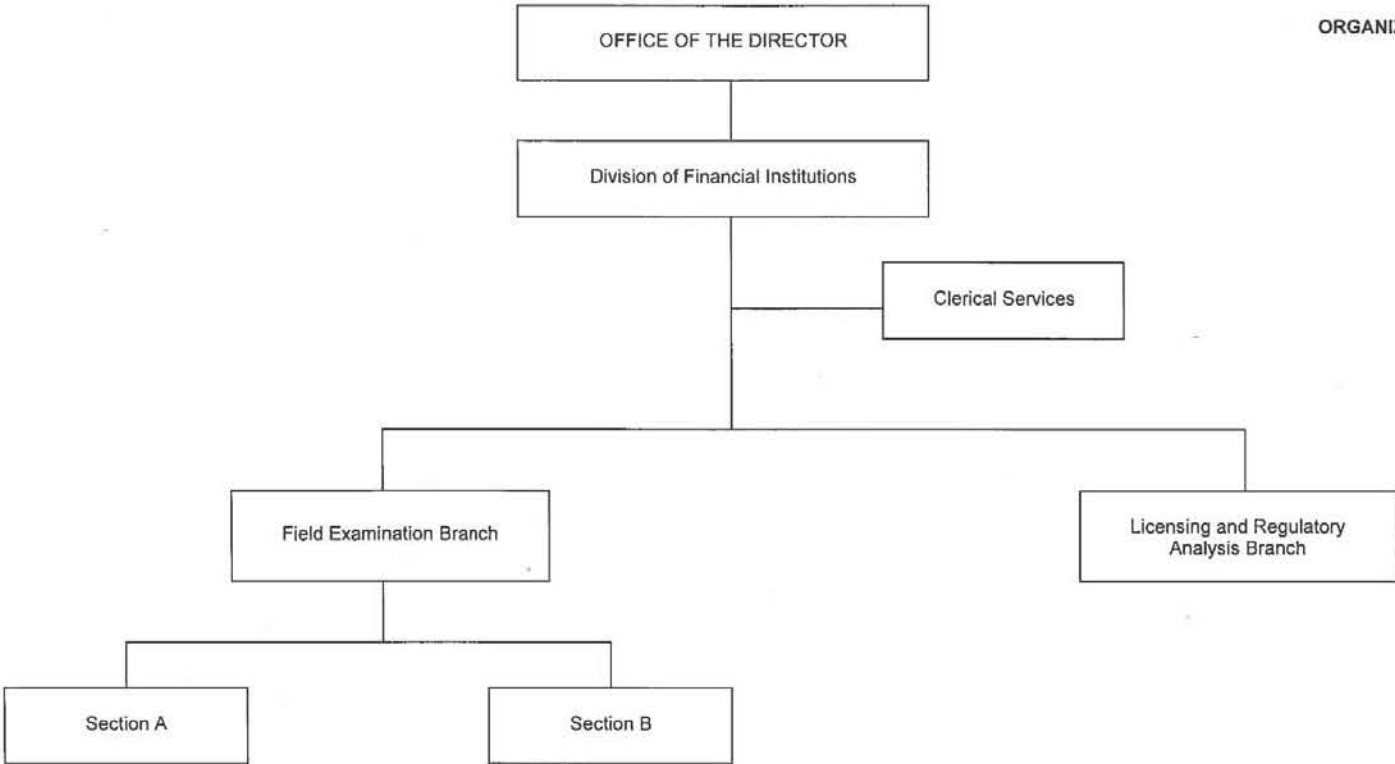
STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 DIVISION OF CONSUMER ADVOCACY  
 POSITION ORGANIZATION CHART



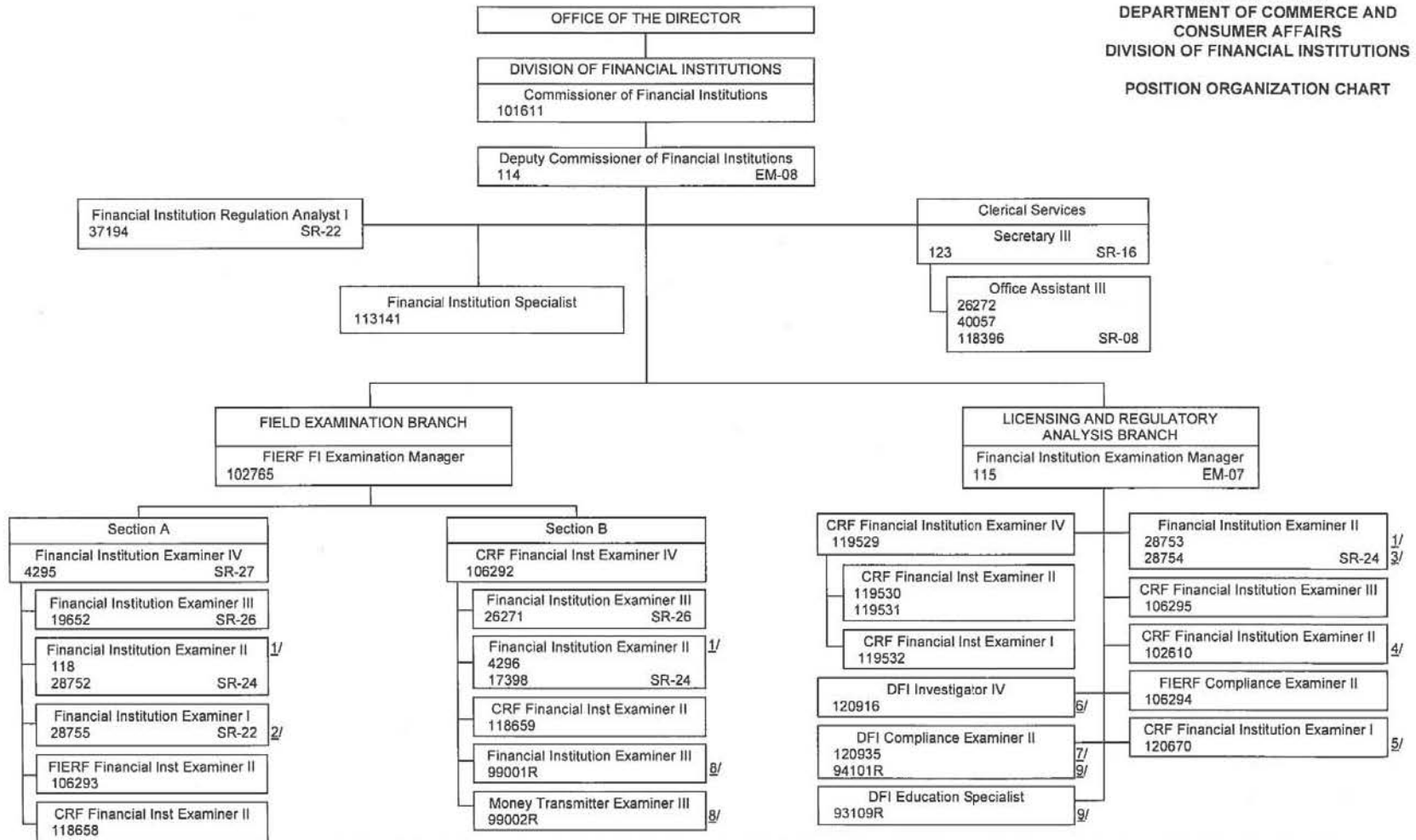
1/ Engineer (PU) IV, SR-24, Position No. 15009, temporarily downgraded to Engineer (PU) III, SR-22.  
 2/ Unestablished position, restored by Act 130, SLH 2010.



STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
DIVISION OF FINANCIAL INSTITUTIONS  
ORGANIZATION CHART



STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 DIVISION OF FINANCIAL INSTITUTIONS  
 POSITION ORGANIZATION CHART

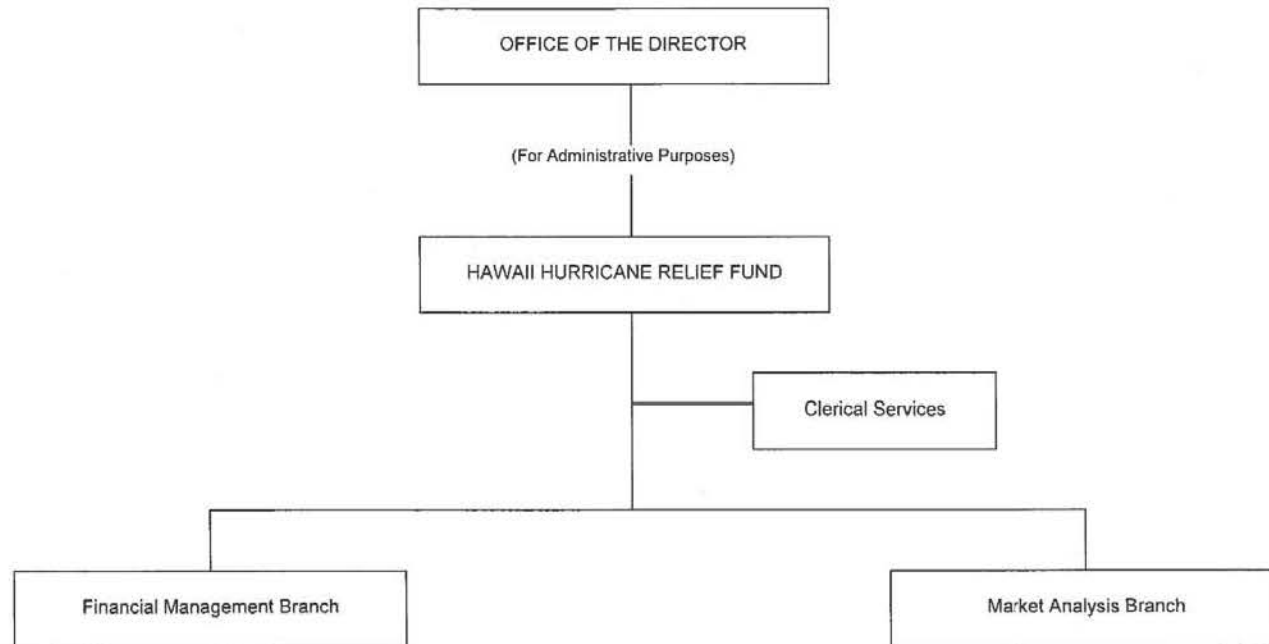


- 1/ Financial Institution Examiner III, SR-26, Position Nos. 118, 4296, 17398, 28752, and 28753 temporarily downgraded to Financial Institution Examiner II, SR-24.
- 2/ Financial Institution Examiner III, SR-26, Position No. 28755, temporarily downgraded to Financial Institution Examiner I, SR-22.
- 3/ Financial Institution Examiner III, SR-26, Position No. 28754, temporarily downgraded to Financial Institution Examiner II, SR-24, effective August 16, 2012.
- 4/ FI International Banking Examiner II, exempt, Position No. 102610 redescribed to CRF Financial Institution Examiner II, effective July 23, 2012.
- 5/ New, permanent exempt position (Pseudo No. 99004R) established July 23, 2012 and redescribed to CRF Financial Institution Examiner I effective November 5, 2012.
- 6/ New, temporary, unbudgeted exempt position (Pseudo No. 93108R) established May 1, 2013, NTE June 30, 2015.
- 7/ New, temporary, unbudgeted exempt position (Pseudo No. 93103R) established June 10, 2013, NTE June 30, 2015.
- 8/ Unestablished, permanent position, authorized by Act 158, SLH 2008.
- 9/ Unestablished, temporary, unbudgeted, exempt position.

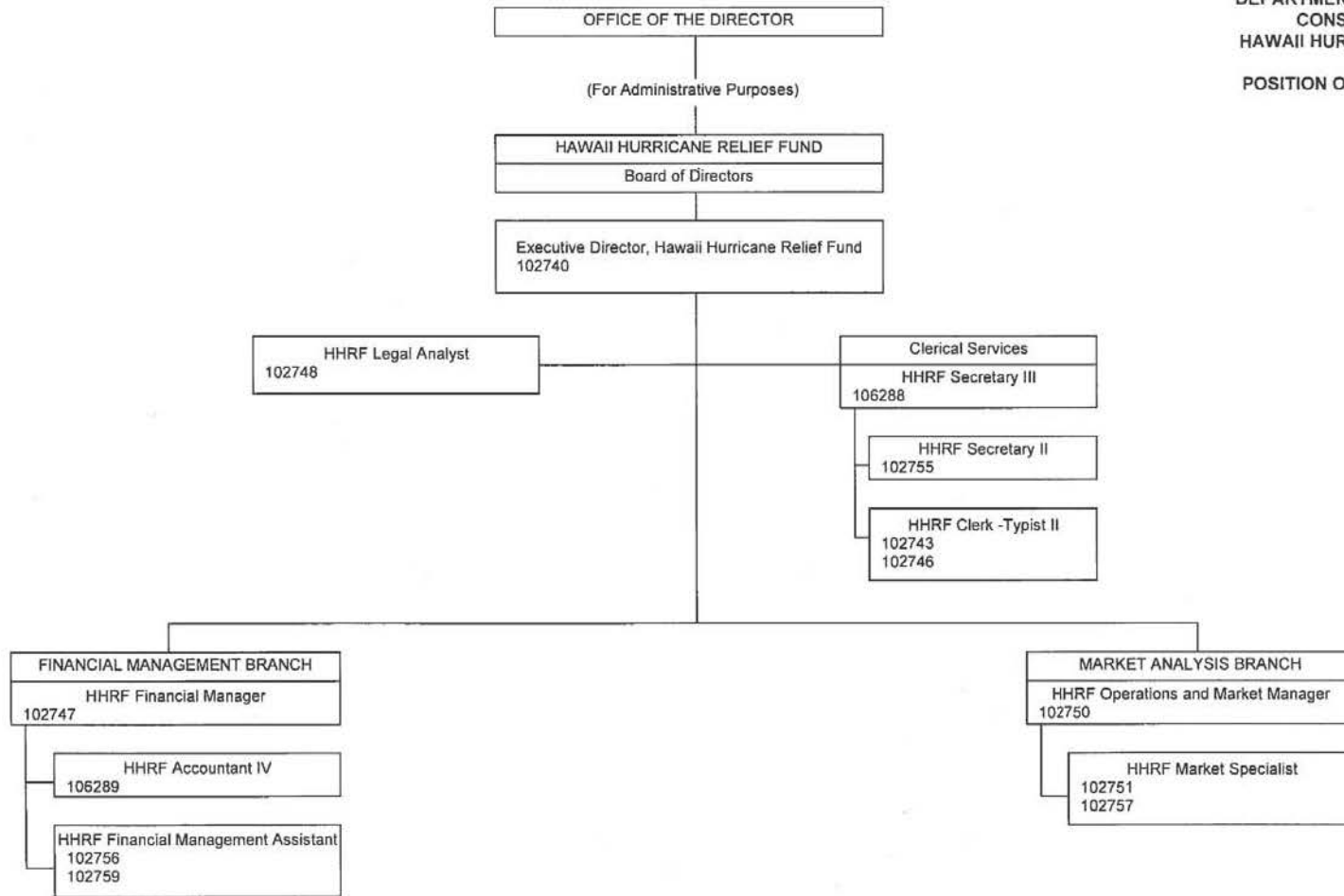
6/30/13

Note: FIERF denotes Financial Institution Examiner Revolving Fund; CRF denotes Compliance Resolution Fund.

STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
HAWAII HURRICANE RELIEF FUND  
ORGANIZATION CHART



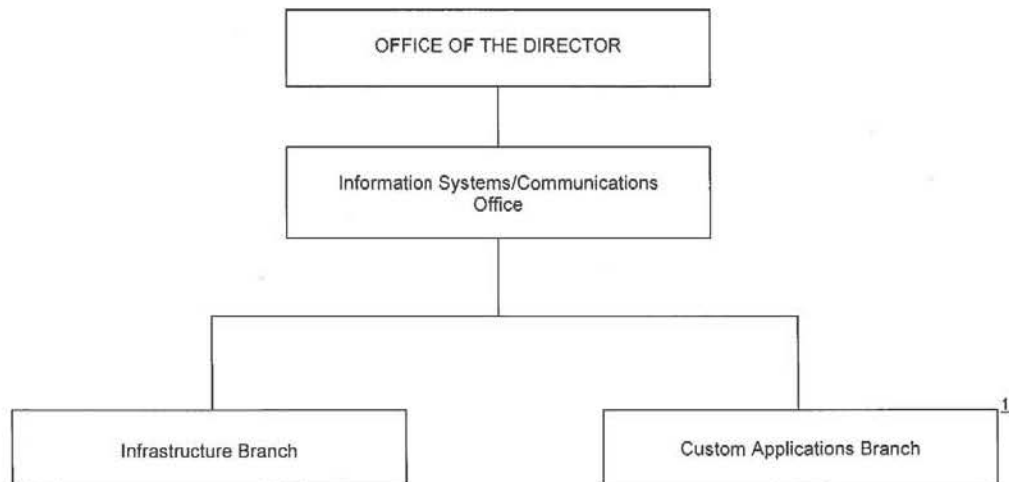
STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 HAWAII HURRICANE RELIEF FUND  
 POSITION ORGANIZATION CHART



Note: All positions assigned to the Hawaii Hurricane Relief Fund are unbudgeted.

STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
INFORMATION SYSTEMS/COMMUNICATIONS  
OFFICE

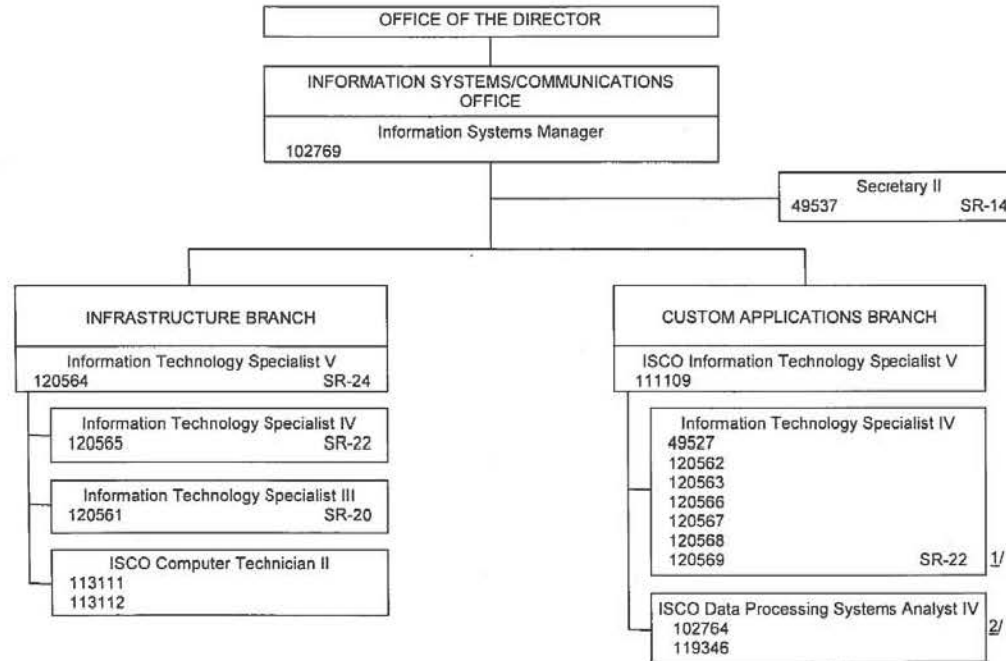
ORGANIZATION CHART



<sup>1/</sup> Pending name change to branch title, from Custom Applications Branch to Solutions Branch, effective July 1, 2013.

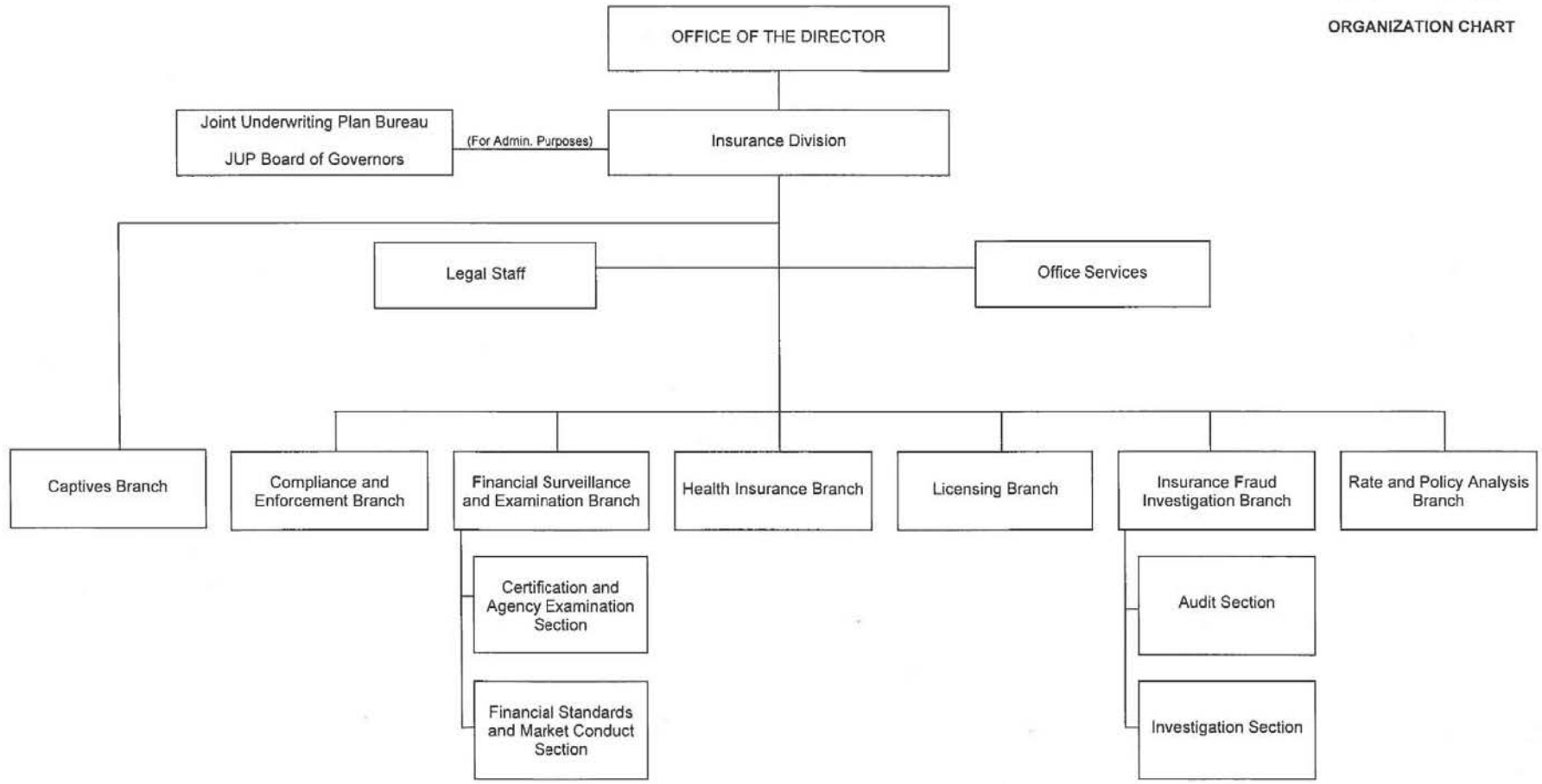
STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 INFORMATION SYSTEMS/COMMUNICATIONS  
 OFFICE

POSITION ORGANIZATION CHART

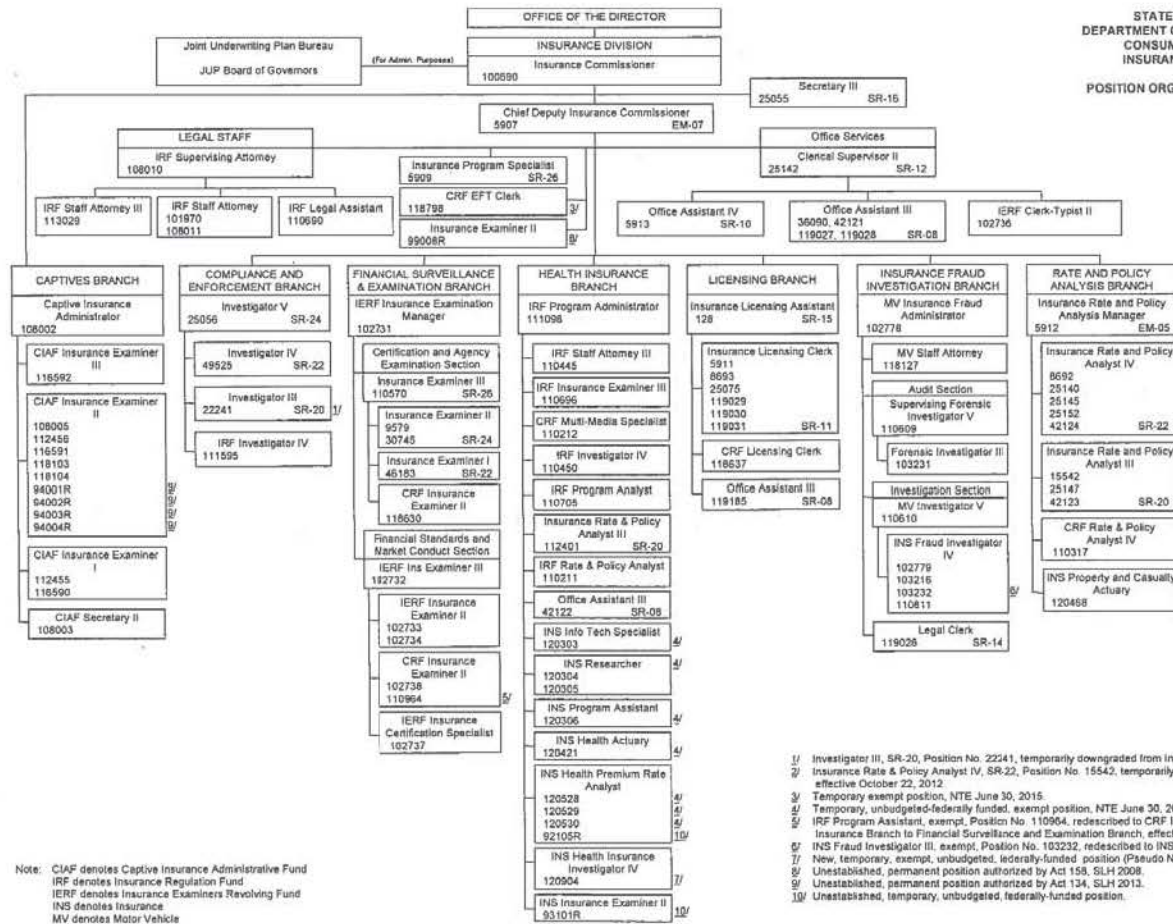


1/ Information Technology Specialist IV, SR-22, Position No. 120569, redescribed and transferred from Infrastructure Branch to Custom Applications Branch, effective November 16, 2012.  
 2/ Unbudgeted position, abolished effective April 1, 2013.

STATE OF HAWAII  
 DEPARTMENT OF COMMERCE  
 AND CONSUMER AFFAIRS  
 INSURANCE DIVISION  
 ORGANIZATION CHART



STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
INSURANCE DIVISION  
POSITION ORGANIZATION CHART

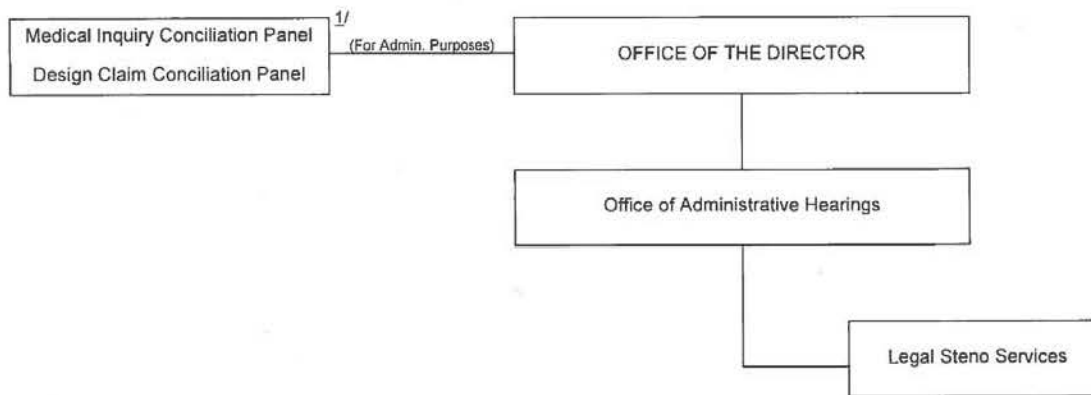


Note: CIAF denotes Captive Insurance Administrative Fund  
IRF denotes Insurance Regulation Fund  
IERF denotes Insurance Examiners Revolving Fund  
INS denotes Insurance  
MV denotes Motor Vehicle

- 1/ Investigator III, SR-20, Position No. 22241, temporarily downgraded from Investigator IV, SR-22, effective October 22, 2012
- 2/ Insurance Rate & Policy Analyst IV, SR-22, Position No. 15542, temporarily downgraded to Insurance Rate & Policy Analyst III, SR-20, effective October 22, 2012
- 3/ Temporary exempt position, NTE June 30, 2015
- 4/ Temporary, unbudgeted/federally funded, exempt position, NTE June 30, 2015.
- 5/ IRF Program Assistant, exempt, Position No. 110964, redesignated to CRF Insurance Examiner II and transferred from Health Insurance Branch to Financial Surveillance and Examination Branch, effective January 16, 2013
- 6/ INS Fraud Investigator III, exempt, Position No. 103232, redesignated to INS Fraud Investigator IV
- 7/ New, temporary, exempt, unbudgeted, federally-funded position (Pseudo No. 93102R) established April 22, 2013, NTE June 30, 2015
- 8/ Unestablished, permanent position authorized by Act 158, SLH 2008.
- 9/ Unestablished, permanent position authorized by Act 134, SLH 2013.
- 10/ Unestablished, temporary, unbudgeted, federally-funded position.

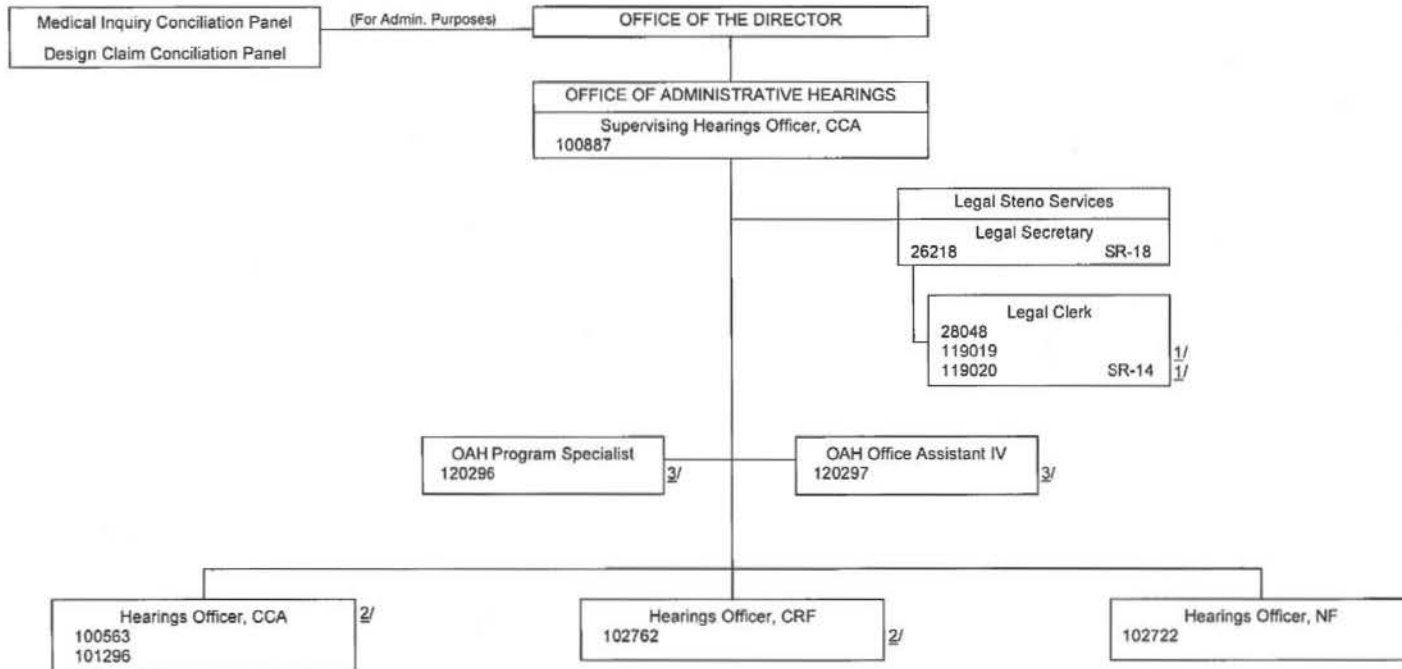


STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
OFFICE OF ADMINISTRATIVE HEARINGS  
ORGANIZATION CHART



1/ Medical Claims Conciliation Panel changed to Medical Inquiry Conciliation Panel effective January 2, 2013.

STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 OFFICE OF ADMINISTRATIVE HEARINGS  
 POSITION ORGANIZATION CHART

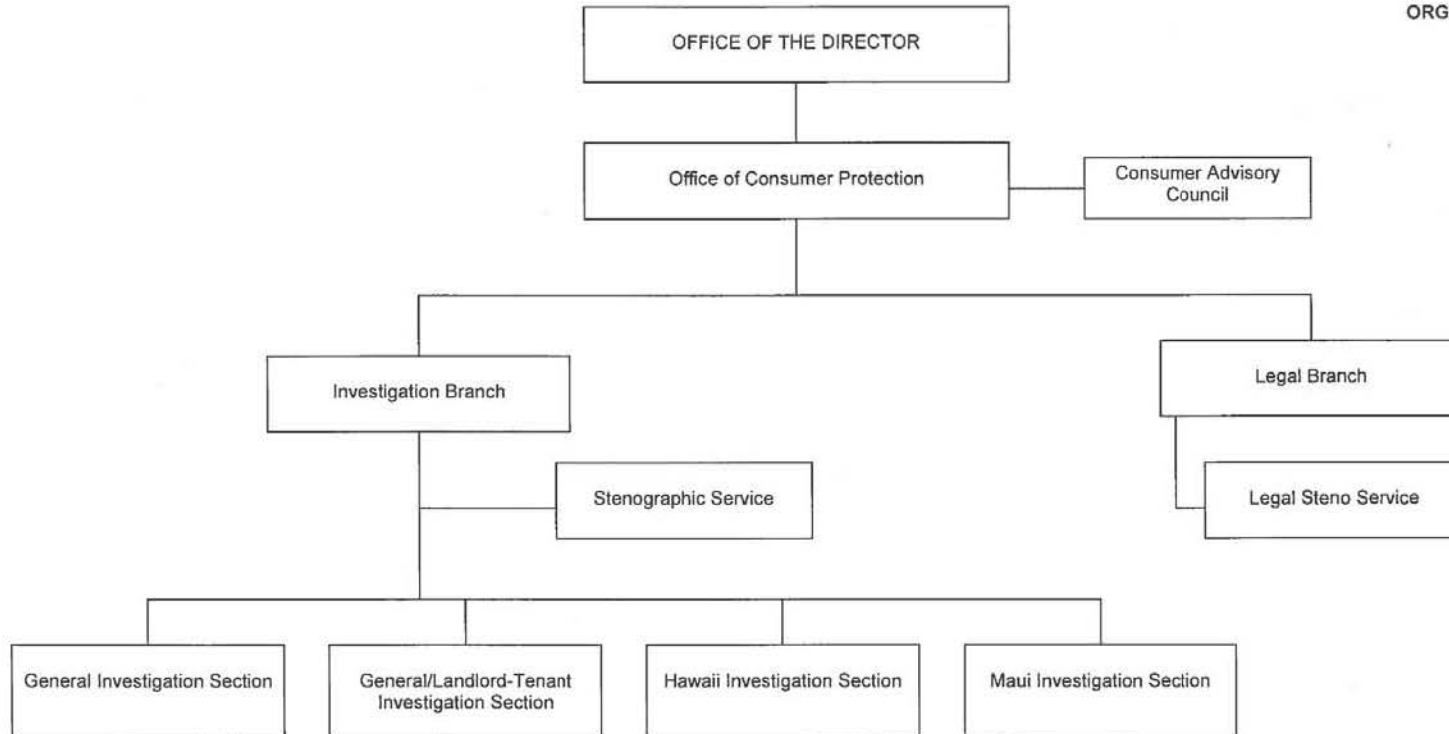


- 1/ Temporary civil service position, NTE June 30, 2015.
- 2/ Temporary exempt position, NTE June 30, 2015.
- 3/ Temporary, exempt, unbudgeted position, NTE June 30, 2013, pending abolishment.

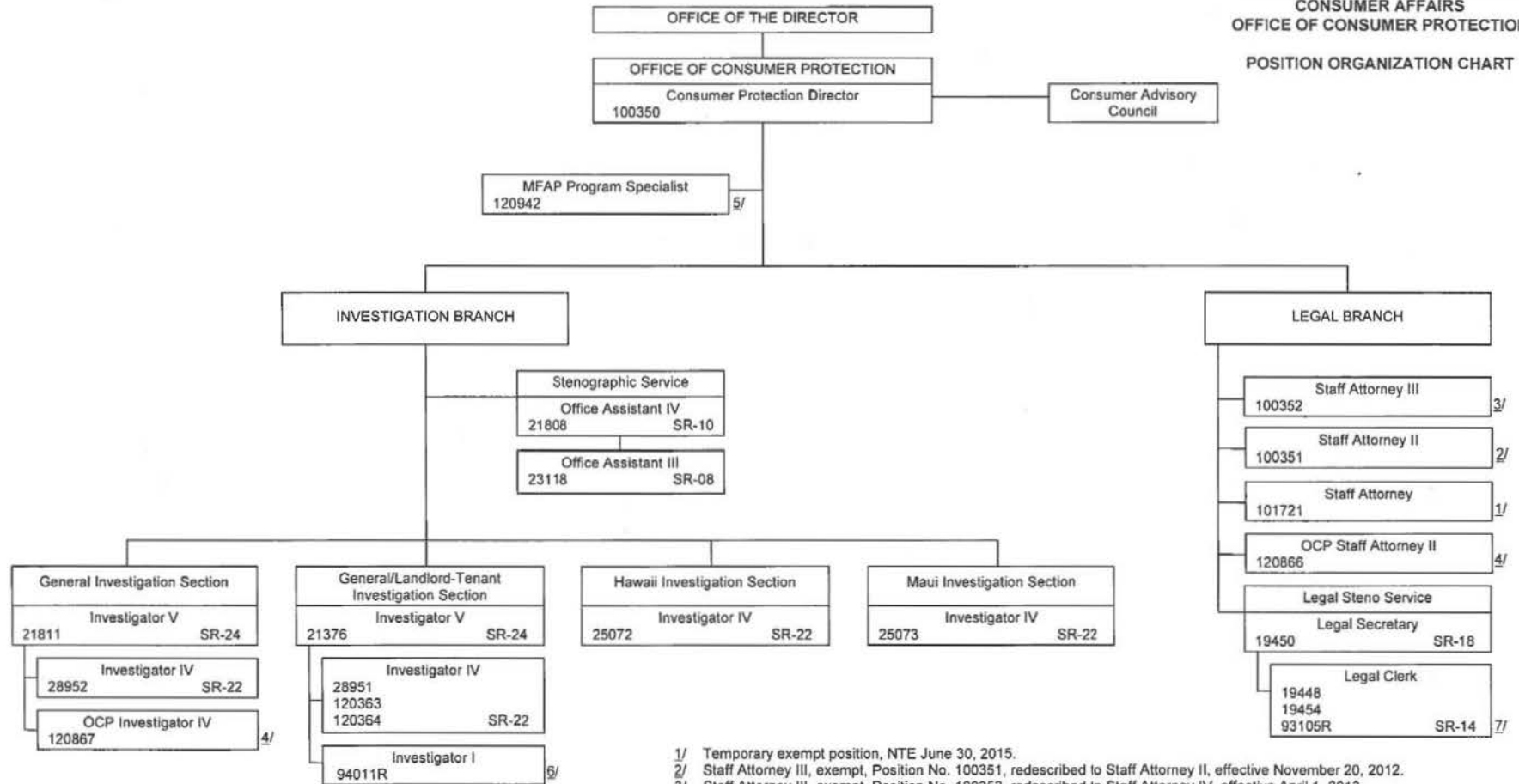
Note: CRF denotes Compliance Resolution Fund

STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
OFFICE OF CONSUMER PROTECTION

ORGANIZATION CHART



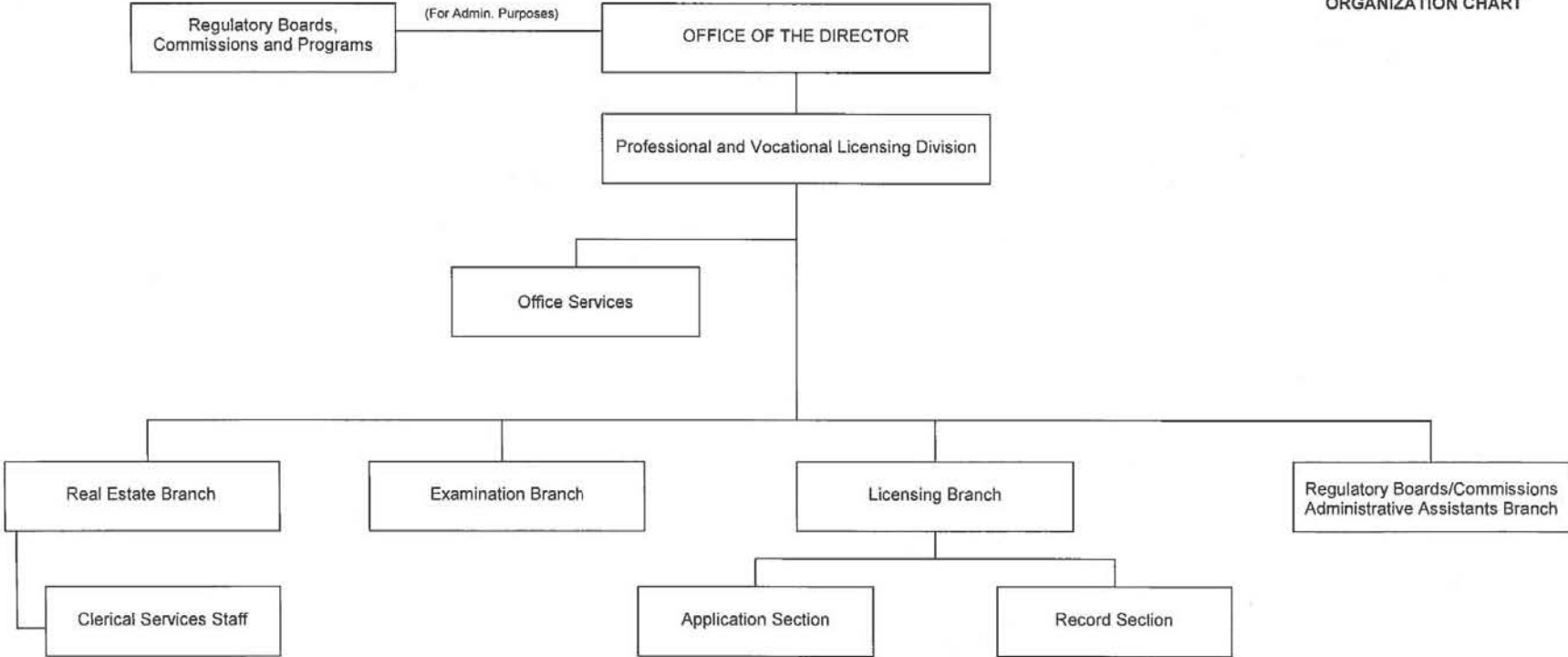
STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 OFFICE OF CONSUMER PROTECTION  
 POSITION ORGANIZATION CHART



- 1/ Temporary exempt position, NTE June 30, 2015.
- 2/ Staff Attorney III, exempt, Position No. 100351, redescribed to Staff Attorney II, effective November 20, 2012.
- 3/ Staff Attorney III, exempt, Position No. 100352, redescribed to Staff Attorney IV, effective April 1, 2013.
- 4/ New, temporary, unbudgeted, exempt position established March 15, 2013, NTE June 30, 2015.
- 5/ New, temporary, unbudgeted, exempt position (Pseudo No. 93107R) established June 17, 2013, NTE June 30, 2015.
- 6/ Unestablished, permanent position authorized by Act 134, SLH 2013.
- 7/ Unestablished, temporary, unbudgeted position.

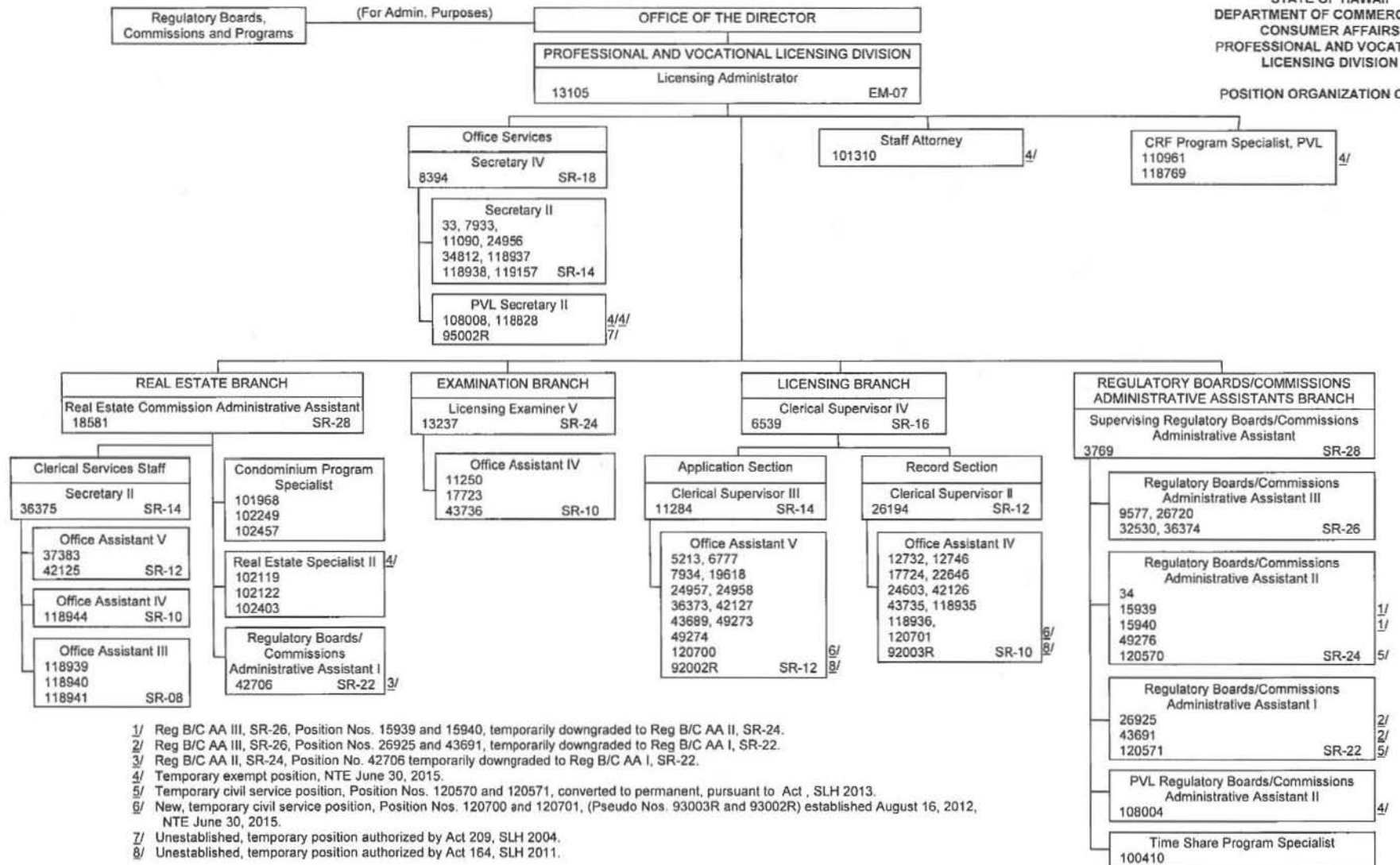
STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
PROFESSIONAL AND VOCATIONAL LICENSING  
DIVISION

ORGANIZATION CHART



STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
PROFESSIONAL AND VOCATIONAL  
LICENSING DIVISION

POSITION ORGANIZATION CHART



- 1/ Reg B/C AA III, SR-26, Position Nos. 15939 and 15940, temporarily downgraded to Reg B/C AA II, SR-24.
- 2/ Reg B/C AA III, SR-26, Position Nos. 26925 and 43691, temporarily downgraded to Reg B/C AA I, SR-22.
- 3/ Reg B/C AA II, SR-24, Position No. 42706 temporarily downgraded to Reg B/C AA I, SR-22.
- 4/ Temporary exempt position, NTE June 30, 2015.
- 5/ Temporary civil service position, Position Nos. 120570 and 120571, converted to permanent, pursuant to Act , SLH 2013.
- 6/ New, temporary civil service position, Position Nos. 120700 and 120701, (Pseudo Nos. 93003R and 93002R) established August 16, 2012, NTE June 30, 2015.
- 7/ Unestablished, temporary position authorized by Act 209, SLH 2004.
- 8/ Unestablished, temporary position authorized by Act 164, SLH 2011.

STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 REGULATORY BOARDS, COMMISSIONS  
 AND PROGRAMS

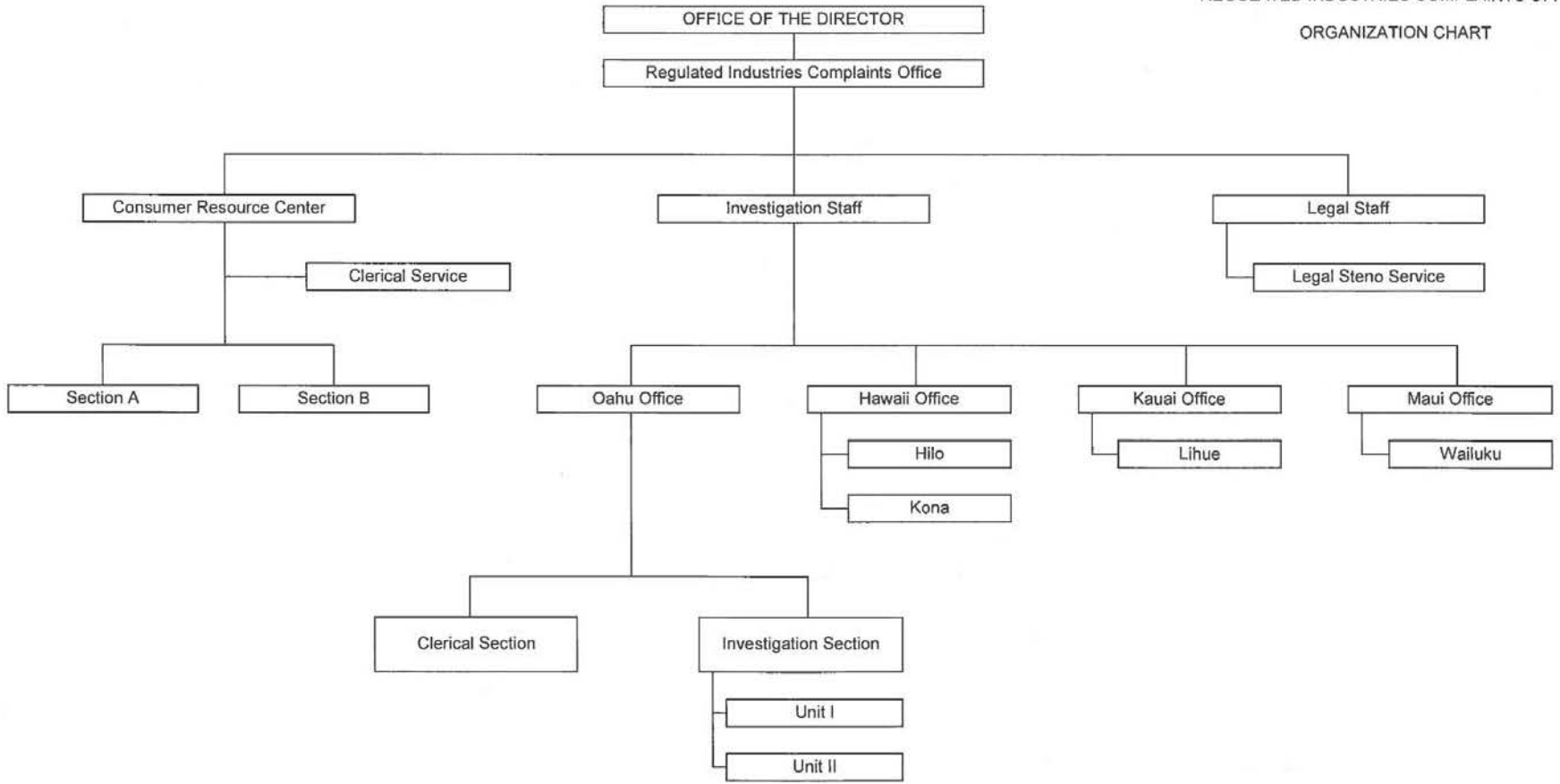
ORGANIZATION CHART

OFFICE OF THE DIRECTOR

(For Administrative Purposes)

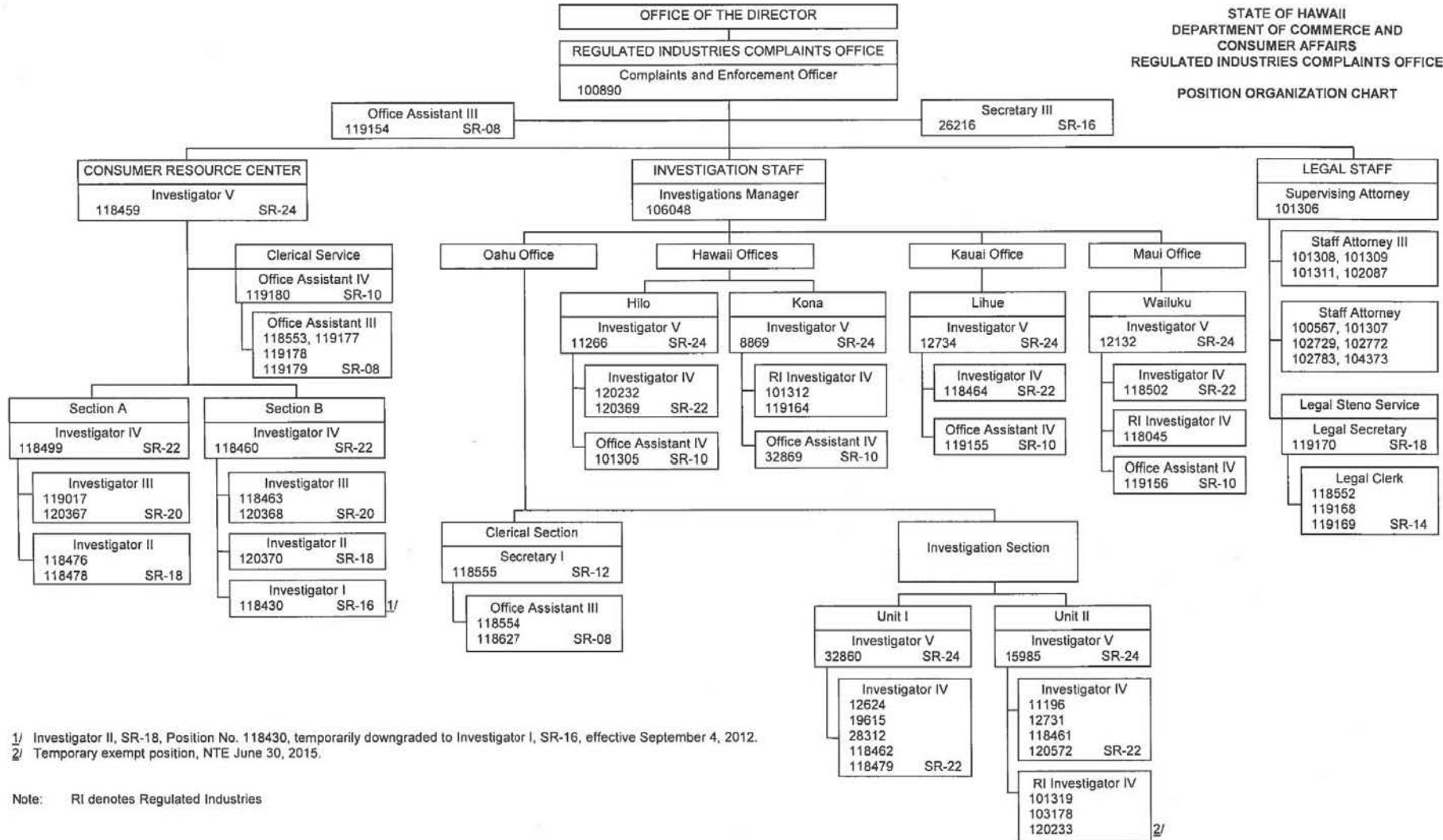
REGULATORY BOARDS, COMMISSIONS AND PROGRAMS		
Regulatory Boards/Commissions Administrative Assistants		Real Estate Branch
Accountancy	Motor Vehicle Industry Licensing	Condominium Property Regimes* Limited Equity Housing Cooperatives* Real Estate
Activity Providers and Activity Desks*	Motor Vehicle Repair Industry Licensing	
Acupuncture	Naturopathic Medicine	
Athletic Trainers*	Nurses Aides*	
Barbering and Cosmetology	Nursing	
Boxing	Nursing Home Administrators*	
Cemetery and Pre-need Funeral Authority*	Occupational Therapists*	
Chiropractic Examiners	Optometry	
Collection Agencies*	Pest Control	
Commercial Employment Agencies*	Pharmacy	
Contractors	Physical Therapy	
Dental Examiners	Pilotage*	
Dispensing Opticians*	Private Detectives and Guards	
Electricians and Plumbers	Psychology	
Electrologists*	Real Estate Appraisers*	
Elevator Mechanics	Respiratory Therapists*	
Engineers, Architects, Surveyors and Landscape Architects	Social Workers*	
Hearing Aid Dealers and Filters*	Speech Pathologists and Audiologists	
Marriage and Family Therapists*	Subdivision*	
Massage Therapy	Time Sharing*	
Medical	Travel Agencies*	
Mental Health Counselors	Uniform Athlete Agents*	
Mixed Martial Arts*	Veterinary Examiners	

STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 REGULATED INDUSTRIES COMPLAINTS OFFICE  
 ORGANIZATION CHART





STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 REGULATED INDUSTRIES COMPLAINTS OFFICE  
 POSITION ORGANIZATION CHART



1/ Investigator II, SR-18, Position No. 118430, temporarily downgraded to Investigator I, SR-16, effective September 4, 2012.  
 2/ Temporary exempt position, NTE June 30, 2015.

Note: RI denotes Regulated Industries