House District _____

Senate District _____

THE TWENTY-SEVENTH LEGISLATURE
APPLICATION FOR GRANTS AND SUBSIDIES
CHAPTER 42F, HAWAII REVISED STATUTES

Log No:

For Legislature's Use Only

Type of Grant or Subsidy Request:

☒ GRANT REQUEST – OPERATING ☐ GRANT REQUEST – CAPITAL ☐ SUBSIDY REQUEST

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Subsidy" means an award of state funds by the legislature, by an appropriation to a recipient specified in the appropriation, to reduce the costs incurred by the organization or individual in providing a service available to some or all members of the public.

"Recipient" means any organization or person receiving a grant or subsidy.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN): _____

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN): _____

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual: Kua'āina Ulu 'Auamo

Dba: KUA

Street Address: 307A Kamani St, The Box Jelly

Mailing Address: Honolulu, HI 96813

mailing address, same as above.

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name Kevin K.J. Chang

Title Executive Director

Phone # ~~627~~ 672 2545

Fax # _____

e-mail kevin@kuahawaii.org

3. TYPE OF BUSINESS ENTITY:

☒ NON PROFIT CORPORATION
☐ FOR PROFIT CORPORATION
☐ LIMITED LIABILITY COMPANY
☐ SOLE PROPRIETORSHIP/INDIVIDUAL

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

Growing Communities That Mālama 'Āina: Strategies for Community-based Natural and Cultural Resources Management

4. FEDERAL TAX ID #: [redacted]

5. STATE TAX ID #: [redacted]

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2015: $ 100,000

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

☐ NEW SERVICE (PRESENTLY DOES NOT EXIST)
☒ EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE $ _____
FEDERAL $ _____
COUNTY $ _____
PRIVATE/OTHER $ 274,000

TYPE NAME & TITLE OF AUTHORIZED REPRESENTATIVE: [redacted]

[redacted] AUTHORIZED SIGNATURE

Kevin K.J. Chang, Executive Director
NAME & TITLE

1/30/14
DATE SIGNED

KUA'AINA ULU 'AUAMO
formerly the Hawai'i Community Stewardship Network

growing communities that mālama 'āina

Strategies for Community-based Natural and Cultural Resource Management

PROPOSAL TO THE 27TH LEGISLATURE
STATE OF HAWAI'I

APPLICATION FOR GRANTS AND SUBSIDIES
SUBMITTED JANUARY 31, 2014

KIM MOA

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I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Include the following:

1. A brief description of the applicant's background:

KUA is a 501(c)3 non-profit[1] with a full mission to support, strengthen and grow community-based approaches to natural and cultural resource management in Hawai'i. Community-based approaches (where communities care for their lands, nearshore areas, or sacred sites) are receiving increasing interest from state, local and federal agencies mandated to protect natural resources; successful community-based natural and cultural resource stewardship can be a powerful tool for accelerating and magnifying the impact of government policies and programs for protecting natural and cultural resources.

KUA connects communities together, growing a network of practitioners who are learning from each other and aligning their efforts to support each other. We provide short-term and long-term assistance to communities who invite our help. We provide facilitation, consultation, training, networking, planning and tools to help communities reach their goals together.

We believe solutions to the complex problems threatening Hawai'i's unique environment require effective, just collaborations between communities and government. KUA is committed to making collective impact -- together, we are growing and nurturing the effective cross-sector collaborations needed to tackle the problems Hawai'i is facing—from fisheries rules to climate change.

KUA has a 10-year history of movement-building, strategic support, and capacity building for community stewardship in Hawai'i. We believe empowered communities caring for their lands, water and culture, lead us to our vision of an abundant, productive ecological system that supports community well-being--'āina momona.

2. The goals and objectives related to the request:

We believe our vision will be reached when the following conditions are a broad-based reality in Hawai'i:

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[1] From 2003 – 2009 KUA existed as the Hawai'i Program of the Community Conservation Network. In 2009, Community Conservation Network release the program, and it became the fiscally-sponsored Hawai'I Community Stewardship Network. In 2012, the name was changed to Kua'āina Ulu 'Auamo, and the organization established itself as a independent 501c3.

Communities are decision-makers.
Communities are resource managers.
Communities adapt to lessons learned and changing conditions.
Communities sustain their resource management projects for as long as is needed to reach their goals.

We reach these conditions through the following:

Community Networking: Convene and facilitate a network of community-based resource management practitioners and organizations called E Alu Pū (Move Forward Together) to strengthen their mālama 'āina (natural and cultural stewardship) efforts through sharing ideas, skills, and lessons learned with one another. A truly community-driven organization, KUA is given its mandate and led by this network through an Advisory Council comprised of one person from each island represented in E Alu Pū. These communities join with others to share what they know, strengthen one another, and contribute to one another's success to move toward a state of 'āina momona—an abundant, productive ecological system that sustains community well-being.

Natural & Socio-Cultural Resource Management: Support communities who care for their biocultural heritage by combining effective resources management methods from the past and the present – encouraging traditional Hawaiian practices of adaptive management of land and ocean resources that in turn sustains the people physically, socially, culturally, and spiritually.

Youth Engagement: Work with communities to ensure that young people learn the practices that will nurture the 'āina and, in turn, their communities. Communities cited our children's losing their connection with the 'āina (land and sea) as one of the greatest threats to Hawai'i's natural resources.

Community Advocacy: Empower communities to participate equally in making the decisions that impact their quality of life. We inform communities about policy initiatives that may affect their resource management efforts and provide them with access to education and assistance related to community organizing, advocacy, and policy-making processes

Community-Based Economic Development: Promote a form of economic development that is community-driven, place-based, respectful of cultural and traditional values, and supportive of healthy, sustainable ecosystems. We bring together tools, resources, and partnerships to enable community-based organizations to expand economic opportunities and achieve measurable financial and economic benefits in their communities.

Monitoring & Evaluating Program Impacts: Work directly with communities to develop and use a framework and tools tailor-made for communities to better understand, improve, and tell the story of their impact.

Capacity-Building for Community-Based Organizations: Provide or link our community partners – who are small, grassroots, community-based organizations – to assistance in strategic planning, organizational development, and administrative and fiscal management systems to sustain their long-term efforts.

Through this grant, KUA will strive to meet the following objectives:

By the close of the grant term, KUA will:

Objective 1. Improve the understanding and use of biocultural resource management practices among partner community groups.

- At least 75% of attendees at learning network gatherings say they learned resource management information and practices that they will apply in their home communities.
- At least 75% of training event attendees say the training increased their level of knowledge or understanding of the resources management topic.
- At least 50% of partner community groups report using improved resource management approaches or projects or practices as a result of KUA's work.

Objective 2. Improve the biocultural resource management decision-making role of partner community groups.

- 20% of partner community groups participate in resource management decision-making processes.

Objective 3. Increase the area under active community management in partnership with appropriate authorities.

For more information, please see ATTACHMENT A, Theory of Change.

3. The public purpose and need to be served:

Our island home is a unique place of great beauty and abundance. But today, a complex combination of over-harvesting, development, pollution, water diversion, sedimentation and the introduction of alien species have led to Hawai'i's status as the "endangered species capital of the world." This loss impacts everyone in Hawai'i -- but also disproportionately affects rural Hawaiian communities, where many rely on subsistence (hunting, fishing and gathering) and for whom the decline of natural and cultural resources is equivalent to the loss of culture, history, and way of life.

Communities across Hawai'i are coming together to meet these challenges. They are joined in this work by others who are researchers, teachers, businesses, artists, resource managers, decision-makers and individuals in Hawai'i and around the world. KUA exists to support, connect, strengthen and accelerate their work, and the movement they are building.

KUA connects communities, growing a learning network of practitioners who share knowledge, strengths and lessons learned. We assist in building equitable partnerships and effective collaborations that advance communities' natural and cultural resource management efforts. We provide facilitation, consultation, training, networking, and tools to help communities increase their effectiveness and reach their goals.

KUA has existed programmatically for 10 years. In that time, it has provided training in resources management for 547 people, brought $1.3 million in direct investment to community projects, and connected 584 community members through the E Alu Pū learning network. KUA has helped communities to create management plans, develop youth programs, record traditional resource management knowledge, work with government agencies, build community organizations, and much more.

From an annual survey, communities themselves had this to say about the difference KUA and E Alu Pū has made to them:

"I have learned what it takes to be a good leader."
"I have renewed energy to finish a project I am working on."
"E Alu Pū over the years has been...a necessity for our communities."
"It's a constant learning opportunity."
"You're right there. You're not hearing from somebody who's doing it. You're actually side by-side with him, doing what he's good at."
"One person standing alone has only one voice, and it's not as strong as a hundred people standing together."
"Bringing forth a reminder that it's not just the community in our ahupua'a that supports us, but communities or organizations from all Hawai'i."
"These gatherings are really a huge part of what I do back home. They rejuvenate me. Being here with people who do the same things and care about the same things...It is nice to know that the work we are doing is shared by all of us."
"We are not all alone."
"The point of the spear makes the puka, but it needs all of the energy behind it. That is [E Alu Pū]."

From an interview with a program officer from a supporting foundation: "E Alu Pū is founded on a fairly simple premise: gathering communities together from throughout Hawaii around common problems to create powerful

solutions that are supported by the collective."

A KUA donor describes our impact in this way: "A vast majority of the people KUA works with are volunteers. They have jobs and work all day, but then they come home and put their sweat and love into the land. When they feel alone, KUA gives them people all over Hawai'i who they can call upon for help. When they feel stuck, KUA directs them to someone who has been there and gotten unstuck. When they lack tools, KUA provides tools. When they need resources to get things moving, KUA links them with resources. When they feel tired, KUA works alongside them. When they have knowledge to share, KUA brings them together to teach each other. They become part of an 'ohana [family] so that they can reach out to one or many for help, and it will come."

4. Describe the target population to be served:

KUA primarily serves the communities of the E Alu Pū network. E Alu Pū is a statewide network comprised of 25+ primarily Native Hawaiian and rural communities. It works to strengthen their efforts to care for the land, ocean, and culture of their places through sharing strategies, skills, and lessons learned with one another. Through their stewardship projects, they seek to improve the quality of life in their communities. Please see the map below for a list of communities served through E Alu Pū.

E Alu Pū arose from a first-of-its-kind community network gathering of thirteen rural Hawaiian communities engaged in community-based natural resource management on Molokai in December 2003, conceived by Uncle Mac Poepoe. The gathering sought to fill a critical need toward better coordination, collaboration, and shared learning.

Since then, E Alu Pū has operated as a growing "community of communities," currently with 25 communities actively participating. In addition to direct support (technical, financial, and other) for community projects, KUA convenes, raises funds and provides the coordination needed to bring E Alu Pū youth and adult leaders together annually (approximately 100 people from 6 islands) at a hosting community's site for an immersion into that community's projects.

KUA also offers regular smaller group training in a topic requested by communities—conservation planning, fundraising, and so on. These trainings and gatherings are provided free of charge (including all travel costs) to participants, making valuable learning, sharing, and networking opportunities available to a diverse number of individuals and small organizations who would not otherwise be able to afford or access these resources.

Within E Alu Pū, KUA connects communities with common interests and shared challenges. KUA has secured funding to develop a coordinator position supporting Hawaiian fishponds (an informal network known as Hui Mālama Loko I'a), networked groups establishing Community-based Fishing Areas, and created a library of common tools like GPS units to share.

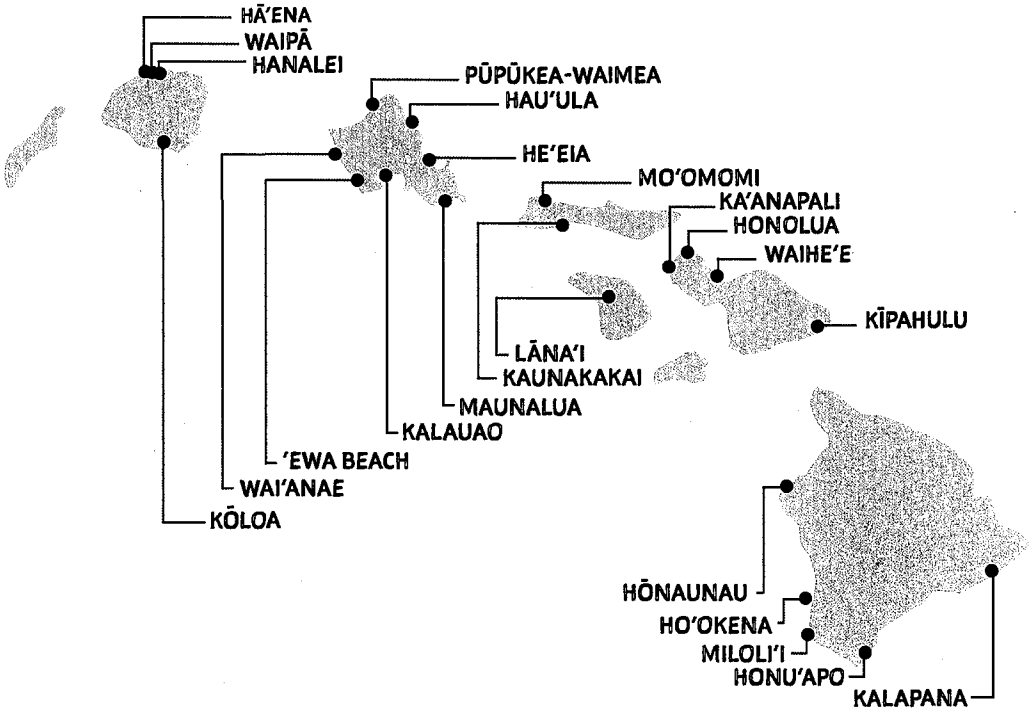
KUA provides direct assistance to communities where we are invited to help. KUA provides technical assistance, capacity building, facilitation and consultation on areas ranging from organizational strategic planning to natural resource management plans.

This year, KUA expects to directly serve approximately 100 adult and youth community leaders from rural Hawaiian communities around Hawai'i. In turn, their service within their communities is expected to have an impact of many multiples of 100.

5. Describe the geographic coverage:

KUA serves communities on Kaua'i, O'ahu, Maui, Lāna'i, Moloka'i, and Hawai'i.

Please see the map below for a list of communities actively involved with KUA through E Alu Pū in 2013:



II. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities.

KUA envisions a Hawai'i where abundant environmental resources contribute to community well-being. We believe that will happen when communities are decision-makers and resource managers that successful adapt to new information and changing conditions. We approach these goals through providing facilitation, training, tools, consultation, networking, and advocacy. KUA works at a site-based level, a network level, and a statewide level. We operate in congruence with core values developed in collaboration with our community partners.

KUA helps communities who request our assistance to articulate and achieve their goals for an improved quality of life through environmental and cultural stewardship. We bring together partners, tools, and our own professional expertise to support community efforts. Under this project, KUA will complete the scope of services, tasks, and responsibilities as described below:

<u>Site-Based Level</u>

i. Biocultural management: KUA will support communities who care for their biocultural heritage by combining effective resource management methods from the past and the present—encouraging traditional Hawaiian practices of adaptive management of land and ocean resources that in turn sustains the people physically, socially, culturally, and spiritually.

- Provide technical assistance in biocultural management to four communities. This will include direct assistance to one site that is working to restore limu grounds, two sites that are working to re-establish functional Hawaiian fishponds, and one site working to improve fisheries management. Direct assistance includes strategic planning, technical assistance with permits, evaluation planning, knowledge exchanges with other sites, and training.

- Provide training in facilitation and effective communication for at least 15 communities. Communities have identified this skill set as critical to the success of their site-based efforts.

ii. Capacity-building for community-based organizations: KUA will provide or link our community partners, who are small, grassroots, community-based organizations, to assistance in strategic planning, organizational development, and administrative and fiscal management systems to sustain their long-term efforts.

- Consult with two communities to develop and implement site-based evaluation plans.

- Provide organizational capacity-building for at least four community groups that do not have 501(c)(3) nonprofit status.

Network Level

Community networking: KUA will convene and facilitate a network of community-based resource management practitioners and organizations called E Alu Pū to strengthen their mālama 'āina efforts through sharing ideas, skills, and lessons learned with one another.

- Bring together at least 18 communities from around the state for a gathering focusing on lessons communities can learn from each other's site-based work.

- Facilitate networking through the KUA website to connect people to strengthen their projects. The website will be further developed to include training modules, stories from different community sites, videos, and event information.

Statewide Level

Networking and advocacy at the state level: KUA will advocate for community-based resource management and will help bring best practices to Hawai'i's communities through contributing time to the following statewide and Pacific-wide efforts: Pacific Islands Marine and Protected Areas Community, Locally Managed Marine Area Network, Managing Better Together partnership, Watch Steering Committee, and Pacific Islands Climate Change Cooperative.

2. Provide a projected annual timeline for accomplishing the results or outcomes of the service.

Please see table below.

Scope Activity	Quarter 1	Quarter 2	Quarter 3	Quarter 4
1. Provide technical assistance in biocultural management to four communities.	Provide on-going technical assistance as needed to the following issue area networks: (1) fishponds, (2) limu practitioners, (3) subsistence fishers. Where invited, investigate other needs and opportunities to provide technical assistance. Develop a working group of academics engaged in research areas of need for those engaged in community-based resource management.	Provide on-going technical assistance as needed to the following issue area networks: (1) fishponds, (2) limu practitioners, (3) subsistence fishers. Where invited, investigate other needs and opportunities to provide technical assistance. Assess research needs of communities, develop initial list of academics to invite to working group.	Provide on-going technical assistance as needed to the following issue area networks: (1) fishponds, (2) limu practitioners, (3) subsistence fishers. Where invited, investigate other needs and opportunities to provide technical assistance. Convene working group of academics engaged in research areas of need for those engaged in community-based resource management.	Provide on-going technical assistance as needed to the following issue area networks: (1) fishponds, (2) limu practitioners, (3) subsistence fishers. Where invited, investigate other needs and opportunities to provide technical assistance. Continue to provide staff support to academic working group. Determine how group will maintain on-going communication (e.g., phone calls, emails, in-person meetings)
2. Provide training in facilitation and effective communication for at least 15 communities.	Begin planning training event; identify key partners, finalize dates, survey potential participants.	Develop agenda for training event, secure speakers/trainers, organize logistics.	Finalize logistics. Finalize training materials. Hold training event.	Evaluate effectiveness of training event.
3. Consult with two communities to develop and implement site-based evaluation plans.	Meet with community group; assess prior evaluation efforts and attitudes toward evaluation.	Facilitate meetings with community groups to identify outcome measures and data-collection instruments.	Provide technical assistance to community groups as they implement data collection and storage.	Complete initial data analysis and feed back results to community groups. Provide suggestions regarding use of results in communications.

Scope Activity	Quarter 1	Quarter 2	Quarter 3	Quarter 4
4. Provide organizational capacity building support for at least four community groups that do not have 501(c)(3) nonprofit status.	Finalize policy for providing organizational capacity building as direct support.	Evaluate organizational capacity building requests from organizations.	Conduct needs assessments and design assistance plan.	Evaluate process, effectiveness, financial efficiency, and "customer" satisfaction. Make corrections as needed.
5. Bring together at least 18 communities from around the state for an event focusing on lessons communities can learn from each other's site-based work.	Begin planning gathering: identify key partners and finalize dates.	Begin organizing logistics for gathering: lodging, meals, flights, ground transportation.	Develop agenda for gathering, secure speakers, finalize logistics.	Hold gathering. Evaluate participant satisfaction with event.
6. Facilitate networking through the KUA website to connect people to strengthen their projects.	Create detailed plan for adding content to the website, including both permanent content and regularly updated content. Post year's events to website calendar. Post information from KUA efforts, including any news from its participation in statewide and Pacific networks that is relevant to partner communities.	Complete and add at least one community story to the website. Add existing tools to the website and provide for access to them. Facilitate training and network gathering registration via the website. Provide calendar and community updates through the website. Post information from KUA efforts, including any news from its participation in statewide and Pacific networks that is relevant to partner communities.	Complete and add at least one community story to the website. Complete and add to the website at least one video. Post materials, video, and highlights from training event to the website. Provide calendar and community updates through the website. Post information from KUA efforts, including any news from its participation in statewide and Pacific networks that is relevant to partner communities.	Post photos, highlights, and stories from the network gathering to the website. Provide calendar and community updates through the website. Post information from KUA efforts, including any news from its participation in statewide and Pacific networks that is relevant to partner communities.
7. Contributing time to statewide and Pacific-wide efforts.	Attend and contribute to subcommittees of statewide and Pacific networks.	Attend and contribute to subcommittees of statewide and Pacific networks.	Attend and contribute to subcommittees of statewide and Pacific networks.	Attend and contribute to subcommittees of statewide and Pacific networks.

3. **Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results.**

Over the past 3 years, KUA has been engaged in an effort to develop an organizational culture of ongoing internal program evaluation. The effort began with an "archaeological dig" through our past—documents, grant reports, emails, surveys, etc.—to develop an understanding of the impact of our work.

From there, KUA has spent the last year developing an organizational evaluation plan that will help us to determine whether we are making progress toward our vision. The evaluation plan centers on answering these key questions:

1. Are the communities KUA works with decision-makers?
2. Are the communities KUA works with resource managers?
3. Are the communities KUA works with able to adapt to new information and changing conditions?
4. Is community-based resources management considered a viable, effective option by policy-makers, managers, and communities?

One of our top considerations in developing the evaluation plan was to create a system that was simple and straightforward so that KUA staff could feasibly gather data on a regular basis. We were not seeking complex algorithms but, instead, are creating simple tools that staff can use as they focus on the important work at hand.

KUA collect data primarily during annual gatherings of the E Alu Pū learning network. Data is be entered into data management tools (e.g., spreadsheets, surveymonkey) and analyzed by our contract evaluator. Reports are shared with the KUA Board, community partners, and funding partners, and on the website.

KUA is also engaged in a process to support program evaluation with the communities we serve. As more and more community groups are empowered to use a combination of data and stories to communicate their impact, a clearer picture of the impact of community-based resources management will emerge. With the permission of our partner communities, KUA will compile discreet pieces of data from all the communities participating in the E Alu Pū learning network so that we can better tell the story of how KUA's networking and capacity-building role is making a difference on the ground in communities around the state.

4. **List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.**

   The data KUA will collect, how, and how often, is as follows:
   - Number of communities represented and number of people attending trainings or gatherings of the E Alu Pū learning network (attendance sheet, each gathering/training)
   - Percent of attendees who say they learned resource management information and practices that they will apply in their home communities (survey, each gathering/training)
   - Percent of partner community groups using improved resource management approaches or projects or practices (survey, annually)
   - Percent of partner community groups participating in resource management decision-making processes (survey, annually)
   - Percent of partner community groups who say KUA's work reflects the organization's core values (Whole Communities approach; survey, annually)
   - Area (square miles, hectares, acres) under active management by KUA's partner communities (survey, annually)

III. Financial Budget

1. **The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.**

   Please see ATTATCHMENT B, Budget Request & Budget Justification.

2. **The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2015.**

   Please see the table below:

Quarter 1	$28,475
Quarter 2	$26,675
Quarter 3	$22,975
Quarter 4	$21,875
Total Grant	$100,000

3. **The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2015.**

   Harold K.L. Castle Foundation
   Wallace Alexander Gerbode Foundation
   Hawai'i Community Foundation
   Keith and Judy Swayne Family Foundation
   Hawai'i People's Fund
   Atherton Family Foundation
   Office of Hawaiian Affairs
   Conservation International Hawai'i Fish Trust
   Kamehameha Schools
   Sidney E. Frank Foundation

4. **The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.**

   Not applicable.

5. **The applicant shall provide the balance of its unrestricted current assets as of December 31, 2013.**

   $167,822

IV. Experience and Capability

1. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

KUA has a 10-year history of building trust and capacity as well as coordinating, organizing, and empowering community groups in primarily rural and Native Hawaiian communities. This work has also included bridge-building between technical and scientific experts, county, state, and federal agencies and the larger non-profit community. This grant funding from the legislature will increase the influence and contribution of these communities

on the future of our state's food self-sufficiency, cultural legacy, and environmental heritage.

KUA has supported the networking and capacity building needs of community stewardship groups since 2003. A movement-oriented organization, KUA works to help empower and build capacity in Hawai'i's communities to improve their quality of life through caring for their environmental heritage.

KUA helps communities who request its assistance to identify and achieve their goals for the management of biocultural resources in their communities. We engage the community in assessing a broad range of conditions; developing, managing, monitoring, and evaluating or advocating for projects in locally meaningful ways to ensure that they are having the desired impact; and building equitable partnerships.

In addition, KUA sets up network members with opportunities for training on subjects as diverse as creating and sustaining a non-profit to kuleana tax exemption qualifications or strategic planning and mediation to developing process, structure, and context for the support of community-based resource management programs.

A few of KUA's accomplishments are as follows:

- Assisted the Hui Maka'āinana o Makana (Hā'ena, Kauai); Pa'a Pono Miloli'i (Miloli'i, Hawai'i); and Kama'āina United to Protect the 'Āina (Ho'okena, Hawai'i) to design nearshore resource management plans (including the first Community-Based Subsistence Fishing Areas in the state) and new proposed fishery rules.
- Assisted Kalapana Fishing Council (Kalapana, Hawai'i); Hui o Hau'ula (Hau'ula, O'ahu); Mālama Pūpūkea-Waimea (Pūpūkea, O'ahu); and Paepae o He'eia (He'eia, O'ahu) to develop organizational and programmatic strategic plans.
- Through E Alu Pū and site-based work, provided training in natural and socioeconomic resource management to more than 547 people from 23 communities from around Hawai'i. Training topics include management planning; fish population, benthic habitat, and human use monitoring; mapping; socioeconomic assessment; observation and incident-reporting; the gathering and use of traditional ecological knowledge; Kuleana land tax exemption qualification; nonprofit management; fundraising plan development; state government advocacy; and more.
- Wrote guidebooks that have reached hundreds of people on the use of traditional ecological knowledge in resource management, the use of media technology in recording traditional knowledge, Kuleana land tax exemption qualification, management planning, and Makai Watch program design and implementation.
- Raised more than $1.3 million in direct financial support of community projects (not including KUA salaries, supplies, or overhead).

- Worked directly with State and Federal resource management agencies, in addition to nonprofit partners, to develop the Makai Watch Program, a program using the concept of the Neighborhood Watch program to engage community members in resource management at coastal sites.
- Worked with the Department of Land and Natural Resources to develop a guidebook on creating Community-Based Subsistence Fishing Areas.
- Awarded the EPA Region 9 Environmental Award and the State Office of Planning Douglas Tom Thumbs Up Award for our work empowering communities to mālama 'āina.

2. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities. The applicant shall also describe how the facilities meet ADA requirements, as applicable.

KUA is a 501c3 nonprofit and a Hawai'i charitable organization. KUA works statewide and facilitates a grassroots network of approximately 25 community groups called E Alu Pū. E Alu Pū holds at least one large gathering a year, each time hosted by different ahupua'a on a different island. Because we help to serve as a hub for movement-building, we are not necessarily location-based.

Because KUA is statewide, our staff is often mobile (approximately one-third of the time), working from the various kīpuka and ahupua'a where community partners reside and work. We also have a small office housing our four staff, located in Kaka'ako. The office includes access to a shared conference room, Board room, and event space.

V. Personnel: Project Organization and Staffing

1. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

This project will be led by Executive Director Kevin Chang, with support from Deputy Director Miwa Tamanaha, E Alu Pū Coordinator Alex Connelly, and Loko I'a Coordinator Brenda Asuncion.

The Executive Director and Deputy Director have an aggregate 10 years of supervising experience leading staff and volunteers in the fields of environment, music, and land and resource management.

Kevin K. J. Chang, Executive Director
Kevin was born in Honolulu and raised in Ahuimanu valley, ahupua'a of Kahalu'u, moku of Ko'olaupoko, O'ahu, where he graduated from J. B. Castle High School. He received a B.A. in Psychology and a J.D. from the University of Oregon, where he co-founded the Coalition Against Environmental Racism (CAER), which has held an annual conference on environmental justice issues since 1995.

Prior to KUA, he was a Land Manager in the Land and Property Management Program of the Office of Hawaiian Affairs and a Field Representative for the Trust for Public Lands' Hawaiian Islands Program. He also practiced law as a solo practitioner in the field of entertainment law. He currently serves on the board of Big Brothers Big Sisters of Honolulu and the Hawai'i Rural Development Council. Kevin currently resides in Kaka'ako.

Miwa Tamanaha, Deputy Director
Miwa has spent the last 15 years committed to marine and watershed protection, from education to policy in Hawai'i, rural Mexico, Central and Southern California, and Tanzania. She has an joint-B.A/M.A. in Economics from the University of Southern California. Miwa is currently a Board Member for MA'O Organic Farms and Life of the Land. Prior to working for KUA, Miwa served as the Executive Director of KAHEA: the Hawaiian Environmental Alliance. Miwa currently lives in the Waipi'o ('Ewa, O'ahu) with her husband and two children.

Brenda Asuncion, Loko I'a Coordinator
Brenda was raised in Waipi'o, ('Ewa, O'ahu). She began volunteering for Paepae o He'eia at Hę'eia fishpond during holiday breaks from Occidental College, where she received her B.A. in Biology with a Marine Biology emphasis. She joined the Paepae o He'eia staff as a part-time Ka 'Ai Kamaha'o internship coordinator while pursuing her M.S. degree in Marine Science from Hawai'i Pacific University.

Brenda joined the KUA team in September 2013 and her primary kuleana is to coordinate opportunities for loko i'a practitioners to network and increase restoration efforts. Brenda currently lives in Wailupe ('Āina Haina), O'ahu.

Alex Connelly, E Alu Pū Coordinator and Program Assistant
Al grew up in Ko'olaupoko, and recently graduated from UH Mānoa with a degree in Natural Resources & Environmental Management. As E Alu Pū Coordinator, she helps to organize network gatherings and works to keep communication in the network flowing smoothly. With her Program Assistant

hat on, she helps to keep the KUA office running. She is a dancer of Hālau Mōhala 'Ilima and lives in He'eia with her family, including their Bull-Mastiff, Lilybug.

The staff is supported by two contractors, John Russell, a CPA who serves as contract CFO for the organization, and Groundswell Services, who designs and analyzes KUA's evaluations of its programs and services.

2. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organizational chart that illustrates the placement of this request.

Please see ATTACHMENT C, Organization Chart.

3. Compensation

The applicant shall provide the annual salaries paid by the applicant to the three highest paid officers, directors, or employees of the organization by position.

The annual salaries paid to the highest paid employees of the organization are as follows:

Executive Director - $70,000
Deputy Director - $60,000
Loko I'a Coordinator - $50,000

All members of the Board of Directors and Advisory Board serve as volunteers.

VI. Other

1. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

Not applicable.

2. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that applicant possesses relevant to this request.

Not applicable.

APPENDIX A.
KUA Theory of Change

We envision:

ʻāina momona.

Abundant and healthy ecological systems in Hawai'i that contribute to community well-being.

This will take: **Empowered and connected communities stewarding Hawaiian lands, waters and culture (community-based natural resource management).**

We'll know this has happened when the following conditions are a broad-based reality in Hawaii:

Communities are decision-makers

- # of communities participating in decision-making processes
- % community advocacy campaigns that are successful

Community practitioners are resource managers

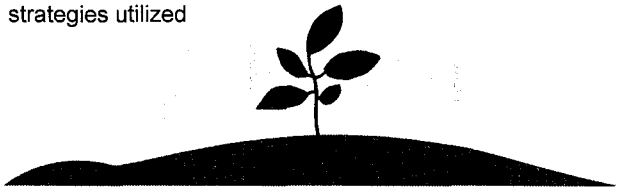
- area under active community management
- # educated by communities
- % decrease in threats to resources
- # new management approaches
- % increase in biomass

Sustainable community-based organizations

- # nonprofit BMPs utilized
- mix of fundraising strategies utilized

Communities adapt to changing conditions

- # communities doing monitoring & evaluation



We will acheive these conditions through these activities:

gatherings

- # communities at gathering
- # people at gatherings
- % attending who apply learning at home
- # new biocultural management tools used in communities

training and tools

- # people attending trainings
- # of people using/ requesting tools
- %increase in knowledge
- %satisfied that training increased their level of knowlege
- % communities trained who are implementing training within one year

facilitation, consultation and technical assistance

- # communities who perceive that they are reaching goals, making progress
- $ brought to communities
- # new biocultural management tools used in communities

network building

- # incidences of skill exchanges
- # links made between communities and resource agencies/ partners
- # new biocultural management tools used in communities
- #/$ resources received and utilized through networking

advocacy

- # positive mentions
- # new regulations that contribute to improved biocultural management
- # agencies seeking input from KUA

backbone support organization

- %increase in non-profit health scorecard score
- mix of fundraising strategies utilized
- ratio funding from grants vs individuals
- $ of operating reserves
- % perceive values alignment

We work on these activities with and for:

Communities who invite our suport, and who have an active committment to restoring and protecting the natural and cultural resources of their place

Because we believe:

Communities have a traditional and sacred role and responsibility for the lands and waters their places.

Communities rely on ecological health for physical, cultural, and spiritual sustenance.

Communities have knowledge, practice and relationships critical for successful resource management.

learn more at kuahawaii.org

KUA
KUA ʻĀINA ULU ʻAUAMO

APPENDIX B.
Budget Request and Justification

BUDGET REQUEST BY SOURCE OF FUNDS

(Period: July 1, 2014 to June 30, 2015)

Applicant: Kua'āina Ulu 'Auamo (KUA)

BUDGET CATEGORIES	Total State Funds Requested (a)	(b)	(c)	(d)
A. PERSONNEL COST				
1. Salaries	$53,196.00	$108,004.00	$50,000.00	
2. Payroll Taxes & Assessments	$7,979.40	$16,200.60	$7,500.00	
3. Fringe Benefits	$8,245.38	$16,740.62	$8,142.00	
TOTAL PERSONNEL COST	$69,420.78	$140,945.22	$65,642.00	
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island	$8,000.00	$12,000.00	$3,000.00	
2. Insurance	$300.00	$700.00		
3. Lease/Rental of Equipment				
4. Lease/Rental of Space	$5,148.00	$6,552.00	$3,900.00	
5. Staff Training		$300.00		
6. Supplies	$3,000.00	$4,000.00	$1,000.00	
7. Telecommunication	$905.32	$1,971.70		
8. Utilities	$1,071.89	$1,364.23	$812.04	
9 Car Rental	$2,000.00	$3,000.00	$1,000.00	
10 Contracts (CFO, Evaluator)	$10,154.01	$24,250.00	$1,000.00	
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES	$30,579.22	$54,137.93	$10,712.04	
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL				
TOTAL (A+B+C+D+E)	$100,000.00	$195,083.15	$76,354.00	

SOURCES OF FUNDING		Budget Prepared By:	
(a) Total State Funds Requested	$100,000.00	Miwa Tamanaha	228-7219
(b) Private Foundations	$195,083.15	Name (Please type or print)	Phone
(c) Office of Hawaiian Affairs	$76,354.00	[redacted]	
(d)		Signature of Authorized Official	Date
TOTAL BUDGET	$371,437.15	Kevin K.J. Chang, Executive Director Name and Title (Please type or print)	

Applicant: Kua'āina Ulu 'Auamo (KUA)

BUDGET JUSTIFICATION
PERSONNEL - SALARIES AND WAGES

Period: July 1, 2014 to June 30, 2015

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
Executive Director	1.0 FTE	$70,000.00	33%	$ $23,100.00 -
Deputy Director	1.0 FTE	$60,000.00	33%	$ $19,800.00 -
Loko I'a Coordinator	1.0 FTE	$50,000.00	33%	$ $16,500.00 -
E Alu Pū Coordinator & Program Assistant	1.0 FTE	$31,200.00	33%	$ $10,296.00 -
				$ -
				$ -
				$ -
				$ -
				$ -
				$ -
				$ -
				$ -
				$ -
				$ -
TOTAL:				$69,696.00

JUSTIFICATION/COMMENTS:

APPENDIX C.
KUA Organization Chart

Advisory Council elects two of their members to serve on Board of Directors

BOARD OF DIRECTORS

Hi'ilei Kawelo, Chair
Maka'ala Ka'aumoana, Vice Chair
Kapalai'ula DeSilva, Treasurer
Ernie Cruz, Jr., Secretary
Anne Swayne-Keir
Wayne Tanaka

ADVISORY COUNCIL

Damien Kennison & Charlie Young, Hawai'i
Vacant, Maui
Mac Poepoe & Paul Bartram, Molokai
Chris Costales, Lāna'i
Hi'ilei Kawelo, O'ahu
Maka'ala Ka'aumoana, Kaua'i

E Alu Pū selects representatives for each island to serve on Advisory Council

HUI MĀLAMA LOKO I'A*

Paepae o He'eia
Waipā
Kualoa Ranch
Makani Hou o Kaloko Honokohau
Ka Honua Momona
Hawaiian Cultural Center
Hui Maka'āinana o Makana
Limahuli Gardens
Hanalei Watershed Hui
Malama Loko Ea
God's Country Waimanalo
Ho'ala 'Āina Kupono
Maunalua Fishpond Heritage Center
Mauna Lani Resort
'Ao'ao o Na Loko I'a o Maui

E ALU PŪ*

Kalapana Fishing Council
Hīpu'u o Miloli'i/Pa'a Pono Miloli'i
Ka 'Ohana o Hōnaunau
Kama'āina United to Protect the 'Āina
Ka 'Ohana o Honu'apo
Waihe'e Limu Restoration
Kīpahulu 'Ohana
Save Honolua Coalition
Ka'anapali Makai Watch
Kūpa'a no Lāna'i
Lāna'i Limu Restoration Project
Mālama Pūpūkea-Waimea
Hui o Hau'ula
Kāko'o 'Ōiwi
Paepae o He'eia
Mālama Maunalua
'Ewa Beach Limu Project
Ka'ala Farm
Ho'ōla Hou iā Kalauao
Hui Mālama o Kōloa
Hanalei Watershed Hui
Waipā Foundation
Limahuli Garden & Preserve
Hui Maka'āinana o Makana

Kevin K.J. Chang, Esq.
Executive Director

Miwa Tamanaha
Deputy Director

Brenda Asuncion
Loko I'a Coordinator

Alex Connelly
E Alu Pū Coordinator
& Program Assistant

KUA
KUA'ĀINA ULU 'AUAMO

——— line of supervision, accountability

— — — line of communication, mutual communication and advisory/mana'o

*E Alu Pū and Hui Mālama Loko I'a are both currently informal networks of community organizations which KUA coordinates and convenes. Listed here are organizations who have most often participated in network gatherings. Both networks are in the process of determining more formal structures, including governance and membership.

APPENDIX D.
Declaration Page

DECLARATION STATEMENT OF APPLICANTS FOR GRANTS AND SUBSIDIES PURSUANT TO CHAPTER 42F, HAWAI'I REVISED STATUTES

The undersigned authorized representative of the applicant certifies the following:

1) The applicant meets and will comply with all of the following standards for the award of grants and subsidies pursuant to Section 42F-103, Hawai'i Revised Statutes:

   a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant or subsidy is awarded;

   b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;

   c) Agrees not to use state funds for entertainment or lobbying activities; and

   d) Allows the state agency to which funds for the grant or subsidy were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant or subsidy.

2) The applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:

   a) Is incorporated under the laws of the State; and

   b) Has bylaws or policies that describe the manner in which the activities or services for which a grant or subsidy is awarded shall be conducted or provided.

3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:

   a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and

   b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants or subsidies used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant or subsidy was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant or subsidy used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Kua'āina Ulu 'Auamo (KUA)

(Typed Name of Individual or Organization)

[redacted] 1/31/14

(Date)

Kevin K.J. Chang 1/31/14

(Typed Name) (Title)