

Hawaii-Niigata, Inc
c/o Lisa Wond
726 Puu Kala Street
Pearl City, Hawaii 96782

January 30, 2014

TO: Senate Committee on Ways and Means
State Capitol, Room 208
Honolulu, Hawaii 96813
Attn: Rod Becker

FROM: Hawaii-Niigata, Inc.
Lisa Wond, Corporat

DATE: January 30, 2014

SUBJECT: 2014 GRANT IN AID APPLICATION

Attached is Hawaii-Niigata Inc.'s Grant in Aid Application in the amount of \$15,000.00.

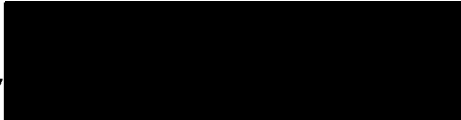
Thank you for considering our application. If you have any questions, please call me at 222-2577 or email me at wondohana@gmail.com.

Hawaii-Niigata, Inc.
c/o Lisa Wond
726 Puu Kala Street
Pearl City, Hawaii 96782

January 30, 2014

TO: ✓ Senate Committee on Ways and Means
State Capitol, Room 208
Honolulu, Hawaii 96813
Attn: Rod Becker

House Committee on Finance
State Capitol, Room 306
Honolulu, Hawaii 96813
Attn: Nandana Kalupahana

FROM: Hawaii-Niigata, Inc. 
Lisa Wond, Corporate Secretary

SUBJECT: 2014 GRANT IN AID APPLICATION – Page 8

Attached is Page 8 (Declaration Statement of Applicants for Grants and Subsidies) for Hawaii-Niigata Inc.'s Grant in Aid Application. The page was inadvertently omitted from the application that was delivered to you this morning. Please attach it to our application.

I can be reached at 222-2577 (cell) or email me at wondohana@gmail.com.

Thank you.

House District _____

Senate District _____

**THE TWENTY-SEVENTH LEGISLATURE
APPLICATION FOR GRANTS AND SUBSIDIES
CHAPTER 42F, HAWAII REVISED STATUTES**

Log No: _____

For Legislature's Use Only

Type of Grant or Subsidy Request:

GRANT REQUEST – OPERATING

GRANT REQUEST – CAPITAL

SUBSIDY REQUEST

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Subsidy" means an award of state funds by the legislature, by an appropriation to a recipient specified in the appropriation, to reduce the costs incurred by the organization or individual in providing a service available to some or all members of the public.

"Recipient" means any organization or person receiving a grant or subsidy.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN): _____

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN): _____

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:
Hawaii-Niigata, Inc.

Dbas:

Street Address:
c/o Lisa Wond, 726 Puu Kala Street, Pearl City, Hawaii, 96782

Mailing Address:
c/o Lisa Wond, 726 Puu Kala Street, Pearl City, Hawaii, 96782

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name LISA WOND

Title Secretary

Phone # 808-222-2577

Fax # not applicable

e-mail wondohana@gmail.com

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION
- FOR PROFIT CORPORATION
- LIMITED LIABILITY COMPANY
- SOLE PROPRIETORSHIP/INDIVIDUAL

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

HAWAII-NIIGATA, INC. WILL INITIATE POSITIVE RELATIONSHIPS WITH OFFICIALS AND RESIDENTS OF NAGAOKA IN NIIGATA PREFECTURE, JAPAN, THROUGH YOUTH BASEBALL EXCHANGES, TO DEEPEN TIES AND FACILITATE OTHER ECONOMIC, BUSINESS AND CULTURAL ENDEAVORS.

4. FEDERAL TAX ID # _____

5. STATE TAX ID # _____

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2015: \$ 15,000.00

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
- EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ _____

FEDERAL \$ _____

COUNTY \$ _____

PRIVATE/OTHER \$ 300.00

LISA WOND, SECRETARY
NAME & TITLE

01-30-2014
DATE SIGNED

Application for Grants and Subsidies

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Include the following:

1. A brief description of the applicant's background;

Hawaii-Niigata, Inc. (HNI) is a 501(c)(3) nonprofit organization with a mission to develop and strengthen ties between the Niigata Prefecture in Japan, and the State of Hawaii, to produce opportunities for business, trade, cultural and educational exchanges.

2. The goals and objectives related to the request;

HNI believes that international relations continue to be important to Hawaii's economy. HNI views Hawaii's youth as its future leaders capable of developing and maintaining responsible international relationships, and is currently promoting this aspect through good will youth baseball exchanges. These exchanges provide opportunities for youth, teens, young adults, and their families from both Honolulu and Nagaoka to develop multicultural understanding and tolerance. HNI hopes that its efforts will result in mutual and reciprocal business and educational opportunities in the future.

3. The public purpose and need to be served;

The public purpose is to facilitate international good will.

4. Describe the target population to be served; and

The target population is 20-30 Honolulu youth on HNI teams and their families, to serve as ambassadors of good will and aloha.

5. Describe the geographic coverage.

Not applicable.

II. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities;

HNI will organize a youth baseball team to participate in a goodwill tournament in Nagaoka, Niigata Prefecture, Japan, in 2014, and prepare for a youth team from Niigata to participate in a goodwill tournament in Honolulu in 2015. Preparations include communications with Niigata and Nagaoka government officials to organize cultural and educational events, and communications with baseball league officials to organize home stays and tournament play in Nagaoka and Honolulu.

2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;

Timeline:

July 2013 – June 2014: HNI will prepare Honolulu team(s) for travel to Nagaoka in July, 2014.

July 2014: Travel to Nagaoka to compete in a goodwill baseball tournament, and experience the culture and history of Japan.

July 2014 – June 2015: HNI members and participants will evaluate the 2014 exchange and begin preparations for the 2015 arrival of Niigata baseball teams(s) to Honolulu.

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and

HNI will plan and coordinate its itinerary with its participant players, families, coaches, local travel agency, Nagaoka travel agency, and Nagaoka officials, to provide a meaningful exchange. Directors and travel agents, along with parents and coaches, will accompany and supervise HNI teams to ensure safety and smooth operations. Participants will complete a survey at the conclusion of the exchange. HNI directors will evaluate the surveys to make revisions or adjustments to future exchanges.

4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the

measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

HNI's measure of effectiveness in the short term will be participants' satisfaction.

III. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.
2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2015.

| Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 | Total Grant |
|-----------------|-----------|-----------|-----------|-----------------|
| \$15,000 | | | | \$15,000 |

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2015.

HNI has applied for a FY2015 grant from the City and County of Honolulu in the amount of \$30,000; the City Council plans to decide on its awards sometime after March, 2014.

Other funds will be raised through fundraisers and participant fees.

4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

Not applicable.

5. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2013.

\$300.00

IV. Experience and Capability

A. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

HNI has the organizational skills and capacity to conduct a baseball exchange and related activities. Its Directors are unpaid volunteers who have experienced other exchanges and are committed to HNI's mission, while providing the opportunity to Hawaii youth to represent Hawaii as ambassadors of good will.

B. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities. The applicant shall also describe how the facilities meet ADA requirements, as applicable.

Not applicable. HNI has no facilities and no plan to secure facilities.

V. Personnel: Project Organization and Staffing

A. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

HNI has no paid staff. The exchange will be carried out by the players, coaches and parents who help organize the exchange and participate in HNI's mission. HNI's Directors are responsible for most of the organizational activities and provide guidance and leadership to participants.

HNI's Directors are employed full-time outside of HNI, and include a project manager of a private engineering firm, an administrator of a state public works agency, a planner of a state agency, and an administrator of a large Hawaii public utility; all are unpaid in their HNI capacities, and are committed to providing successful HNI exchanges.

B. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organizational chart that illustrates the placement of this request.

President oversees HNI's general administration and management.

Treasurer provides administrative and financial oversight of business transactions and performs fiscal duties to ensure that HNI is operating within annual budget.

Secretary provides administrative and operational support on compliance requirements, and assists President to prepare and manage documents required for HNI's operation and management.

Directors provide administrative support of operations, solicits donations, and processes applications for grants.

C. Compensation

The applicant shall provide the annual salaries paid by the applicant to the three highest paid officers, directors, or employees of the organization by position..

HNI has no paid staff.

VI. Other

A. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

HNI has no pending litigation.


B. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that applicant possesses relevant to this request.

Not applicable.

BUDGET REQUEST BY SOURCE OF FUNDS
(Period: July 1, 2014 to June 30, 2015)

Applicant: Hawaii Niigata Inc.

| BUDGET CATEGORIES | Total State Funds Requested (a) | Fund Raising (b) | Participant Fees (c) | (d) |
|-------------------------------------|--|--|-----------------------------|------------|
| A. PERSONNEL COST | | | | |
| 1. Salaries | 0 | | | |
| 2. Payroll Taxes & Assessments | 0 | | | |
| 3. Fringe Benefits | 0 | | | |
| TOTAL PERSONNEL COST | 0 | | | |
| B. OTHER CURRENT EXPENSES | | | | |
| 1. Airfare | 5,000 | | 5,000 | |
| 2. Insurance | 3,000 | | | |
| 3. Lease/Rental of Equipment | | 2,500 | | |
| 4. Lease/Rental of Space | | 2,500 | | |
| 5. Staff Training | | | | |
| 6. Supplies | 5,000 | 2,500 | | |
| 7. Telecommunication | | | 2,500 | |
| 8. Utilities | | | | |
| 9. General operational costs | 2,000 | 2,500 | 2,500 | |
| 10 | | | | |
| 11 | | | | |
| 12 | | | | |
| 13 | | | | |
| 14 | | | | |
| 15 | | | | |
| 16 | | | | |
| 17 | | | | |
| 18 | | | | |
| 19 | | | | |
| 20 | | | | |
| TOTAL OTHER CURRENT EXPENSES | 15,000 | 10,000 | 10,000 | |
| C. EQUIPMENT PURCHASES | | | | |
| D. MOTOR VEHICLE PURCHASES | | | | |
| E. CAPITAL | | | | |
| TOTAL (A+B+C+D+E) | 15,000 | 10,000 | 10,000 | |
| SOURCES OF FUNDING | | Budget Prepared By: | | |
| (a) Total State Funds Requested | 15,000 |  | | |
| (b) Fund Raising | 10,000 | | | |
| (c) Participant Fees | 10,000 | | | |
| (d) | | | | |
| TOTAL BUDGET | 35,000 | Lisa C. Wond, Corporate Secretary Name and Title (Please type or print) | | |

BUDGET JUSTIFICATION PERSONNEL - SALARIES AND WAGES

Applicant: Hawaii-Niigata, Inc.

Period: July 1, 2014 to June 30, 2015

| POSITION TITLE | FULL TIME EQUIVALENT | ANNUAL SALARY A | % OF TIME ALLOCATED TO GRANT REQUEST B | TOTAL STATE FUNDS REQUESTED (A x B) |
|--------------------------------|----------------------|--------------------|---|--|
| Not Applicable | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| TOTAL: | | | | 0.00 |
| JUSTIFICATION/COMMENTS: | | | | |

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Applicant: Hawaii-Niigata, Inc.

Period: July 1, 2014 to June 30, 2015

| DESCRIPTION EQUIPMENT | NO. OF ITEMS | COST PER ITEM | TOTAL COST | TOTAL BUDGETED |
|--------------------------------|-----------------|------------------|---------------|-------------------|
| Not Applicable | | | \$ - | |
| | | | \$ - | |
| | | | \$ - | |
| | | | \$ - | |
| | | | \$ - | |
| TOTAL: | | | | 0 |
| JUSTIFICATION/COMMENTS: | | | | |

| DESCRIPTION OF MOTOR VEHICLE | NO. OF VEHICLES | COST PER VEHICLE | TOTAL COST | TOTAL BUDGETED |
|---------------------------------|--------------------|---------------------|---------------|-------------------|
| Not applicable | | | \$ - | |
| | | | \$ - | |
| | | | \$ - | |
| | | | \$ - | |
| | | | \$ - | |
| TOTAL: | | | | 0 |
| JUSTIFICATION/COMMENTS: | | | | |

BUDGET JUSTIFICATION CAPITAL PROJECT DETAILS

Applicant: Hawaii-Niigata, Inc.

Period: July 1, 2014 to June 30, 2015

| FUNDING AMOUNT REQUESTED | | | | | | |
|--------------------------------|---|---------------|--------------------------|-----------------------|---|--------------|
| TOTAL PROJECT COST | ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS | | STATE FUNDS REQUESTED | OF FUNDS REQUESTED | FUNDING REQUIRED IN SUCCEEDING YEARS | |
| | FY: 2012-2013 | FY: 2013-2014 | FY:2014-2015 | FY:2014-2015 | FY:2015-2016 | FY:2016-2017 |
| PLANS | 0 | 0 | 0 | 0 | 0 | 0 |
| LAND ACQUISITION | | | | | | |
| DESIGN | | | | | | |
| CONSTRUCTION | | | | | | |
| EQUIPMENT | | | | | | |
| TOTAL: | | | | | | 0 |
| JUSTIFICATION/COMMENTS: | | | | | | |
| Not Applicable. | | | | | | |

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS AND SUBSIDIES PURSUANT TO
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants and subsidies pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant or subsidy is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant or subsidy were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant or subsidy.
- 2) The applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant or subsidy is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants or subsidies used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant or subsidy was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant or subsidy used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Hawaii-Niigata, Inc.



(Organization)

01-30-2014

(Date)

Lisa Wond
(Typed Name)

Secretary
(Title)