

House District 28

Senate District 14

**THE TWENTY-SEVENTH LEGISLATURE
APPLICATION FOR GRANTS & SUBSIDIES
CHAPTER 42F, HAWAII REVISED STATUTES**

Log No:

For Legislature's Use Only

Type of Grant or Subsidy Request:

GRANT REQUEST – OPERATING

GRANT REQUEST – CAPITAL

SUBSIDY REQUEST

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Subsidy" means an award of state funds by the legislature, by an appropriation to a recipient specified in the appropriation, to reduce the costs incurred by the organization or individual in providing a service available to some or all members of the public.

"Recipient" means any organization or person receiving a grant or subsidy.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN):

Department of Health; Dept. of Land and Natural Resources

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN): _____

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:
Kōkua Kalihi Valley (Comprehensive Family Services)

Dbas:

Street Address: **2239 North School Street
Honolulu, HI 96819**

Mailing Address:

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name **Dr. David Derauf, M.D.**

Title **Executive Director**

Phone # **791-9400**

Fax # **848-0979**

e-mail **dderauf@kkv.net**

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION
- FOR PROFIT CORPORATION
- LIMITED LIABILITY COMPANY
- SOLE PROPRIETORSHIP/INDIVIDUAL

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

Project ACCESS – Capital Improvements at Ho'oulu 'Āina, the Kalihi Valley Nature Preserve

4. FEDERAL TAX ID #: _____

5. STATE TAX ID #: _____

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2014: \$ **342,525**

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
- EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ _____

FEDERAL \$ _____

COUNTY \$ _____

PRIVATE/OTHER \$ _____

David Derauf, M.D. – Executive Director

NAME & TITLE

01/29/2013
DATE SIGNED

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS AND SUBSIDIES PURSUANT TO
CHAPTER 42F, HAWAI'I REVISED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants and subsidies pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant or subsidy is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant or subsidy were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant or subsidy.
- 2) The applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant or subsidy is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants or subsidies used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant or subsidy was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant or subsidy used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Kokua Kalihi Valley (Comprehensive Family Services)



(Signature)

01/29/2013

(Date)

David Derauf, M.D., MPH

(Typed Name)

Executive Director

(Title)

Application for Grants and Subsidies

I. Background and Summary

1. Applicant's Background

Kōkua Kalihi Valley Comprehensive Family Services (KKV) is a community-organized and community-operated non-profit corporation with a mission to promote healing and reconciliation in Kalihi Valley. It was formed in 1972 as a 501(c)(3) organization by community leaders in response to an absence of accessible and appropriate health services for the valley's large Asian and Pacific Island immigrant population. Kōkua Kalihi Valley has *40 years of experience* providing residents of Kalihi Valley with a broad array of comprehensive and holistic health care that includes clinical, preventive, mental health, and a variety of related social services.

From an initial staff of one Executive Director and four part-time community aides, KKV has grown to now include 175 staff, fluent in twenty-two languages, and working out of eight main locations—including two of the largest public housing developments in the State of Hawaii. In 1989, KKV was designated by U.S. Congress as a Federally Qualified Health Center (FQHC); it serves a Medically Underserved Area (MUA) and a Health Personnel Shortage Area (HPSA), as designated by the U.S. Public Health Service. KKV's Charles Judd Community Health Center and new Harry & Jeanette Weinberg Wellness Center provide primary medical, dental and behavioral health services to over 10,300 Kalihi Valley residents per year.

Throughout its history, KKV has provided innovative programs that directly address the needs and aspirations of Kalihi Valley's diverse residents. In 2005, KKV was granted a 20-year lease from the Hawaii Department of Land and Natural Resources to steward and sustainably develop 100 acres in the back of the Valley. Dedicated to cultural education and community transformation, this land was named *Ho'oulu 'Āina*, meaning "to grow the land" and "to grow because of the land," based on the value that the health of the land and the health of the people are one. Each year, Ho'oulu 'Āina engages over 7,000 volunteers through four interwoven program areas: *Koa 'Āina* (Native Reforestation), *Hoā 'Āina* (Community Access), *Mahi 'Āina* (Community Food Production) and *Lohe 'Āina* (Cultural Preservation).

2. Project Goals and Objectives

Ho'oulu 'Āina (the Kalihi Valley Nature Preserve) is being developed by KKV to achieve the following long-term goals:

- *Improve the health of Kalihi Valley residents* – through gardening, nutrition, exercise and other outdoor recreation and community service activities, reconnecting people to the land and to one another;

- *Improve the environmental sustainability of the Kalihi watershed* – through targeted school and community-based stewardship and conservation activities;
- *Improve the Food Security for the Kalihi Valley community* – through the opening of community gardening space and promotion of agroforestry;
- *Increase Native Hawaiian cultural preservation activities* – through restoration of Hawaiian archeological sites, perpetuation of cultural values and practices, and reforestation of native species;
- *Increase civic engagement and community pride in Kalihi Valley* – through individual and group participation in on-going Ho‘oulu ‘Āina programs and in future program planning and development.

KKV is requesting funding through a Grant in Aid to support capital improvements at Ho‘oulu ‘Āina. The **goal** of this project is:

To invest in Kalihi Valley's waiwai (economic well-being) by creating a "classroom in nature" that will nurture art, vocational training, cultural identity and connection to the earth.

Project objectives are to:

- 1) Increase Ho‘oulu ‘Āina’s capacity for engaging Kalihi children in creative exploration and expression through cultural arts.
- 2) Increase Ho‘oulu ‘Āina’s capacity for engaging Kalihi youth in vocational training and economic development activities.
- 3) Increase the Kalihi community’s access to spaces for gathering, learning and healing.

These will be accomplished through the construction of the “ACCESS Center,” a two-story, 36’ x 60’ multipurpose space that will have the capacity to support:

- Experiential and intergenerational learning, providing children and elders with shelter from Kalihi Valley’s ardent *ko ‘ilipilipi* (“sharp adze”) rains.
- Native reforestation, organic gardening and *lā‘au lāpa‘au* (indigenous medicine) through seed storage and propagation, and the production of teas, tinctures and salves.
- Educational workshops on cultural arts and practices, such as carving, weaving and canoe building, visual arts, and crafts.
- Job training, for example in woodworking and carpentry, using repurposed timber from invasive trees felled and milled on-property.

3. Public Purpose and Need to be Served

Generations of colonization and socioeconomic inequality have left many residents of Kalihi Valley disconnected from their land and their culture, and facing drastic health

disparities. This project will address several challenges arising from this disconnect by shifting focus from estrangement to connection, from poverty to *waiwai*.

Connection to Land – Ho‘oulu ‘Āina has long been a center of community vitality, as evidenced by the archaeological remains of pre-contact agricultural terraces and irrigation channels still found on property. Once heavily cultivated by Native Hawaiians, Ho‘oulu ‘Āina’s 100 acres fell to misuse and neglect over the centuries. The decision to preserve this land as a public resource was first made by the Honolulu City Council in 1980, following a decade of community advocacy. Concerned about overcrowding in the area, and united in opposition to a proposed residential development, Kalihi Valley residents gathered petitions and submitted legislative testimony throughout the 1970s that resulted in the “Kalihi Valley Nature Preserve” being set aside for cultural and recreational purposes.

Cultural Identity – For many of Kalihi Valley’s indigenous and newly immigrated communities, identity is tightly embedded within culture, which provides a sense of purpose, belonging, and stability despite physical life changes. Unfortunately, the cultural values emphasized by KKV’s patients are often discussed within a context of loss—breaks with tradition wrought by forced acculturation, or heritage disappearing over the generations. Social inequity, internalized over generations, has left many of Kalihi’s indigenous and immigrant youth ashamed of who they are, estranged from their families and their cultural heritage, and “lost” within American society.¹

Health Disparities – Among KKV’s patients, 42% are uninsured (as compared to 7% statewide and 15% nationally),² and 48% are covered by Medicaid.³ Indicators of poor health status can be observed among young and old in this community: Kalihi Valley children have an average of 6.24 decayed, missing or filled teeth (versus an average of 2 teeth, nationwide), while 40% of KKV Elderly Services clients have cardiovascular disease. In 2011, KKV providers served 729 patients with diabetes as their primary diagnosis—a nearly 30% increase since 2008.² Pediatric patients aged 10-14 are routinely now presenting at KKV’s clinic with early signs of diabetes, putting them at risk for organ failure by the time they are in their thirties and forties.

Loss of connection to the land is a frequently cited source of cultural and historical trauma that manifests in poor health outcomes for many of KKV’s patients.¹ While the word “exercise” does not exist in the languages of many of the populations served at KKV’s health clinic, the words “farm” and “forest”—as well as the value of nurturing land—are deeply familiar to many of KKV’s older patients, who have migrated from largely agrarian communities. However, elders who desire to keep land practices alive often do not have access to land or interest from younger generations. Acculturation to U.S. society has imbued Kalihi’s younger generations with the notion that upwardly people do not farm, but rather, eat store-bought food.

¹ KKV community assessment, 2010.

² U.S. Census, American Community Survey 2011, 3-year estimates.

³ KKV Patient Data – 2011 Uniform Data System

Poverty – Job training opportunities have long been recognized as a need within the Kalihi Valley community, which experiences unemployment at rates as high as 28% in one Census Tract (2000 U.S. Census). Eighty-four percent of KKV’s patients live below the Federal Poverty Level, and over one-third live in Public Housing. According to the 2010 U.S. Census, Kalihi Valley’s population has a per capita income of \$19,210—65% of the state average. 26% of Kalihi Valley households receive Food Stamps/SNAP, compared to 7% of households in Honolulu.

Although parents often work multiple jobs (giving them little time at home with their children), 31% of families in Kalihi’s largest public housing developments have an annual income of *less than \$10,000* (U.S. Census 2009 - ACS). With unemployment rates as high as 18% at Kalihi Valley Homes and 23% at Kuhio Park Terrace, and one in five adults having less than a 9th grade education, the youth growing up in these communities have few examples to help them dream of a different future.

Social Capital – Systemic barriers prevent many of Kalihi’s families from accessing safe housing, quality education and meaningful jobs—the social determinants of health. These poor health outcomes may also be the result of isolation fueled by the fragmentation of social networks and breaks with cultural ties experienced during migration and acculturation. A 2010 meta-analysis⁴ of 148 studies found that strong social relationships are integral to good health: “individuals with adequate social relationships have a 50% greater likelihood of survival compared to those with poor or insufficient social relationships.”

Hope – A growing body of literature supports the “connection between cultural pride and overall mental, spiritual, and physical health,” and suggests that “connecting people to their cultural heritage can be an effective way to improve individual and community health.”⁵ Further, Dr. Anthony Iton, former Director of the Alameda County Public Health Department, argues that the most effective way of promoting a healthier community is to promote “a sense of belonging to a community, belonging to something larger than yourself, larger than your family”—building “hope.”⁶

Throughout its 40 years of serving the Kalihi Valley community, KKV has worked to reduce barriers and foster healthy environments in which relationships can flourish. Through Ho‘oulu ‘Āina, KKV promotes an inclusive, prevention-focused approach to healing that is rooted in community wisdom and cultural values.

4. Target Population to be Served

KKV serves the Kalihi Valley (pop. 30,000), the most densely populated new immigrant community in the State of Hawaii. Among Kalihi Valley’s greatest assets

⁴ “Social Relationships and Mortality Risk: A Meta-analytic Review,” *PLoS Med.* 2010:Vol.7(7): e1000316

⁵ “Closing the Gap: Solutions to Race-Based Health Disparities.” Applied Research Center & Northwest Federation of Community Organizations. June 2005.

⁶ *Unnatural Causes: Is Inequality Making Us Sick?* © 2008.

are its diversity of ethnic groups and the strong ties that are fostered within each group. 98% of KKV's patients are of Asian/Pacific Island ancestry, including Filipino (31%), Micronesian (24%), Samoan (21%) and Native Hawaiian (7%). 37% percent of Kalihi Valley residents are foreign-born, compared to 18% statewide and 13% nationwide; over half speak a language other than English at home (2010 Census).

While the Kalihi community will be the primary beneficiaries of infrastructural improvements at Ho'oulu 'Āina, the preserve engages numerous school, community and visitor groups from across O'ahu, Hawaii and the world. KKV is particularly interested in the impact this project will have on four populations:

- **Children learning to develop good stewardship practices.** KKV has a long history of collaboration with all Kalihi elementary schools, Dole Middle School and Hālau Lokahi Charter School. Science teachers frequently engage Ho'oulu 'Āina as an outdoor environmental education site for their students, and students from Hālau Lokahi and others have been immersed in cultural learning through mālama 'āina. While only 35.2% of youth in the Kalihi area (compared to 53.8% statewide) believe there are opportunities and rewards for positive involvement in the community,⁷ Ho'oulu 'Āina offers a powerful counter to this for hundreds of children each year, promoting the formation of positive identities that are rooted in culture and place. The proposed project will amplify this, creating new spaces for learning and practicing cultural art forms that provide a powerful means of self-exploration and expression. Approximately 25 schools visit Ho'oulu 'Āina each year, with 30 to 50 elementary school students engaging in mālama 'āina activities each week. These children will be the primary beneficiaries of this project.
- **Youth seeking meaningful career pathways.** In partnership with Farrington High School's Hawaiian Academy and Aloha Learning (alternative education) Center, Ho'oulu 'Āina is expanding Kalihi teenagers' and young adults' access to culturally-based vocational training opportunities. In 2000, one in twelve Kalihi teenagers was not in school and not working; 54% of high school students had no plans for their future.⁶ By creating a new venue for land-based apprenticeships, the proposed project will increase Ho'oulu 'Āina's capacity to overcome this statistic, promoting economic development within the Kalihi community while ensuring that new career pathways are meaningfully aligned with participants' cultures and identities.
- **Elders and visitors with disabilities.** Each year KKV serves several hundred disabled children and adults at its main health center, and over 500 seniors through its highly successful Elder Services program. In 2012, 88% of the elders served were severely disabled, as defined by the Older Americans Act (Sec. 102). Over the past two years, KKV has engaged 10 to 15 elders in

⁷ U.H. Center on the Family, School and Community Profiles, latest data on the Farrington Area, 2009. <http://uhfamily.hawaii.edu/Cof_Data/profiles/indicatorResults.asp>.

Ho‘oulu ‘Āina’s community gardens on a weekly basis. While this connection with the land has brought new life to many, it is critical that Ho‘oulu ‘Āina’s infrastructure be made suitable to the elders’ limited mobility. The ACCESS Center will create a safe, warm space for intergenerational sharing and learning.

- **Residents seeking to improve their health.** KKV is continuing to expand access to Ho‘oulu ‘Āina for clients coming to KKV’s health center or participating in social services programs. These efforts will impact clients and community residents struggling with obesity, diabetes, hypertension, behavioral health problems and substance abuse issues.

5. Geographic Coverage

KKV serves Kalihi Valley (Census Tracts 61-65), a geographically well-defined community that extends from the interior areas of the valley downward to the H1 Freeway. Kalihi’s highest peak, *Kilohana*, is the cosmological home of *Papahānaumoku*, ancestor to all Hawaiian people. A place of pilgrimage for generations, Kilohana is still honored in chants, songs and stories. Today Kalihi Valley is a densely populated urban community, with over 30,000 residents living in six square miles. There are four public housing developments in the Valley, including the first and third largest in the State, both of which are within walking distance of KKV’s clinic. Kalihi Valley is federally designated as a Medically Underserved Area (MUA) and a Health Professional Shortage Area (HPSA).

The proposed project will take place at Ho‘oulu ‘Āina (the Kalihi Valley Nature Preserve), a 100-acre site located in Kalihi Valley (TMK1-4-014:001, 1-4-014:0026, 1-4-016:003). The property stretches from the center of Kalihi Stream to the top of Kamanaiki Ridge, and is accessed from the mauka end of Kalihi Street. All capital improvements will take place within the portion of Ho‘oulu ‘Āina nearest to Kalihi Stream. This 21-acre segment of land is designated as Urban by the State of Hawai‘i and as P2 General Preservation by the City and County of Honolulu. According to this latter designation, Ho‘oulu ‘Āina is well-suited to providing visual relief and contrast to Honolulu’s built environment and providing outdoor space for the public’s use and enjoyment.

Once used primarily for the cultivation of taro and other Hawaiian staple foods, this area receives over 100 inches of rain each year. Today it is primarily forested, with several acres cleared for organic gardening and community activities. While the forest is largely pervaded by exotic, invasive flora introduced by reforestation and nursery activities conducted on-site throughout the 1900s, pockets of native species are beginning to thrive with the help of many thousands of community volunteers. It is Ho‘oulu ‘Āina’s hope to restore the health of the native forest and revive the land’s capacity to produce food for the Kalihi community, while instilling in participants young and old a love for the ‘āina that will ensure the health of both people and land across the generations.

II. Service Summary and Outcomes

1. Scope of Work, Tasks and Responsibilities

Scope of Work – A new *ACCESS Center* (short for “*Arts & Culture Community Economic Sustainability Space*”) will be constructed as an outdoor classroom for land-based learning and culturally-based vocational training. At approximately 2,160 SF, the ACCESS Center will enable school children to continue their *mālama ‘āina* education while staying warm, dry and safe during Ho‘oulu ‘Āina’s frequent rainy days. It will also significantly expand KKV’s capacity to nurture community *waiwai* (wealth) in the form of furniture, art, canoe, cultural identity, and connection to the earth. A multipurpose space, the ACCESS Center will host workshops on traditional arts (carving, weaving, canoe making); cultural healing practices (*lā‘au lāpa‘au*); seed storage and propagation—promoting community food security; and woodworking—supporting forestry objectives through the repurposing of invasive timbers, while promoting economic self-sufficiency for community apprentices. In keeping with Ho‘oulu ‘Āina’s commitment to sustainable resource management, the ACCESS Center will be equipped with “off-the-grid” technologies that will minimize the facility’s environmental footprint while allowing visitors access to basic amenities. These will include photovoltaic panels, a composting toilet, and a water catchment system that will help to irrigate the adjacent two-acre organic garden.

Enabling improved access to the new Center and to the rest of Ho‘oulu ‘Āina’s facilities and programs, a driveway and parking lot will be repaved and a gravel lot and walking path will be created. The 5,250 SF parking lot—which at present is deeply rutted and erodes further with each new rain—will accommodate up to 20 vehicles safely and conveniently. A 2,500 foot driveway to the upper nursery—which is currently impossible to traverse without four wheel drive—will be stabilized to enable access to forestry and educational activities. Gravel will be laid in a new 3,200 SF lot intended for overflow parking, equipment storage and maintenance, and community gathering. Lastly, a kupuna access way (300 ft.) will be created, connecting the parking lots to the ACCESS Center. These much-needed improvements are designed to control erosion off the current parking lot and mud walkways and enable safer, more reliable access to the facilities. This project will thus enhance Ho‘oulu ‘Āina’s ability to host the hundreds of volunteers who participate monthly, while ensuring that children, elders and participants with disabilities feel as included as all others.

Tasks & Responsibilities – The Ho‘oulu ‘Āina Program Coordinator will be responsible for overseeing activities from a program perspective. Qualified general contractors will be responsible for specific tasks related to facility and roadways construction. Scope of work will follow State and Federal Procurement Guidelines, and will include the following:

ACCESS Center

1. Preparation

1. a. Selection of Architects
1. b. Preparation of Plans
1. c. Obtain City and County Approvals

2. Construction

2. a. Select and hire contractor through competitive bidding process
2. b. Procurement of Prefabricated Building Materials
2. c. Pre-construction through close out activities

3. Installation of sustainable technologies

- 3.a. Procure the following equipment:
 - Photovoltaic system
 - Composting toilet
 - Water catchment system
- 3.b. Contractor responsible for installation of equipment

Access Ways

1. Develop Detailed RFP
2. Secure Contractor(s) through competitive bidding process
3. Excavate dirt and sub-grade as necessary
4. Apply base course, grade and compact
5. Apply tack coat as necessary
6. Apply asphalt concrete mix and compact

Appropriateness of the Project – This project aligns directly with the original vision for Ho‘oulu ‘Āina, on the basis of which the lease for this land was granted to KKV. The addition of the ACCESS Center will enable KKV to expand the “cultural, educational and recreational programs and activities” described as the sole purpose of the land in the 2005 lease agreement with the Department of Land and Natural Resources. Further, as stated in a 2005 Master Plan, it is the intent of the Kalihi Valley Nature Preserve to showcase a sustainable system of human habitation,” utilizing “energy self-sufficient, efficient and sustainable design”—a concept central to both the design and purpose of the ACCESS Center.

The proposed capital improvements will be made on land zoned Urban by the State of Hawaii and P-2 by the City and County of Honolulu. The ACCESS Center will thus be built in compliance with development standards for the P-2 District, including maximum dimensions. The addition of this structure at Ho‘oulu ‘Āina will bring the total built area to 0.8% of the 21-acre zoning lot, well within the 5% maximum.

Finally, the construction of the ACCESS Center and the improvements to Ho‘oulu ‘Āina’s access ways will fulfill priority needs identified through a 2012 Master Planning process facilitated by Group 70.

2. Projected Annual Timeline

Activities will be accomplished in the following sequence:

- Quarter 1 – Planning, design and permitting
Procurement of materials
Site preparation initiated
- Quarter 2 – Site preparation completed
Construction of ACCESS Center initiated
Excavation and grading of access ways
- Quarter 3 – Construction of ACCESS Center completed
Access ways completed
- Quarter 4 – Installation of equipment at the ACCESS Center
Project close-out

3. Quality Assurance and Evaluation Plans

KKV monitors the quality and outcomes of all services through its Quality Assurance and Quality Improvement Program. The QA/QI Program is responsible for objectively and systematically monitoring and evaluating KKV's overall level of service to the Kalihi Valley community. KKV employs a **Quality Assurance Officer** who assists all program areas with developing effective mechanisms for defining and evaluating outcomes and quality services. In addition, KKV has a QA/QI Committee that meets monthly and that includes the Medical Director, QA Officer, CFO and members of KKV's Management Team. This committee reports to the board of directors who are responsible for giving final approval to capital projects.

Ho'oulu 'Āina's Program Coordinator will be responsible for overseeing the evaluation of this capital improvement project, with additional oversight provided by KKV's Executive Director who has previously headed two major capital projects. Upon their selection, the contractors will work with the Program Coordinator and Executive Director to develop specific milestones and timelines. These will be monitored by the Program Coordinator, who will meet with the Executive Director, selected contractors and Ho'oulu 'Āina program staff on a regular basis to ensure that the project is being completed satisfactorily, and to determine any corrective actions that might need to be taken to ensure quality and compliance.

The Program Coordinator will be responsible for preparing all required reports, which will be reviewed by KKV's Executive Director and shared with KKV's Board of Directors. Reports will include progress notes of meeting all goals and objectives in this proposal within the projected timeframe and any corrective actions taken to

overcome obstacles or delays. The Project Coordinator will also be responsible for collecting and storing all relevant program information for further review if necessary.

4. Measures of Effectiveness

The achievement of Ho‘oulu ‘Āina infrastructure improvement project objectives will be indicated through the following:

- a) Completion of the ACCESS Center and access ways on schedule and within budget.
- b) ACCESS Center will provide a dry, comfortable educational space for cultural arts and economic development activities.
- c) ACCESS Center will be suitable for promoting children’s learning and growth.
- d) Ho‘oulu ‘Āina facilities and programs will be accessible to community members regardless of age or physical ability.

By increasing keiki, kupuna and disabled community members’ access to Ho‘oulu ‘Āina’s experiential education programming, this project will enhance KKV’s capacity to reconnect Kalihi Valley residents with the land and with one another. In time, the ACCESS Center will increase not only *social* but *community capital*—cultural, ecological and economic—within Kalihi Valley.

III. Financial

1. Budget Request

Please see the attached budget forms detailing the cost of the request.

KKV has a \$9.5 million annual budget, reserve funds to cover lags in contract payments, a Chief Financial Officer with twenty years of experience in the health and human service field, a billing and accounting office with a staff of eight, and adequate accounting and management policies, procedures and systems.

2. Anticipated Quarterly Funding Requests for Fiscal Year 2013-2014

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$130,000	\$108,525	\$60,000	\$44,000	\$342,525

3. Other Funding Sources

KKV will provide support for the oversight and supervision of this project in the form of its staff members' time as in-kind support. Most importantly, KKV has secured operating expenses for the programs to be carried out in the ACCESS Center over the next few years. A \$165,000 annual grant secured through May 2015 will cover the cost of staffing and materials for mālama 'āina, arts and vocational training activities for children and youth. Other currently-secured grants supporting cultural education and expanded food production are pending renewal, and would provide an additional \$140,000 through March 2015.

KKV has also already secured the donation of woodworking equipment for the space, including a portable saw mill, valued at \$18,000 for inclusion in the ACCESS Center. An invaluable addition to Ho'oulu 'Āina's forestry and economic development programming, this donation has increased the need to establish a suitable facility in which the equipment can be housed.

KKV will seek appropriate additional local philanthropic funding sources as needed to supply necessary equipment and tools for the ACCESS Center.

4. State and Federal Tax Credits

KKV is not receiving any state or federal tax credits, and has not applied for any for this project to date.

IV. Experience and Capability

A. Necessary Skills and Experience

As a Federally Qualified Health Center (FQHC) since 1989, KKV has the necessary experience and systems in place to manage the proposed capital improvement project. KKV's administrative and accounting resources include a Board of Directors that represents the community served; an Executive Director (M.D., MPH) who has worked at KKV for over two decades; a Chief Operations Officer (M.D., MPH) with 9 years of clinical experience and 10 years overseeing public health programs; and a Chief Financial Officer (MBA) with 20 years of combined finance and accounting experience in the health field. KKV is currently managing over 50 grants per year from Federal, State, and City & County agencies, and both local and national private foundations.

As a community-based health organization, KKV has a broad mixture of skills and experiences needed to meet the needs of residents in the Kalihi Valley community. Skills include the professional services of KKV's doctors, dentists, nurses, psychologists, executive and administrative staff, as well as the broad range of skills needed to reach and work effectively with Kalihi Valley's low-income, limited English speaking populations. The following skills are indispensable to KKV's community work:

- **Collaborative Partnerships** – KKV has been actively involved in the social networks of Kalihi Valley throughout its history. For all services in the community, KKV has worked side-by-side with churches, schools community groups and both non-profit and government entities in Kalihi Valley in response to the need for appropriate and accessible health care. These initial collaborations have developed from small, local relationships into formal partnerships with all levels of government.
- **Cultural and Linguistic Competency** – One of the hallmarks of KKV's service to Kalihi Valley residents has been employing staff fluent in the languages spoken within Kalihi Valley. The majority of staff at KKV reflects the same multi-cultural, multi-linguistic backgrounds as the residents being served. KKV employees are fluent in 20 Asian and Pacific Island languages/dialects.
- **On-Going Assessment of Community Needs** – KKV has utilized on-going needs assessments in the community to best understand what services are lacking, and most sorely needed, in Kalihi Valley. KKV was established through this process, as was its Spouse Abuse Treatment Center (1978), its Laotian Resource Center (1979), and its Youth Gang Prevention programs (1991). In 1996-1997, a comprehensive needs assessment conducted throughout Kalihi Valley documented the overwhelming desire for elder care services, providing the basis for KKV's Elderly Services program established a few years later. Ho'oulu 'Āina's programming was formed by a community steering committee that met monthly for two years, from 2005 – 2007. In 2011, KKV initiated an

‘āina to table demonstration project following a yearlong community assessment that highlighted the community’s desire to reconnect with the land, the urgent need for improved food security and access to fresh produce, the power of food to perpetuate culture and build community, and the desire for meaningful employment pathways.

Related Project Experience – Capital Improvement Projects

The following is a list of capital improvement projects that KKV has completed successfully over the past few years, and which are pertinent to the proposed project.

<i>Construction of the Charles Judd Community Health Center</i>	Total Budget \$2.7M
Funded by:	
The Hawaii State Legislature	
The Harry & Jeanette Weinberg Foundation	
The Queen Emma Foundation	

- In 2001, KKV completed the construction of a 12,000 square foot health clinic on the site of a former gas station. For the following decade, the Charles Judd Community Health Center—with eight medical exam rooms, six dental operatories, three behavioral health offices, a Maternal and Child Health section, and a large community education room—was the primary home to KKV’s clinical services. The second major capital undertaking in KKV’s history, this construction project was completed within schedule and within budget.

<i>Capital Improvements at Ho‘oulu ‘Aina</i>	\$160,000
Funded by the Hawaii State Legislature, Grant-In-Aid 2007	

- In addition to supporting the beginning stages of KKV’s second major health center capital project (described below), Grant-In-Aid funding awarded in 2007 enabled the completion of improvements to Ho‘oulu ‘Āina’s office and caretakers’ residence for ADA compliance.

<i>Renovation of the “P&P Building”</i>	Total Budget \$8M
Funded by:	
The Hawaii State Legislature, Grant-In-Aid 2007	
The U.S. Economic Development Administration	
The Health Resources & Services Administration	
The Harry & Jeanette Weinberg Foundation	
Additional Private Foundations	

- In 2012 KKV completed the renovation of the 16,500 square foot Harry & Jeanette Weinberg Wellness Center. With twelve dental operatories, the new Wellness Center has doubled KKV’s clinical capacity. In addition, following community demand for additional spaces to gather, greater access to healthy, cultural foods, and expanded opportunities for economic development, the new

facility includes a commercial kitchen, a large dining area, a vocational training classroom and a retail space designed to showcase community products and talents. Renovation work was completed in a year and a half, and within budget.

Related Project Experience – Ho‘oulu ‘Āina

Over the past 6 years, Ho‘oulu ‘Āina has flourished under the hands of thousands of community members, who in turn become more deeply rooted in themselves with each encounter with the land. Every month, approximately 1,000 volunteers, ranging from neighbors on Kalihi Street to visitors from across the world, are connected to the land and to one another through Hawaiian protocols that honor the “interdependence of culture and environment for Native Hawaiians.”⁸

Pertinent projects demonstrating KKV’s experience promoting sustainable management of economic and environmental resources include the following:

<i>The Kresge Foundation</i>	\$250,000 per year
Safety-Net Enhancement Initiative / “Returning to Our Roots”	1/1/11 – 12/31/13
David Fukuzawa. ddfukuzawa@kresge.org	

- Through community engagement in growing, preparing and sharing organic and culturally-relevant foods, the *Roots* project is working to increase food sovereignty, strengthen cultural identities, and foster community capital (including social, human, ecological and economic wealth) in Kalihi Valley. Currently over 3,000 pounds of fresh produce are being distributed to the community from the Roots garden each year, and new entrepreneurial opportunities are under development at KKV’s commercial kitchen, linking ‘āina to table. In addition, the Kresge grant is sponsoring a Master Planning process for Ho‘oulu ‘Āina that includes a business plan for income-generating activities.

<i>Hau‘oli Mau Loa Foundation</i>	\$165,000 per year
Hope for Kids Initiative / Ho‘oulu ‘Āina Youth Program	6/1/09– 5/31/15
Janis Reischmann. janis@hauolimauloa.org	

- In addition to engaging youth in mahi ‘āina and mālama ‘āina activities, the Hope for Kids Initiative is generating revenue for Ho‘oulu ‘Āina by broadening its reach to school groups and organizations that reciprocate financially, and is strengthening Ho‘oulu ‘Āina’s foundation for future economic development activities, such as after school job training in carving/woodwork and lā‘au lapa‘au.

<i>Department of Forestry and Wildlife</i>	\$350,000 over 10 years
Forest Stewardship Program / “Koa ‘Āina”	2/8/2008 – 2/8/2018
Sheri Mann, Sheri.S.Mann@hawaii.gov	

⁸ Palama, Francine. Native Hawaiian Cultural Impact Assessment, Kalihi Valley Nature Park Project, July 2005.

- The Forest Stewardship program encourages the management, protection, and restoration of important watershed areas. At Ho‘oulu ‘Āina, this project is contributing to the clearing of invasive species and reforestation of native species in the ‘ili of Maluwai and ‘Ouaua, based on a comprehensive Forest Stewardship Plan. This project has three primary Objectives: 1) To restore native species and improve habitat area; 2) To improve soil and protect waterways; and 3) To engage the community in forest restoration.

B. Facilities

Ho‘oulu ‘Āina is a 100-acre nature preserve that KKV is stewarding under a 20 year lease. KKV’s long-term stewardship of this land will ensure that all capital improvements will be utilized for community benefit for years to come.

Facilities on-site include two community educational structures (a 432 sq. ft. conference room and a 1,755 sq. ft. bunkhouse with potential to host overnight stays), a 500 sq. ft. office facility and two caretakers’ residences. The education, office and caretakers’ facilities are all ADA accessible, with improvements made in 2005, 2007 and 2011 to ensure continued compliance. At present, there is no dry, covered space in which to carry out program activities during Kalihi Valley’s frequent rains, or to securely store forestry and woodworking equipment.

V. Personnel: Project Organization and Staffing

A. Proposed Staffing, Staff Qualifications, Supervision and Training

As the Program Coordinator at Ho‘oulu ‘Āina, Ms. Puni Freitas (MFA) will oversee all project activities. She will meet regularly with the selected contractors throughout the project to ensure that the work is completed in a satisfactory and timely manner. Ms. Freitas has 10 years of project management experience and is proficient with staff supervision and report writing. A resident caretaker at Ho‘oulu ‘Āina for the past six years, she is ideally positioned ensure that the final outcomes meet the needs of the program, the community and the land.

KKV’s Executive Director is David Derauf, MD, MPH. Dr. Derauf has been with Kōkua Kalihi Valley for the last 24 years. In 1989, he was hired as KKV’s first Clinical Director and remained in this position until being named as KKV’s Executive Director in October 2003. Dr. Derauf was closely involved in the planning, design and development of KKV’s main health center that was constructed in 2000-2001, as well as KKV’s recently completed renovation of the Harry & Jeanette Weinberg Wellness Center (the former “P&P Building”). He played a critical role in outreach and fundraising for both projects and worked closely with the architects, Maurice Yamasato and Lance Kaneshiro, respectively, to ensure all medical and community spaces were designed appropriately. He will provide administrative oversight for this project, including bidding for contractors.

All major capital improvement work will be completed by licensed contractors, whose services will be procured following State and other applicable regulations.

B. Organization Chart

Please see KKV’s organizational chart, attached. This project will be managed by the Ho‘oulu ‘Āina Program Coordinator, who reports to KKV’s Executive Director. Ho‘oulu ‘Āina is highlighted in the attachment.

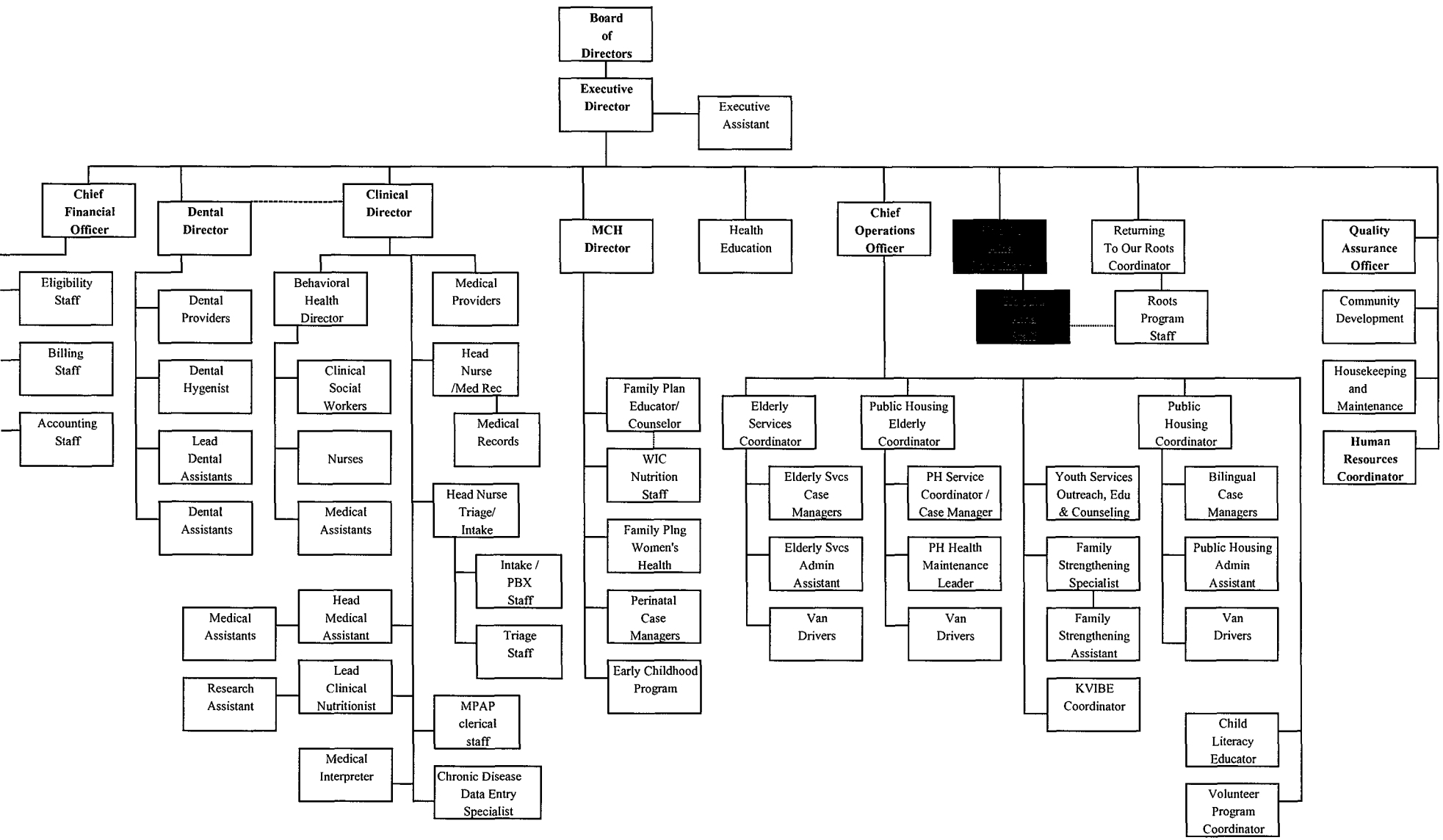
VI. Other

A. Litigation

KKV has no pending litigation or outstanding judgments.


B. Licensure or Accreditation

KKV has a signed 20-year lease agreement with the State for management of the 100-acre Kalihi Valley Nature Preserve (Ho‘oulu ‘Āina), effective beginning in December 2005. (General Lease No. SP-00442.)



BUDGET REQUEST BY SOURCE OF FUNDS
(Period: July 1, 2013 to June 30, 2014)

Applicant: Kokua Kalihi Valley

BUDGET CATEGORIES	Total State Funds Requested (a)	(b)	(c)	(d)
A. PERSONNEL COST				
1. Salaries	0			
2. Payroll Taxes & Assessments	0			
3. Fringe Benefits	0			
TOTAL PERSONNEL COST	0			
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island				
2. Insurance				
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training				
6. Supplies				
7. Telecommunication				
8. Utilities				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES	0			
C. EQUIPMENT PURCHASES	0			
D. MOTOR VEHICLE PURCHASES	0			
E. CAPITAL	342,525			
TOTAL (A+B+C+D+E)	342,525			
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	342,525	Laura Taylor 791-9400		
(b)		 Signature of Authorized Official Date		
(c)				
(d)				
TOTAL BUDGET	342,525	David Derauf, M.D. - Executive Director Name and Title (Please type or print)		

BUDGET JUSTIFICATION PERSONNEL - SALARIES AND WAGES

Applicant: Kokua Kalihi Valley

Period: July 1, 2013 to June 30, 2014

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
TOTAL:				
JUSTIFICATION/COMMENTS:				
N/A				

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Applicant: Kokua Kalihi Valley

Period: July 1, 2013 to June 30, 2014

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
Photovoltaic System	1	\$25,000.00	\$ 25,000.00	\$ 25,000.00
Water Catchment System	1	\$5,000.00	\$ 5,000.00	\$ 5,000.00
Composting Toilet	1	\$3,000.00	\$ 3,000.00	\$ 3,000.00
			\$ -	
			\$ -	
TOTAL:	3		\$ 33,000.00	\$ 33,000.00

JUSTIFICATION/COMMENTS:

Photovoltaic panels, a composting toilet, and a water catchment system will allow for minimal environmental impact of the new facility, while ensuring access to amenities.

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
			\$ -	0
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				

JUSTIFICATION/COMMENTS:

BUDGET JUSTIFICATION CAPITAL PROJECT DETAILS

Applicant: Kokua Kalihi Valley

Period: July 1, 2013 to June 30, 2014

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OTHER SOURCES OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2011-2012	FY: 2012-2013	FY:2013-2014	FY:2013-2014	FY:2014-2015	FY:2015-2016
PLANS			\$ 5,000.00			
LAND ACQUISITION			\$ -			
DESIGN			\$ 10,000.00			
CONSTRUCTION			\$ 294,525.00			
EQUIPMENT			\$ 33,000.00			
TOTAL:			\$ 342,525.00			
JUSTIFICATION/COMMENTS: Permitting is included under Planning. A/E fees are included under Design. Two contractors will be selected, one to complete the facility and one to complete the access ways; their time is included with Construction costs. Construction will also include electrical and installation of equipment.						