

House District _____

Senate District _____

THE TWENTY-SIXTH LEGISLATURE
HAWAII STATE LEGISLATURE
APPLICATION FOR GRANTS & SUBSIDIES
CHAPTER 42F, HAWAII REVISED STATUTES

Log No: _____

For Legislature's Use Only

Type of Grant or Subsidy Request:

GRANT REQUEST – OPERATING

GRANT REQUEST – CAPITAL

SUBSIDY REQUEST

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Subsidy" means an award of state funds by the legislature, by an appropriation to a recipient specified in the appropriation, to reduce the costs incurred by the organization or individual in providing a service available to some or all members of the public.

"Recipient" means any organization or person receiving a grant or subsidy.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN): _____

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN): _____

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual: Friends of Moku'ula Inc.

Dba: _____

Street Address: 505 Front Street, Lāhainā, HI, 96761

Mailing Address: 505 Front Street, Suite 221, Lāhainā, HI, 96761

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name JAMES FALCONER

Title President Board of Directors

Phone # (808) 661-3659

Fax # (808) 661-1676

e-mail jkimof@msn.com

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION
- FOR PROFIT CORPORATION
- LIMITED LIABILITY COMPANY
- SOLE PROPRIETORSHIP/INDIVIDUAL

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

MOKU'ULA ARCHAEOLOGICAL MASTER PLAN

4. FEDERAL TAX ID #: _____

5. STATE TAX ID #: _____

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2014: \$432,331.17

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
- EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ _____

FEDERAL \$ _____

COUNTY \$ _____

PRIVATE/OTHER \$ _____

James K. Falconer, Board President
NAME & TITLE

1-21-13
DATE SIGNED

Application for Grants and Subsidies

I. Background and Summary

1. A brief description of the applicant's background

The non-profit corporation, Friends of Moku'ula (FOM), was established and incorporated in the State of Hawai'i in 1995, and obtained 501(c)3 tax-exempt status from the IRS in 1997, retroactive to 1995. FOM was formed through a community grassroots effort with the vision of restoring the island of Moku'ula and surrounding Mokuhinia ponds, also known as DLNR Site #50-50-03-2967, which includes the modern day parcels of Malu'ulu o Lele Park, two Salvation Army parcels, and adjacent parking lot at the corner of Shaw and Front Streets in Lāhainā, Maui County, Hawai'i.

These parcels straddle two ahupua'a: Waine'e and Waiokama. Within this cultural heritage site is Loko O Mokuhinia, aka Kalua o Kiha, and Moku'ula island, which is primarily identified as the royal residence of Kauikeaouli, Kamehameha III (r. 1825-1854), who held Hawai'i's divine lineage. The site was especially significant when Lāhainā was capital of the Kingdom of Hawai'i (c. 1830-1845). Its antecedent references in Native Hawaiian history go back to the 16th century during the lifetime of Maui's high chief Pi'ilani. His daughter Kalā'aiheana was deified and became the mo'ō goddess known today as Kihawahine, who guards the ponds of Mokuhinia.

A majority of the site, consisting of wetlands, beach berms, an island, retaining/fishpond walls, causeways and architectural remains, are intact and preserved under layers of early 20th century fill. The royal complex at Moku'ula is a historic resource inventoried for inclusion on the State and National Registers of Historic Places because of its indigenous cultural value and historical significance. The entire site lies within Lāhainā Historic District 1. The need to protect and preserve these important cultural and natural resources, and to perpetuate the Native Hawaiian educational and cultural heritage for current and future generations is the mission of the Friends of Moku'ula.

Friends of Moku'ula have earned a well-known reputation for heritage resource advocacy and cultural preservation through education. FOM has successfully developed and executed cultural and educational programs for over 17 years, partnering with Maui Community College (UHMC), Maui Community Work Day, Focus Maui Nui Youth Alliance, the Boys and Girls Club of Maui, Lahainaluna High School, Kamehameha Schools and Ho'olauna on year-round project based activities.

Friends of Moku'ula create value in the community through these educational programs provided through support from the National Trust for Historic Preservation, Hawai'i Tourism Authority, County of Maui, Peter H. Brink Foundation, Hawai'i Community Foundation, Cooke Foundation, Atherton Family Foundation and others.

The Friends of Moku‘ula’s successful partnership with the U.S. Army Corp of Engineers to reestablish the natural wetlands of Mokuhinia has prompted the urgent need to address the archaeological potential of Moku‘ula Island. There is only a two-year window in which to delineate the boundaries of this paramount site before the design of the island will be compromised, severely impacting the future reality of Moku‘ula. The excavation of the site for preservation, restoration and educational purposes is consistent with the FOM’s mission and vision.

Mission: To cultivate awareness of the Hawaiian culture through restoration, preservation, education and revitalization of Moku‘ula island and Mokuhinia ponds.

Vision: Ke ho‘i a‘e la ka ‘opua i Moku‘ula - The rain clouds are returning to Moku‘ula

Core Values: An integral part of FOM’s philosophy includes these Hawaiian values -

Pono – What we do must be right for the community and the ‘āina now and in the future.

Hō‘ihi – What we do must be respectful of the history of Hawai‘i and of the culture of the Hawaiian people.

Mālama ‘āina – What we do must be protective and supportive of the land over which we have been entrusted.

Purpose: The purpose is to develop an Archaeological Master Plan for the site that can be used as a guide for both long- and short-term planning, restoration and improvements.

General goals include preservation of this historic resource in a contemporary context, restoration of the landscape through the removal of materials from periods later than that of the reign of Kamehameha III, preservation of materials of significance, and reconstruction of structures recreating the non-surviving landscape with new materials, primarily for interpretative purposes (1996 Secretary of the Interior Landscape Preservation Standards).

The primary goal is to recreate the image of Moku‘ula to that of circa 1830 while adapting selected areas to accommodate existing and proposed changed needs and conditions using design principles established for Lāhainā at that time. Moku‘ula was a major contributing force to the character of the town of Lāhainā as well as a central focal point. The intent of the plan will be to restore that character by utilizing historic landscape elements.

The plan re-establishes the perimeter of the island of Moku‘ula, which was the significant character-defining element of the site. It also pays tribute to the sacredness of the area with an appropriate setting of prominence.

Long Term Goals: The overall goal for this site is rehabilitation, acknowledging the need to alter or add to the cultural landscape to meet continuing or new uses, and preservation while maintaining the landscape’s historic character. Current conditions require acceptance of additional materials, benches and signs that relate to today’s Lāhainā infrastructure and Historic District regulations.

2. Goals and Objectives Related to the Request

Goal 1: Develop an Archaeological Master Plan that can be used as a guide for both short- and long-term planning improvements.

Objective #1: FOM will engage a reputable firm such as Cultural Surveys Hawai'i to develop a two-year Moku'ula Master Restoration Plan including design, cost estimates, entitlements and timelines. This document will be based on the Archaeological Data Recovery Plan and Section 106 Assistance documents.

Goal 2: Recreate the character-defining qualities of the historic landscape design while solving contemporary problems of public safety, security, appropriateness of use, maintenance, management and preservation.

Objective #1: FOM will minimize the existing and potential impact on the archaeological resources at Moku'ula and enhance the safety and security of site visitors while accommodating basic improvements in other areas.

Objective #2: FOM will enhance scenic opportunities in the site as seen from adjacent streets.

Objective #3: FOM will contract with Cultural Surveys Hawai'i to complete all reasonable and necessary archaeological work; removing modern materials and restoring the historic scenery and style of the site (see attached: Letter of Intent and Consultation Contract: Cultural Surveys Hawai'i)

Goal 3: Utilize information gleaned from archaeological investigations to recommend changes to existing facilities, management policies and maintenance practices that are inconsistent with the original landscape design and/or contemporary needs.

Objective #1: FOM will update the Moku'ula Archaeological Master Plan document to include the final Data Recovery report and recommendations made by consulting parties.

3. State the public purpose and need to be served

Historic Significance:

Moku'ula, Kamehameha III's royal residential compound in Lāhainā, Maui County is significant as it defines the early history of the Kingdom of Hawai'i, the State of Hawai'i and by extension the broad patterns of American history. Many events that were symptomatic of the patterns of change affecting the Hawaiian people since western contact occurred here. It is from Moku'ula that Kamehameha III would rule the Hawaiian nation following severe political and cultural fragmentation of Native Hawaiian society and traditions. It is from this capital in Lāhainā that Kamehameha III would leave his people with a constitutional government, laws and world recognition of Hawai'i as an independent state. Moku'ula is also significant as a former burial site of Hawaiian ali'i (royalty), including Kamehameha III's beloved sister, Princess Nāhi'ena'ena, who was laid to rest at Moku'ula in early 1837 (Kamakau 1992:342). Grief-

stricken, the king decided to build a mausoleum for her and his sacred mother, High Chiefess Keōpūolani, and live near it on the island for the next eight years (Kamakau 1992:342).

Moku‘ula is traditionally significant to the understanding of pre-contact history of Maui and the Hawaiian archipelago, and references to its importance and use date back to the 1590s. The site has already yielded much data (Klieger, et al. 1995), and has the potential to yield much more and highly significant data for an understanding of the early history of Lele (Lāhainā) and Maui Island. We have learned through historical and archaeological investigations (1993, 1999) the subsurface location of Moku‘ula, some of its architectural features and relative state of preservation. We do not know the exact perimeters, size and shape of the island and structures that were located on and adjacent to it. Further archaeological work will help us answer those questions. Further archaeological work in pre-contact sediments at the site will provide us with more answers. With further dating of organic materials, it will be possible to estimate the age of the earliest human occupation of Lāhainā.

While much is preserved in Lāhainā that recalls the lifestyles and culture of the Christian missionaries and visiting whalers in the 19th century, very little is demonstrative of the Native Hawaiian culture and origins, Hawaiian monarchy and the reign of Kamehameha III, Hawai‘i’s longest ruling monarch.

Need to be Served:

The cultural resources at Moku‘ula have long been viewed by Native Hawaiians as sacred and by archaeologists and historians as significant non-renewable cultural resources. They are afforded the protection provided through the Historic Sites Act, a federal policy to preserve historic and prehistoric properties of national significance.

The Moku‘ula Archaeological Master Plan is the most effective way to deal with evidence of the past that is, for the most, part not visible because it is buried underground or underwater. The common response of “out of sight, out of mind” is no longer possible as the U.S. Army Corps of Engineers continues to proceed on an aggressive schedule to restore the natural wetlands surrounding the island. This attitude is also not advised in today’s legal and political climate. Recent court cases involving the protection of Native Hawaiians to engage in traditional Hawaiian practices as well as stop-work orders when human remains are uncovered are but two examples of legal issues that can be averted by completing the proposed project.

The project scope outlined in this proposal recommends that the archaeological potential of Moku‘ula island be addressed through the preparation of an Archaeological Master Plan and excavation of the island’s boundaries. Once the Archaeological Master Plan is in place and the boundaries of the island are established, the risk of unfortunate surprises occurring (such as disturbing a burial site) is significantly reduced, and a public awareness of archaeological resources is considerably increased. Residents will know their community’s history better and can appreciate their heritage more fully. A heightened public awareness of the importance and fragility of archaeological resources can also serve as an additional effective means of protecting these resources. And with more Native Hawaiian heritage resources identified and interpreted within Lāhainā, visitors will have a greater understanding and appreciation of the culture. Indeed, careful planning for the conservation and interpretation of this cultural heritage resource will

promote economic growth for the county and state, and offer opportunities for improving the local quality of life.

In summary, the State of Hawai‘i can no longer avoid dealing with the archaeological resources at Moku‘ula, especially since the U.S. Army Corps of Engineers is only two years away from breaking ground to restore the surrounding wetlands. More importantly, there are clear precedents in the law that demonstrates the severe financial costs of avoiding this responsibility. The Hawai‘i State Legislature will make a wise choice in building on their commitment to the preservation of Hawai‘i’s cultural heritage in funding this important project for the town of Lāhainā, the County of Maui, and all of Hawai‘i nei.

4. Describe the target population to be served

Friends of Moku‘ula, in partnership with the County of Maui, Hawai‘i Dept. of Land & Natural Resources and U.S. Army Corps of Engineers, propose to restore the ponds of Moku‘ula and island of Moku‘ula in recognition of their cultural, ecological and historical significance to Native Hawaiians, the State of Hawai‘i and all its residents and visitors. By returning the cultural heritage site to its former glory and the natural ecosystem to its original state, the partners anticipate a wide range of social and economic benefits to not only Lāhainā but also Maui County and Hawai‘i nei. The site will become an attraction for its dedication to Native Hawaiian culture and rich history through the centuries. Not only will it serve as a learning center for Native Hawaiian practices but it will also offer the community-at-large a place for social recreation and civic pride.

Moku‘ula Archaeological Master Plan NEED STATEMENT

The archaeological potential of the royal complex at Moku‘ula **must now** be considered. The U.S. Army Corps of Engineers, in partnership with the County of Maui, is proceeding on an aggressive schedule to excavate and restore the wetlands surrounding the island of Moku‘ula. There is only a two-year window in which to locate and define the perimeter of the island before the island will be compromised, severely impacting the future reality of the site.

This cultural and historic asset comprises a significant part of the heritage and economic base of the town of Lāhainā, island of Maui and in turn the State of Hawai‘i. The restoration, preservation and adaptive reuse of this site will create a source of cultural and civic pride for the residents of Hawai‘i and offer a continuing economic resource for the community by providing educational opportunities and promoting tourism and world heritage recognition. The vast archaeological resources that are held within Moku‘ula are our kuleana: we are responsible for understanding their value and properly cherishing them.

5. Describe the geographic coverage

The direct coverage of this application is the town and historic district of Lāhainā, and the island of Maui. Indirect coverage extends to the entire State of Hawai‘i, the continental United States, and the domestic and international indigenous and visitor communities.

II. Service Summary and Outcomes

1. Describe the scope of work, tasks and responsibilities

Scope of Work:

For the purpose of the Moku‘ula Archaeological Master Plan, the temporal scope is two (2) years. The geographic scope consists of approx. 17 acres set aside for the cultural and ecological restoration of Moku‘ula island and Mokuhinia ponds. The substantive scope includes all land identified by State of Hawai‘i Executive Order to the County of Maui under lease to Friends of Moku‘ula and adjacent private parcels.

Proposed work is divided into three phases. While these phases have been listed in prioritized sequence, they can be combined or further subdivided, as funding requires. The actual order of events will depend completely upon resources and availability of funds and the needs and desires of the direct community.

Priority One

This phase provides for the short- and long-term management and implementation of Moku‘ula island and Mokuhinia ponds. The results of this phase will be the Moku‘ula Archaeological Master Plan Document. The document will be comprised of the Section 106 Consultation summary, Archaeological Data Recovery Plan, and a multi-level list of conservation projects to facilitate and implement restoration. The completed document will be provided to the Hawai‘i State Legislature.

Priority Two

This phase preserves the historic resource in a contemporary context, restoring the landscape through the archaeological excavation of materials from periods later than that of the reign of Kamehameha III, while solving contemporary problems of public safety, security and scenic opportunities. It includes preservation and conservation of materials of significance.

During this phase, a portable archaeological platform will be installed near the active excavation site, providing safe and adequate accessibility. Video cameras will be set up on the platform to provide a live feed of archaeological activities. A walking trail to and from the excavation site and platform will be established for foot traffic.

A reputable firm like Cultural Surveys Hawai‘i will conduct data recovery fieldwork as approved by the State Historic Preservation Division (SHPD) of the Department of Land and Natural Resources (DLNR). All artifactual and faunal material and features will be documented and

analyzed. If appropriate samples are found within these excavations, they will be analyzed for chronological and paleoenvironmental information.

Laboratory analyses of material collected during data recovery fieldwork will include identification and cataloguing of traditional Hawaiian and historic artifacts, invertebrate midden and vertebrate faunal material, and collected organic material (charcoal, faunal material, etc.) obtained within intact cultural deposits, as appropriate.

The selected firm will deliver a draft and final Data Recovery Report to SHPD, DLNR, the County of Maui’s Office of the Mayor and the Hawai‘i State Legislature.

Priority Three

This phase will utilize information gleaned from archaeological investigations to recommend changes to existing facilities, management policies and maintenance practices that are inconsistent with the original landscape design and/or contemporary needs.

Friends of Moku‘ula (FOM) will update the overall Archaeological Master Plan to include Final Data Recovery Report and recommendations made by consulting parties. This phase may coincide with the Army Corps of Engineers’ design phase for the restoration of Mokuhinia wetlands.

Without funding assistance provided through the state’s Grant in Aid, the Moku‘ula Archaeological Master Plan will be unable to proceed to locate and provide protection for the cultural resources contained at Moku‘ula. If the U.S. Army Corps of Engineers begins excavation of the natural wetlands of Mokuhinia before the perimeter of Moku‘ula island is established, the paramount cultural site of Moku‘ula will never accurately represent the historic environment that is documented in 1830s Lāhainā. This project is pivotal to restoring the physical and ecological landscape and Hawaiian cultural integrity of Lāhainā’s and Maui’s history.

2. The applicant shall provide a projected annual timeline for accomplishing the results or outcomes of the service

The attached copy of the Moku‘ula Archaeological Master Plan work plan as been broken down into the three phases above. Phase One is considered “urgent” and will be undertaken as soon as funding is secured. This objective will be accomplished in the first two quarters of the proposed project.

Phase Two is considered an “immediate” need and encompasses aspects imperative to the preservation of Native Hawaiian resources and the unique information they contain. Work on these objectives should begin as soon as the most urgent goals have been accomplished, and would take place over quarters three through eight.

Phase Three is labeled "future" and will consist of documenting recommendations by consultants during Phase Two and ensuring that implementation needs are met. Phase Three is scheduled to be completed in the last quarter.

3. The applicant shall describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results.

A potential contract with Cultural Surveys Hawai'i has been drafted with clear deliverables and feasible timelines. Cultural Surveys Hawai'i is a company certified in Hawai'i that is permitted to carry out the intended work in accordance with prevailing legislation and regulations. The Friends of Moku'ula and County of Maui will closely follow this collaboration and monitor results. This will be accomplished through monthly status updates and quality checks by interested, qualified parties to ensure alignment of expectations with work products.

FOM has the capacity and capability to track the effectiveness of this project through clear, concise and accurate reporting provided by the archaeological firm. Both formal reports and general overviews of the project will be accessible to the public on the Mokuula.com website. Streaming video of archaeological activities and progress on the restoration site will available through a live feed on the Mokuula.com website.

Success of the project shall be based upon:

- Completed Moku'ula Archaeological Master Plan;
- Construction of an archaeological walkway and platform;
- Installation of a webcam on site and installation of software applications for video streams on the website;
- Archaeological excavation to reestablish the perimeter of the island to circa 1830;
- Analyzing and documenting all artifactual material and features;
- Completing laboratory analysis of material and a catalogue of artifacts collected;
- Delivering the Final Data Recovery Report to SHPD, DLNR, County of Maui and Hawai'i State Legislature, and
- Updating the overall Moku'ula Archaeological Master Plan for use by all parties involved.

4. The applicant shall list the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency).

- Project will be completed on time;
- Project will be completed within budget, and
- Photo and video documentation will cover the entire project process.

III. Financial

Master Plan Cost Estimate:

This cost estimate is presented in the phases described above. It should be considered preliminary in nature. Many of the items should be considered flexible because of the scale and level of detail in development of this plan. Estimates reflect a public bidding process and are in 2012 dollar values.

SUMMARY ESTIMATE

Priority 1:	\$38,237.39
Priority 2:	\$233,653.78
<u>Priority 3:</u>	<u>\$6,000</u>
Subtotal	\$277,891.17
Other Project Costs	\$154,440.00
Grand Total	\$432,331.17

1. Anticipated quarterly funding requests for the fiscal year 2012-2013.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
38,237.39	\$233,653.78	\$80,220.00	\$80,220.00	\$432,331.17

2. All other sources of funding that they are trying to obtain for fiscal year 2012-2013.

FOM plans to submit requests for funding to the County of Maui, Office of Hawaiian Affairs, Department of Land & Natural Resources Legacy Lands Grant program and various private foundations for assistance with projects directly and indirectly related to the priority objective of complete restoration of Moku'ula and Mokuhinia wetlands. As additional funding sources are identified, FOM plans to seek their assistance as well.

3. The applicant shall provide a listing of all state and federal tax credits that have been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

Not applicable.

IV. Experience and Capability

A. Necessary Skills and Experience

FOM has a proven track record of successful grant administration as well as effective development and coordination of public, private and community support. Local and national, public and private sources have contributed over \$1.5 million since 2003 to support the overall goals of restoring Moku'ula and raising community and visitor awareness of the site's importance to the perpetuation of Hawaiian culture.

These achievements include:

- \$30,000 grant from the Office of Hawaiian Affairs to develop a business plan for the restoration of the site and establishment of education programs;
- \$131,000 land acquisition grant from the Administration of Native Americans;
- Donated office space at a complex directly across the street from Moku'ula valued at \$59,000 annually;
- \$20,000 grant from the Hawaiian Tourism Authority for the development of an archival learning center that would be accessible to both residents and visitors (1999);
- \$135,000 grant from the Hawaii Community Foundation for organization capacity building (1999-2003).
- \$425,000 grant from the County of Maui for pre-construction activities including securing lease and license of the site of Moku'ula, architectural design and permits (2001).
- \$10,000 grant from the Fred Baldwin Memorial Foundation to restore previously existing plant resources on-site for medicinal and cultural practices. The project has an extensive outreach and education segment engaging students and the community in 'āina stewardship activities (2013).
- \$10,000 grant from the Cooke Foundation to integrate archaeology programming into Lāhainā classrooms (2013).
- \$1,500 grant from the National Trust for Historic Preservation to bring Dr. Christiaan Klieger, the author of *Moku'ula Sacred Island*, to the site of Moku'ula, to provide peer-to-peer mentoring to the Friends of Moku'ula (2013).

Additionally, more than \$51,000 of in-kind equipment, office space, professional services and volunteer services has been donated to FOM in 2012 alone.

B. Facilities

FOM conducts its business operations from offices in Suites 221-222 of the 505 Front Street complex in Lāhainā. The Moku'ula site itself is vacant, pending full restoration.

V. Personnel: Project Organization and Staffing

A. Proposed Staffing, Staff Qualifications, Supervision and Training

An active and hands-on eight-person Board of Directors chaired by James Kimo Falconer over sees the staff of 5 and volunteer staff of 25. The Board of Directors are responsible for the organizational vision, mission and governance of FOM. The board members of FOM are from the local Lāhainā community of businesses and organizations such as Maui Grown Coffee Co., The Old Lāhainā Lūa'u, Holy Innocents' Episcopal Church, County of Maui Dept. of Parks and Recreation and Richard Ellis Hawai'i.

FOM proposes to conduct the archaeological work through the contracted professional firm of Cultural Surveys Hawai'i with limited supervision by P. Christiaan Klieger, Ph.D, one of the original archaeologists on the site. Established in 1982, Cultural Surveys Hawai'i has completed thousands of studies on all major Hawaiian Islands for hundreds of clients.

Hokulani Holt serves as FOM's Native Hawaiian Cultural Consultant in matters of protocol and has provided leadership for the organization since 2007. Mrs. Holt is a graduate of Kamehameha Schools and the University of Hawai'i. Mrs. Holt has given selflessly to supporting the mission of FOM.

Shirley Ann Kaha'i, serves as office administrator and program manager. She has been directly involved with the site of Moku'ula and Mokuhinia for 11 years and has been instrumental in securing many of FOM's past grant awards and implementing their successful completion. She brings to the position over 30 years of management experience.

Chris Riecke - Gonzales joined the staff in 1999. She is a CPA with many years of non-profit experience and is responsible for all accounting functions within organization.

Jenny Worth joined the staff at FOM in August of 2011. She brings over ten years of archaeology and education experience to the project. Jenny is responsible for tracking, writing and implementing grants for FOM.

James "Kimo" Falconer, president of the board of FOM, oversees the organization and is chair of its Project Committee. Karee Carlucci, vice president of the board of FOM, assists with communications and marketing for the organization. Stanford M.J. Manuia, Esq. serves as pro bono counsel for the organization and assists as government liaison.

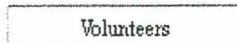
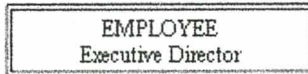
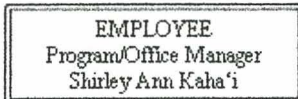
The Friends of Moku'ula are provided oversight and consultation support from the leaders of the **Aha Moku** to insure management of recourses benefits the people of Hawai'i.

B. Organization Chart



Legal Counsel (PRO BONO)
Stanford J. Manuia

CONTRACTED SPECIALISTS
Cultural Consultant : Hokulani Holt
Accountant : Christine Riecke Gonzales
Grant Developer: Jenny Worth
Strategic Communications, Development
&Community Relations Firm



VI. Other

- A. **Litigation:** There is no pending litigation to which FOM is a party.
- B. **Licensure or Accreditation:** N/A

The fact that the cultural resources at Moku'ula have long been viewed as a significant database by archaeologists and historians is reflected by the long list of designations attributed to the site. Moku'ula is recognized as the **defining** historic resource within the Lāhainā Town Historic District 1, which was established by Maui County in 1961. In addition to the County's designation, the larger Lāhainā Community was designated a National Historic Landmark in 1962 by the National Park Service and codified by Maui County as a Special Treatment District in 1967. This district has retained the National Landmark designation since 1962. Properties that retain this designation provide exceptional value to the **nation** as a whole rather than to a particular state or locality. This is the highest ranking a location can obtain.

The Archaeological resources located at the site of Moku'ula were placed on the Hawai'i State Register of Historic Places in 1996 followed by listing on the National Register of Historic Places in 1997. In 2008, Moku'ula was placed on the list of International Sacred Sites and was recognized by the United Nations High Commissioner for Human Rights as "needing to be restored and protected".

BUDGET REQUEST BY SOURCE OF FUNDS
(Period: July 1, 2013 to June 30, 2014)

App

Friends of Moku'ula Inc.

BUDGET CATEGORIES	Total State Funds Requested (a)	(b)	(c)	(d)
A. PERSONNEL COST				
1. Salaries	108,000			
2. Payroll Taxes & Assessments	10,800			
3. Fringe Benefits	35,640			
TOTAL PERSONNEL COST	154,440	38,000		
B. OTHER CURRENT EXPENSES				
1. Contractual Services (Archaeology)	236,591			
2. Contractual Services (Construction)	16,300			
3. Contractual Services (website update)	18,211			
4. Insurance			1,000	
5. Lease/Rental of Space		43,560		
6. Staff Training		600		1,500
7. Supplies		5,700		
8. Telecommunication		6,400		
9. Utilities		10,600		
TOTAL OTHER CURRENT EXPENSES	271,102	66,860	1,000	1,500
C. EQUIPMENT PURCHASES	6,789			
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL				
TOTAL (A+B+C+D+E)	432,331	104,860	1,000	1,500
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	432,331	Jenny L. Worth	808 357 1204	Phone
(b) In-kind	104,860			
(c) Fred Baldwin Foundation	1,000		1-21-13	Date
(d) National Trust for Historic Preservation	1,500			
TOTAL BUDGET	539,691	JAMES K. FALCONER, Board President Name and Title (Please type or print)		

BUDGET JUSTIFICATION PERSONNEL - SALARIES AND WAGES

Applicant: _____ Friends of Moku'ula, Inc. _____

Period: July 1, 2013 to June 30, 2014

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
				\$ -
Office Manager	1	\$48,000.00	100.00%	\$ 48,000.00
Executive Director	1	\$60,000.00	100.00%	\$ 60,000.00
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
TOTAL:				108,000.00
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Applicant: _____ Friends of Moku'ula, Inc. _____ Period: July 1, 2013 to June 30, 2014

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
Professional video camera, tripod & supporting equipment	1	\$5,139.99	\$ 5,139.99	5,139.99
Apple computer for streaming	1	\$1,499.00	\$ 1,499.00	1,499.00
Accessories	6	\$25.00	\$ 150.00	150.00
			\$ -	
			\$ -	
TOTAL:	8		\$ 6,788.99	6,788.99
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION CAPITAL PROJECT DETAILS

Applicant: _____ Friends of Moku'ula, Inc. _____

Period: July 1, 2013 to June 30, 2014

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OTHER SOURCES OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2011-2012	FY: 2012-2013	FY:2013-2014	FY:2013-2014	FY:2014-2015	FY:2015-2016
	PLANS					
LAND ACQUISITION						
DESIGN						
CONSTRUCTION						
EQUIPMENT						
TOTAL:						
JUSTIFICATION/COMMENTS:						

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS AND SUBSIDIES PURSUANT TO
CHAPTER 42F, HAWAI'I REVISED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants and subsidies pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant or subsidy is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant or subsidy were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant or subsidy.

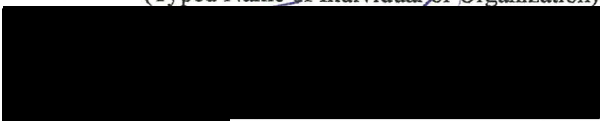
- 2) The applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant or subsidy is awarded shall be conducted or provided.

- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants or subsidies used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant or subsidy was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant or subsidy used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

____ Friends of Moku'ula, Inc. _____
(Typed Name of Individual or Organization)



1-21-13
(Date)

____ James Falconer _____ President Board of Directors _____
(Typed Name) (Title)

Moku'ula Archaeological Master Plan: Work Plan & Time Line

Phase I	When	Who	Evaluation
Goal 1: Develop an Archaeological Master Plan that can be used as a guide for both short and long-term planning improvements.			
Objective 1: FOM will engage Cultural Surveys Hawaii to develop a two-year Moku'ula Master Restoration Plan including design, cost estimates, entitlements and timelines. This document will be based on the Archaeological Data Recovery Plan and Section 106 Assistance documents.			
Hire Cultural Surveys Hawaii to consult on Moku'ula Archaeological Master and Implementation Plan.	Quarter 1	Friends of Moku'ula Board of Directors	Dedicate a section of the monthly report to reporting progress in this area.
<p>Section 106 Consultation:</p> <ol style="list-style-type: none"> 1. Initial consultation with client and the State Historic Preservation Division/Department of Lands and Natural Resources (SHPD/DLNR), 2. Development of a consultation list of consulting parties, including Native Hawaiian Organizations (NHOs), in consultation with the client, to be utilized for contacting these consulting parties, 3. Drafting of the initial Section 106 "Consultation Letter" that the client will utilize for contacting consulting parties, 4. A summary and request to SHPD/DLNR (i.e local SHPO) for section 106 "Compliance Letter". Cultural Surveys Hawaii will also include direction, coordination, and consultation with the Office of Hawaiian Affairs (OHA), the Island Burial Council, and other Hawaiian and local community organizations knowledgeable of, or concerned with, the historic properties or cultural resources of the region, and the project area in particular. Such consultation would be undertaken to further assist in the identification of alternative actions and possible mitigation measures required for the project. 	Quarter 1 - Quarter 2	<p>Senior Archaeologist: Cultural Surveys Hawaii</p> <p>With input from Friends of Moku'ula Staff and Board of Directors</p>	<p>Consultation completed on time and within budget.</p> <p>Dedicate a section in monthly report on specifics outlining actions taken and partnerships created.</p>
<p>Cultural Surveys Hawaii Develops Data Recovery Plan to include:</p> <ol style="list-style-type: none"> 1. Identification of historic properties to be studied; 2. Identification of research objectives to be addressed; 	Quarter 2	Senior Archaeologist: Cultural Surveys Hawaii	Data Recovery Plan completed on time and within budget.

Moku‘ula Archaeological Master Plan: Work Plan & Time Line

<p>Cultural Surveys Hawaii Develops Data Recovery Plan to include:</p> <ol style="list-style-type: none"> 1. Identification of historic properties to be studied; 2. Identification of research objectives to be addressed; 3. Identification of data needed to address the research objectives; 4. Identification of the field methods to be used to acquire and analyze the data; 5. Identification of necessary laboratory work; 6. Identification of a procedure for depositing collections after conclusion of the data recovery project; 7. If previously recorded burials are present, section 6E-43, HRS, and Chapter 13-300 shall be followed; and 8. The plan will describe the results of consultation with the appropriate ethnic group and consider any comments from this group in the preparation of the plan. 	Quarter 2	<p>Senior Archaeologist: Cultural Surveys Hawaii</p> <p>Executive Director: Friends of Moku‘ula</p>	<p>Data Recovery Plan completed on time and within budget.</p> <p>FOM will dedicate a section of the monthly report to reporting on progress in this area.</p>
<p>Develop a multi-level list of priority conservation projects to facilitate implementation scheduling, for example:</p> <ol style="list-style-type: none"> 1. Character defining cultural significance 2. Complexity of the conservation/restoration works 3. Accessibility, public safety and known risks 4. Availability of human resources and internal capacity within FOM 5. Availability of fiscal resources and allocations within FOM 6. Availability of external funds and expertise 7. Categorization of administrative, technical, material and/or equipment requisites 8. Ongoing/planned site investigations 	Quarter 2	<p>Senior Archaeologist: Cultural Surveys Hawaii</p> <p>Executive Director: Friends of Moku‘ula</p>	<p>Dedicate a section of the monthly report to reporting progress in this area. Review of priority list for improved management and increased in knowledge.</p>
<p>Draft Archaeological Master Plan with recommendations, procedures and policies.</p>	Quarter 2	<p>Senior Archaeologist: Cultural Surveys Hawaii</p>	<p>Draft Archaeological Master Plan Document delivered to Hawaii State Legislature.</p>

Moku'ula Archaeological Master Plan: Work Plan & Time Line

Final Archaeological Management Plan delivered to Hawaii State legislature.	Quarter 2	Cultural Surveys Hawaii/Friends of Moku'ula	Final Archaeological Master Plan delivered to Hawaii State Legislature on time and within budget.
Phase 2			
Goal 2: Recreate the character defining qualities of the historic landscape design while solving contemporary problems of public safety, security, appropriateness of use, maintenance, management, and preservation.			
Objective 1: FOM will minimize the existing and potential impact on the archaeological resources at Moku'ula and enhance the safety and security of site visitors while accommodating basic improvements in other areas.			
FOM will construct a portable archaeological platform, near the active excavation site providing safe and adequate accessibility.	Quarter 2	Building Contractor overseen by Program Director Shirley Ann Kaha'i	Before and after photos delivered in monthly report to the Hawaii State Legislature. Report on budget allocations.
Set up video cameras and update website for live feed of archaeological excavations on-site.	Quarter 2	Program Director Shirley Ann Kaha'i	Cameras up and running and providing live feed to interested community members and stakeholders. FOM will report on budget allocations.
Objective 2: FOM will enhance scenic opportunities in the site as seen from adjacent streets.			
Improve trail to and from observation platform for foot traffic.	Quarter 2	Building Contractor overseen by Program Director Shirley Ann Kaha'i	Before and after photos and video delivered to the Hawaii State Legislature. Report on budget allocations
Objective 3: FOM will contract with Cultural Survey Hawaii to complete all reasonable and necessary archaeological work; removing modern materials and restoring the historic scenery and style of the site (see attached fully executed: Letter of Intent and Consultation Contract: Cultural Surveys Hawaii)			
Cultural Surveys will conduct Data Recovery Fieldwork as approved by the State Historic preservation Division/Department of Land and Natural Resources.	Quarter 3 – Quarter 6	Senior and Archaeologist and Archaeological Specialist: Cultural Surveys Hawaii	FOM will provide interpretive reports to both the Hawaii State legislature on artifacts collected daily. Live video of archaeological activities will also be available on FOM's website through a live feed.

Moku'ula Archaeological Master Plan: Work Plan & Time Line

All artifactual and faunal material and features will be documented and analyzed. If appropriate samples are found within these excavations they will be analyzed for chronological and paleoenvironmental information	Quarter 3 – Quarter 6	Senior and Archaeologist and archaeological Specialist: Cultural Surveys Hawaii	All artifactual material and features are analyzed and documented. Dedicate a section of the monthly report to reporting progress in this area.
Laboratory analyses of material collected during data recovery fieldwork will include identification and cataloguing of traditional Hawaiian and historic artifacts, invertebrate midden and vertebrate faunal material, and collected organic material (charcoal, faunal material, etc.) obtained within intact cultural deposits, as appropriate.	Quarter 3 – Quarter 6	Senior and Archaeologist and archaeological Specialist: Cultural Surveys Hawaii	Laboratory analysis of material and catalogue of artifacts is completed. Dedicate a section of the monthly report to reporting progress in this area.
A draft Data Recovery Report is submitted to FOM, SHPD and DLNR final approval.	Quarter 7	Senior Archaeologist: Cultural Surveys Hawaii	Delivery of draft Data Recovery Report to the Hawaii State Legislature on time and within budget.
Final Data Recovery report is delivered to FOM, SHPO, DLNR and Hawaii State legislature.	Quarter 8	Senior Archaeologist: Cultural Surveys Hawaii	Delivery of Final Date Recovery Report on time and within budget.
Phase 3			
Goal 3: Utilize information gleaned from archaeological investigations to recommend changes to existing facilities, management policies and maintenance practices that are inconsistent with the original landscape design and/or contemporary needs.			
Objective 1: FOM will update Moku'ula Master Plan Document to include Final Data Recovery Report and recommendations made by consulting parties.			
Final Moku'ula Archaeological Master plan Completed and delivered to the SHPO, DLNR and Hawai'i State Legislature.	Quarter 8	Senior Archaeologist: Cultural Surveys Hawaii Executive Director: Friends of Moku'ula	Delivery of updated Archaeological Master Plan document to the Hawaii State Legislature on time and within budget.