

House District 23
Senate District 11

THE TWENTY-SEVENTH LEGISLATURE
APPLICATION FOR GRANTS & SUBSIDIES
CHAPTER 42F, HAWAII REVISED STATUTES

Log No:

For Legislature's Use Only

Type of Grant or Subsidy Request:

- GRANT REQUEST - OPERATING GRANT REQUEST - CAPITAL SUBSIDY REQUEST

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Subsidy" means an award of state funds by the legislature, by an appropriation to a recipient specified in the appropriation, to reduce the costs incurred by the organization or individual in providing a service available to some or all members of the public.

"Recipient" means any organization or person receiving a grant or subsidy.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN):

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN):

1. APPLICANT INFORMATION: BETWEEN EAST AND WEST, INC.
CENTER FOR CULTURAL AND TECHNICAL INTERCHANGE
Legal Name of Requesting Organization or Individual:

Dba: EAST-WEST CENTER

Street Address: 1601 EAST-WEST ROAD

Mailing Address: HONOLULU, HI 96848

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:
Name RICKY KUBOTA
Title DIRECTOR OF ADMINISTRATION
Phone # (808) 944-7278
Fax # 944-7970
e-mail kubotar@eastwestcenter.org

3. TYPE OF BUSINESS ENTITY:
 NON PROFIT CORPORATION
 FOR PROFIT CORPORATION
 LIMITED LIABILITY COMPANY
 SOLE PROPRIETORSHIP/INDIVIDUAL

4. FEDERAL TAX ID #: [REDACTED]
5. STATE TAX ID #: [REDACTED]

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:
East-West Center Buildings Rehabilitation
(Matching Funds for Federal Support)

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2014: \$ 2,000,000

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:
 NEW SERVICE (PRESENTLY DOES NOT EXIST)
 EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:
STATE \$ _____
FEDERAL \$ 1,700,000
COUNTY \$ _____
PRIVATE/OTHER \$ _____

TYPE NAME & TITLE OF AUTHORIZED REPRESENTATIVE:

[REDACTED]

Ricky Kubota, Director of Administration
NAME & TITLE

1/21/13
DATE SIGNED

Application for Grants and Subsidies

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Background and Summary (See Attachment A)

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Include the following:

1. A brief description of the applicant's background;
2. The goals and objectives related to the request;
3. The public purpose and need to be served;
4. Describe the target population to be served; and
5. Describe the geographic coverage.

II. Service Summary and Outcomes (See Attachment B)

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities;
2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;
3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and
4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

III. Financial**Budget**

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.
2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2014.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
250,000	250,000	500,000	1,000,000	2,000,000

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2014.
\$1.7 million from the federal government has been obtained for this project.
4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

N/A

IV. Experience and Capability**A. Necessary Skills and Experience**

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

N/A

B. Facilities (See Attachment C)

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities. The applicant shall also describe how the facilities meet ADA requirements, as applicable.

V. Personnel: Project Organization and Staffing

A. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

N/A

B. Organization Chart (See Attachment D)

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organizational chart that illustrates the placement of this request.

VI. Other

A. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

N/A

B. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that applicant possesses relevant to this request.

N/A

BUDGET REQUEST BY SOURCE OF FUNDS

(Period: July 1, 2013 to June 30, 2014)

Applicant: East-West Center

BUDGET CATEGORIES	Total State Funds Requested		Federal Funds	
	(a)	(b)	(c)	(d)
A. PERSONNEL COST				
1. Salaries				
2. Payroll Taxes & Assessments				
3. Fringe Benefits				
TOTAL PERSONNEL COST				
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island				
2. Insurance				
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training				
6. Supplies				
7. Telecommunication				
8. Utilities				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES				
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL	2,000,000	1,700,000		
TOTAL (A+B+C+D+E)	2,000,000	1,700,000		
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	2,000,000	Ricky Kubota (808)944-7278		
(b) Federal Funds	1,700,000	Name (Please type or print) Phone		
(c)		<div style="background-color: black; width: 100px; height: 20px; margin: 0 auto;"></div>		
(d)		<div style="background-color: black; width: 100px; height: 20px; margin: 0 auto;"></div>		
		Signature of Authorized Official Date		
TOTAL BUDGET	3,700,000	Ricky Kubota, Director of Administration		
		Name and Title (Please type or print)		

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Applicant: East-West Center

Period: July 1, 2013 to June 30, 2014

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

**BUDGET JUSTIFICATION
CAPITAL PROJECT DETAILS**

Applicant: East-West Center

Period: July 1, 2013 to June 30, 2014

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OTHER SOURCES OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2011-2012	FY: 2012-2013	FY:2013-2014	FY:2013-2014	FY:2014-2015	FY:2015-2016
PLANS			200,000			
LAND ACQUISITION						
DESIGN			100,000			
CONSTRUCTION			1,700,000	1,700,000		
EQUIPMENT						
TOTAL:			2,000,000	1,700,000		
JUSTIFICATION/COMMENTS:						

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS AND SUBSIDIES PURSUANT TO
CHAPTER 42F, HAWAI'I REVISED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants and subsidies pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant or subsidy is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant or subsidy were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant or subsidy.
- 2) The applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant or subsidy is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants or subsidies used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant or subsidy was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant or subsidy used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

East-West Center

(Typed Name of Individual or Organization)



1/21/13
(Date)

Ricky Kubota

(Typed Name)

Director of Administration

(Title)

Attachment A

For more than 50 years, the East-West Center has been promoting better relations and understanding among the people and nations of the United States, Asia and the Pacific through cooperative study, research and dialogue. Established by the U.S. Congress in 1960, and incorporated by the State of Hawaii in 1975 (Act 82), the Center serves as a resource for information and analysis on critical issues of common concern, bringing people together to exchange views, build expertise, and develop policy options.

The Center is the recipient of \$1.7 million in federal funds for capital improvements and is requesting \$2.0 million from the State of Hawaii to meet its cost-matching requirements. The Center's facilities date from the 1960s and the buildings are severely out-dated and in need of improvements for safety and health purposes. The Center hosts thousands of participants, school children and visitors who would be impacted by the planned improvements with regards to their safety and health.

Attachment B

The Center has been able to obtain an appropriation from the federal government for \$1.7 million on the condition that it secures a matching amount from other sources. The Center is requesting \$2.0 million from the State of Hawaii to fulfill this matching requirement. It is projected that the projects will be completed by September 30, 2014. A list and brief description of the individual projects are attached.

Attachment C

The Center is situated on 21 acres in Manoa valley and is composed of six main buildings. The actual buildings affected by this capital improvement request are:

Imin Conference Center constructed in 1962

Hale Manoa – a 13-story dormitory constructed in 1962

Hale Kuahine – a 4-story dormitory constructed in 1962

Lincoln Hall – a 4-story dormitory constructed in 1962

John A. Burns Hall – a 4-story administrative building constructed in 1977

As mentioned, the student dormitories, conference facility and administrative building are host to thousands of participants, school children and visitors annually. Recent visitors have included President Obama and family, Secretary of State Clinton, the Dalai Lama and Nobel Peace Prize laureate Aung San Suu Kyi.

EWC Buildings Rehabilitation Schedule

Imin Conference Center Lanai Replacement - \$1 million

The concrete promenade surrounding the Imin Conference Center at Jefferson Hall comprises some 26,000 square feet of reinforced concrete laid over an impermeable membrane. This surface serves as an effective “roof” over various spaces in the Jefferson Hall basement including conference dining and meeting rooms; maintenance, mechanical and storage spaces; and repository for the Hamilton Library’s collection of the Spark Matsunaga papers. Over the course of its 50+ year life the concrete and membrane have become compromised to the extent that numerous leaks have occurred in the basement spaces. While a piecemeal remediation has succeeded in arresting these leaks new ones continue to appear and can be expected to continue in the opinion of consultants retained to assess the situation. It is the opinion of these consultants that a comprehensive remedy is required which calls for the demolition and replacement of the existing surface and substrate. Safety is an issue as the leaks have occasionally reached mechanical rooms and lighting fixtures.

Hale Manoa Shower Stall Replacement - \$500,000

The Hale Manoa high rise dormitory is comprised of 48 residential clusters, each containing a bathroom with 2 shower stalls. These 50+ year-old facilities service up to 10 people daily, 365 days a year. In many of these units the lead pan designed to collect and direct water that penetrates the tile shower floor has failed with the result that water is leaking into units situated below the shower rooms and in some cases penetrating shower walls as well with water accumulating in adjacent corridors. These conditions create significant health and safety problems which must be addressed.

JAB Cooling Towers - \$500,000

The cooling towers, located on the roof of Burns Hall, are integral components of the building’s air conditioning system. Installed about 13 years ago, these units are approaching the end of their service life. They are fabricated of galvanized metal and suffer from levels of corrosion that compromise their structural integrity. Failure of these units would either reduce air conditioning capacity or shut the system down completely.

Sprinkler Soffit Installation - \$500,000

In 2005 the EWC residence halls were retrofitted with fire suppression sprinkler systems. Fire code requires that exposed piping and sprinkler heads be enclosed in soffits. In order to minimize disruption to residents this portion of the project has been undertaken incrementally as rooms become vacant beginning in Hale Manoa which is 60% complete. Allocation of funds would permit the contractor to mobilize at Lincoln Hall which has a higher transitory and vacancy rate while also continuing to accomplish and accelerate incremental work at Hale Manoa and Hale Kuahine.

HM/Imin/JAB Elevators - \$200,000

Revisions to the fire code now require elevators to be equipped with automatic smoke detector activated recall and fireman’s override capability. The elevator at Lincoln Hall is

in the process of being retrofitted with this function. The Center has six other elevators which also require this upgrade to current accepted safety standards.

JAB Elevators - \$400,000

The Burns Hall elevators were manufactured by Montgomery Elevator Co. which is now defunct. Its operations were acquired by the KONE Corporation of Finland in 1994. Over their nearly 30 year life, these elevators have proven to be operationally troublesome, increasingly so with age. In 2010, EWC retained a consultant to analyze their condition and make recommendations to ensure their viability. Those recommendations include replacement of motors, controllers and associated equipment and hardware.

Install Hale Kuahine Solar Hot Water System - \$120,000

The HK dormitory houses 120 students in 12 residential clusters. Hot water is currently generated for bathrooms and kitchens by two 56,000 BTU electrically-driven heat pumps. Engineering calculations project that a solar system will reduce electricity costs by approximately \$10,000 annually, helping with the Center's sustainability efforts.

Install "Smart" Irrigation Control System - \$200,000

Approximately 8 acres of the EWC campus is landscaped and requires irrigation by a network of pipes and sprinkler heads. This system is controlled by a series of timer devices which determine the frequency and duration of watering in various zones irrespective of atmospheric conditions. A "smart" controller system incorporates a network of sensors which activate individual components in response to detected moisture levels in the soil, thus conserving water and minimizing waste.

Replace Dormitory (HM & HK) Lounge Furnishings - \$280,000

Hale Manoa and Hale Kuahine dormitories incorporate a variety of communal spaces which include study lounges and dorm gathering areas. These heavily used spaces are furnished with an assemblage of antiquated chairs, sofas and tables – many of which are original furnishings dating back to the construction of the buildings in 1961. While these furnishings have been maintained through on-going repairs and cannibalization they are undeniably and very obviously well beyond their intended life and less appropriate to a modern graduate study environment.

Attachment D

The capital improvement projects will be overseen by the Director of Administration with coordination by the Center's Facilities Management, Housing and Imin Conference Center units (see organizational chart).

OFFICE OF ADMINISTRATION

