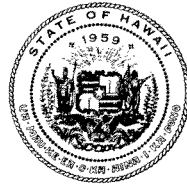


NEIL ABERCROMBIE
GOVERNOR

BRIAN SCHATZ
LT. GOVERNOR



STATE OF HAWAII
DEPARTMENT OF TAXATION
P.O. BOX 259
HONOLULU, HAWAII 96809

FREDERICK D. PABLO
DIRECTOR OF TAXATION

RANDOLF L. M. BALDEMOR
DEPUTY DIRECTOR

SENATE COMMITTEE ON WAYS AND MEANS
HOUSE COMMITTEE ON FINANCE

BUDGET BRIEFING TESTIMONY

TESTIFIER: Frederick D. Pablo
DATE: January 4, 2012
TIME: 1:30 pm
ROOM: State Capitol Auditorium

MISSION STATEMENT

Please provide your department's mission statement.

Our mission is to administer the tax laws for the State of Hawaii in a consistent, uniform, and fair manner.

CONTEXT

Please provide a brief discussion of how current economic and fiscal conditions are affecting your department's operations.

As we entered into Fiscal Year 2012, our State was in the midst of one of the most difficult economic periods in its history. Our recovery from the Great Recession was slowed by the instability in the nation and worldwide economies.

By working together, the Abercrombie Administration and the Legislature closed a projected \$1.2B budget shortfall for the biennium. Among the reductions required to close this gap, all Executive Branch agencies, including the Department of Taxation (DOTAX), took across the board labor savings reductions of 5%.

As all State agencies absorbed deep cuts in the past few years, the Abercrombie Administration acknowledged that agencies could not absorb further horizontal reductions. Thus, a 2011 Program Review was initiated to identify specific general fund reductions that were consistent with the administration's efforts to reprioritize State government.

The 2011 Program Review entailed a detailed process of examining all government activities,

weighing all relevant facts, and making difficult decisions between competing interests. Fortunately, the administration recognized that DOTAX had suffered severe reductions in the past few years and that reducing funding to DOTAX at a time when revenue collection is a priority would not be in the public's best interest. In August 2011, the DOTAX was informed that it did not bear further reductions as a result of the 2011 Program Review. In September 2011, the hiring freeze on general funded civil service positions was lifted.

In Fiscal Year 2012, DOTAX committed substantial resources to closing out its contract with CGI Technologies and Solutions, Inc. DOTAX is working closely with the CIO on a plan to modernize its systems.

Additionally please identify programs that are facing a "funding cliff" due to a reduction in AARA funds or other anticipated loss of any other federal funds. Please indicate whether the department has a reason to believe that a significant loss is likely and discuss the impact.

The Department does not have any programs that are facing a "funding cliff" due to a reduction in AARA funds or other anticipated loss of any other federal funds.

ALTERNATIVES CONSIDERED

Please discuss any actions your department has undertaken in the following areas:

- **Generating additional revenue for the state**

The Executive Supplemental Budget includes two DOTAX requests to enhance revenues for the State.

Department Priority #1 – Expand the Electronic Filing Program

DOTAX requests \$1.4M per year to expand its electronic filing program. This funding will allow taxpayers to electronically file tax returns and remit payments at no cost to the taxpayer. The current \$1 fee per electronic payment serves as a deterrent for some taxpayers, and does not support DOTAX's goal of increasing electronic filing. Encouraging more electronic filing and payment will help DOTAX reduce its processing backlogs and expedite deposit of funds.

Department Priority #2 – Compliance Positions and Expenses

DOTAX request \$339K for Fiscal Year 2013 and \$486K thereafter for compliance positions and expenses. This requests includes ten (10.0) permanent positions and four (4.0) temporary positions and employee related expenses and expenses for training, subject matter experts,

out-of-state travel for audit.

This request includes direct revenue generating positions (6.0 permanent Tax Return Examiners, 1.0 permanent Delinquent Tax Collections Assistant, 1.0 temporary Tax Clerk) and supporting positions (3.0 permanent and 3.0 temporary taxpayer services) for neighbor islands. The neighbor island taxpayer services sections have been short staffed. These shortages required the help of revenue generating positions in Office Audit, Field Audit and Collection Sections, reducing their productivity.

- **Shifting general funded operational cost to non-general funds**

DOTAX charges cost in administering Hawaii tax laws by utilizing special funds, where appropriate. DOTAX has two special funds under its management –

- 1) The Tax Administration Special Funds, HRS §235-20.5. DOTAX is authorized to offset the cost of issuing certain comfort rulings, the administration of certain tax credits, and the administration of the Special Enforcement Section through the use of this special funds; and
- 2) The Cigarette Tax Stamp Administrative Special Fund, HRS §245-41.5. DOTAX is authorized to offset the cost of tax stamps and the administration of cigarette tax stamp program.

- **Consolidation of elimination of programs within your department**

DOTAX reviews its operations on an on-going basis to identify potential reorganizations to effectively and efficiently achieve program objectives.

- **Reduction of services**

DOTAX provides core government services. Given the significant reductions that DOTAX has already absorbed, DOTAX does not propose any further reductions of service.

Department of Taxation
Department-Wide Budget Summary

		Fiscal Year 2012			Fiscal Year 2013		
		Restriction	Emergency Appropriation	Total FY12	Restrictions	Additions	Total FY13
Act 164/11	MOF						
Appropriation	A			\$ 22,336,212.00	\$ (619,300.00)	\$ 1,703,406.00	\$ 23,111,148.00
	B			\$ 1,057,875.00	(4,248.00)		\$ 1,053,627.00
	N			-			-
	R			-			-
	S			-			-
	T			-			-
	U			-			-
	V			-			-
	W			-			-
	X			-			-
	Total	\$ -	\$ -	\$ 23,394,087.00	\$ (623,548.00)	\$ 1,703,406.00	\$ 24,164,775.00
		Fiscal Year 2012			Fiscal Year 2013		
Act 164/11							
Appropriation							
	A			\$ 22,027,042.00	\$ (619,300.00)	\$ 1,703,406.00	\$ 23,111,148.00
	B			\$ 1,057,875.00	(4,248.00)		\$ 1,053,627.00
	N						
	R						
	S						
	T						
	U						
	V						
	W						
	X						
	Total			\$ 23,084,917.00	\$ (623,548.00)	\$ 1,703,406.00	\$ 24,164,775.00

Department of Taxation
Prioritized List of Functions

Table 2

<u>Pri #</u>	<u>Description of Function</u>	<u>Activities</u>	<u>Prog ID(s)</u>	<u>Statutory Reference</u>
1	Supporting Services-Revenue Collections	Directs and coordinates departmental activities	TAX 107	
		Administrative Services		Title 14, Chapter 231, 231-3, HRS (generally)
		Rules		Title 14, Chapter 231, 231-3, HRS (generally); 231-4.5, HRS (specifically)
		Systems Administration		Title 14, Chapter 231, 231-3, HRS (generally); 231-8.5, HRS (specifically)
		Information Technology Services		Title 14, Chapter 231, 231-3, HRS (generally); 231-8.5, HRS (specifically)
		Tax Research and Planning		Title 14, Chapter 231, 231-3, HRS (generally); 231-3.4 (specifically)
		Council on Revenues		Haw. Const. Art. VII, Sec. 7; Chapter 37 Part VI, HRS (generally)
		Tax Review Commission		Haw. Const. Art. VII, Sec. 3; Chapter 232E, HRS (generally)
		Board of Reviews		Title 14, Chapter 232, HRS (generally)
2	Tax Services and Processing	Document Processing	TAX 105	Title 14, Chapter 231, 231-3, HRS (generally); 231-3, 231-8.5, 231-9.9, HRS (specifically)

Department of Taxation
 Prioritized List of Functions

Table 2

<u>Pri #</u>	<u>Description of Function</u>	<u>Activities</u>	<u>Prog ID(s)</u>	<u>Statutory Reference</u>
		Revenue Accounting		Title 14, Chapter 231, 231-3, HRS (generally)
		Taxpayer Services		Title 14, Chapter 231, 231-3, HRS (generally)
3	Compliance	Field Audit	TAX 100	Title 14, Chapter 231, 231-3, HRS (generally)
		Office Audit		Title 14, Chapter 231, 231-3, HRS (generally)
		Collections		Title 14, Chapter 231, 231-3, HRS (generally); 231-25 through 231- 70, HRS (specifically)
		District Offices		Title 14, Chapter 231, 231-3, HRS (generally); 231-10, HRS (specifically)
		Criminal Investigations		Title 14, Chapter 231, 231-3, HRS (generally); 231-4.3, 231-34 through 231-36, HRS (specifically)
		Special Enforcement		Title 14, Chapter 231, 231-3, HRS (generally); 231-81 through 231- 100 (specifically)

Department of Taxation
Resources by Program ID

Table 3

Prog ID	Program Title	MOF	As budgeted in Act 164/11 (FY12)			Governor's Submittal (FY13)			Percent Change of \$\$\$
			Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	
TAX 100	Compliance	A	179.00	1.00	\$ 8,786,865	189.00	5.00	\$ 8,810,585	0.3%
TAX 105	Tax Services and Processing	A	122.00	116.00	\$ 6,209,621	122.00	116.00	\$ 7,420,361	19.5%
TAX 107	Supporting Services-Rev Collection	A	72.00	11.00	\$ 7,339,726	72.00	11.00	\$ 6,880,202	-6.3%
	TOTAL	B	373.00	134.00	\$ 23,394,087	383.00	138.00	\$ 24,164,775	3.3%

Department of Taxation
Current Year (FY12) Restrictions

<u>Prog ID</u>	<u>MOF</u>	<u>Restriction \$\$\$</u>	<u>Percent of Act 164/11 Appropriation</u>	<u>Impact</u>
NONE				

Department of Taxation
Proposed Budget Reductions

Table 5

Request Category	Prog ID	Description of Reduction	Impact of Reduction	MOF	Pos (P)		Pos (T) FY13	\$\$\$\$ FY13	Carry-over? (Y/N)
					FY13	FY13			
LS	TAX 100	Required Labor Savings Adjustment	Generally 6 hr/mo/employee Supplemental Time Off	A	-	-	-	\$ (279,686)	N
LS	TAX 105	Required Labor Savings Adjustment	Generally 6 hr/mo/employee Supplemental Time Off	A	-	-	-	\$ (189,260)	N
LS	TAX 107	Required Labor Savings Adjustment	Generally 6 hr/mo/employee Supplemental Time Off	A	-	-	-	\$ (150,354)	N
LS	TAX 107	Required Labor Savings Adjustment	Generally 6 hr/mo/employee Supplemental Time Off	B	-	-	-	\$ (4,248)	N
			TOTAL		-	-	-	\$ (623,548)	

Department of Taxation
Proposed Supplemental Year Additions

Table 6

Request Category	Prog ID	Description of Addition	Explanation	MOF	Pos (P)		Pos (T)	
					FY13	-	FY13	-
O	TAX 105	Expand the Electronic Filing Program	See below	A	-	-	-	\$ 1,400,000
<p>Funding allows taxpayers to electronically file tax returns and remit payments at no cost to the taxpayer. The current \$1 fee per electronic payment serves as a deterrent for some taxpayers, and does not support DOTAX's goal of increasing electronic filing. Encouraging more electronic filing will help the Department reduce processing backlogs and expedite deposit of funds. These results support the Department of Taxation's goal of achieving more efficiency in its filing processes and providing better customer service to taxpayers.</p>								
O	TAX 100	Compliance positions and expenses	See below	A	10.00	4.00	4.00	\$ 303,406
<p>The Tax Returns Examiner II (6.0 perm) and Delinquent Tax Collection Assistant I (1.0 perm) positions are direct revenue generating positions. The Maui Tax Clerks (2.0 perm) and Kauai Tax Information Technician (1.0 perm) are positions in the Taxpayer Services sections on the neighbor islands which have been short staffed. The shortages required the help of the revenue positions in the Department's Office Audit, Field Audit and Collections sections, reducing their productivity. The temporary positions (4.0 temp) would assist during high peak periods such as during tax season.</p>								
TOTAL					10.00	4.00	4.00	\$ 1,703,406

Department of Taxation
Non-General Fund Balances

Table 7

Name of Fund	Statutory Reference	MOF	Beginning FY12		Estimated FY12 Expenditures and Encumbrances	Estimated FY12 Net Transfers	Estimated FY12 Ending		Balance in Excess of Program Needs
			Unencumbered Cash Balance	Estimated FY12 Revenues			Unencumbered Cash Balance	Unencumbered Cash Balance	
Cigarette Tax Stamp Administrative Special Fund	Act 270, SLH 2001	B	\$ 255,196	\$ 272,000	\$ 272,000	-	\$ 299,564	\$ -	-
Tax Administration Special Fund	HRS §235-20.5	B	\$ 1,312,447	\$ 500,000	\$ 775,000	-	\$ 1,041,069	\$ -	-

Department of Taxation
Emergency Appropriation Requests

Table 8

<u>Prog ID</u>	<u>Description of Request</u>	<u>MOF</u>	<u>Pos (P) FY12</u>	<u>Pos (T) FY12</u>	<u>\$\$\$ FY12</u>
NONE					

Department of Taxation
Budget Decisions

Table 9

Prog ID	Description	MOF		Initial Department Request		Budget and Finance Recommendation		Governor's Decision	
		Pos (P)	Pos (T)	Pos (P)	Pos (T)	Pos (P)	Pos (T)	Pos (P)	Pos (T)
			\$\$\$		\$\$\$		\$\$\$		\$\$\$
TAX 105	Expand the Electronic Filing Program	A	\$ 1,400,000	-	\$ 1,400,000	-	\$ 1,400,000	-	\$ 1,400,000
TAX 107	Upgrade forms and processing technology	A	\$ 2,000,000	-	\$ -	-	\$ -	-	\$ -
	Fixed cost increase for IT hardware & software								
TAX 107	maintenance	A	\$ 25,000	-	\$ -	-	\$ -	-	\$ -
TAX 100	Compliance positions and expenses	A	\$ 339,086	10.00	\$ 303,406	10.00	\$ 303,406	10.00	\$ 303,406
	Research Statisticians (2.0) for Tax Research &								
TAX 107	Planning	A	\$ 89,572	2.00	\$ -	-	\$ -	-	\$ -
	Management Analysts (2.0) to support tax								
TAX 107	modernization	A	\$ 92,427	2.00	\$ -	-	\$ -	-	\$ -
TAX 100	Required Labor Savings Adjustment	A	\$ (279,686)	-	\$ (279,686)	-	\$ (279,686)	-	\$ (279,686)
TAX 105	Required Labor Savings Adjustment	A	\$ (189,260)	-	\$ (189,260)	-	\$ (189,260)	-	\$ (189,260)
TAX 107	Required Labor Savings Adjustment	A	\$ (150,354)	-	\$ (150,354)	-	\$ (150,354)	-	\$ (150,354)
TAX 107	Required Labor Savings Adjustment	B	\$ (4,248)	-	\$ (4,248)	-	\$ (4,248)	-	\$ (4,248)
	TOTAL		\$ 3,322,537	14.00	\$ 1,079,858	10.00	\$ 1,079,858	10.00	\$ 1,079,858

Department of Taxation
Program Review Proposals

Table 10

Prog ID	Description	MOF	Budget and Finance Proposal		Department Proposal		Governor's Final Decision	
			Pos (P)	Pos (T)	Pos (P)	Pos (T)	Pos (P)	Pos (T)
TAX 100	Elimination of Compliance Positions	A	-	-	(13.00)	(678,036)	-	-
TAX 100	Elimination of MTC Audit Fee	A	-	-	-	(185,000)	-	-
TAX 107	Elimination of Tax Administration Positions	A	-	-	(3.00)	(183,432)	-	-
TAX 107	Elimination of Tax Administration Positions	B	-	-	-	(675,000)	-	-
TAX 107	Elimination of Technical IT Training	A	-	-	-	(29,000)	-	-
TAX 107	Other (add'l) reduction required to meet target	A	-	-	-	(2,778)	-	-
	TOTAL		-	-	(16.00)	(1,753,246)	-	-

NOTE: The Department of Taxation complied with Executive Memorandum 11-06 by submitting a plan to meet its 5% reduction planning target. In its submittal, DOTAX stated that given the significant reductions taken in the past few years, reductions in revenue generating positions and core government services would need to be taken in order to meet its reduction target. DOTAX requested allotment of full funding, less the 5% salary adjustment, for the fiscal biennium 2011-2013.

Department of Taxation

Position Vacant as of November 30

Table 11

Prog ID	Date of Vacancy	Position Number	Position Title	SR Level	BU Code	Temp		MOF	Budgeted Amount	Actual Salary Last Paid	Exempt (Y/N)	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)
						(T/P)	FTE						
TAX100CP	7/7/2007	016049	Delinquent Tax Coll Asst II	17	03	P	1.00	A	\$ 51,312.00	\$ 45,588.00	N	Y	N
TAX100EO	5/16/2008	047876	Delinquent Tax Coll Asst I	15	03	P	1.00	A	\$ 33,756.00	\$ 42,708.00	N	Y	N
TAX100EO	5/20/2008	047877	Delinquent Tax Coll Asst I	15	03	P	1.00	A	\$ 33,756.00	\$ 33,720.00	N	Y	N
TAX100CP	8/1/2008	007047	Auditor IV*	22	13	P	1.00	A	\$ 45,576.00	\$ 45,588.00	N	Y	N
TAX107AA	9/13/2008	117785	Administrative Rules SpcIt	NA	73	T	1.00	A	\$ 50,000.00	\$ 49,337.00	Y	Y	N
TAX100CH	11/3/2008	003705	Tax Returns Examiner I	13	03	P	1.00	A	\$ 31,212.00	\$ 32,424.00	N	Y	N
TAX100EO	5/1/2009	016055	Account Clerk IV	13	03	P	1.00	A	\$ 31,212.00	\$ 48,048.00	N	Y	N
TAX100CO	5/30/2009	003692	Tax Returns Examiner III	17	03	P	1.00	A	\$ 36,516.00	\$ 56,172.00	N	Y	N
TAX100CO	7/1/2009	004418	Tax Returns Examiner I	13	03	P	1.00	A	\$ 33,756.00	\$ 48,048.00	N	Y	N
TAX100CO	7/1/2009	001506	Tax Returns Examiner IV	20	04	P	1.00	A	\$ 41,040.00	\$ 63,204.00	N	Y	N
TAX105BA	7/7/2009	049981	Management Analyst III (temp)	20	13	T	1.00	A	\$ 36,024.00	\$ 38,988.00	N	Y	N
TAX107AA	9/10/2009	119352	Spcl Enf Section Investigator	NA	73	T	1.00	B	\$ 50,000.00	\$ -	Y	N	N
TAX100EH	11/1/2009	001598	Supervising Tax Clerk II	17	04	P	1.00	A	\$ 36,516.00	\$ 51,936.00	N	Y	N
TAX107AA	12/1/2009	102248	Administrative Rules SpcIt	NA	73	T	1.00	A	\$ 50,000.00	\$ 64,896.00	Y	Y	N
TAX107AD	12/1/2009	009861	Tax Research & Planning Offcr	EM07	35	P	1.00	A	\$ 79,104.00	\$ 109,932.00	N	Y	N
TAX107AC	4/10/2010	118024	Information Technology Specialist II	18	13	P	1.00	A	\$ 31,212.00	\$ 49,337.60	N	Y	N
TAX105BB	6/1/2010	011526	Accountant III	20	13	P	1.00	A	\$ 42,132.00	\$ 57,708.00	N	Y	N
TAX105BA	6/1/2010	011265	Secretary II	14	03	P	1.00	A	\$ 32,424.00	\$ 46,176.00	N	Y	N
TAX100EK	7/1/2010	047882	Delinquent Tax Coll Asst I	15	03	P	1.00	A	\$ 33,756.00	\$ 49,932.00	N	Y	N
TAX105BA	7/1/2010	118230	Office Assistant III (temp)	08	03	T	1.00	A	\$ 26,700.00	\$ 26,700.00	N	Y	N
TAX105BA	7/1/2010	043583	Tax Clerk (temp)	12	03	T	1.00	A	\$ 31,212.00	\$ 31,212.00	N	Y	N
TAX105BC	8/14/2010	118239	Tax Clerk (temp)	12	03	T	1.00	A	\$ 30,036.00	\$ 30,036.00	N	Y	N
TAX100CH	9/1/2010	117460	Tax Returns Examiner III	17	03	P	1.00	A	\$ 36,516.00	\$ 46,176.00	N	Y	N
TAX100EH	10/1/2010	001612	Office Assistant III	08	03	P	1.00	A	\$ 25,668.00	\$ 25,668.00	N	Y	N
TAX105BB	11/10/2010	001468	Account Clerk III	11	03	P	1.00	A	\$ 28,836.00	\$ 28,836.00	N	Y	N
TAX107AA	12/23/2010	102246	Administrative Rules SpcIt	NA	73	T	1.00	A	\$ 50,364.00	\$ 50,364.00	Y	N	N
TAX100CP	12/31/2010	011876	Auditor IV	22	13	P	1.00	A	\$ 60,024.00	\$ 60,024.00	N	Y	N
TAX100CO	12/31/2010	001501	Tax Returns Examiner III	17	03	P	1.00	A	\$ 49,332.00	\$ 49,332.00	N	Y	N
TAX105BC	1/4/2011	118238	Tax Clerk (temp)	12	03	T	1.00	A	\$ 31,212.00	\$ 31,212.00	N	Y	N
TAX100GM	1/4/2011	001580	Tax Returns Examiner I	13	03	P	1.00	A	\$ 35,064.00	\$ 35,064.00	N	Y	N
TAX100CP	1/18/2011	117448	Auditor IV	22	13	P	1.00	A	\$ 47,412.00	\$ 47,412.00	N	Y	N
TAX100GM	2/1/2011	117455	Auditor IV	22	13	P	1.00	A	\$ 47,412.00	\$ 47,412.00	N	Y	N
TAX105BC	2/1/2011	001553	Tax Returns Examiner I	13	03	P	1.00	A	\$ 42,684.00	\$ 42,684.00	N	Y	N
TAX100CO	2/1/2011	003689	Tax Returns Examiner II	15	03	P	1.00	A	\$ 49,932.00	\$ 49,932.00	N	Y	N
TAX107AA	2/12/2011	116470	Administrative Rules SpcIt	NA	73	T	1.00	A	\$ 72,756.00	\$ 72,756.00	Y	N	N
TAX100CK	3/29/2011	001620	Tax Returns Examiner II	15	03	P	1.00	A	\$ 36,516.00	\$ 36,516.00	N	Y	N
TAX107AA	4/1/2011	118035	Administrative Rules SpcIt	NA	73	T	1.00	A	\$ 50,000.00	\$ 40,512.00	Y	Y	N
TAX100EH	4/1/2011	001613	Delinquent Tax Coll Asst I	15	03	P	1.00	A	\$ 46,176.00	\$ 46,176.00	N	Y	N
TAX100CO	4/1/2011	007048	Taxation Compliance Administrator	EM08	35	P	1.00	A	\$ 112,632.00	\$ 112,632.00	N	Y	N
TAX105BC	4/4/2011	005774	Tax Clerk	12	03	P	1.00	A	\$ 30,036.00	\$ 30,036.00	N	Y	N

Department of Taxation
Position Vacant as of November 30

Table 11

Prog ID	Date of Vacancy	Position Number	Position Title	SR Level	BU Code	Temp Perm (T/P)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Exempt (Y/N)	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)
TAX105BA	4/14/2011	118229	Office Assistant III (temp)	08	03	T	1.00	A	\$ 25,668.00	\$ 25,668.00	N	Y	N
TAX100CK	4/18/2011	007050	Auditor IV	22	13	P	1.00	A	\$ 47,412.00	\$ 47,412.00	N	Y	N
TAX100CP	5/2/2011	021193	Auditor V	24	13	P	1.00	A	\$ 75,960.00	\$ 75,960.00	N	Y	N
TAX100EO	5/2/2011	047881	Delinquent Tax Coll Asst I	15	03	P	1.00	A	\$ 36,516.00	\$ 36,516.00	N	Y	N
TAX100EO	5/16/2011	001567	Secretary II	14	03	P	1.00	A	\$ 35,064.00	\$ 35,064.00	N	Y	Y
TAX107AC	5/20/2011	028863	Information Technology Specialist II	18	13	P	1.00	A	\$ 40,548.00	\$ 40,548.00	N	Y	N
TAX100EO	6/1/2011	004407	Delinquent Tax Coll Asst I	15	03	P	1.00	A	\$ 36,516.00	\$ 42,684.00	N	Y	N
TAX100EO	6/1/2011	022031	Delinquent Tax Coll Asst II	17	03	P	1.00	A	\$ 56,172.00	\$ 56,172.00	N	Y	N
TAX100EO	6/1/2011	117473	Delinquent Tax Coll Asst II	17	03	P	1.00	A	\$ 48,048.00	\$ 48,048.00	N	Y	N
TAX107AA	6/1/2011	001528	Management Analyst IV	22	13	P	1.00	A	\$ 55,500.00	\$ 60,024.00	N	Y	N
TAX100CH	7/1/2011	001601	District Tax Manager	EM05	35	P	1.00	A	\$ 87,108.00	\$ 87,108.00	N	Y	N
TAX105BA	7/1/2011	002766	Document Processing Operations Mgr	26	23	P	1.00	A	\$ 55,500.00	\$ 67,488.00	N	Y	N
TAX107AA	7/1/2011	119348	Spcl Enf Section Investigator	NA	73	T	1.00	B	\$ 40,000.00	\$ 39,999.96	Y	N	N
TAX107AA	7/1/2011	119347	Spcl Enf Section Supervisor	NA	93	T	1.00	B	\$ 60,000.00	\$ 60,000.00	Y	N	N
TAX100CM	8/1/2011	011428	Auditor V	24	13	P	1.00	A	\$ 75,960.00	\$ 74,292.00	N	Y	N
TAX107AC	9/1/2011	120344	Information Technology Specialist II	18	13	P	1.00	A	\$ 53,352.00	\$ -	N	Y	N
TAX107AC	9/1/2011	120345	Information Technology Specialist II	18	13	P	1.00	A	\$ 53,352.00	\$ -	N	Y	N
TAX107AC	9/1/2011	120346	Information Technology Specialist II	18	13	P	1.00	A	\$ 53,352.00	\$ -	N	Y	N
TAX107AC	9/1/2011	120347	Information Technology Specialist II	18	13	P	1.00	A	\$ 53,352.00	\$ -	N	Y	N
TAX107AC	9/1/2011	120348	Information Technology Specialist II	18	13	P	1.00	A	\$ 53,352.00	\$ -	N	Y	N
TAX107AC	9/1/2011	120349	Information Technology Specialist II	18	13	P	1.00	A	\$ 53,352.00	\$ -	N	Y	N
TAX107AC	9/1/2011	120350	Information Technology Specialist II	18	13	P	1.00	A	\$ 53,352.00	\$ -	N	Y	N
TAX105BC	9/17/2011	004408	Tax Information Tech I	13	03	P	1.00	A	\$ 37,968.00	\$ 36,072.00	N	Y	N
TAX107AA	10/1/2011	041009	Account Clerk III	11	03	P	1.00	A	\$ 30,036.00	\$ 28,536.00	N	Y	N
TAX107AC	10/18/2011	047866	Information Technology Specialist VI	26	13	P	1.00	A	\$ 62,424.00	\$ 62,388.00	N	Y	N
TAX105BC	10/18/2011	001624	Tax Clerk	12	03	P	1.00	A	\$ 31,212.00	\$ 29,652.00	N	Y	N
TAX100CP	11/1/2011	001544	Auditor III	20	13	P	1.00	A	\$ 38,988.00	\$ 40,020.00	N	Y	N
TAX107AC	11/8/2011	120404	Information Technology Specialist VI	26	13	P	1.00	A	\$ 64,920.00	\$ -	N	Y	N
TAX105BA	11/17/2011	001527	Office Assistant III	08	03	P	1.00	A	\$ 25,668.00	\$ 24,384.00	N	Y	N
TAX105BA	11/17/2011	049971	Tax Clerk (temp)	12	03	T	1.00	A	\$ 30,036.00	\$ 28,536.00	N	Y	N
TAX105BC	11/17/2011	118240	Tax Clerk (temp)	12	03	T	1.00	A	\$ 30,036.00	\$ 28,536.00	N	Y	N
TAX105BC	11/17/2011	118242	Tax Clerk (temp)	12	03	T	1.00	A	\$ 31,212.00	\$ 29,652.00	N	Y	N

Department of Taxation
Personnel Separations

Table 12

Prog ID/Org	Separation		Position		SR Level	BU Code	T/P	MOF	Budgeted		Actual FTE	Actual Salary
	Date	Number	Number	Position Title					Salary	FTE		
TAX100EK	6/30/2010	047882		Delinquent Tax Coll Asst I	15	03	Perm	A	\$ 33,756.00	1.00	\$ 49,932.00	
TAX105BA	6/30/2010	118230		Office Assistant III	08	03	Temp	A	\$ 26,700.00	1.00	\$ 26,700.00	
TAX105BA	6/30/2010	043583		Tax Clerk	12	03	Temp	A	\$ 31,212.00	1.00	\$ 31,212.00	
TAX100CP	7/9/2010	038686		Criminal Investigator	NA	73	Exemp	A	\$ 51,312.00	1.00	\$ 61,656.00	
TAX105BC	8/13/2010	118239		Tax Clerk	12	03	Temp	A	\$ 30,036.00	1.00	\$ 30,036.00	
TAX105BA	8/31/2010	026777		Cashier II	12	03	Perm	A	\$ 30,036.00	1.00	\$ 37,968.00	
TAX100CH	8/31/2010	117460		Tax Returns Examiner III	17	03	Perm	A	\$ 36,516.00	1.00	\$ 46,176.00	
TAX100EH	9/30/2010	001612		Office Assistant III	08	03	Perm	A	\$ 25,668.00	1.00	\$ 25,668.00	
TAX100CP	10/15/2010	011875		Auditor V	24	13	Perm	A	\$ 67,488.00	1.00	\$ 67,488.00	
TAX100EO	10/15/2010	117466		Delinquent Tax Coll Asst I	15	03	Perm	A	\$ 35,064.00	1.00	\$ 35,064.00	
TAX100EO	10/15/2010	004407		Delinquent Tax Coll Asst I	15	03	Perm	A	\$ 36,516.00	1.00	\$ 36,516.00	
TAX100EO	10/15/2010	011398		Delinquent Tax Coll Asst I	15	03	Perm	A	\$ 46,176.00	1.00	\$ 46,176.00	
TAX100EO	10/15/2010	033459		Delinquent Tax Coll Asst I	15	03	Perm	A	\$ 36,516.00	1.00	\$ 36,516.00	
TAX105BB	11/9/2010	001468		Account Clerk III	11	03	Perm	A	\$ 28,836.00	1.00	\$ 28,836.00	
TAX107AA	12/6/2010	104170		Director of Taxation	NA	00	Perm	A	\$ 108,972.00	1.00	\$ 108,972.00	
TAX107AA	12/15/2010	040345		Personnel Management Specialist V	24	73	Perm	A	\$ 62,424.00	1.00	\$ 62,424.00	
TAX107AA	12/22/2010	102246		Administrative Rules Specialist	NA	73	Temp	A	\$ 50,364.00	1.00	\$ 50,364.00	
TAX100CP	12/30/2010	011876		Auditor IV	22	13	Perm	A	\$ 60,024.00	1.00	\$ 60,024.00	
TAX100CK	12/30/2010	001622		Auditor V	24	13	Perm	A	\$ 75,960.00	1.00	\$ 75,960.00	
TAX100CP	12/30/2010	001540		Auditor VI	26	23	Perm	A	\$ 82,128.00	1.00	\$ 82,128.00	
TAX100EO	12/30/2010	039122		Delinquent Tax Coll Asst II	17	03	Perm	A	\$ 56,172.00	1.00	\$ 56,172.00	
TAX105BA	12/30/2010	038688		Office Assistant III	08	03	Perm	A	\$ 27,756.00	1.00	\$ 27,756.00	
TAX105BC	12/30/2010	026339		Supervising Tax Clerk II	17	04	Perm	A	\$ 42,684.00	1.00	\$ 42,684.00	
TAX100CO	12/30/2010	001501		Tax Returns Examiner III	20	03	Perm	A	\$ 49,332.00	1.00	\$ 49,332.00	
TAX105BC	1/3/2011	118238		Tax Clerk	12	03	Temp	A	\$ 31,212.00	1.00	\$ 31,212.00	
TAX100CM	1/3/2011	001580		Tax Returns Examiner I	13	03	Perm	A	\$ 35,064.00	1.00	\$ 35,064.00	
TAX100CP	1/14/2011	117448		Auditor IV	22	13	Perm	A	\$ 47,412.00	1.00	\$ 47,412.00	
TAX100CM	1/31/2011	117455		Auditor IV	22	13	Perm	A	\$ 47,412.00	1.00	\$ 47,412.00	
TAX105BC	1/31/2011	001553		Tax Returns Examiner I	13	03	Perm	A	\$ 42,684.00	1.00	\$ 42,684.00	
TAX100CO	1/31/2011	003689		Tax Returns Examiner II	15	03	Perm	A	\$ 49,932.00	1.00	\$ 49,932.00	
TAX107AA	2/2/2011	100008		Private Secretary II	22	63	Perm	A	\$ 48,048.00	1.00	\$ 48,048.00	
TAX107AA	2/11/2011	116470		Administrative Rules Specialist	NA	73	Temp	A	\$ 72,756.00	1.00	\$ 72,756.00	
TAX105BC	3/1/2011	001523		Tax Information Tech II	15	03	Perm	A	\$ 37,968.00	1.00	\$ 37,968.00	
TAX100CK	3/28/2011	001620		Tax Returns Examiner II	15	03	Perm	A	\$ 36,516.00	1.00	\$ 36,516.00	
TAX100EH	3/31/2011	001613		Delinquent Tax Coll Asst I	15	03	Perm	A	\$ 46,176.00	1.00	\$ 46,176.00	

Department of Taxation
Personnel Separations

Table 12

Prog ID/Org	Separation		Position Number	Position Title	SR Level	BU Code	T/P	MOF	Budgeted		Actual FTE	Actual Salary
	Date	Number							Salary	FTE		
TAX100CO	3/31/2011	007048	Taxation Compliance Administrator	EM08	35	Perm	A	1.00	\$ 112,632.00	1.00	\$ 112,632.00	
TAX105BC	4/1/2011	005774	Tax Clerk	12	03	Perm	A	1.00	\$ 30,036.00	1.00	\$ 30,036.00	
TAX105BC	4/1/2011	007058	Tax Information Tech II	15	03	Perm	A	1.00	\$ 42,684.00	1.00	\$ 42,684.00	
TAX105BC	4/1/2011	026370	Tax Information Tech II	15	03	Perm	A	1.00	\$ 42,684.00	1.00	\$ 42,684.00	
TAX105BA	4/13/2011	118229	Office Assistant III	08	03	Temp	A	1.00	\$ 25,668.00	1.00	\$ 25,668.00	
TAX100CK	4/15/2011	007050	Auditor IV	22	13	Perm	A	1.00	\$ 47,412.00	1.00	\$ 47,412.00	
TAX100CO	4/29/2011	021193	Auditor V	24	13	Perm	A	1.00	\$ 75,960.00	1.00	\$ 75,960.00	
TAX100EO	4/29/2011	047881	Delinquent Tax Collector Asst I	15	03	Perm	A	1.00	\$ 36,516.00	1.00	\$ 36,516.00	
TAX100EO	5/13/2011	001567	Secretary II	14	03	Perm	A	1.00	\$ 35,064.00	1.00	\$ 35,064.00	
TAX107AC	5/19/2011	028863	Information Technology Specialist II	18	13	Perm	A	1.00	\$ 40,548.00	1.00	\$ 40,548.00	
TAX100EO	5/31/2011	004407	Delinquent Tax Collector Asst I	15	03	Perm	A	1.00	\$ 36,516.00	1.00	\$ 42,684.00	
TAX100EO	5/31/2011	117473	Delinquent Tax Collector Asst II	17	03	Perm	A	1.00	\$ 48,048.00	1.00	\$ 48,048.00	
TAX100EO	5/31/2011	022031	Delinquent Tax Collector Asst II	17	03	Perm	A	1.00	\$ 56,172.00	1.00	\$ 56,172.00	
TAX107AA	5/31/2011	001528	Management Analyst IV	22	13	Perm	A	1.00	\$ 55,500.00	1.00	\$ 60,024.00	
TAX100CH	6/30/2011	001601	District Tax Manager	EM05	35	Perm	A	1.00	\$ 87,108.00	1.00	\$ 87,108.00	
TAX105BA	6/30/2011	002766	Document Processing Operations Mgr	26	23	Perm	A	1.00	\$ 55,500.00	1.00	\$ 67,488.00	
TAX107AA	6/30/2011	119348	SES Investigator	NA	73	Temp	B	1.00	\$ 40,000.00	1.00	\$ 39,999.96	
TAX107AA	6/30/2011	119347	SES Supervisor	NA	93	Temp	B	1.00	\$ 60,000.00	1.00	\$ 60,000.00	
TAX100CM	7/29/2011	011428	Auditor V	24	13	Perm	A	1.00	\$ 75,960.00	1.00	\$ 74,292.00	
TAX107AA	8/31/2011	001563	Accountant III	20	73	Perm	A	1.00	\$ 43,824.00	1.00	\$ 41,628.00	
TAX105BC	8/31/2011	041712	Tax Information Tech II	15	03	Perm	A	1.00	\$ 36,516.00	1.00	\$ 34,692.00	
TAX105BC	9/16/2011	004408	Tax Information Tech II	15	03	Perm	A	1.00	\$ 37,968.00	1.00	\$ 36,072.00	
TAX107AA	9/30/2011	041009	Account Clerk III	11	03	Perm	A	1.00	\$ 30,036.00	1.00	\$ 28,536.00	
TAX107AC	10/17/2011	047866	Information Technology Specialist VI	26	23	Perm	A	1.00	\$ 62,424.00	1.00	\$ 62,388.00	
TAX105BC	10/17/2011	001624	Tax Clerk	12	03	Perm	A	1.00	\$ 31,212.00	1.00	\$ 29,652.00	
TAX100CP	10/31/2011	001544	Auditor III	20	13	Perm	A	1.00	\$ 38,988.00	1.00	\$ 40,020.00	
TAX105BA	11/16/2011	001527	Office Assistant III	08	03	Perm	A	1.00	\$ 25,668.00	1.00	\$ 24,384.00	
TAX105BA	11/16/2011	049971	Tax Clerk	12	03	Temp	A	1.00	\$ 30,036.00	1.00	\$ 28,536.00	
TAX105BC	11/16/2011	118240	Tax Clerk	12	03	Temp	A	1.00	\$ 30,036.00	1.00	\$ 28,536.00	
TAX105BC	11/16/2011	118242	Tax Clerk	12	03	Temp	A	1.00	\$ 31,212.00	1.00	\$ 29,652.00	
TAX105BA	11/30/2011	027115	Office Assistant IV	10	03	Perm	A	1.00	\$ 39,480.00	1.00	\$ 37,512.00	
TAX100EO	11/30/2011	001450	Tax Clerk	12	03	Perm	A	1.00	\$ 31,212.00	1.00	\$ 29,652.00	
TAX105BC	11/30/2011	001552	Tax Information Tech II	15	03	Perm	A	1.00	\$ 46,176.00	1.00	\$ 46,176.00	

Department of Taxation
New Hires

Table 13

Prog ID/Org	New Hire Effective Date	Position Number	Position Title	SR Level	BU Code	T/P	MOF	Budgeted		Actual	
								FTE	Salary	FTE	Salary
TAX107AA	8/11/2010	104170	Director of Taxation	NA	00	Perm	A	1.00	\$ 108,972.00	1.00	\$ 108,972.00
TAX107AA	9/1/2010	119347	Spcl Enfc Section Supervisor	NA	93	Temp	B	1.00	\$ 60,000.00	1.00	\$ 60,000.00
TAX107AA	9/13/2010	119348	Spcl Enfc Section Investigator	NA	73	Temp	B	1.00	\$ 40,000.00	1.00	\$ 39,999.96
TAX107AA	9/13/2010	119349	Spcl Enfc Section Investigator	NA	73	Temp	B	1.00	\$ 45,000.00	1.00	\$ 45,000.00
TAX107AA	9/13/2010	119350	Spcl Enfc Section Investigator	NA	73	Temp	B	1.00	\$ 40,000.00	1.00	\$ 39,999.96
TAX107AA	9/13/2010	119351	Spcl Enfc Section Investigator	NA	73	Temp	B	1.00	\$ 40,000.00	1.00	\$ 39,999.96
TAX100CP	10/18/2010	011461	Auditor VI	26	23	Perm	A	1.00	\$ 55,500.00	1.00	\$ 73,044.00
TAX100EO	10/18/2010	120114	Delinquent Tax Coll Asst II	17	03	Perm	A	1.00	\$ 36,516.00	1.00	\$ 39,480.00
TAX100EO	10/18/2010	120115	Delinquent Tax Coll Asst II	17	03	Perm	A	1.00	\$ 36,516.00	1.00	\$ 49,932.00
TAX100EO	10/18/2010	120116	Delinquent Tax Coll Asst II	17	03	Perm	A	1.00	\$ 36,516.00	1.00	\$ 39,480.00
TAX100EO	10/18/2010	120117	Delinquent Tax Coll Asst II	17	03	Perm	A	1.00	\$ 36,516.00	1.00	\$ 37,968.00
TAX107AA	12/6/2010	100006	Private Secretary III	24	63	Perm	A	1.00	\$ 56,172.00	1.00	\$ 56,172.00
TAX107AA	12/6/2010	100008	Private Secretary II	22	63	Perm	A	1.00	\$ 48,048.00	1.00	\$ 48,048.00
TAX105BA	12/16/2010	002766	Documents Procssg Optns Mgr	26	23	Perm	A	1.00	\$ 55,500.00	1.00	\$ 67,488.00
TAX107AA	12/20/2010	104170	Director of Taxation	NA	00	Perm	A	1.00	\$ 108,972.00	1.00	\$ 108,972.00
TAX100CO	1/10/2011	001623	Tax Assessor I (Office Audit)	EM03	35	Perm	A	1.00	\$ 65,088.00	1.00	\$ 65,088.00
TAX107AA	1/18/2011	104171	Deputy Director	NA	00	Perm	A	1.00	\$ 100,248.00	1.00	\$ 97,524.00
TAX100CP	1/18/2011	011875	Auditor V	24	13	Perm	A	1.00	\$ 67,488.00	1.00	\$ 53,352.00
TAX100CO	2/1/2011	120118	Taxation Compliance Coordinator	EM03	35	Perm	A	1.00	\$ 89,052.00	1.00	\$ 65,088.00
TAX107AA	2/1/2011	100006	Private Secretary III	24	63	Perm	A	1.00	\$ 56,172.00	1.00	\$ 56,172.00
TAX107AA	2/9/2011	100008	Private Secretary II	22	63	Perm	A	1.00	\$ 48,048.00	1.00	\$ 44,412.00
TAX107AA	2/17/2011	117972	Administrative Rules Spcft	NA	73	Temp	A	1.00	\$ 50,000.00	1.00	\$ 80,000.00
TAX105BC	3/2/2011	026339	Supervising Tax Clerk II	17	04	Perm	A	1.00	\$ 42,684.00	1.00	\$ 41,040.00
TAX107AA	3/24/2011	040345	Personnel Management Specialist V	24	73	Perm	A	1.00	\$ 62,424.00	1.00	\$ 57,708.00
TAX100CK	3/29/2011	004421	Tax Returns Examiner III	17	03	Perm	A	1.00	\$ 36,516.00	1.00	\$ 39,480.00
TAX100EK	3/29/2011	001507	Delinquent Tax Coll Asst I	15	03	Perm	A	1.00	\$ 36,516.00	1.00	\$ 42,684.00
TAX100EO	4/4/2011	004407	Delinquent Tax Coll Asst I	15	03	Perm	A	1.00	\$ 36,516.00	1.00	\$ 42,684.00
TAX100EO	4/4/2011	033459	Delinquent Tax Coll Asst I	15	03	Perm	A	1.00	\$ 36,516.00	1.00	\$ 42,684.00
TAX100EO	4/4/2011	117466	Delinquent Tax Coll Asst I	15	03	Perm	A	1.00	\$ 35,064.00	1.00	\$ 33,756.00
TAX105BA	4/14/2011	038688	Office Asst III	08	03	Perm	A	1.00	\$ 27,756.00	1.00	\$ 25,668.00
TAX100CK	4/18/2011	001622	Auditor V	24	13	Perm	A	1.00	\$ 75,960.00	1.00	\$ 53,352.00
TAX100CP	5/2/2011	001540	Auditor VI	26	23	Perm	A	1.00	\$ 82,128.00	1.00	\$ 82,128.00
TAX100EO	5/2/2011	039122	Delinquent Tax Coll Asst II	17	03	Perm	A	1.00	\$ 56,172.00	1.00	\$ 39,480.00
TAX100EO	5/9/2011	011398	Delinquent Tax Coll Asst I	15	03	Perm	A	1.00	\$ 46,176.00	1.00	\$ 33,756.00
TAX100CP	5/16/2011	038686	Criminal Investigator	NA	73	Temp	A	1.00	\$ 51,312.00	1.00	\$ 61,656.00
TAX105BC	6/1/2011	026370	Tax Information Tech II	15	03	Perm	A	1.00	\$ 42,684.00	1.00	\$ 42,684.00

Department of Taxation
New Hires

Table 13

Prog ID/Org	New Hire Effective Date	Position Number	Position Title	SR Level	BU Code	T/P	MOF	Budgeted		Actual	
								FTE	Salary	FTE	Actual Salary
TAX105BA	10/16/2011	026777	Cashier II	12	03	Perm	A	1.00	\$ 30,036.00	1.00	\$ 32,064.00
TAX107AA	11/1/2011	001563	Accountant III	20	73	Perm	A	1.00	\$ 43,824.00	1.00	\$ 40,020.00
TAX105BC	11/17/2011	007058	Tax Information Tech I	13	03	Perm	A	1.00	\$ 42,684.00	1.00	\$ 30,804.00
TAX105BC	11/17/2011	026778	Tax Information Tech I	13	03	Perm	A	1.00	\$ 30,036.00	1.00	\$ 29,652.00
TAX105BC	11/17/2011	001523	Tax Information Tech I	13	03	Perm	A	1.00	\$ 37,968.00	1.00	\$ 29,652.00
TAX105BC	11/17/2011	041712	Tax Information Tech I	13	03	Perm	A	1.00	\$ 36,516.00	1.00	\$ 29,652.00

Department of Taxation
RIF Related Grievances

Table 14

Prog ID/Org	Position Number	Position Title	SR Level	BU	I/P	MOF	FTE	RIF Date	Grievance Date	Current Status
TAX100CO	47884	Tax Clerk	SR12	3	Perm	A	1.00	11/13/2009	1/27/2010	Settlement Agreement 10/12/11
TAX100CK	1621	Tax Clerk	SR12	3	Perm	A	1.00	11/13/2009	1/27/2010	Settlement Agreement 10/12/11
TAX100EM	1457	Delinquent Tax Coll Asst I	SR15	3	Perm	A	1.00	11/13/2009	1/27/2010	Settlement Agreement 10/12/11
TAX105BA	26777	Cashier II	SR12	3	Perm	A	1.00	11/13/2009	1/27/2010	Settlement Agreement 10/12/11
TAX105BA	1556	Cashier II	SR12	3	Perm	A	1.00	11/13/2009	1/27/2010	Settlement Agreement 10/12/11
TAX105BA	41713	Cashier II	SR12	3	Perm	A	1.00	11/13/2009	1/27/2010	Settlement Agreement 10/12/11
TAX105BA	165	Cashier II	SR12	3	Perm	A	1.00	11/13/2009	1/27/2010	Settlement Agreement 10/12/11
TAX105BA	41714	Cashier II	SR12	3	Perm	A	1.00	11/13/2009	1/27/2010	Settlement Agreement 10/12/11

Department of Taxation
Expenditures Exceeding Appropriation Ceilings

Table 15

<u>Prog ID</u>	<u>MOF</u>	<u>Date of Increase</u>	<u>Appropriation Ceiling</u>	<u>Amount Exceeding Appropriation</u>	<u>Increase Percent</u>	<u>Reason for Exceeding Ceiling</u>	<u>Recurring (Y/N)</u>	<u>GF Impact (Y/N)</u>
NONE								

Department of Taxation
Federal Grants

Table 16

<u>Prog ID</u>	<u>CFDA No.</u>	<u>Award Description</u>	<u>Awarding Federal Agency</u>	<u>Anticipated or Actual Date of Award</u>	<u>Anticipated or Actual Award Amount</u>	<u>State Fiscal Year</u>	<u>State Matching Requirement or Other Commitment (Describe)</u>	<u>Anticipated Reduction or Discontinuance (Y/N)</u>	<u>Comments</u>
NONE									

Department of Taxation
Intradepartmental Transfer of Funds

Table 17

<u>Anticipated or Actual Date of Transfer</u>	<u>MOF</u>	<u>Amount of Transfer</u>	<u>From Prog ID</u>	<u>Percent of Imparting Program ID Appropriation</u>	<u>To Prog ID</u>	<u>Percent of Receiving Program ID Appropriation</u>	<u>Reason for Transfer</u>	<u>Recurring (Y/N)</u>
NONE								

Department of Taxation
Interdepartmental Transfer of Funds

<u>Anticipated or Actual Date of Transfer</u>	<u>MOF</u>	<u>Amount of Transfer</u>	<u>From Prog ID</u>	<u>Percent of Imparting Program ID Appropriation</u>	<u>To Prog ID</u>	<u>Percent of Receiving Program ID Appropriation</u>	<u>Transfer Category LS/PR/O</u>	<u>Reason for Transfer (O - Other)</u>	<u>Recurring (Y/N)</u>
		NONE							

Department of Taxation
Active Contracts

Table 19

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	Term of Contract		Organization	Category G/S/E/L	Description	Explanation of How Contract is Monitored	POS Y/N
							From	To					
TAX 107	A	\$ 276,138.37	O-upon delivery	\$ 276,138.37	\$ 261,958.13	6/27/2011	7/1/2011	6/30/2012	Hagadone Printing Co.	G	Printing & delivery of GE booklets	Match qty of booklets ordered to computer extracts, review and test proofs for accuracy and scanning acceptance.	N
TAX 107	A	\$ 124,741.28	O-upon delivery	\$ 124,471.28	\$ 124,471.28	6/16/2011	7/1/2011	6/30/2012	Hagadone Printing Co.	G	Printing & delivery of Net Income booklets	Match qty of booklets ordered to computer extracts, review and test proofs for accuracy and scanning acceptance.	N
TAX 107	A	\$ 29,950.98	O-upon delivery	\$ 29,950.98	\$ 29,950.98	6/16/2011	7/1/2011	6/30/2012	Pacific Business Forms	G	Printing & delivery of Net Income Misc. 2	Match ordered qty to computer extracts, review and test proofs for accuracy and scanning acceptance.	N
TAX 107	A	\$ 38,268.35	O-upon delivery	\$ 38,268.35	\$ 38,268.35	6/16/2011	7/1/2011	6/30/2012	EMSS, Inc.	G	Printing & delivery of 1099s	Match ordered qty to computer extracts, postage statements	N
TAX 107	A	\$ 33,396.00	O-\$1,838/mo. +\$0.54/lb	\$ 33,396.00	\$ 33,396.00	12/1/2011	12/1/2011	11/30/2012	C. R. Dispatch Service, Inc	S	Messenger services (interisland)	Accounts Payable agree to contract.	N
TAX 107	A	\$ 6,475.08	M	\$ 77,701.00	\$ 64,751.00	10/5/2011	10/5/2011	10/4/2012	IBML	S	Basic IBML maintenance	Monitored by ITSO	N
TAX 107	A	\$ 38,213.06	O-per pound	\$ 38,213.06	\$ 23,206.00	3/1/2011	3/1/2011	2/29/2012	Shred-it USA Inc.	S	Shredding	Monitored by escort (ASO, Compliance, TSP)	N
TAX 105	A	\$ 884.00	M	\$ 10,176.80	\$ 1,768.00	2/1/2011	2/1/2011	1/31/2012	United Courier Services	S	Armored car services	TSP	N
TAX 107	A	\$ 5,068.74	M	\$ 304,124.40	\$ 111,512.28	8/31/2008	8/31/2008	8/30/2013	Xerox	E	18 multifunction copiers	Secretaries	N
TAX 105	A	\$ 268.56	M	\$ 6,176.88	\$ 5,102.64	9/21/2011	9/21/2011	8/30/2013	Xerox	E	TPS fax	Secretaries	N

Department of Taxation
Active Contracts

Table 19

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Organization	Category G/S/E/L	Description	How Contract is Monitored	POS Y/N
TAX 107	A	\$ 3,486.14	M	\$ 209,168.40	\$ 122,014.90	11/2/2009	11/2/2009	11/1/2014	Xerox	E	Printshop photocopier	Printshop Operator	N
TAX 107	A	\$ 88,000.00	O-Progress payments	\$ 88,000.00	\$ 35,113.00	7/11/2011	7/11/2011	6/30/2012	N&K CPAs, Inc.	S	Agreed Upon Procedures - Statement of Tax Operations	Director's Office	N
TAX 107	B	\$ 46,980.00	O-per stamp charge	\$ 46,980.00	\$ 31,428.00	9/1/2011	9/1/2011	12/31/2011	Meyercord Revenue, Inc.	G	Heat Applied Cigarette Tax Stamps	Licensing	N

Department of Taxation
CIP Summary

Table 20

Priority	Project Title	FY13 \$\$\$	MOF
NONE			

Department of Taxation
Division Resources

Table 21

<u>Division</u>	<u>Associated Program IDs</u>
Compliance	TAX 100
Tax Services and Processing	TAX 105
Director's Office	TAX 107
Administrative Services Office	TAX 107
Information Technology Services Office	TAX 107
Rules Office	TAX 107
Tax Research and Planning	TAX 107

Department of Taxation
 Organization Changes

Table 22

<u>Year of Change</u>	<u>Page Number</u>	<u>Description of Change</u>
FY12/FY13	3	Addition of nine (9.0) positions to Information Technology Services Office (Act 164, SLH 2011)