

SENATE COMMITTEE ON WAYS AND MEANS
HOUSE COMMITTEE ON FINANCE
SUPPLEMENTAL BUDGET REQUESTS FOR FISCAL YEAR 2013

JANUARY 4, 2012

TESTIMONY OF THE DEPARTMENT OF BUDGET AND FINANCE

MISSION STATEMENT

The Department of Budget and Finance's (DB&F) mission is to enhance long-term productivity and efficiency in government operations by providing quality budget and financial services that prudently allocate and effectively manage available resources.

CONTEXT

The DB&F continues to both reprioritize its operational functions and right size its operational expenditures. Staff reductions during FY 2010 attributed to the Reduction-in-Force (RIF) Budget Adjustments and other budget reductions/restrictions that occurred during prior fiscal years resulted in lasting impacts on the effectiveness of the Department. The loss of key senior level Administrative and Research Office (ARO) staff as a result of the RIF continues to impact the ability of the Office to support all of the budget, fiscal, and personnel requirements of the DB&F programs and attached agencies at the necessary levels. In certain key areas, there continues to be no backup staff available to cover for vacation and sick leaves. Should there be any further loss of the current level of filled and budgeted staffing, the ability to maintain critical operations would be at risk.

However, we have made positive improvements in selected critical areas. For example, with the restoration of the Accountant V position (Fiscal Officer) that supports our departmental fiscal operations that was approved in Act 164, SLH 2011, for FY 2012, established

and filled as of December 2011, we are now laying the foundation to implement monthly closing and reporting procedures that will allow for greater fiscal oversight and management.

In the Budget, Program Planning and Management Division (BPPMD), reduced staffing levels continues to be a concern and has required the Division to continually reprioritize key areas of workload and address the most critical needs, issues, and priorities on a case-by-case basis. It has become increasingly more difficult for the Division to conduct the necessary systematic and continuous reviews and analyses of the finances, organization, and operational methods of each department and agency of the Executive Branch.

With respect to the Financial Administration Division (FAD), reduced staffing levels in the Division continues to impact its ability to manage and administer its functions. To minimize the impacts, the Division has reprioritized a number of functions taking into consideration the abolishment of positions. In order to ensure that critical functions are being performed, tasks have been redistributed and assigned amongst the remaining depleted workforce. There has been some compromise in the Division's ability to perform all of its responsibilities on a timely basis. At this point, we want to avoid further reduction in resources as they would critically jeopardize the ability of the Division to perform essential statewide services.

The Department has submitted a Supplemental Budget request to restore an Account Clerk IV position that was abolished as part of the RIF Budget Adjustment. The requested restoration of the Account Clerk IV position is essential to reduce program backlogs and improve the State Treasury operation that supports critical statewide programs.

ALTERNATIVES CONSIDERED

The Department has, and continues, to re-evaluate its programs' expenditures and revenue generators while closely monitoring its core mission. However, management does believe that human capital and resource levels continue to be excessively thin and any reductions in staffing and/or program expenditures will further erode the Department's ability to fulfill its core mission. As such, we are examining processes that can be more effectively and efficiently conducted. Our goal is to make workflow improvements resulting in long-term efficiencies, allow for redeployment of human capital to more analysis and oversight, and reduce our future cost trend.

The Department's supplemental operating budget request as submitted for FY 2013 adjusts fixed costs and includes only the most critical requirements for the long-term sustainability of our core departmental programs and attached agencies. Prioritization of budget requests for programs funded by general, trust, and other funds reflects support of critical activities that are income enhancing and statutorily mandated. Table 10, Budget Decisions, details our Department's requests and the Executive level funding decisions.

In the current fiscal year, the Department's appropriation totals \$1.67 billion (all means of financing) and the following table summarizes the overall departmental FY 2012 appropriations, transfers, restrictions and allocations.

DEPARTMENT-WIDE BUDGET SUMMARY

	Appropriation FY 12	Collective Bargaining	Transfers In Transfers Out	Restriction	Net Allocation and Estimated Expenditure
(Pos. Count)	339.00				339.00
PERS SERV	27,141,632				26,284,755
CURR EXP	1,639,730,591				17,284,413,070
- Discretionary	22,249,553				22,249,553
- Non-Discretionary	1,617,481,038				1,706,163,517
EQUIPMENT	29,200				29,200
TOTAL	1,666,901,423				1,754,727,025
(Pos. Count)	131.25				131.25
GENERAL FUND	1,632,793,890				1,721,056,814
(Pos. Count)	62.00				62.00
SPECIAL FUND	11,049,409				10,940,303
(Pos. Count)	45.00				45.00
TRUST FUND	12,128,298				12,046,226
(Pos. Count)	1.75				1.75
INTERDEPT'L FUND	101,603				101,603
(Pos. Count)	99.00				99.00
OTHER FUND	10,828,223	0	0	0	10,582,079

Please note that 97.0 % of the FY 2012 appropriation (\$1.6 billion) is for non-discretionary statewide expenses (debt service, retirement system, health fund, witness fees, and court-appointed counsel), and \$22.0 million or 3.0 % is for expenses associated with direct departmental operations.

Table 1 provides a departmental summary of the FY 2012 appropriations and allocations. As reflected in Table 17, there were no intra departmental transfers to other

programs in FY 2011. For FY 2012 to date, we reflect intra departmental transfers of Labor Savings from various BUF programs to the BUF 741, Retirement program, that was necessary pursuant to Section 96 of Act 164, SLH 2011, and Program Review Adjustments from the BUF 101 program transferred to the BUF 761, Health Premium Payments program, that was also necessary pursuant to Section 97 of Act 164, SLH 2011. Table 4 reflects the restrictions for Labor Savings and Program Review Adjustments during FY 2012 to date. Amounts attributed to these adjustments are also carried over in the supplemental budget request.

Section 96 of Act 164, SLH 2011, instructs the Director to transfer \$88.2 million for fiscal years 2012 and 2013 into State Retirement Benefits Payment program, BUF 741, for labor savings attributable to collective bargaining and to any executive memoranda that results in salary savings for all employees not included under collective bargaining in respective State agencies.

The \$88.2 million labor savings reductions, as reflected in the FY 2012 allocations and FY 2013 Executive Supplemental Budget Request, include direct labor savings reductions from State departments totaling \$69.5 million for FY 2012 and \$69.56 for FY 2013 and indirect labor savings of \$18.7 million for FY 2012 and \$18.64 million for FY 2013. The indirect labor savings are based upon revised projections for pension accumulation contributions and Social Security/Medicare (SS/Med) costs resulting from lower gross direct labor costs for fiscal years 2012 and 2013.

Section 97 of Act 164, SLH 2011, also instructs the Director to transfer into the State Health Premium Payments program (BUF 761) \$50 million for FY 2012 and \$50 million for FY 2013 from savings generated from reprioritization of State Government.

Savings of \$29.7 million for FY 2012 and \$30.7 million for FY 2013 were generated from statewide program reviews. An additional \$20 million in savings for both fiscal years 2012 and 2013 are based upon revised health premium payment requirements. The revised projections for health premium payments are based upon updated 2011 enrollment data, enrollment growth assumptions, updated benefit premium/rates, and rate trend data for the retiree plan costs.

The Department's Supplemental Budget request (all MOF) represents a net increase of \$19.69 million in FY 2013 compared against the FY 2013 appropriation funding levels in Act 164, SLH 2011. In FY 2013, General funds are increased by \$13.2 million, Special funds are decreased by \$116,623, Trust funds are increased by \$214,016, inter-departmental funds are increased by \$615,622, and Other funds are increased by \$5.77 million, as compared to the FY 2013 appropriation levels. Overall, the requested funding levels for the Department's Supplemental Budget requests are as reflected in the following table:

BUDGET & FINANCE SUPPLEMENTAL BUDGET REQUESTS

	<u>Budget Request FY 2013</u>
(Pos. Count)	353.00
Personal Services	26,759,362
Other Current Expenses:	1,817,119,249
Discretionary	28,566,921
Non-discretionary	1,788,552,328
Equipment	0
Total	1,843,878,611
(Pos. Count)	135.25
General Funds	1,802,955,334
(Pos. Count)	62.00
Special Fund	11,269,551
(Pos. Count)	58.00
Trust Fund	12,337,514
(Pos. Count)	1.75
Inter departmental Fund	717,225
(Pos. Count)	99.00
Other Funds	16,598,987

The Department's supplemental budget request currently includes the following adjustments for the fixed cost programs:

1. The budget requests for the BUF 721, BUF 725, and BUF 728, Debt Service Payments programs, reflects decreased funding of \$24.89 million in FY 2013 above the FY 2013 debt service appropriation levels in Act 164, SLH 2011.

2. The budget requests for the BUF 741, BUF 745, and BUF 748, Retirement Benefits Payments programs, reflects additional funding of \$45.51 million in FY 2013 for pension accumulation (PA) above the FY 2013 appropriation levels in Act 164, SLH 2011. SS/Med requirements are also increased by \$1.69 million in FY 2013. Funding requirements are based on actual payroll through June 30, 2011.
3. The budget requests for the BUF 761, BUF 765, and BUF 768, Health Premium Payments programs, reflects decreased funding of \$7.67 million in FY 2013 compared to the FY 2013 appropriation levels in Act 164, SLH 2011.

The Department-Wide Summary Information detailing the FY 2013 Supplemental Budget requests at the Department level is reflected in the following attachments Table 1 – Department-Wide Summary Information (by MOF), and by program ID in Table 3 - Program ID Totals. Table 5 - Supplemental Budget Reductions, and Table 6 - Supplemental Budget Additions, summarize the adjustments that relate to requested FY 2013 funding levels.

The Department does not have any Supplemental Budget request for Capital Improvement Projects (CIP). The FY 2013 funding levels for the departmental CIP are as provided in Act 164, SLH 2011.

As reflected in Table 8, the Department has no emergency funding requests for FY 2012. The Department does not have expenditures exceeding federal funds ceiling in FY 2011 or through November 20, 2011 for FY 2012 (Table 15).

Table 11, All Positions Vacant as of November 30, 2011, includes a listing of program vacancies as of November of 2011.

This concludes the department-wide budget summary.

The following are more detailed descriptions of the fifteen programs in the DB&F. Each administratively attached agency is present to elaborate on their individual budgets should there be questions on details or operations. The nine programs covering fixed cost and entitlement payments (BUF 721, BUF 725, and BUF 728 -Debt Service Payments; BUF 741, BUF 745, and BUF 748 -Retirement Benefit Payments; BUF 761, BUF 765, and BUF 768 -Health Premium Payments), will be discussed in conjunction with related core programs. The program sequencing has been grouped as follows:

The DB&F's fifteen programs are aligned functionally, as follows:

- BUF 101, which is the Departmental Administration and Budget Division program;
- BUF 115, our Financial Administration program;
- *BUF 141, the Employees' Retirement System;
- *BUF 143, the Hawaii Employer-Union Trust Fund;
- *BUF 151, the Office of the Public Defender;
- *BUF 901, the Public Utilities Commission;

- BUF 721, Debt Service Payments;
- BUF 725, Debt Service Payments-DOE;
- BUF 728, Debt Service Payments-UH;

- *BUF 741, Retirement Benefits Payments;
- *BUF 745, Retirement Benefits Payments-DOE;
- *BUF 748, Retirement Benefits Payments-UH;

- BUF 761, Health Premium Payments;
- BUF 765, Health Premium Payments-DOE;
- BUF 768, Health Premium Payments-UH

*Administratively Attached Agencies/Programs

The first two programs are the Department's direct programs, comprised of the Director's Office, the ARO, the BPPMD (collectively BUF 101), and the FAD (BUF 115). The next four programs annotated with asterisks are agencies administratively attached to the Department. There are also nine programs which cover payments for non-discretionary cost items placed under separate program designations.

Table 2 includes the major functions of the Department and are prioritized based on the Department's direct programs versus those that are administratively attached and serve separate and distinct purposes.

BUF 101, DEPARTMENTAL ADMINISTRATION AND BUDGET DIVISION PROGRAM

I. Introduction

- A. The objective of this program is to facilitate and improve the executive resource allocation process by undertaking planning, programming, and budgeting, through sound recommendations on all phases of program scope and funding, and by efforts to simplify and more directly tie program performance with resource allocation decisions.
- B. This program includes: 1) departmental administration; 2) the BPPMD; and 3) Office of Economic Recovery and Reinvestment (OERR).

- II. The major activities undertaken by this program to meet program objectives are as follows:

PROGRAM ID LISTING OF MAJOR ACTIVITIES

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
DEPARTMENTAL ADMINISTRATION AND BUDGET DIVISION		
BUF 101BA	Conduct continuous review and advise the Director and Governor on the financial condition of the State and on State budget policies.	2
BUF 101BA	Assist in the preparation, explanation, and administration of the State's proposed six-year program and financial plan and the State budget.	1
BUF 101BA	Advise and monitor State agencies for compliance with budget execution policies and procedures.	3
BUF 101BA	Perform analysis of State programs, operations, problems, and issues to provide management with a sound, rational basis for decision making.	3
BUF 101BA	Provide staff services for the Governor as required.	2
BUF 101AA	Provide administrative support activities to the Department.	2
BUF 761, BUF 765, BUF 768	Makes employer contributions for health benefit premiums for State employees, retirees and their dependents.	1

III. BUF 101 – Operating Budget Expenditures for FY 2012

	Appropriation FY 12	Program Review Adjustment	Labor Savings	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
(Pos. Count)	(40.00)				(40.00)
PERS SERV	2,694,843		(73,841)		2,621,002
OTHER CURR EXP	1,058,506				1,058,506
ATTORNEY/WITNESS	7,607,676	(508,579)			7,099,097
EQUIP	0				0
TOTAL	11,363,425	(508,579)	(73,841)	0	10,140,496
(Pos Count)	(0.75)				(0.75)
INTER DEPARTMENTAL TRANSFER FUND	31,343				31,343
(Pos. Count)	(39.25)				(39.25)
GENERAL FUND	11,332,082	(508,579)	(73,841)	0	10,749,662

IV. BUF 101 – Supplemental Budget for FY 2013

	Budget Request FY 2012-2013
(Pos. Count)	(40.00)
PERS SERV	2,790,485
CURR EXP	965,406
ATTORNEY/WITNESS	7,099,097
TOTAL	10,854,988
(Pos. Count)	0.75
Interdepartmental Transfer FUND	646,965
(Pos. Count)	(39.25)
GENERAL FUND	10,208,23

V. FY 2013 Supplemental Budget Adjustments

Prog ID/Org	Request Cat	Dept Priority	Description	MOF	GOVERNOR'S DECISION		
					FTE (P)	FTE (T)	\$ Amount
BUF 101AA	LS		Transfer out Labor Savings Adjustment to BUF 741ST	A			(21,589)
BUF 101BA	LS		Transfer out Labor Savings Adjustment to BUF 741ST	A			(48,052)
BUF 101AA	O	5	Increase 'U' fund ceiling to reflect the change in the means of financing for the annual Bishop Museum subsidy. Inter-departmental transfer funds coming from the Hawaii Tourism Authority (HTA) of the Dept of Bus, Econ Dev & Tourism	U	0.00	0.00	612,000
BUF 101AA	O	1a	Salary adjustments for 3 positions (Dept Pers Officer, Accountant V and Office Assistant III)	A	0.00	0.00	59,508
BUF 101AA	O	1a	Salary adjustments for 3 positions (Dept Pers Officer, Accountant V and Office Assistant III)	U	0.00	0.00	3,622
BUF 101BA	O	3	Add 2.00 temporary positions and funds for the continuation of Office of Economic Recovery & Reinvestment	A	0.00	2.00	184,532
BUF 101AA	PR		Transfer out Program Review Adjustment to BUF 761ST for Bishop Museum annual subsidy. Means of financing to change to 'U' funds (see supplemental budget request section for 'U' fund ceiling increase)	A			(612,000)
BUF 101AA	PR		Transfer out Program Review Adjustment to BUF 761ST for Attorney Fees	A			(254,290)
BUF 101AA	PR		Transfer out Program Review Adjustment to BUF 761ST for Witness Fees	A			(254,289)
					0.00	2.00	(308,969)

VI. Requested Form A and Form B-1 Items

- A. Reduction of the \$21,589 from BUF 101AA and \$48,052 from BUF 101BA for labor savings pursuant to collective bargaining agreements and Section 96, Act 164, SLH 2011.
- B. Reduction of \$612,000 from BUF 101AA for the annual Bishop Museum subsidy; \$254,290 for Attorney Fees; and \$254,289 for Witness Fees for specific program adjustments identified through the Administration's 2011 Program Review, pursuant to Section 97 of Act 164, SLH 2011.
- C. Increase in the "U" Fund ceiling by \$612,000 to receive inter-departmental transfer funds from the Department of Business, Economic Development and Tourism's Hawaii Tourism Authority to pay for the annual Bishop Museum subsidy in FY 2013.
- D. Increase 2.00 FTE temporary positions and \$184,532 in general funds for the OERR for continued American Recovery and Reinvestment Act of 2009 (ARRA) oversight.
- E. Increase \$59,508 in general funds and \$3,622 in inter-departmental transfer funds for salary and fringe benefits adjustments for three (3) positions.

The next three programs are BUF 761, BUF 765, and BUF 768, HEALTH PREMIUM PAYMENTS programs.

I. Introduction

- A. These programs were established by the Legislature to separately identify funds available for the payment of employer contributions for State government employee health benefits.
- B. This program includes employer contributions for health and life insurance benefits provided by the Hawaii Employer-Union Health Benefits Trust Fund (EUTF).

II. Health Premium Payments - Expenditures Fiscal Year 2012 (General Fund)

	Appropriation FY 12	Collective Bargaining	Restriction	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
OTHER CURR EXP Health Benefit Premiums	452,522,513	0	0	29,690,774	482,213,287

III. Health Premium Payments - FY 2013 Supplemental Budget Request (General Fund)

	<u>Supplemental Budget Request FY 2012-2013</u>
OTHER CURR EXP Health Benefit Premiums	505,351,719

III. Health Premium Payments - FY 2013 Supplemental Budget Adjustment (General Fund)

Prog ID/Org	Request Cat	Dept Priority	Description	MOF	GOVERNOR'S DECISION		
					FTE (P)	FTE (T)	\$ Amount
BUF 761ST	PR		Transfer in Program Review Adjustments from BUF programs	A			1,120,579
BUF 761ST	PR		Transfer in Program Review Adjustments from all other departments, excluding B&F	A			29,629,625
BUF 761ST	FC		Adjustment for health premium payments for state employees other than DOE and UH	A			9,606,904
					0.00	0.00	40,357,108

Prog ID/Org	Request Cat	Dept Priority	Description	MOF	GOVERNOR'S DECISION		
					FTE (P)	FTE (T)	\$ Amount
BUF 765LE	FC		Adjustment for health premium payments for DOE	A			(39,420,448)
BUF 768HE	FC		Adjustment for health premium payments for UH	A			(8,610,364)
					0.00	0.00	(48,030,812)

Cost requirements for active employees are based on current benefit plan premiums and projected eligible employees. Premium rates for retirees are based on rates projected by the EUTF benefit consultant and anticipated enrollment levels. Projected enrollments for actives and retirees are based on updated actual enrollments with an annual growth factor (two percent for actives and four percent for retirees).

The next program is the BUF 115, FINANCIAL ADMINISTRATION PROGRAM (FAD).

I. Introduction

A. The objective of this program is to maximize the value, investment, and use of State funds through proactive planning, and the development of prudent statewide financial policies, the timely scheduling of State bond financing, and the establishment of appropriate cash management controls and procedures. In addition, the objective of the Unclaimed Property program is to maximize the receipt of unclaimed property or funds from holders and to return the property or funds to their rightful owners.

II. The major activities undertaken by this program to meet program objectives are as follows:

PROGRAM ID LISTING OF MAJOR ACTIVITIES

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
FINANCIAL ADMINISTRATION		
BUF 115CA	Receive, safeguard, and disburse funds to meet State funding obligations in the State Treasury	1
BUF 115CA	Maximize investment of funds	2
BUF 115CA	Plan, direct, and coordinate the issuance and marketing of bonds	2
BUF 115CA	Receives unclaimed property or funds from holders and holds them in trust until they are returned to their rightful owner	3
BUF 721, BUF 725, BUF 728	Makes debt service payments associated with General Obligation and General Obligation Reimbursable Bonds issued by the State	1

III. BUF 115 - Expenditures for Fiscal Year 2012

	Appropriation FY 12	Program Review Adjustment	Labor Savings	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
(Pos. Count)	(21.00)				(21.00)
PERS SERV	1,194,940		(42,342)		1,152,598
OTHER CURR EXP					7,687,451
ATTORNEY/WITNESS					
EQUIP					8,840,049
TOTAL					
(Pos Count)	(11.00)				(11.00)
INTER DEPARTMENTAL					
TRANSFER FUND	70,260		(17,588)		70,260
(Pos. Count)	(9.00)				(9.00)
GENERAL FUND	7,018,984		(24,754)		7,001,396

IV. BUF 115 – Supplemental Budget for FY 2013

	Budget Request FY 2013
(Pos. Count)	(22.00)
PERS SERV	1,197,427
OTHER CURR EXP	7,687,451
TOTAL	8,884,878
(Pos. Count)	(12.00)
General Fund	1,814,216
(Pos. Count)	(1.00)
Interdept'l Fund	70,260
(Pos. Count)	(9.00)
Trust Fund	7,00,402

V. FY 2013 Supplemental Budget Adjustments

Prog ID/Org	Request Cat	Dept Priority	Description	MOF	GOVERNOR'S DECISION		
					FTE (P)	FTE (T)	\$ Amount
BUF 115CA	LS		Transfer out Labor Savings Adjustment to BUF 741ST	A			(24,579)
BUF 115CA	LS		Reduction for Labor Savings Adjustment	T			(18,582)
BUF 115CA	O	1b	Add 1.00 permanent Account Clerk IV and funds for Treasury Branch.	A	1.00	0.00	45,648
					1.00	0.00	2,487

VI. Requested Form A and Form B-1 Items

- A. Reduction of the \$24,579 in general funds and \$18,582 in trust funds for labor savings pursuant to collective bargaining agreements and Section 96 of Act 164, SLH 2011.
- B. Increase 1.00 FTE permanent position and \$45,648 in general funds to restore an Account Clerk IV position for the FAD's Treasury Branch. This position was abolished as part of the RIF Budget Adjustments but is critically necessary to ensure the effectiveness of the State Treasury operations which supports statewide programs.

The next three programs are the BUF 721, BUF 725, and BUF 728, DEBT SERVICE PAYMENTS programs, which are also administered by the FAD.

I. Introduction

- A. This program was established by the Legislature to separately identify funds available for the State’s debt service payments.
- B. This program includes principal and interest payments associated with General Obligation and General Obligation Reimbursable Bonds issued by the State. Appropriation and expenditures for the Department of Education (DOE) and the University of Hawaii (UOH) are included and debt service is paid centrally by the FAD.

II. Debt Service - Expenditures for Fiscal Year 2012 (General Fund)

	Appropriation FY 12	Program Review Adjustment	Labor Savings	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
OTHER CURR EXP					
Debt Service	564,100,746	(10,000,000)	0	0	554,100,746

III. Debt Service – Supplemental Budget for FY 2013 (General Fund)

	Budget Request FY 2012-2013
OTHER CURR EXP	
Debt Service	643,389,587

IV. Debt Service – Supplemental Budget Adjustments for FY 2013 (General Fund)

Prog ID/Org	Request Cat	Dept Priority	Description	MOF	GOVERNOR'S DECISION		
					FTE (P)	FTE (T)	\$ Amount
BUF 721ST	FC		Adjustment for Debt Service	A			(11,412,695)
BUF 725LE	FC		Adjustment for Debt Service	A			(9,841,706)
BUF 728HE	FC		Adjustment for Debt Service	A			(3,642,402)
					0.00	0.00	(24,896,803)

The proposed FY 2013 total General Obligation (G.O.) bond debt service request (MOF A) is \$643.39 million in FY 2013. The requirements support G.O. CIP projects included in the Executive Supplemental Budget request. The G.O. bond debt service reflects a decrease from the FY 2013 appropriation amounts in Act 164, SLH 2011, by \$24.89 million in FY 2013.

The next program is the BUF 141, Employees' Retirement System (ERS).

I. Introduction

A. The ERS's program objectives are to provide retirement and survivor benefits to State and County employees, retirees, and inactive vested members and to prudently maximize the return on its investment portfolio.

II. The major activities undertaken by this program to meet program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
EMPLOYEES' RETIREMENT SYSTEM		
BUF 141FA	Provides various membership services including pre-retirement counseling; computation of retirement, disability and death benefits; enrollment of new State and county employees into the appropriate retirement plan; conducting disability hearings and appeals.	3
BUF 141	Budgets, accounts for and safeguards all assets of the ERS; ensures tax qualified status of the fund; and processes retirement benefit payments.	1
BUF 141	Plans, administers and oversees investments of the ERS' portfolio including stocks, bonds, foreign securities, mortgage loans, real estate, alternative investments, and short-term instruments.	2
BUF 741, BUF 745, BUF 748	Makes payments for employer contributions towards State government employee retirement benefits including pension accumulation and SS/Med.	1

III. BUF 141 - Expenditures for Fiscal Year 2012 (Other Funds MOF X)

	Appropriation FY 12	Program Review Adjustment	Labor Savings	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
(Pos. Count)	(99.00)				(99.00)
PERS SERV	6,719,928		(246,144)		6,473,784
OTHER CURR EXP EQUIP	4,108,295				4,108,295
TOTAL	10,828,223	0	(246,144)	0	10,582,079

IV. BUF 141 – Supplemental Budget for FY 2013 (Other Funds MOF X)

	Budget Request FY 2012-2013
(Pos. Count)	(99.00)
PERS SERV	6,457,692
OTHER CURR EXP EQUIP	10,141,295 0
TOTAL	16,598,987

V. FY 2013 Supplemental Budget Adjustments

Prog ID/Org	Request Cat	Dept Priority	Description	MOF	GOVERNOR'S DECISION		
					FTE (P)	FTE (T)	\$ Amount
BUF 141FA	LS		Reduction for Labor Savings Adjustment	X			(262,236)
BUF 141FA	O	2	Implement retirement benefits changes effective 7/1/12 - computer programming changes	X			6,033,000
					0.00	0.00	5,770,764

VI. Requested Form A and Form B-1 Items

- A. Reduction of the \$262,236 for labor savings pursuant to collective bargaining agreements and Section 96 of Act 164, SLH 2011.
- B. Increase \$6,033,000 for computer programming changes to implement mandatory retirement benefits changes for State and county employees hired after June 30, 2011 (for interest earnings on employee contributions) and June 30, 2012 (for all other benefit changes), pursuant to Act 163, SLH 2011. The benefit changes are for all employee groups and results in two new retirement plans in addition to the existing three of Contributory, Noncontributory, and Hybrid Plans. New employees hired after June 30, 2011 and June 30, 2012 also include those individuals who had previous State and County government service that did not meet the years of service eligibility requirements for the Contributory and Hybrid Plans and are returning to State or county government service. These individuals who return to government service will require enhanced personnel and payroll interfaces with the employers, as well as a more complex computerized method of determining the correct membership plan.

The next three programs are BUF 741 BUF 745, and BUF 748, RETIREMENT BENEFIT PAYMENTS.

I. Introduction

- A. This program was established by the Legislature to separately identify funds available for the payment of employer contributions for State government employee retirement benefits.
- B. This program includes employer contributions for pension accumulation and SS/Med as general fund appropriations for State employees, the DOE, and the UOH. Pension accumulation contributions are based on a percentage of payroll as stipulated in Chapter 88, Hawaii Revised Statutes. SS/Med contributions are federally mandated and based on assessment rates of 6.2% for Social Security and 1.45% for Medicare.

II. Retirement Benefits- Expenditures for Fiscal Year 2012 (General Fund)

	Appropriation FY 12	Program Review Adjustment	Labor Savings	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
OTHER CURR EXP					
Pension					
Accumulation	385,916,000			45,870,188	431,786,188
Social Sec/Medicare	207,334,103			23,630,096	230,964,199
TOTAL	593,250,103			69,500,284	662,750,387

III. Retirement Benefits - Supplemental Budget for FY 2013 (General Fund)

Budget Request
FY 2012-2013

OTHER CURR EXP	
Pension Accumulation	436,989,000
Social Sec/Medicare	195,722,925
TOTAL	632,711,925

VI. Retirement Benefits - Supplemental Budget Adjustments for FY 2013

(General Fund)

Prog ID/Org	Request Cat	Dept Priority	Description	MOF	GOVERNOR'S DECISION		
					FTE (P)	FTE (T)	\$ Amount
BUF 741ST	LS		Transfer in Labor Savings Adjustments from BUF programs	A			11,470,749
BUF 741ST	LS		Transfer in Labor Savings Adjustments from all other departments, excluding B&F	A			69,149,955
BUF 741ST	PA		Adjustment for retirement benefits for employees other than DOE and UH	A			(9,622,227)
					0.00	0.00	70,998,477

Prog ID/Org	Request Cat	Dept Priority	Description	MOF	GOVERNOR'S DECISION		
					FTE (P)	FTE (T)	\$ Amount
BUF 745LE	LS		Transfer out Labor Savings Adjustment to BUF 741ST	A			(7,667,562)
BUF 745LE	PA		Adjustment for retirement benefits for DOE	A			(16,791,249)
					0.00	0.00	(24,458,811)

Prog ID/Org	Request Cat	Dept Priority	Description	MOF	GOVERNOR'S DECISION		
					FTE (P)	FTE (T)	\$ Amount
BUF 748HE	LS		Transfer out Labor Savings Adjustment to BUF 741ST	A			(3,393,532)
BUF 748HE	PA		Adjustment for retirement benefits for UH	A			3,872,791
					0.00	0.00	479,259

The program request for FY 2013 includes appropriations for pension accumulation totaling \$36.99 million in FY 2013 (MOF A). Employer contributions are based on statutorily set percentages of payroll — 22 percent of the member's compensation for police and firefighters and 15.5 percent of the member's compensation for all other employees. Payroll projections are based on actuals through June 30, 2011.

SS/Med requirements (MOF A) total \$195.722 million in FY 2013 based on actual payroll expenditures through June 30, 2011. Assessment rates are 6.2% for Social Security and 1.45% for Medicare.

The last Government-Wide support program is the BUF 143, the Hawaii Employer-Union Trust Fund (EUTF).

I. Introduction

- A. The objective of this program is to administer health and life insurance benefits for approximately 66,000 eligible active and 42,000 retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with Federal and State legal requirements.
- B. The EUTF completed three major projects for 2011. First, 15,000 Hawaii State Teachers Association (HSTA) Voluntary Employees Beneficiary Association (VEBA) members were brought back into the EUTF plans. Secondly, the Federal Health Care Reform legislation changing the age limit for dependents' eligibility from age 24 to age 26, and eliminating certain restrictions such as the "full-time student" status was implemented. Lastly, requests for proposals were issued and processed for all the EUTF benefit contracts. With the exception of the Pharmacy Benefit Management (PBM) coverage and the Kaiser plans, the EUTF will be fully insured with the potential for a refund of surplus premiums. This is a one-way risk sharing arrangement. In a good year, the EUTF will receive a refund of surplus premiums over claim payments and defined administrative expenses. In a bad year, the carrier absorbs the loss; there is no additional charge to the EUTF. Additionally, rates and rate increases

over the next three years have been determined. For budget purposes, premium expenses for all but drugs are known for the next three years. This risk sharing arrangement provides a very efficient form of benefit coverage and also creates incentives to reduce costs for both the EUTF and the carriers. If the EUTF can keep claim costs below expected projections, the EUTF benefits from the surplus. The carrier is similarly incentivized to control costs to prevent a loss. Pharmacy coverage will continue to be provided on a self insured basis and the Kaiser plans will continue on conventional fully insured funding.

- II. The major activities undertaken by this program to meet program objectives are as follows:

PROGRAM ID LISTING OF MAJOR ACTIVITIES

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
HAWAII EMPLOYER-UNION HEALTH	BENEFITS TRUST FUND	
BUF 143EU	EUTF Board of Trustees determine the nature and scope of the benefit plans offered, negotiate and enter into contracts with insurance carriers, administer self-funded plans, establish eligibility and management policies for the Trust Fund, and oversee all Trust Fund activities.	1
BUF 143	EUTF staff train State and county personnel and fiscal officers on enrollment procedures, collect employer and employee contributions, remits premiums to insurance carriers, provide enrollment services to State and county retirees, administer the federal COBRA Law, assist the Department of Human Resources Development in administration of the State of Hawaii's Premium Conversion Plan and comply with HIPAA privacy and security regulations and policies related to enrollment information.	2

III. EUTF - Expenditures for Fiscal Year 2012 (Trust Fund)

	Appropriation FY 12	Program Review Adjustment	Labor Savings	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
(Pos. Count)	(36.00)				(36.00)
PERS SERV	2,802,584		(64,484)		2,738,100
CURR EXP	2,301,930				2,301,930
TOTAL	5,109,314	0	(64,484)	0	5,044,830

IV. EUTF – Supplemental Budget for FY 2013 (Trust Fund)

	Budget Request FY 2012-2013
(Pos. Count)	49.00
PERS SERV	2,732,682
OTHER CURR EXP	2,604,430
TOTAL	5,337,112

V. FY 2013 Supplemental Budget Adjustments

Prog ID/Org	Request Cat	Dept Priority	Description	MOF	GOVERNOR'S DECISION		
					FTE (P)	FTE (T)	\$ Amount
BUF 143EU	LS		Reduction for Labor Savings Adjustment	T			(69,902)
BUF 143EU	O	4	Convert 13.00 temporary positions to permanent.	T	13.00	(13.00)	-
BUF 143EU	O	6	Additional office space rental	T			10,000
BUF 143EU	O	6a	Additional consultant hours for programming changes to benefits administration system	T	0.00	0.00	292,500
					13.00	(13.00)	232,598

VI. Requested Form A and Form B-1 Items

- A. Conversion of 13.00 FTE temporary positions to permanent positions.
- B. Additional \$10,000 in consulting services for an office space planner.
- C. Additional \$292,500 in consulting services for computer programming changes for the EUTF's Benefits Administration System.
- D. Reduction of \$69,902 for labor savings pursuant to Section 96 of Act 164, SLH 2011.

The next two administratively attached programs are under the Individual Rights major program area.

The first program is BUF 151, Office of the Public Defender program.

I. Introduction

A. The objective of this program is to safeguard the rights of individuals in need of assistance in criminal, mental commitment and family cases by providing constitutionally and statutorily entitled legal services.

II. The major activities undertaken by this program to meet program objectives are as follows:

PROGRAM ID LISTING OF MAJOR ACTIVITIES

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
OFFICE OF THE PUBLIC DEFENDER		
BUF 151HA	Provide comprehensive legal and other necessary services to the indigent in criminal, mental commitment and family cases as required by federal and State constitutions, State statutes, judicial rules and decisions and opinions, and the canons of professional ethics.	1

III. BUF 151 - Expenditures for Fiscal Year 2012 (General Fund)

	Appropriation FY 12	Program Review Adjustment	Labor Savings	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
(Pos. Count)	(81.00)				(81.00)
PERS SERV	9,112,285		(320,060)		8,791,325
OTHER CURR EXP	683,014				683,014
TOTAL	9,795,299	0	(320,960)	0	9,474,339

*The program is also authorized 50 temporary positions for a total staffing of 131 positions.

IV. BUF 151 – Supplemental Budget for FY 2013 (General Fund)

	Budget Request FY 2013
(Pos. Count)	(81.00)
PERS SERV	8,796,850
OTHER CURR EXP	683,014
TOTAL	9,479,864

V. FY 2013 Supplemental Budget Adjustments

Prog ID/Org	Request Cat	Dept Priority	Description	MOF	GOVERNOR'S DECISION		
					FTE (P)	FTE (T)	\$ Amount
BUF 151HA	LS		Transfer out Labor Savings Adjustment to BUF 741ST	A			(315,435)

VI. Requested Form A and Form B-1 Items

- A. Reduction of \$315,435 for labor savings pursuant to collective bargaining agreements and Section 96 of Act 164, SLH 2011.

The last program is BUF 901, Public Utilities Commission (PUC).

I. Introduction

- A. The objective of this program is to ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate of return.
- B. The PUC continues to undergo significant changes due to rapid developments in technology, markets, economic conditions, consumer needs and environmental concerns given this condition. The PUC is working towards becoming increasingly proactive, and is updating regulatory practices and approaches to develop the requisite expertise to swiftly align the performance of regulated entities to serve the public interest in the most efficient and cost-effective manner. Therefore, adequate staffing and resources are of critical importance to the PUC's effectiveness.

Last year, the Legislature approved funding for positions that were in the PUC's reorganization plan that was put into law five years ago (Act 177, SLH 2007), increasing the PUC's total full-time permanent position count to 62. However, a key component to the reorganization plan - the relocation of the PUC's Oahu office - was not approved. The PUC is currently working with the Planning and Leasing Branches of the

Department of Accounting and General Services to initially determine viable options.

- C. Due to space constraints, the PUC has prioritized and focused on filling four key reorganization positions for recruitment – Information Technology Specialist IV, Compliance and Consumer Affairs Chief, Engineer, and Legal Assistant – while backfilling existing positions. The PUC currently has 39 employees.

- D. Key Docketed Issues before the PUC:

The PUC is responsible for regulating nearly 1,500 entities statewide. In FY 2011, the PUC opened 369 new dockets relating to those regulated utilities and transportation companies, completed and disposed of 363 dockets from its total case load, and issued 846 decisions and orders.

In addition, the Legislature has entrusted the PUC with increased authority and discretion in implementing the State's clean energy policies. Three major legislative mandates, the Renewable Portfolio Standard (RPS), the Energy Efficiency Portfolio Standard (EEPS) and the Public Benefits Fee (PBF) are key energy policies driving the PUC's work, and these are major areas of focus for PUC resources.

- E. Hawaii's RPS law requires Hawaii electric utilities to meet a graduated RPS plan culminating at forty percent of net electricity sales by December 31, 2030.

Hawaii's RPS statute is responsible for driving local renewable energy development resulting in approximately 128MW worth of PUC-approved renewable energy projects since the start of FY 2011.

- F. The PBF funds the energy efficiency efforts of Hawaii Energy and its oversight. Hawaii Energy offers programs to all the Hawaii's Electric Companies' service territories, excluding Kauai Island Utility Cooperative' (KIUC) territory, including energy efficient device rebates, public education/outreach, and other programs. A recent review of Hawaii Energy's programs show PBF-funded operations were responsible for an estimated cost and energy savings during the 2010 program year, of just over \$48M and roughly 142 GWh, respectively.
- G. The EEPS law requires the State to achieve 4,300 gigawatt hours of electricity use reductions by 2030, with interim electricity use reduction goals to be set by the PUC at five-year intervals prior to 2030.
- H. During the FY 2011, key proceedings in the electric utility area focused on issues of Decoupling; Feed-in Tariffs; a competitive bidding process for firm renewable energy for Oahu and Maui; a competitive bidding process for renewable energy for Oahu; and the amendment of the PUC's framework for Integrated Resource Planning. The electric utilities requested approval of a number of power purchase agreements with independent power providers, which were approved by the PUC. In

addition, the PUC reviewed rate cases for Hawaiian Electric Company, Hawaii Electric Light Company, Maui Electric Company and KIUC.

II. The major activities undertaken by this program to meet program objectives are as follows:

PROGRAM ID LISTING OF MAJOR ACTIVITIES

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
PUBLIC UTILITIES COMMISSION		
BUF 901MA	Establish rules and regulations pertaining to service standards.	1
BUF 901MA	Render decisions on rates, fares, and charges.	1
BUF 901MA	Process applications, issue certificates to regulated companies, and conduct investigations and audits of regulated companies.	2
BUF 901MA	Conduct investigations of complaints filed against public utilities, other regulated entities, and companies utilizing services under the Commission's jurisdiction.	2
BUF 901MA	Maintain the fiscal integrity of the Public Utilities Commission Special Fund.	1

III. BUF 901 Expenditures for Fiscal Year 2012 (Special Fund)

	Appropriation FY 12	Program Review Adjustment	Labor Savings	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
(Pos. Count)	(62.00)				(62.00)
PERS SERV	4,617,052		(109,106)		4,507,946
OTHER CURR EXP	6,410,357				6,410,357
EQUIP					22,000
TOTAL	11,049,409	0	(109,106)		10,940,303

IV. BUF 901 Supplemental Budget for FY 2013 (Special Fund)

Budget Request
FY 2012-2013

(Pos. Count)	(62.00)
PERS SERV	4,784,226
CURR EXP	6,485,325
EQUIP	0
TOTAL	11,269,551

V. FY 2013 Supplemental Budget Adjustments

Prog ID/Org	Request Cat	Dept Priority	Description	MOF	GOVERNOR'S DECISION		
					FTE (P)	FTE (T)	\$ Amount
BUF 901MA	LS		Reduction for Labor Savings Adjustment	B			(116,623)

VI. Requested Form A and Form B-1 Items

- A. Reduction of \$116,623 for labor savings pursuant to collective bargaining agreements.

Department of Budget and Finance
 Department-Wide Budget Summary

Table 1

Fiscal Year 2012							
Act 164/11	Program Review			Emergency		MOF	
	Appropriation	Adjustments	Labor Savings	Transfers In/Out	Appropriation		Total FY12
	\$ 1,632,793,890.00	\$ (10,508,579.00)	\$ (419,555.00)	\$ 99,191,058.00		\$ 1,721,056,814.00	A
	\$ 11,049,409.00	\$ (109,106.00)				\$ 10,940,303.00	B
	\$ -					\$ -	N
	\$ -					\$ -	R
	\$ -					\$ -	S
	\$ 12,128,298.00		\$ (82,072.00)			\$ 12,046,226.00	T
	\$ 101,603.00					\$ 101,603.00	U
	\$ -					\$ -	V
	\$ -					\$ -	W
	\$ 10,828,223.00		\$ (246,144.00)			\$ 10,582,079.00	X
	\$ 1,666,901,423.00	\$ (10,508,579.00)	\$ (856,877.00)	\$ 99,191,058.00	\$ -	\$ 1,754,727,025.00	Total
Fiscal Year 2013							
Act 164/11	Appropriation			Additions		Total FY13	MOF
	\$ 1,789,747,462.00		\$ 98,779,580.00		\$ 1,888,527,042.00		A
	\$ 11,386,174.00		\$ (116,623.00)		\$ 11,269,551.00		B
					\$ -		N

Department of Budget and Finance
 Department-Wide Budget Summary

Table 1

				R	S	T	U	V	W	X	Total
	\$			-	-						
	\$					12,035,014.00					
	\$		(88,484.00)				101,603.00				
	\$	12,123,498.00									
	\$	101,603.00									
	\$	10,828,223.00									
	\$		(262,236.00)							10,565,987.00	
	\$	1,824,186,960.00	98,312,237.00							1,922,499,197.00	

Department of Budget Finance
Prioritized List of Functions

Table 2

Pri #	Description of Function	Activities	Prog ID(s)	Statutory Reference
1	Statewide financial planning and budgeting - Executive Branch	Assist in the preparation, explanation, and administration of the State's proposed six year program and financial plan and the State budget	101/BA	Chapter 26 and 37, HRS
1	State employer contributions for Health Premiums	Make employer contributions for health benefit premiums for State employees, retirees, and their dependents	BUF 761, 765 & 768	Chapter 26 and 37, HRS
2	Statewide analysis, review, and budget policy - Executive Branch	Conduct continuous reviews and advises the Director and Governor on the financial condition of the State and on State Budget Policies	101/BA	Chapter 26 and 37, HRS
2	Staff services to the Governor	Provide staff services for the Governor as required	101/BA	Chapter 26-8, HRS
2	Departmental Administration	Provide administrative support activities to the Department	101/AA	Chapter 26-8, HRS
3	Statewide budget execution implementation - Executive Branch	Advise and monitoring of State agencies for compliance with budget execution policies and procedures	101/BA	Chapter 26 and 37, HRS
3	Statewide analysis and reviews of operations and management - Executive Branch	Perform analysis of State programs, operations, problems, and issues to provide management with a sound, rational basis for decision making	101/BA	Chapter 26 and 37, HRS
1	State Treasury operations	Receive, safeguard, and disburse funds to meet State funding obligations in the State Treasury	BUF 115	Chapter 36, 38, and 39, HRS
1	State Debt Service payments	Makes debt service payments associated with General Obligation and General Obligation Reimbursable Bonds issued by the State	BUF 721, 725 & 728	Chapter 36 and 39, HRS
2	State Treasury Investments	Maximize the investment of funds as allowable by statute	BUF 115	Chapter 36 and 38, HRS
2	State Bond issuances	Plan, direct, and coordinate the issuance and marketing of bonds	BUF 115	Chapter 36 and 39, HRS
3	State Unclaimed Property	Receive unclaimed property of funds from holders and safeguards them in trust until they are returned to their rightful owners	BUF 115	Chapter 523A, HRS
1	ERS' Accounting and Financial operations	Budgets, accounts, and safeguards all assets of the ERS; ensures tax qualified status of the fund; and processes retirement benefit payments	BUF 141	Chapter 88, HRS
1	State employer contributions for Retirement Benefits	Makes payments for State employer contributions towards State government employee retirement benefits including pension accumulation and social security/Medicare	BUF 741, 745 & 748	Chapter 26 and 37, HRS
2	ERS' Investment operations	Plans, administers, and oversees investments of the ERS' portfolio including stocks, bonds, foreign securities, mortgage loans, real estate, alternative investments, and short term instruments	BUF 141	Chapter 88, HRS

Department of Budget Finance
Prioritized List of Functions

Table 2

Pri #	Description of Function	Activities	Prog ID(s)	Statutory Reference
3	Employees' Retirement System (ERS') membership services	Provide various membership services including pre-retirement counseling; computation of retirement; disability and death benefits; enrollment of new State and county employees into the appropriate retirement plan; conducting disability hearings and appeals	BUF 141	Chapter 88, HRS
1	Hawaii Employer Union Trust Fund (EUTF) - Support of Board of Trustee and management level functions	The EUTF Board determines the nature and scope of the benefit plans offered, negotiates and enters into contracts with insurance carriers, administers self funded plans, establishes eligibility and management policies for the Trust Fund, and oversees all Trust Fund activities.	BUF 143	Chapter 87A, HRS
2	EUTF - support of customer service and enrollment, accounting, financial management, and regulatory compliance functions	Enrollment, customer service, accounting/financial management, regulatory compliance, and IT support related activities.	BUF 143	Chapter 87A, HRS
1	Legal and other necessary services to the indigents as required by federal and State constitutions	Comprehensive legal and other necessary services are provided to indigents in criminal, mental commitment and family cases as required by federal and State statutes, judicial rules and decisions and opinions, and the canons of professional ethics	BUF 151	Chapter 802, HRS
1	Regulatory function pertaining to service standards of the regulated public utilities in this State	Establish rules and regulations pertaining to service standards	BUF 901	Chapter 269, 269E, 271, & 271G, HRS
1	Regulatory functions pertaining to rates, fares, and charges of the regulated public utilities	Render decisions on rates, fares, and charges	BUF 901	Chapter 269, 269E, 271, & 271G, HRS
1	Ministerial and regulatory functions pertaining to the processing of applications, certificates, investigations, and audits concerning the regulated public utilities	Process applications, issue certificates to regulated companies, and conduct investigations and audits of regulated companies	BUF 901	Chapter 269, 269E, 271, & 271G, HRS
2	Regulatory function pertaining to investigation of complaints concerning the regulated public utilities	Conduct investigations of complaints filed against public utilities, other regulated entities, and companies utilizing services under the Commission's jurisdiction	BUF 901	Chapter 269, 269E, 271, & 271G, HRS
2	Administrative function pertaining to the operations of the PUC and maintenance of the fiscal integrity of the PUC special fund	Maintain the fiscal integrity of the Public Utilities Commission Special Fund	BUF 901	Chapter 269, 269E, 271, & 271G, HRS

Department of Budget and Finance
Resources by Program ID

Table 3

Prog ID	Program Title	MOF	As budgeted in Act 164/11 (FY12)			Governor's Submittal (FY13)			Percent Change of \$\$\$
			Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	
BUF 101	Departmental Admin & Budget Div	A	39.25	-	\$ 11,332,082	39.25	-	\$ 10,208,023	-9.9%
BUF 101	Departmental Admin & Budget Div	U	0.75	-	\$ 31,343	0.75	-	\$ 646,965	1964.1%
BUF 115	Financial Administration	A	11.00	-	\$ 1,793,147	12.00	-	\$ 1,814,216	1.2%
BUF 115	Financial Administration	T	9.00	-	\$ 7,018,984	9.00	-	\$ 7,000,402	-0.3%
BUF 115	Financial Administration	U	1.00	-	\$ 70,260	1.00	-	\$ 70,260	0.0%
BUF 141	Employees' Retirement System	X	99.00	1.00	\$ 10,828,223	99.00	1.00	\$ 16,598,987	53.3%
BUF 143	Employer Union Trust Fund	T	36.00	13.00	\$ 5,109,314	49.00	-	\$ 5,337,112	4.5%
BUF 151	Office of the Public Defender	A	81.00	50.00	\$ 9,795,299	81.00	50.00	\$ 9,479,864	-3.2%
BUF 901	Public Utilities Commission	B	62.00	-	\$ 11,049,409	62.00	-	\$ 11,269,551	2.0%
BUF 721	Debt Service Payments	A	-	-	\$ 258,583,782	-	-	\$ 294,929,786	14.1%
BUF 725	Debt Service Payments - DOE	A	-	-	\$ 222,989,025	-	-	\$ 254,331,904	14.1%
BUF 728	Debt Service Payments - UH	A	-	-	\$ 82,527,939	-	-	\$ 94,127,897	14.1%
BUF 741	Retirement Benefits Payments	A	-	-	\$ 189,315,975	-	-	\$ 256,807,477	35.7%
BUF 745	Retirement Benefits Payments -DO	A	-	-	\$ 280,677,870	-	-	\$ 252,741,189	-10.0%
BUF 748	Retirement Benefits Payments -UH	A	-	-	\$ 123,256,258	-	-	\$ 123,163,259	-0.1%
BUF 761	Health Premium Payments	A	-	-	\$ 137,687,959	-	-	\$ 200,743,419	45.8%
BUF 765	Health Premium Payments - DOE	A	-	-	\$ 236,284,465	-	-	\$ 225,126,160	-4.7%
BUF 768	Health Premium Payments - UH	A	-	-	\$ 78,550,089	-	-	\$ 79,482,140	1.2%

Department of Budget and Finance
Current Year (FY12) Restrictions

Table 4

Prog ID	MOF	Restriction \$\$\$	Percent of Act 164/11		Impact
			Appropriation		
BUF 101	A	\$ (582,420)	-5.1%		reflect FY 12 labor savings and program review reductions
BUF 115	A	\$ (24,754)	-1.4%		reflect FY 12 labor savings
BUF 115	T	\$ (17,588)	-0.3%		reflect FY 12 labor savings
BUF 141	X	\$ (246,144)	-2.3%		reflect FY 12 labor savings
BUF 143	T	\$ (64,484)	-1.3%		reflect FY 12 labor savings
BUF 151	A	\$ (320,960)	-3.3%		reflect FY 12 labor savings
BUF 901	B	\$ (109,106)	-1.0%		reflect FY 12 labor savings
BUF 721	A	\$ (4,584,000)	-1.8%		reflects FY 12 program review (anticipated lower debt service requirements)
BUF 725	A	\$ (3,953,000)	-1.8%		reflects FY 12 program review (anticipated lower debt service requirements)
BUF 728	A	\$ (1,463,000)	-1.8%		reflects FY 12 program review (anticipated lower debt service requirements)
BUF 741	A	\$ -	0.0%		No restrictions
BUF 745	A	\$ (7,702,099)	-2.7%		amounts reflect transfer to BUF 741
BUF 748	A	\$ (3,382,283)	-2.7%		amounts reflect transfer to BUF 741
BUF 761	A	\$ -	0.0%		No restrictions
BUF 765	A	\$ -	0.0%		No restrictions
BUF 768	A	\$ -	0.0%		No restrictions

Notes: BUF 101 includes FY 12 program review and labor savings adjustments. BUF 721, BUF 725, and BUF 728 include program review adjustments. BUF 745 and BUF 748 reflects transfers to BUF 741 to help cover the \$88.2 million budget reduction in BUF 741 pursuant to Act 164, SLH 2011. BUF 141, BUF 143, BUF 151, and BUF 901 programs reflect only labor savings adjustments.

Department of Budget and Finance
Proposed Budget Reductions

Table 5

Request Category	Prog ID	Description of Reduction	Impact of Reduction	MOF	Pos (P)		Pos (T)	Carry-over?
					FY13	FY13		
LS	BUF 101	Labor savings reduction	Assumes that 5% in labor savings is achieved	A	-	-	\$ (69,641)	Y*
LS	BUF 101	Prog Review Adj - Attorney Fees	None provided that the Attorney Fee payments does not exceed the adjusted FY 13 amounts	A	-	-	\$ (254,290)	Y
	BUF 101	Prog Review Adj - Witness Fees	None provided that the Witness Fee payments does not exceed the adjusted FY 13 amounts	A	-	-	\$ (254,289)	Y
PR	BUF 101	Prog Review Adj - Bishop Museum	The Hawaii Tourism Authority to cover the FY 13 annual subsidy amount to the Museum	A	-	-	\$ (612,000)	N
LS	BUF 115	Labor savings reduction	Assumes that 5% in labor savings is achieved	A	-	-	\$ (24,579)	Y*
LS	BUF 115	Labor savings reduction	Assumes that 5% in labor savings is achieved	T	-	-	\$ (18,582)	Y*
LS	BUF 141	Labor savings reduction	Assumes that 5% in labor savings is achieved	X	-	-	\$ (262,236)	Y*
LS	BUF 143	Labor savings reduction	Assumes that 5% in labor savings is achieved	T	-	-	\$ (69,902)	Y*
LS	BUF 151	Labor savings reduction	Assumes that 5% in labor savings is achieved	A	-	-	\$ (315,435)	Y*
LS	BUF 901	Labor savings reduction	Assumes that 5% in labor savings is achieved	B	-	-	\$ (116,623)	Y*
FC	BUF 721	Lower debt service requirements	None anticipated	A	-	-	\$(11,412,695)	N
FC	BUF 725	Lower debt service requirements	None anticipated	A	-	-	\$ (9,841,706)	N
FC	BUF 728	Lower debt service requirements	None anticipated	A	-	-	\$ (3,642,402)	N
FC	BUF 741	Re-projected PA and SSA/Medicare requirements	None anticipated	A	-	-	\$ (9,622,227)	N
FC	BUF 745	Re-projected PA and SSA/Medicare requirements	None anticipated	A	-	-	\$ (7,667,562)	N
FC	BUF 745	Re-projected PA and SSA/Medicare requirements	None anticipated	A	-	-	\$(16,791,249)	N
FC	BUF 748	Re-projected PA and SSA/Medicare requirements	None anticipated	A	-	-	\$ (3,393,532)	N
FC	BUF 765	Re-projected Health Premium requirements	None anticipated	A	-	-	\$(39,420,448)	N
FC	BUF 768	Re-projected Health Premium requirements	None anticipated	A	-	-	\$ (8,610,364)	N

Department of Budget and Finance
Proposed Supplemental Year Additions

Table 6

Request Category	Prog ID	Description of Addition	Explanation	MOF	Pos (P)		Pos (T)	
					FY13	FY13	FY13	\$\$\$ FY13
O	BUF 101	Additional salary requirements	Additional funds to cover payroll shortfalls for 2.00 existing positions	A	-	-	-	\$ 59,508
O	BUF 101	Additional salary requirements	Additional funds to cover payroll shortfall for existing "U" Funded position	U	-	-	-	\$ 3,622
O	BUF 101	Increase in the "U" fund ceiling	Increase in authorization ceiling to receive Inter-Departmental Transfer funds from the Hawaii Tourism Authority who will pay the FY 13 Bishop Museum annual subsidy.	U	-	-	-	\$ 612,000
O	BUF 101	Add 2.00 FTE temporary positions and funds for Office of Economic Recovery and Reinvestment	Additional 2.00 FTE temporary positions and funds to continue the Office of Economic Recovery and Reinvestment oversight functions through FY 13.	A	-	2.00	-	\$ 184,532
O	BUF 115	Add 1.00 FTE permanent position and funds for an Account Clerk IV	Restore 1.00 FTE permanent Account Clerk IV position that was abolished in the Reduction in Force due to workload and backlogs in the State Treasury operations.	A	1.00	-	-	\$ 45,648
O	BUF 141	Additional funds to implement mandatory retirement benefit changes that are effective July 1, 2012	Additional funds for computer programming changes that are necessary to implement Act 163, SLH 2011 amendments that impact on the Employees' Retirement System program.	X	-	-	-	\$ 6,033,000
O	BUF 143	Additional funds for office space planning requirements	Additional funds for office space planning to assist the EUTF in maximizing the use of its existing office space	T	-	-	-	\$ 10,000
O	BUF 143	Additional consultant hours for computer programming changes	Additional funds are necessary to support an increased computer system programming requirements	T	-	-	-	\$ 292,500

Department of Budget and Finance
Proposed Supplemental Year Additions

Table 6

<u>Request Category</u>	<u>Prog ID</u>	<u>Description of Addition</u>	<u>Explanation</u>	<u>MOF</u>	<u>Pos (P)</u>		<u>Pos (T)</u>	
					<u>FY13</u>	<u>13.00</u>	<u>FY13</u>	<u>(13.00)</u>
0	BUF 143	Conversion of temporary positions to permanent	Conversion of temporary positions to permanent is necessary to better enable the EUTF to recruitment and retention of staff.	T	13.00	(13.00)	\$	-
							\$\$\$	FY13

Department of Budget and Finance
Non-General Fund Balances

Table 7

Name of Fund	Statutory Reference	MOF	Beginning FY12		Estimated FY12 Revenues	Estimated Expenditures and Encumbrances	Estimated FY12 Net Transfers	Estimated FY12 Ending		Balance in Excess of Program Needs
			Unencumbered Cash Balance	Unencumbered Cash Balance				Unencumbered Cash Balance	Unencumbered Cash Balance	
Emergency and Budget Reserve *	328L-3,HRS	B	\$ 9,667,267	\$ 20,000,000	\$ (4,148,500)	\$ -	\$ 25,518,767	\$ -	*	
ERS Expense Fund **	88-116,HRS	X	\$ -	\$ 10,582,079	\$ -	\$ (10,582,079)	\$ -	\$ -	\$ -	
Hawaii Employer-Union Trust	87A, HRS	T	\$ 249,908,758	\$ 889,347,000	\$ 98,364	\$ (867,417,000)	\$ 271,937,122	\$ -	\$ -	
Public Utility Special ***	269-33,HRS	B	\$ 1,000,000	\$ 19,038,354	\$ (8,098,051)	\$ (10,940,303)	\$ 1,000,000	\$ (7,098,051)	\$ -	

* The Administration proposes to re-capitalize the Emergency and Budget Reserve Fund

** Funds for the operations of the Employees' Retirement System program (source of funding is from the ERS' investment earnings)

*** \$1,000,000 is required as start-up cash for FY 13 to ensure the uninterrupted operations of the PUC until sufficient new revenues are collected during the new fiscal year

Department of Budget and Finance
 Emergency Appropriation Requests

Table 8

<u>Prog ID</u>	<u>Description of Request</u>	<u>MOF</u>	<u>Pos (P) FY12</u>	<u>Pos (T) FY12</u>	<u>\$\$\$ FY12</u>
	No Emergency Appropriations anticipated at this time				

Department of Budget and Finance
Budget Decisions

Table 9

Prog ID	Description	Initial Department Request			Budget and Finance Recommendation			Governor's Decision			
		MOF	Pos (P)	Pos (I)	\$\$\$	Pos (P)	Pos (I)	\$\$\$	Pos (P)	Pos (I)	\$\$\$
BUF 101AA	Transfer out Labor Savings Adjustment to BUF 7415T	A	-	-	(21,589)	-	-	(21,589)	-	-	(21,589)
BUF 101BA	Transfer out Labor Savings Adjustment to BUF 7415T	A	-	-	(48,052)	-	-	(48,052)	-	-	(48,052)
BUF 115CA	Transfer out Labor Savings Adjustment to BUF 7415T	A	-	-	(24,579)	-	-	(24,579)	-	-	(24,579)
BUF 151HA	Transfer out Labor Savings Adjustment to BUF 7415T	A	-	-	(315,435)	-	-	(315,435)	-	-	(315,435)
BUF 745LE	Transfer out Labor Savings Adjustment to BUF 7415T	A	-	-	(7,667,562)	-	-	(7,667,562)	-	-	(7,667,562)
BUF 748HE	Transfer out Labor Savings Adjustment to BUF 7415T	A	-	-	(3,393,532)	-	-	(3,393,532)	-	-	(3,393,532)
BUF 901MA	Reduction for Labor Savings Adjustment	B	-	-	(116,623)	-	-	(116,623)	-	-	(116,623)
BUF 115CA	Reduction for Labor Savings Adjustment	T	-	-	(18,582)	-	-	(18,582)	-	-	(18,582)
BUF 143EU	Reduction for Labor Savings Adjustment	T	-	-	(69,902)	-	-	(69,902)	-	-	(69,902)
BUF 141FA	Reduction for Labor Savings Adjustment	X	-	-	(262,236)	-	-	(262,236)	-	-	(262,236)
BUF 7415T	Transfer in Labor Savings Adjustments from BUF programs	A	-	-	11,470,749	-	-	11,470,749	-	-	11,470,749
BUF 7415T	Transfer in Labor Savings Adjustments from all other departments, excluding B&F	A	-	-	69,149,955	-	-	69,149,955	-	-	69,149,955
BUF 101AA	Transfer out Program Review Adjustment to BUF 7615T for Bishop Museum annual subsidy. Means of financing to change to 'U' funds (see supplemental budget request section for 'U' fund ceiling increase)	A	-	-	(612,000)	-	-	(612,000)	-	-	(612,000)
BUF 101AA	Transfer out Program Review Adjustment to BUF 7615T for Attorney Fees	A	-	-	(254,290)	-	-	(254,290)	-	-	(254,290)
BUF 101AA	Transfer out Program Review Adjustment to BUF 7615T for Witness Fees	A	-	-	(254,289)	-	-	(254,289)	-	-	(254,289)
BUF 7615T	Transfer in Program Review Adjustments from BUF programs	A	-	-	1,120,579	-	-	1,120,579	-	-	1,120,579
BUF 7615T	Transfer in Program Review Adjustments from all other departments, excluding B&F	A	-	-	29,629,625	-	-	29,629,625	-	-	29,629,625
BUF 101AA	Increase 'U' fund ceiling to reflect the change in the means of financing for the annual Bishop Museum subsidy. Inter-departmental transfer funds coming from the Hawaii Tourism Authority (HTA) of the Dept of Bus, Econ Dev & Tourism	U	-	-	612,000	-	-	612,000	-	-	612,000
BUF 101BA	Add 2.00 temporary positions and funds for the continuation of Office of Economic Recovery & Reinvestment	A	-	2.00	184,532	-	2.00	184,532	-	2.00	184,532
BUF 101AA	Salary adjustments for 3 positions (Dept Pers Officer, Accountant V and Office Assistant III)	A	-	-	59,508	-	-	59,508	-	-	59,508
BUF 101AA	Salary adjustments for 3 positions (Dept Pers Officer, Accountant V and Office Assistant III)	U	-	-	3,622	-	-	3,622	-	-	3,622
BUF 115CA	Add 1.00 permanent Account Clerk IV and funds for Treasury Branch.	A	1.00	0.00	45,648	1.00	0.00	45,648	1.00	0.00	45,648
BUF 141FA	Implement retirement benefits changes effective 7/1/12 - computer programming changes	X	-	-	6,033,000	-	-	6,033,000	-	-	6,033,000
BUF 143EU	Convert 13.00 temporary positions to permanent.	T	13.00	(13.00)	-	13.00	(13.00)	-	13.00	(13.00)	-
BUF 143EU	Additional office space rental	T	-	-	48,880	-	-	48,880	-	-	48,880
BUF 143EU	Additional consultant hours for programming changes to benefits administration system	T	-	-	292,500	-	-	292,500	-	-	292,500

Department of Budget and Finance
Budget Decisions

Table 9

Prog ID	Description	Initial Department Request			Budget and Finance Recommendation			Governor's Decision		
		MOF	Pos (P)	Pos (T)	Pos (P)	Pos (T)	Pos (P)	Pos (T)	Pos (P)	Pos (T)
BUF 901MA	Office relocation and annual rent increase	B	3,075,454							
BUF 721ST	Adjustment for Debt Service	A	(11,412,695)		(11,412,695)		(11,412,695)		(11,412,695)	
BUF 725LE	Adjustment for Debt Service	A	(9,841,706)		(9,841,706)		(9,841,706)		(9,841,706)	
BUF 728HE	Adjustment for Debt Service	A	(3,642,402)		(3,642,402)		(3,642,402)		(3,642,402)	
BUF 741ST	Adjustment for retirement benefits for employees other than DOE and UH	A	(9,622,227)		(9,622,227)		(9,622,227)		(9,622,227)	
BUF 745LE	Adjustment for retirement benefits for DOE	A	(16,791,249)		(16,791,249)		(16,791,249)		(16,791,249)	
BUF 748HE	Adjustment for retirement benefits for UH	A	3,872,791		3,872,791		3,872,791		3,872,791	
BUF 761ST	Adjustment for health premium payments for state employees other than DOE and UH	A	9,606,904		9,606,904		9,606,904		9,606,904	
BUF 765LE	Adjustment for health premium payments for DOE	A	(39,420,448)		(39,420,448)		(39,420,448)		(39,420,448)	
BUF 768HE	Adjustment for health premium payments for UH	A	(8,610,364)		(8,610,364)		(8,610,364)		(8,610,364)	

Department of Budget and Finance
 Program Review Proposals

Table 10

Prog ID	Description	Budget and Finance Proposal			Department Proposal			Governor's Final Decision		
		MOE	Pos (P)	Pos (T)	Pos (P)	Pos (T)	Pos (P)	Pos (T)	Pos (P)	Pos (T)
BUF 101	Reduce available amounts to pay Attorney Fees	A	-	\$ (254,290.00)	-	\$ (254,290.00)	-	\$ (254,290.00)	-	\$ (254,290.00)
BUF 101	Reduce available amounts to pay Witness Fees	A	-	\$ (254,289.00)	-	\$ (254,289.00)	-	\$ (254,289.00)	-	\$ (254,289.00)
BUF 101	Bishop Museum annual subsidy to be paid by the Hawaii Tourism Authority in FY 13 (cost shift from general fund to Inter-Departmental "U" Fund	A	-	\$ (612,000.00)	-	\$ (612,000.00)	-	\$ (612,000.00)	-	\$ (612,000.00)
BUF 101	Bishop Museum annual subsidy to be paid by the Hawaii Tourism Authority in FY 13 (cost shift from general fund to Inter-Departmental "U" Fund	U	-	\$ 612,000.00	-	\$ 612,000.00	-	\$ 612,000.00	-	\$ 612,000.00

Department of Budget and Finance
Position Vacant as of November 30, 2011

Table 11

Prog ID	Date of Vacancy	Position	Position Title	Grade	BU Code	Temp/P erm (T/P)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Exempt (Y/N)	Authority to Hire (Y/N)	Occupied by 89 Daye Hire (Y/N)
BUF101AA	08/10/11	00120321	Accountant V	SR24	73	P	1	A	\$25,656.00	\$17.81	N	Y	Y
BUF101AA	09/23/11	00047223	Departmental Pers Officer II	EM05	35	P	1	A	\$49,332.00	\$46,860.00	N	Y	N
BUF101BA	12/31/09	00023456	Program & Budget Policy Ofcer	EM07	35	P	1	A	\$97,350.00	\$103,968.00	N	N	N
BUF101BA	12/01/10	00022008	Program Budget Analyst V	SR24	73	P	1	A	\$64,920.00	\$64,920.00	N	N	N
BUF101BA	11/16/10	00000096	Program Budget Analyst V	SR24	73	P	1	A	\$67,488.00	\$23.43	N	Y	N
BUF101BA	04/20/11	00044263	Program Budget Analyst V	SR24	73	P	1	A	\$62,424.00	\$23.43	N	N	Y
BUF115CA	09/01/11	00004437	Account Clerk II	SR08	3	P	1	T	\$28,836.00	\$27,396.00	N	N	Y
BUF141FA	06/06/11	00004436	Accountant V	SR24	13	P	1	X	\$60,024.00	\$60,024.00	N	N	N
BUF141FA	05/02/11	00113314	Retirement Claims Examiner III	SR20	13	P	1	X	\$43,824.00	\$43,824.00	N	Y	Y
BUF141FA	04/01/11	00116328	Office Assistant III	SR08	03	P	1	X	\$26,700.00	\$26,700.00	N	N	N
BUF141FA	03/05/11	00118187	Retirement Claims Examiner III	SR20	13	P	1	X	\$43,824.00	\$43,824.00	N	Y	N
BUF143EU	11/01/11	00014960	Account Clerk III	SR11	03	P	1	T	\$28,836.00	\$27,396.00	N	Y	N
BUF143EU	09/01/11	00027886	Enrollment Technician	SRNA	03	P	1	T	\$27,756.00	\$26,364.00	Y	Y	N
BUF143EU	07/01/11	00120122	Enrollment Technician	SRNA	03	T	1	T	\$27,756.00	\$27,756.00	Y	Y	N
BUF143EU	08/17/11	00120121	Enrollment Technician	SRNA	03	T	1	T	\$27,756.00	\$26,364.00	Y	Y	N
BUF143EU	11/26/11	00118999	Enrollment Technician	SRNA	03	T	1	T	\$27,756.00	\$26,364.00	Y	Y	N
BUF143EU	07/01/11	00023885	Outreach & Training Specialist	SRNA	13	P	1	T	\$45,576.00	\$45,576.00	Y	Y	N
BUF143EU	09/01/11	00120340	Outreach & Training Specialist	SRNA	13	P	1	T	\$45,576.00	\$0.00	Y	Y	N
BUF151HA	02/12/09	00100947	Deputy Public Defender II	SRNA	73	P	1	A	\$65,268.00	\$65,268.00	Y	Y	N
BUF151HA	04/25/09	00102441	Deputy Public Defender II	SRNA	73	P	1	A	\$65,268.00	\$65,268.00	Y	Y	N
BUF151HA	05/20/11	00100551	Deputy Public Defender II	SRNA	73	P	1	A	\$65,268.00	\$65,268.00	Y	Y	N
BUF151HA	04/01/10	00102442	Deputy Public Defender II	SRNA	73	T	1	A	\$65,268.00	\$65,268.00	Y	Y	N
BUF151HA	11/27/10	00101835	Deputy Public Defender II	SRNA	73	T	1	A	\$65,268.00	\$65,268.00	Y	Y	N
BUF151HA	04/01/11	00102444	Deputy Public Defender IV	SRNA	73	P	1	A	\$89,580.00	\$89,580.00	Y	Y	N
BUF151HA	07/11/11	00101000	PD Clerk	SR08	03	P	0.5	A	\$15,606.00	\$14,826.00	Y	Y	N
BUF151HA	09/01/09	00101701	PD Legal Office Assistant	SR14	03	P	1	A	\$32,424.00	\$42,684.00	Y	Y	N
BUF151HA	09/11/09	00100557	PD Legal Office Assistant	SR14	03	P	1	A	\$32,424.00	\$36,516.00	Y	Y	N
BUF901MA	11/01/11	00036924	Office Assistant III	SR08	03	P	1	B	\$27,756.00	\$26,364.00	N	Y	N
BUF901MA	03/08/07	00042690	Investigator IV	SR22	13	P	1	B	\$21,072.00	\$42,144.00	N	Y	N
BUF901MA	12/01/10	00044863	Puc District Representative	SR22	13	P	1	B	\$67,488.00	\$67,488.00	N	Y	N
BUF901MA	12/29/07	00102100	Research Asst (PUC)	SRNA	13	P	1	B	\$38,304.00	\$76,608.00	Y	Y	N
BUF901MA	10/01/03	00102458	Legal Stenographer I	SR14	03	P	1	B	\$20,520.00	\$41,040.00	Y	Y	N
BUF901MA	11/22/05	00102524	Research Assistant (PUC)	SRNA	13	P	1	B	\$29,922.00	\$59,844.00	Y	Y	N
BUF901MA	01/12/08	00106174	Investigator IV	SR22	13	P	1	B	\$21,072.00	\$43,824.00	N	Y	N
BUF901MA	05/03/07	00117097	Information Technol Spclt IV	SR22	13	P	1	B	\$42,100.00	\$42,144.00	N	Y	N
BUF901MA	03/16/11	00118031	Enforcement Officer	SRNA	13	P	1	B	\$23,706.00	\$0.00	Y	Y	N

**Department of Budget and Finance
Personnel Separations from 7/01/10 to 11/30/11**

Table 12

<u>Prog ID/Org</u>	<u>Separation Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>
BUF101AA	07/30/11	00100150	Deputy Director	SRNA	00	P	A	1	\$105,528.00	1	\$97,524.00
BUF101AA	12/06/10	00100131	Director Of Finance	SRNA	00	P	A	1	\$129,024.00	1	\$108,972.00
BUF101BA	04/20/11	00044263	Program Budget Analyst V	SR24	73	P	A	1	\$62,424.00	1	\$57,708.00
BUF115CA	09/01/11	00004437	Account Clerk II	SR08	03	P	T	1	\$28,836.00	1	\$27,396.00
BUF115CA	12/03/10	00119536	Unclaimed Property Program Spc	SRNA	13	T	T	1	\$42,132.00	1	\$38,988.00
BUF115CA	12/03/10	00119537	Unclaimed Property Program Spc	SRNA	13	T	T	1	\$42,132.00	1	\$38,988.00
BUF115CA	12/03/10	00119538	Unclaimed Property Program Spc	SRNA	13	T	T	1	\$42,132.00	1	\$38,988.00
BUF115CA	12/03/10	00119539	UnclaimedPropPgmMobileSvcsAgn	SRNA	03	T	T	1	\$25,668.00	1	\$25,668.00
BUF141FA	09/01/11	00003279	Retirement Claims Examiner V	SR24	23	P	X	1	\$60,024.00	1	\$57,024.00
BUF141FA	07/01/10	00011493	Assistant Retirement Sys Admr	EM07	35	P	X	1	\$110,000.00	1	\$100,104.00
BUF141FA	07/01/10	00012353	Secretary IV	SR18	63	P	X	1	\$51,936.00	1	\$58,440.00
BUF141FA	04/01/11	00012353	Secretary IV	SR18	63	P	X	1	\$51,936.00	1	\$51,936.00
BUF141FA	12/01/10	00102686	Office Assistant IV	SR10	03	P	X	1	\$28,836.00	1	\$31,212.00
BUF141FA	07/01/10	00107820	Retirement Sys Administrator	SRNA	93	R	X	1	\$125,000.00	1	\$105,000.00
BUF141FA	01/12/11	00116680	Investment Specialist	SR24	73	P	X	1	\$67,488.00	1	\$24,67/hr
BUF141FA	09/24/10	00116682	Office Assistant III	SR08	03	P	X	1	\$25,668.00	1	\$27,756.00
BUF143EU	03/05/11	00118187	Retirement Claims Examiner III	SR20	13	P	X	1	\$43,824.00	1	\$43,824.00
BUF143EU	09/01/11	00027886	Enrollment Technician	SRNA	03	P	T	1	\$27,756.00	1	\$26,364.00
BUF143EU	02/08/11	00118999	Enrollment Technician	SRNA	03	T	T	1	\$27,756.00	1	\$25,668.00
BUF143EU	08/17/11	00120121	Enrollment Technician	SRNA	03	T	T	1	\$27,756.00	1	\$26,364.00
BUF143EU	07/01/11	00120122	Enrollment Technician	SRNA	03	T	T	1	\$27,756.00	1	\$27,756.00
BUF143EU	07/20/10	00116355	EUTF Customer Svc Rep	SRNA	03	T	T	1	\$27,756.00	1	\$25,668.00
BUF143EU	10/16/10	00116355	EUTF Customer Svc Rep	SRNA	03	T	T	1	\$27,756.00	1	\$25,667.20
BUF143EU	10/01/10	00116735	EUTF Customer Svc Rep	SRNA	03	P	T	1	\$27,756.00	1	\$25,668.00
BUF143EU	07/01/11	00116735	EUTF Customer Svc Rep	SRNA	03	P	T	1	\$27,756.00	1	\$27,756.00
BUF143EU	01/01/11	00117590	EUTF Customer Svc Rep	SRNA	03	P	T	1	\$27,756.00	1	\$25,668.00
BUF143EU	07/01/11	00117591	EUTF Customer Svc Rep	SRNA	03	P	T	1	\$27,756.00	1	\$27,756.00
BUF143EU	01/11/11	00112874	Health Ben Trust Fund Admr	SRNA	93	P	T	1	\$105,000.00	1	\$108,960.00
BUF143EU	11/10/10	00116357	Member Services Clerk	SRNA	03	T	T	1	\$27,756.00	1	\$25,668.00
BUF143EU	02/05/11	00116357	Member Services Clerk	SRNA	03	T	T	1	\$27,756.00	1	\$25,668.00
BUF143EU	07/01/11	00023885	Outreach & Training Specialist	SRNA	13	P	T	1	\$45,576.00	1	\$45,576.00
BUF151HA	08/04/10	00101700	Clerk III	SR08	03	P	A	1	\$25,668.00	1	\$33,756.00
BUF151HA	04/01/11	00100534	Deputy Public Defender II	SRNA	73	P	A	1	\$65,268.00	1	\$65,268.00
BUF151HA	05/20/11	00100551	Deputy Public Defender II	SRNA	73	P	A	1	\$65,268.00	1	\$65,268.00
BUF151HA	11/27/10	00101835	Deputy Public Defender II	SRNA	73	T	A	1	\$65,268.00	1	\$65,268.00
BUF151HA	07/31/10	00101992	Deputy Public Defender II	SRNA	73	T	A	1	\$65,268.00	1	\$65,268.00
BUF151HA	04/01/11	00101995	Deputy Public Defender II	SRNA	73	T	A	1	\$65,268.00	1	\$65,268.00
BUF151HA	07/01/10	00101998	Deputy Public Defender II	SRNA	73	T	A	1	\$65,268.00	1	\$65,268.00

**Department of Budget and Finance
Personnel Separations from 7/01/10 to 11/30/11**

Table 12

<u>Prog ID/Org</u>	<u>Separation Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>
BUF151HA	07/07/10	00100404	Deputy Public Defender III	SRNA	73	T	A	1	\$78,288.00	1	\$78,288.00
BUF151HA	06/01/11	00100548	Deputy Public Defender III	SRNA	73	P	A	1	\$78,288.00	1	\$78,288.00
BUF151HA	11/01/11	00101603	Deputy Public Defender III	SRNA	73	P	A	1	\$74,376.00	1	\$74,376.00
BUF151HA	10/02/10	00101673	Deputy Public Defender III	SRNA	73	T	A	1	\$78,288.00	1	\$78,288.00
BUF151HA	12/08/10	00101679	Deputy Public Defender IV	SRNA	73	T	A	1	\$89,580.00	1	\$89,580.00
BUF151HA	04/01/11	00102444	Deputy Public Defender IV	SRNA	73	P	A	1	\$89,580.00	1	\$89,580.00
BUF151HA	03/18/11	00102445	Deputy Public Defender IV	SRNA	73	T	A	1	\$89,580.00	1	\$89,580.00
BUF151HA	05/27/11	00100550	Deputy Public Defender V	SRNA	93	P	A	1	\$102,444.00	1	\$102,444.00
BUF151HA	06/01/11	00101698	PD Clerk	SR08	03	P	A	0.5	\$16,878.00	0.5	\$33,756.00
BUF151HA	11/01/11	00100602	PD Legal Office Assistant	SR14	03	P	A	1	\$49,932.00	1	\$47,436.00
BUF151HA	12/31/10	00102110	Public Defender Investigator	SR24	13	P	A	1	\$51,312.00	1	\$75,960.00
BUF151HA	06/01/11	00100421	Secretary to the Pub Defender	SR20	63	P	A	1	\$60,744.00	1	\$60,744.00
BUF901MA	06/01/11	00042786	Secretary II	SR14	63	P	B	1	\$48,048.00	1	\$48,048.00
BUF901MA	12/01/10	00044863	Puc District Representative	SR22	13	P	B	1	\$67,488.00	1	\$67,488.00
BUF901MA	01/11/11	00100955	Commissioner, Puc	SRNA	00	P	B	1	\$90,055.00	1	\$85,560.00
BUF901MA	09/01/11	00100955	Commissioner, Puc	SRNA	00	P	B	1	\$90,055.00	1	\$85,560.00
BUF901MA	10/01/11	00102678	Puc Attorney	SRNA	73	P	B	1	\$65,004.00	1	\$61,752.00
BUF901MA	07/07/11	00117634	PUC Attorney (Gas Cap)	SRNA	73	P	B	1	\$61,524.00	1	\$82,656.00

Department of Budget and Finance
New Hires from 7/1/10 to 11/30/11

Table 13

Prog ID/Org	New Hire Effective Date	Position Number	Position Title	SR Level	BU Code	T/P	MOF	Budgeted FTE	Budgeted Salary	Actual FTE	Actual Salary
BUF101AA	12/06/10	00100150	Deputy Director	SRNA	00	P	A	1	\$ 105,528.00	1	\$ 97,524.00
BUF101AA	09/01/11	00100150	Deputy Director	SRNA	00	P	A	1	\$ 105,528.00	1	\$ 97,524.00
BUF101AA	12/07/10	00100131	Director Of Finance	SRNA	00	P	A	1	\$ 129,024.00	1	\$ 108,972.00
BUF101AA	02/14/11	00100056	Private Secretary II	SR22	63	P	A	1	\$ 49,932.00	1	\$ 56,172.00
BUF101AA	12/07/10	00100037	Private Secretary III	SR24	63	P	A	1	\$ 63,204.00	1	\$ 58,440.00
BUF101BA	07/01/10	00119503	Econ Recovery & Rein Admin	SRNA	73	T	A	1	\$ 81,270.00	1	\$ 108,360.00
BUF101BA	07/01/10	00119504	Econ Recovery & Rein Pgm Spec	SRNA	73	T	A	1	\$ 42,129.00	1	\$ 56,172.00
BUF101BA	11/16/10	00009707	Prgm & Budget Analysis Mgr II	EM07	35	P	A	1	\$ 80,988.00	1	\$ 80,988.00
BUF101BA	12/01/10	00010769	Program Budget Analyst VI	SR26	73	P	A	1	\$ 70,224.00	1	\$ 70,224.00
BUF115CA	09/01/11	00027104	Accountant IV	SR22	73	P	A	1	\$ 45,576.00	1	\$ 46,860.00
BUF115CA	02/22/11	00019043	Accountant V	SR24	73	P	U	1	\$ 45,588.00	1	\$ 51,312.00
BUF115CA	12/03/10	00120126	Office Assistant III	SR08	03	P	T	1	\$ 25,668.00	1	\$ 25,668.00
BUF115CA	03/14/11	00120127	Office Assistant III	SR08	03	P	T	1	\$ 25,668.00	1	\$ 25,668.00
BUF115CA	12/03/10	00120194	Program Specialist III	SR20	13	P	T	1	\$ 42,132.00	1	\$ 42,132.00
BUF115CA	12/03/10	00120195	Program Specialist III	SR20	13	P	T	1	\$ 42,132.00	1	\$ 42,132.00
BUF115CA	12/03/10	00120196	Program Specialist III	SR20	13	P	T	1	\$ 42,132.00	1	\$ 42,132.00
BUF115CA	07/01/10	00119536	Unclaimed Property Program Spc	SRNA	13	T	T	1	\$ 35,388.00	1	\$ 38,988.00
BUF115CA	07/01/10	00119537	Unclaimed Property Program Spc	SRNA	13	T	T	1	\$ 35,388.00	1	\$ 38,988.00
BUF115CA	07/01/10	00119538	Unclaimed Property Program Spc	SRNA	13	T	T	1	\$ 35,388.00	1	\$ 38,988.00
BUF115CA	07/01/10	00119539	UnclaimedPropPgmMobileSvcsAgnt	SRNA	03	T	T	1	\$ 23,304.00	1	\$ 25,668.00
BUF141FA	06/06/11	00003273	Retirement Sys Accounting Mgr	EM05	35	P	X	1	\$ 71,760.00	1	\$ 71,760.00
BUF141FA	02/16/11	00003768	Secretary III	SR16	63	P	X	1	\$ 51,936.00	1	\$ 51,936.00
BUF141FA	09/01/10	00003857	Retirement System Prgm Spc	SR24	13	P	X	1	\$ 57,708.00	1	\$ 57,708.00
BUF141FA	03/28/11	00011493	Assistant Retirement Sys Admr	EM07	35	P	X	1	\$ 110,000.00	1	\$ 110,000.04
BUF141FA	07/16/10	00012353	Secretary IV	SR18	63	P	X	1	\$ 51,936.00	1	\$ 51,936.00
BUF141FA	06/29/11	00012353	Secretary IV	SR18	63	P	X	1	\$ 51,936.00	1	\$ 37,968.00
BUF141FA	11/16/10	00035479	Retirement Claims Examiner I	SR16	13	P	X	1	\$ 36,024.00	1	\$ 36,024.00
BUF141FA	05/02/11	00036371	Retirement Claims Examiner IV	SR22	13	R	X	1	\$ 45,576.00	1	\$ 47,412.00
BUF141FA	11/16/10	00042442	Retirement Claims Examiner I	SR16	13	P	X	1	\$ 36,024.00	1	\$ 36,024.00
BUF141FA	04/01/11	00102686	Office Assistant IV	SR10	03	P	X	1	\$ 28,836.00	1	\$ 28,836.00
BUF141FA	07/01/10	00107820	Retirement Sys Administrator	SRNA	93	P	X	1	\$ 125,000.00	1	\$ 125,000.04
BUF141FA	11/16/10	00113176	Retirement Claims Examiner I	SR16	13	P	X	1	\$ 36,024.00	1	\$ 36,024.00
BUF141FA	04/04/11	00116680	Investment Specialist	SR24	13	P	X	1	\$ 67,488.00	1	\$ 67,488.00
BUF141FA	06/20/11	00116682	Office Assistant III	SR08	03	P	X	1	\$ 25,668.00	1	\$ 25,668.00
BUF141FA	11/16/10	00116868	Retirement Claims Examiner I	SR16	13	P	X	1	\$ 36,024.00	1	\$ 36,024.00
BUF141FA	01/18/11	00117311	Office Assistant III	SR08	03	P	X	1	\$ 25,668.00	1	\$ 25,668.00

Department of Budget and Finance
New Hires from 7/1/10 to 11/30/11

Table 13

Prog ID/Org	New Hire Effective Date	Position Number	Position Title	SR Level	BU Code	T/P	MOF	Budgeted FTE	Budgeted Salary	Actual FTE	Actual Salary
BUF141FA	06/01/11	00117312	Office Assistant III	SR08	03	T	X	1	\$ 25,668.00	1	\$ 25,668.00
BUF141FA	11/16/10	00118188	Retirement Claims Examiner I	SR16	13	P	X	1	\$ 36,024.00	1	\$ 36,024.00
BUF143EU	08/01/11	00017470	Account Clerk III	SR11	03	P	T	1	\$ 25,668.00	1	\$ 27,396.00
BUF143EU	12/01/10	00120197	Customer Service Supervisor	SRNA	03	P	T	1	\$ 32,424.00	1	\$ 32,424.00
BUF143EU	12/06/10	00027886	Enrollment Technician	SRNA	03	P	T	1	\$ 27,756.00	1	\$ 27,756.00
BUF143EU	11/18/10	00120119	Enrollment Technician	SRNA	03	P	T	1	\$ 27,756.00	1	\$ 27,756.00
BUF143EU	09/09/10	00120120	Enrollment Technician	SRNA	03	T	T	1	\$ 27,756.00	1	\$ 27,756.00
BUF143EU	09/08/10	00120121	Enrollment Technician	SRNA	03	T	T	1	\$ 27,756.00	1	\$ 27,756.00
BUF143EU	09/20/10	00120122	Enrollment Technician	SRNA	03	T	T	1	\$ 27,756.00	1	\$ 27,756.00
BUF143EU	08/23/10	00119018	EUTF Accountant	SRNA	13	T	T	1	\$ 47,712.00	1	\$ 47,412.00
BUF143EU	06/16/11	00116355	EUTF Customer Svc Rep	SRNA	03	T	T	1	\$ 27,756.00	1	\$ 27,756.00
BUF143EU	01/31/11	00116356	EUTF Customer Svc Rep	SRNA	03	T	T	1	\$ 27,756.00	1	\$ 27,756.00
BUF143EU	08/02/11	00116735	EUTF Customer Svc Rep	SRNA	03	P	T	1	\$ 27,756.00	1	\$ 26,364.00
BUF143EU	01/03/11	00116735	EUTF Customer Svc Rep	SRNA	03	P	T	1	\$ 27,756.00	1	\$ 27,756.00
BUF143EU	11/24/10	00116735	EUTF Customer Svc Rep	SRNA	03	P	T	1	\$ 27,756.00	1	\$ 27,756.00
BUF143EU	01/04/11	00117590	EUTF Customer Svc Rep	SRNA	03	P	T	1	\$ 27,756.00	1	\$ 27,756.00
BUF143EU	01/04/11	00117591	EUTF Customer Svc Rep	SRNA	03	P	T	1	\$ 27,756.00	1	\$ 27,756.00
BUF143EU	09/01/11	00117591	EUTF Customer Svc Rep	SRNA	03	P	T	1	\$ 27,756.00	1	\$ 26,364.00
BUF143EU	08/24/10	00017471	EUTF Enrollment Supervisor	SRNA	03	P	T	1	\$ 33,756.00	1	\$ 33,756.00
BUF143EU	08/16/10	00119002	EUTF Info Systems Specialist	SRNA	13	T	T	1	\$ 45,576.00	1	\$ 45,576.00
BUF143EU	12/21/10	00119003	EUTF Info Systems Specialist	SRNA	13	T	T	1	\$ 45,576.00	1	\$ 45,576.00
BUF143EU	08/23/10	00120123	EUTF IT DP Systems Analyst	SRNA	13	P	T	1	\$ 47,412.00	1	\$ 47,412.00
BUF143EU	10/06/10	00120124	EUTF IT DP Systems Analyst	SRNA	13	T	T	1	\$ 45,576.00	1	\$ 45,576.00
BUF143EU	03/16/11	00120212	EUTF Systems Accountant	SRNA	13	P	T	1	\$ 45,576.00	1	\$ 45,576.00
BUF143EU	02/01/11	00112874	Health Ben Trust Fund Admr	SRNA	93	P	T	1	\$ 105,000.00	1	\$ 105,000.00
BUF143EU	11/01/10	00112874	Health Ben Trust Fund Admr	SRNA	93	P	T	1	\$ 105,000.00	1	\$ 108,960.00
BUF143EU	08/01/10	00113038	Health Benefits TF Asst Admr	SRNA	93	P	T	1	\$ 96,000.00	1	\$ 96,000.00
BUF143EU	03/03/11	00116357	Member Services Clerk	SRNA	03	T	T	1	\$ 27,756.00	1	\$ 25,668.00
BUF143EU	10/06/10	00116357	Member Services Clerk	SRNA	03	T	T	1	\$ 27,756.00	1	\$ 25,668.00
BUF143EU	11/22/10	00116357	Member Services Clerk	SRNA	03	T	T	1	\$ 27,756.00	1	\$ 25,668.00
BUF143EU	12/03/10	00120193	Member Services Specialist	SRNA	13	P	T	1	\$ 36,024.00	1	\$ 36,024.00
BUF143EU	06/06/11	00013050	Secretary III	SR16	63	P	T	1	\$ 35,064.00	1	\$ 37,968.00
BUF143EU	09/01/11	00120317	Sr Health Benefits Analyst	SRNA	13	P	T	1	\$ 45,576.00	1	\$ 43,296.00
BUF151HA	03/21/11	00101700	Clerk III	SRNA	03	P	A	1	\$ 25,668.00	1	\$ 25,668.00
BUF151HA	09/26/11	00100884	Deputy Public Defender I	SRNA	73	P	A	1	\$ 54,216.00	1	\$ 54,216.00
BUF151HA	10/17/11	00101243	Deputy Public Defender I	SRNA	73	T	A	1	\$ 54,216.00	1	\$ 54,216.00

Department of Budget and Finance
New Hires from 7/1/10 to 11/30/11

Table 13

Prog ID/Org	New Hire Effective Date	Position Number	Position Title	SR Level	BU Code	T/P	MOF	Budgeted FTE	Budgeted Salary	Actual FTE	Actual Salary
BUF151HA	08/16/11	00102108	Deputy Public Defender I	SRNA	73	P	A	1	\$ 54,216.00	1	\$ 54,216.00
BUF151HA	08/30/11	00102278	Deputy Public Defender I	SRNA	73	T	A	1	\$ 54,216.00	1	\$ 54,216.00
BUF151HA	04/04/11	00100534	Deputy Public Defender II	SRNA	73	P	A	1	\$ 65,268.00	1	\$ 65,268.00
BUF151HA	09/16/10	00100547	Deputy Public Defender II	SRNA	73	P	A	1	\$ 65,268.00	1	\$ 65,268.00
BUF151HA	09/26/11	00101086	Deputy Public Defender II	SRNA	73	P	A	1	\$ 62,004.00	1	\$ 62,004.00
BUF151HA	07/25/11	00101209	Deputy Public Defender II	SRNA	73	T	A	1	\$ 62,004.00	1	\$ 62,004.00
BUF151HA	01/11/11	00101264	Deputy Public Defender II	SRNA	73	T	A	1	\$ 65,268.00	1	\$ 65,268.00
BUF151HA	07/05/11	00101671	Deputy Public Defender II	SRNA	73	T	A	1	\$ 62,004.00	1	\$ 62,004.00
BUF151HA	10/17/11	00101992	Deputy Public Defender II	SRNA	73	T	A	1	\$ 62,004.00	1	\$ 62,004.00
BUF151HA	08/09/11	00101995	Deputy Public Defender II	SRNA	73	T	A	1	\$ 62,004.00	1	\$ 62,004.00
BUF151HA	03/02/11	00101998	Deputy Public Defender II	SRNA	73	T	A	1	\$ 65,268.00	1	\$ 65,268.00
BUF151HA	08/02/10	00102107	Deputy Public Defender II	SRNA	73	P	A	1	\$ 65,268.00	1	\$ 65,268.00
BUF151HA	01/24/11	00102109	Deputy Public Defender II	SRNA	73	T	A	1	\$ 65,268.00	1	\$ 65,268.00
BUF151HA	07/14/10	00102276	Deputy Public Defender II	SRNA	73	P	A	1	\$ 65,268.00	1	\$ 65,268.00
BUF151HA	03/21/11	00102277	Deputy Public Defender II	SRNA	73	P	A	1	\$ 65,268.00	1	\$ 65,268.00
BUF151HA	08/16/11	00102673	Deputy Public Defender II	SRNA	73	P	A	1	\$ 62,004.00	1	\$ 62,004.00
BUF151HA	04/04/11	00100404	Deputy Public Defender III	SRNA	73	T	A	1	\$ 78,288.00	1	\$ 78,288.00
BUF151HA	03/21/11	00100420	Deputy Public Defender III	SRNA	73	T	A	1	\$ 78,288.00	1	\$ 78,288.00
BUF151HA	07/25/11	00100546	Deputy Public Defender III	SRNA	73	P	A	1	\$ 74,376.00	1	\$ 74,376.00
BUF151HA	08/16/11	00100548	Deputy Public Defender III	SRNA	73	P	A	1	\$ 74,376.00	1	\$ 74,376.00
BUF151HA	09/26/11	00101087	Deputy Public Defender III	SRNA	73	P	A	1	\$ 74,376.00	1	\$ 74,376.00
BUF151HA	06/16/11	00101090	Deputy Public Defender III	SRNA	73	P	A	1	\$ 78,288.00	1	\$ 78,288.00
BUF151HA	01/11/11	00101673	Deputy Public Defender III	SRNA	73	T	A	1	\$ 78,288.00	1	\$ 78,288.00
BUF151HA	08/12/10	00102674	Deputy Public Defender III	SRNA	73	P	A	1	\$ 78,288.00	1	\$ 78,288.00
BUF151HA	08/02/10	00107818	Deputy Public Defender III	SRNA	73	T	A	1	\$ 78,288.00	1	\$ 78,288.00
BUF151HA	08/18/10	00113205	Deputy Public Defender III	SRNA	73	P	A	1	\$ 78,288.00	1	\$ 78,288.00
BUF151HA	07/14/10	00100612	Deputy Public Defender IV	SRNA	73	P	A	1	\$ 89,580.00	1	\$ 89,580.00
BUF151HA	06/16/11	00101678	Deputy Public Defender IV	SRNA	73	T	A	1	\$ 89,580.00	1	\$ 89,580.00
BUF151HA	03/21/11	00101679	Deputy Public Defender IV	SRNA	73	T	A	1	\$ 89,580.00	1	\$ 89,580.00
BUF151HA	03/21/11	00101680	Deputy Public Defender IV	SRNA	73	P	A	1	\$ 89,580.00	1	\$ 89,580.00
BUF151HA	07/25/11	00102445	Deputy Public Defender IV	SRNA	73	T	A	1	\$ 85,104.00	1	\$ 85,104.00
BUF151HA	06/16/11	00100550	Deputy Public Defender V	SRNA	93	P	A	1	\$ 102,444.00	1	\$ 102,444.00
BUF151HA	08/02/10	00100609	Deputy Public Defender V	SRNA	93	P	A	1	\$ 102,444.00	1	\$ 102,444.00
BUF151HA	03/21/11	00100951	Deputy Public Defender V	SRNA	93	P	A	1	\$ 102,444.00	1	\$ 102,444.00
BUF151HA	07/14/10	00101088	Deputy Public Defender V	SRNA	93	P	A	1	\$ 102,444.00	1	\$ 102,444.00
BUF151HA	07/11/11	00100590	Fiscal Clerk (Pub Def)	SRNA	03	P	A	1	\$ 39,480.00	1	\$ 37,512.00

Department of Budget and Finance
New Hires from 7/1/10 to 11/30/11

Table 13

<u>Prog ID/Org</u>	<u>New Hire Effective Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>
BUF151HA	09/26/11	00101698	PD Clerk	SRNA	03	P	A	0.5	\$ 16,878.00	0.5	\$ 12,192.00
BUF151HA	04/06/11	00102110	Public Defender Investigator	SRNA	13	P	A	1	\$ 51,312.00	1	\$ 51,312.00
BUF151HA	07/11/11	00100421	Secretary to the Pub Defender	SRNA	63	P	A	1	\$ 60,744.00	1	\$ 45,648.00
BUF901MA	01/03/11	00002791	Chief Clerk II (Board Or Comm)	SR19	03	P	B	1	\$ 39,480.00	1	\$ 39,480.00
BUF901MA	11/01/11	00029556	Office Assistant IV	SR10	03	P	B	1	\$ 36,516.00	1	\$ 28,536.00
BUF901MA	06/01/11	00035210	Office Assistant IV	SR10	03	P	B	1	\$ 27,756.00	1	\$ 27,756.00
BUF901MA	08/16/11	00042786	Secretary II	SR14	63	P	B	1	\$ 48,048.00	1	\$ 38,988.00
BUF901MA	04/11/11	00100542	PUC Attorney	SRNA	73	P	B	1	\$ 91,008.00	1	\$ 91,008.00
BUF901MA	03/15/11	00100953	Puc Commission Chair	SRNA	00	P	B	1	\$ 94,795.00	1	\$ 90,060.00
BUF901MA	03/15/11	00100955	Commissioner, Puc	SRNA	00	P	B	1	\$ 90,055.00	1	\$ 85,560.00
BUF901MA	09/22/11	00100955	Commissioner, Puc	SRNA	00	P	B	1	\$ 90,055.00	1	\$ 85,560.00
BUF901MA	08/01/11	00102102	Research Asst (PUC)	SRNA	13	P	B	1	\$ 66,888.00	1	\$ 54,624.00
BUF901MA	04/12/11	00102437	Chief Researcher	SRNA	93	P	B	1	\$ 91,008.00	1	\$ 91,008.00
BUF901MA	08/23/10	00102678	Puc Attorney	SRNA	73	P	B	1	\$ 65,004.00	1	\$ 65,004.00
BUF901MA	11/07/11	00102678	Puc Attorney	SRNA	73	P	B	1	\$ 65,004.00	1	\$ 65,004.00
BUF901MA	04/11/11	00117634	PUC Attorney (Gas Cap)	SRNA	73	P	B	1	\$ 61,524.00	1	\$ 87,000.00
BUF901MA	10/24/11	00117643	Office Assistant III	SR08	63	P	B	1	\$ 23,688.00	1	\$ 24,377.60

**Department of Budget and Finance
RIF Related Grievances**

Table 14

<u>Prog ID/Org</u>	<u>Position Number</u>	<u>Position Title</u>	<u>SR Level</u>	<u>BU</u>	<u>T/P</u>	<u>MOF</u>	<u>FTE</u>	<u>RIF Date</u>	<u>Grievance Date</u>	<u>Current Status</u>
	No RIF Grievances have been made for the Department of Budget and Finance to date									

Department of Budget and Finance
Expenditures Exceeding Appropriation Ceilings

Table 15

Prog ID	MOF	Date of Increase	Appropriation Ceiling	Amount Exceeding Appropriation	Increase Percent	Reason for Exceeding Ceiling	Recurring (Y/N)	GF Impact (Y/N)
There were no instances related to the department exceeding the appropriation ceilings during FY 11								

Department of Budget and Finance
Federal Grants

Table 16

<u>Prog ID</u>	<u>CFDA No.</u>	<u>Award Description</u>	<u>Awarding Federal Agency</u>	<u>Anticipated or Actual Date of Award</u>	<u>Anticipated or Actual Award Amount</u>	<u>State Fiscal Year</u>	<u>State Matching Requirement or Other Commitment (Describe)</u>	<u>Anticipated Reduction or Discontinuance (Y/N)</u>	<u>Comments</u>
<u>This table is not applicable to the Department of Budget and Finance programs and attached agencies</u>									

Department of Budget and Finance
Intrdepartmental Transfer of Funds

Table 17

Anticipated or Actual Date of Transfer	MOF	Amount of Transfer	From Prog ID	Percent of Imparting Program ID Appropriation	To Prog ID	Percent of Receiving Program ID Appropriation	Reason for Transfer	Recurring (Y/N)
11/2011	A	\$ 73,841	BUF 101	0.7%	BUF 741	0.0%	Section 96 of Act 164, SLH 2011 (labor savings)	Y *
11/2011	A	\$ 24,754	BUF 115	1.4%	BUF 741	0.0%	Section 96 of Act 164, SLH 2011 (labor savings)	Y *
11/2011	T	\$ 17,588	BUF 115	0.3%	BUF 741	0.0%	Section 96 of Act 164, SLH 2011 (labor savings)	Y *
11/2011	U	\$ -	BUF 115	0.0%	BUF 741	0.0%	Section 96 of Act 164, SLH 2011 (labor savings)	Y *
11/2011	X	\$ 246,144	BUF 141	2.3%	BUF 741	0.1%	Section 96 of Act 164, SLH 2011 (labor savings)	Y *
11/2011	T	\$ 64,484	BUF 143	1.3%	BUF 741	0.0%	Section 96 of Act 164, SLH 2011 (labor savings)	Y *
11/2011	A	\$ 320,960	BUF 151	3.3%	BUF 741	0.2%	Section 96 of Act 164, SLH 2011 (labor savings)	Y *
11/2011	B	\$ 109,106	BUF 901	1.0%	BUF 741	0.1%	Section 96 of Act 164, SLH 2011 (labor savings)	Y *
11/2011	A	\$ 7,702,099	BUF 745	2.7%	BUF 741	4.1%	Section 96 of Act 164, SLH 2011 (labor savings)	Y *
11/2011	A	\$ 3,382,283	BUF 748	2.7%	BUF 741	1.8%	Section 96 of Act 164, SLH 2011 (labor savings)	Y *
11/2011	A	\$ 508,579	BUF 101	4.5%	BUF 761	0.4%	Section 97 of Act 164, SLH 2011 (prog review savings)	Y *
11/2011	A	\$ 4,584,000	BUF 721	1.8%	BUF 761	3.3%	Section 97 of Act 164, SLH 2011 (prog review savings)	Y *
11/2011	A	\$ 3,953,000	BUF 725	1.8%	BUF 761	2.9%	Section 97 of Act 164, SLH 2011 (prog review savings)	Y *
11/2011	A	\$ 1,463,000	BUF 728	1.8%	BUF 761	1.1%	Section 97 of Act 164, SLH 2011 (prog review savings)	Y *
* amounts for FY 13 may vary from the FY 12 amounts which are reflected								

Department of Budget and Finance
Interdepartmental Transfer of Funds

Table 18

Anticipate d or Actual Date of	MOE	Amount of Transfer	From Prog ID	Percent of Imparting Program ID		To Prog ID	Percent of Receiving Program ID Appropriation	Transfer Category LS/PR/O	Reason for Transfer (O - Other)	Recurring (Y/N)
				Appropriation	Appropriation					
FY 12	various	\$ 224,189	Dept of Agriculture	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 972,457	Dept of Acc & Genrl Svcs	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 705,044	Dept of Attorney General	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 182,469	Dept of Bus Econ Develop & Tourism	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 11,503,937	Dept of Budget & Finance	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ -	Dept of Comm & Cnsmer Affairs	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 220,704	Dept of Defense	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 36,988,500	Dept of Education	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 1,281,083	Dept of Education Charter Schl	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 781,235	Hawaii Public Libraries	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 81,239	Governor's Office	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ -	Hawaiian Home Lands	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 1,796,502	Dept of Human Services	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 214,027	Dept of Human Resources Develop	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 4,517,645	Dept of Health	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 10,264,415	Hawaii Health Sys Corp	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 358,599	Dept of Labor	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 736,791	Dept of Land & Natural Resources	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 27,557	Lieutenant Governor's Office	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 4,437,317	Dept of Public Safety	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 635,812	Dept of Taxation	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ -	Dept of Transp	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 4,655,144	University of Hawaii	Various	Various	BUF 741		LS	Section 96 of Act 164, SLH 2011 (labor savings)	Y" (for FY 13)
FY 12	various	\$ 454,415	Dept of Agriculture (various)	Various	Various	BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ 1,000,000	Dept of Acc & Genrl Svcs (various)	Various	Various	BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ 570,242	Dept of Attorney General	Various	Various	BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ 76,000	Dept of Bus Econ Develop & Tourism	Various	Various	BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ 10,508,579	Dept of Budget & Finance	Various	Various	BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ -	Dept of Comm & Cnsmer Affairs	Various	Various	BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ 128,675	Dept of Defense	Various	Various	BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ -	Dept of Education	Various	Various	BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ -	Dept of Education Charter Schl	Various	Various	BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ 16,690	Hawaii Public Libraries	Various	Various	BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ -	Governor's Office	Various	Various	BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ -	Hawaiian Home Lands	Various	Various	BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ 8,028,000	Dept of Human Services	Various	Various	BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ -	Dept of Human Resources Develop	Various	Various	BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y

Department of Budget and Finance
Interdepartmental Transfer of Funds

Table 18

<u>Anticipate d or Actual Date of</u>	<u>MOE</u>	<u>Amount of Transfer</u>	<u>From Prog ID</u>	<u>Percent of Imparting Program ID Appropriation</u>	<u>To Prog ID</u>	<u>Percent of Receiving Program ID Appropriation</u>	<u>Transfer Category LS/PR/O</u>	<u>Reason for Transfer (O - Other)</u>	<u>Recurring (Y/N)</u>
FY 12	various	\$ 5,397,744	Dept of Health		BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ -	Hawaii Health Sys Corp		BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ 50,000	Dept of Labor		BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ 460,429	Dept of Land & Natural Resources		BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ -	Lieutenant Governor's Office		BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ -	Dept of Public Safety		BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ -	Dept of Taxation		BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ 3,000,000	Dept of Transp		BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y
FY 12	various	\$ 3,000,000	University of Hawaii		BUF 761		PR	Section 97 of Act 164, SLH 2011 (prog review savings)	Y

Department of Budget and Finance
Active Contracts

Table 19

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	Term of Contract		Organization	Category G/S/E/L	Description	Explanation of How Contract Is Monitored	POS Y/N
							From	To					
This information will be submitted at a later date													

Department of Budget and Finance
CIP Summary

Table 20

Priority	Project Title	FY13 \$\$\$	MOF
There are no departmental requests to adjust/add new CIP other than as already authorized by Act 164, SLH 2011.			

Department of Budget and Finance
Division Resources

Table 21

Division	Associated Program IDs									
	BUF 101	BUF 741	BUF 745	BUF 748	BUF 761	BUF 765	BUF 768			
Departmental Administration and Budget Division										
Financial Administration	BUF 115	BUF 721	BUF 725	BUF 728						
Employees Retirement System	BUF 141									
Employer Union Trust Fund	BUF 143									
Office of the Public Defender	BUF 151									
Public Utilities Commission	BUF 901									

Department of Budget and Finance
Organization Changes

Table 22

<u>Year of Change</u> FY12/FY13	<u>Page Number</u>	<u>Description of Change</u>
No Organization Changes have been approved during FY 12 to date.		