

Kaupakalua Roping Club & Youth Rodeo, Inc. P.O. Box 880086 Pukalani, HI 96788

January 20, 2012

House Committee on Finance Hawaii State Capitol 415 S. Beretania Street Room 306 Honolulu, Hawaii 96813

Attn: Puna Chai

Re: Grants-In-Aid Application

Aloha Ms. Chai:

We enclose our club's Application for Grants & Subsidies for Fiscal Year 2012-2013. Thanks you for you attention in this regard, and please advise if we need to submit any additional information, mahalo.

Rest Regards

Theresa Brasier, Treasurer

Enclosure - Original Application for Grants & Subsidies

cc: Senate Committee on Ways and Means (w/enclosure)
Attn: Roderick Becker

House District THE TWENTY-SIXTH LEGISLATURE HAWAII STATE LEGISLATURE				Log No: 54-C		
Senate District						
	CHAPTER 42F, HAWAII REVIS			For Legislature's Use Only		
pe of Grant or Subsidy Request:						
GRANT REQUEST - OPERATING	GRANT REQUEST - CAPITAL SUB			DY REQUEST		
irant" means an award of state funds by the state funds are stated as a state funds by the state funds by th		ecified recipient, to su	upport the activi	ties of the recipient and		
ubsidy" means an award of state funds by curred by the organization or individual in				n, to reduce the costs		
ecipient" means any organization or pers	receiving a grant or subsidy.					
ATE PROGRAM I.D. NO. (LEAVE BLANK IF U):				
APPLICANT INFORMATION:		TACT PERSON FOR MAT	TTERS INVOLVIN	G THIS		
gal Name of Requesting Organization or	dividual:	PLICATION:				
upakalua Roping Club & Youth Rodeo	Inc	b Treasurer				
a:	Phone #	Phone # (808) 344-3448				
reet Address: Lower Ulumalu Road, Ha	u, Maui, HI Fax#_					
ailing Address: P.O. BOX 880086, Pukala	i , HI 96788 e-mail <u>t</u> l	neresaonmaui@yahoo	o.com			
TYPE OF BUSINESS ENTITY:	6. Desc	CRIPTIVE TITLE OF APP	LICANT'S REQUI	EST:		
NON PROFIT CORPORATION FOR PROFIT CORPORATION LIMITED LIABILITY COMPANY Sole PROPRIETORSHIP/INDIVIDU		IMPROVEMENTS & RE	APIR TO FACILI	FIES		
FOR PROFIT CORPORATION LIMITED LIABILITY COMPANY		IMPRO	OVEMENTS & RE	OVEMENTS & REAPIR TO FACILIT		

4. FEDERAL TAX ID #: 5. STATE TAX ID #:

7. AMOUNT OF STATE FUNDS REQUESTED:

FY 2012-2013: \$ 977,950

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

NEW SERVICE (PRESENTLY DOES NOT EXIST)
EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE

AT THE TIME OF THIS REQUEST:

STATE \$ 8 FEDERAL \$_ COUNTY \$ 0 PRIVATE/OTHER \$ 1,600.00





Application for Grants and Subsidies

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Include the following:

A brief description of the applicant's background;

Kaupakalua Roping Club & Youth Rodeo, Inc. (KRC&Y, referred to as the "Club" and/or "KRC"), a non-profit corporation, was founded over three decades ago by a handful of local paniolo seeking to preserve the essence of the Hawaiian cowboy way of life by providing a venue where rodeo community families could gather, teach, learn and enjoy ranching, riding and participating in the sport of rodeo.

Over its thirty plus years of existence, KRC has welcomed thousands of locals and visitors alike to its rodeo events. Its members have been instrumental in exposing youth to riding, and animal husbandry skills and helping to promote horsemanship and sportsmanship through play days and its annual keiki rodeo series competition.

The annual KRC Memorial Day Rodeo, a tribute to fallen cowboys and loved ones in the rodeo community, has been in existence for almost as long as KRC has been around. Held each year on Memorial Day weekend, empty saddles laden with flower lei are the highlight of the event's Grand Entry as contestants and visitors take time to reflect and recall memories of those who blazed the trail for we who now enjoy the sport and the arena they worked so hard to build.

2. The goals and objectives related to the request;

In its current state, some facilities of the KRC arena may pose a safety hazard for arena goers. Further, the kitchen and clubhouse facility which serves as the site of the club's fundraising activities is in need of repair. The club has done its best to raise funds annually through the seeking donations, membership dues and small fundraising events that would defray the costs of maintenance and repair to the facility. At this point, the costs far outweigh the club's ability to fund the projects necessary to continue operating.

KRC has leased its present location from the County of Maui Parks, and there are presently three physical structures on the property: (1) announcer's stand (2) clubhouse with a small kitchen (3) restrooms. All structures are very aged and in disrepair. The

announcer stand's supporting posts are dry rotted, the clubhouse needs additional repairs despite a new roof being completed within the past several months, and the restrooms are very outdated. Funds are needed to replace the announcer stand, repair and paint the clubhouse interior and kitchen, and the restrooms need updating. The long term goal of KRC is to cover its arena and be able to host equine and livestock events for Maui County and the State.

3. State the public purpose and need to be served;

The mission of KRC is to preserve and promote the sport of rodeo in our community. Champions are crowned every year at its annual Keiki Rodeo Series, and for many newcomers to the sport, a new found interest is sparked. KRC not only instills sportsmanship in our youth, but encourages self discipline and dedication. Our youth are raised in an environment of helping one another such that it is not unusual for one to see a teenager assist a little one in an event, or give up their horse to afford a keiki an opportunity to safely compete.

KRC has welcomed special needs children to the facility where they are able to safely interact with animals and enjoy riding. One of our high school competitors mentored a child with autism for her senior project. With KRC as a support team last October, our newly adopted keiki competed and played along with the rest of the rodeo family, joining in on practice and rewarded with a beautiful buckle at the end of the day. Attached are photos from our 2011 Keiki year end championship event of some of our participants and champions who received scholarships, saddles and trophy buckles.

4. Describe the target population to be served; and

The sport of rodeo is not restricted by age. KRC's participants start riding before they're walking and it is not unheard of to see a 90-year old kupuna in the saddle every now and then.

5. Describe the geographic coverage.

KRC events are open to the public and as such, KRC has welcomed participants from throughout Maui County, the State and the mainland to its events and Memorial Day and Keiki Shows.

II. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request.

Describe the scope of work, tasks and responsibilities;

The scope of work will entail the construction of a new announcer's stand tower which will involve setting new support posts and/or beams, constructing framework, floor, walls, ceiling, and stairs. The plans and materials list for same have already been drawn up and prepared by officers and board members of KRC who are involved in the construction industry. The materials necessary to construct a new announcer's stand/tower is \$2,500, which will cover materials not promised as donations by club members. As with other repairs and work, the officers, board members, and other adult club members have volunteered their time and services to construct the announcer's stand to avoid the necessity of any costs for outside labor.

This past calendar year, KRC was able to raise sufficient funds to purchase materials and repair the roof to the existing Clubhouse. All labor for the project was provided by KRC members, as well as other volunteers from the community.

The Clubhouse's kitchen interior ceiling needs to be replaced and repaired due to previous water damage from the leaking roof, along with the addition of a two or three compartment sink. The cost to repair the ceiling is estimated to cost approximately \$200 for sheetrock, tape, and paint. The cost of a two or three compartment sink is approximately \$300. This past calendar year the Club was fortunate to receive a donation of a new stove and refrigerator from D.R. Horton Homebuilders. At present, the Clubhouse dining/meeting area floor is covered with gravel. At some point in the future, KRC would like to have a cement slab poured to replace the gravel. Estimates obtained for such a concrete floor slab have been estimated at \$3,100. The same officers, board members, and club members have also volunteered and committed their time for these repairs and upgrades to avoid outside labor costs whenever possible.

The restrooms are very outdated and in need of updating as they are the original restrooms built when the club was formed 30+ years ago. Due to the fact that quotes for attempting to make the restrooms ADA rated were so costly and would have entailed almost complete demolition of the present structure, the club members voted to attempt have the existing structure updated to its original state, rather than attempting to make them ADA rated. In order to accomplish this task, it will involve the replacement of three (3) toilets, one (1) urinal, and two hand sinks. There would also be the replacement of stall walls and interior painting (plywood, 2x4 wood, paint). We believe with labor provided by club members, the restroom update could be limited to the cost of materials only of \$1,850.

Long Range Goals/Plans:

The Club as a whole had several meetings and discussions this past calendar year of its long term goals and plans. Its members reflected upon the state of existing equine/livestock facilities available to our youth and population here on Maui.

Due to limited venues available, and the aging of existing venues, the Club obtained a quote for the construction of a metal building to cover the arena area. Such a covered venue could serve the entire Maui and State livestock and equine communities, with it being utilized by outside organizations such as the 4H Club and other organizations such as the local and state Wrangler Jr. & High School Rodeo Associations, ACTRA (American Cowboy Team Roping Association), or other local youth rodeo organizations which are held by Maui Roping Club. The quote(s) obtained for such a metal structure were \$770,000 for the building, \$100,000 for the electrical work and lighting, and \$100,000 for the concrete support work. It was also determined that such a venture would involve rebuilding the arena, but existing beams, fence panels and materials could be utilized for this portion of the project with labor from our Club members.

2. The applicant shall provide a projected annual timeline for accomplishing the results or outcomes of the service;

The improvements and repairs shall take place over the course of one calendar year from the date of funding in three (3) stages. The first stage will be the most needed of the construction of the announcer's stand tower which will take 30 days or less. The second stage will be the repairs to the Clubhouse, along with the repairs to the restrooms, to take a period of 30 days. The third stage will be last stage of 30-60 days for the construction of a metal building (30 days) and rebuilding the Club's arena (30 days). Again, the club officers/directors/volunteers performing these tasks have stated these are realistic timelines to perform these tasks, and as experts in their fields of work, the club feels confident they are accurate. Plans have already been in place for these upgrades and repairs for over a year, but the club has lacked the funds to perform them, despite the fact that some of the building materials have already been donated by club members. The erection of a metal structure would be performed by an outside contractor.

If funds were obtained for the metal building to cover the arena, the Club was informed this is a rather quick process and such metal buildings can be constructed within a 30 day period, or the last stage. The metal building would be a separate structure from the announcer's stand tower, Clubhouse and restrooms, and would not impact those projects.

 The applicant shall describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and

The Club routinely schedules "Work Days" throughout the year wherein members come and work as a group to perform needed repairs and cleaning. If funds were granted for the construction of the metal structure, the Club will select a Construction Committee with one member as its chairman. This chairman, or

Construction Chairman will report to the Club's Board of Directors and be responsible for the ordering and receipt of all materials necessary, and assigning the work groups to execute the already existing plans.

4. The applicant shall list the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

The Club Treasurer shall establish a separate bank account which would be used solely for the purpose of receiving and holding funds for these projects, to be certain that any grant monies are not commingled with the Club's other funds, which are used for operation costs of the Club. The Club Treasurer shall coordinate with the Construction Chairman to gather all receipts for costs and materials, and provide same to the Club's third party bookkeeper, who will prepare a quarterly accounting of the expenditure of funds for reporting purposes to the expending agency. Additionally, it is the Club's goal to photograph and document the progress of these projects and will post such construction progress on the Club's existing Facebook page.

III. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.

Budget for new announcer's stand tower - \$2,500 in addition to donated materials.

Budget for Clubhouse and kitchen repairs - Kitchen repair total is \$200, which consists of the purchase of sheetrock, tape and paint. A two or three compartment sink of \$300; and concrete slab flooring to be poured of \$3,100.

Budget for restroom repair upgrade - \$1,850

Budget for Metal Building to cover arena - \$970,000, which includes cost of building erection (\$770,000), electrical \$100,000, and concrete supports \$100,000.

The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2012-2013.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$2,500	\$5,450	\$970,000		\$977,950

3. The applicant shall provide a listing of all other sources of funding that they are trying to obtain for fiscal year 2012-2013.

The Club has no other avenues or sources for funding except donations, club dues, revenue from concession sales, or event fees, in addition to fundraising activities such as Club caps or clothing sales. This past calendar year, the Club received \$3,325 in donations, but such donations were accepted with the caveat the funds were to be used for purchase of awards for youth rodeo participants only.

4. The applicant shall provide a listing of all state and federal tax credits that have been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

Not applicable.

IV. Experience and Capability

A. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

The "applicant" in this situation is comprised of a club of approximately thirty (30) members, of which, approximately twenty (20) are active members. Several of these members are experts in varied fields of management and construction. There are also dedicated club members who have worked on major construction projects here on Maui such as the Maui airport terminal and the Four Seasons Hotel. There are other club directors who are retired from the private sector as electricians and from the County of Maui of their work in the area of maintenance, plumbing, repair and construction. The involvement and assistance from these Club members is crucial in that they are able to negotiate and secure materials, products and services for KRC at a reduced rate due to their professional positions and the Club's non-profit status.

B. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities. Also describe how the facilities meet ADA requirements, as applicable.

The Club's present facilities consist of approximately three (3) acres, comprising of two (2) TMKs (TMK RP 2-2-8-002-032-0000-001 and TMK RP 2-2-8-002-008-0000-001) which is fully fenced, with an arena and attached catch pens for livestock, announcer's stand, clubhouse/kitchen, men and women's separate restrooms, and a parking area. While the clubhouse area is open and easily accessible by all visitors, the restrooms are not ADA rated.

V. Personnel: Project Organization and Staffing

A. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

As previously stated, the applicant in this situation is comprised of a club of approximately thirty (30) members, of which, approximately twenty (20) are active members. Several of these members are experts in varied fields of management and construction. For example, I as Treasurer of this club, am also the administrative manager of the Maui office for the law firm of Carlsmith Ball, LLP. The Club's Secretary, Cindy Pestana, is Director of Event Planning & Operations for Hotel Marriott Wailea. At least two other club members who will have very active roles in the construction and repair projects, are the owners of construction companies. E.g., Fred Borsom, who owns Kokomo Drywall & Acoustic, Inc. and Kawika Kamai, owner of Hawaii Plastering & Drywall, Inc. Both of these particular members worked on major construction projects here on Maui such as the Maui airport terminal and the Four Seasons Hotel. There are other club members and directors who are both active and retired in the fields of maintenance, repair, plumbing and construction. At least ten (10) of club members have supervisory and management backgrounds and are qualified to oversee the reporting of, as well as the proposed construction, upgrade and repair projects. In addition, the Club retains the services of both a third party bookkeeper as well as an accountant.

B. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organizational chart that illustrates the placement of this request.

Kathleen Tavares, President/Dir. - To coordinate with the Construction Chairman Cindy Pestana, Secretary/Dir.

Kenneth Britton, Vice President/Dir. - As an active member in the construction industry, will oversee and interact to serve or support the Construction Chairman.

Theresa Brasier, Treasurer/Dir. - Active in the management of the Club as well as coordinating and performing bookkeeping activities and coordination with the Club's retained bookkeeper and accountant.

Directors:

Mark Lopes, Sr., Dir. Kaui Awai-Dickson, Dir. James Gamiao, Dir. Kathleen Souza, Dir.

VI. Other

A. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgment. If applicable, please explain.

Not applicable.

B. Licensure or Accreditation

Specify any special qualifications, including but not limited to licensure or accreditation that applicant possesses relevant to this request.

Not applicable.

BUDGET REQUEST BY SOURCE OF FUNDS

(Period: July 1, 2012 to June 30, 2013)

Applicant: Kaupakalua Roping Club & Youth Rodeo, Inc.

	UBGET				r
	UDGET ATEGORIES	Total State			l
١٠	ATEGORIES	Funds Requested (a)	(b)	(c)	(d)
-		(a)	(6)	(c)	(u)
A.	PERSONNEL COST				l
	1. Salaries	0			
	2. Payroll Taxes & Assessments	0			
	Fringe Benefits	0			
_	TOTAL PERSONNEL COST	0			
В.	OTHER CURRENT EXPENSES	0			
	1. Airfare, Inter-Island	0			
	2. Insurance	0			
	Lease/Rental of Equipment	0			
	4. Lease/Rental of Space	0			
	5. Staff Training	0			
	6. Supplies	0			
	7. Telecommunication	0			
	8. Utilities	0			
	9				
	10				
	11				
	12				
	13				
	14				
	15				
	16				
	17				
	18				
ı	19				
	20				
1					
	TOTAL OTHER CURRENT EXPENSES	0			l j
C.	EQUIPMENT PURCHASES	0			
D.	MOTOR VEHICLE PURCHASES	0			
_					
E.	CAPITAL	977,950			
ТО	TAL (A+B+C+D+E)	977,950			
			Budget Prepared	Bv.	
۵,	UBCEC OF FUNDING		- Jagot i Topared	- j.	
150	URCES OF FUNDING				
	(a) Total State Funds Requested	977,950	Theresa Brasier		(808) 344-3448
	(b)				Phope
					1/20/12
	(c) (d)				Date
_	(u)				546
			Theresa Brasier, Club 1	reasurer	
TO	TAL BUDGET	977,950	Name and Title (Please type or print)		

BUDGET JUSTIFICATION PERSONNEL - SALARIES AND WAGES

Applicant: Kaupakalua Roping Club & Youth Rodeo, Inc.

Period: July 1, 2012 to June 30, 2013

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
All services to the organization are volunteer		N/A		\$ -
				\$ -
,				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
TOTAL:				
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Applicant: Kaupakalua Roping Club & Youth Rode Period: July 1, 2012 to June 30, 2013

DESCRIPTION EQUIPMENT		NO. OF ITEMS	COST PER ITEM	OTAL OST	TOTAL BUDGETED
/A				\$ -	
,				\$ -	
	TOTAL:				
JSTIFICATION/COMMENTS:	TOTAL:	1			

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
N/A			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:	7		<i>\$</i>	

JUSTIFICATION/COMMENTS:

BUDGET JUSTIFICATION CAPITAL PROJECT DETAILS

Applicant: Kaupakalua Roping Club & Youth Ro

Period: July 1, 2012 to June 30, 2013

TOTAL PROJECT COST		ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2010-2011	FY: 2011-2012	FY:2012-2013	FY:2012-2013	FY:2013-2014	FY:2014-2015
PLANS						
LAND ACQUISITION						
DESIGN						
CONSTRUCTION	0	0	977950	TBD	TBD	
EQUIPMENT						
TOTAL:			977,950			

DECLARATION STATEMENT APPLICANTS FOR GRANTS AND SUBSIDIES CHAPTER 42F, HAWAI'I REVISED STATUTES

The undersigned authorized representative of the applicant acknowledges that said applicant meets and will comply with all of the following standards for the award of grants and subsidies pursuant to section 42F-103, Hawai'i Revised Statutes:

- (1) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant or subsidy is awarded;
- (2) Comply with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
- (3) Agree not to use state funds for entertainment or lobbying activities; and
- (4) Allow the state agency to which funds for the grant or subsidy were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant or subsidy.

In addition, a grant or subsidy may be made to an organization only if the organization:

(1) Is incorporated under the laws of the State; and

Kaupakalua Roping Club & Youth Rodeo Inc.

(2) Has bylaws or policies that describe the manner in which the activities or services for which a grant or subsidy is awarded shall be conducted or provided.

Further, a grant or subsidy may be awarded to a non-profit organization only if the organization:

- Has been determined and designated to be a non-profit organization by the Internal Revenue Service;
 and
- (2) Has a governing board whose members have no material conflict of interest and serve without compensation.

For a grant or subsidy used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant or subsidy was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant or subsidy used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

(Trans Name of Individual or Organization	
	1 20 2012
(Signature)	(Date)
Theresa Brasier	Treasurer
(Typed Name)	(Title)











