

House District _____

Senate District _____

THE TWENTY-SIXTH LEGISLATURE
HAWAII STATE LEGISLATURE
APPLICATION FOR GRANTS & SUBSIDIES
CHAPTER 42F, HAWAII REVISED STATUTES

Log No: 5-C

For Legislature's Use Only

Type of Grant or Subsidy Request:

GRANT REQUEST – OPERATING

GRANT REQUEST – CAPITAL

SUBSIDY REQUEST

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Subsidy" means an award of state funds by the legislature, by an appropriation to a recipient specified in the appropriation, to reduce the costs incurred by the organization or individual in providing a service available to some or all members of the public.

"Recipient" means any organization or person receiving a grant or subsidy.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN): _____

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN): _____

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:

Dbn: BALLEHAWAII

Street Address : 777 So. Hotel Street

Mailing Address: Suite 101

Honolulu, HI 96813

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name JUDITH ANN MUNCASTER

Title Director of Development

Phone # 808-521-8600

Fax # 808-521-0383

e-mail judy@ballethawaii.org

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION
- FOR PROFIT CORPORATION
- LIMITED LIABILITY COMPANY
- SOLE PROPRIETORSHIP/INDIVIDUAL

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

FINAL PHASE OF THE CONSTRUCTION OF BALLEHAWAII DANCE CENTER

4. FEDERAL TAX ID #: [REDACTED]

5. STATE TAX ID #: _____

7. AMOUNT OF STATE FUNDS REQUESTED:

FY 2012-2013: \$345,500

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
- EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ 345,500

FEDERAL \$ _____

COUNTY \$ _____

PRIVATE/OTHER \$36,180

TYPE:

DATE:

JOHN PARKINSON, EXECUTIVE DIRECTOR
NAME & TITLE

1/31/12
DATE SIGNED

Application for Grants and Subsidies

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Include the following:

1. A description of the applicant's background;

Ballet Hawaii has been part of the state's cultural infrastructure since 1976, providing dance performances for the community, training for students and teachers, and employment for musicians and production personnel. Its dance school has approximately 385 non-adult students who are given many opportunities for personal growth. Their lessons and participation in performances help develop social skills, agility and coordination. Other potential enhancement of skills includes development of rhythm, creativity, focus, discipline, commitment and stage presence. There are many health benefits as well. Ballet technique corrects posture and alignment in individuals of all ages. It is also an excellent form of exercise for body conditioning and toning.

The Organization

Ballet Hawaii's mission is to enrich Hawaii's cultural environment by teaching, presenting, producing and promoting dance.

Ballet Classes are available to students from age four through eighteen, with adult classes given four times a week for those who want to continue their training or who wish to use ballet for conditioning. During the year, "Master" classes are opened up to advanced students and dance teachers. All students and faculty are encouraged to fulfill a professional etiquette of the highest standard. The classes offered represent an inclusive vision to reach people of all backgrounds and abilities through the art of dance with a non-competitive and positive environment in which artistic endeavors can thrive.

Large-scale productions are mounted at least twice a year using both students and invited guest artists. These productions are normally at the Blaisdell Concert Hall or Hawaii Theatre, with guest artists from major mainland dance companies such as the New York, Boston, San Francisco, Miami, Pacific Northwest, Cincinnati and Carolina Ballet Companies. These guest artists serve as "models of excellence" to our local students, teachers and audience alike. Working with the Musician's union, symphony musicians are hired whenever possible to provide the musical accompaniment. Recent productions include: *Coppelia*, *Giselle*, *Cinderella*, *Sleeping Beauty*, *Peter Pan*, *Nutcracker* and *Romeo and Juliet*.

Ballet Hawaii has presented to the community many national and international dance companies. Included are the Mark Morris Dance Company, Alonzo King's LINES Ballet, Les Ballets Trockadero de Monte-Carlos, Pilobolus, Paul Taylor Dance Company, Smuin Ballet, Beijing Modern Dance Company, Giordano Jazz Dance Chicago, Complexions Contemporary Dance, Martha Graham Dance Company and the famous Mikhail Baryshnikov. Both productions and presentations are a part of what Hawaii offers to visitors and contribute to the state's cultural offering.

Spring Showcase: This annual dance presentation is open to the public and is when all Ballet Hawaii students are included in the performance.

Summer Intensive: Three weeks of all day intensive classes are offered in the summer to students at the intermediate and advanced levels. All of these students are incorporated into the ballet's major production in August. These classes have "Master" teachers and are always full to capacity. Students come from a variety of places including neighbor islands, Canada, Japan, the U.S. mainland and Europe.

Outreach

The ballet's outreach programs go out to schools and various community sites to inform and interest those who have little or no knowledge of this art form. Ballet Hawaii has recently participated in the Tau Dance Theatre's Hawaii-Japan Youth Exchange Concert, the China and Hawaii Youth Dance Exchange and performed for Contemporary Museum's Art Spree, Hawaii State Museum and the American Lung Association. Students have also donated their time doing programs for such organizations as the Alzheimer's Association, Chaine des Rotisseurs, Hilton Hawaiian Village and Parents and Children Together.

Angel Program

Ballet Hawaii has an Angel program that provides performance tickets and transportation to students from underserved school and selected social agencies so that they are able to attend a ballet performance at no cost. The past two years, military families were included with tickets given primarily to those who had a family member deployed or about to be deployed. Some of the schools and organizations that have benefited from this program include:

- | | | |
|-------------------------------|--------------------------------------|---------------------------|
| Nanakuli High & Int. School | Campbell High School | Waipahu Elementary |
| Ala Wai Elementary | Palolo Elementary | Dole Middle School |
| Kuhio Elementary | Lincoln Elementary | Waipahu High School |
| Ilima Intermediate | Aiea Middle School | Farrington High School |
| McKinley High School | Central Intermediate | Kaimuki High School |
| Makaha Elementary | Waianae Elementary | Washington Intermediate |
| Waianae Elementary | Kalakaua Middle School | Waikiki Elementary |
| Kapolei Middle School | Kaahumanu Elementary | August Ahrens Elementary |
| Kaewai Elementary | Leilehua High School | Jefferson Elementary |
| Central Middle School | Muscular Dystrophy Families | Adult Friends for Youth |
| Parents and Children Together | Easter Seals | Kids Hurt Too |
| Big Brother/ Big Sisters | Queen Lili'uokalani Childrens Center | Castle High School |
| Waipahu High School | | Pokakei Elementary School |

Scholarships are also made available through the ballet’s *Angel Fund* to deserving and interested students who could not otherwise afford to pay for classes.

The goals and objectives related to the request:

Ballet Hawaii had been at their Dole Cannery site for thirteen years. During this time, the organization was on a month to month lease. Initially the lessor, Castle & Cooke, did not charge any lease rent and in 2005, started to charge a minimal amount. At the beginning of January, 2011, the ballet was notified that a new tenant, Skai Ventures, was taking over a large percentage of the Cannery’s second floor space, including the ballet’s two studios. This company is a cellular bioengineering company and was in the process of establishing the Eye Surgery Center of the Pacific. After an extensive search, the most promising site was found at the back part of the vacated Scan Design building between Beretania and Hotel Street, next to Straub Clinic. The address is 777 So. Hotel Street. This location is ideal as it is centrally located, a part of the state’s “Cultural District” and within walking distance of the Blaisdell Concert Hall. A 15 year lease broken into 3 five year terms was signed. The building’s present owner is Bank of Hawaii.

RIM Architects donated \$22,000 in architectural drawings that would make the empty space one that is tailored to dance. The construction was divided into two phases. The first phase of Ballet Hawaii’s new dance center was designed to make Ballet Hawaii “operational” without any down time. This work was completed at the end of April, 2011.

The total cost of leasehold improvements in Phase I amounted to \$361,716. The following represents the funding received:

In-kind donations	\$31,267
Grants	\$100,000
Private donations	\$17,650
Ballet Hawaii’s Reserves	\$212,799

State the public purpose and need to be served, target population and geographic coverage.

Geographic coverage: The majority of Ballet Hawaii’s students come from the Honolulu area, including Kailua and Kaneohe. The ballet also has two satellite schools at Wahiawa and Kapolei. When financially possible, the ballet goes to the Maui Arts and Cultural Center and has performed at other neighbor islands. “Master Classes” are periodically offered to both Oahu and neighbor islands dance teachers when well-known dancers and teachers are visiting. Both neighbor island and Oahu participation in these classes has been excellent. The ballet also works with the Performing Arts Presenters of Hawaii when planning what mainland dance groups to bring to Hawaii so that they may also be available to the neighbor islands.

Target population: Ballet classes are given daily and are available starting at age three and continuing through adulthood. With the completion of Phase I construction, a third dance studio as been added resulting in 15 new ballet classes. The availability of a third studio has also enabled Ballet Hawaii to open up their facility for community use. In the last eleven months the facility has been used or is used on an ongoing basis by:

Community Users of Ballet Hawaii's Dance Center

Hawaii Pacific University Dance Team
Iona Contemporary Dance
Disney Entertainment
Tangentz Performance Group
Tunni's Royal Polynesian School of Dance
Tihati Productions
Shakti Dance Movement/Tribal Fusion Belly Dance Co.
Street Jamz
Dance Space: Modern Dance
United Air Lines hula halau
Other non-ballet dance workshops and ongoing classes in Zumba , COREography, jazz, salsa and yoga

Public Purpose/ Need to be served:

Ballet Hawaii and Ballet Hawaii's Dance Center:

establishes a venue for dance classes and community use
employs dance teachers, production personnel and musicians
is part of the city's cultural district and public offerings
provides a site for mainland dancers to use while visiting Hawaii
provides education to students via dance lessons and Angel program (something sorely lacking in the schools)
brings to Honolulu well-known dance companies that most of the residents would otherwise be unable to see
helps to preserve traditional ballet alive by their productions
provides a nurturing and healthy environment for many young children
enhances the quality of life in Honolulu

II. Service Summary and Outcomes

I. Scope of work

Phase II of the construction of the Ballet Hawaii Dance Center consists of:

Building three private offices, conference/work room and boutique including
AC, lighting, partitions and finishes
Building two changing rooms with mirrors and countertops
Providing exterior building signage
Exterior landscaping for 13'x23" area in front of space
Renovation of men's, women's restrooms and janitor's closet
Building of shelves and storage cabinets in storage room and in studios 1-3
Provide window treatment of all interior studio windows
Purchase and install ceiling fans in Studios 1-3
Provide interior monitoring security system throughout
Demolition and disposal of existing carpet and base and provide installation of new carpeting and base for all space excluding Studio 1-3, Restrooms and Janitor's closets (672 sq. yards/726 of base)
Provide drapery on the south wall of studio I to cover mirrors during studio performances

Provide, deliver and install added furniture, fixtures and equipment
 Build case work and counter area for reception with two volunteer work stations.

2 Projected time-line

Ballet Hawaii has received all the necessary Phase II permits and has finalized bids for an architect, engineering and construction company. Funding is the only missing item.

Time-Line

<u>Project Start</u>	<u>July 1, 2012</u>
<u>Planning</u>	<u>July 1 to July 31, 2012</u>
<u>Design</u>	<u>August 1 to August 31, 2012</u>
<u>Construction Mobilization</u>	<u>September 1 to September 15, 2012</u>
<u>Construction</u>	<u>September 16, 2012 to January 31, 2013</u>
<u>Completion</u>	<u>January 31, 2013</u>
<u>Project Closeout</u>	<u>January 31 to February 31, 2013</u>

3. Quality Assurance and Evaluation Plans

Ballet Hawaii will continue to deal with the most reputable vendors and engages only licensed construction personnel. The architect, engineering firm, and construction company that worked on Phase I of the project will continue with Phase II. (the final phase of the project).

III. Financial

1. Budget: see attached budget forms
2. Anticipated quarterly funding requests for the fiscal year 2012-2013.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$138,200	\$103,650	\$69,100	\$34,550	\$345,500

Sources of funding received for this Phase II project, in addition to amount requested from the state is \$36,180 (\$25,000 from foundations and \$11,179 from individual donations)
 Additional requests will be made to First Hawaiian Bank, Bank of Hawaii, Central Pacific Bank, Cooke Foundation and Le Burta Atherton Foundation. The ballet will also seek additional funding from sources that did not contribute to the completion of Phase One, which amounted to \$361.716

Listing of all state and federal tax credits that have been granted within the prior three years.
 None

IV. Experience and Capability

A. Necessary Skills and Experience

Ballet Hawaii has operated successfully, both artistically and financially since its founding in 1976. The following will be involved with the project and were the same personnel who worked on Phase I.

Executive Director John Parkinson will provide daily oversight and will meet on at least a weekly basis with the project construction manager. Mr. Parkinson has an extensive background in arts management. Before joining Ballet Hawaii, he served as Artistic Administrator for Hawaii Opera Theatre. Mr. Parkinson has also served as a production manager or independent producer on more than 200 theatrical presentations both in Hawaii and on the mainland. He received his MFA in design for theatre and dance from the University of Hawai'i.

Artistic Director, Pamela Taylor-Tong oversees any part of the design related to the dance studios. Ms. Tongg began her early training in Chicago and then studied with Martha Mahr in Miami and the American Ballet Center in New York. She danced as a soloist from 1975-1985 with the Atlanta Ballet where she was Co-director of the Apprenticeship Program and the Company's Ballet Mistress. Ms. Tongg, who has worked with Ballet Hawaii for 25 years, currently plans and implements the season programming.

Architect: Doug Allen, Senior Associate with Rim Architects is a registered architect in the state of Hawaii with over 25 years of professional experience. Past experience has demonstrated expertise in project organization, with the ability to conceptualize, bring fresh perspectives, synthesize information and provide strong influencing skills to achieve results. He has strong project management skills, initiative, enthusiasm and common sense. As his office is nearby, Mr. Allen drops by the dance center on a frequent basis to monitor the progress and works closely with the Douglas Engineering firm.

Construction: Jubal Nabong is the managing member of Jubieworx Construction which was established in 1999. While maintaining the company focus on commercial and tenant improvement renovations, Jubieworx has also expanded to service clients of industrial projects. The company has built a reputation of providing exceptional quality while managing to keep construction costs extremely competitive and affordable.

A Ballet Hawaii Board of Directors Committee also provides both financial and operational oversight. ASID member and interior designer Marion Phillipotts Miller of Philpotts Design will provide the oversight of the necessary FFE (furniture, fixtures and equipment)

B. Facilities

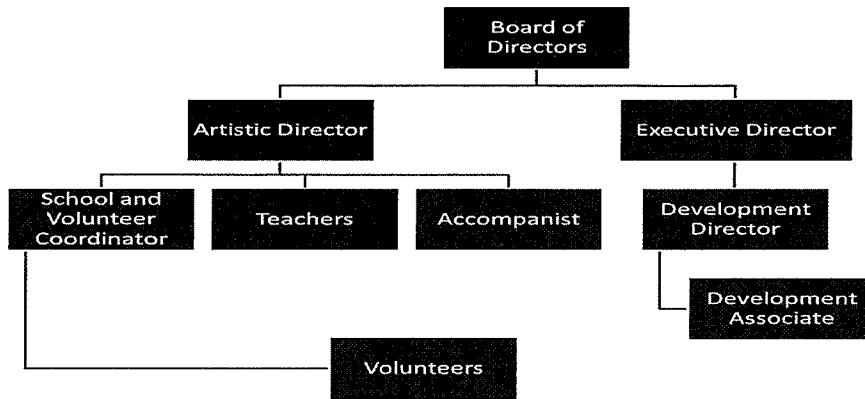
Phase I addressed all ADA requirements, codes and other facility requirements.

V. Personnel: Project Organization and Staffing

A. Proposed Staff, Staff Qualifications, Supervision and Training

See Section IV-A above

B. Organization Chart



VI. Other

A. Litigation

None

B. Licensure or Accreditation

Not applicable

BUDGET REQUEST BY SOURCE OF FUNDS
(Period: July 1, 2012 to June 30, 2013)

Applicant: BALLET HAWAII

BUDGET CATEGORIES	Total State Funds Requested (a)	(b)	(c)	(d)
A. PERSONNEL COST				
1. Salaries	25,000	(Architect)/(Douglas Engineering)		
2. Payroll Taxes & Assessments	0			
3. Fringe Benefits	0			
TOTAL PERSONNEL COST	25,000			
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island	0			
2. Insurance	0			
3. Lease/Rental of Equipment	0			
4. Lease/Rental of Space	0			
5. Staff Training	0			
6. Supplies	0			
7. Telecommunication	0			
8. Utilities	0			
TOTAL OTHER CURRENT EXPENSES	0			
C. EQUIPMENT PURCHASES	50,000	(furniture, fixtures, equipment)		
D. MOTOR VEHICLE PURCHASES	0			
E. CAPITAL				
construction of 3 offices and boutique store	154,810			
Changing rooms	5,000			
Exterior Signage	20,000			
Exterior Landscaping	5,000			
Renovatiion of restrooms, janitors closet	35,000			
Building shelves, storage cabinets in storage room/Studios 1-3	3,000			
Window treatment for all studio interior windows	4,180			
Ceiling Fans/Studios 1-3	3,965			
Interior Monitoring Security System	12,000			
Demolition of existing carpet and base and installation of new carpet	14,545			
Drapery on one wall of Studio I	13,000			
TOTAL (A+B+C+D+E)	345,500			
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	345,500	Name (Please type or print) Phone		
(b)		John Parkinson, Executive Director 521-8600		
(c)		Signature of Authorized Official Date		
(d)		January 12, 2012		
TOTAL BUDGET	345,500	Name and Title (Please type or print)		

BUDGET JUSTIFICATION PERSONNEL - SALARIES AND WAGES

Applicant: BALLET HAWAII

Period: July 1, 2012 to June 30, 2013

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
				\$ -
				\$ -
NOT APPLICABLE				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
TOTAL:				
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

BALLET HAWAII

Period: July 1, 2012 to June 30, 2013

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
			\$ -	
Not Applicable			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
			\$ -	
Not Applicable			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

**BUDGET JUSTIFICATION
CAPITAL PROJECT DETAILS**

Applicant: BALLET HAWAII

Period: July 1, 2012 to June 30, 2013

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2010-2011	FY: 2011-2012	FY:2012-2013	FY:2012-2013	FY:2013-2014	FY:2014-2015
PLANS	0	0	12500	0	0	0
LAND ACQUISITION	0	0	0	0	0	0
DESIGN	0	0	12500	0	0	0
CONSTRUCTION	0	0	270500	0	0	0
EQUIPMENT/FURNITURE/FIXTURES	0	0	50000	0	0	0
TOTAL:			345,500			
JUSTIFICATION/COMMENTS:						
\$36,180 has been raised for Phase II but is not included in amount requested						

**DECLARATION STATEMENT
APPLICANTS FOR GRANTS AND SUBSIDIES
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant acknowledges that said applicant meets and will comply with all of the following standards for the award of grants and subsidies pursuant to section 42F-103, Hawaii Revised Statutes:

- (1) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant or subsidy is awarded;
- (2) Comply with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
- (3) Agree not to use state funds for entertainment or lobbying activities; and
- (4) Allow the state agency to which funds for the grant or subsidy were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant or subsidy.

In addition, a grant or subsidy may be made to an organization only if the organization:

- (1) Is incorporated under the laws of the State; and
- (2) Has bylaws or policies that describe the manner in which the activities or services for which a grant or subsidy is awarded shall be conducted or provided.

Further, a grant or subsidy may be awarded to a non-profit organization only if the organization:

- (1) Has been determined and designated to be a non-profit organization by the Internal Revenue Service; and
- (2) Has a governing board whose members have no material conflict of interest and serve without compensation.

For a grant or subsidy used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant or subsidy was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant or subsidy used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

BALLET HAWAII

 _____
tion)
January 31, 2012

(Date)

John Parkinson _____ Ex. Director

(Typed Name) (Title)