

SENATE COMMITTEE ON WAYS AND MEANS
BIENNIUM BUDGET REQUESTS FOR FISCAL YEAR 2011-2013

JANUARY 6, 2011

TESTIMONY OF THE DEPARTMENT OF BUDGET AND FINANCE

MISSION STATEMENT

The Department of Budget and Finance's (DB&F) mission is to enhance long-term productivity and efficiency in government operations by providing quality budget and financial services that prudently allocate and effectively manage available resources.

ECONOMIC IMPACT

The contraction of the general fund tax revenues beginning in 2007 has required the DB&F to reprioritize operational functions, implement staff reductions, and reduce operating expenditures. Staff reductions and other budget reductions/restrictions have had an impact on the effectiveness of the Department.

The reduced professional staffing levels in the Budget, Program Planning and Management Division have required the Division to reprioritize key areas of workload to best fit and address its most critical needs, issues, and priorities on a case-by-case basis. It has become increasingly more difficult for the Division to conduct the necessary systematic and continuous reviews and analyses of the finances, organization, and operational methods of each department and agency of the Executive Branch.

The loss of key senior level Administrative and Research Office (ARO) staff has directly impacted the ability of the Office to effectively support all of the budget, fiscal, and personnel requirements of the DB&F programs and attached agencies. In certain key areas, there currently is no backup staff available to cover for vacation and sick leaves and should there be

any further loss in the current level of filled and budgeted staffing, the ability to maintain critical operations could be at risk.

Budget constraints have also restricted the ability to replace or enhance the Department's computer equipment. Servers and desktops are beyond their recommended and/or expected life cycles. Due to their ages, maintenance contracts are not available for the servers. Limited server capacity limits the implementation of certain software that would make the Department more efficient.

With respect to the Financial Administration Division, the reduced staffing levels in the Division have severely impacted the ability of the Division to effectively manage and administer its functions. The Division has had to reprioritize a number of functions due to the abolishment and/or vacancy of a number of positions. In order to ensure that critical functions are being performed, tasks have been redistributed and assigned amongst the remaining depleted workforce. This has compromised some of the Division's ability to perform all of its responsibilities on a timely basis. Any further reduction in resources would jeopardize the ability of the Division to perform essential statewide services.

ALTERNATIVES CONSIDERED

The Department has, and continues, to re-evaluate its programs' expenditures and revenue generators while closely monitoring its core mission, however, management does believe that human capital and resource levels are excessively thin and any additional reductions in staffing and/or program expenditures will jeopardize the Department's ability to fulfill its core mission.

DEPARTMENT-WIDE BUDGET SUMMARY

The Department's operating budget request as submitted for FY 2012 and FY 2013 adjusts fixed costs and includes only the most critical requirements for the long term sustainability of our core departmental programs and attached agencies. Prioritization of budget requests for programs funded by special and trust funds reflects support of activities that are income enhancing, statutorily mandated, and energy related initiatives that position the State for a sustainable future. Table 10, Budget Decisions, details our Department's requests and the Executive level funding decisions. Additional items will be submitted for consideration via a Governor's Message during the 2011 Legislative Session. A preliminary list of the DB&F Operating Budget Requests to the New Administration is provided as Table 7.

In the current fiscal year, the Department's appropriation totals \$1.64 billion (all means of financing) and the following table summarizes the Department's FY 2011 appropriations, transfers, restrictions and allocations.

	Appropriation FY 11	Collective Bargaining	Transfers In Transfers Out	Restriction	Net Allocation and Estimated Total Expenditure
(Pos. Count)	317.00				317.00
PERS SERV	23,467,578				23,467,578
CURR EXP	1,611,544,553				1,611,544,553
- Discretionary	20,801,397				20,801,397
- Non-Discretionary	1,590,491,856				1,590,491,856
EQUIPMENT	251,300				251,300
TOTAL	1,635,263,431				1,635,263,431
(Pos. Count)	129.25				129.25
GENERAL FUND	1,604,113,625				1,604,113,625
(Pos. Count)	51.00				51.00
SPECIAL FUND	9,249,331				9,249,331
(Pos. Count)	36.00				36.00
TRUST FUND	11,195,581				11,195,581
(Pos. Count)	1.75				1.75
INTERDEPT'L FUND	101,603				101,603
(Pos. Count)	99.00				99.00
OTHER FUND	10,603,291	0	0	0	10,603,291

Please note that 97.3% of the budget request (\$1.6 billion) is for non-discretionary statewide expenses (debt service, retirement system, health fund, witness fees, and court-appointed counsel), and \$44.77 million or 2.7% is for expenses associated with direct departmental operations.

Table 1 provides a departmental summary of the FY 2011 allocations. As reflected in Table 17, there were no intra departmental transfers to other programs in FY 2010 and for FY 2011 to date. Table 4 reflects that no restrictions have been imposed during FY 2011 to date and therefore no restriction amounts have as yet been carried over in the biennium budget request.

The Department's Biennium Budget request (all MOF) does represent a net increase of \$151.14 million in FY 2012 and \$289.56 million in FY 2013 compared against the FY 11 appropriation funding levels in Act 180, SLH 2010. In FY 2012 and FY 2013, respectively, General funds are increased by \$150.53 million and \$288.94 million, Special funds are increased by \$0.23 million and \$0.23 million, Trust funds are increased by \$0.16 million and \$0.16 million. Other funds are increased by \$0.22 million and \$0.22 million again, as compared to the FY 11 appropriations. No adjustments are proposed for Inter-departmental Funds in either year of the biennium. Requested funding levels for the biennium are as reflected in the following table:

	Budget Request FY 2011-2012	Budget Request FY 2012-2013	Biennium Requirement
(Pos. Count)	317.00	317.00	317.00
Personal Services	25,765,671	25,765,671	51,531,342
Current Expenses:	1,760,640,738	1,899,052,875	3,659,693,613
Discretionary	21,052,697	21,052,697	42,105,394
Non-discretionary	1,739,588,041	1,878,000,178	3,617,588,219
Equipment	0	0	0
Total	1,786,406,409	1,924,818,546	3,711,224,955
(Pos. Count)	129.25	129.25	129.25
General Funds	1,754,638,882	1,893,051,019	3,647,689,901
(Pos. Count)	51.00	51.00	51.00
Special Fund	9,482,174	9,482,174	18,964,348
(Pos. Count)	36.00	36.00	36.00
Trust Fund	11,355,527	11,355,527	22,711,054
(Pos. Count)	1.75	1.75	1.75
Interdepart'l Fund	101,603	101,603	203,206
(Pos. Count)	99.00	99.00	99.00
Other Funds	10,828,223	10,828,223	21,656,446

- The Department's biennium budget request currently includes the following adjustments for the fixed cost programs:
1. The budget requests for the BUF 721, BUF 725, and BUF 728, Debt Service Payments programs, reflects additional funding of \$71.2 million in the FY 2012 and \$175.36 million in FY 2013 above the FY 11 debt service appropriation levels in Act 180, SLH 2010.
 2. The budget requests for the BUF 741, BUF 745, and BUF 748, Retirement Benefits Payments programs, reflects additional funding of \$36.3 million in FY 2012 and \$41.9 million in FY 2013 for pension accumulation (PA) above the FY 11 appropriation

levels in Act 180, SLH 2010. Social security/Medicare requirements are also increased by \$33.7 million in FY 2012 and \$20.59 million in FY 2013. Funding requirements are based on actual payroll through June 30, 2008.

3. The budget requests for the BUF 761, BUF 765, and BUF 768, Health Premium Payments programs, reflects additional funding of \$7.89 million in FY 2012 and \$49.67 million in FY 2013 above the FY 11 appropriation levels in Act 180, SLH 2010.

The Department-Wide Summary Information detailing the FY 2012 and FY 2013 Biennium Budget requests at the Department level is reflected in the following attachments Table 1 – Department-Wide Summary Information (by MOF), and by program ID in Table 3 - Program ID Totals. Table 5 - Biennium Budget Reductions, and Table 10 - Biennium Budget Adjustments, summarize the adjustments that relate to requested FB 11-13 funding levels.

The Department's CIP request reflects statutory obligations and includes a total of \$75 million in general obligation bond authorization in both FY 2012 and FY 2013. Included is \$45 million in each year of the biennium for the State Educational Facilities Improvement (SEFI) special fund. The State is required to credit the requested amounts to the SEFI special fund. Without this G.O. bond authorization, general excise tax revenues will be used in lieu of the bond funds to credit the SEFI special fund, in accordance with Section 237-31, Hawaii Revised Statutes (HRS). \$30 million in each year of the biennium is requested for the Hawaiian Home Land (HHL) Trust Fund. The G.O. bond fund authorization for transfer to the HHL Trust Fund is in accordance with Act 14, SpSLH 1995. The State is required to make twenty annual deposits of \$30 million

to the Trust Fund to settle claims against the State. Table 18 summarizes the Department's CIP request for FB 11-13.

As reflected in Table 9, the Department has no emergency funding requests for FY 2011.

The Department does not have expenditures exceeding federal funds ceiling in FY 2010 or FY 2011 (Table 16).

Table 11, All Positions Vacant as of November 30, 2010, includes a listing of program vacancies as of November of 2010. Programs have been impacted by the requirements regarding the filling of vacancies and continue to address only the most critical activities given current fiscal conditions, exercising fiscal prudence and redistributing workload among existing staff as necessary.

This concludes the department-wide budget summary.

The following are more detailed descriptions of the fifteen programs in the DB&F. Each Administratively Attached Agency is present to elaborate on their individual budgets should there be questions on details or operations. The nine new programs covering fixed cost and entitlement payments (BUF 721, BUF 725, and BUF 728 -Debt Service Payments; BUF 741, BUF 745, and BUF 748 -Retirement Benefit Payments; BUF 761, BUF 765, and BUF 768 -Health Premium Payments), will be discussed in conjunction with related core programs. The program sequencing has been grouped as follows:

The DB&F's fifteen programs are aligned functionally, as follows:

- BUF 101, which is the Departmental Administration and Budget Division program;
- BUF 115, our Financial Administration program;
- *BUF 141, the Employees' Retirement System;
- *BUF 143, the Hawaii Employer-Union Trust Fund;
- *BUF 151, the Office of the Public Defender;
- *BUF 901, the Public Utilities Commission;

- BUF 721, Debt Service Payments;
- BUF 725, Debt Service Payments-DOE;
- BUF 728, Debt Service Payments-UH;

- *BUF 741, Retirement Benefits Payments;
- *BUF 745, Retirement Benefits Payments-DOE;
- *BUF 748, Retirement Benefits Payments-UH;

- BUF 761, Health Premium Payments;
- BUF 765, Health Premium Payments-DOE;
- BUF 768, Health Premium Payments-UH

*Administratively Attached Agencies/Programs

The first two programs are the Department's direct programs, comprised of the Director's Office, the Administrative and Research Office, the Budget, Program Planning and Management Division (collectively BUF 101), and the Financial Administration Division (BUF 115). The next four programs annotated with asterisks are agencies administratively attached to the Department. There are also nine programs which cover payments for non-discretionary cost items placed under separate program designations.

Table 2 includes the major functions of the Department and are prioritized based on the Department's direct programs versus those that are administratively attached and serve separate and distinct purposes. Table 2 also lays out the criteria used to measure performance for these functional areas and summarizes the major activities related to each of the identified functional areas.

BUF 101, DEPARTMENTAL ADMINISTRATION AND BUDGET DIVISION PROGRAM

I. Introduction

- A. The objective of this program is to facilitate and improve the executive resource allocation process by undertaking planning, programming, and budgeting, through sound recommendations on all phases of program scope and funding, and by efforts to simplify and more directly tie program performance with resource allocation decisions.
- B. This program includes: 1) departmental administration; 2) the Budget, Program Planning and Management Division; and 3) American Recovery and Reinvestment Act (ARRA).

II. The major activities undertaken by this program to meet program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
DEPARTMENTAL ADMINISTRATION	AND BUDGET DIVISION	
BUF 101BA	Conduct continuous review and advise the Director and Governor on the financial condition of the State and on State budget policies.	2
BUF 101BA	Assist in the preparation, explanation, and administration of the State's proposed six-year program and financial plan and the State budget.	1
BUF 101BA	Advise and monitor State agencies for compliance with budget execution policies and procedures.	3
BUF 101BA	Perform analysis of State programs, operations, problems, and issues to provide management with a sound, rational basis for decision making.	3
BUF 101BA	Provide staff services for the Governor as required.	2
BUF 101AA	Provide administrative support activities to the Department.	2
BUF 761, BUF 765, BUF 768	Makes employer contributions for health benefit premiums for State employees, retirees and their dependents.	1

III. BUF 101 - Expenditures for Fiscal Year 2010-2011

	Appropriation FY 11	Collective Bargaining	Restriction	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
(Pos. Count)	(38.00)				(38.00)
PERS SERV	2,103,314				2,103,314
CURR EXP	1,011,926				1,011,926
ATTORNEY/WITNESS	7,607,676				7,607,676
EQUIP	0				0
TOTAL	10,722,916				10,722,916
(Pos Count)	(0.75)				(0.75)
Interdepartmental Transfer FUND	31,343				31,343
(Pos. Count)	(37.25)				(37.25)
GENERAL FUND	10,691,573	0	0	0	10,691,573

IV. BUF 101 - Biennium Budget for FY 2012 and FY 2013

	Budget Request FY 2010-2012	Budget Request FY 2012-2013	Biennium Requirement
(Pos. Count)	(38.00)	(38.00)	(38.00)
PERS SERV	2,461,812	2,461,812	4,923,624
CURR EXP	1,011,926	1,011,926	2,023,852
ATTORNEY/WITNESS	7,607,676	7,607,676	15,215,352
TOTAL	11,081,414	11,081,414	22,162,828
(Pos. Count)	0.75	0.75	0.75
Interdepartmental Transfer FUND	31,343	31,343	62,686
(Pos. Count)	(37.25)	(37.25)	(37.25)
GENERAL FUND	11,050,071	11,050,071	22,100,142

Additional items that may be submitted by the current administration via a Governor's Message during the 2011 Legislative Session are as follows:

Form B-1 Items (Table 7)

1. Temporary positions and funds to continue six months funding in FY 12 for the State's oversight efforts over State entities who receive American Recovery and Reinvestment Act (ARRA) funds.
2. Full year funding for the Department of Budget and Finance Deputy Director position.
3. Funds for the State Employer's share of the Other Post Employment Benefits (OPEB) actuarial valuation costs in FY 12.
4. Funds to restore the Departmental Personnel Officer and Accountant IV positions that were abolished in Act 180, SLH 2010.
5. Funding for two Budget Policy Analysts (V).

V. Capital Improvement Requests for Fiscal Biennium 2011-2013:

A. Program ID: BUF 101

Project Title and Description: State Educational Facilities Improvement (SEFI) Special Fund, Statewide

To authorize the transfer of general obligation bond funds to the SEFI special fund.

Financial Requirements (in thousands of dollars):

<u>Cost Element</u>	<u>MOF</u>	<u>FY 12 Request</u>	<u>FY 13 Request</u>	<u>Future Apprn</u>
Construction	C	\$45,000	\$45,000	\$45,000/year

Explanation and Scope of Project:

To provide general obligation bond fund authorization for the SEFI special fund. The request includes the base authorization of \$45 million in each fiscal year of the biennium pursuant to Act 304, SLH 2006.

Justification for the Project:

Section 36-32, HRS, established the SEFI special fund and Section 237-31, HRS, sets forth amounts the State must credit in each fiscal year to the State educational facilities improvement special fund. This request is to comply with the \$45 million requirement in both FY 2012 and FY 2013. If this request is not approved, general excise tax revenues will be used in lieu of general obligation bond funds to credit the SEFI special fund.

B. Program ID: BUF 101

Project Title and Description: Hawaiian Home Lands Trust Fund, Statewide

To authorize the transfer of general obligation bond funds to the Hawaiian Home Lands trust fund to satisfy the provisions of Act 14, SpSLH 1995.

Financial Requirements (in thousands of dollars):

<u>Cost Element</u>	<u>MOF</u>	<u>FY 12 Request</u>	<u>FY 13 Request</u>	<u>Future Apprn</u>
Construction	C	\$30,000	\$30,000	\$30,000/year

Explanation and Scope of Project:

See Description of Project.

Justification for the Project:

Act 14, SpSLH 1995, established the Hawaiian Home Lands trust fund and requires the State to make twenty annual deposits of \$30 million into the trust fund. Approval of this request will authorize the transfer of general obligation bond funds to the Hawaiian Home Lands trust fund. Act 14, SpSLH 1995, settles claims against the State for inappropriate or improper uses, dispositions or exchanges of Hawaiian home lands which occurred between August 21, 1959 and July 1, 1988.

VI. Proposed Lapses of Capital Improvements Program Projects:

None.

The next three programs are BUF 761, BUF 765, and BUF 768, HEALTH PREMIUM PAYMENTS programs.

I. Introduction

- A. These programs were established by the Legislature to separately identify funds available for the payment of employer contributions for State government employee health benefits. These amounts were previously reflected as part of the BUF 943, Health Premium Payments program.
- B. This program includes employer contributions for health and life insurance benefits provided by the Hawaii Employer-Union Health Benefits Trust Fund (EUTF) and the Hawaii State Teachers Association (HSTA) Voluntary Employees' Beneficiary Association (VEBA) Trust as authorized by Act 245, SLH 2005. Note: Act 106, SLH 2010, repeals the HSTA VEBA effective December 31, 2010, over 15,000 active and retiree HSTA VEBA subscribers have returned to the EUTF plans.

II. Health Premium Payments - Expenditures Fiscal Year 2010-2011 (General Fund)

	Appropriation FY 11	Collective Bargaining	Restriction	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
CURR EXP Health Benefit Premiums	478,540,233	0	0	0	478,540,233

III. Health Premium Payments - Biennium Budget for FY 2012 and FY 2013 (General Fund)

	Budget Request FY 2011-2012	Budget Request FY 2012-2013	Biennium Requirement
CURR EXP			
Health Benefit Premiums	486,429,516	528,213,112	1,014,642,628

Cost requirements for active employees are based on current benefit plan premiums and projected eligible employees. Premium rates for retirees are based on rates projected by the EUTF benefit consultant and anticipated enrollment levels. Projected enrollments for actives and retirees are based on actual 2010 enrollments with an annual growth factor (two percent for actives and four percent for retirees).

In addition, increased employer contributions for the Hawaii Employer-Union Health Benefits Trust Fund health benefits pursuant to the December 23, 2010 agreement will be submitted to the 2011 Legislature via a Governor's Message. The estimated cost of this agreement is \$18.1 million for FY 11 and \$54.29 million in each year thereafter.

The next program is the BUF 115, FINANCIAL ADMINISTRATION PROGRAM.

I. Introduction

A. The objective of this program is to maximize the value, investment, and use of State funds through proactive planning, and the development of prudent statewide financial policies, the timely scheduling of State bond financing, and the establishment of appropriate cash management controls and procedures. In addition, the objective of the unclaimed property program is to maximize the receipt of unclaimed property or funds from holders and to return the property or funds to their rightful owners.

II. The major activities undertaken by this program to meet program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
FINANCIAL ADMINISTRATION		
BUF 115CA	Receive, safeguard, and disburse funds to meet State funding obligations in the State Treasury	1
BUF 115CA	Maximize investment of funds	2
BUF 115CA	Plan, direct, and coordinate the issuance and marketing of bonds	2
BUF 115CA	Receives unclaimed property or funds from holders and holds them in trust until they are returned to their rightful owner	3
BUF 721, BUF 725, BUF 728	Makes debt service payments associated with General Obligation and General Obligation Reimbursable Bonds issued by the State	1

III. BUF 115 - Expenditures for Fiscal Year 2010-2011

	Appropriation FY 11	Collective Bargaining	Restriction	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
(Pos. Count)	(21.00)				(21.00)
PERS SERV	1,064,201				1,064,201
CURR EXP	7,612,451				7,612,451
TOTAL	8,676,652				8,676,652
(Pos. Count)	(11.00)				(11.00)
General Fund	1,619,237				1,619,237
(Pos. Count)	(1.00)				(1.00)
Interdept'l Fund	70,260				70,260
(Pos. Count)	(9.00)				(9.00)
Trust Fund	6,987,155	0	0	0	6,987,155

IV. BUF 115 - Biennium Budget for FY 2012 and FY 2013

	Budget Request FY 2011-2012	Budget Request FY 2012-2013	Biennium Requirement
(Pos. Count)	(21.00)	(21.00)	(21.00)
PERS SERV	1,194,940	1,194,940	2,389,880
CURR EXP	7,612,451	7,612,451	15,224,902
TOTAL	8,676,652	8,676,652	17,353,304
(Pos. Count)	(11.00)	(11.00)	(11.00)
General Fund	1,718,147	1,718,147	3,436,294
(Pos. Count)	(1.00)	(1.00)	(1.00)
Interdept'l Fund	70,260	70,260	140,520
(Pos. Count)	(9.00)	(9.00)	(9.00)
Trust Fund	7,018,984	7,018,984	14,037,968

The program request for FB 11-13 supports current service levels based on the established budget ceiling of \$8.81 million in each year of the fiscal biennium (all means of financing).

Additional item that may be submitted by the current administration via a Governor's Message during the 2011 Legislative Session are as follows:

Form B-1 Item

General Funds to contract for services which are necessary for the development of detailed written policies and procedures and professional investment consultant services to better enable the Statewide Treasury program to best meet the required responsibilities and functions of the program.

The next three programs are the BUF 721, BUF 725, and BUF 728, DEBT SERVICE PAYMENTS programs, which are also administered by the Financial Administration Division.

I. Introduction

- A. This program was established by the Legislature to separately identify funds available for the State’s debt service payments. These amounts were previously reflected as part of the BUF 915, Debt Service Payments program.
- B. This program includes principal and interest payments associated with General Obligation and General Obligation Reimbursable Bonds issued by the State. Appropriation and expenditures for the Department of Education and the University of Hawaii are included as interdepartmental transfer funds with debt service paid centrally by the Financial Administration Division.

II. Debt Service - Expenditures for Fiscal Year 2010-2011 (General Fund)

	Appropriation FY 11	Collective Bargaining	Restriction	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
CURR EXP Debt Service	492,930,627	0	0	0	492,930,627

III. Debt Service - Biennium Budget for FY 2012 and FY 2013 (General Fund)

	Budget Request FY 2011-2012	Budget Request FY 2012-2013	Biennium Requirement
CURRENT EXPENSE Debt Service	564,100,746	668,286,390	1,232,387,136

The proposed FB 11-13 total General Obligation (G.O.) bond debt service request (MOF A) is \$564.1 million in FY 2012 and \$668.3 million in FY 2013. The requirements support GO CIP projects included in the Executive Biennium Budget request. The G.O. bond debt service reflects an increase above the FY 11 appropriation amounts in Act 180, SLH 2010, by \$71.2 million in FY 12 and \$175.4 million in FY 13. These increases are due: 1) primarily to previous refunding/restructuring of debt service to provide budget relief in prior periods; and 2) to the additional bonds being issued to fund ongoing CIP. These increases in G.O. bond debt service requirements was expected and incorporated into prior and current financial plan projections.

The next program is the BUF 141, Employees' Retirement System (ERS).

I. Introduction

A. The Employees' Retirement System's program objectives are to provide retirement and survivor benefits to State and county employees, retirees, and inactive vested members and to prudently maximize the return on its investment portfolio.

II. The major activities undertaken by this program to meet program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
EMPLOYEES' RETIREMENT SYSTEM		
BUF 141FA	Provides various membership services including pre-retirement counseling; computation of retirement, disability and death benefits; enrollment of new State and county employees into the appropriate retirement plan; conducting disability hearings and appeals.	3
BUF 141	Budgets, accounts for and safeguards all assets of the ERS; ensures tax qualified status of the fund; and processes retirement benefit payments.	1
BUF 141	Plans, administers and oversees investments of the ERS' portfolio including stocks, bonds, foreign securities, mortgage loans, real estate, alternative investments, and short-term instruments.	2
BUF 741, BUF 745, BUF 748	Makes payments for employer contributions towards State government employee retirement benefits including pension accumulation and social security/Medicare.	1

III. BUF 141 - Expenditures for Fiscal Year 2010-2011 (Other Funds MOF X)

	Appropriation FY11	Collective Bargaining	Restriction	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
(Pos. Count)	(99.00)				(99.00)
PERS SERV	6,243,696				6,243,696
CURR EXP	4,108,295				4,108,295
EQUIP	251,300				251,300
TOTAL	10,603,291	0	0	0	10,603,291

IV. BUF 141 - Biennium Budget for FY 2012 and FY 2013 (Other Funds MOF X)

	Budget Request FY 2011-2012	Budget Request FY 2012-2013	Biennium Requirement
(Pos. Count)	(99.00)	(99.00)	(99.00)
PERS SERV	6,719,928	6,719,928	13,439,856
CURR EXP	4,108,295	4,108,295	8,216,590
EQUIP	0	0	0
TOTAL	10,828,223	10,828,223	21,656,446

The program request for FB 11-13 supports current service levels based on the established budget ceiling of \$10.83 million in each year of the fiscal biennium

(Other Funds).

The next three programs are BUF 741, BUF 745, and BUF 748, RETIREMENT BENEFIT PAYMENTS.

I. Introduction

- A. This program was established by the Legislature to separately identify funds available for the payment of employer contributions for State government employee retirement benefits. These amounts were formerly reflected as part of the BUF 941, Retirement Benefit Payments program.
- B. This program includes employer contributions for pension accumulation and social security/Medicare. General fund appropriations for the Department of Education (DOE) and the University of Hawaii (UOH) are transferred at the beginning of each fiscal year and included in this program as interdepartmental transfer funds. Pension accumulation contributions are based on a percentage of payroll as stipulated in Chapter 88, Hawaii Revised Statutes. Social Security/Medicare contributions are federally mandated and based on assessment rates of 6.2% for social security and 1.45% for Medicare.

II. Retirement Benefits- Expenditures for Fiscal Year 2010-2011 (General Fund)

	Appropriation FY 11	Collective Bargaining	Restriction	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
CURR EXP					
Pension Accumulation	408,680,514				408,680,514
Social Sec/Medicare	202,732,806				202,732,806
TOTAL	611,413,320	0	0	0	611,413,320

III. Retirement Benefits - Biennium Budget for FY 2012 and FY 2013 (General Fund)

	Budget Request FY 2011-2012	Budget Request FY 2012-2013	Biennium Requirement
CURR EXP			
Pension Accumulation	445,010,000	450,572,000	895,582,000
Social Sec/Medicare	236,440,103	223,321,000	459,761,103
TOTAL	681,450,103	673,893,000	1,355,343,103

The program request for FB 11-13 includes appropriations for pension accumulation totaling \$445.00 million in FY 2012 and \$450.57 million in FY 2013 (MOF A). Employer contributions are based on statutorily set percentages of payroll — 19.7% of the member’s compensation for police and firefighters and 15 percent of the member’s compensation for all other employees. Payroll projections are based on actuals through June 30, 2008.

Social Security/Medicare (SS/Med) requirements (MOF A) total \$236.44 million in FY 2012 and \$223.32 million in FY 2013 based on actual payroll expenditures through June 30, 2008. Assessment rates are 6.2% for Social Security and 1.45% for Medicare.

In addition, a Governor's Message will be submitted for the repayment of Pension Accumulation Payment of \$36.76 million in FY 13 to reflect the amount that was deferred from June 2009

The last Government-Wide support program is the BUF 143, the Hawaii Employer-Union Trust Fund (Trust Fund).

I. Introduction

A. The objective of this program is to administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and State legal requirements.

II. The major activities undertaken by this program to meet program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
HAWAII EMPLOYER-UNION HEALTH	BENEFITS TRUST FUND	
BUF 143EU	EUTF Board of Trustees determine the nature and scope of the benefit plans offered, negotiate and enter into contracts with insurance carriers, administer self-funded plans, establish eligibility and management policies for the Trust Fund, and oversee all Trust Fund activities.	1
BUF 143	EUTF staff train State and county personnel and fiscal officers on enrollment procedures, collect employer and employee contributions, remits premiums to insurance carriers, provide enrollment services to State and county retirees, administer the federal COBRA Law, assist the Department of Human Resources Development in administration of the State of Hawaii's Premium Conversion Plan and comply with HIPAA privacy and security regulations and policies related to enrollment information.	2

III. EUTF - Expenditures for Fiscal Year 2010-2011 (Trust Fund)

	Appropriation FY 11	Collective Bargaining	Restriction	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
(Pos. Count)	(27.00)				(27.00)
PERS SERV	1,906,976				1,906,976
CURR EXP	2,301,450				2,301,450
TOTAL	4,208,426	0	0	0	4,208,426

IV. EUTF - Biennium Budget for FY 2012 and FY 2013 (Trust Fund)

	Budget Request FY 2011-2012	Budget Request FY 2012-2013	Biennium Requirement
(Pos. Count)	(27.00)	(27.00)	(27.00)
PERS SERV	2,035,093	2,035,093	4,070,186
CURR EXP	2,301,450	2,301,450	4,602,900
TOTAL	4,336,543	4,336,543	8,673,086

The program request for FB 11-13 supports current service levels based on the established budget ceiling of \$4.34 million in each year of the fiscal biennium (Trust Funds).

Additional items that may be submitted by the current administration via a Governor's Message during the 2011 Legislative Session are as follows:

Form B-1 Items

1. Positions and funds to incorporate Act 106, SLH 2010 additional resources that were provided to the EUTF.
2. Conversion of 8.00 FTE temporary positions to permanent positions.

The next two administratively attached programs are under the Individual Rights major program area.

The first program is BUF 151, Office of the Public Defender program.

I. Introduction

A. The objective of this program is to safeguard the rights of individuals in need of assistance in criminal, mental commitment and family cases by providing constitutionally and statutorily entitled legal services.

II. The major activities undertaken by this program to meet program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
OFFICE OF THE PUBLIC DEFENDER		
BUF 151HA	Provide comprehensive legal and other necessary services to the indigent in criminal, mental commitment and family cases as required by federal and State constitutions, State statutes, judicial rules and decisions and opinions, and the canons of professional ethics.	1

III. BUF 151 - Expenditures for Fiscal Year 2010-2011 (General Fund)

	Appropriation FY 11	Collective Bargaining	Restriction	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
(Pos. Count)	(81.00)				(81.00)
PERS SERV	8,235,621				8,235,621
CURR EXP	683,014				683,014
EQUIP	0				0
TOTAL	8,918,635	0	0	0	8,918,635

*The program is also authorized 50 temporary positions for a total staffing of 131 positions.

IV. BUF 151 - Biennium Budget for FY 2012 and FY 2013 (General Fund)

	Budget Request FY 2011-2012	Budget Request FY 2012-2013	Biennium Requirement
(Pos. Count)	(81.00)	(81.00)	(81.00)
PERS SERV	9,207,285	9,207,285	18,414,570
CURR EXP	683,014	683,014	1,366,028
TOTAL	9,890,299	9,890,299	19,780,598

The program request for FB 11-13 supports current service levels based on the established budget ceiling of \$9.89 million in each year of the fiscal biennium (General Fund).

The last program is BUF 901, Public Utilities Commission.

I. Introduction

A. The objective of this program is to ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate of return.

II. The major activities undertaken by this program to meet program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
PUBLIC UTILITIES COMMISSION		
BUF 901MA	Establish rules and regulations pertaining to service standards.	1
BUF 901MA	Render decisions on rates, fares, and charges.	1
BUF 901MA	Process applications, issue certificates to regulated companies, and conduct investigations and audits of regulated companies.	2
BUF 901MA	Conduct investigations of complaints filed against public utilities, other regulated entities, and companies utilizing services under the Commission's jurisdiction.	2
BUF 901MA	Maintain the fiscal integrity of the Public Utilities Commission Special Fund.	1

III. BUF 901 Expenditures for Fiscal Year 2010-2011 (Special Fund)

	Appropriation FY 11	Collective Bargaining	Restriction	Transfers In Transfers Out	Net Allocation and Estimated Total Expenditure
(Pos. Count)	(51.00)				(51.00)
PERS SERV	3,913,770				3,913,770
CURR EXP EQUIP	5,335,561				5,335,561
TOTAL	9,249,331	0	0	0	9,249,331

IV. BUF 901 Biennium Budget for FY 2012 and FY 2013 (Special Fund)

	Budget Request <u>FY 2010-2012</u>	Budget Request <u>FY 2012-2013</u>	Biennium <u>Requirement</u>
(Pos. Count)	(51.00)	(51.00)	(51.00)
PERS SERV	4,146,613	4,146,613	8,293,226
CURR EXP EQUIP	5,335,561 0	5,335,561 0	10,671,122 0
TOTAL	9,482,174	9,482,174	18,964,348

Additional items that may be submitted by the current administration via a Governor's Message during the 2011 Legislative Session are as follows:

<u>Item</u>	<u>Org Code</u>	<u>Cost Element</u>	<u>MOF</u>	<u>Amount of Request</u>	
				<u>FY 12</u>	<u>FY 13</u>
				(11.00)	(11.00)
Act 177, SLH 07	MA	Pers Svcs	B	754,236	754,236
& Act 183, SLH 2007		Current Expense*	B	927,764	949,764
Restructuring		Equipment	B	22,000	0
		Total	B	1,704,000	1,704,000

*Includes \$634,000 transfer to DCA

Act 177, SLH 2007 ("Act 177"), and Act 183, SLH 2007 ("Act 183"), mandated the restructuring, among other things, of the Commission's ("PUC") and Department of Commerce and Consumer Affairs, Division of Consumer Advocacy's ("DCA") operations, respectively. The Legislature found that adequate staffing and resources were essential for the PUC and DCA to effectively accomplish agency objectives and enacted Act 130, SLH 2010, which authorized sufficient funding for the operations and staffing of the PUC and DCA (pursuant to Act 177), inclusive of the restoration of the eleven (11) PUC positions and ten (10) DCA positions abolished or not funded by Act 162, SLH 2009, in FY 2010. This request represents the estimated costs of additional staff, equipment, and related operational costs to fully implement Act 177 and Act 183.

<u>Item</u>	<u>Org Code</u>	<u>Cost Element</u>	<u>MOF</u>	<u>Amount of Request</u>	
				<u>FY 12</u>	<u>FY 13</u>
Act 177 SLH 2007 Office Relocation	MA	Current Expense	B	3,811,608	1,272,071
		Total	B	3,811,608	1,272,071

Act 177, SLH 2007, authorized restructuring of the Commission and the relocation of operations to address and support increased responsibilities of the Commission specifically in energy policy reform. The Commission proposes to relocate its O'ahu office and the requested expenditure authorization covers relocation and office lease expenses. The Department of Accounting and General Services advised that no vacant State facilities are currently available to house the Commission.

<u>Item</u>	<u>Org Code</u>	<u>Cost Element</u>	<u>MOF</u>	<u>Amount of Request</u>	
				<u>FY 12</u>	<u>FY 13</u>
DCA Budget Ceiling Adjustment	MA	Current Expense	B	200,000	200,000
		Total	B	200,000	200,000

The Commission is required to allocate funds from the PUC Special Fund to the DCA pursuant to Section 269-33, Hawaii Revised Statutes (HRS). The requested special fund authorization of \$200,000 in each year of the biennium provides the DCA with necessary funds to acquire consultant services to meet increasing technical expertise needs to assist the DCA in its duties of representing, advancing, and protecting utility and transportation consumer interests.

Department of Budget and Finance
Department-wide Budget Summary

Table 1

Fiscal Year (FY) 2011						
Act 180/10 Appropriation	Restriction (b)	Emergency Appropriation (c)	Total FY11 (d)	MOF		
1,604,113,625	0	0	1,604,113,625	A		
9,249,331	0	0	9,249,331	B		
0	0	0	0	N		
11,195,581	0	0	11,195,581	T		
101,603	0	0	101,603	U		
0	0	0	0	V		
0	0	0	0	W		
10,603,291	0	0	10,603,291	X		
1,635,263,431	0	0	1,635,263,431	Total		
Fiscal Year (FY) 2012						
Act 180/10 Appropriation *	Reductions (b)	Additions ** (c)	Total FY12 (d)	MOF		
1,604,113,625		150,525,257	1,754,638,882	A		
9,249,331		232,843	9,482,174	B		
0		0	0	N		
11,195,581		159,946	11,355,527	T		
101,603		0	101,603	U		
0		0	0	V		
0		0	0	W		
10,603,291		224,932	10,828,223	X		
1,635,263,431	0	151,142,978	1,786,406,409	Total		
Fiscal Year (FY) 2013						
Act 180/10 Appropriation *	Reductions (b)	Additions ** (c)	Total FY13 (d)	MOF		
1,604,113,625		288,937,394	1,893,051,019	A		
9,249,331		232,843	9,482,174	B		
0		0	0	N		
11,195,581		159,946	11,355,527	T		
101,603		0	101,603	U		
0		0	0	V		
0		0	0	W		
10,603,291		224,932	10,828,223	X		
1,635,263,431	0	289,555,115	1,924,818,546	Total		
				A	General Fund	
				B	Special Fund	
				N	Federal Fund	
				T	Trust Fund	
				U	Inter-Departmental Fund	
				V	Federal ARRA Fund	
				W	Revolving Fund	
				X	Other Fund	
* Act 180, SLH 2010 FY 11 Apprn						
** MOF (X) reflects net adjustment (+476,232 furlough adjustment - \$251,300 non recurring equipment cost)						

Department of Budget and Finance
Priority List of Functions

Table 2

Pri #	Description of Function	Activities	Prog ID(s)	Statutory Reference
1	Facilitate and improve the executive resource allocation process by undertaking planning, programming, and budgeting, through sound recommendations on all phases of program scope and funding.	Conduct continuous review and advise the Director and Governor on the financial condition of the State and on State budget policies.	BUF 101	Section 26-8, Hawaii Revised Statute
		Assist in the preparation, explanation, and administration of the State's proposed six-year program and financial plan and the State budget.		
		Advise and monitor State agencies for compliance with budget execution policies and procedures.		
		Perform analysis of State programs, operations, problems, and issues to provide management with a sound, rational basis for decision making.		
		Provide staff services for the Governor as required.		
		Provide administrative support activities to the Department.		
1	Maximize the value, investment, and use of State funds through planning, policy development, the timely scheduling of state bond financing, and the establishment of appropriate cash management controls and procedures.	Receive, safeguard, and disburse funds to meet State funding obligations in the State Treasury	BUF 115	Section 26-8, Hawaii Revised Statute
		Maximize investment of funds		
		Plan, direct, and coordinate the issuance and marketing of bonds		
1	Make debt service payments associated with General Obligation and General Obligation Reimbursable Bonds issued by the State.	Makes debt service payments associated with General Obligation and General Obligation Reimbursable Bonds issued by the State	BUF 721, BUF 725, BUF 728	Section 26-8, Hawaii Revised Statute
1	Make payment of employer contributions for State government employee health benefits.	Makes employer contributions for health benefit premiums for state employees, retirees and their dependents.	BUF 761, BUF 765, BUF 768	Chapters 87A and 87D, Hawaii Revised Statute
1	Make payment of employer contributions for State government employee retirement benefits including pension accumulation and social security/Medicare.	Makes payments for employer contributions towards State government employee retirement benefits including pension accumulation and social security/Medicare.	BUF 741, BUF 745, BUF 748	Chapter 88 and Section 26-8, Hawaii Revised Statute

Department of Budget and Finance
Priority List of Functions

Table 2

Pri #	Description of Function	Activities	Prog ID(s)	Statutory Reference
2	Administer retirement and survivor benefits for State and county employees, retirees, and inactive vested members and prudently manage the ERS' return on investments.	Provides various membership services including pre-retirement counseling; computation of retirement, disability and death benefits; enrollment of new State and county employees into the appropriate retirement plan; conducting disability hearings and appeals.	BUF 141	Chapter 88, Hawaii Revised Statute
		Budgets, accounts for and safeguards all assets of the ERS; ensures tax qualified status of the fund; and processes retirement benefit payments.		
		Plans, administers and oversees investments of the ERS' multi-billion dollar portfolio including stocks, bonds, foreign securities, mortgage loans, real estate, alternative investments, and short-term instruments.		
2	Administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and State legal requirements.	EUTF Board of Trustees determine the nature and scope of the benefit plans offered, negotiate and enter into contracts with insurance carriers, administer self-funded plans, establishes eligibility and management policies for the Trust Fund, and oversee all Trust Fund activities.	BUF 143	Chapter 87A, Hawaii Revised Statute
		EUTF staff train State and county personnel and fiscal officers on enrollment procedures, collect employer and employee contributions, remits premiums to insurance carriers, provide enrollment services to State and county retirees, administer the federal COBRA Law, assist the Department of Human Resources Development in administration of the State of Hawaii's Premium Conversion Plan and comply with HIPAA privacy and security regulations and policies related to enrollment information.		
2	Safeguard the rights of individuals in need of assistance in criminal, mental commitment and family cases by providing effective legal representation as constitutionally and statutorily mandated.	Provide comprehensive legal and other necessary services to the indigent in criminal, mental commitment and family cases as required by federal and State constitutions, State statutes, judicial rules and decisions and opinions, and the canons of professional ethics.	BUF 151	Chapter 802, Hawaii Revised Statute
2	Ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate of return.	Establish rules and regulations pertaining to service standards.	BUF 901	Chapter 269, Hawaii Revised Statutes

Department of Budget and Finance

Table 2

Priority List of Functions

<u>Pri #</u>	<u>Description of Function</u>	<u>Activities</u>	<u>Prog ID(s)</u>	<u>Statutory Reference</u>
		Render decisions on rates, fares, and charges.		
		Process applications, issue certificates to regulated companies, and conduct investigations and audits of regulated companies.		
		Conduct investigations of complaints filed against public utilities, other regulated entities, and companies utilizing services under the Commission's jurisdiction.		
		Maintain the fiscal integrity of the Public Utilities Commission Special Fund.		
3	Maximize the receipt of unclaimed property or funds from holders and to return the property or funds to their rightful owners.	Receives unclaimed property or funds from holders and holds them in trust until they are returned to their rightful owner	BUF 115	Chapter 523A, Hawaii Revised Statute
	Note: Prioritizations are based on B&F direct program (1) functions versus those of the attached agencies (2). Equal weighting is given to functions with the same priority number.			

Department of Budget and Finance
Resources by Program ID

Table 3

Prog ID/Org	Program Title	As budgeted in Act 180/10 (FY11)			Governor's Submittal (FY12)			Governor's Submittal (FY13)			
		Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	MOF
BUF 101/AA	Deptl Admin & Budget Div (ARO & DIR)	14.25	-	\$ 9,341,576	14.25	-	\$ 9,434,119	14.25	-	\$ 9,434,119	A
BUF 101/AA	Deptl Admin & Budget Div (ARO & DIR)	0.75	-	\$ 31,343	0.75	-	\$ 31,343	0.75	-	\$ 31,343	U
BUF 101/BA	Deptl Admin & Budget Div (BPPM)	23.00	-	\$ 1,349,997	23.00	-	\$ 1,615,952	23.00	-	\$ 1,615,952	A
BUF 115/CA	Financial Admin Div	11.00	-	\$ 1,619,237	11.00	-	\$ 1,718,147	11.00	-	\$ 1,718,147	A
BUF 115/CA	Financial Admin Div	9.00	-	\$ 6,987,155	9.00	-	\$ 7,018,984	9.00	-	\$ 7,018,984	T
BUF 115/CA	Financial Admin Div	1.00	-	\$ 70,260	1.00	-	\$ 70,260	1.00	-	\$ 70,260	U
BUF 141/	Employer's Retirement System	99.00	1.00	\$ 10,603,291	99.00	1.00	\$ 10,828,223	99.00	1.00	\$ 10,828,223	X
BUF 143/EU	Hawaii Employer-Union Trust Fund	27.00	8.00	\$ 4,208,426	27.00	8.00	\$ 4,336,543	27.00	8.00	\$ 4,336,543	T
BUF 151/HA	Office of the Public Defender	81.00	50.00	\$ 8,918,635	81.00	50.00	\$ 9,890,299	81.00	50.00	\$ 9,890,299	A
BUF 901/MA	Public Utilities Commission	51.00	-	\$ 9,214,331	51.00	-	\$ 9,447,174	51.00	-	\$ 9,447,174	B
BUF 901/PM	Public Utilities Commission	-	-	\$ 35,000	-	-	\$ 35,000	-	-	\$ 35,000	B
BUF 721/ST	Debt Service Payments (State)	-	-	\$ 225,959,399	-	-	\$ 258,583,782	-	-	\$ 306,342,481	A
BUF 725/LE	Debt Service Payments (DOE)	-	-	\$ 194,855,477	-	-	\$ 222,989,025	-	-	\$ 264,173,610	A
BUF 728/HE	Debt Service Payments (UH)	-	-	\$ 72,115,751	-	-	\$ 82,527,939	-	-	\$ 97,770,299	A
BUF 741/ST	Ret Benefits Payments (State)	-	-	\$ 246,651,441	-	-	\$ 277,515,975	-	-	\$ 274,009,000	A
BUF 745/LE	Ret Benefits Payments (DOE)	-	-	\$ 249,726,061	-	-	\$ 280,677,870	-	-	\$ 277,200,000	A
BUF 748/HE	Ret Benefits Payments (UH)	-	-	\$ 115,035,818	-	-	\$ 123,256,258	-	-	\$ 122,684,000	A
BUF 761/ST	Health Premium Payments (State)	-	-	\$ 198,820,766	-	-	\$ 174,648,569	-	-	\$ 189,226,716	A
BUF 765/LE	Health Premium Payments (DOE)	-	-	\$ 206,597,259	-	-	\$ 234,356,028	-	-	\$ 255,053,821	A
BUF 768/HE	Health Premium Payments (UH)	-	-	\$ 73,122,208	-	-	\$ 77,424,919	-	-	\$ 83,932,575	A
		129.25	50.00	\$ 1,604,113,625	129.25	50.00	\$ 1,754,638,882	129.25	50.00	\$ 1,893,051,019	A
		51.00	0.00	\$ 9,249,331	51.00	0.00	\$ 9,482,174	51.00	0.00	\$ 9,482,174	B
		36.00	8.00	\$ 11,195,581	36.00	8.00	\$ 11,355,527	36.00	8.00	\$ 11,355,527	T
		1.75	0.00	\$ 101,603	1.75	0.00	\$ 101,603	1.75	0.00	\$ 101,603	U
		99.00	1.00	\$ 10,603,291	99.00	1.00	\$ 10,828,223	99.00	1.00	\$ 10,828,223	X

Department of Budget and Finance
 Current Year (FY11) Restrictions

Table 4

<u>Prog ID</u>	<u>Restriction \$\$\$</u>	<u>Impact</u>	<u>MOF</u>
		No departmental restrictions for FY 11 (to date)	

Department of Budget and Finance
Proposed FY12 and FY13 Reductions

Table 5

<u>Description of Reduction</u>	<u>Impact of Reduction</u>	<u>Prog ID</u>	<u>Pos (P) FY12</u>	<u>Pos (T) FY12</u>	<u>\$\$\$ FY12</u>	<u>Pos (P) FY13</u>	<u>Pos (T) FY13</u>	<u>\$\$\$ FY13</u>	<u>MOF</u>	<u>Carry-over? (Y/N)</u>
Non-recurring equipment	None	BUF 141	-	-	(\$251,300)	-	-	(\$251,300) X		No
Fixed Cost adjustment - health premiums (State)		BUF 761	-	-	(\$24,172,197)	-	-	(\$9,594,050) A		No

Department of Budget and Finance
Proposed FY12 and FY13 Additions

Table 6

(FE/HS/O)	Description of Addition	Prog ID	Pos (P) FY12	Pos (T) FY12	\$\$\$ FY12	Pos (P) FY13	Pos (T) FY13	\$\$\$ FY13	MOF
FE	Fixed cost adjustment - debt service (State)	BUF 721	-	-	\$ 32,624,383	-	-	\$ 80,383,082	A
FE	Fixed cost adjustment - debt service (DOE)	BUF 725	-	-	\$ 28,133,548	-	-	\$ 69,318,133	A
FE	Fixed cost adjustment - debt service (UH)	BUF 728	-	-	\$ 10,412,188	-	-	\$ 25,654,548	A
FE	Fixed cost adjustment - retirement (State)	BUF 741	-	-	\$ 9,988,851	-	-	\$ 6,481,876	A
FE	Fixed cost adjustment - retirement (DOE)	BUF 745	-	-	\$ 10,040,083	-	-	\$ 6,562,213	A
FE	Fixed cost adjustment - retirement (UH)	BUF 748	-	-	\$ 3,530,043	-	-	\$ 1,323,159	A
FE	Fixed cost adjustment - health premium (DOE)	BUF 765	-	-	\$ 27,758,769	-	-	\$ 48,456,562	A
FE	Fixed cost adjustment - health premium (UH)	BUF 768	-	-	\$ 4,302,711	-	-	\$ 10,810,367	A
O	Furlough restoration	BUF 101	-	-	\$ 358,498	-	-	\$ 358,498	A
O	Furlough restoration	BUF 115	-	-	\$ 98,910	-	-	\$ 98,910	A
O	Furlough restoration	BUF 115	-	-	\$ 31,829	-	-	\$ 31,829	T
O	Furlough restoration	BUF 141	-	-	\$ 476,232	-	-	\$ 476,232	X
O	Furlough restoration	BUF 143	-	-	\$ 128,117	-	-	\$ 128,117	T
O	Furlough restoration	BUF 151	-	-	\$ 971,664	-	-	\$ 971,664	A
O	Furlough restoration	BUF 901	-	-	\$ 232,843	-	-	\$ 232,843	B

Department of Budget and Finance
Operating Budget Requests to the New Administration

Table 7

Description of Addition	Prog ID	Pos (P) FY12	Pos (T) FY12	\$\$\$ FY12	Pos (P) FY13	Pos (T) FY13	\$\$\$ FY13	MOF
Temp Posns & funds to continued ARRA Oversight	BUF 101	-	2.00	92,266	-	-	-	A
Full year funding for the Deputy Director position	BUF 101/AA	-	-	65,928	-	-	70,080	A
Funds for State Employer share of OPEB actuarial valuation analysis costs in FY 12	BUF 101/AA	-	-	100,700	-	-	-	A
Positions and funds - Dept'l Personnel Officer	BUF 101/AA	1.00	-	80,084	1.00	-	78,884	A
Positions and funds - Accountant IV	BUF 101/AA	1.00	-	46,896	1.00	-	45,696	A
Positions and funds - Budget Policy Analyst V	BUF 101/BA	2.00	-	124,848	2.00	-	124,848	A
Funds for consultant services - State Treasury	BUF 115/CA	-	-	75,000	-	-	75,000	A
Positions and funds - to incorporate Act 106, SLH 2010 resources provided to the EUTF	BUF 143/EU	10.00	-	509,057	10.00	-	509,057	T
Convert 8.00 FTE temporary posns to permanent	BUF 143/EU	8.00	(8.00)	-	8.00	(8.00)	-	T
Positions and funds - to incorporate Act 130, SLH 2010 resources provided to the PUC	BUF 901/MA	11.00	-	1,704,000	11.00	-	1,704,000	B
Funds for PUC Oahu Office Relocation to accommodate additional staffing.	BUF 901/MA	-	-	3,811,608	-	-	1,272,071	B
Increase in PUC ceiling is required to match a budget request as submitted by the Division of the Consumer Advocate under the Department of Commerce and Consumer Affairs (source of funding is from the PUC Special Fund)	BUF 901/MA	-	-	200,000	-	-	200,000	B
Increase employer contributions for Health Premiums pursuant to the December 23, 2010 agreement.		-	-	18,095,167	-	-	54,285,500	A
Payment of deferred June 2009 Pension Accumulation amount.		-	-	-	-	-	36,757,602	A

Department of Budget and Finance
Non-general funds (excluding Federal Funds)

Name of Fund	Unencumbered Cash Balance *	MOF	Statutory Reference
Temporary Deposits - BUF 101AA	245	T	Administratively Established
Emergency and Budget Reserve Fund - BUF 101BA	62,493,489	B	Section 328L-3, HRS
Interest Earned Investment Pool - BUF 115	23,184,553	T	Administratively Established
Interest Earned Bond Investment Pool - BUF 115	744,061	T	Administratively Established
Temporary Deposits - Special Purp Rev Bonds Security Deposits - BUF 115	15,499	T	Administratively Established
Taxes Payable to Other State Agencies - BUF 115	4,274,400	T	Administratively Established
Taxes Payable to Counties/HTA-TAT - BUF 115	65,009,148	T	Administratively Established
Taxes Payable to Counties - Fuel Tax - BUF 115	20,424,018	T	Administratively Established
Taxes Payable to Counties - GET Surcharge - BUF 115	46,334,795	T	Administratively Established
Hawaii Children's Trust Fund - BUF 115	8,413	T	Administratively Established
Unclaimed Property Trust Fund - BUF 115	4,796,246	T	Section 523A-23.5, HRS
Hawaii Employer-Union Health Benefits Trust Fund - BUF 143	1,750,995	T	Chapter 87A, HRS
Public Utilities Commission Special Fund - BUF 901	8,668,088	B	Section 269-33, HRS
* Balances are as of 10/31/10 based on the latest available DAGS FAMIS Report - Status of Apprn Account Balances			

Department of Budget and Finance
 Emergency Appropriation Requests

<u>Prog ID</u>	<u>Description of Request</u>	<u>FTE</u>	<u>\$\$\$</u>	<u>MOF</u>
	None.			

Department of Budget and Finance
Budget Decisions

Table 10

Prog ID/Off	MOF	Description	Department Request FY12		Department Request FY13		Budget & Finance FY12		Budget & Finance FY13		Governor's Decision FY12		Governor's Decision FY13	
			Pos (P)	Pos (T)	Pos (P)	Pos (T)	Pos (P)	Pos (T)	Pos (P)	Pos (T)	Pos (P)	Pos (T)	Pos (P)	Pos (T)
BUF 721	A	Adjustment - Debt Service (State)	-	\$ 32,624,383	-	\$ 80,383,082	-	\$ 32,624,383	-	\$ 80,383,082	-	\$ 32,624,383	-	\$ 80,383,082
BUF 725	A	Adjustment - Debt Service (DOE)	-	\$ 28,133,548	-	\$ 69,318,133	-	\$ 28,133,548	-	\$ 69,318,133	-	\$ 28,133,548	-	\$ 69,318,133
BUF 728	A	Adjustment - Debt Service (UH)	-	\$ 10,412,188	-	\$ 25,654,548	-	\$ 10,412,188	-	\$ 25,654,548	-	\$ 10,412,188	-	\$ 25,654,548
BUF 761	A	Adjustment - Health Premiums (State)	-	\$ (24,172,197)	-	\$ (9,594,050)	-	\$ (24,172,197)	-	\$ (9,594,050)	-	\$ (24,172,197)	-	\$ (9,594,050)
BUF 765	A	Adjustment - Health Premiums (DOE)	-	\$ 27,758,769	-	\$ 48,456,562	-	\$ 27,758,769	-	\$ 48,456,562	-	\$ 27,758,769	-	\$ 48,456,562
BUF 768	A	Adjustment - Health Premiums (UH)	-	\$ 4,302,711	-	\$ 10,810,367	-	\$ 4,302,711	-	\$ 10,810,367	-	\$ 4,302,711	-	\$ 10,810,367
BUF 741	A	Adjustment - Retirement Benefits Payments (State)	-	\$ 9,988,851	-	\$ 6,481,876	-	\$ 9,988,851	-	\$ 6,481,876	-	\$ 9,988,851	-	\$ 6,481,876
BUF 745	A	Adjustment - Retirement Benefits Payments (DOE)	-	\$ 10,040,083	-	\$ 6,562,213	-	\$ 10,040,083	-	\$ 6,562,213	-	\$ 10,040,083	-	\$ 6,562,213
BUF 748	A	Adjustment - Retirement Benefits Payments (UH)	-	\$ 3,530,043	-	\$ 1,323,159	-	\$ 3,530,043	-	\$ 1,323,159	-	\$ 3,530,043	-	\$ 1,323,159

Department of Budget and Finance
Vacancy Report

Table 11

<u>Date of Vacancy</u>	<u>Position Title</u>	<u>Position Number</u>	<u>Exempt (Y/N)</u>	<u>Budgeted Amount</u>	<u>Actual Salary Last Paid +</u>	<u>MOE</u>	<u>Prog ID</u>	<u>Authority to Hire (Y/N)</u>
06/17/10	Deputy Director	00100150	Y	\$52,764.00	\$8,354.00	A	BUF101AA	Y*
08/01/09	Private Secretary II	00100056	Y	\$49,932.00	\$4,161.00	A	BUF101AA	Y**
12/31/09	Private Secretary III	00100037	Y	\$63,204.00	\$5,267.00	A	BUF101AA	Y**
12/31/09	Program & Budget Policy Ofcr	00023456	N	\$97,350.00	\$8,664.00	A	BUF101BA	Y
12/31/09	Program Budget Analyst VI	00010769	N	\$70,224.00	\$6,844.00	A	BUF101BA	Y*
10/01/09	Accountant (Fund Control) IV	00027104	N	\$45,576.00	\$3,798.00	A	BUF115CA	Y
11/01/07	Accountant V	00019043	N	\$45,588.00	\$5,627.00	U	BUF115CA	Y
New Posn	Office Assistant III	00120126	N	\$23,304.00	\$0.00	T	BUF115CA	Y*
New Posn	Office Assistant III	00120127	N	\$23,304.00	\$0.00	T	BUF115CA	Y*
New Posn	Program Specialist III	00120196	N	\$35,388.00	\$0.00	T	BUF115CA	Y*
New Posn	Program Specialist III	00120195	N	\$35,388.00	\$0.00	T	BUF115CA	Y*
New Posn	Program Specialist III	00120194	N	\$35,388.00	\$0.00	T	BUF115CA	Y*
12/31/09	Retirement Sys Accounting Mgr	00003273	N	\$102,120.00	\$8,510.00	X	BUF141FA	Y
07/16/10	Secretary III	00003768	N	\$48,048.00	\$4,004.00	X	BUF141FA	Y
07/01/10	Assistant Retirement Sys Admr	00011493	N	\$100,104.00	\$8,342.00	X	BUF141FA	Y
09/01/10	Retirement Claims Examiner IV	00036371	N	\$51,312.00	\$4,276.00	X	BUF141FA	N

Department of Budget and Finance
Vacancy Report

Table 11

<u>Date of Vacancy</u>	<u>Position Title</u>	<u>Position Number</u>	<u>Exempt (Y/N)</u>	<u>Budgeted Amount</u>	<u>Actual Salary Last Paid +</u>	<u>MOF</u>	<u>Prog ID</u>	<u>Authority to Hire (Y/N)</u>
06/01/07	Retirement Claims Examiner V	00036372	N	\$42,132.00	\$4,276.00	X	BUF141FA	N
12/01/06	Investment Specialist	00116680	N	\$51,312.00	\$24.67	X	BUF141FA	Y**
09/25/10	Office Assistant III	00116682	N	\$27,756.00	\$12.34	X	BUF141FA	Y
08/15/08	Office Assistant III	00116684	N	\$26,700.00	\$2,139.00	X	BUF141FA	Y
05/15/09	Office Assistant III	00117311	N	\$25,668.00	\$2,139.00	X	BUF141FA	Y
07/01/10	Office Assistant III	00117312	N	\$25,668.00	\$12.34	X	BUF141FA	N
10/01/09	Account Clerk II	00014960	N	\$39,480.00	\$3,290.00	T	BUF143EU	Y
10/16/10	Customer Service Representative	00116355	Y	\$25,668.00	\$2,139.00	T	BUF143EU	Y
10/01/10	Customer Service Representative	00116735	Y	\$25,668.00	\$2,139.00	T	BUF143EU	Y
10/01/10	Customer Service Representative	00117591	Y	\$25,668.00	\$2,139.00	T	BUF143EU	Y
08/24/10	Enrollment Technician	00027886	Y	\$30,036.00	\$2,503.00	T	BUF143EU	Y*
08/23/10	EUTF Info Systems Specialist	00119003	Y	\$45,576.00	\$3,798.00	T	BUF143EU	Y*
12/31/09	Office Assistant III	00017470	N	\$35,064.00	\$2,922.00	T	BUF143EU	Y
04/01/06	Secretary III	00013050	N	\$35,064.00	\$4,021.00	T	BUF143EU	Y
08/04/10	Clerk III	00101700	Y	\$33,756.00	\$2,813.00	A	BUF151HA	N
05/09/09	Deputy Public Defender I	00102108	Y	\$57,072.00	\$4,756.00	A	BUF151HA	N
02/12/09	Deputy Public Defender II	00100947	Y	\$65,268.00	\$5,439.00	A	BUF151HA	N
04/01/10	Deputy Public Defender II	00102442	Y	\$65,268.00	\$5,439.00	A	BUF151HA	N

Department of Budget and Finance
Vacancy Report

Table 11

<u>Date of Vacancy</u>	<u>Position Title</u>	<u>Position Number</u>	<u>Exempt (Y/N)</u>	<u>Budgeted Amount</u>	<u>Actual Salary Last Paid +</u>	<u>MOF</u>	<u>Prog ID</u>	<u>Authority to Hire (Y/N)</u>
07/01/10	Deputy Public Defender II	00101998	Y	\$65,268.00	\$5,439.00	A	BUF151HA	N
07/31/10	Deputy Public Defender II	00101992	Y	\$65,268.00	\$5,439.00	A	BUF151HA	N
04/25/09	Deputy Public Defender II	00102441	Y	\$65,268.00	\$5,439.00	A	BUF151HA	N
06/09/09	Deputy Public Defender III	00101087	Y	\$78,288.00	\$6,524.00	A	BUF151HA	N
01/15/10	Deputy Public Defender III	00113181	Y	\$78,288.00	\$6,524.00	A	BUF151HA	N
10/02/10	Deputy Public Defender III	00101673	Y	\$78,288.00	\$6,524.00	A	BUF151HA	N
07/07/10	Deputy Public Defender III	00100404	Y	\$78,288.00	\$6,524.00	A	BUF151HA	N
09/11/09	Legal Stenographer I	00100557	Y	\$37,968.00	\$3,043.00	A	BUF151HA	N
09/01/09	Legal Stenographer I	00101701	Y	\$42,684.00	\$3,557.00	A	BUF151HA	N
12/31/09	Chief Clerk II (Board Or Comm)	2791	N	\$60,744.00	\$5,062.00	B	BUF901MA	Y
04/26/10	Research Asst (PUC)	00102102	Y	\$66,888.00	\$5,574.00	B	BUF901MA	N
10/04/08	Chief Researcher	00102437	Y	\$80,724.00	\$6,727.00	B	BUF901MA	Y
	* positions have been filled as of December 2010							
	** positions are filled with a temporary assignment							
	+ monthly salary							

Department of Budget and Finance
Personnel Separations

Table 12

Separation Date	Prog ID/Org	Position Number	Perm/Temp	MOF	Position Title	Budgeted FTE	Budgeted Salary +	Actual FTE	Actual Salary +-	BU Code	SR Level
12/31/2009	BUF101AA	00016022 **	P	A	Prgm & Budget Analysis Mgr I **	0.00	\$ -	1.00	\$ 102,120.00	35	EM05
12/31/2009	BUF101AA	00045895 **	P	A	Accountant V **	0.00	\$ -	1.00	\$ 70,224.00	23	SR24
1/1/2010	BUF101AA	00049343	P	A	Information Technology Spclt V	1.00	\$ 66,660.00	1.00	\$ 62,424.00	73	SR24
12/31/2009	BUF101AA	00100037	P	A	Private Secretary III	1.00	\$ 63,204.00	1.00	\$ 63,204.00	63	SR24
8/1/2009	BUF101AA	00100056	P	A	Private Secretary II	1.00	\$ 49,932.00	1.00	\$ 49,932.00	63	SR22
6/17/2010	BUF101AA	00100150	P	A	Deputy Director	1.00	\$ 52,764.00	1.00	\$ 100,248.00	00	SRNA
12/31/2009	BUF101BA	00009707	P	A	Prgm & Budget Analysis Mgr II	1.00	\$ 107,328.00	1.00	\$ 107,328.00	35	EM07
12/31/2009	BUF101BA	00010769	P	A	Program Budget Analyst VI	1.00	\$ 70,224.00	1.00	\$ 82,128.00	73	SR26
12/31/2009	BUF101BA	00012183 **	P	A	Program Budget Analyst V **	0.00	\$ -	1.00	\$ 75,960.00	73	SR24
12/31/2009	BUF101BA	00012184 **	P	A	Program Budget Analyst V **	0.00	\$ -	1.00	\$ 70,224.00	73	SR24
7/1/2009	BUF101BA	00012186 **	P	A	Program Budget Analyst V **	0.00	\$ -	1.00	\$ 73,044.00	73	SR24
12/31/2009	BUF101BA	00015099 **	P	A	Program Budget Analyst V **	0.00	\$ -	1.00	\$ 75,960.00	73	SR24
12/31/2009	BUF101BA	00023456	P	A	Program & Budget Policy Ofcr	1.00	\$ 97,350.00	1.00	\$ 103,968.00	35	EM07
10/1/2009	BUF115CA	00027104	P	A	Accountant (Fund Control) IV	1.00	\$ 45,576.00	1.00	\$ 45,576.00	73	SR22
7/1/2010	BUF115CA	00117322	T	T	Unclaimed Property Prgm Spclt	1.00	\$ 35,388.00	1.00	\$ 38,988.00	13	SRNA
7/1/2010	BUF115CA	00117323	T	T	Unclaimed Property Prgm Spclt	1.00	\$ 35,388.00	1.00	\$ 38,988.00	13	SRNA
7/1/2010	BUF115CA	00117327	T	T	UP Mobile Services Agent	1.00	\$ 23,304.00	1.00	\$ 25,668.00	03	SRNA
7/1/2010	BUF115CA	00117328	T	T	UP Mobile Services Agent	1.00	\$ 23,304.00	1.00	\$ 25,668.00	03	SRNA
7/1/2010	BUF115CA	00117329	T	T	Unclaimed Property Prgm Spclt	1.00	\$ 35,388.00	1.00	\$ 38,988.00	13	SRNA
12/31/2009	BUF141FA	00003273	P	X	Retirement Sys Accounting Mgr	1.00	\$ 102,120.00	1.00	\$ 102,120.00	35	EM05
7/1/2010	BUF141FA	00011493	P	X	Assistant Retirement Sys Admr	1.00	\$ 100,104.00	1.00	\$ 100,104.00	35	EM07
7/1/2010	BUF141FA	00012353	P	X	Secretary IV	1.00	\$ 58,440.00	1.00	\$ 58,440.00	63	SR18
12/1/2009	BUF141FA	00035479	P	X	Retirement Claims Examiner III	1.00	\$ 62,424.00	1.00	\$ 62,424.00	13	SR20
7/1/2009	BUF141FA	00042442	P	X	Retirement Claims Examiner III	1.00	\$ 55,500.00	1.00	\$ 55,500.00	13	SR20
7/1/2010	BUF141FA	00107820	P	X	Retirement Sys Administrator	1.00	\$ 102,833.00	1.00	\$ 105,000.00	93	SRNA
3/1/2010	BUF141FA	00113176	P	X	Retirement Claims Examiner III	1.00	\$ 40,548.00	1.00	\$ 43,824.00	13	SR20
1/9/2010	BUF141FA	116680	P	X	Investment Specialist	1.00	\$ 51,312.00	1.00	\$ 51,312.00	73	SR24
9/24/2010	BUF141FA	116682	P	X	Office Assistant III	1.00	\$ 27,756.00	1.00	\$ 27,756.00	03	SR08
1/9/2010	BUF141FA	00116868	P	X	Retirement Claims Examiner III	1.00	\$ 36,024.00	1.00	\$ 20,543.64	73	SR20
12/19/2009	BUF141FA	117312	T	X	Office Assistant III	1.00	\$ 25,668.00	1.00	\$ 12,512.76	63	SR08
8/25/2009	BUF141FA	00118188	P	X	Retirement Claims Examiner III	1.00	\$ 36,024.00	1.00	\$ 36,024.00	13	SR16
10/1/2009	BUF143EU	00014960	P	T	Account Clerk II	1.00	\$ 39,480.00	1.00	\$ 39,480.00	03	SR08
12/31/2009	BUF143EU	00017470	P	T	Office Assistant III	1.00	\$ 35,064.00	1.00	\$ 35,064.00	03	SR08
11/19/2010	BUF143EU	00027886	P	T	Enrollment Technician	1.00	\$ 30,036.00	1.00	\$ 27,747.20	63	SRNA

Department of Budget and Finance
Personnel Separations

Table 12

Separation Date	Prog ID/Org	Position Number	Perm/Temp	MOF	Position Title	Budgeted FTE	Budgeted Salary +	Actual FTE	Actual Salary +-	BU Code	SR Level
7/1/2009	BUF143EU	00039594	P	T	Office Assistant IV	1.00	\$ 36,516.00	1.00	\$ 37,968.00	03	SR10
12/31/2009	BUF143EU	00112874	P	T	E-U Hlth Ben Trust Fund Admr	1.00	\$ 145,308.00	1.00	\$ 145,314.00	93	SRNA
12/9/2009	BUF143EU	00113038	P	T	Health Benefits TF Asst Admr	1.00	\$ 80,280.00	1.00	\$ 80,280.00	93	SRNA
7/20/2010	BUF143EU	00116355	T	T	Customer Service Representative	1.00	\$ 25,668.00	1.00	\$ 25,668.00	03	SRNA
11/10/2010	BUF143EU	00116357	T	T	Member Services Clerk	1.00	\$ 25,668.00	1.00	\$ 25,668.00	03	SRNA
10/1/2010	BUF143EU	00116735	P	T	EUTF Customer Svc Rep	1.00	\$ 25,668.00	1.00	\$ 25,668.00	03	SRNA
10/1/2010	BUF143EU	00117591	P	T	EUTF Customer Svc Rep	1.00	\$ 25,668.00	1.00	\$ 25,668.00	03	SRNA
6/25/2010	BUF143EU	00119002	T	T	EUTF Info Systems Specialist	1.00	\$ 45,576.00	1.00	\$ 45,576.00	13	SRNA
4/24/2010	BUF143EU	00119018	T	T	EUTF Accountant	1.00	\$ 42,132.00	1.00	\$ 42,132.00	13	SRNA
7/7/2010	BUF151HA	00100404	T	A	Deputy Public Defender III	1.00	\$ 78,288.00	1.00	\$ 78,288.00	73	SRNA
9/1/2009	BUF151HA	00100557	P	A	Legal Stenographer I	1.00	\$ 37,968.00	1.00	\$ 36,516.00	03	SR14
11/14/2009	BUF151HA	00100596 **	P	A	Clerk III **	0.00	\$ -	1.00	\$ 25,668.00	03	SRNA
12/31/2009	BUF151HA	00100609	P	A	Deputy Public Defender V	1.00	\$ 102,444.00	1.00	\$ 102,444.00	93	SRNA
10/10/2009	BUF151HA	00101091	P	A	Clerk Typist III	1.00	\$ 27,756.00	1.00	\$ 27,756.00	03	SRNA
10/2/2010	BUF151HA	00101673	T	A	Deputy Public Defender III	1.00	\$ 78,288.00	1.00	\$ 78,288.00	73	SRNA
8/4/2010	BUF151HA	00101700	P	A	Clerk III	1.00	\$ 33,756.00	1.00	\$ 33,756.00	03	SR08
9/1/2009	BUF151HA	00101701	P	A	Legal Stenographer I	1.00	\$ 42,684.00	1.00	\$ 42,684.00	03	SR14
11/27/2010	BUF151HA	00101835	T	A	Deputy Public Defender II	1.00	\$ 65,268.00	1.00	\$ 65,268.00	73	SRNA
7/31/2010	BUF151HA	00101992	T	A	Deputy Public Defender II	1.00	\$ 65,268.00	1.00	\$ 65,268.00	73	SRNA
10/31/2009	BUF151HA	00101993	T	A	Deputy Public Defender II	1.00	\$ 65,268.00	1.00	\$ 65,268.00	73	SRNA
7/1/2010	BUF151HA	00101998	T	A	Deputy Public Defender II	1.00	\$ 65,268.00	1.00	\$ 65,268.00	73	SRNA
4/1/2010	BUF151HA	00102442	T	A	Deputy Public Defender II	1.00	\$ 65,268.00	1.00	\$ 65,268.00	73	SRNA
10/31/2009	BUF151HA	00102443	T	A	Deputy Public Defender II	1.00	\$ 65,268.00	1.00	\$ 65,268.00	73	SRNA
1/15/2010	BUF151HA	00113181	T	A	Deputy Public Defender III	1.00	\$ 78,288.00	1.00	\$ 78,288.00	73	SRNA
11/1/2009	BUF151HA	00113205	P	A	Deputy Public Defender III	1.00	\$ 78,288.00	1.00	\$ 78,288.00	73	SRNA
12/31/2009	BUF901MA	00002791	P	B	Chief Clerk II (Board Or Comm)	1.00	\$ 60,744.00	1.00	\$ 60,744.00	03	SR19
4/26/2010	BUF901MA	00102102	P	B	Research Asst (PUC)	1.00	\$ 66,888.00	1.00	\$ 66,888.00	13	SRNA
9/1/2009	BUF901MA	102678	P	B	PUC Attorney	1.00	\$ 70,088.00	1.00	\$ 70,088.00	73	SRNA
11/28/2009	BUF901MA	00119195	P	B	Information Technology Spclt V	1.00	\$ 51,312.00	1.00	\$ 51,312.00	13	SR24
	**				Positions were abolished in FY 11 pursuant to Act 180, SLH 2010 (separation falls within the requested date range)						
	+				Act 180, SLH 2010 BJ Details						
	++				Actual salary column reflects annualized monthly amounts						

Department of Budget and Finance
New Hires

Table 13

New Hire Effective Date	Prog ID/Org	Position Number	Perm/Temp	MOF	Position Title	Budgeted FTE	Budgeted Salary +	Actual FTE	Actual Salary	BU Code	SR Level
6/17/2010	BUF101AA	00041052	P	A	Administrative Svcs Ofcr I	1.00	\$ 106,140.00	1.00	\$ 100,248.00	35	EM05
1/4/2010	BUF101AA	00049343	P	A	Information Technology Spcft V	1.00	\$ 66,660.00	1.00	\$ 67,488.00	73	SR24
12/31/2009	BUF101AA	00100037	P	A	Private Secretary III	1.00	\$ 63,204.00	1.00	\$ 63,204.00	63	SR24
7/1/2009	BUF101AA	00100150	P	A	Deputy Director	1.00	\$ 52,764.00	1.00	\$ 100,248.00	00	SRNA
11/16/2010	BUF101BA	00009707	P	A	Prgm & Budget Analysis Mgr II	1.00	\$ 107,328.00	1.00	\$ 80,988.00	35	EM07
12/16/2009	BUF101BA	00027979	P	A	Office Assistant IV	1.00	\$ 33,756.00	1.00	\$ 42,684.00	63	SR10
12/16/2009	BUF101BA	00030726	P	A	Office Assistant III	1.00	\$ 27,756.00	1.00	\$ 33,756.00	63	SR08
7/1/2010	BUF115CA	00119536	T	T	Unclaimed Property Program Specialist	1.00	\$ 35,388.00	1.00	\$ 38,988.00	13	SRNA
7/1/2010	BUF115CA	00119537	T	T	Unclaimed Property Program Specialist	1.00	\$ 35,388.00	1.00	\$ 38,988.00	13	SRNA
7/1/2010	BUF115CA	00119538	T	T	Unclaimed Property Program Specialist	1.00	\$ 35,388.00	1.00	\$ 38,988.00	13	SRNA
7/1/2010	BUF115CA	00119539	T	T	UnclaimedPropPrgmMobile SvcsAgnt	1.00	\$ 23,304.00	1.00	\$ 25,668.00	03	SRNA
9/1/2010	BUF141FA	00003857	P	X	Retirement System Prgm Spclt	1.00	\$ 73,044.00	1.00	\$ 57,708.00	13	SR24
7/16/2010	BUF141FA	00012353	P	X	Secretary IV	1.00	\$ 58,440.00	1.00	\$ 51,936.00	63	SR18
12/1/2009	BUF141FA	00016004*	P	X	Account Clerk III	1.00	\$ 28,836.00	1.00	\$ 33,756.00	03	SR11
12/16/2009	BUF141FA	00019501*	P	X	Office Assistant III	1.00	\$ 32,424.00	1.00	\$ 28,836.00	03	SR08
12/16/2009	BUF141FA	00023670*	P	X	Accountant III	1.00	\$ 42,132.00	1.00	\$ 53,352.00	13	SR20
11/16/2010	BUF141FA	00035479	P	X	Retirement Claims Examiner III	1.00	\$ 62,424.00	1.00	\$ 36,024.00	13	SR16
11/16/2010	BUF141FA	00042442	P	X	Retirement Claims Examiner III	1.00	\$ 55,500.00	1.00	\$ 36,024.00	13	SR16
12/16/2009	BUF141FA	00044457*	P	X	Office Assistant III	1.00	\$ 33,756.00	1.00	\$ 32,424.00	03	SR08
7/1/2010	BUF141FA	00107820	P	X	Retirement Sys Administrator	1.00	\$ 102,833.00	1.00	\$ 125,000.04	93	SRNA
11/16/2010	BUF141FA	00113176	P	X	Retirement Claims Examiner III	1.00	\$ 40,548.00	1.00	\$ 36,024.00	13	SR16

Department of Budget and Finance
New Hires

Table 13

<u>New Hire Effective Date</u>	<u>Prog ID/Org</u>	<u>Position Number</u>	<u>Perm/Temp</u>	<u>MOE</u>	<u>Position Title</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary +</u>	<u>Actual FTE</u>	<u>Actual Salary</u>	<u>BU Code</u>	<u>SR Level</u>
11/16/2010	BUF141FA	00116868	P	X	Retirement Claims Examiner III	1.00	\$ 36,024.00	1.00	\$ 36,024.00	13	SR16
11/16/2010	BUF141FA	00118188	P	X	Retirement Claims Examiner III	1.00	\$ 36,024.00	1.00	\$ 36,024.00	13	SR16
8/24/2010	BUF143EU	00017471	P	T	EUTF Enrollment Supervisor	1.00	\$ 27,768.00	1.00	\$ 33,756.00	03	SRNA
11/22/2010	BUF143EU	00027886	P	T	Enrollment Technician	1.00	\$ 30,036.00	1.00	\$ 27,756.00	03	SRNA
12/1/2009	BUF143EU	00039594	P	T	Office Assistant IV	1.00	\$ 36,516.00	1.00	\$ 37,968.00	03	SR10
11/1/2010	BUF143EU	00112874	P	T	E-U Hlth Ben Trust Fund Admr	1.00	\$ 145,308.00	1.00	\$ 108,960.00	93	SRNA
8/1/2010	BUF143EU	00113038	P	T	Health Benefits TF Asst Admr	1.00	\$ 80,280.00	1.00	\$ 96,000.00	93	SRNA
12/10/2009	BUF143EU	00116355	T	T	Customer Service Representative	1.00	\$ 25,668.00	1.00	\$ 25,668.00	03	SRNA
3/31/2010	BUF143EU	00116356	T	T	Customer Service Representative	1.00	\$ 25,668.00	1.00	\$ 25,668.00	03	SRNA
10/6/2010	BUF143EU	00116357	T	T	Member Services Clerk	1.00	\$ 25,668.00	1.00	\$ 25,668.00	03	SRNA
11/22/2010	BUF143EU	00116357	T	T	Member Services Clerk	1.00	\$ 25,668.00	1.00	\$ 25,668.00	03	SRNA
11/24/2010	BUF143EU	00116735	P	T	EUTF Customer Svc Rep	1.00	\$ 25,668.00	1.00	\$ 27,756.00	03	SRNA
1/4/2010	BUF143EU	00119002	T	T	EUTF Info Systems Specialist	1.00	\$ 45,576.00	1.00	\$ 45,576.00	13	SRNA
8/16/2010	BUF143EU	00119002	T	T	EUTF Info Systems Specialist	1.00	\$ 45,576.00	1.00	\$ 45,576.00	13	SRNA
8/23/2010	BUF143EU	00119018	T	T	EUTF Accountant	1.00	\$ 42,132.00	1.00	\$ 47,412.00	13	SRNA
11/18/2010	BUF143EU	00120119 **	P	T	Enrollment Technician	1.00	\$ 27,756.00	1.00	\$ 27,756.00	03	SRNA
9/9/2010	BUF143EU	00120120 **	T	T	Enrollment Technician	1.00	\$ 27,756.00	1.00	\$ 27,756.00	03	SRNA
9/8/2010	BUF143EU	00120121 **	T	T	Enrollment Technician	1.00	\$ 27,756.00	1.00	\$ 27,756.00	03	SRNA
9/20/2010	BUF143EU	00120122 **	T	T	Enrollment Technician	1.00	\$ 27,756.00	1.00	\$ 27,756.00	03	SRNA
8/23/2010	BUF143EU	00120123 **	P	T	EUTF IT DP Systems Analyst	1.00	\$ 45,576.00	1.00	\$ 47,412.00	13	SRNA
10/6/2010	BUF143EU	00120124 **	T	T	EUTF IT DP Systems Analyst	1.00	\$ 45,576.00	1.00	\$ 45,576.00	13	SRNA

Department of Budget and Finance

New Hires

Table 13

<u>New Hire Effective Date</u>	<u>Prog ID/Org</u>	<u>Position Number</u>	<u>Perm/Temp</u>	<u>MOF</u>	<u>Position Title</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary +</u>	<u>Actual FTE</u>	<u>Actual Salary</u>	<u>BU Code</u>	<u>SR Level</u>
7/14/2010	BUF151HA	00100546	P	A	Deputy Public Defender III	1.00	\$ 78,288.00	1.00	\$ 78,288.00	73	SRNA
9/16/2010	BUF151HA	00100547	P	A	Deputy Public Defender II	1.00	\$ 65,268.00	1.00	\$ 65,268.00	73	SRNA
8/2/2010	BUF151HA	00100609	P	A	Deputy Public Defender V	1.00	\$ 102,444.00	1.00	\$ 102,444.00	93	SRNA
7/14/2010	BUF151HA	00100612	P	A	Deputy Public Defender IV	1.00	\$ 95,772.00	1.00	\$ 89,580.00	73	SRNA
4/6/2010	BUF151HA	00100884	P	A	Deputy Public Defender I	1.00	\$ 57,072.00	1.00	\$ 57,072.00	73	SRNA
9/16/2010	BUF151HA	00100884	P	A	Deputy Public Defender I	1.00	\$ 57,072.00	1.00	\$ 57,072.00	73	SRNA
11/17/2009	BUF151HA	00100950	P	A	Deputy Public Defender III	1.00	\$ 78,288.00	1.00	\$ 78,288.00	73	SRNA
7/14/2010	BUF151HA	00101088	P	A	Deputy Public Defender V	1.00	\$ 98,508.00	1.00	\$ 102,444.00	93	SRNA
11/17/2009	BUF151HA	00101089	P	A	Deputy Public Defender IV	1.00	\$ 89,580.00	1.00	\$ 89,580.00	73	SRNA
4/5/2010	BUF151HA	00101091	P	A	Clerk Typist III	1.00	\$ 27,756.00	1.00	\$ 27,756.00	03	SRNA
8/10/2010	BUF151HA	00101243	T	A	Deputy Public Defender I	1.00	\$ 57,072.00	1.00	\$ 57,072.00	73	SRNA
11/17/2009	BUF151HA	00101263	T	A	Deputy Public Defender II	1.00	\$ 65,268.00	1.00	\$ 65,268.00	73	SRNA
3/16/2010	BUF151HA	00101993	T	A	Deputy Public Defender II	1.00	\$ 65,268.00	1.00	\$ 65,268.00	73	SRNA
9/2/2010	BUF151HA	00101995	T	A	Deputy Public Defender II	1.00	\$ 65,268.00	1.00	\$ 65,268.00	73	SRNA
8/2/2010	BUF151HA	00102107	P	A	Deputy Public Defender II	1.00	\$ 65,268.00	1.00	\$ 65,268.00	73	SRNA
7/14/2010	BUF151HA	00102276	P	A	Deputy Public Defender II	1.00	\$ 65,268.00	1.00	\$ 65,268.00	73	SRNA
8/19/2010	BUF151HA	00102278	T	A	Deputy Public Defender I	1.00	\$ 57,072.00	1.00	\$ 57,072.00	73	SRNA

Department of Budget and Finance

Table 13

New Hires

<u>New Hire Effective Date</u>	<u>Prog ID/Org</u>	<u>Position Number</u>	<u>Perm/Temp</u>	<u>MOE</u>	<u>Position Title</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary +</u>	<u>Actual FTE</u>	<u>Actual Salary</u>	<u>BU Code</u>	<u>SR Level</u>
4/12/2010	BUF151HA	00102443	T	A	Deputy Public Defender II	1.00	\$ 65,268.00	1.00	\$ 65,268.00	73	SRNA
8/2/2010	BUF151HA	00107818	T	A	Deputy Public Defender III	1.00	\$ 78,288.00	1.00	\$ 78,288.00	73	SRNA
8/18/2010	BUF151HA	00113205	P	A	Deputy Public Defender III	1.00	\$ 78,288.00	1.00	\$ 78,288.00	73	SRNA
12/1/2009	BUF901MA	00044864*	P	B	PUC District	1.00	\$ 64,920.00	1.00	\$ 51,312.00	13	SR22
8/4/2009	BUF901MA	00100540	P	B	PUC Attorney	1.00	\$ 63,336.00	1.00	\$ 65,000.04	73	SRNA
8/23/2010	BUF901MA	00102678	P	B	PUC Attorney	1.00	\$ 70,088.00	1.00	\$ 65,004.00	73	SRNA
12/16/2009	BUF901MA	00119195*	P	B	Information Technology	1.00	\$ 51,312.00	1.00	\$ 57,708.00	13	SR24
* Staff hired due to RIF											
** Act 106, SLH 2010 (EUTF Specific Appropriation)											
+ Act 180, SLH 2010 BJ Details											

Department of Budget and Finance
Reduction in Force (RIF) Actions

Table 14

<u>Prog ID/Org</u>	<u>Position #</u>	<u>Position Title</u>	<u>MOF</u>	<u>FTE</u>	<u>Current Comp Rate</u>	<u>Position Salary Decrease</u>	<u>Position Salary Increase</u>	<u>Comp Freq (Mo/Hr)</u>	<u>SR Level</u>	<u>BU</u>	<u>Perm/ Temp</u>	<u>Placement Action</u>	<u>Reason</u>
	<u>No RIF actions to reflect during FY 11</u>												

Department of Budget and Finance
Grievances

Table 15

<u>Prog ID/Org</u>	<u>Position Number</u>	<u>Position Title</u>	<u>MOE</u>	<u>FTE</u>	<u>SR</u> <u>Level</u>	<u>BU</u>	<u>Perm/</u> <u>Temp</u>	<u>RIF Date</u>	<u>Grievance Date</u>	<u>Current Status</u>
No RIF Grievances have been made for the Department of Budget and Finance to date.										

Department of Budget and Finance
Expenditures Exceeding Federal Fund Ceiling

Table 16

Prog ID	Appropriation Ceiling	Ceiling Increase	Date of Increase	Reason for Exceeding Ceiling	Recurring (Y/N)	GF Impact (Y/N)	ARRA?
	Not Applicable.						

Department of Budget and Finance
 Intradepartmental Transfers

Table 17

<u>From Prog ID</u>	<u>To Prog ID</u>	<u>Amount Transferred</u>	<u>Date of Transfer</u>	<u>Reason for Transfer</u>	<u>Recurring (Y/N)</u>
	<u>Not applicable.</u>				

CIP Summary

Priority	Project Title	FY12 \$\$\$	FY13 \$\$\$	MOF
1	Hawaiian Home Lands Trust Fund, Statewide	\$ 30,000,000	\$ 30,000,000	C
1	State Educational Facilities Improvement Special Fund, Statewide	\$ 45,000,000	\$ 45,000,000	C

Department of Budget and Finance
 CIP Requests to the New Administration

Table 19

Priority	Project Title	FY12 \$\$\$	FY13 \$\$\$	MOF
No Additional				

Department of Budget and Finance
Organizational Chart

Table 20

<u>Year of Change</u>	<u>Page Number</u>	<u>Description of Change</u>
FY 11	Page #14	Possible Re-organizations for the EUTF
FY 11	Page # 19	Possible Re-organizations for the PUC