

House District 13

Senate District 6

THE TWENTY-SIXTH LEGISLATURE
HAWAII STATE LEGISLATURE
APPLICATION FOR GRANTS & SUBSIDIES
CHAPTER 42F, HAWAII REVISED STATUTES

Log No: 27-C

For Legislature's Use Only

Type of Grant or Subsidy Request:

REC'D JAN 21 2011

GRANT REQUEST - OPERATING

GRANT REQUEST - CAPITAL

SUBSIDY REQUEST

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Subsidy" means an award of state funds by the legislature, by an appropriation to a recipient specified in the appropriation, to reduce the costs incurred by the organization or individual in providing a service available to some or all members of the public.

"Recipient" means any organization or person receiving a grant or subsidy.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN):

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN):

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual: HANA HEALTH

Db:

Street Address: 4590 Hana Highway

Mailing Address: P.O. Box 807
Hana, Hawaii 96713

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name CHERYL VASCONCELLOS

Title Executive Director

Phone # 808-248-7515, ext. 26

Fax # 808-248-7225

e-mail cvasconcellos@hanahealth.org

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION
- FOR PROFIT CORPORATION
- LIMITED LIABILITY COMPANY
- SOLE PROPRIETORSHIP/INDIVIDUAL

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

HANA HEALTH SENIOR GROUP HOME AND MEDICAL DAY CARE CENTER - DESIGN AND CONSTRUCTION DOCUMENTS.

4. FEDERAL TAX ID #: [REDACTED]

5. STATE TAX ID #: [REDACTED]

7. AMOUNT OF STATE FUNDS REQUESTED:

FY 2011-2012: \$ 150,000

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
- EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ 0

FEDERAL \$ 0

COUNTY \$ 0

PRIVATE/OTHER \$ 0

TYPE NAME & TITLE OF AUTHORIZED REPRESENTATIVE:

[REDACTED]

CHERYL VASCONCELLOS, EXECUTIVE DIRECTOR
NAME & TITLE

JANUARY 20, 2011
DATE SIGNED

Application for Grants and Subsidies

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Include the following:

1. A brief description of the applicant's background;

Hana Health is a 501(c)(3) non-profit organization. The purpose of Hana Health is to improve the general health and well being of the Hana community through the provision of medical care and other social, economic and health related programs. Hana Health is the only health care provider in the Hana district, serving the primary health care and emergent medical needs of residents and visitors alike. Hana has been designated by the federal government as a Medically Under-Served Population, a Primary Care Health Professional Shortage Area, a Dental Health Professional Shortage Area and a Mental Health Professional Shortage Area.

Hana Health is currently providing primary medical care, dental health services and behavioral health care to the people of Hana. Unlike most primary care clinics in the country, Hana Health coordinates activities with American Medical Response and Maui Memorial Medical Center in the provision of urgent/emergency medical services. Hana Health provides assistance in stabilizing patients with life threatening illness or traumatic injury prior to transport to the hospital on the other side of the island. This takes place seven days a week, 24 hours a day as **Hana Health is the only health care provider in the district.**

In fiscal year 2009-2010, Hana Health provided medical care to 1,827 individual patients who made 6,240 visits to the Health Center of which 384 were urgent care visits after regular operating hours. Thirty three people were stabilized at Hana Health and then transported by ambulance to Maui Memorial Medical Center – 12 by ground ambulance and 21 by air ambulance. There were also 818 behavioral health visits, 78 ophthalmology visits and 170 acupuncture visits. Dental care was provided to 634 individual patients in fiscal year 2009-2010, who made 1,851 visits to Hana Health during the year. Forty Five percent (45%) of the patients served were insured through Medicaid or Medicare, or were provided subsidized health care by Hana Health. Half of the patients served were Native Hawaiian. One third of those served were under 19 years of age, and 12% were 65 years of age and older.

Since its inception, Hana Health has demonstrated an ability to generate funds from a variety of sources for the initiation of needed programs, services and capital projects. It should be noted that state funds have been used to leverage both federal and private support for a variety of health care services in the Hana area.

2. The goals and objectives related to the request;

Goal. To change the experience of aging and disability in the Hana District through the provision of supportive housing and day care services.

Objectives.

- A. Develop the schematic design for a Senior Group Home and Adult Day Care Center which will establish the general scope, conceptual design and scale of project.
- B. Develop the design to include floor plans showing all rooms in correct size and shape, structural, mechanical and engineering systems, and specifications for major material and room finishes.
- C. Develop construction documents to include the preparation of detailed working drawings and specifications, as well as construction cost estimates.
- D. Complete building permit application process.
- E. Complete construction.
- F. Provide supportive housing for five individual kupuna, or five couples.
- G. Provide adult day care services to fifteen kupuna with medical support needs, including group home residents.

3. State the public purpose and need to be served;

Currently, no senior housing exists in the moku of Hana. Many of Hana's seniors are living in remote parts of the district, are socially isolated, or are removed from the community completely to facilities on the other side of the island where they "go to "maki" (die). It is the intent of Hana Health to remedy this situation by developing a senior group home that changes the experience of aging and disability in the Hana community, particularly among low income Native Hawaiians. A senior group home and adult day care center located on the health center campus, will provide excellent access to needed medical care and other support services currently provided to Hana's kupuna. This is a cost effective approach to meeting both the housing and support service needs for some of Hana's most vulnerable seniors.

4. Describe the target population to be served; and

The project is designed primarily for low, income Native Hawaiian kupuna. According to the 2000 U.S. census there are 1,855 residents in the Hana district (Estimates of "true" population are closer to 2,500). Approximately 62% of the population is Native Hawaiian or Part Hawaiian (2000 U.S. Census). Other ethnicities include Caucasian, Filipino, Japanese and Chinese. About 11% of the population is 65 years of age and older (approximately 192 seniors, two thirds of whom are Native Hawaiian - 127). Seventeen percent of Hana's population is at or below 100% of the poverty level, and 40% are below 200% of the poverty level. Overall, Native Hawaiians have the poorest health status and quality of life indicators of any ethnic group in the State, with a death rate that is thirty-four percent higher than the death rate for the United States all races.

In January 2009, Hana Health retained QMark Research and Polling to conduct a community wide needs assessment. One component of the assessment addressed the needs of the elderly. Fifty percent of Hana's residents believe they will need assisted living or a group home environment (43%) for an elderly family member within the next three years. Among Native Hawaiian residents, 58% believe they will need assisted living or a group home environment (57%) for an elderly family member within the next three years.

In December 2000 Hana Health retained QMark Research and Polling to assess the needs of the elderly living in the Hana District. Although somewhat dated, the information obtained from this study is still relevant.

- The elderly want to stay in Hana, however Hana doesn't have the facilities or support services to care for elderly who need assistance.
- When family members and/or friends are no longer able to care for the elderly they must send them to Kahului for care.
- The elderly are concerned that their children will not be able to take care of them and are afraid of leaving Hana.
- The adult children of the elderly are also concerned about their ability to care for aging parents.
- The elderly are very independent and want to remain in their own homes for the duration of their lives. However, they also react very positively to an independent living facility as long as it is located in Hana.
- Of those seniors completing the quantitative survey, 70% were Native Hawaiian and 45% had household incomes below \$15,000 annually.

5. Describe the geographic coverage.

The District of Hana, Maui.

II. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request.

1. Describe the scope of work, tasks and responsibilities;

Scope of Work: Construct a 3,000 square foot group home and adult day care center for Hana seniors.

Tasks and Responsibilities:

- A. Prepare/Publish RFP and select architect – Board of Directors and Executive Director.
- B. Meetings with architect to develop conceptual framework for schematic design – Board of Directors, Executive Director, Program Staff.
- C. Focus groups with kupuna and caregivers to further develop conceptual framework for schematic design – Architect, Executive Director
- D. Design Development and Cost Estimates – Architect, Executive Director
- E. Design Review – Board of Directors, Program Staff
- F. Design Revisions – Architect, Executive Director
- G. Design Approval – Board of Directors
- H. Prepare construction documents – Architect
- I. Application for Building Permit – Architect
- J. Prepare an RFP for the General Contractor – Architect, Executive Director
- K. Complete the bid process – Architect, Executive Director
- L. Select General Contractor and prepare contract – Board of Directors, Executive Director, Legal Counsel
- M. Construction Team Meeting – Architect, Executive Director, General Contractor
- N. Order materials and mobilize project – General Contractor
- O. Initiate construction and complete project – General Contractor

- P. Project close out and certificate of occupancy – General Contractor, Executive Director
- Q. Establish criteria for selection of kupuna to reside in the group home and/or participate in the adult day care program – Board of Directors, Executive Director
- R. Employ and train program staff – Executive Director
- S. Initiate operations – Executive Director

- 2. **The applicant shall provide a projected annual timeline for accomplishing the results or outcomes of the service;**

See Attached.

- 3. **The applicant shall describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and**

Hana Health is committed to providing quality services to all service beneficiaries in an organization - wide effort to continuously improve our processes and the delivery of care to the community we serve. Hana Health maintains a Quality Assurance/Performance Improvement System to assure excellence in the quality of care provided. The system is designed to increase accountability, support quality improvement, facilitate and support program decisions, monitor the population's health status, empower patients and families to make informed health care decisions and provide evidence to eliminate wasteful practices. Hana Health's quality assurance program measures, monitors, tracks and improves performance in key aspects of all operations.

The Board of Directors maintains oversight for the Quality Assurance/Performance Improvement Program and will be monitoring progress on this project as well. The timeline for project completion will be the monitoring tool used for this project. Completion of construction and occupancy of the Kupuna group home will be the measure used to evaluate project success.

- 4. **The applicant shall list the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.**

Completion of tasks according to the established timeline will be the standard used to monitor the project's progress. Completion of construction and occupancy of the Kupuna group home will be the measure used to evaluate project success.

III. Financial

Budget

1. **The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request. See Attached.**
2. **The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2011-2012.**

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$20,000	\$25,000	\$52,500	\$52,500	\$150,000

3. **The applicant shall provide a listing of all other sources of funding that they are trying to obtain for fiscal year 2011-2012.**

For the construction phase of this project: Rural Innovation Fund, private foundations.

IV. Experience and Capability

A. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

Hana Health has been providing medical care to the district of Hana since July 1997 and State Grant-In-Aid support has been awarded to Hana Health for the same period.

Hana Health's Executive Director and Board of Directors has a demonstrated track record in securing and managing public funds for both operations and capital improvement. In addition, Hana Health manages a twelve-acre project site which currently includes the medical center, a residence for ambulance personnel, administrative offices, a small kitchen facility, six modular office spaces, and the Hana Fresh organic farm.

Hana Health has received funds for and has managed the following projects in the past year:

1. Federal Title VI funds for the Senior Lunch Program in the amount of \$92,000.
2. Bureau of Primary Care FQHC 330 funds (federal) for operation of the health center in the amount of \$692,000.
3. CDBG funds (county) in the amount of \$300,000 for construction of the Nutrition Training Center.
4. Bureau of Primary Care CIP funds (federal) in the amount of \$311,000 for construction of the Nutrition Training Center.
5. State Purchase of Service for operation of the Hana Health in the amount of \$1.1 million in fiscal year 2009-2010.

Hana Health's Executive Director managed the \$450,000 renovation of the Health Center in 1999 with a capital grant from the state of Hawaii. She also managed the renovation of Hana Health's physicians residence with a \$35,000 grant from the County of Maui, and coordinated the purchase and installation of assistive living devices including hand held showers, bathroom bars, and ramps in the homes of Hana's elderly residents with \$30,000 in foundation grants. The Executive Director has been responsible for the management of all activities related to Hana Health's strategic program and development plans including the completion of all environmental assessments, conceptual designs for the health center campus, an application for lot consolidation and zone change and construction documents and permit application for the Nutrition Training Center. Currently the Executive Director is managing the construction phase of the Hana Nutrition Center which also requires compliance with federal standards. Prior to her position with Hana Health, Cheryl Vasconcellos was the Executive Director of Planned Parenthood of Hawaii for thirteen years, where she managed the renovation and construction of thirteen different clinic sites on four islands, ranging in cost from \$40,000 to \$500,000.

B. Facilities

Hana Health operates on a 2.035 acre parcel of property where the current medical center is located, and an adjoining ten acre parcel for expanded facilities and the operation of the Hana Fresh organic farm. Both parcels are owned by the State of Hawaii and have been leased to Hana Health for 55 years, at \$1 per year. Hana Health facilities range from 44 to 80 years old and are in need of continual repair and maintenance. Limited renovation of the medical facility was completed in 1999 and included measures to meet ADA requirements and replacement of the roof.

V. Personnel: Project Organization and Staffing

A. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

Staffing

Position Requirements

Executive Director

A minimum of 10 years experience in similar capacity.

The Executive Director is responsible for project implementation and oversight. She has worked with several architectural and planning firms in the completion of state and federal environmental assessments, zoning issues, conceptual designs for the health center campus, schematic designs and construction documents for the Hana Health Nutrition Center and the Maui County building permit process. Ms. Vasconcellos also managed a \$450,000 health center renovation project in 1997. The "Capital Improvement" committee of the Hana Health Board of Directors will be established for general project oversight.

Prior to working in Hana, Ms. Vasconcellos was the Executive Director of Planned Parenthood of Hawaii, a statewide reproductive health organization, for 15 years. In this capacity, she was involved with ten clinic renovation/construction projects.

B. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organizational chart that illustrates the placement of this request.

Hana Health is a 501(c)(3) private, non-profit corporation governed by a voluntary Board of Directors which assumes full authority and oversight responsibility for the Health Center. The Board of Directors has a line of authority to the Executive Director who delegates as appropriate to the other management and professional staff. The Executive Director is accountable to board-established long term goals and operating plans. The Executive Director has the authority and responsibility for assuring that board policies are implemented; the management of personnel and systems; the allocation of resources and operation within available resources; the identification and resolution of problems; interaction with the various external markets; responding to opportunities and planning for future events. The Executive Director is responsible for overall corporate management including other Hana Health programs related to and supportive of the Health Center.

Organizational Chart Attached.

VI. Other

A. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

None.

B. Licensure or Accreditation

Specify any special qualifications, including but not limited to licensure or accreditation that applicant possesses relevant to this request.

N.A. All architects, engineers and contractors used for this project will be appropriately licensed.

BUDGET REQUEST BY SOURCE OF FUNDS
(Period: July 1, 2011 to June 30, 2012)

Applicant: HANA HEALTH

BUDGET CATEGORIES	Total State Funds Requested (a)	(b)	(c)	(d)
A. PERSONNEL COST				
1. Salaries				
2. Payroll Taxes & Assessments				
3. Fringe Benefits				
TOTAL PERSONNEL COST				
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island				
2. Insurance				
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training				
6. Supplies				
7. Telecommunication				
8. Utilities				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES				
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL	150,000			
TOTAL (A+B+C+D+E)				
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	150,000	CHERYL VASCONCELLOS 808-248-7515, EXT 26		
(b)		Name (Please type or print) Phone		
(c)		[Redacted Signature] Jan 18, 2011		
(d)		Signature of Authorized Official Date		
TOTAL BUDGET	150,000	Cheryl Vasconcellos, Executive Director		
		Name and Title (Please type or print)		

BUDGET JUSTIFICATION PERSONNEL - SALARIES AND WAGES

Applicant: HANA HEALTH

Period: July 1, 2011 to June 30, 2012

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
TOTAL:				
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Applicant: HANA HEALTH

Period: July 1, 2011 to June 30, 2012

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				

JUSTIFICATION/COMMENTS:

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				

JUSTIFICATION/COMMENTS:

BUDGET JUSTIFICATION CAPITAL PROJECT DETAILS

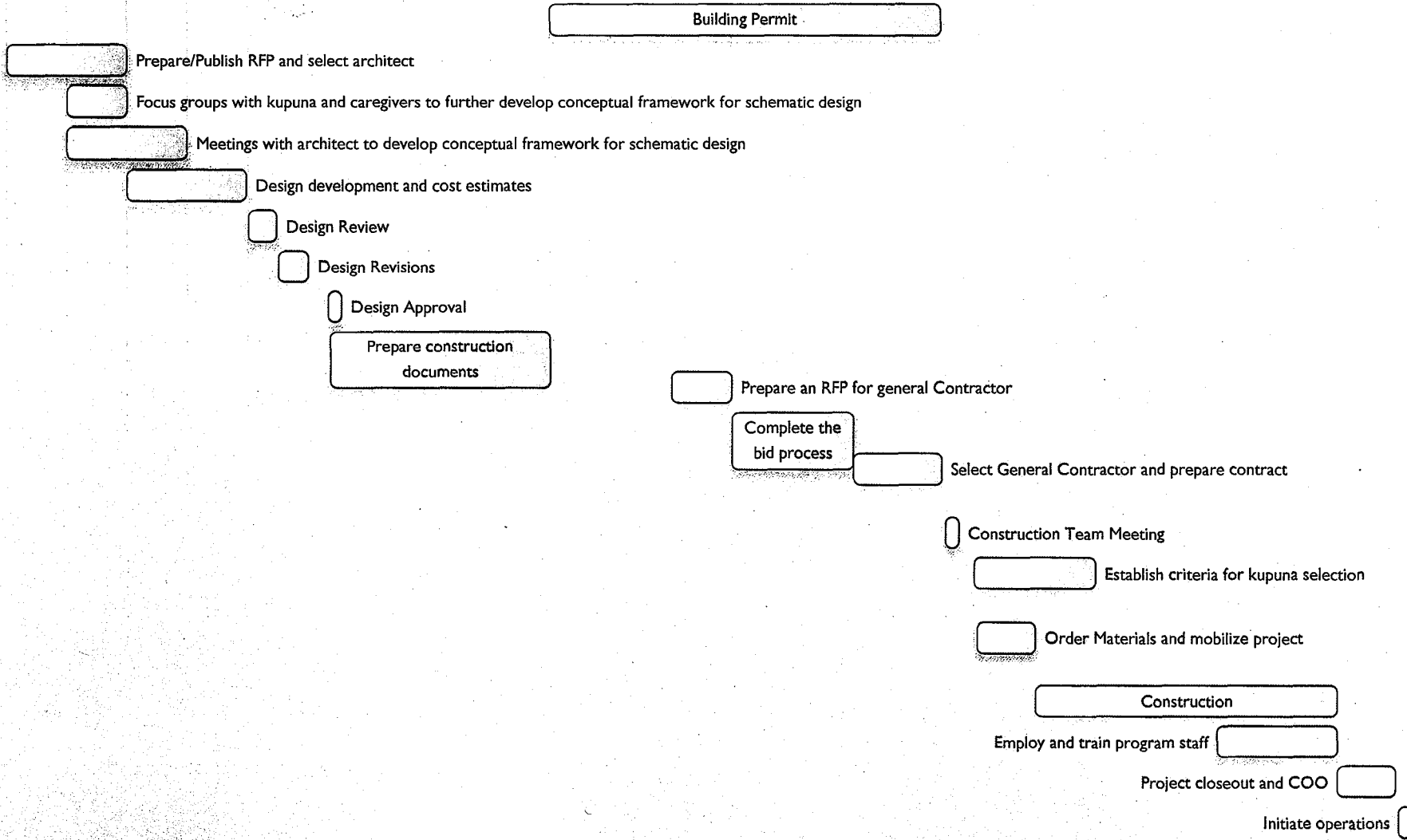
Applicant: HANA HEALTH

Period: July 1, 2011 to June 30, 2012

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2009-2010	FY: 2010-2011	FY:2011-2012	FY:2011-2012	FY:2012-2013	FY:2013-2014
PLANS - Architect Fees for Design and Construction Documents			150,000			
LAND ACQUISITION						
DESIGN						
CONSTRUCTION					1,100,000	
EQUIPMENT						
TOTAL:			150,000		1,100,000	
JUSTIFICATION/COMMENTS:						

KUPUNA GROUP HOME AND ADULT DAY CARE CONSTRUCTION SCHEDULE

Sep Oct Nov Dec Jan Feb Mar Apr May Jun Jul Aug Sep Oct Nov Dec Jan Feb Mar Apr May Jun Aug
 2011 2012 2013



Sep Oct Nov Dec Jan Feb Mar Apr May Jun Jul Aug Sep Oct Nov Dec Jan Feb Mar Apr May Jun Aug
 2011 2012 2013

Legend: Unassigned

ORGANIZATION CHART 1-11

