

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

**DEPARTMENT/AGENCY QUESTIONNAIRE**

**COUNTY OF MAUI, OFFICE OF THE MAYOR**  
**COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM -**  
**MAUI FOOD BANK AND EASTER SEALS HAWAII FACILITY**  
**REHABILITATION PROJECTS**

**Instructions:** Please use this MS Word document as a template, entering your answers below the corresponding number/letter. The commission is cognizant of the numerous reporting requirements of ARRA and does not wish to burden your Department or agency with duplicative requests for information where possible. Please use information that you have all ready reported to the Federal government, as applicable.

If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

*The American Recovery and Reinvestment Act (ARRA) of 2009 (Public Law 111-5) made available funding through the U.S. Department of Housing and Urban Development in the amount of \$552,976 to the County of Maui's Community Development Grant (CDBG) Program. This additional funding is referred to as CDBG-R. Title I of the Housing and Community Development Act of 1974 (Public Law 93-383) created the Community Development Block Grant (CDBG) Program. The primary objective of the CDBG Program is the development of viable communities, principally for low- and moderate-income persons, by providing decent housing and suitable living environment, and expanding economic opportunities. The County is required to have a five-year Consolidated Plan that documents community development needs and establishes goals to address these identified needs.*

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

*See next page for complete answer.*

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(b) Continued:  
*Funds were appropriated as additional funding to the U.S. Department of Housing and Urban Development's CDBG Program and distributed to the County of Maui based on the formula of the block grant program. The formula considers population, poverty level and housing overcrowding. The County awarded, on a competitive grant basis, the CDBG-R funds to two nonprofits for rehabilitation projects of their facilities that address community development goals established in the County's Consolidated Plan and benefit low- and moderate-income people.*

(c) Whether matching funds are required, and, if so:  
(i) Are they available;  
(ii) Have they been secured;  
(iii) If they have not been secured, why not; and  
(iv) Will the State be required to continue that match or provide increased/full funding in the future;

N/A

(d) If there are additional requirements to receive funds, what are they;

*The projects awarded CDBG-R funds must comply with the CDBG Program requirements as well as the requirements of ARRA. Some of the CDBG Program requirements include procurement, federal labor standards, environmental review, statutory compliance, inspections and monitoring, restricted use periods and program income.*

(e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

*The County of Maui has been allocated \$552,976 in CDBG-R funds. The CDBG-R funds must be expended by September 30, 2012 (SFY 2012-2013/FFY 2011-2012). It is expected that the County's CDBG-R funds will be expended by September 30, 2010 (SFY 2010-2011/FFY2009-2010).*

(f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

*The American Recovery and Reinvestment Act of 2009 includes additional funding for the CDBG Program. Utilization of this established federal program was seen as an effective mechanism for the distribution and management of funds to address identified community development needs. The CDBG-R funds were allocated to states and local governments based on an established formula.*

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- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

N/A

- (h) The criteria used to select activities for the program/project;

*A Request for Interest (RFI) was posted on the procurement page of websites for the County of Maui as well as the State of Hawaii, the CDBG Program page on the County's website and emailed to interested parties on March 11, 2009 with a submission deadline of March 18, 2009. In addition, an article concerning the RFI appeared in the Maui News on March 15, 2009. In response to the RFI, eighteen proposals were received totaling \$4,597,425. The proposals were initially screened for submission requirements (timeliness, completeness), application eligibility, project activity eligibility and national objectives. Eligible proposals were then reviewed, rated, ranked and selected by an Evaluation and Selection Panel. The Panel included the CDBG Program Manager, CDBG Program Specialist and a community member. Priority was given to projects that can award contracts based on bids within 120 days from the date the funds are made available and that maximize job creation and economic benefit. The panel's funding recommendation was approved by Mayor Charmaine Tavares on March 25, 2009 and adopted by the Council of the County of Maui by Resolution No. 09-41 on April 17, 2009. The Substantial Amendment to Annual Action Plan for Program Year 2008 was submitted to HUD on June 2, 2009.*

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

*A Public Notice of the Substantial Amendment to Annual Action Plan for Program Year 2008 was published in the publication of general circulation on Maui, The Maui News, on May 17, 2009. Written comments were accepted from the public until May 26, 2009. The Substantial Amendment was made available at the County's website ([www.mauicounty.gov/mayor/community](http://www.mauicounty.gov/mayor/community)).*

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

*See next page for complete answer.*

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(j) Continued:  
*In accordance with CDBG Program requirements, the projects are required to follow federal procurement standards (Title 24 Code of Federal Regulations Part 84) and procurement provisions of the Recovery Act (Title 2 CFR Part 1 176). The Invitations for Bids as well as the selected contractors were reviewed and approved by the County's CDBG Program to insure compliance with federal regulations.*

(k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

*In accordance with CDBG Program requirements, the County's CDBG Program reviews certified payrolls from contractors; performs periodic site visits to the projects that include worker interviews to insure compliance with federal labor standards and to review construction progress; reviews and approves all construction change orders and Requests for Payments; reviews quarterly reports submitted by subrecipients and calculates job creation based on certified payrolls.*

(l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

*Maui Food Bank: 100% of the awarded funds have been obtained and encumbered; approximately 10% of the funds have been expended; construction began in March, 2010 and is expected to be completed by July 31, 2010.*

*Easter Seals Hawaii: 100% of the awarded funds have been obtained and encumbered; no funds have been expended to date; construction began in April, 2010 and is expected to be completed by July 31, 2010.*

(m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

*The long-term public benefit of the Maui Food Bank project is the much needed renovation of the agency warehouse where all their food is stored and distributed. The project will increase the efficiency of the work flow as well as increase the energy efficiency and extend the useful life of the facility. During an average month, between 9,000 and 11,000 residents access food at various*

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(m) Continued:

*distribution points throughout the County of Maui via a network of 70 community agencies and over 250 volunteers. Approximately 20,000 unduplicated people are served annually, almost 15% of the population of the County of Maui. Between 15 and 20 jobs are expected to be retained during the duration of the project.*

*The long-term public benefit of the Easter Seals Hawaii project is the much needed renovation of an aging facility where health services, support and assistance is provided to adults with developmental delays and other disabilities and their families. The project will increase the efficiency of the work flow, decrease maintenance costs, increase accessibility and compliance with the Americans with Disabilities Act as well as increase the energy efficiency and extend the useful life of the facility. Annually, Easter Seals Hawaii assists approximately 60 clients between the ages of 15 and 55. Between 6 and 10 jobs are expected to be retained during the duration of the project.*

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

N/A

3. Please describe:

(a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

*While we were instructed by HUD to select projects in March 2009, guidance was not issued by the Department until May 2009. Once the guidance was issued, there was a very short turn around time and we were required to submit the Substantial Amendment to the Annual Action Plan by the first week in June. We did not receive official notification of the award and the execution of the Grant Agreement with HUD until August 18, 2009. While we had selected our project in March, as instructed, we could not move forward with the Subrecipient Agreements for the projects with the non profits until September/October.*

*Reporting requirements are daunting and continue to increase.*

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(b) The effect of those barriers/constraints; and

*The effect of the barriers/constraints has delayed the projects but not substantially.*

(c) If and how they were mitigated.

*They were mitigated with patience, flexibility and perseverance.*

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**DEPARTMENT/AGENCY QUESTIONNAIRE**

**COUNTY OF MAUI, DEPARTMENT OF ENVIRONMENTAL MANAGEMENT,  
WASTE WATER DIVISION –  
WAILUKU-KAHULUI WWRF TSUNAMI PROTECTION  
AND COUNTY PUMP STATIONS RENOVATION PROJECTS**

**Instructions:** Please use this MS Word document as a template, entering your answers below the corresponding number/letter. The commission is cognizant of the numerous reporting requirements of ARRA and does not wish to burden your Department or agency with duplicative requests for information where possible. Please use information that you have all ready reported to the Federal government, as applicable.

If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:
  - (a) A brief summary of the program/project, including goals;

**1. WAILUKU-KAHULUI WWRF TSUNAMI PROTECTION PROJECT**

***Project Goal: To protect portions of the WWRF against the effects of a 100-yr tsunami event.***

***Description: The Tsunami Protection Project includes the following improvements to the Wailuku-Kahului WWRF:***

- a. Thickening of existing foundations and addition of a new tsunami-proof wall at the Head-works building.***
- b. Thickening of existing foundations on the ocean side of the secondary clarifiers.***
- c. Thickening of existing foundations and existing walls at the Chlorine Contact Chamber and Effluent Meter Structures.***
- d. Miscellaneous concrete rehabilitation work throughout the plant, including repair of cracks and spalls, rehabilitation of the mixed liquor channel, construction of additional structural supports for the mixed liquor channel, demolition and reconstruction of an existing pedestrian walkway.***



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(a) Continued:

**2. COUNTYWIDE PUMP STATION RENOVATIONS PROJECT**

***Project Goal: To renovate seven 20+ year old pump stations to ensure safe operation.***

***Description: The proposed pump station modifications include removal and replacement of the existing pumps, piping, and controls at Kuau Wastewater Pump Station (WWPS) #1 - #4, Kaa WWPS, Spreckelsville WWPS, and Kaunakakai WWPS.***

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

***The project was awarded on a competitive bid basis.***

(c) Whether matching funds are required, and, if so:

- (i) Are they available;
- (ii) Have they been secured;
- (iii) If they have not been secured, why not; and
- (iv) Will the State be required to continue that match or provide increased/full funding in the future;

*N/A*

(d) If there are additional requirements to receive funds, what are they;

***In order to receive additional funds, submission of a certified Monthly Payment Estimate is required.***

(e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

***Funds must be expended before December 31, 2011 (FFY 2011-2012).***

(f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

***See next page for complete answer.***



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(f) Continued:

**1. WAILUKU-KAHULUI WWRF TSUNAMI PROTECTION PROJECT**

*Vulnerability of the WWRF against Tsunami events were identified in the 2006 “Central Maui WWRF Study” commissioned by the County of Maui. This project is the second in a series of projects that is aimed at correcting and/or mitigating those vulnerabilities.*

**2. COUNTYWIDE PUMP STATION RENOVATIONS PROJECT**

*This project involves rehabilitation of decades old pump stations, which are located relatively close to the northern shoreline of the island. Consequently, Maui County deemed this project a priority to prevent wastewater spillage and to maintain the clean environment in this area of the island.*

(g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

*Funds for this project were obtained through the State Revolving Loan (SRF) program.*

(h) The criteria used to select activities for the program/project;

**1. WAILUKU-KAHULUI WWRF TSUNAMI PROTECTION PROJECT**

*The 2006 Central Maui WWRF Study compared the costs of reinforcing and protecting the existing WWRF versus construction of a new Central Maui WWRF outside the Tsunami Inundation Zone. Protecting the existing plant was the most cost-effective option.*

**2. COUNTYWIDE PUMP STATION RENOVATIONS PROJECT**

*Age and current function of the pump stations were the primary criteria to scope the project. These decades old pump stations are exhibiting decreased reliability due to age, which warranted a complete overhaul of each pump station.*

(i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

*See next page for complete answer.*

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(i) Continued:

**1. WAILUKU-KAHULUI WWRP TSUNAMI PROTECTION PROJECT**

*A Community Core Working Group for public input and comments were a part of the 2006 Central Maui WRRF Study. These comments were incorporated as part of the final Study report.*

**2. COUNTYWIDE PUMP STATION RENOVATIONS PROJECT**

*Since the project is a renovation of existing infrastructure components with no increase in capacity, the project qualified for and was granted Environmental Assessment and Special Management Area exemptions by the Maui County Planning Department.*

(j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

*The project was awarded on a competitive bid basis per County Of Maui procedures as follows:*

- 1. An Advertisement to Bid was published on the Maui News for two consecutive Sundays.*
- 2. A mandatory Pre-Bid meeting was held where prospective contractors had the opportunity to visit the site and ask questions about the project.*
- 3. Bid addenda were issued prior to the bid to answer all questions presented by the bidders.*
- 4. The County of Maui required a statement of qualification from each bidder to ensure bidders had reasonable experience on similar projects. Bid bonds, etc. were also requirements for bidding.*
- 5. Bids were open at a designated date and time and read aloud in public. Bidders had the opportunity to review all their competitor's bids.*

(k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

*See next page for complete answer.*

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(k) Continued:

*To reduce duplication of efforts, the owner and the contractor participate in weekly project progress meetings to review the project schedule and to coordinate the work with other subcontractors.*

*Also, log books and “as-built” drawings are kept to keep track of the work completed, submittal and RFI’s.*

*Emailing of submittals, RFI’s, Drawing Revisions, etc. reduces response times and keeps everyone in the loop.*

*To ensure funds are used for authorized purposes and prevent fraud, the County of Maui provides regular site inspections. Periodic site inspections/audits are also conducted by the State DOH and the EPA. Change orders must be approved by the County of Maui Finance Department.*

(l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

**1. WAILUKU-KAHULUI WWRF TSUNAMI PROTECTION PROJECT**

*As May 31, 2010, the current status is:*

<i>% project time elapsed</i>	<i>39%</i>
<i>% project completed</i>	<i>41%</i>
<i>Amount paid to Contractor to date:</i>	<i>\$463,600</i>
<i>Amount to be requested to DOH in June:</i>	<i>\$463,600</i>

**2. COUNTYWIDE PUMP STATION RENOVATIONS PROJECT**

*As May 4, 2010, the current status is:*

<i>% project time elapsed</i>	<i>16%</i>
<i>% project completed</i>	<i>3%</i>
<i>Amount paid to Contractor to date:</i>	<i>\$145,600.09</i>
<i>Amount to be requested to DOH in June</i>	<i>\$145,600.09</i>

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- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

*Estimated direct impact to the local economy is \$6.2 million.*

*Estimated number of jobs directly created or saved is 26.*

*Long-term public benefit is increased reliability of wastewater treatment service to the Central Maui for the next 20 to 25 years.*

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

*N/A*

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;
- (b) The effect of those barriers/constraints; and
- (c) If and how they were mitigated.

*Funds were not constrained by the condition that Construction Notice to Proceed had to be issued by December 31, 2009. The County of Maui was able to meet the deadline.*

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**DEPARTMENT/AGENCY QUESTIONNAIRE**

**COUNTY OF MAUI, DEPARTMENT OF HOUSING AND HUMAN CONCERNS -  
CONGREGATE AND HOME DELIVERED MEALS PROGRAMS**

**Instructions:** Please use this MS Word document as a template, entering your answers below the corresponding number/letter. The commission is cognizant of the numerous reporting requirements of ARRA and does not wish to burden your Department or agency with duplicative requests for information where possible. Please use information that you have all ready reported to the Federal government, as applicable.

If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

*Maui County Office on Aging (MCOA) received funds to provide Home Delivered Meals to frail, homebound elders in order to help delay the need for institutionalization and allow the seniors to remain living independently in the community as well as for Congregate Meals for seniors to receive nutritious meals, physical fitness activities, health education, and nutrition counseling.*

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

*MCOA received the funds through the State of Hawaii Executive Office on Aging (EOA). Funds were appropriated and dispersed to all four counties through EOA.*

(c) Whether matching funds are required, and, if so:

(i) Are they available;

(ii) Have they been secured;

(iii) If they have not been secured, why not; and

(iv) Will the State be required to continue that match or provide increased/full funding in the future;

N/A

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- (d) If there are additional requirements to receive funds, what are they;

*N/A*

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

*Funds must be expended in FFY 2009-2010 for both Home Delivered Meals (\$19,968) and Congregate Meals (\$39,126).*

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

*The monies were designated by the Federal Government for Home Delivered Meals and Congregate Meals exclusively.*

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

*EOA utilized the Federal Funding Formula to determine appropriation levels for each County.*

- (h) The criteria used to select activities for the program/project;

*The monies were designated by the Federal Government for Home Delivered Meals and Congregate Meals exclusively.*

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

*MCOA utilized the already contracted service provider for these services.*

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

*MCOA utilized the already contracted service provider for these services.*

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- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

*The monies were designated by the Federal Government for Home Delivered Meals and Congregate Meals exclusively.*

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

*No funds have currently been expended. The anticipated need for the ARRA funds will occur in June, July, and August when other funding sources are exhausted and prior to the release of FFY 2010-2011 funding for Home Delivered Meals and Congregate Meals. There is, therefore, no negative effect. Program activities to date have occurred utilizing other funding sources.*

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

*These funds will provide 1779 Home Delivered and 3150 Congregate Meals that seniors otherwise would not receive.*

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

N/A

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

*MCOA did not receive the executed contract from EOA to utilize ARRA funds until March 2010.*

- (b) The effect of those barriers/constraints; and

*There has not been a negative effect of the delay in receiving the executed contract.*



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(c) If and how they were mitigated.

*The anticipated need for the ARRA funds will occur in June, July, and August when other funding sources are exhausted and prior to the release of FFY 2010-2011 funding for Home Delivered Meals and Congregate Meals. There is, therefore, no negative effect.*

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**DEPARTMENT/AGENCY QUESTIONNAIRE**

**COUNTY OF MAUI, OFFICE OF THE MAYOR,**  
**OFFICE OF ECONOMIC DEVELOPMENT-**  
**ENERGY EFFICIENCY AND CONSERVATION BLOCK GRANT**

**Instructions:** Please use this MS Word document as a template, entering your answers below the corresponding number/letter. The commission is cognizant of the numerous reporting requirements of ARRA and does not wish to burden your Department or agency with duplicative requests for information where possible. Please use information that you have all ready reported to the Federal government, as applicable.

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1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

*To do an Energy Audit of 12 County Facilities, followed by installation of Photovoltaic (PV) panels on those buildings. The balance of \$50,000 is going toward a feasibility study for Waste-to-Energy for the Department of Environmental Management.*

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

*Our energy funds were part of the block grant process and were awarded based on a formula.*

(c) Whether matching funds are required, and, if so:

(i) Are they available;

(ii) Have they been secured;

(iii) If they have not been secured, why not; and

(iv) Will the State be required to continue that match or provide increased/full funding in the future;

N/A

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- (d) If there are additional requirements to receive funds, what are they;

*N/A*

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

*\$605,300 (FFY 2009-2011)*

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

*The program/project had to be County Buildings that provide service to the greater community. Decision was made for fire stations; senior centers and community park facilities.*

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

*The County hired a consultant to ensure all program details were followed.*

- (h) The criteria used to select activities for the program/project;

*The program/project had to be County Buildings that provide service to the greater community. Decision was made for fire stations; senior centers and community park facilities.*

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

*In 2007, Mayor Tavares convened the First Energy Expo with over 250 community stakeholders in attendance. From that came the Maui County Energy Alliance (MCEA) and our five (5) working groups\* that have been working for the past year and a half on the "Maui County Energy Alliance Plan" which is a map for Maui County's Renewable Energy Future. Seventy-Five (75) stakeholders comprised the five (5) working groups and numerous outreach meetings were held to get the community feed back on the plan. The MCEA Plan was also available in all libraries and on the website to ensure that*

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- (i) Continued:  
*all residents had a chance to comment. The MCEA Plan has been formalized and we are in the implementation stages of the plan.*

*\* The five (5) working groups: WG1. Renewable Resource Development; WG2 Green Workforce Development; WG3 Energy and Transportation Infrastructure; WG4 Efficiency and Conservation in County Facilities; WG5 Greenhouse Gasses and Carbon Emissions*

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

*Yes. Maui County had a very open and fair process ensuring that the reviewers were non-county members and had no ties to any energy company, while at the same time was savvy in the arena of renewable energy. The County's Procurement Officer was present at the selection meeting ensuring that we followed all rules.*

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

*Yes.*

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

**SEE BELOW**

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

*To date we have encumbered \$23,000 of the funding for the Energy Audit portion. The total portion for the Energy Audit will be \$50,000. Impact to the State –Energy efficiency will help reduce State's dependency on fossil fuel. Jobs created for Energy Audit portion – 3 new jobs and long-term public benefits – Serve as models for energy efficiency in public buildings and facilities.*

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2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

*We were told by the State (DBEDT) that funds are available for the counties to apply for, however the state has yet to put out the criteria and/or the RFP for us to apply.*

3. Please describe:

*N/A*

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;
- (b) The effect of those barriers/constraints; and
- (c) If and how they were mitigated.

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**DEPARTMENT/AGENCY QUESTIONNAIRE**

**COUNTY OF MAUI, OFFICE OF THE MAYOR,**  
**OFFICE OF ECONOMIC DEVELOPMENT-**  
**ADULT, DISLOCATED WORKER, LOCAL ADMIN, AND SUMMER YOUTH**  
**EMPLOYMENT PROGRAMS**

**Instructions:** Please use this MS Word document as a template, entering your answers below the corresponding number/letter. The commission is cognizant of the numerous reporting requirements of ARRA and does not wish to burden your Department or agency with duplicative requests for information where possible. Please use information that you have all ready reported to the Federal government, as applicable.

If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

*The Workforce Investment Act (WIA) ARRA funds were provided to supplant the current WIA funding for the Adult, Youth and Dislocated Worker programs.*

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

*These are formula funds from the USDOL provided to the County (local area) via the State DLIR.*

(c) Whether matching funds are required, and, if so:

(i) Are they available;

(ii) Have they been secured;

(iii) If they have not been secured, why not; and

(iv) Will the State be required to continue that match or provide increased/full funding in the future;

N/A

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- (d) If there are additional requirements to receive funds, what are they;

*N/A*

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

***Total funds received = \$577,601 and are available for use by the County from May 2009 until December 2010 (SFY 2009-2011).***

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

***WIA program already administered by the County, therefore these funds were additional to already existing programs.***

- g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

***As noted above, programs already existing in the County.***

- (h) The criteria used to select activities for the program/project;

***All activities are mandated/governed by the WIA.***

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

*N/A*

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

*N/A*

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

***Adhered to WIA program as mandated; followed USDOL Financial Management policies as it related to allowed and disallowed costs and activities.***



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- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

*All program funds have been encumbered via contracts with Service Providers for the Adult, Dislocated Worker and Youth programs as mandated under WIA. All funds will be expended by December 31, 2010.*

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

*To be determined.*

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

N/A

3. Please describe:

N/A

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;
- (b) The effect of those barriers/constraints; and
- (c) If and how they were mitigated.

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**DEPARTMENT/AGENCY QUESTIONNAIRE**

**COUNTY OF MAUI, DEPARTMENT OF POLICE -  
BYRNE JUSTICE ASSISTANCE GRANT, HAWAII NARCOTICS TASK FORCE,  
AND STATEWIDE MARIJUANA TASK FORCE PROJECTS**

**Instructions:** Please use this MS Word document as a template, entering your answers below the corresponding number/letter. The commission is cognizant of the numerous reporting requirements of ARRA and does not wish to burden your Department or agency with duplicative requests for information where possible. Please use information that you have all ready reported to the Federal government, as applicable.

If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:
  - (a) A brief summary of the program/project, including goals;
    1. **Byrne Justice Assistance Grant (JAG)**  
*Fund the Community Violence Program Manager position at the Prosecutor's office and purchase a desktop computer for that position; purchase computers for the Police Department to help them do their jobs better.*
    2. **Hawaii Narcotics Task Force (HNTF)**  
*Disrupt the flow of narcotics into and throughout the State of Hawaii via apprehension of mid-to-high level drug dealers*
    3. **Statewide Marijuana Task Force (SMETF)**  
*Suppress the cultivation of marijuana through crop destruction and arrest of individuals involved in marijuana activities*
  - (b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

*See next page for complete answer.*

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- (b) Continued
1. Byrne JAG –  
*Formula/block grant through Department of Justice awarded through State Attorney General's Office*
  2. HNTF  
*Competitive through State Attorney General's Office*
  3. SMETF  
*Competitive through State Attorney General's Office*
- (c) Whether matching funds are required, and, if so:
- (i) Are they available;
  - (ii) Have they been secured;
  - (iii) If they have not been secured, why not; and
  - (iv) Will the State be required to continue that match or provide increased/full funding in the future;
- N/A
- (d) If there are additional requirements to receive funds, what are they;
- N/A
- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);
1. *Byrne JAG - \$274,649; 03/01/09 – 02/28/13 (SFY 2009-2013)*
  2. *HNTF - \$75,668; 10/01/09 – 03/31/11 (SFY 2010-2011)*
  3. *SMTF - \$58,037; 09/01/09 – 02/28/11 (SFY 2010-2011)*
- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;
- 1. Byrne JAG - The Maui Police Department is the primary Law Enforcement Agency within Maui County as per Hawaii Revised Statutes. There are no State Police and the State Sheriffs have very limited duties. The geographic and demographic make-up which comprises Maui County is diverse and challenging. The Police Department and the Maui County Prosecutor's Office work hand-in-hand to serve the community. As such, the two Departments*

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- (f) Continued:  
*have agreed to share the grant award. Violent Crime has closely trended upward, paralleling population growth.*

*The Maui Police Department began its computer use in the early 1980s. In the early 1990s the current system was installed. The current system, which is nearly twenty years of age, is antiquated, unreliable, slow, and overloaded. The system supports Computer Aided Dispatching (CADS) as well as the Records Management System (RMS). As the system aged, it became clear that the inadequacy of the CADS could have a potentially catastrophic effect on the officers and the community.*

*The current system assists with unit recommendations as emergency services are dispatched to incidents. As commonly documented throughout the Law Enforcement Community, time is of the essence when responding to life threatening events. The current system is lacking in this regard. In addition, the current system is lacking in criminal reports such as Uniform Crime Reports and National Incident Based Reporting System. In order to comply with many of these reporting requirements, the statistical research must be done manually. This is time consuming to subject to errors. Clearly, a contemporary computer system was needed.*

*In 2003, the Maui Police Department began replacing the aging system. The County of Maui allocated 10.1 million dollars towards the complete replacement of the system. Concerted efforts and a tremendous amount of work resulted in the selection of a vendor to replace the system. In 2004, the vendor, Nippon Electrical Company (NEC) was selected as the vendor. NEC has employed a sub-contractor, Intergraph, for the actual CADS and RMS reconfiguration. The interface between the CADS and RMS portions will allow for near instant updates of warrants, stolen vehicles, and other information pertinent to an effective and safe operation of a Police Department and emergency dispatch center. Unfortunately, the timeline between when the new system was designed and when the new system will be implemented is more than six years. As such, the technology has changed. The computers originally specified will still function with the new system, however, at a frustratingly slow pace and with frequent "lock-ups." The current computers operate at 512 megabits of RAM, while the new system operates at the improved 1 gigabit of RAM.*

*The Prosecutor's Office would obtain one-third of the award amount in the sum of \$91,549.00. Traditional prosecution provides consequences to criminal behavior. These consequences, although having some deterrent value, do not necessarily directly address the underlying personal character issues of perpetrators, or the environmental and cultural influences that contributes to*

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- (f) Continued:  
*the penetration of the criminal activity. Addressing these issues has been beyond the scope and ability of the Prosecutor Department's seventy-nine (79) employees. However, the Prosecuting Attorney regularly attends a number of interagency meetings and gatherings, whose function is to address some part of the problem. These include Maui County Children's Justice Committee (MCCJC), Domestic Violence Task Force (DVTF), Women Helping Women, and Adult Client Services of the State Probation Department. There are many other government and non-government agencies and organizations which provide a variety of services to segments of the population which have little coordinated activity with the Department of the Prosecuting Attorney.*

*In light of the almost certain increase in community violence, symptomatic of a rising population, the Prosecutor's Office finds it beneficial and necessary to extend its efforts into non-traditional prosecution activities, that is, a more proactive community violence prevention strategy. Due to its shortage of manpower to engage in these efforts, it hereby seeks to acquire funding to hire a full time Community Violence Program Manager. This position has recently been established in Hawaii County which has shared the position description and class specifications with the Maui Department of Personnel Services who have concurred that such a position, with the same scope of work could be created for Maui County. The Community Violence Program Manager will oversee and/or plan, develop, implement, the Department's Community Violence Program. He will develop, evaluate, revise, and implement policies, standards, operating procedures, goals, and objectives; coordinate violence prevention efforts between County departments, community organizations, and service providers such as those mentioned, but not limited to, those above. The Community Violence Program Manager will provide advice and consultation to agency heads, supervisors, employees, and the public regarding community violence and the criminal justice system.*

*2&3. The Statewide Marijuana Eradication Task Force (SMETF) and the Hawaii Narcotics Task Force (HNTF) were prioritized for the Vice Division due to the anticipated cuts in county funding and cuts in the Byrne/JAG grants that fund both task forces. Both Task Forces are instrumental in almost all of the Vice Narcotics cases we work on. The task forces have been very successful and in existence for approximately two decades. Without the task force funding, we would not be able to function at the level we are currently at.*

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- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

**1. Byrne JAG**

<i>Date</i>	<i>Quantity</i>	<i>Description of Computers purchased</i>	<i>Amount</i>
<i>10/16/09</i>	<i>25</i>	<i>Optiplex 760 Ultra Sm form factor</i>	<i>\$ 30,322.72</i>
<i>01/26/10</i>	<i>50</i>	<i>Optiplex 780 Ultra Sm form factor</i>	<i>\$ 64,935.02</i>
<i>03/25/10</i>	<i>50</i>	<i>Optiplex 780 Ultra Sm form factor</i>	<i>\$ 64,853.77</i>
<i>05/27/10</i>	<i>20</i>	<i>Optiplex 780 Ultra Sm form factor</i>	<i>\$ 24,674.85</i>

*Prosecutor's have not used any funds as of now.*

*2&3. We work with the other county police departments, the FBI, DEA, ICE, State of Hawaii Narcotics Enforcement Division (NED), Assistant United States Attorney's (AUSA) office, and the Maui Prosecutor's Office on a consistent basis. Other funding has been applied for through the State of Hawaii Attorney General's Office from the Byrne/JAG grants, the DEA Domestic Cannabis Eradication Suppression Program Letter of Agreement, and in the past from the Hawaii Community Foundation Grant.*

- (h) The criteria used to select activities for the program/project;

**1. Byrne JAG**

*For the Police Department, the places that needed the new computers centered on the Uniformed Services function. The computers have been distributed in that manner. For the Prosecutors, the Community Violence Prevention Coordinator has not been selected yet, therefore specific activities have not been created.*

*2&3. Similar goals and objectives of the SMETF and HNTF were utilized to enhance the functions of the task force, and assure that we had enough funding to continue the task force. The ARRA grants were utilized to supplement the task forces due to cuts in funding.*

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

**1. Byrne JAG** – *Yes, a public notice for this grant and description of it was advertised in the Maui News.*

**2&3.** *N/A for SMETF and HNTF*



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- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

1. **Byrne JAG**

*The computers were purchased based upon needs specifications using the Western States Contracting Alliance contract. Procurement procedures were followed. The Community Violence Prevention Coordinator will be appointed through normal Civil Service process.*

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

1. **Byrne JAG**

*For the Police Department: Only one person purchased the computers, only computers were purchased (as authorized), we purchased 145 computers which was more than expected (91) and we returned \$213.64, staying under budget. The Prosecutors have yet to complete their portion of the grant.*

*2&3. Captain of the Vice Division is the Program Manager, and stays in compliance with program rules and regulations (also consults with Program Monitors from the State AG's Office of the) State AG's Office audit program. Monthly report of expenditures is submitted and goes through channels to the Chief for approval. Accountant in Administrative Services also reviews expenditures to cross reference with the monthly report for accuracy and compliance.*

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

1. **Byrne JAG** – 58% spent – bought computers; 9% encumbered to buy computers

2. **HNTF** – 26% spent – travel and canine costs

3. **SMTF** – 31% spent – travel, equipment and aircraft rentals



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- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

***1. Byrne JAG – The Community Violence Program Manager position was created with this grant money. It will pay for one year of salaries and fringe benefits and will be continued on with other grant money for an additional year.***

***2 & 3. No jobs created.***

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

*N/A*

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

***We are currently having problems with the Community Violence Program Manager position. Although it is Police's budget for FY2011 the position will be completely controlled by the Prosecutor's Office.***

- (b) The effect of those barriers/constraints; and

***The position may not start on July 1, 2010 as originally anticipated and due to that may end later than expected.***

- (c) If and how they were mitigated.

***We are currently working with Prosecutor's Office on how to solve this problem.***

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**DEPARTMENT/AGENCY QUESTIONNAIRE**

**COUNTY OF MAUI, DEPARTMENT OF PROSECUTING ATTORNEY-  
JUVENILE PROSECUTION, DOMESTIC VIOLENCE INVESTIGATIONS,  
AND VICTIMS OF CRIME ACT**

**Instructions:** Please use this MS Word document as a template, entering your answers below the corresponding number/letter. The commission is cognizant of the numerous reporting requirements of ARRA and does not wish to burden your Department or agency with duplicative requests for information where possible. Please use information that you have all ready reported to the Federal government, as applicable.

If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:
  - (a) A brief summary of the program/project, including goals;
    1. ***Juvenile Prosecution - grant fund is being used to hire an additional prosecutor and legal clerk in the Juvenile Division due to a high increase of juvenile arrests in recent years and to be able to meet the Department's objective of screening and charging cases within 14 days. Timely adjudication sends a message to juveniles that their crimes will be seriously and expeditiously dealt with. The overall goal is to improve the effectiveness of juvenile prosecution.***
    2. ***Domestic Violence Investigations – Office on Violence Against Women (OVW) Recovery Services, Training, Officers, Prosecutors (STOP) formula grant was awarded to this Department on 5/27/2010 and funds shall be used to hire a full-time Investigator dedicated to provide needed follow-up services for the three prosecutors assigned in the Domestic Violence Unit who represent women victims/witnesses in court. The goal is to strengthen prosecution of Domestic Violence cases and improve the capacity of servicing women victims/witnesses.***

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(a) Continued:

3. Victims of Crime Act ( 2009 Recovery Act Project)`- *This Department uses fund to support three non-government social service agencies – 1) Women Helping Women –to fund a hotline advocate to answer the 24-hour Domestic Violence Hotline, 2) Child and Family Service – to partially fund a position to provide therapy services for sexual assault and domestic violence victims/witnesses, and 3) Maui County Area Health Education Center (specifically, the Molokai Sex Assault Hotline) to fund a position to respond to crisis calls for sexual assault victims.*

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

1. Juvenile Prosecution
2. Domestic Violence Investigations
3. Victims of Crime Act

*\* Funds were appropriated and awarded as a formula/block grant to the State and this Department submitted applications for grant monies through the State solicitation process.*

(c) Whether matching funds are required, and, if so:

(i) Are they available;

**3: Yes**

(ii) Have they been secured;

**3: Yes**

(iii) If they have not been secured, why not; and

(iv) Will the State be required to continue that match or provide increased/full funding in the future;

**1, 2 and 3: No**

1. *Juvenile Prosecution - No*
2. *Domestic Violence Investigations - No*
3. *Victims of Crime Act – In-kind fund of a Victim Witness Counselor position in the Department*

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- (d) If there are additional requirements to receive funds, what are they;
- 1, 2, 3: Monthly Drawdown request to the State is required to receive funds*
- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);
- 1. Juvenile Prosecution - \$328,012 (SFY 2009-2012)*
  - 2. Domestic Violence Investigations - \$35, 565 (SFY 2010-2011)*
  - 3. Victims of Crime Act - \$91,383 – (SFY 2009-2011)*
- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;
- 1) Juvenile Prosecution –criteria: backlog of cases, increase of juvenile crimes, & staffing needs for the juvenile division. Grant funds meet the project request of additional staff to reduce backlog of cases and charging new cases within 14 calendar days.*
- 2) Domestic Violence Investigations –criteria: high capacity need to provide more investigative services for successful prosecution of domestic violence cases. Grant funds meet the project request of hiring a full-time Investigator dedicated to serve only domestic violence against women cases. Videotaping victim/witness statements, scene of the crime, and physical and property damage to avoid recantation of victims has resulted in a higher success rate of prosecution.*
- 3) Victims of Crime Act - criteria: need for crisis response and services to aid victims and witnesses in the community. Subcontract to private organizations that provide 24-hour crisis response services for victims and witnesses of domestic violence and sexual assault in Maui County, including Molokai.*
- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;
- 1. Juvenile Prosecution: none due to funds assigned to aid current staffing needs for the Department and to increase the capacity and timeliness of charging juvenile cases*
  - 2. Domestic Violence Investigations: none due to funds used to hire an investigator for the Department to increase the capacity of needed evidence for successful prosecutions.*

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- (g) Continued:  
**3. Victims of Crime Act: none due to funds are designated for private organizations that aid victims/witnesses of domestic violence and sexual assault.**
- (h) The criteria used to select activities for the program/project;
- 1. Juvenile Prosecution – increase staffing to meet 14 day charging of each new case referred to the Department.**
- 2. Domestic Violence Investigations – increase follow up services per case such as videotaping, serving subpoenas, assisting Victim/Witness counselors and Prosecutors involved with domestic violence cases to achieve a higher rate of successful prosecutions.**
- 3. Victims of Crime Act – Activities are based on community’s safety and subcontractors’ mission to assist with domestic violence and sexual assault victims/witnesses.**
- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;
- N/A for #s1, 2 and 3 grants as applications were submitted through the State solicitation process and awarded to this Department by the State Department of the Attorney General.***
- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;
- 1. Juvenile Prosecution – N/A**
- 2. Domestic Violence Investigations – N/A**
- 3. Victims of Crime Act – N/A due to only sole source organizations (subcontractors) applying for grant funds.**
- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;
- 1. Juvenile Prosecution – 1) N/A – This Department of the County of Maui is the sole Department that prosecute juveniles, 2) Department monitors staff hired through this grant to provide services only for juvenile cases, and 3)**

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- (k) Continued:  
*timesheets of staff, including description of activities, are submitted to the Department supervisor for review and approval.*
- 2. Domestic Violence Investigations – 1) N/A due to Investigator hired is designated to assist only prosecutors assigned to the Domestic Violence Unit of the Department 2) Department use funds only to pay for Domestic Violence Investigator position, and 3) timesheets and daily activities are submitted to supervisor for review and approval*
- 3. Victims of Crime Act - Department reviews and monitors subcontractors services, activities and timesheets before payments are made, and require subcontractors to submit narrative quarterly reports of expenditures and services.*
- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and
- 1. Juvenile Prosecution – total of \$36,747.19 (11%) has been obtained and expended as of 4/30/2010. Program has been able to meet the 14 day charging of all new referral cases*
- 2. Domestic Violence Investigations – Department was awarded funds on 5/27/10 for requested amount of \$35,565 to fund Investigator’s position from November 1, 2010 to March 31, 2011. Current Investigator’s position is being paid by State VAWA grant funds that will end on October 31, 2010.*
- 3. Victims of Crime Act – total of \$15,620.40 (21%) has been obtained and expended as of 5/5/2010. Subcontractors are able to continue on-going crisis response to victims/witnesses*
- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.
- 1. Juvenile Prosecution – creation of two positions benefits the public in prosecuting juveniles in a timely manner and resulting in the reduction and prevention of repeated juvenile crimes.*
- 2. Domestic Violence Investigations – retention of a position that benefits victims/witnesses with added follow up services resulting in a higher rate of*

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- (m) Continued:  
*successful prosecutions against perpetrators and the reduction and prevention of domestic violence and sexual assault incidences in the community.*

**3. Victims of Crime Act – a.) Subcontractor – Maui County Area Health Education Center (specifically, the Molokai Sex Assault Hotline) the creation of one position to cover the Molokai Island crisis response hotline for victims and witnesses of domestic violence and sexual assault. b.) Subcontractor – Women Helping Women – partial funding to retain a position to provide therapy for victims/witnesses. c.) Subcontractor -Child and Family Service – partial funding to retain a therapist position to counsel victims/witnesses of domestic violence and sexual assault.**

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

N/A

3. Please describe:

N/A

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements; None
- (b) The effect of those barriers/constraints; and  
None
- (c) If and how they were mitigated.



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**DEPARTMENT/AGENCY QUESTIONNAIRE**

**COUNTY OF MAUI, DEPARTMENT OF PUBLIC WORKS –  
MARKET STREET IMPROVEMENTS PHASE II PROJECT**

**Instructions:** Please use this MS Word document as a template, entering your answers below the corresponding number/letter. The commission is cognizant of the numerous reporting requirements of ARRA and does not wish to burden your Department or agency with duplicative requests for information where possible. Please use information that you have all ready reported to the Federal government, as applicable.

If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

*The Market Street Improvements Project, Phase II, provides for pavement reconstruction, improved pedestrian facilities and drainage improvements on Market street from Kahawai street to Mokuhau road.*

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

*Funding for the project is through the Federal Highways Administration with the State of Hawaii Department of Transportation implementing the program through the Statewide Transportation Improvement Program (STIP).*

(c) Whether matching funds are required, and, if so:

(i) Are they available;

(ii) Have they been secured;

(iii) If they have not been secured, why not; and

(iv) Will the State be required to continue that match or provide increased/full funding in the future;

N/A

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- (d) If there are additional requirements to receive funds, what are they;

*N/A*

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

*The construction contract cost is \$2,721,989.55 and secured in FFY 2009-2010.*

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

*Prioritization is done by State of Hawaii Department of Transportation.*

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

*N/A*

- (h) The criteria used to select activities for the program/project;

*N/A*

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

*Public notified via participation solicited during the draft STIP amendment period.*

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

*Contract procurement followed procedure allowed for in the competitive bidding process under State HRS.*

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

*N/A*

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- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

*The project is approximately 40% complete with the drainage improvements portion in advanced stages.*

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

*The project will provide a safe travel corridor for motorists and pedestrians alike. Drainage improvements will address chronic flooding issues in that part of town. We anticipate that the project has created/saved 60 jobs.*

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

*N/A*

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

*None encountered.*

- (b) The effect of those barriers/constraints; and

*N/A*

- (c) If and how they were mitigated.

*N/A*

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**DEPARTMENT/AGENCY QUESTIONNAIRE**

**COUNTY OF MAUI, DEPARTMENT OF PUBLIC WORKS –  
RESURFACING OF OHUKAI ROAD PROJECT**

**Instructions:** Please use this MS Word document as a template, entering your answers below the corresponding number/letter. The commission is cognizant of the numerous reporting requirements of ARRA and does not wish to burden your Department or agency with duplicative requests for information where possible. Please use information that you have all ready reported to the Federal government, as applicable.

If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

*The Resurfacing of Ohukai Road project, provides for removal of existing pavement and overlay with asphalt concrete pavement, pavement striping and marking.*

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

*Funding for the project is through the Federal Highways Administration with the State of Hawaii Department of Transportation implementing the program through the Statewide Transportation Improvement Program (STIP).*

(c) Whether matching funds are required, and, if so:

(i) Are they available;

(ii) Have they been secured;

(iii) If they have not been secured, why not; and

(iv) Will the State be required to continue that match or provide increased/full funding in the future;

N/A

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- (d) If there are additional requirements to receive funds, what are they;

*N/A*

- (e) If there are additional requirements to receive funds, what are they;

*N/A*

- (f) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

***The construction contract cost is \$409,557.75, and secured in FFY 2009-2010.***

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

***Prioritization is done by State of Hawaii Department of Transportation.***

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

*N/A*

- (h) The criteria used to select activities for the program/project;

*N/A*

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

***Public notified via participation solicited during the draft STIP amendment period.***

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

***Contract procurement followed procedure allowed for in the competitive bidding process under State HRS.***

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- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

N/A

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

*The project is scheduled to start in early June, 2010.*

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

*The project will provide a safe travel corridor for motorists and pedestrians alike. We anticipate that the project will create/save 30 jobs.*

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

N/A

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

*None encountered.*

- (b) The effect of those barriers/constraints; and

N/A

- (d) If and how they were mitigated.

N/A

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**DEPARTMENT/AGENCY QUESTIONNAIRE**

**COUNTY OF MAUI, DEPARTMENT OF TRANSPORTATION-  
PURCHASE OF THREE (3) BUSES FOR MAUI COUNTY**

**Instructions:** Please use this MS Word document as a template, entering your answers below the corresponding number/letter. The commission is cognizant of the numerous reporting requirements of ARRA and does not wish to burden your Department or agency with duplicative requests for information where possible. Please use information that you have all ready reported to the Federal government, as applicable.

If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

*County of Maui, department of Transportation. Purchase of three buses for PUBLIC TRANSIT PROGRAM.*

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

*Federal Transit Administration Section 5340 Program. Apportioned and administered by the Hawaii State Department of Transportation.*

(c) Whether matching funds are required, and, if so:

- (i) Are they available;
- (ii) Have they been secured;
- (iii) If they have not been secured, why not; and
- (iv) Will the State be required to continue that match or provide increased/full funding in the future;

N/A



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- (d) If there are additional requirements to receive funds, what are they;

*N/A*

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

*ARRA funds of \$977,811, supplemented by County funds of \$160,665 (SFY 2009).*

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

*Criteria was double-digit growth in bus ridership. The buses will alleviate this growth.*

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

*County was sub-recipient of FTA funds through the State.*

- (h) The criteria used to select activities for the program/project;

*N/A*

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

*Yes, through the County of Maui procurement process.*

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

*Yes, through the County of Maui procurement process.*

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

*Yes, through the County of Maui procurement process.*

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- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

*Three buses have been manufactured and are being inspected for acceptance this month.*

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

*Ten bus production jobs for three months.*

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

*N/A*

3. Please describe:

*N/A*

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;
- (b) The effect of those barriers/constraints; and
- (c) If and how they were mitigated.

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**DEPARTMENT/AGENCY QUESTIONNAIRE**

**COUNTY OF MAUI, DEPARTMENT OF WATER SUPPLY –**  
**KIHEI ROAD WATERLINE REPLACEMENT**  
**(Waiakoa Stream to**  
**Ohukai Road)**

**Instructions:** Please use this MS Word document as a template, entering your answers below the corresponding number/letter. The commission is cognizant of the numerous reporting requirements of ARRA and does not wish to burden your Department or agency with duplicative requests for information where possible. Please use information that you have all ready reported to the Federal government, as applicable.

If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

*This project will construct 3,300 feet of 12-inch waterline, fire hydrants and water service laterals. This project will replace the old and leaking existing 6-inch waterline. The existing waterline is 60 years old and past its service life. The new 12-inch waterline will provide reliable domestic service and fire protection to a portion of Kihei. Loss of water from breaks and leakage will be eliminated with this project.*

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

*The funds were appropriated for expenditure by a federal agency. The State of Hawaii Department of Health is the local agency administering the funding program.*

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- (c) Whether matching funds are required, and, if so:
- (i) Are they available;
  - (ii) Have they been secured;
  - (iii) If they have not been secured, why not; and
  - (iv) Will the State be required to continue that match or provide increased/full funding in the future;

*N/A*

- (d) If there are additional requirements to receive funds, what are they;

*N/A*

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

***The fund amount provided is \$768,923. There is no deadline for the funds to be expended.***

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

***Projects must begin construction by February 17, 2010. Green infrastructure projects, and water or energy efficiency improvements were given greater priority. This project will provide improvements to water and energy efficiency to the water distribution system.***

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

***Our department is working closely and effectively with the State Department of Health to apply for the loan, monitoring construction labor, payments, etc.***

- (h) The criteria used to select activities for the program/project;

*N/A*

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- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

*The loan application and design did not require public notice or input. The improvements provided by this project is for the benefit of the public; therefore, no objections were expected from the public.*

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

*The project was advertised for bids in accordance with State of Hawaii laws to meet these criteria.*

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

*The projects were monitored by the Department's staff to meet these criteria.*

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

*Of the \$768,923 that was allocated, 51% has been expended. The majority of the waterline and fire hydrants have been installed. Remaining work includes installation of waterline siphons, pressure testing, chlorination, connection to existing water system and pavement work.*

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

*8 full time equivalent (FTE) jobs were created for the January 1 to March 31 quarter. This project will provide long-term public benefits such as improvements to water and energy efficiency to the water distribution system.*

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2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

*Other projects were requested to be funded by the ARRA 2009 but not enough money was available for Maui as other counties were being funded from the same pool of money. Projects were required to be “shovel ready”; therefore, there were a limited number of projects fitting this criteria.*

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

*No unreasonable barriers or constraints were encountered in the process.*

- (b) The effect of those barriers/constraints; and  
(c) If and how they were mitigated.

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**DEPARTMENT/AGENCY QUESTIONNAIRE**

**COUNTY OF MAUI, DEPARTMENT OF WATER SUPPLY –  
WEST OLINDA TANK REPLACEMENT PROJECT**

**Instructions:** Please use this MS Word document as a template, entering your answers below the corresponding number/letter. The commission is cognizant of the numerous reporting requirements of ARRA and does not wish to burden your Department or agency with duplicative requests for information where possible. Please use information that you have all ready reported to the Federal government, as applicable.

If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

*This project will replace the existing 50,000 gallon storage tank with a 300,000 gallon tank. The new larger tank will result in a more energy efficient operation of the existing booster pumps operated at the tank site. The service life of the pumps will be increased with the efficiency improvements due to fewer pump starts and stops resulting from the project. The new tank will also provide adequate water storage for fire protection and domestic service to the adjacent service area.*

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

*The funds were appropriated for expenditure by a federal agency. The State of Hawaii Department of Health is the local agency administering the funding program.*



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- (c) Whether matching funds are required, and, if so:
- (i) Are they available;
  - (ii) Have they been secured;
  - (iii) If they have not been secured, why not; and
  - (iv) Will the State be required to continue that match or provide increased/full funding in the future;

N/A

- (d) If there are additional requirements to receive funds, what are they;

N/A

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

***The fund amount provided is \$1,469,000. There is no deadline for the funds to be expended.***

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

***Projects must begin construction by February 17, 2010. Green infrastructure projects, and water or energy efficiency improvements were given greater priority. This project will provide improvements to water and energy efficiency to the water distribution system.***

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

***Our department is working closely and effectively with the State Department of Health to apply for the loan, monitoring construction labor, payments, etc.***

- (h) The criteria used to select activities for the program/project;

N/A

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- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

*The loan application and design did not require public notice or input. The improvements provided by this project is for the benefit of the public; therefore, no objections were expected from the public.*

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

*The project was advertised for bids in accordance with State of Hawaii laws to meet these criteria.*

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

*The projects were monitored by the Department's staff to meet these criteria.*

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

*Of the \$1,469,000 that was allocated, 50% has been expended. The site have been graded and cleared. The offsite drainline, the tank floor slab and a portion of the wall has been installed. Remaining work includes installation of the upper half wall and the roof, waterlines, leak testing, chlorination, connection to existing water system and pavement work.*

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

*On average, 2 full time equivalent (FTE) jobs were created for the project. This project will provide long-term public benefits such as improvements to water and energy efficiency to the water distribution system.*

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2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

*Other projects were requested to be funded by the ARRA 2009 but not enough money was available for Maui as other counties were being funded from the same pool of money. Projects were required to be “shovel ready”; therefore, there were a limited number of projects fitting this criteria.*

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

*No unreasonable barriers or constraints were encountered in the process.*

- (b) The effect of those barriers/constraints; and

- (c) If and how they were mitigated.

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**DEPARTMENT/AGENCY QUESTIONNAIRE**

**COUNTY OF MAUI, DEPARTMENT OF WATER SUPPLY –  
VINEYARD STREET WATERLINE REPLACEMENT PROJECT**

**Instructions:** Please use this MS Word document as a template, entering your answers below the corresponding number/letter. The commission is cognizant of the numerous reporting requirements of ARRA and does not wish to burden your Department or agency with duplicative requests for information where possible. Please use information that you have all ready reported to the Federal government, as applicable.

If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

*This project will construct 2,600 feet of 12-inch waterline, fire hydrants and water service laterals. This project will replace the old and leaking existing 6-inch waterline. The existing waterline is approximately 50 years old and past its service life. The new 12-inch waterline will provide reliable domestic service and fire protection to a portion of Wailuku. Loss of water from breaks and leakage will be eliminated with this project.*

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

*The funds were appropriated for expenditure by a federal agency. The State of Hawaii Department of Health is the local agency administering the funding program.*

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- (c) Whether matching funds are required, and, if so:
- (i) Are they available;
  - (ii) Have they been secured;
  - (iii) If they have not been secured, why not; and
  - (iv) Will the State be required to continue that match or provide increased/full funding in the future;

N/A

- (d) If there are additional requirements to receive funds, what are they;

N/A

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

***The fund amount provided is \$1,430,000. There is no deadline for the funds to be expended.***

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

***Projects must begin construction by February 17, 2010. Green infrastructure projects, and water or energy efficiency improvements were given greater priority. This project will provide improvements to water and energy efficiency to the water distribution system.***

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

***Our department is working closely and effectively with the State Department of Health to apply for the loan, monitoring construction labor, payments, etc.***

- (h) The criteria used to select activities for the program/project;

N/A

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- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

*The loan application and design did not require public notice or input. The improvements provided by this project is for the benefit of the public; therefore, no objections were expected from the public.*

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

*The project was advertised for bids in accordance with State of Hawaii laws to meet these criteria.*

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

*The projects were monitored by the Department's staff to meet these criteria.*

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

*Of the \$1,430,000 that was allocated, 91% has been expended. The work is completed. The final payment will be made after the contractor has submitted the project closeout documentation.*

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

*On average, 4 full time equivalent (FTE) jobs were created for the duration of the project. This project will provide long-term public benefits such as improvements to water and energy efficiency to the water distribution system.*

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2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

*Other water department projects were requested to be funded by the ARRA 2009 but not enough money was available for Maui as other counties were being funded from the same pool of money. Also, projects were required to be “shovel ready”; therefore, there were a limited number of projects fitting this criteria.*

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

*No unreasonable barriers or constraints were encountered in the process.*

- (b) The effect of those barriers/constraints; and  
(c) If and how they were mitigated.