

DEPARTMENT OF EDUCATION

Program: State Fiscal Stabilization Fund, Part A

Award: \$110,449,943

Project Contact: Robert Campbell

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

The State Fiscal Stabilization Fund (SFSF) provides funds directly to governors to help save jobs and drive education reform.

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

Funds were awarded directly to the Office of the Governor for distribution to the Department and University of Hawaii based on relative proportion of the reduction in funds.

(c) Whether matching funds are required, and, if so:

No new matching funds are required; however there are requirements regarding Maintenance of Effort based on FY2006.

(i) Are they available;

These funds are now available to the Department.

(ii) Have they been secured;

(iii) If they have not been secured, why not; and

(iv) Will the State be required to continue that match or provide increased/full funding in the future;

(d) If there are additional requirements to receive funds, what are they;

The Department and University of Hawaii were required to develop a Memorandum of Agreement with the Office of the Governor in order to get the funds released.

(e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

The total award was \$110,449,943 and must be obligated by the ending of the Federal Fiscal Year 2011.

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

The Department priority in using these funds is to maintain basic educational services with minimal disruption.

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

Other proposed project plans vetted by the ARRA Project Team placed within and headed by the Deputy Superintendent are being used to ensure that the Department is able to meet the four (4) identified assurances agreed to by the Governor in the SFSF application.

- (h) The criteria used to select activities for the program/project;

The use of these funds is consistent with the core mission of the Department.

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

There was minimal opportunity for public notice or comment regarding the use of these funds due to the need to maximize the use of these funds in support of the Department's overall budgetary needs.

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

Standard Department procurement practices are followed.

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

Proposed project plans are vetted by the ARRA Project Team placed within and headed by the Deputy Superintendent. The ARRA Project Team regularly reviews these projects for compliance and implementation.

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

The first drawdown was for six (6) pay periods and totaled \$18,060,255
The second drawdown is in process and totals \$5,296,510.

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

The Department anticipates maintained academic performance by students.

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

N/A

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

N/A

- (b) The effect of those barriers/constraints; and

- (c) If and how they were mitigated.

DEPARTMENT OF EDUCATION

Program: Title I Recovery Act

Award: \$33,171,874

Project Contact: Robert Campbell

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

An LEA uses Title I, Part A ARRA funds in combination with funds from other sources to improve learning outcomes for students, particularly those students who are failing, or most at-risk of failing, to meet State academic achievement standards, including students living in poverty, students with disabilities, and English language learners (ELLs). Such other sources of funds may include regular Title I, Part A funds, IDEA, Part B funds, funds made available under Title II or Title III of the ESEA, State and local funds, and, to the extent available, Federal discretionary funds

The first project the Department funded with these funds offered students Extended Learning Opportunities (ELO) during summer 2009. The monies also provided a stimulus to the local economy by providing additional employment opportunities during the summer of 2009. This project expended \$3M and served over 8,000 students on 90 campuses. Over 1,100 staff were involved in the project.

Continuing on the success of the ELO Summer Instructional Project, an ELO After School and Intersession Instructional Program has begun. At this time there are nearly 50 schools with nearly 900 staff providing additional instructional opportunities to almost 6,500 students.

The remaining funds will be

- Allocated to the Charter School Administrative Office (CSAO) for distribution to charter schools based on a pro-rated per Free and/or Reduced Lunch student count at Title I, Part A eligible schools.
- Used to increase the Department capacity to assist Title I schools through providing comprehensive needs assessments, identifying and implementing instructional strategies, and methods of instruction based on research and shown to be effective in addressing the findings of the comprehensive needs assessment, and analyzing the school budget so that resources are used effectively.

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;
Title I funds were appropriated by the Federal government as a formula/block grant. Grant No: S389A090011

(c) Whether matching funds are required, and, if so:

No new matching funds are required; however, there are Maintenance of Effort requirements for the existing non-ARRA Title I funds. The funds are currently available in the DOE's current service budget, but there is a concern that if the Department's general fund budget is further reduced, the MOE for non-ARRA funds may not be met.

- (i) Are they available;
- (ii) Have they been secured;
- (iii) If they have not been secured, why not; and
- (iv) Will the State be required to continue that match or provide increased/full funding in the future;

(d) If there are additional requirements to receive funds, what are they;

There are no additional requirements to receive these funds. However, if the Department substantially changes the use of NCLB Title I, Part A plans with the use of these funds an updated plan will need to be provided to the USDOE.

(e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

The total award was \$33,171,874 and must be obligated by the ending of the Federal Fiscal Year 2011.

(f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

[The Department uses a strategic project approach [to the use of these funds] to ensure that the funds are spent [strategically] to advance the Department's long term goals and [that funds] comply with federal guidelines.] A main goal of the Department's Strategic Plan is to close achievement gaps. One strategy is to offer continued instruction during the summer recess to promote ongoing learning.

Public input was solicited to improve project planning and program implementation.

[Projects were identified and rose to the ARRA Project Team based on the Assistant Superintendent's discussion with the appropriate program managers

who as part of their program administration of non-ARRA program funds in this area seek public input regarding program improvement.]

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

Proposed project plans are vetted by the ARRA Project Team placed within and headed by the Deputy Superintendent.

- (h) The criteria used to select activities for the program/project;

Proposed projects are funded based on meeting the ARRA Title I, Part A guidance by the USDOE and alignment with Department goals, strategies, and current need.

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

There was minimal opportunity for public notice or comment regarding the use of these funds due to the need to maximize the use of these funds in support of the Department's overall budgetary needs.

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

Standard Department procurement practices are followed.

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

Proposed project plans are vetted by the ARRA Project Team placed within and headed by the Deputy Superintendent. The ARRA Project Team regularly reviews these projects for compliance and implementation.

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

This program was held during the summer of 2009. The program was offered at 90 campuses statewide, with 8,018 students who participated. These students were supported by 1,146 staff members during the summer.

Below are the expenditures for the 2009 summer program:

ARRA Title I Grant award:	\$33,171,874
Funds Received/Invoiced:	\$3,284,797
Expenditures:	\$3,284,797

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

The Department anticipates improved academic performance by students in supported schools. Improved academic performance by students is a precursor to graduates who are college and career ready who in turn are employed at higher rates of pay increasing both individual and collective financial viability.

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

N/A

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

N/A

- (b) The effect of those barriers/constraints; and

- (c) If and how they were mitigated.

DEPARTMENT OF EDUCATION

Program: Child Nutrition Programs, Recovery Act

Award: \$348,600

Project Contact: Susan Uyehara RD, MPH,
Director of Office of HI Child Nutrition Program

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

ARRA Child Nutrition Programs Recovery Act: To assist in the purchase of food service equipment for School Food Authorities (SFA) participating in the National School Lunch Program (NSLP). Per USDA requirements, priority was given to SFAs with schools that had at least 50 percent of students who were eligible for free or reduced-priced school meals. The focus areas of the grant included: improving the quality of school food service meals to meet the dietary guidelines; improving the safety of food served in the school meal programs; improving the overall energy efficiency of the school food service operations; and supporting expanded participation in the school meal program.

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

The Child Nutrition Program was awarded as a competitive grant. Grant NO: 7H1340H13

(c) Whether matching funds are required, and, if so:

(i) Are they available;

(ii) Have they been secured;

(iii) If they have not been secured, why not; and

(iv) Will the State be required to continue that match or provide increased/full funding in the future;

No matching funds are required for the ARRA; however, there is an annual Maintenance of Effort requirement of \$185,843.00 which must be met to fulfill USDA requirements. These general funds are in the process of being secured by DOE and are required in the amount of \$185,843. The amount will not be increased.

(d) If there are additional requirements to receive funds, what are they;

The additional requirements apply to School Food Service Branch (SFSB). One of the eight awardees in that SFSB must obtain approval from the Governor's Office prior to initiating the equipment solicitation through the DOE procurement office.

- (e) **The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);**
All of the funds must be liquidated by September 30, 2011, the ending of the Federal Fiscal Year 2011.
- (f) **What criteria were used to identify the program/project as a priority and how does the program/project meet them;**
The solicitation process required the applicant to identify the areas of focus using the previously stated USDA focus or priority areas and how the school food authority and/or school would meet the criteria. The Office of Hawaii Child Nutrition Program is monitoring the SFAs progress thru routine reporting requirements.
- (g) **Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;**
Program funds were specific to schools/SFAs that meet the criteria and participated in the National School Lunch Program.
- (h) **The criteria used to select activities for the program/project;**
Criteria were identified in USDA guidance memos posted on OHCNP web site at <http://ohcnp.k12.hi.us/index.html>.
- (i) **Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;**
The application process for the funds was posted on the OHCNP web site at <http://ohcnp.k12.hi.us/index.html>; however, only School Food Authorities who participated in the National School Lunch Program with a 50% free and reduced price student population could apply. The Office of Hawaii Child Nutrition Program sent notices to all SFAs as well as posting on the web.
- (j) **Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;**
The solicitation process was made transparent to all bidders by posting it on the web. The funds were awarded based on merit and in a reasonable manner using a standardized screening tool and a committee of three persons comprised of two staff from the Office of Hawaii Child Nutrition program and one person (Public Health Administrative Officer) from a sister USDA program known as WIC participated in the screening process.
- (k) **Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;**
The Office of Hawaii Child Nutrition Program (OHCNP) followed all ARRA related USDA memos and participated in regional conferences calls with USDA officials and Western Region State Agency Directors who were administering the same grant to gather up to date information and grant management efforts to ensure that program integrity measures were

implemented. Status reports were provided to USDA on a regular basis including how OHCNP would provide programmatic and fiscal monitoring.

(l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

To date, the State of Hawaii Department of Education Office of Hawaii Child Nutrition Programs (OHCNP) has:

1. Issued an ARRA grant solicitation to SFAs participating in the NLSP
2. Received and scored ARRA grant applications from SFAs competitively
3. Awarded ARRA grants to SFAs participating in the NSLP
4. Transferred funds to SFAs with ARRA funds, via direct checks from the OHCNP

Per SF 425 certified and validated on 10/9/09 with USDA:

ARRA Child Nutrition Programs Grant award:	\$348,600
Federal Share of Expenditures:	\$ 23,734
Federal Share of Unliquidated Obligations:	\$321,912
Unliquidated balance of Federal Funds:	\$ 2,954

(m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

Unable to answer until project closes out. As of 10/9/09, \$23,734 have been liquidated for equipment, thereby positively impacting the food service equipment industry. Overall, the funds will positively impact food equipment retailers locally as well as those on the mainland. The long term impact to the National School Lunch Program in Hawaii will not be known until all equipment is in place and in use.

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

Three applications out of twelve were not funded because they did not meet the USDA criteria.

3. Please describe: NA

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;
- (b) The effect of those barriers/constraints; and
- (c) If and how they were mitigated.

DEPARTMENT OF EDUCATION

Program: IDEA Recovery Act

Award: \$39,925,269

Project Contact: Robert Campbell

1. **For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:**

(a) **A brief summary of the program/project, including goals;**

The *ARRA IDEA Part A Funds* are to be used to Drive School Reform and Improvement, which was intended to spark ideas on how schools and local educational agencies (LEAs) could use these one-time funds over the next 2 years to improve results for all students, including students with disabilities; accelerate reform; increase long-term capacity for improvement; avoid the funding cliff; and improve productivity.

One half (\$19,962,635) of the funds have been used to pay for autism spectrum disorder and school based behavioral health services for student eligible students between March 2009 and June 2009.

The remaining funds will be

- Used to develop and implement, including training, Coordinated Early Intervention Services (CEIS) typically referred to as Response to Intervention (RTI). The rationale for using IDEA funds for CEIS is based on research showing that the earlier a child's learning problems or difficulties are identified, the more quickly and effectively the problems and difficulties can be addressed and the greater the chances that the child's problems will be ameliorated or decreased in severity. Conversely, the longer a child goes without assistance, the longer the remediation time and the more intense and costly services. CEIS can benefit general education by reducing academic and behavioral problems in the general education environment. CEIS can also benefit special education by ensuring that students are appropriately referred to special education, which would reduce referrals for special education and related services for needs that could have been addressed with relatively simple general education interventions.
- Used to develop and implement, including training, Co-Teaching models that facilitate access for special education students to highly qualified general education core content instruction.
- Used to provide additional Extended Learning Opportunities (ELO) to special education students during significant breaks in instruction.

- Allocated to the Charter School Administrative Office (CSAO) for distribution to charter schools based on a pro-rated per special education student count.

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

IDEA funds were appropriated by the Federal government as a formula/block grant. Grant No: H391A090026

(c) Whether matching funds are required, and, if so:

No new matching funds are required; however, there is a Maintenance of Effort requirements for the existing non-ARRA IDEA funds. The funds are currently available in the DOE's current service budget, but there is a concern that if the Department's general fund budget is further reduced, the MOE for non-ARRA funds may not be met.

- (i) Are they available;**
- (ii) Have they been secured;**
- (iii) If they have not been secured, why not; and**
- (iv) Will the State be required to continue that match or provide increased/full funding in the future;**

(d) If there are additional requirements to receive funds, what are they?

These funds were awarded directly to the Department of Education. However if the Department substantially changes the use of IDEA funds, plans with the use of these funds an updated plan will need to be provided to the USDOE.

(e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

The total award was \$39,925,269 and all funding must be obligated by the ending of the Federal Fiscal Year 2011.

(f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

The Department is using a project approach to the use of these funds to ensure that the funds are being used strategically to advance the Department's long term goals and that funds are used in accordance with federal guidance. Projects were identified and rose to the ARRA Project Team based on Assistant Superintendent discussion with the appropriate program managers who as part of their program administration of non-ARRA program funds in this area seek public input regarding program improvement.

- (g) **Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;**

Proposed project plans are vetted by the ARRA Project Team placed within and headed by the Deputy Superintendent.

- (h) **The criteria used to select activities for the program/project;**

Proposed projects are funded based on meeting the ARRA Title I, Part A guidance by the USDOE and alignment with Department goals, strategies, and current need

- (i) **Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;**

There was minimal opportunity for public notice or comment regarding the use of these funds due to the need to maximize the use of these funds in support of the Department's overall budgetary needs. Projects identified and raised to the ARRA Project Team based on Assistant Superintendent discussions with the appropriate program managers who as part of their program administration of non-ARRA program funds in this area seek public input regarding program improvement.

- (j) **Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;**

Standard Department procurement practices are followed.

- (k) **Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;**

Proposed project plans are vetted by the ARRA Project Team placed within and headed by the Deputy Superintendent. The ARRA Project Team regularly reviews these projects for compliance and implementation.

- (l) **Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and**

Since the grant origination date of February 17, 2009 to September 30, 2009 445,834 hours of service has been delivered to students. The FTE calculation is 346.86.

ARRA IDEA Grant award:	\$39,925,269
Funds Received/Invoiced:	\$19,962.635
Expenditures:	\$19,962,635

The Department is finalizing the aforementioned plans for the remainder of the funds.

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.**

The Department anticipates improved academic performance by students in supported schools. Improved academic performance by students is a precursor to graduates who are college and career ready who in turn are employed at higher rates of pay increasing both individual and collective financial viability.

- 2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.**

N/A

- 3. Please describe:**

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;**

N/A

- (b) The effect of those barriers/constraints; and**

- (c) If and how they were mitigated.**

DEPARTMENT OF EDUCATION

Program: Education for Homeless Children and Youth (McKinney-Vento), Recovery Act

Award: \$175,966

Project Contact: Lisa DeLong

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

ARRA Education for Homeless Children & Youth (McKinney-Vento)

This project is to fund a mechanism to provide focused extended learning opportunities to struggling Free and Reduced Lunch eligible students within the department who attend selected Title I schools and who are living in homeless shelters. First and foremost, the intent of the program is to provide extended learning opportunities to students living in two (2) Maili homeless shelters and who are struggling to demonstrate grade level proficiency in English Language Arts and/or Mathematics as measured by the Hawaii State Assessment (HSA). The second objective of the program is to provide a stimulus to the local economy by increasing the number of persons employed during the summer who might well not be employed otherwise. Each of these objectives is consistent with Title I, Part A funds made available under ARRA whose declared goals are to “stimulate the economy in the short term and invest in education and other essential public services to ensure the long-term economic health of our nation.”

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

The Education for Homeless Children and Youth funds were appropriated by the Federal government as a formula/block grant. Grant No: S387A090012

(c) Whether matching funds are required, and, if so:

No new matching funds are required

(i) Are they available;

(ii) Have they been secured;

(iii) If they have not been secured, why not; and

(iv) Will the State be required to continue that match or provide increased/full funding in the future;

(d) If there are additional requirements to receive funds, what are they;

The additional requirements only apply to SFSF which was awarded to the Governor's Office.

(e) **The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);**
The total award was \$175,966 and all funding must be obligated by the ending of the Federal Fiscal Year 2011.

(f) **What criteria were used to identify the program/project as a priority and how does the program/project meet them;**

The Department is using a project approach to the use of these funds to ensure that the funds are being used strategically to advance the Department's long term goals and that funds are used in accordance with federal guidance.

(g) **Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;**

The Department is partnering with two homeless shelters in the Waianae/Nanakuli area to offer after-school homework centers at the shelters. The shelters are funded by federal and state funds.

(h) **The criteria used to select activities for the program/project;**

The program was developed to support students to improve reading and math skills to improve student achievement results as measured by the Hawaii State Assessment. Offering the program at the shelter extends the learning day for students, provides a place for students to complete homework and enrichment work with access to computers and other technology aids, and allows parents to learn skills to participate in learning along with their children.

(i) **Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;**

No, it was not a requirement of the application process.

(j) **Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;**

The Department worked within procurement rules to secure software for the program. Computers and technical assistance were donated to the program. Employees were hired following an interview process after the positions were widely advertised.

(k) **Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;**

Key Performance indicators were developed to establish specific and measurable goals, baseline data was set and is being tracked. There is no other program like this, that provides a homework center at homeless shelters.

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and**

ARRA Education for Homeless Children & Youth (McKinney-Vento)

Grant award: \$175,966

Funds Received/Invoiced: \$42,200 (received total grant but have only invoiced the \$42,200)

Expenditures: \$42,200

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.**

The Department anticipates improved academic performance by students in supported schools. Improved academic performance by students is a precursor to graduates who are college and career ready who in turn are employed at higher rates of pay increasing both individual and collective financial viability.

- 2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.**

N/A

- 3. Please describe:**

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;**

N/A

- (b) The effect of those barriers/constraints; and**

- (c) If and how they were mitigated.**

DEPARTMENT OF EDUCATION

Program: Special Education Preschool, Recovery Act

Award: \$1,061,069

Project Contact: Robert Campbell

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

ARRA Special Education Preschool will develop and implement training and support for special education staff and community preschool partners serving preschool age children with disabilities. A training and support network involving the use of technology to make training readily available to the intended audiences as well as regional and statewide professional learning communities to maintain and increase the knowledge base among existing Early Childhood Special Education (ECSE) teachers and supporting new ECSE teachers in the years to come

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

Special Education Preschool funds were awarded by the Federal government as a formula/block grant: C.F.C.A # 84/392, H392A090083, \$1,061,069.

(c) Whether matching funds are required, and, if so:

No new matching funds are required; however, there is a Maintenance of Effort requirement for the existing non-ARRA IDEA funds. The funds are currently available in the DOE's current service budget, but there is a concern that if the Department's general fund budget is further reduced, the MOE for non-ARRA funds may not be met.

- (i) Are they available;
- (ii) Have they been secured;
- (iii) If they have not been secured, why not; and
- (iv) Will the State be required to continue that match or provide increased/full funding in the future;

(d) If there are additional requirements to receive funds, what are they;

There are no additional requirements.

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

The total award was \$1,061,069 and all funding must be obligated by the ending of the Federal Fiscal Year 2011.

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

The Department is using a project approach to the use of these funds to ensure that the funds are being used strategically to advance the Department's long term goals and that funds are used in accordance with federal guidance. Projects were identified and rose to the ARRA Project Team based on Assistant Superintendent discussions with the appropriate program managers who as part of their program administration of non-ARRA program funds in this area seek public input regarding program improvement.

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

Proposed project plans are vetted by the ARRA Project Team placed within and headed by the Deputy Superintendent.

- (h) The criteria used to select activities for the program/project;

Proposed projects are funded based on meeting the ARRA Title I, Part A guidance by the USDOE and alignment with Department goals, strategies, and current need

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

There was minimal opportunity for public notice or comment regarding the use of these funds due to the need to maximize the use of these funds in support of the Department's overall budgetary needs. Projects were identified and rose to the ARRA Project Team based on Assistant Superintendent discussions with the appropriate program managers who as part of their program administration of non-ARRA program funds in this area seek public input regarding program improvement.

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

Standard Department procurement practices are followed.

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

Proposed project plans are vetted by the ARRA Project Team placed within and headed by the Deputy Superintendent. The ARRA Project Team regularly reviews these projects for compliance and implementation.

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

ARRA Special Education Preschool Grant award:\$1,061,069

The Department is currently finalizing the use of these funds and the roll out plan.

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

Unable to answer until project charters are approved, other than ELO Summer School

- 2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

N/A

- 3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

N/A

- (b) The effect of those barriers/constraints; and

- (c) If and how they were mitigated.

Legislative Federal Economic Stimulus Program Oversight Commission
Act 150, Session Laws of Hawaii
Hawaii Department of Education

Summary

The Hawaii Department of Education (Department) has received American Recovery and Reinvestment Act of 2009 funds from three sources. The largest amount was from the State Fiscal Stabilization Fund (SFSF) Part A, \$110,449,943 through the Office of the Governor. The other \$77,892,153 in additional Non-SFSF federal funds received directly from the US Departments of Education and Agriculture.

The SFSF funds were used to offset budget reductions past in Act 162 of the 2009 Hawaii Legislative session. Funds are being drawn down to meet payroll expenses.

The US Department of Agriculture (USDA) awarded the Office of Hawaii Child Nutrition Programs (OHNCP) which is administratively placed in the Department \$348,600. These funds are being used to assist in the purchase of food service equipment for School Food Authorities (SFA) participating in the National School Lunch Program (NSLP) in improving the quality of school food service meals to meet the dietary guidelines; improving the safety of food served in the school meal programs; improving the overall energy efficiency of the school food service operations; and supporting expanded participation in the school meal program. These funds were competitively awarded based on guidelines provided by the USDA.

All remaining funds were awarded to the Department by the US Department of Education (USDOE). These funds total \$77,543, 553. These funds were awarded within existing federal formula fund programs (i.e., Title I, Part A) and the uses of these funds are subject to the existing program regulations. These funds must be obligated by September 30, 2011.

The Department is attempting to strategically use these funds based on the following criteria:

1. Programs and activities that will increase student achievement and prepare students to be college and career ready upon graduation,
2. Programs and objectives consistent with the Department Strategic Plan 2008 – 2011,
3. Existing applicable federal regulations,
4. Actions required to meet the four assurances required in the ARRA State Fiscal Stabilization Fund application signed and submitted by Governor Lingle,
5. Projects and actions necessary to position the State of Hawaii favorably in the ARRA Race to the Top Grant competition and other USDOE discretionary grant competitions, and
6. Maximize economic benefit to the State of Hawaii.

DEPARTMENT OF EDUCATION

Program: Title IID: Education Technology, Recovery Act

Award: \$3,209,375

Project Contact: Robert Campbell

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

- (a) A brief summary of the program/project, including goals;
ARRA Title II D Education Technology funds are a one-time source of funds that supplement the approximately \$265 million of Ed Tech funds made available under the regular FY 2009 appropriation. The primary goal of the Ed Tech program is to improve student academic achievement through the use of technology in schools.

These funds will be used to develop and implement, including training on writing, benchmarking, and using formative assessment items, online formative assessment systems to provide teachers with data that can inform instruction on an ongoing basis as well as drive decisions related to curriculum development, instruction, and professional development; and implementing a learning management system (LMS) using commercial, open-source, or free software services (e.g., social software systems) to enable teachers to better manage instructional practices, organize subject-matter content, and support classroom communication and collaboration.

- (b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

The ARRA Title II D Education Technology funds were appropriated by the Federal government as a formula/block grant. The award number is S386A090011, CFDA # 84.386 for \$3,209,375.

- (c) Whether matching funds are required, and, if so:
No new matching funds are required.
- (i) Are they available;
 - (ii) Have they been secured;
 - (iii) If they have not been secured, why not; and
 - (iv) Will the State be required to continue that match or provide increased/full funding in the future;

- (d) If there are additional requirements to receive funds, what are they;

There are no additional requirements to receive these funds.

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

The total award was \$3,209,375 and all funding must be obligated by the ending of the Federal Fiscal Year 2011.

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

The Department is using a project approach to the use of these funds to ensure that the funds are being used strategically to advance the Department's long term goals and that funds are used in accordance with federal guidance. Projects were identified and rose to the ARRA Project Team based on Assistant Superintendent discussion with the appropriate program managers who as part of their program administration of non-ARRA program funds in this area seek public input regarding program improvement. These funds are the only remaining funds available to develop and implement the aforementioned formative assessment necessary to provide teachers with timely meaningful information regarding student progress in English Language Arts and mathematics as well as meet ARRA Race to the Top criteria.

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

Proposed project plans are vetted by the ARRA Project Team placed within and headed by the Deputy Superintendent.

- (h) The criteria used to select activities for the program/project;

Proposed projects are funded based on meeting the ARRA Title II, Part D guidance by the USDOE and alignment with Department goals, strategies, and current need.

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

There was minimal opportunity for public notice or comment regarding the use of these funds due to the need to maximize the use of these funds in support of the Department's overall budgetary needs. Consultation with the Charter School Administrative Office was conducted regarding the use of these funds.

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

Standard Department procurement practices are followed. The Department is finalizing a competitive bid competition.

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

Proposed project plans are vetted by the ARRA Project Team placed within and headed by the Deputy Superintendent. The ARRA Project Team regularly reviews these projects for compliance and implementation.

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

No funds have been expended at this time. The Department is finalizing a competitive bid competition to purchase the necessary software and hardware. Over 1,000 English language arts and mathematics assessment items have been aligned to the Hawaii Content and Performance Standards III 2-8 benchmarks.

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

The Department anticipates improved academic performance by students in supported schools. Improved academic performance by students is a precursor to graduates who are college and career ready who in turn are employed at higher rates of pay increasing both individual and collective financial viability.

- 2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

N/A

- 3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

N/A

- (b) The effect of those barriers/constraints; and

- (c) If and how they were mitigated.