

# Presentation to the Legislative Federal Economic Stimulus Program Oversight Commission

Hawaii Department of Human Services

December 15, 2009

Henry Oliva, Deputy Director

# DHS Summary

- \$183,731,666 awarded as of September 30, 2009
- 8 Notifications of Awards
- 1 Application pending (BESSD/TANF)
- No applications denied

# Funding Sources

Department of Health and Human Services - Center for Medicare and Medicaid Services (CMMS)			<b>\$171,369,033</b>
Department of Health and Human Services - Administration for Children and Families (ACF)	\$ 6,448,715 \$ 1,865,383 \$ 365,640		<b>\$ 8,679,738</b>
Department of Agriculture – Food & Nutrition Service (FNS)			<b>\$ 1,102,949</b>
Department of Education - Office of Special Education and Rehabilitation Services (OSE/RS)	\$ 2,249,150 \$ 87,883 \$ 242,913		<b>\$ 2,579,946</b>

# Award Purposes

- Most are supplemental funds to existing programs
- Medicaid Federal Medical Assistance Percentage – Increased unemployment rate
- CCDF - Child Care Development Funding for childcare subsidies and quality improvement
- Child Protective Service Payments – Funding for payment programs in CWS to ensure safety and permanency of children in in-home and out of home placements
- SNAP - Additional funding for Food Nutrition
- VR Services – Support for statewide VR State Plan for FY 2009-2010 and funding for independent living services to our disabled population

# Clients Served

- MQD FMAP → 234,699 individuals
- Child Care Subsidies → 7,700 families
- IV-E Adoption Assistance → 3,833 children
- IV-E Foster Care Payments → 4,267 children
- SNAP Outreach → 300 applications
- VR (3) → 636 individuals  
(58 students)

# Sub-recipients

- Largest group to benefit from DHS ARRA funding are direct client payments
- Private sector and non-profit social service delivery agencies also receive some ARRA funds
- DHS absorbs some administrative overhead for ARRA grants management maximizing available funding for the community

# Internal Controls

- DHS ARRA Oversight Workgroup
  - ARRA Coordinator
    - Division Administrator
    - Contracts Specialist
  - DHS ARRA Team:
    - Grants Manager
    - Procurement Officer
    - Fiscal Officer
    - Accounting Specialist
  - Convenes monthly or as needed
  - Recommends corrective actions on issues, provides internal technical support, identifies best practices, and ensures regulatory compliance
  - Consultation with SPO as necessary
  - Escalates issues as needed

# Internal Controls, cont'd

- ARRA-related expenses are identified for fast tracking
  - “V” fund designation
  - Identified each grant for special handling
  - Record-keeping is segregated
  - Account reconciled to Financial And Management Information System (FAMIS – State accounting system)



# Public Input

- Child Care Grant
  - Child Care Advisory Committee and Early Learning Council informed of grant requirements
- VR Grants
  - Provided through established councils focused on Independent Living
  - Public hearing on FFY 2009-2010 State Plan held May 2009

# Inter-Departmental Collaborations

- MQD FMAP
  - Department of Health and Department of Education
- Most funds provided for specific purposes

# ARRA Section 1512 of the Act

## Reporting Requirements

- A Comprehensive list of programs subject to federal reporting
  - Four (4) were identified
- Other programs identified as not being subject to the reporting guidelines
- Additional exceptions are entitlement or other mandatory programs, loan guarantees and awards to individuals also were not subject to the reporting requirements
  - The department has four (4) that are in this group
  - ***Although not required under ARRA Section 1512 reporting, each program must still meet Federal compliance reporting requirements***

# Lessons Learned

- Federal
  - Grants.gov not 100% reliable for timely information
  - Subject-matter expert areas need to continually review federal agency communications
- State
  - Implementation of early awards delayed due to evolution of requirements
  - Ensure registration with Federal grants system well in advance to facilitate reporting and application

# Questions and Follow-up

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LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
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Department of Human Services – Med-QUEST Division  
HMS -401  
Award ID – 05-0905HIARRA  
CFDA 93.778

ARRA quarterly grant awards provide the increased Federal Medical Assistance Percentage (FMAP) amounts in addition to the regular grant awards that the program receives from the Centers for Medicare and Medicaid Services (CMS). This temporary increase in the funds that the Federal Government contributes toward Hawaii's Medicaid program is meant to protect people whose eligibility for Medicaid might otherwise be at risk if State budget shortfalls result in Medicaid cutbacks. This investment will also generate considerable state economic activity, jobs and wages.

**DEPARTMENT/AGENCY QUESTIONNAIRE**

**Instructions:** Please use this MS Word document as a template, entering your answers below the corresponding number/letter. The commission is cognizant of the numerous reporting requirements of ARRA and does not wish to burden your Department or agency with duplicative requests for information where possible. Please use information that you have all ready reported to the Federal government, as applicable.

If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:
  - (a) A brief summary of the program/project, including goals;

HMS 401 Health Care Payments - Medicaid is a federal program created by Congress in 1965 that, along with state matching funds, provides access to medical care for the low-income population. The State Medicaid program provides health insurance through two major managed care programs called QUEST and QUEST Expanded Access (QExA) for over 230,000 individuals. Other Medicaid programs include the Medicaid Fee for Service, QUEST-Net, QUEST-ACE, Transitional Medical Assistance (TMA), Children's Health Insurance Program (CHIP), Federal and State-funded coverage of Breast and Cervical Cancer treatments, and Special Programs for Medicare Beneficiaries. Currently, the program has an annual budget of over \$1.3 B in federal and state funds. The ARRA funds provide state fiscal relief with a temporary increase in the Medicaid Federal Medical Assistance Percentages (FMAP), based primarily on the state's unemployment rates.

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- (b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

ARRA quarterly grant awards provide the increased FMAP percentage amounts in addition to the regular grant awards that the program receives from the Centers for Medicare and Medicaid Services (CMS).

- (c) Whether matching funds are required, and, if so:
- (i) Are they available; Additional funding has been provided by the 2009 Legislature.
  - (ii) Have they been secured; Appropriated in the FB 2009 – 2011 biennium budget.
  - (iii) If they have not been secured, why not; N/A

and

- (iv) Will the State be required to continue that match or provide increased/full funding in the future; The state matching amount decreased from approximately 45.89% to 32.65 % during most of the ARRA stimulus period (nine quarters starting 10/1/2008) that ends December 31, 2010.
- (d) If there are additional requirements to receive funds, what are they ?

There are additional requirements for a state to meet to be eligible for the increased FMAPs. They are:

1. The state's unemployment level increased at least 1.5 % points.
  2. State must maintain the level of maintenance of effort (MOE) (eligibility standards, methodology or procedures) under its state plan or any waiver that was in place as of June 1, 2008 during the ARRA stimulus period.
  3. The State meets the prompt pay requirements.
  4. State cannot use any amounts attributable (directly or indirectly) to the increased FMAP to be "deposited or credited into any reserve or rainy day fund of the State."
  4. State cannot require any political subdivision to pay a greater percentage of the non-federal share of Medicaid expenditures.
- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010); Quarterly awards must be expended within the federal fiscal year period in which they are awarded.
- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them; N/A

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- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

Funding levels were appropriated by the 2009 Legislature to the state Med-QUEST Division, Department of Human Services and other state departments affected by ARRA Medicaid funding. The MQD worked with affected state departments (mainly the Departments of Health and Education) related to the increased ARRA FMAP to coordinate expenditures, claims and other requirements.

- (h) The criteria used to select activities for the program/project; *N/A*
- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why; *Legislative public hearing process*
- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner; *N/A*
- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse; *Regular CMS quarterly reporting requirements include separate ARRA financial reporting requirements.*
- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; *Awards made on a quarterly basis at the same time as the regular CMS funding awards.*

and

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project. *Increased ARRA FMAP funds are used for the Medicaid Program as well as other state programs. As of September 30, 2009, ARRA awards total \$146,909,647 of which approximately \$146,542,735 has been expended.*
2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied. *N/A*



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3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements; [One MOE issue was corrected in June 2009 to maintain Hawaii's compliance with the Federal government requirements.](#)
- (b) The effect of those barriers/constraints; and [Drawing down of Federal ARRA funds were delayed until the June 2009 quarter.](#)
- (c) If and how they were mitigated. [Administrative rules were amended to maintain Hawaii's eligibility for ARRA funds.](#)

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Department of Human Services – BESSD Division  
**DEPARTMENT/AGENCY  
QUESTIONNAIRE**

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Instructions: Please use this MS Word document as a template, entering your answers below the corresponding number/letter. The commission is cognizant of the numerous reporting requirements of ARRA and does not wish to burden your Department or agency with duplicative requests for information where possible. Please use information that you have all ready reported to the Federal government, as applicable.

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If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

ARRA Award ID: G0901HICCD7  
CFDA #:93.713

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Program Description: Award funds are used to pay for child care subsidies to eligible families to enable them to pursue employment, education and training, and to improve the quality of child care to support the health and well-being of children

**DEPARTMENT/AGENCY QUESTIONNAIRE**

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1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

- (a) A brief summary of the program/project, including goals;

The ARRA funds for the Child Care and Development Block Grant (CCDBG), Child Care and Development Fund (CCDF) Discretionary Grant Award funds are used to pay for child care subsidies to eligible families to enable them to pursue employment, education and training, and to improve the quality of child care to support the health and well-being of children

- (b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

The funds were awarded as a Block Grant.

- (c) Whether matching funds are required, and, if so:

- (i) Are they available;  
(ii) Have they been secured;

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- (iii) If they have not been secured, why not; and
- (iv) Will the State be required to continue that match or provide increased/full funding in the future;

Not Applicable.

- (d) If there are additional requirements to receive funds, what are they;

Not Applicable.

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

The Department received \$6,448,715 and we must obligate the funds by September 30, 2010 and liquidate the funds by September 30, 2011.

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

The program priorities are set by the Federal law.

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

The Department is the Single State Agency responsible for the administration of the funds. We are complying with all the Federal requirements.

- (h) The criteria used to select activities for the program/project;

The funds can only be used for child care subsidies and improvement of quality of child care.

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

The ARRA funds can only be used for the purposes providing child care subsidies and improving the quality of child care. The Department informed the Child Care Advisory Committee and the Early Learning Council of these requirements.

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

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Not Applicable.

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

The Department is designated by the Governor as a Single State Agency responsible for the administration of CCDBG. The child care subsidies are authorized and issued through an electronic case management and payment processing system (HANA) by the DHS staff. In the case of TANF recipients and other low-income recipients the payments are issued by our contractors.

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

Currently, \$5.2 million of the \$6,448,715 (approximately 81%) has been expended from the ARRA funds to cover the budget shortfalls in our child care payment program. We plan to utilize the remaining funds to pay for existing child care quality efforts.

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

The ARRA requirements for CCDBG funds do not require or expect job creation. However, it does assist our low-income families through the provision of subsidies to expand or continue to provide services to children and families facing difficult economic times.

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

Not Applicable.

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

Not Applicable.

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- (b) The effect of those barriers/constraints; and

Not Applicable.

- (c) If and how they were mitigated.

Not Applicable.

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**Department of Human Services**

**HMS 303**

**Award # ACYF – CB-PI-09-03**

**CFDA# 93-659**

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

**Adoption Assistance- Title IV-E funds.**

This payment program supports the overall goal of the Child Welfare Services (CWS) Branch to ensure the safety and permanency of children in their own homes, or, when removal is necessary, in out-of-home placements. By setting and maintaining reimbursement rates for children's basic living costs, the program gives adoptive substitute caretakers the means to provide an adequate standard of living for children in their care.

The program meets its goal by providing payments to support subsidies to facilitate adoption for children with special needs. Payments also cover basic daily living needs and other essentials such as clothing, transportation to school

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

The Foster Care- Title IV-E funds were awarded as a block grant to the State.

(c) Whether matching funds are required, and, if so:

- (i) Are they available;
- (ii) Have they been secured;
- (iii) If they have not been secured, why not; and
- (iv) Will the State be required to continue that match or provide increased/full funding in the future;

No matching funds are required.

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- (d) If there are additional requirements to receive funds, what are they;

NA

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

The State was awarded the following funds:

- Adoption Assistance \$1,865,383

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

NA

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

NA

- (h) The criteria used to select activities for the program/project;

NA

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

The funds were provided for a specified purpose.

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

NA

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

NA

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- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

The funds have been obtained and the following expenditures made as of 9/30/09:

- Adoption Assistance \$1,697,431

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

NA

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

NA

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

The funds must be expended for foster board or adoption assistance payments.

- (b) The effect of those barriers/constraints; and

NA

- (c) If and how they were mitigated.

NA



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**DEPARTMENT/AGENCY QUESTIONNAIRE**

**Department of Human Services**

**HMS 303**

**Award # ACYF – CB-PI-09-03**

**CFDA# 93-658**

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

**Foster Care- Title IV-E funds.**

This payment program supports the overall goal of the Child Welfare Services (CWS) Branch to ensure the safety and permanency of children in their own homes, or, when removal is necessary, in out-of-home placements. By setting and maintaining reimbursement rates for children's basic living costs, the program gives substitute caretakers the means to provide an adequate standard of living for children in their care.

The program meets its goal by providing payments to support the following: foster care, emergency shelter, children living with permanent custodians or legal guardians, foster youth attending higher education, and subsidies to facilitate adoption for children with special needs. Payments also cover basic daily living needs and other essentials such as clothing, transportation to school, and visitation services to facilitate reunification or to prevent out-of-home placement.

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

The Foster Care- Title IV-E funds were awarded as a block grant to the State.

(c) Whether matching funds are required, and, if so:

(i) Are they available;

(ii) Have they been secured;

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- (iii) If they have not been secured, why not; and
- (iv) Will the State be required to continue that match or provide increased/full funding in the future;

No matching funds are required.

- (d) If there are additional requirements to receive funds, what are they;

NA

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

The State was awarded the following funds:

- Foster care \$365,640

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

NA

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

NA

- (h) The criteria used to select activities for the program/project;

NA

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

The funds were provided for a specified purpose.

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

NA

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- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

NA

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

The funds have been obtained and the following expenditures made as of 9/30/09:

- Foster Care \$321,823

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

NA

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

NA

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

The funds must be expended for foster board or adoption assistance payments.

- (b) The effect of those barriers/constraints; and

NA

- (c) If and how they were mitigated.

NA

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**Department of Human Services – BESSD Division**

ARRA Award ID: 7HI440HI4

CFDA #: 10.561

Program Description: The Supplemental Nutrition Assistance Program (SNAP) is the cornerstone of the Nation's nutrition safety net. SNAP helps low-income people and families buy the food they need for good health.

**DEPARTMENT/AGENCY QUESTIONNAIRE**

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

The Supplemental Nutrition Assistance Program (SNAP) is the cornerstone of the Nation's nutrition safety net. SNAP helps low-income people and families buy the food they need for good health. It is the SNAP's goal in Hawaii to ensure that every eligible individual or household is receiving these important benefits and that those benefits are provided in a timely, accurate, and dignified manner.

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

Awarded as a formula block grant.

(c) Whether matching funds are required, and, if so:

(i) Are they available;

(ii) Have they been secured;

(iii) If they have not been secured, why not; and

(iv) Will the State be required to continue that match or provide increased/full funding in the future;

Matching funds not required.

(d) If there are additional requirements to receive funds, what are they; **None**

(e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

FFY 2009 = \$548,627 – must be expended by 12/31/09

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FFY 2010 = \$554,322 – must be expended by 12/31/10

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

N/A

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

N/A

- (h) The criteria used to select activities for the program/project;

The ARRA funds are being used to fund budget shortfalls in contract obligations, purchase equipment necessary for video conferencing, and to fund new SNAP initiatives in Outreach and telephone interviewing.

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

Public notice was provided for the Outreach initiatives to secure contractor services.

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

All Requests for Information were posted on the SPO website as required.

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

All contracts are closely monitored by a program specialist to ensure contract compliance.

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

All funds for FFY 2009 have been expended. We are in the process of encumbering approximately 60% of the FFY 2010 funds for Outreach and

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Nutrition Education contracts. The remaining ARRA funds will be obligated and expended at a later date for purchases related to the Disaster SNAP program.

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

No direct creation of jobs, although contracted agencies have hired staff to fulfill outreach services for the contract period. Ensuring that every Hawaii resident that is eligible for SNAP is actually receiving this important benefit is economically beneficial to the applicant's household, but is also an economic benefit to the community as per USDA research every \$5 in new SNAP benefits generates \$9.20 in additional community spending.

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

N/A

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;
- (b) The effect of those barriers/constraints; and
- (c) If and how they were mitigated.

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**DEPARTMENT/AGENCY QUESTIONNAIRE**

**Department of Human Services  
Vocational Rehabilitation and Services for the Blind Division  
December 4, 2009**

**ARRA Award Number: H390A090015**

**CFDA Number: 84.390**

**Program Description: Vocational Rehabilitation Services for Individuals With Disabilities**

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

Assist in operating statewide comprehensive, effective, efficient and accountable programs of vocational rehabilitation, which are an integral part of a statewide workforce investment system; and designed to assess, plan, develop and provide vocational rehabilitation services for individuals with disabilities, consistent with their strengths, resources, priorities, concerns, abilities, capabilities, interests, and informed choice, so that such individuals may prepare and engage in gainful employment.

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

ARRA Award Number H390A090015 was awarded as a formula grant.

(c) Whether matching funds are required, and, if so:

Matching funds are not required.

(i) Are they available;

(ii) Have they been secured;

(iii) If they have not been secured, why not; and

(iv) Will the State be required to continue that match or provide increased/full funding in the future;

(d) If there are additional requirements to receive funds, what are they;

There are no additional requirements to receive funds.

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- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

The total amount of the award is \$2,249,150.00 all of which has been received and must be expended by FFY 2010 – 2011.

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

The programs/projects listed in the FFY 2009 – 2010 State Plan which was approved by the Rehabilitation Services Administration, RSA, was the criteria used to identify the programs/projects.

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

N/A

- (h) The criteria used to select activities for the program/project;

The FFY 2009 – 2010 State Plan was based upon the annual statewide comprehensive needs assessment, input from the Public and the State Rehabilitation Council.

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

A public hearing on the FFY 2009 – 2010 State Plan was held in May 2009.

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

Participated in ARRA Federal training/webinars, closely monitoring guidelines for this specific grant award, VR, to maintain transparency and compliance with Federal Act.

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

N/A



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- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

The entire award has been obtained in the amount of \$2,249,150.00. As of 9/30/09, \$597,097.79 of the award has been expended.

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

We did not directly create/save any jobs within our division, however, we did place approximately 55 students with disabilities into paid summer work experiences or internship, some of which were offered permanent employment!

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

There were no other funds for other programs/projects that were available to apply for.

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

None

- (b) The effect of those barriers/constraints; and

N/A

- (c) If and how they were mitigated.

N/A

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**DEPARTMENT/AGENCY QUESTIONNAIRE**

**Department of Human Services  
Vocational Rehabilitation and Services for the Blind Division  
December 4, 2009**

**ARRA Award Number: H399A090011**

**CFDA Number: 84.399**

**Program Description: Independent Living Services for Older Individuals Who Are Blind**

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

A state program to provide independent living services to older individuals who are blind, conduct activities that will improve or expand such services and help improve public understanding of the problems of such individuals.

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

Awarded as a formula grant.

(c) Whether matching funds are required,

Yes required.

and, if so:

Are they available; Yes.

(ii) Have they been secured; Yes.

If they have not been secured, why not; N/A.

and

Will the State be required to continue that match or provide increased/full funding in the future; match is required until ARRA funds are used in full for projects designated.

No.

(d) If there are additional requirements to receive funds, what are they; N/A.

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(e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

\$87,883.00

(f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

Following ARRA guidelines of services to be provided and maintaining compliance with the Federal Rehabilitation act.

(g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;  
N/A

(h) The criteria used to select activities for the program/project;

Based on Rehabilitation Act and Older Individuals who are Blind (OIB) grant requirements.

(i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

Federally the designated agency is required to seek public input through established state councils focused on Independent Living services; this was done.

(j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;  
N/A

(k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

Participated in ARRA Federal training/webinars, closely monitoring guidelines for this specific grant award; to maintain transparency and compliance with Federal Act.

(l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

100% of the ARRA funds have been received. Project has been completed and all funds have been used as of ending quarter 9/30/2009.

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(m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

2.0 FTE saved. OIB consumers served have gained independent living skills allowing for family members to return to gainful employment and reduce cost of care due to the independence of individual now enabled to live independently or within family home rather than care facility.

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

N/A

3. Please describe:

N/A

(a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

No.

(b) The effect of those barriers/constraints; and

N/A

If and how they were mitigated.

N/A

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**DEPARTMENT/AGENCY QUESTIONNAIRE**

**Department of Human Services  
Vocational Rehabilitation and Services for the Blind Division  
December 4, 2009**

**ARRA Award Number: H398A090016**

**CFDA Number: 84.398**

**Program Description: Independent Living Services to Individuals with Significant Disabilities**

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

A state program to provide independent living services to individuals with significant disabilities, support the operation of centers for independent living and the Statewide Independent Living Council, provide training on the independent living philosophy and outreach to unserved and underserved populations, conduct studies and analysis and present information to policymakers to enhance independent living services, and support activities that increase the capacity of independent living service providers.

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

Awarded as a formula grant.

(c) Whether matching funds are required,

No.

and, if so:

(i) Are they available; N/A.

(ii) Have they been secured; N/A.

If they have not been secured, why not; N/A.

and

Will the State be required to continue that match or provide increased/full funding in the future; match is required until ARRA funds are used in full for projects designated. N/A

(d) If there are additional requirements to receive funds, what are they; N/A.

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(e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

Total received: \$242,913.00

(f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

Using goals and Priorities set forth in the State Independent Living Plan, which are determined through an annual statewide comprehensive needs assessment. Maintaining compliance with the Federal Rehabilitation act.

(g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

To serve according to the Rehab Act, internal agency resources were first determined and then proper state procurement processes were used to retain specific services needed to complete projects.

(h) The criteria used to select activities for the program/project;

Based on Rehabilitation Act and Independent Living plans goals and priorities.

(i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

Federally the designated agency is required to seek public input through established councils focused on Independent Living services; this was done.

(j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

Followed State Procurement guidelines.

(k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

Participated in ARRA Federal training/webinars, closely monitoring guidelines for this specific grant award to maintain transparency and compliance with Federal Act.

(l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

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100 % of funds have been received; \$13,821.30 has been expended and less than 25 % of projects have been completed.

(m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

The long term affects of the projects is the abilities of individuals with disabilities to live and work independently. This in turn creates gainful employment, tax paying citizens no longer living on social welfare and full integration into community through a quality of independent and interdependent life styles.

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

N/A

3. Please describe:

N/A

(a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

No.

(b) The effect of those barriers/constraints; and

N/A

If and how they were mitigated.

N/A

Presentation to the Legislative  
Federal Economic Stimulus Program  
Oversight Commission  
December 15, 2009

Hawaii Public Housing Authority  
Federal Capital Fund Grant Program  
Grant no. HI08S000150109 CFDA #14.885



# HPHA's Grant Summary

- Capital Fund Program Grant
- Grant amount \$16,245,443, awarded to HPHA  
March 18, 2009
- Grant award no. HI08S000150109
- CFDA no. 14.885

# Award Purposes

- Capital repairs to low-income public housing developments and construction management costs
- Majority of the funds will target 6 public housing sites on Oahu, Maui, Hawaii
- Priorities include rehabilitation of unit repairs; projects in the 5-year Capital Fund Plan; projects identified in HPHA's physical needs assessment

# Public Input/Comments

- HPHA complied with regulatory comment requirements for the Capital Funds
- Accepted public testimony at its regular monthly Board meeting
- Accepted comments from its Resident Advisory Board and the general public on the Five Year and Annual PHA Plan
- Published a notice in the newspaper requesting public comments on proposed uses

# Internal Controls

- ARRA Coordinator
  - Reports to the Office of the Executive Director
  - Reports all obligations and expenditures to the U.S. Department of Housing and Urban Development monthly
  - Coordinates all responses on ARRA related issues
- Capital Planning
  - ARRA Coordinator, Fiscal, Procurement Officer, Property Management, Construction Management
  - Meet monthly to review projects, updates, compliance issues, and corrective actions
  - Board of Directors adopted/amended the HPHA's Statement of Procurement Policy to comply with ARRA requirements
  - Department of the Attorney General provided specific contractual language to comply with ARRA requirements

# Internal Controls (cont.)

- Awarding Agency: U.S. HUD Oversight
  - Requires Five Year and Annual Plan adopted by the Board, after public hearings on the plan
  - Requires prior HUD review and approval on all contracts and payments
  - Requires reporting on FedReporting.Gov and RAMPS, and the regular monthly financial reporting to HUD via electronic submittals
  - Conducting field audit of HPHA to be completed by the end of December 2009
- Fiscal Review
  - The HPHA will manage ARRA funds using the FAMIS Financial And Management Information System (FAMIS – State accounting system) and HUD electronic Line of Credit Control system
  - HPHA will use a specific account code to designate funds as ARRA

# Status Update

- **Hale Hauoli: Bid opening January 2010**  
Exterior/site improvements, ADA and interior repairs for 9 residential buildings and community building



Honokaa, Hawaii  
Family Project

Total Units: 40  
24 Studio  
16 one-bedroom

# Status Update (cont.)

- **Kahekili Terrace. Bid opening January 2010**  
physical improvements: replacement of solar hot water systems, roof repairs, exterior repairs, plumbing, electrical



Wailuku, Maui  
Family Project

Total Units: 82  
12 one-bedroom  
22 two-bedroom  
36 three-bedroom  
12 four-bedroom

# Status Update (cont.)

- **Kaimalino & Kealakehe. Contract Execution**  
**January 2010** physical improvements: roof repairs, interior repairs, site improvements



Kailua-Kona, Hawaii  
Family Projects

Kaimalino: 40 units  
Kealakehe: 48 units



# Status Update (cont.)

- **Kalanihuia. Bid opening January 2010**

Roof and elevator lobby improvements



Honolulu, Oahu  
Elderly High Rise

Total Units: 151  
60 Studio units  
90 one-bedroom  
1 three-bedroom

# Status Update (cont.)

- **Makani Kai Hale. Bid opening January 2010**  
Physical improvements: roof replacement, interior and, exterior repairs



Waiehu, Maui  
Family Project

Total Units: 29  
All three-bedroom units

# Status Update (cont.)

- **Makua Alii. Bid opening January 2010**  
Exterior spalling repairs, roof replacement, unit repairs



Honolulu, Oahu (Kalakaua Ave.)  
Elderly High Rise

Total Units: 211  
210 one-bedroom  
1 three-bedroom

# Lessons Learned

- Federal
  - Grants.gov not 100% reliable for timely information
  - HPHA received information from several industry associations, not necessarily from the granting agency
- State
  - Implementation of awards delayed due to evolution of reporting requirements

# Questions and Follow-up

For Further Information:

Alan Sarhan

Planning and Evaluation Office

Hawaii Public Housing Authority

(808) 832-4690

DEPARTMENT OF HUMAN SERVICES

- Award ID: S09-DY-15-0001

Program: Homeless

- CFDA#: 14.257

Program contact: Sandra J. Miyoshi

- Financial assistance to prevent homelessness and provide rapid re-housing

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:
  - (a) A brief summary of the program/project, including goals;  
**The HPRP funds are intended to prevent homelessness by assisting two populations facing housing instability: 1) individuals and families who are currently in housing but are at imminent risk of becoming homeless and need temporary financial assistance to prevent them from becoming homeless, or assistance to move to another unit (prevention); and 2) individuals and families who are experiencing homelessness and need temporary assistance in order to obtain housing and retain it (rapid re-housing). Eligible activities under HPRP include: 1) financial assistance (short and medium-term rental assistance, security and utility deposits, utility payments, motel/hotel vouchers); 2) housing relocation and stabilization services (case management, outreach and engagement, housing search and placement, legal services, credit repair); and 3) data collection and evaluation.**
  - (b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;  
**Funds were awarded on a formula basis wherein the State's award is specifically for the three rural counties.**
  - (c) Whether matching funds are required, and, if so:
    - (i) Are they available;
    - (ii) Have they been secured;
    - (iii) If they have not been secured, why not; and
    - (iv) Will the State be required to continue that match or provide increased/full funding in the future;**No matching funds are required.**
  - (d) If there are additional requirements to receive funds, what are they;  
**The State was required to substantially amend its annual Consolidated Plan for 2009, and receive approval of the amendment from the Housing and Urban Development.**
  - (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);  
**The total amount of funding is \$2,166,888, which must be expended in SFY 2010-2012**

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;  
**Homeless prevention and rapid re-housing are already top priorities in the Hawaii Strategic Plan to End Homelessness. The State also has programs that provide homeless prevention grants and Housing Placement assistance. This federal initiative provides the State with additional resources to accomplish the proactive priority of homeless prevention.**
- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;  
**The State worked with the continuum of care consortium called Bridging the Gap which meets every other month for half a day. The members include the representatives of the three rural county governments and chairpersons of the three neighbor island county community based planning groups: Maui Homeless Alliance, Community Alliance Partners (Hawaii County) and Kauai Continuum of Care. Representatives of the three counties developed the scope of the program and reviewed all applications for funding pursuant to a Request for Proposals. They provided their recommendations for funding which was incorporated into the funding awards.**
- (h) The criteria used to select activities for the program/project;  
**The Federal Government provided a very specific list of eligible activities for the program. All eligible activities listed by the Federal Government were included in the local programs.**
- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;  
**Bridging the Gap was consulted about the funding opportunity and members helped to design the program and send out information relating to the program. A Request for Information (RFI) was then issued to seek public comment and provide notice of funding availability. The RFI was widely distributed in the rural communities through the Continuum of Care groups as well as through the County agents serving with Bridging the Gap. Comments were received and reviewed and questions answered. The Request for Proposals followed with a period allotted for questions and answers, prior to acceptance of proposal applications.**
- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;  
**Each County Continuum of Care group provided one person to serve on the review panel for a total of three from the counties and one from the State. Teleconferences were held among the reviewers to brief all and to provide for discussion on the proposals received. All of the proposals were subject to the same scoring review as cited in the Request for Proposal. All reviewers came**

to consensus on the awards prior to notification of the applicants. The evaluation and grading are part of the public record for any interested party to review.

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;
- (1) The allowable uses and the goals of the award are very narrow. Nonprofit agencies already engaged in community services for the homeless and homeless-at-risk applied for grants to provide financial assistance in each of their island communities. The grant application review committee made awards to provide geographical access to assistance for all rural communities.**
- (2) Aloha United Way was solicited to provide screening of all applicants for financial assistance to ensure that the applicants had not already availed themselves of the same assistance from another provider agency. Additionally, the Department of Human Services Homeless Programs (DHS-HPB) will provide ongoing oversight of the contracted provider agencies to ensure the funds are used for authorized purposes.**
- (3) The provider agencies are contracted to require documentation of need; make checks for financial assistance out to the landlords and not the renter; and maintain ongoing case management services with clients. Additional oversight of provider agencies' file documentation and payment requests is provided by the staff of DHS-HPB. No agency will be permitted to exceed their contract budgets.**
- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and  
**All of the funds have been awarded and encumbered within the HUD deadline of September 30, 2009. The funded agencies are in the very beginning process of expending the funds, so no reimbursement requests have yet been received.**
- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.  
**The Homelessness Prevention and Rapid Re-housing program (HPRP) funding is a boon to the State where many families are experiencing economic distress in terms of lost jobs, reduced hours of employment, and reductions in entitlement programs. The HPRP program provides financial assistance to keep families stabilized through financial crisis. The program is expected to provide 786 families with rental and other financial assistance to keep families housed and 3,011 households with housing relocation/stabilization over the next 12 months.**



2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

**Thus far, no other competitive funding opportunities have been made available to address housing for the homeless or financial assistance for the homeless at risk.**

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

**Several operational barriers were encountered with regard to the Homeless Management Information System (HMIS) reporting requirements, the late federal publication of additional reporting requirements, and the glitches encountered in IDIS, the HUD database for setting up activities and payments for the stimulus funds.**

- (b) The effect of those barriers/constraints; and

**The new requirements and the glitches in the HUD database created some frustration on the part of contracted provider agencies and Homeless Programs staff, but most could be mollified with patience and assistance.**

- (c) If and how they were mitigated.

**The State's HMIS consultant was contracted to set up the new database reporting requirements using the administrative funds provided by the HUD grant. The late breaking additional reporting requirements were quickly communicated to the provider agencies along with the requisite forms and detail. And finally, HUD assisted staff in bypassing the software glitches to set up the activities and payment schedule in the IDIS database.**

## DEPARTMENT/AGENCY QUESTIONNAIRE

DEPARTMENT OF HUMAN SERVICES/  
HAWAII PUBLIC HOUSING AUTHORITY

- Award ID: HI08S00150109

Program: Federal Public Housing

- CFDA#: 14.885

Program contact: Mark Buflo

- Financial assistance to carry out capital and management activities for public housing agencies, as authorized under section 9 of the United States Housing Act of 1937

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

**Assisting the PHA in carrying out capital and management activities at public housing developments in accordance with the requirements of the ARRA including Title XVI general provisions of the ARRA, in order to ensure that such developments continue to be available to serve low income families. The following projects and scope are currently budgeted under the ARRA grant.**

- 1) **Hale Hauoli, physical improvements to include re-roofing, exterior painting, site improvements, ADA work, parking lot lighting upgrade and renovation work to the 9 residential buildings and the community building. Budget \$2,800,000.**
- 2) **Kahekili Terrace, physical improvements to include replacement of solar hot water systems and storage tank for 3, 3-story buildings, 11 2-story buildings and 1 single story building, replacement of roofing membrane, spall work, exterior painting, gas water heater, plumbing, electrical and incidental related work. Budget \$3,448,000.**
- 3) **Kaimalino and Kealakehe, roof and miscellaneous repairs. Interior floor repair and extensive replacement of ramp ways and stairs, sidewalk repair and replacement, exterior repair of stairs, handrails/guardrails, parking repaving and re-striping. Budget \$1,831,483.**
- 4) **Kalanihuia, physical improvements to include re-roofing, elevator lobby repairs and improvements, extend roof awning, install buffer wall on each floor, install weep holes on exterior walls, interior and exterior improvements for ADA and Vision Handicapped units. \$340,000**
- 5) **Makani Kai Hale I & II, physical improvements to include replacement of exterior siding, replacement of windows, sliding glass doors, screens and frames, painting of exterior of all buildings, repair**

**electrical conduits and service equipment, fumigation of all buildings, re-roofing, replace relocate gutters and downspouts. Budget \$2,200,000.**

- 6) Makua Alii Structural and Spall Repairs, replacement of existing metal roof, reroof all low roofs and repair high roofs, replace rain gutters and downspouts, repair cracked planter boxes and install handrails for fall protection, repair concrete spalls, cracks and replace all deteriorated CMU grille work, Repair and renovate vacant units number 1909, 1910 and 1911, and repaint all exposed surfaces of the building exterior. Budget \$3,500,000.**
- 7) Alternate: Mayor Wright Homes, physical improvements to include re-roofing of 3 buildings (24,26 and 30). Est cost \$100,000.**
- 8) Alternate: Vacant unit repairs at Mayor Wright, Hale Hookipa, Nanakuli Homes, Kauliokalani, Maili II, Noelani I and Ke Kumu Ekolu.**
- 9) Administration Costs: \$1,600,000**
- 10) Contingency: \$525,960**

**It should be noted that projects #7 and #8 listed above are listed as alternates in the event that bid offers come in lower than the budgeted amounts. This will provide the HPHA with “excess” funds which can be used to fund additional projects.**

**The HPHA is also obligating up to 10% of the capital fund grant, as allowed by HUD, for administrative costs (as listed in #9). These include costs such as, construction management, travel, inspection, and reporting requirements.**

- (b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

**The ARRA funds were awarded to the Hawaii Public Housing Authority as a block grant from the U.S. Department of Housing and Urban Development (HUD) under the existing Capital Fund Program, CFDA 14.885/Grant number HI08S001501-09, on March 18, 2009**

- (c) Whether matching funds are required, and, if so:
  - (i) Are they available;
  - (ii) Have they been secured;
  - (iii) If they have not been secured, why not; and
  - (iv) Will the State be required to continue that match or provide increased/full funding in the future;

**There are no matching requirements.**

- (d) If there are additional requirements to receive funds, what are they;

**In order to receive the capital funds, the Hawaii Public Housing Authority was required to submit an Annual Statement and Board Resolution No. 15 which adopted revisions to the Annual Contributions Contract, Amendment No. 140.**

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

**The award total was \$16,245,443 and must be at least 60% expended no later than 3/17/11, and 100% expended no later than 3/17/12.**

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

**The funds were intended for capital improvements and management activities at public housing developments, in order to ensure that such developments continue to be available to serve low income families. HUD priorities for the funds included:**

- **Public housing agencies shall give priority to capital projects that can award contracts based on bids within 120 from the effective date (of 3/17/09).**
- **Public housing agencies shall give priority consideration to the rehabilitation of vacant rental units.**
- **Public housing agencies shall prioritize capital projects that are already underway or included in the 5-year Capital Fund Plan.**
- **Public housing agencies must provide a physical needs assessment, as specified by HUD, using funds from this grant or other Capital Funds.**

**The projects that were selected met the priorities established by HUD.**

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

**The HPHA is the public housing agency for the State of Hawaii and is the only entity qualified to receive the federal Capital Fund Block Grant from HUD. The HPHA will continue to coordinate efforts with HUD to receive and expend funds.**

- (h) The criteria used to select activities for the program/project;

**The HPHA used HUD's priorities, the findings of the HPHA's physical needs assessment, and input from the public housing management staff.**

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

**The HPHA received ARRA funds as an increase to the currently funded capital fund grant. To that end, the HPHA complied with existing requirements and: 1) accepted public testimony at its regular monthly Board meeting on the list of proposed projects; 2) accepted comments from its Resident Advisory Board and the general public on the Five Year and Annual PHA Plan at monthly meetings and public hearings held statewide; and 3) published a notice in the newspaper requesting public comments.**

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

**The HPHA is operating under federal procurement rules, as mandated by the American Recovery and Reinvestment Act, and the HPHA's Statement of Procurement Policy as required by HUD.**

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

**To date, no funds have been expended.**

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

- 1) **Hale Hauoli: Budget \$2,800,000. Bid opening scheduled for January 2010**
- 2) **Kahekili Terrace: Budget \$3,448,000. Bid opening scheduled for January 2010**
- 3) **Kaimalino and Kealakehe: Budget \$1,831,483. Contract execution pending environmental review documents. Anticipated in December 2009**
- 4) **Kalanihua: Budget \$340,000. Bid opening scheduled for January 2010**
- 5) **Makani Kai Hale I & II: Budget \$2,200,000. Bid opening scheduled for January 2010**
- 6) **Makua Alii Structural and Spall Repairs. Budget \$4,275,960. Bid opening scheduled for January 2010**

**7) Administrative costs are immediately available to the HPHA upon submission of environmental review documents. Budget :\$1,600,000**

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

**The number of jobs retained/created cannot be calculated at this time. The HPHA will continue to submit reports on the number of jobs retained/created on a quarterly basis via the FedReporting.gov database. The long-term public benefit of the project is that the State will be able to maintain its current inventory of low income public housing units.**

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

**The HPHA applied for competitive grants under the ARRA make ADA renovations at targeted public housing sites. The HPHA was not selected primarily due to the limited funding available and the highly competitive nature of the grant application process.**

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

**The environment review documents required the review of the Office of the Attorney General. The HPHA is awaiting hard copies of the final approvals for submission to HUD.**

- (b) The effect of those barriers/constraints; and

**The HPHA will obligate funds when the environmental review documents are received and HUD has accepted the documents.**

- (c) If and how they were mitigated.

**The HPHA held meetings with representatives from the Governor's Office and the appropriate agencies to resolve concerns about the monitoring of the federal funds and expedite the release of the environmental review documents.**