

COMMITTEE ON WAYS AND MEANS

Senator Rosalyn H. Baker, Chair

Senator Shan S. Tsutsui, Vice Chair

Testimony Index

Date: Wednesday, January 9, 2008

Time: 1:30 PM

Place: Conference Room 211

Department of Public Safety

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SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2009
JANUARY 9, 2008

TESTIMONY ON DEPARTMENT-WIDE BUDGET SUMMARY INFORMATION

by
Clayton Frank, Interim Director
Department of Public Safety

Senator Baker and Members of the Committee:

1. Totals for Proposed Department Budget Adjustments by Method of Funding (Attachment 1)

Act 213, Session Laws of Hawaii (SLH) 2007, appropriated a total of \$ 237,592,984 for FY08 across all funding sources for the Department of Public Safety (PSD).

No restriction was imposed against the FY08 general fund appropriation. Attachment 1 provides the funding breakdown by means of financing.

The operating budget adjustments proposed for FY09 reflect additions of \$6,056,004 for all funding sources.

2. Proposed Emergency Requests (Attachment 2)

There are no emergency appropriation requests for the current fiscal year.

3. Summary of FY09 Proposed Budget Adjustments (Attachment 3)

Please refer to Attachment 3

4. Description of FY09 Proposed Budget Adjustments (Attachment 4)

Additional Funds for Utilities (various Program's)

The following amounts are requested for increased utility costs in each facility due to rate and usage increases. This includes increases for electricity, water, motor vehicle gas and diesel costs. The facilities are mandated to provide hot water for cooking, laundry, health care and housekeeping requirements.

PSD 402 Halawa Correctional Facility -	\$145,999
PSD 403 Kulani Correctional Facility -	\$60,477
PSD 404 Waiawa Correctional Facility -	\$32,318
PSD 405 Hawaii Community Correctional Facility -	\$75,976
PSD 406 Hawaii Correctional Facility -	\$51,691
PSD 407 Oahu Community Correctional Facility -	\$49,043
PSD 408 Kauai Community Correctional Facility -	\$26,090
PSD 409 Women's Community Correctional Facility -	\$29,868

PSD 405 Hawaii Community Correctional Facility

- Funding of \$231,309 is requested for ten (10) Adult Correction Officer (ACO) positions for security at Waianuenue Housing to support population control. [This includes two (2) officers, three (3) shifts per day, seven (7) days per week] In order to relieve overcrowding at HCCC (limiting number of inmates per cell to two) and avoid ACLU lawsuits, female inmates were moved from Waianuenue to Hale Nani Makai. When the females moved to Hale Nani, the two posts at Waianuenue Housing was also moved, leaving Waianuenue Housing without ACO positions to provide security 24 hrs/7 days a week. Because of this shortage, ACOs were being called on overtime.
- Funding of \$45,400 is requested for two (2) Adult Correction Officer (ACO) positions for security at the Hale Nani Work Release Center, including the "Going Home" program. [This includes two (2) officers, two (2) shifts per day, five (5) days per week. Currently, the center gate is an unmanned post that results in a security risk to Work Release Center.

PSD 420 Corrections Program Services

- \$1,171,962 is requested for increased cost of food supplies as well as repair and maintenance for nine (9) correctional food service units for the statewide food service program.
- \$247,650 is requested for the establishment of intensive outpatient services in the Substance Abuse program. This will allow filling of the gap between outpatient (L-II, low risk offenders) treatment and residential (L-III, high-risk offenders) treatment. The service gap is for moderate risk and Parole Violator categories. Once service is established offenders can be placed in appropriate risk category and treatment.
- \$50,478 is requested for expansion of a therapeutic community (Hale Ola Ho' Opono) at Kulani Correctional Facility from 26 to 40 beds. Expanding the program to 40 inmates will help reduce the waiting list and promote the reduction of recidivism by providing appropriate client to staff ratio of 1:20. This ratio is in line with the KASHBOX program at WCF and recognized as a national standard.
- Transfer out one (1) Corrections Program Specialist II (position no. 40785) and funding of \$47,448 from PSD 420 to PSD 900. The 2007 Legislature abolished position 40785 from PSD 900. However, the position was in PSD 420. This request allows for a correction of the position count for these programs.

PSD 421 Health Care

- Funding of \$748,600 is requested for 21 positions for mental health services at all correctional facilities. This include three (3) Psychiatrist II, two (2) Psychologist VII, eleven (11) Social Worker IV, two (2) Statistics Clerk II, two (2) Secretary II and one (1) Clerk Typist. This request is a result of the DOJ

investigation at the OCCC and based on the report and recommendations from PSD DOJ expert Dr. Dvoskin for staffing and program needs throughout the State prison and jails. This is a proactive step by the PSD to protect it from further scrutiny by the DOJ.

- Funding of \$156,240 is requested for three (3) Registered Nurse positions for Clinical Services. This include one (1) at Women's Community Correctional Center (WCCC) and two (2) at the Halawa Correctional Facility. This will allow for adequate 24/7 nursing care coverage for both facilities. Significant increase in inmate utilization of health care services has occurred over the past years. At WCCC, daily nurse sick call visits have increased 35% from 192/month in 2006 to 296/month in 2007. At HCF, daily nurse sick call visits have increased 17%. Additionally at HCF, outside specialty provider process has increased by 26% (2,239 in 2006 to 3,042 in 2007). This function addresses pre-authorization approval process, appointment prioritization and scheduling, post treatment follow-up, and patient education. Medication management for treatment of chronic conditions such as diabetes, Hepatitis C, etc has also increased by 14% (1,117 in 2006 to 1,312 in 2007).
- \$226,499 is requested to increase psychiatrists' and physician salaries for recruitment and retention purposes. The prison system in the State of California has raised provider wages and attracting providers from other public sections. This request will allow the Department to recruit and retain providers to deliver mandated medical care and mental health services as recommended by a recent audit by the U.S. Department of Justice.
- \$69,538 is requested for retention differential for mental health Social Worker, human service professional positions. This will make the Department comparable to other state agencies for recruitment and retention. Currently, applicants turn down PSD job offers for other agencies that offer either higher salaries (private/non-profit) or state agencies that offer differentials.
- Funding of \$77,060 is requested for one (1) Physician II position for Halawa Correctional Facility. This position will assist the Division in meeting required doctors hours at HCF and at all other facilities where needed.
- \$1,373,836 is requested for increased cost for Medicaid, Pharmacy and Hepatitis C. Over the past five years, the cost of providing health care services to inmates residing in-state has increased 18%, from \$15.3 million in FY03 to \$18.7 million in FY07. There is an increase in sicker and older inmates who have greater needs for outside specialty care, which includes hospitalizations and ER visits, pharmacy and specialty treatment.

PSD 502 Narcotics Enforcement

- Funding of \$55,800 is requested for one (1) Investigator V position for the island of Kauai. The position will address the controlled substances and

regulated chemical diversion issues funded through the grant from the U.S. Department of Justice, Office of the Justice, Office of Community Orienting Policing (COPS) Grant through the sub-grantee the Hawaii Community Foundation.

PSD 503 Sheriff

- Funding of \$928,175 is requested for twelve (12) Deputy Sheriff positions for neighbor island airport detail. This includes nine (9) Deputy Sheriff II and three (3) Deputy Sheriff III

PSD 900 General Administration

- Funding of \$201,994 is requested for five (5) positions for the Inmate Classification Office. This request is for three (3) facility classification coordinators, one (1) researcher and one (1) classification manager. This request includes funds to pay for neighbor island travel for staff to attend comprehensive classification training on the redesigned classification instruments and for consultant to develop coaching and mentorship program for staff on evidence-based practices in managing high and moderate risk offenders.
- Transfer in one (1) Corrections Program Specialist II (position no. 40785) and funding of \$47,448 from PSD 420 to PSD 900. The 2007 Legislature abolished position 40785 from PSD 900. However, the position was in PSD 420. This request allows for a correction of the position count for these programs.

5. FY 09 Capital Improvements Program (CIP) Summary (Attachment 5)

- \$6 million is requested for Lump Sum CIP repairs, alterations and improvements for Correctional Facilities Statewide. This request is to address the backlog of deferred repairs including building improvements (roofing, grease traps, fire sprinklers) and operating system improvements (boilers, chillers) and land improvements (roadway improvements). Severely overcrowded conditions have overtaxed and accelerated both deterioration and failure of the facility infrastructure.
- \$50 million is requested to complete Phase 1, relocate an expanded Maui Community Correctional Center (MCCC) from its current Wailuku site to Puunene, Maui. Phase 1 includes development of the community workline and inclusion of male and/or female community furlough housing dormitories and respective support buildings. Site work and utilities will be developed on a scaled basis. The design capacity of the existing Wailuku compound is 209 beds for pre-trial, community workline and furlough inmates. However, the number assigned as of September 30, 2007 was 336 or 161% of the rated design capacity.

6. Specific Budget Adjustments of Concern to the Department

Each of the foregoing budget adjustments is of paramount concern to the Department of Public Safety and to the State of Hawaii. In order to avoid potential litigation and Federal

intervention, we must respond to the DOJ's OCCC Mental Health Program audit findings and continue to manage overcrowding. To continue providing our core services without disruption, we need to fund increasing utility, food and medical costs as well as ensure adequate staff coverage in critical shortage areas that include nurses, psychiatrists, physicians, social workers, inmate classification workers, adult correction officers on the Big Island, deputy sheriffs and investigator on the neighbor island. To address recidivism, the Department seeks funding for expansion of program services for substance abuse treatment and therapeutic community beds at Kulani Correctional Facility.

7. Summary of Department's FY09 Request to the Department of Budget and Finance (B&F), B&F Decisions, and Governor's Decisions (Attachment 6)

Please refer to Attachment 6

8. Process Used to Identify Department's Priorities for Additional Operating and CIP Funding

While PSD has many on-going concerns, the Department has limited its Supplemental Budget requests to increases in fixed costs, health, safety, court mandates and program initiatives to reduce overcrowding and recidivism. The Department's top priorities are those required to prevent legal action and Federal intervention.

Each of the foregoing budget adjustments is of paramount concern to PSD and to the State of Hawaii. The overcrowding in our jails and prisons (e.g., triple bunking in a cell made for one) is a very serious issue. Since overcrowded conditions accelerate the deterioration of facilities, operating systems and equipment, it is critical that the Department receive funding to address repair and maintenance issues.

In addition, staffing shortfalls prevent PSD from providing adequate safeguarding of all State facilities and providing the Judiciary with law enforcement services at every courthouse on Oahu and the neighbor islands.

9. Process Used for Community, Departmental and Legislative Input

During the legislative interim, the Department conducted informal briefings for the Legislature as well as on-site tours of all Correctional Facilities. In addition, input from Department program managers was solicited through submittal of formal budget requests, and follow-up discussions.

In the case of the State's correctional system, action is long overdue for the orderly implementation of Statewide plans to repair and maintain the patchwork collection of obsolete and ill-designed jails and prisons. While treatment services (e.g., for substance abusers, sex offenders, et al.) contribute towards reducing recidivism, incarceration is still a requirement for many offenders in the continuum of services. Accordingly, incarceration must occur in a fiscally responsible and constitutional manner, and without the overcrowding and inefficiencies that plague our facilities.

10. Actions Taken or to Be Taken by the Department to Reduce Operation Costs (Attachment 7)

When inmate populations continue to increase and cannot be turned away despite inadequate funds and facilities, and when law enforcement responsibilities continue to increase with homeland security, homeless initiatives and ferry service issues, it has been a challenge to reduce operation costs and even more difficult to contemplate budget reductions for the Department of Public Safety.

The Department has initiated several pilot projects in attempts to realize efficiencies such as a document management system to allow for document storage and retrieval and formulation of a departmental energy conservation program to develop new and renovate existing facilities to utilize energy and renewable resources. The Department will continue these efforts in completing the projects and look for additional measures that have impact on operating costs.

11. Position Vacancies as of December 1, 2007 (Attachment 8)

Please refer to Attachment 8

12. Listing of Departments Expenditures Exceeding the Federal Fund Ceiling for FY07 and FY08 (Attachment 9)

Please refer to Attachment 9

13. Listing of Budget Appropriation transfers in FY 07 and FY 08 (Attachment 10)

Please refer to Attachment 10

14. Listing of all deployed positions

Please refer to Attachment 11

Attachment 1
Department-Wide Summary Information
Totals for Proposed Department Budget Adjustments (by Method of Funding)

MOF	FY08			
	Act 213/07 Appropriation (a)	Restriction (b)	Emergency Request (c)	Total FY08 (a)+(b)+(c)
A	218,994,228			218,994,228
B	2,537,667			2,537,667
N	1,678,143			1,678,143
S	209,721			209,721
T	153,705			153,705
U	5,277,821			5,277,821
W	7,998,719			7,998,719
X	742,980			742,980
Dept. Totals	237,592,984	-	-	237,592,984

MOF	FY09			
	Act 213/07 Appropriation (d)	Reduction (e)	Addition (f)	Total FY09 (d)+(e)+(f)
A	219,503,916		5,127,828	224,631,744
B	2,537,667		928,176	3,465,843
N	1,678,143			1,678,143
S	209,721			209,721
T	75,065			75,065
U	5,277,821			5,277,821
W	7,974,719			7,974,719
X	742,980			742,980
Dept. Totals	238,000,032	-	6,056,004	244,056,036

Please indicate restrictions and reductions as negative numbers, using brackets ()

Attachment 2
Department-Wide Summary Information
Fiscal Year 08 Proposed Emergency Requests

<u>Program ID</u>	<u>MOF</u>	<u>Title of Emergency Requests</u>	<u>FTE</u>	<u>\$ Amount</u>
		None		
Dept. Totals by MOF				

Attachment 3
Department-Wide Summary Information
Fiscal Year 09 Proposed Budget Adjustments

<u>Program ID</u>	<u>MOF</u>	<u>Program ID Title</u>	<u>FTE</u>	<u>\$ Amount</u>
PSD 402	A	Halawa Correctional Facility	-	145,999
PSD 403	A	Kulani Correctional Facility	-	60,477
PSD 404	A	Waiawa Correctional Facility	-	32,318
PSD 405	A	Hawaii Community Correctional Center	12.00	352,685
PSD 406	A	Maui Community Correctional Center	-	51,691
PSD 407	A	Oahu Community Correctional Center	-	49,043
PSD 408	A	Kauai Community Correctional Center	-	26,090
PSD 409	A	Women's Community Correctional Center	-	29,868
PSD 420	A	Corrections Program Services	-	1,422,642
PSD 421	A	Health Care Division	25.00	2,651,773
PSD 502	A	Narcotics Enforcement Division	1.00	55,800
PSD 503	U	Sheriffs Division	12.00	928,176
PSD 900	A	General Administration	6.00	249,442
Dept. Totals by MOF	A		44.00	5,127,828
	U		12.00	928,176

Attachment 4
Fiscal Year 09 Proposed Budget Adjustments

Program I.D.	Description of Adjustment	FTE	\$ Amount	MOF
PSD 402	Additional Funds for Utility Costs	-	145,999	A
PSD 403	Additional Funds for Utility Costs	-	60,477	A
PSD 404	Additional Funds for Utility Costs	-	32,318	A
PSD 405	Additional Funds for Utility Costs	-	75,976	A
	10 ACO III Positions for the Wainuenue Housing Unit	10.00	231,309	A
	2 ACO III Positions for Hale Nani Guard Post	2.00	45,400	A
PSD 406	Additional Funds for Utility Costs	-	51,691	A
PSD 407	Additional Funds for Utility Costs	-	49,043	A
PSD 408	Additional Funds for Utility Costs	-	26,090	A
PSD 409	Additional Funds for Utility Costs	-	29,868	A
PSD 420	Food Svcs- Addl Funds for Food Supplies and R&M	-	1,171,962	A
	Subst Abuse- Establishment of Intensive Outpatient Services	-	247,650	A
	Subst Abuse- Expansion of Therapeutic Community at KCF	1.00	50,478	A
	Transfer-Out Corr Prog Spclt II, Posn No. 40785 to PSD 900	(1.00)	(47,448)	A
PSD 421	MH Svcs Prog- Audit Compliance Staffing Request	21.00	748,600	A
	Clinical Services- Nursing Services Statewide	3.00	156,240	A
	Medical Svcs- Salary Increases for Psychiatrist & Physicians	-	226,499	A
	MH Svcs Prog- Retention Differential for MH SW/HSP	-	69,538	A
	Medical Svcs- 1 Physican II for HCF	1.00	77,060	A
	Medical Svcs- Increase Cost for Medicaid, Pharmacy & HEP C	-	1,373,836	A
PSD 502	Investigator V for the Island of Kauai	1.00	55,800	A
PSD 503	Deputy Sheriff Positions for Neighbor Island Airports	12.00	928,176	U
PSD 900	IC- Additional Staff and Funds for Inmate Classification Office	5.00	201,994	A
	Transfer-In Corr Prog Spclt II, Posn No. 40785 from PSD 420	1.00	47,448	A

**Attachment 5
FY09 Capital Improvements Program Summary**

Priority	Project Title	FY09 \$ Amount	MOF
1	New Maui Regional Public Safety Complex - Phase 1, Maui	50,000,000	C
2	FY 2009 Lump Sum CIP, Statewide	6,000,000	C

Note:

The Department also proposes a lapse of previously appropriated funds as follows:

Act 41, SLH 2004	Maui Community Correctional Center, New Bed Expansion and Renovations	11,898,000	C
Act 160, SLH 2006	Maui Community Correctional Center Expansion/Relocation, Maui A new direction and project scope/schedule makes it desirable to lapse existing authorization and seek its re-authorization to supplement the new expanded project scope's first phase of development.	24,350,000	C
Total:		36,248,000	C

**Attachment 6
FY09 Request Decisions**

Department Priority	Program ID	Description	MOF	Department			Budget and Finance			Governor's Final Decision		
				Perm. FTE	Temp. FTE	\$ Amount	Perm. FTE	Temp. FTE	\$ Amount	Perm. FTE	Temp. FTE	\$ Amount
1	PSD 402	Replacement of Exhaust Fans For Boiler and Laundry Rooms	A	-	-	45,910	-	-	45,910	-	-	-
2	PSD 402	Additional Funds for Utility Costs	A	-	-	88,085	-	-	145,999	-	-	145,999
3	PSD 402	Reinstate 2 Corr Rec Spclt Posns Abolished by 2007 Leg	A	2.00	-	91,176	-	-	-	-	-	-
2	PSD 403	Additional Funds for Utility Costs	A	-	-	83,314	-	-	60,477	-	-	60,477
2	PSD 404	Additional Funds for Utility Costs	A	-	-	13,666	-	-	32,318	-	-	32,318
3	PSD 404	Reinstate 1 Corr Rec Spclt Posn Abolished by 2007 Leg	A	1.00	-	42,144	-	-	-	-	-	-
2	PSD 405	Additional Funds for Utility Costs	A	-	-	144,698	-	-	75,976	-	-	75,976
2	PSD 405	10 ACO III Positions for the Wainuenue Housing Unit	A	10.00	-	450,987	10.00	-	450,987	10.00	-	231,309
2	PSD 405	2 ACO III Positions for Hale Nani Guard Post	A	2.00	-	88,475	2.00	-	88,475	2.00	-	45,400
3	PSD 405	Reinstate 1 Bldg Maint Worker Abolished by 2007 Leg	A	1.00	-	42,276	-	-	-	-	-	-
1	PSD 406	Replacement of Perimeter Doors-Module Areas	A	-	-	80,000	-	-	80,000	-	-	-
2	PSD 406	Additional Funds for Utility Costs	A	-	-	192,787	-	-	51,691	-	-	51,691
1	PSD 407	Tenting and Termite Treatment Annex 1, Makai & Mauka	A	-	-	36,000	-	-	36,000	-	-	-
2	PSD 407	Additional Funds for Utility Costs	A	-	-	43,654	-	-	49,043	-	-	49,043
2	PSD 408	Additional Funds for Utility Costs	A	-	-	42,805	-	-	26,090	-	-	26,090
2	PSD 409	Additional Funds for Utility Costs	A	-	-	86,811	-	-	29,868	-	-	29,868
3	PSD 409	Reinstate Inst Fac Supvr and Soc Wrkr Abolished by 2007 Leg	A	2.00	-	93,588	-	-	-	-	-	-
4	PSD 409	1 Business Services Supervisor Position	A	1.00	-	43,268	-	-	-	-	-	-
1	PSD 410	After Re-Entry Program	A	-	-	420,000	-	-	-	-	-	-
1	PSD 410	Transitional Housing (A Place to Mend)	A	-	-	144,000	-	-	-	-	-	-
1	PSD 420	Food Svcs- Addl Funds for Food Supplies and R&M	A	-	-	1,171,962	-	-	1,171,962	-	-	1,171,962
1	PSD 420	Subst Abuse- Establishment of Intensive Outpatient Services	A	-	-	247,650	-	-	247,650	-	-	247,650
1	PSD 420	Subst Abuse- Expansion of Therapeutic Community at KCF	A	1.00	-	74,196	1.00	-	74,196	1.00	-	50,478
1	PSD 420	Transfer-Out Corr Prog Spclt II, Posn No. 40785 to PSD 900	A	(1.00)	-	(47,448)	(1.00)	-	(47,448)	(1.00)	-	(47,448)
3	PSD 420	Reinstate 4 Positions Abolished by 2007 Leg	A	4.00	-	152,980	-	-	-	-	-	-

**Attachment 6
FY09 Request Decisions**

Department Priority	Program ID	Description	MOF	Department			Budget and Finance			Governor's Final Decision		
				Perm. FTE	Temp. FTE	\$ Amount	Perm. FTE	Temp. FTE	\$ Amount	Perm. FTE	Temp. FTE	\$ Amount
4	PSD 420	Library Svcs- 1 Librarian for HCCC Library Services	A	1.00	-	54,124	-	-	-	-	-	-
4	PSD 420	Library Svcs- 1 Library Technician V for HCF Library Services	A	1.00	-	39,366	-	-	-	-	-	-
1	PSD 421	MH Svcs Prog- Audit Compliance Staffing Request	A	21.00	-	1,343,850	21.00	-	1,343,850	21.00	-	748,600
1	PSD 421	Clinical Services- Nursing Services Statewide	A	6.00	-	311,930	3.00	-	311,930	3.00	-	156,240
1	PSD 421	Medical Svcs- Salary Increases for Psychiatrist & Physicians	A	-	-	226,499	-	-	226,499	-	-	226,499
1	PSD 421	MH Svcs Prog- Retention Differential for MH SW/HSP	A	-	-	69,538	-	-	69,538	-	-	69,538
1	PSD 421	Medical Svcs- 1 Physican II for HCF	A	1.00	-	149,860	1.00	-	149,860	1.00	-	77,060
1	PSD 421	Medical Svcs- Increase Cost for Medicaid, Pharmacy & HEP C	A	-	-	1,373,836	-	-	1,373,836	-	-	1,373,836
1	PSD 502	Investigator V for the Island of Kauai	A	1.00	-	55,800	1.00	-	55,800	1.00	-	55,800
1	PSD 503	Deputy Sheriff Positions for Neighbor Island Airports	U	12.00	-	928,176	12.00	-	928,176	12.00	-	928,176
2	PSD 503	Maintenance Contract For Livescan, Bollards, & CCTV	A	-	-	23,000	-	-	23,000	-	-	-
2	PSD 503	Additional Funds for Motor Vehicle Gas	A	-	-	32,843	-	-	8,462	-	-	-
1	PSD 900	IC- Additional Staff and Funds for Inmate Classification Office	A	5.00	-	348,088	5.00	-	348,088	5.00	-	201,994
1	PSD 900	Transfer-In Corr Prog Spclt II, Posn No. 40785 from PSD 420	A	1.00	-	47,448	1.00	-	47,448	1.00	-	47,448
1	PSD 900	IIO- Reinstate Corr Prog Spclt II Abolished by 2007 Leg	A	1.00	-	55,488	-	-	-	-	-	-
1	PSD 900	CIP- Reinstate CIP Clerical Position Abolished by 2007 Leg	A	1.00	-	27,756	-	-	-	-	-	-
3	PSD 900	PERS- Reinstate Personnel Clerk IV Abolished by 2007 Leg	A	1.00	-	31,212	-	-	-	-	-	-
3	PSD 900	IC- Reinstate Corr Prog Spclt II Abolished by the 2007 Leg	A	1.00	-	55,488	-	-	-	-	-	-
3	PSD 900	PPE- Reinstate Planner and Prog Spclt Abolished by 2007 Leg	A	2.00	-	110,976	-	-	-	-	-	-
3	PSD 900	TSD- Reinstate Deputy Sheriff III Abolished by 2007 Leg	A	1.00	-	44,412	-	-	-	-	-	-
3	PSD 900	FIS- Reinstate Account Clerk Abolished by 2007 Leg	A	1.00	-	27,756	-	-	-	-	-	-
4	PSD 900	TSD- Additional Operating Funds for Training	A	-	-	500,000	-	-	-	-	-	-

**Attachment 6
FY09 Request Decisions**

Department Priority	Program ID	Description	MOF	Department			Budget and Finance			Governor's Final Decision		
				Perm. FTE	Temp. FTE	\$ Amount	Perm. FTE	Temp. FTE	\$ Amount	Perm. FTE	Temp. FTE	\$ Amount
4	PSD 900	IIO- Additional Hearings Officer Position	A	1.00	-	71,784	-	-	-	-	-	-
TOTAL:				81.00	-	9,802,214	56.00	-	7,505,681	56.00	-	6,056,004

**Attachment 7
Actions to Realize Savings**

Program ID	MOF	Description of Action to Realize Savings	\$ Amount of Actual FY07 Savings	\$ Amount of Projected FY08 Savings
		No projected realized savings.		

Attachment 8
All Positions Vacant As of 12/1/07

Date of Vacancy	Program I.D.	PositionTitle	Position Number	Exempt (Y/N)	Budgeted Amount	Actual Salary Last Employee Paid	MOF	Authority to Hire (Y/N)
7/11/05	PSD 402	ADULT CORRECTIONS OFFICER IV	25481	N	46,008	\$ 46,008.00	A	Y
8/1/05	PSD 402	GEN CONSTRUCTION & MAINT SUPVR II	25538	N	51,696	\$ 46,404.00	A	Y
1/13/06	PSD 402	CORRECTIONS SUPERVISOR I	28289	N	49,344	\$ 45,840.00	A	Y
7/1/04	PSD 402	CORRECTIONS MANAGER II	32729	N	65,700	\$ 65,700.00	A	Y
7/29/07	PSD 402	ADULT CORRECTIONS OFFICER RECRUIT	37784	N	37,752	\$ 37,752.00	A	Y
9/29/07	PSD 402	ADULT CORRECTIONS OFFICER RECRUIT	37822	N	37,752	\$ 37,752.00	A	Y
7/2/07	PSD 402	ADULT CORRECTIONS OFFICER VII	37931	N	56,460	\$ 54,288.00	A	Y
7/31/06	PSD 402	ADULT CORRECTIONS OFFICER IV	37951	N	46,008	\$ 43,164.00	A	Y
7/11/05	PSD 402	ADULT CORRECTIONS OFFICER IV	37957	N	46,008	\$ 46,008.00	A	Y
7/11/05	PSD 402	ADULT CORRECTIONS OFFICER IV	37974	N	46,008	\$ 46,008.00	A	Y
6/30/07	PSD 402	ADULT CORRECTIONS OFFICER RECRUIT	38009	N	37,752	\$ 37,752.00	A	Y
8/9/07	PSD 402	ADULT CORRECTIONS OFFICER RECRUIT	38013	N	37,752	\$ 37,752.00	A	Y
10/8/07	PSD 402	GROUNDKEEPER II	38147	N	33,072	\$ 26,028.00	A	Y
7/23/05	PSD 402	HSP III	38225	N	40,512	\$ 40,716.00	A	Y
10/3/03	PSD 402	PLUMBER II	38370	N	45,564	\$ 36,480.00	A	Y
1/27/05	PSD 402	MAINTENANCE MECHANIC II	38373	N	43,968	\$ 34,812.00	A	Y
6/22/07	PSD 402	CLERK TYPIST II	38956	N	24,684	\$ 22,932.00	A	Y
10/5/05	PSD 402	BUILDING MAINTENANCE WORKER I	40906	N	41,460	\$ 38,076.00	A	Y
7/1/05	PSD 402	ACCOUNT CLERK III	41092	N	27,732	\$ 25,764.00	A	Y
7/1/07	PSD 402	ADULT CORRECTIONS OFFICER RECRUIT	47296	N	37,752	\$ 38,184.00	A	Y
12/15/06	PSD 403	ADULT CORRECTIONS OFFICER V	2616	N	51,996	\$ 48,768.00	A	Y
3/24/07	PSD 403	ADULT CORRECTIONS OFFICER IV	4293	N	46,008	\$ 46,008.00	A	Y
6/8/07	PSD 403	ADULT CORRECTIONS OFFICER RECRUIT	6122	N	37,752	\$ 40,788.00	A	Y
2/28/07	PSD 403	ADULT CORRECTIONS OFFICER V	7173	N	51,996	\$ 48,768.00	A	Y
1/24/06	PSD 403	HEAVY EQUIPMENT WORKING SUPVR	27926	N	45,564	\$ 41,844.00	A	Y
2/11/05	PSD 403	BUILDING MAINTENANCE WORKER II	28905	N	43,968	\$ 40,368.00	A	Y
10/23/07	PSD 403	ADULT CORRECTIONS OFFICER RECRUIT	33859	N	37,752	\$ 37,752.00	A	Y
4/21/05	PSD 403	AUTOMOTIVE MECHANIC I	34324	N	43,020	\$ 37,695.00	A	Y
12/5/03	PSD 403	CORRECTIONS RECREATION SPCLT III	34816	N	40,512	\$ 34,632.00	A	Y
8/10/07	PSD 403	ADULT CORRECTIONS OFFICER RECRUIT	36127	N	37,752	\$ 40,788.00	A	Y
4/1/06	PSD 403	ACCOUNT CLERK III	37037	N	27,732	\$ 25,764.00	A	Y
5/20/07	PSD 404	CORRECTIONS MANAGER III	36416	N	69,000	\$ 69,000.00	A	Y
4/5/07	PSD 404	GENERAL CONSTR & MTNCE SUPVR I	36456	N	48,576	\$ 46,704.00	A	Y

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**Attachment 8
All Positions Vacant As of 12/1/07**

Date of Vacancy	Program I.D.	PositionTitle	Position Number	Exempt (Y/N)	Budgeted Amount	Actual Salary Last Employee Paid	MOF	Authority to Hire (Y/N)
12/30/06	PSD 404	SECRETARY II	36459	N	32,076	\$ 29,976.00	A	Y
11/1/07	PSD 404	ADULT CORRECTIONS OFFICER V	38631	N	51,996	\$ 51,996.00	A	Y
1/31/06	PSD 405	CLERK TYPIST II	42622	N	24,684	\$ 23,736.00	A	Y
9/1/04	PSD 405	BUILDING MAINTENANCE SUPERVISOR I	48587	N	46,680	\$ 39,912.00	A	Y
7/8/07	PSD 405	PERSONNEL CLERK III	49859	N	25,668	\$ 25,668.00	A	Y
10/1/05	PSD 405	ADULT CORRECTIONS OFFICER IV	51403	N	46,008	\$ 46,008.00	A	Y
6/19/06	PSD 405	PERSONNEL CLERK III	51762	N	25,668	\$ 25,668.00	A	Y
1/3/06	PSD 405	HSP I	112897	N	34,644	\$ 34,644.00	A	Y
5/1/06	PSD 405	CLERK TYPIST II	117945	N	24,684	\$ 22,932.00	A	Y
9/6/03	PSD 406	CORRECTIONS MANAGER III	2609	N	69,000	\$ 57,300.00	A	Y
6/30/06	PSD 406	ADULT CORRECTIONS OFFICER IV	2611	N	46,008	\$ 46,008.00	A	Y
7/11/05	PSD 406	ADULT CORRECTIONS OFFICER IV	2612	N	46,008	\$ 46,008.00	A	Y
4/2/05	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	2613	N	37,752	\$ 32,883.00	A	Y
7/25/07	PSD 406	ADULT CORRECTIONS OFFICER IV	6031	N	46,008	\$ 46,008.00	A	Y
10/4/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	33139	N	37,752	\$ 37,752.00	A	Y
4/30/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	33140	N	37,752	\$ 37,752.00	A	Y
10/3/05	PSD 406	HSP III	34594	N	40,512	\$ 36,048.00	A	Y
7/26/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	34795	N	37,752	\$ 37,752.00	A	Y
9/25/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	34799	N	37,752	\$ 37,752.00	A	Y
9/30/05	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	34803	N	37,752	\$ 37,752.00	A	Y
4/27/07	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	35591	N	37,752	\$ 37,752.00	A	Y
6/5/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	36150	N	37,752	\$ 37,752.00	A	Y
7/10/05	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	37224	N	37,752	\$ 36,405.00	A	Y
7/31/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	37225	N	37,752	\$ 37,752.00	A	Y
1/9/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	37226	N	37,752	\$ 37,752.00	A	Y
10/2/06	PSD 406	ADULT CORRECTIONS OFFICER V	37228	N	51,996	\$ 51,996.00	A	Y
11/14/05	PSD 406	CLERK TYPIST II	37249	N	24,684	\$ 22,932.00	A	Y
7/25/07	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	38525	N	37,752	\$ 37,752.00	A	Y
2/1/06	PSD 406	BUILDING MAINTENANCE WORKER I	40141	N	41,460	\$ 38,076.00	A	Y
4/28/06	PSD 406	ADULT CORRECTIONS OFFICER V	40748	N	51,996	\$ 51,996.00	A	Y
2/28/07	PSD 406	CLERK TYPIST II	42415	N	24,684	\$ 23,736.00	A	Y
3/31/07	PSD 406	ADULT CORRECTIONS OFFICER V	45647	N	51,996	\$ 51,996.00	A	Y
12/2/06	PSD 406	HSP II	45699	N	37,488	\$ 33,312.00	A	Y

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Attachment 8
All Positions Vacant As of 12/1/07

Date of Vacancy	Program I.D.	PositionTitle	Position Number	Exempt (Y/N)	Budgeted Amount	Actual Salary Last Employee Paid	MOF	Authority to Hire (Y/N)
7/11/05	PSD 406	ADULT CORRECTIONS OFFICER IV	45831	N	46,008	\$ 46,008.00	A	Y
5/22/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	47202	N	37,752	\$ 37,752.00	A	Y
8/8/05	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	47209	N	37,752	\$ 36,408.00	A	Y
7/11/05	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48358	N	37,752	\$ 37,752.00	A	Y
4/15/07	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48359	N	37,752	\$ 37,752.00	A	Y
1/22/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48366	N	37,752	\$ 37,320.00	A	Y
7/10/05	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48368	N	37,752	\$ 36,408.00	A	Y
10/14/05	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48372	N	37,752	\$ 37,752.00	A	Y
6/26/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48375	N	37,752	\$ 37,752.00	A	Y
6/1/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48376	N	37,752	\$ 37,752.00	A	Y
7/31/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48383	N	37,752	\$ 37,752.00	A	Y
8/9/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48384	N	37,752	\$ 37,752.00	A	Y
7/11/05	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48389	N	37,752	\$ 36,408.00	A	Y
10/31/05	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48393	N	37,752	\$ 37,752.00	A	Y
8/21/05	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48399	N	37,752	\$ 37,752.00	A	Y
2/14/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48401	N	37,752	\$ 37,320.00	A	Y
12/6/03	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48402	N	37,752	\$ 32,316.00	A	Y
1/31/05	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48403	N	37,752	\$ 37,752.00	A	Y
8/16/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48404	N	37,752	\$ 37,752.00	A	Y
7/31/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48405	N	37,752	\$ 37,752.00	A	Y
3/31/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48407	N	37,752	\$ 37,752.00	A	Y
1/9/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48410	N	37,752	\$ 37,320.00	A	Y
11/4/05	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48415	N	37,752	\$ 37,752.00	A	Y
6/1/06	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48416	N	37,752	\$ 37,752.00	A	Y
8/10/05	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	48425	N	37,752	\$ 36,408.00	A	Y
11/16/06	PSD 406	ADULT CORRECTIONS OFFICER V	48431	N	51,996	\$ 51,996.00	A	Y
7/31/06	PSD 406	ADULT CORRECTIONS OFFICER V	48432	N	51,996	\$ 51,996.00	A	Y
11/16/06	PSD 406	ADULT CORRECTIONS OFFICER V	48433	N	51,996	\$ 51,996.00	A	Y
7/11/05	PSD 406	ADULT CORRECTIONS OFFICER IV	48434	N	46,008	\$ 46,008.00	A	Y
7/11/05	PSD 406	ADULT CORRECTIONS OFFICER IV	48438	N	46,008	\$ 46,008.00	A	Y
10/13/06	PSD 406	SOCIAL WORKER IV	49277	N	43,824	\$ 43,824.00	A	Y
3/16/07	PSD 406	STORES CLERK II	49872	N	24,684	\$ 23,736.00	A	Y
11/22/05	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	49902	N	37,752	\$ 37,752.00	A	Y

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Attachment 8
All Positions Vacant As of 12/1/07

Date of Vacancy	Program I.D.	PositionTitle	Position Number	Exempt (Y/N)	Budgeted Amount	Actual Salary Last Employee Paid	MOF	Authority to Hire (Y/N)
7/11/05	PSD 406	ADULT CORRECTIONS OFFICER IV	49912	N	46,008	\$ 46,008.00	A	Y
11/29/96	PSD 406	ADULT CORRECTIONS OFFICER VI	51674	N	54,192	\$ 32,772.00	A	Y
5/16/06	PSD 406	ADULT CORRECTIONS OFFICER VII	51677	N	56,460	\$ 56,460.00	A	Y
5/25/07	PSD 406	ADULT CORRECTIONS OFFICER RECRUIT	55242	N	37,752	\$ 37,752.00	A	Y
4/18/06	PSD 406	PERSONNEL CLERK III	55248	N	25,668	\$ 23,844.00	A	Y
9/30/05	PSD 407	CORRECTIONS MANAGER IV	2555	N	76,056	\$ 63,156.00	A	Y
9/25/04	PSD 407	ELECTRICIAN II	6837	N	45,564	\$ 31,896.00	A	Y
10/29/04	PSD 407	PLUMBER II	6915	N	45,564	\$ 41,844.00	A	Y
12/30/05	PSD 407	MANAGING ACO II	7164	N	65,700	\$ 65,700.00	A	Y
3/31/07	PSD 407	ADULT CORRECTIONS OFFICER IV	25488	N	46,008	\$ 44,244.00	A	Y
10/19/07	PSD 407	ADULT CORRECTIONS OFFICER RECRUIT	26249	N	37,752	\$ 37,752.00	A	Y
12/31/04	PSD 407	BUSINESS MANAGER V	32054	N	49,344	\$ 45,840.00	A	Y
10/16/07	PSD 407	CLERK TYPIST II	32122	N	24,684	\$ 24,684.00	A	Y
1/5/07	PSD 407	ADULT CORRECTIONS OFFICER IV	32717	N	46,008	\$ 43,164.00	A	Y
10/15/07	PSD 407	JANITOR SUPERVISOR I	34117	N	35,268	\$ 31,476.00	A	Y
2/10/02	PSD 407	HSP IV	34422	N	43,824	\$ 43,824.00	A	Y
10/12/07	PSD 407	ADULT CORRECTIONS OFFICER RECRUIT	34520	N	37,752	\$ 37,752.00	A	Y
3/1/07	PSD 407	HSP I	35284	N	34,644	\$ 38,952.00	A	Y
11/28/07	PSD 407	ADULT CORRECTIONS OFFICER IV	35585	N	46,008	\$ 46,008.00	A	Y
11/15/06	PSD 407	CORRECTIONS RECREATION SPCLT II	36314	N	37,488	\$ 37,488.00	A	Y
5/7/07	PSD 407	HSP III	36591	N	40,512	\$ 38,952.00	A	Y
5/15/07	PSD 407	CLERK TYPIST II	37172	N	24,684	\$ 23,736.00	A	Y
9/9/07	PSD 407	HSP III	37232	N	40,512	\$ 40,512.00	A	Y
10/31/05	PSD 407	AIR CONDITION MECHANIC II	37911	N	45,564	\$ 41,328.00	A	Y
8/31/07	PSD 407	LAUNDRY MANAGER	53345	N	36,384	\$ 36,384.00	A	Y
9/30/07	PSD 408	ADULT CORRECTIONS OFFICER V	2540	N	51,996	\$ 51,996.00	A	Y
12/1/06	PSD 408	ADULT CORRECTIONS OFFICER V	28407	N	51,996	\$ 51,996.00	A	Y
7/24/07	PSD 408	ADULT CORRECTIONS OFFICER IV	31870	N	46,008	\$ 46,008.00	A	Y
6/30/06	PSD 408	ADULT CORRECTIONS OFFICER IV	31871	N	46,008	\$ 46,008.00	A	Y
11/4/05	PSD 408	CLERK TYPIST II	42715	N	24,684	\$ 22,932.00	A	Y
7/25/07	PSD 408	ADULT CORRECTIONS OFFICER RECRUIT	49878	N	37,752	\$ 37,752.00	A	Y
6/12/07	PSD 408	ADULT CORRECTIONS OFFICER RECRUIT	49879	N	37,752	\$ 36,300.00	A	Y
8/9/07	PSD 408	ADULT CORRECTIONS OFFICER IV	49898	N	46,008	\$ 46,008.00	A	Y

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All Positions Vacant As of 12/1/07**

Date of Vacancy	Program I.D.	PositionTitle	Position Number	Exempt (Y/N)	Budgeted Amount	Actual Salary Last Employee Paid	MOF	Authority to Hire (Y/N)
9/12/03	PSD 409	ADULT CORRECTIONS OFFICER V	2562	N	51,996	\$ 46,416.00	A	Y
11/16/04	PSD 409	ADULT CORRECTIONS OFFICER RECRUIT	8185	N	37,752	\$ 38,256.00	A	Y
12/31/04	PSD 409	CORRECTIONS MANAGER III	16042	N	69,000	\$ 57,300.00	A	Y
9/3/07	PSD 409	ADULT CORRECTIONS OFFICER RECRUIT	34498	N	37,752	\$ 37,752.00	A	Y
9/24/06	PSD 409	ADULT CORRECTIONS OFFICER RECRUIT	36247	N	37,752	\$ 37,752.00	A	Y
10/6/06	PSD 409	ADULT CORRECTIONS OFFICER IV	37748	N	46,008	\$ 46,008.00	A	Y
7/10/06	PSD 409	HSP IV	37752	N	43,824	\$ 37,488.00	A	Y
9/24/06	PSD 409	ADULT CORRECTIONS OFFICER RECRUIT	37842	N	37,752	\$ 37,752.00	A	Y
9/24/06	PSD 409	ADULT CORRECTIONS OFFICER RECRUIT	37843	N	37,752	\$ 37,752.00	A	Y
4/2/06	PSD 409	ADULT CORRECTIONS OFFICER RECRUIT	37846	N	37,752	\$ 37,752.00	A	Y
10/15/06	PSD 409	ADULT CORRECTIONS OFFICER RECRUIT	49933	N	37,752	\$ 37,752.00	A	Y
9/24/06	PSD 409	ADULT CORRECTIONS OFFICER RECRUIT	49935	N	37,752	\$ 37,752.00	A	Y
3/19/06	PSD 409	ADULT CORRECTIONS OFFICER RECRUIT	51359	N	37,752	\$ 37,752.00	A	Y
7/11/05	PSD 409	ADULT CORRECTIONS OFFICER IV	51362	N	46,008	\$ 46,008.00	A	Y
5/31/07	PSD 409	PERSONNEL CLERK III	51676	N	25,668	\$ 24,684.00	A	Y
4/24/07	PSD 410	HSP V	29106	N	46,200	\$ 49,344.00	A	Y
5/7/07	PSD 410	INTAKE SERVICE CENTER MGR II	29905	N	62,424	\$ 62,424.00	A	Y
10/18/07	PSD 410	HSP II	34583	N	37,488	\$ 43,824.00	A	Y
11/28/06	PSD 410	HSP V	34596	N	46,200	\$ 49,344.00	A	Y
12/4/06	PSD 410	CLERK TYPIST II	36826	N	24,684	\$ 23,736.00	A	Y
8/1/05	PSD 410	HSP II	36844	N	37,488	\$ 34,824.00	A	Y
2/27/07	PSD 410	HSP II	51754	N	37,488	\$ 36,048.00	A	Y
10/31/07	PSD 410	SOCIAL SERVICES ASSISTANT V	51755	N	30,012	\$ 27,732.00	A	Y
11/1/07	PSD 410	SOCIAL SERVICES ASSISTANT V	51756	N	30,012	\$ 30,012.00	A	Y
7/25/06	PSD 410	SOCIAL WORKER IV	118095	N	43,824	\$ 42,144.00	A	Y
5/1/07	PSD 420	INSTITUTION FOOD SVCS MGR II	6034	N	44,244	\$ 42,540.00	A	Y
6/15/07	PSD 420	COOK II	25516	N	39,084	\$ 39,084.00	A	Y
12/1/06	PSD 420	COOK I	27266	N	34,728	\$ 33,395.00	A	Y
1/20/06	PSD 420	CORRECTIONS PROGRAM SPECIALIST II	33215	N	49,344	\$ 49,344.00	A	Y
9/18/00	PSD 420	COOK III	38251	N	41,424	\$ 41,424.00	A	Y
2/15/07	PSD 420	CORRECTIONS EDUCATION SPCLT III	38637	N	40,512	\$ 44,421.00	A	Y
3/22/02	PSD 420	CORRECTIONS EDUCATION SPCLT III	40940	N	40,512	\$ 37,632.00	A	Y
6/30/07	PSD 420	KITCHEN HELPER	44026	N	31,236	\$ 31,236.00	A	Y

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5/7/06	PSD 420	COOK II	48885	N	39,084	\$ 35,892.00	A	Y
7/20/07	PSD 420	CLERK TYPIST II	49256	N	24,684	\$ 28,836.00	A	Y
10/1/07	PSD 420	COOK II	49857	N	39,084	\$ 39,084.00	A	Y
12/12/94	PSD 420	LIBRARY ASSISTANT IV	49869	N	25,668	\$ 23,844.00	A	Y
8/31/07	PSD 420	COOK II	51388	N	39,084	\$ 39,084.00	A	Y
8/20/07	PSD 420	CLERK TYPIST II	53339	N	24,684	\$ 28,836.00	A	Y
4/30/07	PSD 420	COOK II	53369	N	39,084	\$ 39,084.00	A	Y
9/16/05	PSD 420	SUBSTANCE ABUSE SPECIALIST III	112943	N	40,512	\$ 38,952.00	A	Y
6/16/05	PSD 420	SUBSTANCE ABUSE SPECIALIST III	112946	N	40,512	\$ 36,360.00	A	Y
10/15/06	PSD 420	SUBSTANCE ABUSE SPECIALIST IV	112953	N	43,824	\$ 42,144.00	A	Y
7/8/04	PSD 420	SUBSTANCE ABUSE SPECIALIST IV	112954	N	43,824	\$ 37,464.00	A	Y
7/12/05	PSD 420	SUBSTANCE ABUSE SPECIALIST IV	112962	N	43,824	\$ 39,336.00	A	Y
10/22/07	PSD 420	SUBSTANCE ABUSE SPECIALIST V	112965	N	49,344	\$ 49,344.00	A	Y
9/19/05	PSD 421	LICENSED PRACTICAL NURSE III	15548	N	37,752	\$ 33,708.00	A	Y
7/23/07	PSD 421	REGISTERED NURSE III	15551	N	66,096	\$ 62,952.00	A	Y
9/20/07	PSD 421	REGISTERED NURSE V	25537	N	77,280	\$ 68,016.00	A	Y
7/23/07	PSD 421	REGISTERED NURSE III	26775	N	66,096	\$ 62,952.00	A	Y
12/1/05	PSD 421	REGISTERED NURSE III	32205	N	66,096	\$ 62,952.00	A	Y
5/3/06	PSD 421	REGISTERED NURSE III	36842	N	66,096	\$ 66,096.00	A	Y
6/29/07	PSD 421	SOCIAL WORKER IV (PSY)	37653	N	43,824	\$ 55,293.00	A	Y
2/28/06	PSD 421	REGISTERED NURSE V	37769	N	77,280	\$ 77,256.00	A	Y
6/5/03	PSD 421	REGISTERED NURSE III	38375	N	66,096	\$ 50,892.00	A	Y
11/16/07	PSD 421	REGISTERED NURSE III	40428	N	66,096	\$ 66,096.00	A	Y
6/22/04	PSD 421	PARA MEDICAL ASSISTANT II -MH	43286	N	30,756	\$ 29,568.00	A	Y
9/26/07	PSD 421	CLERK TYPIST II	43298	N	12,342	\$ 12,342.00	A	Y
8/16/07	PSD 421	CLERK TYPIST II	46176	N	24,684	\$ 25,668.00	A	Y
2/18/04	PSD 421	REGISTERED NURSE III	46965	N	33,048	\$ 33,048.00	A	Y
9/29/06	PSD 421	REGISTERED NURSE IV	46967	N	71,742	\$ 65,424.00	A	Y
9/10/07	PSD 421	MEDICAL RECORD TECHNICIAN V	48597	N	27,732	\$ 29,976.00	A	Y
9/28/07	PSD 421	DIETITIAN	48786	N	49,344	\$ 49,344.00	A	Y
3/5/04	PSD 421	REGISTERED NURSE III	48899	N	66,096	\$ 58,176.00	A	Y
8/11/04	PSD 421	REGISTERED NURSE III	48901	N	66,096	\$ 55,920.00	A	Y
9/14/07	PSD 421	REGISTERED NURSE III	49916	N	66,096	\$ 66,096.00	A	Y

Positions are not all fully funded. Funds are used for overtime pay to cover 24/7 operations while new recruits undergo extensive training.

Attachment 8
All Positions Vacant As of 12/1/07

Date of Vacancy	Program I.D.	PositionTitle	Position Number	Exempt (Y/N)	Budgeted Amount	Actual Salary Last Employee Paid	MOF	Authority to Hire (Y/N)
10/19/06	PSD 421	LICENSED PRACTICAL NURSE II	53387	N	35,004	\$ 32,844.00	A	Y
9/21/05	PSD 421	LICENSED PRACTICAL NURSE II	53388	N	35,004	\$ 31,260.00	A	Y
3/31/06	PSD 421	PSYCHIATRIST II	100432	Y	50,390	\$ 50,390.00	A	Y
9/10/07	PSD 421	SOCIAL WORKER IV	112466	N	43,824	\$ 43,824.00	A	Y
11/28/07	PSD 421	PARA MEDICAL ASSISTANT II	113117	N	30,756	\$ 35,412.00	A	Y
2/24/03	PSD 421	PSYCHIATRIST II	113318	Y	50,390	\$ 50,390.00	A	Y
6/12/07	PSD 421	REGISTERED NURSE III	118086	N	66,096	\$ 62,952.00	A	Y
8/8/06	PSD 421	SOCIAL WORKER IV	118112	N	43,824	\$ 40,716.00	A	Y
5/30/07	PSD 421	CORRECTIONS RECREATION SPCLT II	118435	N	37,488	\$ 36,048.00	A	Y
5/30/07	PSD 421	CLERK TYPIST II	118437	N	24,684	\$ 23,736.00	A	Y
6/30/07	PSD 503	DEPUTY SHERIFF II	11878	N	36,504	\$ 41,040.00	A	Y
7/15/07	PSD 503	DEPUTY SHERIFF III	25904	N	39,456	\$ 49,944.00	A	Y
9/30/07	PSD 503	DEPUTY SHERIFF II	26008	N	36,504	\$ 36,504.00	A	Y
7/25/07	PSD 503	SECURITY OFFICER I	26033	N	30,012	\$ 30,012.00	A	Y
12/1/06	PSD 503	CLERK TYPIST III	43378	N	26,688	\$ 25,656.00	A	Y
11/9/07	PSD 503	CLERK DISPATCHER I	43380	N	25,668	\$ 25,668.00	A	Y
11/30/07	PSD 503	DEPUTY SHERIFF V	43385	N	46,200	\$ 46,200.00	A	Y
7/15/07	PSD 503	DEPUTY SHERIFF III	43400	N	39,456	\$ 44,400.00	A	Y
8/27/07	PSD 503	DEPUTY SHERIFF II	43425	N	36,504	\$ 36,504.00	A	Y
10/22/07	PSD 503	DEPUTY SHERIFF II	43427	N	36,504	\$ 36,504.00	A	Y
8/15/07	PSD 503	DEPUTY SHERIFF II	43432	N	36,504	\$ 36,504.00	A	Y
5/31/07	PSD 503	DEPUTY SHERIFF II	43437	N	36,504	\$ 36,504.00	A	Y
12/18/06	PSD 503	DEPUTY SHERIFF II	43479	N	36,504	\$ 31,332.00	A	Y
5/1/07	PSD 503	DEPUTY SHERIFF III	43488	N	39,456	\$ 37,944.00	A	Y
12/29/06	PSD 503	STAFF SERVICES SUPVR II	43660	N	33,720	\$ 32,424.00	A	Y
7/1/07	PSD 503	PERSONNEL CLERK III	49862	N	25,668	\$ 25,668.00	A	Y
12/15/06	PSD 503	DEPUTY SHERIFF III	111185	N	39,456	\$ 44,424.00	U	Y
7/1/07	PSD 503	DEPUTY SHERIFF II	112701	N	36,504	\$ 44,424.00	A	Y
7/23/07	PSD 503	DEPUTY SHERIFF II	116477	N	36,504	\$ 36,504.00	A	Y
7/1/07	PSD 503	DEPUTY SHERIFF IV	117236	N	42,708	\$ 41,064.00	A	Y
7/24/07	PSD 503	DEPUTY SHERIFF I	117570	N	33,720	\$ 33,720.00	A	Y
7/24/07	PSD 503	DEPUTY SHERIFF I	117573	N	33,720	\$ 33,720.00	A	Y
3/15/07	PSD 503	DEPUTY SHERIFF I	117585	N	33,720	\$ 33,912.00	A	Y

Positions are not all fully funded. Funds are used for overtime pay to cover 24/7 operations while new recruits undergo extensive training.

Attachment 8
All Positions Vacant As of 12/1/07

Date of Vacancy	Program I.D.	PositionTitle	Position Number	Exempt (Y/N)	Budgeted Amount	Actual Salary Last Employee Paid	MOF	Authority to Hire (Y/N)
9/1/07	PSD 612	PAROLE OFFICER IV	3405	N	43,824	\$ 40,716.00	A	Y
8/12/07	PSD 612	PAROLE OFFICER IV	7087	N	43,824	\$ 40,716.00	A	Y
1/2/07	PSD 612	CLERK TYPIST II	30918	N	24,684	\$ 26,832.00	A	Y
11/19/07	PSD 612	CLERK TYPIST II	46462	N	24,684	\$ 22,152.00	A	Y
8/11/06	PSD 612	PAROLE OFFICER IV	46514	N	43,824	\$ 40,716.00	A	Y
11/1/07	PSD 612	PAROLE OFFICER IV	117298	N	43,824	\$ 43,824.00	A	Y
11/9/06	PSD 612	PAROLE OFFICER V	118129	N	49,344	\$ 42,924.00	A	Y
12/1/06	PSD 808	INVESTIGATOR V	118296	N	49,344	\$ 44,424.00	A	Y
12/1/06	PSD 808	ACCOUNT CLERK IV	118297	N	30,012	\$ 28,860.00	A	Y
8/31/02	PSD 900	ADULT CORRECTIONS OFFICER IV	2573	N	46,008	\$ 41,076.00	A	Y
2/21/03	PSD 900	CLERK TYPIST II	23415	N	24,684	\$ 30,072.00	A	Y
8/13/07	PSD 900	PRE AUDIT CLERK I	27042	N	27,732	\$ 27,732.00	A	Y
6/1/07	PSD 900	PROGRAM BUDGET ANALYST IV	30399	N	43,824	\$ 43,824.00	A	Y
11/29/07	PSD 900	INVESTIGATOR V	37281	N	49,344	\$ 49,344.00	A	Y
9/24/07	PSD 900	CLERK TYPIST II	37510	N	24,684	\$ 24,684.00	A	Y
8/14/04	PSD 900	ADULT CORRECTIONS OFFICER IV	37871	N	46,008	\$ 35,748.00	A	Y
4/18/06	PSD 900	PERSONNEL MANAGEMENT SPECIALIST III	38745	N	40,512	\$ 37,632.00	A	Y
9/1/07	PSD 900	CLERK II	40088	N	22,776	\$ 22,776.00	A	Y
7/25/07	PSD 900	SECRETARY III	40408	N	33,720	\$ 39,456.00	A	Y
7/9/07	PSD 900	PERSONNEL CLERK V	43720	N	30,828	\$ 30,012.00	A	Y
10/31/07	PSD 900	CLERK TYPIST II	44167	N	24,684	\$ 24,684.00	A	Y
10/17/05	PSD 900	PERSONNEL MANAGEMENT SPECIALIST IV	117682	N	43,824	\$ 39,672.00	A	Y

Positions are not all fully funded. Funds are used for overtime pay to cover 24/7 operations while new recruits undergo extensive training.

Attachment 9

Listing of Expenditures Exceeding Federal Fund Ceiling for FY07 and FY08

<u>Program ID</u>	<u>FY07 Ceiling</u>	<u>FY07 Expenditures</u>	<u>FY08* Ceiling</u>	<u>FY08* Expenditures</u>	<u>Reason for Exceeding Ceiling</u>	<u>Recurring (Y/N)</u>
PSD 420	488,000	458,337	13,418	57,534	In FY 2008, the program did not anticipate that the grant will be extended until December 31, 2007. This resulted in additional federal funds being available to the program until it is able to transition the pilot project to general funding. A request to increase expenditure ceiling was approved by the Governor on August 8, 2007 that increased the ceiling for this grant account to \$82,225.	N

*Information provided is as of October 31, 2007.

Attachment 10
Listing of Transfers for FY07 and FY08

Program ID	FY07 Ceiling	FY07 Amount Transferred	FY08 Ceiling	FY08 Amount Transferred	Reason for Transfer	Recurring (Y/N)
General Funds						
PSD 402	21,103,924	693,026	22,743,248	-	See Note.	Y
PSD 403	4,653,596	(272,020)	5,031,349	-	"	Y
PSD 404	5,114,654	47,374	5,762,815	-	"	Y
PSD 405	6,581,121	1,223,709	7,522,975	-	"	Y
PSD 406	8,877,946	(597,920)	9,666,508	-	"	Y
PSD 407	25,707,090	(647,641)	27,769,450	-	"	Y
PSD 408	3,259,023	276,662	3,533,891	-	"	Y
PSD 409	6,215,635	(410,273)	6,671,688	-	"	Y
PSD 410	2,784,602	(385,756)	3,719,614	-	"	Y
PSD 420	17,215,066	(175,336)	18,636,217	-	"	Y
PSD 421	16,367,355	2,388,075	17,697,093	-	"	Y
PSD 502		-	872,984	-	"	N/A
PSD 503	11,146,158	546,853	13,045,185	-	"	Y
PSD 611		-	238,109	-	"	N/A
PSD 612	3,394,373	(172,986)	3,632,080	-	"	N
PSD 808			65,145,152	-	"	N/A
PSD 900	70,969,262	(2,513,767)	12,162,131	-	"	Y

Note:

The Department of Public Safety transferred funds to the Halawa Correctional Facility (PSD 402), Waiawa Correctional Facility (PSD 404), Hawaii Community Correctional Center (PSD 405), Kauai Community Correctional Center (PSD 408), Health Care Division (PSD 421), and Sheriff Division (PSD 503).

The transfer was necessary to ensure that these programs had adequate funding to meet personal services and operating obligations.

The deficits for the programs were due to a combination of factors:

1. Budget amounts for personal services and other current expenses are understated and insufficient to meet operational needs.
2. Correctional facilities that are required to operate on a continuous basis, 24-hours a day, 365 days a year, are not able to generate sufficient turnover savings.
3. Rising health care costs and a number of inmates that needed outside care resulting in a large Medicaid Bill that the health care division have to pay.
4. Increased need for law enforcement services such as "Operation Next Step," homeless shelter at Kakaako resulted in payroll shortage for Sheriffs.

The funds for the transfer came from Kulani Correctional Facility (PSD 403), Maui Community Correctional Center (MCCC), Oahu Community Correctional Center (PSD 407), Women's Community Correctional Center (PSD 409), Intake Service Centers (PSD 410), Corrections Program Services (PSD 420), Hawaii Paroling Authority-Supervision and Counseling (PSD 612), and General Administration (PSD 900). The funds were available due to the delay in filling vacant positions; deferral of repair and maintenance projects, and deferral of replacing equipment statewide.

**Attachment 11
Department Listing of Deployed Positions**

Position #	Position Title/Description	Program ID Originally Assigned to	Program ID Transferred to	Narrative Discussion on Why Position was Moved	Specific Reasons Deployment Will Result in More Efficient Functioning of Department	Impact to Program Originally Assigned to	Date Deployment Began	Expected End Date of Deployment
39075	Managing Adult Corrections Officer IV Warden	PSD 402	PSD 407	Deployed from HCF to OCCC by a former Director.	Not Applicable.	Minimal impact since another individual was deployed to assume the responsibilities of this position.	2004	Indefinite
25469	Adult Corrections Officer VI	PSD 402	PSD 409	Deployed from HCF to WCCC by the current Interim Director	Not Applicable.	Minimal impact since other individuals have been assigned to assume the responsibilities of this position.	Nov-06	Indefinite
7170	Corrections Manager IV Warden	PSD 403	PSD 405	Deployed from KCF to HCCC by a former Director	Not Applicable.	Minimal impact since other individuals have been assigned to assume the responsibilities of this position.	Oct-05	Indefinite
16043	Corrections Manager IV Warden	PSD 405	PSD 403	Deployed from HCCC to KCF by a former Director	Not Applicable.	Minimal impact since another individual was deployed to assume the responsibilities of this position.	Oct-05	Indefinite
37106	Adult Corrections Officer VI	PSD 407	PSD 404	Deployed from OCCC to WCF by the current Interim Director	Not Applicable.	Minimal impact since other individuals have been assigned to assume the responsibilities of this position.	Apr-06	Indefinite
2553	Corrections Supervisor I	PSD 407	PSD 900	Deployed from OCCC to the Inmate Classification Office, General Administration to provide services at the departmental level.	Incumbent is experienced in inmate classification duties. The function of this position has been centralized.	A Social Worker has been temporarily assigned to assume the responsibilities of this position.	1995	Indefinite
28415	Deputy Sheriff III	PSD 503	PSD 900	Deployed from Sheriff's Division to the Directors Office, General Administration to provide services at the departmental level.	Incumbent is being utilized in PPB to work on CIP projects relating to the Sheriff's Division.	Minimal impact since other individuals have been assigned to assume the responsibilities of this position.	2007	Jun-08
43380	Deputy Sheriff IV	PSD 503	PSD 900	Deployed from Sheriff's Division to the Directors Office, General Administration to provide services at the departmental level.	Incumbent is being utilized in I/O as the Security Coordinator for PSD. Permanent incumbent is T/A'd to TSD as the Administrator	Minimal impact since other individuals have been assigned to assume the responsibilities of this position.	2007	Jun-08
37969	Adult Corrections Officer IV	PSD 402	PSD 900	Deployed from HCF to the Directors Office, General Administration to provide services at the departmental level.	Incumbent is experienced in Gang intelligence related duties. The function has been centralized.	Minimal impact since other individuals have been assigned to assume the responsibilities of this position.	2007	Jun-08

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY
PSD 402, HALAWA CORRECTIONAL FACILITY

I. Introduction

A. Summary of Program Objectives

The objectives of the facility are to protect the public by providing assessment, supervision, custodial care, and related programs and services to sentenced persons; to provide for the basic needs of those incarcerated by maintaining a secure, safe, healthy and humane social and physical environment; and to operate a classification system that will assign inmates to the appropriate but least restrictive custody classification.

B. Description of Program Objectives

The facility objectives involve the operation and maintenance of the following eleven basic programs: 1) security, 2) facility maintenance, 3) health care, 4) food service, 5) counseling, 6) facility work opportunities, 7) correctional industry work opportunities, 8) education and vocational instructions 9) recreation activities, 10) religious worship and services, and 11) social development activities.

C. Explain how your Program intends to meet its objectives within the upcoming fiscal year.

Halawa Correctional Facility (HCF) will continue to ensure the public's safety while addressing the State's overcrowded inmate conditions. HCF will house in-state medium, close and maximum custody inmates, and all new felony commitments.

II. Program Performance Results

A. Discuss the performance results achieved by each Program in FY 2007.

The Program will endeavor to adhere to the Department's objective to ensure that the public's safety is upheld. Though the facility continues to experience infrastructure concerns and overcrowded conditions, the performance of Halawa in regards to the overall efficiency of the facility will continue to be maintained.

B. Explain how these results relate to the Program's Objectives and the Department's mission.

The mission of the Department is to protect the public by assigning persons committed to the custody of the Department to secure, safe and humane facilities in compliance with statutory requirements. The goals of the Department are to operate secure facilities where inmates are properly classified and assigned; to provide a safe working environment for employees and a humane place for inmates; to provide program opportunities for inmates; and to sustain the health and well being of those who are incarcerated.

The percent of serious sanctions, shakedown, and positive urinalysis by inmates demonstrate whether the program's daily security, safety and control practices are working to operate a humane environment for inmates and a safe working place for employees. The percent of inmates participating and completing programs, and the percent of inmates employed by the facility and correctional industry programs, demonstrate whether the facility is successful in offering program opportunities for inmates to address problems that resulted in their incarceration. Meaningful activity is essential in establishing and sustaining the health and well-being of long-term felons.

C. Explain how the effectiveness of the Program is measured (i.e.: outcome, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two (2) years.

The facility's program effectiveness is measured by the following criteria:

1. Number of escapes from confinement.

2. Percent of misconduct sanctions in the high and greatest categories.
3. Number of urinalysis tests administered and the percent of inmates with positive findings.
4. Number of inmates participating in academic, vocational, counseling and treatment programs and the percent of inmates who complete the programs.
5. Percent of inmates employed by facility work lines and correctional industry programs.

The effectiveness is measured in the manner in which HCF has managed its large inmate population. In this regard and in consideration of the aforementioned criteria, the HCF staff has managed the facility relatively well, but can undoubtedly improve.

- D. Discuss actions taken by each Program to improve its performance results.

HCF will continue to operate a secure, safe and humane facility. HCF staff takes pride in their work. The everyday stressors of an inadequate infrastructure, a finite amount of bed space, and staffing concerns will continue to be addressed on a daily basis.

- E. Please identify all modifications to your program's performance measures and discuss the rationale for these modifications.

HCF will continue to operate a secure, safe and humane facility with a large adult male felon population. Performance measures remain constant with no changes.

III. Problems and Issues

- A. Discussion of Problems and Issues Encountered if Any.

The primary problem of the HCF is lack of bed space to manage the present inmate overcrowding and to control the expected increases in the future. Inmate overcrowding

burdens facility equipment, increases the use of utilities, increases staff levels needed to supervise and control an overcrowded facility, and increases the risk of litigation.

HCF is the largest correctional institution in the State with an original design capacity of 586 single cells. It includes the Special Needs Facility and the Medium Security Facility. The Special Needs Facility is the former Honolulu Jail that was renovated to hold 90 single cells. The medium facility opened in September 1987 with 496 single cells. Because of the growing inmate population, the majority of the facility's 586 single cells were double-bunked to an operational bed space capacity of 1,124 beds. All special cell spaces for the handicapped, mentally ill, medical cases, maximum custody and disciplinary segregation were not double-bunked to ensure secure custody and control. Further, the structural integrity of the Special Needs module recreational wall is in question.

Although the Department has transferred in excess of 2,000 inmates to out-of-state facilities, the population at Halawa routinely exceeds the facility's 1,124 operational bed space capacity.

HCF's inmate population continues to grow and create the following operational problems for the facility:

1. The costs for utilities, contracts and services have grown significantly. The extended use of water, electricity, fuel and other utilities has increased in proportion to the expanding inmate population. As the facility ages and the inmate population grows, maintenance and utilities costs will continue to increase.
2. Despite the implementation of a much-needed preventive maintenance program, the overcrowding has taken a severe toll on the physical facilities and equipment, all of which are taxed far beyond their normal capacity. This includes the heat pumps which supplies hot water to the housing units (for inmate showers), hot water boilers, air conditioning systems, washers, dryers and the entire plumbing/shower systems and applicable tributary piping systems. The overworked equipment is deteriorating prematurely. The unexpected repairs and emergency replacements have been

expensive. The size and capacity of the original equipment were not designed nor intended to serve the current number of inmates.

3. A high profile problem that exists is corrections officer overtime. The installation of overtime management practices and a new scheduling process has positively influenced this long-standing situation, however, staff overtime remains a management issue.
4. The Special Needs Facility was built in the 1970's and the Medium Security Facility was built in the mid-1980's. Since the construction of these two facilities, the facility has undergone extensive weathering and rot. The facility routinely leaks during inclement weather. The costs to address the problem are high; however, the safety and sanitary culpability issues are more prevalent at this time.
5. Halawa Medium Security Facility was designed for 586 inmates. Its administrative staff was approved in the mid-1980's based on its design capacity. HCF's inmate records staff was based on 586 inmates. However, the facility is responsible for maintaining official case files, which include all legal records, of about 4,280 inmates. This includes more than 1,280 inmates at Halawa and about 3,000 inmate inactive files for inmates that have been released. HCF serves as the official repository for archive records for all former prison inmates in Hawaii. If there are any questions relating to these former inmates, HCF is required to find the official archive file and answer the questions. The workload of the records staff has steadily increased over the years.

B. Program Change Recommendations to Remedy Problems.

None.

C. Identify any program issues or problems that have affected or will affect the implementation of the program , and the corrective measure or remedies established or planned.

None.

IV. Expenditures for Fiscal Year 2007-2008

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, available resources, and estimated expenditures for FY 2007-2008.

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	401.00	0.00	0.00	0.00	401.00	401.00
Personnel Services	\$18,368,089	\$790,879	\$0	\$0	\$19,158,968	\$19,158,968
Current Expenses	\$3,612,999	\$0	\$0	\$0	\$3,612,999	\$3,612,999
Equipment	\$0	\$0	\$0	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$21,981,088	\$790,879	\$0	\$0	\$22,771,967	\$22,771,967
(Pos. Counts)	401.00	0.00	0.00	0.00	401.00	401.00
General Fund	\$21,952,369	\$790,879	\$0	\$0	\$22,743,248	\$22,743,248
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$28,719	\$0	\$0	\$0	\$28,719	\$28,719

A. Explain all Transfers Within the Program I.D. and the Impact on the Program.

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program.

None

C. Explain any Restrictions and the impact on the Program.

None

V. Supplemental Budget Requests for Fiscal Year 2008-2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	401.00	0.00	401.00
Personnel Services	\$18,368,089	\$0	\$18,368,089
Current Expenses	\$3,612,999	\$145,999	\$3,758,998
Equipment	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0
Total	\$21,981,088	\$145,999	\$22,127,087
(Pos. Counts)	401.00	0.00	401.00
General Fund	\$21,952,369	\$145,999	\$22,098,368
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Other Funds	\$28,719	\$0	\$28,719

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

Increased Utility Costs

Additional funds to cover increased utility costs.

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

Increased Utility Costs

Personnel Services:	0.00	\$	A
Other Current Expenses:		\$145,999	A
Equipment:		\$	A
Motor Vehicles:		\$	A
Total:	0.00	\$145,999	A

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None (There are no position count deletions.)

VI. Program Restrictions

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009:

1. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

2. A listing/description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Request for Supplemental Year 2008-2009:

None

VIII. Proposed Lapses of CIP Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY

PSD 403, KULANI CORRECTIONAL FACILITY

I. Introduction

A. Summary of Program Objectives

To protect society by providing treatment, assessment, supervision, custodial care, and related individualized services for selected minimum custody inmates in a minimum security facility; to productively employ inmates and prepare those inmates for eventual programming to the Community Correctional Centers and/or reintegration into the community.

B. Description of Program Objectives

1. Provide medical, dental and mental health services that will ensure the well being of inmates.
2. Provide opportunities to inmates for vocational training to enhance marketable skills.
3. Provide activities and programs to reduce inmate idleness and minimize mental and emotional stress.
4. Provide educational opportunities to all inmates.
5. Provide religious services and counseling to accommodate the spiritual needs of inmates.
6. Provide visitation programs to strengthen the bonds between inmates and their families, friends, and communities.

7. Provide specialized treatment for sex offenders and inmates with substance abuse problems to ensure positive reintegration.
 8. Provide work opportunities in Correctional Industries that promote job skills, restitution to the victims and the public.
- C. Explain how your Program intends to meet its objectives within the upcoming fiscal year.

The facility will continue to emphasize work line assignments of all inmates placed at Kulani. In addition, treatment programming will continue to offer a wide variety of interventions - sex offender treatment, substance abuse treatment and basic educational/vocational programs that are tailored to the individual needs of inmates. Within the past 9 (nine) years, the facility has implemented a Federal Conservation Program. This program is an agricultural/educational program that has expanded with assistance from the Ola'a Kilauea Land Management Group. Kulani Correctional Facility's mission is to ensure public safety while the inmate is in custody and promote successful reintegration upon his release back into the community as a law-abiding citizen.

II. Program Performance Results

- A. Discuss the performance results achieved by each Program in FY 2007.

The Program will endeavor to adhere to the Department's objective of ensuring the safety of the public by providing a safe and secure environment to incarcerate those individuals assigned to the Director of Public Safety. With the implementation of good security concepts and measures, basic needs, program activities and a healthy environment, the inmates will be prepared for reintegration back into the community as pro-social and law-abiding citizens.

- B. Explain how these results relate to the Program's Objectives and the Department's mission.

As the last phase before furlough or parole release, the facility's goal is most reflective of the reintegration component of the Departmental mission. The goal focuses upon providing sentenced felons with an environment through which they can receive programs

and opportunities that will return them to society as productive and responsible citizens. In a minimum-security facility, qualified inmates are afforded more freedom of movement within the compound. This provides the inmates with the opportunity to adjust to greater degrees of responsibility, while enabling the facility to make observations regarding the inmate's ability to follow rules.

The facility has concentrated heavily upon the sex offender treatment program, substance abuse intervention and community service work lines to address the individual needs of the inmate and to assess behavior in public. Successful participation in the aforementioned programs is generally required as a precondition for favorable recommendations to furlough placement and/or being granted the privilege of release on parole.

- C. Explain how the effectiveness of the Program is measured (i.e.: outcome, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two (2) years.

The facility's program effectiveness is measured by the following criteria:

1. Number of escapes from confinement.
2. Percent of misconduct sanctions in the high and greatest categories.
3. Number of urinalysis tests administered and the percent of inmates with positive urinalysis.
4. Number of inmates participating in academic, vocational, counseling and treatment programs and the percent of inmates who complete the programs.
5. Percent of inmates employed by facility work lines and correctional industry programs.

Overall, the facility program has been effective when measured against these indicators. The staff has done well to help achieve that effectiveness

- D. Discuss actions taken by each Program to improve its performance results.

The Department has one of the most successful sex offender treatment programs in the nation, and its largest program is located at Kulani. Sex offenders must complete the

intensive 18 month treatment program before they are considered for transfer to a community setting. Further, the sex offender treatment program has implemented a comprehensive assessment process to minimize risks to the public when an inmate is furloughed and/or released.

Participation in the substance abuse treatment program reinforces the independence of inmates from drug dependency

Community service, which teaches marketable work skills to inmates while offering a form of restitution to the public, continues to have impressive accomplishments. This program is important to the facility and accordingly, given a high priority. The facility has partnered with the Federal Conservation Program and is grateful to be a part of saving native fauna and bird species.

Staff will continue to dedicate its efforts to provide the inmate with new life skills and habits that will allow him the choice for a pro-social life style when released.

- E. Please identify all modifications to your program's performance measures and discuss the rationale for these modifications.

Kulani Correctional Facility being one of two "Minimum Custody" facilities in the State is attempting to modify the method inmates are handled. Historically, the inmates assigned to any "Minimum Custody" facility were always handled similar to a "Medium Custody" inmate. Inmates at this facility are held at a higher standard to be self-sufficient and not at a higher custody level by where opportunity is limited. This modification is needed to have the individual inmates ready to re-enter society and take on daily challenges on their own. It is the goal of this facility to get these inmates ready for these challenges as it may serve to reduce the recidivism rate of the offenders.

III. Problems and Issues

- A. Discussion of Problems and Issues Encountered, if Any.

Kulani is a minimum-security facility located on the island of Hawaii. The facility provides opportunities for educational and vocational training to sentenced felons in an

open work environment. Training opportunities include work lines for farming, conservation, automotive repair, carpentry, painting, electronics, plumbing, masonry, heavy equipment operation, furniture shop and computer programming.

Kulani usually houses a population that exceeds its capacity. Facility staffing was established when its population was 120. Presently, Kulani's operational capacity is 160. The number of inmates at the facility has on regular occasions reached 210. Social workers, education specialists, and work line supervisors are hard pressed to identify the needs of the inmates and provide the monitoring, management, and evaluation required for effective re-socialization. Critical equipment and infrastructure were sized to meet the needs of 120 inmates. For example, the kitchen and laundry are undersized for the current population, and are used more extensively than originally planned. They are in need of more frequent repairs, and operational longevity is severely limited.

Improvements need to be made to Stainback Highway. Poor visibility and inadequate grading creates both safety as well as security risks.

B. Program Change Recommendations to Remedy Problems.

None.

C. Identify any program issues or problems that have affected or will affect the implementation of the program, and the corrective measures or remedies established or planned.

None.

IV. Expenditures for Fiscal Year 2007-2008:

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, available resources, and estimated expenditures for FY 2007-2008.

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	77.00	0.00	0.00	0.00	77.00	77.00
Personnel Services	\$3,394,571	\$150,102	\$0	\$0	\$3,544,673	\$3,544,673
Current Expenses	\$1,486,676	\$0	\$0	\$0	\$1,486,676	\$1,486,676
Equipment	\$0	\$0	\$0	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$4,881,247	\$150,102	\$0	\$0	\$5,031,349	\$5,031,349
(Pos. Counts)	77.00	0.00	0.00	0.00	77.00	77.00
General Fund	\$4,881,247	\$150,102	\$0	\$0	\$5,031,349	\$5,031,349
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0	\$0	\$0	\$0

A. Explain all Transfers Within the Program I.D. and the Impact on the Program.

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program.

None

C. Explain any Restrictions and the impact on the Program.

None

V. Supplemental Budget Requests for Fiscal Year 2008-2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	77.00	0.00	77.00
Personnel Services	\$3,394,571	\$0	\$3,394,571
Current Expenses	\$1,486,676	\$60,477	\$1,547,153
Equipment	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0
Total	\$4,881,247	\$60,477	\$4,941,724
(Pos. Counts)	77.00	0.00	77.00
General Fund	\$4,881,247	\$60,477	\$4,941,724
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

Increased Utility Costs

Additional funds to cover increased utility costs.

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

Increased Utility Costs

		<u>FY 2009</u>	
Personnel Services:	0.00	\$	A
Other Current Expenses:		\$60,477	A
Equipment:		\$	A
<u>Motor Vehicles:</u>		\$	<u>A</u>
Total:	0.00	\$60,477	

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None

VI. Program Restrictions:

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009:

1. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

2. A listing/description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Requests for Supplemental Year 2008-2009

None

VIII. Proposed Lapses of Capital Improvements Program Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY

PSD 404, WAIAWA CORRECTIONAL FACILITY

I. Introduction

A. Summary of Program Objectives

To protect the public by operating a secure, safe, healthy and humane minimum security facility for sentenced felons and detainees and to provide these inmates with intensive educational programs, specialized treatment and work opportunities. These re-socialization programs are designed to provide the inmates with the necessary skills, knowledge and confidence to support them in community service and furlough programs.

B. Description of Program Objectives

To accomplish its program objectives, the facility operates eleven (11) basic programs: security, basic maintenance, health care, recreation, religion, social development, counseling, work training, educational and library services, public service, and therapeutic community.

C. Explain how your Program intends to meet its objectives within the upcoming fiscal year.

The Waiawa Correctional Facility will meet security objectives by utilizing only efficient, effective and well-trained security personnel. The facility will also assure that only appropriate inmates are housed at Waiawa. This will be accomplished through the classification process, close monitoring of inmates, and assuring that our essential security posts are covered. The educational, counseling, and treatment programs will achieve its objectives by enrolling each inmate in academic classes and providing counseling and treatment to those in need.

II. Program Performance Results

A. Discuss the performance results achieved by each Program in FY 2007.

The facility's security performance is satisfactory because the public, staff, inmates and state property were successfully protected. The program objectives were met as evidenced by the number of inmates completing academic and treatment programs offered at Waiawa Correctional Facility.

B. Explain how these results relate to the program's objectives and the department's mission.

The facility's mission statement builds on the department's mission statement. The program performance results indicate that Waiawa fulfills its areas of responsibility.

C. Explain how the effectiveness of the Program is measured (i.e.: outcome, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two (2) years.

Waiawa's objective is to protect the public by operating a secure, safe, healthy and humane minimum security facility for sentenced felons and detainees and to provide these inmates with intensive educational programs, specialized treatment and work opportunities. These re-socialization programs are designed to provide the inmates with the necessary skills, knowledge and confidence to prepare them for community service and furlough programs.

The facility's program effectiveness is measured by the following criteria:

1. Number of escapes from Waiawa.
2. Percent of inmates completing academic programs.
3. Percent of inmates completing vocation/OJT programs.
4. Percent of inmates completing counseling or treatment programs.
5. Percent of inmates employed by correctional industries programs.
6. Percent of inmates completing community work line programs.

7. Percent of inmates with sanctions for misconduct in the high or greatest category.
8. Percent of inmates who test positive on urinalysis test.
9. Number of hours contributed by inmates for community work line programs.

The effectiveness is measured in the manner in which Waiawa has managed its large inmate population. In this regard and in consideration of the aforementioned criteria, the Waiawa staff has managed the facility relatively well, but can undoubtedly improve.

- D. Discuss actions taken by each Program to improve its performance results.

In order to maintain the efficiency and effectiveness of the program while providing a proper level of safety and security, Waiawa has consolidated some of the educational, treatment, and work related programs. KASHBOX, which was expanded in March of 1999, remains at 200 inmates. However, the CROSSROADS program, an intense substance abuse treatment program directed at parole violators, was incorporated into KASHBOX because of staffing shortages. All of the remaining inmates participate in our Level II Substance Abuse Education Program, educational and or work training programs. The facility has also strengthened its treatment program by giving qualified inmates the opportunity to participate in expanded parenting activities. Waiawa has also offered offenders employment skills by providing training in masonry and culinary arts. These programs teach the offenders a marketable trade, provide entry-level certification, and allow them access to jobs through the apprenticeship program with the Mason's Union and assistance with job placement in culinary establishments through Leeward Community College.

- E. Please identify all modifications to your program's performance measures and discuss the rationale for these modifications.

There have been no modifications to the performance measures.

III. Problems and Issues

- A. Discussion of Problems and Issues Encountered if Any

The facility is in need of major security improvements that must be addressed:

1. Waiawa currently does not have a sufficient number of ACO positions to cover its essential posts. As a result, overtime is used when ACOs are on vacation, sick leave, military leave, family leave, in training, etc. Also, posts that are critical to programs are sometimes left unfilled because of staff shortages. As a result, programs are cancelled, slowing down inmate progress. This impacts overcrowding, as inmates spend more time in prison in order to complete required programs.
2. Two housing units, each with 42 inmates, are configured in bedrooms that sleep two and four inmates. This creates security and safety problems, because there are a lot of blind spots. The enclosures enable inmates to hide their activities from ACOs. By breaking down the non-load bearing walls, we can improve security and safety of the facility.

The facility is in need of **infrastructure improvements** that need to be addressed.

1. Wastewater Treatment System

Waiawa's wastewater treatment system is being upgraded to correct the following deficiencies:

- a. There is no emergency back up power should the transformers malfunction. There is a likelihood of raw sewage spillage if power is discontinued for any length of time.
 - b. The hypoliners in the setting ponds have become compromised and need to be replaced. Moreover, the backup pond was never lined.
 - c. The R-2 water system needs to be upgraded.
 - d. The sand filtration system is undersized.
2. Electrical Infrastructure

The electrical infrastructure is old, circa 1945. An upgrade to the system is needed due to frequent failures caused by electrical poles breaking, sagging and uninsulated and undersized power lines, and burned out transformers. In several buildings, including three housing units, the wiring was installed more than 60 years ago. The facility also suffers from outages caused because power lines access the facility through a forested valley. This valley is inaccessible to vehicles, and therefore the lines cannot be properly maintained.

3. Facility Generator

The old and inefficient facility generators need to be upgraded to an automatic system that meets the power needs of this correctional facility.

4. Facility Plumbing

The plumbing system for most of the facility was installed by the military during World War II. In three units housing 134 inmates and in the building, which serves as security headquarters, the systems are old and need to be changed. We experience frequent leaks and sewage back-ups.

5. Roadways

The roadways within the facility are in disrepair due to use and weather. Due to Waiawa's remote location, there is only one way in and out of the facility. Adequate roadways are essential to accommodate emergency service vehicles, facilitate access of inmates with disabilities to essential services within the facility, improve security response to emergency situations, to extend the service life of Waiawa's own vehicles and to reduce claims by owners of privately owned vehicles. Moreover, the interior roadways are used as major pedestrian corridors for inmates who must walk from their housing units to meals, medical service, work assignments and programs.

6. Housing Units

Three units, housing 134 inmates, and two program buildings are over sixty years old. Their roofs are simply worn out. This creates safety hazards, unacceptable living conditions for inmates, and unacceptable working conditions for staff. One program building has been closed down and cannot be re-opened until major leaks are repaired. Proper repairs will improve conditions, prolong the useful life of the buildings, and give us much needed program space.

The sixty-year-old buildings also have the original bathrooms with original plumbing. The bathrooms have household showers and bathtubs that are not appropriate for institutional living. The bathtubs are slippery and confining, leading to slip and fall accidents. The bathtubs are also a security problem because they are difficult to monitor. Moreover, there are frequent leaks in the plumbing. These leaks have impacted the electrical system, leading to a dangerous condition in the housing units. The bathtubs need to be changed to institutional shower stalls, and the plumbing needs to be upgraded.

The following **program improvements** are needed to better prepare inmates for their eventual release into the community.

1. Waiawa is a critical programming component of the Hawaii correctional system. Three caseworkers conduct assessments, update program plans, monitor progress in treatment programs, assess readiness for movement into the community, and provide counseling to inmates. In addition, they handle all paperwork and other administrative tasks needed to properly document their work. It has become increasingly difficult, if not impossible for the caseworkers to keep up with their required duties and responsibilities. The Department has established new program assessment requirements that promises to reduce recidivism by 30%. In order for these new requirements to be effective they must be done properly. In order to do this work properly, the caseworkers need administrative assistance.

B. Program Change Recommendations to Remedy Problems.

The Waiawa Correctional Facility's program is sound in scope and practice; however, there will be a continued effort to increase programs for offenders in order to provide opportunities for rehabilitation and successful reintegration. Recruitment will continue until vacant positions are filled. A strategic plan has been implemented to address the infrastructure improvements.

- C. Identify any program issues or problems that have affected or will affect the implementation of the program, and the corrective measures or remedies established or planned.

The Waiawa Correctional Facility has infrastructure problems that have been identified in this report. Continued requests will be made for the needed monies. If funded, the expenditures will be appropriately allocated to address the deficiencies, and can significantly increase the life of our aging facility and infrastructure.

IV. Expenditures for Fiscal Year 2007-2008

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, available resources, and estimated expenditures for FY 2007-2008.

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	113.00	0.00	0.00	0.00	113.00	113.00
Personnel Services	\$4,529,612	\$197,329	\$0	\$0	\$4,726,941	\$4,726,941
Current Expenses	\$1,023,056	\$0	\$0	\$0	\$1,023,056	\$1,023,056
Equipment	\$27,818	\$0	\$0	\$0	\$27,818	\$27,818
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$5,580,486	\$197,329	\$0	\$0	\$5,777,815	\$5,777,815
(Pos. Counts)	113.00	0.00	0.00	0.00	113.00	113.00
General Fund	\$5,565,486	\$197,329	\$0	\$0	\$5,762,815	\$5,762,815
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$15,000	\$0	\$0	\$0	\$15,000	\$15,000

A. Explain all Transfers Within the Program I.D. and the Impact on the Program.

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program.

None

C. Explain any Restrictions and their impact on the Program.

None

V. Supplemental Budget Requests for FY 2008-2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	113.00	0.00	113.00
Personnel Services	\$4,591,191	\$0	\$4,591,191
Current Expenses	\$1,022,590	\$32,318	\$1,054,908
Equipment	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0
Total	\$5,613,781	\$32,318	\$5,646,099
(Pos. Counts)	113.00	0.00	108.00
General Fund	\$5,598,781	\$32,318	\$5,631,099
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Other Funds	\$15,000	\$0	\$15,000

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

Increased Utility Costs

Additional funds to cover increased utility costs.

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

Increased Utility Costs

		<u>FY 2009</u>	
Personnel Services:	0.00	\$	A
Other Current Expenses:		\$32,318	A
Equipment:		\$	A
<u>Motor Vehicles:</u>		\$	A
Total:	0.00	\$32,318	

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None

VI. Program Restrictions:

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009:

1. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

2. A listing/description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Requests for Supplemental Year 2008-2009:

None.

VIII. Proposed Lapses of Capital Improvements Program Projects:

None.

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY
PSD 405, HAWAII COMMUNITY CORRECTIONAL CENTER

I. Introduction

A. Summary of Program Objectives

To protect society by providing assessment, supervision, custodial and related individualized programs and services to persons detained; to provide basic needs of those incarcerated by developing and maintaining a secure, safe, healthful, and humane social and physical environment; and to facilitate the reintegration of offenders into the community through residential and in-community programs.

B. Description of Program Objectives

The facility provides for the care and custody of pretrial and presentenced male and female detainees, sentenced misdemeanants, and community custody felons. Activities at the facility include diagnostic evaluations, medical evaluations and services, counseling, work experiences (facility worklines, community and Correctional Industries programs), religious services, education, work furlough opportunities, and probation programs (intermittent and mutual agreement program).

C. Explain how your Program intends to meet its objectives within the upcoming fiscal year.

Hawaii Community Correctional Center (HCCC) continues to re-evaluate its operations and to make maximum use of resources (i.e., budget, staff, equipment, bed space, etc.). The intent is to continuously and consistently improve facility functions to ensure the protection of society and provide for the safety, custodial, and programmatic needs of detainees by exercising effective population control.

II. Program Performance Results

- A. Discuss the performance results achieved by each Program in FY 2007.

HCCC is administered through a population management plan that houses inmates in accordance with custody classifications. Through appropriate programs, recreational activities, work opportunities, etc., HCCC is able to manage the incarcerated population, reduce inmate idleness and prepare inmates for resocialization.

- B. Explain how these results relate to the Program's Objectives and the Department's mission.

The performance measures are a result of the facility's commitment to meet the mission of the Department by protecting the public and providing a safe, secure and reintegrative environment for inmates.

- C. Explain how the effectiveness of the Program is measured (i.e.: outcome, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two (2) years.

The facility's program effectiveness is measured by the following criteria:

1. Number of escapes from confinement.
2. Percent of misconduct sanctions in the high and greatest categories.
3. Number of urinalysis tests administered and the percent of inmates with positive urinalysis.
4. Number of inmates participating in academic, vocational, counseling and treatment programs and the percent of inmates who complete the programs.
5. Percent of inmates employed by facility worklines and correctional industry programs.

Effectiveness is measured by the manner in which HCCC has managed to provide care and custody for a growing overpopulation of inmates. In consideration of the aforementioned condition, the HCCC staff has managed the facility relatively adequately. However, the facility will continue to strive for improvements and adjust to new challenges that may arise.

- D. Discuss actions taken by each Program to improve its performance results.

The furlough program at Hale Nani assists inmates, while under the supervision of a social worker, to independently secure jobs and to transition back into the community. Currently, HCCC provides transition housing for female offenders through contractual services. The Hale Nani furlough program continues to evolve. Thus, improving inmate participation in reintegration programs prior to release.

HCCC will continue to revise policies and procedures in order that inmate needs are met and the protection of society is ensured. Overcrowding has impaired the housing of inmates based upon security custody levels.

- E. Please identify all modifications to your program's performance measures and discuss the rationale for these modifications.

None.

III. Problems and Issues

- A. Discussion of Problems and Issues Encountered If Any.

Although HCCC manages the inmate population, the number of incarcerated adults continues to exceed the available bed space. Inmate overcrowding throughout the correctional system in Hawaii continues to have an impact at HCCC. This condition is caused by an inadequate number of medium security beds. As a result, the medium security housing units is routinely overpopulated. At HCCC, overcrowding has resulted in a lawsuit, which was resolved by filing a population management plan and by more efficient use of all bed space.

The Hawaii Community Correctional Center is continuing to address overtime associated with pretrial detainees and their court appearance and custody at the facilities. The overtime is caused by a number of factors. The following creates the majority of overtime:

1. Transporting and maintaining court custody of inmates to all the Circuit, District and Family courts in Hilo, Kau, Kohala, Waimea, and Kona.
2. The opening of additional bed space for population control without associated funding for security staffing.
3. The filling of vacant posts due to sick leaves, vacations, compensatory time off, and workers' compensation.
4. Mandated training programs.

B. Program Change Recommendations to Remedy Problems

1. To remedy the aforementioned population problems, it is recommended that another medium security prison be constructed on the Big Island proximate to Kona.
2. To remedy the aforementioned staffing and training problems, more positions need to be allocated to and filled by HCCC.

C. Identify any program issues or problems that have affected or will affect the implementation of the program, and the corrective measures or remedies established or planned.

Increasing crime and Court hearings present significant problems for the future. The remedy would be the construction of more bed space with additional security positions to accommodate the custody, care and transport of the higher number of inmates.

IV. Expenditures for Fiscal Year 2007-2008

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, available resources, and estimated expenditures for FY 2007-2008.

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	161.00	0.00	0.00	0.00	161.00	161.00
Personnel Services	\$6,227,357	\$321,786	\$0	\$0	\$6,549,143	\$6,549,143
Current Expenses	\$965,802	\$0	\$0	\$0	\$965,802	\$965,802
Equipment	\$8,030	\$0	\$0	\$0	\$8,030	\$8,030
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$7,201,189	\$321,786	\$0	\$0	\$7,522,975	\$7,522,975
(Pos. Counts)	161.00	0.00	0.00	0.00	161.00	161.00
General Fund	\$7,201,189	\$321,786	\$0	\$0	\$7,522,975	\$7,522,975
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0	\$0	\$0	\$0

A. Explain all Transfers Within the Program I.D. and the Impact on the Program.

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program.

None

C. Explain any Restrictions and the impact on the Program.

None

V. Supplemental Budget Requests for FY 2008-2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	161.00	12.00	173.00
Personnel Services	\$6,328,872	\$262,753	\$6,591,625
Current Expenses	\$967,292	\$80,224	\$1,047,516
Equipment	\$0	\$9,708	\$9,708
Motor Vehicles	\$0	\$0	\$0
Total	\$7,296,164	\$352,685	\$7,648,849
(Pos. Counts)	161.00	12.00	152.00
General Fund	\$7,296,164	\$352,685	\$7,648,849
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

Increased Utility Costs

Additional funds to cover increased utility costs.

ACO III Positions (2) for the Hale Nani Guard Posts

Two ACO III positions are needed five days/week to man the Hale Nani Work Release Center Gate; one on day watch, one on evening watch. Currently, the center

gate is an unmanned post that is a security risk to the Hale Nani Work Release Center. The addition of the "Going Home" program will result in increased traffic from volunteers, instructors, etc. ACOs need to be moved

ACO III Positions (10) for the Wainuenue Housing Post

This request will add 10 Adult Corrections Officer (ACO) positions for 2 posts 24 hrs/7 days a week for the Wainuenue Complex.

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

Increased Utility Costs

		<u>FY 2009</u>	
Personnel Services:	0.00	\$	A
Other Current Expenses:		\$75,976	A
Equipment:		\$	A
<u>Motor Vehicles:</u>		\$	<u>A</u>
Total:	0.00	\$75,976	

ACO III Positions (2) for the Hale Nani Guard Posts

		<u>FY 2009</u>	
Personnel Services:	2.00	\$43,704	A
Other Current Expenses:		\$708	A
Equipment:		\$1,618	A
<u>Motor Vehicles:</u>		\$	<u>A</u>
Total:	2.00	\$45,400	

ACO III Positions (10) for the Wainuenue Housing Post

		<u>FY 2009</u>	
Personnel Services:	10.00	\$219,679	A
Other Current Expenses:		\$3,540	A
Equipment:		\$8,090	A
<u>Motor Vehicles:</u>		\$	<u>A</u>
Total:	10.00	\$231,309	

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None

VI. Program Restrictions

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finances budget ceilings for Fiscal Year 2009:

- A. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

- B. A listing/description of the positions reduced including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Requests for Supplemental Year 2008-2009

None

VIII. Proposed Lapses of Capital Improvements Program Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY
PSD 406, MAUI COMMUNITY CORRECTIONAL CENTER

I. Introduction

A. Summary of Program Objectives

To protect society by providing assessment, supervision, custodial and related individualized programs and services to persons detained; to provide basic needs of those incarcerated by developing and maintaining a secure, safe, healthful, and humane social and physical environment; and to facilitate the reintegration of offenders into the community through residential and in-community programs.

B. Description of Program Objectives

The Maui Community Correctional Center (MCCC) provides for the care and custody of male and female pretrial and pre-sentenced detainees, sentenced misdemeanants, sentenced probation felons, and sentenced felons. Activities at the facility include maintenance, security, provision of adequate food and clothing, diagnostic evaluations, counseling, work experiences, on-the-job training, education, community services, and work furlough opportunities.

C. Explain how your Program intends to meet its objectives within the upcoming fiscal year.

MCCC intends to meet its objectives by ensuring that all necessary requirements to ensure public safety are met. This includes the proper assessment and classification of inmates so that they are properly housed within the facility as well as placement in the community of Maui. In addition, MCCC will continue

to provide the necessary food, clothing, hygiene, and security to maintain a safe and healthy environment for the inmates to reside in. Finally, through programs such as education, substance abuse treatment, sex offenders' treatment, and community work lines, MCCC will provide opportunities to inmates to prepare them for their eventual release back to the community.

II. Program Performance Results

A. Discuss the performance results achieved by each Program in FY 2007.

MCCC continues to house a relatively high number of inmates. As of December 1, the inmate count at the facility was 304, although the operating capacity of the facility is 301. In spite of the fluctuating headcount, the facility tries to provide the necessary opportunities for inmates in the areas of education, substance abuse treatment, vocational training, facility and community work lines, and furloughs.

B. Explain how these results relate to the Program's Objectives and the Department's mission.

The performance measures relate to the Department of Public Safety's mission, which is to protect society while preparing the inmates to return to society.

C. Explain how the effectiveness of the Program is measured (i.e.: outcome, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two (2) years.

The facility's program effectiveness is measured by the following criteria:

1. Number of escapes from confinement.
2. Percent of misconduct sanctions in the high and greatest categories.
3. Number of urinalysis tests administered and the percent of inmates with positive urinalysis.

4. Number of inmates participating in academic, vocational, counseling and treatment programs and the percent of inmates who complete the programs.
5. Percent of inmates employed by facility work lines and correctional industry programs.

The effectiveness of the program at Maui Community Correctional Center is measured by the relatively high percent of inmates completing programs, such as vocational, work lines and furlough. The high completion rate translates to inmates gaining important skills related to re-entry into society. Also, the number of escapes, incidents requiring use of force, misconducts, positive urinalysis tests, and serious injuries indicate that the facility is succeeding in its mission of keeping the public safe and providing safe custody for inmates.

- D. Discuss actions taken by each Program to improve its performance results.

The Community work lines were expanded and thus, resulted in more inmates being provided opportunities that will prepare them for eventual return to the community. MCCC will continue to seek ways to expand work lines, as well as other programs to provide additional opportunities for inmates to participate in.

- E. Please identify all modifications to your program's performance measures and discuss the rationale for these modifications.

III. Problems and Issues

- A. Discussion of Problems and Issues Encountered if Any.

The normally high inmate headcount has placed a heavy strain on the operations of this facility.

MCCC is currently experiencing moderate overcrowding as the facility's operating capacity of 301 is insufficient to meet the growing number of inmates. It should be noted that the headcount does not take into account the approximately 6 inmates that are living in the community on either the electronic monitoring furlough

program or extended furlough. Nor does it include approximately 21 inmates that are serving intermittent sentences (on weekends) at MCCC. In total, MCCC is responsible for approximately 330 offenders.

Overcrowding is particularly serious among medium custody pre-trial detainees. Because of a shortage of appropriate bed space, medium custody pre-trial detainees are often housed three to a cell when it was designed for two. In addition, alternative housing such as dormitories have been used to alleviate the overcrowding. However, such alternative housing is not always appropriate in spite of the relief it gives to overcrowding.

The increase in the number of mentally ill inmates also poses a serious problem for MCCC. The facility is unable to properly separate mentally ill inmates from the general population. Some of the inmates are suicidal, but MCCC does not have any cells appropriate for suicide watch. In such cases, inmates are transferred to an appropriate facility as soon as possible.

Overcrowding affects every aspect of the correctional operation. Misconducts, including fights among inmates increase. Security requirements increase because there are more inmates in housing areas and more inmate movements. Inmate idleness increases because program space and staff have finite capacities. Equipment becomes overused and is susceptible to breakdowns. Inventories of common items (soap, blankets, towels, etc.) are quickly depleted.

B. Program Change Recommendations to Remedy Problems.

Due to severe overcrowding, MCCC is in need of facility expansion, and additional security, staff support, and maintenance positions to address necessary security, fiscal, program and maintenance related services.

C. Identify any program issues or problems that have affected or will affect the implementation of the program, and the corrective measures or remedies established or planned.

IV. Expenditures for Fiscal Year 2007-2008

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, available resources, and estimated expenditures for FY 2007-2008

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	187.00	0.00	0.00	0.00	187.00	187.00
Personnel Services	\$8,522,791	\$376,543	\$0	\$0	\$8,899,334	\$8,899,334
Current Expenses	\$976,895	\$0	\$0	\$0	\$976,895	\$976,895
Equipment	\$0	\$0	\$0	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$9,499,686	\$376,543	\$0	\$0	\$9,876,229	\$9,876,229
(Pos. Counts)	187.00	0.00	0.00	0.00	187.00	187.00
General Fund	\$9,289,965	\$376,543	\$0	\$0	\$9,666,508	\$9,666,508
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$209,721	\$0	\$0	\$0	\$209,721	\$209,721

A. Explain all Transfers Within the Program I.D. and the Impact on the Program.

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program.

None

C. Explain any Restrictions and the impact on the Program.

None

V. Supplemental Budget Requests for FY 2008-2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	187.00	0.00	187.00
Personnel Services	\$8,522,791	\$0	\$8,522,791
Current Expenses	\$976,895	\$51,691	\$1,028,586
Equipment	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0
Total	\$9,499,686	\$51,691	\$9,551,377
(Pos. Counts)	187.00	0.00	187.00
General Fund	\$9,289,965	\$51,691	\$9,341,656
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Other Funds	\$209,721	\$0	\$209,721

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

Increased Utility Costs

Additional funds to cover increased utility costs.

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

Increased Utility Costs

		<u>FY 2009</u>	
Personnel Services:	0.00	\$	A
Other Current Expenses:		\$51,691	A
Equipment:		\$	A
<u>Motor Vehicles:</u>		\$	A
Total:	0.00	\$51,691	

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None

VI. Program Restrictions

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009:

- A. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

- B. A listing/description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Requests for Supplemental Year 2008-2009

None

VIII. Proposed Lapses of Capital Improvements Program Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY
PSD 407, OAHU COMMUNITY CORRECTIONAL CENTER

Introduction

A. Summary of Program Objectives

To protect society by providing assessment, supervision, custodial and related individualized programs and services to persons detained; to provide for the basic needs of those incarcerated by developing and maintaining a secure, safe, healthy, and humane social and physical environment; and to facilitate the reintegration of offenders into the community through residential and in-community programs and services.

B. Description of Program Objectives

The Oahu Community Correctional Center (OCCC) provides a safe and humane environment for both male and female pretrial and presentenced detainees, male sentenced misdemeanants, a decreasing number of community custody felons, and special needs inmates who require medical, mental health, or protective custody services. Overcrowding has forced the regular housing of a significant number of sentenced jail female inmates.

C. Explain how your Program intends to meet its objectives within the upcoming fiscal year.

OCCC will continue to provide for the care and custody of the inmate population through the delivery of services in areas, such as, health, food, clothing, counseling, and education. Staff will utilize "best practice" techniques to assess the needs of the inmates and to motivate them to address their problems. The facility will also continue to provide opportunities for inmates to become involved in programs that promote work experiences, community service, re-socialization and work furlough.

II. Program Performance Results

A. Discuss the performance results achieved by each Program in FY 2007.

With the Consent Decree being dismissed from OCCC, the facility strives to maintain the standards already established.

The utmost goal of the facility is to ensure public safety. The Community Based Section programs of OCCC have proven to be effective – allowing inmates to better themselves and seek rehabilitative options while ensuring public safety. The Community Service Work line program can employ as much as 168 inmates working on various tasks while in the community. Civilian and uniformed staff alike supervise these work lines. The projects include school beautification, community projects, forestry projects, Hawaiian Homelands projects, and projects for the State Capitol. Strict guidelines in allowing and selecting sentenced felons and jail inmates to participate in these supervised work line projects have greatly assisted State agencies throughout Oahu. There are virtually tens of thousands of dollars saved by utilizing inmate labor.

The OCCC Furlough Program can house up to 141 inmates (Laumaka facility). These inmates participate in work and re-socialization furloughs. The Furlough program allows inmates who are candidates for parole to reintegrate themselves into the community. The Extended Furlough Program also works through the facility Furlough Program hub that is located at the Laumaka facility.

B. Explain how these results relate to the Program's Objectives and the Department's mission.

The objective of the Department is to provide rehabilitative options for the inmates within its custody. With the success of the Community Based Section programs, the inmates being reintegrated into the community have the foundation to work as law-abiding citizens.

- C. Explain how the effectiveness of the Program is measured (i.e.: outcome, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two (2) years.

The facility's program effectiveness is measured by the following criteria:

1. Number of escapes from confinement.
2. Percent of misconduct sanctions in the high and greatest categories.
3. Number of urinalysis tests administered and the percent of inmates with positive urinalysis.
4. Number of inmates participating in academic, vocational, counseling and treatment programs and the percent of inmates who complete the programs.
5. Percent of inmates employed by facility work lines and correctional industry programs.

The effectiveness is measured in the manner in which OCCC has managed its large inmate population. In this regard and in consideration of the aforementioned criteria, the OCCC staff has managed the facility relatively well, but can improve.

- D. Discuss actions taken by each Program to improve its performance results.

The facility improves its programs by removing inmates who do not adhere to the stipulations demanded by the individual programs. Routine urinalysis testing is done to maintain the integrity of the program. Transfers back to more secured facilities are initiated when inmates do not adhere to program and/or facility guidelines.

In regards to staffing, changes in employee behavioral patterns can be achieved through informational meetings, written directives, and positive reinforcement of work well done. When employees feel they have a vested interest in the facility and organization, less negative behavior or disciplinary problems occur. When employees respond to positive

methods with either blatant and/or passive resistance, more direct disciplinary measures are implemented.

In cases where more direct disciplinary measures must be enacted, non-conforming employees are charged with a Standard of Conduct violation, fully investigated, sent to a pre-disciplinary meeting and sanctioned if appropriate. This simply described method meets all standards of due process with just and proper cause, as is required in the realm of labor management.

- E. Please identify all modifications to your program's performance measures and discuss the rationale for these modifications.

None.

III. Problems and Issues

- A. Discussion of Problems and Issues Encountered if Any.

Despite the transfer of inmates to the Federal Detention Center, OCCC remains overcrowded. Moreover, the management of the facility is very difficult because OCCC houses a wide variety of inmates that require separate housing. For example, there is not enough medium security housing units. Therefore, OCCC is forced to house pre-trial felons, who are medium custody, in minimum-security housing. This raises concerns about staffing, public safety, and appropriate programming.

OCCC also maintains a pre-trial female population that often exceeds the maximum capacity of 100 inmates. A manageable number in the open barracks setting that the female offenders are housed in should be between 70-80 offenders. Also, there are no segregation cells for women inmates who misbehave, or need to be separated for other reasons. OCCC depends on transfers to WCCC, but WCCC is also overcrowded. OCCC also houses many inmates who are mentally ill. However, the need often exceeds the capacity of the three modules dedicated to this population.

The facility as a whole is old and antiquated. The perimeter fence continues to be reinforced and monitored for security breaches. Public safety is of utmost concern and all efforts to ensure perimeter integrity will be made.

B. Program Change Recommendations to Remedy Problems

The implementation of the ACO work schedule has resulted in the increased control of overtime, utilization of staff, and program activities.

C. Identify any program issues or problems that have affected or will affect the implementation of the program, and the corrective measures or remedies established or planned.

Utility costs are also on the rise and the basic cost of fuel and fuel-based items has risen drastically.

If the facility is not funded adequately, the facility will inevitably have safety, security, and health issues materialize and overall liability issues will become evident.

IV. Expenditures for Fiscal Year 2007-2008

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, available resources, and estimated expenditures for FY 2007-2008.

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	494.00	0.00	0.00	0.00	494.00	494.00
Personnel Services	\$23,469,971	\$941,622	\$0	\$0	\$24,411,593	\$24,411,593
Current Expenses	\$3,352,842	\$0	\$0	\$0	\$3,352,842	\$3,352,842
Equipment	\$35,015	\$0	\$0	\$0	\$35,015	\$35,015
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$26,857,828	\$941,622	\$0	\$0	\$27,799,450	\$27,799,450
(Pos. Counts)	494.00	0.00	0.00	0.00	494.00	494.00
General Fund	\$26,827,828	\$941,622	\$0	\$0	\$27,769,450	\$27,769,450
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$30,000	\$0	\$0	\$0	\$30,000	\$30,000

A. Explain all Transfers Within the Program I.D. and the Impact on the Program.

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program.

None

C. Explain any Restrictions and the Impacts on the Program.

None

V. Supplemental Budget Requests for FY 2008-2009

Provide the total position counts and funds requested.

	Budget Request FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	494.00	0.00	494.00
Personnel Services	\$23,469,971	\$0	\$23,469,971
Current Expenses	\$3,352,842	\$49,043	\$3,401,885
Equipment	\$35,015	\$0	\$35,015
Motor Vehicles	\$0	\$0	\$0
Total	\$26,857,828	\$49,043	\$26,906,871
(Pos. Counts)	494.00	0.00	494.00
General Fund	\$26,827,828	\$49,043	\$26,876,871
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Other Funds	\$30,000	\$0	\$30,000

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

Increased Utility Costs

Additional funds to cover increased utility costs.

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

Increased Utility Costs

		<u>FY 2009</u>	
Personnel Services:	0.00	\$	A
Other Current Expenses:		\$49,043	A
Equipment:		\$	A
<u>Motor Vehicles:</u>		<u>\$</u>	<u>A</u>
Total:	0.00	\$49,043	

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None

VI. Program Restrictions

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009:

1. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

2. A listing/description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Requests for Supplemental Year 2008-2009:

None

VIII. Proposed Lapses of Capital Improvements Program Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY
PSD 408, KAUAI COMMUNITY CORRECTIONAL CENTER

I. Introduction

A. Summary of Program Objectives

To protect society by providing assessment, supervision, custodial, and related individualized programs and services to detained and sentenced offenders; to provide for the basic needs of those incarcerated by developing and maintaining a secure, safe, healthful, and humane social and physical environment; and to facilitate the reintegration of offenders back into the community utilizing a philosophy centering on discipline and work orientation, life skills programming, and residential and in-community services.

B. Description of Program Objectives

The Kauai Community Correctional Center (KCCC) provides for the care and custody of pretrial and pre-sentenced arrestees, sentenced misdemeanants, medium custody sentenced felons pending transfer, and minimum and community custody felons. Activities at the facility include maintenance, provision of adequate food and clothing, diagnostic evaluations, counseling, work experiences, on-the-job training, education, life skills/discipline oriented programs, community service, and work furlough opportunities.

C. Explain how your Program intends to meet its objectives within the upcoming supplemental year.

KCCC fulfills both prison and jail functions. The main building consists of three holding cells, and two modules, Module A and Module B. The operational capacity of the entire main building is 46 inmates. Outside the main building there are several housing units.

Module C is an 80-unit dorm for sentenced minimum custody males while three cabins provide an additional 40 beds, including one cabin designated for sentenced minimum custody females. All of the outside units are part of the Lifetime Stand, a disciplined, paramilitary, self-governing unit that has been in existence since the early 90's, offered to both male and female sentenced inmates. The Lifetime Stand houses greater numbers than the main building, however, the main focus is always directed towards the main building, where infrastructure and space is limited and where most of the serious incidents occur. The constant influx of new arrestees, including unstable, disruptive and special needs offenders assures daily use of the three holding units. Limitations in the physical plant necessitate reliance on unique programming concepts. The Module Contract program within the main building is patterned after the Lifetime Stand program. This program offers an array of educational and therapeutic programs for male and female pretrial and sentenced inmates. The foundation of both programs is a "safe environment" where openness, honesty, and proper interaction are mandated at all times and depend heavily on cooperation between staff and inmates. Prison experts refer to this concept as a "behavioral" prison environment. About 70% of the entire population participates. Participation enhances inmate and staff safety, prevents costly litigation, and is intended to alter the core of an offender's belief system.

The facility operates with 56 authorized security positions, 6 administrative staff, 3 program staff, and 2 maintenance staff. The core units include medical, food service, educational and library services staff.

II. Program Performance Results

A. Discuss the performance results achieved by each Program in FY 2007.

The trend of a shifting population, from a stable long-term population to a more transient type of population was anticipated years ago. Last year, KCCC admitted 730 inmates. Regardless of the limitations of the physical plant, staffing, and resources, in FY 2005-2006, the facility felt fortunate that there were no inmate deaths due to natural causes, suicides or homicides. There were no major disturbances. The last attempted escape occurred in January of 2005. Incidents involving assaults on staff and illicit drug use by

inmates are rare. The lack of major incidents is the direct result of a very personalized and proactive approach in housing and programming inmates. This results in constant interaction and intervention by staff. Inmates in turn, reciprocate with cooperation and support.

- B. Explain how these results relate to the Program's Objectives and the Department's mission.

The mission of the Department is to ensure the public's safety while housing inmates humanely, securely, and in accordance within expected standards and goals. The increase in crime, substance and domestic abuse, newer and more lethal designer drugs, and the breakdown of the overall moral and social fiber, ensures continual challenges including a rise in a more unstable population. KCCC has long prioritized the institutional climate, which is seen as an avenue to managing the population and minimizing major incidents. The benefits are invaluable as staff and inmate safety and morale are boosted. Inmates are more willing to be guided and redirected. If the cycle of recidivism can be broken, a difference can be made in the community. The effort will not only result in immediate dividends, but also have long-term benefits for future generations.

- C. Explain how the effectiveness of the Program is measured (i.e.: outcomes, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two years.

KCCC, like all prisons, must be in compliance with governmental regulations and standards. The facility strives to fulfill the Department's mission, careful not to place the Department or State of Hawaii in disrepute or dishonor. Of course, there is always an expected degree of risk and danger. Last year, the facility found 25 inmates guilty of high misconducts, including incidents of assaults and threats. At times, use of force is utilized. However, even long-time staff cannot recall an incident of staff being seriously hurt from an inmate assault. There have been no deaths by suicide in over a decade. Lawsuits are minimal. Community service projects, averaging 6,000 hours a year is almost all agency – supervised, without staff escort and monitoring. The relatively safe environment is the direct result of prioritizing the institutional culture, a mission for the last 15 years. This

concept was originally intended to alter the traditionally hostile prison environment and to counteract the revolving door. Today, it is an invaluable population management tool, especially since the facility is so small and cramped and in constant danger of litigation. This old-fashioned community based philosophy mirrors Kauai's small town values. The facility is always reminded how visible of an institution it is and works hard to maintain accountability and expectations. This sense of responsibility also extends to after inmates leave as nearly all are tracked down either through word-of-mouth, the police blotter, or information from other law enforcement and criminal justice agencies. The facility believes it has an obligation to make a difference and strongly believes with the right guidance and tools; inmates can break the cycle of criminality. It has long believed that traditional and mainstream prison programs are woefully ineffective in confronting the core of their criminality and underlying issues of dysfunction.

- D. Discuss actions taken by each Program to improve its performance results.

KCCC continues to hold steadfast in its philosophy, believing the greater the effort in interacting, intervening, and properly guiding the inmate population, the more benefits not only for the institution, but the community and state in general. As long as there is a climate of safety, cooperation, and community, serious incidents and litigation can be kept to a minimum. This also has a positive impact on staff morale, professionalism, and sick leave abuse, allowing staff to increase skills necessary to uphold their calling and enhance career longevity.

- E. Please identify all modifications to your program's performance measures and discuss the rationale for these modifications.

No changes to program's performance measures.

III. Problems and Issues

- A. Discussion of Problems and Issues Encountered if Any.

KCCC is in dire need of an on-site expansion for pretrial and medium custody inmates. Planning for relocation of a new facility has been ongoing for well over a decade, but it

appears there will be nowhere to go. The facility was built in 1977 with an outdated infrastructure. Repeated security enhancements have had to be made to accommodate changes in laws and societal trends along with the increase in population. Currently, the most imminent concern is the rise of the pretrial population. That population alone often exceeds the operational capacity of the entire main building. More and more inmates are being detained on higher bail amounts for longer periods of time, many have multiple charges in multiple jurisdictions. An extension to the main building could double the operational capacity and satisfy needs for the coming years.

This year, the facility finally took measures to separate, as much as possible, the pretrial population from the sentenced population. This was very difficult task since historically, pretrial and sentenced offenders were mixed. Keep in mind the facility has only two modules to house sentenced males, pretrial males, sentenced females, and pretrial females and only three small holding cells. In addition, for almost a decade, KCCC depended heavily on the Lifetime Stand to alleviate overcrowding as more than 50% of the entire population was always in the program. That has changed. The numbers in Lifetime Stand are decreasing. The pool of eligible and motivated inmates has dwindled diminishing the overall strength and effectiveness of the program. The facility is now dependent on regular charter flights, which although being a lifesaver in reducing overcrowding, has taken away our longstanding ability to program appropriate felons in the program. Responsible and loyal long-term felons were transferred out leaving a small pool of dependable inmates. Felons who return after confinement elsewhere only want work release and have a limited sense of obligation. In addition, jail inmates in Lifetime Stand offer little promise. Restrictions of inmates eligible for Federal Detention Center transfer mean that more problematic jail inmates will end up in the Lifetime Stand.

The Module Contract program faces similar challenges. Historically, KCCC averaged only a few grievances each month from the main building, however, that number has increased significantly due to the growing number of uncooperative and demanding inmates. The aftermath is that today, we do not see the kind of past cooperation. More effort is expended to stop the resurgence of the "us versus them" mentality the program was intended to counter. The lack of appreciation and understanding is attributed mainly to

hostile and institutionalized inmates, those who have experienced larger institutions with large commissaries, unlimited television viewing, telephone calls, and video games. These kinds of inmates favor tools of appeasement over change.

B. Program Change Recommendations to Remedy Problems.

KCCC's need for a medium custody expansion to the main building is imminent. The facility has always maintained a philosophy of being able to do more with less. It has always maintained a very proactive and humanistic approach to housing and programming inmates. But there is only so much that can be done. Without intervention, the facility struggles to maintain the public's trust and expectations.

C. Identify any program issues or problems that have affected or will affect the implementation of the program, and the corrective measures or remedies established or planned.

None.

IV. Expenditures for Fiscal Year 2007-2008

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, available resources, and estimated expenditures for FY 2007-2008.

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	68.00	0.00	0.00	0.00	68.00	68.00
Personnel Services	\$2,793,291	\$121,095	\$0	\$0	\$2,914,386	\$2,914,386
Current Expenses	\$619,505	\$0	\$0	\$0	\$619,505	\$619,505
Equipment	\$0	\$0	\$0	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$3,412,796	\$121,095	\$0	\$0	\$3,533,891	\$3,533,891
(Pos. Counts)	68.00	0.00	0.00	0.00	68.00	68.00
General Fund	\$3,412,796	\$121,095	\$0	\$0	\$3,533,891	\$3,533,891
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0	\$0	\$0	\$0

A. Explain all Transfers Within the Program I.D. and the Impact on the Program.

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program.

None

C. Explain any Restrictions and the impact on the Program.

None

V. Supplemental Budget Requests for FY2008- 2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	68.00	0.00	68.00
Personnel Services	\$2,793,291	\$0	\$2,793,291
Current Expenses	\$619,505	\$26,090	\$645,595
Equipment	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0
Total	\$3,412,796	\$26,090	\$3,438,886
(Pos. Counts)	68.00	0.00	69.00
General Fund	\$3,412,796	\$26,090	\$3,438,886
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

Increased Utility Costs

Additional funds to cover increased utility costs.

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

Increased Utility Costs

		<u>FY 2009</u>	
Personnel Services:	0.00	\$	A
Other Current Expenses:		\$26,090	A
Equipment:		\$	A
<u>Motor Vehicles:</u>		\$	<u>A</u>
Total:	0.00	\$26,090	

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None

VI. Program Restrictions

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009:

1. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

2. A listing/description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Requests for Supplemental Year 2008-2009

None

VIII. Proposed Lapses of Capital Improvements Program Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY
PSD 409, WOMEN'S COMMUNITY CORRECTIONAL CENTER

I. Introduction

A. Summary of Program Objectives

To protect the public by operating a secure, safe, healthful, and humane facility by delivering effective treatment and program services appropriate for incarcerated females, where the necessary knowledge, skill, and abilities can be developed to support a successful reintegration into the community.

B. Description of Program Objectives

The Women's Community Correctional Center (WCCC) provides for the care and custody of long-term sentenced female felons and special needs female inmates who require medical, mental health or protective custody services. Activities include the maintenance of humane, secure, and healthful facilities; provision of adequate food and clothing; diagnostic evaluations; counseling; work experience; education; community service; and re-socialization and furlough opportunities.

C. Explain how your Program intends to meet its objectives within the upcoming fiscal year.

The WCCC will meet its program objectives by:

1. Providing adequate security to ensure that there will be no escapes from the facility while having a safe place for inmates to reside and staff to work.

2. Providing a clean and healthy environment. The facility will be managed in an orderly fashion and the sanitation program and health care program will comply with national standards.
3. Providing educational, vocational, in-house treatment and/or counseling, resocialization and furlough program opportunities for all inmates.

II. Program Performance Results

A. Discuss the performance results achieved by each Program in FY 2007.

The majority of the female offenders are long term-sentenced felons. As a result, it is necessary to provide them with meaningful activities and opportunities for self-improvement and self-enhancement. Due to the pathways of criminality into the criminal justice system that can be considered gender specific it is important that we carefully classify them so that we reduce the risks of escapes. By conducting risk assessments we can further assign them to programs and activities that are consistent with their assigned custody level.

We have been able to offer the sentenced felon population specific programming in the areas of education, faith based, recreational as well as provide opportunities for work within the facility.

Qualified individuals who have community-based custody are given opportunities to participate in two transitional programs. The first provides a structured living environment, employment counseling and opportunities, case management and life skills enhancement in a community halfway house setting. The second affords opportunities for participation in short-term re-socialization furloughs with the individual's families; to obtain gainful employment, participate in educational and vocational training furloughs while still residing at the facility.

Substance Abuse treatment services are provided for Level II and III female offenders.

- B. Explain how these results relate to the Program's Objectives and the Department's mission.

The mission of the Department is to protect the public by assigning persons committed to the custody of the Department to secure, safe and humane facilities in compliance with statutory requirements. The goals of the Department are to operate secure facilities where inmates are properly classified and assigned; to provide a safe working environment for employees and a humane place for inmates; to provide program opportunities for inmates in addition to sustaining the health and well being of those who are incarcerated.

The number and frequency of inmate escapes reflect the effectiveness of the facility's and furlough program's security program and the level of protection provided to the community. The percent of serious sanctions and positive urinalysis by inmates demonstrate whether the program's daily security, safety and control practices are working to operate a humane environment for inmates and a safe working place for employees. The percent of inmates participating and completing programs, and the percent of inmates employed by the facility and correctional industry programs, demonstrate whether the facility is successful in offering program opportunities for inmates to address their problems that resulted in their incarceration. Meaningful activity is essential in establishing and sustaining the health and well being of long-term felons.

- C. Explain how the effectiveness of the Program is measured (i.e.: outcome, measures of effectiveness, benchmarks, etc.) and discuss with the performance results achieved during the past two (2) years.

Performance measures for correctional facilities focus on the incarceration of offenders and their reintegration back into the community. The number of escapes is one measure used to assess the effectiveness of security operations at the facility. Operating a secure facility allows us to maximize the safety and well being of offenders, staff, and the community.

The percent of inmates completing academic, vocational, industries, treatment and furlough programs measure the facility's ability to design programs that are responsive to inmate needs and their reintegration back into the community. These measures are applied to determine the effectiveness of inmate assessment and treatment programs, as well as, security and supervision programs. Encouraging participation and completion of correctional programs promotes personal growth, rehabilitation, and prepares the inmate for gainful employment.

The percent of inmates with sanctions for misconduct in the high or greatest categories, the percent of inmates who test positive on urinalysis tests, the number of incidents requiring use of force, number of incidents of violence are measures used to assess the level of safety for inmates and staff.

The program effectiveness is measured by what is projected in percent or numbers of actual participants in a program.

D. Discuss actions taken by each Program to improve its performance results.

The Olomana Treatment Complex has been developed to provide a continuum of effective substance abuse treatment services for female offenders. Proper screening and assessment of female offenders with substance abuse problems and co-occurring diagnosis will result in the identification of appropriate placement for treatment.

The goal of the Olomana Treatment Complex is to promote self-sufficiency, a drug free lifestyle and the development of necessary skills for pro-social independent living. The therapeutic approach will focus on gender responsive issues, cognitive restructuring, developing personal responsibility, and relapse prevention. Treatment services shall include, but not be limited to, group/individual counseling, group education and skill building, an array of appropriate resocialization activities, vocational/educational placement, and case management.

The Olomana Complex has two (2) types of programs to gradually reintegrate female offenders into the community. One of the programs is a 50-bed unit for the

Therapeutic Community. The second program is the Women's Project Bridge Program, a 15-bed gender responsive substance abuse transitional program for female offenders with histories of addiction and who have completed substance abuse treatment while incarcerated. The Bridge Program is an important step toward providing the kind of help for women that empowers them to break the cycles, which often return them to incarceration. A contracted psychologist provides mental health services and medication management for these offenders. Project Bridge provides the participants with the necessary skills, tools, education, and behaviors that will enable them to make a successful transition from institutionalization to a sober and productive lifestyle in the community, prior to being paroled.

WCCC will continue to maintain its professional performance by staff to prevent escapes and to have a safe, humane and clean facility for staff to work in and inmates to reside. It is proposed that to meet this requirement, we will provide training opportunities in as many areas as possible for staff.

- E. Identify all modifications to your program's performance measures and discuss the rationale for these modifications

The overall recreational program has increased. Special Needs inmates are being introduced to Therapeutic Recreation which is a process that utilizes recreation services for purposive intervention in some physical, emotional and/or social behavior to bring about a desired change in that behavior and to promote and development of the individual. Therapeutic recreation has positive effects on social and motor skills, as well as intellectual abilities in people with mental illness or disabilities. Those who participate in therapeutic recreation show improvement in social interaction, play and recreation, and vocational abilities.

III. Problems and Issues

A. Discussion of Problems and Issues Encountered if Any.

There is some question on the ability of the current administration to effectively manage an already deteriorating physical plant of WCCC. A review of the management of the operations section is currently underway that accounts for poor preventive maintenance accountability, record keeping, tracking of tools and supplies and a database for work orders. The operations section and its management have a direct effect on maintaining a safe and humane environment for both staff and offenders.

The Offender Services is currently not able to effectively manage its responsibilities in assessing, counseling, monitoring, placement of offenders due to lack of personnel. The current administrator is overburden with conducting the work of subordinates instead of managing the section. Recruiting Social Workers IV to work in a female correctional environment has been a challenge. The lack of social workers directly impacts the ability to successfully program inmates appropriately. In addition it can cause delays in filling transitional community beds.

There has been an assessment of the administrative section and its ability to support the mission of WCCC. Currently the office is lacking mid management leadership to effectively direct the work of the clerical staff as well as provide comprehensive oversight in all budgetary concerns. The current direction that WCCC is moving towards requires a strong administrative section to support its growing needs.

B. Program Change Recommendations to Remedy Problems

Institutional Facility Superintendent

The return of the IFS position along with the ongoing restructuring of the operation section will greatly benefit WCCC in its efforts to provide for a clean and healthy environment.

Business Services Supervisor I

As the only female prison in the State of Hawaii it is critical for the administrative section to have its own BSS I. Currently there is a national correctional trend for appropriate female gender responsive programming therefore it is essential that WCCC begin to manage its administrative efforts towards this progression.

Social Worker IV

The return of the SW IV position is warranted due to the fact that the Offender Services section is on the brink of failing in its responsibility to effectively program the female offenders incarcerated at WCCC.

The absence of a gender responsive operating philosophy has impacted the effectiveness of programs and operations at WCCC. The Administration and facility are currently working on the identification of these needs and the development of appropriate strategies to address them. This includes treatment, vocational training needs, classification, housing of inmates, as well as training for staff working with the female offender.

- C. Identify any program issues or problems that have affected or will affect the implementation of the program, and the corrective measures or remedies established or planned

Utility costs are also on the rise and the basic cost of fuel and fuel-based items has risen drastically.

If the facility is not funded adequately, the facility will inevitably have safety, security, and health issues materialize and overall liability issues will become evident.

IV. Expenditures for Fiscal Year 2007-2008

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, available resources, and estimated expenditures for FY 2007-2008.

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	132.00	0.00	0.00	0.00	132.00	132.00
Personnel Services	\$5,434,081	\$249,632	\$0	\$0	\$5,683,713	\$5,683,713
Current Expenses	\$987,975	\$0	\$0	\$0	\$987,975	\$987,975
Equipment	\$0	\$0	\$0	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$6,422,056	\$249,632	\$0	\$0	\$6,671,688	\$6,671,688
(Pos. Counts)	132.00	0.00	0.00	0.00	132.00	132.00
General Fund	\$6,422,056	\$249,632	\$0	\$0	\$6,671,688	\$6,671,688
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0	\$0	\$0	\$0

A. Explain all Transfers Within the Program I.D. and the Impact on the Program

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program

None

C. Explain any Restrictions and the Impacts on the Program

None

V. Supplemental Budget Requests for FY 2008-2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	132.00	0.00	132.00
Personnel Services	\$5,434,081	\$0	\$5,434,081
Current Expenses	\$987,975	\$29,868	\$1,017,843
Equipment	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0
Total	\$6,422,056	\$29,868	\$6,451,924
(Pos. Counts)	132.00	0.00	132.00
General Fund	\$6,422,056	\$29,868	\$6,451,924
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

Increased Utility Costs

Additional funds to cover increased utility costs.

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

Increased Utility Costs

		<u>FY 2009</u>	
Personnel Services:	0.00	\$	A
Other Current Expenses:		\$29,868	A
Equipment:		\$	A
<u>Motor Vehicles:</u>		\$	A
Total:	0.00	\$29,868	

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None

VI. Program Restrictions:

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009:

1. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

2. A listing/description of the positions cut including source of funding, please specify whether the position were filled or vacant.

None

VII. Capital Improvement Program (CIP) Requests for Supplemental Year 2008-2009:

None

VIII. Proposed Lapses of Capital Improvements Program Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY

PSD 410, INTAKE SERVICE CENTERS

I. Introduction

A. Summary of Program Objectives

1. To assist in the coordination and promotion of public safety programs by implementing assessment, evaluation, and supervisory programs of all types for offenders throughout the criminal justice system.
2. To reduce the overcrowding situation of our jails by providing diversionary programs as an alternative to incarceration for "low-risk" offenders.

B. Description of Program Objectives

The Intake Service Centers (ISC) on the islands of Kauai, Oahu, Maui, and Hawaii provide assessment and evaluation services of offenders at each location within the criminal justice system at the pretrial, pre-sentence, and post-sentence phases. Services include pretrial bail reports, community service restitution and pretrial supervision, extended furlough screening and supervision, classification, mental health, social, vocational, educational, and other types of needs assessment. Based on these determinations, supervised release of pretrial offenders and intermediate sanctions become viable alternatives to incarceration.

C. Explain how your Program intends to meet its objectives within the upcoming fiscal biennium.

All branches will continue to operate within budgetary allocations. Each branch will be held accountable for their expenditure plan, which they submitted, at the beginning of the

fiscal year. If there are any unanticipated problems, the branches will be able to react and continue to operate because all the managers have identified the division's core functions and prioritized their activities to achieve those functions. To illustrate, an increase in pretrial bail reports would unfortunately cause a decrease in our services for the Community Service Restitution Program.

II. Program Performance Results

A. Discuss the performance results achieved by each Program in FY 2007.

One of the mandated functions of the Intake Service Centers is to assist the judge in rendering a decision to release a pretrial detainee from custody. Last year, our staff was able to prepare and submit, in a timely manner, 10,142 bail reports (assessment and recommendation) state wide.

If the Court releases a pretrial detainee pending adjudication, ISC is responsible to monitor and supervise the offender's compliance with the terms and conditions of release set forth by the judge. At the end of the forth quarter last year, there were 3,664 active cases on Supervised Release.

To ensure that all new offenders admitted into the community correctional centers (CCC) receive necessary medical and mental health services, and that all offenders were classified and housed appropriately, our staff was able to conduct 8,868 initial interviews last fiscal year. The importance of this task, for example, is to prevent an inmate from committing suicide, which is highly probable within the first 48hours after admission.

B. Explain how these results relate to the Program's Objectives and the Department's mission.

The Department's mission is to protect public safety. All programs for both sentenced inmates and pretrial detainees are focused on returning these offenders to society whereby they can lead productive lives and become responsible citizens. These programs, however, must not jeopardize or compromise public safety.

The measures of effectiveness of the ISC's diversionary programs are one, did our staff release the appropriate offender from custody and two, how well did our workers monitor and supervise the offender while released into the community.

- C. Explain how the effectiveness of the Program is measured (i.e.: outcomes, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two years.

A measure of effectiveness for any pretrial diversion program is the rate at which the offenders appear in court as scheduled and the arrest rate of offenders on supervision in the community. The program has been able to maintain a high level of compliance with 90% of pretrial supervision cases appearing in court as scheduled and 99% of pretrial offenders on supervised release not being arrested for a new offense.

Another function of ISC is to provide sentencing alternatives for low-risk, non-violent offenders for community service or home detention. The effectiveness of these programs is measured by the number of hours of community service completed or the number of days the sentenced inmate did not occupy a facility bed space. This measure also applies to pretrial detainees placed on supervised release. For last fiscal year, both programs were responsible for 274,207 bed-days saved.

- D. Discuss actions taken by each Program to improve its performance results.

To improve our program effectiveness, we employ best practices and evidence based methods of conducting assessments for release and supervising our clients in the community.

1. Staff Training – ISC's most valuable asset is our workers. Through the Inter-agency Council on Intermediate Sanctions and the School of Social Work at the University of Hawaii, we have been providing staff training in basic social work skills such as Motivational Interviewing, Cognitive Behavioral Techniques and Case Management. We strongly believe these kinds of training will improve our employees' performances.

2. LSI – The Level of Service Inventory. Our program utilizes this instrument to reduce our recidivism or revocation rate. The LSI ensures that the areas of concerns are addressed in the offender’s terms and condition of release (e.g. substance abuse and/or mental health treatment) and the instrument also designates the level of supervision needed in the community.
 3. Drug Testing – We practice and support the Department’s policy on random urinalysis. From our past experiences, we have learned that if we can control an offender’s drug use, we can control the offender’s illegal activities or re-arrest rates.
 4. Electronic Monitoring – If an offender requires intensive supervision in the community, our office is equipped to install an electronic devise at the offender’s home to monitor the offender’s compliance with our rules of home detention, 24 hours a day, seven days a week.
- E. Please identify all modifications to your program’s performance measures and discuss the rationale for these modifications.

None

III. Problems and Issues:

A. Discussion of Problems and Issues Encountered if Any.

In addition to focusing upon the offender’s substance abuse and mental health needs, studies have shown that housing and employment are two key factors in determining if an offender will be successful in making the transition back to society.

B. Program Change Recommendations to Remedy Problems.

1. We have found that a significant amount of pretrial felons in custody cannot be released on Supervised Release because they lack a verifiable residence in the community. The Oahu Intake Service Center branch submitted a budget proposal to purchase (1) independent housing that required no services from the vendor, just

shelter, and (2) transitional housing that require some services from the vendor to assist the ISC case worker in monitoring the offender's terms and conditions of release. The 2007 Legislature concurred with our petition and appropriated \$378,000 for Diversion Beds for Pretrial detainees.

2. On Maui, the program PROTECT is to provide female offenders, pretrial and/or sentenced, an array of services they would need making the transition back to the community. These services are, but not limited to, assisting them obtain employment, housing, substance and mental health treatment. This program is funded by a federal grant that ends this fiscal year. The 2007 Legislature again approved our request and appropriated \$140,000 to continue this program.
3. Recognizing the need for providing a continuum of care from the correctional facilities to the community, we asked and received four (4) re-entry workers, one for each ISC branch, to collaborate with the community correctional center's case workers and the probation or parole officers to ensure that offenders have housing and employment upon release.

C. Identify any program issues or problems that have affected or will affect the implementation of the program, and the corrective measures or remedies established or planned.

1. Currently, in the criminal justice arena, there are too many unfocused and duplicated initiatives or efforts either by government or private organizations directed towards the re-entry process. There is a critical need for agencies to coordinate resources, to prevent duplication of services and to streamline the continuum of care from the community correctional centers to the community.
2. With the acquisition of the four re-entry caseworkers, the Intake Service Center will become the single point of contact for the Department. The function of preparing an offender for release is consistent with the Division's goals and objectives, and we have the expertise (conducting release assessments and providing supervision for offenders in the community), to fulfill this mission.

IV. Expenditures for Fiscal Year 2007-2008

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, available resources, and estimated expenditures for FY 2007-2008.

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	61.00	0.00	0.00	0.00	61.00	61.00
Personnel Services	\$2,642,752	\$112,228	\$0	\$0	\$2,754,980	\$2,754,980
Current Expenses	\$956,114	\$0	\$0	\$0	\$956,114	\$956,114
Equipment	\$8,520	\$0	\$0	\$0	\$8,520	\$8,520
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$3,607,386	\$112,228	\$0	\$0	\$3,719,614	\$3,719,614
(Pos. Counts)	61.00	0.00	0.00	0.00	61.00	61.00
General Fund	\$3,607,386	\$112,228	\$0	\$0	\$3,719,614	\$3,719,614
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0	\$0	\$0	\$0

A. Explain all Transfers Within the Program I.D. and the Impact on the Program.

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program.

None

C. Explain any Restrictions and the impact on the Program.

None

V. Supplemental Budget Requests for FY 2008-2009

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	61.00	0.00	61.00
Personnel Services	\$2,684,896	\$0	\$2,684,896
Current Expenses	\$939,074	\$0	\$939,074
Equipment	\$8,520	\$0	\$8,520
Motor Vehicles	\$0	\$0	\$0
Total	\$3,632,490	\$0	\$3,632,490
(Pos. Counts)	61.00	0.00	61.00
General Fund	\$3,632,490	\$0	\$3,632,490
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

None

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

None

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None

VI. Program Restrictions

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009:

1. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

2. A listing/description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Requests for Supplemental Year 2008-2009

None

VIII. Proposed Lapses of Capital Improvements Program Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY

PSD 420, CORRECTIONS PROGRAM SERVICES

I. Introduction

A. Summary of Program Objectives

To protect society by providing the following services to persons detained or sentenced to correctional care: individualized assessment, counseling and treatment services, academic and vocational education, meaningful on-the-job training and work opportunities, adequate and nutritious meals, opportunities for constructive recreational and leisure time activities, adequate access to the courts, and opportunities for worship in the religion of choice.

B. Description of Program Objectives

1. Provision of nutritionally adequate meals.
2. Spiritual guidance and counseling.
3. Assessment and treatment of substance abusers and sex offenders.
4. Educational services including literacy training, general educational services and employment training, and job placement.
5. Employment in Correctional Industries.
6. Opportunity to conduct legal research and provision of access to the courts.
7. Meaningful leisure time activities.

- C. Explain how your Program intends to meet its objectives for the upcoming fiscal biennium.

Corrections Program Services Division (CPS) will continue to focus resources on providing programs, which are constitutionally required, statutorily required, and based on effective correctional practices. These programs and activities are intended to address the immediate needs and problems of the inmate population.

Sound correctional programs are an effective tool in reducing the “public risk factor” when inmates are released and/or paroled. The intent of correctional programs is to provide inmates with the necessary life skills, education, treatment, and employment skills to become law-abiding citizens.

II. Program Performance Results

- A. Discuss the performance results achieved by each Program in FY 2007.

The CPS continues to protect society by providing needed program services to the inmate population incarcerated within all eight correctional institutions. Program services are offered to persons detained or sentenced to the Department’s care and custody. Services provided include individualized assessment, counseling, and treatment services; academic and employment training, meaningful on-the-job training, and work opportunities; adequate and nutritious meals; opportunities for constructive recreational and leisure time activities; adequate access to the courts; and opportunities for worship in the religion of choice.

- B. Explain how these results relate to the Program’s Objectives and the Department’s mission.

These performance measures have a direct impact on the Department’s ability to maintain public and community safety by releasing inmates who are better educated, vocationally skilled and employable, and have addressed their substance abuse and/or sex offender treatment as well as other needs as determined by appropriate assessment.

- C. Explain how the effectiveness of the Program is measured (i.e., outcomes, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two years.

There are various measures of program effectiveness for each of the programs in the Corrections Program Services Division.

Food Services

The Food Services Branch (CPS-F) strives to provide nutritionally healthy meals that are consistent with current nutritional principles, including alternative meals such as therapeutic and religious-based diets. All foods prepared must follow public health rules and regulations, and must be served under safe and sanitary conditions. The effectiveness of the program is measured by the number of inmate grievances related to the quantity and quality of meals provided, and the number of incidents of food-borne illnesses.

The CPS-F is responsible for providing three adequate and nutritious meals daily to inmates while they are incarcerated at the eight correctional facilities. In addition, the Department is required by collective bargaining agreements to provide meals to all Unit 10 adult correctional officers and other selected bargaining unit employees. Over 14,000 meals are prepared and served daily to inmates and staff.

The CPS-F is also responsible for preparing special and therapeutic diets daily at all correctional facilities. Authorized medical personnel in the Health Care Unit prescribe these special diets. The therapeutic diets include: Bland, Diabetic, Reduced/Low Fat, Low Cholesterol, Low Sodium, Renal, Liquid, and Aids/HIV. The special and therapeutic diets help with medical and pharmaceutical costs. These diets maintain and control medical conditions of inmates. Preparing the diets require professional cooks and kitchen helpers to be on duty for each work shift. Over 375 therapeutic diets and 700 vegetarian meals are prepared daily.

The CPS-F has minimum staffing of civilian cooks and kitchen helpers and depends on inmate work lines to perform many kitchen tasks. The inmate work lines perform very important functions in prisons and jails; however, they are not trained in food preparation

like the Food Service Unit Staff. There are approximately 400 inmates working daily in the kitchen at the facilities. Inmates in food service have access to knives and other instruments that can be used as weapons; therefore, they must be screened prior hiring, and closely supervised at all times by the food services staff while working in the kitchen.

Inmates assigned to the food service work lines also require proper supervision to ensure that the meals are served in a timely manner, are not tampered with in order to prevent any food poisoning, and are prepared properly to prevent food-borne illness. Furthermore, all of the facilities have satellite-feeding units to minimize the movement of inmates throughout the facility. The food service staff must ensure that the meals are transported in a timely manner to preserve the temperature of the food in order to prevent food-borne illnesses from occurring. Without proper staffing, food services will not be able to prepare the standard meals and special and therapeutic diets.

Substance Abuse Treatment

The Department has attempted to provide a broad range of treatment for sentenced felons incarcerated in the facilities, as well as a continuum of care as the inmate moves through the system. Most services are concentrated towards the end of the inmate's sentence in the lower custody facilities. We measure our accomplishments by:

1. Number of Substance Abuse Assessment Instruments (SAAI) conducted on inmates through the Reception, Assessment, and Diagnostic (RAD) Unit.
 - a. Percent/Number of inmates assessed as requiring treatment Level II.
 - b. Percent/Number of inmates assessed as requiring treatment Level III.
2. Number of substance-abusing inmates entering treatment Level II or Level III.
3. Number of inmates that successfully complete substance abuse treatment.
4. Number of inmates that are terminated from treatment due to noncompliance with treatment.
5. Number of inmates that are terminated due to illegal activity or new charges.

6. Number of inmates that are paroled before completing treatment.
7. Number of inmates that are transferred before completing treatment.
8. Number of inmates that completed treatment and returned to prison.
9. Number of inmates that completed treatment and are re-convicted for new drug offenses.
10. Percent/Number of inmates testing positive for drugs while in treatment.

Measures #1 through #3, and #10 reflect the program's ability to provide adequate and appropriate treatment to assess and direct appropriate inmates for treatment.

Measures #4 through #6, #8, and #9 are indicators of reasons for inmates' failure to complete treatment and the need for continuing services in the community.

Measure #7 is an indicator of the need to provide treatment services in a greater number of locations.

About 85% of those committed to the Department need some form of treatment and/or counselor services for substance abuse. Without proper services they will more than likely re-offend and re-enter correctional facilities after their release.

Without proper funding, the Substance Abuse Treatment Programs will not be able to accurately assess each inmate and provide the level of treatment services that would address the inmate's problem areas to develop internal controls necessary to function pro-socially in the community. Staffing and their certified training will be essential to accomplishing the goals and objectives cited earlier. The Department needs to address the bed space problems of the correctional facilities so that inmates needing substance abuse treatment are provided services without disruption.

Sex Offender Treatment Program (SOTP)

The Department offers treatment for sex offenders based on a criminogenic model, not a medical model that was first officially implemented in 1991 after wardens introduced pilot

programs in the late 1980s. The program is 100% privatized as all services and administration are accomplished through contracts. The SOTP expends approximately \$600,000 per year on direct inmate services.

Of the nearly 925 sex offenders released from prison in 19 years since January 1988, only 27 have been convicted of new felony sex offenses post-release. Only 1% of treated sex offenders have been re-convicted on new sex charges. It appears Hawaii has the lowest recidivism rate anywhere, at a fraction of the cost incurred by states that adopted a medical model to treat offenders. An untreated sex offender is nearly three times more likely to re-offend.

In any given year, approximately 90% of Hawaii's released sex offender inmates successfully complete SOTP beforehand. All sex offenders are assessed for risk prior to release. Hawaii is the only state with lifetime tracking of its released sex offenders, which allows us to capture their true recidivism history years into the future. We measure our accomplishments by:

1. Percent of sex offender inmates entering sex offender treatment.
2. Percent of sex offenders not entering treatment, refusing treatment, rejected because of claims of innocence or inability to participate (severe psychosis, language deficit, etc.)
3. Number of sex offenders released after receiving treatment.
4. Number of sex offenders released without treatment.
5. Number of treated sex offenders returned to prison as parole violators.
6. Number of untreated sex offenders returned to prison as parole violators.
7. Number of treated sex offenders re-convicted for a new sex assault felony.
8. Number of untreated sex offenders re-convicted for a new non-sex felony.
9. Number of treated sex offenders re-convicted for a new non-sex felony.

Measures #1 and #2 reflect the program's ability to screen and select appropriate inmates for treatment. Measures #3 and #4 reflect whether the program is able to meet the Hawaii Paroling Authority's mandate to release only those sex offenders who have benefited from treatment. Measures #5 through #9 reflect whether the program accomplishes its major goal of rehabilitating sex offenders in order to reduce the number of assaults upon new victims.

Education Program Services

Correctional Education consists of Adult Basic Education, General Educational Development (GED), self-development, and technical courses. Program effectiveness is measured by the percent of offenders enrolled who improve their scale scores (Adult Basic Education); attain eligibility to take the GED exam, and percent awarded GED diplomas (GED); and percent completing one or more courses of study (i.e., self-development and technical courses). All courses maintain high academic standards. Standards that address behavior modification and social skills focused on employment and life skills required for entry into the community are the key principle for effective change and academic, technical education, and employment success.

Although completion rates for academic programs reached the projected goal, rates for technical and employment training fell far under the projected rates. Out-of-State movement is the primary reason for this drop in enrollment. Offenders may complete the basic courses in adult education or GED at mainland facilities. However, we need to better coordinate the technical and pre-employment courses with mainland facilities, so that our inmates can return to Hawaii better prepared to enter the job market. CPS-Education Program Services (CPSE) receives approximately \$50,000 per year in federal training dollars and approximately \$80,000 to address specific remedial efforts for qualified young offenders. These funds are supplemental to the State's maintenance efforts in providing adult education, technical training, and employment readiness to the State's identified special population of incarcerated adults. Approximately 1,030 offenders were involved in technical and employment training. This constitutes 12% of the total enrollment. Eight thousand one hundred forty-six (8,146) offenders participated in

academic and self-development programs. These numbers are based on an unduplicated enrollment count for fiscal year 2005-2006.

The technical training and employment services are costly simply because the equipment and instructor costs are generally higher than those for regular academic programs. It is, however, the technical and employment training programs that go beyond high school completion that lead offenders to increased success on parole. Providing adequate funding for employment training courses for offenders within the last 12 to 18 months of incarceration is critical. Currently, the Education Program has funding for only 35 offenders. The expectation that an offender will seek training opportunities upon release is unrealistic. Research nationally indicates that dollars spent in employment training and job development for offenders increases their earning power by as much as \$10,000-\$12,000 per year and reduces their level of risk to recidivate. The recidivism rate drops to 12% among offenders who have transitioned from employment training to full time employment while remaining in a supervised work furlough program for at least three to six months.

Library Program Services

To monitor library program efficiency/success, library staff documents the actual attendance of inmates who request to participate in the following activities:

1. Law Library (to conduct research and prepare legal briefs).
2. Recreational Library (for leisure reading access).
3. Closed circuit television broadcasts (viewing educational and entertainment programs).
4. Cultural activities (performances sponsored by the State Foundation on Culture and the Arts, and the University of Hawaii Statewide Cultural Extension Program).

Statistical data is collected by library staff to account for actual attendance by using the inmate daily sign-in and out-logs, as well as library circulation records which show borrowing and return of library materials. Estimates are made of viewers of scheduled video programs based on available seats in individual housing units.

Volunteer and Religious Program Services

To monitor the number of volunteer hours provided to inmates-- including religious services, related counseling sessions, or study classes-- staff document the actual number of hours volunteered by including:

1. The actual number of volunteers categorized by regular, occasional, and stipend.
2. The total number of hours worked by each category.
3. The type of volunteer donation such as in-kind/ material or monetary.
4. Actual number of inmates participating in each activity.

Program and facility staff collect statistical data. The respective religious providers also collect data.

A reduction in service costs will impact the volunteer programs tremendously. Volunteer Services provides services to all facilities.

All regular volunteers are given six hours of training prior to beginning regular services, and every three years thereafter. Volunteer services provide inmates with religious access mandated by the constitution and case law. Volunteer services also provide for the video telephone calls for families of inmates incarcerated on the mainland. These services are provided on Oahu twice per month and on Maui, Hawaii, and Kauai on a quarterly basis.

Volunteer Services assists in the Department's special services and projects. Services include, but are not limited to, educational, vocational, self-help, administrative, social support, law enforcement, sex offender treatment, substance abuse treatment, contract employee training and criminal background checks, etc.

It should also be noted that the Volunteer Services Section also manages over 700 active regular volunteers Statewide at any given time of the year.

Volunteers have a significant impact on reducing recidivism. There are many former inmates living a pro-social lifestyle and involved in churches, Alcoholics Anonymous,

Narcotics Anonymous, etc. The vast majority of these inmates had prior involvement while incarcerated.

Volunteer Services also reduces violence. Experience has shown that when programs are significantly curtailed, assaults on inmates and staff rise sharply. Volunteer programs help inmates during crises and have intervened in several suicide attempts. The chaplains also assist staff with their needs. Unfortunately, there is no method by which to quantify the impact of volunteer programs.

D. Discuss actions taken by each Program to improve its performance results.

Corrections Program Services (CPS) focuses resources on providing programs which are constitutionally required, and those addressing the immediate needs and problems of the inmate population. CPS is attempting to develop a more comprehensive method of data collection relative to program effectiveness.

The Substance Abuse Program has been able to increase services to the women inmates and to inmates in Neighbor Island facilities. Assessments done by the RAD (Reception, Assessment, and Diagnostic) Unit indicates that at least 85% of the sentenced felons need some level of treatment. To improve the RAD Unit's effectiveness, the Department obtained technical assistance from the US Department of Justice's Corrections Program Office to develop a better screening and assessment instrument. Since the development of the new screening and assessment instruments, the Department is able to better place inmates in appropriate levels of substance abuse treatment.

The Department will continue to conduct assessments of substance abusers in order to identify those most in need and motivated for treatment. The Department believes that with evidence-based treatment and transition services, inmates will be able to succeed in the community after release.

With regard to the Sex Offender Treatment Program, the Department is working closely with the Hawaii Paroling Authority to ensure that treatment is carefully coordinated with parole release. The program must compete with other programs for space to conduct treatment sessions (or assessments) as a consequence of losing previously dedicated rooms.

The Education Program will concentrate on 1) employment training courses for offenders within 12-18 months of release; 2) continued coordination in programming; and 3) direct hire instructors on an as-needed basis rather than on a projected needs basis.

The Library Program will continue to seek donations to supplement its program.

The Food Services Branch continues to monitor the five-week standardized cycle menus quarterly with the institution food services managers for cost containment. In addition, the Branch has implemented quality assurance measures with vendors on the bid list for quality and cost effectiveness of food items; and has been proactive in providing in-service training to managers and cook supervisors on nutritional principles and fiscal responsibilities associated with the food service operations.

III. Problems and Issues

A. Discussion of Problems and Issues Encountered if any.

Overcrowding continues to be the primary problem facing the Department. Every facility in Hawaii is near, at or over its operating capacity. In June 1985, the State of Hawaii entered into a Consent Decree with the National Prison Project of the ACLU to remedy conditions at the OCCC and the WCCC. The Consent Decree subsequently set maximum capacities at these facilities. Although the Department has been released from the Consent Decree, both facilities must hold to their capacities as agreed upon within the provisions of the Consent Decree. The Department has been able to comply with this mandate by implementing release programs and transferring inmates to out-of-State facilities. Even with the transfer of inmates to out-of-State facilities, there continues to be overcrowding conditions at many of our facilities.

The Food Services Branch is faced with the problem of having to provide meals, which meet dietary standards for an increasing number of inmates, with no corresponding increase in the level of resources available. Modified menus for vegetarian entrees are offered as an alternative to all inmates who follow a religion-based diet. Special diets as well as therapeutic diets are available to inmates upon medical authorization. Implementing these dietary guidelines has increased the workload in food service.

The Department's treatment services are focused on substance abuse treatment and mental health. The expansion and development of existing programs will provide an effective process by which the Department will be able to treat inmates that have co-occurring mental health diagnoses. Inmates with co-occurring mental health and substance abuse disorders require programs that address both disorders simultaneously. This will require a greater degree of skill and expertise in the clinical staff.

Overcrowding in Hawaii's prisons has reached alarming proportions and the vast majority of inmates are substance abusers. Failure to provide substance abuse treatment in prison means that substance abusers will continue to increase Hawaii's prison population and continue to be a problem for the Hawaii Paroling Authority. The Department believes most inmates will not be able to succeed in the community after release without effective treatment and transition services.

The Library Program Services must focus all of its resources on the law library to ensure that inmates are afforded constitutionally mandated access to the courts and to prevent future litigation. The purchase of new recreational library or leisure reading materials has been greatly reduced. Library Services continue to exhaust community donations in an attempt to provide inmates with some quality reading materials. However, as prisons become more overcrowded, there is more demand for a wider variety of reading materials, and an expectation that Library Services will be able to support and enhance ongoing educational activities for inmates. Reliance on donations will continue, but donations are not adequate to meet the needs of the entire prison population. Funds must be allocated to purchase additional library reading materials and library reference resources.

When inmates are confined in overcrowded facilities with limited access to meaningful activities and programs, a serious security concerns arise. This situation increases inmate tension levels and may escalate, resulting in major disciplinary problems and compromising prison security.

B. Program Change Recommendations to Remedy Problems.

The problems cited in each section have a direct impact on the implementation of many programs within CPS. Statutory mandates may not be met and the combination of

programs for the inmates will be affected which, in turn, will create a revolving door for the inmate population. Meaningful and effective programs are costly especially with the already limited resources in the community.

IV. Expenditures for Fiscal Year 2007-2008

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, resources, and estimated expenditures for FY 2007-2008.

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	184.00	0.00	0.00	0.00	184.00	184.00
Personnel Services	\$6,559,883	\$296,593	\$0	\$0	\$6,856,476	\$6,856,476
Current Expenses	\$11,613,398	\$0	\$0	\$0	\$11,613,398	\$11,613,398
Equipment	\$187,873	\$0	\$0	\$0	\$187,873	\$187,873
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$18,361,154	\$296,593	\$0	\$0	\$18,657,747	\$18,657,747
(Pos. Counts)	184.00	0.00	0.00	0.00	184.00	184.00
General Fund	\$18,347,736	\$288,481	\$0	\$0	\$18,636,217	\$18,636,217
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$13,418	\$8,112	\$0	\$0	\$21,530	\$21,530
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0	\$0	\$0	\$0

A. Explain all Transfers Within the Program I.D. and the Impact on the Program.

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program.

None

C. Explain any Restrictions and the impact on the Program.

None

V. Supplemental Budget Requests for FY 2008-2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	184.00	0.00	184.00
Personnel Services	\$6,559,883	(\$23,730)	\$6,536,153
Current Expenses	\$11,518,125	\$1,442,112	\$12,960,237
Equipment	\$14,173	\$4,260	\$18,433
Motor Vehicles	\$0	\$0	\$0
Total	\$18,092,181	\$1,422,642	\$19,514,823
(Pos. Counts)	184.00	0.00	184.00
General Fund	\$18,078,763	\$1,422,642	\$19,501,405
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$13,418	\$0	\$13,418
(Pos. Counts)	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

Additional Funds For Food Supplies And Repair & Maintenance (R&M)

Provide funding for food supplies and R&M for nine (9) correctional food service units for the statewide food service program.

Establishment Of Intensive Outpatient Services

Request funds for treatment services to fill the gap between Outpatient (L-II) treatment and Residential treatment (L-III).

Expansion of therapeutic community at KCF

Request funds to expand the Hale Ola Ho` Opono therapeutic community from 26 to 40 beds at Kulani Correctional Facility (KCF).

Transfer Corrections Program Specialist, from PSD 420 to PSD900

The 2007 Legislature abolished this position in PSD 900 however the position was in PSD 420. This request will correct the position count for these programs.

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

Additional Funds For Food Supplies And Repair & Maintenance (R&M)

	<u>FY 2009</u>	
Personnel Services:	0.00	\$ A
Other Current Expenses:		\$1,171,962 A
Equipment:		\$ A
<u>Motor Vehicles:</u>		<u>\$ A</u>
Total:	0.00	\$1,171,962

Establishment Of Intensive Outpatient Services

	<u>FY 2009</u>	
Personnel Services:	0.00	\$ A
Other Current Expenses:		\$247,650 A
Equipment:		\$ A
<u>Motor Vehicles:</u>		<u>\$ A</u>
Total:	0.00	\$247,650

Expansion of therapeutic community at KCF

		<u>FY 2009</u>	
Personnel Services:	1.00	\$23,718	A
Other Current Expenses:		\$22,500	A
Equipment:		\$4,260	A
<u>Motor Vehicles:</u>		<u>\$</u>	<u>A</u>
Total:	1.00	\$50,478	

Transfer Corrections Program Specialist, from PSD 420 to PSD900

		<u>FY 2009</u>	
Personnel Services:	(1.00)	(\$47,448)	A
Other Current Expenses:		\$	A
Equipment:		\$	A
<u>Motor Vehicles:</u>		<u>\$</u>	<u>A</u>
Total:	(1.00)	(\$47,448)	

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None

VI. Program Restrictions

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009.

- A. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

B. A listing/description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Requests for Supplemental Year 2008-2009:

None

VIII. Proposed Lapses of Capital Improvements Program Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY

PSD 421, HEALTH CARE DIVISION

I. Introduction

A. Summary of Program Objectives

To provide health care services, in coordination with security and other correctional units, to persons detained in or sentenced to the State correctional facilities. This includes monitoring the health care provided to Hawaii inmates currently housed in out of state facilities.

Services provided to persons housed in correctional facilities include physical, psychosocial, and dental care, preventive care, health maintenance, and health education. The Division also manages its patients' health information and assists with the reintegration of offenders released from custody to the community health care system, and also serves as a health resource for other Departmental staff.

B. Description of Program Objectives

1. The Health Care Division will continue to strive to gain and maintain national accreditation with the National Commission on Correctional Health Care.
2. Provide timely dental screenings, examinations, and treatment of oral disease.
3. Provide timely mental health screenings, assessments, evaluations, crisis interventions, diagnosis, treatment and discharge planning.
4. Provide for the medical care of inmates requiring emergency services or who suffer with acute and chronic illnesses, including the supervision,

coordination, and provision of specialty provider and hospital care outside the correctional facility.

5. Surveillance, containment, treatment, and control of communicable diseases particularly tuberculosis, hepatitis, skin infections, and human immunodeficiency virus.
 6. Provide prenatal care as needed.
 7. Provide nutritional counseling and treatment as needed.
 8. Provide skilled infirmary level of care, pre- and post hospital and specialty care, long term care, and support for end-of-life decisions and necessary care.
 9. Provide urgent and emergent care as needed.
 10. Management of medical record documentation of all services rendered according to national standards established by the American Health Information Management Association and the release of protected health information according to rules and laws.
 11. Data collection and analysis.
 12. Infectious waste management.
 13. Provide staff trainers for health related topics.
- C. Explain how your Program intends to meet its objectives within the upcoming supplemental year.

The Health Care Division intends to meet its objectives stated above by providing the necessary care to patients in a fair, timely and consistent manner utilizing a systems approach to chronic and complex disease management. In all instances, the Division will do what is necessary to assure the best medical outcome that is in the best interest of the patients while meeting community standards of care in a cost efficient manner.

The National Commission on Correctional Health Care (NCCHC) defines health care standards for prisons and jails. The Division collects statistical data for self-monitoring and for accreditation auditors on daily health services provided to patients such as dental, medical and psychiatric/psychological encounters and nurse sick call. The Division achieved a high level of performance, despite limited resources, by being innovative with scheduling and constantly looking for cost containment measures. The increase complexity of medical and psychological illnesses in the inmate patient population, the growth of the inmate population, the continued escalation in costs for medical services and the need to meet comparable community standards directly impacts and contributes to rising health care costs. MCCC, OCCC and WCCC will be audited by the NCCHC during 2008 for reaccreditation. HCCC, KCCC, KCF, and WCF will be audited in 2009 for reaccreditation. Any reduction in resources will decrease the Division's ability to meet the NCCHC standards and will expose the State to increasing litigation cost.

Mental health services in the Division are under investigation by the US Department of Justice (DOJ). The Division has designed a mental health program for the entire system based on recommendations from the DOJ and Department consultants. The mind and body are so closely related that ill health of the body affects the mind and mental illness affects the individual's ability to care for the body. The Division is seeking a balance in providing medical and mental health services to patients. The resources necessary for the provision of care and the tracking of that care is critical to the program's success.

II. Program Performance Results

A. Discuss the performance results achieved by each Program in FY 2007.

The percentage of patients receiving medical provider services is 100% with 16,612 patient encounters by physicians and nurse practitioners on all islands. The percentage of patients receiving nursing services is 100% with 47,000 nurse sick call encounters on all islands. The percentage of patients receiving psychiatric services is 100% with 31,260 psychiatric encounters on all islands. The percentage

of patients receiving dental services is 100% with 14,923 dental encounters on all islands.

- B. Explain how these performance results relate to the Program's Objectives and the Department's mission.

The performance objectives are based on accepted standards of health care delivery in corrections and that delivery is based on the benchmarks set by the NCCHC accreditation requirements. These national requirements generally match those of the community standards of health care practices for Hawaii.

- C. Explain how the effectiveness of the Program is measured (i.e., outcomes, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two years.

Historical information, inmate population projections, national and local changes in community medicine, and known element(s) of change that will affect the Department and the Health Care Division are used to establish benchmarks for services the Division is required to provide to meet NCCHC standards. At the end of the year, actual performance is compared to the projected benchmarks. Program variances indicate whether there are performance abnormalities or deficiencies. The variance and events of the previous year are studied to determine causes for the variance. Action plans are developed, implemented, and evaluated to address specific variances.

The Medical Director has instituted a comprehensive chart review system at all facilities to assess Provider management of chronic diseases and general health prevention interventions to determine ways to improve care and assure the Department meets community standards of care. In addition, all inmate deaths are reviewed by a mortality review process chaired by the Medical Director to evaluate all aspects of health care rendered to the inmate.

All inmates with certain chronic illnesses such as diabetes, hypertension, seizure disorders, cancers, Hepatitis B and C, HIV/AIDS, Axis I mental health disorders,

and other complex illnesses are enrolled in chronic care treatment plans and scheduled on a regular basis to ensure proper patient care, utilization and medication monitoring.

D. Discuss actions taken by each Program to improve its performance results.

The Department is developing partnerships with universities and colleges to assist in the correct level of health care delivery. The Division provides intern students at various correctional facilities who are enrolled in the Argosy University, Doctoral students in Clinical Psychology; and the Hawaii Pacific University, School of Nursing. This will enable the degree candidates to gain experience in the correctional health care setting and provide the Division with quality assurance studies. The students are also a source of ideas for new programs that provide services to our patients. This educational exposure to working in a correctional setting will provide the Department with future recruitment candidates for health care positions. The Division also has an agreement with Tripler Medical Center for psychiatric fellows.

The Department was able to obtain a Health Provider Shortage Area designation from the National Health Service Corporation for the Halawa Correctional Facility and the Women's Community Correctional Center. This enables the Department to recruit and hire health care providers who have employment obligations or loan repayment arrangements with the Corporation. This has provided these facilities with a source of qualified providers.

The Division secured funds from the last legislative session to recruit more staff nurses in lieu of using private agency nurses. Staff nursing services is not only more cost effective but improves the continuity of care for patients because of the permanent staffing and their availability for more intense initial and continuous orientation and training.

E. Please identify all modifications to your program's performance measures and discuss the rationale for these modifications.

The Department of Public Safety's KaMakani Accountability Program requires the Health Care Division to monitor and maintain statistical information to track trends and program performance. The NCCHC accreditation process also requires the tracking of program performance based on predetermined, nationally accepted indicators. The Division combined the requirements of the NCCHC accreditation, the KaMakani and State program performance measures. The ultimate proof of positive program performance is an accreditation based on national correctional standards for health care service delivery. The new modification eliminates the duplication of the statistical data collection for various agencies and provides for a more efficient and reliable statistical reporting process. The data recording and collection also ensures that NCCHC essential requirements are met.

III. Problems and Issues

A. Discussion of Problems and Issues Encountered if Any.

1. Each year it becomes increasingly more difficult to predict the cost of health care services for complex medical illnesses in the inmate patient population that results in utilization of medical facilities in the community such as emergency rooms, hospital stays, specialty services or hospice care. The unpredictability of complex medical cases and the variability of the length of stay in hospitals drain the Department of finances that are earmarked for other necessary programs.

In the past, the department would have approximately two inmate patients per year with cancer. In the last fiscal year there were twenty (20) inmates in the system with various types of cancers. This fiscal year there is thirty-six (36) cancer patients. New developments in cancer treatments are very expensive and the Department cannot provide health care that is less than the accepted standards. There have been increases in the number of patients requiring dialysis treatment for end – stage kidney diseases. On average, the Department has approximately five ongoing renal cases that require dialysis treatment in the community three times a week at a cost of \$1000 per patient per week.

The increased prevalence of communicable diseases such as hepatitis and drug resistant staph infections has increased health care costs. Like other correctional systems in the nation, the prevalence of communicable diseases is much higher among the populations who are more likely to become incarcerated; e.g., lower socioeconomic groups, immigrants, and drug users.

2. It is difficult to recruit and retain professional personnel for inmate services. One of the major contributing factors is the current national and statewide shortage of professionals in health care services. This shortage has resulted in more competition in recruiting for positions within the community, Federal, and State health care systems. Prospective employers utilize higher salaries, sign – on bonuses, attractive benefits packages, and better working conditions to court potential applicants. Working in a correctional facility has always had a negative public image. It is imperative that vacant positions in the professional series are not reduced so that the Division can recruit when applicants become available. Staffing cuts will decrease the efficiency of the health delivery system. It causes stress for remaining staff to a point that they seek other, less stressful jobs and this domino effect increases the Division’s ability to retain staff.

Resource shortages can result in medical care rationing to treat the most urgent or serious conditions. Over time, this will further increase health care costs by failing to treat medical conditions early, when they are most cost–effective to control, instead of waiting until they have reached a critical condition that will be costlier to treat. Staffing shortages can result in rushed or missed medical assessments and treatment leading to the likelihood of increased treatment errors and missed diagnoses. These situations can result in more unfavorable outcomes with an associated increase in health care costs and malpractice litigation.

2. The October 2005 U.S. Department of Justice investigation of the mental health services provided at OCCC identified many of the issues associated with this

problem. In their exit interview, the mental health experts on the Department of Justice investigation team identified the following deficiencies in the services provided at OCCC:

- a. The lack of professional mental health organization and leadership.
- b. The lack of mental health treatment programs.
- c. Inadequate psychiatrist coverage.
- d. No discharge planning for patients being released.
- e. The Department's policies and procedures relating to mental health care need updating in accordance with new treatment standards.

As a result of these findings, the Department must correct these deficiencies or face legal action by the DOJ. Based on discussions with the DOJ investigators, the 2006 Legislators funded positions for mental health, intake services, and security staff to implement the recommended Mental Health Treatment model at OCCC. The 2007 Legislators funded positions that will begin to allow the Department to implement the Mental Health Treatment Model statewide. The current budget request will allow the Department to continue to implement the program statewide. The budget request is based on a five-year implementation plan beginning in 2006.

In anticipation of further inquiries or investigations into the Department's Mental Health Services, plans are being developed following the same Psycho-Social treatment model envisioned by the Department of Justice experts for all Department facilities. The Department is requesting the funds for these mental health treatment improvements during the next legislative session. By taking a proactive approach to improving all Departmental facilities will demonstrate to

the U.S. Department of Justice that the State wishes to comply with their recommendations without the threat of a lawsuit

B Program Change Recommendations to Remedy Problems

1. Request additional professional staff to implement the mental health treatment program statewide and to ensure that necessary medical treatment meets national and community standards.
2. Request support staff and funding for statistical clerks to ensure compliance and to utilize providers for more direct patient care.
3. Request portable structures for staff offices and for patient programs and treatment.
4. The overall health care status of the inmates has changed such that the Department is seeing more chronic and complicated illnesses in its inmates. This has resulted in decreased productivity as these cases take more of the provider's time as well as more funds due to the increased need for hospitalization, medications, and specialty care.

The increasing costs of health care services will adversely affect the program's budget. These increases are attributed to increases in pharmacy costs, specialty physician fees, and hospital costs.

Request additional funds for ER, hospital, specialty care and hospice care in the community. This cost (object code – Medicaid) cannot adequately be predicted and always impacts other program needs and functions as money earmarked for other endeavors is taken to cover funding shortfalls. Request funds for the increase cost of pharmaceuticals and Hepatitis treatment.

The Division is reviewing various cost containment strategies, such as the continuation of the specialty care panel review and authorization process, the continued use of a restrictive pharmacy formulary, and attempting to provide additional services at the facilities by Departmental physicians.

5. Request funds to equalize salaries for providers and psychiatric social workers. The Department's primary function is public safety and corrections. However, authentic medical services are required by law and provided within its walls. Health providers must provide a wide variety of services. A provider in the Department must have the same qualifying requirements as a provider working for the Department of Health or working in the community. Salary compensation that will allow the Department to be competitive in recruitment and retention.
6. Request funds for electronic conversion and storage of medical records and other documents.
7. Study the cost effectiveness and patient reception to telemedicine and telepsychiatry.
8. Identify any program issues or problems that have affected or may affect program implementation and performance and corrective measures or remedies established or planned.
9. The Division will keep current with medical cost containment and medical innovation through medical journals and conferences, by reviewing best practices for medical cost containment strategies, completing requests for compassionate releases, and developing methods to divert severely ill inmates to community programs as an alternative to incarceration.

IV. Expenditures for Fiscal Year 2007-2008

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, available resources, and estimated expenditures for FY 2007-2008.

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	173.10	0.00	0.00	0.00	173.10	173.10
Personnel Services	\$8,345,080	\$375,056	\$0	\$0	\$8,720,136	\$8,720,136
Current Expenses	\$8,516,545	\$0	\$0	\$0	\$8,516,545	\$8,516,545
Equipment	\$513,265	\$0	\$0	\$0	\$513,265	\$513,265
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$17,374,890	\$375,056	\$0	\$0	\$17,749,946	\$17,749,946
(Pos. Counts)	173.10	0.00	0.00	0.00	173.10	173.10
General Fund	\$17,322,037	\$375,056	\$0	\$0	\$17,697,093	\$17,697,093
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$52,853	\$0	\$0	\$0	\$52,853	\$52,853
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0	\$0	\$0	\$0

A. Explain all Transfers Within the Program I.D. and the Impact on the Program

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program

None

C. Explain any Restrictions and the Impacts on the Program

None

V. Supplemental Budget Requests for FY 2008-2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	173.10	25.00	198.10
Personnel Services	\$8,520,079	\$1,119,777	\$9,639,856
Current Expenses	\$8,500,920	\$1,440,836	\$9,941,756
Equipment	\$251,580	\$91,160	\$342,740
Motor Vehicles	\$0	\$0	\$0
Total	\$17,272,579	\$2,651,773	\$19,924,352
(Pos. Counts)	173.10	25.00	198.10
General Fund	\$17,219,726	\$2,651,773	\$19,871,499
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$52,853	\$0	\$52,853
(Pos. Counts)	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

Corrections Mental Health Audit Compliance-OCCC Clinical

This request is to establish 21 positions and related funds to address the Department of Justice (DOJ) findings at the Oahu Community Correctional Center (OCCC).

Clinical Services - Nursing Services

Requesting 3.0 FTE Registered Nurse positions for the Women's Community Correctional Center (WCCC) and Halawa Correctional Facility (HCF).

Salary Increases For Psychiatrists and Physicians

Request additional funds to increase psychiatrists' and physicians' salaries for recruitment and retention purposes.

Mental Health Services - Differentials

Request funds to include a retention differential in the salaries for the social worker/human services professional (SW/HSP) classifications for the purpose of retaining civil service staff.

Physician Position For Halawa Correctional Facility

Request is for a FTE 1.0 Physician II position for HCF.

Request funds for Increased Cost For Medicaid, Pharmacy and Hepatitis C

Request for additional funding to accommodate the increasing costs associated with providing Health Care Services for the inmates in the Department's custody.

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

Corrections Mental Health Audit Compliance-OCCC Clinical

		<u>FY 2007</u>	
Personnel Services:	21.00	\$595,250	A
Other Current Expenses:		\$67,000	A
Equipment:		\$86,350	A
<u>Motor Vehicles:</u>		\$	A
Total:	21.00	\$748,600	

Clinical Services - Nursing Services

				<u>FY 2009</u>
Personnel Services:	3.00	\$155,690	A	
Other Current Expenses:		\$	A	
Equipment:		\$550	A	
<u>Motor Vehicles:</u>		<u>\$</u>	<u>A</u>	
Total:	3.00	\$156,240		

Salary Increases For Psychiatrists and Physicians

				<u>FY 2009</u>
Personnel Services:	0.00	\$226,499	A	
Other Current Expenses:		\$	A	
Equipment:		\$	A	
<u>Motor Vehicles:</u>		<u>\$</u>	<u>A</u>	
Total:	0.00	\$226,499		

Mental Health Services - Differentials

				<u>FY 2009</u>
Personnel Services:	0.00	\$69,538	A	
Other Current Expenses:		\$	A	
Equipment:		\$	A	
<u>Motor Vehicles:</u>		<u>\$</u>	<u>A</u>	
Total:	0.00	\$69,538		

Physician Position For Halawa Correctional Facility

				<u>FY 2009</u>
Personnel Services:	1.00	\$72,800	A	
Other Current Expenses:		\$	A	
Equipment:		\$4,260	A	
<u>Motor Vehicles:</u>		<u>\$</u>	<u>A</u>	
Total:	1.00	\$77,060		

Request funds For Increased Cost For Medicaid, Pharmacy and Hepatitis C

				<u>FY 2009</u>
Personnel Services:	0.00	\$	A	
Other Current Expenses:		\$1,373,836	A	
Equipment:		\$	A	
<u>Motor Vehicles:</u>		<u>\$</u>	<u>A</u>	
Total:	0.00	\$1,373,836		

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None

VI. Program Restrictions:

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009.

1. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

2. A listing/description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Requests for Supplemental Year 2008-2009:

None

VIII. Proposed Lapses of Capital Improvements Program Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY

PSD 422, HAWAII CORRECTIONAL INDUSTRIES

I. Introduction

A. Summary of Program Objectives

The objective of Correctional Industries is to provide the maximum level of work and training opportunities for qualified able-bodied offenders that will help to increase their employment prospects after release while generating revenue to sustain its operations and allow for capital investment.

B. Description of Program Objectives

Correctional Industries' objectives are as follows: To generate revenue to sustain its operation and allow for capital investment; to provide specific training skills for offenders that would increase their employment prospects after release; to provide the maximum level of work and training opportunities for all able-bodied inmates; to provide an environment for the operation of correctional industries similar to private business operation; to develop cooperative training ventures between the correctional industries program and the private sector; to develop programs for inmates to learn skills used in construction and other industries while providing low-cost construction, renovation, and repairs of facilities grounds, furniture, vehicles, and equipment for private, nonprofit social service, education agencies and health program; and to utilize labor services of qualified, able-bodied inmates in the manufacture or production of goods and services needed for the construction, operation, or maintenance of any office, department, institution, or agency supported in whole or in part by the State, the counties, or the federal government.

- C. Explain how your Program intends to meet its objectives within the upcoming fiscal year.

Correctional Industries plans to meet its objectives by maintaining current operations while assessing expansion possibilities and looking for new opportunities to maximize its program effectiveness.

II. Program Performance Results

- A. Discuss the performance results achieved by each Program in FY 2007.

Although Correctional Industries appears to have a decrease in inmate employment, 825 in FY 2006 versus 456 in FY 2007, the decrease in numbers are due to inmates staying on the job for longer spans of time and with fewer turnovers. In comparison to FY2006, Correctional Industries added several production sites, added approximately 5 full time civilian supervisors, 51 full time inmate positions and 20-30 intermittent weekend positions which equated to an increase in revenues from (\$276,000) in FY 2006 to \$489,772 in FY 2007.

- B. Explain how these results relate to the Program's Objectives and the Department's mission.

Correctional Industries will enhance the Department's mission of providing for the safety of the public and state facilities through law enforcement and correctional management by reducing inmate idleness, reducing the security risk of inmates for facility staff and on each other, reducing recidivism, thus reducing the taxpayer burden for paying for programs and incarcerations costs and providing the Department with an additional revenue source. The statistics provided shows recent expansion generated the necessary funds for CI to be self-sustaining, created more inmate work and training opportunities, and lessened the security burden to the facilities.

- C. Explain how the effectiveness of the Program is measured (i.e.: outcome, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two (2) years.

The effectiveness of Correctional Industries can be measured by number of inmates employed, percent of inmates employed in comparison to the total number of inmates, total inmates employed in comparison to the total number of inmates available for work, percent of inmates employed by State facilities, percent of inmates employed at the Federal Detention Center, Percent of inmates employed at the out-of-state contracted facilities, percent of inmates in joint venture programs, and financial results. Based on these measurements, Correctional Industries has improved its performance over the past two years.

- D. Discuss actions taken by each Program to improve its performance results.

Correctional Industries takes the following actions to continually improve its performance results: Continual review and assessment of each Correctional Industries business and all contracts and or agreements for fiscal soundness, Communication and discussions with the Institutions Division Administrator and the Wardens to assure program effectiveness and to research for expansion possibilities, networking and building of National Correctional Industries alliance to secure and tap into the resources and information readily available.

- E. Please identify all modifications to your program's performance measures and discuss the rationale for these modifications.

Correctional Industries is not modifying any of its performance measurements at this time.

III. Problems and Issues

- A. Discussion of Problems and Issues Encountered if Any.

Under Hawaii Revised Statutes 354D, Correctional Industries is mandated to generate sufficient revenues to cover its costs and thus receives no general fund monies. As a result, Correctional Industries needs to operate as efficiently as any private sector business in order to be competitive and to maintain current operations and to develop additional revenue streams. However, this can be difficult on a day-to-day basis due to the following challenges: Mainland transfer of long-term inmates, lack of qualified inmates for work, overcoming past financial issues, inability to react to market opportunities, aged fixed

assets and technology, availability of capital for investment, availability of program space, past perception due to poor quality and timeliness, of past receipt of good and services provide by CI.

B. Program Change Recommendations to Remedy Problems.

Possible recommendations to remedy Correctional Industries challenges include the following: Correctional Industries becomes the primary product and service provider for the Department of Public Safety; expand industries to contracted mainland facilities; expand and build industries space at Waiawa Correctional Facility, Women's Community Correctional Center, Hawaii Community Correctional Center, and Kulani Correctional Facility; develop private sector partnerships to build program intensive facilities as well as work space in Hawaii; union partnerships to develop trade specific skills; resource inmate labor to the private sector; investment in technology and software; and access GO bonds and other funding sources. Finally, strategic reports, policies and procedures are being implemented at all service levels to ensure customer service becomes a top priority.

C. Identify any program issues or problems that have affected or will affect the implementation of the program, and the corrective measure or remedies established or planned.

Although a necessary process due to our work environment, the amount of time to security check and clear all new personnel has been a major obstacle. Most highly skilled competent applicants have a difficult time understanding the routine 3-4 month clearance procedures. As new market opportunities present themselves, negotiations are hindered due to the lengthy startup time.

IV. Expenditures for Fiscal Year 2007-2008

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	2.00	0.00	0.00	0.00	2.00	2.00
Personnel Services	\$2,364,919	\$68,845	\$0	\$0	\$2,433,764	\$2,433,764
Current Expenses	\$4,970,532	\$0	\$0	\$0	\$4,970,532	\$4,970,532
Equipment	\$0	\$0	\$0	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$7,335,451	\$68,845	\$0	\$0	\$7,404,296	\$7,404,296
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
General Fund	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$7,335,451	\$68,845	\$0	\$0	\$7,404,296	\$7,404,296

A. Explain all Transfers Within the Program I.D. and the Impact on the Program.

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program.

None

C. Explain any Restrictions and the impact on the Program.

None

V. Supplemental Budget Requests for Fiscal Year 2008-2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	2.00	0.00	2.00
Personnel Services	\$2,364,919	\$0	\$2,364,919
Current Expenses	\$4,970,532	\$0	\$4,970,532
Equipment	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0
Total	\$7,335,451	\$0	\$7,335,451
(Pos. Counts)	0.00	0.00	0.00
General Fund	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0
(Pos. Counts)	2.00	0.00	2.00
Other Funds	\$7,335,451	\$0	\$7,335,451

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

None

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

None

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None (There are no position count deletions.)

VI. Program Restrictions

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009:

1. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

2. A listing/description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Request for Supplemental Year 2008-2009:

None

VIII. Proposed Lapses of CIP Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY

PSD 502, NARCOTICS ENFORCEMENT DIVISION

I. Introduction

A. Summary of Program Objectives

To protect and serve the public through the enforcement of laws relating to controlled substances and regulated chemicals; to register all persons who handle controlled substances in the State, including methadone clinics; issue permits to those persons who handle regulated chemicals designated under Chapter 329-61, Hawaii Revised Statutes (HRS); register and issue registry identification certificates in accordance with Chapter 329, Part IX, HRS and Title 23/ Chapter 202, Hawaii Administrative Rules relating to Hawaii's Medical Use of Marijuana Act; and to educate all individuals who handle controlled substances and regulated chemicals on the requirements of the Uniform Controlled Substances Act.

B. Description of Program Objectives

The major functions of the Narcotics Enforcement Division (NED) include the annual registration of all persons who handle controlled substances and regulated chemicals designated in HRS Chapter 329-61; the annual registration of all persons authorized by their physician to utilize marijuana for medical purposes; the review of all Schedules II through V controlled substance prescriptions; the monitoring and investigation of all suspicious sales and reported diversion of regulated chemicals; the investigation of individuals utilizing regulated chemicals to unlawfully manufacture controlled substances; the investigation of all reported incidents of forged and altered prescriptions, multi-doctor patients, impaired medical professionals, drug-seeking individuals, counterfeit controlled substances, and missing or stolen controlled substances; providing assistance to other law

enforcement agencies in the detection and apprehension of controlled substance violators; the scheduling of controlled substances as specified by HRS Chapter 329-11; the inspection and audit of registrant's controlled substances; cooperating with other agencies and offices in projects involving the training and education of law enforcement officers and citizens of the State in matters relating to the Uniform Controlled Substances Act; the accounting and destruction of outdated, damaged, and contaminated controlled substances; the arrest and referral for prosecution of individuals in the State who illegally obtain, traffic in, and abuse controlled substances and regulated chemicals, including the seizure and forfeiture of any identifiable assets and property linked to illegal activity.

- C. Explain how your Program intends to meet its objectives within the upcoming fiscal year.

The NED will accomplish its objectives within budget by pursuing grants, joint projects with the private sector, and through cooperation with local and Federal law enforcement branches and agencies. However, the Division is predicting an increase in overtime costs due to the increased investigative caseload and insufficient funding and staffing.

NED will also be requesting to establish a full time general funded investigator position for the island of Kauai, which was initially funded in FY 2007 for \$61,000 and \$78,640 in FY 2008 by the U.S. Department of Justice, Office of Justice, Office of Community Orientated Policing (COPS) Grant through the sub-grantee the Hawaii Community Foundation.

II. Program Performance Results

- A. Discuss the performance results achieved by each Program in FY 2007.

The NED found it difficult to investigate all reported violations with the current personnel available. Cases were prioritized by degree of endangering the public. During FY 2007, the NED's registration staff handled 26,979 administrative transactions relating to the registration of individuals administering, prescribing, or dispensing controlled substances; dispensing, sale, or purchase of regulated chemicals and transactions for the registration of patients in the medical use of marijuana program, with no reported errors or complaints.

During this time period the registration staff issued 5,499 controlled substance registrations, 23 regulated chemical permits, and 3,195 patient registry identification certificates for the medical use of marijuana; and assigned 379 new oral call numbers to physicians, physician assistants, and advanced practice registered nurses within the five-day NED-imposed processing time limit. The Division set a high goal for itself by implementing the processing deadline of five days, error free. During FY 2007, the registration section responded to 3,296 controlled substance registration verification calls, 0 regulated chemical verification calls, 287 oral code verification calls, and 176 medical use of marijuana verification calls. The registration staff also responded to 2,170 controlled substance registration question calls, 4 regulated chemical question calls, and 568 medical use of marijuana questions with no complaints.

In FY 2007, NED investigated 827 cases directly related to criminal controlled substance or regulated chemical violations, 476 criminal and 351 regulatory; 296 of these cases involved the pharmaceutical controlled substance, 73 of these cases were initiated by the Sheriff Division-Airport Detail. The NED was also referred 84 cases relating to the illegal importation of controlled substances or regulated chemicals by passengers or by mail into Hawaii. These cases were referred to NED by the High Intensity Drug Trafficking Area Airport Taskforce, US Customs Service or US Postal Service and can be classified as interdiction cases. During FY 2007, NED investigators initiated 26 criminal and 12 regulatory cases at PSD correctional facilities Statewide and 2 case from the Hawaii Paroling Authority, 0 from the Hawaii Youth Correctional Facility and 0 from the State Hospital and 3 from PSD Internal Affairs Office relating to drugs and resulting in arrest and seizure; and conducted 219 prevention-related assignments such as drug presentations (77 which was attended by 7436 individuals), training, drug disposals, inspections, and audits. In the first six months of FY 2008, the NED has already initiated 366 cases relating to controlled substances or regulated chemicals, 497 criminal cases, and 131 regulatory

cases. NED investigators have already conducted 25 drug presentations for students, law enforcement agencies, and the public. Major external trends affecting the program include general economic conditions, need for staffing, expanded population, expanded program responsibilities; and increase in the abuse of drugs and regulated chemicals within the State. In FY 2007, there was an increase in the number of requests for assistance in drug prevention presentations, clandestine drug laboratories training, retail over-the-counter pseudoephedrine product training, and certification requests from county police departments. The training requests made to NED stem from an increase in public awareness involving drugs such as crystal methamphetamine, ecstasy, cocaine, pharmaceutical controlled substances as well as cases involving laboratories converting the powdered methamphetamine to the crystalline form, to full-blown synthesizing laboratories using the ephedrine/pseudoephedrine process to manufacture crystal methamphetamine. During FY 2007, NED continued to work on three major projects. The first project involved the continued refinement of NED's "E-Pass" a program incorporating NED's electronic prescription-monitoring system and the dissemination of information to registered prescribing physicians and pharmacists. On June 23, 2004, NED was notified that it had received a \$349,530 grant from the US Department of Justice FY 2004 Prescription Drug Monitoring (Harold Rodgers) Program. This federal grant was used to enhance the State's electronic prescription-monitoring program's computer hardware and software. The FY 2004 grant will end at the end of April 2006. NED was awarded a second grant from the FY 2005 Prescription Drug Monitoring (Harold Rodgers) Program in the amount of \$349,954. The FY 2005 and FY 2006 grants allowed NED to upgrade its existing prescription-monitoring system by addressing the problem of data lag through the implementation of a secured e-mail system used to receive and respond to prescription information requests from controlled substance registrants. This enhancement to the electronic prescription-monitoring program would allow for data to be shared on a timely

basis with a realistic objective of reducing the transaction time from days to hours. The electronic prescription-monitoring program will help prevent and detect the diversion and abuse of pharmaceutical controlled substances, particularly at the retail level where no other Statewide automated information collection system exists. NED's electronic-prescription monitoring program also partners with law enforcement, health care providers, and the community to identify drug abuse trends and possible sources of diversion, uncover outmoded prescribing practices, and develop meaningful and appropriate education for medical professionals. An additional enhancement to be made to NED's electronic prescription-monitoring system would be the creation of a western regional states network (WRSN) for the uniform sharing of controlled substance prescription information. California, Wyoming, New Mexico, Idaho, Utah, and Nevada currently have controlled substance prescription monitoring capabilities. An additional enhancement to the electronic prescription-monitoring system would provide funds for the periodic and ongoing training for medical practitioners, pharmacists, law enforcement, and the general public on the problem issues relating to the diversion and abuse of prescription controlled substances.

During FY 2007 NED was able to take over the function of the "Central Repository" of all controlled substance schedule II through V prescription data and establish an Internet base webpage that has the capability of allowing pharmacies to electronically transmit data to NED. By having the capability to process all schedule II through V controlled substance prescriptions within NED has saved the Division over \$50,000.00 in annual vendor fees. NED's Electronic Prescription Monitoring Program (e-PASS) and NED's Pharmacy Alert System continues to identify and chart specific prescribing trends of Hawaii Physicians as well as identifies multi-doctor patients attempting to obtain controlled substance prescriptions. NED's Electronic Prescription Monitoring System is presently monitoring

the data from 6,959,934 schedules II through V controlled substance prescriptions and during October 2007 NED Investigators made 129 queries into the system and sent out 14 prescription data reports to other law enforcement agencies conducting criminal drug cases and 2 reports to physicians relating to their patients controlled substance use.

Secondly, in accordance with HRS Chapter 329-58 and in conjunction with the Governor and Lieutenant Governor's stance on Hawaii's war on crystal methamphetamine, the NED stepped up its drug education programs for schools, law enforcement, private businesses, and the public. In FY 2006, NED continued to take a leadership role in informing the community of Hawaii's methamphetamine problem and the physiological and psychological damage caused by drug use. During FY 2007, the NED conducted 77 drug and clandestine laboratory presentations and training sessions to law enforcement, schools, the medical community, and the public across the State. These various presentations were attended by approximately 7436 individuals as well as the media. NED's education programs cover Hawaii's drug trends, drug identification, the physical and psychological effects, as well as the damage that drugs can inflict on the human body. NED partnered with Laulima Hawaii, the medical and pharmaceutical community, and other State, county and federal agencies in an attempt to educate the public on the dangers of drugs. During FY 2006, NED participated in the making of another prevention video documentary with the Department of Education on the dangers of drug use. NED also participated as guest speakers on radio shows and television interviews to educate the public on Hawaii's drug trends and problems. In just the first six months of FY 2008, NED conducted 25 drug and clandestine laboratory presentations, reaching 3840 individuals and had to turn down 3 requests due to lack of staff.

In FY 2006, NED was awarded \$61,000.00 by the U.S. Department of Justice, Office of Justice, Office of Community Orientated Policing (COPS) Grant through the sub-grantee the Hawaii Community Foundation. These funds will be utilized to fund one Investigator position for the island of Kauai to address the islands controlled substances and regulated chemical diversion issues. This position will be situated with the Kauai Police and will work with Federal and County Police on drug cases of mutual interest. The grant provides funding for one Investigator position for the island of Kauai that currently does not have any Narcotics Enforcement Division (NED) Investigator. The Investigator will be part of NED's Clandestine Laboratory Response Team and the High Intensity Drug Trafficking Area (HIDTA) HI-IMPACT Kauai drug taskforce. The position will also be responsible to monitor and inspect all companies, pharmacies, and retail distributors of regulated chemicals utilized to manufacture controlled substances (including ephedrine and over the counter pseudoephedrine products) on the island of Kauai. Further, the position will coordinate NED's drug presentations and training sessions for law enforcement, schools, the community, and increase the number of educational presentations for retail distributors of over the counter products utilized to manufacture controlled substances such as methamphetamine. NED was informed that in January of 2008 that it will be awarded \$78,640 from the U.S. Department of Justice, Office of Justice, Office of Community Orientated Policing (COPS) Grant through the sub-grantee the Hawaii Community Foundation to continue the work started by the 2005 grant.

The third project involved the implementation of NED's Chemical Diversion Control Program (CDC) and the Clandestine Laboratory Investigative Section (CLIS). These programs gather intelligence on retail sales of regulated chemicals and glassware Statewide and disseminate the information to Federal, State, and county law enforcement agencies responding to clandestine laboratory investigations with other county, State and Federal clandestine laboratory response teams. During FY 2007, the NED was awarded \$23,000

by Hawaii's High Intensity Drug Trafficking Area (HIDTA) to provide oversight and consolidated training, and to coordinate a first response to clandestine laboratories involved in manufacturing crystal "ice" methamphetamine, gamma hydroxy butyrate (GHB), phencyclidine (PCP), phenyl-2-propanone (P-2-P), methylenedioxymethamphetamine (MDMA/Ecstasy), and lysergic acid diethylamide (LSD) Statewide. This initiative will also coordinate and support all other Hawaii HIDTA initiatives and regional law enforcement agencies as a resource to detect, dismantle, disrupt, arrest, and prosecute: individuals, drug organizations, drug gangs, and organized crime groups involved in clandestine laboratories, diversion of precursor chemicals, and manufacture of illicit narcotics. NED assigned investigators from its Investigative Branch to address the growing problem of diversion of regulated chemicals for the illegal manufacture of controlled substances and the investigation of clandestine laboratories utilized to manufacture illicit controlled substances across the State. This Branch will be responsible for the investigation and enforcement of Hawaii's regulated chemical laws pursuant to Chapter 329, Part VI, Hawaii Revised Statutes. During FY 2007, NED conducted clandestine laboratory and self-contained breathing apparatus (SCBA) certification and recertification training for county police departments and State agencies through the HIDTA Initiative Grant, at no cost to these agencies.

- B. Explain how these results relate to the Program's Objectives and the Department's mission.
1. Number of controlled substance registrations processed by the NED in a fiscal year. Every person who manufactures, distributes, or dispenses any controlled substance within the State or who proposes to engage in the manufacture, distribution, prescribing, or dispensing of any controlled substance within the State must register annually with the NED in accordance with HRS Chapter 329. NED is the only State agency with this responsibility. In FY 2007, NED processed 5,499 controlled substance registrations.

2. Number of precursor chemical permits processed by the NED in a fiscal year. HRS Section 329-67 requires any manufacturer, wholesaler, retailer, or other person who sells, transfers, or otherwise furnishes any substance specified in HRS Section 329-61 for use by a person in the State or who receives from a source outside the State any substance specified in HRS Section 329-61, to obtain a permit from the NED for the conduct of that business. In FY 2007, NED processed 23 regulated chemical permits with no complaints.
3. Number of reported investigations received by the NED during the fiscal year. This activity is described as the number of cases reported to the NED during a fiscal year that are directly related to the enforcement of laws pertaining to controlled substances. During FY 2007, NED initiated 827 drug cases, 476 criminal cases, and 351 regulatory cases, 296 of these cases involved pharmaceutical controlled substances, 73 were referred by the Sheriff Division, 68 were investigated by NED HIDTA Airport Taskforce and Clandestine Laboratory Initiative Investigators, 38 from Corrections, 3 from Internal Affairs, 2 from the Hawaii Paroling Authority, 0 from Hawaii Youth Correctional Center, and 0 from the State Hospital.
4. Number of controlled substance registrations denied, revoked, suspended, or voluntarily surrendered to the NED during a fiscal year. This activity is described as the number of cases conducted by NED where action taken against a registrant resulted in the denial, revocation, suspension, or voluntary surrender of controlled substance registration during a fiscal year. This activity directly impacts the medical profession, serving both as a deterrent and as a warning to NED investigators of possible criminal activity being conducted by that registrant. During FY 2007 NED 2 cases where a practitioner's controlled substance registration was suspended due to criminal violations.
5. Number of arrests made by NED investigators in a fiscal year. This activity directly relates to the NED's objective to protect the public by prosecuting controlled substance offenders.
6. Number of dosage units destroyed by NED investigators of outdated, damaged or seized controlled substances during a fiscal year. Title 23, Chapter 200-20, Hawaii

Administrative Rules relating to the procedures for disposal of controlled substances, indicates that any registrant desiring to dispose of controlled substances that are old, outdated, contaminated, or unfit for human consumption may do so by following the guidelines stated in this section. The disposals directly reduce the availability of pharmaceutical controlled substances that can be diverted to the street. During FY 2007 NED initiated 45 administrative disposal cases.

7. Number of educational and training sessions conducted by NED personnel during a fiscal year. Part of the mission of the NED is to educate other law enforcement agencies and the public on drug diversion and abuse. NED accomplishes this by conducting drug identification and training sessions for the public, medical community, and law enforcement agencies. NED also shares information and refers or conducts joint investigations with other state, federal and county law enforcement agencies. In FY 2007, the NED conducted 77 training sessions that concentrated on the dangers of drug use, identifying the psychological and physiological damage caused by drugs, new drug trends and drug paraphernalia used to ingest drugs, and how the community as well as law enforcement must work together to fight this war on drugs. These presentations were attended by 7,436 individuals.
- C. Explain how the effectiveness of the Program is measured (i.e.: outcomes, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two years.
1. Number of registrant contacts as a percent of total registrants. This measure represents the number of registrants that are visited, inspected, or serviced by NED investigators in a fiscal year, divided by the total number of registrants registered with NED in that year. The data is collected from investigative reports and registrant applications, then entered into a computer database.
 2. Percent of investigations initiated due to Schedule II through IV electronic prescription review. This measure identifies the effectiveness of NED's controlled substance prescription electronic monitoring system named HISTEM by tabulating the amount of cases generated in a fiscal year divided by the total number of cases initiated by NED.

3. Percent of investigations involving medical professionals. This measure represents the number of investigations involving medical professionals that are initiated by NED investigators in a fiscal year divided by the total number of cases initiated by NED.
4. Percent of investigations referred or pending referral for prosecution. This measure identifies the number of investigations that are referred to the Honolulu Prosecutor's Office, the State Department of the Attorney General, or the US Attorney's Office for prosecution by NED investigators in a fiscal year divided by the total number of cases initiated by NED.
5. Percent of investigations resolved without arrest or prosecution. This measure represents the number of investigations that are resolved without arrest or prosecution by NED investigators in a fiscal year divided by the total number of cases initiated by NED.
6. Percent of cases referred for prosecution involving asset forfeiture. This measure represents the number of investigations that are referred for prosecution involving asset forfeiture by the NED in a fiscal year divided by the total number of cases initiated by the NED.

D. Discuss actions taken by each program to improve its performance results.

The NED continues to conduct training programs to assist the Department's law enforcement personnel with narcotics investigations. The NED has also developed programs to train the neighbor island police departments, US Customs, and other State law enforcement agencies in the procedures necessary to conduct the initial investigation of pharmaceutical controlled substance diversion. The training program has greatly improved the NED's ability to respond to pharmaceutical drug diversion cases Statewide and has built important alliances with other law enforcement agencies. NED has also partnered with the mayors of the counties of Hawaii and Kauai, the offices of the Governor and Lieutenant Governor, the US Attorney's Office, Honolulu Prosecutor's Office, Hawaii Pharmacist Association as well as private organizations such as Laulima Hawaii and Sassy Magazine in putting on drug awareness training sessions for the public across the State.

NED has also conducted drug identification and drug abuse awareness training sessions for law enforcement and State agencies. NED, in conjunction with the Hawaii Medical Association and numerous pharmaceutical companies, has conducted seminars for physicians on every island on the subject of detecting pharmaceutical drug abusers. NED is also attempting to educate the public on the problem of chemical diversion and clandestine laboratories by conducting training sessions around the State.

NED is responsible for ensuring compliance with Act 228/Session Laws of Hawaii 2000, relating to the medical use of marijuana. To implement the Act, NED established a marijuana registry program for qualifying patients and their designated caregivers, provided information on the program to physicians and members of the general public, and opened a 24-hour hotline for law enforcement agencies that need to verify a person's registration to use marijuana for medical purposes. Within six months after the law was enacted, the Governor signed the rules governing the program. Within two weeks after that, NED issued its first certificate. As of November 1, 2007, there are more than 3562 persons in Hawaii are registered as medical marijuana patients.

NUMBER OF:	PHYSICIANS	PATIENTS	CAREGIVERS
	On / Off island		
Hawaii	24 / 15	2178	197
Kauai	5 / 9	107	8
Maui	19 / 7	856	62
Molokai	0 / 6	21	2
Lanai	0 / 1	2	0
Niihau	0 / 0	0	0
Oahu	26 / 45	398	54
TOTAL:	74 / 45	3562	323

In FY 1999, NED expanded the HISTEM program that electronically monitors the activities of pharmacies, physicians, and patients in the filling of Schedules II through IV controlled substance prescriptions. A computer system electronically captures the Schedules II through IV controlled substance prescription information from pharmacies throughout the State, from the point of sale (pharmacy) to the customer. This information is made available to NED via a computer terminal in real-time. Regularly scheduled utilization/exception reports are also generated and used to track trends in drug utilization and identify cases of fraud or abuse by patients, pharmacies, and physicians.

This program, now called "E-pass," allows NED investigators to confront physicians or patients at the onset of his/her abusive behavior, enabling NED investigators to deter these physicians and patients from committing further violations. Many of all individuals identified through "E-Pass" are "multi-doctor" patients who knowingly obtain controlled substance prescriptions from several physicians to support the patient's own habit or to sell to others. The system allows NED to monitor the prescribing practices of all physicians Statewide, and is able to monitor targeted pharmacies, physicians, and geographic areas for regulatory compliance. In FY 2007, the program monitored 5,618 registrants authorized to administer, prescribe, and dispense controlled substances, and made 5904 queries into the system and sent out 29 prescription data reports to other law enforcement agencies conducting criminal drug cases and 129 reports to physicians relating to their patients controlled substance use. NED's "E-Pass" is currently monitoring 6,782,888 Schedule II through IV controlled substance prescriptions filled in the State of Hawaii. The database is updated in a timely fashion, thus saving investigators time and improving their productivity. This database has allowed the identification of suspected drug diversion in real-time, thereby shortening the amount of investigative time used for each case. The Operation Sentinel program has provided NED investigators the ability to deter and counsel individuals about their drug diversion activities prior to any chargeable criminal offenses being committed.

Since FY 1995, NED worked closely with other law enforcement agencies to target Department employees smuggling drugs into the prisons and jails. The agencies include the Department of Public Safety's Internal Affairs Office; the US Drug Enforcement Administration (DEA); the Federal Bureau of Investigation; the Internal Revenue Service

Criminal Investigation Branch; the Alcohol, Tobacco and Firearms Branch; and the county police departments. This multi-agency approach has been very effective, primarily because investigators have been able to take advantage of the combined resources of all agencies involved, as well as the ability to prosecute these offenders in either State or Federal court.

NED, in an attempt to augment its staffing needs, has joined DEA's Federal Organized Drug Enforcement Task Force. Over the last five years, the NED has cultivated an excellent working relationship with Federal, State and county law enforcement agencies. Not only has the NED gained the respect of these agencies, but it has also become the leader in the field of steroid investigations in Hawaii. The NED's collaborative approach has opened up many new opportunities for the Division and for the Department.

Continuous national training on new drug traffic trends, methods of drug production, and investigative techniques will allow NED investigators to stay abreast of the ever-changing drug culture.

- E. Please identify all modifications to your program's performance measures and discuss the rationale for these modifications.

During FY 2007 NED will be amending its performance measures to read as follows:

1. Percent of controlled substances, regulated chemical and Medical Use of Marijuana certificates/permits issued without complaint.
2. Percent of cases that result in successful prosecution.
3. Percent of cases released pending further investigation.
4. Percent of cases referred and accepted by prosecuting agencies.
5. Percent of cases referred and declined by prosecuting agencies.
6. Percent of cases referred to Federal agencies.
7. Percent of criminal cases that resulted in asset forfeiture and received by the Department.

8. Percent of cases investigated and resolved without criminal action.
9. Percent of drug education or training sessions conducted.
10. Percent of inquiries made on electronic prescription monitoring program.

III. Problems and Issues

A. Discussion of Problems and Issues Encountered if any

The NED has noted a marked increase in the amount of drug cases initiated out of the Honolulu International Airport over the last two years. Prior to the increase, NED investigators were responding to only five-six cases a month at the airport. Beginning in August 2002, requests for assistance by the Sheriff Division Airport Detail to handle drug cases increased by threefold and possibly as much as fivefold. During FY 2007, NED responded to 73 drug cases referred to the Division by the Airport Sheriff Detail. In an attempt to assist the Airport Sheriff Detail, as of October 1, 2007, NED has co-located within the Airport Sheriff Detail office an NED Investigator with the assignment to act as NED's liaison with the Airport Sheriff Detail and to handle all drug cases referred to NED by the Airport Sheriff Detail. NED is hopeful that NED presence at the airport will increase the number of prosecutable drug cases referred to NED by the Airport Sheriff Detail. NED was not provided with additional human or material resources to conduct these added investigative services and, therefore, have had to redirect investigative resources from its drug investigative mission.

The Narcotics Enforcement Division's Forensic Drug Laboratory Program has had numerous setbacks due to the inability of DAGS Leasing Branch to negotiate a new office lease for the Division. NED has been without a lease and renting its office space on a month-to-month basis since June of 2006. Back in 2005 NED negotiated with AIPA properties to amortize the build out of NED's new forensic drug laboratory that will be situated within NED's existing office space. AIPA Properties LLC agreed to start building NED's forensic drug laboratory as soon as it had a signed lease. On June 30, 2005, Governor Linda Lingle passed Act 178 relating to the State Budget. Act 178 appropriated,

out of the Controlled Substance Registration Revolving Fund, \$100,000.00 to establish a forensic laboratory within the Narcotics Enforcement Division and to also purchase laboratory equipment. NED has also received 2 Federal grants to enhance its Forensic Drug Laboratory program, one for \$85,796.00 from the FY 2006 Paul Coverdell Forensic Science Improvement Grant Program. These monies were to be utilized to start up NED's Forensic Drug Laboratory program by December of 2007. On June 27, 2007, Governor Linda Lingle signed Act 213 into law that appropriated NED a general funded Investigator position for its forensic drug laboratory program. As of November 21, 2007, NED is still without a lease and still having to depend upon HPD for forensic drug analysis.

Although NED is responsible for the medical marijuana program, it received no additional personnel or funding until Act 165, SLH 2002 was enacted. This Act authorized the State to charge a processing fee of \$25 for each patient registry certificate, and to deposit such fees into the Department's Controlled Substances Revolving Fund. However, no additional personnel were authorized by the Legislature and the \$25.00 fee is not sufficient to make this program self-supporting.

In January 1997, NED opened its Hilo office with one revolving-funded investigator. The office is situated in the Hilo Police Department Narcotics-Vice office along with the DEA special agents assigned to Hilo. The NED Hilo Investigator is responsible for initiating all cases from the island of Hawaii. The office is also responsible for the 611 registrants on Hawaii. NED is in need of additional investigator positions for the islands of Hawaii (Kona), Kauai, and Maui. The Hawaii Medical Association, the Hawaii Pharmaceutical Association, and the law enforcement community support this need for additional narcotics investigators on the neighbor islands.

B. Program Change Recommendations to Remedy Problems

Due to the increase in requests for drug prevention services (education) and investigative services at Hawaii's airports, correctional facilities, and State-controlled areas on all islands; and participation in a Federal drug task force, the NED is in need of additional staffing and funding to adequately investigate felony controlled substances and regulated

chemicals investigations at these locations. NED is also experiencing a backlog of pharmaceutical diversion cases initiated on the neighbor islands due to NED's lack of a permanent presence on the islands of Maui, Kauai and Hawaii (Kona). With the projected expansion of law enforcement functions to be undertaken by the Department in the near future, the NED, will not be able to adequately respond to these added duties without additional personnel and funding. With the downsizing of other related State agencies, NED has also acquired additional enforcement duties with no corresponding staff increases.

- C. Identify any program issues or problems that have affected or will affect the implementation of the program, and the corrective measures or remedies established or planned.

Part of the mission of the NED is to conduct audits and inspections of its registrants. Due to the increase in enforcement cases and duties, the inspection function of the NED has suffered because of a lack of staff. Due to limited resources, the NED continues to establish working alliances and task forces with regulatory agencies and Federal, State, and county law enforcement agencies in an attempt to optimize the use of its limited resources to accomplish its mission.

IV. Expenditures for Fiscal Year 2007-2008

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, available resources, and estimated expenditures for FY 2007-2008.

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	18.00	0.00	0.00	0.00	18.00	18.00
Personnel Services	\$1,170,219	\$63,063	\$0	\$0	\$1,233,282	\$1,233,282
Current Expenses	\$494,450	\$0	\$0	\$0	\$494,450	\$494,450
Equipment	\$41,035	\$0	\$0	\$0	\$41,035	\$41,035
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$1,705,704	\$63,063	\$0	\$0	\$1,768,767	\$1,768,767
(Pos. Counts)	12.00	0.00	0.00	0.00	12.00	12.00
General Fund	\$838,979	\$34,005	\$0	\$0	\$872,984	\$872,984
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$198,536	\$3,957	\$0	\$0	\$202,493	\$202,493
(Pos. Counts)	6.00	0.00	0.00	0.00	6.00	6.00
Other Funds	\$668,189	\$25,101	\$0	\$0	\$693,290	\$693,290

A. Explain all Transfers Within the Program I.D. and its Impact on the Program.

None

B. Explain all Transfers Between Program I.D.'s and its Impact on the Program.

None

C. Explain any Restrictions and their impact on the Program.

None

V. Supplemental Budget Requests for FY 2008-2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	18.00	1.00	19.00
Personnel Services	\$1,127,916	\$55,800	\$1,183,716
Current Expenses	\$473,485	\$0	\$473,485
Equipment	\$5,000	\$0	\$5,000
Motor Vehicles	\$0	\$0	\$0
Total	\$1,606,401	\$55,800	\$1,662,201
(Pos. Counts)	12.00	1.00	13.00
General Fund	\$842,316	\$55,800	\$898,116
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$198,536	\$0	\$198,536
(Pos. Counts)	6.00	0.00	6.00
Other Funds	\$565,549	\$0	\$565,549

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

Request To For One Investigator V Position for the Island Of Kauai

Request one permanent general funded Investigator V position to address Kauai's controlled substance and chemical diversion issues.

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding. .

Request To For One Investigator V Position for the Island Of Kauai

Personnel Services:	1.00	\$55,800	A
Other Current Expenses:		\$	A
Equipment:		\$	A
<u>Motor Vehicles:</u>		\$	<u>A</u>
Total:	1.00	\$55,800	A

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None

VI. Program Restrictions:

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009.

- A. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

- B. A listing/description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Requests for Supplemental Year 2008-2009:

None

VIII. Proposed Lapses of Capital Improvements Program Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY

PSD 503, SHERIFF'S DIVISION

I. Introduction

A. Summary of Program Objectives

To provide for the protection and safety for the people of Hawaii through law enforcement/security services at State Facilities, Lands, Harbors, Airports, and within the jurisdictional boundaries of the State of Hawaii. The Airports covered are Honolulu, International, Kalaeloa Airports, Dillingham Field, and may include any other Airport in Hawaii. The Harbors involve primarily Honolulu and Maui harbors and other harbors as directed. The Sheriff Division preserves public peace by enforcing laws as well as preventing and detecting crimes; conducting police patrols, surveillance, criminal and other investigations; apprehending offenders, preparing all necessary reports, and documents, presenting evidence for testimony at court proceedings; providing and participating in counter terrorist security measures for state's critical infrastructures and buildings; and conducting educational activities. The Sheriff Division also provides support to other Federal, State, and county law enforcement agencies.

B. Description of Program Objectives:

1. Provides law enforcement services to the State of Hawaii.
2. Provides law enforcement and security services to the Civic Center Complex, State Capitol, Washington Place, Hawaii State Hospital, State Department of Defense, Judiciary, Airports, Harbors facilities, and other areas as required within the State of Hawaii.
3. Through the Executive Protection Section, provides personal protective services to the Governor, Lieutenant Governor, their families, and other dignitaries.

4. Provides protective services to the members of the Legislature, visiting officials, judges, and government employees while in conduct of their duties.
5. Provides law enforcement support in the execution of all court papers and orders assigned to the Division. These activities include service of traffic, felony, grand jury, parole warrants, and writs of possession.
6. Transports witnesses, suspects, defendants, and prisoners within the State of Hawaii, out-of-State, or internationally.
7. Provides booking and processing services for persons arrested by State law enforcement officers.
8. Provides transport services for the Judiciary for persons in custody including juveniles and persons ordered to the Hawaii State Hospital.
9. Provides crowd and riot control functions within the State.
10. Assists other local, State and Federal agencies in the State of Hawaii.
11. Conducts both criminal and civil investigations of cases or incidents, which occur within the Division's jurisdiction and as assigned.
12. Provides emergency assistance during any terrorist incident, civil defense, and natural disasters to preserve the health and safety of residents and visitors in the State of Hawaii.
13. Provides emergency law enforcement response to all State agencies on all islands.
14. The Sheriff Division is the lead component of the State Law Enforcement Coalition (SLEC). This multi-agency partnership provides law enforcement and counter terrorist support to critical State infrastructures, particularly the airports, harbors, and other sites as required.

- C. Explain how your Program intends to meet its objectives within the upcoming fiscal biennium.

The Sheriff's Division will continue to explore alternative methods to balance increasing security requirements and law enforcement responsibilities against insufficient staffing and staff shortages coupled with concerns about minimizing overtime costs. Effective July 1, 2004, PSD 501- Protective Services Division was consolidated under PSD 503- Sheriff Division to facilitate more effective use of personnel and resources. The development and expansion of State facilities, coupled with workload increases with law enforcement and administrative responsibilities, without a corresponding increase in Sheriff Division personnel, has impaired our ability to meet program objectives. The Sheriff Division will not be able to effectively perform all of its functions to the public, Judiciary, and other State agencies without resource increases. An attempt to provide all the services with limited resources has resulted in marginal services in all areas. The Sheriff Division will be put in the position of either continuing to provide inadequate core services in all operational areas, or to stop providing certain services or functions in order to effectively service the remaining functions. This solution would seriously impact governments duty to provide core health and safety services.

II. Program Performance Results

- A. Discuss the performance results achieved by each Program in FY 2007.

The Sheriff Division has not met its planned performance measurements for FY 2007 in most categories, the current backlog of warrants, increase demand for law enforcement services to include all state facilities on the island of Oahu, relocation & division of our operations and construction of additional District Courts have stressed our resources and ability to meet our performance results. The division has achieved a few of our performance results in number of criminal cases and request for services categories. The importance of providing these services cannot diminish and must be maintained because of it direct relationship to public safety and the protection of our community.

- B. Explain how these results relate to the Program's Objectives and the Department's mission.

The above results provide a safe environment for the general public, State entities, and its personnel. The Sheriff Division is the lead State Law Enforcement Coalition (SLEC) agency in Hawaii's Homeland Security efforts. In addition, the Deputy Sheriffs' role in task forces such as the US Marshal Service's Fugitive Task Force, Federal Bureau of Investigation's Joint Terrorism Task Force, Drug Enforcement Agency's High Intensity Drug Trafficking Area (HIDTA), and Warrants Section in apprehending criminals and serving warrants removes criminal elements from the streets and allows for the judicial process to begin. These types of operations serve as a strong deterrent to prevent further acts of unlawful activities, and prepares our state for all hazards incidents.

- C. Explain how the effectiveness of the Program is measured (i.e.; outcome, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two years.

The program's effectiveness is measured by number of service calls, criminal cases, warrant service, custodies, court security requests, threats against government officials, etc. These numbers represent our workload and ability to resolve issues with the protection of life and property and public safety. As the division's resources increases along with improved services these numbers may decline, which will now represent our true effectiveness in public safety. In a perfect world law enforcement goal is to compel voluntary compliance of all laws and improving societies ability to remain tolerant of others thus eliminating crime.

- D. Discuss actions taken by each Program to improve its performance results.

The Sheriff Division's mission is to provide law enforcement services at all State courts, the State Capitol, the Governor's residence, all State buildings and offices, and the Honolulu International Airport; service of warrants; efficient & effective transport of custodies to all State courts in order to maintain and protect community order within the State.

- E. Please identify all modifications to your program's performance measures and discuss the rationale for these modifications.

None.

III. Problems and Issues

- A. Discussion of Problems and Issues Encountered if any

The Sheriff Division has several problems and issues that are adversely affecting our ability to effectively and efficiently provide services to the community. One of the major problem is finding a permanent office structure. The Sheriff Division was forced to relocate offices from one location to several different physical locations extending from AAFES Building at Ward and Kapiolani, to Pier 20 off of Iwilei, to the State Capitol on South Beretania, and Kione Ana on Alakea Street, to Halawa Valley.

This has divided daily, administrative, and operational activities by miles. Resulting in seriously fragmenting operations keeping Administrators isolated in one location, with Office Staff Services in another, Operational managers at three different locations, and separated from management offices at headquarters. This situation has severely hampered the division's Administrators ability to command and control its operations. This has also removed and separated operations that have a direct relationship to its operations (example= warrant files at State Capitol, Warrant Deputies at Pier 20, Receiving Desk at Halawa, Cellblock on Alakea Street etc.) creating an enormous logistical nightmare for the division's operations. This situation is worsening with another required move of offices at Pier 20 to another location, resulting in the Sheriff Division moving operations six (6) times in two (2) years. No other state agency, department, or division has been placed in this situation or forced to work under these conditions, which has destroyed our employees' morale.

Another major problem/issue is staffing and resource shortages that have plagued the division in the past 5 – 10 years. Increased responsibilities in providing police services to state operated areas in the City and County of Honolulu began when the Police Department stopped responding to non-life threatening cases on the island of Oahu. The Sheriff's Division was forced to assume this void in police services and have been providing

diminished police services to these other areas. Resulting in a reduction and delay in response to our normal service area at the Civic Center Complex, State Capitol, and Judiciary Facilities by redirecting resources and staff to handle these cases.

This has forced our deputies to respond to criminal and service cases alone without back up or assistance seriously placing them unnecessarily into a hazardous situation that may result in serious bodily injury or death. The worldwide standard in police services is to provide an immediate back up for all calls (cases) to protect their officers and public from unnecessary injury or death. American law enforcement standards is to never send one officer alone to any case due to the naturally volatile situation police officers may encounter with the simplest type of case (example = case to help a sick or injured person turns into a riot with bystanders). State Capitol Deputies have encountered this type of incident during the controversial hearings with the International Market Place vendors in the 1990s where deputies responding to a sick or injured elderly person suddenly turned into a life-threatening situation with hundreds of people nearly rioting on the Third Floor of the capitol.

The increased operation of rural area District Courts to better serve our community requires additional resources from the Sheriff Division to provide law enforcement, court security, and protection of judges, employees, attorneys, defendants, witnesses, victims, and general public. The limited resources available from the division provides services on a availability basis of personnel to these rural courts on a part-time status leaving the courts unprotected several days or hours at a time. This situation is extremely detrimental to the safety, health and welfare of all persons at the rural courts.

The service of grand jury and other warrants are time sensitive and if not served in a timely manner may be dismissed and the case will not be prosecuted. The Sheriff Division's Warrant Section is under staffed to handle the current backlog of approximately 37,458 Traffic, 804 Grand Jury, 221 Hawaii Paroling Authority, and 125 Intake warrants with only six teams (2 deputies each) that actively pursue these wanted felons and parolees. The Honolulu Police Department has disbanded its Warrants Section, consequently, warrants issued to the Police Department will sit in a file until a patrol officer stops the person on a traffic matter or arrests the individual on other charges. The Honolulu Police Department has proposed that the Sheriff Division assume responsibility for service of all warrants.

While the Sheriff Division has traditionally assumed its statutory responsibility, the number of warrants has long exceeded our ability to serve them in a timely manner.

The safety, health, and welfare of the public, State facilities and the deputy sheriffs are jeopardized daily due to staffing shortfalls. Security of State entities, which include the courts and its functions, is compromised due to the increasing court activity, other law enforcement and administrative responsibilities, and limited Sheriff Division resources.

A significant issue confronting the Sheriff Division is the lack of funding to maintain and replace their equipment and motor vehicles. Due to the age and mileage of its motor vehicles, major components such as automatic transmissions, suspension, driveline and engine are frequently breaking. Marginal mechanical problems are not addressed until the vehicle is no longer operable. The frequency of breakdowns has been increasing, along with the costs of maintaining this aged fleet.

The lack of funding for the maintenance contract for the for the "Live scan" receiving desk equipment may result in the Sheriff Division not being able to continue booking operations. The ability to process arrested persons is a vital and integral function of any law enforcement organization. Currently, the Sheriff processes approximately 3,261 arrests annually. If this funding were not provided, funds would have to be diverted from other program areas to keep this crucial function to operations.

The lack of funding for the maintenance contract for the Washington Place CCTV and Bollard system may result in a significant decrease in the security of the Governor's residence should the security system become inoperable. The CCTV and Bollard system is the first line of security of both the Washington Place Museum and the Governor's residence. This system is a force multiplier that allows great degree security coverage with a minimum amount of personnel. It would take the equivalent of (6) six full-time deputy Sheriffs to provide the same amount of security coverage. In the event the CCTV and Bollard security system breaks or fails, the Sheriff Division does not have the manpower to provide that level of security that the security system provides.

The lack of funding for the maintenance contract for the State Capitol building CCTV system may result in a significant decrease in the security of the State Capitol building should the security system become inoperable. This system is a force multiplier that

allows a great degree of security coverage with a minimum amount of personnel. In the event that the CCTV security system breaks or fails, the Sheriff Division does not have the manpower to provide that level of security that the security system provides.

The lack of funding for the training of the Outer Island sections will result in reduction if not elimination of in-service training for personnel assigned to the outer island sections. The Sheriff Division's training personnel are all assigned to the island of Oahu. These funds would have been used to conduct the training on the outer islands, which would enable each training session to include a greater number of personnel. This method of training would provide greater coverage as well as minimize any impact to normal operations.

The lack of funds for firearms qualifications and training will result in a decrease in firearms proficiency of the Sheriff Division personnel. As with all law enforcement training, it's major function is to develop and maintain skills that are necessary to carry out the officer's duties. The other factor is the limit or reduces any liability exposure of the Department and the State.

The lack of funding for Vehicle expenses will result in a decrease in the Sheriff Division's vehicle fleet. As fuel cost and repair have risen, the Sheriff Division's budget has not increased accordingly. This places the Sheriff Division in the position deferring any repairs, which in turn removes vehicles from our fleet. Mobility is a critical asset needed in any law enforcement organization. Law enforcement must be able to respond in a timely manner in order to serve.

The lack of funding for prescription medial expenses for custodies will divert needed operations funds from other areas. The Sheriff Division has a legal obligation to provide for the proper care of persons in our custody. Sick or injured custody must be provided medical attention. Custodies are no longer dispensed prescription medication at the emergency rooms. The custody id given a prescription by the ER and the Sheriff Division is then responsible for obtaining the medication. Since this medication is being purchased outside of any type of medical insurance program, the cost of these medications are extremely high. Without the additional funding, other areas of the Sheriff Division's operations will be impacted.

The lack of funding for rental/lease expenses for Kona Sheriff's office space will result in the relocation of the Kona section to Hilo. With out office space, the administrative and operational functions would be moved to Hilo. This would decrease efficiency of operations and directly impact the level of service provided to the Judiciary. Response times at all Kona court building would be significantly impacted.

The lack of funds for the Hawaiian telephone radio facility rental/operations expense will negatively impact the Sheriff Division radio communications system. This radio repeater site is critical in providing radio coverage on the windward side of the island of Oahu. Without this funding, sheriff division personnel operating in the windward districts will not have any radio communications with our central dispatch. This is an officer safety issue.

B. Program Change Recommendations to Remedy Problems

The Sheriff Division's immediate request will be to increase staffing at neighbor island airports that currently do not have law enforcement personnel. This request will ensure public safety of the employees that work at these sites and the traveling public.

The Sheriff Division will be requesting future funding to renovate or build one facility to provide essential office space for its operations. The facility will need enough space to house all essential components to establish one central operational facility within the metropolitan area of Oahu. The central location is essential to ensure proper services are being provided to all primary areas where law enforcement services are needed. This will streamline and improve operations for the effective and efficient delivery of services. The central office will include Administration, Warrant Section and files, Firearms Section and armory, Squad Room, Watch Commanders, Receiving Desk, Cellblock, Evidence Room, Custody's Property Room, Locker Room, Investigations, Supply, Emergency Operations Center, Communications & Information, storage and vehicle fleet parking.

C. Identify any program issues or problems that have affected or will affect the implementation of the program, and the corrective measures or remedies established or planned.

Refer to Section III. B.

IV. Expenditures for Fiscal Year 2007-2008

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, available resources, and estimated expenditures for FY 2007-2008.

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	360.00	0.00	0.00	0.00	360.00	360.00
Personnel Services	\$17,181,518	\$557,289	\$0	\$0	\$17,738,807	\$17,738,807
Current Expenses	\$1,069,782	\$0	\$0	\$0	\$1,069,782	\$1,069,782
Equipment	\$167,670	\$0	\$0	\$0	\$167,670	\$167,670
Motor Vehicles	\$51,000	\$0	\$0	\$0	\$51,000	\$51,000
Total	\$18,469,970	\$557,289	\$0	\$0	\$19,027,259	\$19,027,259
(Pos. Counts)	289.00	0.00	0.00	0.00	289.00	289.00
General Fund	\$12,628,813	\$416,372	\$0	\$0	\$13,045,185	\$13,045,185
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	7.00	0.00	0.00	0.00	7.00	7.00
Federal Funds	\$563,336	\$0	\$0	\$0	\$563,336	\$563,336
(Pos. Counts)	64.00	0.00	0.00	0.00	64.00	64.00
Other Funds	\$5,277,821	\$140,917	\$0	\$0	\$5,418,738	\$5,418,738

A. Explain all Transfers Within the Program I.D. and the Impact on the Program.

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program.

None

C. Explain any Restrictions and the impact on the Program.

None

V. Supplemental Budget Requests for FY 2008-2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	360.00	12.00	372.00
Personnel Services	\$17,542,417	\$678,456	\$18,220,873
Current Expenses	\$1,054,516	\$169,260	\$1,223,776
Equipment	\$17,000	\$80,460	\$97,460
Motor Vehicles	\$51,000	\$0	\$51,000
Total	\$18,664,933	\$928,176	\$19,593,109
(Pos. Counts)	289.00	0.00	289.00
General Fund	\$12,823,776	\$0	\$12,823,776
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	7.00	0.00	7.00
Federal Funds	\$563,336	\$0	\$563,336
(Pos. Counts)	64.00	12.00	76.00
Other Funds	\$5,277,821	\$928,176	\$6,205,997

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

Request For Deputy Sheriff Positions For Neighbor Island Airport Detail

Request for 9.0 Deputy Sheriff II, 3.0 Deputy Sheriff III positions and funds to staff the Maui, Kauai, and Kona Airports.

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

Request For Deputy Sheriff Positions For Neighbor Island Airport Detail

		<u>FY 2009</u>	
Personnel Services:	12.00	\$678,456	U
Other Current Expenses:		\$169,260	U
Equipment:		\$80,460	U
<u>Motor Vehicles:</u>		<u>\$</u>	<u>U</u>
Total:	12.00	\$928,176	

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None

VI. Program Restrictions:

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009.

- A. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

- B. A listing/description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Requests for Supplemental Year 2008-2009:

None

VIII. Proposed Lapses of Capital Improvements Program Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY
PSD 611, ADULT PAROLE DETERMINATIONS

I. Introduction

A. Summary of Program Objectives

To protect the community by establishing appropriate levels of punishment for those committed to prison, promoting optimal use of prison and parole resources through sound minimum and parole policies, and facilitating inmates' successful return or reintegration to the community.

B. Description of Program Objectives

1. Establish appropriate minimum terms of imprisonment.
2. Grant or deny parole.
3. Provide proper supervision for those on parole.
4. Revoke parole, when appropriate.
5. Review applications for gubernatorial pardon and make appropriate recommendations to the Governor.
6. Promote sound parole legislation.
7. Provide sound administration for the management of parolees and appropriate training programs for the members and staff of the Hawaii Paroling Authority.

- C. Explain how your Program intends to meet its objectives within the upcoming fiscal biennium.

The Authority will continue to work cooperatively with the Department of Public Safety (PSD) and maintain its focus on risk management and efficient delivery of services while operating within authorized funding levels.

II. Program Performance Results

- A. Discuss the performance results achieved by each Program in FY 2007.

FY 2007 was another active and productive year for the Hawaii Paroling Authority and its staff.

1. The Hawaii Paroling Authority continues to maintain a zero tolerance philosophy on violent crime. The average minimum sentence for Murder in the Second Degree is still a lengthy 55.8 years.
2. The workload for the Hawaii Paroling Authority increased during FY 2007. Approximately 2,273 decisions to grant, deny, or revoke parole were made during the year. Parole hearings increased from 1,797 in FY 2006 to 2,023 in 2007. Of the 2,023 parole hearings, 837 inmates were tentatively granted parole, 1,056 were denied parole, 128 were deferred or continued, and 2 were on escape status. An additional 264 decisions to revoke parole were made. The inmates being considered and granted parole during FY 2007 are much more difficult to supervise because they tend to be repeat offenders with multiple offenses and multiple issues that must be addressed (i.e., substance abuse, cognitive thinking errors, emotional developmental issues, mental health issues, etc.). Repeat offenders require more intense levels of supervision and additional community based resources than first time parolees/offenders FY 2007 was another active and productive year for the Hawaii Paroling Authority and its staff.
3. Pardon investigations have decreased for FY 2006 with the Hawaii Paroling Authority completing an average of 84.67 pardon investigations per year for the last three years. Although, the average number of investigations has slightly decreased

from 127.6, during FY 2006, the Hawaii Paroling Authority completed 38 investigations as apposed to 79 investigations during FY 2006. The overall number of investigations has decreased due to completing almost 90% of the back logged pending investigations. The Hawaii Paroling Authority now routinely completes a pardon investigation within 45 to 60 days after receipt of a completed application packet. In the past, pardon investigations would take 180 to 240 days to complete.

4. Parole violation hearings have decreased from 416 in FY 2006 to 304 in FY 2007.
5. With 3159 minimum terms of imprisonment set for 840 inmates, the total number of persons on parole in Hawaii on June 30, 2007 was 2,661, which increased from 2,434 in FY 2006. The overall parolee population is generally more difficult to manage/supervise because they tend to be repeat offenders with multiple offenses. Though they do not pose any additional risk to public safety once paroled, they require more supervision and additional community-based services than first-time parolees/offenders.

B. Explain how these results relate to the Program's Objectives and the Department's mission.

1. The overall mission of public safety is reinforced if the inmate has met all of his programming needs, maintained good institutional conduct, has a low risk of recidivism, and has a good support system.
2. The HPA must ensure that all inmates are reconsidered for parole every 12 months after their initial parole denial. This measure relates to the humane treatment of inmates and ensures that statutory requirements are being followed.
3. The overall measure of public safety is reinforced if the parolee is granted a final discharge because the parolee must meet the criteria set for discharges; i.e., at least five consecutive years on parole, no new crimes, and good stability factors (family, residence, and/or employment) or the expiration of maximum sentence.
4. The number of inmates that go beyond their expiration of minimum sentence generally indicates a problem of either not having met their correctional

programming, having poor conduct records in prison, having poor parole plans, and/or being deemed a high risk to re-offend.

5. The overall measure of public safety is reinforced if the parolee is granted an early discharge because the parolee must meet the criteria for early discharges; i.e., two or three consecutive years on parole, no new crimes, and excellent stability factors (family, residence, and/or employment).
- C. Explain how the effectiveness of the Program is measured (i.e.: outcomes, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two years.
1. Percent of inmates granted early parole release. This measurement is used to establish the number of inmates that have completed all correctional programming, maintained good institutional conduct, have low risk of recidivism, and have presented a viable parole plan.
 2. Average length of time before next parole review. This measurement is used to determine the frequency of parole hearings for the same inmate after denial of parole.
 3. Average length of time on parole before final discharge. This measurement is used to determine that the parolee has demonstrated that he can live a pro-social lifestyle over an extended period of time.
 4. Percent of inmates granted parole at the expiration of the minimum sentence. This measurement is used to determine if a proper minimum was set originally.
 5. Percent of inmates granted early discharge. This measurement is used to determine how effective parole supervision and assistance provided by parole officers have removed the need for further State control over the individual.

- D. Discuss actions taken by each Program to improve its performance results.
1. The Authority entered into a cooperative agreement with the PSD to consider for re-parole first-time technical parole violators after 90 - 180 days of incarceration, provided they do not pose a risk to public safety; as well as the review of cases referred by the Wardens and supported by the Director of the Department that could be considered for earlier parole. In order to reduce the recidivism among this population of parolees, the HPA requires them to complete programs such as KASHBOX, PAROLE VIOLATORS PROGRAM and/or other institutional programs designed to assist them in their efforts to remain crime free if re-paroled. In addition, depending on the nature of the parole violation, the HPA may require the parolee to complete residential drug treatment as a condition of re-parole.
 2. The Authority works cooperatively with the PSD in reviewing inmate cases and providing many with parole interviews or early parole consideration hearings if they are within 7 to 12 months of their Tentative Parole Date. This action, of course, does not guarantee a parole release.
 3. The Authority now usually schedules minimum sentence hearings within three months of sentencing by the court versus five to six months of admittance to prison. This has expedited the reclassification of inmates in order to move minimum custody inmates out of higher security prison beds and to more appropriate levels of custody.
 4. The Authority now schedules parole and minimum hearings to accommodate inmate transfers between facilities and to eliminate costly movement of inmates to hearings.
 5. Parole violation hearings are now routinely scheduled approximately 30-40 days after the retake on a warrant of arrest. This has reduced the number of violators "pending hearing" and being held in higher security prison beds.
 6. The Authority reviews individual cases of sentenced felons with immigration detainers. The Authority works closely with the Immigration and Naturalization Service to review all inmates who are subject to deportation. When appropriate, inmates are paroled to Federal custody for immediate deportation.

- E. Please identify all modifications to your program's performance measures and discuss the rationale for these modifications.

None.

III. Problems and Issues

- A. Discussion of Problems and Issues Encountered if any.

Problems and issues arising from the service area and demographics: The Hawaii Paroling Authority continues to face the challenges of expanding and refining those activities that will enable it to better manage risk. The HPA's list of activities and programs focused on community supervision, sex offender supervision and treatment, mental health and substance abuse units, drug testing, cognitive training/restructuring, and providing job development services.

The number of individuals seen annually for minimum term hearings by the Hawaii Paroling Authority rose from 2,811 to 3,159 in FY 2007; parole hearings conducted annually during the same time frame rose from 1,797 to 2,023; and total statewide parole population rose from 2,434 to 2,661. As of June 30, 2007, the number of people on parole in Hawaii was 2,661. The number of parolees returned to prison due to parole revocation dropped from 364 (of total parole population) in FY 2006 to 264 or 37.8% in FY 2007. The active caseloads increased from 2,434 to 2,661 representing an increase of approximately 9.3% in the parole population.

With the staffing limitations of one full-time and two part-time members, the Hawaii Paroling Authority continues to have difficulty meeting the needs of the increasing complexities of the decisions required to be rendered on cases brought before the authority. Part-time members are limited by law and budgeting constraints in the number of hours they are allowed to work (128 hours per month). The quality of the decisions are key to meeting the needs of providing protection to the public, allowing inmates to re-enter the community when appropriate, and assuring that the correctional facilities continue to meet housing requirements.

B. Program Change Recommendations to Remedy Problems.

We estimate that the total workload of the staff has more than doubled over the last decade. With the growing caseload we continue to maintain our focus on risk management and the most efficient delivery of services – to the client, the criminal justice system, and the community at large.

C. Identify any program issues or problems that have affected or will affect the implementation of the program, and the corrective measures or remedies established or planned.

None.

IV. Expenditures for Fiscal Year 2007-2008

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, available resources, and estimated expenditures for FY 2007-2008

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	3.00	0.00	0.00	0.00	3.00	3.00
Personnel Services	\$179,375	\$0	\$0	\$0	\$179,375	\$179,375
Current Expenses	\$58,734	\$0	\$0	\$0	\$58,734	\$58,734
Equipment	\$0	\$0	\$0	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$238,109	\$0	\$0	\$0	\$238,109	\$238,109
(Pos. Counts)	3.00	0.00	0.00	0.00	3.00	3.00
General Fund	\$238,109	\$0	\$0	\$0	\$238,109	\$238,109
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0	\$0	\$0	\$0

A. Explain all transfers within the Program I.D. and the impact on the Program.

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program.

None

C. Explain any Restrictions and their impact on the Program.

None

V. Supplemental Budget Requests for FY 2008-2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	3.00	0.00	3.00
Personnel Services	\$179,375	\$0	\$179,375
Current Expenses	\$58,734	\$0	\$58,734
Equipment	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0
Total	\$238,109	\$0	\$238,109
(Pos. Counts)	0.00	0.00	0.00
General Fund	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0
(Pos. Counts)	3.00	0.00	3.00
Other Funds	\$238,109	\$0	\$238,109

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

None

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

None

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None

VI. Program Restrictions:

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009.

1. A brief description of the reduction, the reason for the reduction, and the impacts to the objectives to be accomplished by the program.

None

2. A listing/description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Programs (CIP) Requests for Supplemental Year 2008-2009:

None

VIII. Proposed Lapses of Capital Improvements Program Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY
PSD 612, ADULT PAROLE SUPERVISION AND COUNSELING

I. Introduction

A. Summary of Program Objectives

To supervise the activities of parolees to assure conformance with the established standards of parole and to provide guidance, counseling, and assistance as required aiding in their return to the community.

B. Description of Program Objectives

1. Pre-Parole Investigation and Assistance

- a. Conduct investigations to determine the appropriateness of the inmate's parole plan for the Hawaii Paroling Authority.
- b. Serve legal notices to the inmates and provide information such as: scope of hearing, right to counsel, available options, etc.
- c. Assist the inmate in developing a parole plan, to include referring the inmate to proper agencies for assistance in home placement and/or job placement, and for treatment of medical problems and psychological counseling services.

2. Parole Supervision

- a. Explain and interpret the parole contract to the parolee.
- b. Provide counseling, guidance, and supervision.

- c. Assist in job seeking, placement, and maintenance.
 - d. Consult and coordinate public service delivery resources with parolee.
 - e. Investigate all complaints made against the parolee.
 - f. Ensure compliance with the terms and conditions of parole.
 - g. Take appropriate action on parole violators.
 - h. Periodically evaluate adjustment of parolee.
 - i. Testify before any appropriate body as to the status and adjustment of parolee.
3. Pardon Investigations
- a. Provide assistance to petitioner for pardon consideration.
 - b. Conduct background investigation of pardon applicant.
 - c. Prepare and submit written report of findings to the Authority.
4. Interstate Compact Agreement
- a. Conduct investigation of request for interstate parole supervision by Hawaii; and make appropriate recommendation to the administrator and members of the Hawaii Paroling Authority either for or against acceptance of supervision in Hawaii.
 - b. Supervise interstate parole cases.
 - c. Prepare and submit periodic progress report to the sending states; e.g., California, Nevada, etc.
 - d. Receive reports from the receiving states supervising Hawaii's parolees.

5. Recommendation for Early Parole Discharge
 - a. Required by statute to submit a report for discharge consideration for parolees who have been on parole for five years and, if denied, resubmit a report annually thereafter.
 - b. Review parole cases for possible early discharge for deserving parolees.
 6. Other Requirements Ordered by the Authority or Requested by the Public and the Legislature
 - a. Investigate special cases the Authority wishes to consider for earlier review or parole.
 - b. Respond to any request by an interested citizen, group, or the Legislature on specific cases.
 - c. Conduct investigations and gather information requested by public and private agencies.
 7. Administration and Management Requirements
 - a. Maintain accurate records, files, etc., of activities and submit required reports, letters, etc.
 - b. Develop, maintain, and compile accurate statistics.
 - c. Ensure proper use of government resources.
 - d. Provide an adequate training program for the staff and members of the Authority.
- C. Explain how your Program intends to meet its objectives within the upcoming fiscal biennium.

The HPA will continue to work cooperatively with the Department of Public Safety (PSD) and maintain its focus on risk management and efficient delivery of services while operating within authorized funding levels.

II. Program Performance Results

A. Discuss the performance results achieved by each Program in FY 2007.

The HPA continues to assist the prison system in moving inmates through the correctional system in a timely manner and dealing with overcrowding issues without compromising its public safety mission.

B. Explain how these results relate to the Program's Objectives and the Department's mission.

1. The overall mission of public safety is reinforced if the inmate has met all of his/her programming needs, maintained good institutional conduct, has a low risk of recidivism, has a good support system, and has favorable recommendations on his parole plan.
2. The HPA must insure that all parolees comply with the terms and conditions of parole, and to decide when a parole violator's parole should be revoked.
3. Restitution and the amount collected relates directly to our ability to enforce the court order. This measure relates to our ability to reintegrate offenders in the community as productive and responsible citizens. With the much needed assistance of the Crime Victim Compensation Commission (CVCC), both the Department of Public Safety and the Hawaii Paroling Authority have been seeing dramatic increases in the amount of restitution collected and the speed with which the restitution is provided to the victims of crime.
4. The overall measure of public safety is reinforced if the parolee is granted a final discharge because the parolee must meet the criteria set for discharges; i.e., at least 5 consecutive years on parole, no new crimes, and good stability factors (family, residence, and/or employment) or the expiration of maximum sentence. In some cases, the HPA has granted early release from parole when the parolee has demonstrated through his/her positive actions and has no record of parole violations for a period of less than 5 years. No parolee was granted early release if the offense involved violence or sexual assault.

5. The measure of unemployment amongst parolees provides a good indication of potential success on parole. The overall mission of public safety is better achieved if the parolee is employed.
- C. Explain how the effectiveness of the Program is measured (i.e.; outcomes, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two years.
1. Number of parole violators returned to prison. This measurement is used to determine the effectiveness of protecting the public safety by returning to prison those who are unable to comply with the terms and conditions of their parole release.
 2. Amount of restitution collected. This measurement reflects the amount in dollars collected, which fluctuates from year to year due to the amount imposed on those released and the parolee's ability to make payment.
 3. Average length of time on parole before final discharge. This measurement is used to determine that the parolee has demonstrated that he can live a pro-social lifestyle over an extended period of time.
 4. Unemployment rate among parolees. This measurement reflects the rate of parolees complying with the condition of employment, which we consider one of the most important factors for success on parole.
- D. Discuss actions taken by each Program to improve its performance results.
1. The mental health program supervises and maintains approximately 53 severely disabled and mentally ill offenders (40 males and 13 females). They are stabilized through medication, safe residence, mental health services, and follow-up in the community.
 2. The Special Services Section provides enhanced supervision, counseling, and services to higher risk/needs parolees and offenders who have been incarcerated for longer periods of time. This supervision option continues to be the primary

alternative for reintegrating offenders who have not had the opportunity to participate in any furlough or resocialization program prior to release from prison.

3. The agency participates with the Department of Public Safety, Department of Health, and the Judiciary's Adult Probation Division in the implementation of an integrated Statewide sex offender assessment and treatment program. The Division has centralized supervision of all sex offenders on Oahu, trained three specialized parole officers, coordinated and contracted the delivery of treatment with outside service providers, and coordinated pre-release assessment and treatment with the PSD.
4. The HPA works closely with the PSD Sheriff Division for the service of parole violation arrest warrants. Although, there is a backlog in the service of many types of warrants, numerous dangerous parole violators have been returned to prison as a direct result of the efforts of the Sheriff's Division.
5. The HPA also works closely with the PSD Management Information System (MIS) staff to implement an enhanced computer system for the Parole Administration Division to meet the agency's data and word processing needs. The system will:
1) provide us with greater accessibility to information on the prison and parole populations, 2) help with our scheduling needs, 3) enable us to issue Authority decisions in a more timely manner, 4) maintain accurate statistics, and 5) provide statistical analysis capabilities to determine overall program effectiveness.
6. In addition, the HPA committed to the goals of the Interagency Council on Intermediate Sanctions (ICIS) to reduce the adult recidivism rate by 30% within five years of full implementation of the Level of Service Inventory (LSI-R). The LSI-R is a comprehensive assessment tool used by agencies throughout the criminal justice system to assist in determining an offender's risk of recidivism and program needs to address in an effort to reduce his/her potential to commit new crimes. With implementation of the LSI-R, all agencies that supervise, house, and/or provide services to the offender population will be better able to address the needs of the offenders by targeting services specifically designed for that offender. The LSI-R also provides critical information that assist parole supervisors and managers in

determining the level of supervision that an offender should have to help ensure public safety.

- E. Please identify all modifications to your program's performance measures and discuss the rationale for these modifications.

None.

III. Problems and Issues

- A. Discussion of Problems and Issues Encountered if any.

Problems and Issues arising from the Service Area and Demographics:

The Hawaii Paroling Authority continues to face the challenges of expanding and refining those activities that will enable it to better manage risk. The HPA's list of activities and programs focused on community supervision, sex offender supervision and treatment, mental health, drug testing, and cognitive training/restructuring.

The number of individuals seen annually for minimum term hearings before the Authority rose from 2,811 to 3,159 in FY 2007; parole hearings conducted annually rose from 1,797 to 2,023; and total Statewide parole population rose from 2,434 to 2,661. The total number of persons for whom parole was revoked and who were returned to prison dropped from 364 (of the total parole population) in FY 2006 to 264 or 37.8% (of the total parole population) in FY 2007. The decrease in revocations attributable to improved supervision standards and technology. It should be noted that during this same time frame, the number of active caseloads actually increased from 2,434 to 2,661, which represent an increase of approximately 9.3% in the parole population.

- B. Program Change Recommendations to Remedy Problems.

We estimate that the total workload of the staff has more than doubled over the last decade. While the prison and parole populations have continued to grow dramatically, and must be accommodated, we continue to maintain our focus on risk management and the most

efficient delivery of services - to the client, the criminal justice system and the community at large.

- C. Identify any program issues or problems that have affected or will affect the implementation of the program, and the corrective measures or remedies established or planned.

None.

IV. Expenditures for Fiscal Year 2007-2008

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, available resources, and estimated expenditures for FY 2007-2008.

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	55.00	0.00	0.00	0.00	55.00	55.00
Personnel Services	\$2,419,683	\$97,719	\$0	\$0	\$2,517,402	\$2,517,402
Current Expenses	\$1,114,678	\$0	\$0	\$0	\$1,114,678	\$1,114,678
Equipment	\$0	\$0	\$0	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$3,534,361	\$97,719	\$0	\$0	\$3,632,080	\$3,632,080
(Pos. Counts)	55.00	0.00	0.00	0.00	55.00	55.00
General Fund	\$3,534,361	\$97,719	\$0	\$0	\$3,632,080	\$3,632,080
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0	\$0	\$0	\$0

A. Explain all Transfers Within the Program I.D. and the Impact on the Program.

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program.

None

C. Explain any Restrictions and the impact on the Program.

None

V. Supplemental Budget Requests for FY 2008-2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	55.00	0.00	55.00
Personnel Services	\$2,419,683	\$0	\$2,419,683
Current Expenses	\$1,114,678	\$0	\$1,114,678
Equipment	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0
Total	\$3,534,361	\$0	\$3,534,361
(Pos. Counts)	55.00	0.00	55.00
General Fund	\$3,534,361	\$0	\$3,534,361
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

None

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

None

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None

VI. Program Restrictions:

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009.

- A. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

- B. A listing /description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Requests for Supplemental Year 2008-2009:

None

VIII. Proposed Lapses of Capital Improvements Program Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY
PSD 613, CRIME VICTIM COMPENSATION COMMISSION

I. Introduction

A. Summary of the Program Mission

The mission of the Crime Victim Compensation Commission (the "Commission") is to mitigate the suffering and financial losses of victims of certain designated violent crimes, survivors of a mass casualty incident (Xerox-type incident), dependents of deceased victims, and private citizen good Samaritans who are injured, or whose property is damaged, in the course of preventing a crime or apprehending a criminal, by providing monetary compensation to cover crime-related expenses.

B. Description of Activities Supporting the Program Mission

The Commission receives, reviews, and investigates applications for compensation from victims of certain designated violent crimes; reviews police, medical, and employer records to administratively determine eligibility and amount of compensation to be awarded; drafts administrative decisions awarding or denying compensation; conducts de novo hearings on appeals from administrative decisions; coordinates with other victim service providers to assure that victims receive compensation in a timely manner; coordinates with the Judiciary, the Paroling Authority, and other agencies to maximize the collection of non-tax revenue; initiates derivative legal actions to secure compensation reimbursements from restitution and civil suits; prepares an Annual Report for submission to the Governor and the Legislature; and provides data and other information as requested by the Legislature and other agencies.

- C. Explain how the Program intends to accomplish its mission within the upcoming fiscal year.

The mission of the Commission is to mitigate the suffering and financial impact experienced by victims of violent crime by providing compensation to cover un-reimbursed crime-related expenses. The Commission will accomplish this mission by assuring there is sufficient non-tax revenue to pay compensation awards and the Commission's operating expenses.

The mandatory Crime Victim Compensation Fee (the "Compensation Fee") is the Commission's primary source of non-tax revenue and its assessment and collection is vital to the Commission remaining fiscally self-sufficient. No State general funds have been appropriated for the Commission for several budget cycles, and the Commission is now funded solely from non-tax revenue and matching federal funds. Maintaining a consistent and adequate source of non-tax revenue to fund compensation to crime victims and operating expenses remains the most important fiscal issue facing the Commission.

Notwithstanding the Commission's primary reliance on Compensation Fee revenue, the Judiciary has continued to fail to assess and collect the Compensation Fee in all eligible cases. The Commission estimates that there has been a shortfall of more than one million dollars (\$1,000,000.00) in revenue collections since the Compensation Fee was enacted by the Legislature. The impact of the revenue collection shortfall since the Compensation Fee was enacted is to deprive the Commission of its ability to ensure adequate compensation to victims of violent crime in Hawai'i.

Despite the Commission's attempts to work cooperatively with the Judiciary to address this concern, nothing has been resolved. In addition, although the Legislature increased the Compensation Fee in 2005 by five dollars (\$5) in all categories of crimes, aggregate actual Compensation Fee collections by the Judiciary fell far below expected collections. This shortfall is the result of the Judiciary's continuing failure to assess and collect the Compensation Fee in all eligible cases.

The Legislature has become so concerned that there would be insufficient funds to compensate crime victims, that in 2007 the Legislature passed a resolution requiring the

Judiciary to submit a report detailing its progress in assessing and collecting the mandatory Compensation Fee. While awaiting the Judiciary's report to the Legislature, the Commission will continue its court monitoring efforts and its efforts to collaborate with the Judiciary to ensure that the Compensation Fee is assessed in all eligible cases. Should there be no significant improvement in Compensation Fee collections in the near future, the Commission will be forced to request that other sources of revenue be developed so that the Commission can continue to provide the essential compensation needed to support crime victims in their recovery from the effects of violent crime.

II. Program Performance Results

A. Discuss the performance results achieved by each Program in FY 2007.

The Commission has reduced case processing time by streamlining its case processing procedures.

The Medical Expense Reduction Project (the "Expense Reduction Project") seeks to reduce and contain increasing medical costs in cases with uninsured crime victims by: 1) requesting that medical providers accept payment of 70% of the fees charged (a 30% reduction) as payment in full; and 2) in cases where total medical expenses incurred exceed the statutory maximum award of \$20,000.00, negotiate with various medical providers to each accept a proportionate payment (maximum award divided proportionately between all medical providers) as payment in full.

The Restitution Recovery Project (the "Restitution Project") collects restitution payments from inmates and parolees and disburses those funds to the crime victims. As a result of the Restitution Project, many more crime victims will receive reimbursement from offenders for their crime-related expenses. These increased payments will hold the offender accountable for the financial losses inflicted upon the victim of their crime and the offender will begin to develop a sense of self-respect and pride in righting the wrongs they have committed. The Commission has opened over 2,000 restitution cases and collected over \$578,500 in restitution to disburse to crime victims from January 1, 2003 to June 30, 2007. The Commission will request that the Legislature make this Project permanent in the 2007 session by the establishment of a permanent position count.

- B. Explain how these results relate to the Program's and the Department's mission.

The Expense Reduction Project will reduce overall medical expenses for victims of violent crime, freeing up funds so that the Commission can serve a greater number of crime victims.

The Restitution Project collects restitution from inmates and parolees and disburses those funds to the victim of their crime. The Project works to ensure that victims receive timely payments of restitution. This project also ensures that inmates are held accountable to the victims they harmed.

- C. Explain how the effectiveness of the Program is measured (i.e.: outcome, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two (2) years.

Program effectiveness is measured by the time it takes to process a victim's case and award compensation. New procedures have decreased case processing time by approximately 50% since FY 2000.

Restitution collection from inmates and parolees¹ has increased significantly over the life of the Project. In the first year of the Restitution Project the Commission collected more than \$46,000.00 and in the past year, the Commission collected more than \$195,000.00. The Commission believes that the significant increase in restitution collections over the life of the Restitution Project reflect the gradual institutionalization of restitution assessment and collection by the correctional facilities and the Paroling Authority.

The Restitution Project has also resulted in an increase in the collection of Compensation Fees from parolees. In the first year of the Project the Commission collected more than \$2,000.00, and in the past year the Commission collected more than \$15,000.00 in Compensation Fees.

The Medical Expense Reduction Project has reduced medical expenses for victim of violent crime by asking medical providers to accept reduced payments, as payment in full.

¹ Restitution payments are from inmates and parolees and do not include payments from inmates incarcerated as a condition of parole.

While not all medical providers in the cases selected for the Expense Reduction Project agreed to take a reduced payment as payment in full, in cases where they did agree, crime victims realized savings of almost \$306,000.00 from medical bills of more than \$468,000.00 in the aggregate, a savings of almost 65%.

- D. Discuss actions taken by each Program to improve its performance results.

The Commission collaborates with the other community and government partners to improve services to all crime victims.

III. Problems and Issues

- A. Discussion of Problems and Issues Encountered if any

Achieving, and maintaining, a consistent and adequate source of non-tax revenue to underwrite the costs of compensating crime victims, and to pay operating expenses, remains the most important issue facing the Commission.

- B. Program Change Recommendations to Remedy Problems

The Commission will continue to monitor the assessment and collection of the Compensation Fee. Should there be no significant improvement in Compensation Fee collections in the near future, the Commission will be forced to request that other sources of revenue be developed so that the Commission can continue to provide the essential compensation needed to support crime victims in their recovery from the effects of violent crime.

- C. Identify any program issues or problems that have affected or will affect the implementation of the program, and the corrective measures or remedies established or planned.

Refer to Sections III. A and B.

IV. Expenditures for Fiscal Year 2007-2008

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, available resources, and estimated expenditures for FY 2007-2008.

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	8.00	0.00	0.00	0.00	8.00	8.00
Personnel Services	\$457,694	\$0	\$0	\$0	\$457,694	\$457,694
Current Expenses	\$2,236,141	\$0	\$0	\$0	\$2,236,141	\$2,236,141
Equipment	\$0	\$0	\$0	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$2,693,835	\$0	\$0	\$0	\$2,693,835	\$2,693,835
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
General Fund	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$850,000	\$0	\$0	\$0	\$850,000	\$850,000
(Pos. Counts)	8.00	0.00	0.00	0.00	8.00	8.00
Federal Funds	\$1,843,835	\$0	\$0	\$0	\$1,843,835	\$1,843,835
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0	\$0	\$0	\$0

A. Explain all Transfers Within the Program I.D. and the Impact on the Program.

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program.

None

C. Explain any Restrictions and the impact on the Program.

None

V. Supplemental Budget Requests for FY 2008-2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	8.00	0.00	8.00
Personnel Services	\$457,694	\$0	\$457,694
Current Expenses	\$2,236,141	\$0	\$2,236,141
Equipment	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0
Total	\$2,693,835	\$0	\$2,693,835
(Pos. Counts)	0.00	0.00	0.00
General Fund	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$850,000	\$0	\$850,000
(Pos. Counts)	8.00	0.00	8.00
Federal Funds	\$1,843,835	\$0	\$1,843,835
(Pos. Counts)	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

None

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

None

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None

VI. Program Restrictions:

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009:

- A. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

- B. A listing/description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Request for Supplemental Year 2008-2009:

None

VIII. Proposed Lapses of Capital Improvements Program Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY

PSD 808, NON-STATE FACILITIES

I. Introduction

A. Summary of Program Objectives

To protect society by providing treatment, assessment, supervision, custodial care, and related individualized services for inmates in close/medium/minimum security facilities; to provide for the basic needs of inmates by developing and maintaining a secure, safe, healthy and humane social and physical environment; to facilitate participation in academic and work/training programs designed to prepare these inmates for reintegration into the community.

B. Description of Program Objectives

1. Provide medical and mental health services that will assure the well-being of inmates.
2. Provide opportunities to inmates for vocational training to enhance marketable skills.
3. Provide recreational activities, tournaments and programs to reduce inmate idleness and minimize mental and emotional stress.
4. Provide educational program opportunities to all inmates.
5. Provide religious services, counseling and a designated Faith-Based Housing Unit to accommodate the spiritual needs of inmates.

6. Provide substance abuse program opportunities to all inmates in both an intensive therapeutic community and aftercare.
 7. Provide a behavioral modification program as a means of providing additional resources for the safety of inmate management.
 8. Provide work line opportunities to all inmates.
 9. Provide visitation programs to strengthen the bonds between inmates and their families, friends, and communities.
- C. Explain how your Program intends to meet its objectives within the upcoming fiscal year.

The non-state facilities will continue to be in compliance with our contractual agreement that emphasizes the above-listed program objectives of all inmates housed out-of-state. In addition, the Department's Mainland Branch will continue to monitor the terms of the contractual provisions and ensure programs are available to inmates to allow them to successfully reintegrate back into the community as a law-abiding citizen.

II. Program Performance Results

- A. Discuss the performance results achieved by each Program in FY 2007.

The non-state facilities support the Department's objective of ensuring the safety of the public by providing a safe and secure environment to incarcerate those individuals assigned to the care and custody of Director of Public Safety by ensuring that all contractual terms are met and in compliance.

- B. Explain how these results relate to the Program's Objectives and the Department's mission.

The non-state facilities focuses upon providing sentenced felons with an environment through which they can receive programs and opportunities in all custody levels (close, medium and minimum) to prepare them for eventual return to Hawaii to participate in appropriate work furlough programs. Successful participation in the aforementioned

programs is a requirement based on the inmate's individual assessments as a precondition for favorable recommendations to furlough placement and/or parole release.

For those inmates that show no interest in program participation, the non-state facilities continues to provide activities to reduce inmate idleness and encourages all inmates to participate in all recommended programs to ensure a successful release into the community.

- C. Explain how the effectiveness of the Program is measured (i.e. outcome, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two (2) years.

The facility's program effectiveness is measured by the following criteria:

1. Percent of misconduct sanctions in the high and greatest categories.
2. Percent of inmates in contracted beds.
3. Percent of inmate grievances filed in contracted out-of-State facilities that were resolved.
4. Average percent of major contract provisos with out-of-State facilities requiring corrective action.

Overall, the non-state facilities have been effective when measured against these indicators. The staff has done well to help achieve that effectiveness.

- D. Discuss actions taken by each Program to improve its performance results.

The non-state facilities will continue to take a proactive position in providing activities for inmates decreasing idleness and minimizing emotional stress by keeping inmates in programs, employed on work-lines and other meaningful tasks. The facility staff will continue to make observations regarding the inmate's ability to follow rules and hold them accountable if rules are violated.

The non-state population accounts for approximately 33% of the Department's entire inmate population and provides additional bed spaces for overcrowded facilities. The Department will continue to send inmates out-of-State until other secure facilities are built.

The non-state facilities will continue its high success rate of 98% (or higher) in resolving inmate grievances by giving priority to resolving inmate grievances on a timely basis and addressing issues immediately with appropriate corrective action as needed.

The Department's Mainland Branch will continue to monitor all major contract provisos with the out-of-State facilities needing corrective action for all non-compliant issues within 30 days of issuing the contract deficiency notices to the facilities.

Staff will continue to dedicate its efforts to provide the inmate with positive opportunities to better himself/herself that will allow him/her the choice for a pro-social life style when released.

- E. Please identify all modifications to your program's performance measures and discuss the rationale for these modifications.

The non-state facilities are attempting to modify the method in which inmates are managed. Historically, in order to qualify for certain programs and work lines, the inmates have to be assigned to Minimum Custody and be transferred to an appropriate facility. Inmates housed out-of-State are held at a higher standard to be self-sufficient because programs and work lines are available to all inmates. It is the goal of the facilities to provide the inmates with the best of care, programs, and activities in order to prepare them for the challenges that await them once they return to Hawaii.

III. Problems and Issues

- A. Discussion of Problems and Issues Encountered, if Any.

The non-state facilities provide opportunities for educational, substance abuse programming, work lines and vocational training to inmates willing to participate. Opportunities include college courses, computer programming, plumbing, electrical, woodwork/cabinetry and various work lines throughout the facilities.

Housing inmates in non-state facilities separates an inmate from family members. While the facilities meet contractual standards in providing video conferencing, contact and non-contact visits, family members ultimately have to wait for loved ones to return to Hawaii for regular in-person visits.

B. Program Change Recommendations to Remedy Problems.

None

C. Identify any program issues or problems that have affected or will affect the implementation of the program, and the corrective measures or remedies established or planned.

None

IV. Expenditures for Fiscal Year 2007-2008

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	10.00	0.00	0.00	0.00	10.00	10.00
Personnel Services	\$523,195	\$18,948	\$0	\$0	\$542,143	\$542,143
Current Expenses	\$64,603,009	\$0	\$0	\$0	\$64,603,009	\$64,603,009
Equipment	\$0	\$0	\$0	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$65,126,204	\$18,948	\$0	\$0	\$65,145,152	\$65,145,152
(Pos. Counts)	10.00	0.00	0.00	0.00	10.00	10.00
General Fund	\$65,126,204	\$18,948	\$0	\$0	\$65,145,152	\$65,145,152
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0	\$0	\$0	\$0

A. Explain all Transfers Within the Program I.D. and the Impact on the Program.

None.

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program.

None.

C. Explain any Restrictions and the impact on the Program.

None.

V. Supplemental Budget Requests for Fiscal Year 2008-2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	10.00	0.00	10.00
Personnel Services	\$523,195	\$0	\$523,195
Current Expenses	\$65,812,673	\$0	\$65,812,673
Equipment	\$0	\$0	\$0
Motor Vehicles	\$0	\$0	\$0
Total	\$66,335,868	\$0	\$66,335,868
(Pos. Counts)	10.00	0.00	10.00
General Fund	\$66,335,868	\$0	\$66,335,868
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Other Funds	\$0	\$0	\$0

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

None.

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

None.

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None (There are no position count deletions.)

VI. Program Restrictions

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009:

1. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

2. A listing/description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Request for Supplemental Year 2008-2009:

None

VIII. Proposed Lapses of CIP Projects:

None

SENATE COMMITTEE ON WAYS AND MEANS
BUDGET REQUESTS FOR SUPPLEMENTAL BUDGET 2008-2009
JANUARY 9, 2008
1:30 p.m.

TESTIMONY OF THE DEPARTMENT OF PUBLIC SAFETY
PSD 900, GENERAL ADMINISTRATION

I. Introduction

A. Summary of Program Objectives

To facilitate achievement of those objectives which result in fulfilling the Department's primary public safety responsibilities by enhancing criminal justice systems planning, research, and evaluation; as well as coordinating and administering system-wide executive management and related support services.

B. Description of Program Objectives

1. Responsible for the formulation and implementation of State policies, objectives, and programs for corrections, law enforcement, and public safety programs and functions; for the administration and maintenance of all adult correctional facilities and services; and for the security of State property.
2. Provides Department-wide administrative, managerial, and technical support services to field operations including but not limited to budget preparation, budget execution, fiscal accounting, payroll, procurement, uniform officer and civilian training, and the like.
3. Provides assessment of Departmental training needs with respect to health, safety, security, and administration, as well as compliance with mandates, policies, and professional guidelines.

4. Coordinates and monitors a comprehensive and integrated system of directives to ensure compliance with laws, rules, regulations, policies, standards, and guidelines.
 5. Maintains coordinated and cooperative relationships with other State, County, Federal, and private agencies to integrate and continually improve the criminal justice system.
- C. Explain how your Program intends to meet its objectives within the upcoming fiscal biennium.

General Administration will continue to prioritize services and pool resources to make optimal use of existing staff. However, in view of the Department's expanding scope and responsibilities, it is important to ensure that administrative support programs are adequately equipped to meet the growing demand for its services.

II. Program Performance Results

- A. Discuss the performance results achieved by each Program in FY 2007.
1. Provided administrative leadership and managerial and technical support services necessary for efficient and effective public safety programs.
 2. Worked to address inmate overcrowding by transferring additional inmates to out-of-State facilities; to develop appropriate offender management systems; and to achieve compliance with regulations and standards to ensure appropriate and consistent Departmental operations.
 3. Encouraged the professional development of Department personnel in administrative, managerial, and technical skills; and initiated improvements to staff recruitment and retention programs.

- B. Explain how these results relate to the Program's Objectives and the Department's mission.

Being the administrative arm of the Department, it is important to provide essential support services to programs in a timely manner. Decisions critical to the public's safety are made using information obtained from the various administrative offices and staff.

- C. Explain how the effectiveness of the Program is measured (i.e., outcomes, measures of effectiveness, benchmarks, etc.) and discuss the performance results achieved during the past two years.

The performance measures for General Administration are used to evaluate its ability to respond and provide essential administrative services to programs within the Department. Administrative services are important as they facilitate the effective and efficient management of the Department's corrections and law enforcement programs, operations, and resources.

The percent of internal vacancies filled measures our ability to recruit, select, and hire qualified applicants in a timely manner. This measure shows a decrease of 3.8% in the number of positions filled in FY 07 compared to FY 06.

The average length of time to complete payment transactions is monitored to minimize delays and late payment fees. The average length of time remains unchanged at 25 days for both FY 06 and FY 07.

Information on the percent of internal investigations completed is invaluable as the Department works to minimize employee violations and misconduct. The Internal Affairs Office has been selective in conducting only complex, time consuming investigations to reduce the caseload. This allows the investigator to complete most of their investigations on a timely manner.

- D. Discuss actions taken by each Program to improve its performance results.

General Administration plays a pivotal role in keeping the Department operating on a daily basis and providing information to assist in long-range planning. Administration continues to prioritize services and pool resources to make best use of existing staff.

- E. Please identify all modifications to your program's performance measures and discuss the rationale for these modifications.

Personnel Office: To better reflect the outcome, the measure "Percent of internal vacancies filled based on total number of requests to fill" was abbreviated to "Percent of vacancies filled". In addition, the program activity "Number of new requests to fill vacancies" was added to correspond to the measure. Additionally, the measure "Percent of delegated position action actions processed" was added to correspond the program activity "Number of delegated position actions received" that was changed from "Number of delegated position actions processed".

Internal Affairs (IA)/ Inspection and Investigations (IIO): To clarify the investigations conducted by the IIO and by the IA Office, "Percent of internal investigations completed" was amended to the following measures of effectiveness and program activities:

"Percent of investigations closed by IIO"
"Number of internal investigations received by IIO."
"Percent of internal investigations completed by IA"
"Number of internal investigations received by IA"

Capital Improvement Projects (CIP): To better reflect the CIP function, the measure of effectiveness "Percent of active CIP project on or ahead of schedule as of June 30" and the program activity "Number of active CIP projects as of June 30" replaced program activity "Number of pre-authorized activities completed for CIP and special R&M projects" and "Number of authorized activities completed for CIP and special R&M projects"

Civil Rights Office: The following measures and program activities were added to reflect the Department's compliance to ADA and civil rights:

"Percent of ADA complaints investigated and closed after action taken."
"Number of ADA complaints filed."
"Percent of harassment / discrimination complaints investigated and closed after action taken."
"Number of harassment / discrimination complaints filed."

Correctional Industries:

This program was separated from General Administration into a new Level IV division PSD 422 to appropriately reflect its unique function.

III. Problems and Issues

A. Discussion of Problems and Issues Encountered If any

The workload of the Department of Public Safety continues to expand as the number of inmates and requests for law enforcement services increase. Initiatives to improve fiscal accountability, staff recruitment and retention, professional development and training, use of data and information technology and contract services have placed growing demands on administrative support services. Staffing, however, has decreased and this has adversely affected our ability to provide support services to the line operations.

The inmate population continues on an upward trend. As a result, the number of inmates continues to exceed the number of beds available. The overcrowding makes the Department vulnerable to lawsuits and places tremendous stress on the facility buildings, infrastructure, and staff. Resources are required to alleviate the overcrowding and to correct deficiencies to ensure that facilities are maintained in compliance with health and safety standards. Given the current resources, the Department is inadequately equipped to meet its statutory responsibilities to safeguard State facilities, Judiciary courtrooms, and the public.

B. Program Change Recommendations to Remedy Problems

As the inmate population continues to grow, a Classification system that enables resourceful decision-making in institutional planning (bed spaces, programs, CIP) as well as placing inmates in appropriate beds must be implemented. This will require organizationally establishing the Classification Office with adequate staffing and oversight ability.

The Inmate Classification Office is requesting the following positions: One (1) Classification Manager to provide oversight of the statewide classification system, one (1) Corrections Program Specialist to augment the position lost to the implementation of the mainland branch, and three (3) facility classification coordinators to facilitate and oversee custody reviews for transfer, of which one will be assigned to Hawaii Community Correctional Center, two will be assigned to Halawa Correctional Facility, one of which will be assigned to assist with the RAD process.

In the CIP budget, the Department is requesting funds for the Phase 1 of the New Maui Regional Public Safety Complex and lump sums to address a backlog of deferred repairs, alterations, and improvements for correctional facilities Statewide.

C. Identify any program issues or problems that have affected or will affect the implementation of the program, and the corrective measures or remedies established or planned.

Refer to Section III. A.

IV. Expenditures for Fiscal Year 2007-2008

Provide the appropriation data, collective bargaining amounts, transfers, restrictions, available resources, and estimated expenditures for FY 2007-2008.

	Appropriation Budget Act FY 2007-2008	Collective Bargaining	Transfer In Transfer Out	Restriction	Net Allocation	Estimated Total Expenditure
(Pos. Counts)	146.10	0.00	0.00	0.00	146.10	146.10
Personnel Services	\$7,648,111	\$364,464	\$0	\$0	\$8,012,575	\$8,012,575
Current Expenses	\$4,884,538	\$0	\$0	\$0	\$4,884,538	\$4,884,538
Equipment	\$302,040	\$0	\$0	\$0	\$302,040	\$302,040
Motor Vehicles	\$474,855	\$0	\$0	\$0	\$474,855	\$474,855
Total	\$13,309,544	\$364,464	\$0	\$0	\$13,674,008	\$13,674,008
(Pos. Counts)	146.10	0.00	0.00	0.00	146.10	146.10
General Fund	\$11,797,667	\$364,464	\$0	\$0	\$12,162,131	\$12,162,131
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Special Funds	\$693,832	\$0	\$0	\$0	\$693,832	\$693,832
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00	0.00	0.00	0.00
Other Funds	\$818,045	\$0	\$0	\$0	\$818,045	\$818,045

A. Explain all transfers within the Program I.D. and the impact on the Program.

None

B. Explain all Transfers Between Program I.D.'s and the Impact on the Program.

None

C. Explain any Restrictions and the impact on the Program.

None

V. Supplemental Budget Requests for FY 2008-2009:

Provide the total position counts and funds requested.

	Act 213/07 FY 2008-09	Budget Request FY 2008-09	Supplemental Request Requirement
(Pos. Counts)	146.10	6.00	152.10
Personnel Services	\$7,747,785	\$193,542	\$7,941,327
Current Expenses	\$4,406,538	\$34,600	\$4,441,138
Equipment	\$0	\$21,300	\$21,300
Motor Vehicles	\$474,855	\$0	\$474,855
Total	\$12,629,178	\$249,442	\$12,878,620
(Pos. Counts)	146.10	6.00	152.10
General Fund	\$11,117,301	\$249,442	\$11,366,743
(Pos. Counts)	0.00	0.00	0.00
Special Funds	\$693,832	\$0	\$693,832
(Pos. Counts)	0.00	0.00	0.00
Federal Funds	\$0	\$0	\$0
(Pos. Counts)	0.00	0.00	0.00
Other Funds	\$818,045	\$0	\$818,045

A. Workload or Program Request:

1. A description of the request, the reasons for the request, and the desired outcomes or the objectives to be accomplished by the proposed program.

Additional Staff And Funds For Inmate Classification Office

Request additional funds for the expansion of the Classification Office with dedicated staff that will give the office the required centralized oversight and management of the classification system. A lack of dedicated staff and authority was cited as an issue that diminished the integrity of the classification system.

Request To Transfer Corrections Program Specialist, from PSD 420 to PSD900

2007 Legislature abolished this position in PSD 900 however the position was in PSD 420. This request will correct the position count for these programs.

2. A listing/description of the positions requested, and funding requirements by cost category and source of funding.

Additional Staff And Funds For Inmate Classification Office

		<u>FY 2009</u>	
Personnel Services:	5.00	\$146,094	A
Other Current Expenses:		\$34,600	A
Equipment:		\$21,300	A
<u>Motor Vehicles:</u>		\$	<u>A</u>
Total:	0.00	\$201,994	

Request To Transfer Corrections Program Specialist, from PSD 420 to PSD900

		<u>FY 2009</u>	
Personnel Services:	1.00	\$47,448	A
Other Current Expenses:		\$	A
Equipment:		\$	A
<u>Motor Vehicles:</u>		\$	<u>A</u>
Total:	1.00	\$47,448	

3. For all lump sum requests, please provide a breakout indicating specific purposes for all planned expenditures.

None

- B. For all position count reductions, please specify whether the positions were new, filled or vacant.

None

VI. Program Restrictions:

Identify restrictions carried over from FY 2008 as well as additional reductions due to the Department of Budget and Finance budget ceilings for Fiscal Year 2009:

1. A description of the reduction, the reasons for the reduction, and the impacts to the objectives to be accomplished by the program.

None

2. A listing/description of the positions cut including source of funding, please specify whether the positions were filled or vacant.

None

VII. Capital Improvement Program (CIP) Requests for Supplemental Year 2008-2009:

Refer to CIP appendix.

VIII. Proposed Lapses of Capital Improvements Program Projects:

The Department proposes a lapse of previously appropriated funds as follows:

Act 41, SLH 2004 -	\$11,898,000 – MCCC Expansion/Relocation
<u>Act 160, SLH 2006-</u>	<u>\$24,350,000 – MCCC Expansion/Relocation</u>
Total:	\$36,248,000