#### THE SENATE TWENTY-FOURTH LEGISLATURE, 2007 STATE OF HAWAII

#### S.B. NO. <sup>1946</sup> S.D. 2 H.D. 2

# A BILL FOR AN ACT

RELATING TO DAM SAFETY.

#### BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF HAWAII:

1 SECTION 1. Chapter 179D, Hawaii Revised Statutes, is 2 amended by adding a new part to be appropriately designated and 3 to read as follows: 4 "PART . DAM AND RESERVOIR SAFETY 5 \$179D-A Certificate of approval to impound. Each dam owner or operator shall hold a valid certificate of approval to 6 7 legally impound water under the laws of this State. 8 **§179D-B Entry upon property.** (a) The department shall 9 have the right to direct and conduct investigations as it may 10 reasonably deem necessary to carry out its duties as prescribed 11 in this part. For this purpose, the agents or employees of the 12 department or any authorized representatives may enter at 13 reasonable times, without prior notice, any property, public or 14 private, for the purpose of investigating the condition, construction, or operation of any dam, reservoir, or other 15 16 artificial barrier dealt with in this chapter; provided that if 17 an emergency situation arises as determined by the department,



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1 the agents or employees of the department, or any authorized
2 representatives shall have the right to enter without prior
3 notice, any property, public or private, for the purpose of
4 investigating the condition, construction, or operation of any
5 dam, reservoir, or other artificial barrier subject to this
6 chapter, and to take any remedial actions, without a search
7 warrant or liability for trespass.

8 (b) It shall be unlawful for any person to refuse entry or
9 access to any authorized representative of the department who
10 requests entry for purposes of inspection and who presents
11 appropriate credentials. It shall also be unlawful to obstruct,
12 hamper, or interfere with any representative while in the
13 process of carrying out the representative's official duties.

14 (c) Notwithstanding any other provision of law to the 15 contrary, the board and its agents, engineers, and other 16 employees, for the purposes of enforcing this chapter, may enter 17 upon any land or water in the state that is the subject of an 18 inspection, investigation, or remedial actions without a search 19 warrant or liability for trespass.

20 §179D-C Injunctive relief. Whenever in the judgment of 21 the department any person has engaged in or is about to engage 22 in any act or practice that constitutes or will constitute an SB1946 HD2 HMS 2007-3570

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1 unlawful action under this chapter, the department may apply to the circuit court of the county in which the unlawful act or 2 practice has been or is about to be engaged in, or in which 3 jurisdiction is appropriate, for an order enjoining the act or 4 5 practice, or for an order requiring compliance with this 6 chapter. Upon a showing by the department that a person has engaged in or is about to engage in any unlawful act or 7 practice, a permanent or temporary injunction, restraining 8 9 order, or other order shall be granted without the necessity of 10 showing lack of an adequate remedy at law.

11 **§179D-D Emergency actions.** (a) If, in the opinion of the 12 department, conditions of any dam or reservoir are so dangerous to the health and safety of life or property as to not permit 13 time for issuance and enforcement of an order relative to 14 15 construction, modification, maintenance, or repair of the dam or 16 reservoir, or the dam or reservoir is threatened by any large flood or other natural disaster, the department may immediately 17 18 employ remedial measures necessary to protect life and property. 19 The department shall provide coordination and (b) 20 assistance to the proper state or county agency or agencies to

21 maintain control of any dam or reservoir that, pursuant to22 subsection (a), has been determined to be dangerous to life or



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property until the dam or reservoir is deemed safe, or until any emergency conditions that precipitated taking control of the dam or reservoir, pursuant to subsection (a), have been abated. The department may determine the proper time at which to relinquish control of the dam or reservoir.

6 (c) Any necessary and reasonable costs and expenses
7 incurred by the department in fulfilling the duties mandated by
8 subsections (a) and (b) in connection with a remedial or
9 emergency action shall be recoverable by the department from the
10 owner of any dangerous or threatened dam or reservoir.

(d) Any owner failing or refusing, after written notice has been given, to pay the reasonable costs and expenses incurred by the department pursuant to subsection (c) shall be, upon complaint by the department to the attorney general, subject to reasonable attorney fees incurred in the recovery of the costs and expenses.

17 (e) All moneys collected by the department pursuant to
18 subsection (c) shall be credited to the dam and reservoir safety
19 special fund created in section 179D-E.

20 (f) If a condition arises that in the opinion of the
21 department may pose a danger to the health and safety of persons
22 or property and sufficient time permits, the board may issue
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1 orders reciting the existence of the condition and require any 2 actions the board deems necessary. Any person to whom an order 3 is directed, may challenge the order, but shall immediately 4 comply with the order, pending disposition of the person's 5 challenge. The board shall give precedence to a hearing on the 6 challenge over all other pending matters.

7 (g) The legislature finds and declares that emergency
8 actions under this section are in the public interest and for
9 the public health, safety, and general welfare of the state, and
10 authorizes the board to take any necessary actions.

11 §179D-E Establishment of dam and reservoir safety special 12 fund. (a) There is established in the department a special 13 fund, to be designated the dam and reservoir safety special 14 fund. The fund shall be administered by the board. The 15 following shall be deposited into the dam and reservoir safety 16 special fund:

17 (1) Appropriations by the legislature;

18 (2) All fees and administrative charges collected under
19 this chapter or any rule adopted thereunder;

20 (3) Moneys collected as fines or penalties imposed under
21 this chapter or any rule adopted thereunder;



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1	(4)	Moneys derived from public or private sources to
2		benefit dam and reservoir safety;
3	(5)	Moneys collected in full or partial satisfaction of
4		liens created under this chapter;
5	(6)	Any moneys collected from the sale of retail items by
6		the department relating to dam and reservoir safety;
7	(7)	Any other moneys collected pursuant to this chapter or
8		any rules adopted thereunder; and
9	(8)	Moneys derived from interest, dividends, or other
10		income from other sources.
11	(b)	The board may expend moneys from the dam and reservoir
12	safety sp	ecial fund for:
13	(1)	Conducting investigations, research, and the
14		collection of data, including technological advances
15		made in dam and reservoir safety practices elsewhere;
16	(2)	Conducting investigations, monitoring, and inspection
17		programs and activities, and enforcement;
18	(3)	Preparing and disseminating information to the public
19		concerning activities authorized under this chapter;
20	(4)	Training and providing educational activities for
21		department staff and dam and reservoir owners;



1 Employing any necessary remedial measures to protect (5)2 persons and property in accordance with this chapter; The costs and expenses of the coordination, 3 (6) assistance, control, regulation, abatement, and 4 inspection provided by this chapter; and 5 (7)Other purposes for the administration of the dam and 6 reservoir safety program under this chapter or any 7 rule adopted thereunder, including but not limited to 8 9 funding permanent or temporary positions that may be 10 appointed without regard to chapter 76. The board shall provide coordination and assistance to the 11 proper state or county agency or agencies to control any dam, 12 reservoir, and appurtenances subject to section 179D-D until 13 they have been rendered safe or the emergency has terminated. 14 Moneys on balance in the dam and reservoir safety 15 (C) special fund at the close of each fiscal year shall remain in 16 17 that fund and shall not be transferred or lapsed to the credit 18 of the general fund.

19 §179D-F Liens. (a) Costs of construction, enlargement,
20 repair, alteration, or removal work done to render a dam,
21 reservoir, or appurtenances safe shall constitute a statutory
22 lien against all property of the owner. Notwithstanding any SB1946 HD2 HMS 2007-3570

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1 other law to the contrary, the lien shall be considered prior
2 and superior to all other mortgages, liens, or encumbrances of
3 record even if those other mortgages, liens, or encumbrances
4 were filed before the lien pursuant to this subsection becomes
5 due.

6 (b) Liens pursuant to subsection (a) may be perfected and foreclosed in advance of construction, enlargement, repair, 7 alteration, or removal or after completion of the construction, 8 9 enlargement, repair, alteration, or removal. If perfected in 10 advance, the lien shall be perfected by the filing of an affidavit of the board setting forth the estimate of the costs 11 12 of construction, enlargement, repair, alteration, or removal within the county in which the dam or reservoir is located in 13 14 the same manner as prescribed for mechanic's liens. When the affidavit is filed, the amount set forth in the affidavit shall 15 be a lien in that amount against all property of the owner. If 16 the actual cost of construction, enlargement, repair, 17

18 alteration, or removal exceeds the estimated cost, the board may 19 amend the affidavit setting forth the additional estimated cost. 20 If the estimated cost exceeds the actual costs of construction, 21 enlargement, repair, alteration, or removal at completion, the 22 board shall file an amended affidavit at completion. If a lien



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is perfected in advance and the construction, enlargement,
 repair, alteration, or removal is not commenced within two years
 from the date of perfection, the lien shall be void. The board
 shall file a satisfaction of lien upon payment of the costs of
 construction, enlargement, repair, alteration, or removal by the
 owner.

7 §179D-G Dams and reservoirs completed prior to effective 8 date of this Act. (a) Every owner or operator of a dam or 9 reservoir that falls within the definition of a dam or reservoir 10 in this chapter and was completed prior to the effective date of 11 this Act shall file with the board a separate application for a 12 certificate of approval to impound and any other supporting 13 information as required by the board for each dam or reservoir. 14 Each application shall also be accompanied by application fees 15 as required by the board. During the application process for a 16 certificate of approval to impound, the owner or operator of a 17 dam or reservoir may continue to impound water, unless the board 18 determines that the dam or reservoir may pose a danger to the 19 health and safety of persons or property.

20 (b) The board shall give notice to file an application for21 certificate of approval to impound to owners of dams or

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reservoirs who have failed to file such applications as required
 by this chapter.

3 (c) The notice provided for in this section shall be
4 delivered by certified mail to the owner at the owner's last
5 address of record in the office of the county tax assessor in
6 which the dam or reservoir is located. The mailing shall
7 constitute service.

8 (d) The board shall make inspections of any dams and
9 reservoirs, unless the data, records, and inspection reports on
10 file with it are found adequate to enable a determination of
11 whether or not the certificate of approval to impound should be
12 issued.

13 The board shall require owners of the dams and (e) reservoirs to perform at their expense any work or tests as may 14 15 reasonably be required to disclose information sufficient to 16 enable the board to determine whether to issue certificates of approval to impound, or to issue orders directing further work 17 18 at the owner's expense necessary to safeguard life and property. 19 For this purpose, the board may require an owner or operator to 20 lower the water level of, or to drain, the dam or reservoir.

21 (f) If, upon inspection or upon completion to the22 satisfaction of the board of all work that may be ordered, the



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1 board finds that the dam and reservoir are safe to impound 2 water, a certificate of approval to impound shall be issued. 3 The board may find that the dam or reservoir will not safely impound water and may refuse to issue a certificate of approval 4 to impound. Upon finding that the dam or reservoir is unsafe to 5 impound water, the board shall issue a written notice to the 6 7 owner. After receipt of the notice, the owner shall no longer cause or allow the dam and reservoir to impound water. 8

9 §179D-H Dams and reservoirs under construction, 10 enlargement, repair, alteration, or removal before effective 11 date of this Act. (a) Any dam or reservoir that falls within 12 the definitions of a dam or reservoir in this chapter and which the board finds was under construction, enlargement, repair, 13 alteration, or removal, and based on its findings not more than 14 15 ninety per cent constructed, enlarged, repaired, altered, or removed on the effective date of this Act, except as provided in 16 17 subsection (b), shall be subject to the same provisions in this section as a dam or reservoir commenced after that date. Every 18 19 owner of a dam or reservoir subject to this section shall file an application with the board for the board's written 20 application approval of the plans and specifications for the dam 21

22 or reservoir.



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1 Construction, enlargement, repair, alteration, or (b) removal work on the dam or reservoir may proceed; provided an 2 3 application for approval of the plans and specifications is filed; until: 4 An application approval is received by the owner 5 (1)approving the dam or reservoir; or 6 7 (2) An order is received by the owner specifying how the 8 construction, enlargement, repair, alteration, or 9 removal must be performed to render the dam or 10 reservoir safe. 11 After receipt of an application approval or order specifying how construction, enlargement, repair, alteration, or removal of the 12 13 dam or reservoir must be performed, work thereafter must be in accordance with the application approval or order. 14 15 §179D-I Annual report. The department shall submit an 16 annual report to the governor and the legislature by January 5 17 of each year concerning the activities of the department 18 relating to this chapter for the preceding fiscal year. The 19 report shall include but not be limited to information on the 20 following:

21 (1) Approvals of plans and specifications for the22 construction of dams and reservoirs and for



1		alterations, modifications, repairs, removal, and
2		enlargements of any dams and reservoirs;
3	(2)	A listing of dam and reservoir safety inspections
4		made;
5	(3)	Use of appropriated funds;
6	(4)	Rules adopted or amended;
7	(5)	Enforcement orders and proceedings;
8	(6)	Dam and reservoir failures and department evaluations
9		of the reasons for the failure, if known; and
10	(7)	Any other available data regarding the effectiveness
11		of the State's dam and reservoir safety program.
12	§179	D-J Dam and reservoir owners; general requirements and
12 13		D-J Dam and reservoir owners; general requirements and ilities. Dam and reservoir owners subject to
	responsib	
13	<b>responsib</b> regulatio	ilities. Dam and reservoir owners subject to
13 14	<b>responsib</b> regulatio	<b>ilities</b> . Dam and reservoir owners subject to n under this chapter shall, among other general nts and responsibilities:
13 14 15	<b>responsib</b> regulatio requireme	ilities. Dam and reservoir owners subject to n under this chapter shall, among other general nts and responsibilities:
13 14 15 16	<b>responsib</b> regulatio requireme	<pre>ilities. Dam and reservoir owners subject to n under this chapter shall, among other general nts and responsibilities: Maintain an operation and maintenance plan, including</pre>
13 14 15 16 17	<b>responsib</b> regulatio requireme	<pre>ilities. Dam and reservoir owners subject to n under this chapter shall, among other general nts and responsibilities: Maintain an operation and maintenance plan, including an owner or operator, as the case may be, inspection</pre>
13 14 15 16 17 18	<b>responsib</b> regulatio requireme	<pre>ilities. Dam and reservoir owners subject to n under this chapter shall, among other general nts and responsibilities: Maintain an operation and maintenance plan, including an owner or operator, as the case may be, inspection and monitoring program, with written, regularly</pre>
<ol> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> </ol>	<b>responsib</b> regulatio requireme	<pre>ilities. Dam and reservoir owners subject to n under this chapter shall, among other general nts and responsibilities: Maintain an operation and maintenance plan, including an owner or operator, as the case may be, inspection and monitoring program, with written, regularly scheduled reports to the board, to maintain and keep</pre>
<ol> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> </ol>	<b>responsib</b> regulatio requireme	<pre>ilities. Dam and reservoir owners subject to n under this chapter shall, among other general nts and responsibilities: Maintain an operation and maintenance plan, including an owner or operator, as the case may be, inspection and monitoring program, with written, regularly scheduled reports to the board, to maintain and keep the structure, its appurtenant works, and access in</pre>



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1 safety of persons or property, sound and accepted 2 engineering principles, and the rules adopted by the 3 board; 4 (2) Establish an emergency action plan for high and 5 significant hazard potential dams and reservoirs and 6 provide this plan to the board, state and county civil 7 defense agencies, and other necessary parties, with 8 regard for the safety of persons or property, sound 9 and accepted engineering principles, and the rules adopted by the board; 10 Cooperate with the board's agents, engineers, and 11 (3) 12 employees in carrying out this chapter; 13 (4) Facilitate access by any necessary state agencies or 14 authorized representative, to the dam, reservoir, or 15 appurtenances. Access by a four-wheeled-drive vehicle 16 to the dam or reservoir site, and appurtenances if required by the board, shall be maintained at all 17 times; provided that if vehicular access to the dam or 18 reservoir site cannot be maintained during periods of 19 20 inclement weather, the dam or reservoir owner for high 21 and significant hazard potential dams or reservoirs



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1	shall have redundant early warning systems in place,	
2	as approved by the board; and	
3	(5) Furnish upon request the plans, specifications,	
4	operating and maintenance data, or other information	
5	that is pertinent to the dam and reservoir structure	
6	and appurtenances as indicated in this chapter."	
7	SECTION 2. Chapter 179D, Hawaii Revised Statutes, is	
8	amended by designating section 179-1 to 179-9 as part I,	
9	entitled:	
10	"PART I. GENERAL PROVISIONS"	
11	SECTION 3. Section 179D-1, Hawaii Revised Statutes, is	
12	amended to read as follows:	
13	"[+]\$179D-1[+] Short title. This chapter shall be known	
14	and may be cited as the "Hawaii Dam and Reservoir Safety Act of	
15	[ <del>1987".</del> ] <u>2007".</u> "	
16	SECTION 4. Section 179D-2, Hawaii Revised Statutes, is	
17	amended to read as follows:	
18	"[+] <b>§179D-2</b> [+] <b>Declaration of purpose.</b> The purpose of	
19	this chapter is to provide for the inspection and regulation of	
20	construction, enlargement, repair, alteration, maintenance,	
21	operation, and removal of certain dams [ <del>in order</del> ] or reservoirs	
22	to protect the health, safety, and welfare of the citizens of	
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1 the [State] state by reducing the risk of failure of [such] the dams[-] or reservoirs. The legislature finds and declares that 2 3 the inspection and regulation of [construction, operation, and 4 removal of certain dams or reservoirs are properly a matter of regulation under the police powers of the State[-], unless 5 specifically exempted." 6 SECTION 5. Section 179D-3, Hawaii Revised Statutes, is 7 amended to read as follows: 8 9 "[+] \$179D-3[+] Definitions. The following terms, whenever used and referred to in this chapter, shall have the following 10 [respective] meanings, unless a different meaning clearly 11 12 appears in the context: 13 "Application approval" means authorization in writing 14 issued by the board to an owner who has applied to the board for permission to construct, enlarge, repair, alter, remove, 15 16 maintain, or operate a dam or reservoir and that specifies the 17 condition or limitations under which work is to be performed by 18 the owner or under which approval is granted. 19 "Appurtenant works" or "appurtenance" means any structure, 20 such as spillways [, either] in the dam or separate therefrom, 21 the reservoir and its rim, low level outlet works, and water



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naurus,	such as tunnels, pipelines, or penstocks, [ <del>either</del> ]	
through the dam or its abutment.		
"Boa	rd" means the board of land and natural resources.	
"Cer	tificate of approval to impound" means authorization in	
iting i	ssued by the board to an owner or operator who has an	
isting	dam or reservoir or who has completed construction,	
largeme	nt, repair, or alteration of a dam or reservoir and	
at spec	ifies the conditions or limitations under which the dam	
reserv	oir is to be maintained and operated.	
"Dam	" means any artificial barrier, including appurtenant	
rks[ <del>, w</del>	hich] that impounds or diverts water[ $_{ au}$ ] and [which:]	
at:		
(1)	Is twenty-five feet or more in height from the natural	
	bed of the stream or watercourse measured at the	
	downstream toe of the barrier, or from the lowest	
	elevation of the outside limit of the barrier if it is	
	not across a stream channel or watercourse to a	
	maximum water storage elevation; [ <del>or</del> ]	
(2)	Has an impounding capacity at maximum water storage	
	elevation of fifty acre-feet or more. This chapter	
	[ <del>does</del> ] <u>shall</u> not apply to any artificial barrier	
	[ <del>which</del> ] <u>that</u> is less than six feet in height	
	rough t "Boa <u>"Cer</u> <u>iting i</u> <u>isting</u> <u>largeme</u> <u>at spec</u> <u>reserv</u> "Dam rks[ <del>, w</del> <u>at:</u> (1)	



1		regardless of storage capacity or [ <del>which</del> ] <u>that</u> has a	
2		storage capacity at maximum water storage elevation	
3		less than fifteen acre-feet regardless of height[-];	
4		or	
5	(3)	Meets additional criteria or is specifically exempt as	
6		determined pursuant to rules adopted by the board.	
7	"Department" means the department of land and natural		
8	resources.		
9	"Emei	rgency" includes but is not limited to breaches and all	
10	conditions	s leading to or causing a breach, overtopping, or any	
11	other cond	dition in a dam or reservoir and its appurtenant works	
12	that may be construed as unsafe or threatening to life and		
13	property.		
14	"Enlargement" means any change in or addition to an		
15	existing dam or reservoir that raises or may raise the water		
16	storage elevation of the water impounded by the dam or		
17	reservoir.		
18	"Haza	ard potential" means the possible adverse incremental	
19	consequenc	ces that result from the release of water or stored	
20	contents o	due to the failure of the dam or reservoir or the	
21	misoperat	ion of the dam, reservoir, or appurtenances. The	
22	hazard pot	cential classification of a dam or reservoir shall not	
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1	reflect in any way on the current condition of the dam or
2	reservoir and its appurtenant works, including the dam's or
3	reservoir's safety, structural integrity, or flood routing
4	capacity.
5	"High hazard" means a dam's or reservoir's failure will
6	result in probable loss of human life.
7	"Low hazard" means a dam's or reservoir's failure will
8	result in no probable loss of human life and low economic loss
9	or environmental loss, or both. Economic losses are principally
10	limited to the owner's property.
11	"Owner" means any person who [owns, controls, operates,
12	maintains, manages, or proposes to construct a dam or
13	reservoir.] has a right, title, or interest in or to the dam or
14	reservoir or to the property upon which the dam, reservoir, or
15	appurtenant works is located or proposed to be located.
16	"Person" means [any individual, partnership, corporation,
17	company, association, organization, the State and its
18	departments and agencies, and the political subdivisions of the
19	State.] any natural person, partnership, firm, association,
20	organization, corporation, county, county authority, trust,
21	receiver or trustee, limited liability company, limited
22	liability partnership, or company, or any state department,
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1	agency, or political subdivision, or any other commercial or
2	legal entity. Whenever used in a section prescribing and
3	imposing a penalty or sanction, the term "person" includes the
4	members of an association or organization, and the officers of a
5	corporation, company, county, or county authority.
6	"Physical clear access" means a roadway or path that allows
7	timely access for inspection to a dam, reservoir, and its
8	appurtenant works. If by a roadway, the roadway shall be
9	maintained in an accessible condition by a four-wheel drive
10	vehicle even during inclement weather conditions.
11	"Probable" means more likely than not to occur; reasonably
12	expected; realistic.
13	"Removal" means complete or partial elimination of the dam
14	or reservoir embankment or structure to restore the approximate
15	original topographic contours of the valley.
16	"Reservoir" means any basin [ <del>which</del> ] <u>that</u> contains or will
17	contain water impounded by a dam $[-, including appurtenant]$
18	works.
19	"Significant hazard" means a dam's or reservoir's failure
20	will result in no probable loss of human life but can cause
21	major economic loss, environmental damage, disruption of
22	lifeline facilities, or impact other concerns. Significant
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1	hazard potential classification dams or reservoirs are often
2	located in predominantly rural or agricultural areas but could
3	be located in areas with population and significant
4	infrastructure."
5	SECTION 6. Section 179D-4, Hawaii Revised Statutes, is
6	amended to read as follows:
7	"[ <b>+</b> ] <b>§179D-4</b> [ <b>+</b> ] Liability for damages. (a) Nothing
8	contained in this chapter shall be construed to constitute a
9	waiver of any immunity of the State and no action or failure to
10	act under this chapter shall be construed to create any
11	liability in the State, board, department, or its officers or
12	employees, for the recovery of damages caused by [such] the
13	action or failure to act.
14	(b) No action shall be brought against the State, the
15	board, or the department, or against any employee of the State,
16	the board, or the department for damages sustained through the
17	partial or total failure of any private dam or reservoir
18	addressed in this chapter, or its maintenance or operation by
19	reason of any supervision or other action taken or not taken
20	pursuant to this chapter.
21	[ <del>(b)</del> ] <u>(c)</u> Nothing in this chapter and no order, action, or
22	advice of the State, board, department, or any representative



1	thereof, shall be construed to relieve an owner or operator of a
2	dam or reservoir of the legal duties, obligations, or
3	liabilities incident to the ownership or operation of a dam or
4	reservoir; provided that an owner or operator of a dam or
5	reservoir shall not be liable for damages as a result of only
6	natural causes such as earthquakes[ $_{ au}$ ] of an average recurrence
7	interval of one thousand years, hurricanes, or extraordinary
8	rains of an average recurrence interval in excess of two hundred
9	fifty years.
10	(d) The State assumes no ownership obligations,
11	responsibilities, or liability for any action pursuant to
12	section 179D-D."
13	SECTION 7. Section 179D-6, Hawaii Revised Statutes, is
14	amended to read as follows:
15	"[ $\frac{1}{5}$ ] $\frac{1}{5}$ ] General powers and duties of the board of
16	land and natural resources. (a) Certain dams or reservoirs in
17	the state shall be under the jurisdiction of the board until the
18	board declares which dams or reservoirs are to be removed from
19	its jurisdiction.
20	(b) The board [ <del>of land and natural resources</del> ] shall
21	administer the dam and reservoir safety program established by
22	this chapter. In carrying out this chapter, the board shall
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cooperate, advise, consult, contract, and enter into cooperative
 <u>agreements</u> with the United States government or any of its
 agencies, other state agencies, and the county governments or
 any of their agencies. In the performance of its duties, the
 board shall:

Establish by rules adopted under chapter 91, [such] 6 (1) 7 policies, requirements, or standards governing the 8 design, construction, operation, maintenance, enlargement, alteration, repair, removal, and 9 10 inspection of dams, reservoirs, and appurtenant works 11 for the protection of life and property from structural failure of dams and reservoirs; 12 13 (2) Conduct investigations and the collection of data, 14 including technological advances made in dam and 15 reservoir safety practices elsewhere, as may be needed 16 for the proper review and study of the various features of the design, construction, repair, removal, 17 inspection, operation, maintenance, alteration, and 18 19 enlargement of dams, reservoirs, and appurtenant 20 works. The board may require submittal of reports of 21 investigations from all owners;



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(3) Conduct investigations and require reports from all
 owners to be made from time to time, [such as]
 <u>including</u> watershed investigations and studies, as may
 be necessary to keep abreast of developments affecting
 stream runoff and as required to facilitate its
 decisions;

7 (4) Be authorized to enter upon such private property of the dam or reservoir as may be necessary in making, at 8 9 the owner's expense, any investigation or inspection 10 required or authorized by this chapter. The entry shall not constitute a cause of action in favor of the 11 12 owner of the land, except for damages resulting from 13 wilful acts or negligence by the board or its agents; 14 (5) Require the owners to apply for, and obtain from the 15 board written approval of plans and specifications on the construction of any new dam or reservoir or the 16 17 enlargement of any dam or reservoir prior to commencement of any work; 18

19 (6) Require the owners to file an application and secure
20 the written approval of the board before commencing
21 the repair, alteration, or removal of a dam or
22 reservoir, including the alteration or removal of a



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1		dam or reservoir so that it no longer constitutes a
2		dam or reservoir as defined in this chapter. Repairs
3		shall not be deemed to apply to routine maintenance
4		not affecting the safety of the structure;
5	[ <del>(7)</del>	Require filing fees by rules to accompany each
6		application as required under the provisions of this
7		chapter.]
8	(7)	Require owners to secure the written approval of the
9		board to impound water;
10	(8)	Require fees to cover the board's costs in carrying
11		out the administration of dam and reservoir safety;
12	(9)	Cooperate with all public and private agencies created
13		for the purpose of enhancing dam and reservoir safety
14		activities and training, assist these organizations
15		and agencies in coordinating the use of their
16		facilities, and participate in the exchange of ideas,
17		knowledge, and data with these organizations and
18		agencies;
19	(10)	Prepare, publish, and issue printed pamphlets,
20		bulletins, or advisories, or conduct training as the
21		board deems necessary for the dissemination of
22		information to the public;



1	(11)	Appoint and remove agents and employees, including
2		hearing officers, specialists, and consultants, as
3		necessary to carry out the purposes of this chapter,
4		who may be engaged by the board without regard to the
5		requirements of chapter 76;
6	(12)	Catalog and maintain an inventory of all regulated
7		dams and reservoirs in the state pursuant to this
8		chapter without regard to chapter 91;
9	(13)	Establish similar or consistent hazard potential
10		classifications in conjunction with other applicable
11		state or federal guidelines for all regulated dams and
12		reservoirs in the state pursuant to this chapter
13		without regard to chapter 91;
14	(14)	Examine and approve or disapprove applications for
15		approval of construction, enlargement, repair,
16		alteration, or removal of a dam or reservoir and
17		applications for certificates of approval to impound;
18	(15)	Order the suspension, revocation, or both, of any
19		application approval or certificate of approval to
20		impound for any act or failure to comply with this
21		chapter or with any rules or orders adopted pursuant
22		to this chapter, or with any of the conditions
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1		contained in or attached to the application approval
2		or certificate of approval to impound;
3	(16)	Issue orders requiring the adoption by an owner of
4		remedial measures necessary for the safety of life or
5		public or private property, or for carrying out this
6		chapter or rules issued under this chapter;
7	(17)	Order the immediate cessation of any act that is
8		started or continued without an application approval
9		or certificate of approval to impound as required by
10		this chapter;
11	(18)	Enter private property and immediately take actions
12		necessary to provide protection to life or property at
13		the owner's expense, including removal of the dam or
14		reservoir. The entry shall not constitute a cause of
15		action in favor of the owner of the land, except for
16		damages resulting from wilful acts or gross negligence
17		by the board or its agents;
18	(19)	Recover from the owner, in the name of the State, the
19		expenses incurred in taking any action required by the
20		owner of the dam or reservoir in the same manner debts
21		are recoverable by law;



# S.B. NO. $B_{\text{H.D. 2}}^{1946}$

1	(20)	Assess civil penalties for violation of this chapter
2		or any rule or standard adopted or order issued by the
3		board pursuant to this chapter;
4	(21)	Place liens, as needed, on the owner's property, to be
5		collected as delinquent taxes against the lands and
6		property, if the owner neglects to pay any costs,
7		expenses, or penalties chargeable to the owner under
8		this chapter or any rule, order, or condition adopted,
9		issued, or required under this chapter;
10	(22)	With the assistance of the attorney general, institute
11		and prosecute all court actions that may be necessary
12		to obtain the enforcement of any order issued by the
13		board in carrying out this chapter; and
14	(23)	Take any and all other actions as may be necessary to
15		carry out this chapter."
16	SECT	ION 8. Section 179D-7, Hawaii Revised Statutes, is
17	amended t	o read as follows:
18	"[ <b>+</b> ];	179D-7[+] Administrative and judicial review. (a)
19	The findi:	ngs and order of the board, and the board's approval or
20	disapprov	al of an application issued by the State are final,
21	conclusiv	e, and binding upon all owners, state agencies, and
22	other gov	ernment agencies, regulatory or otherwise, as to the
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# S.B. NO. $^{1946}_{\text{S.D. 2}}_{\text{H.D. 2}}$

1	safety of design, construction, enlargement, repair, alteration,		
2	removal, maintenance, and operation of any dam or reservoir.		
3	The board's approval of an application or a certificate of		
4	approval to impound shall not be considered final if it can be		
5	demonstrated to the board that the board's approval of the		
6	relevant application or certificate of approval was based on one		
7	or more misrepresentations.		
8	(b) Any person who is aggrieved or adversely affected by		
9	an order or action of the board shall be entitled to		
10	administrative and judicial review in accordance with		
11	chapter 91[ $\cdot$ ]; provided that the order or action shall remain in		
12	force until modified or set aside on appeal."		
13	SECTION 9. Section 179D-8, Hawaii Revised Statutes, is		
14	amended to read as follows:		
15	"[ <b>[</b> ] <b>§179D-8</b> [ <b>]</b> ] <b>Violations; penalties.</b> [Any person		
16	violating any provision of this chapter or any permit condition		
17	or limitation established pursuant to this chapter or		
18	negligently or wilfully failing or refusing to comply with any		
19	final order of the board issued as provided herein, shall be		
20	liable for a civil penalty not to exceed \$500 for each day		
21	during which said violation continues.] (a) Except as otherwise		
22	provided by law, the board may set, charge, and collect		
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$\mathbf{O}$ . $\mathbf{D}$ .		H.D

1	administrative penalties and recover administrative fees and
2	costs, including attorney's fees and costs, or bring legal
3	action to recover administrative penalties, fees, and costs,
4	including attorney's fees and costs, or payment for damages or
5	for the cost to correct damages resulting from a violation of
6	this chapter or any rule, order, or condition adopted, issued,
7	or required under this chapter. The administrative penalty
8	shall not exceed \$25,000 per day of a violation, and each day
9	during which the violation continues shall constitute an
10	additional, separate, and distinct violation.
11	(b) Any person who negligently or after written notice to
11 12	(b) Any person who negligently or after written notice to comply, violates this chapter or any rule, order, or condition
12	comply, violates this chapter or any rule, order, or condition
12 13	comply, violates this chapter or any rule, order, or condition adopted, issued, or required under this chapter, or knowingly
12 13 14	comply, violates this chapter or any rule, order, or condition adopted, issued, or required under this chapter, or knowingly obstructs, hinders, or prevents the department's agents or
12 13 14 15	comply, violates this chapter or any rule, order, or condition adopted, issued, or required under this chapter, or knowingly obstructs, hinders, or prevents the department's agents or employees from performing duties under this chapter, shall be
12 13 14 15 16	comply, violates this chapter or any rule, order, or condition adopted, issued, or required under this chapter, or knowingly obstructs, hinders, or prevents the department's agents or employees from performing duties under this chapter, shall be guilty of a class C felony, and upon conviction thereof, shall
12 13 14 15 16 17	comply, violates this chapter or any rule, order, or condition adopted, issued, or required under this chapter, or knowingly obstructs, hinders, or prevents the department's agents or employees from performing duties under this chapter, shall be guilty of a class C felony, and upon conviction thereof, shall be punished as follows:



# S.B. NO. <sup>1946</sup> S.D. 2 H.D. 2

1	(2) For a second or subsequent conviction, by a mandatory
2	fine of not less than \$5,000 but not more than \$50,000
3	per day of violation, imprisonment, or both.
4	(c) Any criminal action against a person for any violation
5	of this chapter shall not preclude the State from pursuing civil
6	legal action to recover administrative penalties, fees, and
7	costs against that person. Any civil action against a person to
8	recover administrative penalties, fees, and costs for any
9	violation of this chapter or any rule, order, or condition
10	adopted, issued, or required under this chapter shall not
11	preclude the State from pursuing any criminal action against
12	that person.
13	(d) With the assistance of the attorney general, the board
14	may seek an injunction and damages in the enforcement of this
15	chapter.
16	(e) All penalties, fees, and costs collected pursuant to
17	this section or rules adopted by the board pursuant to this
18	chapter, shall be deposited in the dam and reservoir safety
19	special fund."
20	SECTION 10. Section 179D-9, Hawaii Revised Statutes, is
21	amended to read as follows:



#### **S.B. NO.** <sup>1946</sup> S.D. 2 H.D. 2

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"[+] **§179D-9**[+] Enactment of rules. The department shall 1 adopt the necessary rules not later than one and one-half years 2 3 after [June 6, 1987.] July 1, 2007." SECTION 11. Section 179D-5, Hawaii Revised Statutes, is 4 5 repealed. 6 ["[\$179D-5] Unlawful conduct. (a) It shall be unlawful 7 for any person to construct, operate, or remove a dam or other 8 artificial barrier covered by this chapter, except in such a 9 manner as to conform to and comply with the provisions of this 10 chapter and with all rules, orders, and permits established 11 under this chapter. 12 (b) The rules and orders adopted under this chapter shall 13 not apply to the design and construction of dams, reservoirs, and appurtenant works existing on June 6, 1987, but the rules 14 15 and orders shall establish standards consistent with such design 16 and construction for the operation, maintenance, and repair 17 thereof, and those rules and orders then shall be applicable to 18 those dams, reservoirs and appurtenant works which were existing 19 on June 6, 1987."] 20 SECTION 12. There is appropriated out of the general

revenues of the State of Hawaii the sum of \$ for fiscal



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year 2007-2008, and the same sum for fiscal year 2008-2009, to 1 be deposited into the dam and reservoir safety special fund. 2 SECTION 13. There is appropriated out of the dam and 3 4 reservoir safety special fund the sum of \$ , or so much thereof as may be necessary for fiscal year 2007-2008, and the 5 same sum, or so much thereof as may be necessary for fiscal year 6 2008-2009, to carry out the purposes of the dam and reservoir 7 8 safety special fund. The sums appropriated shall be expended by the department 9 of land and natural resources for the purposes of this Act. 10 SECTION 14. If any provision of this Act, or the 11 application thereof to any person or circumstance is held 12 13 invalid, the invalidity does not affect other provisions or applications of the Act, which can be given effect without the 14 invalid provision or application, and to this end the provisions 15 of this Act are severable. 16

SECTION 15. In codifying the new sections added by section l of this Act, the revisor of statutes shall substitute appropriate section numbers for the letters used in designating the new sections in this Act.

21 SECTION 16. Statutory material to be repealed is bracketed22 and stricken. New statutory material is underscored.



SECTION 17. This Act shall take effect on July 1, 2020;
 provided that sections 12 and 13 shall take effect on July 1,
 2020.



**Report Title:** Dam and Reservoir Safety; Appropriation

#### Description:

Adds extensive provisions to the dam safety law to improve safety to dams and reservoirs in the state. Makes an appropriation. (SB1946 HD2)

