#### A BILL FOR AN ACT

RELATING TO DAM SAFETY.

#### BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF HAWAII:

SECTION 1. On March 14, 2006, just before dawn, Ka Loko 1 dam on the island of Kaua'i breached. Almost four hundred 2 million gallons of water crashed down from the Ka Loko reservoir 3 4 into Kilauea bay, taking trees, cars, buildings, and human lives with it. Seven people tragically lost their lives that morning 5 in the flood. The legislature finds that this was a great human 7 tragedy and an ecological disaster that must never be repeated. The purpose of this Act is to ensure the health, safety, 8 9 and welfare of the citizens of the State by creating a program for monitoring and enforcing the safety of dams and reservoirs 10 throughout the State so that we are never again faced with the 11 12 tragedy like the Ka Loko dam break. The purpose of this Act is to also create the dam rehabilitation loan program to provide 13 14 funds to dam owners to make repairs and alterations to dams and reservoirs to increase their safety and effectiveness and 15 16 prevent future dam failures.

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SECTION 2. Chapter 179D, Hawaii Revised Statutes, is
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    amended by adding nine new sections to be appropriately
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    designated and to read as follows:
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         "$179D-A Certificate of approval. No dam owner may
    impound water unless the owner holds a valid certificate of
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    approval to impound.
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         §179D-B Entry upon property. (a) The department shall
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    have the right to direct and conduct investigations as
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    reasonably necessary to carry out its duties under this chapter.
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    For this purpose, the agents or employees of the department or
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    any authorized representatives shall have the right to enter at
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    reasonable times, without prior notice, any property, public or
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    private, for the purpose of investigating the condition,
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    construction, or operation of any dam or other artificial
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    barrier subject to this chapter. If the owner of the property
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    refuses to allow the inspection, the department may seek a
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    search warrant to allow the inspection. If the department has
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    been refused inspection of drawings, operational records, or
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    other information concerning a dam or reservoir, the department
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    may seek an administrative subpoena compelling production of the
    drawings, operational records, or other information.
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- 1 (b) It shall be unlawful for any person to refuse entry or 2 access to any authorized representative of the department who 3 requests entry for purposes of inspection and who presents 4 appropriate credentials. It shall also be unlawful to obstruct, 5 hamper, or interfere with the representative while in the 6 process of carrying out official duties. 7 \$179D-C Injunctive relief. Whenever, in the judgment of 8 the department, any person has engaged in or is about to engage 9 in any act or practice that constitutes or will constitute an 10 unlawful act or practice under this chapter, the department may 11 apply to the circuit court of the circuit in which the unlawful 12 act or practice has been or is about to be engaged in, or in which jurisdiction is appropriate, for an order enjoining the 13 14 act or practice or for an order requiring compliance with this 15 chapter. Upon a showing by the department that the person has engaged in or is about to engage in the unlawful act or 16 17 practice, a permanent or temporary injunction, restraining 18 order, or other order shall be granted without the necessity of 19 showing lack of an adequate remedy at law. 20 \$179D-D Emergency actions. (a) If, in the opinion of the 21 department, conditions of any dam or reservoir are so dangerous 22 to the health and safety of persons or property as not to permit
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- 1 time for issuance and enforcement of an order relating to
- 2 construction, modification, maintenance, or repair, or if the
- 3 dam is threatened by any large flood, the department may
- 4 immediately employ remedial measures necessary to protect
- 5 persons and property.
- 6 (b) The department shall maintain complete control of any
- 7 dam or reservoir that has been determined to be dangerous to
- 8 persons or property under subsection (a) until the dam or
- 9 reservoir is deemed safe or until any emergency conditions that
- 10 precipitated the department taking control of the dam or
- 11 reservoir under subsection (a) have abated. The department may
- 12 determine the proper time at which to relinquish control of any
- 13 dam or reservoir subject to this section.
- 14 (c) Any necessary and reasonable costs and expenses
- 15 incurred by the department in fulfilling its duties pursuant to
- 16 this section in connection with a remedial or emergency action
- 17 shall be recoverable by the department from the owner of the dam
- 18 or reservoir.
- 19 (d) In addition, any owner failing or refusing, after
- 20 written notice has been given, to pay the reasonable costs and
- 21 expenses incurred by the department as specified in subsection
- 22 (c) shall be subject, upon complaint by the department to the



1	attorney	general, to reasonable attorney fees incurred in the
2	recovery	of costs and expenses.
3	<u>(e)</u>	Moneys collected by the department pursuant to
4	subsectio	ns (c) and (d) shall be deposited to the dam safety
5	revolving	fund established pursuant to section 179D-E.
6	<u>§179</u>	D-E Dam safety revolving fund. (a) There is
7	establish	ed, in the state treasury, the dam safety revolving
8	fund. Al	l moneys received by the board from:
9	(1)	Fees, penalties, interest, fines, or charges collected
10		under this chapter;
11	(2)	Appropriations by the legislature;
12	(3)	Moneys collected in full or partial satisfaction of
13		liens created by subsection (d)(2); and
14	(4)	Loan repayments under the dam rehabilitation loan
15		program
16	shall be	deposited in the dam safety revolving fund.
17	(b)	Moneys in the revolving fund shall be used by the
18	board, up	on appropriation by the legislature, to administer the
19	dam safet	y program, including the dam rehabilitation loan
20	program,	and may be used to employ remedial measures necessary
21	to protec	t persons and property in accordance with this chapter.

1	<u>(c)</u>	The board shall administer the dam safety revolving
2	fund. On	notice from the board, the director of finance shall
3	invest an	d divest moneys in the revolving fund, and moneys
4	earned fr	om investment shall be credited to the revolving fund.
5	Moneys on	balance in the revolving fund at the close of each
6	fiscal ye	ar shall remain in that fund and shall not lapse to the
7	credit of	the general fund.
8	<u>(d)</u>	The board may expend moneys from the dam safety
9	revolving	fund subject to the following provisions:
10	(1)	The board shall remain in full charge and control of
11		any dam, reservoir, and appurtenances subject to
12		section 179D-D until they have been rendered safe or
13		the emergency has terminated;
14	(2)	The costs and expenses of the control, regulation,
15		abatement, and inspection provided by this section,
16		including costs of construction, enlargement, repair,
17		alteration, or removal work done to render the dam,
18		reservoir, or appurtenances safe shall constitute a
19		statutory lien against all property of the owner.
20		Notwithstanding any other law to the contrary, the
21		lien shall be considered prior and superior to all
22		other mortgages, liens, or encumbrances of record even



1		if those other mortgages, liens, or encumbrances were
2		filed before the lien pursuant to this paragraph
3		becomes due; and
4	(3)	Liens pursuant to paragraph (2) may be perfected and
5		foreclosed in advance of construction, enlargement,
6		repair, alteration, or removal or after completion of
7		the construction, enlargement, repair, alteration, or
8		removal. If perfected in advance, the lien shall be
9		perfected by the filing of an affidavit of the board
10		setting forth the estimate of the costs of
11		construction, enlargement, repair, alteration, or
12		removal within the county in which the dam is located
13		in the same manner as prescribed for mechanic's liens.
14		When the affidavit is filed, the amount set forth in
15		the affidavit shall be a lien in that amount against
16		all property of the owner. If the actual cost of
17		construction, enlargement, repair, alteration, or
18		removal exceeds the estimated cost, the board may
19		amend the affidavit setting forth the additional
20		estimated cost. If the estimated cost exceeds the
21		actual costs of construction, enlargement, repair,
22		alteration, or removal at completion, the board shall



1	file an amended affidavit at completion. If a lien is
2	perfected in advance and the construction,
3	enlargement, repair, alteration, or removal is not
4	commenced within two years from the date of
5	perfection, the lien shall be void. The board shall
6	file a satisfaction of lien upon payment of the costs
7	of construction, enlargement, repair, alteration or
8	removal by the owner.
9	§179D-F Dams and reservoirs completed prior July 1, 2007.
10	(a) Every owner of a dam or reservoir completed prior to July
11	1, 2007, shall file with the board a separate application for a
12	certificate of approval to impound and any other supporting
13	information as required by the board. Each application shall
14	also be accompanied by applicable fees as required by the board.
15	(b) The board shall give notice to file an application for
16	certificate of approval to impound to owners of dams or
17	reservoirs who have failed to file applications required in
18	subsection (a).
19	(c) The notice in subsection (b) shall be served upon the
20	dam owner by certified mail to the owner's last address of
21	record in the office of the county tax assessor in which the dam
22	is located.

1	(d) The board shall make inspections of dams and
2	reservoirs subject to this section, unless the data, records,
3	and inspection reports on file with the board are adequate for
4	the board to determine whether or not the certificate of
5	approval to impound should be issued.
6	(e) The board shall require owners of such dams and
7	reservoirs to perform, at their expense, any work or tests
8	necessary to disclose information sufficient to enable the board
9	to determine whether to issue certificates of approval to
10	impound, or to issue orders directing further work at the
11	owner's expense necessary to safeguard persons and property.
12	For this purpose, the board may require an owner to lower the
13	water level in, or drain, the reservoir.
14	(f) If, upon inspection or upon completion to the
15	satisfaction of the board of all work that may be ordered, the
16	board finds that the dam and reservoir are safe to impound
17	water, a certificate of approval to impound shall be issued.
18	The board may find that the dam or reservoir will not safely
19	impound water and may refuse to issue a certificate of approval
20	to impound. Upon finding the dam and reservoir are unsafe to
21	impound water, the board shall issue a written notice of refusal

- 1 to the owner. Upon receipt of the notice, the owner shall cause 2 the dam and reservoir to no longer impound water. 3 §179D-G Dams and reservoirs under construction, 4 enlargement, repair, alteration, or removal prior to July 1, 5 2007. (a) Any dam or reservoir that the board finds was under 6 construction, enlargement, repair, alteration, or removal and, 7 based on its findings, was not ninety per cent constructed, 8 enlarged, repaired, altered, or removed prior to July 1, 2007, 9 shall be subject to the same requirements under this chapter as 10 a dam or reservoir commenced after that date. Every owner of a 11 dam and reservoir subject to this section shall file an 12 application with the board for the board's application approval 13 of the plans and specifications. 14 (b) Construction, enlargement, repair, alteration, or 15 removal work on a dam and reservoir may proceed, provided an 16 application for approval of the plans and specifications is 17 filed, until an application approval is received by the owner 18 approving the dam and reservoir or an order is received by the 19 owner specifying how the construction, enlargement, repair, 20 alteration, or removal must be performed to render the dam or 21 reservoir safe. After receipt of an application approval or 22 order specifying how construction, enlargement, repair,
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1	alteratio	n, or removal of the dam or reservoir must be
2	performed	, any further work shall be in accordance with the
3	applicati	on approval or order.
4	<u>§179</u>	D-H Annual report. (a) The department shall submit
5	an annual	report concerning the activities of the department
6	relating	to this chapter to the governor and the legislature no
7	less than	twenty days prior to the convening of each regular
8	session.	
9	(b)	The report shall include but not be limited to
10	informati	on on the following:
11	(1)	Approvals of plans and specifications for construction
12		of dams and reservoirs and for alterations,
13		modifications, repairs, and enlargements;
14	(2)	The number of safety inspections made and the results
15		thereof;
16	(3)	Use of appropriated funds;
17	(4)	Receipts generated for inspections of dams and
18		reservoirs;
19	(5)	Rules adopted or amended;
20	(6)	Enforcement orders and proceedings;
21	(7)	Dam failures and department evaluations of the reasons
22		for dam failure; and

1	(8) Any other available data regarding the effectiveness
2	of the State's dam and reservoir safety program.
3	§179D-I Limitations of liability. (a) This chapter does
4	not create a liability for damages against the board, its
5	officers, agents, and employees caused by or arising out of any
6	of the following:
7	(1) The construction, maintenance, operation, or failure
8	of a dam or appurtenant works; or
9	(2) The issuance and enforcement of an order or a rule
10	issued or adopted by the board to carry out the
11	board's duties.
12	(b) The State assumes no ownership obligations,
13	responsibilities, or liabilities if the recipient of a loan
14	under part II defaults."
15	SECTION 3. Chapter 179D, Hawaii Revised Statutes, is
16	amended by adding a new part to be appropriately designated and
17	to read as follows:
18	"PART . DAM REHABILITATION LOAN PROGRAM
19	§179D-J Dam rehabilitation loan program; establishment and
20	funding. (a) The board shall create a dam rehabilitation loan
21	program or may partner with other public or private agencies or
22	organizations to create a dam rehabilitation loan program. The
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- 1 program shall initially be funded with \$2,000,000 from moneys
- 2 appropriated by the legislature and deposited into the dam
- 3 safety revolving fund.
- 4 (b) The legislature may appropriate additional funding to
- 5 expand the financial size of the dam rehabilitation loan
- 6 program.
- 7 (c) The dam rehabilitation loan program shall be
- 8 subsequently funded by the dam safety revolving fund and
- 9 additional moneys appropriated annually by the legislature.
- 10 (d) The dam rehabilitation loan program may obtain funds
- 11 through partnerships with any, private or public, bonding or
- 12 loaning agency or organization.
- (e) State funding for the dam rehabilitation loan program
- 14 shall not be reduced because of federal funds provided for a
- 15 rehabilitation loan program.
- 16 (f) Moneys collected for the dam rehabilitation loan
- 17 program, including repayment of loans, shall be deposited into
- 18 the dam safety revolving fund.
- 19 §179D-K Allowable loans. (a) The board may grant loans
- 20 from the dam safety revolving fund to dam owners to defray the
- 21 costs of repairing dams that the board determines to be



1 0	dangerous	to	the	safety	of	persons	and	property	but	that	are	not
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- 2 in an emergency condition.
- 3 (b) Loans shall be granted on the terms and conditions as
- 4 may be imposed by the board. The following provisions shall
- 5 apply:
- 6 (1) The board may take any administrative or legal action
- 7 necessary for the administration of the dam
- 8 rehabilitation loan program;
- 9 (2) If the balance of the dam safety revolving fund
- exceeds \$1,000,000, no single loan shall be made for
- more than twenty per cent of the moneys available in
- the fund. No loan shall be made to any dam owner
- that, at the time of the loan application, has more
- 14 than twenty per cent of the outstanding loans of the
- 15 fund;
- 16 (3) The loans granted by the board shall be for a term of
- not more than twenty years; and the loans shall bear
- interest at rates established by the board by rule;
- 19 (4) Each loan shall be evidenced by a contract between the
- dam owner and the board, acting on behalf of the
- 21 State. The contract shall provide for the loan of a
- stated amount to defray partial or total costs of



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1	repairing the dam. The contract shall provide for
2	equal annual payments of principal and interest for
3	the term of the loan. Loan repayments shall be
4	deposited into the dam safety revolving fund
5	established pursuant to section 179D-E.
6	§179D-L Eligibility. (a) Rehabilitation projects that
7	are in compliance with applicable laws and rules and are
8	permitted, accepted, and approved by the board shall be eligible
9	to be funded through the dam rehabilitation loan program.
10	(b) Any costs directly related to rehabilitating safety
11	deficiencies of a dam shall be eligible to be funded through the
12	dam rehabilitation loan program.
13	(c) Fees for analysis, feasibility work, alternative
14	evaluation, and engineering design shall only be eligible
15	retroactively, after construction has been initiated or at the
16	point that analysis has shown a dam to be in compliance.
17	(d) Up to one hundred per cent of rehabilitation costs for
18	a dam may be loaned.

(e) Dam owners may use multiple programs or sources to

fund the rehabilitation costs for a dam, up to one hundred per

cent of rehabilitation costs.

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- 1 (f) Rehabilitation costs for any dam in the State are
- 2 eligible for loans under this part, subject to the provisions of
- 3 this section, except for dams owned by the federal government.
- 4 (g) Any costs directly related to compliance with other
- 5 laws and rules, above the State's minimum dam safety
- 6 requirements, shall be eligible for loans under this part as
- 7 part of an overall rehabilitation project.
- **8** (h) Any costs for a fish passage, if required by the
- 9 State, shall be eligible only if the fish passage is part of an
- 10 overall rehabilitation project.
- 11 (i) Costs for lake enhancement projects, including but not
- 12 limited to lake dredging, sediment removal, or boat ramps, that
- 13 do not enhance the safety of the dam shall not be eligible to be
- 14 funded through the dam rehabilitation loan program.
- 15 §179D-M Security interests. (a) The board may take a
- 16 security interest, if necessary, in any property owned by the
- 17 dam owner in exchange for the loan. If the board chooses to
- 18 take a security interest, the board shall take the necessary
- 19 actions to perfect the security interest.
- (b) The attorney general or the board's legal counsel,
- 21 with the consent of the board, may commence any actions



1	necessary	to enforce the contract and achieve repayment of loans
2	provided	by the board.
3	§179	D-N Enforcement. Notwithstanding any other provision
4	of law to	the contrary, the board and its agents, engineers, and
5	other emp	loyees, for the purposes of enforcing this chapter, may
6	enter upo	n any land or water in the State that is the subject of
7	a dam reh	abilitation loan program loan without a search warrant
8	or liabil	ity for trespass.
9	§179	D-O Dam owners; requirements for participation. Dam
10	owners pa	rticipating in the dam rehabilitation loan program
11	shall:	
12	(1)	Maintain an operation and maintenance plan with
13		written, regularly scheduled reports to the board, so
14		as to maintain and keep the structure and its
15		appurtenant works in the state of repair and operating
16		condition required by the exercise of due care, due
17		regard for the safety of persons or property, sound
18		and accepted engineering principles, and the rules
19		adopted by the board;
20	(2)	Establish an emergency action plan and report this
21		plan to the board;

1	(3)	Cooperate with the board's agents, engineers, and
2		employees in carrying out the provisions of this
3		chapter;
4	(4)	Facilitate access by any necessary state agency to the
5		dam, reservoir, or appurtenances; and
6	(5)	Furnish upon request the plans, specifications,
7		operating and maintenance data, or other information
8		that is pertinent to the structure, appurtenance, and
9		loan.
10	§179i	D-P Administration. The board shall adopt the
11	following	guidelines for administering the dam rehabilitation
12	loan prog	ram:
13	(1)	Owners of dams without taxing authority may be allowed
14		to participate in the dam rehabilitation loan program;
15	(2)	Complete rehabilitations shall be encouraged, but
16		phased projects may be funded;
17	(3)	Removal of dams as a rehabilitation alternative may be
18		allowed;
19	(4)	As part of the application process, owners shall be
20		required to demonstrate the ability to appropriately
21		operate and maintain the dam after rehabilitation is
22		complete;



1	(5)	Owners may partner with an individual, local agency,
2		or organization, for purposes of the loan and for
3		purposes of operation and maintenance; and
4	(6)	If a dam is exempt from state regulation, to obtain
5		funding through the dam rehabilitation loan program,
6		the project must adhere to state standards for design,
7		construction, and the rules adopted by the board
8		pursuant to this chapter."
9	SECT	ION 4. Chapter 179D, Hawaii Revised Statutes, is
10	amended t	o read as follows:
11		"[+]CHAPTER 179D[+]
12		DAMS AND RESERVOIRS
13		PART I. GENERAL PROVISIONS
14	[+]\$	179D-1[+] Short title. This chapter shall be known
15	and may b	e cited as the "Hawaii Dam Safety Act of [1987".
16	<u>2007".</u>	
17	[+]\$	179D-2[+] Declaration of purpose. The purpose of this
18	chapter i	s to provide for the inspection and regulation of
19	construct	ion, operation, and removal of [certain] all dams and
20	reservoir	${f s}$ in order to protect the health, safety, and welfare
21	of the ci	tizens of the State by reducing the risk of failure of
22	such dams	. The legislature finds and declares that the
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- 1 inspection and regulation of construction, operation, and
- 2 removal of [certain] all dams and reservoirs are properly a
- 3 matter of regulation under the police powers of the State.
- 4 [+]\$179D-3[+] **Definitions**. The following terms, whenever
- 5 used and referred to in this chapter, shall have the following
- 6 respective meanings, unless a different meaning clearly appears
- 7 in the context:
- 8 "Appurtenant works" means any structure, such as spillways,
- 9 either in the dam or separate therefrom, the reservoir and its
- 10 rim, low level outlet works, and water conduits, such as
- 11 tunnels, pipelines, or penstocks, either through the dam or its
- 12 abutment.
- "Application approval" means authorization in writing that
- 14 is issued by the board to an owner who has applied to the board
- 15 for permission to construct, enlarge, repair, alter, remove,
- 16 maintain, or operate a dam and that specifies the conditions or
- 17 limitations under which work is to be performed by the owner or
- 18 under which approval is granted.
- 19 "Board" means the board of land and natural resources.
- "Certificate of approval to impound" means authorization in
- 21 writing that is issued by the board to an owner who has
- 22 completed construction, enlargement, repair, or alteration of a



1	dam or reservoir and that specifies the conditions or		
2	limitatio	ns under which the dam or reservoir is to be maintained	
3	and opera	ted.	
4	"Dam	" means any artificial barrier, including appurtenant	
5	works, [w	hich] that impounds or diverts water[7] and [which:]	
6	that:		
7	(1)	Is twenty-five feet or more in height from the natural	
8		bed of the stream or watercourse measured at the	
9		downstream toe of the barrier, or from the lowest	
10		elevation of the outside limit of the barrier if it is	
11		not across a stream channel or watercourse to a	
12		maximum water storage elevation; or	
13	(2)	Has an impounding capacity at maximum water storage	
14		elevation of fifty acre-feet or more. This chapter	
15		does not apply to any artificial barrier [which] that	
16		is less than six feet in height regardless of storage	
17		capacity or [which] that has a storage capacity at	
18		maximum water storage elevation less than fifteen	
19		acre-feet regardless of height $[-,]$ unless the barrier,	
20		due to its location or other physical characteristics,	
21		is a high hazard or moderate hazard potential dam.	

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         "Dam rehabilitation loan program" means a low interest
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    revolving dam rehabilitation loan program, created pursuant to
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    this chapter.
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         "Department" means the department of land and natural
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    resources.
         "Emergency" includes, but is not limited to, breaches and
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    all conditions leading to or causing a breach, overtopping, or
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    any other condition in a dam and its appurtenant structures that
    may be construed as unsafe or threatening to persons or
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    property.
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         "Enlargement" means any change in or addition to an
    existing dam or reservoir that raises or may raise the water
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    storage elevation of the water impounded by the dam.
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         "Owner" means any person who [owns, controls, operates,
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    maintains, manages, or proposes to construct a dam or
    reservoir.] has a right, title, or interest in or to the dam or
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    to the property upon which the dam or appurtenant works is
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    located or proposed to be located.
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         "Person" [means any individual, partnership, corporation,
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    company, association, organization, the State and its
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    departments and agencies, and the political subdivisions of the
    State.] includes any natural person, partnership, firm,
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- 1 association, organization, corporation, authority, trust,
- 2 receiver or trustee, limited liability company, limited
- 3 liability partnership, company, or any state or county
- 4 department, agency, or political subdivision, or any other
- 5 commercial or legal entity. Whenever used in a section
- 6 prescribing and imposing a penalty or sanction, the term
- 7 "person" shall include the members of an association or
- 8 organization, and the officers of a corporation, company,
- 9 county, or county authority.
- 10 "Probable" means more likely than not to occur; reasonably
- 11 expected; or realistic.
- "Removal" means complete elimination of the dam embankment
- 13 or structure to restore the approximate original topographic
- 14 contours of the valley.
- 15 "Reservoir" means any basin [which] that contains or will
- 16 contain water impounded by a dam.
- 17 [+] \$179D-4[+] Liability for damages. (a) Nothing
- 18 contained in this chapter shall be construed to constitute a
- 19 waiver of any immunity of the State and no action or failure to
- 20 act under this chapter shall be construed to create any
- 21 liability in the State, board, department, or its officers or



- 1 employees, for the recovery of damages caused by such action or
- 2 failure to act.
- 3 (b) No action shall be brought against the State, the
- 4 board, or the department, or against any employee of the State,
- 5 the board, or the department for damages sustained through the
- 6 partial or total failure of any dam or reservoir addressed in
- 7 this chapter or its maintenance or operation by reason of any
- 8 supervision or other action taken or not taken pursuant to this
- 9 chapter.
- 10 [\(\frac{(b)}{c}\)] (c) Nothing in this chapter and no order, action, or
- 11 advice of the State, board, or department, or any representative
- 12 thereof, shall be construed to relieve an owner or operator of a
- 13 dam or reservoir of the legal duties, obligations, or
- 14 liabilities incident to the ownership or operation of a dam or
- 15 reservoir; provided that an owner or operator of a dam or
- 16 reservoir shall not be liable for damages as a result of only
- 17 natural causes, such as earthquakes  $[\tau]$  of an average recurrence
- 18 interval of one thousand years, hurricanes or extraordinary
- 19 rains of an average recurrence interval in excess of two hundred
- 20 fifty years.
- 21 [+]\$179D-5[+] Unlawful conduct. (a) It shall be unlawful
- 22 for any person to construct, enlarge, repair, alter, operate, or



1 remove a dam or other artificial barrier covered by this chapter, except in such a manner as to conform to and comply 2 3 with the provisions of this chapter and with all rules, orders, 4 and permits established under this chapter. (b) [The rules and orders adopted under this chapter shall 5 6 not apply to the design and construction of dams, reservoirs, 7 and appurtenant works existing on June 6, 1987, but the rules and orders shall establish standards consistent with such design 8 9. and construction for the operation, maintenance, and repair 10 thereof, and those rules and orders then shall be applicable to 11 those dams, reservoirs and appurtenant works which were existing on June 6, 1987.] Any person who engages in any action made 12 unlawful by this chapter shall be guilty of a misdemeanor. Each 13 14 day of continued violation after conviction shall constitute a 15 separate offense. 16 (c) The owner of a dam or reservoir that was constructed before February 1, 2007, shall file an application with the 17 18 board, under rules adopted by the board, for the approval of the 19 dam or reservoir. 20 [+]\$179D-6[+] General powers and duties of the board of 21 land and natural resources. (a) All dams and reservoirs in the

State shall be under the jurisdiction of the board of land and

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- 1 natural resources. The board of land and natural resources
- 2 shall administer the dam safety program established by this
- 3 chapter. In carrying out this chapter, the board shall
- 4 cooperate, advise, consult, contract, and enter into cooperative
- 5 agreements with the United States government or any of its
- 6 agencies, other state agencies, and the county governments or
- 7 any of their agencies. In the performance of its duties the
- 8 board shall:
- 9 (1) Establish by rules adopted under chapter 91, such
- 10 policies, requirements, or standards governing the
- design, construction, operation, maintenance,
- 12 enlargement, alteration, repair, removal, and
- inspection of dams, reservoirs, and appurtenant works
- for the protection of life and property from
- 15 structural failure of dams and reservoirs;
- 16 (2) Conduct investigations and the collection of data,
- including technological advances made in safety
- 18 practices elsewhere, as may be needed for the proper
- 19 review and study of the various features of the
- design, construction, repair, removal, and enlargement
- of dams, reservoirs, and appurtenant works. The board

1		may require submittal of reports of investigations
2		from all owners;
3	(3)	Conduct investigations and require reports from all
4		owners to be made from time to time, such as watershed
5		investigations and studies, as may be necessary to
6		keep abreast of developments affecting stream runoff
7		and as required to facilitate its decisions;
8	(4)	Be authorized to enter upon such private property of
9		the dam or reservoir as may be necessary in making, at
10		the owner's expense, any investigation or inspection
11		required or authorized by this chapter. The entry
12		shall not constitute a cause of action in favor of the
13		owner of the land, except for damages resulting from
14		wilful acts or negligence by the board or its agents;
15	(5)	Require the owners to apply for, and obtain from the
16		board written, approval of plans and specifications on
17		the construction of any new dam or reservoir or the
18		enlargement of any dam or reservoir prior to
19		commencement of any work;
20	(6)	Require the owners to file an application and secure
21		the written approval of the board before commencing
22		the repair, alteration, or removal of a dam or

1		reservoir, including the alteration or removal of a
2		dam so that it no longer constitutes a dam or
3		reservoir as defined in this chapter. Repairs shall
4		not be deemed to apply to routine maintenance not
5		affecting the safety of the structure;
6	(7)	Require the owners to secure the written approval of
7		the board to impound water;
8	[ <del>(7)</del> ]	(8) Require [filing] fees [by rules to accompany each
9		application as required under the provisions of this
10		chapter.] to cover the board's costs in carrying out
11		the supervision of dam safety;
12	(9)	Examine and approve or disapprove applications for
13		approval of construction, enlargement, repair,
14		alteration, or removal of a dam or reservoir and
15		applications for certificates of approval to impound;
16	(10)	Order the suspension or revocation, or both, of any
17		application approval or certificate of approval to
18		impound for any act for failure to comply with: any
19		of the provisions of this chapter; any rules or orders
20		adopted pursuant to this chapter; or any of the
21		conditions contained in or attached to the application
22		approval or certificate of approval to impound;

1	(11)	Issue orders requiring the adoption by an owner of
2		remedial measures necessary for the safety of life or
3		public or private property or for carrying out the
4		provisions of this chapter or rules issued pursuant to
5		this chapter;
6	(12)	Order the immediate cessation of any act that is
7		commenced or continued without an application approval
8		or certificate of approval to impound as required by
9		this chapter;
10	(13)	Enter private property and immediately take actions
11		necessary to provide protection to life or property at
12		the owner's expense, including removal of the dam.
13		The entry shall not constitute a cause of action in
14		favor of the owner of the land, except for damages
15		resulting from wilful acts or negligence by the board
16		or its agents;
17	(14)	Recover from the owner, in the name of the State, the
18		expenses incurred in taking any action required of the
19		owner of the dam in the same manner debts are
20		recoverable by law;

1	(15)	Assess civil and criminal penalties for violation of
2		any provision of this chapter or any rule adopted or
3		order issued by the board pursuant to this chapter;
4	(16)	Be authorized to place liens on the owner's property,
5		to be collected as delinquent taxes against the lands
6		and property are collected, if the owner neglects to
7		pay any costs, expenses, or penalties chargeable to
8		the owner under any rule, order, condition, or other
9		<pre>provision of this chapter;</pre>
10	(17)	With the assistance of the attorney general, institute
11		and prosecute all court actions as may be necessary to
12		obtain the enforcement of any order issued by the
13		board in carrying out the provisions of this chapter;
14		and
15	(18)	Take any other actions necessary to carry out the
16		purpose of this chapter.
17	[+]§	179D-7[+] Administrative and judicial review. (a)
18	The findi	ngs and orders of the board, and the board's approval
19	or disapp	roval of an application issued by the State, are final,
20	conclusiv	e, and binding upon all owners, state agencies, and
21	other gov	ernment agencies, regulatory or otherwise, as to the
22	safety of	design, construction, enlargement, repair, alteration,
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1 removal, maintenance, and operation of any dam or reservoir. 2 The board's approval of an application or a certificate of 3 approval to impound shall not be considered final if it can be 4 demonstrated to the board that the board's approval of the 5 relevant application or certificate of approval to impound was 6 based on one or more misrepresentations. 7 (b) Any person who is aggrieved or adversely affected by 8 an order or action of the board shall be entitled to 9 administrative and judicial review in accordance with chapter 10 91[-]; provided that the order or action shall remain in force until modified or set aside on appeal. 11 12 [+]\$179D-8[+] Violations; penalties. [Any person 13 violating any provision of this chapter or any permit condition 14 or limitation established pursuant to this chapter or 15 negligently or wilfully failing or refusing to comply with any 16 final order of the board issued as provided herein, shall be **17** liable for a civil penalty not to exceed \$500 for each day 18 during which said violation continues. ] (a) Any person who 19 violates any rule, order, condition, or other provision of this 20 chapter shall be subject, upon order of a court, to a civil 21 penalty not to exceed \$10,000 per day of such violation, and

- 1 each day during which the violation continues shall constitute
  2 an additional, separate, and distinct offense.
- 3 (b) Any person who intentionally, knowingly, or after
- 4 written notice to comply, violates any rule, order, condition,
- 5 or provision of this chapter, or knowingly obstructs, hinders,
- 6 or prevents the department's agents or employees from performing
- 7 duties under this chapter, shall be guilty, upon conviction, of
- 8 a misdemeanor and shall be subject to a fine of not less than
- 9 \$2,500 nor more than \$25,000 per day of violation. A second or
- 10 subsequent offense under this subsection shall subject the
- 11 violator to a fine to the contrary, of not less than \$5,000 nor
- more than \$50,000 per day of violation.
- 13 (c) With the assistance of the attorney general, the board
- 14 may seek an injunction and damages in the enforcement of this
- 15 chapter.
- (d) All penalties collected pursuant to this section or
- 17 rules adopted by the board pursuant to this chapter shall be
- 18 deposited in the dam safety revolving fund.
- 19 [+]\$179D-9[+] Enactment of rules. (a) The department
- 20 shall adopt [the necessary] rules pursuant to chapter 91 for the
- 21 purposes of this chapter, not later than one and one-half years
- 22 after [June 6, 1987.] February 1, 2007."



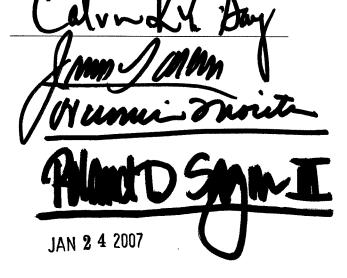
- 1 SECTION 5. Prior to the adoption of administrative rules
- 2 consistent with this Act, the department shall adopt interim
- 3 administrative rules that are consistent with the rules
- 4 recommended in chapter 5 of the Report of the Independent Civil
- 5 Investigation of the March 14, 2006, Breach of Ka Loko Dam. The
- 6 interim rules shall be exempt from the public notice and public
- 7 hearing requirements of chapter 91, Hawaii Revised Statutes.
- 8 Interim administrative rules adopted by the department shall be
- 9 repealed upon the adoption of administrative rules pursuant to
- 10 chapter 179D, Hawaii Revised Statutes.
- 11 SECTION 6. There is appropriated out of the general
- 12 revenues of the State of Hawaii the sum of \$2,000,000, or so
- 13 much thereof as may be necessary for fiscal year 2007-2008, to
- 14 be deposited into the dam safety revolving fund.
- 15 SECTION 7. There is appropriated out of the dam safety
- 16 revolving fund the sum of \$2,000,000, or so much thereof as may
- 17 be necessary for fiscal year 2007-2008, for purposes of the dam
- 18 safety program, including the dam rehabilitation loan program
- 19 under chapter 179D, Hawaii Revised Statutes.
- The sum appropriated shall be expended by the department of
- 21 land and natural resources for the purposes of this Act.



- 1 SECTION 8. In codifying the new sections added by section
- 2 2 and 3 of this Act, the revisor of statutes shall substitute
- 3 appropriate section numbers for the letters used in designating
- 4 the new sections in this Act.
- 5 SECTION 9. If any provision of this Act, or the
- 6 application thereof to any person or circumstance is held
- 7 invalid, the invalidity does not affect other provisions or
- 8 applications of the Act, which can be given effect without the
- 9 invalid provision or application, and to this end the provisions
- 10 of this Act are severable.
- 11 SECTION 10. Statutory material to be repealed is bracketed
- 12 and stricken. New statutory material is underscored.
- 13 SECTION 11. This Act shall take effect on July 1, 2007.

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#### Report Title:

Dam Safety

#### Description:

Establishes requirements for dam inspection and safety. Establishes penalties for failure to comply. Creates dam safety revolving fund. Creates dam rehabilitation loan program.

