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PRESENTATION
OF THE DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
BEFORE THE JOINT
SENATE COMMITTEE ON WAYS AND MEANS
HOUSE COMMITTEE ON FINANCE
SUPPLEMENTAL BUDGET REQUEST FOR FISCAL YEAR 2017
THE TWENTY-EIGHTH LEGISLATURE
REGULAR SESSION OF 2016
JANUARY 5, 2016

Honorable Chair Tokuda and Chair Luke, Vice Chair Dela Cruz and Vice Chair Nishimoto, and Members of the Senate Committee on Ways and Means and the House Committee on Finance.

I am Catherine Awakuni Colón, and I am the Director of the Department of Commerce and Consumer Affairs (“DCCA” or “Department”). I appreciate this opportunity to discuss the Department’s fiscal year (“FY”) 2017 budget with the committees.

Overview

The Department’s mission is to promote a strong and healthy business environment by upholding fairness and public confidence in the marketplace, and by increasing knowledge and opportunity for our businesses and citizens.

DCCA’s funding structure was established by the Legislature in 1996 through the creation of the compliance resolution fund (“CRF”). The CRF is the primary funding source for DCCA’s programs. The Department’s financial strategy is based on ensuring that divisions have revenues to pay their expenses and that they contribute equitably to departmental overhead costs, while maintaining sufficient reserves to address unexpected contingencies. The Legislature’s foresight to develop this self-sufficiency model has benefitted our customers and the public we serve and the State over many years. Self-sufficiency notwithstanding, strong fiscal oversight continues to be important to the Department. Consistent with applicable law, the fees and revenue collected by the Department are provisioned for the purpose of delivering important commerce and consumer protection related services.

Toward that end, I appreciate the committees' thorough review and consideration of the Department's budget.

Impact of Current Economic and Fiscal Conditions

The Department operates in a quickly evolving consumer and business environment. Major developments in a number of regulated industries – including significant proposed mergers in Hawaii's energy and communications services industries, and fundamental changes in the State's implementation of the Affordable Care Act – demanded both the attention and the dedicated resources of several of the Department's divisions throughout this year. These significant changes require DCCA to remain focused on those priorities and initiatives that will allow the Department to operate most effectively and responsively to the needs of Hawaii's consumers and regulated businesses. Accordingly, the Department's supplemental budget for FY17 is selective and is designed to focus DCCA's efforts on those items that are identified priorities and that will help better position the Department to respond to consumer and commercial needs as the environment continues to change.

Among the significant developments faced by the Department this year are major changes in Hawaii's energy industry, both proposed and effective. Much of the Department's work over the past FY has been to ensure that the State's current and future energy needs are met in a resilient, cost-effective, and environmentally responsible manner. As the representative of Hawaii's utility ratepayers, the Division of Consumer Advocacy ("DCA") [CCA-103] has been extensively involved in ensuring that the State's electric utilities are providing reliable service at reasonable rates, in analyzing that they are meeting the State's Renewable Portfolio Standards ("RPS") and Energy Efficiency Portfolio Standards ("EEPS") goals, that steps are taken to ensure utilities' compliance with new EPA regulations, and that community concerns over energy-related projects are being adequately addressed. The proposed merger between NextEra Energy ("NextEra") and Hawaiian Electric Industries ("HEI") has also proven to be a significant regulatory development over the last year that continues to utilize much of the time and resources for both DCA and the Public Utilities Commission ("PUC") [CCA-901].

As the PUC has presided this year over the proposed NextEra-HEI merger and various other matters, the transfer of the PUC from the Department of Budget and Finance to the DCCA as an attached agency became final and effective on July 1, 2015. The PUC continues to also build its own internal capacity by increasing staff and expanding its facilities through a legislatively approved office renovation project and organizational restructuring. These steps are part of the PUC's long-term efforts to becoming the kind of regulatory agency that Hawaii and its dynamic energy industry needs. DCCA continues to support the PUC in its transition.

Similar to DCA and the PUC in the energy industry, the Cable Television Division of DCCA ("CATV") [CCA-102] also dedicated much of its time in the last year-and-a-half reviewing a pair of major proposed mergers, both involving the proposed acquisition of Oceanic Time Warner

Cable. The first proposed merger with Comcast was ultimately unsuccessful, while the second proposed merger with Charter Communications was conditionally approved by CATV in December of 2015, and resulted in a number of customer benefits for the local cable television service market. In both proposed mergers, CATV visited each of the major islands to hold public hearings and to gather input that would inform its decision making.

FY15 also saw the closing of Heald College, which created a significant influx of requests for guidance and materials from the recently created Hawaii Post-Secondary Education Authorization Program (“HPEAP”) [CCA-107] within DCCA. In response to a substantial increase in workload for the program almost overnight, HPEAP was able to add an additional staff member in FY15 to assist with the program’s operations. This program also continues to seek adjustments to its funding and operations as its role and the post-secondary environment changes.

Hawaii’s transition from a state-based to a federal exchange under the Federal Patient Protection and Affordable Care Act (“PPACA”) has significantly increased the work of the DCCA Insurance Division (“INS”) [CCA-106]. The volume of filings at INS remains at about a nine-fold increase as compared to pre-PPACA. In addition, INS continues to contribute to the evolution of Hawaii’s healthcare exchange by providing keen oversight of insurance-focused matters for the State, while the appropriate state and federal agencies continue to transition the organizational aspects of the winding down of the Hawaii Health Connector.

In addition, DCCA’s multiple other divisions saw an active year working to ensure that Hawaii’s consumer are protected and that business regulation in the State is appropriately carried out in a prudent fashion. Implementation of new DCCA programs established by the Legislature in the last several years, such as establishment of new professional licensing programs and related enforcement of new consumer protection laws, continue to be a top departmental priority. At the same time, the Department continues to view the upgrading of its information systems and related infrastructure as an essential part of providing effective regulation across many diverse industries, such as those in the areas of banking, mortgages, and other financial institutions, and those businesses making up the nearly 50 professional licensing boards, commissions, and programs within DCCA’s purview. Finally, the Department recognizes the positive impact of proactive consumer and business education activities, and, as such, DCCA staff have worked hard this year to continue outreach efforts that include the recently revised Hawaii Fraud Guide for consumers and continued coordination of the Hawaii LifeSmarts Program both under the Business Registration and Securities Regulation Division [CCA-111].

Federal Funds

The Department has no programs that have lost or are at risk of losing federal funds.

Budget Request

The Department formulated a budget that provides the resources necessary for our programs to perform their functions and meet their goals and objectives. In designing this supplemental budget, the Department continued to focus on using its funding and resources as efficiently and judiciously as possible, which is consistent with the prudent fiscal approach stressed for all executive agencies. As such, the Department's requests for FY17 supplemental funds are limited to items that will allow the Department to successfully implement those priorities for which it has recently been given primary responsibility to carry out or in which the Department has come to otherwise play a significant role.

Where appropriate, trade-offs and transfers are proposed and ceiling increases are limited to situations including, but not limited to: 1) resources are needed to carry out legislatively prescribed functions or actions, such as the funding of required administrative assessments for the PUC pursuant to Section 36-30, Hawaii Revised Statutes ("HRS"); 2) funds are needed for information technology projects, like the replacement of the outdated licensee monitoring systems for the Division of Financial Institutions; and 3) resources are needed for new programs or initiatives, such as the creation of the behavior analyst licensing program effective January 1, 2016, pursuant to Act 199, Session Laws of Hawaii (SLH) 2015. The Department's requested budgetary adjustments are reflective of DCCA's expanded responsibilities and activity over the last several years in areas such as mortgage industry regulation, health care insurance reform, electric-energy utilities regulation, and the expanding communications services industry across the State. In order to keep pace with technological advancements and ensure that it is equipped with the right tools to adequately protect Hawaii's consumers, the Department also continues to place a high priority on the upgrade of the Department's information systems across multiple divisions.

Selected non-general fund adjustments are discussed in greater detail below:

1. Add \$500,000 in special funds to replace the Division of Financial Institution's ("DFI") outdated Financial Institutions Management Systems ("FIMS") information-database system for monitoring various licensees. [CCA-104]

DFI ensures the safety and soundness of state chartered and state-licensed financial institutions, and ensures regulatory compliance by state-licensed financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators and mortgage loan originator companies, by fairly administering applicable statutes and rules, in order to protect the rights and funds of depositors, borrowers, consumers, and other members of the public.

This request would increase DCCA's appropriation ceiling by \$500,000 in Other Current Expenditures for application replacement (7721-data equipment) that will allow DFI to replace its outdated FIMS application. The current FIMS data system was built more than 10 years ago, holds information about banks only, is based on an obsolete web-based platform that is no longer supported, and is incapable of interfacing with the national multi-state application, which is the primary means of obtaining and managing information on all types of licensees with the exception of banks. The FIMS replacement application will enable DFI to efficiently

process all aspects of the licensee interaction, from application processing and payment, through examinations and any required response by the licensee, to investigation initiation and final resolution, allowing for data analysis by industry and information storage in a secure environment.

The scope of this project will need to include a system gap analysis to determine the final implementation costs, recurring costs, and suitability of various solutions. DFI has already started preparing their application needs in anticipation of the system gap analysis. Because we are already in the process of implementing solutions utilizing a similar database platform, we anticipate the initial implementation costs, including hardware, may be negligible as compared to other solutions. Currently, we plan to run this project in two phases over a two-year period, with the gap analysis included in the first phase, along with the associated work to determine the details that need to be included in the statement of work, for the implementation phase of this project. Because of this, an estimate for the total implementation costs will not be available until the first phase is completed.

2. Add \$304,889 in special funds to allow the PUC to cover its portion of annual administrative assessments required by HRS § 36-30. [CCA-901]

The PUC ensures that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate of return.

The PUC's pro rata share of costs incurred by DCCA to fulfill DCCA's oversight and administrative support functions were calculated to be \$604,889 for FY17. However, Act 119, SLH 2015, approved only \$300,000 to meet this assessment for FY17 leaving the PUC Special Fund (PUC SF) ceiling \$304,889 short. HRS § 36-30 provides that the PUC SF "shall be responsible for its pro rata share of administrative expenses incurred by the department." Furthermore, HRS § 269-33 provides that the PUC SF shall be used "for costs incurred by the department of commerce and consumer affairs to fulfill the department's limited oversight and administrative support functions." Therefore, we request a PUC SF ceiling increase of \$304,889 in order to fully cover DCCA's administrative expenses assessment for FY17 as required by HRS § 36-30 and HRS § 269-33. Denial of this request may negatively impact the effective administrative operations of both the PUC and DCCA and/or cause a statutory violation.

3. Add \$40,000 in special funds for the Professional and Vocational Licensing Division ("PVL") to appropriately fund the creation of a new Behavior Analyst licensing program pursuant to Act 199, SLH 2015. [CCA-105]

PVL ensures that the individual is provided with professional, vocational, and personal services meeting acceptable standards of quality, equity and dependability by establishing and enforcing appropriate service standards; to regulate activities for the protection, welfare and safety of the participants, as well as the public.

PVL is requesting an adjustment related to the creation of a licensing program for Behavior Analysts pursuant to Act 199, SLH 2015, and to be effective January 1, 2016. Act 199, SLH 2015, authorized the appropriation of funds for FY16, in the amount of \$40,000 for office supplies, postage, and other current expenses. The monies appropriated for the new program are currently in the separate fund CCA-105, S363. PVL is requesting the appropriation be added to the CCA-105, S305 fund, as monies for S363 are already being funded by the S305 fund.

Additional Information

Table 1: Prioritized List of Functions

The Prioritized List of Functions encompasses all of the major functions and associated activities performed by the Department's programs.

Table 2: Department-Wide Totals

The Department's FY16 operating budget is \$78,193,107 (\$75,283,433 in special funds; \$2,659,674 in trust funds; \$250,000 in competitive federal grants). The Department's proposed FY17 operating budget is \$78,749,094 (\$75,623,365 in special funds; \$2,875,729 in trust funds; \$250,000 in competitive federal grants).

Table 3: Program ID Totals

The Department's total appropriated amount for FY16 in Act 119, SLH 2015 is \$78,193,107 (\$75,283,433 in special funds; \$2,659,674 in trust funds; \$250,000 in competitive federal grants). The FY17 amount proposed is \$78,749,094 (\$75,623,365 in special funds; \$2,875,729 in trust funds; \$250,000 in competitive federal grants), an increase of 1% as compared to the FY16 appropriation.

Table 4: Budget Decisions

The Department's requests, the Department of Budget and Finance's recommendations, and the Governor's decisions are listed.

Table 5: Proposed Budget Reductions

None.

Table 6: Proposed Budget Additions

1. Special Funds

- CCA-104: \$500,000 for an IT project for the Division of Financial Institutions.

- CCA-105: \$40,000 for Behavior Analyst Registration program costs for the Professional and Vocational Licensing Division.
- CCA-105: Convert two temporary civil service positions to permanent positions for the Professional and Vocational Licensing Division.
- CCA-110: \$30,000 for litigation costs for the Office of Consumer Protection.
- CCA-191: \$150,000 for Office 365 licenses for the Director's Office & Administration.
- CCA-901: \$304,889 for HRS § 36-30 compliance for the Public Utilities Commission.
- CCA-901: \$430,102 for fringe benefits and collective bargaining costs for the Public Utilities Commission.
- CCA-901: \$15,000 for Office 365 licenses for the Public Utilities Commission.

2. Trust Funds

- CCA-105: \$200,000 for mediation services for the Professional and Vocational Licensing Division.

Table 7: Current Year (FY16) Restrictions

None.

Table 8: Emergency Appropriation Requests

None.

Table 9: Expenditures Exceeding Appropriation Ceilings

None.

Table 10: Intradepartmental Transfer of Funds

None.

Table 11: Active Federal Awards

The Department has one active grant, received from the U.S. Department of Health and Human Services to Support States in Health Insurance Rate Review Grant Cycle II.

Table 12: Non-General Fund Balances (excluding Federal Funds)

The estimated unencumbered cash balances for specific funds (\$43,945,801 in the Compliance Resolution Fund; \$182,440,065 in the Hurricane Reserve Trust Fund; \$11,112,530 in all other trust funds; and \$6,813,240 in all other special funds) and excess balance, if any, are provided.

Table 13: Vacancy Summary

Vacancy summaries on November 30, 2015 are listed by Program IDs.

Table 14: Personnel Separations

Personnel Separations for the period of July 1, 2014 to November 30, 2015 are listed by Program IDs.

Table 15: New Hires

Positions filled from July 1, 2014 to November 30, 2015 are listed by Program IDs.

Table 16: Unauthorized Positions

None.

Table 17: Overtime Expenditures

The percent of overtime expenditures incurred in FY15 was 0.3% of base salary for special funds, and 0.2% for trust funds; FY16 estimated overtime expenditures are 0.5% for special funds and 0.7% for trust funds; budgeted amounts for FY17 are 0.9% and 3.9% of base salary for special funds and trust funds, respectively.

Table 18: Overtime Position List

Positions listed by Program ID provided.

Table 19: Overpayment Expenditures

None.

Table 20: Contract Costs

Listing of active contracts by Program ID and MOF is provided.

Table 21: FY15 Appropriation Status

The appropriation status for each program is listed by Program ID.

Table 22: Capital Improvement Program ("CIP") Requests

None.

Table 23: CIP Lapses

None.

Table 24: Division Resources

A listing of all divisions by Program ID is provided.

Table 25: Program ID Sub-Organizations

Sub-Organizations for each program are listed by Program ID.

Table 26: Organizational Changes and Charts

The Department's organizational chart for the current year (FY16) is attached. All changes that were made are indicated.

Attached to this briefing is information presented in the tables in your prescribed format. My program administrators and I are available to answer any questions you and your committee members may have concerning our programs and the materials submitted for this presentation.

Department of Commerce and Consumer Affairs
Prioritized List of Functions

Table 1

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
1	Business Registration & Securities Regulation: Ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, service marks and other business registration filings, and provide public access to this information; ensure compliance with and enforcement of securities and franchise laws; educate the public regarding aspects of investment fraud, precautions and where victims can get help, as part of securities enforcement and compliance efforts; and run business centers that offer personalized assistance to small and start-up businesses.	<ul style="list-style-type: none"> ● Corporations, partnerships, trade names, limited liability companies, etc. Determine that all documents are filed in compliance with applicable statutory requirements. Assist the public in accessing information regarding documents that have been successfully filed with the Division, including implementing online access to public registration services and public documents. Provide personalized assistance to businesses registering with the Division. ● Sales of securities and franchises. Examine applications for registration of securities and franchises to determine that security or franchise offerings comply with statutory requirements; register securities, sales agents, broker-dealers, investment advisers, investment adviser representatives and franchises; examine financial reports and records of broker-dealers and investment companies; conduct investigations of reported fraud and other securities or franchise violations and prosecute violations; and conduct investor education outreach to communities throughout Hawaii. 	CCA 111	Chapters 26-9(g), 414, 414D, 415A, 417E, 419, 420D, 421, 421C, 421H, 421I, 421J, 423, 424, 425, 425E, 428, 474, 482, 482E, 485A, and 519, HRS.
2	Insurance Regulatory Services: Regulatory oversight of the insurance industry.	<ul style="list-style-type: none"> ● Examine insurers, health maintenance organizations, rating organizations, mutual and fraternal benefit societies, advisory organizations, agencies, independent claims organizations, guaranty associations. ● Investigate complaints of alleged violations of insurance statutes and rules and other consumer requests for assistance. ● Conduct qualification examinations and license insurers, health maintenance organizations and all categories of producers to transact insurance. ● Approve/disapprove policies and rate filings. ● License, examine and regulate captive insurance companies and develop the captive industry in Hawaii. ● Review insurance entities' financial, operating and tax statements. ● Regulatory surveillance for market conduct and financial condition of all insurers, health maintenance organizations, and mutual and fraternal benefit societies for compliance with insurance laws. 	CCA 106	Chapter 287, 386, 386A, 392, 393, 431, 431E, 431K, 431L, 431M, 431N, 431P, 432, 432C, 432D, 432E, 435C, 435E, 448D, 481R, 481X and 488, HRS.

Department of Commerce and Consumer Affairs
 Prioritized List of Functions

Table 1

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
		<ul style="list-style-type: none"> Investigate of violations and enforce motor vehicle claims fraud law. 		
		<ul style="list-style-type: none"> Administer the Hawaii Joint Underwriting Plan, including the development and promulgation of motor vehicle insurance rates. 		
		<ul style="list-style-type: none"> Implement Federal Health Care reforms relating to Health Care Exchanges and Premium Rate Review. 		
3	Office of Consumer Protection: Maintain Complaint Database; Investigate Complaints; Prosecute Legal Actions; Legislation; Consumer Education; Landlord-Tenant Hotline.	<ul style="list-style-type: none"> Investigation of Complaints. Receive all complaints on a statewide basis: walk-ins, mail and telephone; evaluate complaints in relation to investigation threshold criteria; for cases meeting threshold requirements, determine all facts of complaint; determine from facts whether or not there is a violation of any consumer protection law, refer cases which, on their face, fall within the jurisdiction of another agency, and inform complainant of findings of fact and course of action to be taken, if any, by this office or another governmental agency; coordinate fact finding functions with other governmental agencies; monitor advertisements; subpoena witnesses for investigation of facts. 	CCA 110	Chapters 209, 290, 436M, 437, 437D, 446, 446E, 457G, 476, 477, 477E, 480, 480D, 480E, 480F, 481A, 481B, 481C, 481D, 481F, 481H, 481K, 481L, 481M, 481P, 486N, 487, 487A, 487J, 487N, 487R, 506, and 521, HRS.
		<ul style="list-style-type: none"> Prosecution. Determine adequacy of evidence to prove violation prior to filing a complaint in court; negotiate Assurance of Voluntary Compliance or Consent Judgment prior to the filing of a complaint in court; take court action against entities that violate consumer protection law; obtain injunction, civil penalty and restitution for consumers in court actions and settlement of cases. 		
		<ul style="list-style-type: none"> Landlord-Tenant Cases. Provide Landlord-Tenant code information to callers. 		
		<ul style="list-style-type: none"> Legislation. Promulgate rules and regulations to protect consumers; draft consumer protection legislation for the Department; recommend consumer protection legislation to the Legislature; and offer testimony on other consumer protection legislation. 		

Department of Commerce and Consumer Affairs
Prioritized List of Functions

Table 1

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
4	Consumer Advocate for Comm, Util & Tran Svcs: Ensure the provision of reliable regulated service at reasonable cost to ratepayers, and educate consumers regarding aspects of public utility regulation.	<ul style="list-style-type: none"> Advocate positions on behalf of consumers of utility and transportation services before the State of Hawaii Public Utilities Commission (“PUC”), the Federal Communications Commission (“FCC”), and other federal, state and local regulatory agencies. Positions are developed and advocated concerning: (a) Applications for authority to offer new services; (b) Rate and fare proposals; (c) Revenue and earnings requirements of regulated utilities; (d) Financing of equipment, plant, and other facilities, (e) Review of capital improvement projects; (f) Transfers, extensions, and cancellations of permits, franchises, and certificates; (g) Regulations governing regulated industries; (h) Formulation of policies and long range planning for telecommunications and energy utilities; (i) service quality; and (j) agreements and contracts between a regulated company and suppliers and/or other parties. 	CCA 103	Sections 269-51 through 269-55, HRS.
		<ul style="list-style-type: none"> Compliance investigations are conducted regarding the adequacy and efficiency of utility services. 		
		<ul style="list-style-type: none"> Education of consumers relating to utility regulation and consumer rights through web site, newsletters, sponsored events, and attendance at trade-shows, fairs, business and community meetings, etc. 		
		<ul style="list-style-type: none"> Advance and advocate clean energy and broadband initiative goals. 		
5	Financial Services Regulation: Supervise and regulate financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators, and mortgage loan originator companies	<ul style="list-style-type: none"> License, examine and supervise state-chartered and licensed banks, trust companies, savings banks, savings and loan associations, financial services loan companies, credit unions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators and mortgage loan originator companies. 	CCA 104	Chapters 412, 449, 454F, 454M, 489D, HRS.
6	Professional & Vocational Licensing: Receive and review applications for professional and vocational licenses, condominium, real estate subdivision and time share projects; prepare & analyze legislative proposals to amend professional and vocational licensing laws; and update and evaluate examinations.	<ul style="list-style-type: none"> License and regulate 49 different professions and vocations. Provide administrative support to 25 regulatory licensing boards and, on behalf of the director of the department, administer 24 regulatory licensing programs. 	CCA 105	Chapters 373, 436B, 436E, 436H, 437, 437B, 438, 439, 440, 440E, 441, 442, 443B, 444, 447, 448, 448E, 448F, 448H, 451A,

Department of Commerce and Consumer Affairs
 Prioritized List of Functions

Table 1

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
		<ul style="list-style-type: none"> The trust funds are dedicated to specific statutory purposes to educate the licensees who are the contributors to the fund, serve as a source of monetary recovery for injured consumers, or support increased government service demands by licensees. One fund is federally mandated to collect fees on its behalf, with revenues going to the federal agency. 		451D, 451J, 452, 453, 453D, 455, 457, 457A, 457B, 457G, 458, 459, 460, 460J, 461, 461J, 462A, 463, 463E, 464, 465, 465D, 466, 466D, 466K, 467, 467E, 468E, 468L, 468M, 471, 481E, 484, 514A, 514B and 514E, HRS.
7	Regulated Industries Complaints Office: Investigation and prosecution of possible (professional and vocational) licensing law violations.	<ul style="list-style-type: none"> Receive complaints, conduct investigations, and prosecute cases alleging professional and vocational licensing law violations. Also prosecutes unlicensed activity cases. Provides educational information to consumers and industry members; provides real time liceninsing, business registration, and complaint history information to the public. Administers the State Certified Arbitration Program (lemon law program). 	CCA 112	Section 26-9(h), HRS.
8	Cable Television: Compliance with Hawaii's cable television law and rules.	<ul style="list-style-type: none"> Ensure quality service for cable television subscribers by issuing new franchises, franchise renewals and transfers of franchises. Ensure expansion and enhancement of the Institutional Network (INET) Identify new and improved services, future community needs and interests and enhancing technologies.(i.e., DBS, broadband, etc) Oversee technical inspections and establish reporting requirements. Award PEG contracts and provide support for PEG access. Establish a work group to develop procedures for streamlined permitting functions applicable to the development of broadband services or technology. Implement activities to promote ubiquitous access to high speed broadband at affordable prices throughout the State. 	CCA 102	Chapter 440G, HRS.

Department of Commerce and Consumer Affairs
Prioritized List of Functions

Table 1

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
		<ul style="list-style-type: none"> • Develop plans and recommend legislation to expand and accelerate deployment of broadband infrastructure; support public-private efforts to develop broadband infrastructure; work with government agencies, broadband providers, and other stakeholders to advance the provision of broadband services to un-served and under-served areas in the State. 		
9	Hawaii Post-Secondary Education Authorization Program: Authorization of accredited, degree-granting, post-secondary educational institutions in Hawaii and review and processing of student complaints against authorized educational institutions.	<ul style="list-style-type: none"> • Review applications from post-secondary educational institutions, issue authorizations and subsequent reauthorizations on a biennial basis. 	CCA 107	Chapter 305J, HRS.
		<ul style="list-style-type: none"> • Provide information to post-secondary educational institutions seeking authorization or clarification of the law and provide information to students regarding the complaints process. 		
		<ul style="list-style-type: none"> • Review complaints, investigate and initiate enforcement action as appropriate. 		
10	General Support: Administration of the Department; conducts administrative hearings for the Department, administers the Medical Inquiry and Conciliation Panel, and the Design Claims Conciliation Panel; administration of the Mortgage Dispute Resolution Program; and assists in information technology needs of the Department.	<ul style="list-style-type: none"> • Provides: (1) general policy and administrative leadership, supervision and coordination of the various programs of the Department; (2) assistance in the direction and supervision of operating divisions; and (3) centralized personnel management and organizational analysis support services to operating divisions. 	CCA 191	Section 26-9, HRS.
		<ul style="list-style-type: none"> • Provides: (1) centralized budgeting and accounting services; (2) centralized clerical services; (3) building management; and (4) other administrative support services. 		
		<ul style="list-style-type: none"> • Conducts contested case hearings for all divisions within DCCA that are required by law to provide contested case hearings under the provisions of HRS Chapter 91. 		
		<ul style="list-style-type: none"> • Conducts contested case hearings under Chapter 103D, HRS (procurement law). 		
		<ul style="list-style-type: none"> • Conducts contested case hearings for the Department of Education relating to the Individuals With Disabilities Education Act. 		
		<ul style="list-style-type: none"> • Convenes panels of qualified attorneys and medical professionals to review inquiries regarding health care practitioners under the provisions of HRS Chapter 671. 		
		<ul style="list-style-type: none"> • Convenes panels of qualified attorneys and design professionals to review claims against design professionals under the provisions of HRS Chapter 672 		

Department of Commerce and Consumer Affairs
 Prioritized List of Functions

Table 1

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
		<ul style="list-style-type: none"> • Conducts contested case hearings for the Employees' Retirement System of the State of Hawaii regarding disputes concerning benefits under the provisions of HAR Title 6, Chapters 20 and 23. 		
		<ul style="list-style-type: none"> • Administers program providing the services of neutral parties in effort to resolve non-judicial foreclosures against properties of owner-occupants under the Mortgage Dispute Resolution Program established by Act 48 of the 2011 Legislature. 		
		<ul style="list-style-type: none"> • Provides all divisions of DCCA with: (1) information technology hardware, software and custom programming support; (2) DCCA website support, (3) telephone, and other communication services support. 		
11	Public Utilities Commission (transferred to DCCA from B&F as an attached agency pursuant to Act108/14):	<ul style="list-style-type: none"> • Establish rules and regulations pertaining to service standards. 	CCA 901	Section 269-33, HRS
	Ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate of return.	<ul style="list-style-type: none"> • Render decisions on rates, fares, and charges. 		
		<ul style="list-style-type: none"> • Process applications, issue certificates to regulated companies, and conduct investigations and audits of regulated companies. 		
		<ul style="list-style-type: none"> • Conduct investigations of complaints filed against public utilities, other regulated entities, and companies utilizing services under the Commission's jurisdiction. 		
		<ul style="list-style-type: none"> • Maintain the fiscal integrity of the Public Utilities Commission Special Fund. 		

Department of Commerce and Consumer Affairs
Department-Wide Totals

Table 2

Fiscal Year 2016				
Act 119/15 Appropriation	Restriction	Emergency Appropriations	Total FY16	MOF
\$ 75,283,433.00			\$ 75,283,433.00	B
\$ 250,000.00			\$ 250,000.00	P
\$ 2,659,674.00			\$ 2,659,674.00	T
			\$ -	
			\$ -	
			\$ -	
\$ 78,193,107.00	\$ -	\$ -	\$ 78,193,107.00	Total
Fiscal Year 2017				
Act 119/15 Appropriation	Reductions	Additions	Total FY17	MOF
\$ 74,153,374.00		\$ 1,469,991.00	\$ 75,623,365.00	B
\$ 250,000.00			\$ 250,000.00	P
\$ 2,675,729.00		\$ 200,000.00	\$ 2,875,729.00	T
			\$ -	
			\$ -	
			\$ -	
\$ 77,079,103.00	\$ -	\$ 1,669,991.00	\$ 78,749,094.00	Total

Department of Commerce and Consumer Affairs
Program ID Totals

Table 3

Prog ID	Program Title	MOF	As budgeted by Act 119/15 (FY16)			Governor's Submittal (FY17)			Percent Change of \$\$\$\$
			Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	
CCA102	Cable Television	B	8.00	-	\$ 2,534,951	8.00	-	\$ 2,559,971	1%
CCA103	Consumer Advocate for Comm, Util, & Tran Svc	B	24.00	-	\$ 4,159,141	24.00	-	\$ 4,241,213	2%
CCA104	Financial Services Regulation	B	39.00	-	\$ 4,090,957	39.00	-	\$ 4,971,852	22%
CCA104	Financial Services Regulation	T	-	-	\$ 220,000	-	-	\$ 220,000	0%
CCA105	Professional & Vocational Licensing	B	54.00	12.00	\$ 6,341,895	56.00	10.00	\$ 6,484,103	2%
CCA105	Professional & Vocational Licensing	T	8.00	3.00	\$ 2,138,993	8.00	3.00	\$ 2,355,048	10%
CCA106	Insurance Regulatory Services	B	92.00	2.00	\$ 16,357,720	92.00	2.00	\$ 16,644,182	2%
CCA106	Insurance Regulatory Services	P	-	4.00	\$ 250,000	-	4.00	\$ 250,000	0%
CCA106	Insurance Regulatory Services	T	-	-	\$ 200,000	-	-	\$ 200,000	0%
CCA 107	Hawaii Post-Secondary Education Authorization Program	B	2.00	-	\$ 282,233	2.00	-	\$ 288,611	2%
CCA 110	Office of Consumer Protection	B	17.00	1.00	\$ 2,025,447	17.00	1.00	\$ 2,109,294	4%
CCA 110	Office of Consumer Protection	T	-	-	\$ 100,681	-	-	\$ 100,681	0%
CCA 111	Business Registration and Securities Regulation	B	71.00	8.00	\$ 7,317,621	71.00	8.00	\$ 7,414,132	1%
CCA 112	Regulated Industries Complaints Office	B	66.00	1.00	\$ 6,442,820	66.00	1.00	\$ 6,631,429	3%
CCA 191	General Support	B	44.00	8.00	\$ 7,902,081	44.00	8.00	\$ 8,218,306	4%
CCA 901	Public Utilities Commission (PUC)	B	65.00	-	\$ 17,828,567	65.00	-	\$ 16,060,272	-10%
	Special Fund Total	B	482.00	32.00	\$ 75,283,433	484.00	30.00	\$ 75,623,365	0%
	Trust Fund Total	T	8.00	3.00	\$ 2,659,674	8.00	3.00	\$ 2,875,729	8%
	Other Federal Fund Total	P	-	4.00	\$ 250,000	-	4.00	\$ 250,000	0%
	TOTAL		490.00	39.00	\$ 78,193,107	492.00	37.00	\$ 78,749,094	1%

Department of Commerce and Consumer Affairs
Budget Decisions

Table 4

Prog ID	Sub-Org	Description of Request	MOF	Initial Department Request FY17			Budget & Finance Recommendation FY17			Governor's Decision FY17		
				Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
CCA 901	MA	Increase ceiling for HRS 36-30 Compliance	B			\$ 304,889			\$ 304,889			\$ 304,889
CCA 105	GA	Convert 2 positions to Permanent	B	2.00	(2.00)		2.00	(2.00)		2.00	(2.00)	
CCA 110	DA	Increase ceiling for litigation	B			\$ 30,000			\$ 30,000			\$ 30,000
CCA 105	GA	Increase ceiling for mediation services	T			\$ 200,000			\$ 200,000			\$ 200,000
CCA 105	GA	Increase ceiling for Behavior Analyst Registration program costs	B			\$ 40,000			\$ 40,000			\$ 40,000
CCA 104	BA	Increase ceiling for IT project	B			\$ 500,000			\$ 500,000			\$ 500,000
CCA 901	MA	Increase ceiling for collective bargaining and fringe benefits costs	B			\$ 430,102			\$ 430,102			\$ 430,102
CCA 191	AA	Add funds for purchase of Office 365	B						\$ 150,000			\$ 150,000
CCA 901	MA	Add funds for purchase of Office 365	B						\$ 15,000			\$ 15,000
CCA 191	AA	Add funds for infor license costs	B						\$ 200,000			\$ -

Department of Commerce and Consumer Affairs
Proposed Budget Reductions

Table 5

Prog ID	Sub-Org	Description of Reduction	Impact of Reduction	MOF	FY17			FY16 Restriction (Y/N)
					Pos (P)	Pos (T)	\$\$\$	
No proposed reductions								

Department of Commerce and Consumer Affairs
Proposed Budget Additions

Table 6

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	FY17		
								Pos (P)	Pos (T)	\$\$\$
CCA 901	MA	AR	1	1	Increase ceiling for HRS 36-30 Compliance	The PUC's pro rata share of costs incurred by DCCA to fulfill DCCA's oversight and administrative support functions were calculated to be \$604,889 for FY 17. However, Act 119, SLH 2015 approved only \$300,000 to meet this assessment for FY 17 leaving the PUC SF ceiling \$304,889 short. HRS § 36-30 provides that the PUC SF "shall be responsible for its pro rata share of administrative expenses incurred by the department". Furthermore, HRS § 269-33 provides that the PUC SF shall be used "for costs incurred by the department of commerce and consumer affairs to fulfill the department's limited oversight and administrative support functions." Therefore, we request a PUC SF ceiling increase of \$304,889 in order to fully cover DCCA's administrative expenses assessment for FY 17 as required by HRS § 36-30 and HRS § 269-33. Denial of this request may negatively impact the effective administrative operations of both the PUC and DCCA and/or cause a statutory violation.	B			\$ 304,889
CCA 105	GA	AR	3	2	Convert 2 positions to Permanent	Pursuant to Act 300, SLH 2006, the positions were converted from exempt to civil service in the Professional and Vocational Licensing Division (PVLVD), CCA-105. The positions are essential to ensure the continued efficiency and timeliness of the operations of S305. Position No. 120570 is an Administrative Assistant II position in the Administration Branch. The position was originally established in June 2005 as a temporary exempt position (#117413). The incumbent has been in the position since July 2005. Position No. 120571 is an Administrative Assistant I position also in the Administration Branch. The position was originally established in November 2007 as a temporary exempt position (#118829). The incumbent has been in the position since October 2008. The positions perform as staff assistants in providing administrative services to the various regulatory boards, commissions, and programs. The positions maintain close liaisons with the members of boards, the division administrator, and the supervising executive officer. The positions advise and consult with assigned boards as to their needs; orients and acquaints new members with their responsibilities; and follows through on administrative details. Converting these positions to permanent status is needed if the programs are to be able to attract and retain qualified personnel. We respectfully request that these positions be converted to permanent status.	B	2.00	-2.00	
CCA 110	DA	AR	1	3	Increase ceiling for litigation	The Office of Consumer Protection seeks to raise its budget ceiling relating to Litigation for FY 17 and forward. Currently, OCP's litigation line item is set at \$69,104. OCP is requesting that it be raised so that it will have sufficient resources to litigate complex consumer protection cases. Several cases that OCP intends to prosecute in the near future may involve litigating actions against large corporations that will necessitate the expenditure of substantial costs. In order to effectively prosecute these cases the office will need to review a great number of documents, retain experts, and depose numerous witnesses. Additionally, OCP participates in numerous multistate enforcement actions each year that often require participating states to share the costs for investigation and or the retention of experts. In some of these cases participating states have had to contribute more than \$10,000. Raising the ceiling will help to ensure that OCP will be able to achieve its objectives in protecting Hawaii consumers from unfair or deceptive trade practices.	B			\$ 30,000

Department of Commerce and Consumer Affairs
Proposed Budget Additions

Table 6

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	Pos (P)	Pos (T)	\$\$\$
CCA 105	GA	AR	1	4	Increase ceiling for mediation services	Act 187 was signed into law by the Governor on June 25, 2013. The purpose of Act 187 (2013) is to provide monetary support of evaluative mediation for resolving disputes between condominium owners by increasing the fees paid into the Condominium Education Trust Fund ("CETF") of the Real Estate Branch ("REB") beginning with the July 1, 2015 condominium association biennium registration period. Act 187 (2013) directs that the additional CETF funds be used for promoting and subsidizing evaluative mediation. Based upon the number of condominium associations registered with the REB, it is expected that approximately \$400,000 will be received over the two-year registration period; these funds are mandated to go directly to support and subsidize evaluative mediation for condominium owners. Thus far, cost has not been a factor. The CETF funds will be used exclusively to fully subsidize the evaluative mediations conducted which officially began on July 1, 2015. Educational support and promotion has up to now been accomplished through the procured mediation providers themselves, various community professional groups involved with condominium associations, in professional journals such as the Hawaii State Bar Journal and through information on the REB's online website, all at no cost to the REB. It is anticipated, however, that for each mediation conducted the REB will be billed a maximum of \$8,000 by procured private providers at a cost of \$375 per hour with an eight hour maximum, although some cases may mediate for longer at the mediator's discretion. The REB expects to begin having to pay out of this fund within the next few months as mediations are conducted. There has been much interest generated over the evaluative mediation program as a means of resolving the numerous condominium disputes that arise among owners. The REB is requesting that its budget ceiling be increased by \$200,000 for each year, based upon an estimate of how many evaluative mediations the four procured providers will conduct. Additionally, it is important to have sufficient funds on hand to pay for the mediations; the REB does not want to discourage any possible evaluative mediations due to an inability to reimburse the procured providers. Accordingly, in order to fulfill the mandate of Act 187 (2013), the REB respectfully requests that for FY 2016 and all future years going forward, its budget be increased by \$200,000 to fully subsidize evaluative mediation for condominium owners.	T			\$ 200,000
CCA 105	GA	AR	2	5	Increase ceiling for Behavior Analyst Registration program costs	Act 199, SLH 2015, enacted a new registration program for behavior analyst, effective January 1, 2016. Act 199, SLH 2015, authorized the appropriation of funds for FY16, in the amount of \$40,000 for office supplies, postage, and other current expenses. The monies appropriated for the new program are currently in the separate fund CCA-105, S363. The Professional and Vocational Licensing Division is requesting the appropriation be added to the CCA-105, S305 fund, as monies for S363 are already being funded by the S305 fund.	B			\$ 40,000
CCA 104	BA	AR	1	6	Increase ceiling for IT project	Increase in ceiling in Other Current Expenditures for application replacement (7721-data equipment) that will allow the Division to replace the outdated FIMS application. New licensees have been added to DFI's oversight and a new application solution is needed to manage the data captured by a national multi-state application. The new licensees that use the national multi-state application include mortgage loan originators, mortgage loan originator companies, mortgage servicers, and money transmitters. The current FIMS data system was built to hold information about banks, it was not built to accommodate the new licensees and does not permit data mining which is critical to fully analyze the myriad of data points maintained for all the Division's licensees and is incapable of interfacing with the national multi-state application which is the primary means of obtaining and managing information on all types of licensees with the exception of banks. A replacement application will be able to provide efficient oversight from licensing to supervision to examinations, allow for data analysis by industry and information storage capability in a secure environment.	B			\$ 500,000
CCA 901	MA	AR	2	7	Increase ceiling for collective bargaining and fringe benefits costs	Section 269-33, HRS established the Public Utilities Commission's ("PUC") special fund "to be used by the PUC and DCA for all expenses incurred in the administration of chapters 269,271,271G,269E, and 468J". This request is to cover the State's fringe benefit increase to 52% that was effective in FY16 and the CB adjustments for BU 03 and 13. Denial of this request will negatively impact the effective performance of statutory duties and responsibilities for two agencies, the PUC and DCA.	B			\$ 430,102
CCA 191	AA	AR	1	8	Add funds for purchase of Office 365	The Office 365 product suite is being utilized as the standard platform for the Executive Branch. The initial cost of the licenses was funded out of DAGS' Office of Enterprise Technology Services. The recurring subscription cost is now allocated to the departments.	B			\$ 150,000
CCA 901	MA	AR	3	9	Add funds for purchase of Office 365	The Office 365 product suite is being utilized as the standard platform for the Executive Branch. The initial cost of the licenses was funded out of DAGS' Office of Enterprise Technology Services. The recurring subscription cost is now allocated to the departments.	B			\$ 15,000

Department of Commerce and Consumer Affairs
 FY16 Restrictions

Table 7

<u>Prog ID</u>	<u>Sub-Org</u>	<u>MOF</u>	<u>Budgeted by</u> <u>Dept</u>	<u>Restriction</u>	<u>Difference</u> <u>Between</u> <u>Budgeted &</u> <u>Restricted</u>	<u>Percent Difference</u>	<u>Impact</u>
NONE							

Department of Commerce and Consumer Affairs
Emergency Appropriation Requests

Table 8

<u>Prog ID</u>	<u>Description of Request</u>	<u>Explanation of Request</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
NONE						

Department of Commerce and Consumer Affairs
Expenditures Exceeding Appropriation Ceilings in FY15 and FY16

Table 9

<u>Prog ID</u>	<u>MOF</u>	<u>Date</u>	<u>Appropriation</u>	<u>Amount Exceeding Appropriation</u>	<u>Percent Exceeded</u>	<u>Reason for Exceeding Ceiling</u>	<u>Legal Authority</u>	<u>Recurring (Y/N)</u>	<u>GF Impact (Y/N)</u>
NONE									

Department of Commerce and Consumer Affairs
 Intradepartmental Transfers in FY15 and FY16

Table 10

<u>Actual or Anticipated Date of Transfer</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	<u>From Prog ID</u>	<u>Percent of Program ID Appropriation Transferred From</u>	<u>To Prog ID</u>	<u>Percent of Receiving Program ID Appropriation</u>	<u>Reason for Transfer</u>	<u>Recurring (Y/N)</u>
NONE										

Department of Commerce and Consumer Affairs
Active Federal Awards as of December 1, 2015

Table 11

<u>State Expending Agency</u>	<u>Program ID</u>	<u>Award Number</u>	<u>CFDA Number</u>	<u>Award Description</u>	<u>Awarding Federal Agency</u>	<u>Award Amount</u>	<u>Award Amount Allocated to the Pgm ID in Column B</u>	<u>State Appropriation Symbol</u>	<u>State Fund Match (If Any)</u>	<u>Contact Name</u>	<u>Contact Phone</u>	<u>Contact Email</u>
R	CCA-106	PRPPR12002 9-01-00	93.511	Grants to Support States in Health Insurance Rate Review Grant Cycle II	Department of Health and Human Services	\$3,000,000	\$3,000,000	S-14-205	none	Lloyd Lim	586-2790	llim@dcca.hawaii.gov

Department of Commerce and Consumer Affairs
Non-General Funds

Table 12

<u>Name of Fund</u>	<u>Purpose</u>	<u>Statutory Reference</u>	<u>MOF</u>	<u>Beginning FY16 Unencumbered Cash Balance</u>	<u>Estimated FY16 Revenues</u>	<u>Estimated FY16 Expenditures and Encumbrances</u>	<u>Estimated FY16 Net Transfers</u>	<u>Estimated FY16 Ending Unencumbered Cash Balance</u>	<u>Balance in Excess of Program Needs</u>
Captive Insurance Administrative Fund	Funds expended by the Insurance Commissioner to carry out his/her duties and obligations under article 19 of chapter 431, HRS.	Section 431:19-101.8, HRS, Act 261, 1997 SLH; Act 131, SLH 1999; Act 1, 2005 Special Session; Act 213, SLH 2007; Act 158 SLH 2008	B	\$ 5,322,074	\$ 2,305,000	\$ 2,699,597	\$ -	\$ 4,954,089	\$ -
Compliance Resolution Fund	The CRF, in existence since July 1, 1996, evolved into the primary funding source for the various DCCA programs as the department moved its operations away from support by general tax revenues to funding by fees and charges generated by its various programs. Pursuant to section 26-9(o), Hawaii Revised Statutes, fees shall be assessed and deposited into the CRF for the issuance of a license, permit, certificate, or registration, subsequent renewals, together with all other fines, income, and penalties collected or reimbursement of costs or attorneys' fees assessed as a result of actions brought by the department. The director may use the moneys in the fund to employ and train hearings officers, investigators, attorneys, accountants, and other necessary personnel for CRF funded operations, and the fund shall defray all other administrative costs, including costs of operating the supporting offices of DCCA. Any other activity related to compliance resolution may also be funded by the CRF.	Section 26-9(o), 269-33, 412:2-109, 431:2-215, 431:2-216, 449-14, 454F-18, 454F-23, 454M-11, 485A (BREG), 487-2, 489D-12.5, & Chapter 440G, and section 305J-19, (HPEAP) HRS ACT XXX (PVL BEHAVIOR ANALYSTS)	B	\$ 50,800,461	\$ 43,024,222	\$ 54,432,142		\$ 43,945,801	
Driver Education Fund Underwriter's Fee	Provide drivers training and education.	Section 431:10C-115, HRS; Section 431:10G-107, HRS	B	\$ 433,919	\$ 3,101,000		\$ (3,100,000)	\$ 434,919	\$ -

Department of Commerce and Consumer Affairs
Non-General Funds

Table 12

<u>Name of Fund</u>	<u>Purpose</u>	<u>Statutory Reference</u>	<u>MOF</u>	<u>Beginning FY16 Unencumbered Cash Balance</u>	<u>Estimated FY16 Revenues</u>	<u>Estimated FY16 Expenditures and Encumbrances</u>	<u>Estimated FY16 Net Transfers</u>	<u>Estimated FY16 Ending Unencumbered Cash Balance</u>	<u>Balance in Excess of Program Needs</u>
Mortgage Foreclosure Dispute Resolution Special Fund	To give a mortgagor the power to require a foreclosing mortgagee to engage in dispute resolution with the mortgagor prior to a nonjudicial mortgage foreclosure in an effort to avoid foreclosure or mitigate damages if foreclosure is unavoidable.	Act 48, SLH 2011, Section 39	B	\$ 337,083	\$ 93,500	\$ 6,500		\$ 424,232	\$ -
Public Utilities Commission Special Fund	To pay for expenses incurred by the Public Utilities Commission ("PUC") and the Department of Commerce and Consumer Affairs' Division of Consumer Advocacy ("DCA").	Section 269-33, HRS	B	\$ 1,000,000	\$ 21,764,320	\$ 16,379,347	\$ (5,384,973)	\$ 1,000,000	\$ -
		Subtotal "B" Funds		\$ 57,893,537	\$ 70,288,042	\$ 73,517,586	\$ (8,484,973)	\$ 50,759,041	\$ -
Captive Insurance Companies Loc Escrow	The fund shall be used to maintain cash drawn from Letters of Credit (LOC) issued on behalf of captive insurance licensees and in favor of the Insurance Commissioner.	Section 431:19-104(b), HRS	T	\$ 516	\$ 2			\$ 518	\$ -
Commissioner's Education & Training Fund	This fund is used for the education and training of Insurance Division staff and personnel, and to pay for the cost of consumer education and information.	Section 431:2-214, HRS; Act 348, SLH 1987	T	\$ 1,100,093	\$ 155,000	\$ 245,923		\$ 1,055,093	\$ -
Condominium Education Trust Fund	514B-71, HRS, the Condominium Education Trust Fund is intended to finance or promote: "(1) Education and research in the field of condominium management, condominium registration, and real estate for the benefit of the public and those required to be registered under this chapter; (2) The improvement and more efficient administration of condominium associations; and (3) Expedient and inexpensive procedures for resolving condominium association disputes."	Sections 514A-40(c), 514-A-95.1, 514B-71,72,73 HRS	T	\$ 1,881,412	\$ 198,000	\$ 665,833		\$ 1,421,825	\$ -
Contract Service Providers	The fund will hold financial security deposits placed in trust with the Commissioner by service contract providers for all service contracts issued and in force in this State.	Section 481X-4, HRS	T	\$ 66,560	\$ -	\$ -		\$ 66,560	\$ -

Department of Commerce and Consumer Affairs
Non-General Funds

Table 12

<u>Name of Fund</u>	<u>Purpose</u>	<u>Statutory Reference</u>	<u>MOF</u>	<u>Beginning FY16 Unencumbered Cash Balance</u>	<u>Estimated FY16 Revenues</u>	<u>Estimated FY16 Expenditures and Encumbrances</u>	<u>Estimated FY16 Net Transfers</u>	<u>Estimated FY16 Ending Unencumbered Cash Balance</u>	<u>Balance in Excess of Program Needs</u>
Contractors Education Fund	To be used for consumers, licensees, Contractors License Board members and staff; to be used for publications, media exposure, participation in national association meetings, classes and other educational purpose the Board deems necessary.	Section 444-29, HRS	T	\$ 501,185	\$ 50,000	\$ 16,968		\$ 534,217	\$ -
Contractors Recovery Fund	Provide fund from which a person injured by an act, representation, transaction, or conduct of a duly licensed contractor may recover by an order of the court, an amount of not more than \$12,500 per contract and \$25,000 per contractor.	Section 444-26, HRS	T	\$ 838,038	\$ 100,000	\$ 200,000		\$ 738,038	\$ -
Hurricane Reserve Trust Fund	Provide hurricane insurance in the event that such coverage is unavailable in the private insurance market.	Chapter 431P HRS	T	\$ 182,440,065	\$ 3,100,000	\$ 200,000	\$ (2,900,000)	\$ 182,440,065	\$ -
Insurance Division Restitution	The fund will hold deposits placed in trust with the Commissioner by defendants from an enforcement action brought by the Insurance Division. If the defendant does not comply with the terms of the settlement agreement, the Insurance Division then has the power to use these funds to provide restitution to the complainants involved.	Section 431:2-203, HRS	T	\$ -	\$ -	\$ -		\$ -	
Mortgage Loan Recovery Fund	To allow consumers harmed by persons that violate Chapter 454F, HRS, to recover damages sustained by the fraud, misrepresentation, or deceit of such persons.	Section 454F-41, HRS	T	\$ 1,429,665	\$ 96,750	\$ 220,000		\$ 1,306,415	\$ -

Department of Commerce and Consumer Affairs
Non-General Funds

Table 12

<u>Name of Fund</u>	<u>Purpose</u>	<u>Statutory Reference</u>	<u>MOF</u>	<u>Beginning FY16 Unencumbered Cash Balance</u>	<u>Estimated FY16 Revenues</u>	<u>Estimated FY16 Expenditures and Encumbrances</u>	<u>Estimated FY16 Net Transfers</u>	<u>Estimated FY16 Ending Unencumbered Cash Balance</u>	<u>Balance in Excess of Program Needs</u>
Multi-State Mortgage Servicer Agreement Fund	Provide support of general consumer protection enforcement efforts, including but not limited to, mortgage rescue fraud, and to educate homeowners about mortgage rescue fraud scams. Monitor compliance with the terms of the multi-state mortgage servicer settlement agreements, supervise and regulate the mortgage loan industry, and provide consumer education.	Multi-state Mortgage Settlement Agreement/ Multi-state Mortgage Servicer Settlement Agreements	T	\$ 878,025	\$ 434,166	\$ 725,940		\$ 721,362	\$ -
Premium Taxes Paid Pending Appeal	Premium taxes paid in protest due to denial of high tech tax credits.	Sections 431:7-202, 431:7-209, HRS	T	\$ 2,265,346	\$ -	\$ -		\$ 2,265,346	\$ -
Real Estate Appraisers Registry Fee	The Legislature finds that the regulation of real estate appraisers is reasonably necessary to protect consumers. The Legislature further finds that 12 United States Code §3301 et seq. requires that real estate appraisals utilized in connection with federally related transactions be performed by individuals who are licensed or certified appraisers. The purposes of this chapter (466K, HRS) are to implement the requirements of 12 United States Code Sec §3301 et seq. and to require that all real estate appraisals be performed by licensed or certified appraisers. The requirements of this chapter do not apply to any real estate appraiser employed by any county for purposes of valuing real property for ad valorem taxation.	Chapter 466K, HRS; Title 16, Chapter 114, HAR	T	\$ 5,330	\$ 55,000	\$ 55,000		\$ 5,330	\$ -

Department of Commerce and Consumer Affairs
Non-General Funds

Table 12

<u>Name of Fund</u>	<u>Purpose</u>	<u>Statutory Reference</u>	<u>MOF</u>	<u>Beginning FY16 Unencumbered Cash Balance</u>	<u>Estimated FY16 Revenues</u>	<u>Estimated FY16 Expenditures and Encumbrances</u>	<u>Estimated FY16 Net Transfers</u>	<u>Estimated FY16 Ending Unencumbered Cash Balance</u>	<u>Balance in Excess of Program Needs</u>
Real Estate Education Fund	As stated in §467-19(b), HRS, the Real Estate Education Trust Fund is intended to "promote the advancement of education and research in the field of real estate for the benefit of the public and those licensed under the provisions of this chapter and the improvement and more efficient administration of the real estate industry."	Sections 467-11-19, HRS	T	\$ 725,992	\$ 85,000	\$ 500,000		\$ 339,352	\$ -
Real Estate Recovery Fund	As stated in §467-16(a), HRS, the Real Estate Recovery Trust Fund is intended to provide recovery to persons "aggrieved by an act, representation, transaction, or conduct of a duly licensed real estate broker, or real estate salesperson, upon the grounds of fraud, misrepresentation, or deceit". The statute establishes limits of \$25,000 per transaction and \$50,000 per licensee.	Sections 467-16-19, 21-24, HRS	T	\$ 710,776	\$ 80,000	\$ 69,000		\$ 721,776	\$ -
Restitution for Consumers	To hold and disburse moneys received or recovered by the Office of Consumer Protection which are due to consumers as restitution.	Section 487:14 (c), HRS	T	\$ 84,172		\$ 84,172	\$ (1,000)	\$ -	\$ -
St Certified Motor Vehicle Arbitration Fd	Administration of the State Certified Motor Vehicle Arbitration Program (Lemon Law) created pursuant to Chapter 481I, HRS.	Chapter 481I, HRS	T	\$ 124,077	\$ 2,000	\$ 100		\$ 126,077	\$ -
Temp Deposits-Commerce/Consumer Affairs	For temporary deposits. Moneys deposited in this fund may be later transferred to the appropriate fund.		T	\$ 1,810,016		\$ 605		\$ 1,810,621	\$ -
		Subtotal "T" Funds		\$ 194,861,268	\$ 4,355,918	\$ 2,983,541	\$ (2,901,000)	\$ 193,552,595	\$ -
Funds held outside the State Treasury by Time Warner	Franchise fees over the cap amount that is paid by TWE to Olelo are provided to DCCA for INET/Broadband purposes.	Decision & Order No. 346, Section IV.1.4		\$ 6,760,119	\$ 1,000,000			\$ 7,760,119	\$ -

Department of Commerce and Consumer Affairs
Vacancy Report as of November 30, 2015

Table 13

Prog ID	Sub-Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Describe if Filled by other Means	Priority # to Retain
CCA 191	AA	07/01/15	02/01/16	117842	BUSINESS MANAGEMENT OFFICER I	N	EM5	93	P	1.00	B	\$ 84,420.00	\$ 82,560.00	Y	N	--		1
CCA 106	EA	09/30/15	03/01/16	102731	IERF INSURANCE EXAM MGR	Y	NA	23	P	1.00	B	\$ 90,403.00	\$ 88,848.00	Y	N	--		2
CCA 110	DA	10/01/15	03/16/16	25073	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 65,326.00	\$ 62,424.00	Y	N	--		3
CCA 105	GA	11/14/15	01/15/16	6777	OFFICE ASSISTANT V	N	12	03	P	1.00	B	\$ 42,684.00	\$ 42,684.00	Y	N	--		4
CCA 104	BA	04/30/15	12/31/15	106292	CRF FINANCIAL INST EXAMINER IV	Y	NA	13	P	1.00	B	\$ 77,289.00	\$ 75,960.00	Y	N	--		5
CCA 112	AB	04/26/11	03/31/16	101312	RI INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 46,374.00	\$ 45,576.00	Y	N	--		6
CCA 103	HA	07/01/10	02/01/16	40834	PU RATE ANALYST VII *	N	28	23	P	1.00	B	\$ 66,054.00	\$ 55,764.00	Y	N	--		7
CCA 111	CA	04/16/15	03/01/16	38510	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 27,768.00	\$ 27,768.00	Y	N	--		8
CCA 191	AA	10/01/15	02/01/16	102754	CCA COMMUNICATIONS OFFICER	Y	NA	73	T	1.00	B	\$ 85,250.00	\$ 85,416.00	Y	N	--		9
CCA 103	HA	11/03/15	03/01/16	110990	DCA EDUCATION SPECIALIST	Y	NA	13	P	1.00	B	\$ 54,993.00	\$ 53,988.00	Y	N	--		10
CCA 102	FA	03/03/15	02/29/16	121425	CATV STAFF ATTORNEY	Y	NA	73	P	1.00	B	\$ 80,439.00	\$ 79,056.00	Y	N	--		11
CCA 110	DA	12/16/14	03/01/16	120993	CRF INVESTIGATOR I	Y	NA	13	P	1.00	B	\$ 38,120.00	\$ 36,024.00	Y	N	--		12
CCA 112	AB	08/21/15	02/28/16	120370	INVESTIGATOR III	N	20	13	P	1.00	B	\$ 43,812.00	\$ 43,812.00	Y	N	--		13
CCA 111	CA	06/26/15	04/01/16	102159	CRF STAFF ATTORNEY	Y	NA	73	T	1.00	B	\$ 74,982.00	\$ 70,608.00	N	N	--		14
CCA 106	EA	05/15/15	01/20/16	102738	CRF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 66,056.00	\$ 67,512.00	Y	N	--		15
CCA 105	GA	11/23/15	02/01/16	118941	OFFICE ASSISTANT III	N	08	03	P	1.00	T	\$ 28,872.00	\$ 31,236.00	Y	N	--		16
CCA 104	BA	07/18/15	02/01/16	106293	CRF FINANCIAL INST EXAMINER II	Y	NA	13	P	1.00	B	\$ 63,516.00	\$ 62,424.00	N	N	--		17
CCA 106	EA	08/01/14	06/01/16	116592	CIAF INSURANCE EXAMINER III	Y	NA	13	P	1.00	B	\$ 78,999.00	\$ 80,748.00	Y	N	--		18
CCA 191	AA	11/16/15	04/01/16	17744	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 28,872.00	\$ 29,988.00	Y	N	--		19
CCA 104	BA	02/07/15	03/01/16	118659	CRF FINANCIAL INST EXAMINER II	Y	NA	13	P	1.00	B	\$ 61,062.00	\$ 60,012.00	Y	N	--		20
CCA 103	HA	04/16/15	03/01/16	106291	DCA RESEARCH ANALYST V	Y	NA	13	P	1.00	B	\$ 74,273.00	\$ 63,648.00	Y	N	--		21
CCA 111	CA	01/01/13	04/30/16	49535	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 28,836.00	\$ 28,836.00	Y	N	--		22
CCA 112	AB	04/16/15	03/31/16	118554	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 27,768.00	\$ 27,768.00	Y	N	--		23
CCA 104	BA	05/09/15	02/01/16	26272	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 26,700.00	\$ 26,700.00	Y	N	--		24
CCA 112	AB	06/01/11	03/31/16	118461	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 48,228.00	\$ 45,576.00	Y	N	--		25
CCA 191	AA	07/07/15	03/01/16	45090	ACCOUNT CLERK IV	N	13	03	P	1.00	B	\$ 32,460.00	\$ 32,460.00	Y	N	--		26
CCA 103	HA	07/01/10	05/01/16	28849	ENGINEER (PU) IV *	N	24	13	P	1.00	B	\$ 54,300.00	\$ 47,436.00	Y	N	--		27
CCA 105	GA	--	06/30/16	121530	OFFICE ASSISTANT V	N	12	03	T	1.00	B	\$ 35,904.00	--	Y	Y	4		28
CCA 106	EA	11/13/15	04/01/16	119029	INSURANCE LICENSING CLERK	N	11	03	P	1.00	B	\$ 36,468.00	\$ 36,468.00	N	N	--		29
CCA 111	CA	10/16/14	02/15/16	38868	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 28,872.00	\$ 28,872.00	Y	N	--		30
CCA 112	AB	10/24/15	03/31/16	119164	RI INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 50,183.00	\$ 47,400.00	N	N	--		31
CCA 104	BA	03/21/15	06/30/16	17398	FINANCIAL INST EXAMINER II	N	24	13	P	1.00	B	\$ 54,575.00	\$ 53,364.00	Y	N	--		32
CCA 106	EA	05/30/15	06/01/16	42124	INSURANCE RATE & POLICY ANALYST IV	N	22	13	P	1.00	B	\$ 56,460.00	\$ 55,488.00	Y	N	--		33
CCA 111	CA	04/01/15	02/15/16	32896	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 26,700.00	\$ 26,700.00	Y	Y	1		34
CCA 103	HA	07/01/10	04/01/16	21377	PU RATE ANALYST V *	N	24	13	P	1.00	B	\$ 54,300.00	\$ 47,436.00	Y	N	--		35
CCA 105	GA	--	06/30/16	121241	SECRETARY II	N	14	03	T	1.00	B	\$ 35,904.00	--	Y	N	--		36
CCA 105	GA	02/08/14	06/30/16	120701	OFFICE ASSISTANT IV	N	10	03	T	1.00	B	\$ 28,872.00	\$ 27,756.00	N	N	--		37
CCA 104	BA	06/16/15	06/30/16	4296	FINANCIAL INST EXAMINER II	N	24	13	P	1.00	B	\$ 68,694.00	\$ 67,512.00	Y	N	--		38
CCA 106	EA	09/14/13	04/01/16	110317	CRF RATE & POLICY ANALYST IV	Y	NA	13	P	1.00	B	\$ 52,210.00	\$ 53,352.00	Y	N	--		39
CCA 112	AB	07/16/15	03/31/16	118479	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 70,415.00	\$ 67,512.00	Y	N	--		40
CCA 103	HA	06/02/15	04/01/16	102758	DCA RESEARCH ANALYST V	Y	NA	13	P	1.00	B	\$ 38,523.00	\$ 37,860.00	Y	N	--		41
CCA 111	CA	08/01/15	04/30/16	46584	OFFICE ASSISTANT III	N	10	03	P	1.00	B	\$ 32,460.00	\$ 33,720.00	N	N	--		42
CCA 106	EA	12/31/14	05/01/16	9579	INSURANCE EXAMINER II	N	24	13	P	1.00	B	\$ 80,376.00	\$ 78,996.00	Y	N	--		43
CCA 112	AB	10/31/15	03/31/16	118627	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 26,700.00	\$ 27,768.00	Y	N	--		44
CCA 103	HA	11/01/13	05/01/16	15984	PU RATE ANALYST V	N	24	13	P	1.00	B	\$ 54,300.00	\$ 53,364.00	N	N	--		45
CCA 111	CA	02/04/09	05/30/16	49514	SECURITIES EXAMINER III	N	20	13	P	1.00	B	\$ 42,132.00	\$ 42,132.00	N	N	--		46
CCA 111	CA	02/28/15	03/30/16	40362	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 27,768.00	\$ 27,768.00	Y	N	--		47
CCA 112	AB	09/16/11	03/31/16	118464	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 48,228.00	\$ 57,024.00	Y	N	--		48
CCA 106	EA	05/05/15	03/01/16	110705	IRF PROGRAM ANALYST	Y	NA	13	P	1.00	B	\$ 61,074.00	\$ 71,592.00	Y	N	--		49
CCA 105	GA	08/01/13	06/30/16	11250	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 28,872.00	\$ 36,516.00	N	N	--		50
CCA 111	CA	11/02/15	05/30/16	29153	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 33,720.00	\$ 36,468.00	Y	N	--		51
CCA 106	EA	05/22/09	06/01/16	118637	CIAF INSURANCE PROGRAM SPECIALIST	Y	NA	13	P	1.00	B	\$ 55,488.00	\$ 28,836.00	Y	N	--		52
CCA 191	AA	03/04/14	04/01/16	120945	MFRD OFFICE ASSISTANT IV	Y	NA	03	T	1.00	B	\$ 39,480.00	\$ 39,480.00	Y	N	--		53
CCA 112	AB	04/01/15	03/31/16	120369	RI INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 54,300.00	\$ 53,364.00	Y	N	--		54

Department of Commerce and Consumer Affairs
 Vacancy Report as of November 30, 2015

Table 13

CCA 112	AB	08/01/15	03/31/16	120232	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 48,228.00	\$22.79/hr.	Y	N	--	55
CCA 106	EA	07/18/15	05/01/16	110211	IRF RATE & POLICY ANALYST	Y	NA	13	P	1.00	B	\$ 50,171.00	\$ 49,308.00	Y	N	--	56
CCA 111	CA	06/01/11	05/30/16	35709	BUSINESS REGISTRATION ASST	N	15	03	P	1.00	B	\$ 35,112.00	\$ 46,176.00	Y	N	--	57
CCA 106	EA	08/01/14	06/01/16	108005	CIAF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 62,222.00	\$ 63,600.00	Y	N	--	58
CCA 111	CA	12/01/11	06/30/16	29154	BUSINESS REGISTRATION ASST	N	15	03	P	1.00	B	\$ 35,112.00	\$ 49,344.00	N	N	--	59
CCA 106	EA	06/17/13	06/01/16	25147	INS RATE & POLICY ANALYST III	N	20	13	P	1.00	B	\$ 44,348.00	\$ 55,500.00	Y	N	--	60
CCA 111	CA	10/01/13	06/30/16	32897	BUSINESS REGISTRATION ASST	N	15	03	P	1.00	B	\$ 35,112.00	\$ 49,932.00	N	N	--	61
CCA 106	EA	09/09/15	06/01/16	120950	CRF INSURANCE EXAMINER II	Y	NA	13	P	1.00	P	\$ 63,516.00	\$ 62,424.00	N	N	--	62
CCA 111	CA	12/31/14	06/30/16	21379	BUSINESS REGISTRATION SUPERVISOR	N	17	04	P	1.00	B	\$ 54,012.00	\$ 54,012.00	N	N	--	63
CCA 106	EA	11/01/15	03/01/16	102736	IERF CLERK TYPIST II	Y	NA	03	P	1.00	B	\$ 41,064.00	\$ 41,064.00	N	N	--	64
CCA 111	CA	08/01/15	05/30/16	119244	LEGAL CLERK	N	14	03	P	1.00	B	\$ 48,024.00	\$ 49,968.00	N	N	--	65
CCA 106	EA	07/01/15	06/01/16	15542	INS RATE & POLICY ANALYST III	N	20	13	P	1.00	B	\$ 50,172.00	\$ 47,400.00	Y	N	--	66
CCA 106	EA	10/21/15	06/01/16	120529	INS HEALTH PREMIUM RATE ANALYST	Y	NA	13	T	1.00	P	\$ 52,198.00	\$ 51,300.00	N	N	--	67
CCA 106	EA	05/23/15	04/01/16	119027	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 41,064.00	\$ 41,064.00	Y	N	--	68
CCA 106	EA	12/16/14	06/01/16	120977	CIAF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 68,693.00	\$ 67,512.00	Y	N	--	69
CCA 106	EA	01/07/14	06/01/16	120530	INS HEALTH PREMIUM RATE ANALYST	Y	NA	13	T	1.00	P	\$ 63,594.00	\$ 49,332.00	Y	N	--	70
* Position was restored, pursuant to Act 130, SLH 2010, effective July 1, 2010.																	
Positions with offers accepted/final stages of recruitment																	
CCA 104	BA	04/21/15	12/01/15	106295	CRF FINANCIAL INST EXAMINER III	Y	NA	13	P	1.00	B	\$ 74,310.00	\$ 73,032.00	Y	N	--	offer accepted 12/1
CCA 104	BA	09/05/13	filled	26271	FINANCIAL INST EXAMINER II	N	24	13	P	1.00	B	\$ 54,300.00	\$ 60,012.00	Y	N	--	N/A
CCA 105	GA	10/01/15	12/01/15	7933	SECRETARY II	N	14	03	P	1.00	B	\$ 44,388.00	\$ 35,112.00	Y	N	--	offer accepted 12/1
CCA 105	GA	09/25/15	12/01/15	120700	OFFICE ASSISTANT V	N	12	03	T	1.00	B	\$ 31,236.00	\$ 32,460.00	Y	N	--	offer accepted 12/1
CCA 106	EA	05/22/15	12/07/15	119185	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 33,720.00	\$ 33,720.00	Y	N	--	offer accepted 12/7
CCA 106	EA	--	02/01/16	120468	INS PROPERTY & CASUALTY ACTUARY	Y	NA	13	T	1.00	B	\$ 162,800.00	--	Y	N	--	offer accepted.
CCA 111	CA	09/09/15	02/01/16	102532	CRF STAFF ATTORNEY III	Y	NA	73	T	1.00	B	\$ 76,313.00	\$ 75,000.00	Y	N	--	offer accepted
Incumbent is on leave without pay to accept exempt appointment to POS. # 100690, NTE 12-3-16																	
CCA 106	EA	--	01/01/17	5907	CHIEF DEPUTY INS COMMISSIONER **	N	EM7	35	P	1.00	B	\$ 101,436.00	\$ 99,204.00	Y	N	--	
Public Utilities Commission transferred to CCA on 7-1-15 from BUF pursuant to Act 108/14																	
CCA 901	MA	--	02/01/16	121861	LEGAL CLERK	N	14	03	P	1.00	B	\$ 30,803.00	--	Y	N	--	1
CCA 901	MA	--	02/01/16	121829	ACCOUNT CLERK III	N	11	03	P	1.00	B	\$ 27,394.00	--	Y	N	--	2
CCA 901	MA	08/01/15	02/01/16	102438	PUC ATTORNEY IV	Y	NA	73	P	1.00	B	\$ 91,092.00	\$ 99,948.00	Y	N	--	3
CCA 901	MA	--	02/01/16	100958	PERSONNEL CLERK V	N	13	63	P	1.00	B	\$ 86,028.00	--	Y	N	--	4
CCA 901	MA	01/02/15	02/01/16	36923	ENGINEER III (PU)	N	22	13	P	1.00	B	\$ 68,694.00	\$ 70,188.00	Y	N	--	5
CCA 901	MA	03/24/12	02/01/16	117642	ENFORCEMENT OFFICER	Y	NA	13	P	1.00	B	\$ 47,412.00	\$ 47,412.00	Y	N	--	6
CCA 901	MA	--	02/01/16	120736	PUC LEGAL ASSISTANT	Y	NA	13	P	1.00	B	\$ 36,070.00	--	Y	N	--	7
CCA 901	MA	08/01/15	02/01/16	102100	PUC UTILITY ANALYST I	Y	NA	13	P	1.00	B	\$ 64,920.00	\$ 68,496.00	Y	N	--	8
CCA 901	MA	07/01/10	02/01/16	42690	INVESTIGATOR IV *	N	22	13	P	1.00	B	\$ 48,230.00	--	Y	N	--	9
CCA 901	MA	09/01/15	02/01/16	106173	PUC ECONOMIST III	Y	NA	13	P	1.00	B	\$ 64,920.00	\$ 84,480.00	Y	N	--	10
CCA 901	MA	07/01/10	02/01/16	106174	INVESTIGATOR IV *	N	22	13	P	1.00	B	\$ 48,230.00	--	Y	N	--	11
CCA 901	MA	--	02/01/16	121821	PUC SUPERVISING UTILITY ANALYST	Y	NA	23	P	1.00	B	\$ 58,730.00	--	Y	N	--	12
CCA 901	MA	--	02/01/16	121798	PUC RESEARCH ASSISTANT I	Y	NA	13	P	1.00	B	\$ 52,250.00	--	Y	N	--	13
CCA 901	MA	--	02/01/16	121797	PUC RESEARCH ASSISTANT I	Y	NA	13	P	1.00	B	\$ 52,250.00	--	Y	N	--	14
CCA 901	MA	09/01/12	07/01/16	102437	PUC POLICY and RESEARCH OFFICER	Y	NA	93	P	1.00	B	\$ 91,008.00	\$ 91,008.00	Y	N	--	TIGA 15
* Position was restored, pursuant to Act 130, SLH 2010, effective July 1, 2010.																	

Department of Commerce and Consumer Affairs
Personnel Separations from July 1, 2014 to November 30, 2015

Table 14

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Separation Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>
CCA 102	FA	12/31/14	102761	CATV Administrator	Y	NA	93	P	B	1.00	\$97,644.00	1.00	\$106,800.00
CCA 102	FA	12/30/14	102774	CATV Staff Attorney	Y	NA	73	P	B	1.00	\$72,816.00	1.00	\$79,476.00
CCA 102	FA	03/02/15	121425	CATV Staff Attorney	Y	NA	73	P	B	1.00	\$80,000.00	1.00	\$79,056.00
CCA 103	HA	02/01/15	6318	Legal Clerk	N	14	03	P	B	1.00	\$41,040.00	1.00	\$44,388.00
CCA 103	HA	01/19/15	8694	Secretary III	N	16	63	P	B	1.00	\$49,932.00	1.00	\$54,012.00
CCA 103	HA	08/02/15	21378	Office Assistant IV	N	10	03	P	B	1.00	\$35,112.00	1.00	\$36,468.00
CCA 103	HA	06/01/15	102758	DCA Research Analyst V	Y	NA	13	P	B	1.00	\$36,408.00	1.00	\$37,860.00
CCA 103	HA	04/15/15	106291	DCA Research Analyst V	Y	NA	13	P	B	1.00	\$72,996.00	1.00	\$63,648.00
CCA 103	HA	11/02/15	110990	DCA Education Specialist	Y	NA	13	P	B	1.00	\$54,933.00	1.00	\$53,988.00
CCA 104	BA	12/30/14	114	Deputy Commission of Financial Inst	N	EM-08	35	P	B	1.00	\$115,296.00	1.00	\$124,596.00
CCA 104	BA	12/30/14	123	Secretary III	N	16	63	P	B	1.00	\$54,012.00	1.00	\$58,416.00
CCA 104	BA	06/15/15	4296	Financial Inst Examiner III	N	26	13	P	B	1.00	\$55,500.00	1.00	\$67,512.00
CCA 104	BA	03/20/15	17398	Financial Inst Examiner II	N	24	13	P	B	1.00	\$53,352.00	1.00	\$53,364.00
CCA 104	BA	09/05/14	26272	Office Assistant III *	N	08	63	P	B	1.00	\$25,668.00	1.00	\$12.84
CCA 104	BA	05/08/15	26272	Office Assistant III	N	08	03	P	B	1.00	\$25,668.00	1.00	\$26,700.00
CCA 104	BA	10/31/14	102765	FIERF Financial Inst Exam Mgr	Y	NA	93	P	B	1.00	\$83,400.00	1.00	\$86,772.00
CCA 104	BA	04/30/15	106292	CRF Financial Inst Examiner IV	Y	NA	13	P	B	1.00	\$67,488.00	1.00	\$75,960.00
CCA 104	BA	07/17/15	106293	FIERF Financial Inst Examiner II	Y	NA	13	P	B	1.00	\$63,516.00	1.00	\$62,424.00
CCA 104	BA	04/15/15	106294	CRF Financial Inst Examiner III	Y	NA	13	P	B	1.00	\$57,708.00	1.00	\$67,512.00
CCA 104	BA	04/20/15	106295	CRF Financial Inst Examiner III	Y	NA	13	P	B	1.00	\$70,224.00	1.00	\$73,032.00
CCA 104	BA	09/01/14	118396	Office Assistant III	N	08	03	P	B	1.00	\$33,756.00	1.00	\$26,700.00
CCA 104	BA	02/06/15	118659	CRF Financial Inst Examiner II	Y	NA	13	P	B	1.00	\$57,708.00	1.00	\$60,012.00
CCA 104	BA	01/23/15	121007	CRF Financial Inst Exam Mgr	Y	NA	93	P	B	1.00	\$79,104.00	1.00	\$85,068.00
CCA 105	GA	11/13/15	6777	Office Assistant V	N	12	03	P	B	1.00	\$42,684.00	1.00	\$42,684.00
CCA 105	GA	04/30/15	7933	Secretary II	N	14	03	P	B	1.00	\$41,040.00	1.00	\$44,388.00
CCA 105	GA	09/30/15	7933	Secretary II	N	14	03	P	B	1.00	\$44,388.00	1.00	\$35,112.00
CCA 105	GA	06/15/15	12746	Office Assistant IV	N	10	03	P	B	1.00	\$32,424.00	1.00	\$28,872.00
CCA 105	GA	11/30/15	13237	Licensing Examiner V	N	24	23	P	B	1.00	\$77,292.00	1.00	\$75,960.00
CCA 105	GA	03/31/15	22646	Office Assistant IV	N	10	03	P	B	1.00	\$36,516.00	1.00	\$29,988.00
CCA 105	GA	07/31/14	102249	Condo Program Specialist	Y	NA	13	P	T	1.00	\$53,664.00	1.00	\$53,364.00
CCA 105	GA	07/11/14	118936	Office Assistant IV	N	10	03	P	B	1.00	\$27,756.00	1.00	\$28,872.00
CCA 105	GA	03/31/15	118939	Office Assistant III	N	08	03	P	T	1.00	\$27,756.00	1.00	\$29,988.00
CCA 105	GA	11/22/15	118941	Office Assistant III	N	08	03	P	T	1.00	\$28,872.00	1.00	\$31,236.00
CCA 105	GA	01/02/15	118944	Office Assistant IV	N	10	03	P	T	1.00	\$27,756.00	1.00	\$29,988.00
CCA 105	GA	05/14/15	118944	Office Assistant IV	N	10	03	P	T	1.00	\$27,756.00	1.00	\$29,988.00
CCA 105	GA	09/24/15	120700	Office Assistant V	N	12	03	T	B	1.00	\$31,236.00	1.00	\$32,460.00

Department of Commerce and Consumer Affairs
Personnel Separations from July 1, 2014 to November 30, 2015

Table 14

Prog ID	Sub-Org	Separation Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	T/P	MOF	Budgeted FTE	Budgeted Salary	Actual FTE	Actual Salary
CCA 106	EA	12/30/14	9579	Insurance Examiner II	N	24	13	P	B	1.00	\$75,960.00	1.00	\$78,996.00
CCA 106	EA	06/30/15	15542	Insurance Rate & Policy Analyst III	N	20	13	P	B	1.00	\$45,576.00	1.00	\$47,400.00
CCA 106	EA	12/31/14	36090	Office Assistant III	N	08	03	P	B	1.00	\$27,600.00	1.00	\$28,872.00
CCA 106	EA	05/29/15	42124	Insurance Rate & Policy Analyst IV	N	22	13	P	B	1.00	\$64,920.00	1.00	\$55,488.00
CCA 106	EA	09/30/15	102731	IERF Insurance Exam Mgr	Y	NA	23	P	B	1.00	\$90,403.00	1.00	\$88,848.00
CCA 106	EA	11/01/15	102736	IERF Clerk Typist II	Y	NA	03	P	B	1.00	\$41,064.00	1.00	\$41,064.00
CCA 106	EA	01/15/15	102737	IERF Insurance Certification Spclt	Y	NA	13	P	B	1.00	\$55,500.00	1.00	\$62,424.00
CCA 106	EA	05/14/15	102738	CRF Insurance Examiner II	Y	NA	13	P	B	1.00	\$64,920.00	1.00	\$67,512.00
CCA 106	EA	07/31/14	108005	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	\$61,152.00	1.00	\$63,600.00
CCA 106	EA	07/17/15	110211	IRF Rate & Policy Analyst	Y	NA	13	P	B	1.00	\$50,171.00	1.00	\$49,308.00
CCA 106	EA	02/27/15	110212	CRF Multi-Media Education Spclt	Y	NA	13	P	B	1.00	\$75,960.00	1.00	\$51,312.00
CCA 106	EA	02/20/15	110696	IRF Insurance Examiner III	Y	NA	13	P	B	1.00	\$75,960.00	1.00	\$78,996.00
CCA 106	EA	05/04/15	110705	IRF Program Analyst	Y	NA	13	P	B	1.00	\$60,024.00	1.00	\$71,592.00
CCA 106	EA	12/15/14	111098	IRF Program Administrator	Y	NA	93	P	B	1.00	\$81,504.00	1.00	\$84,768.00
CCA 106	EA	07/31/14	116592	CIAF Insurance Examiner III	Y	NA	13	P	B	1.00	\$77,640.00	1.00	\$80,748.00
CCA 106	EA	05/22/15	119027	Office Assistant III	N	08	03	P	B	1.00	\$37,968.00	1.00	\$41,064.00
CCA 106	EA	11/12/15	119029	Insurance Licensing Clerk	N	11	03	P	B	1.00	\$36,468.00	1.00	\$36,468.00
CCA 106	EA	05/21/15	119185	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$33,720.00
CCA 106	EA	07/31/14	120304	INS Researcher	Y	NA	13	T	B	0.00	--	1.00	\$64,920.00
CCA 106	EA	03/04/15	120421	INS Health Actuary	Y	NA	13	T	P	1.00	\$40,000.00	1.00	\$93,600.00
CCA 106	EA	08/08/14	120528	INS Health Premium Rate Analyst	Y	NA	13	T	P	1.00	\$15,625.00	1.00	\$42,168.00
CCA 106	EA	03/31/15	120528	INS Health Premium Rate Analyst	Y	NA	13	T	P	1.00	\$15,625.00	1.00	\$93,600.00
CCA 106	EA	10/20/15	120529	INS Health Premium Rate Analyst	Y	NA	13	T	P	1.00	\$52,198.00	1.00	\$51,300.00
CCA 106	EA	09/08/15	120950	CRF Insurance Examiner II	Y	NA	13	P	B	1.00	\$63,516.00	1.00	\$62,424.00
CCA 106	EA	12/01/14	120977	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	\$65,000.00	1.00	\$67,512.00
CCA 110	DA	12/30/14	21811	Investigator V	N	24	23	P	B	1.00	\$75,960.00	1.00	\$78,996.00
CCA 110	DA	09/30/15	25073	Investigator IV	N	22	13	P	B	1.00	\$65,326.00	1.00	\$62,424.00
CCA 110	DA	02/12/15	100350	Consumer Protection Director	Y	NA	93	P	B	1.00	\$97,644.00	1.00	\$109,728.00
CCA 110	DA	03/12/15	120363	Investigator II *	N	18	13	P	B	1.00	\$49,332.00	1.00	\$19.49
CCA 110	DA	12/15/14	120993	CRF Investigator I	Y	NA	13	P	B	1.00	\$36,024.00	1.00	\$36,024.00
CCA 111	CA	12/30/14	21379	Business Registration Supervisor	N	17	04	P	B	1.00	\$49,932.00	1.00	\$54,012.00
CCA 111	CA	05/31/15	29152	Clerical Supervisor III	N	14	04	P	B	1.00	\$32,424.00	1.00	\$41,064.00
CCA 111	CA	11/01/15	29153	Office Assistant IV	N	10	03	P	B	1.00	\$33,720.00	1.00	\$36,468.00
CCA 111	CA	03/31/15	32896	Office Assistant III	N	08	03	P	B	1.00	\$30,036.00	1.00	\$26,700.00
CCA 111	CA	04/15/15	38510	Office Assistant III	N	08	03	P	B	1.00	\$25,668.00	1.00	\$27,768.00
CCA 111	CA	10/15/14	38868	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$28,872.00

Department of Commerce and Consumer Affairs
Personnel Separations from July 1, 2014 to November 30, 2015

Table 14

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Separation Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>
CCA 111	CA	02/27/15	40362	Office Assistant III	N	08	03	P	B	1.00	\$25,668.00	1.00	\$27,768.00
CCA 111	CA	02/13/15	46583	Office Assistant IV	N	10	03	P	B	1.00	\$30,036.00	1.00	\$26,700.00
CCA 111	CA	07/31/15	46584	Office Assistant III	N	08	03	P	B	1.00	\$32,460.00	1.00	\$33,720.00
CCA 111	CA	03/15/15	49518	Office Assistant IV	N	10	03	P	B	1.00	\$37,968.00	1.00	\$36,468.00
CCA 111	CA	08/17/14	49520	Office Assistant III	N	08	03	P	B	1.00	\$31,212.00	1.00	\$33,720.00
CCA 111	CA	03/31/15	101839	Commissioner of Securities	Y	NA	93	P	B	1.00	\$100,248.00	1.00	\$112,752.00
CCA 111	CA	09/22/14	102159	CRF Staff Attorney	Y	NA	73	T	B	1.00	\$73,692.00	1.00	\$79,056.00
CCA 111	CA	06/25/15	102159	CRF Staff Attorney	Y	NA	73	T	B	1.00	\$73,692.00	1.00	\$70,608.00
CCA 111	CA	11/30/15	102529	CRF Investigator IV	Y	NA	13	T	B	1.00	\$50,171.00	1.00	\$49,308.00
CCA 111	CA	09/08/15	102532	CRF Staff Attorney III	Y	NA	73	T	B	1.00	\$76,313.00	1.00	\$75,000.00
CCA 111	CA	07/31/15	119244	Legal Clerk	N	14	03	P	B	1.00	\$48,024.00	1.00	\$49,968.00
CCA 112	AB	01/30/15	12132	Investigator V	N	24	13	P	B	1.00	\$51,312.00	1.00	\$57,720.00
CCA 112	AB	03/12/15	12731	Investigator IV	N	22	13	P	B	1.00	\$45,576.00	1.00	\$49,308.00
CCA 112	AB	01/15/15	26216	Secretary III	N	16	63	P	B	1.00	\$48,048.00	1.00	\$51,924.00
CCA 112	AB	11/12/14	101319	RI Investigator IV	Y	NA	13	P	B	1.00	\$53,352.00	1.00	\$55,488.00
CCA 112	AB	05/04/15	101319	RI Investigator IV	Y	NA	13	P	B	1.00	\$53,352.00	1.00	\$60,012.00
CCA 112	AB	10/15/14	118045	RI Investigator IV	Y	NA	13	P	B	1.00	\$49,332.00	1.00	\$49,308.00
CCA 112	AB	07/15/15	118479	Investigator IV	N	22	13	P	B	1.00	\$70,415.00	1.00	\$67,512.00
CCA 112	AB	04/15/15	118554	Office Assistant III	N	08	03	P	B	1.00	\$25,668.00	1.00	\$27,768.00
CCA 112	AB	10/30/15	118627	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$27,768.00
CCA 112	AB	10/23/15	119164	RI Investigator IV	Y	NA	13	P	B	1.00	\$50,183.00	1.00	\$47,400.00
CCA 112	AB	03/31/15	120369	Investigator IV	N	22	13	P	B	1.00	\$49,332.00	1.00	\$53,364.00
CCA 112	AB	08/20/15	120370	Investigator III	N	20	13	P	B	1.00	\$43,812.00	1.00	\$43,812.00
CCA 191	AA	11/15/15	17744	Office Assistant IV	N	10	03	P	B	1.00	\$28,872.00	1.00	\$29,988.00
CCA 191	AA	07/31/15	30388	Cashier I	N	11	03	P	B	1.00	\$29,988.00	1.00	\$31,236.00
CCA 191	AA	07/06/15	45090	Account Clerk IV	N	13	03	P	B	1.00	\$32,460.00	1.00	\$32,460.00
CCA 191	AA	12/30/14	45091	Account Clerk IV	N	13	03	P	B	1.00	\$36,516.00	1.00	\$39,492.00
CCA 191	AI	10/15/14	49537	Secretary II	N	14	03	P	B	1.00	\$49,932.00	1.00	\$49,968.00
CCA 191	AI	01/12/15	49537	Secretary II *	N	14	63	P	B	1.00	\$49,932.00	1.00	\$16.21
CCA 191	AA	12/30/14	100036	Private Secretary III	Y	24	63	P	B	1.00	\$73,968.00	1.00	\$80,016.00
CCA 191	AA	12/30/14	100038	Private Secretary II	Y	22	63	P	B	1.00	\$58,440.00	1.00	\$63,168.00
CCA 191	AA	12/31/14	100127	Director	Y	NA	00	P	B	1.00	\$133,536.00	1.00	\$136,212.00
CCA 191	AA	12/30/14	102754	CCA Communications Officer	Y	NA	73	T	B	1.00	\$78,984.00	1.00	\$83,784.00
CCA 191	AA	09/30/15	102754	CCA Communications Officer	Y	NA	73	T	B	1.00	\$85,250.00	1.00	\$85,416.00
CCA 191	AA	01/15/15	112797	CCA Administrative Assistant	Y	NA	73	P	B	1.00	\$60,000.00	1.00	\$63,648.00
CCA 191	AA	06/30/15	117842	Business Management Officer I	N	EM05	93	P	B	1.00	\$73,284.00	1.00	\$82,560.00
CCA 191	AH	11/30/14	119020	Legal Clerk	N	14	03	T	B	1.00	\$46,176.00	1.00	\$49,968.00

Department of Commerce and Consumer Affairs
Personnel Separations from July 1, 2014 to November 30, 2015

Table 14

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Separation Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>
CCA 191	AH	10/23/15	119020	Legal Clerk *	N	14	03	T	B	1.00	\$49,968.00	1.00	\$16.21
CCA 901	MA	01/30/15	29556	Office Assistant IV	N	10	03	P	B	1.00	\$28,872.00	1.00	\$28,872.00
CCA 901	MA	01/02/15	36923	Engineer III	N	22	13	P	B	1.00	\$67,512.00	1.00	\$67,512.00
CCA 901	MA	07/31/14	42785	Secretary III	N	16	63	P	B	1.00	\$49,932.00	1.00	\$49,932.00
CCA 901	MA	07/31/14	44862	PUC District Represent.	N	22	13	P	B	1.00	\$67,512.00	1.00	\$67,512.00
CCA 901	MA	02/20/15	100540	PUC Attorney	Y	NA	73	P	B	1.00	\$71,160.00	1.00	\$71,160.00
CCA 901	MA	01/20/15	100953	Commissioner, PUC	Y	NA	00	P	B	1.00	\$118,500.00	1.00	\$115,800.00
CCA 901	MA	11/14/14	100958	PUC Administrator	Y	NA	93	P	B	1.00	\$86,028.00	1.00	\$86,025.00
CCA 901	MA	07/31/15	102100	Research Assistant (PUC)	Y	NA	13	P	B	1.00	\$64,920.00	1.00	\$64,920.00
CCA 901	MA	01/15/15	102102	Research Assistant (PUC)	Y	NA	13	P	B	1.00	\$70,188.00	1.00	\$70,188.00
CCA 901	MA	01/15/15	102438	PUC Attorney	Y	NA	73	P	B	1.00	\$91,092.00	1.00	\$91,092.00
CCA 901	MA	12/15/14	102680	Research Assistant (PUC)	Y	NA	13	P	B	1.00	\$67,512.00	1.00	\$67,512.00
CCA 901	MA	08/31/15	106173	PUC Economist	Y	NA	13	P	B	1.00	\$64,920.00	1.00	\$64,920.00
CCA 901	MA	06/21/15	117097	Infrmtn. Tech. Spec. IV	N	22	13	P	B	1.00	\$49,308.00	1.00	\$49,308.00
CCA 901	MA	09/15/15	117632	Secretary I	N	12	03	P	B	1.00	\$30,036.00	1.00	\$33,720.00
CCA 901	MA	07/15/15	120768	Consumer Affairs & Compl Officer	Y	NA	13	P	B	1.00	\$61,524.00	1.00	\$64,992.00
				* - incumbent was employed as a 89-day non-civil service appointee, paid on an hourly basis.									

Department of Commerce and Consumer Affairs
Positions Filled from July 1, 2014 to November 30, 2015

Table 15

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Effective Date</u> <u>Position Filled</u>	<u>Position</u> <u>Number</u>	<u>Position Title</u>	<u>Exempt</u> <u>(Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted</u> <u>FTE</u>	<u>Budgeted</u> <u>Salary</u>	<u>Actual</u> <u>FTE</u>	<u>Actual</u> <u>Salary</u>	<u>Occupied</u> <u>by 89 Day</u> <u>Hire (Y/N)</u>
CCA 102	FA	03/03/15	102761	CATV Administrator	Y	NA	93	P	B	1.00	\$97,644.00	1.00	\$106,800.00	N
CCA 102	FA	07/01/15	102774	CATV Staff Attorney	Y	NA	73	P	B	1.00	\$80,867.00	1.00	\$72,000.00	N
CCA 102	FA	09/23/14	121425	CATV Staff Attorney	Y	NA	73	P	B	1.00	\$80,000.00	1.00	\$79,056.00	N
CCA 103	HA	08/03/15	6318	Legal Clerk	N	14	03	P	B	1.00	\$44,388.00	1.00	\$41,064.00	N
CCA 103	HA	02/02/15	8694	Secretary III	N	16	63	P	B	1.00	\$49,932.00	1.00	\$48,024.00	N
CCA 103	HA	11/23/15	21378	Office Assistant IV	N	10	03	P	B	1.00	\$35,112.00	1.00	\$33,720.00	N
CCA 103	HA	01/16/15	106291	DCA Research Analyst V	Y	NA	13	P	B	1.00	\$72,996.00	1.00	\$63,648.00	N
CCA 103	HA	01/05/15	120189	DCA Research Analyst V	Y	NA	13	P	B	1.00	\$45,937.00	1.00	\$53,364.00	N
CCA 103	HA	10/05/15	121559	PU Staff Attorney III	Y	NA	73	P	B	1.00	\$71,225.00	1.00	\$70,008.00	N
CCA 104	BA	05/01/15	114	Deputy Commissioner of Fin Inst	N	EM08	35	P	B	1.00	\$115,296.00	1.00	\$97,896.00	N
CCA 104	BA	10/20/14	118	Financial Institutions Examiner II	N	24	13	P	B	1.00	\$57,708.00	1.00	\$53,364.00	N
CCA 104	BA	10/19/15	123	Secretary III	N	16	63	P	B	1.00	\$58,416.00	1.00	\$36,468.00	N
CCA 104	BA	06/16/15	4295	Financial Institutions Examiner IV	N	26	13	P	B	1.00	\$57,708.00	1.00	\$70,188.00	N
CCA 104	BA	11/17/14	26272	Office Assistant III	N	08	03	P	B	1.00	\$25,668.00	1.00	\$26,700.00	N
CCA 104	BA	10/20/14	28752	Financial Institutions Examiner II	N	24	13	P	B	1.00	\$57,708.00	1.00	\$60,012.00	N
CCA 104	BA	12/02/14	102765	FIERF Financial Inst Exam Mgr	Y	NA	93	P	B	1.00	\$83,400.00	1.00	\$85,068.00	N
CCA 104	BA	07/01/14	106292	CRF Financial Institutions Examiner IV	Y	NA	13	P	B	1.00	\$67,488.00	1.00	\$75,960.00	N
CCA 104	BA	07/16/15	106294	CRF Financial Inst Examiner III	Y	NA	13	P	B	1.00	\$61,062.00	1.00	\$60,012.00	N
CCA 104	BA	09/09/14	118396	Office Assistant III *	N	08	63	P	B	1.00	\$33,756.00	1.00	\$12.84	Y
CCA 104	BA	05/20/15	118396	Office Assistant III	N	08	03	P	B	1.00	\$33,756.00	1.00	\$26,700.00	N
CCA 104	BA	10/01/14	119529	CRF Financial Inst Examiner IV	Y	NA	23	P	B	1.00	\$64,920.00	1.00	\$67,512.00	N
CCA 104	BA	04/16/15	121007	CRF Financial Inst Exam Mgr	Y	NA	93	P	B	1.00	\$79,104.00	1.00	\$83,400.00	N
CCA 104	BA	04/01/15	121528	CRF Financial Inst Examiner II	Y	NA	13	P	B	1.00	\$60,024.00	1.00	\$55,488.00	N
CCA 104	BA	05/01/15	121529	CRF Financial Inst Examiner II	Y	NA	13	P	B	1.00	\$53,488.00	1.00	\$55,488.00	N
CCA 105	GA	06/16/15	7933	Secretary II	N	10	03	P	B	1.00	\$41,040.00	1.00	\$33,720.00	N
CCA 105	GA	10/01/15	12746	Office Assistant IV	N	10	03	P	B	1.00	\$28,872.00	1.00	\$28,872.00	N
CCA 105	GA	10/16/15	22646	Office Assistant IV	N	10	03	P	B	1.00	\$29,988.00	1.00	\$28,872.00	N
CCA 105	GA	07/01/14	26720	Regulatory Bds/Comms Admin Asst I	N	22	13	P	B	1.00	\$75,960.00	1.00	\$47,400.00	N
CCA 105	GA	07/01/14	26925	Regulatory Bds/Comms Admin Asst I	N	22	13	P	B	1.00	\$45,576.00	1.00	\$47,400.00	N
CCA 105	GA	07/01/14	36374	Regulatory Bds/Comms Admin Asst I	N	22	13	P	B	1.00	\$75,960.00	1.00	\$47,400.00	N
CCA 105	GA	09/02/14	42126	Office Assistant IV	N	10	03	P	B	1.00	\$30,036.00	1.00	\$29,988.00	N
CCA 105	GA	01/26/15	102249	Condo Program Specialist	Y	NA	13	P	T	1.00	\$53,664.00	1.00	\$52,500.00	N
CCA 105	GA	04/16/15	118935	Office Assistant IV	N	10	03	P	B	1.00	\$31,212.00	1.00	\$29,988.00	N
CCA 105	GA	11/10/14	118936	Office Assistant IV	N	10	03	P	B	1.00	\$27,756.00	1.00	\$29,988.00	N
CCA 105	GA	07/20/15	118939	Office Assistant III	N	08	03	P	T	1.00	\$29,988.00	1.00	\$26,700.00	N
CCA 105	GA	04/01/15	118944	Office Assistant IV	N	10	03	P	T	1.00	\$27,756.00	1.00	\$29,988.00	N
CCA 105	GA	10/21/15	118944	Office Assistant IV	N	10	03	P	T	1.00	\$28,872.00	1.00	\$35,112.00	N
CCA 105	GA	07/01/14	120700	Office Assistant V	N	12	03	T	B	1.00	\$30,036.00	1.00	\$31,236.00	N
CCA 105	GA	02/02/15	121530	Office Assistant V	N	12	03	T	B	1.00	\$32,424.00	1.00	\$15.02	Y

Department of Commerce and Consumer Affairs
Positions Filled from July 1, 2014 to November 30, 2015

Table 15

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Effective Date</u> <u>Position Filled</u>	<u>Position</u> <u>Number</u>	<u>Position Title</u>	<u>Exempt</u> <u>(Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted</u> <u>FTE</u>	<u>Budgeted</u> <u>Salary</u>	<u>Actual</u> <u>FTE</u>	<u>Actual</u> <u>Salary</u>	<u>Occupied</u> <u>by 89 Day</u> <u>Hire (Y/N)</u>
CCA 106	EA	05/22/15	36090	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$33,720.00	N
CCA 106	EA	06/09/15	102737	IERF Insurance Certification Spclt	Y	NA	13	P	B	1.00	\$55,500.00	1.00	\$55,488.00	N
CCA 106	EA	10/20/14	102738	CRF Insurance Examiner II	Y	NA	13	P	B	1.00	\$64,920.00	1.00	\$67,512.00	N
CCA 106	EA	08/01/14	108002	Captive Insurance Administrator	Y	NA	00	P	B	1.00	\$82,500.00	1.00	\$87,000.00	N
CCA 106	EA	08/19/15	110212	CRF Multi-Media Education Spclt	Y	NA	13	P	B	1.00	\$52,210.00	1.00	\$64,920.00	N
CCA 106	EA	05/15/15	110696	IRF Insurance Examiner III	Y	NA	13	P	B	1.00	\$75,960.00	1.00	\$75,960.00	N
CCA 106	EA	11/06/14	110705	IRF Program Analyst	Y	NA	13	P	B	1.00	\$60,024.00	1.00	\$71,592.00	N
CCA 106	EA	05/05/15	111098	IRF Program Administrator	Y	NA	93	P	B	1.00	\$81,504.00	1.00	\$78,000.00	N
CCA 106	EA	08/01/14	112455	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	\$55,008.00	1.00	\$67,512.00	N
CCA 106	EA	04/01/15	120421	INS Health Actuary	Y	NA	13	T	P	1.00	\$40,000.00	1.00	\$93,600.00	N
CCA 106	EA	03/05/15	120528	INS Health Premium Rate Analyst	Y	NA	13	T	P	1.00	\$15,625.00	1.00	\$93,600.00	N
CCA 106	EA	08/17/15	120528	INS Health Premium Rate Analyst	Y	NA	13	T	P	1.00	\$42,168.00	1.00	\$43,812.00	N
CCA 106	EA	01/27/15	120904	INS Health Insurance Investigator IV	Y	NA	13	P	B	1.00	\$51,312.00	1.00	\$57,720.00	N
CCA 106	EA	08/01/14	120950	CRF Insurance Examiner II	Y	NA	13	P	B	1.00	\$64,920.00	1.00	\$62,424.00	N
CCA 106	EA	01/12/15	120979	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	\$65,000.00	1.00	\$64,920.00	N
CCA 106	EA	01/16/15	121444	CIAF Insurance Examiner I	Y	NA	13	P	B	1.00	\$60,000.00	1.00	\$64,920.00	N
CCA 107	IA	11/03/15	121759	HPEAP Program Specialist	Y	NA	13	P	B	1.00	\$46,374.00	1.00	\$53,988.00	N
CCA 110	DA	07/16/15	21811	Investigator V	N	24	23	P	B	1.00	\$80,376.00	1.00	\$75,960.00	N
CCA 110	DA	02/13/15	100350	Consumer Protection Director	Y	NA	93	P	B	1.00	\$97,644.00	1.00	\$109,728.00	N
CCA 110	DA	10/02/14	120363	Investigator II *	N	18	73	P	B	1.00	\$49,332.00	1.00	\$19.49	Y
CCA 110	DA	03/16/15	120363	Investigator II	N	18	13	P	B	1.00	\$49,332.00	1.00	\$40,548.00	N
CCA 111	CA	07/01/14	14817	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$26,700.00	N
CCA 111	CA	08/18/14	26208	Office Assistant IV	N	10	03	P	B	1.00	\$33,756.00	1.00	\$36,468.00	N
CCA 111	CA	11/02/15	29152	Clerical Supervisor III	N	14	04	P	B	1.00	\$41,064.00	1.00	\$41,064.00	N
CCA 111	CA	11/16/15	32896	Office Assistant III *	N	08	03	P	B	1.00	\$26,700.00	1.00	\$12.84	Y
CCA 111	CA	10/16/14	38869	Legal Clerk	N	14	03	P	B	1.00	\$32,424.00	1.00	\$33,720.00	N
CCA 111	CA	10/16/14	46583	Office Assistant III	N	08	03	P	B	1.00	\$30,036.00	1.00	\$26,700.00	N
CCA 111	CA	10/19/15	46583	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$26,700.00	N
CCA 111	CA	04/01/15	49518	Office Assistant IV	N	10	03	P	B	1.00	\$37,968.00	1.00	\$28,872.00	N
CCA 111	CA	10/16/15	49520	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$26,700.00	N
CCA 111	CA	12/16/14	49521	Office Assistant III	N	08	03	P	B	1.00	\$31,212.00	1.00	\$26,700.00	N
CCA 111	CA	03/16/15	49536	Office Assistant IV	N	10	03	P	B	1.00	\$27,756.00	1.00	\$36,468.00	N
CCA 111	CA	04/16/15	101839	Commissioner of Securities	Y	NA	93	P	B	1.00	\$100,248.00	1.00	\$112,752.00	N
CCA 111	CA	01/05/15	102159	CRF Staff Attorney	Y	NA	73	T	B	1.00	\$73,692.00	1.00	\$70,608.00	N
CCA 111	CA	02/17/15	102780	CRF Staff Attorney II	Y	NA	73	P	B	1.00	\$64,968.00	1.00	\$72,144.00	N
CCA 112	AB	04/01/15	11266	Investigator V	N	24	23	P	B	1.00	\$75,960.00	1.00	\$60,012.00	N
CCA 112	AB	03/16/15	12132	Investigator V #	N	24	13	P	B	1.00	\$51,312.00	1.00	\$57,720.00	N

Department of Commerce and Consumer Affairs
Positions Filled from July 1, 2014 to November 30, 2015

Table 15

Prog ID	Sub-Org	Effective Date Position Filled	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	T/P	MOF	Budgeted	Budgeted	Actual	Actual Salary	Occupied
										FTE	Salary	FTE		by 89 Day Hire (Y/N)
CCA 112	AB	09/16/15	12731	Investigator IV	N	22	13	P	B	1.00	\$50,172.00	1.00	\$55,488.00	N
CCA 112	AB	05/01/15	26216	Secretary III	N	16	63	P	B	1.00	\$48,048.00	1.00	\$48,024.00	N
CCA 112	AB	11/18/14	101311	Staff Attorney III	Y	NA	73	P	B	1.00	\$73,056.00	1.00	\$69,396.00	N
CCA 112	AB	03/13/15	101319	RI Investigator IV	Y	NA	13	P	B	1.00	\$53,352.00	1.00	\$60,012.00	N
CCA 112	AB	11/10/15	101319	RI Investigator IV	Y	NA	13	P	B	1.00	\$56,459.00	1.00	\$60,012.00	N
CCA 112	AB	05/28/15	102729	Staff Attorney	Y	NA	73	P	B	1.00	\$61,104.00	1.00	\$69,396.00	N
CCA 112	AB	04/01/15	118045	RI Investigator IV	Y	NA	13	P	B	1.00	\$49,332.00	1.00	\$47,400.00	N
CCA 112	AB	10/20/14	118430	Investigator I	N	16	13	P	B	1.00	\$38,988.00	1.00	\$37,464.00	N
CCA 112	AB	10/01/14	118478	Investigator I	N	16	13	P	B	1.00	\$38,988.00	1.00	\$37,464.00	N
CCA 112	AB	10/16/14	118502	Investigator IV	N	22	13	P	B	1.00	\$45,576.00	1.00	\$47,400.00	N
CCA 112	AB	08/17/15	119164	RI Investigator IV	Y	NA	13	P	B	1.00	\$50,183.00	1.00	\$47,400.00	N
CCA 112	AB	08/04/15	120233	RI Investigator IV	Y	NA	13	T	B	1.00	\$50,183.00	1.00	\$22.79/hr	N
CCA 191	AA	08/01/14	17744	Office Assistant IV	N	10	03	P	B	1.00	\$31,212.00	1.00	\$28,872.00	N
CCA 191	AA	11/02/15	30388	Cashier I	N	10	03	P	B	1.00	\$29,988.00	1.00	\$28,872.00	N
CCA 191	AA	08/25/14	38871	Account Clerk IV	N	13	03	P	B	1.00	\$30,036.00	1.00	\$32,460.00	N
CCA 191	AA	07/16/15	45091	Account Clerk IV	N	13	03	P	B	1.00	\$39,492.00	1.00	\$32,460.00	N
CCA 191	AI	10/16/14	49537	Secretary II *	N	14	63	P	B	1.00	\$49,932.00	1.00	\$16.21	Y
CCA 191	AI	01/16/15	49537	Secretary II	N	14	03	P	B	1.00	\$49,932.00	1.00	\$51,924.00	N
CCA 191	AA	01/20/15	100036	Private Secretary III	Y	24	63	P	B	1.00	\$73,968.00	1.00	\$63,168.00	N
CCA 191	AA	01/16/15	100038	Private Secretary II	Y	22	63	P	B	1.00	\$58,440.00	1.00	\$60,780.00	N
CCA 191	AA	01/16/15	102754	CCA Communications Officer	Y	NA	73	T	B	1.00	\$78,984.00	1.00	\$85,416.00	N
CCA 191	AA	01/16/15	112797	CCA Administrative Assistant	Y	NA	73	P	B	1.00	\$60,000.00	1.00	\$75,960.00	N
CCA 191	AH	01/26/15	119020	Legal Clerk	N	14	63	T	B	1.00	\$46,176.00	1.00	\$16.21	Y
CCA 191	AH	11/02/15	119020	Legal Clerk	N	14	03	T	B	1.00	\$49,968.00	1.00	\$33,720.00	N
				# - incumbent returned to former position.										
				* - Employee hired in a non-civil service appointment.										
CCA 901	MA	03/16/15	29556	Office Assistant IV	N	10	03	P	B	1.00	\$ 28,872.00	1.00	\$ 28,872.00	N
CCA 901	MA	07/16/14	36294	Office Assistant III	N	8	03	P	B	1.00	\$ 26,700.00	1.00	\$ 26,700.00	N
CCA 901	MA	09/18/15	42785	Secretary III	N	16	03	P	B	1.00	\$ 41,064.00	1.00	\$ 48,048.00	N
CCA 901	MA	05/01/15	44862	PUC District Represent.	N	22	13	P	B	1.00	\$ 67,512.00	1.00	\$ 68,694.00	N
CCA 901	MA	05/01/15	44863	PUC District Represent.	N	22	13	P	B	1.00	\$ 67,488.00	1.00	\$ 67,488.00	N
CCA 901	MA	05/18/15	100540	PUC Attorney	Y	NA	73	P	B	1.00	\$ 71,160.00	1.00	\$ 71,160.00	N
CCA 901	MA	01/21/15	100953	Commissioner, PUC	Y	NA	00	P	B	1.00	\$ 118,500.00	1.00	\$ 118,500.00	N
CCA 901	MA	06/03/15	102102	Research Assistant (PUC)	Y	NA	13	P	B	1.00	\$ 86,028.00	1.00	\$ 70,188.00	N
CCA 901	MA	03/02/15	102155	PUC Attorney	Y	NA	73	P	B	1.00	\$ 70,188.00	1.00	\$ 75,552.00	N
CCA 901	MA	07/16/15	102680	PUC Utility Analyst	Y	NA	13	P	B	1.00	\$ 67,512.00	1.00	\$ 64,992.00	N
CCA 901	MA	09/02/15	117097	Information Tech Spclt IV	N	22	13	P	B	1.00	\$ 50,172.00	1.00	\$ 47,400.00	N
CCA 901	MA	03/25/15	117629	Economist (PUC)	Y	NA	13	P	B	1.00	\$ 60,744.00	1.00	\$ 60,744.00	N
CCA 901	MA	11/16/15	117632	Secretary I	N	12	03	P	B	1.00	\$ 30,036.00	1.00	\$ 32,460.00	N
CCA 901	MA	03/17/15	117634	PUC Attorney	Y	NA	13	P	B	1.00	\$ 68,424.00	1.00	\$ 72,300.00	N

Department of Commerce and Consumer Affairs
Positions Filled from July 1, 2014 to November 30, 2015

Table 15

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Effective Date</u> <u>Position Filled</u>	<u>Position</u> <u>Number</u>	<u>Position Title</u>	<u>Exempt</u> <u>(Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted</u> <u>FTE</u>	<u>Budgeted</u> <u>Salary</u>	<u>Actual</u> <u>FTE</u>	<u>Actual</u> <u>Salary</u>	<u>Occupied</u> <u>by 89 Day</u> <u>Hire (Y/N)</u>
CCA 901	MA	08/18/15	117643	Office Assistant III	N	8	03	P	B	1.00	\$ 27,768.00	1.00	\$ 26,700.00	N
CCA 901	MA	01/22/15	118029	Engineer IV	N	24	13	P	B	1.00	\$ 53,364.00	1.00	\$ 53,364.00	N
CCA 901	MA	07/16/15	120768	Consumer Affairs & Compl Officer	Y	NA	13	P	B	1.00	\$ 61,524.00	1.00	\$ 64,920.00	N
CCA 901	MA	04/01/15	121601	Executive Ofcr. PUC*	Y	NA	93	P	B	1.00	\$ 43,296.00	1.00	\$ 112,008.00	N
CCA 901	MA	05/01/15	121637	Pers. Mgr. Spec. V*	N	24	13	P	B	1.00	\$ 26,682.00	1.00	\$ 75,960.00	N
CCA 901	MA	05/01/15	121638	Accountant V*	N	24	13	P	B	1.00	\$ 26,682.00	1.00	\$ 67,512.00	N
CCA 901	MA	06/16/15	121748	Economist (PUC)**	Y	NA	13	P	B	1.00	\$ 52,250.00	1.00	\$ 72,744.00	N
CCA 901	MA	08/01/15	121799	PUC Attorney	Y	NA	73	P	B	1.00	\$ 61,750.00	1.00	\$ 70,188.00	N
CCA 901	MA	09/01/15	121819	PUC Supervising Attorney	Y	NA	73	P	B	1.00	\$ 58,448.00	1.00	\$ 99,978.00	N
CCA 901	MA	09/01/15	121820	Supervising Economist	Y	NA	13	P	B	1.00	\$ 58,730.00	1.00	\$ 85,416.00	N
CCA 901	MA	11/09/15	121852	PUC Attorney I	Y	NA	13	P	B	1.00	\$ 58,730.00	1.00	\$ 72,300.00	N
				*Act 108, SLH 2014 positions filled in FY15										
				**Reorganization positions established 6/1 thru 11/15/15										

Department of Commerce and Consumer Affairs
 Unauthorized Positions as of November 30, 2015

Table 16

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Date Established</u>	<u>Legal Authority</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>FTE</u>	<u>Annual Salary</u>	<u>Filled (Y/N)</u>	<u>Occupied by 89 Day Hire (Y/N)</u>
None														

Department of Commerce and Consumer Affairs
Overtime Expenditure Summary

Table 17

Prog ID	Sub-Org	Program Title	MOF	FY15 (actual)			FY16 (estimated)			FY17 (budgeted)		
				Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent
CCA102	FA	Cable Television	B	\$ 554,835	\$ -	0.0%	\$ 639,175	\$ 2,000	0.3%	\$ 655,637	\$ 5,000	0.8%
CCA103	HA	Consumer Advocate for Communication, Utilities & Transportation Service	B	\$ 1,084,191	\$ 912	0.1%	\$ 1,500,020	\$ 14,000	0.9%	\$ 1,553,463	\$ 20,000	1.3%
CCA104	BA	Financial Services Regulation	B	\$ 1,736,258	\$ 1,202	0.1%	\$ 2,387,817	\$ 13,000	0.5%	\$ 2,633,855	\$ 13,000	0.5%
CCA105	GA	Professional & Vocational Licensing	B	\$ 2,962,595	\$ 29,516	1.0%	\$ 3,256,802	\$ 18,000	0.6%	\$ 3,321,431	\$ 70,101	2.1%
CCA105	GA	Professional & Vocational Licensing	T	\$ 497,162	\$ 1,080	0.2%	\$ 533,649	\$ 4,000	0.7%	\$ 546,439	\$ 21,360	3.9%
CCA106	EA	Insurance Regulatory Services	B	\$ 4,670,695	\$ 5,478	0.1%	\$ 5,953,608	\$ 10,000	0.2%	\$ 6,142,078	\$ 38,072	0.6%
CCA107	IA	Hawaii Post-Secondary Education Authorization Program	B	\$ 73,752	\$ -	0.0%	\$ 119,890	\$ -	0.0%	\$ 124,086	\$ -	0.0%
CCA110	DA	Protection of the Consumer	B	\$ 1,010,355	\$ -	0.0%	\$ 1,105,222	\$ -	0.0%	\$ 1,140,629	\$ -	0.0%
CCA111	CA	Business Registration & Securities Regulation	B	\$ 3,247,152	\$ 14,713	0.5%	\$ 3,718,834	\$ 60,000	1.6%	\$ 3,782,327	\$ 60,000	1.6%
CCA112	AB	Regulated Industries Complaints Office	B	\$ 3,104,264	\$ 860	0.0%	\$ 3,756,981	\$ 1,500	0.0%	\$ 3,881,069	\$ 15,000	0.4%
CCA191	AA	General Support/Director's Office & Administration	B	\$ 1,425,792	\$ 342	0.0%	\$ 1,585,968	\$ 20,000	1.3%	\$ 1,621,381	\$ 20,000	1.2%
CCA191	AH	General Support/Office of Administrative Hearings	B	\$ 410,735	\$ -	0.0%	\$ 632,303	\$ -	0.0%	\$ 648,199	\$ 5,000	0.8%
CCA191	AI	General Support/Information Systems & Communications Office	B	\$ 1,059,730	\$ 3,427	0.3%	\$ 1,077,121	\$ 8,200	0.8%	\$ 1,109,156	\$ 20,000	1.8%
CCA901	MA	Public Utilities Commission	B				\$ 3,871,278	\$ -	0.0%	\$ 4,011,562	\$ -	0.0%
			B	\$ 21,340,355	\$ 56,451	0.3%	\$ 29,605,019	\$ 146,700	0.5%	\$ 30,624,873	\$ 266,173	0.9%
			T	\$ 497,162	\$ 1,080	0.2%	\$ 533,649	\$ 4,000	0.7%	\$ 546,439	\$ 21,360	3.9%

Department of Commerce and Consumer Affairs
Overtime Position List

Table 18

(Only for Positions the Sum of Overtime Paid and Value of Compensatory Time for which was at Least 10% of Base Pay during FY15)

Prog ID	Position No.	Bargaining Unit	Included in CB (Y/N)	Exempt (Y/N)	Temp or Perm (T/P)	MOF	Position Title	Salary Range Level	Base Annual Pay	Hours Exceeding Standard	FY 15							
											OT		Comp Time			Total OT/Comp		
											\$ Amount Paid	% of Base	Hours Earned	\$ Value of Hours Earned	% \$ Value of Base Salary	\$ Amount OT Paid + \$ Value Comp Hours Earned	% of Base	
CCA 105	34	13	Y	N	P	B	Reg Bds/Comms Admin Asst II	24	\$73,032.00	196.75	\$10,165.77	13.92%	10.50	\$ 368.66	0.50%	\$10,534.43	14.42%	
CCA 105	24603	03	Y	N	P	B	Office Assistant IV	10	\$29,988.00	211.00	\$4,545.05	15.16%	-	\$0.00	0.00%	\$4,545.05	15.16%	
CCA 105	26194	04	Y	N	P	B	Clerical Supervisor II	12	\$44,388.00	260.75	\$8,325.21	18.76%	-	\$0.00	0.00%	\$8,325.21	18.76%	
"	"	"	"	"	"	T	"	"	"	33.75	\$1,080.44	2.43%	-	\$0.00	0.00%	\$1,080.44	2.43%	
GRAND TOTAL									\$147,408.00	702.25	\$ 24,116.47	16.36%	10.50	\$368.66	0.25%	\$24,485.13	16.61%	
Totals by MOF						A		-	-	-	-	-	-	-	-	-	-	
						B		\$147,408.00	668.50	\$23,036.03	15.6%	10.50	\$368.66	0.25%	\$23,404.69	15.88%		
						C		-	-	-	-	-	-	-	-	-	-	
						N		-	-	-	-	-	-	-	-	-	-	
						P		-	-	-	-	-	-	-	-	-	-	
						R		-	-	-	-	-	-	-	-	-	-	
						T		-	33.75	\$1,080.44	2.43%	0.00	\$0.00	0.00%	\$1,080.44	2.43%		
						U		-	-	-	-	-	-	-	-	-	-	
						W		-	-	-	-	-	-	-	-	-	-	
						X		-	-	-	-	-	-	-	-	-	-	

Department of Commerce and Consumer Affairs
Overpayments as of November 30, 2015

Table 19

<u>Name of Employee</u>	<u>Date of Over-payment</u>	<u>Gross Amount Overpaid</u>	<u>Amount Recovered</u>	<u>Balance</u>	<u>Category</u>				<u>Reason for Overpayment</u>	<u>Referred to Attorney General</u>
					<u>Employed Occurred > 2 Years</u>	<u>Employed Occurred < 2 Years</u>	<u>Not Employed Occurred > 2 Years</u>	<u>Not Employed Occurred < 2 Years</u>		
NONE										

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2015

Table 20

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	Term of Contract		Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S
							From	To					
CCA102	B	VAR	M	\$ 250,000.00	\$ 121,336.65	6/30/2008	1/7/2015	6/30/2015	SQUIRE PATTON BOGGS (US) LLP	Provide advice & counsel to the State (including but not limited to the Cable Television Div of the DCCA on any matter relating to Cable Television).	Monthly invoices monitored by AG's office and CATV staff	Y	C
CCA102	B	VAR	M	\$ 250,000.00	\$ 250,000.00	6/30/2008	7/1/2015	6/30/2016	SQUIRE PATTON BOGGS (US) LLP	Provide advice & counsel to the State (including but not limited to the Cable Television Div of the DCCA on any matter relating to Cable Television).	Monthly invoices monitored by AG's office and CATV staff	Y	C
CCA102	B	VAR	O	\$ 114,656.25	\$ 73,091.93	7/1/2010	7/1/2010	6/30/2013	MERINA & COMPANY, LLP	Contractor provides accounting, auditing, agreed upon procedures, financial consulting services, and review of filings submitted by the cable operators for purposes of rate increases and/or appeals, new franchises, franchise transfers and/or renewals and other related matters.	Monthly invoices reviewed by CATV staff	Y	S
CCA102	B	VAR	O	\$ 200,000.00	\$ 192,326.68	7/1/2011	7/1/2011	6/30/2016	MERINA & COMPANY, LLP	Contractor provides accounting, auditing, agreed upon procedures, and financial consulting services in its assessment of the financial & operational management policies, procedures and practices of the designated PEG entities in the state.	Monthly invoices reviewed by CATV staff	Y	S
CCA102	B	VAR	O	\$ 200,000.00	\$ 200,000.00	7/1/2011	7/1/2011	6/30/2016	MERINA & COMPANY, LLP	Contractor provides accounting, auditing, agreed upon procedures, and financial consulting services in its assessment of the financial & operational management policies, procedures and practices of the designated PEG entities in the state.	Monthly invoices reviewed by CATV staff	Y	S
CCA102	B	VAR	O	\$ 120,000.00	\$ 91,248.98	7/1/2013	7/1/2013	6/30/2018	MERINA & COMPANY, LLP	Contractor provides accounting, auditing, agreed upon procedures, financial consulting services, and review of filings submitted by the cable operators for purposes of rate increases and/or appeals, new franchises, franchise transfers and/or renewals and other related matters.	Monthly invoices reviewed by CATV staff	Y	S
CCA103	B	VAR	O	\$ 200,000.00	\$ 155,598.28	6/1/2008	1/1/2014	12/31/2014	SAWVEL AND ASSOCIATES, INC.	To provide services in connection w/ Hawaii Public Utilities Commission's investigation into the implementation of intra-government wheeling of electricity in Hawaii; Feed-in-Tariffs; and reliability standards.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 103,543.34	\$ 99,966.32	6/30/2008	6/28/2013	6/30/2014	SQUIRE SANDERS (US) LLP	Provide advice & counsel to the State (including but not limited to the Division of Consumer Advocacy of the DCCA) on any matter relating to telecommunications, information services/systems and public utilities law.	Monthly review	Y	C
CCA103	B	VAR	O	\$ 40,000.00	\$ 33,685.60	6/12/2013	6/12/2013	-	UTILITECH, INC.	To provide svcs in connection w/the HI Public Utilities Commission's review & evaluation of application filed by Maui Electric Co., Ltd.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 12,500.00	\$ 74.71	9/26/2011	9/26/2011	-	SAWVEL AND ASSOCIATES, INC.	Provide svcs in connection with the HI Public Utilities Commission's review & application filed by Maui Electric Co., Ltd.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 25,000.00	\$ 4,120.00	10/31/2011	10/31/2011	-	HILL, STEPHEN G.	Svcs with PUC review & evaluation of the application filed by MECO for general rate increase - Docket #2011-0092.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 75,000.00	\$ 29,935.09	6/21/2012	6/21/2012	-	SYNAPSE ENERGY ECONOMICS, INC.	To provide svcs in connection w/the Public Utilities Commission (here after "commission") to examine & conduct integrated resources planning.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 170,000.00	\$ 170,000.00	6/21/2012	6/21/2012	-	SYNAPSE ENERGY ECONOMICS, INC.	To provide svcs in connection w/the Public Utilities Commission (here after "commission") to examine & conduct integrated resources planning.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 22,000.00	\$ 12,815.00	6/22/2012	6/22/2012	-	TECHNICAL ASSOCIATES, INC.	To provide svcs in connection w/the Public Utilities Commission's Review & evaluation of the application filed by Hawaii Electric Light Company.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 106,000.00	\$ 66,522.35	6/26/2012	6/26/2012	-	SAWVEL AND ASSOCIATES, INC.	To provide svcs in connection w/the Public Utilities Commission's Review & evaluation of the application filed by Hawaii Electric Light Company.	Monthly review	Y	S

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Active Contracts as of December 1, 2015

Table 20

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CCA103	B	VAR	O	\$ 205,600.00	\$ 53,302.76	8/23/2012	8/23/2012	-	UTILITECH, INC.	To provide svcs in connection w/the Hawaii Public Utilities Commission's review & evaluation of the application filed by HI Electric Light Co., Ltd.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 35,000.00	\$ 9,170.70	1/6/2014	1/6/2014	-	UTILITECH, INC.	To provide svcs in connection w/HI Public Utilities Commission's re-examination of existing decoupling mechanisms for Hawn Electric Co., Inc.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 115,000.00	\$ 115,000.00	1/6/2014	1/6/2014	-	UTILITECH, INC.	To provide svcs in connection w/HI Public Utilities Commission's re-examination of existing decoupling mechanisms for Hawn Electric Co., Inc.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 135,152.00	\$ 96,381.94	3/20/2014	3/20/2014	-	UTILITECH, INC.	To provide services in connection with Kauai Island Utility Cooperative (KIUC) request for approval to implement KIUC's proposed decoupling.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 75,000.00	\$ 61,481.59	5/5/2014	5/5/2014	-	SYNAPSE ENERGY ECONOMICS, INC.	To provide svcs in connection w/proceeding opened by the HI Public Utilities Commission to re-examine the existing decoupling mechanisms.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 60,000.00	\$ 60,000.00	5/5/2014	5/5/2014	-	SYNAPSE ENERGY ECONOMICS, INC.	To provide svcs in connection w/proceeding opened by the HI Public Utilities Commission to re-examine the existing decoupling mechanisms.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 231,975.00	\$ 212,134.43	6/27/2014	6/27/2014	-	UTILITECH, INC.	To provide svcs as an expert witness on behalf of DCA in the HECO rate case, docket No. 2013-0373.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 122,900.00	\$ 122,900.00	6/27/2014	6/27/2014	-	SAWVEL AND ASSOCIATES, INC.	To provide svcs as an expert witness on behalf of DCA in the HECO rate case, docket No. 2013-0373.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 35,000.00	\$ 0.96	12/15/2014	12/15/2014	-	SAWVEL AND ASSOCIATES, INC.	To provide svcs in connection w/the Public Utilities Commission's Review & evaluation of the application filed by Hawaii Electric Light Company.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 150,000.00	\$ 149,437.02	3/17/2015	3/17/2015	-	UTILITECH, INC.	To provide svcs in connection with HECO, HELCO, MECO, & NextEra Energy, in application for proposed chg of control & related matters in docket.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 150,000.00	\$ 68,303.76	4/23/2015	4/23/2015	-	SYNAPSE ENERGY ECONOMICS, INC.	To provide svcs in connection with HECO, HELCO, MECO, & NextEra Energy, in application for approval of proposed chg of control & related matters.	Monthly review	Y	S
CCA103	B	VAR	O	\$ 260,000.00	\$ 221,115.51	6/1/2015	6/1/2015	-	SAWVEL AND ASSOCIATES, INC.	Contractor to provide svcs such as general prep to serve as expert witness in possible or actual litigation in the course of div's eval in the PUC's proceeding to investigate the implementation of reliability standards and power supply improvement plans for the HECO Companies and the PUC's investigations of distributed energy resource policies.	Monthly review	Y	S
CCA105	B	VAR	O	\$ 19,440.00	\$ 3,964.53	8/19/2013	8/19/2014	8/18/2015	CENTURY COMPUTERS, INC.	Scanning & imaging licensing documents for PVL IFB13-001-O	Staff monitors	Y	S
CCA105	B	VAR	O	\$ 19,440.00	\$ 19,440.00	8/19/2013	8/19/2015	8/18/2016	CENTURY COMPUTERS, INC.	Scanning & imaging licensing documents for PVL IFB13-001-O	Staff monitors	Y	S
CCA106	B	VAR	O	\$ 55,000.00	\$ 1,448.52	4/17/2009	7/10/2009	-	DALEY & HEFT, LLP	Provide legal svcs to the SOH in the following legal action, superior CT of the State of CA for the County of Orange #30-200900119128 filed 2/28	Completed Work reviewed for accuracy and completeness	Y	C
CCA106	B	VAR	O	\$ 50,000.00	\$ 50,000.00	4/17/2009	7/10/2009	-	DALEY & HEFT, LLP	Provide legal svcs to the SOH in the following legal action, superior CT of the State of CA for the County of Orange #30-200900119128 filed 2/28	Completed Work reviewed for accuracy and completeness	Y	C
CCA106	B	VAR	M	\$ 280,000.00	\$ 91,511.89	12/1/2009	12/1/2009	-	KOBAYASHI, SUGITA & GODA, LLP	To represent defend & advise the State & the State's insurance commissioner & Insurance Division in the first circuit court SOH Civil #95-2513-07	Completed Work reviewed for accuracy and completeness	Y	C
CCA106	B	VAR	O	\$ 60,000.00	\$ 8,434.50	4/18/2013	4/18/2013	4/17/2016	WYMAN, OLIVER ACTUARIAL	Contractor shall perform Actuarial svcs including but not limited to analyzing related matters that may have financial impact on rate making.	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	VAR	O	\$ 60,000.00	\$ 60,000.00	4/18/2013	4/18/2013	4/17/2016	WYMAN, OLIVER ACTUARIAL	Contractor shall perform Actuarial svcs including but not limited to analyzing related matters that may have financial impact on rate making.	Completed Work reviewed for accuracy and completeness	Y	S

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CCA106	B	VAR	M	\$ 176,000.00	\$ 13.18	9/16/2013	9/16/2013	9/15/2014	PKF PACIFIC HAWAII LLP	Provide all svcs required by the State in connection w/the financial examination of Aloha Care.	Bi-weekly status reports received and completed reports reviewed	Y	S
CCA106	B	VAR	M	\$ 560,000.00	\$ 13,067.28	9/16/2013	9/16/2013	9/15/2014	ACCUITY LLP	Provide all svcs required by State in connection w/the financial examination of DTRIC Insurance Co. Ltd, DTRIC Insurance Underwriters Ltd.	Bi-weekly status reports received and completed reports reviewed	Y	S
CCA106	B	VAR	M	\$ 200,000.00	\$ 111,542.33	1/1/2014	1/1/2014	12/31/2015	WYMAN, OLIVER ACTUARIAL	Contractor shall perform the actuarial svcs related to the analysis & evaluation of Insurance rates, reserves, & investment income & related.	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	VAR	M	\$ 200,000.00	\$ 200,000.00	1/1/2014	1/1/2014	12/31/2015	WYMAN, OLIVER ACTUARIAL	Contractor shall perform the actuarial svcs related to the analysis & evaluation of Insurance rates, reserves, & investment income & related.	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	VAR	M	\$ 215,000.00	\$ 17,947.71	2/10/2014	2/10/2014	6/30/2015	ACCUITY LLP	Service by the State in connection with the financial examination of Farmers Ins Hawaii, Inc. & Subsidiary AM Pacific Ins. Co. Inc.	Bi-weekly status reports received and completed reports reviewed	Y	S
CCA106	B	VAR	O	\$ 75,000.00	\$ 25,692.30	6/12/2014	6/12/2014	6/11/2017	TAYLOR & MULDER INC.	Perform the actuarial services for the INS Div including but not limited to analyzing rates and related matters that all svcs required by State	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	VAR	O	\$ 75,000.00	\$ 75,000.00	6/12/2014	6/12/2014	6/11/2017	TAYLOR & MULDER INC.	Perform the actuarial services for the INS Div including but not limited to analyzing rates and related matters that all svcs required by State	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	VAR	O	\$ 140,000.00	\$ 192.53	9/10/2014	9/10/2014	9/9/2015	PKF PACIFIC HAWAII LLP	Provide all svcs required by the State in connection with the financial examination of 4 insurance companies.	Bi-weekly status reports received and completed reports reviewed	Y	S
CCA106	B	VAR	O	\$ 432,000.00	\$ 76,735.06	9/10/2014	9/10/2014	9/9/2015	ACCUITY LLP	Financial examination of Island Ins. Co. Island Premier Ins. Of Co. Ltd. Tradewind Ins. Zephyr Ins. & Royal State Ntl State Ins. IFB#EXAM-14	Bi-weekly status reports received and completed reports reviewed	Y	S
CCA106	B	-	-	\$ 90,000.00	\$ 28,817.50	11/21/2014	11/21/2014	11/20/2015	WYMAN, OLIVER ACTUARIAL	Contractor shall perform & provide all svcs required by State in a timely manner w/in the time limitations agreed upon by State & contractor.	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	VAR	O	\$ 75,000.00	\$ 75,000.00	10/27/2015	10/27/2015	10/27/2016	CONSERVATION & LIQUIDATION	Review historical financial info for 2014 & monthly 2015, risk based capital calculations for 2014-2015, insurer's 2015 business plan & result	Monthly review	Y	S
CCA110	B	\$ 2,274.99	M	\$ 27,301.88	\$ 17,915.68	10/1/2012	8/1/2015	7/1/2016	NEW KAIKO'O BUILDING, INC.	Office space lease for the RICO Hilo branch & OCP Hilo branch. Lease agreement No. 71-30-0591.	Review monthly invoices and pay	N	L
CCA111	B	VAR	M	\$ 60,000.00	\$ 15,889.92	1/1/2007	7/1/2014	6/30/2015	RONIN PROPERTIES, LLC	Office space lease DAGS LS# 92-30-0058 / DCCA - Business Action Center.	Monthly rental payments are regular payments. Utility bills are monitored by supervisor of the BAC.	N	L
CCA111	B	VAR	M	\$ 76.08	\$ 76.08	1/1/2007	7/1/2014	6/30/2015	RONIN PROPERTIES, LLC	Office space lease DAGS LS# 92-30-0058 / DCCA - Business Action Center.	Monthly rental payments are regular payments. Utility bills are monitored by supervisor of the BAC.	N	L
CCA111	B	VAR	M	\$ 60,000.00	\$ 34,915.40	1/1/2007	7/1/2015	6/30/2016	RONIN PROPERTIES, LLC	Office space lease DAGS LS# 92-30-0058 / DCCA - Business Action Center.	Monthly rental payments are regular payments. Utility bills are monitored by supervisor of the BAC.	N	L
CCA111	B	\$ 9,843.75	O	\$ 39,375.00	\$ 19,687.50	12/1/2010	12/1/2014	11/30/2015	HAWAII COUNCIL ON ECONOMIC	Provide svcs for a 'real life' interactive educational tool stock market simulation (SMS) approved by the State that will teach Hawaii's students.	Investor education staff monitor and participate in teachers training program.	Y	S
CCA112	B	\$ 1,320.30	M	\$ 15,845.72	\$ 9,244.22	7/1/1987	8/1/2015	7/31/2016	PUUONE DEVELOPMENT	Lease office space for RICO-Maui branch for the period of June 1, 2015 through May 31, 2021, Lease# 75-30-014	Review monthly invoices and pay	N	L
CCA112	B	\$ 3,038.57	M	\$ 36,464.84	\$ 21,271.99	12/1/2009	8/1/2015	7/31/2016	SIGNATURE SERVICES	Lease office space for RICO-KONA branch DAGS # 71-30-0579	Review monthly invoices and pay	N	L
CCA901	B	VAR	M	\$ 27,878.90	\$ 27,878.90	12/1/2010	12/1/2010	9/30/2016	FLANAGAN, JAMES, ASSOCIATES	Implementation of an energy efficiency portfolio standard(eeps) for soh opt to extend 2-12 mos.	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

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CCA901	B	VAR	M	\$ 100,984.01	\$ 100,984.01	6/30/2011	6/30/2011	6/29/2016	BOSTON PACIFIC COMPANY, INC.	Consultant services as an independent observe(io)to oversee hawn electric company & maui electric company's competitive bidding processes on oahu	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	VAR	M	\$ 228,011.00	\$ 228,011.00	6/30/2011	6/30/2011	6/29/2016	BOSTON PACIFIC COMPANY, INC.	Consultant services as an independent observe(io)to oversee hawn electric company & maui electric company's competitive bidding processes on oahu	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	VAR	M	\$ 123,500.00	\$ 76,000.00	7/1/2011	7/1/2011	6/30/2016	ONE CALL CONCEPTS, INC.	One call ctr provider to continue operations of the HI one call ctr under the administration of the PUC	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	VAR	M	\$ 60,000.00	\$ 44,560.22	4/16/2012	4/16/2012	4/15/2016	FREEDMAN, JAMES C.	Consultant svcs for an independent entity for proceeding relating to integrated resource planning for HECO companies	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	VAR	M	\$ 30,293.54	\$ 26,942.76	12/28/2012	12/28/2012	12/27/2015	ENERGY ALLY CONSULTING LLC	PUC assessment of program components for and the potential development of an on-bill financing program.	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	VAR	M	\$ 68,662.25	\$ 68,662.25	3/1/2013	3/1/2013	3/1/2017	POWER ADVISORS, LLC	Consultant svcs for implementation of electric reliability standards & related electric reliability oversight processes developed in relation	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	VAR	M	\$ 66,712.26	\$ 58,040.63	11/28/2012	11/28/2012	11/28/2016	BOSTON PACIFIC COMPANY, INC.	Consultant svcs as an independent observer in docket#2012-0092 to oversee HELCO competitive bidding process to acquire 50 mw of renewable geotherm	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	VAR	M	\$ 336,000.00	\$ 236,530.00	6/30/2013	6/30/2013	6/30/2017	DAYHUFF GROUP LLC, THE	Provide system maintenance svcs for the PUC's docket management system (dms) opt to extend 2-24mos.	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	VAR	M	\$ 131,131.00	\$ 31,179.88	10/15/2013	10/15/2013	10/14/2016	KIRBY, BRENDAN J.	Consultant services for the public utilities commission's assessment of the system improvement & curtailment reduction (sigr) plan filed by maui	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	VAR	M	\$ 54,280.31	\$ 42,369.55	9/15/2013	9/15/2013	12/30/2015	MUNRO TULLOCH, INC.	Consultant svcs for the PUC proceeding relating to hawaiian electric company, inc's, hawaii electric light inc's, & maui electric company,	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

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CCA901	B	VAR	M	\$ 14,983.55	\$ 14,983.55	1/24/2014	1/24/2014	1/24/2016	DAY PITNEY LLP	Specialized financing & regulatory matters related to the hawaii on-bill financing program & the HI green energy market securitization program.	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	VAR	M	\$ 164,915.00	\$ 30,409.01	1/31/2014	1/31/2014	1/31/2016	PUBLIC FINANCIAL MANAGEMENT,	Financial advisor svcs to assist in reviewing specialized financing matters related to HI on-bill financing (obf) & hi green energy market	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	VAR	M	\$ 193,590.00	\$ 193,590.00	1/31/2014	1/31/2014	1/31/2016	PUBLIC FINANCIAL MANAGEMENT,	Financial advisor svcs to assist in reviewing specialized financing matters related to HI on-bill financing (obf) & hi green energy market	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	VAR	M	\$ 57,696.19	\$ 36,812.99	4/7/2014	4/7/2014	10/5/2016	FREEDMAN, JAMES C.	Assist in proceedings relating to decoupling for HECO, HELCO, MECO & Kauai island utility corp.	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	
CCA901	B	VAR	M	\$ 37,441.32	\$ 37,441.32	10/22/2014	10/22/2014	10/22/2016	EXETER ASSOCIATES, INC.	Consultant services for proceeding related to hawn electric co, inc's 2014 test year rate case in docket no 2013-0373, term 10/22/14-10/21/15	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	VAR	M	\$ 113,212.00	\$ 90,379.00	10/24/2014	10/24/2014	4/24/2016	NEXT PHASE ENERGY LLC	Consultant svcs related to investigation of distributed energy resources policy as initiated under docket no. 2014-0192. term 10/24/2014 to	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	VAR	M	\$ 69,597.60	\$ 48,100.80	10/30/2014	10/30/2014	10/30/2016	SHEEHAN, MICHAEL	Consultant services for proceedings related to distributed energy resources & interconnection of distributed generation	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	
CCA901	B	VAR	M	\$ 25,000.00	\$ 25,000.00	3/31/2015	3/31/2015	3/30/2016	MIYOSHI & HIRONAKA, LLLC	Consultant svcs to assist the commission with drafting memoranda &/or orders, term 3/31/15-3/30/16	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	
CCA901	B	VAR	M	\$ 400,000.00	\$ 141,250.00	6/5/2015	6/5/2015	6/5/2017	MANN, GREGORY L.	Consultant to assist the commission with the NEXtera proposed purchase of HEI as requested in their application, docket no 2015-0022	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	

Department of Commerce and Consumer Affairs
FY16 Appropriation Status

Act/ Year	ProgID	Seq No.	Description	Comments	MOF	FY16 Appropriation			Amount used as of November 30			Comments
						Temp Pos.	Perm. Pos.	Amount	Temp Pos.	Perm. Pos.	Amount	
Act119/15	CCA104	210001	GOVERNOR'S MESSAGE (2/10/15): ADD (5) POSITIONS AND FUNDS FOR MORTGAGE LOAN ORIGINATOR AND SERVICE REGULATION SUPPORT (CCA104/BA).	LEGISLATURE CONCURS. DETAIL OF GOVERNOR'S REQUEST: (1) COMPLIANCE RESOLUTION FUND INVESTIGATOR IV (#96002R; FY16: 33,028/FY17: 67,212) (4) FINANCIAL INSTITUTION EXAMINER III (#96003R, #96004R, #96005R, #96006R; FY16: 31,758; FY17: 64,628 EACH) FRINGE BENEFITS (FY16: 83,231; FY17: 169,376) TELEPHONE CHARGES (FY16: 920; FY17: 1,840) TRAINING (FY17: 20,000) COMPUTERS AND MONITORS (FY16: 12,500) PHONE INSTRUMENTS (FY16: 1,500) 6-MONTH DELAY IN HIRE.	B		5	\$ 258,211.00	-	-	-	6 month delay in hire in effect.
Act119/15	CCA106	210001	GOVERNOR'S MESSAGE (2/10/15): ADD FUNDS FOR DRIVERS EDUCATION PROGRAMS (CCA106/EA).	LEGISLATURE CONCURS. FROM DRIVERS EDUCATION FUND. DETAIL OF GOVERNOR'S REQUEST: DRIVERS EDUCATION FUND TRANSFER (200,000)	B		0	\$ 200,000.00	-	-	-	Transfer from DCCA to DOE/DOT/Judiciary occurs in April & May 2016, at which time the additional appropriation of \$200,000 will be utilized.
Act119/15	CCA191	210001	GOVERNOR'S MESSAGE (2/10/15): ADD FUNDS FOR BUILDING MAINTENANCE AND SUPPORT SERVICES (CCA191/AA).	LEGISLATURE CONCURS. DETAIL OF GOVERNOR'S REQUEST: REPAIRS AND MAINTENANCE (FY16: 135,196; FY17: 174,737)	B		0	\$ 135,196.00	-	-	-	Awaiting total billing charges from DAGS
Act119/15	CCA901	210001	GOVERNOR'S MESSAGE (2/10/15): ADD FUNDS FOR OFFICE EXPANSION AND RENOVATION (CCA901/MA).	LEGISLATURE CONCURS. FROM PUBLIC UTILITIES COMMISSION SPECIAL FUND. DETAIL OF GOVERNOR'S REQUEST: CONSTRUCTION AND RENOVATION (FY16: 2,819,000; FY17: 52,000) MOVING AND SET UP (FY16: 6,160; FY17: 18,480) LEASE RENT (FY16: 100,932; FY17: 100,932) FURNITURE, FIXTURES AND EQUIPMENT (FY16: 505,149; FY17: 504,149) POLYCOM (FY16: 2,100) CONFERENCING AND AUDIO VISUAL EQUIPMENT (FY16: 46,000; FY17: 20,000) COMPUTER HARDWARE, PERSONAL COMPUTERS, LAPTOP (FY16: 52,500; FY17: 13,500) NETWORKING, SERVER ROOM EQUIPMENT (FY16: 22,000; FY17: 22,660) UNINTERRUPTIBLE POWER SUPPLY, SERVER RACK, MISCELLANEOUS EQUIPMENT (FY16: 9,020) \$681,721 NON-RECURRING.	B		0	\$ 3,562,861.00			-	Governor request to Delegate and Transfer Public Utilities Commission Special Funds to the Department of Accounting and General Services Public Works Division to Cover DAGS In-House Staff Services Costs for the PUC's Office Space Renovations in The Kekuaanaoa State Office Building submitted; pending approval. Construction bid open for room 103 planned for spring 2016.

Department of Commerce and Consumer Affairs
FY16 Appropriation Status

Table 21

Act/ Year	ProgID	Seq No.	Description	Comments	MOF	FY16 Appropriation			Amount used as of November 30			Comments
						Temp Pos.	Perm. Pos.	Amount	Temp Pos.	Perm. Pos.	Amount	
Act119/15	CCA901	210002	GOVERNOR'S MESSAGE (2/10/15): ADD FUNDS FOR DEPARTMENTAL ADMINISTRATIVE EXPENSES ASSESSMENTS (CCA901/MA).	LEGISLATURE DOES NOT CONCUR. REDUCE 306,175 IN FY16 AND 304,889 IN FY17 FOR ADMINISTRATIVE OVERHEAD ASSESSMENT. FROM PUBLIC UTILITIES COMMISSION SPECIAL FUND. DETAIL OF ADJUSTED GOVERNOR'S REQUEST: ADMINISTRATIVE OVERHEAD ASSESSMENT (300,000)	B		0	\$ 300,000.00			226,254	1st and 2nd qtr transfers to DCCA were made in the amounts of \$113,127 respectively (Act 122/14 \$152,508 + Act 119/15 \$300,000 = 452,508/4)
Act119/15	CCA901	210003	GOVERNOR'S MESSAGE (2/10/15): ADD (3) POSITIONS AND FUNDS FOR ADMINISTRATIVE SUPPORT (CCA901/MA).	LEGISLATURE DOES NOT CONCUR. ADD 6-MONTH DELAY IN HIRE AND REDUCE 169,572 IN FY16. FROM PUBLIC UTILITIES COMMISSION SPECIAL FUND. DETAIL OF ADJUSTED GOVERNOR'S REQUEST: (1) EXECUTIVE OFFICER (#96008R, FY16: 43,296; FY17: 86,592) (1) PERSONNEL MANAGEMENT SPECIALIST V SR24 (#96009R, FY16: 26,682; FY17: 53,364) (1) ACCOUNTANT V SR24 (#96010R, FY16: 26,682; FY17: 53,364) FRINGE BENEFITS (FY16: 50,263; FY17: 100,526) OFFICE SUPPLIES (1,082) POSTAGE (702) TELEPHONE AND TELEGRAPH (2,278) TRANSPORTATION, INTRA-STATE (1,083) SUBSISTENCE ALLOWANCE, INTRA-STATE (1,750) TRANSPORTATION, OUT-OF-STATE (3,137) SUBSISTENCE ALLOWANCE, OUT-OF-STATE (7,809) REPAIR AND MAINTENANCE-OFFICE FURNITURE AND EQUIPMENT (2,808) TRAINING AND REGISTRATION FEES (303) PHOTOCOPY COSTS (1,697)	B		3	\$ 169,572.00	3.00		109,755	The three (3) positions that were authorized and funded by Act 108, SLH 2014 to assist with the transition of the PUC from its administrative status within the DBF to being administratively attached to the DCCA were permanently filled on 5/1/2015.

Department of Commerce and Consumer Affairs
 Capital Improvements Program (CIP) Requests

Table 22

<u>Prog ID</u>	<u>Prog ID</u> <u>Priority</u>	<u>Dept- Wide Priority</u>	<u>Senate District</u>	<u>Rep. District</u>	<u>Project Title</u>	<u>MOF</u>	<u>FY17 \$\$\$</u>
NONE							

Department of Commerce and Consumer Affairs
CIP Lapses

Table 23

<u>Prog ID</u>	<u>Act/Year of Appropriation</u>	<u>Project Title</u>	<u>MOF</u>	<u>Lapse Amount</u> \$\$\$\$	<u>Reason</u>
NONE					

Department of Commerce and Consumer Affairs
Division Resources

Table 24

<u>Division</u>	<u>Associated Program IDs</u>						
Cable Television	CCA 102						
Consumer Advocate for Comm, Util, & Tran Svc	CCA 103						
Financial Services Regulation	CCA 104						
Professional & Vocational Licensing	CCA 105						
Insurance Regulatory Services	CCA 106						
Hawaii Post-Secondary Education Authorization	CCA 107						
Office of Consumer Protection	CCA 110						
Business Registration and Securities Regulation	CCA 111						
Regulated Industries Complaints Office	CCA 112						
General Support	CCA 191						
Public Utilities Commission	CCA 901						

Department of Commerce and Consumer Affairs
 Program ID Sub-Organizations

Table 25

<u>Program ID</u>	<u>Sub-Org Code</u>	<u>Name</u>	<u>Objective</u>
CCA 102	FA	Cable Television	To foster the development of responsive and reliable cable television communications services for the people of Hawaii, by promoting the public interest in authorizations by the state regarding cable television franchises; regulate basic cable television rates and service to ensure compliance with applicable state and federal law; expand the statewide institutional network ("INET"); continue the availability of public, education and government ("PEG") cable access; and to engage in activities promoting the expansion of, and accelerating, the deployment of broadband infrastructure.
CCA 103	HA	Consumer Advocate for Comm, Util, & Tran Svc	Through advocacy, education, and long-range planning, to ensure sustainable, reliable, safe and quality communications, utility, and transportation services at fair cost for Hawaii's consumers for the short and long-term.
CCA 104	BA	Financial Services Regulation	To ensure the safety and soundness of state-chartered and state-licensed financial institutions, and ensure regulatory compliance by state-licensed financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators and mortgage loan originator companies, by fairly administering applicable statutes and rules, in order to protect the rights and funds of depositors, borrowers, consumers and other members of the public.

Department of Commerce and Consumer Affairs
 Program ID Sub-Organizations

Table 25

<u>Program ID</u>	<u>Sub-Org Code</u>	<u>Name</u>	<u>Objective</u>
CCA 105	GA	Professional & Vocational Licensing	To ensure that the individual is provided with professional, vocational, and personal services meeting acceptable standards of quality, equity and dependability by establishing and enforcing appropriate service standards; to regulate activities for the protection, welfare and safety of the participants as well as the public.
CCA 106	EA	Insurance Regulatory Services	To ensure that consumers are provided with insurance services meeting acceptable standards of quality, equity, and dependability at fair rates by establishing and enforcing appropriate service standards and fairly administering the Insurance Code.
CCA 107	IA	Hawaii Post-Secondary Education Authorization Program	To ensure the soundness of accredited degree-granting post-secondary educational institutions by fairly administering applicable statutes and rules in order to protect students, consumers, and other members of the community.
CCA 110	DA	Office of Consumer Protection	To protect consumers by investigating alleged violations of consumer protection laws, taking legal action to stop unfair or deceptive trade practices in the marketplace, and educating consumers and businesses about their respective rights and obligations in the marketplace under Hawaii consumer protection laws.

Department of Commerce and Consumer Affairs
 Program ID Sub-Organizations

Table 25

<u>Program ID</u>	<u>Sub-Org Code</u>	<u>Name</u>	<u>Objective</u>
CCA 111	CA	Business Registration and Securities Regulation	To ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, service marks and other entities; to run business centers to provide personalized assistance to small and startup businesses; and to ensure compliance with and enforcement of securities and franchise laws.
CCA 112	AB	Regulated Industries Complaints Office	To assist the general public through consumer education and by enforcing the state's licensing laws.
CCA 191	AA	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 191	AH	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 191	AI	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 901*	MA	Public Utilities Commission	To ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate.

Department of Commerce and Consumer Affairs
Organization Changes

Table 26

<u>Year of Change</u> <u>FY16/FY17</u>	<u>Page</u> <u>Number</u>	<u>Description of Change</u>
2016	1	The addition of the Public Utilities Commission (PUC) as an administratively-attached agency of the Department, in accordance with Act 108, SLH 2014.
2016	13	Redescription of exempt position, Position No. 106295, from CRF Financial Institution Examiner III to CRF Financial Institution Examiner II, effective October 26, 2015.
2016	13	Reallocation of civil service position, Position N. 4296, from Financial Institution Examiner III, SR-26, to Financial Institution Examiner II, SR-24, effective November 24, 2015.
2016	30	Establishment of new civil service position, Position No. 100958, Personnel Clerk V, SR-13, (formerly exempt PUC Administrator), effective July 16, 2015.
2016	30	Redescription of exempt position, Position No. 102155, from PUC Attorney to PUC Attorney I, effective July 16, 2015.
2016	30	Redescription of exempt position, Position No. 100542, from PUC Attorney to Chief Counsel, effective July 16, 2015.
2016	30	Redescription of exempt position, Position No. 117634, from PUC Attorney to PUC Attorney I, effective July 16, 2015.
2016	30	Redescription of exempt position, Position No. 102679, from PUC Attorney to PUC Attorney II, effective July 16, 2015.
2016	30	Redescription of exempt position, Position No. 102678, from PUC Attorney to PUC Attorney II, effective July 16, 2015.
2016	30	Redescription of exempt position, Position No. 100540, from PUC Attorney to PUC Attorney I, effective July 16, 2015.
2016	30	Redescription of exempt position, Position No. 102101, from Research Assistant to Administrative Support Services Officer, effective July 16, 2015.
2016	30	Redescription of exempt position, Position No. 102438, from PUC Attorney to PUC Attorney IV, effective July 16, 2015.
2016	30	Redescription of exempt position, Position No. 102100, from Research Assistant to PUC Utility Analyst I, effective August 2, 2015.
2016	30	Redescription of exempt position, Position No. 102458, from PUC Legal Assistant to PUC Legal Assistant III, effective August 1, 2015.
2016	30	Redescription of exempt position, Position No. 102680, from Research Assistant to PUC Utility Analyst I, effective August 1, 2015.

Department of Commerce and Consumer Affairs
Organization Changes

Table 26

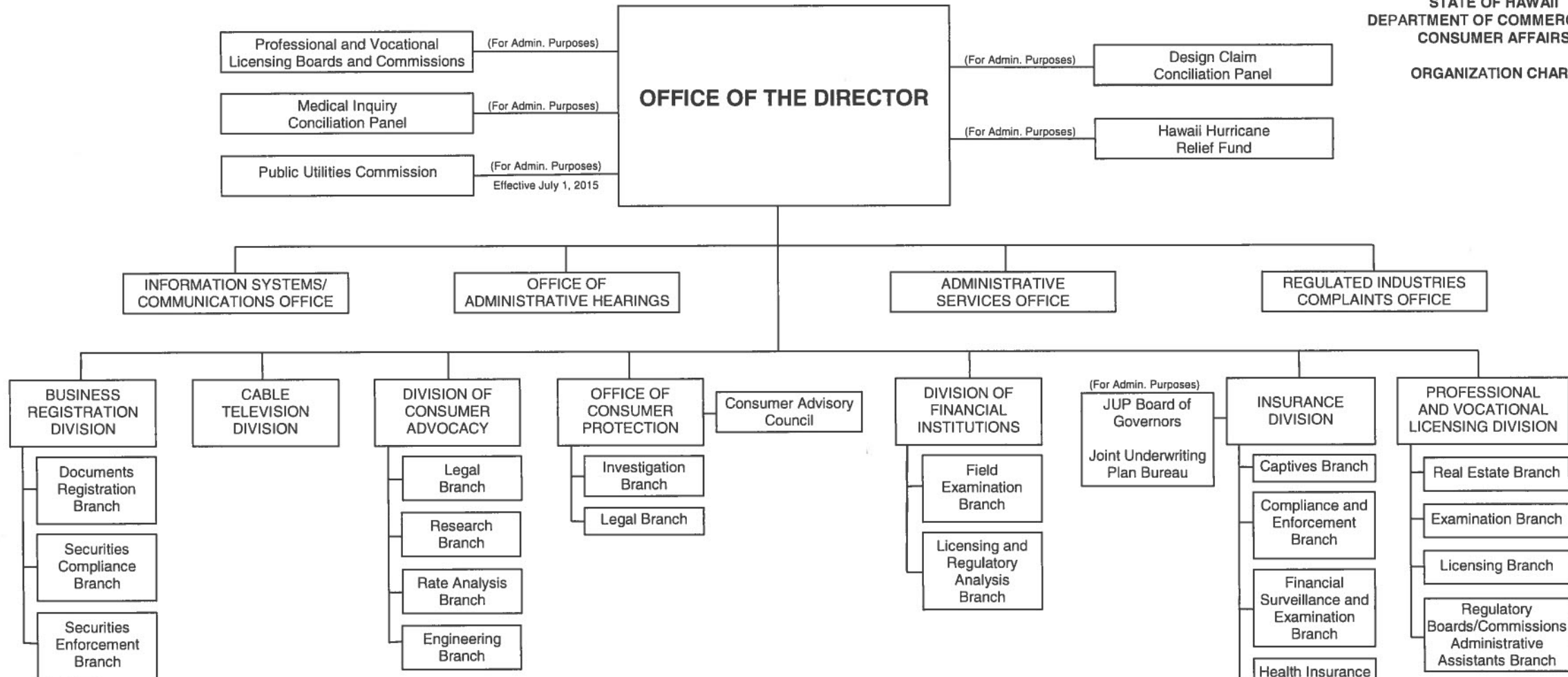
<u>Year of Change</u> <u>FY16/FY17</u>	<u>Page</u> <u>Number</u>	<u>Description of Change</u>
2016	30	Redescription of exempt position, Position No. 102437, from Chief Researcher to Chief of Policy and Research, effective August 1, 2015.
2016	30	Redescription of exempt position, Position No. 121799, from PUC Attorney to PUC Attorney II, effective August 1, 2015.
2016	30	Redescription of exempt position, Position No. 117629, from PUC Economist to PUC Economist II, effective August 1, 2015.
2016	30	Redescription of exempt position, Position No. 102524, from Research Assistant to PUC Utility Analyst II, effective August 1, 2015.
2016	30	Redescription of exempt position, Position No. 106273, from PUC Economist to PUC Economist III, effective August 1, 2015.
2016	30	Redescription of exempt position, Position No. 121748, from PUC Economist to PUC Economist II, effective August 1, 2015.
2016	30	Redescription of exempt position, Position No. 102102, from Research Assistant to PUC Utility Analyst I, effective August 1, 2015.
2016	30	Redescription of exempt position, Position No. 117633, from Research Assistant to PUC Utility Analyst II, effective August 1, 2015.
2016	30	Establishment of new exempt position, Position No. 121797 (Pseudo No. 90002R), PUC Research Assistant I, effective August 1, 2015.
2016	30	Establishment of new exempt position, Position No. 121798 (Pseudo No. 90003R), PUC Research Assistant I, effective August 1, 2015.
2016	30	Establishment of new exempt position, Position No. 121799 (Pseudo No. 90001R), PUC Staff Attorney I, effective August 1, 2015.
2016	30	Redescription of exempt position, Position No. 118031, from PUC Economist to PUC Economist II, effective August 1, 2015.
2016	30	Establishment of new exempt position, Position No. 121819 (Pseudo No. 90003R), PUC Supervising Attorney, effective September 1, 2015.
2016	30	Establishment of new exempt position, Position No. 121820 (Pseudo No. 90004R), PUC Supervising Economist, effective September 1, 2015.
2016	30	Establishment of new exempt position, Position No. 121821 (Pseudo No. 90005R), PUC Supervising Economist, effective September 1, 2015.
2016	30	Establishment of new civil service position, Position No. 121829 (Pseudo No. 90007R), Account Clerk III, SR-11, effective September 10, 2015.
2016	30	Establishment of new exempt position, Position No. 121852 (Pseudo No. 99005R), PUC Staff Attorney IV, effective November 1, 2015.
2016	30	Redescription of exempt position, Position No. 121852, from PUC Attorney IV to PUC Attorney I, effective November 1, 2015.

Department of Commerce and Consumer Affairs
Organization Changes

Table 26

<u>Year of Change</u> <u>FY16/FY17</u>	<u>Page</u> <u>Number</u>	<u>Description of Change</u>
2016	30	Establish new civil service position, Position No. 121861 (Pseudo No. 99001R), Legal Clerk, effective November 20, 2015.

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
ORGANIZATION CHART

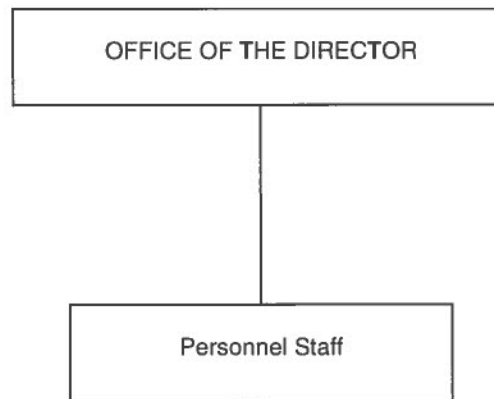


PROFESSIONAL AND VOCATIONAL LICENSING BOARDS, COMMISSIONS AND PROGRAMS

- | | | | |
|--|---|---|--------------------------------------|
| Accountancy | Dental Examiners | Motor Vehicle Industry Licensing | Psychology |
| Activity Providers and Activity Desks* | Dispensing Opticians* | Motor Vehicle Repair Industry Licensing | Real Estate |
| Acupuncture | Electricians and Plumbers | Naturopathic Medicine | Real Estate Appraisers* |
| Athletic Trainers* | Electrologists* | Nurses Aides* | Respiratory Therapists* |
| Barbering and Cosmetology | Elevator Mechanics | Nursing | Social Workers* |
| Boxing | Engineers, Architects, Surveyors and Landscape Architects | Nursing Home Administrators* | Speech Pathologists and Audiologists |
| Cemetery and Pre-Need Funeral Authority* | Hearing Aid Dealers and Fitters* | Occupational Therapists* | Subdivision* |
| Chiropractic Examiners | Limited Equity Housing Cooperatives* | Optometry | Time Sharing* |
| Collection Agencies* | Marriage and Family Therapists* | Pest Control | Travel Agencies* |
| Commercial Employment Agencies* | Massage Therapy | Pharmacy | Uniform Athlete Agents* |
| Condominium Property Regimes* | Medical | Physical Therapy | Veterinary Examiners |
| Contractors | Mental Health Counselors | Pilotage* | |
| | Mixed Martial Arts | Private Detectives and Guards | |

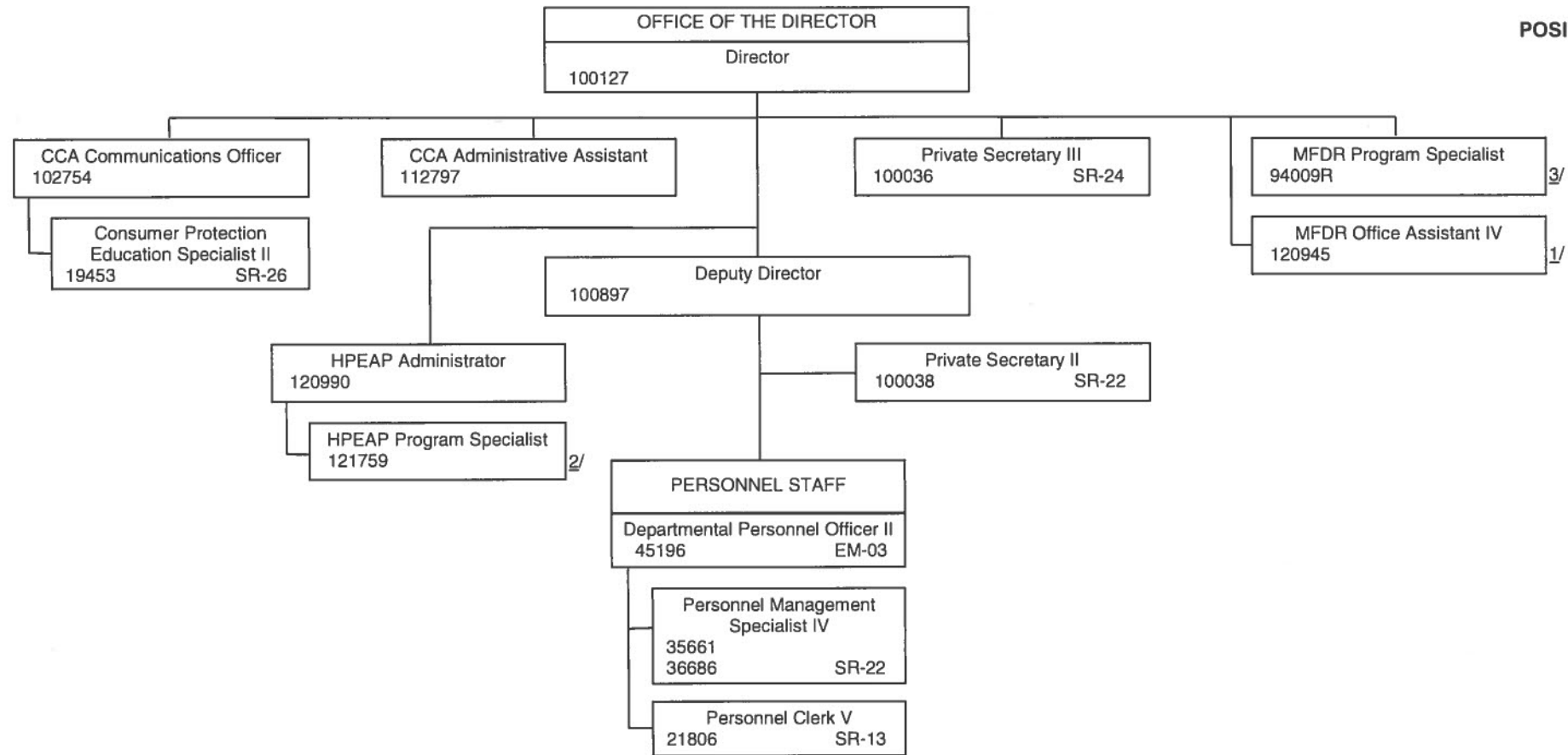
STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
OFFICE OF THE DIRECTOR

ORGANIZATION CHART



STATE OF HAWAII
 DEPARTMENT OF COMMERCE AND
 CONSUMER AFFAIRS
 OFFICE OF THE DIRECTOR

POSITION ORGANIZATION CHART



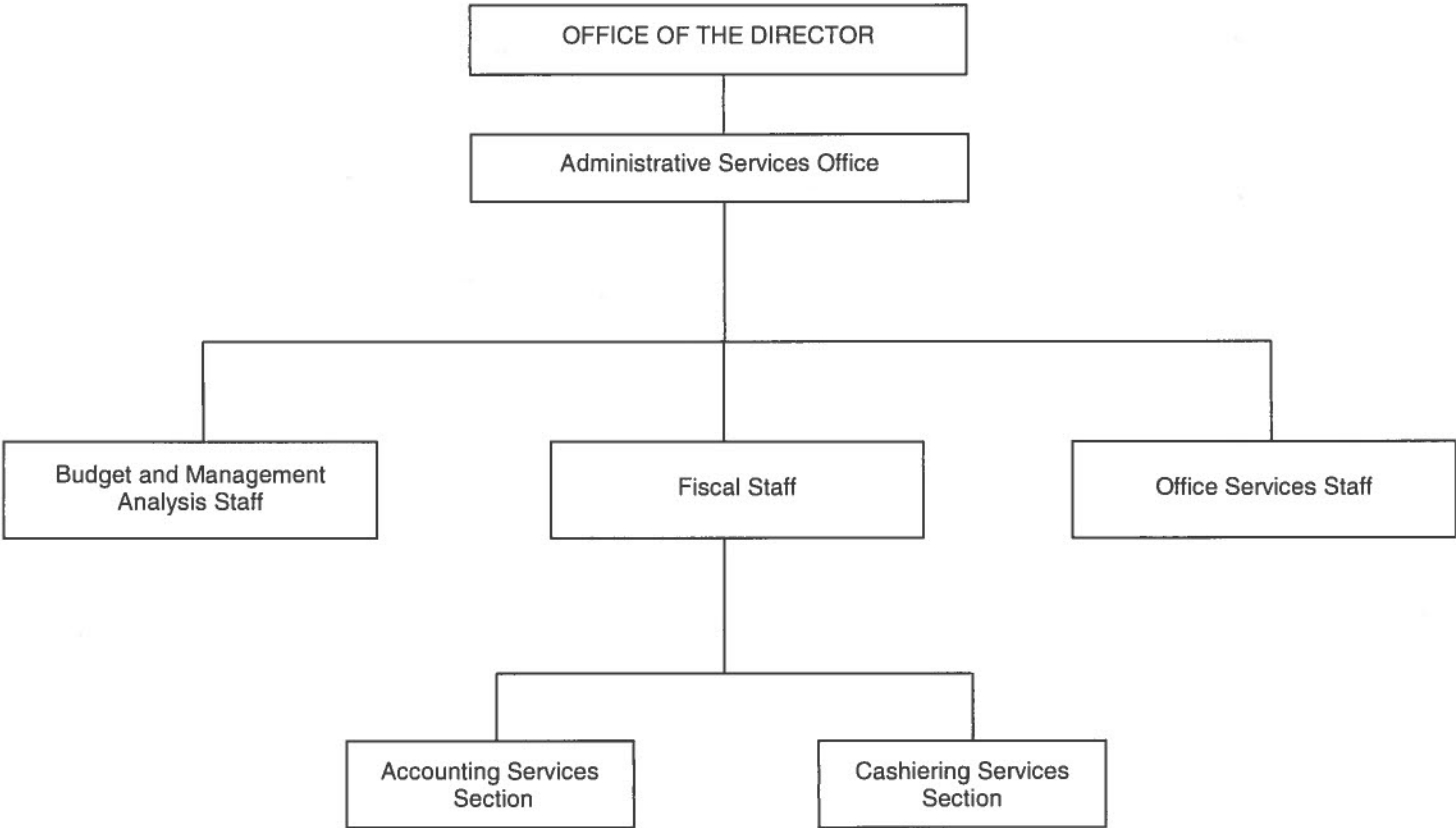
1/ Temporary, exempt position, NTE June 30, 2017.

2/ New, permanent, exempt position (Pseudo No. 95001R) established June 15, 2015, as authorized by Act 122, SLH 2014.

3/ Unestablished, temporary position authorized by Act 134, SLH 2013.

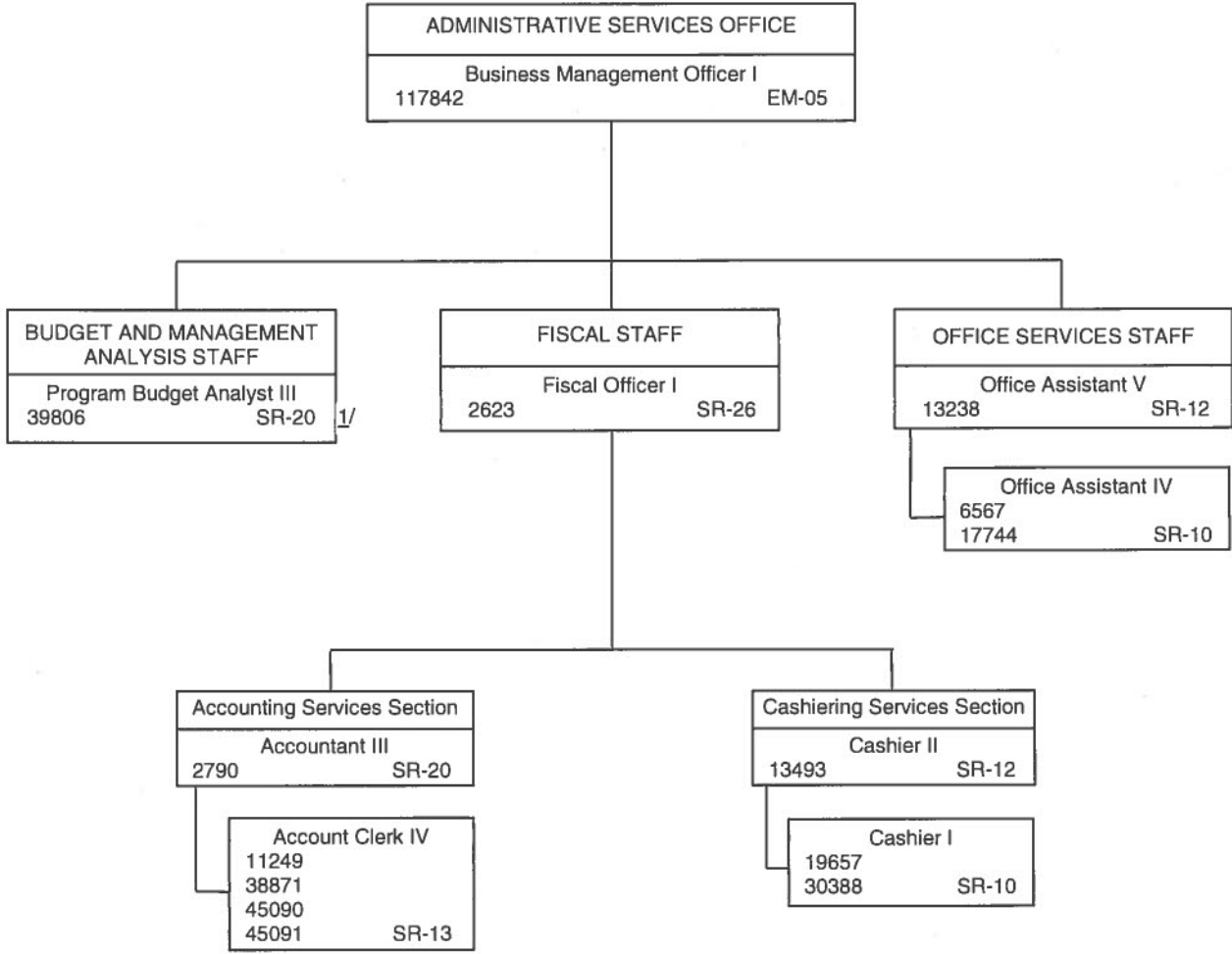
STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
ADMINISTRATIVE SERVICES OFFICE

ORGANIZATION CHART



STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
ADMINISTRATIVE SERVICES OFFICE

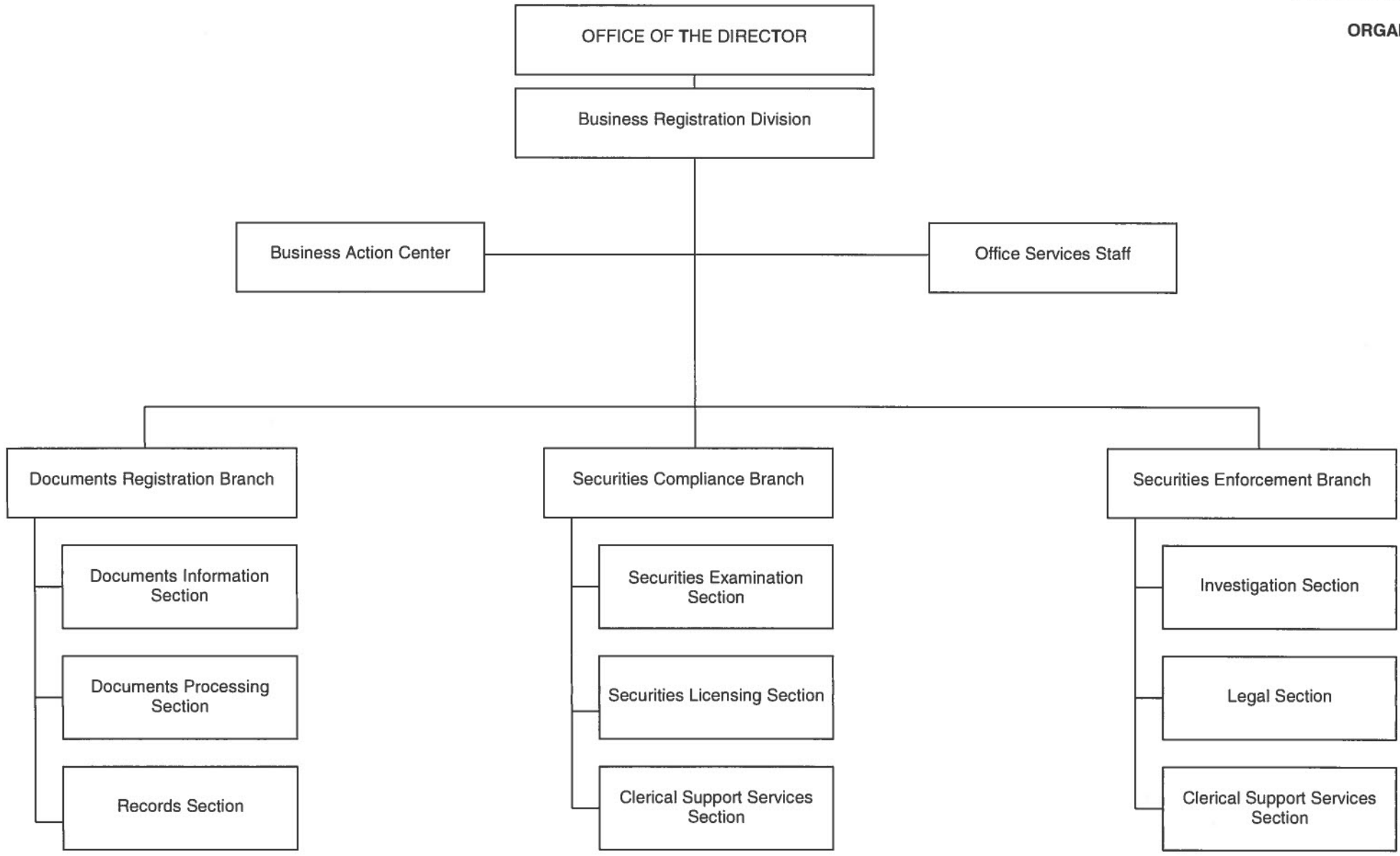
POSITION ORGANIZATION CHART



1/ Program Budget Analyst II, SR-18, Position No. 39806, reallocated to Program Budget Analyst III, SR-20, effective November 1, 2014.

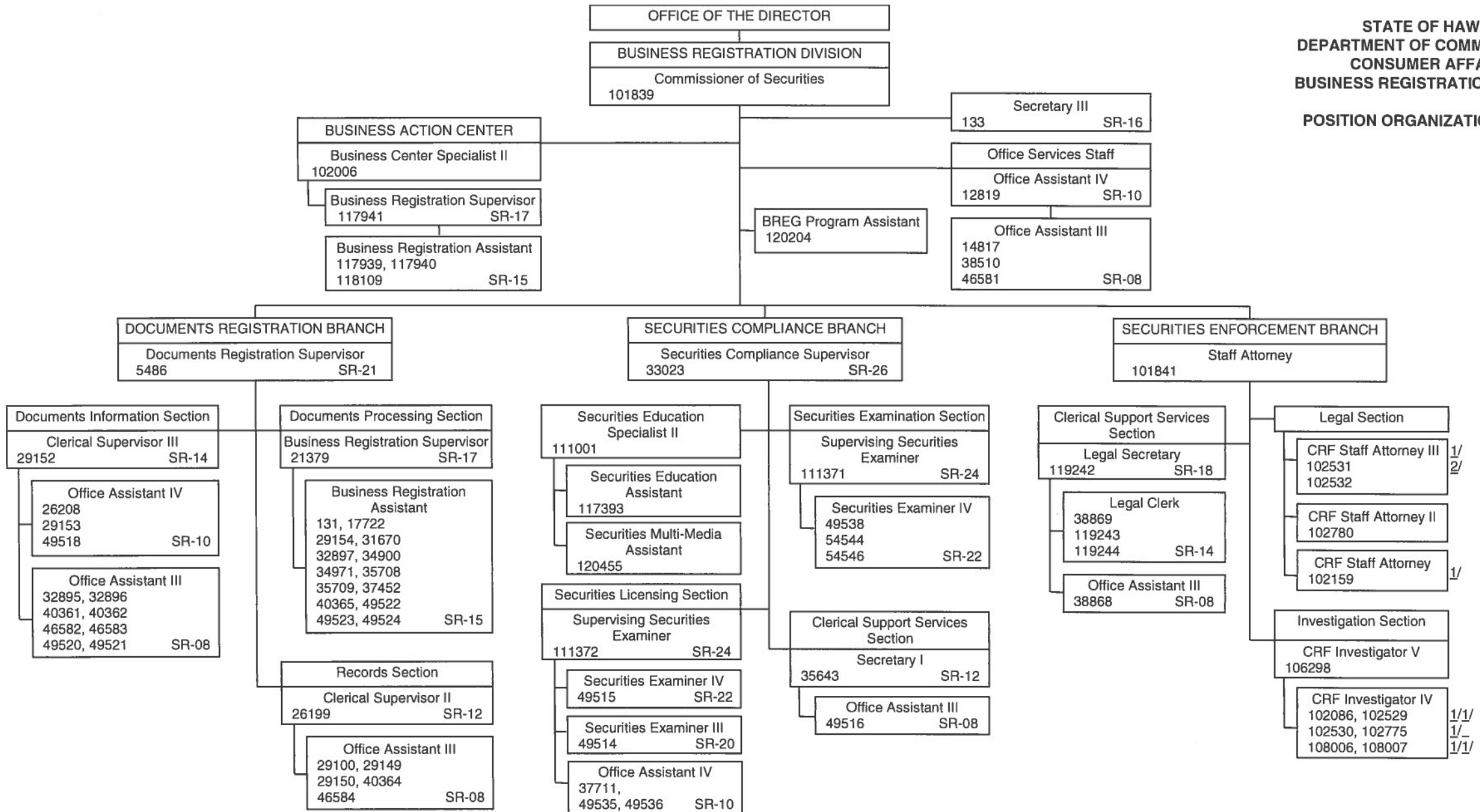
STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
BUSINESS REGISTRATION DIVISION

ORGANIZATION CHART



STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
BUSINESS REGISTRATION DIVISION

POSITION ORGANIZATION CHART

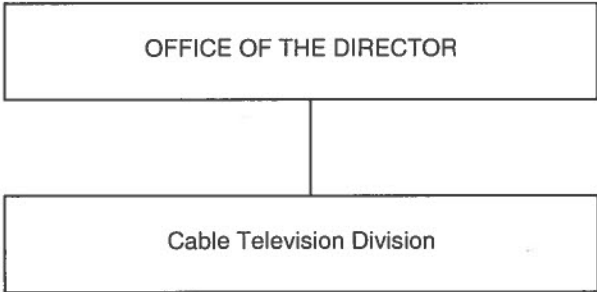


1/ Temporary exempt position, NTE June 30, 2017.
2/ CRF Staff Attorney II, Position No. 102531 redescribed to CRF Staff Attorney III, effective August 1, 2014.

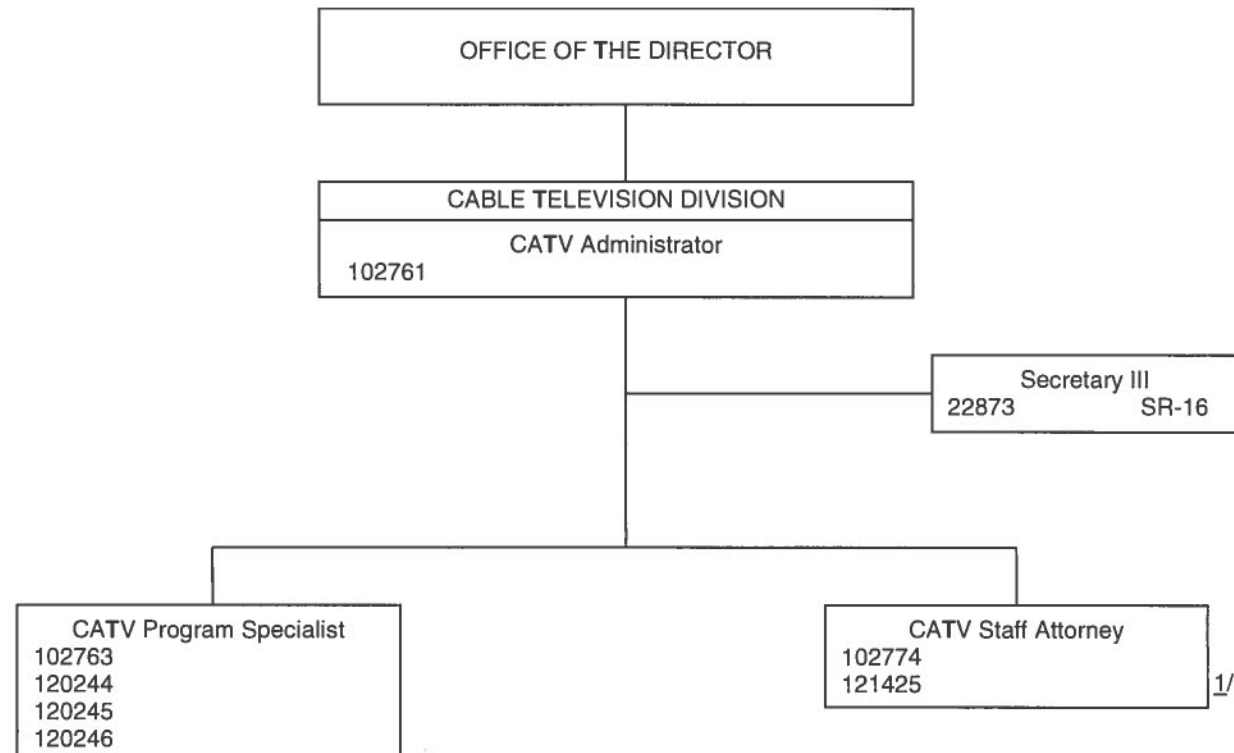
Note: CRF denotes Compliance Resolution Fund

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
CABLE TELEVISION DIVISION

ORGANIZATION CHART



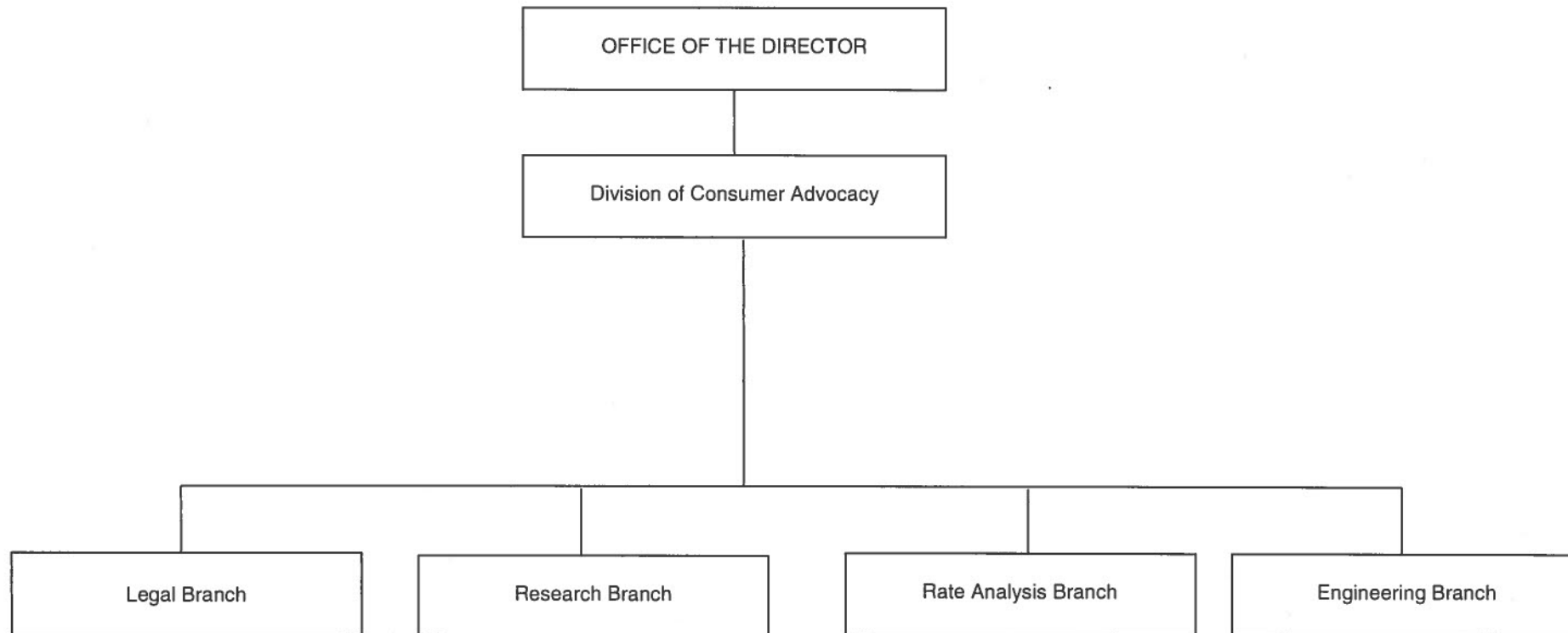
STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
CABLE TELEVISION DIVISION
POSITION ORGANIZATION CHART



1/ New, permanent, exempt position (Pseudo No. 95003R) established effective September 8, 2014, as authorized by Act 122, SLH 2014.

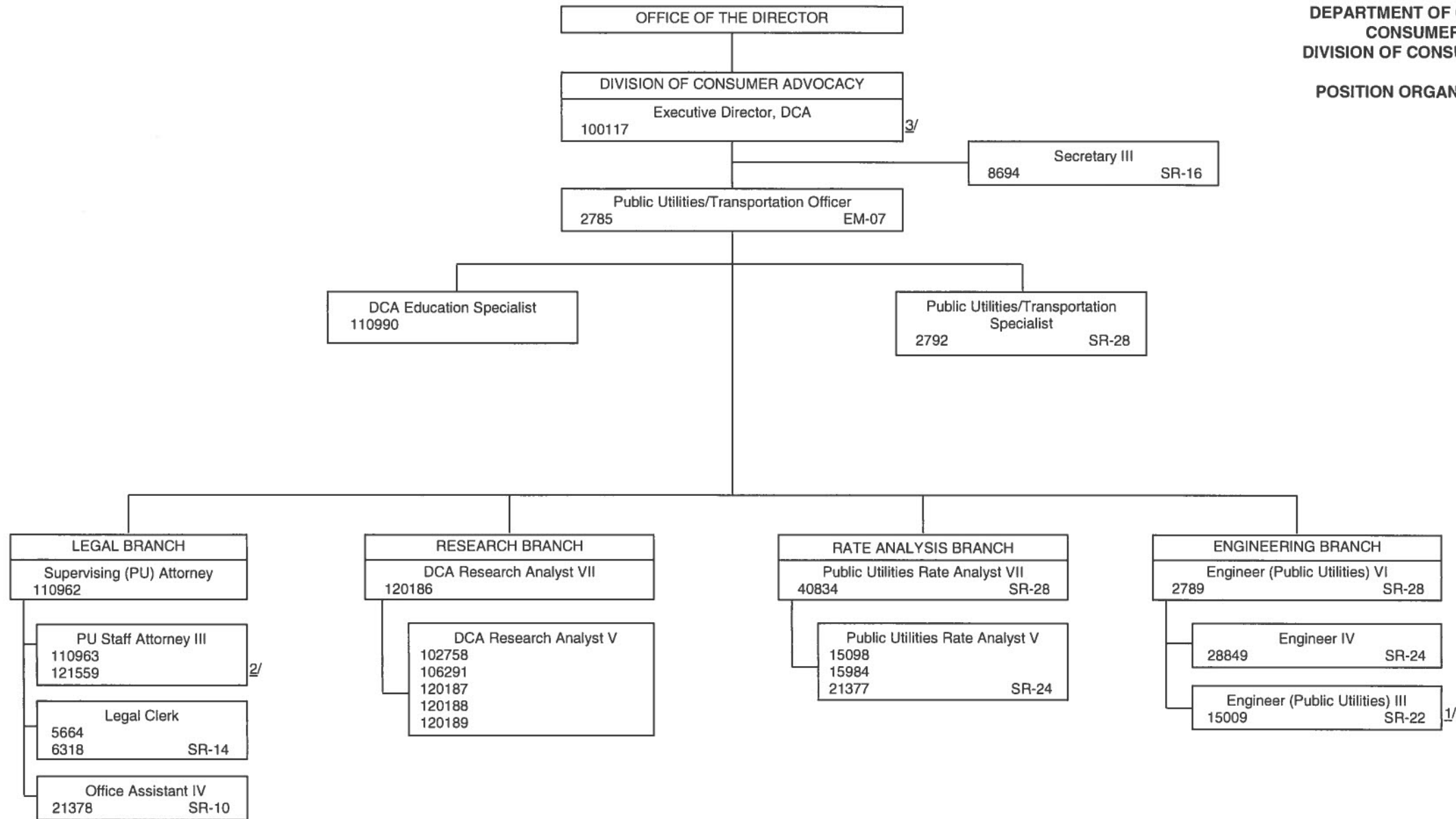
STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
DIVISION OF CONSUMER ADVOCACY

ORGANIZATION CHART



STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
DIVISION OF CONSUMER ADVOCACY

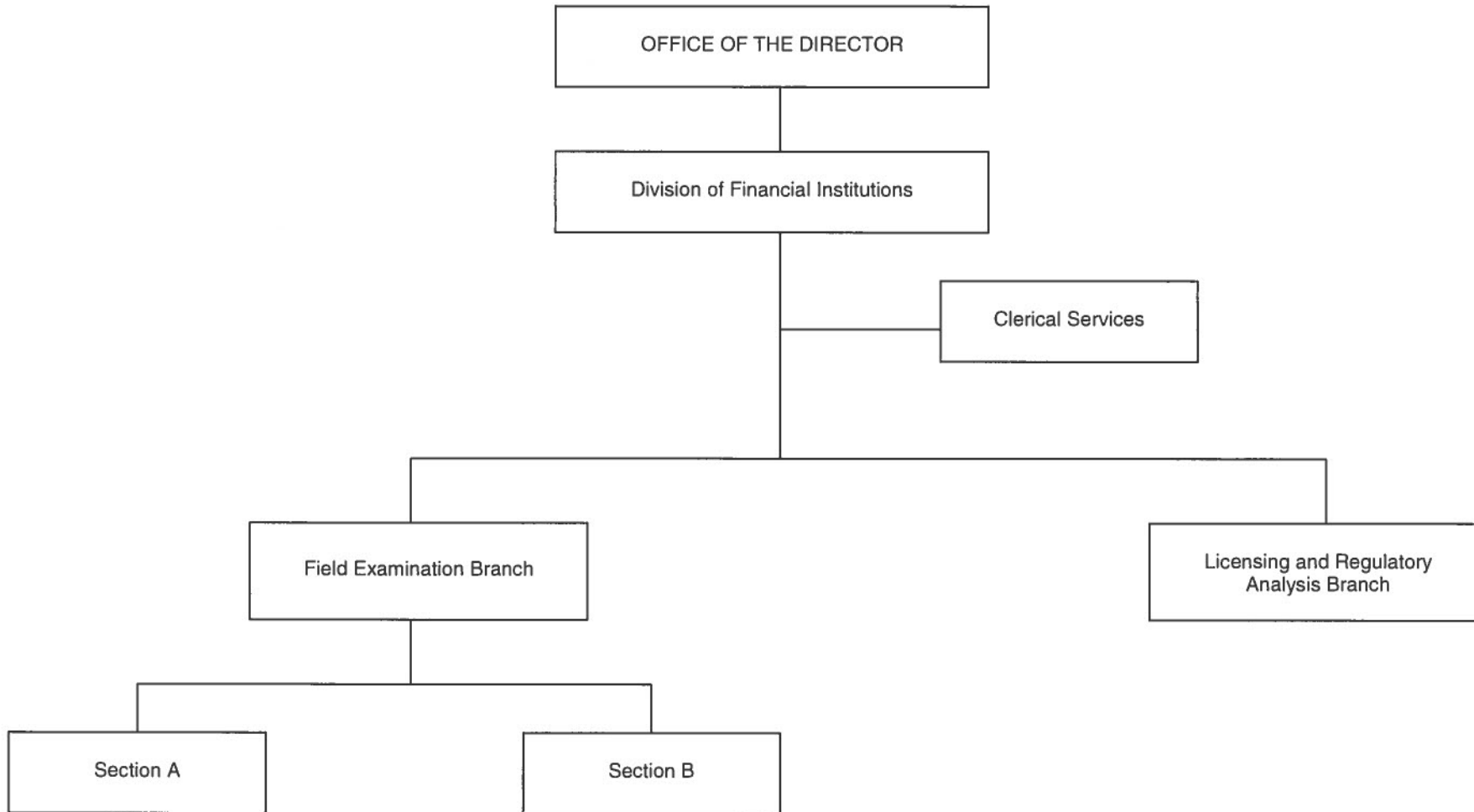
POSITION ORGANIZATION CHART



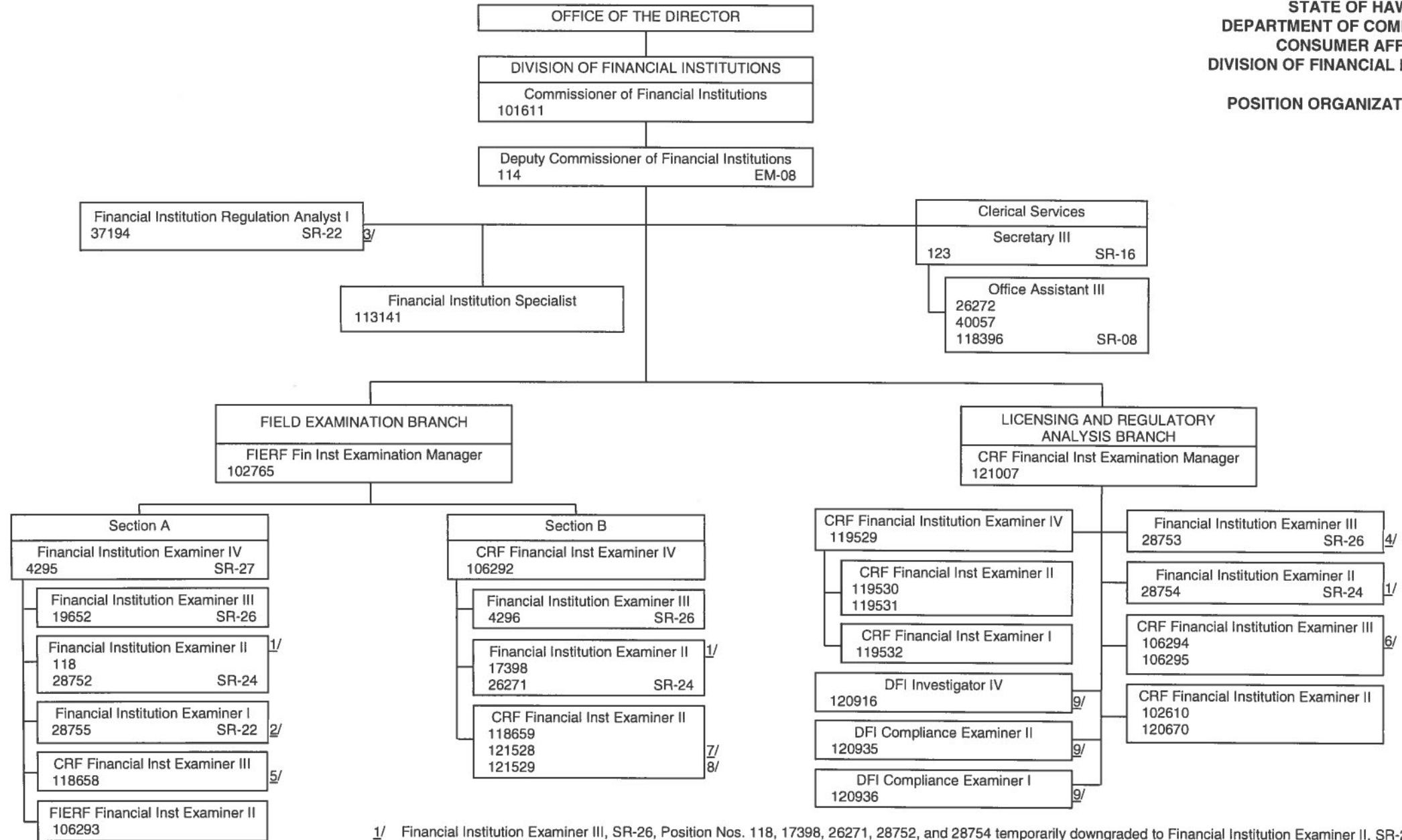
- 1/ Engineer (PU) IV, SR-24, Position No. 15009, temporarily downgraded to Engineer (PU) III, SR-22.
2/ New, permanent, exempt position (Pseudo No. 95004R) established February 11, 2015, as authorized by Act 122, SLH 2014.
3/ Administrator (CA), exempt, Position No. 100117, redescribed to Executive Director, DCA, effective April 1, 2015, in accordance with Act 108, SLH 2014.

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
DIVISION OF FINANCIAL INSTITUTIONS

ORGANIZATION CHART



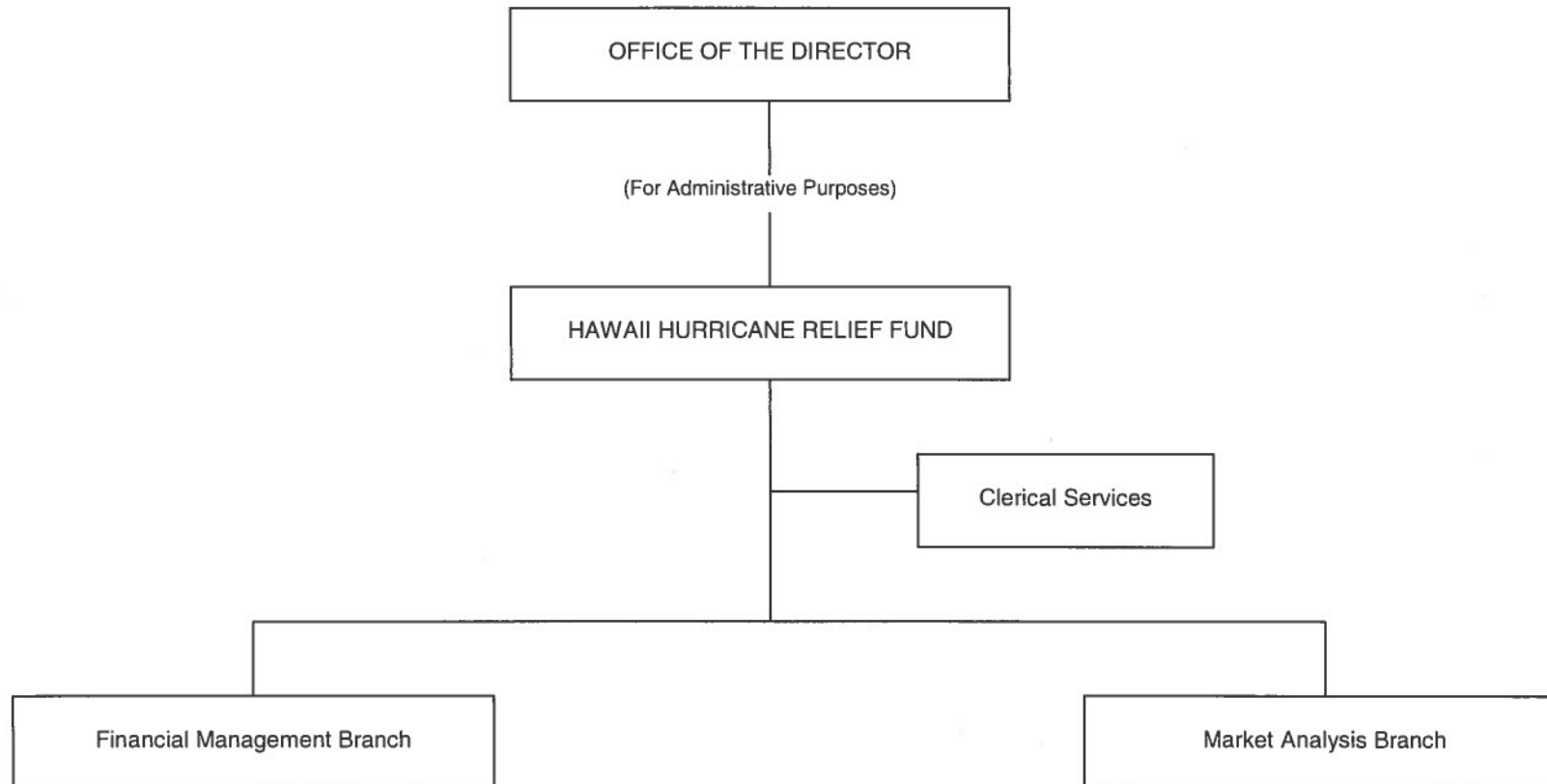
**STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
DIVISION OF FINANCIAL INSTITUTIONS
POSITION ORGANIZATION CHART**



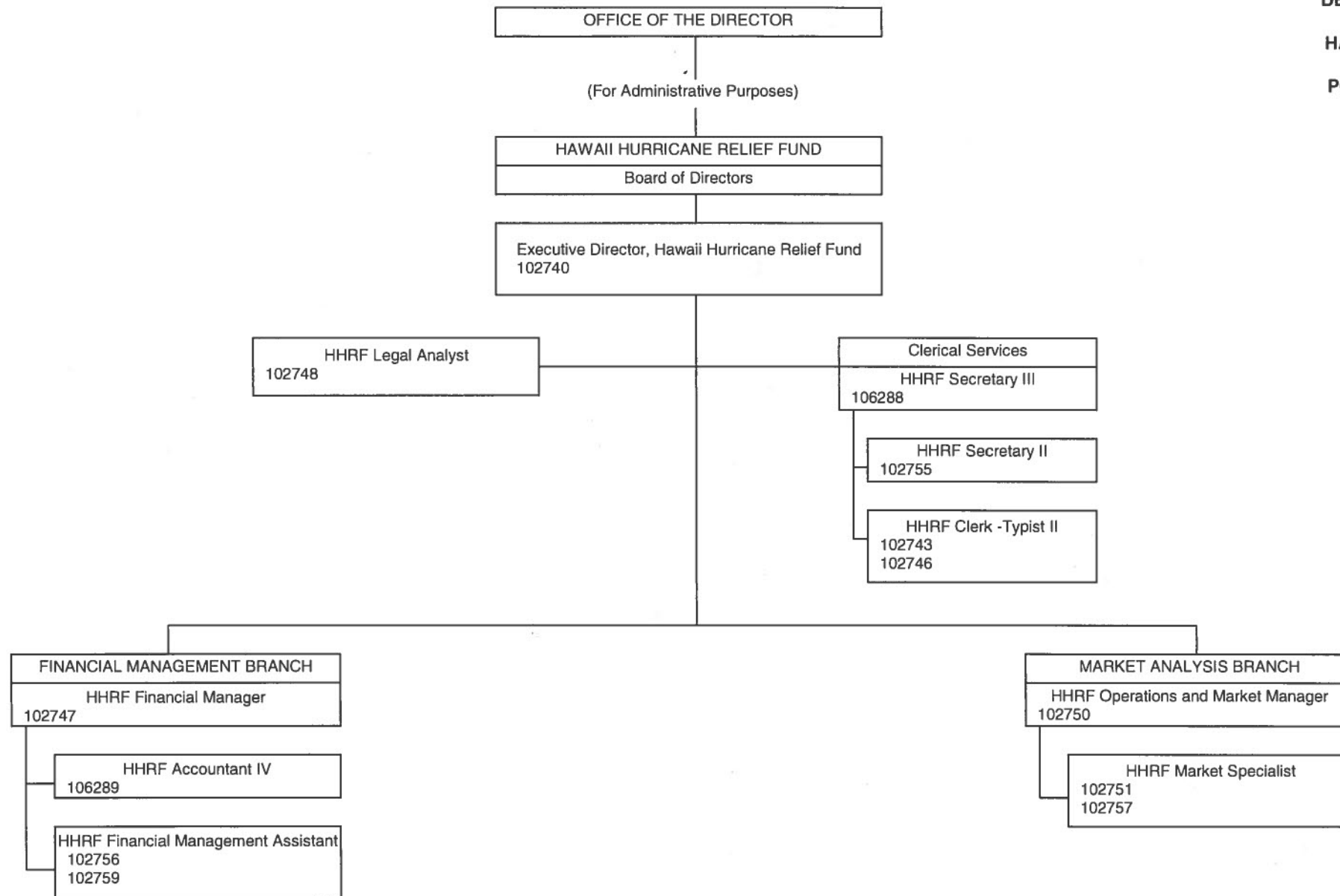
- 1/ Financial Institution Examiner III, SR-26, Position Nos. 118, 17398, 26271, 28752, and 28754 temporarily downgraded to Financial Institution Examiner II, SR-24.
- 2/ Financial Institution Examiner III, SR-26, Position No. 28755, temporarily downgraded to Financial Institution Examiner I, SR-22.
- 3/ Financial Institution Regulation Analyst II, SR-24, Position No. 37194 temporarily downgraded to Financial Institution Regulation Analyst I, SR-22.
- 4/ Financial Institution Examiner II, SR-24, Position No. 28753, reallocated to Financial Institution Examiner III, SR-26 effective January 1, 2015.
- 5/ CRF Financial Institution Examiner II, Position No. 118658, redescribed to CRF Financial Institution Examiner III, effective January 1, 2015.
- 6/ FIERF Compliance Examiner II, Position No. 106294, redescribed to CRF Financial Institution Examiner III, effective January 1, 2015.
- 7/ New, permanent, exempt position (Pseudo No. 99001R) established November 10, 2014, as authorized by Act 158, SLH 2008; redescribed to CRF Fin Inst Examiner II.
- 8/ New, permanent, exempt position (Pseudo No. 99002R) established November 10, 2014, as authorized by Act 158, SLH 2008; redescribed to CRF Fin Inst Examiner II.
- 9/ Temporary, unbudgeted, grant-funded, exempt position, NTE June 30, 2017.

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
HAWAII HURRICANE RELIEF FUND

ORGANIZATION CHART



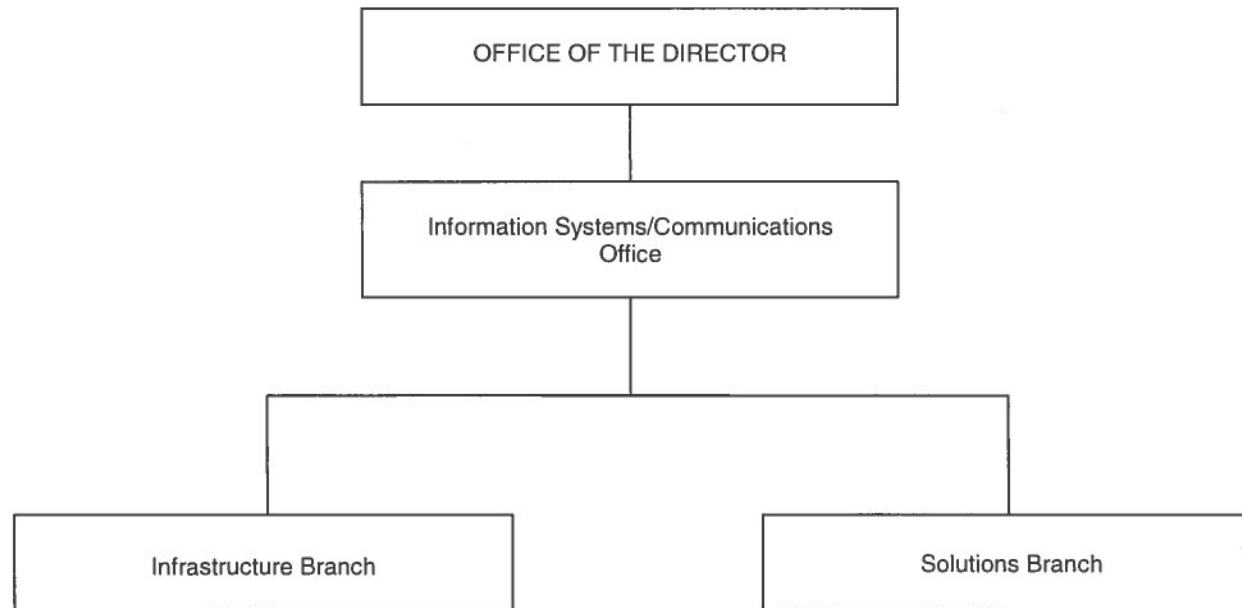
STATE OF HAWAII
 DEPARTMENT OF COMMERCE AND
 CONSUMER AFFAIRS
 HAWAII HURRICANE RELIEF FUND
 POSITION ORGANIZATION CHART



Note: All positions assigned to the Hawaii Hurricane Relief Fund are unbudgeted.

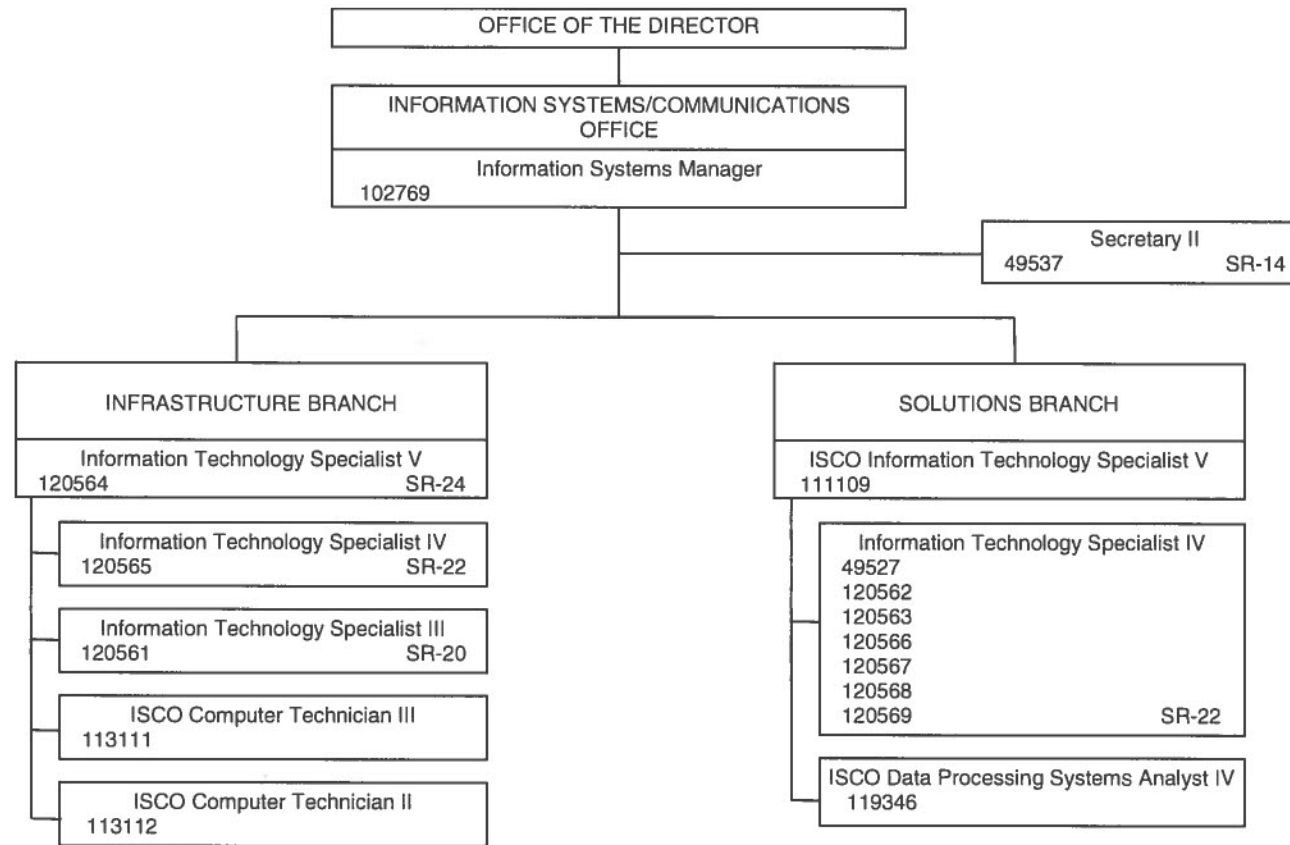
STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
INFORMATION SYSTEMS/COMMUNICATIONS
OFFICE

ORGANIZATION CHART

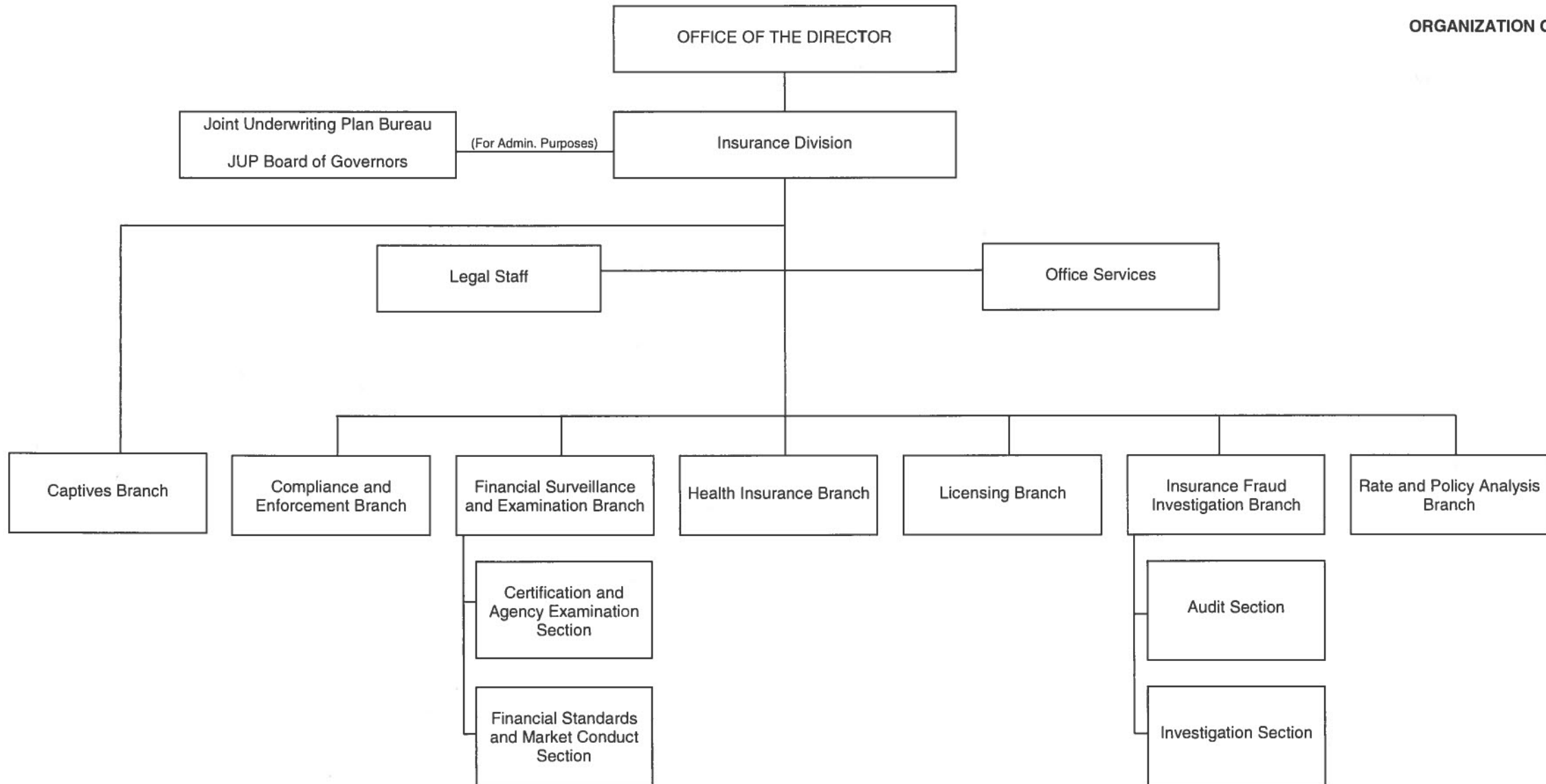


STATE OF HAWAII
 DEPARTMENT OF COMMERCE AND
 CONSUMER AFFAIRS
 INFORMATION SYSTEMS/COMMUNICATIONS
 OFFICE

POSITION ORGANIZATION CHART

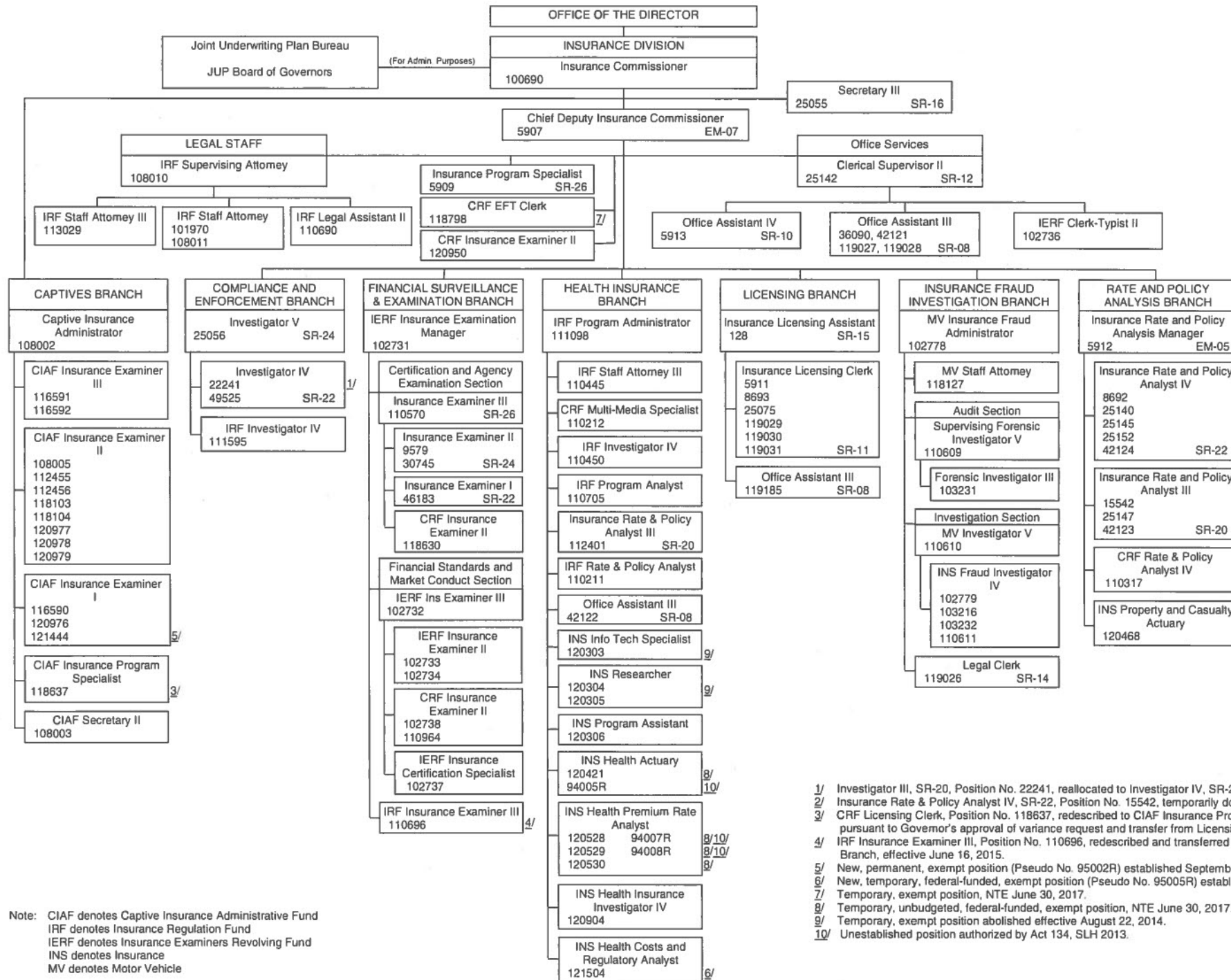


STATE OF HAWAII
DEPARTMENT OF COMMERCE
AND CONSUMER AFFAIRS
INSURANCE DIVISION
ORGANIZATION CHART



STATE OF HAWAII
DEPARTMENT OF COMMERCE
AND CONSUMER AFFAIRS
INSURANCE DIVISION

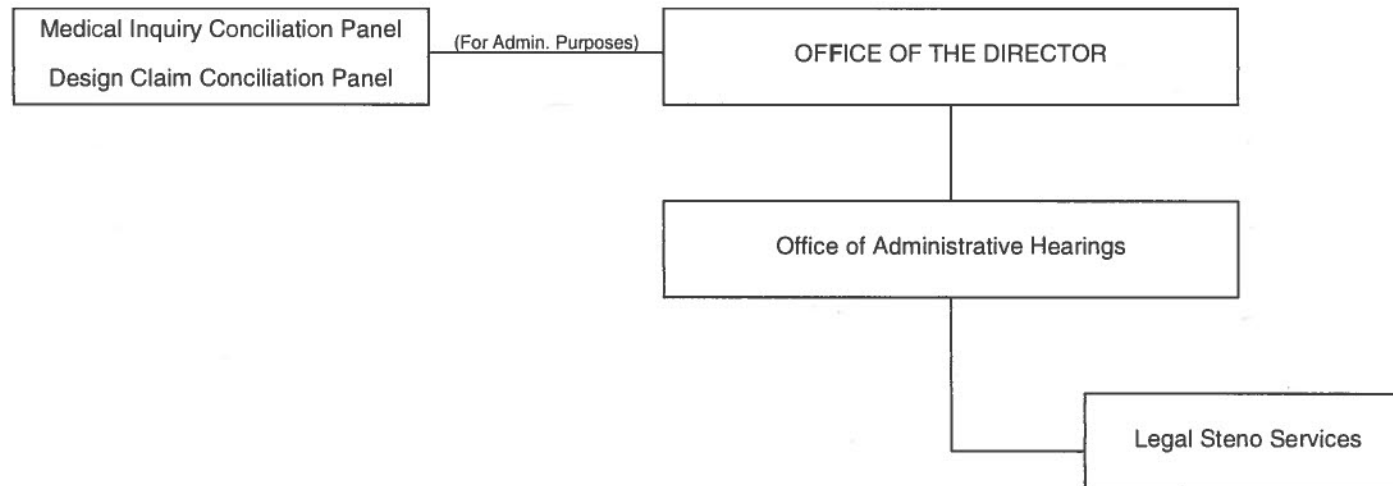
POSITION ORGANIZATION CHART



Note: CIAF denotes Captive Insurance Administrative Fund
IRF denotes Insurance Regulation Fund
IERF denotes Insurance Examiners Revolving Fund
INS denotes Insurance
MV denotes Motor Vehicle

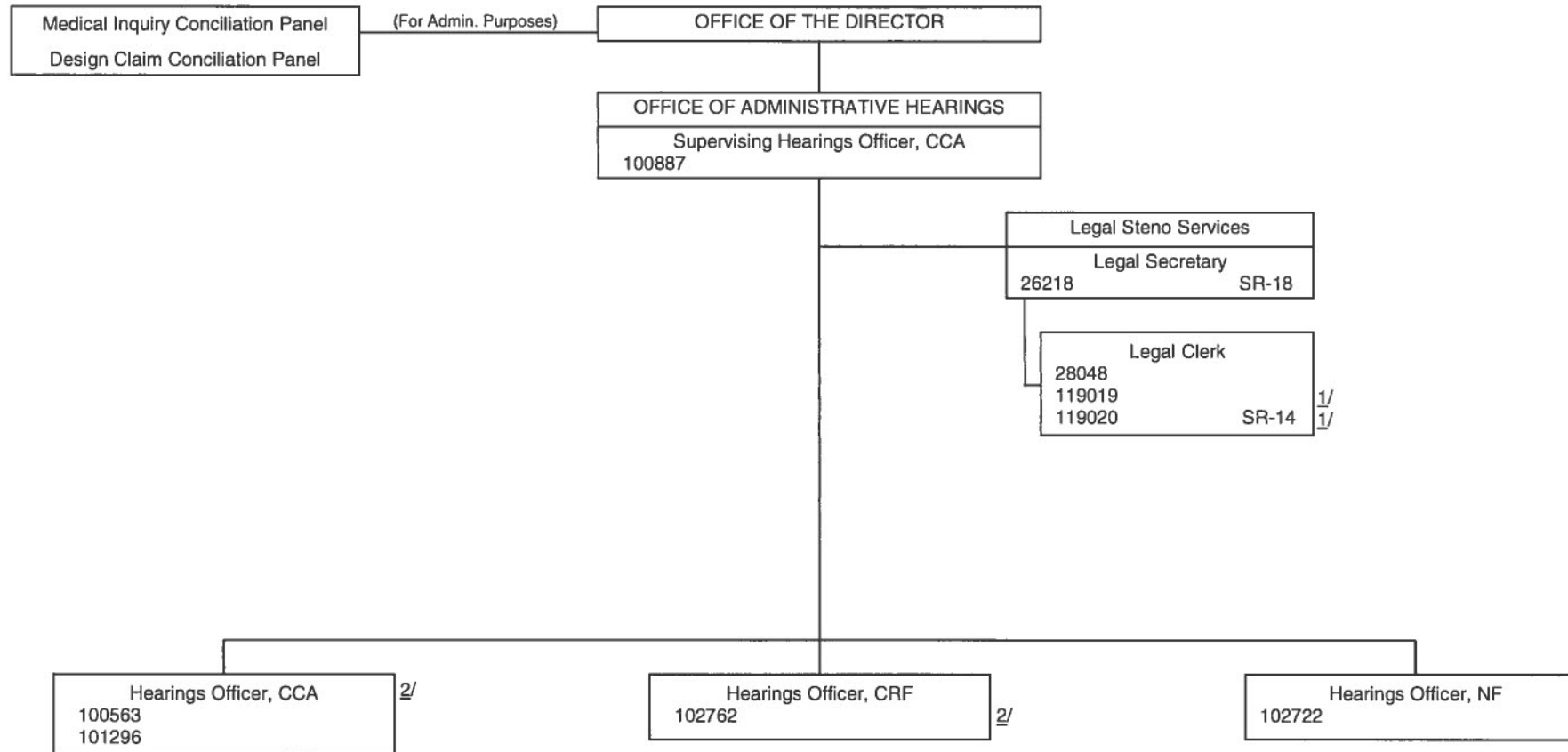
- 1/ Investigator III, SR-20, Position No. 22241, reallocated to Investigator IV, SR-22, effective April 16, 2015.
- 2/ Insurance Rate & Policy Analyst IV, SR-22, Position No. 15542, temporarily downgraded to Insurance Rate & Policy Analyst III, SR-20.
- 3/ CRF Licensing Clerk, Position No. 118637, redescribed to CIAF Insurance Program Specialist (Pseudo No. 96001R), effective October 21, 2014, pursuant to Governor's approval of variance request and transfer from Licensing Branch to Captives Branch.
- 4/ IRF Insurance Examiner III, Position No. 110696, redescribed and transferred from Health Insurance Branch to Financial Surveillance & Examination Branch, effective June 16, 2015.
- 5/ New, permanent, exempt position (Pseudo No. 95002R) established September 22, 2014, as authorized by Act 122, SLH 2014.
- 6/ New, temporary, federal-funded, exempt position (Pseudo No. 95005R) established October 20, 2014.
- 7/ Temporary, exempt position, NTE June 30, 2017.
- 8/ Temporary, unbudgeted, federal-funded, exempt position, NTE June 30, 2017.
- 9/ Temporary, exempt position abolished effective August 22, 2014.
- 10/ Unestablished position authorized by Act 134, SLH 2013.

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
OFFICE OF ADMINISTRATIVE HEARINGS
ORGANIZATION CHART



STATE OF HAWAII
 DEPARTMENT OF COMMERCE AND
 CONSUMER AFFAIRS
 OFFICE OF ADMINISTRATIVE HEARINGS

POSITION ORGANIZATION CHART

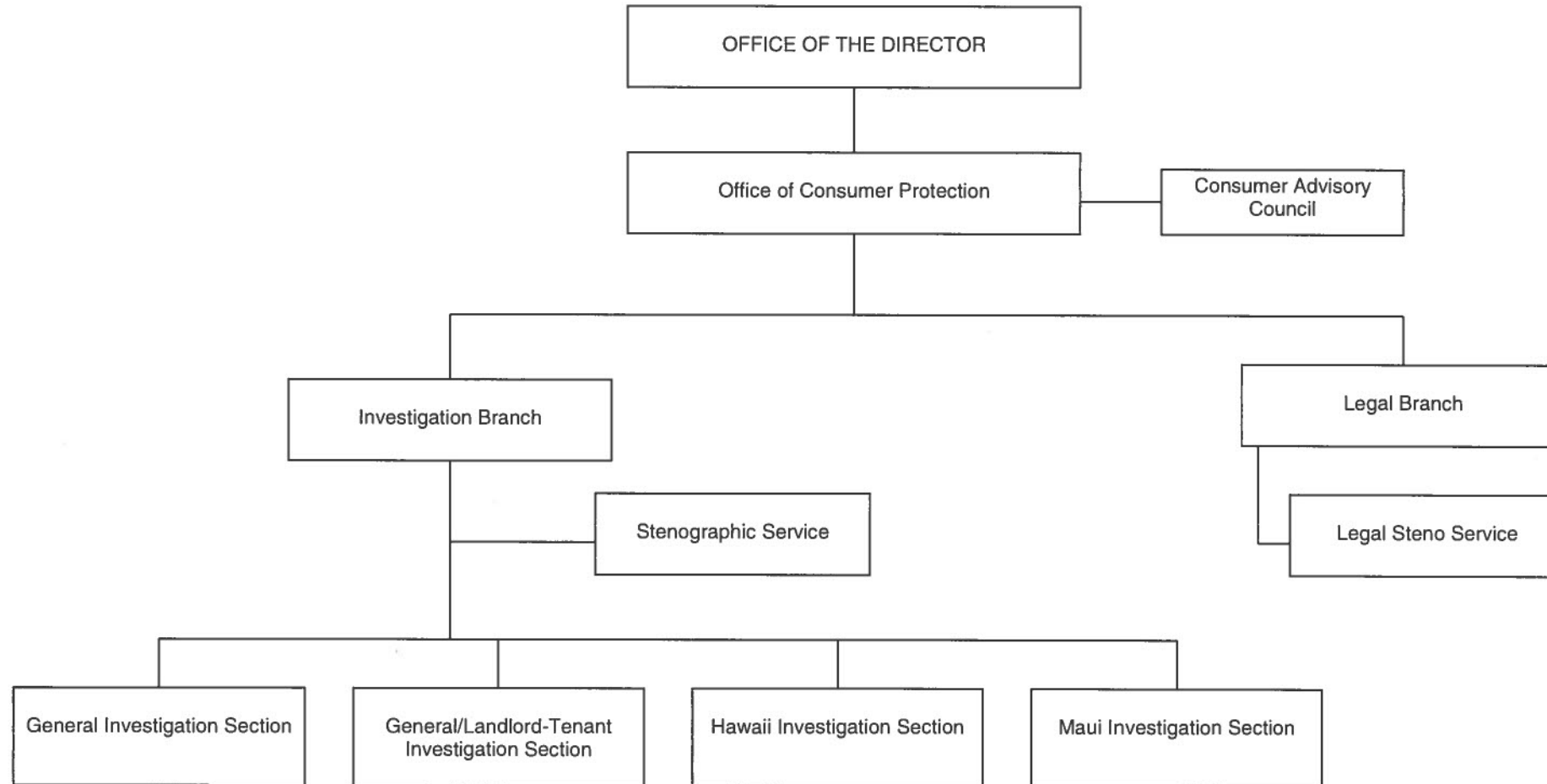


1/ Temporary civil service position, NTE June 30, 2017.

2/ Temporary exempt position, NTE June 30, 2017.

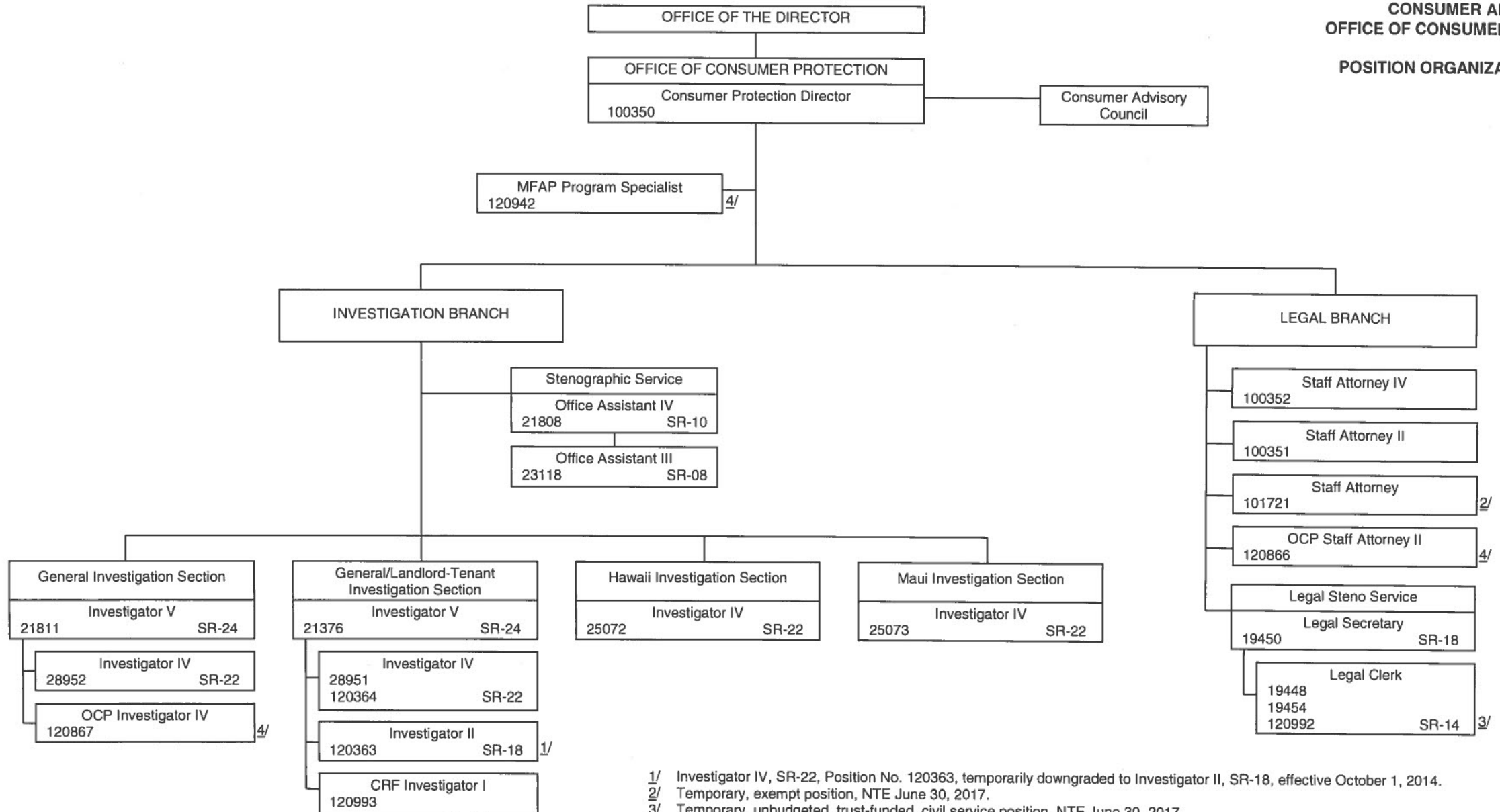
Note: CRF denotes Compliance Resolution Fund

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
OFFICE OF CONSUMER PROTECTION
ORGANIZATION CHART



STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
OFFICE OF CONSUMER PROTECTION

POSITION ORGANIZATION CHART

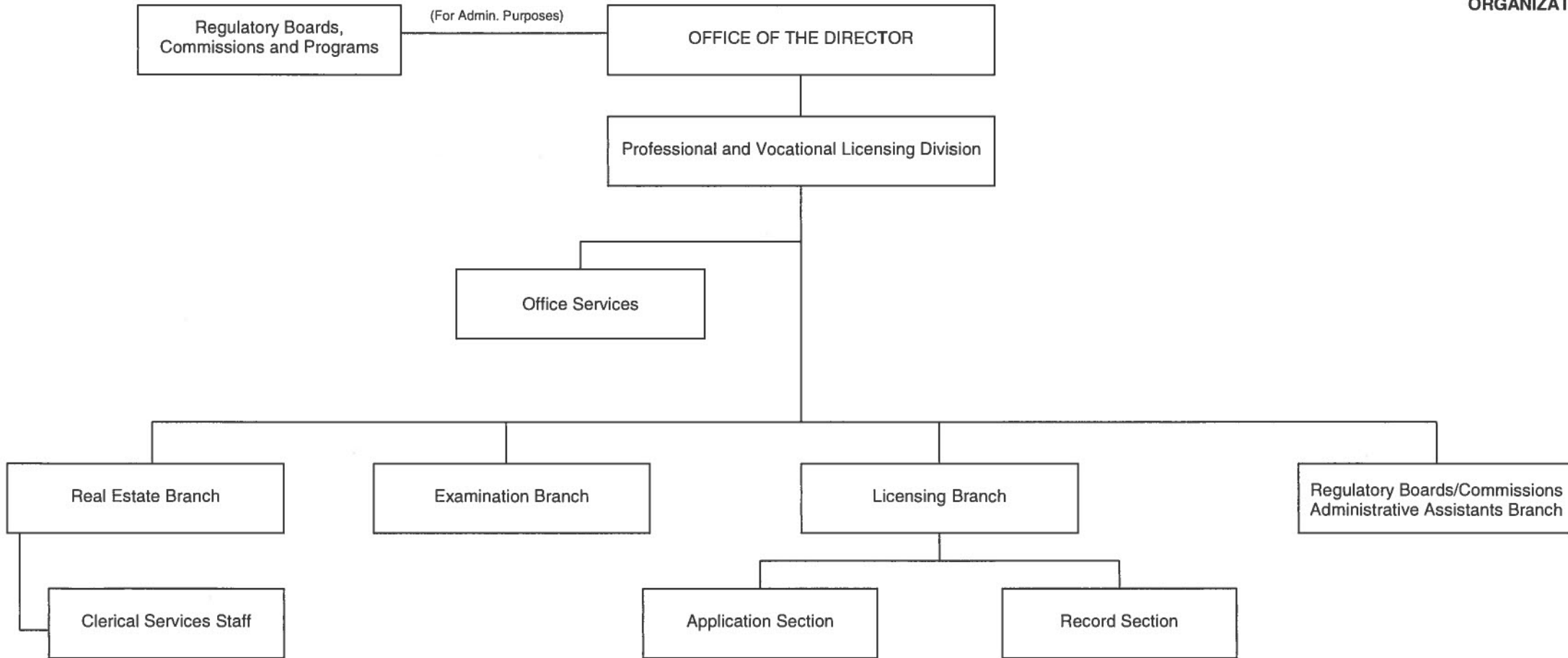


- 1/ Investigator IV, SR-22, Position No. 120363, temporarily downgraded to Investigator II, SR-18, effective October 1, 2014.
- 2/ Temporary, exempt position, NTE June 30, 2017.
- 3/ Temporary, unbudgeted, trust-funded, civil service position, NTE June 30, 2017.
- 4/ Temporary, unbudgeted, trust-funded, exempt position, NTE June 30, 2017.

Note: CRF denotes Compliance Resolution Fund

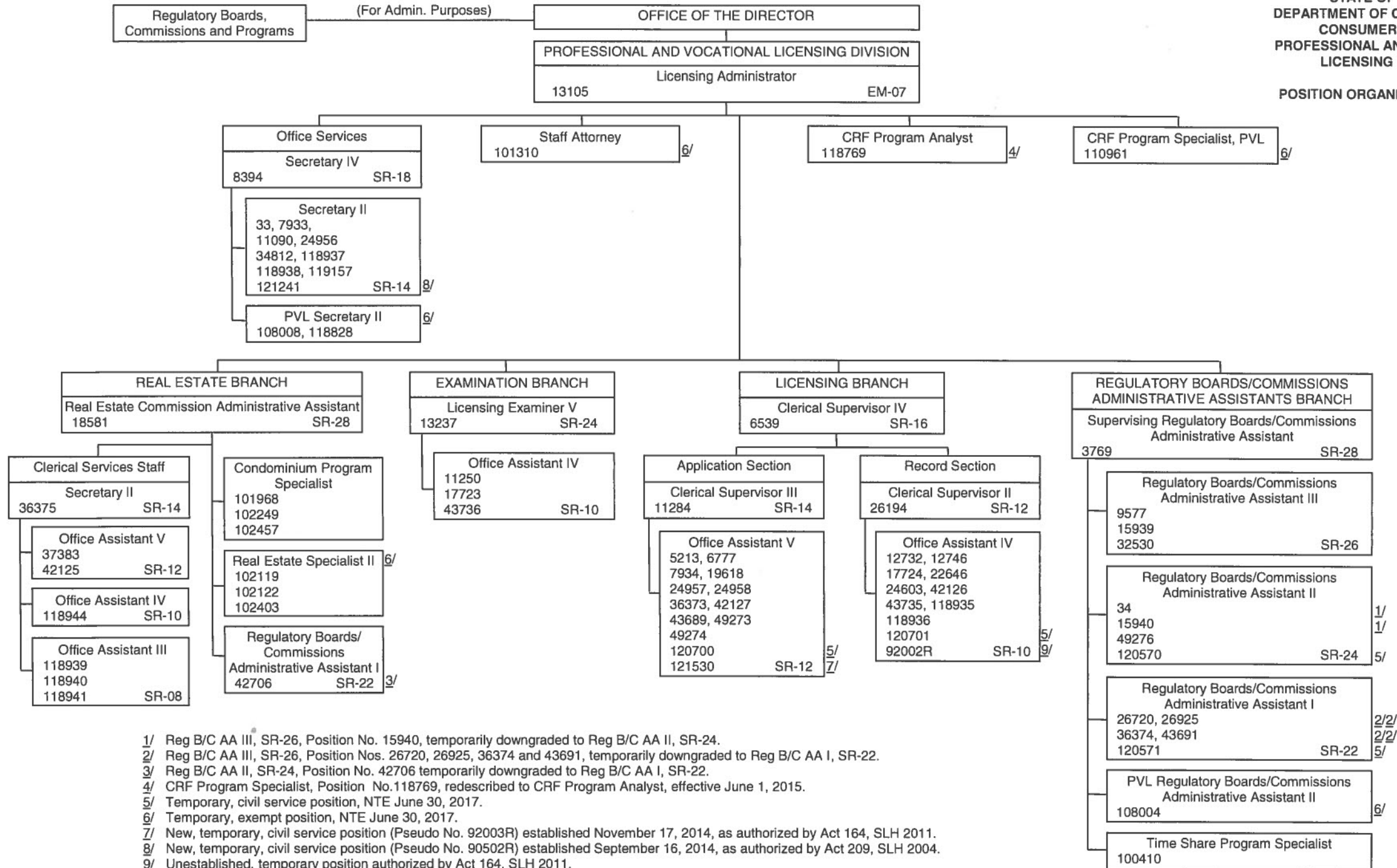
STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
PROFESSIONAL AND VOCATIONAL LICENSING
DIVISION

ORGANIZATION CHART



STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
PROFESSIONAL AND VOCATIONAL
LICENSING DIVISION

POSITION ORGANIZATION CHART



- 1/ Reg B/C AA III, SR-26, Position No. 15940, temporarily downgraded to Reg B/C AA II, SR-24.
- 2/ Reg B/C AA III, SR-26, Position Nos. 26720, 26925, 36374 and 43691, temporarily downgraded to Reg B/C AA I, SR-22.
- 3/ Reg B/C AA II, SR-24, Position No. 42706 temporarily downgraded to Reg B/C AA I, SR-22.
- 4/ CRF Program Specialist, Position No. 118769, redescribed to CRF Program Analyst, effective June 1, 2015.
- 5/ Temporary, civil service position, NTE June 30, 2017.
- 6/ Temporary, exempt position, NTE June 30, 2017.
- 7/ New, temporary, civil service position (Pseudo No. 92003R) established November 17, 2014, as authorized by Act 164, SLH 2011.
- 8/ New, temporary, civil service position (Pseudo No. 90502R) established September 16, 2014, as authorized by Act 209, SLH 2004.
- 9/ Unestablished, temporary position authorized by Act 164, SLH 2011.

Note: CRF denotes Compliance Resolution Fund

STATE OF HAWAII
 DEPARTMENT OF COMMERCE AND
 CONSUMER AFFAIRS
 REGULATORY BOARDS, COMMISSIONS
 AND PROGRAMS

ORGANIZATION CHART

OFFICE OF THE DIRECTOR

(For Administrative Purposes)

REGULATORY BOARDS, COMMISSIONS AND PROGRAMS

Regulatory Boards/Commissions Administrative Assistants

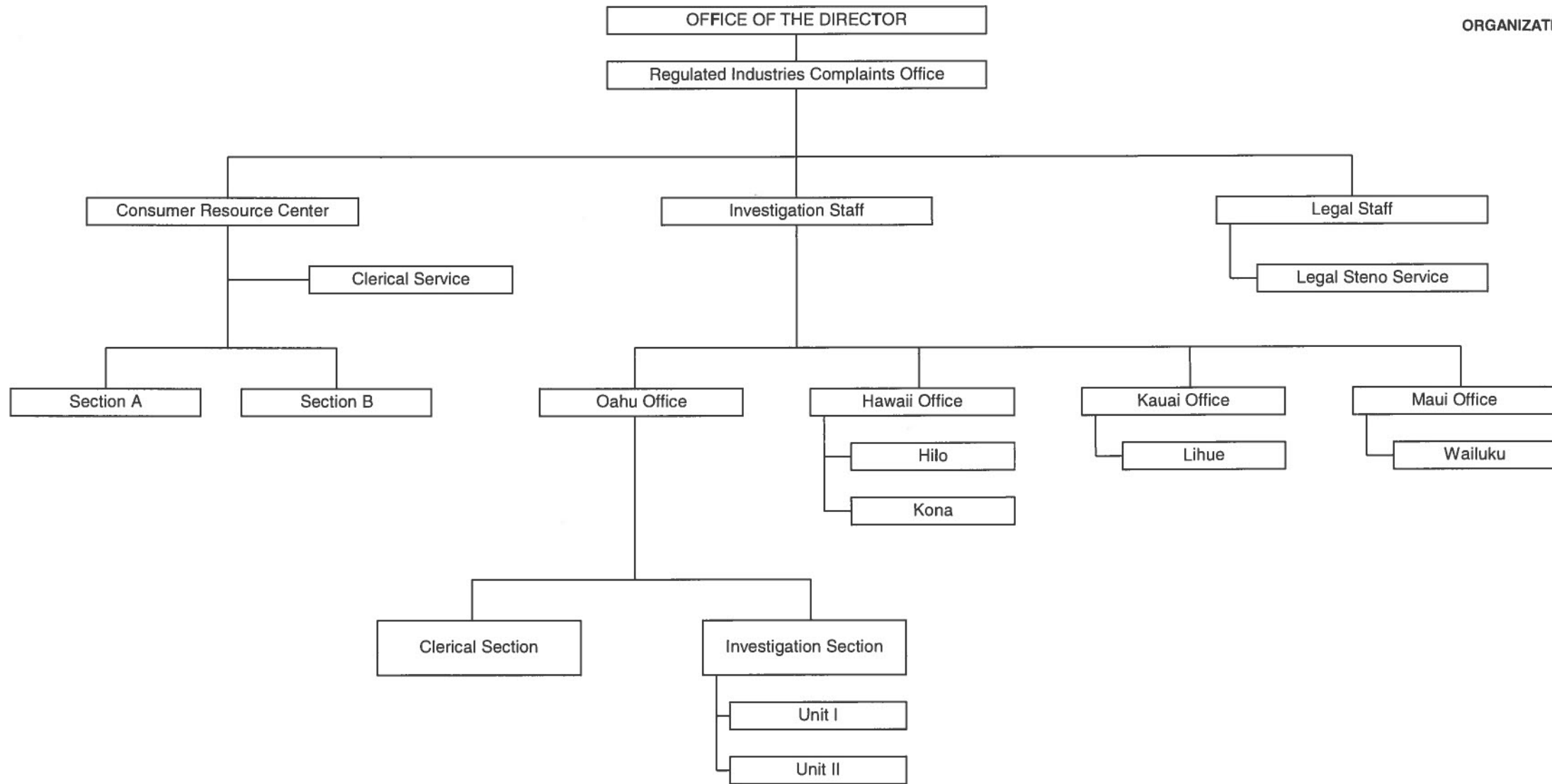
Real Estate Branch

Accountancy
 Activity Providers and Activity Desks*
 Acupuncture
 Athletic Trainers*
 Barbering and Cosmetology
 Boxing
 Cemetery and Pre-need Funeral Authority*
 Chiropractic Examiners
 Collection Agencies*
 Commercial Employment Agencies*
 Contractors
 Dental Examiners
 Dispensing Opticians*
 Electricians and Plumbers
 Electrologists*
 Elevator Mechanics
 Engineers, Architects, Surveyors and Landscape Architects
 Hearing Aid Dealers and Fitters*
 Marriage and Family Therapists*
 Massage Therapy
 Medical
 Mental Health Counselors
 Mixed Martial Arts*

Motor Vehicle Industry Licensing
 Motor Vehicle Repair Industry Licensing
 Naturopathic Medicine
 Nurses Aides*
 Nursing
 Nursing Home Administrators*
 Occupational Therapists*
 Optometry
 Pest Control
 Pharmacy
 Physical Therapy
 Pilotage*
 Private Detectives and Guards
 Psychology
 Real Estate Appraisers*
 Respiratory Therapists*
 Social Workers*
 Speech Pathologists and Audiologists
 Subdivision*
 Time Sharing*
 Travel Agencies*
 Uniform Athlete Agents*
 Veterinary Examiners

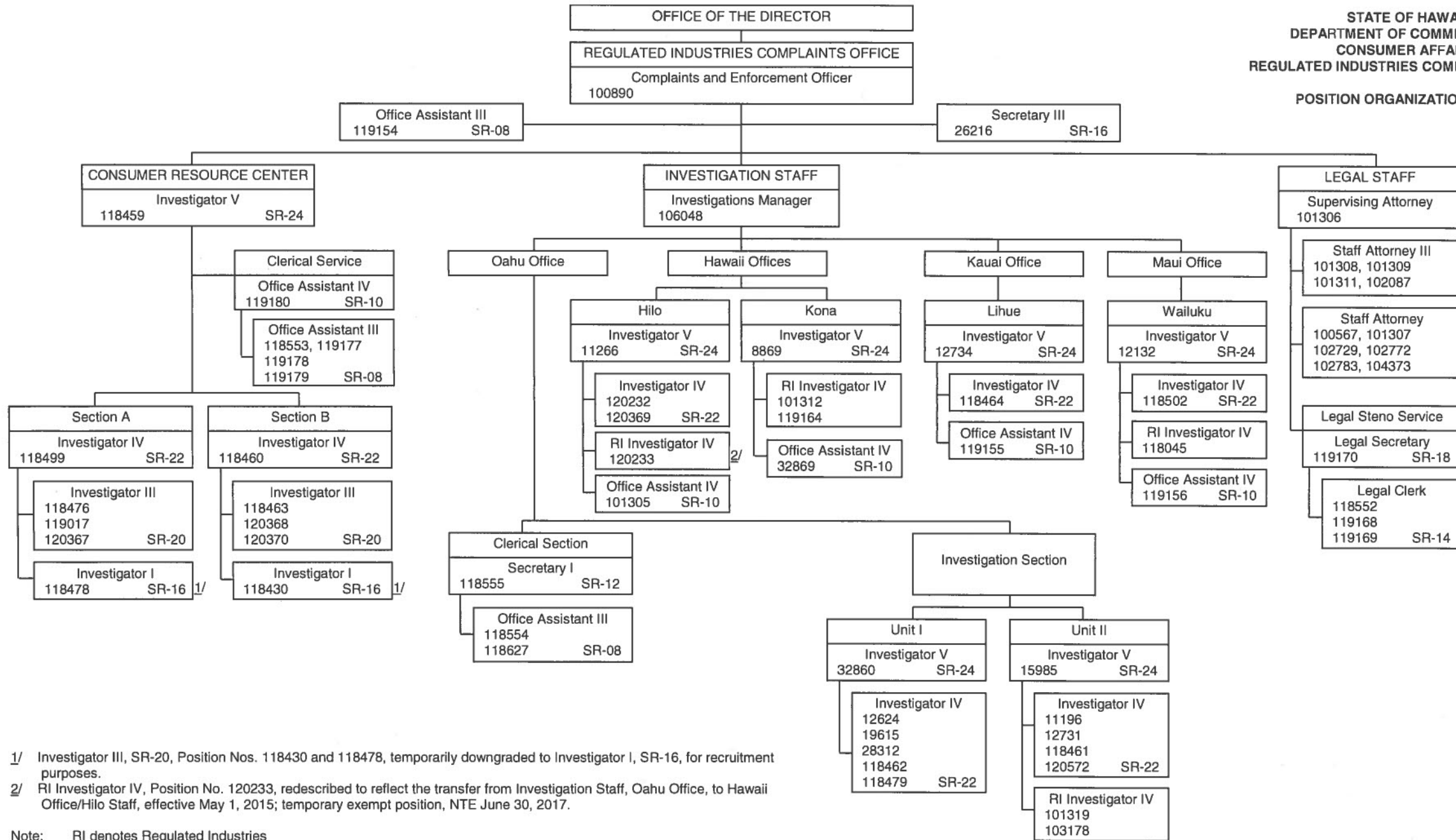
Condominium Property Regimes*
 Limited Equity Housing Cooperatives*
 Real Estate

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
REGULATED INDUSTRIES COMPLAINTS OFFICE
ORGANIZATION CHART



STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
REGULATED INDUSTRIES COMPLAINTS OFFICE

POSITION ORGANIZATION CHART




1/ Investigator III, SR-20, Position Nos. 118430 and 118478, temporarily downgraded to Investigator I, SR-16, for recruitment purposes.

2/ RI Investigator IV, Position No. 120233, redescribed to reflect the transfer from Investigation Staff, Oahu Office, to Hawaii Office/Hilo Staff, effective May 1, 2015; temporary exempt position, NTE June 30, 2017.

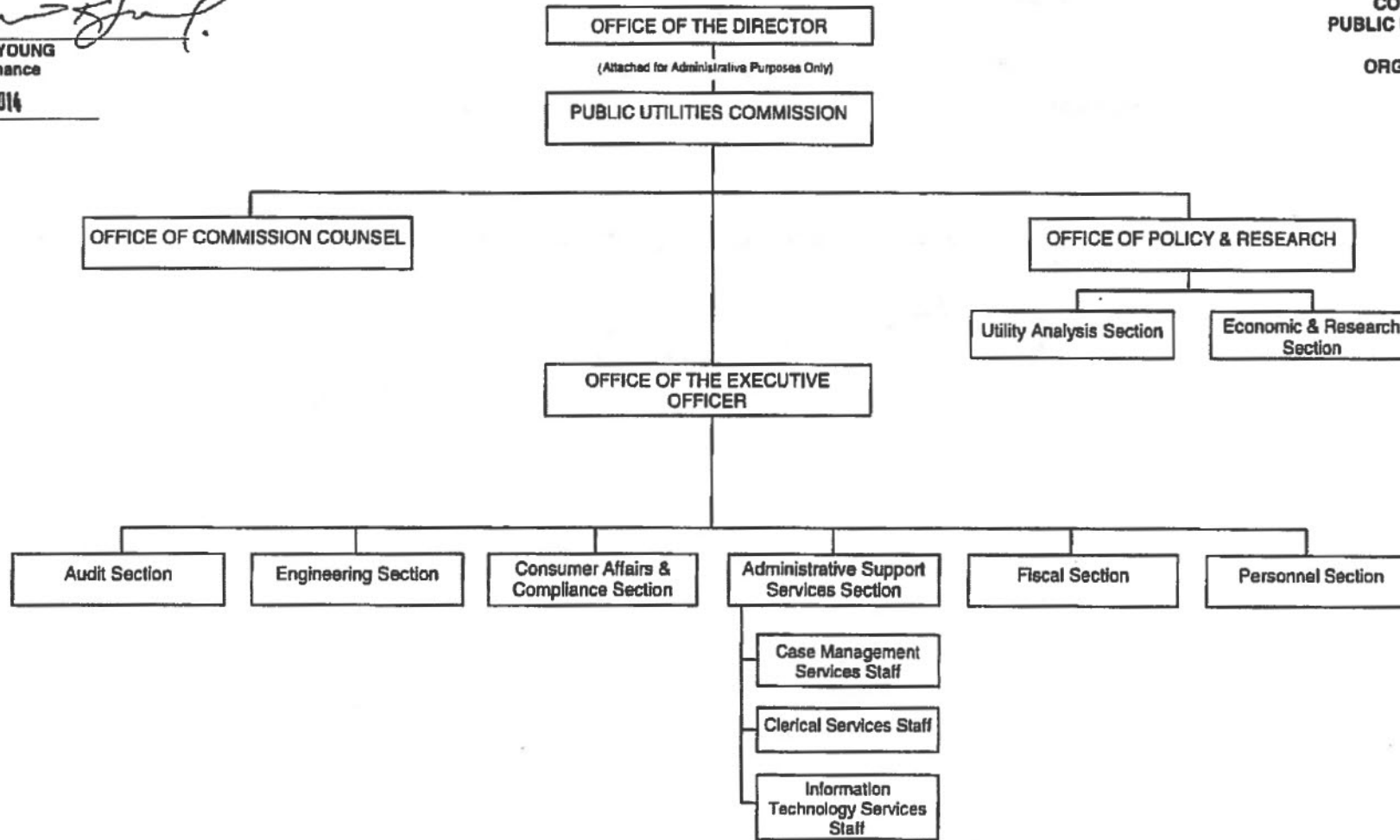
Note: RI denotes Regulated Industries

ACKNOWLEDGED:


KALBERT K. YOUNG
Director of Finance
DEC 09 2014
Date

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
PUBLIC UTILITIES COMMISSION

ORGANIZATION CHART
PROPOSED



ACKNOWLEDGED:

Kalbert K. Young
KALBERT K. YOUNG
Director of Finance

DEC 09 2014

Date

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
PUBLIC UTILITIES COMMISSION

POSITION ORGANIZATION CHART

PROPOSED

