



House Committee on Finance

Budget Briefing

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FY 21 and Biennium FY 22 and FY 23

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OVERVIEW

A. Background and Mission

1. Background

Upon statehood in 1959, Section 5(f) of the Admission Act directed the State to hold lands in trust for five purposes, one of which was “the betterment of the conditions of Native Hawaiians,” as defined in the Hawaiian Homes Commission Act of 1920. In 1978, a Constitutional Convention reviewed and revised the responsibilities of Hawai‘i’s government. Among the provisions incorporated into the new state constitution was the establishment of the Office of Hawaiian Affairs (OHA), as a public trust with mandates to better the conditions of Native Hawaiians and the Native Hawaiian community in general. In 1979, the legislature enacted Chapter 10 of the Hawai‘i Revised Statutes (HRS) which further defined OHA as a semi-autonomous self-governing body. Subsequent legislation has defined the amount of the revenue streams accruing to OHA. OHA’s Board of Trustees is composed of nine elected trustees, five of whom represent specific island districts. OHA’s key administrators include a Chief Executive Officer, Chief Operating Officer and Chief Financial Officer, in addition to Division Directors.

Hawai‘i is an ethnically-diverse state. Currently, one in five (21.67%) people in our island population define themselves as Native Hawaiian. Native Hawaiian households typically consist of larger, multi-generational families with more children, yet have less income per person than other populations residing in the State of Hawai‘i (American Community Survey, U.S. Census Bureau, 2015). Native Hawaiians live in each county, with greater density in rural areas than metro cities.

2. Mission

OHA’s mission is to mālama (protect) Hawai‘i’s people, environmental resources and assets, toward ensuring the perpetuation of the culture, the enhancement of lifestyle and the protection of entitlements of Native Hawaiians, while enabling the building of a strong and healthy Hawaiian people and nation, recognized nationally and internationally. According to Hawai‘i Revised Statutes Chapter 10, OHA is the principal public agency in the State of Hawai‘i responsible for:

- Betterment of conditions of Native Hawaiians;
- Performance, development and coordination of programs and activities relating to Native Hawaiians;
- Assessing the policies and practices of other agencies impacting Native Hawaiians;
- Conducting advocacy efforts for Native Hawaiians; and
- Serving as a receptacle for reparations.

OHA carries out its mission through the strategic allocation of its limited resources. Consistent with best practices among foundations and endowments, and with the purpose of ensuring the continued viability of its Native Hawaiian Trust Fund (NHTF), OHA’s Board of Trustees adopted its first Spending Policy in 2003. This Policy limits OHA’s annual spending to 5% of the rolling 20

quarter average of the NHTF to help ensure sufficient resources are available for future generations. FY 2020 is used as an example to depict OHA's net assets and core operating budget.

Figure 1. OHA's FY 2020 Net Assets (unaudited) = \$657,402,000

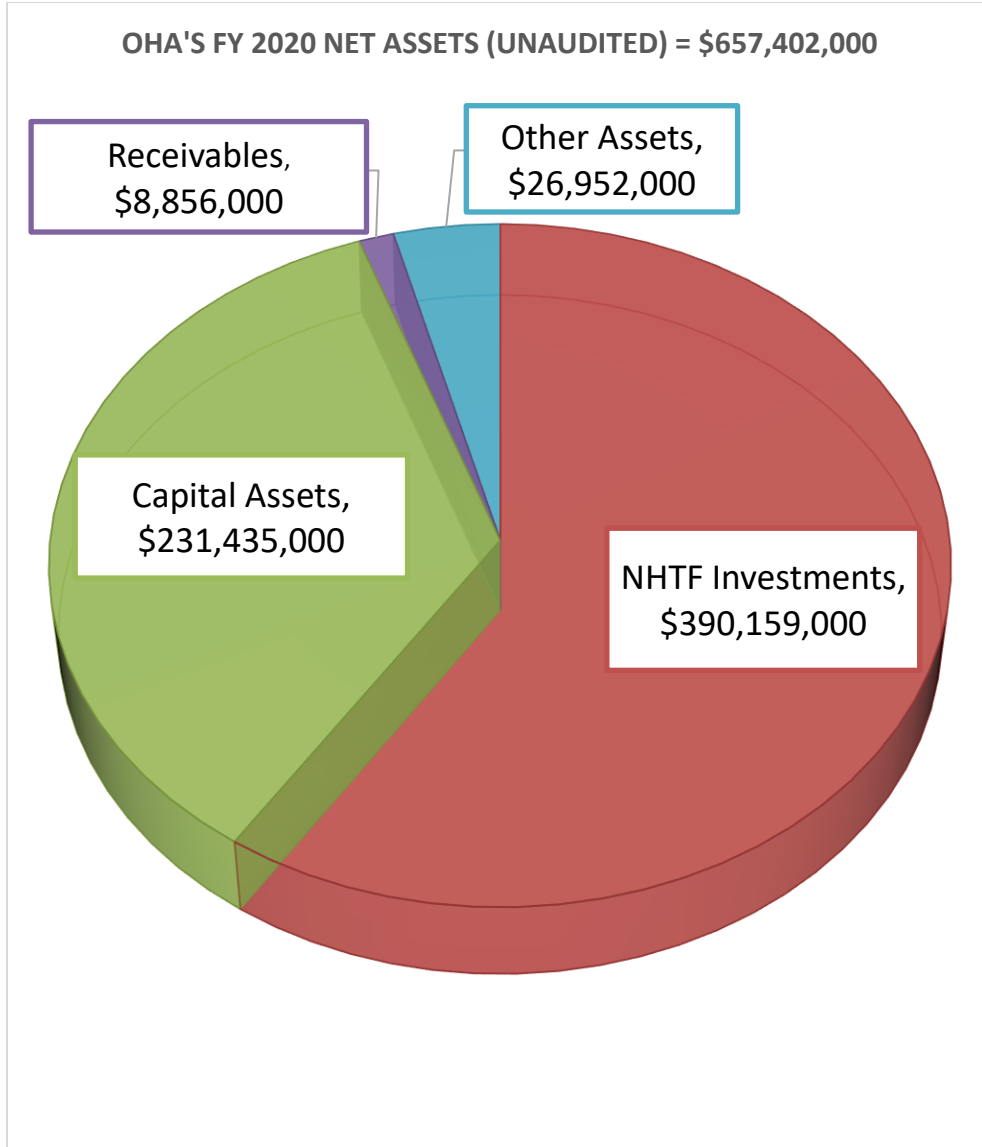


Figure 2. OHA's FY 2020 Funding Sources for Core Operations = \$40,318,589

OHA's Spending Policy limits the amount of annual withdrawals from its investment portfolio for operations.

For FY 2020 the spending limit was \$17,692,555, which was an increase of \$136,729 from FY 2018 of \$17,555,826.

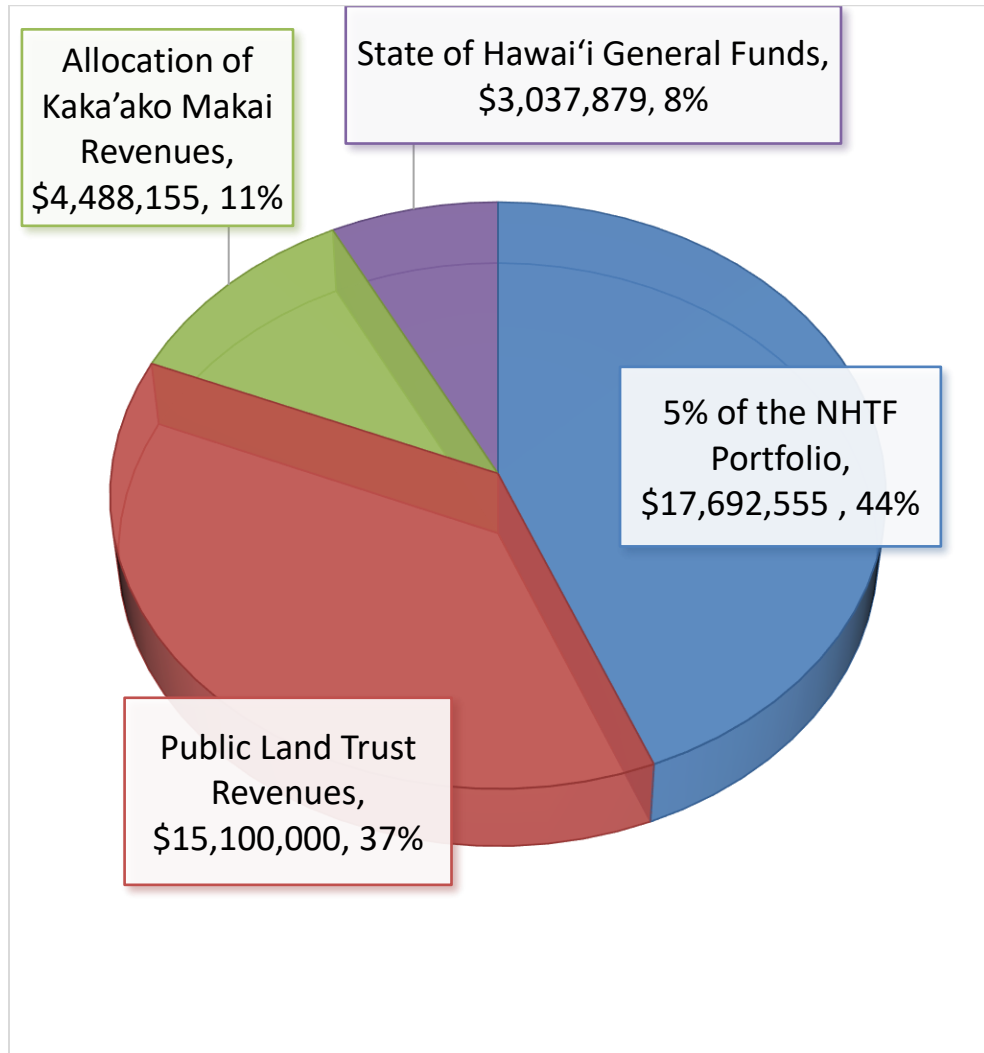
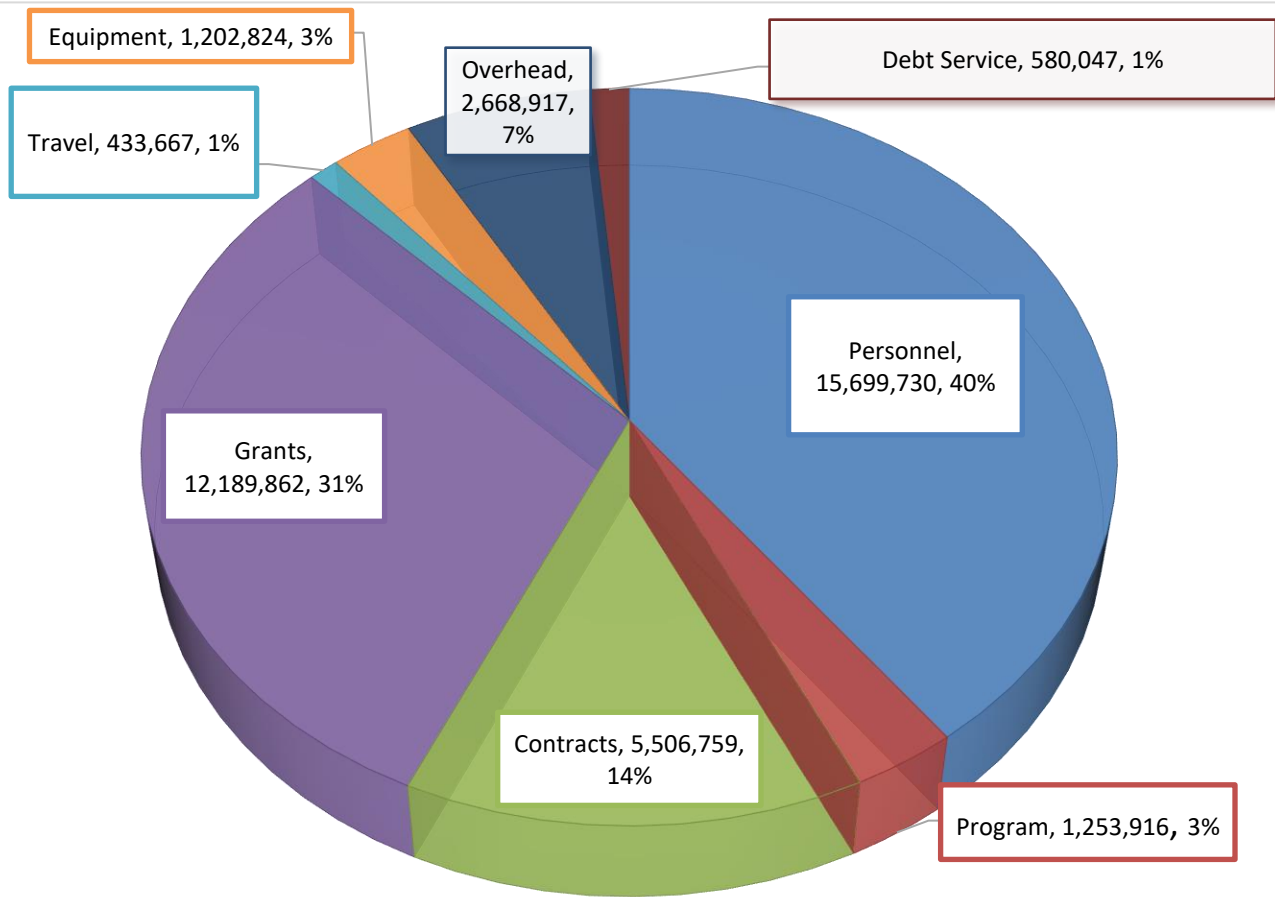


Figure 3. OHA’s FY 2020 Core Operating Budget = \$39,535,722



Each year, OHA publishes its activities and financial performance in its Annual Report. This year’s report illustrates how the organization continues to make inroads on our three main goals: providing resources, advocating for Native Hawaiians on a range of issues and facilitating collaboration among key stakeholders.

We encourage you to review the 2020 Office of Hawaiian Affairs Annual Report. The complete report can be downloaded from www.oha.org/about/annual-reports/.

Approved in final form by the OHA Board of Trustees on September 17, 2020, OHA’s 2020-2035 Strategic Plan will serve as OHA’s guide to achieve and implement the agency’s vision, mission and legal mandates over the next 15 years. OHA’s new Strategic Plan establishes three Strategic Foundations – ‘Āina (Land/Water), Mo’omeheu (Culture) and ‘Ohana (Family) – that will serve as the lens through which decisions, planning, activities, initiatives, policies, procedures and practices will be made. The new Strategic Plan also identifies the following Strategic Directions: Educational Pathways, Health Outcomes, Quality Housing and Economic Stability. Since the OHA

Board's approval of the Strategic Plan in September, the Administration has been developing tactical plans to further implement the Strategic Plan. A formal rollout of OHA's 2020-2035 Strategic Plan is scheduled for the first quarter of the 2021 calendar year.

B. Current Economic Conditions & Notable Performance

1. Impact of Current Economic Conditions

Impacts of COVID-19, including budget cuts on the state and federal levels, combined with a volatile stock market, have significant indirect impacts to OHA's operational funds, programs and/or needs of OHA's beneficiaries in a number of ways. Notably, the non-receipt of general fund appropriations made to OHA for FY20-21 under Act 37 (Reg. Sess. 2019) has also uniquely impacted OHA's operational funding and OHA's planned support for important community programs and organizations.

- **Impact of Reductions in Government Funding.** In general, reductions in government funding to beneficiary programs and the organizations that serve them will cause an increased need for supplemental funding from OHA to ensure that the health, education, legal, housing and other daily needs of our beneficiaries are met. Reduced state appropriations have created a reduction in beneficiary assistance from other community programs, including but not limited to reductions in: 1) emergency financial assistance programs, 2) case management services which assist beneficiaries to access community resources, 3) clinicians providing mental health and substance abuse counseling and support and 4) health monitoring/navigation programs that assist those with chronic disease to get needed medical care.
- **Reduced Available Trust Funds.** Trust funds available to OHA (within spending policy parameters) increased in 2019 but OHA experienced, as did other trust funds and endowments, a decrease in market value in the first quarter of 2020 due to the COVID-19 pandemic's impacts on financial markets.
- **Act 37 Withholding of FY20-21 General Fund Appropriations.** OHA has yet to receive approximately \$3M in appropriated FY20-21 general funds, despite complying with its obligations under Act 37, 2019, which conditions the release of these funds upon the submission of the State Auditor's findings and recommendations in a "financial and management audit" of OHA to the legislature. OHA has dutifully submitted all documents requested by the State Auditor, including executive session minutes with appropriate redactions to preserve OHA's privileged communications with its attorneys. Despite receiving 930 requested documents consisting of thousands of pages, the State Auditor has insisted that he is entitled to OHA's unredacted attorney-client communications and has refused to submit the audit report as required by the Legislature in Act 37. Notably, a September 2020 circuit court ruling by Judge Crabtree held that the State Auditor does not have the power to compel the production of OHA's privileged communications; in coming to its conclusion, the court expressed concern about the "potential chilling effect" on the legal advice public agencies would receive if the State Auditor could review confidential discussions with their attorneys. Despite Judge Crabtree's ruling, the State Auditor has still not submitted his report, which has prevented the release of OHA's FY 21 general funds.

If OHA is not able to access the appropriated FY20-21 general funds, it would be a historic policy shift and most likely represent the first fiscal year of OHA's existence that it has not received a general fund appropriation from the State. This would also disrupt the State's long and well-established history of partnering with OHA through OHA's budget act to make strategic and efficient community investments in specific areas of need. The first legislative carve-out for legal services funds within the OHA appropriations law occurred in 2001; the first carve-out for social services occurred in 1993; and the first carve-out for education of gifted Hawaiian children also occurred in 1993. In recent biennia, general funds received under these carve-outs have been matched by OHA's trust funds and strategically leveraged against private funds, resulting in community investments that have quadrupled the impact of every general fund dollar received by OHA. Unfortunately, the withholding of the FY20-21 general fund appropriation would result in a commensurate opportunity cost for the State, for OHA and for programs and services of critical importance to OHA's beneficiaries.

- **Beneficiary Disparities.** Due to many factors, Hawai'i continues to experience increases to costs of living (i.e. housing and food) that exceed increases in wage and income. This has exacerbated Native Hawaiians' housing instability, high rates of homelessness and higher rates of poverty. Additionally, although Native Hawaiians participate in the labor force at higher rates than the state average (66.7% versus 65.3%), Native Hawaiian per capita income is substantially lower than the state population, \$24,188 compared to \$33,882 (U.S. Census Bureau, 2018; U.S. Census Bureau, 2018).
- **Bottom Line.** State and federal budget cuts may have reduced services and programs that previously serviced OHA beneficiaries. When there are cuts beneficiaries often turn to OHA to fill the gap. Also, increasingly non-profits and other social services organizations have looked to other avenues to support their work and have turned to OHA for support. Unfortunately, the unprecedented withholding of general funds appropriated for the current fiscal biennium may only result in significant opportunity costs for programs and services in critical areas supported through a decades-long and otherwise uninterrupted OHA-State partnership.

2. Notable Performance, Results and Expected Outcomes

Enhanced Performance Measures and Accountabilities. In the quest to improve conditions for Native Hawaiians, OHA continues to work closely with many community-based partners who continue to play a critical role of improving the well being of Native Hawaiians. OHA remains encouraged by visible signs of progress in helping Hawai'i's citizens improve their health; improve their economic self-sufficiency; secure stable housing; improve skills in reading and math; and protect legal rights. Within the last year OHA has continued to align its funded projects with its strategic priorities, increased its grants monitoring, strengthened its grants performance measures and streamlined its grant processes with input from past and current providers. Trustees have adopted new guidelines for its Kūlia grants program, amended trustee protocol allowance policies and replaced the fiscal reserve policy with a fiscal stability policy. Currently, all OHA community grant applications, from each county and for every grant type, are submitted

online at OHA's updated website - www.oha.org. Finally, OHA's Board approved a policy amendment that requires Board approval for all grants and sponsorships and therefore these decisions will be made in open public meetings.

Significant Return on Investment via OHA and Community Commitment. For FY20 OHA successfully leveraged \$1,554,400 of general funds into \$4,634,234 in the areas of social services, legal services and education, a multiple of nearly three times the original amount.

Strong demand continues for OHA grant money to help tackle priority issues. Community partners increasingly tailor marketable services to OHA priorities to meet critical needs across the State. Organizations have historically been asked to contribute a 20% cash match in each grant request. OHA anticipates that it will be as successful in its administration of state general funds and OHA trust funds as it was in this past fiscal biennium.

Bottom Line. The State's general funds are highly leveraged through its partnership with OHA, as evidenced by an overall **2.84 : 1 projected total impact** as depicted in Table 1.

In other words, OHA will turn **\$3.1 million** into **\$8.8 million** to strengthen Hawai'i families and communities.

Table 1. Summary of FB 22/23 Projected State Leveraged Impact by Priority Area: State Funds Leveraged 2.84:1

Priority Area	Requested State General Funds	Projected OHA Matching Funds	Projected 20% Community Match	Other OHA Trust Funds	Total Impact	Leveraged Impact	Estimated # of Individuals to be Served	Estimated # of Native Hawaiians to be Served
Social Services	\$830,000	\$830,000	\$332,000	\$0	\$1,992,000	2.40	3,200	3,200
Legal Services	\$1,048,800	\$1,048,800	\$545,376	\$0	\$2,642,976	2.52	1,100	1,100
Education	\$1,230,000	\$1,230,000	\$200,000	\$1,540,000	\$4,200,000	3.41	9,700	6,900
TOTAL:	\$3,108,800	\$3,108,800	\$1,077,376	\$1,540,000	\$8,834,976	2.84	14,000	11,200

Notes:

[A] Education includes \$3M/year to Hawaiian Focused Charter Schools

[B] Additional Funds through OHA Partnership \$5,726,176 = \$8,834,976 (Total Investment) - \$3,108,800 (State General Funds)

[C] Leveraged Impact = Total Investment / State General Funds; Projected State General Funds leveraged throu OHA Partnerships: 2.84 : 1

FEDERAL FUNDS

C. Impending Loss of Direct Federal Funds

OHA currently administers one (1) Federally-funded pass-through program and one (1) Federally-funded direct program. As of this time, these programs have not lost, or are not at risk, of losing federal funding. They are summarized as follows:

<u>Federal Grantor / Pass-through Grantor / Program Title</u>	<u>Federal CFDA Number</u>
U.S. Department of Transportation - Federal Highways --	
Pass-through Program: Department of Transportation, State of Hawai'i Interstate Route H-3	20.205
U.S. Department of Health and Human Services --	
Direct Program: Native American Program, Native Hawaiian Revolving Loan Program	93.612

1. The Hālawā Luluku Interpretive Development (HLID) Project is a pass-through from the State Department of Transportation. Its three basic goals are compliance, mitigation and community support relating to the adverse impacts as a result of construction of Interstate Highway H-3.
2. The Native Hawaiian Revolving Loan Fund (NHRLF), whose mission is to enhance access for all persons of Native Hawaiian ancestry to credit, capital and financial services to create jobs, wealth and economic and social well-being, issued its first loan in 1989.

The Native American Programs Act (NAPA) of 1974, as amended, requires the Administration for Native Americans to submit an Annual Report to the Congress on the NHRLF. The most recent report, submitted in December 2020, noted that through FY 2021 Quarter 1 (September 30, 2020), “OHA has successfully revolved the Fund 2.89 times by lending a cumulative \$66 million to 2,750 Native Hawaiians. More importantly, the Fund has contributed to the economic self-sufficiency of Native Hawaiians.” The report continues by informing the Congress of joint efforts to develop a Three-Year Business Plan that “will demonstrate that the \$22.8 million Fund can be self-sustaining and continue to advance the economic and social self-sufficiency of Native Hawaiians.”

NON-GENERAL FUNDS

D. Reports to the Legislature on Non-General Funds pursuant to HRS 37-47

Please see Appendix C.

BUDGET REQUEST

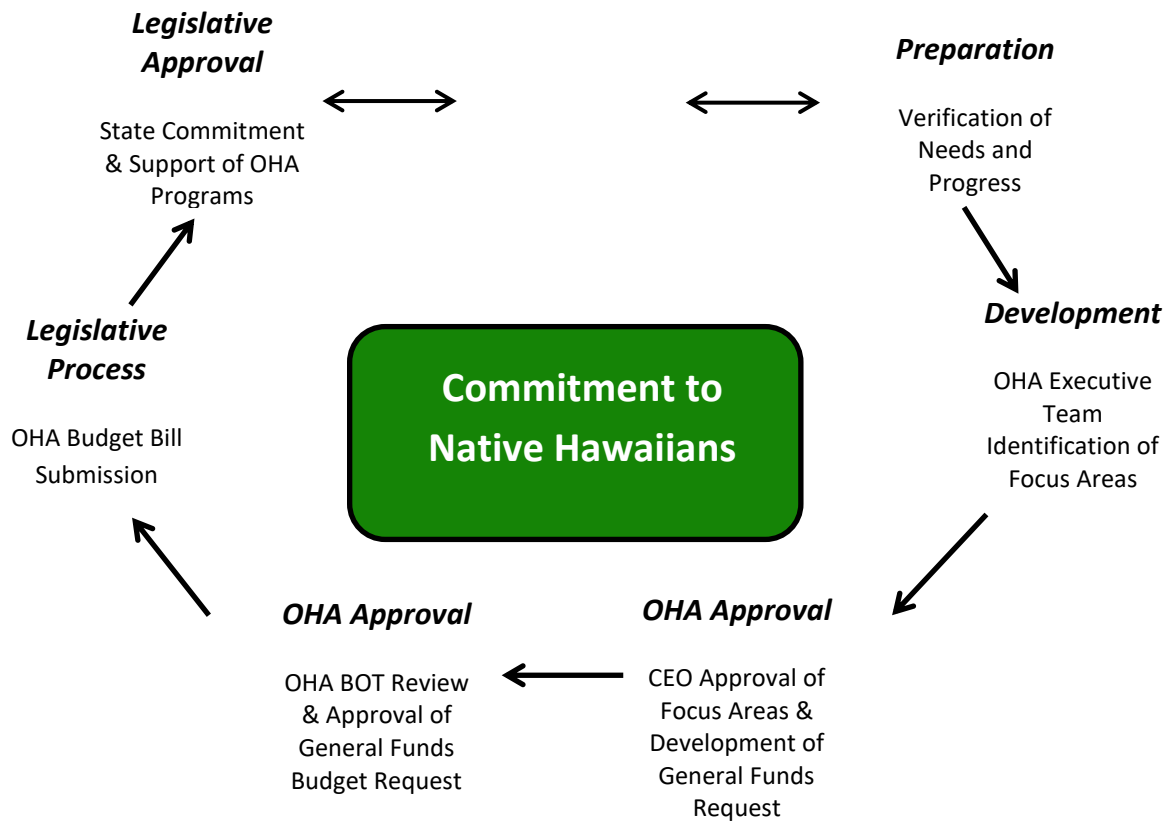
E. Budget Development Process and Priority Requests

1. Budget Development

As documented in Figure 4, OHA's request centers on both the State and OHA's commitment to Native Hawaiians. The process involves an assessment of priorities, previous progress and needs by OHA's Executive Team prior to Board of Trustees approval and eventual submission to the Legislature.

Figure 4. OHA's General Funds Biennial Budget Development Process





2. Summary of Priority Requests

Historical Funding. OHA has received state general funds since 1981 for a portion of its personnel and operating costs and to provide services to its beneficiaries. Through its FY 2020 appropriations, OHA currently supports services to beneficiaries in the priority areas of **Social Services, Legal Services** and **Education**. OHA considers all of its programmatic requests (Social Services, Legal Services and Education) as well as support provided for personnel and operations as priorities.

Budget Requests. OHA is requesting the same budget this year that the Legislature approved for the previous biennium FY 2020 – FY2021. OHA requests **\$6,075,758** including **\$3,108,800** for **programmatic support** plus **\$2,966,958** for **personnel and operations** during the next biennium. OHA hopes to leverage state general funds provided for programmatic support of **\$3,108,800** to a projected **\$11,834,976** or **3.81:1**, as summarized in Table 1.

F. Significant Budget Adjustments and Related Outcomes

Changes for the upcoming FB 20/21 biennium are summarized in **Table 2** below:

Table 2. Overview of OHA’s Budget Request by Priority Area (per year)

Purpose	FB 2020/2021 (per year)		FB 2022/2023 (per year)		Adjustments	
	General Funds	Trust Fund Match	General Funds	Trust Fund Match	General Funds	Trust Fund Match
Social Services	\$415,000	\$415,000	\$415,000	\$415,000	\$0	\$0
Legal	524,400	524,400	524,400	\$524,400	0	\$0
Education	615,000	615,000	615,000	\$615,000	0	\$0
Income	119,430	119,430	119,430	\$119,430	0	0
Personnel	1,067,175	3,959,000	1,067,175	\$4,459,000	0	\$500,000
Operations	296,874	796,874	296,874	\$296,874	0	(\$500,000)
Totals:	\$3,037,879	\$6,429,704	3,037,879	\$6,429,704	\$0	\$0

Although OHA requests state general funds each year, OHA’s support to the State and its programs far exceeds its ask. During the same period of time (FY 12-FY 21) in which OHA committed over **\$65 million in support of State agencies and its University**, it received less than half that amount, or **\$25 million** in state general funds, as summarized in **Table 3** to the right.

The infographics on the pages following summarize OHA’s overall budget request as well as its projected leveraged impact as it relates to the four priority areas.

Table 3. State General Funds to OHA FY 12-FY 20

Fiscal Year	General Funds Amount
FY 2012	2,370,872
FY 2013	2,370,872
FY 2014	3,141,574
FY 2015	2,741,574
FY 2016	2,991,004
FY 2017	2,991,004
FY 2018	3,037,879
FY 2019	3,037,879
FY 2020	3,037,879
FY 2021	0
Total:	\$25,720,537

G. Summary of OHA’s Budget Request and Projected State Leveraged Impact

In its quest to improve the conditions of Native Hawaiians, OHA has worked closely with a number of community-based partners to bring attention, needed resources and advocacy to address the many urgent issues facing the Native Hawaiian community and the State as a whole. OHA remains encouraged by signs of progress in Native Hawaiians’ ability to secure stable housing, improved socioeconomic conditions, increased financial stability and greater awareness of and ability to protect their legal rights. In the months and years ahead, the immediate challenge will be helping our beneficiaries and communities as we face a pandemic and associated economic crisis.

OHA’s budget requests over the years have sought to extend the reach and impact of state general funds by matching them with OHA trust funds, and then further leveraging that combination of funds with community resources, both cash and in-kind. Unfortunately, the cruel reality of COVID-19 is that it has exacerbated longstanding needs in the community while severely diminishing the resources available to help. Therefore, OHA is considering opportunities to lessen the burden on our community partners by looking for creative ways to reduce the cash-match requirement for our grants during COVID-19. We look forward to sharing more information on these opportunities with the Legislature.

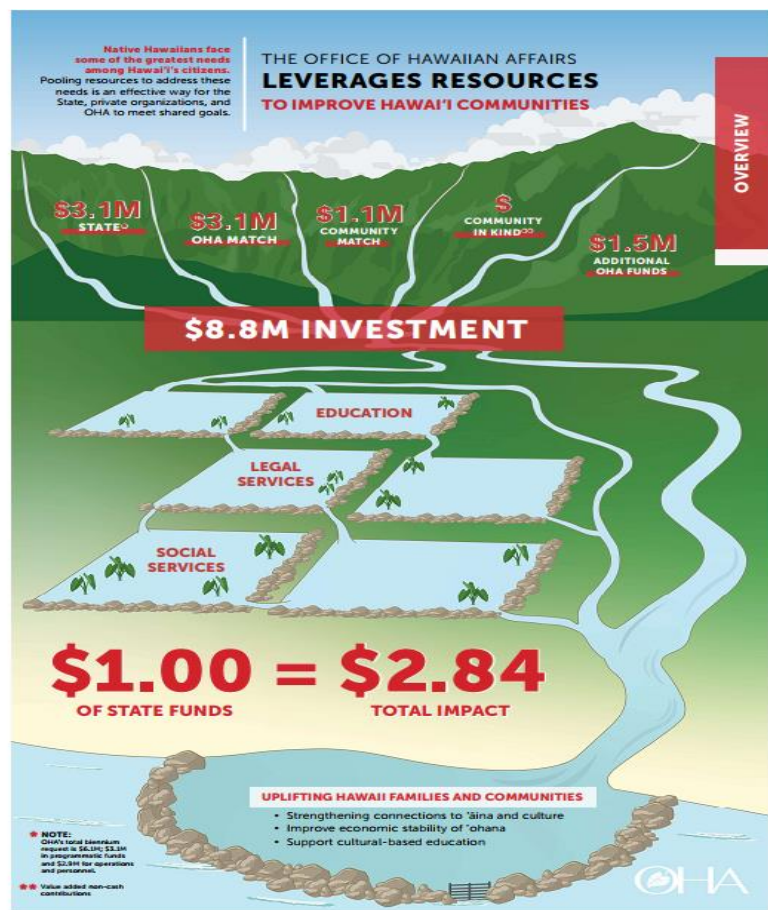


Figure 5. Summary Infographic (See Appendix A-1 for larger image)

1. Social Services

OHA hopes to continue its partnership with the State, to provide support and funding for social services critically needed by the Native Hawaiian community. Through its grants and emergency financial assistance programs, OHA hopes to match and multiply the impact of requested state general funds, to maintain and advance the financial literacy and self-sufficiency, housing security and overall socioeconomic status of its beneficiaries. Whether through counseling opportunities to improve our beneficiaries' financial literacy, or through emergency funds to keep families from being forced out of their houses and onto the streets in the face of unexpected crises, among other programs and services, the OHA-State partnership to support social services for Native Hawaiians has a proven track record of success worthy of continued investment.

With so many in our community still reeling from the devastating and ongoing impacts of the COVID-19 pandemic, the innovative programs and interventions as well as the financial lifelines that would be supported by the OHA budget bill's social services proviso are needed now perhaps more than ever before.

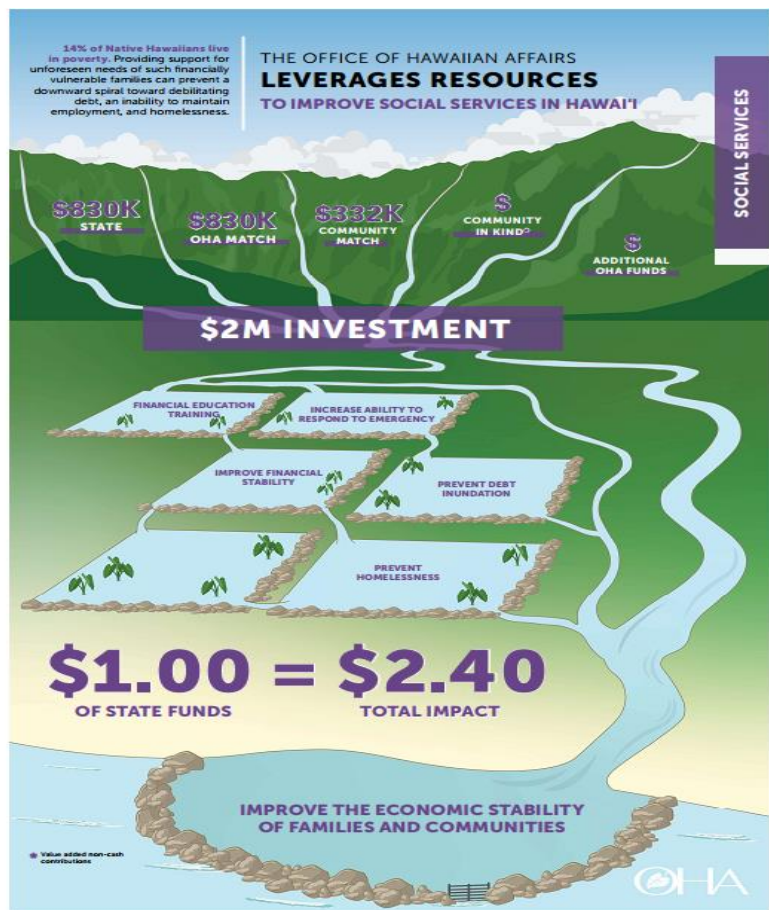


Figure 6. Social Services Infographic (See Appendix A-2 for larger image)

2. Legal Services

Access to justice has been and remains critically important for Native Hawaiians seeking to perpetuate their culture, and maintain connections to their ‘āina, their communities and their ‘ohana. With unique concerns and legal rights relating to issues such as quiet title, traditional and customary practices, the protection of significant places and the protection of natural and cultural public trust resources, Native Hawaiians must often rely on the courts and on affordable legal representation, to ensure that the laws of our state are consistently upheld and fairly applied. Accordingly, OHA and the State have partnered for nearly 20 years, to combine and leverage funds to support programs that increase access to justice for the Native Hawaiian community. This year, OHA’s budget bill hopes to continue this longstanding partnership, and support programs that have helped countless Native Hawaiians vindicate and uphold their rights through legal services they would otherwise be unable to afford.

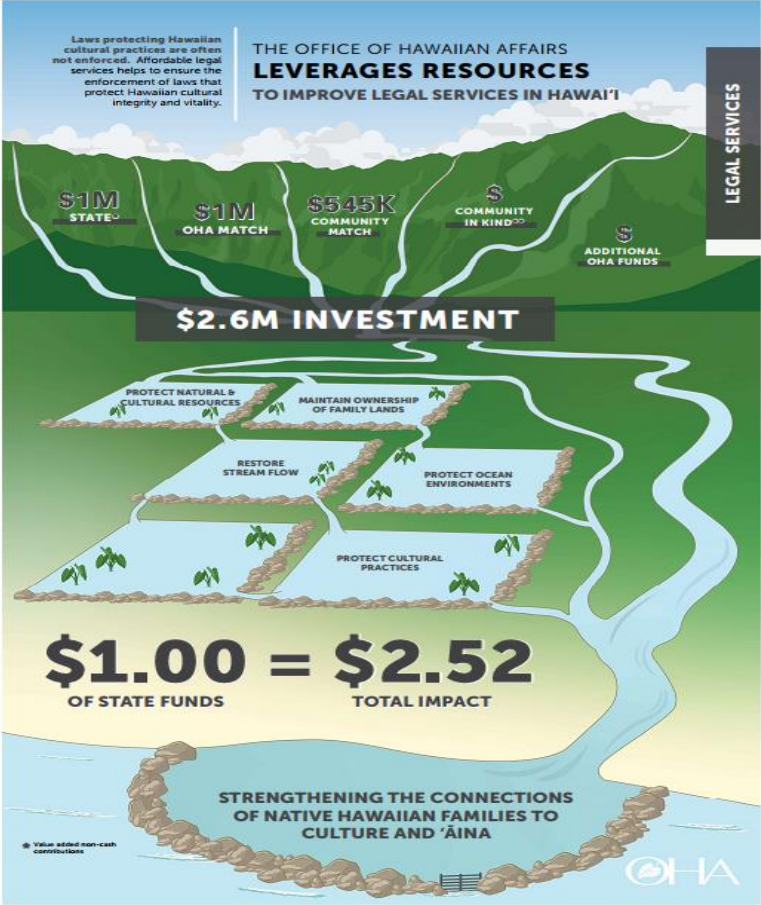


Figure 7. Legal Services Infographic (See Appendix A-3 for larger image)

3. Education

OHA seeks to support programs that provide Native Hawaiian students with learning opportunities that are culturally-based, that strengthen students’ sense of well-being and that provide specific strategies to help them understand the math and reading concepts with which they are struggling. Research shows that teaching environments using a culture-based education approach positively influence key student outcomes, including improved community connections and cultural relationships, increased self-efficacy and a sense of belonging and increased college aspirations. (Kanaiaupuni et al., 2017).

In addition, OHA believes that successful educational outcomes for all students are critical to supporting the State’s economy, overcoming our present and future social and environmental challenges and maintaining a culturally enriched and socially conscious island society.

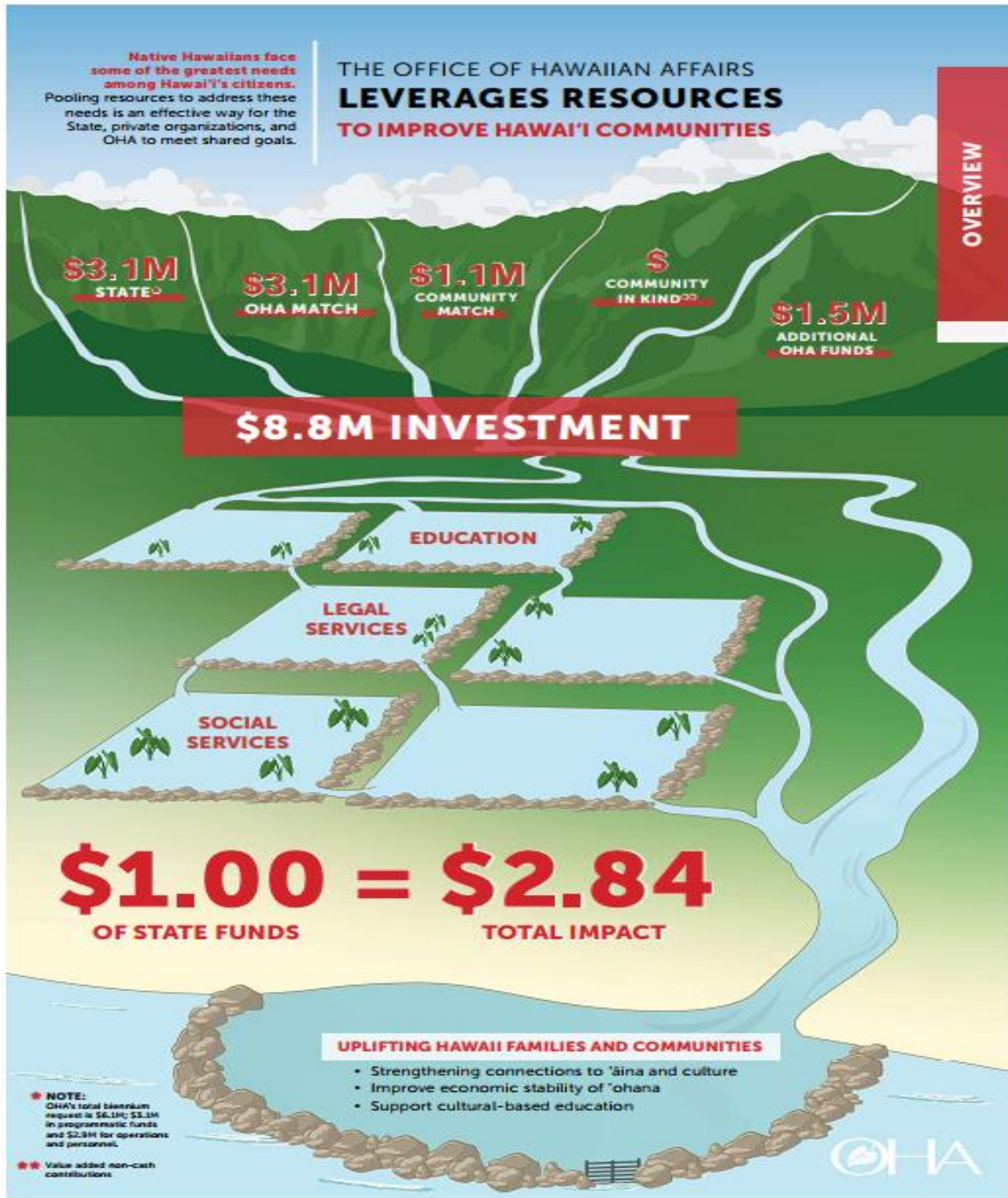


Figure 8. Education Infographic (See Appendix A-4 for larger image)

Appendix A

OHA's Full Size Infographics

Figure A-1 Overview



Figures A-2 Social Services

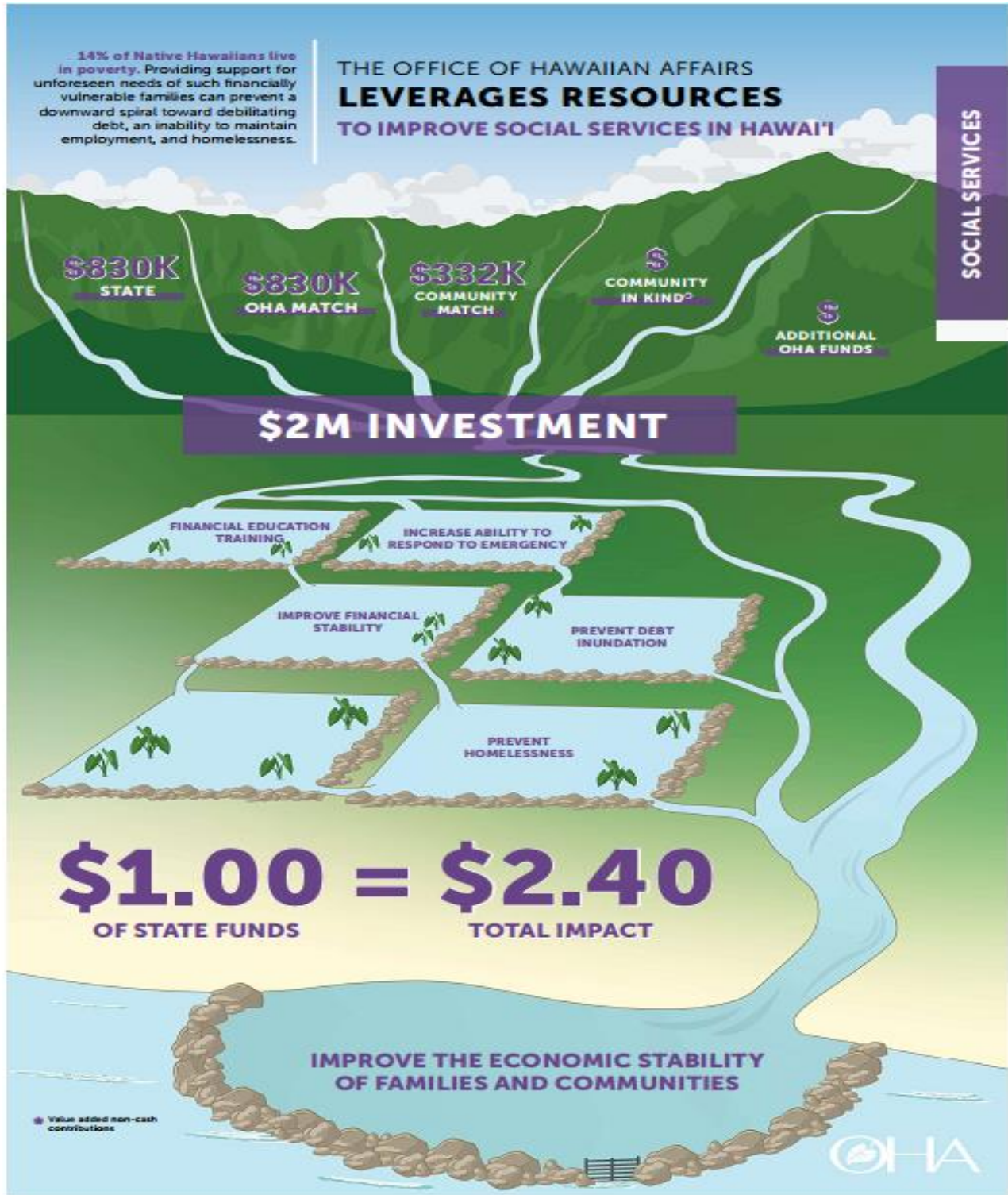


Figure A-3 Legal Services

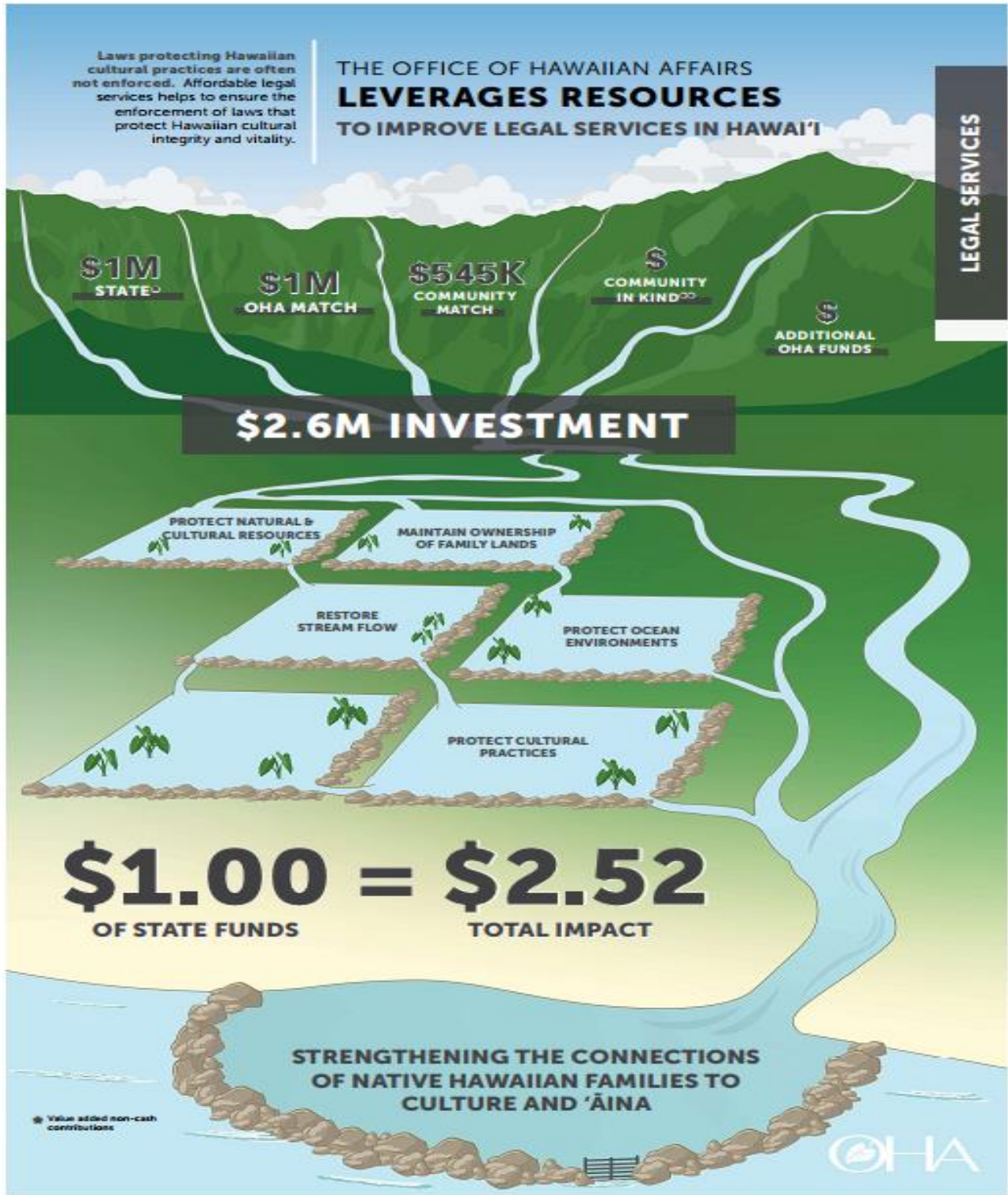
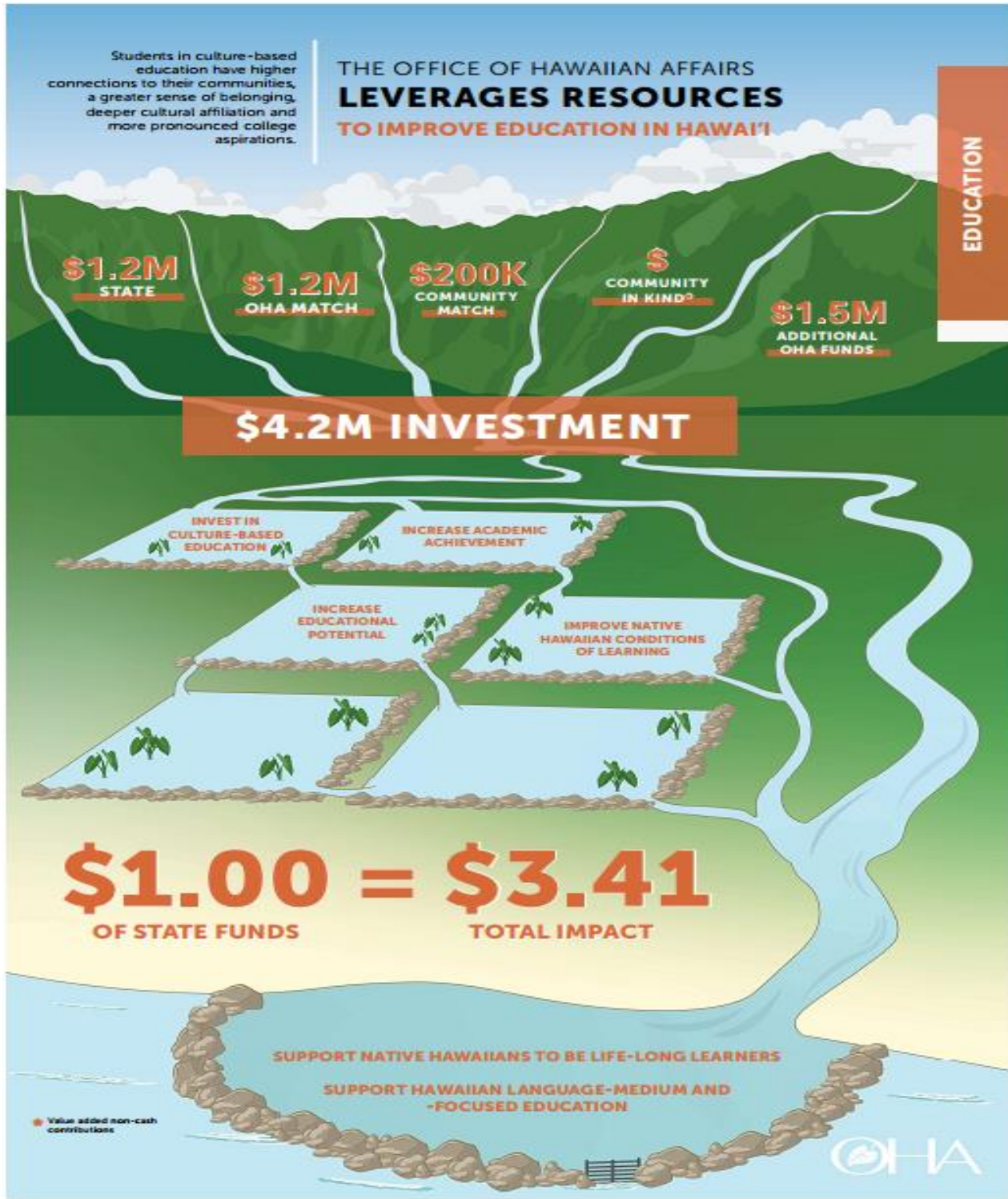


Figure A-4 Education



Appendix B

Additional Information: Excel Tables 1-18 and Organization Chart

Office of Hawaiian Affairs
Functions

Table 1

<u>Division</u>	<u>Description of Function</u>	<u>Activities</u>	<u>Prog ID(s)</u>	<u>Statutory Reference</u>
OHA150	Personnel	Services and assistance to Native Hawaiians	OHA150	HRS10-3(3)
OHA160	Personnel and administrative costs	Services and assistance to Native Hawaiians	OHA160	HRS10-3(3)
OHA175	Social services, legal services and legal representation, educational enrichment programs and leverage opportunities	Services and assistance to Native Hawaiians	OHA175	HRS10-3(3)
	Personnel and administrative costs	Services and assistance to Native Hawaiians	OHA175	HRS10-3(3)

Office of Hawaiian Affairs
Department-Wide Totals

Table 2

	A	B	C	D	E	F
1	Fiscal Year 2020					
2	Act 037/19 Appropriation	Reductions	Additions	Emergency Appropriations	Total FY20	MOF
3	\$ 3,037,879.00				\$ 3,037,879.00	A
4	\$ 6,429,704.00				\$ 6,429,704.00	T
5					\$ -	
6					\$ -	
7					\$ -	
8					\$ -	
9	\$ 9,467,583.00	\$ -	\$ -	\$ -	\$ 9,467,583.00	Total
10						
11	Fiscal Year 2021					
12	Act 037/19 Appropriation	Reductions	Additions	Emergency Appropriations	Total FY21	MOF
13	\$ 3,037,879.00	\$ (3,037,879.00)			\$ -	A
14	\$ 6,429,704.00				\$ 6,429,704.00	T
15					\$ -	
16					\$ -	
17					\$ -	
18					\$ -	
19	\$ 9,467,583.00	\$ (3,037,879.00)	\$ -	\$ -	\$ 6,429,704.00	Total
20						
21						
22	Fiscal Year 2022					
23	Appropriation	Reductions	Additions	Emergency Appropriations	Total FY21	MOF
24	\$ 3,037,879.00				\$ 3,037,879.00	A
25	\$ 6,429,704.00				\$ 6,429,704.00	T
26					\$ -	
27					\$ -	
28					\$ -	
29					\$ -	
30	\$ 9,467,583.00	\$ -	\$ -	\$ -	\$ 9,467,583.00	Total
31						
32	Fiscal Year 2023					
33	Appropriation	Reductions	Additions	Emergency Appropriations	Total FY21	MOF
34	\$ 3,037,879.00				\$ 3,037,879.00	A
35	\$ 6,429,704.00				\$ 6,429,704.00	T
36					\$ -	
37					\$ -	
38					\$ -	
39					\$ -	
40	\$ 9,467,583.00	\$ -	\$ -	\$ -	\$ 9,467,583.00	Total
41						
42						

Office of Hawaiian Affairs
Program ID Totals

Table 3

Prog ID	Program Title	MOF	As budgeted in Act 037/19 (FY20)			As budgeted in Act 037/19 (FY21)			OHA's Submittal (FY20)				OHA's Submittal (FY21)			
			Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Percent Change of \$\$\$\$	Pos (P)	Pos (T)	\$\$\$	Percent Change of \$\$\$\$
OHA150	OFFICE OF THE TRUSTEES	A	0.47	-	\$ 58,323	A	0.47	\$ 58,323	A	0.47	\$ 58,323	0	A	0.47	\$ 58,323	0
OHA150	OFFICE OF THE TRUSTEES	T	4.53	-	\$ 275,687	T	4.53	\$ 275,687	T	4.53	\$ 275,687	0	T	4.53	\$ 275,687	0
		TOTAL	5.00	-	\$ 334,010	TOTAL	5.00	\$ 334,010	TOTAL	5.00	\$ 334,010	0	TOTAL	5.00	\$ 334,010	0
OHA160	ADMINISTRATION	A	5.03	-	\$ 1,124,042	A	5.03	\$ 1,124,042	A	5.03	\$ 1,124,042	0	A	5.03	\$ 1,124,042	0
OHA160	ADMINISTRATION	T	31.97	-	\$ 2,861,727	T	31.97	\$ 2,861,727	T	31.97	\$ 2,861,727	0	T	31.97	\$ 2,861,727	0
		TOTAL	37.00	-	\$ 3,985,769	TOTAL	37.00	\$ 3,985,769	TOTAL	37.00	\$ 3,985,769	0	TOTAL	37.00	\$ 3,985,769	0
OHA175	BENEFICIARY ADVOCACY	A	1.47	-	\$ 1,855,514	A	1.47	\$ 1,855,514	A	1.47	\$ 1,855,514	0	A	1.47	\$ 1,855,514	0
OHA175	BENEFICIARY ADVOCACY	T	18.53	-	\$ 3,292,290	T	18.53	\$ 3,292,290	T	18.53	\$ 3,292,290	0	T	18.53	\$ 3,292,290	0
		TOTAL	20.00	-	\$ 5,147,804	TOTAL	20.00	\$ 5,147,804	TOTAL	20.00	\$ 5,147,804	0	TOTAL	20.00	\$ 5,147,804	0
	TOTAL	A	6.97	-	\$ 3,037,879	A	6.97	\$ 3,037,879	A	6.97	\$ 3,037,879	0	A	6.97	\$ 3,037,879	0
	TOTAL	T	55.03	-	\$ 6,429,704	T	55.03	\$ 6,429,704	T	55.03	\$ 6,429,704	0	T	55.03	\$ 6,429,704	0
	TOTAL	TOTAL	62.00	-	\$ 9,467,583	TOTAL	62.00	\$ 9,467,583	TOTAL	62.00	\$ 9,467,583	0	TOTAL	62.00	\$ 9,467,583	0

Office of Hawaiian Affairs
Budget Decisions

Table 4

Prog ID	Sub-Org	Description of Request	MOF	Executive Team Recommendations						CEO Approval and Recommendations						Board of Trustees' Decisions					
				FY20			FY21			FY20			FY21			FY20			FY21		
				Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
OHA150	N/A	OFFICE OF THE TRUSTEES	A	0.47	-	\$ 58,323	0.47	-	\$ 58,323	0.47	-	\$ 58,323	0.47	-	\$ 58,323	0.47	-	\$ 58,323	0.47	-	\$ 58,323
OHA150	N/A	OFFICE OF THE TRUSTEES	T	4.53	-	\$ 275,687	4.53	-	\$ 5	4.53	-	\$ -	4.53	-	\$ -	4.53	-	\$ -	4.53	-	\$ -
		TOTAL		5.00	-	\$ 334,010	5.00	-	\$ 58,328	5.00	-	\$ 58,323	5.00	-	\$ 58,323	5.00	-	\$ 58,323	5.00	-	\$ 58,323
OHA160	N/A	ADMINISTRATION	A	5.03	-	\$ 1,124,042	5.03	-	\$ 1,124,042	5.03	-	\$ 1,124,042	5.03	-	\$ 1,124,042	5.03	-	\$ 1,124,042	5.03	-	\$ 1,124,042
OHA160	N/A	ADMINISTRATION	T	31.97	-	\$ 2,861,730	31.97	-	\$ 35	31.97	-	\$ 3	31.97	-	\$ 3	31.97	-	\$ 3	31.97	-	\$ 3
		TOTAL		37.00	-	\$ 3,985,772	37.00	-	\$ 1,124,077	37.00	-	\$ 1,124,045	37.00	-	\$ 1,124,045	37.00	-	\$ 1,124,045	37.00	-	\$ 1,124,045
OHA175	N/A	BENEFICIARY ADVOCACY	A	1.47	-	\$ 1,855,514	1.47	-	\$ 1,855,514	1.47	-	\$ 1,855,514	1.47	-	\$ 1,855,514	1.47	-	\$ 1,855,514	1.47	-	\$ 1,855,514
OHA175	N/A	BENEFICIARY ADVOCACY	T	18.53	-	\$ 3,292,290	18.53	-	\$ 3,292,290	18.53	-	\$ 3,292,290	18.53	-	\$ 3,292,290	18.53	-	\$ 3,292,290	18.53	-	\$ 3,292,290
		TOTAL		20.00	-	\$ 5,147,804	20.00	-	\$ 5,147,804	20.00	-	\$ 5,147,804	20.00	-	\$ 5,147,804	20.00	-	\$ 5,147,804	20.00	-	\$ 5,147,804
			A	6.97	-	\$ 3,037,879	6.97	-	\$ 3,037,879	6.97	-	\$ 3,037,879	6.97	-	\$ 3,037,879	6.97	-	\$ 3,037,879	6.97	-	\$ 3,037,879
			T	55.03	-	\$ 6,429,707	55.03	-	\$ 3,292,330	55.03	-	\$ 3,292,293	55.03	-	\$ 3,292,293	55.03	-	\$ 3,292,293	55.03	-	\$ 3,292,293
		TOTAL		62.00	-	\$ 9,467,586	62.00	-	\$ 6,330,209	62.00	-	\$ 6,330,172	62.00	-	\$ 6,330,172	62.00	-	\$ 6,330,172	62.00	-	\$ 6,330,172

Office of Hawaiian Affairs
Proposed Budget Reductions

Table 5

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Description of Reduction</u>	<u>Impact of Reduction</u>	<u>MOF</u>	<u>FY20</u>			<u>FY21</u>			<u>FY19 Restriction (Y/N)</u>
					<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$\$</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$\$</u>	
NONE											

Office of Hawaiian Affairs
Proposed Budget Additions

Table 6

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Addition Type</u>	<u>Prog ID Priority</u>	<u>Dept-Wide Priority</u>	<u>Description of Addition</u>	<u>Justification</u>	<u>MOF</u>	<u>FY20</u>			<u>FY21</u>		
								<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
NONE													

<u>Fiscal</u> <u>Year</u>	<u>Prog ID</u>	<u>Sub-Org</u>	<u>MOF</u>	<u>Budgeted by</u> <u>Dept</u>	<u>Restriction</u>	<u>Difference</u> <u>Between</u> <u>Budgeted &</u> <u>Restricted</u>	<u>Percent Difference</u>	<u>Impact</u>
NONE								

Office of Hawaiian Affairs
Emergency Appropriation Requests

Table 8

<u>Prog ID</u>	<u>Description of Request</u>	<u>Explanation of Request</u>	<u>MOE</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
NONE						

Office of Hawaiian Affairs
Expenditures Exceeding Appropriation Ceilings in FY19 and FY20

Table 9

<u>Prog ID</u>	<u>MOF</u>	<u>Date</u>	<u>Appropriation</u>	<u>Amount Exceeding Appropriation</u>	<u>Percent Exceeded</u>	<u>Reason for Exceeding Ceiling</u>	<u>Legal Authority</u>	<u>Recurring (Y/N)</u>	<u>GF Impact (Y/N)</u>
NONE									

Office of Hawaiian Affairs
 Intradepartmental Transfers in FY19 and FY20

Table 10

<u>Actual or Anticipated Date of Transfer</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	<u>From Prog ID</u>	<u>Percent of Program ID Appropriation Transferred From</u>	<u>To Prog ID</u>	<u>Percent of Receiving Program ID Appropriation</u>	<u>Reason for Transfer</u>	<u>Recurring (Y/N)</u>
NONE										

Office of Hawaiian Affairs
 Vacancy Report as of November 30, 2019

Table 11

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Date of Vacancy</u>	<u>Expected Fill Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>Perm Temp (P/T)</u>	<u>FTE</u>	<u>MOF</u>	<u>Budgeted Amount</u>	<u>Actual Salary Last Paid</u>	<u>Authority to Hire (Y/N)</u>	<u>Occupied by 89 Day Hire (Y/N)</u>	<u># of 89 Hire Appts</u>	<u>Describe if Filled by other Means</u>	<u>Priority # to Retain</u>
OHA160		9/1/2019	2/1/2021	16208	Compliance Officer	Y	26	00	P	1.00	T	\$ 60,000.00	N/A	Y	N	N/A	N/A	1
OHA175		1/18/2020	1/16/2021	16345	Grants Specialist IV	N	24	00	P	1.00	T	\$ 75,000.00	\$ 76,128.00	Y	N	N/A	N/A	1
OHA160		9/15/2020	3/1/2021	16402	Executive Assistant	Y	18	00	P	1.00	T	\$ 56,181.60	\$ 56,184.00	Y	N	N/A	N/A	1
OHA175		8/20/2019	3/1/2021	17502	Executive Assistant	Y	18	00	P	1.00	T	\$ 54,113.04	\$ 54,120.00	Y	N	N/A	N/A	1
OHA160		1/1/2020	3/1/2021	16317	Legacy Land Manager	Y	30	00	P	1.00	T	\$ 95,000.00	\$ 91,584.00	Y	N	N/A	N/A	1

Positions Established by Acts other than the State Budget as of November 30, 2019

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Date Established</u>	<u>Legal Authority</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>FTE</u>	<u>Annual Salary</u>	<u>Filled (Y/N)</u>	<u>Occupied by 89 Day Hire (Y/N)</u>
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NONE

Office of Hawaiian Affairs
Overtime Expenditure Summary

Table 13

Prog ID	Sub-Org	Program Title	MOF	FY18 (actual)			FY19 (actual)			FY20 (estimated)			FY21 (budgeted)		
				<u>Base Salary</u> \$\$\$\$	<u>Overtime</u> \$\$\$\$	<u>Overtime</u> Percent	<u>Base Salary</u> \$\$\$\$	<u>Overtime</u> \$\$\$\$	<u>Overtime</u> Percent	<u>Base Salary</u> \$\$\$\$	<u>Overtime</u> \$\$\$\$	<u>Overtime</u> Percent	<u>Base Salary</u> \$\$\$\$	<u>Overtime</u> \$\$\$\$	<u>Overtime</u> Percent
NONE															

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Term of Contract			Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/I/P/C/G/S/*
						Date Executed	From	To					
OHA175	T	\$ -	OTHER - QTRLY PMTS	\$ 193,645.60	\$ 37,445.65	9/11/2019	10/1/2019	9/30/2021	HOMESTEAD COMMUNITY DEVELOPMENT CORP.	HOUSING PROGRAM GRANT	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	A	\$ -	OTHER - QTRLY PMTS	\$ 43,529.00	\$ 12,519.88	9/18/2019	10/1/2019	9/30/2021	MOLOKAI COMMUNITY SERVICE COUNCIL	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - QTRLY PMTS	\$ 43,529.00	\$ 12,519.87	9/18/2019	10/1/2019	9/30/2021	MOLOKAI COMMUNITY SERVICE COUNCIL	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	A	\$ -	OTHER - QTRLY PMTS	\$ 64,347.00	\$ 16,981.90	9/12/2019	10/1/2019	9/30/2021	EDUCATIONAL SERVICES HAWAII FOUNDATION	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - QTRLY PMTS	\$ 64,347.00	\$ 16,981.89	9/12/2019	10/1/2019	9/30/2021	EDUCATIONAL SERVICES HAWAII FOUNDATION	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	A	\$ -	OTHER - QTRLY PMTS	\$ 210,627.00	\$ 52,332.80	9/12/2019	10/1/2019	9/30/2021	KA'ALA FARM, INC.	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - QTRLY PMTS	\$ 210,627.00	\$ 52,332.80	9/12/2019	10/1/2019	9/30/2021	KA'ALA FARM, INC.	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	A	\$ -	OTHER - QTRLY PMTS	\$ 81,496.00	\$ 19,771.33	9/12/2019	10/1/2019	9/30/2021	BOYS & GIRLS CLUBS OF MAUI, INC.	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - QTRLY PMTS	\$ 81,496.00	\$ 19,771.33	9/12/2019	10/1/2019	9/30/2021	BOYS & GIRLS CLUBS OF MAUI, INC.	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - QTRLY PMTS	\$ 206,354.40	\$ 88,411.73	9/12/2019	10/1/2019	9/30/2021	HAWAIIAN COMMUNITY ASSETS, INC	HOUSING PROGRAM GRANT	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	A	\$ -	OTHER - QTRLY PMTS	\$ 830,000.00	\$ 79,914.86	12/20/2019	1/1/2020	12/31/2021	COUNCIL FOR NATIVE HAWAIIAN ADVANCEMENT	STATE PROVISIO - SOCIAL SERVICES	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - QTRLY PMTS	\$ 830,000.00	\$ 79,914.85	12/20/2019	1/1/2020	12/31/2021	COUNCIL FOR NATIVE HAWAIIAN ADVANCEMENT	STATE PROVISIO - SOCIAL SERVICES	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	A	\$ -	OTHER - TRIMESTER	\$ 75,000.00	\$ 50,000.00	12/30/2019	1/15/2020	1/14/2021	COUNCIL FOR NATIVE HAWAIIAN ADVANCEMENT	PASS THRU GIA - CAREER TRAININGS	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	A	\$ -	OTHER - SEMI ANN PMT	\$ 124,705.00	\$ 7,794.13	12/19/2019	1/1/2020	11/30/2021	KANU O KA AINA NEW CENTURY PUBLIC CHARTER SCHOOL	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - SEMI ANN PMT	\$ 124,705.00	\$ 7,794.12	12/19/2019	1/1/2020	11/30/2021	KANU O KA AINA NEW CENTURY PUBLIC CHARTER SCHOOL	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - QTRLY PMTS	\$ 1,048,800.00	\$ 262,200.00	11/1/2019	11/1/2019	6/30/2021	NATIVE HAWAIIAN LEGAL CORPORATION	STATE PROVISIO - LEGAL	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	C
OHA175	T	\$ -	OTHER - QTRLY PMTS	\$ 54,412.00	\$ 21,764.50	9/18/2019	10/1/2019	9/30/2021	MOLOKAI COMMUNITY SERVICE COUNCIL	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - QTRLY PMTS	\$ 54,412.00	\$ 5,441.50	9/18/2019	10/1/2019	9/30/2021	MOLOKAI COMMUNITY SERVICE COUNCIL	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - QTRLY PMTS	\$ 263,284.00	\$ 105,313.50	9/12/2019	10/1/2019	9/30/2021	KA'ALA FARM, INC.	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - QTRLY PMTS	\$ 263,284.00	\$ 26,328.50	9/12/2019	10/1/2019	9/30/2021	KA'ALA FARM, INC.	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - QTRLY PMTS	\$ 80,434.00	\$ 32,173.50	9/12/2019	10/1/2019	9/30/2021	EDUCATIONAL SERVICES HAWAII FOUNDATION	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - QTRLY PMTS	\$ 80,434.00	\$ 8,043.50	9/12/2019	10/1/2019	9/30/2021	EDUCATIONAL SERVICES HAWAII FOUNDATION	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - QTRLY PMTS	\$ 101,870.00	\$ 40,748.00	9/12/2019	10/1/2019	9/30/2021	BOYS & GIRLS CLUBS OF MAUI, INC.	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - QTRLY PMTS	\$ 101,870.00	\$ 10,187.00	9/12/2019	10/1/2019	9/30/2021	BOYS & GIRLS CLUBS OF MAUI, INC.	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - QTRLY REIMB BASIS	\$ 830,000.00	\$ 332,000.00	12/20/2019	1/1/2020	12/31/2021	COUNCIL FOR NATIVE HAWAIIAN ADVANCEMENT	STATE PROVISIO - SOCIAL SERVICES	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - QTRLY REIMB BASIS	\$ 830,000.00	\$ 83,000.00	12/20/2019	1/1/2020	12/31/2021	COUNCIL FOR NATIVE HAWAIIAN ADVANCEMENT	STATE PROVISIO - SOCIAL SERVICES	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA175	T	\$ -	OTHER - SEMI ANN PMT	\$ 124,706.00	\$ 62,353.50	12/19/2019	1/1/2020	11/30/2021	KANU O KA AINA NEW CENTURY PUBLIC CHARTER SCHOOL	STATE PROVISIO - EDUCATION	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	S
OHA160	A	\$ -	OTHER - COST REIMBURSEMENTS	\$ 57,096.47	\$ 27,825.72	6/15/2007	6/15/2008	6/15/2008	RODER, SHERRY ESQ	OPERATIONS - LEGAL	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	C
OHA160	T	\$ -	OTHER - COST REIMBURSEMENTS	\$ 57,096.47	\$ 27,825.72	6/15/2007	6/15/2008	6/15/2008	RODER, SHERRY ESQ	OPERATIONS - LEGAL	Periodic reporting pursuant to contract terms monitored by OHA personnel	N	C

Office of Hawaiian Affairs
Capital Improvements Program (CIP) Requests

Table 15

<u>Prog ID</u>	<u>Prog ID</u> <u>Priority</u>	<u>Dept-</u> <u>Wide</u> <u>Priority</u>	<u>Senate</u> <u>District</u>	<u>Rep.</u> <u>District</u>	<u>Project Title</u>	<u>MOF</u>	<u>FY20 \$\$\$</u>	<u>FY21 \$\$\$</u>
NONE								

Office of Hawaiian Affairs
CIP Lapses

Table 16

<u>Prog ID</u>	<u>Act/Year of Appropriation</u>	<u>Project Title</u>	<u>MOF</u>	<u>Lapse Amount</u> <u>\$\$\$\$</u>	<u>Reason</u>
NONE					

Office of Hawaiian Affairs
 Program ID Sub-Organizations

Table 17

<u>Program ID</u>	<u>Sub-Org Code</u>	<u>Name</u>	<u>Objective</u>
NONE			

Office of Hawaiian Affairs
Organization Changes

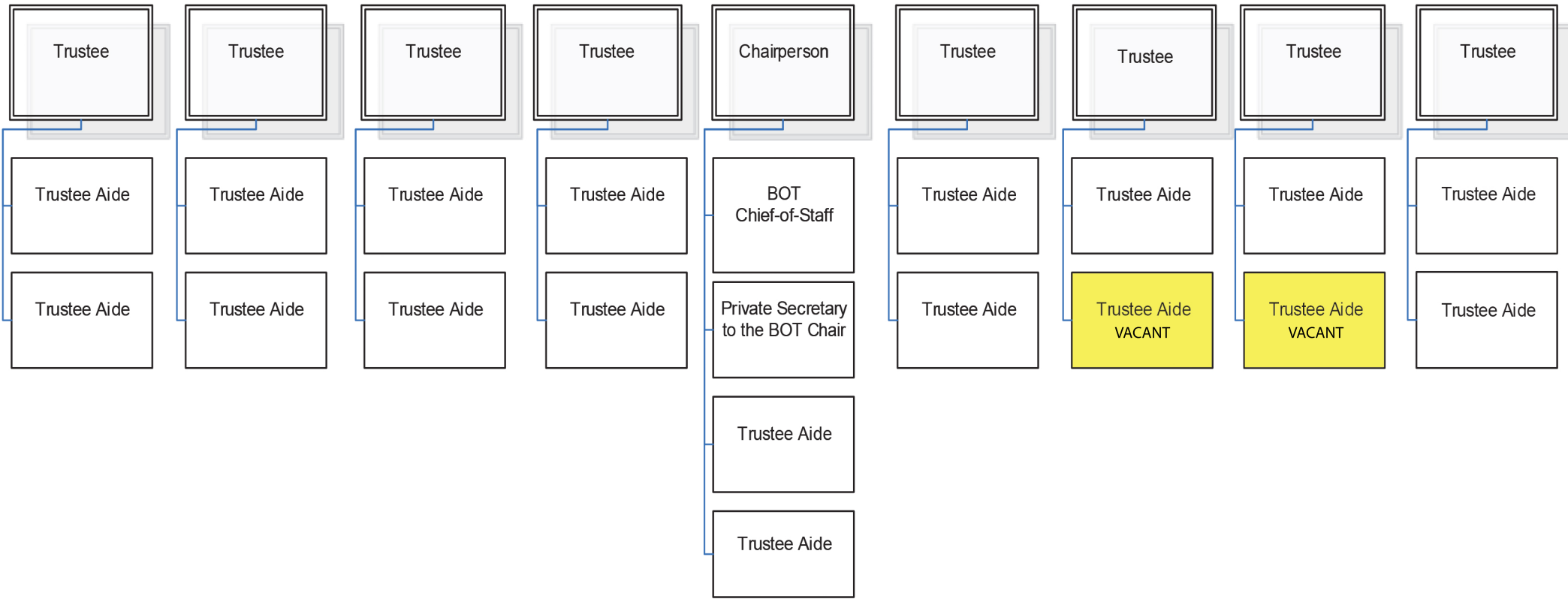
Table 18

<u>Year of Change</u> <u>FY20/FY21</u>	<u>Description of Change</u>
	Program Changes
FY20	The Systems Office was created in FY20 and reports to the Chief Operating Officer
	Position Changes
FY21	Positon #16427, Intake and Referral Specialist, SR14, was redescrbed to amend some of the qualications
FY21	Positon #16208, Knowledge Base Strategy Specialist, SR26, was redescrbed to the Compliance Officer position

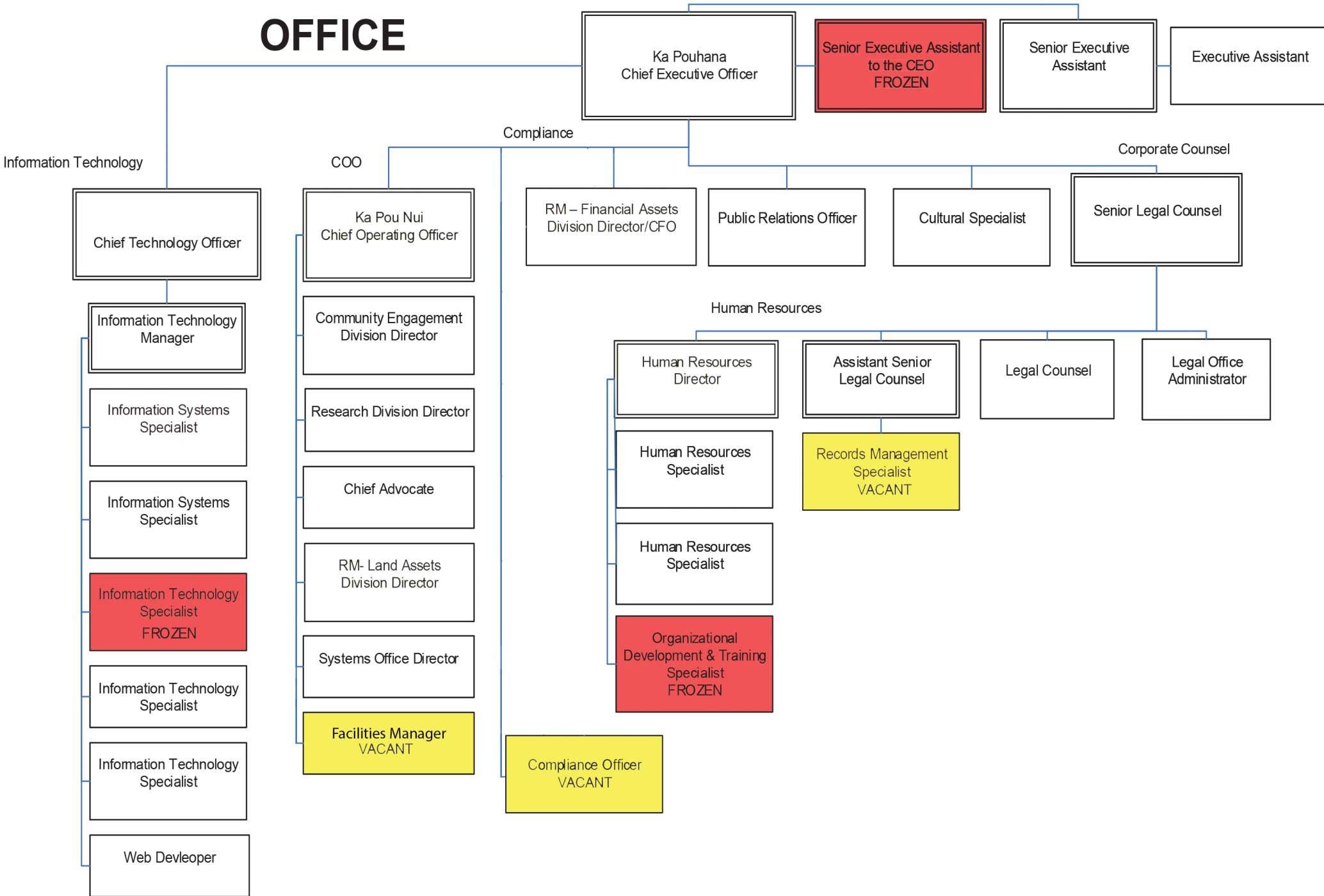
OFFICE OF HAWAIIAN AFFAIRS ORGANIZATIONAL CHARTS

Effective January 1, 2021

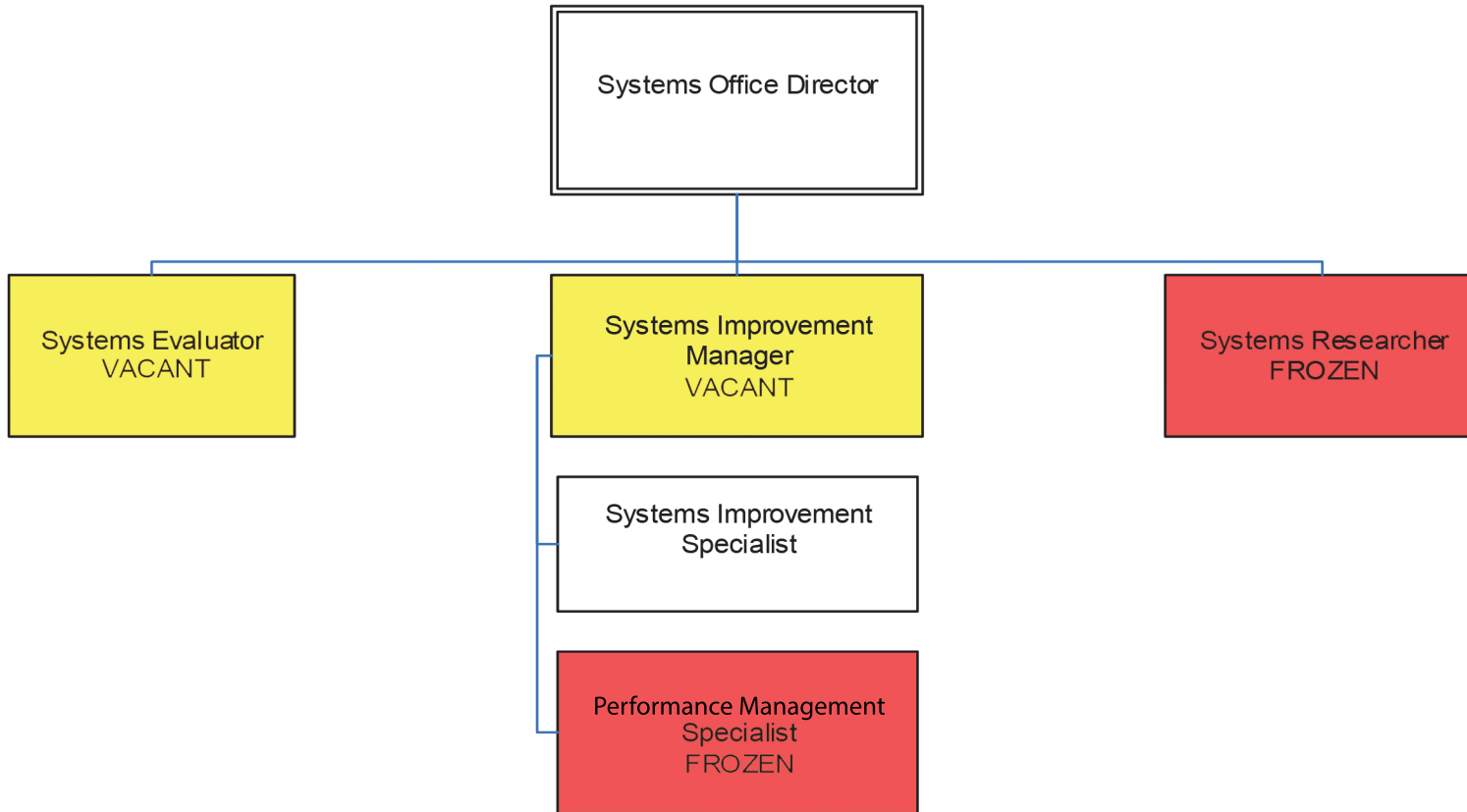
BOARD OF TRUSTEES



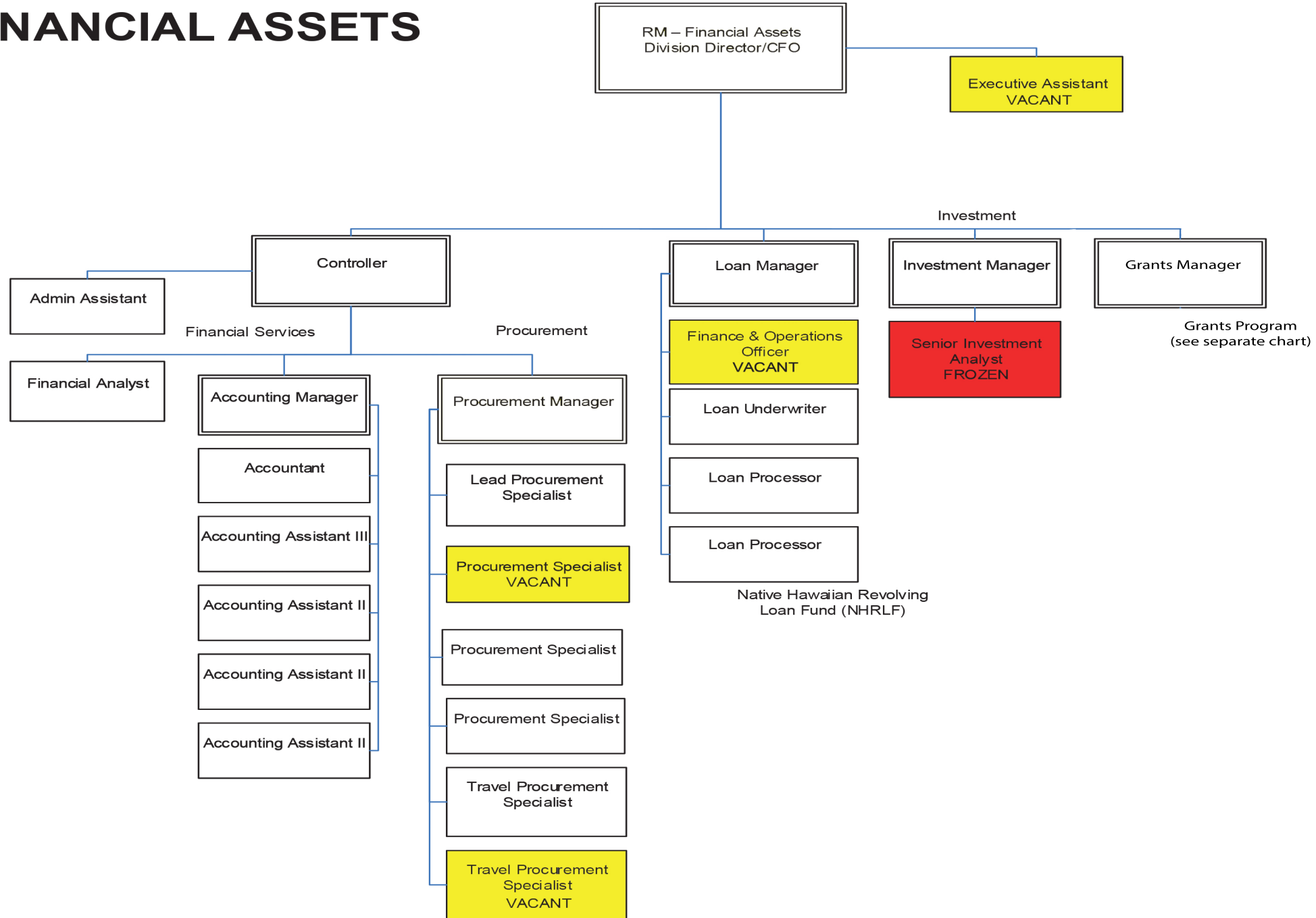
EXECUTIVE OFFICE



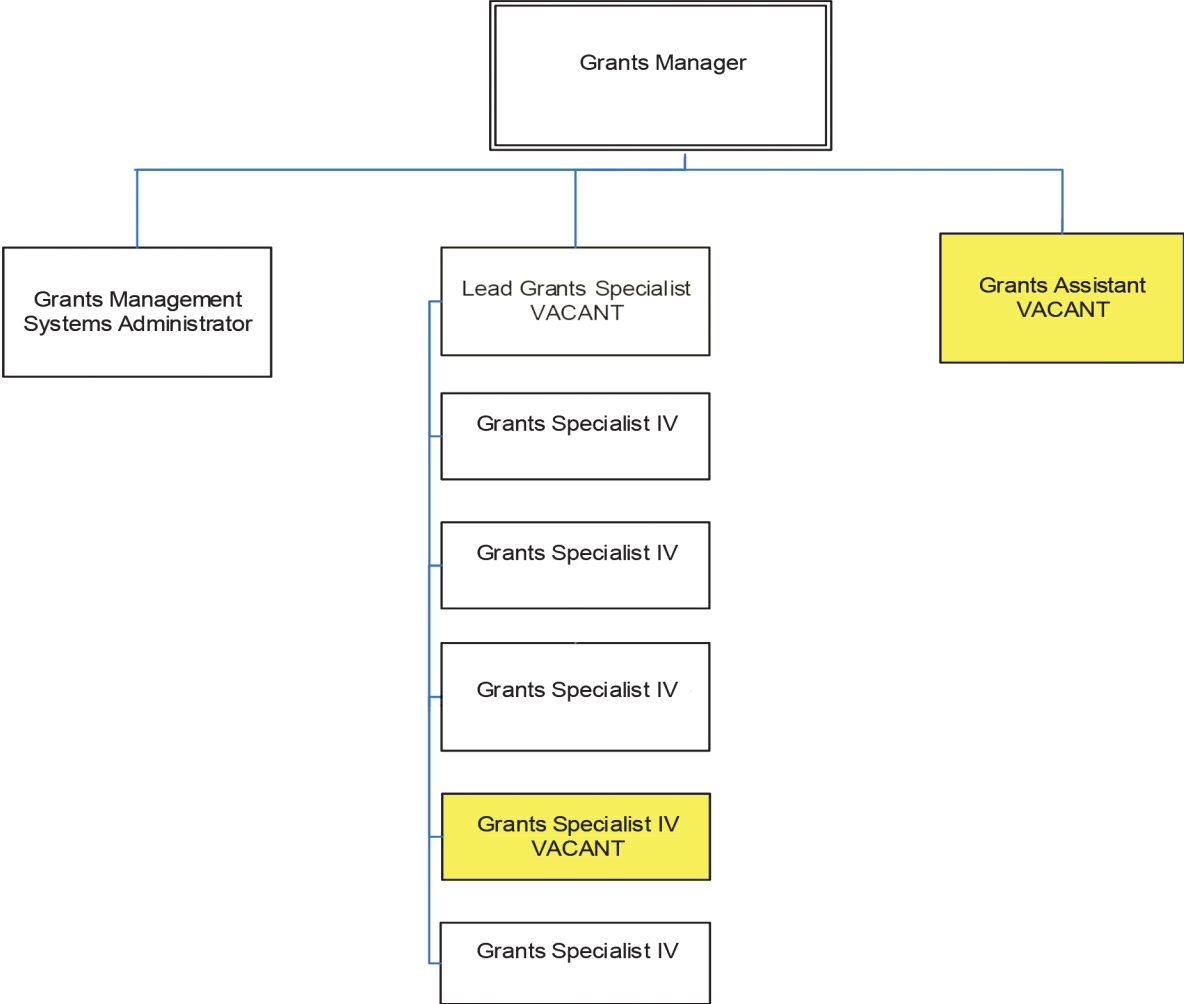
SYSTEMS OFFICE



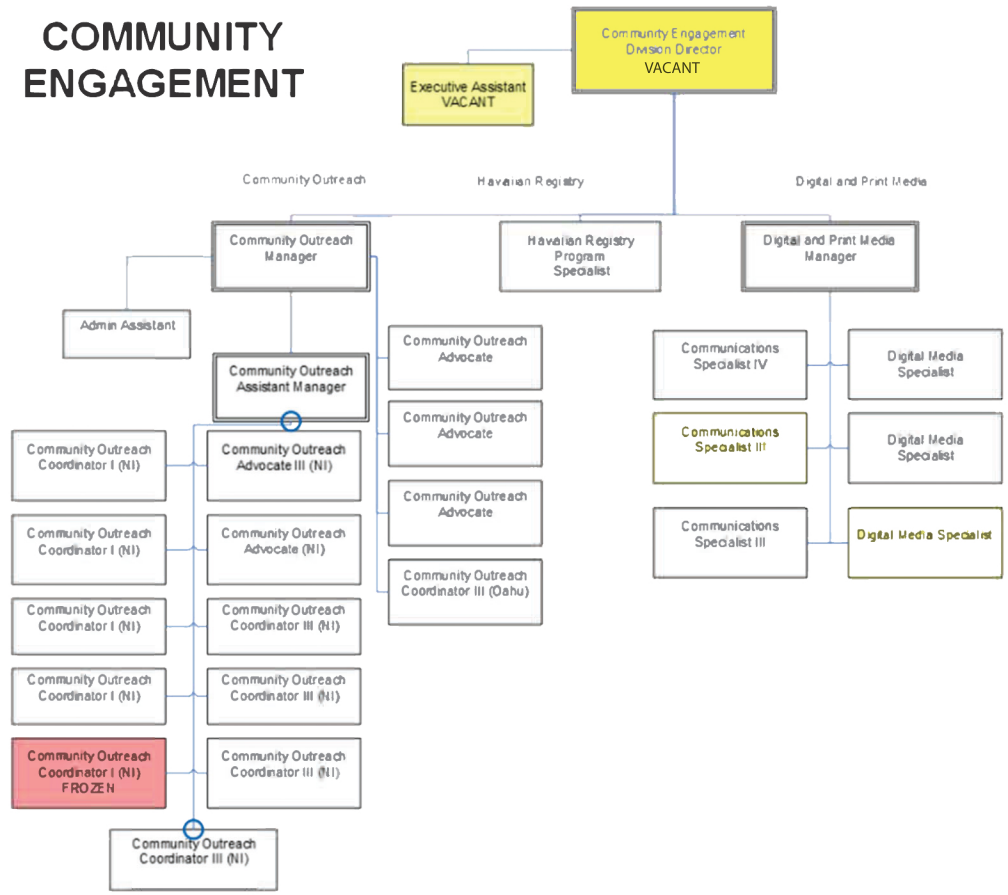
RESOURCE MANAGEMENT- FINANCIAL ASSETS



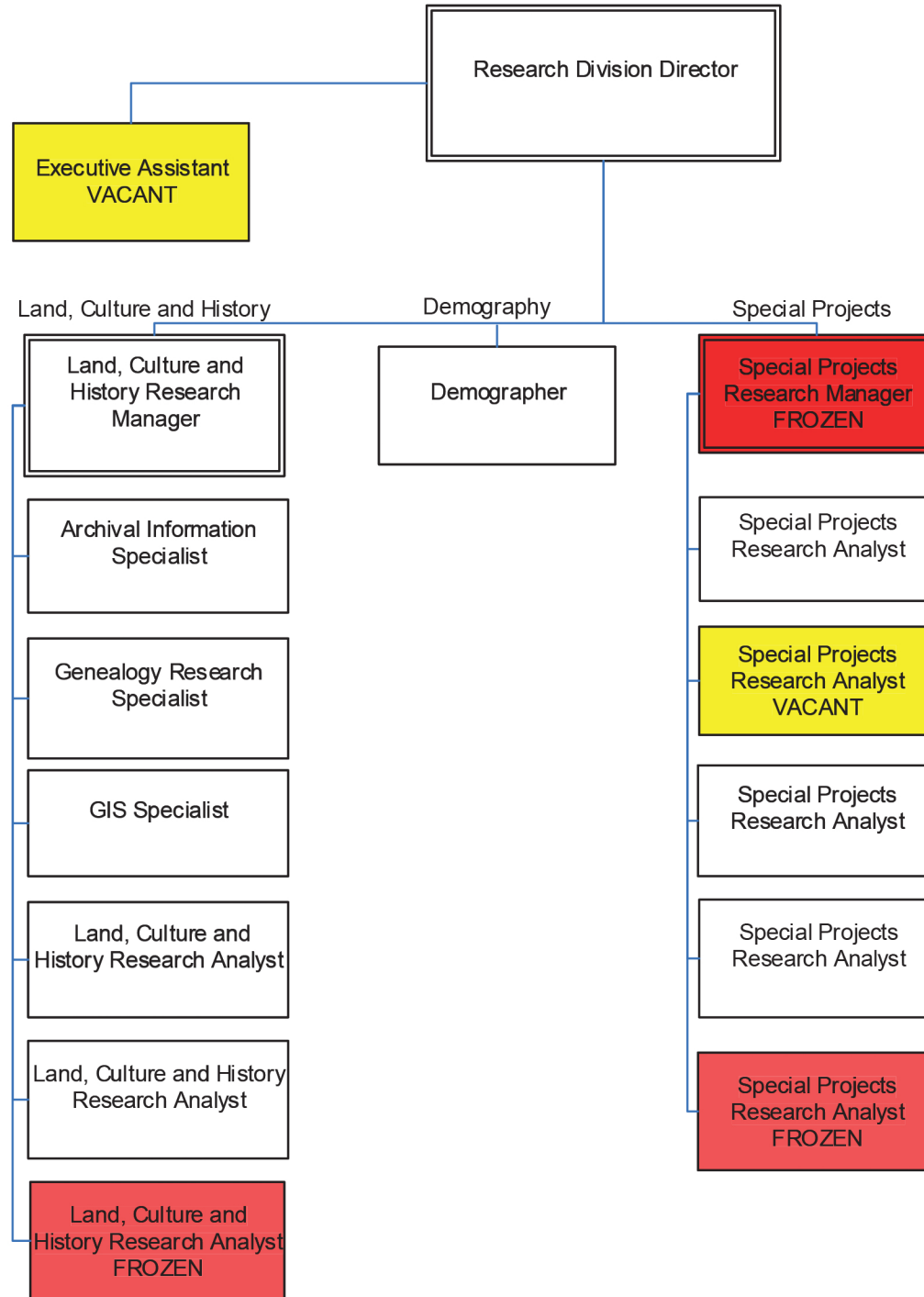
GRANTS



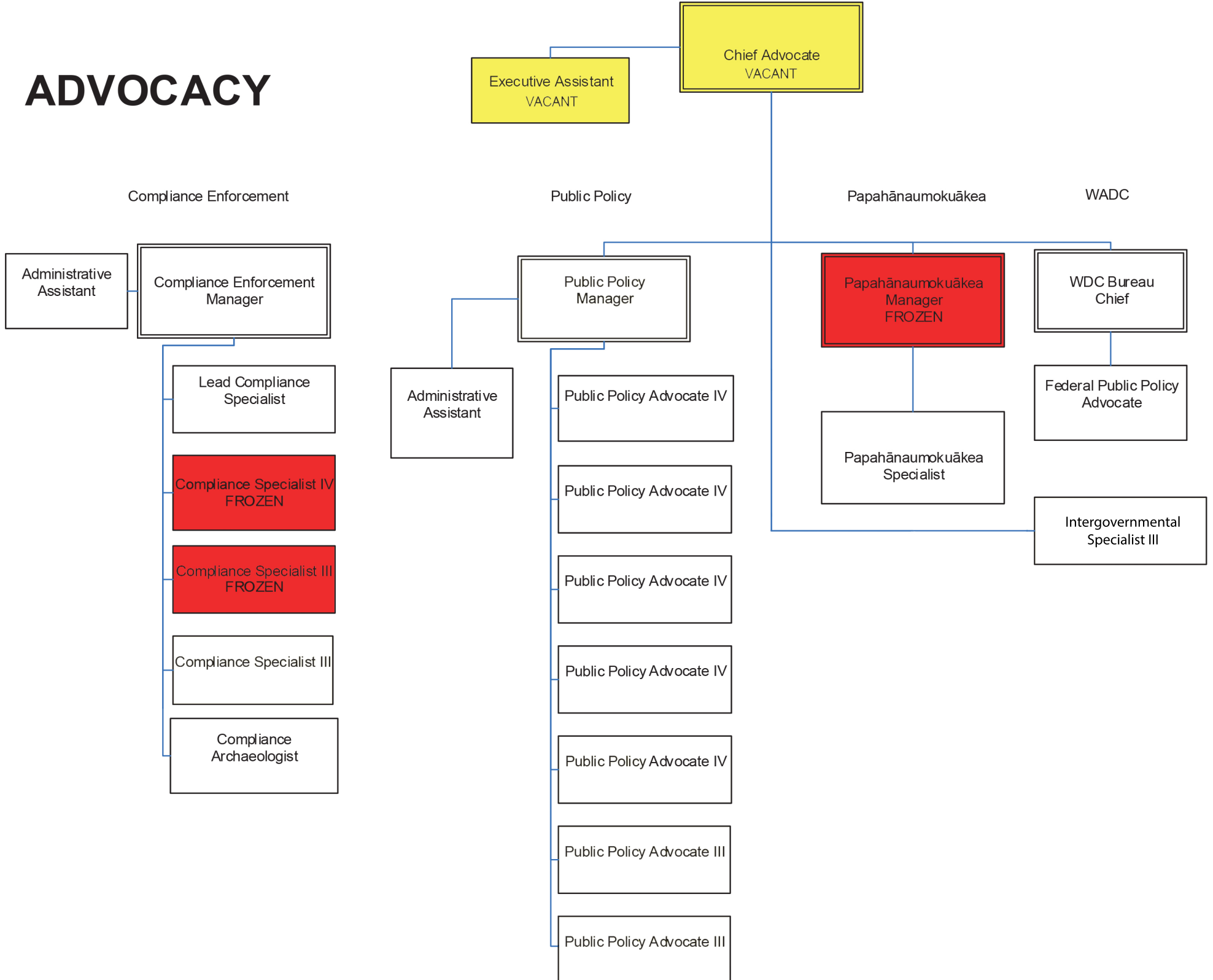
COMMUNITY ENGAGEMENT



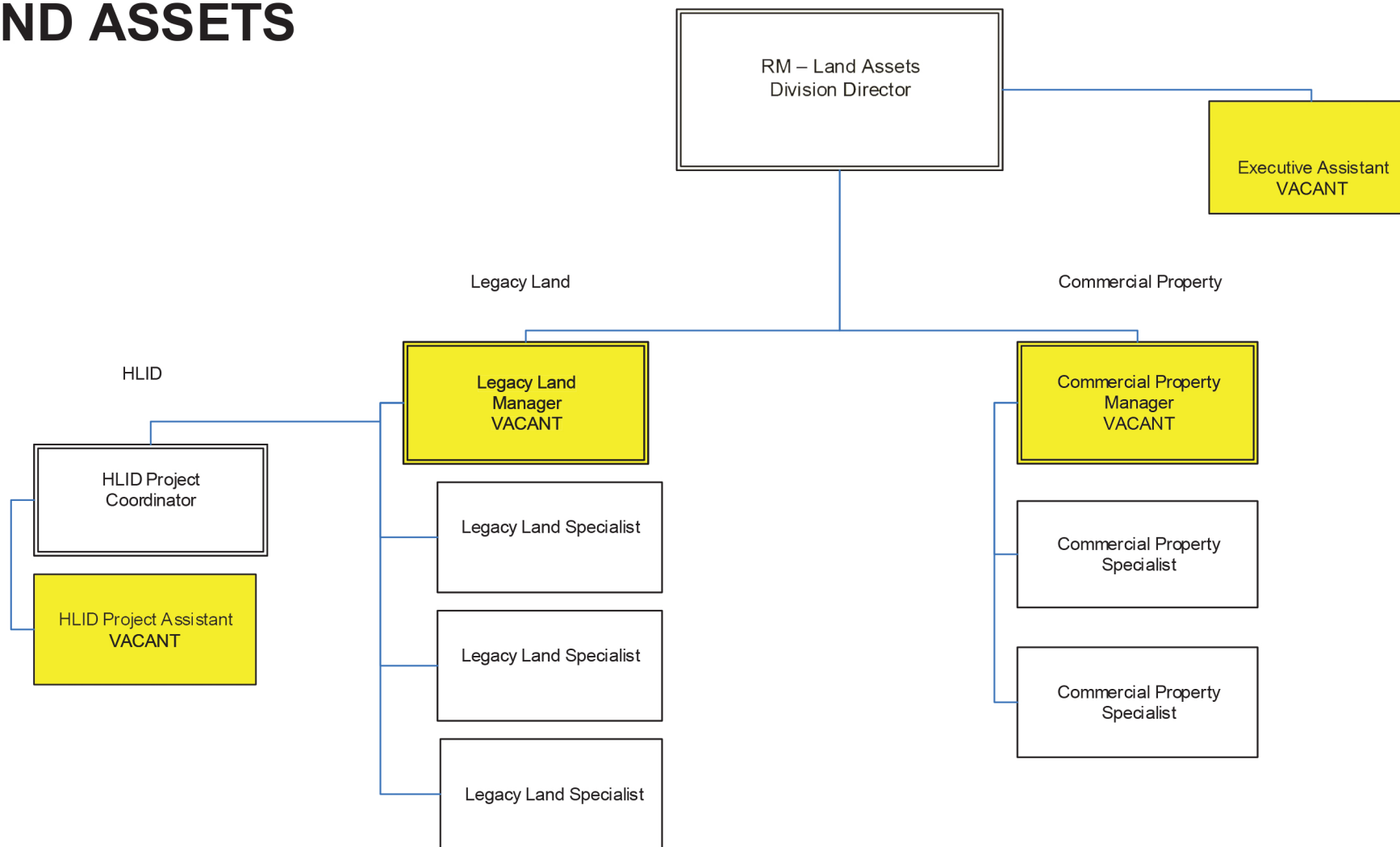
RESEARCH



ADVOCACY



RESOURCE MANAGEMENT- LAND ASSETS



FACILITIES MANAGEMENT



Appendix C

Reports on Non-General Fund Information

Report on Non-General Fund Information
for Submittal to the 2020 Legislature

Department: Office of Hawaiian Affairs
 Prog ID(s): OHA 150, 160, 175
 Name of Fund: Public Land Trust

Contact Name: Gloria Li
 Phone: (808) 594-1955
 Fund type (MOF) Trust

Legal Authority Hawaii State Constitution, Article XII, Section 4

Appropriation Acct. No. T-901, T-902, T-910, T-930, T-938, T-939

Intended Purpose:

To account for OHA's portion of revenues derived from the public land trust as defined in Section 10-2, HRS

Source of Revenues:

- a. Ceded land revenue at \$15,100,000 per annum
- a. Dividend and Interest income from investments
- b. Realized gains from sales of investments
- c. Interest earned from Consumer Micro Loan Program
- d. Revenues generated from OHA's commercial properties operations Kaka'ako Makai parcels & Na Lama Kukui

Current Program Activities/Allowable Expenses:

OHA's ongoing operational expenses; Programs for the betterment of native Hawaiian conditions

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	362,693	393,639	405,632	410,185	412,614	397,389	381,626
Revenues	76,080	77,341	59,222	58,191	48,570	42,085	42,085
Expenditures	32,443	38,001	37,909	39,102	47,795	41,848	41,848
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer in							
Transfer out	(12,691)	(27,347)	(16,760)	(16,660)	(16,000)	(16,000)	(16,000)
Net Total Transfers	(12,691)	(27,347)	(16,760)	(16,660)	(16,000)	(16,000)	(16,000)
Ending Cash Balance	393,639	405,632	410,185	412,614	397,389	381,626	365,863
Encumbrances	14,108	12,883	9,970	15,537	12,797	12,797	12,797
Unencumbered Cash Balance	379,531	392,749	400,215	397,077	384,592	368,829	353,066

Additional Information:

Amount Req. by Bond Covenants	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Amount from Bond Proceeds	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Amount Held in CODs, Escrow Accounts, or Other Investments	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Report on Non-General Fund Information
for Submittal to the 2020 Legislature

Department: Office of Hawaiian Affairs
 Prog ID(s): _____
 Name of Fund: Federal Fund
 Legal Authority: HRS 10-13

Contact Name: Gloria Li
 Phone: (808) 594-1955
 Fund type (MOF) Special
 Appropriation Acct. No. S-200, S-202

Intended Purpose:

S-200 - Hālawā Luluku Interpretive Development (HLID) was created largely to mitigate any adverse impact resulting from the construction of the Interstate H-S-202 - To provide Native Hawaiians individuals and Native Hawaiian-owned businesses better access to financial capital and financial services

Source of Revenues:

S-200 - Federal Highways Administration - 90% funding; Hawai'i Department of Transportation - 10% funding

S-202 - Federal Grant Award; OHA's dollar for dollar match on Federal Grant Award; Principal repayments; Interest earned from loans; Interest earned from

Current Program Activities/Allowable Expenses:

S-200 - Salaries and CIP to maintain the H-3 Highway

S-202 - Expenditures consisted of loan disbursements, loan application fees, legal services, technical assistance, investment management, bad debt,

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	20,759	23,054	23,932	23,381	23,073	23,073	23,573
Revenues	4,353	3,356	3,103	2,896	4,792	1,693	1,693
Expenditures	2,277	2,511	3,600	3,204	4,792	1,193	1,056
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer in	219	33	(54)	0	0	0	0
Transfer out							
Net Total Transfers	219	33	(54)	0	0	0	0
Ending Cash Balance	23,054	23,932	23,381	23,073	23,073	23,573	24,210
Encumbrances	1,630	105	123	176	509	509	509
Unencumbered Cash Balance	21,424	23,827	23,258	22,897	22,565	23,064	23,701

Additional Information:

Amount Req. by Bond Covenants	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Amount from Bond Proceeds	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Amount Held in CODs, Escrow Accounts, or Other Investments	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Report on Non-General Fund Information
for Submittal to the 2020 Legislature

Department: Office of Hawaiian Affairs
 Prog ID(s): _____
 Name of Fund: Projects Fund
 Legal Authority: HRS 10-13

Contact Name: Gloria Li
 Phone: (808) 594-1955
 Fund type (MOF) Special
 Appropriation Acct. No. S-310, S-315, S-320

Intended Purpose:

S-310 - To provide budget for continuous management, maintenance, and repair of the Palaeua Cultural Preserve for the preservation of culturally and archaeologically significant sites.
 S-315 - To account for the activities related to OHA's management and stewardship of the Forest Reserve,
 S-320 - This trust fund was established in 1987 to receive revenues from the sale of advertising space on OHA's monthly newspaper, Ka Wai Ola

Source of Revenues:

S-310 - The Declaration of Covenants, Conditions, and Restrictions of the One Palau'ea Bay Community Association require payment of a Historical
 S-315 - OHA's trust fund is the main funding source.
 S-320 - Major revenue sources for this fund include sales of advertising space on OHA's monthly newspaper, Ka Wai Ola; OHA's portion of proceeds from the

Current Program Activities/Allowable Expenses:

S-310 - Costs related to this program has been primarily related to basic management property, such as utilities, bank fees, and repair and maintenance
 S-315 - OHA's trust fund is the main funding source.
 S-320 - Purchasing printing software and equipment for Kai Wai Ola publication, public information products such as books and video

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	779	969	951	768	741	652	567
Revenues	84	58	134	56	56	50	50
Expenditures	40	397	454	445	535	180	135
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer in	146	321	137	362	390	45	0
Transfer out							
Net Total Transfers	146	321	137	362	390	45	0
Ending Cash Balance	969	951	768	741	652	567	482
Encumbrances	199	233	218	388	247	180	135
Unencumbered Cash Balance	770	718	550	353	405	387	347

Additional Information:

Amount Req. by Bond Covenants	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Amount from Bond Proceeds	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Amount Held in CODs, Escrow Accounts, or Other Investments	N/A	N/A	N/A	N/A	N/A	N/A	N/A