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Presentation of the Department of Commerce and Consumer Affairs

Before the
House Committee on Finance
Thursday, January 12, 2023
1:30 p.m.
State Capitol, Conference Room 308

BUDGET REQUEST FOR THE FISCAL BIENNIUM 2023-2025

Honorable Chair Yamashita, Vice Chair Kitagawa, and Members of the House Committee on Finance:

My name is Nadine Ando, and I am the Director of the Department of Commerce and Consumer Affairs ("DCCA" or "Department"). I appreciate this opportunity to discuss the Department's fiscal biennium ("FB") 2023-25 budget with the members of the Committee.

Overview

The Department's mission is to promote a strong and healthy business environment by upholding fairness and public confidence in the marketplace, and by increasing knowledge and opportunity for our businesses and citizens.

DCCA's funding structure was established by the Legislature in 1996 through the creation of the compliance resolution fund ("CRF"). The CRF is the primary funding source for DCCA's programs. The Department's financial strategy is based on ensuring that divisions have revenues to pay their expenses and that they contribute equitably to departmental overhead costs, while maintaining sufficient reserves to address unexpected contingencies. The Legislature's foresight to develop this self-sufficiency model has benefitted our customers and the public we serve and the State over many years. Self-sufficiency notwithstanding, strong fiscal oversight continues to be important

to the Department. Consistent with applicable law, the fees and revenue collected by the Department are provisioned for the purpose of delivering important commerce and consumer protection related services.

Toward that end, I appreciate the Committee's thorough review and consideration of the Department's budget.

Impact of Current Economic and Fiscal Conditions

The Department and its divisions and attached agencies continued throughout FY 2022 to address the challenges of operating in a quickly evolving consumer and business environment leveraging technological advances to meet increasing public needs. Notably, DCCA continues to embrace the use of technology to make online resources available to consumers. The Department's Business Registration, Insurance and Professional and Vocational Licensing divisions each have online portal services that have made critical business workflows available to Hawaii's businesses and industries. In the past year, reporting complaints to the Regulated Industries Complaints Office and the Office of Consumer Protection has evolved to user-friendly online complaints filing portals, the Public Utilities Commission has transitioned to all-electronic filing processes and have commenced development of their new Consolidated Docket Management System (CDMS), and the Office of Administrative Hearings provides an option for electronic hearings. Our focus on electronic filings highlighted the critical need to update and replace legacy systems, and to develop and enhance robust and redundant IT systems that can effectively support the growing needs of the Department. In addition, the Department continues digitization of hard copy documents across all divisions.

The DCCA continues its advocacy for reliable and affordable regulated services; advancing clean energy and resiliency initiatives while ensuring safe, reliable, and affordable rates; ubiquitous and affordable telecommunications and broadband services and promoting affordable water services; and wiser use of the limited resources for our low and middle income families.

We have experienced challenges encountered while filing critical personnel vacancies and retention of staff. While this is not unique to DCCA, we will pursue innovative ways to recruit and retain personnel, working closely with DHRD.

The Department, thus, takes a selective approach when developing its budget that aims at addressing the essential needs of the Department, including general cost adjustments felt by all agencies, and makes requests to utilize resources in a manner that most efficiently allows DCCA to carry out its mission.

Federal Funds

None of the Department's programs have lost or are at risk of losing federal funds.

Non-General Funds

<http://cca.hawaii.gov/wp-content/uploads/2023/01/CCA-NGF-Report.pdf>

<http://cca.hawaii.gov/wp-content/uploads/2023/01/CCA-NGF-Form-A.pdf>

<http://cca.hawaii.gov/wp-content/uploads/2023/01/CCA-NGF-Form-B.pdf>

Budget Request

The Department formulated a budget that provides the resources necessary for our programs to perform their functions and meet their goals and objectives. Pursuant to instructions in Finance Memorandum 22-11 issued by the Department of Budget and Finance (“B&F”), notable requests in the current budget include:

- **King Kalakaua Building.** DCCA requests a ceiling increase of \$7,560,000 in FY 2024 and FY 2025 for The King Kalakaua Building (KKB). KKB is the primary facility used by the department to carry out its mission. KKB was purchased by the department in 2002 but the building itself was constructed in 1913. Given the age of KKB and that it is classified as a historic building, KKB is in need of replacement. Deterioration and numerous leaks in the exterior roof areas has led to water intrusion and subsequent damage to interior spaces and support structures. Further, the rooftop waterproof membrane has failed. Office spaces are no longer useable and the detection of hazardous materials such as lead and asbestos due to exposure from water damage has required staff relocate to temporary spaces. Failure to move forward and fund this project will cause the overall cost of repairs to escalate and possibly place employees and the public at risk.
- **PUC Subaccount ceiling establishments.** DCCA requests ceiling increases of \$750,000 each for FY 2024 and FY 2025 for PUC to expend on the Electric Vehicle Charging System Rebate Program and the Zero-Emission Fueling System Rebate Program. These requests would authorize appropriation ceiling and allow for programmatic expenditures in line with estimated annual cash transfers received from the state environmental response, energy, and food security tax. These requests support the economic and clean energy goals of the State.
- **IT enhancement.** The Professional and Vocational Licensing Division's (PVLD) legacy Application and Licensing Information Automated system (ALIAS) was replaced on February 22, 2021 with the Hawaii Online Applicant Licensing Application (Ho'ala) system. This new system is essential to the operations and regulation of the current licensee population of over 167,523 spanning 52 licensing professions, 25 boards and 27 programs. DCCA is continually improving upon Ho`ala workflows and processes and this funding will allow us to provide continued support and maintenance to meet future changes in licensing law and licensee requirements and reduce application processing times. The

recurring cost of \$450,000 is to cover vendor support hours. This request is consistent with the program's objective of consumer protection, health, safety and welfare. The executive budget reflects the appropriate funding source, and funding is consistent with fiscal planning for the continued efficiency and operations of PVLD.

Additional Information

Table 1: Department Functions

The Prioritized List of Functions encompasses all of the major functions and associated activities performed by the Department's programs.

Table 2: Department-Wide Totals

The Department's FY23 operating budget is \$92,897,084 (\$89,516,277 in special funds; \$3,380,807 in trust funds). The Department's proposed FY24 operating budget is \$104,441,384 (\$101,000,525 in special funds; \$3,440,859 in trust funds). The Department's proposed FY25 operating budget is \$106,070,670 (\$102,580,626 in special funds; \$3,480,044 in trust funds).

Table 3: Program ID Totals

The Department's total appropriated amount for FY23 is \$92,897,084 (\$89,516,277 in special funds; \$3,380,807 in trust funds). The FY24 amount proposed is \$104,441,384 (\$101,000,525 in special funds; \$3,440,859 in trust funds), an increase of 12.43% as compared to the FY23 appropriation. The FY25 amount proposed is \$106,060,670 (\$102,588,626 in special funds; \$3,480,044 in trust funds), an increase of 14.17% as compared to the FY23 appropriation.

Table 4: Budget Decisions

The Department's requests, B&F's recommendations, and the Governor's decisions are listed.

Table 5: Proposed Budget Reductions

None.

Table 6: Proposed Budget Additions

1. Special Funds

- CCA-191: Add \$7,560,000 for General Support for King Kalakaua Building renovation project.
- CCA-901: Add \$750,000 for EV charging system subaccount for the Public Utilities Commission.
- CCA-901: Add \$750,000 for Hydrogen Fueling systems subaccount for the Public Utilities Commission.

- CCA-105: Add \$450,000 for the Professional & Vocational Licensing Division for consultant services.
- CCA-191: Add \$41,363 for General Support for software costs.
- CCA-104: Add \$75,000 for the Division of Financial Institutions for central services assessment.
- CCA-191: Add \$398,569 for FY 24 and \$142,821 for FY 25 for General Support for fringe benefits.
- Add \$2,930,716 for FY 24 and \$4,766,565 for FY 25 for collective bargaining agreements.

2. Trust Funds

- Add \$60,052 for FY 24 and \$99,237 for FY 25 for collective bargaining agreements.

Table 7: Restrictions

None.

Table 8: Emergency Appropriation Requests

None.

Table 9: Expenditures Exceeding Appropriation Ceilings

None.

Table 10: Intradepartmental Transfer of Funds

None.

Table 11: Vacancy Summary

Vacancy summaries on November 30, 2022 are listed by Priority to Retain order.

Table 12: Positions Authorized/Established by Other Acts

None.

Table 13: Overtime Expenditures

The percent of overtime expenditures incurred in FY22 was 0.2% of base salary for special funds, and 0.0% for trust funds; FY23 estimated overtime expenditures are 0.7% for special funds and 3.1% for trust funds; budgeted amounts for FY24 are 0.8% and 2.9% of base salary for special funds and trust funds, respectively.

Table 14: Contract Costs

Listing of active contracts by Program ID and MOF is provided.

Table 15: Capital Improvement Program (“CIP”) Requests

None.

Table 16: CIP Lapses

None.

Table 17: Program ID Sub-Organizations

Sub-Organizations are listed by Program ID.

Table 18: Organizational Changes and Charts

The Department’s organizational chart for the current year (FY22) is attached. All changes that were made are indicated.

https://cca.hawaii.gov/wp-content/uploads/2022/12/CCA_6-30-22.pdf

Table 19: ARPA Initiatives

ARPA initiatives are listed by Program ID.

Attached to this briefing is information presented in the tables in your prescribed format. My program administrators and I are available to answer any questions you and your committee members may have concerning our programs and the materials submitted for this presentation.

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Dept-Wide	Statutory Reference
				Priority	
Office of Consumer Protection	Maintain Complaint Database; Investigate Complaints; Prosecute Legal Actions; Legislation; Consumer Education; Landlord-Tenant Information Center.	<ul style="list-style-type: none"> Investigation of Complaints. Receive all complaints on a statewide basis: walk-ins, mail and telephone; evaluate complaints in relation to investigation threshold criteria; for cases meeting threshold requirements, determine all facts of complaint; determine from facts whether or not there is a violation of any consumer protection law, refer cases which, on their face, fall within the jurisdiction of another agency, and inform complainant of findings of fact and course of action to be taken, if any, by this office or another governmental agency; coordinate fact finding functions with other governmental agencies; monitor advertisements; subpoena witnesses for investigation of facts. 	CCA 110	1	Chapters 209, 290, 436M, 437, 437D, 446, 446E, 457G, 476, 477, 477E, 480, 480D, 480E, 480F, 481A, 481B, 481C, 481D, 481F, 481H, 481K, 481L, 481M, 481P, 486N, 487, 487A, 487J, 487N, 487R, 506, and 521, HRS.
		<ul style="list-style-type: none"> Prosecution. Determine adequacy of evidence to prove violation prior to filing a complaint in court; negotiate Assurance of Voluntary Compliance or Consent Judgment prior to the filing of a complaint in court; take court action against entities that violate consumer protection law; obtain injunction, civil penalty and restitution for consumers in court actions and settlement of cases. 			
		<ul style="list-style-type: none"> Landlord-Tenant Cases. Provide Landlord-Tenant code information to callers. 			
		<ul style="list-style-type: none"> Legislation. Promulgate rules and regulations to protect consumers; draft consumer protection legislation for the Department; recommend consumer protection legislation to the Legislature; and offer testimony on other consumer protection legislation. 			
Professional & Vocational Licensing	Receive and review applications for professional and vocational licenses, condominium, real estate subdivision and time share projects; prepare & analyze legislative proposals to amend professional and vocational licensing laws; and update and evaluate examinations.	<ul style="list-style-type: none"> License and regulate 52 different professions and vocations. Provide administrative support to 25 regulatory licensing boards and, on behalf of the director of the department, administer 27 regulatory licensing programs. The trust funds are dedicated to specific statutory purposes to educate the licensees who are the contributors to the fund, serve as a source of monetary recovery for injured consumers, or support increased government service demands by licensees. One fund is federally mandated to collect fees on its behalf, with revenues going to the federal agency. 	CCA 105	2	Chapters 373, 436B, 436E, 436H, 437, 437B, 439A, 440, 440E, 441, 442, 443B, 444, 447, 448, 448E, 448F, 448H, 451A, 451D, 451J, 452, 453, 453D, 455, 457, 457A, 457B, 457G, 457J, 458, 459, 460, 460J, 461, 461J, 462A, 463, 463E, 464, 465, 465D, 466, 466D, 466K, 466L, 467, 467E, 468E, 468L, 468M, 471, 472, 481Z, 484, 514A, 514B and 514E, HRS.

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Dept-Wide	Statutory Reference
				Priority	
Regulated Industries Complaints Office	Investigation and prosecution of possible (professional and vocational) licensing law violations.	<ul style="list-style-type: none"> ● Receives complaints, conducts investigations, and prosecutes cases alleging violations of Hawaii's professional and vocational licensing laws. Also investigates and prosecutes unlicensed activity cases. 	CCA 112	3	Section 26-9(h), HRS.
		<ul style="list-style-type: none"> ● Provides educational information to consumers and industry members; provides real time liceninsing, business registration, and complaint history information to the public. 			
		<ul style="list-style-type: none"> ● Administers the State Certified Arbitration Program (lemon law program). 			
Business Registration & Securities Regulation	Ensure that business registration information is accurately maintained for public access for corporations, partnerships, limited liability companies, trade names, trademarks, service marks, and other business registration filings; provide point-of service assistance to the public to help businesses apply for state business and employer registrations and taxpayer IDs; ensure compliance with and enforcement of state state securities and franchise laws; and conduct investor education programs and outreach statewide.	<ul style="list-style-type: none"> ● Ministerial duties relating to the review, processing, and maintenance of business registration filings with the state for corporations, partnerships, limited liability companies, trade names, trademarks, etc.; providing the public with access to information in the registry; and providing point-of-service assistance to businesses registering with the division. 	CCA 111	4	Chapters 26-9(g), 414, 414D, 415A, 419, 420D, 421, 421C, 421H, 421I, 425, 425E, 425R, 428, 474, 482, 482E, 482P, and 485A, HRS.
		<ul style="list-style-type: none"> ● Substantive regulatory oversight over the securities industry and franchises in the state in the following areas: registration of broker-dealers, securities agents, investment advisers, investment adviser representatives, investment companies, and securities and franchise offerings for sale in the state; field examinations of broker-dealers and investment advisers in the state; enforcement of the Hawaii Uniform Securities Act and state franchise laws; provide investor education programs and outreach statewide. 			
Financial Services Regulation	Supervise and regulate, and examine financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators, mortgage loan originator companies, and installment lenders.	<ul style="list-style-type: none"> ● Charter, license, examine and supervise state-chartered banks, trust companies, savings banks, savings and loan associations, financial services loan companies, credit unions, and licensed escrow depositories, money transmitters, mortgage servicers, mortgage loan originators, mortgage loan originator companies, and installment lenders. 	CCA 104	5	Chapters 412, 449, 454F, 454M, 480J, 489D, HRS.
Insurance Regulatory Services	Regulatory oversight of the insurance industry.	<ul style="list-style-type: none"> ● Examine insurers, health maintenance organizations, rating organizations, mutual and fraternal benefit societies, advisory organizations, agencies, independent claims organizations, guaranty associations. 	CCA 106	6	Chapter 287, 386, 386A, 392, 393, 431, 431E, 431K, 431L, 431M, 431N, 431P, 432, 432C, 432D, 432E, 435C, 435E, 448D, 481R, 481X and 488, HRS.
		<ul style="list-style-type: none"> ● Investigate complaints of alleged violations of insurance statutes and rules and other consumer requests for assistance. 			
		<ul style="list-style-type: none"> ● Conduct qualification examinations and license insurers, health maintenance organizations and all categories of producers to transact insurance. 			
		<ul style="list-style-type: none"> ● Approve/disapprove policies and rate filings. 			

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Dept-Wide	Statutory Reference
				Priority	
		<ul style="list-style-type: none"> • License, examine and regulate captive insurance companies and develop the captive industry in Hawaii. • Review insurance entities' financial, operating and tax statements. 			
		<ul style="list-style-type: none"> • Regulatory surveillance for market conduct and financial condition of all insurers, health maintenance organizations, and mutual and fraternal benefit societies for compliance with insurance laws. 			
		<ul style="list-style-type: none"> • Investigate of violations and enforce motor vehicle claims fraud law. 			
		<ul style="list-style-type: none"> • Administer the Hawaii Joint Underwriting Plan, including the development and promulgation of motor vehicle insurance rates. 			
		<ul style="list-style-type: none"> • Implement Federal Health Care reforms relating to Health Care Exchanges and Premium Rate Review. 			
Public Utilities Commission (transferred to DCCA from B&F as an attached agency pursuant to Act 108/14):	Ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate of return.	<ul style="list-style-type: none"> • Establish rules and regulations pertaining to service standards. • Render decisions on rates, fares, and charges. 	CCA 901	7	HRS Chapter 269-6, 269-7, 269-7.5, 269-16, 269-16.9, 269-33
		<ul style="list-style-type: none"> • Process applications, issue certificates to regulated companies, and conduct investigations and audits of regulated companies. 			
		<ul style="list-style-type: none"> • Conduct investigations of complaints filed against public utilities, other regulated entities, and companies utilizing services under the Commission's jurisdiction. 			
		<ul style="list-style-type: none"> • Maintain the fiscal integrity of the Public Utilities Commission Special Fund. 			

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Dept-Wide	Statutory Reference
				Priority	
Consumer Advocate for Comm, Util & Tran Svcs	Ensure the provision of reliable regulated service at reasonable cost to ratepayers, and educate consumers regarding aspects of public utility regulation.	<ul style="list-style-type: none"> Advocate positions on behalf of consumers of utility and transportation services before the State of Hawaii Public Utilities Commission (“PUC”), the Federal Communications Commission (“FCC”), and other federal, state and local regulatory agencies. Positions are developed and advocated concerning: (a) Applications for authority to offer new services; (b) Rate and fare proposals; (c) Revenue and earnings requirements of regulated utilities; (d) Financing of equipment, plant, and other facilities, (e) Review of capital improvement projects; (f) Transfers, extensions, and cancellations of permits, franchises, and certificates; (g) Regulations governing regulated industries; (h) Formulation of policies and long range planning for telecommunications and energy utilities; (i) service quality; and (j) agreements and contracts between a regulated company and suppliers and/or other parties. 	CCA 103	8	Sections 269-51 through 269-55, HRS.
		<ul style="list-style-type: none"> Compliance investigations are conducted regarding the adequacy and efficiency of utility services. 			
		<ul style="list-style-type: none"> Education of consumers relating to utility regulation and consumer rights through web site, newsletters, sponsored events, and attendance at trade-shows, fairs, business and community meetings, etc. 			
		<ul style="list-style-type: none"> Equitably advance and advocate clean energy and broadband initiative goals. 			
General Support	Administration of the Department; conducts administrative hearings for the Department, administers the Medical Inquiry and Conciliation Panel, and the Design Claims Conciliation Panel.	<ul style="list-style-type: none"> Provides: (1) general policy and administrative leadership, supervision and coordination of the various programs of the Department; (2) assistance in the direction and supervision of operating divisions; and (3) centralized personnel management and organizational analysis support services to operating divisions. 	CCA 191	9	Section 26-9, HRS.
		<ul style="list-style-type: none"> Provides: (1) centralized budgeting and accounting services; (2) centralized clerical services; (3) building management; and (4) program review, contracting and other administrative support services. 			
		<ul style="list-style-type: none"> Conducts contested case hearings for all divisions within DCCA that are required by law to provide contested case hearings under the provisions of HRS Chapter 91. 			
		<ul style="list-style-type: none"> Conducts contested case hearings under Chapter 103D, HRS (procurement law). 			
		<ul style="list-style-type: none"> Convenes panels of qualified attorneys and medical professionals to review inquiries regarding health care practitioners under the provisions of HRS Chapter 671. 			

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Dept-Wide	Statutory Reference
				Priority	
		<ul style="list-style-type: none"> • Convenes panels of qualified attorneys and design professionals to review claims against design professionals under the provisions of HRS Chapter 672B. 			
		<ul style="list-style-type: none"> • Conducts contested case hearings for the Employees' Retirement System of the State of Hawaii regarding disputes concerning benefits under the provisions of HAR Title 6, Chapters 20 and 23. 			
		<ul style="list-style-type: none"> • Provides all divisions of DCCA with: (1) information technology hardware, software and custom programming support; (2) DCCA website support, (3) support for telework and virtual meetings, (4) telephone, and other communication services support. Coordination of DCCA's IT roadmap with ETS and State's plan. 			
Cable Television	Compliance with Hawaii's cable television law and rules.	<ul style="list-style-type: none"> • Ensure quality service for cable television subscribers by issuing new franchises, franchise renewals and transfers of franchises. 	CCA 102	10	Chapter 440G and 440J HRS.
		<ul style="list-style-type: none"> • Administer and ensure enhancement of the Institutional Network (INET) 			
		<ul style="list-style-type: none"> • Identify new and improved services, future community needs and interests and enhancing technologies.(i.e., DBS, broadband, etc) 			
		<ul style="list-style-type: none"> • Oversee technical inspections and establish reporting requirements. 			
		<ul style="list-style-type: none"> • Designate public, educational, and governmental (PEG) organizations to provide PEG services and enter into contracts for such services; and provide support for PEG access and PEG services. 			
		<ul style="list-style-type: none"> • Implement activities to promote ubiquitous access to high speed broadband at affordable prices throughout the State. 			
		<ul style="list-style-type: none"> • Develop plans and recommend legislation to expand and accelerate deployment of broadband infrastructure; support public-private efforts to develop broadband infrastructure; work with government agencies, broadband providers, and other stakeholders to advance the provision of broadband services to un-served and under-served areas in the State. 			
Hawaii Post-Secondary Education Authorization Program	Authorization of accredited, degree-granting, post-secondary educational institutions in Hawaii and review and processing of student complaints against authorized educational institutions.	<ul style="list-style-type: none"> • Review applications from post-secondary educational institutions, issue authorizations and subsequent reauthorizations on a biennial basis. 	CCA 107	11	Chapter 305J, HRS.
		<ul style="list-style-type: none"> • Provide information to post-secondary educational institutions seeking authorization or clarification of the law and provide information to students regarding the complaints process. 			

Department of Commerce and Consumer Affairs
 Functions

Table 1

<u>Division</u>	<u>Description of Function</u>	<u>Activities</u>	<u>Prog ID(s)</u>	<u>Dept-Wide</u>	<u>Statutory Reference</u>
				<u>Priority</u>	
		<ul style="list-style-type: none"> • Review complaints, investigate and initiate enforcement action as appropriate. 			
		<ul style="list-style-type: none"> • Provide Hawaii student transcripts for closed authorized institutions (Argosy University, Heald College, World Medicine Institute) 			

Department of Commerce and Consumer Affairs
Department-Wide Totals

Table 2

Fiscal Year 2023					
Budget Acts Appropriation	Reductions	Additions	Emergency Appropriations	Total FY23	MOF
\$ 89,516,277.00				\$ 89,516,277.00	B
\$ 3,380,807.00				\$ 3,380,807.00	T
				\$ -	
				\$ -	
				\$ -	
				\$ -	
\$ 92,897,084.00	\$ -	\$ -	\$ -	\$ 92,897,084.00	Total
Fiscal Year 2024					
Budget Acts Appropriation	Reductions	Additions		Total FY24	MOF
\$ 89,516,277.00	\$ (1,471,400.00)	\$ 12,955,648.00		\$ 101,000,525.00	B
\$ 3,380,807.00		\$ 60,052.00		\$ 3,440,859.00	T
				\$ -	
				\$ -	
				\$ -	
				\$ -	
\$ 92,897,084.00	\$ (1,471,400.00)	\$ 13,015,700.00	\$ -	\$ 104,441,384.00	Total

Department of Commerce and Consumer Affairs
Program ID Totals

Table 3

Prog ID	Program Title	MOF	As budgeted (FY23)			Governor's Submittal (FY24)				Governor's Submittal (FY25)			
			Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Percent Change of \$\$\$\$	Pos (P)	Pos (T)	\$\$\$	Percent Change of \$\$\$\$
CCA102	Cable Television	B	7.00		\$ 2,566,872	7.00		\$ 2,611,532	1.74%	7.00		\$ 2,650,263	3.25%
CCA103	Consumer Advocate for Comm, Util, & Tran Svc	B	25.00		\$ 4,604,311	25.00		\$ 4,657,737	1.16%	25.00		\$ 4,786,380	3.95%
CCA104	Financial Services Regulation	B	43.00		\$ 5,803,367	43.00		\$ 6,154,437	6.05%	43.00		\$ 6,332,828	9.12%
CCA104	Financial Services Regulation	T			\$ 301,000			\$ 301,000	0.00%			\$ 301,000	0.00%
CCA105	Professional & Vocational Licensing	B	71.00	11.00	\$ 8,664,561	71.00	11.00	\$ 9,563,602	10.38%	71.00	11.00	\$ 9,898,269	14.24%
CCA105	Professional & Vocational Licensing	T	8.00	4.00	\$ 2,778,126	8.00	4.00	\$ 2,838,178	2.16%	8.00	4.00	\$ 2,877,363	3.57%
CCA106	Insurance Regulatory Services	B	95.00		\$ 20,218,039	94.00		\$ 20,227,838	0.05%	94.00		\$ 20,628,616	2.03%
CCA106	Insurance Regulatory Services	T			\$ 201,000			\$ 201,000	0.00%			\$ 201,000	0.00%
CCA 107	Hawaii Post-Secondary Education Authorization Program	B	2.00		\$ 308,875	2.00		\$ 335,261	8.54%	2.00		\$ 344,704	11.60%
CCA 110	Office of Consumer Protection	B	19.00	1.00	\$ 2,943,211	19.00	1.00	\$ 3,177,727	7.97%	19.00	1.00	\$ 3,281,077	11.48%
CCA 110	Office of Consumer Protection	T			\$ 100,681			\$ 100,681	0.00%			\$ 100,681	0.00%
CCA 111	Business Registration and Securities Regulation	B	79.00		\$ 9,221,068	79.00		\$ 9,216,068	-0.05%	79.00		\$ 9,216,068	-0.05%
CCA 112	Regulated Industries Complaints Office	B	66.00	1.00	\$ 7,831,037	66.00	1.00	\$ 8,427,660	7.62%	66.00	1.00	\$ 8,723,211	11.39%
CCA 191	General Support	B	51.00	1.00	\$ 10,436,778	52.00	1.00	\$ 17,891,339	71.43%	52.00	1.00	\$ 17,614,534	68.77%
CCA 901	Public Utilities Commission (PUC)	B	67.00		\$ 16,918,158	67.00		\$ 18,737,324	10.75%	67.00		\$ 19,104,676	12.92%
	Special Fund Total	B	525.00	14.00	\$ 89,516,277	525.00	14.00	\$ 101,000,525	12.83%	525.00	14.00	\$ 102,580,626	14.59%
	Trust Fund Total	T	8.00	4.00	\$ 3,380,807	8.00	4.00	\$ 3,440,859	1.78%	8.00	4.00	\$ 3,480,044	2.94%
			533.00	18.00	\$ 92,897,084	533.00	18.00	\$ 104,441,384	12.43%	533.00	18.00	\$ 106,060,670	14.17%

Department of Commerce and Consumer Affairs
Budget Decisions

Prog ID	Sub-Org	Description of Request	MOF	Initial Department Requests						Budget and Finance Recommendations						Governor's Decision					
				FY24			FY25			FY24			FY25			FY24			FY25		
				Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
CCA-106	EA	Transfer 1 position from INS to DOASO	B	(1.00)		\$ (123,964)	(1.00)		\$ (123,964)	(1.00)		\$ (123,964)	(1.00)		\$ (123,964)	(1.00)		\$ (123,964)	(1.00)		\$ (123,964)
CCA-191	AA	Transfer 1 position from INS to DOASO	B	1.00		\$ 123,964	1.00		\$ 123,964	1.00		\$ 123,964	1.00		\$ 123,964	1.00		\$ 123,964	1.00		\$ 123,964
CCA-103	HA	Trade-off Other Current Expenses for Personal services	B			\$ (200,000)			\$ (200,000)			\$ (200,000)			\$ (200,000)			\$ (200,000)			\$ (200,000)
CCA-103	HA	Trade-off Other Current Expenses for Personal services	B			\$ 200,000			\$ 200,000			\$ 200,000			\$ 200,000			\$ 200,000			\$ 200,000
CCA-102	FA	Transfer out insurance to CCA191/AA	B						\$ (1,000)			\$ (1,000)			\$ (1,000)			\$ (1,000)			\$ (1,000)
CCA-103	HA	Transfer out insurance to CCA191/AA	B						\$ (1,500)			\$ (1,500)			\$ (1,500)			\$ (1,500)			\$ (1,500)
CCA-104	BA	Transfer out insurance to CCA191/AA	B						\$ (2,600)			\$ (2,600)			\$ (2,600)			\$ (2,600)			\$ (2,600)
CCA-105	GA	Transfer out insurance to CCA191/AA	B						\$ (4,000)			\$ (4,000)			\$ (4,000)			\$ (4,000)			\$ (4,000)
CCA-106	EA	Transfer out insurance to CCA191/AA	B						\$ (5,000)			\$ (5,000)			\$ (5,000)			\$ (5,000)			\$ (5,000)
CCA-107	IA	Transfer out insurance to CCA191/AA	B						\$ (70)			\$ (70)			\$ (70)			\$ (70)			\$ (70)
CCA-110	DA	Transfer out insurance to CCA191/AA	B						\$ (830)			\$ (830)			\$ (830)			\$ (830)			\$ (830)
CCA-111	CA	Transfer out insurance to CCA191/AA	B						\$ (5,000)			\$ (5,000)			\$ (5,000)			\$ (5,000)			\$ (5,000)
CCA-112	AB	Transfer out insurance to CCA191/AA	B						\$ (3,525)			\$ (3,525)			\$ (3,525)			\$ (3,525)			\$ (3,525)
CCA-191	AH	Transfer out insurance to CCA191/AA	B						\$ (500)			\$ (500)			\$ (500)			\$ (500)			\$ (500)
CCA-191	AI	Transfer out insurance to CCA191/AA	B						\$ (1,000)			\$ (1,000)			\$ (1,000)			\$ (1,000)			\$ (1,000)
CCA-191	AA	Transfer in insurance	B						\$ 25,025			\$ 25,025			\$ 25,025			\$ 25,025			\$ 25,025
CCA-191	AA	King Kalakaua Building Non-recurring	B			\$ 10,500,000			\$ 10,500,000			\$ 7,560,000			\$ 7,560,000			\$ 7,560,000			\$ 7,560,000
CCA-901	MA	S-353 Electric Vehicle Charging System Subaccount	B			\$ 750,000			\$ 750,000			\$ 750,000			\$ 750,000			\$ 750,000			\$ 750,000
CCA-901	MA	S-355 Hydrogen Fueling Systems Subaccount	B			\$ 750,000			\$ 750,000			\$ 750,000			\$ 750,000			\$ 750,000			\$ 750,000
CCA-105	GA	PVL Consultant Services	B			\$ 450,000			\$ 450,000			\$ 450,000			\$ 450,000			\$ 450,000			\$ 450,000
CCA-191	AA	ASO Consultant Services	B			\$ 75,000			\$ 75,000												
CCA-105	GA	Add 1.00 position and funds	B	1.00		\$ 75,426	1.00		\$ 78,426												
CCA-191	AA	Add 1.00 position and funds	B	1.00		\$ 87,539	1.00		\$ 88,463												
CCA-191	AI	Add 1.00 position and funds	B	1.00		\$ 131,200	1.00		\$ 131,200												
CCA-105	GA	Add funds for study	B			\$ 25,000			\$ 25,000												
CCA-191	AH	OAH equipment	B			\$ 29,000															
CCA-191	AH	OAH travel	B			\$ 15,000			\$ 15,000												
CCA-191	AI	Productivity software	B																		
CCA-191	AA	Risk management	B			\$ 75,000			\$ 75,000			\$ 41,363			\$ 41,363			\$ 41,363			\$ 41,363
CCA-104	BA	Central Services Assessment	B			\$ 419,310			\$ 729,029												
CCA-111	CA	Fringe Benefits	B			\$ 102,817			\$ 421,344			\$ 398,569			\$ 142,821			\$ 398,569			\$ 142,821
CCA-191	AA	Fringe Benefits	B																		

Department of Commerce and Consumer Affairs
Proposed Budget Reductions

Table 5

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Description of Reduction</u>	<u>Impact of Reduction</u>	<u>MOE</u>	<u>FY24</u>			<u>FY25</u>			<u>FY23 Restriction (Y/N)</u>
					<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$\$</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$\$</u>	
		NONE									

Department of Commerce and Consumer Affairs
Proposed Budget Additions

Table 6

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	FY24			FY25		
								Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
					Collective bargaining adjustment to base	Negotiated settlements	B			\$ 2,930,716			\$ 4,766,565
					Collective bargaining adjustment to base	Negotiated settlements	T			\$ 60,052			\$ 99,237
					Total adjustments to base					\$ 2,990,768			\$ 4,865,802
CCA-191	AA	AR	1	1	King Kalakaua Building Non-recurring	Increase ceiling to fund building renovation	B			\$ 7,560,000.00			\$ 7,560,000.00
CCA-901	MA	AR	1	2	S-353 Electric Vehicle Charging System Subaccount	The PUC requests \$750,000 for Other Current Expenses to expend on the Electric Vehicle Charging System Rebate Program.	B			\$ 750,000.00			\$ 750,000.00
CCA-901	MA	AR	2	3	S-355 Hydrogen Fueling Systems Subaccount	The PUC requests \$750,000 for Other Current Expenses to expend on the zero-emission vehicle fueling system rebate program.	B			\$ 750,000.00			\$ 750,000.00
CCA-105	GA	AR	1	4	PVL Consultant Services	Increase ceiling appropriation to support ongoing maintenance of various systems.	B			\$ 450,000.00			\$ 450,000.00
CCA-191	AA	AR	4	13	Risk management	Increase ceiling to fund risk management	B			\$ 41,363.00			\$ 41,363.00
CCA-104	BA	AR	4	13	Central Services Assessment	Increase Ceiling for Central Services Assessment.	B			\$ 75,000.00			\$ 75,000.00
CCA-191	AA	AR	2	14	Fringe Benefits	Increase ceiling to pay for increased fringe costs.	B			\$ 398,569.00			\$ 142,821.00

Department of Commerce and Consumer Affairs
 FY 2021 - FY 2023 Restrictions

Table 7

<u>Fiscal Year</u>	<u>Prog ID</u>	<u>Sub-Org</u>	<u>MOF</u>	<u>Budgeted by Dept</u>	<u>Restriction</u>	<u>Difference Between Budgeted & Restricted</u>	<u>Percent Difference</u>	<u>Impact</u>
	NONE							

Department of Commerce and Consumer Affairs
Emergency Appropriation Requests

Table 8

<u>Prog ID</u>	<u>Description of Request</u>	<u>Explanation of Request</u>	<u>MOE</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
	NONE					

Department of Commerce and Consumer Affairs
Expenditures Exceeding Appropriation Ceilings in FY22 and FY23

Table 9

<u>Prog ID</u>	<u>MOF</u>	<u>Date</u>	<u>Appropriation</u>	<u>Amount Exceeding Appropriation</u>	<u>Percent Exceeded</u>	<u>Reason for Exceeding Ceiling</u>	<u>Legal Authority</u>	<u>Recurring (Y/N)</u>	<u>GF Impact (Y/N)</u>
			NONE						

Department of Commerce and Consumer Affairs
 Intradepartmental Transfers in FY22 and FY23

Table 10

<u>Actual or Anticipated Date of Transfer</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	<u>From Prog ID</u>	<u>Percent of Program ID Appropriation Transferred From</u>	<u>To Prog ID</u>	<u>Percent of Receiving Program ID Appropriation</u>	<u>Reason for Transfer</u>	<u>Recurring (Y/N)</u>
		NONE								

Department of Commerce and Consumer Affairs
Vacancy Report as of November 30, 2022

Table 11

Prog ID	Sub-Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Describe if Filled by other Means	Priority # to Retain
CCA 103	HA	05/02/22	03/31/23	123135	PU STAFF ATTORNEY III	Y	NA	73	P	1.00	B	\$ 95,040.00	\$ 95,040.00	Y	N	--		1
CCA 105	GA	01/04/21	05/01/23	3769	SUPVGR REGULATORY BDS/COMMS ADM ASST	N	28	23	P	1.00	B	\$ 75,588.00	\$ 91,968.00	Y	N	--		2
CCA 111	CA	03/01/19	06/01/23	33023	SECURITIES COMPLIANCE SUPERVISOR	N	26	23	P	1.00	B	\$ 67,200.00	\$ 95,436.00	Y	N	--		3
CCA 111	CA	05/01/21	06/01/23	102532	CRF SUPERVISING ATTORNEY	Y	NA	93	P	1.00	B	\$ 99,767.00	\$ 95,724.00	Y	N	--		4
CCA 110	DA	08/13/21	03/01/23	25073	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 67,200.00	\$ 67,200.00	Y	N	--		5
CCA 901	MA	04/15/22	07/01/23	121918	PUC PROCUREMENT MANAGER	Y	NA	13	P	1.00	B	\$ 93,240.00	\$ 90,000.00	Y	N	--		6
CCA 104	BA	05/01/22	05/01/23	122703	DFI STAFF ATTORNEY	Y	NA	73	P	1.00	B	\$ 89,004.00	\$ 89,004.00	Y	N	--		7
CCA 104	BA	07/06/22	04/01/23	17398	FINANCIAL INST EXAMINER I	N	22	13	P	1.00	B	\$ 59,748.00	\$ 59,748.00	Y	N	--		8
CCA 105	GA	09/01/22	04/01/23	120571	REGULATORY BDS/COMMS ADMIN ASST III	N	26	13	P	1.00	B	\$ 95,652.00	\$ 95,652.00	Y	N	--		9
CCA 106	EA	06/16/22	04/01/23	102732	CRF INSURANCE EXAMINER III	Y	NA	23	P	1.00	B	\$ 83,244.00	\$ 83,244.00	Y	N	--		10
CCA 106	EA	12/16/21	03/01/23	110445	INS STAFF ATTORNEY III	Y	NA	73	P	1.00	B	\$ 85,584.00	\$ 87,300.00	Y	N	--		11
CCA 111	CA	12/31/21	02/01/23	117941	BUSINESS REGISTRATION SUPERVISOR	N	17	04	P	1.00	B	\$ 60,768.00	\$ 60,768.00	Y	N	--		12
CCA 112	AB	12/31/20	05/01/23	12734	INVESTIGATOR V	N	24	13	P	1.00	B	\$ 62,136.00	\$ 91,968.00	Y	N	--		13
CCA 191	AI	12/31/21	03/21/23	120566	INFORMATION TECHNOLOGY BAND B	N	22	13	P	1.00	B	\$ 78,612.00	\$ 78,612.00	Y	N	--		14
CCA 901	MA	12/01/21	07/01/23	117631	PUC EDUCATION AND ENFORCEMENT SPCLT	Y	NA	13	P	1.00	B	\$ 67,824.00	\$ 67,824.00	Y	N	--		15
CCA 103	HA	11/16/22	02/28/23	110990	DCA EDUCATION SPECIALIST	Y	NA	13	P	1.00	B	\$ 51,000.00	\$ 52,020.00	Y	N	--		16
CCA 105	GA	--	03/01/23	124486	PVL OFFICE ASSISTANT V	Y	NA	03	P	1.00	B	\$ 38,004.00	--	Y	N	--		17
CCA 105	GA	--	03/01/23	124487	PVL OFFICE ASSISTANT V	Y	NA	03	P	1.00	B	\$ 38,004.00	--	Y	N	--		18
CCA 106	EA	07/01/21	05/01/23	120979	CIAF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 75,588.00	\$ 75,588.00	Y	N	--		19
CCA 111	CA	02/01/21	05/15/23	54544	SECURITIES EXAMINER IV	N	22	13	P	1.00	B	\$ 55,200.00	\$ 69,876.00	Y	N	--		20
CCA 111	CA	03/08/21	03/01/23	119243	LEGAL CLERK	N	14	03	P	1.00	B	\$ 41,100.00	\$ 56,316.00	Y	N	--		21
CCA 112	AB	11/01/22	03/01/23	102087	STAFF ATTORNEY	Y	NA	73	P	1.00	B	\$ 70,872.00	\$ 72,288.00	Y	N	--		22
CCA 112	AB	-	04/01/23	124140	RI PROGRAM SPECIALIST III	Y	NA	13	P	1.00	B	\$ 60,000.00	--	N	N	--		23
CCA 901	MA	06/04/22	07/01/23	100540	PUC ATTORNEY II	Y	NA	73	P	1.00	B	\$ 81,804.00	\$ 85,644.00	Y	N	--		24
CCA 103	HA	08/01/22	02/28/23	6318	LEGAL CLERK	N	14	03	P	1.00	B	\$ 41,100.00	\$ 41,100.00	Y	Y	2	currently filled by 89-day hire	25
CCA 103	HA	09/16/22	02/01/23	100117	EXECUTIVE DIRECTOR, DCA	Y	NA	93	P	1.00	B	\$ 118,776.00	\$ 124,488.00	Y	N	--		26
CCA 104	BA	06/13/22	03/15/23	121984	CRF FINANCIAL INST EXAMINER II	Y	NA	13	P	1.00	B	\$ 69,876.00	\$ 69,876.00	Y	N	--		27
CCA 105	GA	09/01/22	04/01/23	120570	REGULATORY BDS/COMMS ADMIN ASST I	N	22	13	P	1.00	B	\$ 67,200.00	\$ 67,200.00	Y	N	--		28
CCA 105	GA	--	03/01/23	124488	PVL OFFICE ASSISTANT V	Y	NA	03	P	1.00	B	\$ 38,004.00	--	Y	N	--		29
CCA 106	EA	11/16/22	04/01/23	112455	CAPTIVE INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 78,612.00	\$ 80,184.00	Y	N	--		30
CCA 106	EA	08/17/22	03/01/23	113029	INS STAFF ATTORNEY III	Y	NA	73	P	1.00	B	\$ 81,744.00	\$ 89,100.00	Y	N	--		31
CCA 111	CA	07/16/22	03/01/23	119244	LEGAL CLERK	N	14	03	P	1.00	B	\$ 41,100.00	\$ 41,100.00	Y	N	--		32
CCA 111	CA	07/31/21	03/15/23	31670	BUSINESS REGISTRATION ASSISTANT	N	15	03	P	1.00	B	\$ 42,792.00	\$ 42,792.00	Y	N	--		33
CCA 112	AB	08/06/22	05/01/23	119164	RI INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 55,194.00	\$ 55,200.00	Y	N	--		34
CCA 112	AB	07/16/18	04/01/23	120232	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 55,200.00	\$ 52,956.00	Y	N	--		35
CCA 112	AB	11/23/21	05/01/23	120233	RI INVESTIGATOR IV	Y	NA	13	T	1.00	B	\$ 52,957.00	\$ 55,200.00	Y	N	--		36
CCA 191	AI	11/01/22	03/31/23	120562	INFORMATION TECHNOLOGY BAND B	N	22	13	P	1.00	B	\$ 67,200.00	\$ 68,544.00	Y	N	--		37
CCA 901	MA	10/01/22	07/01/23	44864	PUC DISTRICT REPRESENTATIVE (H)	N	22	13	P	1.00	B	\$ 72,684.00	\$ 77,100.00	Y	N	--		38
CCA 901	MA	08/16/22	07/01/23	121797	SR STRATEGIC MANAGEMENT ANALYST	Y	NA	13	P	1.00	B	\$ 96,612.00	\$ 98,556.00	Y	N	--		39
CCA 103	HA	08/02/21	03/31/23	15009	ENGINEER (PU) III	N	22	13	P	1.00	B	\$ 55,200.00	\$ 67,200.00	Y	N	--		40
CCA 103	HA	04/07/22	03/31/23	110963	PU STAFF ATTORNEY III	Y	NA	73	P	1.00	B	\$ 67,116.00	\$ 67,116.00	Y	N	--		41
CCA 103	HA	--	03/31/23	123136	DCA ADMINISTRATIVE SPECIALIST	Y	NA	13	P	1.00	B	\$ 45,000.00	--	Y	N	--		42
CCA 105	GA	08/19/22	04/01/23	26720	REGULATORY BDS/COMMS ADMIN ASST I	N	22	13	P	1.00	B	\$ 57,420.00	\$ 56,304.00	Y	N	--		43
CCA 105	GA	06/18/22	04/01/23	26925	REGULATORY BDS/COMMS ADMIN ASST I	N	22	13	P	1.00	B	\$ 55,200.00	\$ 55,200.00	Y	N	--	to be filled by 89-day hire	44
CCA 106	EA	08/12/22	04/01/23	22241	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 78,612.00	\$ 80,184.00	Y	N	--		45
CCA 106	EA	12/16/21	05/01/23	102734	IERF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 81,744.00	\$ 81,744.00	Y	N	--		46
CCA 106	EA	06/19/21	05/01/23	110964	CRF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 69,876.00	\$ 69,876.00	Y	N	--		47
CCA 106	EA	12/17/19	04/01/23	116590	CIAF INSURANCE EXAMINER I	Y	NA	13	P	1.00	B	\$ 69,564.00	\$ 69,564.00	Y	N	--		48
CCA 106	EA	12/31/21	04/01/23	120904	INS HEALTH INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 74,004.00	\$ 74,004.00	Y	N	--		49
CCA 106	EA	11/19/19	04/01/23	120976	CAPTIVE INSURANCE EXAMINER III	Y	NA	13	P	1.00	B	\$ 64,476.00	\$ 64,476.00	Y	N	--		50
CCA 111	CA	12/31/21	04/01/23	117939	BUSINESS REGISTRATION ASSISTANT	N	15	03	P	1.00	B	\$ 63,288.00	\$ 63,288.00	Y	N	--		51
CCA 112	AB	02/01/22	04/01/23	120369	INVESTIGATOR III	N	20	13	P	1.00	B	\$ 57,420.00	\$ 57,420.00	Y	N	--		52
CCA 191	AA	11/01/22	03/01/23	6567	OFFICE ASSISTANT V	N	12	03	P	1.00	B	\$ 38,004.00	\$ 39,420.00	Y	N	--		53
CCA 105	GA	06/16/21	03/01/23	33	SECRETARY II	N	14	03	P	1.00	B	\$ 41,100.00	\$ 41,100.00	Y	N	--		54
CCA 105	GA	09/07/22	03/01/23	118938	SECRETARY II	N	14	03	P	1.00	B	\$ 41,100.00	\$ 41,100.00	Y	N	--		55
CCA 105	GA	10/30/21	03/01/23	119157	SECRETARY II	N	14	03	P	1.00	B	\$ 41,100.00	\$ 41,100.00	Y	N	--		56

Department of Commerce and Consumer Affairs
 Vacancy Report as of November 30, 2022

Table 11

Prog ID	Sub-Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Describe if Filled by other Means	Priority # to Retain
CCA 106	EA	11/27/21	04/01/23	110690	INS LEGAL ASSISTANT II	Y	NA	13	P	1.00	B	\$ 49,944.00	\$ 49,944.00	Y	N	--		57
CCA 105	GA	--	03/01/23	124484	PVL OFFICE ASSISTANT IV	Y	NA	03	P	1.00	B	\$ 35,196.00	--	Y	N	--		58
CCA 105	GA	--	03/01/23	124485	PVL OFFICE ASSISTANT V	Y	NA	03	P	1.00	B	\$ 38,004.00	--	Y	N	--		59
CCA 103	HA	09/28/22	02/28/23	21378	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 35,198.00	\$ 36,504.00	Y	N	--		60
CCA 106	EA	--	04/01/23	122936	INSURANCE LICENSING PROGRAM SPCLT	Y	NA	13	P	1.00	B	\$ 65,820.00	--	Y	N	--		61
CCA 106	EA	12/31/21	04/01/23	25075	INSURANCE LICENSING CLERK	N	11	03	P	1.00	B	\$ 54,108.00	\$ 54,108.00	Y	N	--		62
CCA 111	CA	04/23/22	04/01/23	49535	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 35,196.00	\$ 35,196.00	Y	N	--		63
CCA 111	CA	06/03/21	05/01/23	49521	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 33,120.00	\$ 33,120.00	Y	N	--		64
CCA 111	CA	05/01/20	05/01/23	38510	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 33,120.00	\$ 30,240.00	Y	N	--		65
CCA 111	CA	12/31/21	03/15/23	38868	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 41,976.00	\$ 41,976.00	Y	N	--		66
CCA 106	EA	07/01/22	03/01/23	119027	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 33,120.00	\$ 33,120.00	Y	N	--		67
CCA 111	CA	09/16/22	03/15/23	40361	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 33,120.00	\$ 33,120.00	Y	N	--		68
CCA 106	EA	04/01/21	03/01/23	119028	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 33,120.00	\$ 43,620.00	Y	N	--		69
CCA 111	CA	07/01/22	04/15/23	40362	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 33,120.00	\$ 33,120.00	Y	N	--		70
CCA 112	AB	05/16/22	03/01/23	119179	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 33,120.00	\$ 33,120.00	Y	N	--		71
CCA 111	CA	09/08/22	03/01/23	29149	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 33,120.00	\$ 33,120.00	Y	N	--		72
CCA 111	CA	05/24/22	04/01/23	32895	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 33,120.00	\$ 30,240.00	Y	N	--		73
CCA 111	CA	11/26/22	03/15/23	40364	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 40,308.00	\$ 41,808.00	Y	N	--		74
CCA 111	CA	05/09/22	05/15/23	46581	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 33,120.00	\$ 33,120.00	Y	N	--		75
CCA 111	CA	09/16/22	04/15/23	46584	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 33,120.00	\$ 33,120.00	Y	N	--		76
					Positions filled or offers accepted													
CCA 103	HA	11/16/22	12/16/22	120186	DCA CHIEF RESEARCH ANALYST	Y	NA	23	P	1.00	B	\$ 95,868.00	\$ 97,788.00	Y	N	--	Offer Accepted	
CCA 103	HA	12/31/21	12/01/22	123137	DCA PROGRAM SPECIALIST	Y	NA	13	P	1.00	B	\$ 77,748.00	\$ 77,748.00	Y	N	--	Offer Accepted	
CCA 105	GA	12/31/21	12/16/22	34	REGULATORY BDS/COMMS ADMIN ASST III	N	26	13	P	1.00	B	\$ 99,468.00	\$ 99,468.00	Y	N	--	Offer Accepted	
CCA 105	GA	11/01/22	12/01/22	36375	SECRETARY II	N	14	03	P	1.00	B	\$ 42,792.00	\$ 44,388.00	Y	N	--	Offer Accepted	
CCA 105	GA	08/01/22	01/03/23	43735	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 35,196.00	\$ 35,196.00	Y	N	--	Offer Accepted	
CCA 105	GA	08/01/22	12/01/22	118936	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 42,792.00	\$ 35,196.00	Y	N	--	Offer Accepted	
CCA 105	GA	07/01/21	01/03/23	122199	OFFICE ASSISTANT V	N	12	03	T	1.00	B	\$ 38,004.00	\$ 38,004.00	Y	N	--	Offer Accepted	
CCA 106	EA	12/01/20	01/31/23	8692	INSURANCE RATE AND POLICY ANALYST IV	N	22	13	P	1.00	B	\$ 55,200.00	\$ 72,684.00	Y	N	--	Vicing - RPA Manager-Filled	
CCA 106	EA	07/01/22	01/04/23	110211	IRF RATE AND POLICY ANALYST	Y	NA	13	P	1.00	B	\$ 62,136.00	\$ 62,136.00	Y	N	--	Offer Accepted	
CCA 111	CA	05/03/22	01/17/23	35643	SECRETARY I	N	12	03	P	1.00	B	\$ 38,004.00	\$ 38,004.00	Y	N	--	Offer Accepted	
CCA 111	CA	09/01/22	12/16/22	122871	DEPUTY COMMISSIONER OF SECURITIES	N	EM7	35	P	1.00	B	\$ 116,940.00	\$ 120,456.00	Y	N	--	Offer Accepted	
CCA 191	AA	05/07/22	12/01/22	38871	ACCOUNT CLERK IV	N	13	03	P	1.00	B	\$ 39,540.00	\$ 39,540.00	Y	N	--	Offer Accepted	
CCA 191	AA	-	12/01/22	124104	HUMAN RESOURCES ASSISTANT V	N	13	63	P	1.00	B	\$ 34,020.00	--	Y	N	--	Offer Accepted	
CCA 112	AB	11/16/22	12/01/22	102729	STAFF ATTORNEY	Y	NA	73	P	1.00	B	\$ 80,592.00	\$ 83,412.00	Y	N	--	Offer Accepted	
CCA 112	AB	07/01/22	01/01/23	119178	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 33,120.00	\$ 33,120.00	Y	N	--	Offer Accepted	
CCA 191	AH	03/01/21	12/05/22	119020	LEGAL CLERK	N	14	03	P	1.00	B	\$ 41,100.00	\$ 41,100.00	Y	N	--	Offer Accepted	
CCA 901	MA	06/15/22	01/03/23	36924	LEGAL CLERK II	N	12	03	P	1.00	B	\$ 35,196.00	\$ 38,004.00	Y	N	--	Offer Accepted	
CCA 901	MA	11/01/22	01/16/23	121829	ACCOUNT CLERK III	N	11	03	P	1.00	B	\$ 36,564.00	\$ 52,044.00	Y	N	--	Offer Accepted	

Positions Filled and/or Established by Acts other than the State Budget as of November 30, 2022

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Date Established</u>	<u>Legal Authority</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>FTE</u>	<u>Annual Salary</u>	<u>Filled (Y/N)</u>	<u>Occupied by 89 Day Hire (Y/N)</u>
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NONE

Department of Commerce and Consumer Affairs
Overtime Expenditure Summary

Table 13

Prog ID	Sub-Org	Program Title	MOF	FY22 (actual)			FY23 (estimated)			FY24 (budgeted)		
				Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent
CCA102	FA	Cable Television	B	\$ 592,776		0.0%	\$ 592,776	\$ 5,000	0.8%	\$ 638,660	\$ 5,000	0.8%
CCA103	HA	Consumer Advocate for Commu	B	\$ 1,834,044	\$ 1,033	0.1%	\$ 1,822,044	\$ 25,000	1.4%	\$ 2,020,134	\$ 25,000	1.2%
CCA104	BA	Financial Services Regulation	B	\$ 2,853,478		0.0%	\$ 3,076,284	\$ 13,000	0.4%	\$ 3,230,111	\$ 13,000	0.4%
CCA105	GA	Professional & Vocational Licens	B	\$ 3,811,150	\$ 24,719	0.6%	\$ 4,147,332	\$ 70,101	1.7%	\$ 4,439,515	\$ 70,101	1.6%
CCA105	GA	Professional & Vocational Licens	T	\$ 736,235		0.0%	\$ 683,735	\$ 21,360	3.1%	\$ 699,422	\$ 21,360	3.1%
CCA106	EA	Insurance Regulatory Services	B	\$ 6,823,952		0.0%	\$ 6,778,283	\$ 21,836	0.3%	\$ 7,070,267	\$ 21,836	0.3%
CCA107	IA	Hawaii Post-Secondary Educatio	B	\$ 144,456		0.0%	\$ 145,368	\$ -	0.0%	\$ 160,418	\$ -	0.0%
CCA110	DA	Protection of the Consumer	B	\$ 1,382,712		0.0%	\$ 1,383,372	\$ -	0.0%	\$ 1,519,532	\$ -	0.0%
CCA111	CA	Business Registration	B	\$ 4,242,357	\$ 10,295	0.2%	\$ 4,245,017	\$ 60,000	1.4%	\$ 4,508,380	\$ 60,000	1.3%
CCA112	AB	Regulated Industries Complaints	B	\$ 4,253,857		0.0%	\$ 4,253,669	\$ 15,000	0.4%	\$ 4,587,821	\$ 15,000	0.3%
CCA191	AA	Director's Office & Administratic	B	\$ 1,887,240	\$ 10,888	0.6%	\$ 1,901,784	\$ 20,000	1.1%	\$ 2,107,997	\$ 20,000	0.9%
CCA191	AH	General Support/Office of Admi	B	\$ 711,048		0.0%	\$ 711,048	\$ 5,000	0.7%	\$ 758,775	\$ 5,000	0.7%
CCA191	AI	Info Systems & Communications	B	\$ 1,197,612	\$ 31,463	2.6%	\$ 1,197,612	\$ 20,000	1.7%	\$ 1,248,553	\$ 20,000	1.6%
CCA901	MA	Public Utilities Commission	B	\$ 5,006,188	\$ 534	0.0%	\$ 5,028,136	\$ -	0.0%	\$ 5,606,656	\$ -	0.0%
		Totals	B	\$ 34,740,870	\$ 78,932	0.2%	\$ 35,282,725	\$ 254,937	0.7%	\$ 37,896,819	\$ 254,937	0.7%
			T	\$ 736,235	\$ -	0.0%	\$ 683,735	\$ 21,360	3.1%	\$ 699,422	\$ 21,360	3.1%

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2022

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Term of Contract			Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S/*
						Date Executed	From	To					
CCA102	B	\$ 12,513.60	M	\$ 250,000.00	\$ 237,486.40	06/25/18	06/25/18	06/30/23	JONES DAY	TO PROVIDE LEGAL SVCS ON BEHALF OF STATE OF HI ON CABLE, TELECOMMUNICATIONS, & BROADBAND MATTERS BEFORE THE FCC & THE FEDERAL COURTS	Invoices monitored by AG Office and CATV staff.	Y	C
CCA102	B	\$ -	M	\$ 250,000.00	\$ 250,000.00	06/25/18	06/25/18	06/30/23	JONES DAY	TO PROVIDE LEGAL SVCS ON BEHALF OF STATE OF HI ON CABLE, TELECOMMUNICATIONS, & BROADBAND MATTERS BEFORE THE FCC & THE FEDERAL COURTS	Invoices monitored by AG Office and CATV staff.	Y	C
CCA102	B	\$ 129,680.53	O	\$ 200,000.00	\$ 70,319.47	06/01/19	06/01/21	06/30/23	MERINA & COMPANY, LLP	TO ASSIST THE DEPT IN ITS ASSESSMENT OF THE FINANCIAL & OPERATION MAGT. POLICIES, PROCEDURES, & PRACTICES OF THE PEGS AND CABLE OPERATORS.	Invoices monitored by CATV staff.	Y	S
CCA102	B	\$ -	O	\$ 200,000.00	\$ 200,000.00	06/01/19	06/01/22	06/30/23	MERINA & COMPANY, LLP	TO ASSIST THE DEPT IN ITS ASSESSMENT OF THE FINANCIAL & OPERATION MAGT. POLICIES, PROCEDURES, & PRACTICES OF THE PEGS AND CABLE OPERATORS.	Invoices monitored by CATV staff.	Y	S
CCA103	B	79,804.91	O	80,000.00	195.09	06/21/12	02/09/21	-	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/ THE PUBLIC UTILITIES COMMISSION (HERE-AFTER "COMMISSION". TO EXAMINE CONDUCT INTEGRATED RESOURCES PLANNING FOR HAWN ELECTRIC CO.	Monthly Review	Y	S
CCA103	B	77,053.86	O	77,100.00	46.14	06/30/14	01/27/17	-	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE DOCKET NO. 2013-0373 & 2016-0328.	Monthly Review	Y	S
CCA103	B	48,077.59	O	55,000.00	6,922.41	06/30/14	09/28/18	-	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE DOCKET NO. 2013-0373 & 2016-0328.	Monthly Review	Y	S
CCA103	B	123,904.18	O	260,000.00	136,095.82	06/01/15	06/01/15	-	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS SUCH AS GENERAL PREP TO SERVE AS EXPERT WITNESS ON BEHALF OF DCA IN THE INVESTIGATION INTO RELIABILITY STANDARDS & DER POLICIES 2011-0206, 2014-0183, 2014-0192	Monthly Review	Y	S
CCA103	B	43,111.41	O	50,000.00	6,888.59	11/28/16	06/20/17	-	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/HAWAII ELECTRIC LIGHT CO. INC'S APPLICATION F/APPVL OF GENERAL RATE INCREASE AND REVISED RATED SCHEDULES & RULE	Monthly Review	Y	S

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2022

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS. Y/N	Category E/L/P/C/G/S/*
CCA103	B	199,986.19	O	200,000.00	13.81	12/01/17	12/01/17	-	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/MAUI ELECTRIC CO., LTD'S APPLICATION FOR APPVL OF GENERAL RATE INCREASE & REVISED RATE SCHEDULES & RULE	Monthly Review	Y	S
CCA103	B	31,446.81	O	35,000.00	3,553.19	12/01/17	08/27/17	-	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/MAUI ELECTRIC CO., LTD'S APPLICATION F/APPVL OF GENERAL RATE INCREASE & REVISED RATE SCHEDULE & RULES IN	Monthly Review	Y	S
CCA103	B	21,202.37	O	150,000.00	128,797.63	06/27/18	06/02/21	-	SYNAPSE ENERGY ECONOMICS, INC.	SERVE AS EXPERT WITNESS IN POSSIBLE OR ACTUAL LITIGATION F/RESEARCH & CONSULTING SVCS IN DOCKET NO. 2018-0088 PERFORMANCE BASED REGULATION.	Monthly Review	Y	S
CCA103	B	9,631.25	O	16,250.00	6,618.75	11/05/18	10/30/19	-	ALVAREZ AND ASSOCIATES LLC	PROV SVCS IN CONNECTION W/HAWN ELECTRIC COMPANIES TO COMMIT FUNDS IN EXCESS OF 2,500,000 F/THE PHASE 1 GRID MODERNIZATION PROJ-TO-DETER	Monthly Review	Y	S
CCA103	B	0.00	O	45,000.00	45,000.00	10/30/18	06/18/21	-	ALVAREZ AND ASSOCIATES LLC	PROV SVCS IN CONNECTION W/HAWN ELECTRIC COMPANIES TO COMMIT FUNDS IN EXCESS OF 2,500,000 F/THE PHASE 1 GRID MODERNIZATION PROJ-TO-DETER	Monthly Review	Y	S
CCA103	B	199,467.32	O	200,000.00	532.68	01/08/19	01/08/19	-	SAWVEL AND ASSOCIATES, INC.	PROV SVCS IN CONNECTION W/HAWAII ELECTRIC LIGHT CO INC'S APPLICATION F/A GENERAL RATE CASE & REVISED RATE SCHEDULES & RULES DOCKET NO. 2018-0368	Monthly Review	Y	S
CCA103	B	374.02	O	18,000.00	17,625.98	01/23/19	06/24/19	-	SAWVEL AND ASSOCIATES, INC.	PROV SVCS IN CONNECTION W/PUC INSTITUTING A PROCEEDING TO INVESTIGATE ESTABLISHMENT OF A MICROGRID SVCS TARIFF, DOCKET #2018-0163	Monthly Review	Y	S
CCA103	B	43,516.19	O	75,000.00	31,483.81	02/26/19	02/26/19	-	LARKIN, HUGH JR & SCHULTZ,	PROV SVCS IN CONNECTION W/THE KONA WATER SVC CO INC'S APPLICATION F/A GENERAL RATE CASE & F/APPVL OF REVISION TO ITS TARIFF DOC #2018-0388	Monthly Review	Y	S
CCA103	B	1,052.34	O	6,000.00	4,947.66	03/20/19	11/01/19	-	HABR ECONOMICS	PROV SVCS W/THE HELCO INC APPLICATION F/APPVL OF RATE INCREASES & RATE SCHEDULE & RULES - DOCKET #2018-0368	Monthly Review	Y	S
CCA103	B	9,900.00	O	25,000.00	15,100.00	06/19/19	06/19/19	-	PCMG AND ASSOCIATES, LLC	PROV SVCS IN CONNECTION W/KALALELOA WATER CO LLC APPLICATION F/APPVL OF RATE INCREASES & REVISED RATE SCHEDULES & RULES - DOC#2019-0057	Monthly Review	Y	S

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2022

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS. Y/N	Category E/L/P/C/G/S/*
CCA103	B	167,991.87	O	205,000.00	37,008.13	10/08/19	10/08/19	-	SAWVEL AND ASSOCIATES, INC.	PROV SVCS W/HAWAIIAN ELECTRIC CO INC'S APPLICATION FOR APPVL OF A GENERAL RATE CASE & REVISED RATE SCHEDULES & RULES - DOCKET #2019-0085	Monthly Review	Y	S
CCA103	B	73,700.00	O	88,000.00	14,300.00	11/01/19	11/01/19	-	PCMG AND ASSOCIATES, LLC	SVCS IN CONNECTION WITH HAWN ELECTRIC CO. INC. APPLICATION FOR APPROVAL OF A GENERAL RATE INCREASE & REVISED RATE SCHEDULES & RULES	Monthly Review	Y	S
CCA103	B	124,752.76	O	125,000.00	247.24	11/01/19	11/01/19	-	SAWVEL AND ASSOCIATES, INC. 3R	SVCS IN CONNECTIN WITH HAWAII ELECTRIC LIGHT CO. INC. APPLICATION FOR APPROVAL IF A POWER PURCHASE AGREEMENT FOR FIRM RENEWABLE FROM HU HONUA	Monthly Review	Y	S
CCA103	B	11,748.68	O	29,000.00	17,251.32	02/04/20	02/04/20	-	HABR ECONOMICS	PROVIDE SVCS IN CONNECTION W/HAWAII ELECTRIC LIGHT CO INC'S APPLICATION F/APPVL OF RATE INCREASES & REVISED RATE SCHEDULES & RULES	Monthly Review	Y	S
CCA103	B	12,240.00	O	35,000.00	22,760.00	09/09/20	09/09/20	-	ROLKA LOUBE SALTZER ASSOCIATES	TO SERVE AS AN EXPERT WITNESS IN POSSIBLE OR ACTUAL LITIGATION IN THE COURSE OF THE DCA. DOCKET #2020-0080	Monthly Review	Y	S
CCA103	B	63,794.94	O	230,000.00	166,205.06	04/22/21	04/22/21	-	SAWVEL AND ASSOCIATES, INC.	PROV EXPERT WITNESS F/DIV OF CONSUMER ADVOCACY (DCA) DOCKETS 2020-0167, 2021-0017,2021-0024	Monthly Review	Y	S
CCA105	B	20,000 PER FY, FIRST COME/FIRST PAID BASIS BETWEEN 5 FACILITATIVE MEDIATORS	M	\$ 20,000.00	14,500 BETWEEN 5 FACILITATIVE MEDIATORS	07/01/21	07/01/21	06/30/23	KAUAI ECONOMIC OPPORTUNITY	Pursuant to section 514B-71, HRS, the Real Estate Commission as trustees of the Condominium Education Trust Fund contracts with 5 facilitative mediators to provide mediation services for the resolution of condominium-related disputes.	As a practical matter, the Mediation Contracts are "monitored" upon submittal by the mediation provider of an invoice for payment to the REB. If for example, the issue(s) mediated or the parties mediating do not comply with the agreed upon contractual terms, the REB will refuse payment of the submitted invoice for payment. We do not "monitor" the private mediation sessions provided by the procured professional providers.	Y	S

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2022

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS. Y/N	Category E/L/P/C/G/S/*
CCA105	B	20,000 PER FY, FIRST COME/FIRST PAID BASIS BETWEEN 5 FACILITATIVE MEDIATORS	M	\$ 20,000.00	14,500 BETWEEN 5 FACILITATIVE MEDIATORS	07/01/21	07/01/21	06/30/23	KUIKAHI MEDIATION CENTER	Pursuant to section 514B-71, HRS, the Real Estate Commission as trustees of the Condominium Education Trust Fund contracts with 5 facilitative mediators to provide mediation services for the resolution of condominium-related disputes.	As a practical matter, the Mediation Contracts are "monitored" upon submittal by the mediation provider of an invoice for payment to the REB. If for example, the issue(s) mediated or the parties mediating do not comply with the agreed upon contractual terms, the REB will refuse payment of the submitted invoice for payment. We do not "monitor" the private mediation sessions provided by the procured professional providers.	Y	S
CCA105	B	\$20,000 per FY, first come/first paid basis between 5 facilitative mediators	M	\$ 20,000.00	\$14,500 between 5 facilitative mediators	07/01/21	07/01/21	06/30/23	Mediation Center of the Pacific	Pursuant to section 514B-71, HRS, the Real Estate Commission as trustees of the Condominium Education Trust Fund contracts with 5 facilitative mediators to provide mediation services for the resolution of condominium-related disputes.	As a practical matter, the Mediation Contracts are "monitored" upon submittal by the mediation provider of an invoice for payment to the REB. If for example, the issue(s) mediated or the parties mediating do not comply with the agreed upon contractual terms, the REB will refuse payment of the submitted invoice for payment. We do not "monitor" the private mediation sessions provided by the procured professional providers.	Y	C
CCA105	B	\$20,000 per FY, first come/first paid basis between 5 facilitative mediators	M	\$ 20,000.00	\$14,500 between 5 facilitative mediators	07/01/21	07/01/21	06/30/23	Mediation Services of Maui	Pursuant to section 514B-71, HRS, the Real Estate Commission as trustees of the Condominium Education Trust Fund contracts with 5 facilitative mediators to provide mediation services for the resolution of condominium-related disputes.	As a practical matter, the Mediation Contracts are "monitored" upon submittal by the mediation provider of an invoice for payment to the REB. If for example, the issue(s) mediated or the parties mediating do not comply with the agreed upon contractual terms, the REB will refuse payment of the submitted invoice for payment. We do not "monitor" the private mediation sessions provided by the procured professional providers.	Y	S

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2022

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S/*
CCA105	B	\$20,000 per FY, first come/first paid basis between 5 facilitative mediators	M	\$ 20,000.00	\$14,500 between 5 facilitative mediators	07/01/21	07/01/21	06/30/23	West Hawaii Mediation Center	Pursuant to section 514B-71, HRS, the Real Estate Commission as trustees of the Condominium Education Trust Fund contracts with 5 facilitative mediators to provide mediation services for the resolution of condominium-related disputes.	As a practical matter, the Mediation Contracts are "monitored" upon submittal by the mediation provider of an invoice for payment to the REB. If for example, the issue(s) mediated or the parties mediating do not comply with the agreed upon contractual terms, the REB will refuse payment of the submitted invoice for payment. We do not "monitor" the private mediation sessions provided by the procured professional providers.	Y	C
CCA105	B	\$200,000 per FY, first come/first paid basis between 4 evaluative mediators	M	\$ 200,000.00	\$154,860 between 4 evaluative mediators	07/01/21	07/01/21	06/30/23	Lou Chang , Lou Chang A Law Corporation	Pursuant to section 514B-71, HRS, the Real Estate Commission as trustees of the Condominium Education Trust Fund contracts with 4 evaluative mediators to provide mediation and/or voluntary binding arbitration services for the resolution of condominium-related disputes.	As a practical matter, the Mediation Contracts are "monitored" upon submittal by the mediation provider of an invoice for payment to the REB. If for example, the issue(s) mediated or the parties mediating do not comply with the agreed upon contractual terms, the REB will refuse payment of the submitted invoice for payment. We do not "monitor" the private mediation sessions provided by the procured professional providers.	Y	S
CCA105	B	\$200,000 per FY, first come/first paid basis between 4 evaluative mediators	M	\$ 200,000.00	\$154,860 between 4 evaluative mediators	07/01/21	07/01/21	06/30/23	Crumpton Collaborative Solutions, LLLC	Pursuant to section 514B-71, HRS, the Real Estate Commission as trustees of the Condominium Education Trust Fund contracts with 4 evaluative mediators to provide mediation and/or voluntary binding arbitration services for the resolution of condominium-related disputes.	As a practical matter, the Mediation Contracts are "monitored" upon submittal by the mediation provider of an invoice for payment to the REB. If for example, the issue(s) mediated or the parties mediating do not comply with the agreed upon contractual terms, the REB will refuse payment of the submitted invoice for payment. We do not "monitor" the private mediation sessions provided by the procured professional providers.	Y	S

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2022

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S/*
CCA105	B	\$200,000 per FY, first come/first paid basis between 4 evaluative mediators	M	\$ 200,000.00	\$154,860 between 4 evaluative mediators	07/01/21	07/01/21	06/30/23	Dispute Prevention and Resolution	Pursuant to section 514B-71, HRS, the Real Estate Commission as trustees of the Condominium Education Trust Fund contracts with 4 evaluative mediators to provide mediation and/or voluntary binding arbitration services for the resolution of condominium-related disputes.	As a practical matter, the Mediation Contracts are "monitored" upon submittal by the mediation provider of an invoice for payment to the REB. If for example, the issue(s) mediated or the parties mediating do not comply with the agreed upon contractual terms, the REB will refuse payment of the submitted invoice for payment. We do not "monitor" the private mediation sessions provided by the procured professional providers.	Y	S
CCA105	B	\$200,000 per FY, first come/first paid basis between 4 evaluative mediators	M	\$ 200,000.00	\$154,860 between 4 evaluative mediators	07/01/21	07/01/21	06/30/23	Mediation Center of the Pacific	Pursuant to section 514B-71, HRS, the Real Estate Commission as trustees of the Condominium Education Trust Fund contracts with 4 evaluative mediators to provide mediation and/or voluntary binding arbitration services for the resolution of condominium-related disputes.	As a practical matter, the Mediation Contracts are "monitored" upon submittal by the mediation provider of an invoice for payment to the REB. If for example, the issue(s) mediated or the parties mediating do not comply with the agreed upon contractual terms, the REB will refuse payment of the submitted invoice for payment. We do not "monitor" the private mediation sessions provided by the procured professional providers.	Y	S
CCA105	B	\$ 95,000	M	\$ 95,000.00	\$ 79,250.00	06/01/22	07/01/22	06/30/24	Clay Chapman Iwamura Pulice & Nervell, Attorneys at Law	Assists in completion of project application and developers public reports. Private consultant review of documents and information submitted to the Commission. The cost of the review by consultants shall be borne by the developer.	Consultants' contracted work is reviewed upon developer submission of final version of developer's public reports, payments are made based on completion of review, and input is taken from developers and developer's attorneys on the consultants' work.	Y	S
CCA105	B	\$ 95,000	M	\$ 95,000.00	\$ 84,100.00	06/01/22	07/01/22	06/30/24	Grant Tanimoto	Assists in completion of project application and developers public reports. Private consultant review of documents and information submitted to the Commission. The cost of the review by consultants shall be borne by the developer.	Consultants' contracted work is reviewed upon developer submission of final version of developer's public reports, payments are made based on completion of review, and input is taken from developers and developer's attorneys on the consultants' work.	Y	S

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CCA105	B	\$ 95,000	M	\$ 95,000.00	\$ 84,500.00	06/01/22	07/01/22	06/30/24	Imanaka Asato	Assists in completion of project application and developers public reports. Private consultant review of documents and information submitted to the Commission. The cost of the review by consultants shall be borne by the developer.	Consultants' contracted work is reviewed upon developer submission of final version of developer's public reports, payments are made based on completion of review, and input is taken from developers and developer's attorneys on the consultants' work.	Y	S
CCA105	B	\$ 95,000	M	\$ 95,000.00	\$ 85,850.00	06/15/22	07/01/22	06/30/24	Kiefer & Yasuda, LLC	Assists in completion of project application and developers public reports. Private consultant review of documents and information submitted to the Commission. The cost of the review by consultants shall be borne by the developer.	Consultants' contracted work is reviewed upon developer submission of final version of developer's public reports, payments are made based on completion of review, and input is taken from developers and developer's attorneys on the consultants' work.	Y	S
CCA105	B	\$ 95,000	M	\$ 95,000.00	\$ 80,000.00	06/01/22	07/01/22	06/30/24	Lishan Z. Chong	Assists in completion of project application and developers public reports. Private consultant review of documents and information submitted to the Commission. The cost of the review by consultants shall be borne by the developer.	Consultants' contracted work is reviewed upon developer submission of final version of developer's public reports, payments are made based on completion of review, and input is taken from developers and developer's attorneys on the consultants' work.	Y	S
CCA105	B	\$ 95,000	M	\$ 95,000.00	\$ 87,500.00	06/01/22	07/01/22	06/30/24	Lloyd K. Sodetani	Assists in completion of project application and developers public reports. Private consultant review of documents and information submitted to the Commission. The cost of the review by consultants shall be borne by the developer.	Consultants' contracted work is reviewed upon developer submission of final version of developer's public reports, payments are made based on completion of review, and input is taken from developers and developer's attorneys on the consultants' work.	Y	S
CCA105	B	\$ 95,000	M	\$ 95,000.00	\$ 81,050.00	06/01/22	07/01/22	06/30/24	Matthew G. Jewell, Attorney at Law, LLLC	Assists in completion of project application and developers public reports. Private consultant review of documents and information submitted to the Commission. The cost of the review by consultants shall be borne by the developer.	Consultants' contracted work is reviewed upon developer submission of final version of developer's public reports, payments are made based on completion of review, and input is taken from developers and developer's attorneys on the consultants' work.	Y	S

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CCA105	B	\$ 95,000	M	\$ 95,000.00	\$ 79,400.00	06/01/22	07/01/22	06/30/24	Michael H. Sakai	Assists in completion of project application and developers public reports. Private consultant review of documents and information submitted to the Commission. The cost of the review by consultants shall be borne by the developer.	Consultants' contracted work is reviewed upon developer submission of final version of developer's public reports, payments are made based on completion of review, and input is taken from developers and developer's attorneys on the consultants' work.	Y	S
CCA105	B	\$ 95,000	M	\$ 95,000.00	\$ 85,750.00	06/01/22	07/01/22	06/30/24	Terry L. Day	Assists in completion of project application and developers public reports. Private consultant review of documents and information submitted to the Commission. The cost of the review by consultants shall be borne by the developer.	Consultants' contracted work is reviewed upon developer submission of final version of developer's public reports, payments are made based on completion of review, and input is taken from developers and developer's attorneys on the consultants' work.	Y	S
CCA105	B	\$ 49,000	M	\$ 49,000.00	\$ 49,000.00	06/21/22	07/01/22	06/30/24	Community Associations Institute Hawaii Chapter	Assists the Real Estate Commission in developing, delivering, and administering seminars, webinars, and educational sessions.	The schedule of seminars offered by CAI Hawai'i for the contract period must be approved by the Real Estate Commission prior to the offering of the first seminar.	Y	S
CCA105	B	\$ 23,000	O	\$ 23,000.00	\$ 23,000.00	07/01/22	07/01/22	06/30/23	Ohata Chun Yuen LLP	Review and comment on approximately 80 audited financial statements and actuarial reports submitted by cemetery and pre-need funeral authorities	The Executive Officer of the Cemetery Program monitors the contract	Y	S
CCA105	B	\$ 42,236	M	\$ 42,236.00	\$ 42,236.00	01/05/22	01/05/22	01/05/27	Xerox (LB-B9110)	60-month lease of Xerox machine for office service use	The contract is approved by the Licensing Administrator upon expiration of the previous contract.	Y	E
CCA105	B	\$ 12,123	M	\$ 12,123.00	\$ 12,123.00	07/20/22	07/20/27	07/20/27	Xerox (Makai MX4507161)	60-month lease of Xerox machine for office service use	The contract is approved by the Licensing Administrator upon expiration of the previous contract.	Y	E
CCA105	B	\$ 12,123	M	\$ 12,123.00	\$ 12,123.00	07/20/22	07/20/27	07/20/27	Xerox (Mauka MX4507131)	60-month lease of Xerox machine for office service use	The contract is approved by the Licensing Administrator upon expiration of the previous contract.	Y	E
CCA105	B	\$ 43,264	O	\$ 86,529.00	\$ 25,184.00	06/23/21	07/01/21	06/30/23	Trade Media Hui	Printing, mailing & delivery services for the Real Estate Commission Bulletin (8 issues)	Monthly Review	Y	G/S
CCA105	B	\$ 1,089	M			09/13/18	09/13/18	09/13/23	Xerox	D125CP / 60 month lease term	Monthly Review	Y	E
CCA105	B	\$ 335	M			04/06/22	09/01/22	09/01/27	Xerox	C8145H2 / 60 month lease term	Monthly Review	Y	E
CCA106	B	\$ 21,789.96	M	\$ 132,000.00	\$ 110,210.04	11/25/16	01/16/16	11/30/25	EMPLOYEES' RETIREMENT SYSTEM	Lease office space for INS Captive Branch.DAGS Lease No. 72-30-0612 - Hold over Clause	n/a	N	L
CCA106	B	\$ 179,366.23	O	\$ 471,000.00	\$ 255,578.53	06/04/21	06/08/21	06/07/24	WYMAN, OLIVER ACTUARIAL	To provide actuarial services, including but not limited to analyzing rates on long term care insurance rate filings.	Work reviewed for accuracy and completeness	Y	S

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CCA106	B	\$ 388,362.50	O	\$ 429,500.00	\$ 41,137.50	11/23/21	12/01/21	11/30/23	EIDE BAILLY LLP	Examination of the insurance entity to determine their financial condition and prospective risks in accordance with standards established by NAIC and HRS.	Bi-weekly status reports received and completed reports reviewed.	Y	S
CCA106	B	\$ 160,200.00	O	\$ 480,000.00	\$ 319,800.00	04/11/22	04/15/22	04/14/23	NOBLE CONSULTING SERVICES, INC	Contractor shall provide all services required by SOH in connection with the financial surveillance of the assigned Risk Retention Group Captive Insurance Companies.	Work reviewed for accuracy and completeness	Y	S
CCA106	B	\$ 23,410.00	O	\$ 154,418.00	\$ 131,008.00	07/01/22	07/06/22	07/05/23	NOBLE CONSULTING SERVICES, INC	Examination of the insurance entity to determine their financial condition and prospective risks in accordance with standards established by NAIC and HRS.	Bi-weekly status reports received and completed reports reviewed.	Y	S
CCA110	B	\$ 28,908.56	M	\$ 31,494.02	\$ 2,585.46	10/01/12	09/01/20	08/31/21	NEW KAIKO'O BUILDING, INC.	OFFICE SPACE LEASE FOR THE RICO HILO BRANCH & OCP HILO BRANCH LEASE AGREEMENT NO. 71-30-0591	DAGS Leasing monitors agreement	N	L
CCA110	B	\$ 8,275.21	M	\$ 32,117.28	\$ 23,842.07	10/12/12	09/01/21	08/31/22	NEW KAIKO'O BUILDING, INC.	OFFICE SPACE LEASE FOR THE RICO HILO BRANCH & OCP HILO BRANCH LEASE AGREEMENT NO. 71-30-0591	DAGS Leasing monitors agreement	N	L
CCA110	B	\$ 4,502.13	M	\$ 39,558.60	\$ 35,056.47	10/01/19	10/01/19	09/30/25	HUGH MENELEE DEVELOPMENT CORP.	LEASE OFC SPACE FOR RICO-MAUI BRANCH, BREG-BUSINESS ACTION CTR & PUC FOR THE PERIOD FROM 10/01/2019-09/30/2025-LEASE #75-30-0647-HOLD-OVER-CLAUSE	DAGS Leasing monitors agreement	N	L
CCA111	B	\$ 428.40	M	\$ 4,612.07	\$ 4,183.67	10/01/19	10/01/19	09/30/25	HUGH MENELEE DEVELOPMENT CORP.	LEASE OFC SPACE FOR RICO-MAUI BRANCH, BREG-BUSINESS ACTION CTR & PUC FOR	THE PERIOD FROM 10/01/2019-09/30/2025-LEASE #75-30-0647-HOLD-OVER-CLAUSE	N	L
CCA111	B	\$ 2,042.98	M	\$ 98,063.04		09/29/21	01/25/22	01/24/26	Xerox Corporation	Xerox lease copier services - 48 months	Monthly Review	N	E
CCA111	B	\$ 197.70	M	\$ 11,862.00		08/16/18	09/21/18	09/20/23	Xerox Corporation	Xerox lease copier services - 60 months	Monthly Review	N	E
CCA111	B	\$ 149,517.99	A	\$ 149,517.99			03/01/22	02/28/23	OpenText Inc.	OpenText Maintenance software and storage licenses	Monthly Review	N	S
CCA111	B	\$ 28,736.53	A	\$ 28,736.53			07/16/22	07/15/23	Oracle America, Inc.	Oracle Database Enterprise Edition	Monthly Review	N	S
CCA111	B	\$ 14,600.00	A	\$ 14,600.00			08/01/22	07/31/23	Carahsoft Technology Corp.	Software licensens for Genesys Call Center	Monthly Review	N	S
CCA111	B	\$ 55,256.47	A	\$ 55,256.47			04/25/22	04/24/23	Carahsoft Technology Corp.	Salesforce licensens for BREG SEB/SEC application platform	Monthly Review	N	S
CCA111	B	\$ 54,188.46	A	\$ 54,188.46			07/01/22	06/30/23	Pacific Point Inc.	Salesforce maintenance and support services	Monthly Review	Y	S
CCA111	B	\$ 4,059.50	A	\$ 4,059.50			03/20/22	03/19/23	Connecting the Dots LLC	Kodak service agreement	Monthly Review	N	S
CCA111	B	\$ 2,801.57	A	\$ 2,801.57			12/01/22	11/30/23	Premier Solutions HI, LLC	KOFAX software maintenance	Monthly Review	N	S

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CCA111	B		O	\$ 1,527,718.00		07/01/22	06/27/22	12/27/23	Century Computers, Inc.	System Integrator services for BREG Modernization project	Monthly Review	Y	S
CCA111	B		O	\$ 194,500.00		07/20/22	07/15/22	01/15/24	Accuity LLP	IV&V for System Integrator services for BREG Modernization project	Monthly Review	Y	S
CCA111	B		O	\$ 148,000.00		08/22/22	08/17/22	02/16/24	Aalta LLC	PM for System Integrator services of BREG Modernization project	Monthly Review	Y	S
CCA112	B	\$ 6,091.52	M	\$ 29,834.76	\$ 23,743.24	12/01/09	09/01/21	08/31/20	Signature Services	Lease Office Space for RICO-KONA Branch DAGS Lease #71-30-0579	Submit yearly memo to Fiscal to encumber funds. Submit invoice to Fiscal for payment	N	L
CCA112	B	\$ 50,000.00	M	\$ 50,000.00	\$ -	04/05/22	04/11/22	04/11/23	Voyager Systems	Annual contract extension for maintenance and tech support only for customized IMPACT software application	Invoices monitored and paid 2x annually	Y	S
CCA191	B	\$ 23,867.58	O	\$ 95,470.19	\$ 71,602.61	07/08/22	07/16/22	07/15/23	Oracle	Oracle Database Software	Invoices monitored by ISCO Staff	N	G
CCA191	B	\$ 6,225.03	M	\$ 75,915.00	\$ 38,564.82	05/25/22	06/01/22	05/31/23	Pacxa	Oracle Database Admin Svcs	Invoices monitored by ISCO Staff	Y	S
CCA191	B	\$ 88.46	M	\$ 1,100.00	\$ 833.30	10/14/22	10/20/22	06/20/23	Hawaiian Telcom	Internet Services SOT Conf Rm	Invoices monitored by ISCO Staff	Y	S
CCA901	B	\$ 4,689.00	M	\$ 4,689.00	\$ 2,343.50	07/01/15	07/01/15	04/30/25	TOLEDO, RICHARD & JOAN	RENT & GET FOR PUC HILO DIST OFC (DAGS LEASE #71-30-0056) LOCATION: KUKUAU PLAZA, 688 KINOOLE ST UNIT 106A HILO HI 96720-MONTLY TTL 461.43	N/A	N	L
CCA901	B	\$ 600.00	M	\$ 600.00	\$ 427.23	07/01/15	07/01/15	04/30/25	TOLEDO, RICHARD & JOAN	RENT & GET FOR PUC HILO DIST OFC (DAGS LEASE #71-30-0056) LOCATION: KUKUAU PLAZA, 688 KINOOLE ST UNIT 106A HILO HI 96720-MONTLY TTL 461.43	N/A	N	L
CCA901	B	\$ 99,000.00	M	\$ 99,000.00	\$ 3,240.00	03/25/19	04/01/19	03/31/23	HICKORYLEDGE LLC	CONSULTING SVCS TO ASSIST W/PROCEEDINGS RELATED TO ENERGY SYST PLANNING GRID INTEGRATION & OTHERS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 60,000.00	M	\$ 60,000.00	\$ 60,000.00	03/19/20	03/31/20	03/31/23	HICKORYLEDGE LLC	CONSULTING SVCS TO ASSIST W/PROCEEDINGS RELATED TO ENERGY SYST PLANNING GRID INTEGRATION & OTHERS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 329,000.00	M	\$ 329,000.00	\$ 101,325.00	06/26/19	07/01/19	06/30/25	DAYHUFF GROUP LLC, THE	CONSULTING SERVICES FOR MAINTENANCE OF THE PUBLIC UTILITIES COMMISSION'S DOCUMENT MANAGEMENT SYSTEM (DMS).	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 2,326.92	M	\$ 2,326.92	\$ 2,062.11	08/09/19	10/01/19	09/30/25	HUGH MENELEE DEVELOPMENT CORP.	LEASE OFC SPACE FOR RICO-MAUI BRANCH, BREG-BUSINESS ACTION CTR & PUC FOR THE PERIOD FROM 10/01/2019-09/30/2025-LEASE #75-30-0647-HOLD-OVER-CLAUSE	N/A	N	L

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CCA901	B	\$ 100,000.00	O	\$ 100,000.00	\$ 2,303.67	05/14/20	07/01/16	12/31/25	LEIDOS, INC.	PUBLIC BENEFITS FEE ADMINISTRATOR TO ASSIST THE PUC W/MGMT OF THE ELECTRI VEHICLE CHARGING SYSTEM REBATE PROGRAM	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 497,382.00	O	\$ 497,382.00	\$ 43,852.00	04/17/20	05/01/20	04/30/20	GARTNER, INC.	CONSULTANT SVCS FOR THE PROCUREMENT & CONTRACT MGMT F/NEW DOCUMENT MGMT SYST (DMS) & WORKFLOW SOLUTION F/PUC	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 79,475.00	O	\$ 79,475.00	\$ 16,912.50	04/30/20	05/04/20	05/03/23	BEEZWAX DATATOOLS, INC.	CONSULTANT SVCS FOR THE PUC FOR DECISION MAKING ON UTILITY RATE INCREASE APPLICATION DOCKET #2019-0117	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 20,075.00	O	\$ 20,075.00	\$ 20,075.00	04/30/21	05/04/21	05/03/23	BEEZWAX DATATOOLS, INC.	CONSULTANT SVCS FOR THE PUC FOR DECISION MAKING ON UTILITY RATE INCREASE APPLICATION DOCKET #2019-0117	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 99,000.00	O	\$ 99,000.00	\$ 46,809.44	06/04/20	07/01/20	06/30/23	MUNRO TULLOCH, INC.	CONSULTING SVCS IN SUPPORT OF PUC AS IT REVIEWS & OVERSEES THE HECO COMPANIES IMPLEMENTATION OF IT SERP/EAM SYST AS PART OF DOCKET #	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 6,399.25	M	\$ 6,399.25	\$ 3,485.63	03/01/21	03/01/21	01/31/26	MAUI OFFICE, LLC.	RENT,OPT COST & GE F/PUC MAUI DIST OFC - DAGS LEASE #75-30-0611 - ONE MAIN PLAZA,2200 MAIN ST,STE 540,WAILUKU,HI 96793-141 USABLE SQ.FT OFC SP	N/A	N	L
CCA901	B	\$ 1,021,897.67	O	\$ 1,021,897.67	\$ 398,611.46	05/06/21	07/01/21	12/31/23	PACIFIC POINT INC	SYSTEMS INTEGRATOR WILL DESIGN, CONFIGURE AND IMPLEMENT NEW CASE AND DOCUMENT MANAGEMENT SYSTEM AND INTEGRATED WORKFLOW SOLUTION	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 111,376.36	O	\$ 111,376.36	\$ 111,376.36	02/11/22	02/11/22	12/31/23	PACIFIC POINT INC	SYSTEMS INTEGRATOR WILL DESIGN, CONFIGURE AND IMPLEMENT NEW CASE AND DOCUMENT MANAGEMENT SYSTEM AND INTEGRATED WORKFLOW SOLUTION	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 250,000.00	M	\$ 250,000.00	\$ 64,730.64	04/08/21	12/08/20	12/07/24	BATES WHITE, LLC	AS AN INDEPENDENT OBSERVERS TO ASSIST THE PUC WITH HECO COMPANIES REVIEWABLE ENERGY PROCUREMENT OPT TO EXTEND - 1-12MOS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

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CCA901	B	\$ 810,000.00	M	\$ 810,000.00	\$ 810,000.00	06/27/22	06/27/22	12/07/24	BATES WHITE, LLC	AS AN INDEPENDENT OBSERVERS TO ASSIST THE PUC WITH HECO COMPANIES REVIEWABLE ENERGY PROCUREMENT OPT TO EXTEND - 1-12MOS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 298,995.00	M	\$ 298,995.00	\$ 138,264.30	05/12/21	06/14/21	12/13/23	PUBLIC CONSULTING GROUP	IND VERIFICATION & VALIDATION SVCS WILL ASSIST THE PUC IN EFFORTS TO ENSURE QUALITY ASSURANCE & CONTROL EFFORTS F/THE SOH. PUC CASE & DOCS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 500,000.00	M	\$ 500,000.00	\$ 257,835.05	05/24/21	07/01/21	06/30/24	HAIKU DESIGN & ANALYSIS LLC	CONTRACTOR WILL PROV SVCS TO ASSIST PUC W/ENERGY POLICY DOCKETS - INCL PERFORMANCE BASED RATEMAKING DOCKET #2018-0088 & PROCEEDINGS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 345,600.00	M	\$ 345,600.00	\$ 100,800.00	05/18/21	07/01/21	06/30/23	ONE CALL CONCEPTS, INC.	CONTRACTOR WILL PROV SVCS TO OPERATE HAWAII ONE CALL CTR STATEWIDE NOTIFICATION SYSTEM TO PROTECT-DURING EXCAVATIONS, ALL SUBSURFACE	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 300,000.00	O	\$ 300,000.00	\$ 279,350.80	06/08/21	07/01/21	06/30/25	KIKUCHI, PAUL	CONTRACTOR WILL PROV SUPP TO THE PUC DECISION MAKING ON UTILITY RATE DOCKETS DEV OF ADMIN RULES F/WATER & WASTEWATER ASSIST IN PLNG DESING &	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 191,800.00	M	\$ 191,800.00	\$ 59,429.30	05/26/21	06/01/21	05/31/23	GRIDWORKS ORGANIZATION	CONTRACTOR WILL PROV SVCS TO ASSIST PUC W/ENERGY POLICY DOCKETS INCLU DISTRIBUTED ENERGY RESOURCES DOC #2019-0323,MACROGRID DOC #2019-0163	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 99,000.00	O	\$ 99,000.00	\$ 99,000.00	06/10/21	07/01/21	06/30/23	GUIDEHOUSE INC.	CONTRACTOR WILL PROV SVCS TO PUC AS AN INDEPENDENT OBSERVER TO THE HECO COMPANIES RENEWABLE ENERGY PROCUREMENT ON MOLOKAI -	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 127,500.00	M	\$ 127,500.00	\$ 67,349.00	09/30/21	10/01/21	06/16/23	MANN, GREGORY L.	CONTRACTOR WILL PROV SVCS TO PUC W/THE EXAMINATION OF DOCKET#2017-0105 PROPOSED ACQUISITION/CHANGE F/TCG&DOCKET #2020-0080	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 120,000.00	M	\$ 120,000.00	\$ 98,998.47	06/15/21	07/01/21	12/31/23	ROCKY MOUNTAIN INSTITUTE	CONTRACTOR WILL PROV SVCS TO PUC W/DOCKETS & PROCEEDINGS RELATED TO MAJOR ENERGY POLICY & PLANNING	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2022

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS. Y/N	Category E/L/P/C/G/S/*
CCA901	B	\$ 50,000.00	M	\$ 50,000.00	\$ 27,505.33	08/11/21	07/02/21	07/01/23	STRATEGEN CONSULTING, LLC	PROV ASSISTANCE & ADVISORY SVCS TO COMMISSION RELATED TO THE PENIDNG CBRE DOCKETS #2015-0389 & DOCKET #2019-0323	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 99,000.00	O	\$ 99,000.00	\$ 58,720.00	03/16/22	03/16/22	09/15/23	FTI CONSULTING, INC.	CONTRACTOR SHALL PROVIDE SERVICES RELATED DOCKET NO. 2017-0122: HAWAII ELECTRIC LIGHT CO. POWER PURCHASING AGREEMENT WITH HU HONUA BIOENERGY	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	A	\$ 84,781.00	O	\$ 84,781.00	\$ 84,781.00	10/06/22	10/07/22	10/06/25	PA CONSULTING GROUP, INC.	INDEPENDENT ENGINEER F/HAWAIIAN ELECTRIC STAGE 3 RFP	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 600,000.00	M	\$ 600,000.00	\$ 600,000.00	10/06/22	10/07/22	10/06/25	PA CONSULTING GROUP, INC.	INDEPENDENT ENGINEER F/HAWAIIAN ELECTRIC STAGE 3 RFP	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

Department of Commerce and Consumer Affairs
 Capital Improvements Program (CIP) Requests

Table 15

<u>Prog ID</u>	<u>Prog ID</u> <u>Priority</u>	<u>Dept- Wide</u> <u>Priority</u>	<u>Senate</u> <u>District</u>	<u>Rep.</u> <u>District</u>	<u>Project Title</u>	<u>MOF</u>	<u>FY24 \$\$\$</u>	<u>FY25 \$\$\$</u>
					NONE			

Department of Commerce and Consumer Affairs
CIP Lapses

Table 16

<u>Prog ID</u>	<u>Act/Year of Appropriation</u>	<u>Project Title</u>	<u>MOF</u>	<u>Lapse Amount</u> \$\$\$\$	<u>Reason</u>
		NONE			

Department of Commerce and Consumer Affairs
 Program ID Sub-Organizations

Table 17

<u>Program ID</u>	<u>Sub-Org Code</u>	<u>Name</u>	<u>Objective</u>
CCA 102	FA	Cable Television	To foster the development of responsive and reliable cable television communications services for the people of Hawaii, by promoting the public interest in authorizations by the state regarding cable television franchises; regulate basic cable television rates and service to ensure compliance with applicable state and federal law; expand the statewide institutional network ("INET"); continue the availability of public, education and government ("PEG") cable access; and to engage in activities promoting the expansion of, and accelerating, the deployment of broadband infrastructure.
CCA 103	HA	Consumer Advocate for Comm, Util, & Tran Svc	Through advocacy, education, and long-range planning, to ensure sustainable, reliable, safe and quality communications, utility, and transportation services at fair cost for Hawaii's consumers for the short and long-term.
CCA 104	BA	Financial Services Regulation	To ensure the safety and soundness of state-chartered and state-licensed financial institutions, and ensure regulatory compliance by state-licensed financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators and mortgage loan originator companies, by fairly administering applicable statutes and rules, in order to protect the rights and funds of depositors, borrowers, consumers and other members of the public.
CCA 105	GA	Professional & Vocational Licensing	To ensure that the individual is provided with professional, vocational, and personal services meeting acceptable standards of quality, equity and dependability by establishing and enforcing appropriate service standards; to regulate activities for the protection, welfare and safety of the participants as well as the public.
CCA 106	EA	Insurance Regulatory Services	To ensure that consumers are provided with insurance services meeting acceptable standards of quality, equity, and dependability at fair rates by establishing and enforcing appropriate service standards and fairly administering the Insurance Code.

Department of Commerce and Consumer Affairs
 Program ID Sub-Organizations

Table 17

CCA 107	IA	Hawaii Post-Secondary Education Authorization Program	To ensure the soundness of accredited degree-granting post-secondary educational institutions by fairly administering applicable statutes and rules in order to protect students, consumers, and other members of the community.
CCA 110	DA	Office of Consumer Protection	To protect consumers by investigating alleged violations of consumer protection laws, taking legal action to stop unfair or deceptive trade practices in the marketplace, and educating consumers and businesses about their respective rights and obligations in the marketplace under Hawaii consumer protection laws.
CCA 111	CA	Business Registration and Securities Regulation	To ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, service marks and other entities; to run business centers to provide personalized assistance to small and startup businesses; and to ensure compliance with and enforcement of securities and franchise laws.
CCA 112	AB	Regulated Industries Complaints Office	To assist the general public through consumer education and by enforcing the state's licensing laws.
CCA 191	AA	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 191	AH	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 191	AI	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 901*	MA	Public Utilities Commission	To ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate.

Department of Commerce and Consumer Affairs
Organization Changes

<u>Year of Change</u> <u>FY22/FY23</u>	<u>Description of Change</u>
2023	Redescription of exempt position, PUC Economist I, Position No. 121798, to Government & Legislative Analyst, effective July 1, 2022. (CCA 901)
2023	Reallocation of civil service position, Legal Clerk II, Position No. 36924, to Office Assistant IV, effective August 15, 2022. (CCA 901)
2023	Conversion of CRF EFT Clerk III, Position No. 118798, from temporary to permanent effective September 1, 2022, pursuant to Act 88, SLH 2021. (CCA 105)
2023	Reallocation of civil service position, Regulatory Boards/Commissions Administrative Assistant III, Position No. 120571, to Regulatory Boards/Commissions Administrative Assistant I, effective September 1, 2022. (CCA 105)
2023	Conversion of Regulatory Boards/Commissions Administrative Assistant I, Position No. 123231, from temporary to permanent effective September 1, 2022, pursuant to Act 248, SLH 2022. (CCA 105)
2023	Conversion of Secretary II, Position No. 123232, from temporary to permanent effective September 1, 2022, pursuant to Act 248, SLH 2022. (CCA 105)
2023	Reallocation of civil service position, Investigator II, Position No. 28751, to Investigator III, effective September 16, 2022. (CCA 110)
2023	Reallocation of civil service position, Investigator II, Position No. 28752, to Investigator III, effective September 16, 2022. (CCA 110)
2023	Reallocation of civil service position, Investigator III, Position No. 120363, to Investigator IV, effective September 16, 2022. (CCA 110)
2023	Reallocation of civil service position, Investigator II, Position No. 120364, to Investigator III, effective September 16, 2022. (CCA 110)
2023	Reallocation of civil service position, Investigator I, Position No. 118464, to Investigator II, effective October 1, 2022. (CCA 112)
2023	Reallocation of civil service position, Investigator I, Position No. 118476, to Investigator II, effective October 1, 2022. (CCA 112)
2023	Establishment of exempt position, PVL Office Assistant IV, Position No. 124484 (Pseudo No. 93005R), effective October 14, 2022. (CCA 105)
2023	Establishment of exempt position, PVL Office Assistant V, Position No. 124485 (Pseudo No. 93004R), effective October 14, 2022. (CCA 105)
2023	Establishment of exempt position, PVL Office Assistant V, Position No. 124486 (Pseudo No. 93006R), effective October 14, 2022. (CCA 105)

Department of Commerce and Consumer Affairs
Organization Changes

Table 18

<u>Year of Change</u> <u>FY22/FY23</u>	<u>Description of Change</u>
2023	Establishment of exempt position, PVL Office Assistant V, Position No. 124487 (Pseudo No. 93007R), effective October 14, 2022. (CCA 105)
2023	Establishment of exempt position, PVL Office Assistant V, Position No. 124488 (Pseudo No. 93008R), effective October 14, 2022. (CCA 105)
2023	Reallocation of civil service position, Financial Institution Examiner III, Position No. 28752, to Financial Institution Examiner II, effective October 28, 2022. (CCA 104)
2023	Redescription of exempt position, IRF Rate and Policy Analyst, Position No. 110211, to INS Rate and Policy Analyst, effective November 10, 2022. (CCA 106)

Department of Commerce and Consumer Affairs
 American Rescue Plan Act Fund Initiatives

Table 19

Prog ID	Amount Allotted	Budget for Personnel	Budget for OCE (Other Than Contracts)	Budget for Contracts	Dates of Initiative		Initiative Description	Appropriating Act or GOV	Is This A New Initiative Or An Enhancement To An Existing Initiative/Program
					From	To			
CCA-105	\$ 701,064	0	\$ -	\$ 701,064	5/11/2021	6/30/2023	Licensing Call Center	GOV	Enhancement to existing program
CCA-105	\$ 909,000	0	\$ 23,000	\$ 886,000	5/11/2021	6/30/2023	Relief Workers	GOV	Enhancement to existing program