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PRESENTATION OF
THE DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
BEFORE THE
HOUSE COMMITTEE ON FINANCE
SUPPLEMENTAL BUDGET REQUEST FOR FISCAL YEAR 2019
THE TWENTY-NINTH LEGISLATURE
INTERIM OF 2017/REGULAR SESSION OF 2018
JANUARY 3, 2018

Honorable Chair Luke, Vice Chair Cullen, and Members of the House Committee on Finance:

I am Catherine Awakuni Colón, and I am the Director of the Department of Commerce and Consumer Affairs (“DCCA” or “Department”). I appreciate this opportunity to discuss the Department’s fiscal year (“FY”) 2019 budget with the Members of the Committee.

Overview

The Department’s mission is to promote a strong and healthy business environment by upholding fairness and public confidence in the marketplace and by increasing knowledge and opportunity for our businesses and citizens.

DCCA’s funding structure was established by the Legislature in 1996 through the creation of the compliance resolution fund (“CRF”). The CRF is the primary funding source for DCCA’s programs. The Department’s financial strategy is based on ensuring that divisions have revenues to pay their expenses and that they contribute equitably to departmental overhead costs, while maintaining sufficient reserves to address unexpected contingencies. The Legislature’s foresight to develop this self-sufficiency model has benefitted our customers, the public we serve, and the State for many years. Self-sufficiency notwithstanding, strong fiscal oversight continues to be important to the Department. Consistent with applicable law, the fees and revenue collected by the Department are provisioned to deliver important commerce- and consumer protection-related services.

Toward that end, I appreciate the Committee's thorough review and consideration of the Department's budget.

Impact of Current Economic and Fiscal Conditions

Throughout FY 2017, the Department continued to address the challenges of operating in a quickly evolving consumer and business environment affected by technological advances and increased consumer needs. This year, the Department's priorities were communications (OTWC/Spectrum deployment of WiFi hotspots throughout the State; Cincinnati Bell/Hawaiian Telcom proposed merger), banking solutions (cashless option for medical cannabis dispensaries), consumer safety prosecutions (General Motors faulty ignition switches), and health care insurance (insurance rate determinations). Many of the responsible divisions and agencies allocated additional resources to resolve these issues while continuing their traditional regulatory responsibilities. In addition, the State's robust economy has bolstered consumer activity, resulting in greater demands on the Department to respond to consumer complaints and to process and review applications to engage in business activity.

The national political developments of this past year may lead to significant changes to existing programs and regulatory oversight for legislative sessions to come, including a potential overhaul of the Patient Protection and Affordable Care Act ("PPACA"), energy and environmental regulation, and banking and financial services regulation. These potential changes will require careful monitoring to ensure Hawaii's residents and consumers are not impacted negatively.

Given the current economic and fiscal condition, the Department aims to develop a budget that addresses its essential needs, including making general cost adjustments, and to make requests selectively while furthering its mission to protect consumers and regulate businesses.

Federal Funds

The Insurance Division's ("INS") health branch currently has two federal grants:

1. Grants to Support States in Health Insurance Rate Review Grant Cycle II, CFDA 93.511. Remaining balance of \$925,000, with an expiration date of 9/30/2018.
2. State of Hawaii – Planning/Implementing the Insurance Market Reforms, Part A, Title XXVII, Public Health Service Act, Cycle I, CFDA 93.881. Awarded 10/31/2016 for \$1,200,000, with an expiration date of 10/30/2018.

Both grants are at risk of early termination, since they are grants from legislation passed during the previous administration. In the past six years, through the PPACA grants, additional positions were created to assist INS in addressing complex health insurance issues. It is essential that INS maintain these experienced staff to address the challenges posed by the rapidly changing health regulatory environment.

These federal funds were projected to conclude in 2014, and the Division was granted approval during the 2014 legislative session to transfer six of the federally funded positions to state funds. However, the Rate Review Cycle II grants that fund three of those positions was extended to 9/30/18. As such, INS will continue using the federal funds until they are no longer available, at which time, the three positions will become state-funded.

Non-General Funds

Here is a link to the Department's Non-General Fund Report:

<https://cca.hawaii.gov/wp-content/uploads/2017/12/NGF-reports-FY-18.pdf>

Budget Request

The Department formulated a budget that provides the resources necessary for its programs to perform their functions and meet their goals and objectives. Pursuant to instructions in Finance Memorandum 17-12, issued by the Department of Budget and Finance ("B&F"), notable requests in the current budget include:

1. Authorize the establishment of and funding for a Deputy Securities Commissioner in the Business Registration Division (BREG).

The Department is seeking approval to increase the budget ceiling of CCA-111 to add the permanent position of Deputy Securities Commissioner (EM-05) to support BREG. The Securities Commissioner is the final adjudicator of administrative legal cases prosecuted by the Securities Enforcement Branch (SEB), and while cases are being investigated and litigated, the Commissioner is unable to know the specifics of those cases.

In addition, the Deputy Commissioner would assist the Securities Commissioner in carrying out policies and initiatives set forth by the Commissioner to handle the day-to-day administrative and operational functions of BREG. As a civil service position, the Deputy Commissioner would provide program continuity and expertise. Finally, the establishment of this position would align BREG with the organizational structure that exists in other DCCA divisions of comparable size.

2. Authorize the establishment of and funding for a Staff Attorney in the Division of Financial Institutions (DFI).

Act 149, Session Laws of Hawaii ("SLH") 2017, removed certain limitations on DFI's authority to hire staff attorneys. Consistent with DFI's new authority, the Department is requesting approval to establish and increase the budget ceiling of CCA-104 for a division Staff Attorney.

The continuing impact of the current global financial crisis, with its attendant disruption to the normal pattern of financial services product delivery, has affected both the local economy and all financial institutions operating in the State. Increased supervisory oversight is mandated by new federal laws and expected by consumers. This increased supervisory oversight brings increased costs and expenses for DFI, and the five industries it supervises, to validate compliance with the new federal laws. In addition, DFI must keep abreast of emerging issues and technological advances to not fall behind the industry's advances and to protect consumers.

DFI's workload has increased dramatically, in both the type and number of institutions regulated and the scope and complexity of the work. The banking industry has grown more complex in the delivery of products and services to consumers. A division Staff Attorney would provide additional legal expertise to the division.

Additional Information

Table 1: Department Functions

The Prioritized List of Functions encompasses all major functions and associated activities performed by the Department's programs.

Table 2: Department-wide Totals

The Department's FY 18 operating budget is \$82,281,351 (\$79,075,383 in special funds, \$2,955,968 in trust funds, and \$250,000 in competitive federal grants). The Department's proposed FY 19 operating budget is \$83,092,270 (\$78,600,796 in special funds, \$3,030,568 in trust funds, and \$1,460,906 in competitive federal grants).

Table 3: Program ID Totals

The Department's total appropriated amount for FY 19 in Act 49, SLH 2017, is \$81,731,874 (\$78,451,306 in special funds, \$3,030,586 in trust funds, and \$250,000 in competitive federal grants). The FY 19 amount proposed is \$83,092,310 (\$78,600,796 in special funds, \$3,030,568 in trust funds, and \$1,460,946 in competitive federal grants), which is an increase of 1.66% as compared to the FY 19 appropriation.

Table 4: Budget Decisions

The Department's requests, B&F's recommendations, and the Governor's decisions are listed.

Table 5: Proposed Budget Reductions

None.

Table 6: Proposed Budget Additions

1. Special Funds

- CCA-111: Add one permanent position and \$71,200 for FY 19 for BREG.
- CCA-104: Add one permanent position and \$71,200 for FY 19 for DFI.

2. Federal Funds

- CCA-106: Add two temporary positions and \$1,210,906 for FY 19 for INS.

Table 7: Current Year (FY 17) Restrictions

None.

Table 8: Emergency Appropriation Requests

None.

Table 9: Expenditures Exceeding Appropriation Ceilings

None.

Table 10: Intradepartmental Transfer of Funds

None.

Table 11: Vacancy Summary

Vacancy summaries as of November 30, 2017, are listed by Program IDs.

Table 12: Positions Authorized/Established by Other Acts

None.

Table 13: Overtime Expenditures

The percentage of overtime expenditures incurred in FY 17 was 0.3% of base salary for special funds. There were no overtime expenditures in FY 17 for trust funds. FY 18 estimated overtime expenditures are 0.8% for special funds and 3.4% for trust funds. Budgeted amounts for FY 19 are 0.8% and 3.1% of base salary for special funds and trust funds, respectively.

Table 14: Contract Costs

Listing of active contracts by Program ID and MOF is provided.

Table 15: Capital Improvement Program ("CIP") Requests

None.

Table 16: CIP Lapses

None.

Table 17: Program ID Sub-Organizations

Sub-organizations are listed by Program ID.

Table 18: Organizational Changes and Charts

Here is a link to the Department's organizational chart for the current year (FY 17). All changes made are indicated.

https://cca.hawaii.gov/wp-content/uploads/2017/12/DCCA-Organization-Charts_updated_6-30-17.pdf

Attached to this briefing is information presented in the tables in your prescribed format. My program administrators and I are available to answer any questions concerning our programs and the materials submitted for this presentation.

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Statutory Reference
Business Registration & Securities Regulation	Ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, service marks and other business registration filings, and provide public access to this information; ensure compliance with and enforcement of securities and franchise laws; educate the public regarding aspects of investment fraud, precautions and where victims can get help, as part of securities enforcement and compliance efforts; and run business centers that offer personalized assistance to small and start-up businesses.	<ul style="list-style-type: none"> Corporations, partnerships, trade names, limited liability companies, etc. Determine that all documents are filed in compliance with applicable statutory requirements. Assist the public in accessing information regarding documents that have been successfully filed with the Division, including implementing online access to public registration services and public documents. Provide personalized assistance to businesses registering with the Division. 	CCA 111	Chapters 26-9(g), 414, 414D, 415A, 417E, 419, 420D, 421, 421C, 421H, 421I, 421J, 423, 424, 425, 425E, 428, 474, 482, 482E, 485A, and 519, HRS.
		<ul style="list-style-type: none"> Sales of securities and franchises. Examine applications for registration of securities and franchises to determine that security or franchise offerings comply with statutory requirements; register securities, sales agents, broker-dealers, investment advisers, investment adviser representatives and franchises; examine financial reports and records of broker-dealers and investment companies; conduct investigations of reported fraud and other securities or franchise violations and prosecute violations; and conduct investor education outreach to communities throughout Hawaii. 		
Insurance Regulatory Services	Regulatory oversight of the insurance industry.	<ul style="list-style-type: none"> Examine insurers, health maintenance organizations, rating organizations, mutual and fraternal benefit societies, advisory organizations, agencies, independent claims organizations, guaranty associations. 	CCA 106	Chapter 287, 386, 386A, 392, 393, 431, 431E, 431K, 431L, 431M, 431N, 431P, 432, 432C, 432D, 432E, 435C, 435E, 448D, 481R, 481X and 488, HRS.
		<ul style="list-style-type: none"> Investigate complaints of alleged violations of insurance statutes and rules and other consumer requests for assistance. 		
		<ul style="list-style-type: none"> Conduct qualification examinations and license insurers, health maintenance organizations and all categories of producers to transact insurance. 		
		<ul style="list-style-type: none"> Approve/disapprove policies and rate filings. 		
		<ul style="list-style-type: none"> License, examine and regulate captive insurance companies and develop the captive industry in Hawaii. 		
		<ul style="list-style-type: none"> Review insurance entities' financial, operating and tax statements. 		
		<ul style="list-style-type: none"> Regulatory surveillance for market conduct and financial condition of all insurers, health maintenance organizations, and mutual and fraternal benefit societies for compliance with insurance laws. 		
		<ul style="list-style-type: none"> Investigate of violations and enforce motor vehicle claims fraud law. 		
		<ul style="list-style-type: none"> Administer the Hawaii Joint Underwriting Plan, including the development and promulgation of motor vehicle insurance rates. 		
		<ul style="list-style-type: none"> Implement Federal Health Care reforms relating to Health Care Exchanges and Premium Rate Review. 		

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Statutory Reference
Office of Consumer Protection	Maintain Complaint Database; Investigate Complaints; Prosecute Legal Actions; Legislation; Consumer Education; Landlord-Tenant Hotline.	<ul style="list-style-type: none"> Investigation of Complaints. Receive all complaints on a statewide basis: walk-ins, mail and telephone; evaluate complaints in relation to investigation threshold criteria; for cases meeting threshold requirements, determine all facts of complaint; determine from facts whether or not there is a violation of any consumer protection law, refer cases which, on their face, fall within the jurisdiction of another agency, and inform complainant of findings of fact and course of action to be taken, if any, by this office or another governmental agency; coordinate fact finding functions with other governmental agencies; monitor advertisements; subpoena witnesses for investigation of facts. 	CCA 110	Chapters 209, 290, 436M, 437, 437D, 446, 446E, 457G, 476, 477, 477E, 480, 480D, 480E, 480F, 481A, 481B, 481C, 481D, 481F, 481H, 481K, 481L, 481M, 481P, 486N, 487, 487A, 487J, 487N, 487R, 506, and 521, HRS.
		<ul style="list-style-type: none"> Prosecution. Determine adequacy of evidence to prove violation prior to filing a complaint in court; negotiate Assurance of Voluntary Compliance or Consent Judgment prior to the filing of a complaint in court; take court action against entities that violate consumer protection law; obtain injunction, civil penalty and restitution for consumers in court actions and settlement of cases. 		
		<ul style="list-style-type: none"> Landlord-Tenant Cases. Provide Landlord-Tenant code information to callers. 		
		<ul style="list-style-type: none"> Legislation. Promulgate rules and regulations to protect consumers; draft consumer protection legislation for the Department; recommend consumer protection legislation to the Legislature; and offer testimony on other consumer protection legislation. 		
Consumer Advocate for Comm, Util & Tran Svcs	Ensure the provision of reliable regulated service at reasonable cost to ratepayers, and educate consumers regarding aspects of public utility regulation.	<ul style="list-style-type: none"> Advocate positions on behalf of consumers of utility and transportation services before the State of Hawaii Public Utilities Commission ("PUC"), the Federal Communications Commission ("FCC"), and other federal, state and local regulatory agencies. Positions are developed and advocated concerning: (a) Applications for authority to offer new services; (b) Rate and fare proposals; (c) Revenue and earnings requirements of regulated utilities; (d) Financing of equipment, plant, and other facilities, (e) Review of capital improvement projects; (f) Transfers, extensions, and cancellations of permits, franchises, and certificates; (g) Regulations governing regulated industries; (h) Formulation of policies and long range planning for telecommunications and energy utilities; (i) service quality; and (j) agreements and contracts between a regulated company and suppliers and/or other parties. 	CCA 103	Sections 269-51 through 269-55, HRS.
		<ul style="list-style-type: none"> Compliance investigations are conducted regarding the adequacy and efficiency of utility services. 		
		<ul style="list-style-type: none"> Education of consumers relating to utility regulation and consumer rights through web site, newsletters, sponsored events, and attendance at trade-shows, fairs, business and community meetings, etc. 		
		<ul style="list-style-type: none"> Advance and advocate clean energy and broadband initiative goals. 		

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Statutory Reference
Financial Services Regulation	Supervise and regulate, and examine financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators, and mortgage loan originator companies	<ul style="list-style-type: none"> Charter, license, examine and supervise state-chartered and licensed banks, trust companies, savings banks, savings and loan associations, financial services loan companies, credit unions, and licensed escrow depositories, money transmitters, mortgage servicers, mortgage loan originators and mortgage loan originator companies. 	CCA 104	Chapters 412, 449, 454F, 454M, 489D, HRS.
Professional & Vocational Licensing	Receive and review applications for professional and vocational licenses, condominium, real estate subdivision and time share projects; prepare & analyze legislative proposals to amend professional and vocational licensing laws; and update and evaluate examinations.	<ul style="list-style-type: none"> License and regulate 50 different professions and vocations. Provide administrative support to 25 regulatory licensing boards and, on behalf of the director of the department, administer 25 regulatory licensing programs. The trust funds are dedicated to specific statutory purposes to educate the licensees who are the contributors to the fund, serve as a source of monetary recovery for injured consumers, or support increased government service demands by licensees. One fund is federally mandated to collect fees on its behalf, with revenues going to the federal agency. 	CCA 105	Chapters 373, 436B, 436E, 436H, 437, 437B, 438, 439, 440, 440E, 441, 442, 443B, 444, 447, 448, 448E, 448F, 448H, 451A, 451D, 451J, 452, 453, 453D, 455, 457, 457A, 457B, 457G, 458, 459, 460, 460J, 461, 461J, 462A, 463, 463E, 464, 465, 465D, 466, 466D, 466K, 466L, 467, 467E, 468E, 468L, 468M, 471, 481E, 484, 514A, 514B and 514E, HRS.
Regulated Industries Complaints Office	Investigation and prosecution of possible (professional and vocational) licensing law violations.	<ul style="list-style-type: none"> Receives complaints, conducts investigations, and prosecutes cases alleging violations of Hawaii's professional and vocational licensing laws. Also investigates and prosecutes unlicensed activity cases. Provides educational information to consumers and industry members; provides real time liceninsing, business registration, and complaint history information to the public. Administers the State Certified Arbitration Program (lemon law program). 	CCA 112	Section 26-9(h), HRS.
Cable Television	Compliance with Hawaii's cable television law and rules.	<ul style="list-style-type: none"> Ensure quality service for cable television subscribers by issuing new franchises, franchise renewals and transfers of franchises. Ensure expansion and enhancement of the Institutional Network (INET) Identify new and improved services, future community needs and interests and enhancing technologies.(i.e., DBS, broadband, etc) Oversee technical inspections and establish reporting requirements. Designate public, educational, and governmental (PEG) organizations to provide PEG services and enter into contracts for such services; and provide support for PEG access and PEG services. 	CCA 102	Chapter 440G, HRS.

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Statutory Reference
		<ul style="list-style-type: none"> Implement activities to promote ubiquitous access to high speed broadband at affordable prices throughout the State. 		
		<ul style="list-style-type: none"> Develop plans and recommend legislation to expand and accelerate deployment of broadband infrastructure; support public-private efforts to develop broadband infrastructure; work with government agencies, broadband providers, and other stakeholders to advance the provision of broadband services to un-served and under-served areas in the State. 		
Hawaii Post-Secondary Education Authorization Program	Authorization of accredited, degree-granting, post-secondary educational institutions in Hawaii and review and processing of student complaints against authorized educational institutions.	<ul style="list-style-type: none"> Review applications from post-secondary educational institutions, issue authorizations and subsequent reauthorizations on a biennial basis. 	CCA 107	Chapter 305J, HRS.
		<ul style="list-style-type: none"> Provide information to post-secondary educational institutions seeking authorization or clarification of the law and provide information to students regarding the complaints process. 		
		<ul style="list-style-type: none"> Review complaints, investigate and initiate enforcement action as appropriate. 		
General Support	Administration of the Department; conducts administrative hearings for the Department, administers the Medical Inquiry and Conciliation Panel, and the Design Claims Conciliation Panel.	<ul style="list-style-type: none"> Provides: (1) general policy and administrative leadership, supervision and coordination of the various programs of the Department; (2) assistance in the direction and supervision of operating divisions; and (3) centralized personnel management and organizational analysis support services to operating divisions. 	CCA 191	Section 26-9, HRS.
		<ul style="list-style-type: none"> Provides: (1) centralized budgeting and accounting services; (2) centralized clerical services; (3) building management; and (4) program review, contracting and other administrative support services. 		
		<ul style="list-style-type: none"> Conducts contested case hearings for all divisions within DCCA that are required by law to provide contested case hearings under the provisions of HRS Chapter 91. 		
		<ul style="list-style-type: none"> Conducts contested case hearings under Chapter 103D, HRS (procurement law). 		
		<ul style="list-style-type: none"> Convenes panels of qualified attorneys and medical professionals to review inquiries regarding health care practitioners under the provisions of HRS Chapter 671. 		
		<ul style="list-style-type: none"> Convenes panels of qualified attorneys and design professionals to review claims against design professionals under the provisions of HRS Chapter 672B. 		
		<ul style="list-style-type: none"> Conducts contested case hearings for the Employees' Retirement System of the State of Hawaii regarding disputes concerning benefits under the provisions of HAR Title 6, Chapters 20 and 23. 		
		<ul style="list-style-type: none"> Provides all divisions of DCCA with: (1) information technology hardware, software and custom programming support; (2) DCCA website support, (3) telephone, and other communication services support. 		

Department of Commerce and Consumer Affairs
 Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Statutory Reference
Public Utilities Commission (transferred to DCCA from B&F as an attached agency pursuant to Act108/14):	Ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate of return.	<ul style="list-style-type: none"> ● Establish rules and regulations pertaining to service standards. ● Render decisions on rates, fares, and charges. 	CCA 901	HRS Chapter 269-6, 269-7, 269-7.5, 269-16, 269-16.9, 269-33
		<ul style="list-style-type: none"> ● Process applications, issue certificates to regulated companies, and conduct investigations and audits of regulated companies. 		
		<ul style="list-style-type: none"> ● Conduct investigations of complaints filed against public utilities, other regulated entities, and companies utilizing services under the Commission's jurisdiction. 		
		<ul style="list-style-type: none"> ● Maintain the fiscal integrity of the Public Utilities Commission Special Fund. 		

Department of Commerce and Consumer Affairs
Department-Wide Totals

Table 2

Fiscal Year 2018				
Act 49/17 Appropriation	Restriction	Emergency Appropriations	Total FY18	MOF
\$ 79,075,383			\$ 79,075,383	B
\$ 250,000			\$ 250,000	P
\$ 2,955,968			\$ 2,955,968	T
			\$ -	
			\$ -	
			\$ -	
\$ 82,281,351	\$ -	\$ -	\$ 82,281,351	Total
Fiscal Year 2019				
Act 49/17 Appropriation	Reductions	Additions	Total FY19	MOF
\$ 78,451,306		\$ 149,490	\$ 78,600,796	B
\$ 250,000		\$ 1,210,906	\$ 1,460,906	P
\$ 3,030,568			\$ 3,030,568	T
			\$ -	
			\$ -	
			\$ -	
\$ 81,731,874	\$ -	\$ 1,360,396	\$ 83,092,270	Total

Department of Commerce and Consumer Affairs
Program ID Totals

Table 3

Prog ID	Program Title	MOF	As budgeted in Act 49/17 (FY19)			Governor's Submittal (FY19)			Percent Change of \$\$\$\$
			Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	
CCA102	Cable Television	B	8.00	-	\$ 2,609,370	8.00	-	\$ 2,609,370	0%
CCA103	Consumer Advocate for Comm, Util, & Tran Svc	B	24.00	-	\$ 4,230,174	23.00	-	\$ 4,138,705	-2%
CCA104	Financial Services Regulation	B	39.00	-	\$ 4,836,792	40.00	-	\$ 4,907,992	1%
CCA104	Financial Services Regulation	T	-	-	\$ 110,000	-	-	\$ 110,000	0%
CCA105	Professional & Vocational Licensing	B	60.00	11.00	\$ 7,183,623	60.00	11.00	\$ 7,183,623	0%
CCA105	Professional & Vocational Licensing	T	8.00	5.00	\$ 2,619,887	8.00	5.00	\$ 2,619,887	0%
CCA106	Insurance Regulatory Services	B	95.00	1.00	\$ 18,119,862	95.00	1.00	\$ 18,119,862	0%
CCA106	Insurance Regulatory Services	P	-	4.00	\$ 250,000	-	6.00	\$ 1,460,946	484%
CCA106	Insurance Regulatory Services	T	-	-	\$ 200,000	-	-	\$ 200,000	0%
CCA 107	Hawaii Post-Secondary Education Authorization Program	B	2.00	-	\$ 288,611	2.00	-	\$ 288,611	0%
CCA 110	Office of Consumer Protection	B	18.00	2.00	\$ 2,605,494	18.00	2.00	\$ 2,605,494	0%
CCA 110	Office of Consumer Protection	T	-	-	\$ 100,681	-	-	\$ 100,681	0%
CCA 111	Business Registration and Securities Regulation	B	71.00	8.00	\$ 8,050,118	72.00	8.00	\$ 8,135,147	1%
CCA 112	Regulated Industries Complaints Office	B	66.00	1.00	\$ 7,167,144	66.00	1.00	\$ 7,167,144	0%
CCA 191	General Support	B	44.00	6.00	\$ 8,110,870	45.00	6.00	\$ 8,195,600	1%
CCA 901	Public Utilities Commission (PUC)	B	65.00	-	\$ 15,249,248	65.00	-	\$ 15,249,248	0%
	Special Fund Total	B	492.00	29.00	\$ 78,451,306	494.00	29.00	\$ 78,600,796	0.19%
	Trust Fund Total	T	8.00	5.00	\$ 3,030,568	8.00	5.00	\$ 3,030,568	0.00%
	Other Federal Fund Total	P	0.00	4.00	\$ 250,000	0.00	6.00	\$ 1,460,946	484.38%
	TOTAL		500.00	38.00	\$ 81,731,874	502.00	40.00	\$ 83,092,310	1.66%

Department of Commerce and Consumer Affairs
Budget Decisions

Table 4

Prog ID	Sub-Org	Description of Request	MOF	Initial Department Requests			Budget and Finance Recommendations			Governor's Decisions		
				FY19			FY19			FY19		
				Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
CCA-103	HA	Subtract position and funds for transfer out	B	(1.00)		\$ (91,469)	(1.00)		\$ (91,469)	(1.00)		\$ (91,469)
CCA-191	AA	Add position and funds for General Support	B	1.00		\$ 84,730	1.00		\$ 84,730	1.00		\$ 84,730
CCA-106	EA	Add federal funds and positions	P		2.00	\$ 1,210,906		2.00	\$ 1,210,906		2.00	\$ 1,210,906
CCA-111	CA	Add permanent position and ceiling	B	1.00		\$ 85,029	1.00		\$ 85,029	1.00		\$ 85,029
CCA-104	BA	Add permanent position and ceiling	B	1.00		\$ 71,200	1.00		\$ 71,200	1.00		\$ 71,200

Department of Commerce and Consumer Affairs
Proposed Budget Reductions

Table 5

Prog ID	Sub-Org	Description of Reduction	Impact of Reduction	MOF	FY18			FY19			FY18
					Pos (P)	Pos (T)	\$\$\$\$	Pos (P)	Pos (T)	\$\$\$\$	Restriction (Y/N)
		NONE									

Department of Commerce and Consumer Affairs
Proposed Budget Additions

Table 6

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	FY19		\$\$\$
								Pos (P)	Pos (T)	
CCA-111	CA	AR	1	1	Add permanent position and ceiling	The purpose of this request is to provide the Business Registration Division (BREG) with a Deputy Securities Commissioner, who would oversee the day-to-day activities of BREG, provide technical advice and assistance to the Commissioner of Securities, and provide program continuity and expertise as the Commissioner's position is filled by appointment.	B	1.00		\$ 85,029
CCA-104	BA	AR	1	2	Add permanent position and ceiling	attorney position within DFI and for funding for that position. Financial regulation has undergone rapid, complex, and significant changes in the past ten years. The Department believes that a staff attorney would make DFI's work more efficient, effective, and streamlined, and would assist with questions and inquiries from applicants, licensees, consumers, and Division staff.	B	1.00		\$ 71,200
CCA-106	EA	FF	1	3	Add federal funds and positions	To establish ceiling for federal grant. This grant will be instrumental for building the Division's market analysis and market conduct capacity to examine the compliance of health insurance companies doing business in Hawaii against key health insurance market reforms and consumer protections under Part A of title XXVII of the PHS Act. Funding will support a market regulation program and mechanisms for gathering information including examinations and investigations of specific companies, surveys and periodic reporting requirements designed to gather market conduct data, and the analysis of existing information collected for other purposes.	P		2.00	\$ 1,210,906

Department of Commerce and Consumer Affairs
 FY18 Restrictions

Table 7

<u>Prog ID</u>	<u>Sub-Org</u>	<u>MOF</u>	<u>Budgeted by</u> <u>Dept</u>	<u>Restriction</u>	<u>Difference</u> <u>Between</u> <u>Budgeted &</u> <u>Restricted</u>	<u>Percent Difference</u>	<u>Impact</u>
				NONE			

Department of Commerce and Consumer Affairs
Emergency Appropriation Requests

Table 8

<u>Prog ID</u>	<u>Description of Request</u>	<u>Explanation of Request</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
NONE						

Department of Commerce and Consumer Affairs
Expenditures Exceeding Appropriation Ceilings in FY17 and FY18

Table 9

<u>Prog ID</u>	<u>MOF</u>	<u>Date</u>	<u>Appropriation</u>	<u>Amount Exceeding Appropriation</u>	<u>Percent Exceeded</u>	<u>Reason for Exceeding Ceiling</u>	<u>Legal Authority</u>	<u>Recurring (Y/N)</u>	<u>GF Impact (Y/N)</u>
			NONE						

Department of Commerce and Consumer Affairs
 Intradepartmental Transfers in FY17 and FY18

Table 10

<u>Actual or Anticipated Date of Transfer</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	<u>From Prog ID</u>	<u>Percent of Program ID Appropriation Transferred From</u>	<u>To Prog ID</u>	<u>Percent of Receiving Program ID Appropriation</u>	<u>Reason for Transfer</u>	<u>Recurring (Y/N)</u>
NONE										

Department of Commerce and Consumer Affairs
 Vacancy Report as of November 30, 2017

Table 11

Prog ID	Sub-Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Day Hire Appts	Describe if Filled by other Means	Priority # to Retain	
CCA 105	GA	--	01/15/18	122452	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 14,670.00	--	Y	N	--		55	
CCA 111	CA	11/01/17	03/01/18	49536	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 38,592.00	\$ 39,360.00	Y	N	--		56	
CCA 106	EA	03/17/16	03/01/18	120976	CIAF INSURANCE EXAMINER I	Y	NA	13	P	1.00	B	\$ 60,786.00	\$ 59,736.00	Y	N	--		57	
CCA 111	CA	09/02/17	02/01/18	49516	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 32,976.00	\$ 33,636.00	Y	N	--		58	
CCA 106	EA	07/01/17	02/01/18	120306	INS PROGRAM ASSISTANT	Y	NA	03	P	1.00	B	\$ 43,367.00	\$ 43,368.00	Y	N	--		59	
CCA 105	GA	--	01/15/18	122453	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 14,670.00	--	Y	N	--		60	
CCA 106	EA	07/01/17	02/01/18	120305	INS RESEARCHER	Y	NA	13	P	1.00	B	\$ 64,286.00	\$ 64,284.00	Y	N	--		61	
CCA 111	CA	08/22/17	01/16/18	49521	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 29,340.00	\$ 29,928.00	Y	N	--		62	
CCA 111	CA	11/02/17	04/15/18	40362	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 27,132.00	\$ 27,672.00	Y	N	--		63	
CCA 106	EA	02/23/17	02/01/18	119029	INSURANCE LICENSING CLERK	N	11	03	P	1.00	B	\$ 30,468.00	\$ 30,468.00	Y	Y	2		64	
CCA 106	EA	--	04/01/18	122485	CRF INSURANCE LICENSING CLERK	Y	NA	03	P	1.00	B	\$ 15,234.00	--	Y	N	--		65	
CCA 106	EA	08/07/17	02/01/18	110705	IRF PROGRAM ANALYST	Y	NA	13	P	1.00	B	\$ 63,212.00	\$ 65,568.00	Y	N	--		66	
* Position was restored, pursuant to Act 130, SLH 2010, effective July 1, 2010.																			
Positions with offers accepted/final stages of recruitment:																			
CCA 105	GA	02/01/17	02/01/18	118936	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 31,740.00	\$ 31,740.00	Y	N	--		offer accepted	
CCA 105	GA	10/02/17	12/18/17	118940	OFFICE ASSISTANT IV	N	10	03	P	1.00	T	\$ 37,056.00	\$ 34,944.00	Y	N	--		offer accepted 12/18/17	
CCA 105	GA	--	02/01/18	122199	OFFICE ASSISTANT IV	N	10	03	T	1.00	B	\$ 29,340.00	--	Y	N	--		offer accepted	
CCA 106	EA	08/16/17	12/04/17	103231	FORENSIC INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 46,139.00	\$ 65,568.00	Y	N	--		offer accepted 12/4/17	
CCA 111	CA	11/01/16	12/01/17	17722	BUSINESS REGISTRATION ASSISTANT	N	15	03	P	1.00	B	\$ 50,772.00	\$ 48,024.00	Y	N	--		offer accepted 12/1/17	
CCA 111	CA	11/03/16	01/08/18	102086	CRF INVESTIGATOR IV	Y	NA	13	T	1.00	B	\$ 57,169.00	\$ 55,236.00	Y	N	--		offer accepted 1/8/18	
CCA 111	CA	08/01/15	01/02/18	119244	LEGAL CLERK	N	14	03	P	1.00	B	\$ 34,260.00	\$ 49,968.00	Y	N	--		offer accepted 1/2/18	
CCA 112	AB	07/15/17	02/01/18	118464	INVESTIGATOR I	N	16	13	P	1.00	B	\$ 50,772.00	\$ 40,932.00	Y	N	--		offer accepted	
CCA 901	MA	09/06/17	12/11/17	102678	PUC ATTORNEY II	Y	NA	73	P	1.00	B	\$ 84,232.00	\$ 86,760.00	Y	N	--		offer accepted 12/11/17.	
CCA 901	MA	07/07/17	12/18/17	102680	PUC UTILITY ANALYST I	Y	NA	13	P	1.00	B	\$ 69,627.00	\$ 73,068.00	Y	N	--		offer accepted 12/18/17.	
CCA 901	MA	11/01/17	01/08/18	121748	PUC RESEARCH ASSISTANT I	Y	NA	13	P	1.00	B	\$ 54,959.00	\$ 51,408.00	Y	N	--		offer accepted 1/8/18	
Incumbent is on leave without pay to accept exempt appointment to Pos.# 100690, NTE 12/3/18:																			
CCA 106	EA	--	12/03/18	5907	CHIEF DEPUTY INS COMMISSIONER **	N	EM7	35	P	1.00	B	\$ 111,179.00	\$ 99,204.00	Y	N	--			
Position Filled with Temporary Appointment																			
CCA 103	HA	09/01/16		100117	EXECUTIVE DIRECTOR, DCA	Y	NA	93	P	1.00	B	\$ 117,308.00	\$ 115,008.00	Y	N	--			

Positions Established by Acts other than the State Budget as of November 30, 2017

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Date Established</u>	<u>Legal Authority</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>FTE</u>	<u>Annual Salary</u>	<u>Filled (Y/N)</u>	<u>Occupied by 89 Day Hire (Y/N)</u>
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NONE

Department of Commerce and Consumer Affairs
Overtime Expenditure Summary

Table 13

Prog ID	Sub-Org	Program Title	MOF	FY17 (actual)			FY18 (estimated)			FY19 (budgeted)		
				Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent
CCA102	FA	Cable Television	B	\$ 655,637	\$ -	0.0%	\$ 653,051	\$ 5,000	0.8%	\$ 653,051	\$ 5,000	0.8%
CCA103	HA	Consumer Advocate for Communication, Utilities & Transportation Service	B	\$ 1,553,463	\$ 20,417	1.3%	\$ 1,678,779	\$ 25,000	1.5%	\$ 1,621,611	\$ 25,000	1.5%
CCA104	BA	Financial Services Regulation	B	\$ 2,633,855	\$ -	0.0%	\$ 2,567,001	\$ 13,000	0.5%	\$ 2,611,501	\$ 13,000	0.5%
CCA105	GA	Professional & Vocational Licensing	B	\$ 3,321,431	\$ 18,456	0.6%	\$ 3,470,742	\$ 70,101	2.0%	\$ 3,531,822	\$ 70,101	2.0%
CCA105	GA	Professional & Vocational Licensing	T	\$ 546,439	\$ -	0.0%	\$ 630,049	\$ 21,360	3.4%	\$ 682,549	\$ 21,360	3.1%
CCA106	EA	Insurance Regulatory Services	B	\$ 6,142,078	\$ -	0.0%	\$ 6,195,680	\$ 21,836	0.4%	\$ 6,239,498	\$ 21,836	0.3%
CCA107	IA	Hawaii Post-Secondary Education Authorization Program	B	\$ 124,086	\$ -	0.0%	\$ 135,229	\$ -	0.0%	\$ 135,229	\$ -	0.0%
CCA110	DA	Protection of the Consumer	B	\$ 1,140,629	\$ -	0.0%	\$ 1,254,678	\$ -	0.0%	\$ 1,293,450	\$ -	0.0%
CCA111	CA	Business Registration & Securities Regulation	B	\$ 3,782,327	\$ 38,751	1.0%	\$ 3,942,102	\$ 60,000	1.5%	\$ 3,995,245	\$ 60,000	1.5%
CCA112	AB	Regulated Industries Complaints Office	B	\$ 3,881,069	\$ -	0.0%	\$ 3,944,303	\$ 25,000	0.6%	\$ 3,944,303	\$ 15,000	0.4%
CCA191	AA	General Support/Director's Office & Administration	B	\$ 1,621,381	\$ 94	0.0%	\$ 1,559,533	\$ 20,000	1.3%	\$ 1,612,489	\$ 20,000	1.2%
CCA191	AH	General Support/Office of Administrative Hearings	B	\$ 648,199	\$ -	0.0%	\$ 636,860	\$ 5,000	0.8%	\$ 636,860	\$ 5,000	0.8%
CCA191	AI	General Support/Information Systems & Communications Office	B	\$ 1,109,156	\$ 6,163	0.6%	\$ 1,138,520	\$ 10,000	0.9%	\$ 1,138,520	\$ 20,000	1.8%
CCA901	MA	Public Utilities Commission	B	\$ 4,011,562	\$ -	0.0%	\$ 4,421,923	\$ -	0.0%	\$ 4,421,923	\$ -	0.0%
		Totals	B	\$ 30,624,873	\$ 83,881	0.3%	\$ 31,598,401	\$ 254,937	0.8%	\$ 31,835,502	\$ 254,937	0.8%
			T	\$ 546,439	\$ -	0.0%	\$ 630,049	\$ 21,360	3.4%	\$ 682,549	\$ 21,360	3.1%

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2017

Table 14

ProjID	MOF	Amount	Frequency (M/A/S)	Max Value	Outstanding Balance	Term of Contract			Contract #	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category SL/PL/CL/S
						Date Executed	From	To						
CCA102	B	3,477.00	M	250,000.00	246,523.00	6/30/2008	7/1/2008	6/30/2018	00057828-15	SQUIRE PATTON BOGGS (US) LLP	PROVIDE ADVICE & COUNSEL TO THE STATE (INCLUDING BUT NO LIMITED TO THE CABLE TELEVISION DIV OF THE DCCA ON ANY MATTER RELATING TO CABLE TELEVISION	Monthly Invoices monitored by AG's Office and CATV Staff.	Y	C
CCA102	B	0.00	O	200,000.00	200,000.00	7/1/2011	7/1/2017	6/30/2018	00060279-07	MERINA & COMPANY, LLP	CONTRACTOR PROVIDES ACCOUNTING, AUDITING, AGREED UPON PROCEDURES, FINANCE CONSULTING SVCS IN ITS ASSESSMENT OF THE FINANCIAL & OPERATING POLICIES	Monthly Invoices monitored by CATV Staff.	Y	S
CCA102	D	5,800.00	O	120,000.00	114,200.00	7/1/2013	7/1/2016	6/30/2017	00062114-04	MERINA & COMPANY, LLP	PROVIDE ACCOUNTING & REVIEW OF FILINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Monthly Invoices monitored by CATV Staff.	Y	S
CCA102	B	0.00	O	120,000.00	120,000.00	7/1/2013	7/1/2017	6/30/2018	00062114-05	MERINA & COMPANY, LLP	PROVIDE ACCOUNTING & REVIEW OF FILINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Monthly Invoices monitored by CATV Staff.	Y	S
CCA103	B	44,401.72	O	200,000.00	155,598.28	6/1/2008	6/1/2008	12/31/2014	00057167-02	SAWWEL AND ASSOCIATES, INC.	TO PROVIDE SERVICES IN CONNECTION W/ HAWAII PUBLIC UTILITIES COMMISSION' INVESTIGATION INTO THE IMPLEMENTATION OF INTRA-GOVERNMENT WHEELING OF	monthly review	Y	S
CCA103	B	22,363.92	O	40,000.00	17,636.08	9/14/2011	9/14/2011	-	00060480-02	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/THE HI PUBLIC UTILITIES COMMISSION'S REVIEW & EVALUATION OF APPLICATION FILED BY MAUI ELECTRIC CO., LTD FOR	monthly review	Y	S
CCA103	B	12,425.29	O	12,500.00	74.71	9/26/2011	9/26/2011	-	00060506-02	SAWWEL AND ASSOCIATES, INC.	PROVIDE SVCS IN CONNECTION WITH THE HI PUBLIC UTILITIES COMMISSION'S REVIEW & EVALUATION OF APPLICATION FILED BY MAUI ELECTRIC CO, LIMITED	monthly review	Y	S
CCA103	B	20,880.00	O	25,000.00	4,120.00	10/31/2011	10/31/2011	-	00060602-01	HILL, STEPHEN G.	SVCS WITH PUC REVIEW & EVALUATION OF THE APPLICATION FILED BY MECO FOR GENERAL RATE INCREASE-DOCKET-#2011-0092.	monthly review	Y	S
CCA103	B	16,688.66	O	170,000.00	153,311.34	6/21/2012	6/21/2012	-	00061175-04	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/ THE PUBLIC UTILITIES COMMISSION (HERE-AFTER "COMMISSION") TO EXAMINE & CONDUCT INTEGRATED RESOURCES PLANNING	monthly review	Y	S
CCA103	B	9,185.00	O	22,000.00	12,815.00	6/22/2012	6/22/2012	-	00061188-01	TECHNICAL ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/THE PUBLIC UTILITIES COMMISSION'S REVIEW & EVALUATION OF APPLICATION FILED BY HAWAII ELECTRIC LIGHT COMPANY,	monthly review	Y	S
CCA103	B	39,477.65	O	106,000.00	66,522.35	6/26/2012	6/26/2012	-	00061191-01	SAWWEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/THE PUBLIC UTILITIES COMMISSION'S REVIEW & EVALUATION OF APPLICATION FILED BY HAWAII ELECTRIC LIGHT COMPANY,	monthly review	Y	S
CCA103	B	163,893.00	O	205,600.00	41,707.00	8/23/2012	8/23/2012	-	00061379-01	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION WITH HAWAII PUBLIC UTILITIES COMMISSION'S REVIEW & EVALUATION OF THE APPLICATION FILED BY HI ELECTRIC LIGHT CO., LTD	monthly review	Y	S
CCA103	B	25,829.30	O	35,000.00	9,170.70	1/6/2014	1/6/2014	-	00062555-02	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HI PUBLIC UTILITIES COMMISSION'S REEXAMINATION OF EXISTING DECOUPLING MECHANISMS FOR HWN ELECTRIC CO, INC, HI	monthly review	Y	S
CCA103	B	0.00	O	115,000.00	115,000.00	1/6/2014	1/6/2014	-	00062555-03	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION WITH HAWAII PUBLIC UTILITIES COMMISSION'S REEXAMINATION OF EXISTING DECOUPLING MECHANISMS FOR HWN ELECTRIC CO, INC, HI	monthly review	Y	S
CCA103	B	0.00	O	50,000.00	50,000.00	1/6/2014	1/6/2014	-	00062555-04	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HI PUBLIC UTILITIES COMMISSION'S REEXAMINATION OF EXISTING DECOUPLING MECHANISMS FOR HWN ELECTRIC CO, INC, HI	monthly review	Y	S
CCA103	B	38,895.71	O	135,152.00	96,256.29	3/20/2014	3/20/2014	-	00062767-01	UTILITECH, INC.	TO PROVIDE SERVICES IN CONNECTION WITH KAUAI ISLAND UTILITY COOPERATIVE(KIUC) REQUEST FOR APPROVAL TO IMPLEMENT KIUC'S PROPOSED DECOUPLING	monthly review	Y	S
CCA103	B	13,518.41	O	75,000.00	61,481.59	5/4/2014	5/4/2014	-	00062971-02	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/PROCEEDING OPENED BY THE HI PUBLIC UTILITIES COMMISSION TO REEXAMINE THE EXISTING DECOUPLING MECHANISMS	monthly review	Y	S
CCA103	B	0.00	O	60,000.00	60,000.00	5/4/2014	5/4/2014	-	00062971-03	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/PROCEEDING OPENED BY THE HI PUBLIC UTILITIES COMMISSION TO REEXAMINE THE EXISTING DECOUPLING MECHANISMS	monthly review	Y	S
CCA103	B	113,706.97	O	231,975.00	118,268.03	6/27/2014	6/27/2014	-	00063074-01	UTILITECH, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE, DOCKET NO. 2013-0373.	monthly review	Y	S
CCA103	B	116,899.97	O	130,000.00	13,100.03	6/27/2014	6/27/2014	-	00063074-03	UTILITECH, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE, DOCKET NO. 2013-0373.	monthly review	Y	S
CCA103	B	102,023.51	O	122,900.00	20,876.49	6/27/2014	6/27/2014	-	00063075-01	SAWWEL AND ASSOCIATES, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE, DOCKET NO. 2013-0373.	monthly review	Y	S
CCA103	B	56,359.57	O	77,100.00	20,740.43	6/27/2014	1/27/2017	-	00063075-02	SAWWEL AND ASSOCIATES, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE, DOCKET NO. 2013-0373.	monthly review	Y	S
CCA103	B	34,999.04	O	35,000.00	0.96	12/15/2014	12/15/2014	-	00063548-02	SAWWEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION WITH THE HAWAII PUBLIC UTILITIES COMMISSION REVIEW & EVALUATION OF THE APPLICATION FILED BY HAWAII ELECTRIC COMPANY	monthly review	Y	S
CCA103	B	20,984.15	O	50,000.00	29,015.85	3/17/2015	3/17/2015	-	00063725-03	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION WITH HECO, HELCO, MECO & NEXTERA ENERGY,IN APPLICATION FOR PROPOSED CHG OF CONTROL & RELATED MATTERS IN DOCKET	monthly review	Y	S
CCA103	B	1,249.79	O	12,000.00	10,750.21	3/17/2015	3/17/2015	-	00063725-04	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION WITH HECO, HELCO, MECO & NEXTERA ENERGY,IN APPLICATION FOR PROPOSED CHG OF CONTROL & RELATED MATTERS IN DOCKET	monthly review	Y	S
CCA103	B	3,119.97	O	22,000.00	18,880.03	5/5/2014	5/5/2014	-	00063814-04	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/HECO, HELCO, MECO & NEXTERA ENERGY, INC' APPLICATION FOR APPROVAL OF PROPOSED CHANGE OF CONTROL & RELATED MATTERS	monthly review	Y	S
CCA103	D	79,398.34	O	260,000.00	180,601.66	6/1/2015	6/1/2015	-	00063901-01	SAWWEL AND ASSOCIATES, INC.	CONTRACTOR TO PROVIDE SVCS SUCH AS GENERAL PREP TO SERVE AS EXPERT WITNESS IN POSSIBLE OR ACTUAL LITIGATION IN THE COURSE OF DIV'S EVAL OF	monthly review	Y	S

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2017

Table 14

Proj ID	MOF	Amount	Frequency (M/A/Q)	Max Value	Outstanding Balance	Date Executed	From	To	Contract #	Entity	Contract Description	Explanation of How Contract Is Monitored	POS Y/N	Category E/L/P/C/G/S
CCA103	B	4,249.87	O	20,000.00	15,750.13	4/8/2016	11/28/2016	-	00064632-02	UTILITECH, INC.	TO SERVE AS AN EXPERT WITNESS FOR DCA IN DOCKET NO 2016-0014, YOUNG BROS LTD., APPLICATION FOR APPVL OF A GENERAL RATE INCREASE AND CERTAIN	monthly review	Y	S
CCA103	B	2,339.31	O	100,000.00	97,660.69	9/6/2016	6/20/2017	-	00065180-02	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HELCO INC'S APPLICATION F/APPVL OF GEN RATE INCREASE AND REV RATE SCHEDULE AND RULES IN DOC NO.2015-070. THE	monthly review	Y	S
CCA103	B	28,553.73	O	33,622.00	5,068.27	1/1/2017	1/1/2017	12/31/2017	00065331-01	UNIVERSITY OF HAWAII	TO PERFORM GENERAL PREPARATION FOR RESEARCH AND CONSULTING SVCS FOR THE DCCA IN THE EVALUATION OF THE ISSUES TO BE ADDRESSED IN THE PROCEEDINGS	monthly review	Y	S
CCA103	B	34,566.15	O	50,000.00	15,433.85	11/28/2016	6/20/2017	-	00065348-02	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/HAWAII ELECTRIC LIGHT CO. INC'S APPLICATION F/APPVL OF GENERAL RATE INCREASE AND REVISED RATED SCHEDULES & RULE	monthly review	Y	S
CCA103	B	58,785.00	O	96,000.00	37,215.00	12/7/16	12/7/2016	-	00065373-01	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/HELCO INC'S APPLICATION F/APPVL OF GENRATE INCREASE & REVISED SCHEDULES & RULES, DOCKET #2015-0170 & DOCKET	monthly review	Y	S
CCA103	B	0.00	O	50,000.00	50,000.00	12/7/2016	6/21/2017	-	00065373-02	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/HELCO, INC'S APPLICATION F/APPVL OF GEN RATE INCREASE & REVISED SCHEDULES & RULES, DOCKET #2015-0170 & DOCKET	monthly review	Y	S
CCA103	B	47,170.00	O	90,000.00	42,830.00	3/29/2017	3/29/2017	-	00065625-01	5NAVELY KING MAJOROS &	TO PROV SVCS IN CONNECTION W/HECO INC, HELCO INC & MECO, LTD APPL F/APPV OF CHGS IN DEPRECIATION & AMORTIZATION RATES & CIAC AMORTIZATION PERIOD	monthly review	Y	S
CCA103	B	10,192.72	O	60,000.00	49,807.28	6/20/2017	6/20/2017	-	00065816-01	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SERVICES IN CONNECTION W/HAWAII ELECTRIC CO, INC'S APPLICATION FOR APPROVAL OF A POWER PURCHASE AGREEMENT F/RENEWABLE DISPATCHABLE FIRM	monthly review	Y	S
CCA103	B	0.00	O	50,000.00	50,000.00	10/19/2017	10/19/2017	-	00066150-01	LARKIN & ASSOCIATES, PLLC	TO PROVIDE SVCS IN CONNECTION W/THE GAS COMPANY LLC DBA HAWAII GAS' APPLICATION F/APPVL OF RATE INCREASES & REVISED RATE SCHEDULE	monthly review	Y	S
CCA103	B	0.00	O	30,000.00	30,000.00	10/19/2017	10/19/2017	-	00066184-01	HARR ECONOMICS	GAS CO, LLC DBA HAWAII GAS APPLICATION FOR APPROVAL OF RATE INCREASES & REVISED RATE SCHEDULES & RULES IN DOCKET#2017-0105	monthly review	Y	S
CCA103	B	0.00	O	200,000.00	200,000.00	12/1/2017	12/1/2017	-	00066185-01	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/MAUI ELECTRIC CO., LTD'S APPLICATION F/APPVL OF GENERAL RATE INCREASE & REVISED RATE SCHEDULE & RULES IN	monthly review	Y	S
CCA103	B	0.00	O	265,000.00	265,000.00	12/1/2017	12/1/2017	-	00066186-01	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/MAUI ELECTRIC CO., LTD'S APPLICATION F/APPVL OF GENERAL RATE INCREASE & REVISED RATE SCHEDULE & RULES IN	monthly review	Y	S
CCA105	B	0.00	O	19,112.76	19,112.76	8/19/2017	8/19/2017	8/19/2018	00065996-01	PROFITABILITY OF HAWAII, INC.	TO PROVIDE SCANNING OF PROFESSION & VOCATIONAL LICENSING INTO TIT FILES & SCAN BATCHES OF DOCUMENTS TO SEARCHABLE PDF FILES BID NO IFB 17-002-O	Monitored by staff	Y	S
CCA106	B	34,002.30	M	50,000.00	15,997.70	4/7/2009	4/7/2009	-	00058718-05	DALEY & HEFT, LLP	PROVIDE LEGAL SVCS TO THE SOH IN THE FOLLOWING LEGAL ACTION, SUPERIOR CT OF THE STATE OF CA FOR THE COUNTY OF ORANGE#30-200900119128 FILLED2/28/0	Completed Work reviewed for accuracy and completeness	Y	C
CCA106	B	65,500.50	M	90,000.00	24,499.50	11/21/2014	11/21/2016	11/21/2017	00063507-03	WYMAN, OLIVER ACTUARIAL	CONTRACTOR SHALL PERFORM & PROVIDE ALL SVCS REQUIRED BY STATE IN A TIMELY MANNER W/IN TIME LIMITATIONS AGREED UPON BY STATE & CONTRACTOR. CONTRAC	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	56,212.50	M	200,000.00	143,787.50	4/15/2016	4/15/2016	4/15/2018	00064633-02	WYMAN, OLIVER ACTUARIAL	ANALYZE RATES ON HEALTH INSURANCE PROVIDE ACTUARIAL ANALYSIS OF HEALTH INSURANCE LEGISLATION AND APPEAR AS EXPERT WITNESS REPRESENTING COMM TO	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	17,715.92	O	40,000.00	22,284.08	6/1/2016	6/1/2016	5/31/2017	00064865-01	INS REGULATORY INSURANCE	PERFORM THE ACTUARIAL SVCS, ANALYZING RATES, RESERVES, & RELATED MATTERS ACT-PC16	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	0.00	O	40,000.00	40,000.00	6/1/2016	6/1/2017	5/31/2019	00064865-02	INS REGULATORY INSURANCE	PERFORM THE ACTUARIAL SVCS, ANALYZING RATES, RESERVES, & RELATED MATTERS ACT-PC16	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	45,848.35	M	109,764.84	63,916.49	1/1/2016	11/1/2016	6/30/2017	00065332-03	EMPLOYEES' RETIREMENT SYSTEM	OFFICE SPACE LEASE FOR INSURANCE HEALTH BRANCH - MEMORANDUM OF AGREEMENT LEASE NO 72-30-0612 - HOLD OVER CLAUSE	n/a	N	L
CCA106	B	94,882.41	O	98,000.00	3,117.59	1/27/2017	1/27/2017	1/26/2018	00065450-01	ACCUTY LLP	PROVIDE ALL SVCS REQUIRED BY THE STATE IN CONNECTION WITH THE FINANCIAL EXAMINATION OF FHI INS. & GUARANTY CO OPT TO EXTEND 12MOS	Bi-weekly status reports received and completed reports reviewed	Y	S
CCA106	B	198,475.06	M	198,482.00	6.94	2/17/2017	2/17/2017	2/16/2018	00065572-01	SPIRE HAWAII LLP	TO PROVIDE ALL SERVICES REQUIRED BY THE STATE IN CONNECTION WITH THE FINANCIAL EXAMINATION OF DONGDU INSURANCE CO., LTD.	Bi-weekly status reports received and completed reports reviewed	Y	S
CCA106	D	23,581.25	O	150,000.00	126,418.75	9/20/2017	9/20/2017	9/19/2020	00066037-01	LEWIS & ELLIS, INC.	1)ANALYZE RATES RESERVES INVESTMENTS INCOME & RELATED MATTERS 2)TO APPEA AS AN EXPERT WITNESS REPRESENTING T/STATE & HOPA 3)APPEAR AT PUBLIC HEAR	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	44,607.31	M	143,770.00	99,162.69	10/17/2017	10/17/2017	9/30/2018	00066043-01	SPIRE HAWAII LLP	TO PROVIDE ALL SVCS REQUIRED BY THE STATE IN CONNECTION W/THE FINANCIAL EXAMINATION OF UNIVERSITY HEALTH ALLIANCE	Bi-weekly status reports received and completed reports reviewed	Y	S
CCA106	B	0.00	O	47,535.00	47,535.00	10/16/2017	10/16/2017	10/15/2018	00066100-01	RISK & REGULATORY CONSULTING	TO EXAMINE AS OFTEN AS DEEMED PRUDENT, AFFAIRS, TRANSACTIONS, ACCOUNTS, RECORDS DOCUMENTS & ASSETS OF LICENSED DOMESTIC INSURERS (FIREMAN'S FUND	Bi-weekly status reports received and completed reports reviewed	Y	S
CCA110	B	14,339.45	M	31,499.76	17,160.31	10/1/2012	8/1/2017	7/31/2018	00061432-06	NEW KAIKO'O BUILDING, INC.	OFFICE SPACE LEASE FOR THE RICO HILO BRANCH & OCP HILO BRANCH LEASE AGREEMENT NO. 71-30-0591	OCP Supervisor oversees space and N	N	L
CCA110	B	3,862.90	O	60,000.00	56,137.10	11/14/2016	11/14/2016	11/14/2017	00065648-02	VOYAGER SYSTEMS, INC.	PROVIDE TO THE STATE & IMPLEMENT ITS IMPACT SOFTWARE APPLICATION, A COMM OFF THE SHELF SOFTWARE (COTS) OR MODIFIED OFF THE SHELF (IMOTS) THAT SHAL	OCP confers with RICO Administrat	Y	S
CCA111	B	8,229.77	M	60,000.00	51,770.23	1/1/2007	7/1/2017	6/30/2018	00056367-13	ROWIN PROPERTIES, LLC	OFFICE SPACE LEASE DAGS LS# 92-30-005B / DCCA - BUSINESS ACTION CENTER.	Monthly invoice monitored by BREG staff.	N	L

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2017

Table 14

ProjID	MOF	Amount	Frequency (M/A/Q)	Max Value	Outstanding Balance	Date Executed	From	To	Contract #	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S
CCA111	B	25,125.00	O	33,500.00	8,375.00	12/1/2016	12/1/2016	11/30/2017	00065371-01	HAWAII COUNCIL ON ECONOMIC	PROVIDE SVCS WITH A REAL LIFT INTERACTIVE EDUCATIONAL TOOL STOCK MARKET SIMULATION(SMS)	Quarterly activity and status report provided reviewed by BREG	Y	S
CCA112	B	9,053.07	M	25,944.36	16,891.29	12/1/2009	8/1/2017	7/31/2018	00058863-13	SIGNATURE SERVICES	LEASE OFFICE SPACE FOR RICO-KONA BRANCH DAGS LEASE # 71-30-0579	RICO Supervisor oversees space and	N	L
CCA112	B	6,597.38	M	15,843.60	9,246.22	6/1/2015	8/1/2017	7/30/2018	00063874-04	PUUONE DEVELOPMENT	LEASE OFFICE SPACE FOR RICO-MAUI BRANCH FOR THE PERIOD OF JUNE 1, 2015 THROUGH MAY 31, 2021, LEASE NO 75-30-014	RICO Supervisor oversees space and	N	L
CCA112	B	116,254.05	O	211,371.00	95,116.95	11/14/2016	11/14/2016	11/14/2017	00065648-01	VOYAGER SYSTEMS, INC.	PROVIDE TO THE STATE & IMPLEMENT ITS IMPACT SOFTWARE APPLICATION, A COMM OFF THE SHELF SOFTWARE (COTS) OR MODIFIED OFF THE SHELF (MOTS) THAT SHAL	Vendor conducts weekly meetings	Y	S
CCA901	B	259,230.00		400,000.00	\$ 173,040.00	7/1/2013	7/1/2013	6/30/2019	00062070-07	DAYHUFF GROUP LLC, THE	PROVIDE SYSTEM MAINTENANCE SVCS FOR THE PUC'S DOCKET MANAGEMENT SYSTEM (DMS) OPT TO EXTEND 2-24MOS.	sheets, description of services rendered, reports, summaries,	Y	S
CCA901	B	1,082.24		5,222.86	\$ 5,222.86	1/1/2016	1/1/2016	11/30/2020	00064462-04	MAUI OFFICE, LLC.	RENT, OPTR COST & GET F/PUC MAUI DIST OFC (DAGS LEASE #75-30-0611) LOC: ONE MAIN PLAZA, 2200 MAIN ST, STE 540 WAILUKU, MAUI, HI 96793 - MONTHLY	N/A	Y	L
CCA901	B	661.66		960.00	\$ 298.34	7/1/2015	7/1/2015	5/31/2020	00064464-03	TOLEDO, RICHARD & JOAN	RENT & GET FOR PUC HILO DIST OFC (DAGS LEASE #71-30-0056) LOCATION: KUKUAU PLAZA, 688 KINGOLE ST UNIT 106A HILO HI 96720-MONTHLY TTL 461.43	N/A	Y	L
CCA901	B	1,685.77		4,577.16	\$ 2,891.39	7/1/2015	7/1/2015	5/31/2020	00064464-04	TOLEDO, RICHARD & JOAN	RENT & GET FOR PUC HILO DIST OFC (DAGS LEASE #71-30-0056) LOCATION: KUKUAU PLAZA, 688 KINGOLE ST UNIT 106A HILO HI 96720-MONTHLY TTL 461.43	N/A	Y	L
CCA901	B	0.00		960.00	\$ 960.00	7/1/2015	7/1/2015	5/31/2020	00064464-05	TOLEDO, RICHARD & JOAN	RENT & GET FOR PUC HILO DIST OFC (DAGS LEASE #71-30-0056) LOCATION: KUKUAU PLAZA, 688 KINGOLE ST UNIT 106A HILO HI 96720-MONTHLY TTL 461.43	N/A	Y	L
CCA901	B	119,919.70		120,000.00	\$ 80.30	4/1/2016	4/1/2016	3/31/2018	00064643-01	HAIKU DESIGN & ANALYSIS LLC	CONSULTANT TO ASSIS IN PROCEEDINGS RELATING TO DECOUPLING FOR HECO, MECO HELCO, & KAUAI ISLAND UTILITY COOPERATIVE	sheets, description of services rendered, reports, summaries,	Y	S
CCA901	B	113,791.55		120,000.00	\$ 6,208.45	4/1/2016	4/1/2016	3/31/2018	00064644-01	HAIKU DESIGN & ANALYSIS LLC	CONSULTANT TO ASSIS THE COMMISSION WITH THE ONGOING INTEGRATED RESOURCE PLANNING(IRP) ORICEEDUBGM UBVESTUGATUIBM & OTHER SCOPE OF WORK INDICATED	sheets, description of services rendered, reports, summaries, analysis, etc. for performance to	Y	S
CCA901	B	187,000.00		396,000.00	\$ 220,000.00	7/1/2016	7/1/2016	6/30/2019	00064783-01	ONE CALL CONCEPTS, INC.	ONE CALL CENTER PROVIDER TO CONTINUE OPERATION OF THE HI ONE CALL CENTER UNDER THE ADMINISTRATION OF THE PUC RFP-PUC-16-01 OPT TO EXTEND 2-12MOS	sheets, description of services rendered, reports, summaries, analysis, etc. for performance to	Y	S
CCA901	B	126,077.63		160,000.00	\$ 33,922.37	8/1/2016	8/1/2016	7/31/2018	00065061-01	STRATEGEN CONSULTING, LLC	CONSULTING SERVICES IN SUPPORT OF PUC ENERGY PLANNING DOCKETS RELATED TO DGIP, DER, AND OTHERS	sheets, description of services rendered, reports, summaries,	Y	S
CCA901	B	2,094.20		50,000.00	\$ 47,905.80	12/31/2016	12/31/2016	12/30/2018	00065386-01	MUNRO TULLOCH, INC.	CONSULTING SVCS IN SPPORT OF PUC AS IT REVIEWS & OVERSEES THE HECO IMPLEMENTATION OF IS ERP/EAMSYSTEM AS PART OF DOCKET#2014-0170	sheets, description of services rendered, reports, summaries,	Y	S
CCA901	B	133,667.12		300,000.00	\$ 166,332.88	5/1/2017	5/1/2017	10/31/2017	00065664-01	ROCKY MOUNTAIN INSTITUTE	CONSULTING SERVICES IN SUPPORTING HAWAII'S ELECTRICITY MKT TRANSFORMATION	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	29,780.09		90,000.00	\$ 66,753.94	7/1/2017	7/1/2017	6/30/2018	00065836-01	SJI CONSULTING LLC	CONSULTING SERVICE IN SUPPORTING GENERAL RATE CASE AND REVISED RATE SCHEDULES AND RULES	sheets, description of services rendered, reports, summaries,	Y	S
CCA901	B	38,400.00		150,000.00	\$ 111,600.00	10/1/2017	10/1/2017	3/31/2019	00066080-01	MANN, GREGORY L.	CONSULTANT TO ASSIST THE COMMISSION W/THE CINCINNATI BELL'S PROPOSED MERGER W/HAWAIIAN TELCOM - DOCKET 2017-0208	sheets, description of services rendered, reports, summaries,	Y	S
CCA901	B	0.00		99,000.00	\$ 99,000.00	11/13/2017	11/13/2017	11/12/2018	00066140-01	ROCKY MOUNTAIN INSTITUTE	CONSULTING SVCS IN SUPPORTING HAWAII'S ELECTRICITY MARKET TRANSFORMATION AS AN INDEPENDENT OBSERVERS TO ASSIST THE PUC W/THE HECO COMPANIES' RENEWABLE ENERGY PROCUREMENT	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	0.00		150,000.00	\$ 150,000.00	11/17/2017	11/17/2017	11/16/2019	00066159-01	NAVIGANT CONSULTING, INC.	CONSULTING SVCS IN SUPPORTING HAWAII'S ELECTRICITY MARKET TRANSFORMATION AS AN INDEPENDENT OBSERVERS TO ASSIST THE PUC W/THE HECO COMPANIES' RENEWABLE ENERGY PROCUREMENT	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	Var	M	\$ 50,000.00	\$ 50,000.00	11/20/2017	11/20/2017	11/19/2018	00066216-01	ENERGY ALLY CONSULTING, LLC	SUPPORT IN ADMINISTRATION OF ACTIVE QUEUE OF THE FEED-IN-TARIFF (FIT) PROGRAM	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	Var	M	\$ 99,000.00	\$ 99,000.00	11/24/2017	11/24/2017	11/23/2018	00066217-01	VILLARREAL, CHRISTOPHER DBA PLUGGED IN STRATEGIES	CONSULTANT SERVICES FOR DER DOCKETS AND HECO GRID MODERNIZATION STRATEGY	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

Department of Commerce and Consumer Affairs
 Capital Improvements Program (CIP) Requests

Table 15

<u>Prog ID</u>	<u>Prog ID</u> <u>Priority</u>	<u>Dept- Wide</u> <u>Priority</u>	<u>Senate</u> <u>District</u>	<u>Rep.</u> <u>District</u>	<u>Project Title</u>	<u>MOF</u>	<u>FY18 \$\$\$</u>	<u>FY19 \$\$\$</u>
					NONE			

Department of Commerce and Consumer Affairs
CIP Lapses

Table 16

<u>Prog ID</u>	<u>Act/Year of Appropriation</u>	<u>Project Title</u>	<u>MOF</u>	<u>Lapse Amount</u> <u>\$\$\$\$</u>	<u>Reason</u>
		NONE			

Program ID	Sub-Org. Code	Name	Objective
CCA 102	FA	Cable Television	To foster the development of responsive and reliable cable television communications services for the people of Hawaii, by promoting the public interest in authorizations by the state regarding cable television franchises; regulate basic cable television rates and service to ensure compliance with applicable state and federal law; expand the statewide institutional network ("INET"); continue the availability of public, education and government ("PEG") cable access; and to engage in activities promoting the expansion of, and accelerating, the deployment of broadband infrastructure.
CCA 103	HA	Consumer Advocate for Comm, Util, & Tran Svc	Through advocacy, education, and long-range planning, to ensure sustainable, reliable, safe and quality communications, utility, and transportation services at fair cost for Hawaii's consumers for the short and long-term.
CCA 104	BA	Financial Services Regulation	To ensure the safety and soundness of state-chartered and state-licensed financial institutions, and ensure regulatory compliance by state-licensed financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators and mortgage loan originator companies, by fairly administering applicable statutes and rules, in order to protect the rights and funds of depositors, borrowers, consumers and other members of the public.
CCA 105	GA	Professional & Vocational Licensing	To ensure that the individual is provided with professional, vocational, and personal services meeting acceptable standards of quality, equity and dependability by establishing and enforcing appropriate service standards; to regulate activities for the protection, welfare and safety of the participants as well as the public.
CCA 106	EA	Insurance Regulatory Services	To ensure that consumers are provided with insurance services meeting acceptable standards of quality, equity, and dependability at fair rates by establishing and enforcing appropriate service standards and fairly administering the Insurance Code.
CCA 107	IA	Hawaii Post-Secondary Education Authorization Program	To ensure the soundness of accredited degree-granting post-secondary educational institutions by fairly administering applicable statutes and rules in order to protect students, consumers, and other members of the community.
CCA 110	DA	Office of Consumer Protection	To protect consumers by investigating alleged violations of consumer protection laws, taking legal action to stop unfair or deceptive trade practices in the marketplace, and educating consumers and businesses about their respective rights and obligations in the marketplace under Hawaii consumer protection laws.
CCA 111	CA	Business Registration and Securities Regulation	To ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, service marks and other entities; to run business centers to provide personalized assistance to small and startup businesses; and to ensure compliance with and enforcement of securities and franchise laws.
CCA 112	AB	Regulated Industries Complaints Office	To assist the general public through consumer education and by enforcing the state's licensing laws.
CCA 191	AA	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 191	AH	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 191	AI	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 901*	MA	Public Utilities Commission	To ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate.

Department of Commerce and Consumer Affairs
Organization Changes

Table 18

<u>Year of Change</u> <u>FY18/FY19</u>	<u>Description of Change</u>
2018	Redescription of exempt position, Position No. 120246, from CATV Program Specialist, to CATV Program Analyst, effective August 1, 2017.
2018	Redescription of exempt position, Position No. 121997, from CRF Financial Institution Examiner II, to CRF Financial Institution Examiner I, effective August 4, 2017.
2018	Redescription of exempt position, Position No. 113112, from ISCO Computer Technician II, to ISCO Computer Technician III, effective July 16, 2017.
2018	Establishment of new permanent exempt position, Position No. 122485 (Pseudo No. 98008R), CRF Insurance Licensing Clerk, effective September 18, 2017.
2018	Redescription of exempt position, Position No. 120305, from INS Researcher, to INS Health Researcher, effective October 16, 2017.
2018	Establishment of new permanent exempt position, Position No. 122506 (Pseudo No. 98007R), CRF Insurance Examiner II, effective October 16, 2017.
2018	Reallocation of permanent civil service position, Position No. 120363, from Investigator II, SR-18, to Investigator III, SR-20, effective August 16, 2017.
2018	Redescription of permanent civil service position, Position No. 28951, to transfer from General/Landlord-Tenant Investigation Section to General Investigation Section and to reallocate position, from Investigator IV, SR-22, to Investigator II, SR-18, effective October 23, 2017.
2018	Establishment of new permanent civil service position, Position No. 122452 (Pseudo No. 98002R), Office Assistant IV, SR-10, effective August 16, 2017.
2018	Establishment of new permanent civil service position, Position No. 122453 (Pseudo No. 98003R), Office Assistant IV, SR-10, effective August 16, 2017.
2018	Establishment of new permanent civil service position, Position No. 122454 (Pseudo No. 98004R), Office Assistant V, SR-12, effective August 16, 2017.
2018	Establishment of new permanent civil service position, Position No. 122455 (Pseudo No. 98005R), Office Assistant V, SR-12, effective August 16, 2017.
2018	Reallocation of permanent civil service position, Position No. 120571, from Regulatory Boards/Commissions Administrative Assistant I, SR-22, to Regulatory Boards/Commissions Administrative Assistant II, SR-24, effective November 1, 2017.
2018	Redescription of exempt position, Position No. 103178, from RI Investigator IV, to Staff Attorney, effective September 1, 2017.
2018	Reallocation of permanent civil service position, Position No. 118430, Investigator I, SR-16, to Investigator II, SR-18, effective September 1, 2017.
2018	Reallocation of permanent civil service position, Position No. 118479, from Investigator I, SR-16, to Investigator II, SR-18, effective September 1, 2017.
2018	Redescription of exempt position, Position No. 101312, RI Investigator IV, to transfer from Hawaii Office/Kona to Oahu Office/Investigation Section/Unit I, effective October 2, 2017.
2018	Redescription of exempt position, Position No. 102087, from Staff Attorney III, to Staff Attorney, effective October 9, 2017.
2018	Redescription of exempt position, Position No. 121798, from PUC Research Assistant I, to PUC Economist I, effective August 23, 2017.