DAVID Y. IGE
GOVERNOR

SHAN S. TSUTSUI
LT. GOVERNOR

STATE OF HAWAII
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DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
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CATHERINE P. AWAKUNI COLÓN
INTERIM DIRECTOR

JO ANN M. UCHIDA TAKEUCHI
DEPUTY DIRECTOR

January 9, 2015

The Honorable Jill Tokuda, Chair
Senate Committee on Ways and Means
State Capitol, Room 208
415 South Beretania Street
Honolulu, Hawaii 96813

The Honorable Sylvia Luke, Chair
House Committee on Finance
State Capitol, Room 306
415 South Beretania Street
Honolulu, Hawaii 96813

Dear Chairs Tokuda and Luke,

In reply to a request dated December 5, 2014 regarding budget briefing, transmitted herewith are the requested copies of the department's budget testimony for the respective committees. An electronic version (pdf) will be sent to wamtestimony@capitol.hawaii.gov and fintestimony@capitol.hawaii.gov.

Should you have any questions, please feel free to contact Meoh-Leng Silliman, Business Management Officer, at 586-2844.

Very truly yours,

Catherine P. Awakuni Colón

CATHERINE P. AWAKUNI COLÓN
Interim Director

Attachment

c: Governor's Policy Office
Department of Budget and Finance

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PRESENTATION
OF THE DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
BEFORE THE JOINT
SENATE COMMITTEE ON WAYS AND MEANS
HOUSE COMMITTEE ON FINANCE
BIENNIUM BUDGET REQUEST FOR FISCAL BIENNIUM 2015-2017
THE TWENTY-EIGHTH LEGISLATURE
INTERIM OF 2014
JANUARY 16, 2015

Honorable Chair Tokuda and Chair Luke, Vice Chair Kouchi and Vice Chair Nishimoto, and Members of the Senate Committee on Ways and Means and House Committee on Finance.

I am Catherine Awakuni Colón, and I am the Interim Director of Commerce and Consumer Affairs ("DCCA" or "Department"). I appreciate this opportunity to discuss the Department's fiscal biennium 2015-2017 budget with the committees.

Overview

The Department's mission is to promote a strong and healthy business environment by upholding fairness and public confidence in the marketplace, and by increasing knowledge and opportunity for our businesses and citizens.

DCCA's funding structure was established by the legislature in 1996 through the creation of the compliance resolution fund ("CRF"). The CRF is the primary funding source for DCCA's programs. The Department's financial strategy is based on ensuring that divisions have revenues to pay their expenses and that they contribute equitably to departmental overhead costs, while maintaining sufficient reserves to address unexpected contingencies. The Legislature's foresight to develop this self-sufficiency model has benefitted our customers and the public we serve and the State over many years. Self-sufficiency notwithstanding, strong fiscal oversight continues to be important to the Department. Consistent with applicable law, the fees and revenue collected by the Department are provisioned for the purpose of delivering important commerce and consumer protection related services.

Toward that end, I appreciate the committees' thorough review and consideration of the department's budget.

Impact of Current Economic and Fiscal Conditions

Like other departments, large positive or negative shifts in the economic condition of our community impact the general workload of the Department. Events such as the recent "global financial crisis" spurred new federal laws and increased supervisory oversight for financial institutions, requiring the Division of Financial Institutions ("DFI") to quickly respond. Increased regulation likewise increased costs and expenses for both DFI and the five industries it supervises. In addition, DFI was tasked with regulating additional industries, causing DFI's workload to increase dramatically, both in the type and number of institutions regulated, and in the scope and complexity of the work.

With improved economic conditions, many of our divisions have seen increases in their workload. For example, the Professional and Vocational Licensing Division ("PVLD") experienced a steady increase in its workload, as the number of applicants for licensure has increased every year. Specifically, from 1984 to 2013, the number of licensees has grown from about 84,000 to 138,000. The PVLD also consistently services a steady stream of license renewals year round. As the number of licensees increases, so does the number of level of activity for the Regulated Industries Complaints Office, which provides the enforcement of those licensed by the Department. To address such increases in workload, the Department actively searches for ways to streamline its processes and find efficiencies to appropriately and effectively service our customers, regulated industries, and licensees.

Federal Funds

Through the Patient Protection and Affordable Care Act ("PPACA") grants, additional positions were created to assist the Insurance Division to address very complex issues. It is essential that the Insurance Division maintain these experienced staff to address the challenges posed by the rapid growth in the health regulatory environment. These federal funds were projected to conclude in 2014 and the Division was granted approval during the 2014 legislative session to transfer six of the federally funded positions to State funds. However, one of the grants, funding three of those positions, was given a 12-month extension. As such, federal funds will continue to be used until no longer available.

Budget Request

The Department formulated a budget that provides the resources necessary for our programs to perform their functions and meet their goals and objectives. Pursuant to instructions in Finance Memorandum 14-13 issued by the Department of Budget and Finance ("B&F"), requests included in the current budget are limited to:

1. Trade-offs/transfers that have no impact on our budget ceiling

   The Insurance Division seeks to transfer and re-describe a vacant licensing clerk (exempt) position from the Licensing Branch to the Captive Insurance Branch. Because of the institution of new procedures which streamlines the Licensing Branch's operations and by converting much of the application and licensing processes from hard copies to soft copies, it has been determined that the clerk position need not be filled. The transferred position would be re-described as a Captive Insurance Program Specialist and the position will directly affect the sustained growth of the captive insurance industry in Hawaii.

   The Captive Insurance Branch of the Insurance Division ("CIB") has grown from zero captive insurance licensees in 1987 to 188 in 2014. Throughout this time, a Secretary II has provided administrative support to the Branch. While initially, during the Branch's infancy, this position's support of the Branch's operations was deemed adequate, this one position is unable to continue to support the growth of the Branch and the demands of licensees in a timely and efficient manner. Consequently, a program specialist position is needed to provide the critical support needed.

   The Department has identified special funds (Captive Insurance Administrative Fund) within CCA-106 to cover the salary cost of this position. As such, there will not be any increases in position count or fund ceiling.

2. Select Non-General Fund adjustments
   a. Fringe benefit increases needed to meet payroll obligations

      Departments were notified by B&F during budget preparation that the Comprehensive Fringe Benefit assessment rate for non-general fund programs will be increased to 52% from 42.04%, due primarily to the projected costs for prefunding of other post-retirement benefits. Pursuant to Finance Memorandum No. 14-12, the Department utilized the new rate in developing the fiscal biennium 2015-2017 budget. The requested budget increases for fringe benefits are needed to effectively fund all authorized positions.

   b. Program transfer of the Public Utilities Commission from the Department of Budget and Finance to the DCCA as an administratively attached agency, pursuant to Act 108, Session Laws of 2014.

      The PUC’s base budget for the 2015-2017 fiscal biennium is requested for inclusion in DCCA’s operating budget.

3. Adjustments for non-recurring items from the last legislative session

   DFI is requesting that the $110,000 increase to the trust fund ceiling for the Mortgage Loan Recovery Fund, S-326, be made permanent. The increase is needed to pay for claims against the recovery fund, which may be ordered by the courts, and to cover any administrative expenses related to the administration of the recovery fund. DFI is currently aware of potential claims against two licensees that could require the payment of the maximum $100,000 per licensee, which would exceed the current ceiling of $110,000 and require a portion of the claims to be postponed to the following year.

Additional Information

Table 1: Prioritized List of Functions

The Prioritized List of Functions encompasses all of the major functions and associated activities performed by the Department's programs.

Table 2: Department-Wide Totals

The Department's FY15 operating budget is $56,869,542 ($51,994,550 special funds; $4,624,992 trust funds; $250,000 competitive federal grants). The Department's proposed FY16 operating budget is $73,567,267 ($70,657,593 special funds; $2,659,674 trust funds; $250,000 competitive federal grants). The Department's proposed FY17 operating budget is $74,839,210 ($71,913,481 special funds; $2,675,729 trust funds; $250,000 competitive federal grants).

Table 3: Program ID Totals

The Department's total appropriated amount for FY15 in Act 122 (SLH 2014) is $56,869,542 ($51,994,550 special funds; $4,624,992 trust funds; $250,000 competitive federal grants). The FY16 amount proposed is $73,567,267 ($70,657,593 special funds; $2,659,674 trust funds; $250,000 competitive federal grants), an increase of 29% as compared to the FY15 appropriation. The FY17 amount proposed is $74,839,210 ($71,913,481 special funds; $2,675,729 trust funds; $250,000 competitive federal grants), an increase of 32% as compared to the FY15 appropriation. A substantial portion of the increases is the result of the transfer of the Public Utilities Commission from the Department of Budget and Finance to the Department pursuant to Act 180, Session Laws of 2014.

Table 4: Budget Decisions

The Department's requests, Budget and Finance's recommendations, and the Governor's decisions are listed.

Table 5: Proposed Budget Reductions

None.

Table 6: Proposed Budget Additions

1. **Special Funds**
    - Ceiling increase for Personal Services costs (Fringe Benefits): $4,334,650 for FY 16 and $4,747,154 for FY 17.
    - Addition of $12,452,628 and 62 permanent positions for FY 16 and $12,561,793 and 62 permanent positions for FY 17 for the transfer of the Public Utilities Commission to the Department.

2. **Trust Funds**
    - CCA-104: $110,000 for claims for the Division of Financial Institutions.

Table 7: Current Year (FY14) Restrictions

None.

Table 8: Emergency Appropriation Requests

None.

Table 9: Expenditures Exceeding Appropriation Ceilings

None.

Table 10: Intradepartmental Transfer of Funds

None.

Table 11: Active Federal Awards

The active HHS grant is the Grants to Support States in Health Insurance Rate Review Grant Cycle II.

Table 12: Non-General Fund Balances (excluding Federal Funds)

Estimated unencumbered cash balances for specific funds totals ($40,990,470 compliance resolution fund; $181,970,536 hurricane reserve trust fund; $11,629,603 all other trust funds; and $4,936,392 all other special funds) and excess balance, if any, are provided.

Table 13: Vacancy Summary

Vacancy summaries on November 30, 2014 are listed by Program IDs.

Table 14: Personnel Separations

Personnel Separations for the period of July 1, 2013 to November 30, 2014 are listed by Program IDs.

Table 15: New Hires
Positions filled from July 1, 2013 to November 30, 2014 are listed by Program IDs.

Table 16: Unauthorized Positions

None.

Table 17: Overtime Expenditures

Percent of overtime expenditures incurred in FY 14 was 0.6% of base salary for special funds, and 1.1% for trust funds; FY 15 estimated overtime expenditures are 0.7% for special funds and 0.8% for trust funds; budgeted amounts for FY 16 are 1.0% of base salary for special funds and 4.0% for trust funds, and budgeted amounts for FY 17 are 1.0% and 3.9% of base salary for special funds and trust funds, respectively.

Table 18: Overtime Position List

Positions listed by Program ID provided.

Table 19: Overpayment Expenditures

None.

Table 20: Contract Costs

Listing of active contracts by Program ID and MOF is provided.

Table 21: FY 15 Appropriation Status

Appropriation statuses listed by Program ID.

Table 22: Capital Improvement Program (CIP) Requests

None.

Table 23: CIP Lapses

None.

Table 24: Division Resources

Listing of all divisions by Program ID is provided.

Table 25: Program ID Sub-Organizations

Sub-Organizations is listed by Program ID.

Table 26: Organizational Changes and Charts

The Department's organizational chart for the current year (FY15) is attached. All changes that were made are indicated.

Attached to this briefing is information presented in the tables in your prescribed format. My program administrators and I are available to answer any questions you and your committee members may have concerning our programs and the materials submitted for this presentation.

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
1	Business Registration & Securities Regulation: Ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, service marks and other business registration filings, and provide public access to this information; ensure compliance with and enforcement of securities and franchise laws; educate the public regarding aspects of investment fraud, precautions and where victims can get help, as part of securities enforcement and compliance efforts; and run business centers that offer personalized assistance to small and start-up businesses.	● Corporations, partnerships, trade names, limited liability companies, etc. Determine that all documents are filed in compliance with applicable statutory requirements. Assist the public in accessing information regarding documents that have been successfully filed with the Division, including implementing online access to public registration services and public documents. Provide personalized assistance to businesses registering with the Division.	CCA 111	Chapters 26-9(g), 414, 414D, 415A, 417E, 419, 420D, 421, 421C, 421H, 421I, 421J, 423, 424, 425, 425E, 428, 474, 482, 482E, 485A, and 519, HRS.
		● Sales of securities and franchises. Examine applications for registration of securities and franchises to determine that security or franchise offerings comply with statutory requirements; register securities, sales agents, broker-dealers, investment advisers, investment adviser representatives and franchises; examine financial reports and records of broker-dealers and investment companies; conduct investigations of reported fraud and other securities or franchise violations and prosecute violations; and conduct investor education outreach to communities throughout Hawaii.		
2	Insurance Regulatory Services: Regulatory oversight of the insurance industry.	● Examine insurers, health maintenance organizations, rating organizations, mutual and fraternal benefit societies, advisory organizations, agencies, independent claims organizations, guaranty associations.	CCA 106	Chapter 287, 386, 386A, 392, 393, 431, 431E, 431K, 431L, 431M, 431N, 431P, 432, 432C, 432D, 432E, 435C, 435E, 448D, 481R, 481X and 488, HRS.
		● Investigate complaints of alleged violations of insurance statutes and rules and other consumer requests for assistance.		
		● Conduct qualification examinations and license insurers, health maintenance organizations and all categories of producers to transact insurance.		
		● Approve/disapprove policies and rate filings.		
		● License, examine and regulate captive insurance companies and develope the captive industry in Hawaii.		
		● Review insurance entities' financial, operating and tax statements.		
		● Regulatory surveillance for market conduct and financial condition of all insurers, health maintenance organizations, and mutual and fraternal benefit societies for compliance with insurance laws.		
		● Investigate of violations and enforce motor vehicle claims fraud law.		
		● Administer the Hawaii Joint Underwriting Plan, including the development and promulgation of motor vehicle insurance rates.		
		● Implement Federal Health Care reforms relating to Health Care Exchanges and Premium Rate Review.		

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
3	Office of Consumer Protection: Maintain Complaint Database; Investigate Complaints; Prosecute Legal Actions; Legislation; Consumer Education; Landlord-Tenant Hotline.	● Investigation of Complaints. Receive all complaints on a statewide basis: walk-ins, mail and telephone; evaluate complaints in relation to investigation threshold criteria; for cases meeting threshold requirements, determine all facts of complaint; determine from facts whether or not there is a violation of any consumer protection law, refer cases which, on their face, fall within the jurisdiction of another agency, and inform complainant of findings of fact and course of action to be taken, if any, by this office or another governmental agency; coordinate fact finding functions with other governmental agencies; monitor advertisements; subpoena witnesses for investigation of facts.	CCA 110	Chapters 209, 290, 436M, 437, 437D, 446, 446E, 457G, 476, 477, 477E, 480, 480D, 480E, 480F, 481A, 481B, 481C, 481D, 481F, 481H, 481K, 481L, 481M, 481P, 486N, 487, 487A, 487J, 487N, 487R, 506, and 521, HRS.
		● Prosecution. Determine adequacy of evidence to prove violation prior to filing a complaint in court; negotiate Assurance of Voluntary Compliance or Consent Judgment prior to the filing of a complaint in court; take court action against entities that violate consumer protection law; obtain injunction, civil penalty and restitution for consumers in court actions and settlement of cases.		
		● Landlord-Tenant Cases. Provide Landlord-Tenant code information to callers.		
		● Legislation. Promulgate rules and regulations to protect consumers; draft consumer protection legislation for the Department; recommend consumer protection legislation to the Legislature; and offer testimony on other consumer protection legislation.		
4	Consumer Advocate for Comm, Util & Tran Svcs: Ensure the provision of reliable regulated service at reasonable cost to ratepayers, and educate consumers regarding aspects of public utility regulation.	● Advocate positions on behalf of consumers of utility and transportation services before the State of Hawaii Public Utilities Commission ("PUC"), the Federal Communications Commission ("FCC"), and other federal, state and local regulatory agencies. Positions are developed and advocated concerning: (a) Applications for authority to offer new services; (b) Rate and fare proposals; (c) Revenue and earnings requirements of regulated utilities; (d) Financing of equipment, plant, and other facilities, (e) Review of capital improvement projects; (f) Transfers, extensions, and cancellations of permits, franchises, and certificates; (g) Regulations governing regulated industries; (h) Formulation of policies and long range planning for telecommunications and energy utilities; (i) service quality; and (j) agreements and contracts between a regulated company and suppliers and/or other parties.	CCA 103	Sections 269-51 through 269-55, HRS.
		● Compliance investigations are conducted regarding the adequacy and efficiency of utility services.		

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
		● Education of consumers relating to utility regulation and consumer rights through web site, newsletters, sponsored events, and attendance at trade-shows, fairs, business and community meetings, etc.		
		● Advance and advocate clean energy and broadband initiative goals.		
5	Financial Services Regulation: Supervise and regulate financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators, and mortgage loan originator companies	● License, examine and supervise state-chartered and licensed banks, trust companies, savings banks, savings and loan associations, financial services loan companies, credit unions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators and mortgage loan originator companies.	CCA 104	Chapters 412, 449, 454F, 454M, 489D, HRS.
6	Professional & Vocational Licensing: Receive and review applications for professional and vocational licenses, condominium, real estate subdivision and time share projects; prepare & analyze legislative proposals to amend professional and vocational licensing laws; and update and evaluate examinations.	● License and regulate 48 different professions and vocations. Provide administrative support to 25 regulatory licensing boards and, on behalf of the director of the department, administer 23 regulatory licensing programs.	CCA 105	Chapters 373, 436B, 436E, 436H, 437, 437B, 438, 439, 440, 440E, 441, 442, 443B, 444, 447, 448, 448E, 448F, 448H, 451A, 451D, 451J, 452, 453, 453D, 455, 457, 457A, 457B, 457G, 458, 459, 460, 460J, 461, 461J, 462A, 463, 463E, 464,
		● The trust funds are dedicated to specific statutory purposes to educate the licensees who are the contributors to the fund, serve as a source of monetary recovery for injured consumers, or support increased government service demands by licensees. One fund is federally mandated to collect fees on its behalf, with revenues going to the federal agency.		
7	Regulated Industries Complaints Office: Investigation and prosecution of possible (professional and vocational) licensing law violations.	● Receive complaints, conduct investigations, and prosecute cases alleging professional and vocational licensing law violations. Also prosecutes unlicensed activity cases.	CCA 112	Section 26-9(h), HRS.
		● Provides educational information to consumers and industry members; provides real time liceninsing, business registration, and complaint history information to the public.		
		● Administers the State Certified Arbitration Program (lemon law program).		
8	Cable Television: Compliance with Hawaii's cable television law and rules.	● Ensure quality service for cable television subscribers by issuing new franchises, franchise renewals and transfers of franchises.	CCA 102	Chapter 440G, HRS.
		● Ensure expansion and enhancement of the Institutional Network (INET)		
		● Identify new and improved services, future community needs and interests and enhancing technologies.(i.e., DBS, broadband, etc)		

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
		● Oversee technical inspections and establish reporting requirements.		
		● Award PEG contracts and provide support for PEG access.		
		● Establish a work group to develop procedures for streamlined permitting functions applicable to the development of broadband services or technology.		
		● Implement activities to promote ubiquitous access to high speed broadband at affordable prices throughout the State.		
		● Develop plans and recommend legislation to expand and accelerate deployment of broadband infrastructure; support public-private efforts to develop broadband infrastructure; work with government agencies, broadband providers, and other stakeholders to advance the provision of broadband services to un-served and under-served areas in the State.		
9	Hawaii Post-Secondary Education Authorization Program: Authorization of accredited, degree-granting, post-secondary educational institutions in Hawaii and review and processing of student complaints against authorized educational institutions.	● Review applications from post-secondary educational institutions, issue authorizations and subsequent reauthorizations on a biennial basis.	CCA 107	Chapter 305J, HRS.
		● Provide information to post-secondary educational institutions seeking authorization or clarification of the law and provide information to students regarding the complaints process.		
		● Review complaints, investigate and initiate enforcement action as appropriate.		
10	General Support: Administration of the Department; conducts administrative hearings for the Department, administers the Medical Inquiry and Conciliation Panel, and the Design Claims Conciliation Panel; administration of the Mortgage Dispute Resolution Program; and assists in information technology needs of the Department.	● Provides: (1) general policy and administrative leadership, supervision and coordination of the various programs of the Department; (2) assistance in the direction and supervision of operating divisions; and (3) centralized personnel management and organizational analysis support services to operating divisions.	CCA 191	Section 26-9, HRS.
		● Provides: (1) centralized budgeting and accounting services; (2) centralized clerical services; (3) building management; and (4) other administrative support services.		
		● Conducts contested case hearings for all divisions within DCCA that are required by law to provide contested case hearings under the provisions of HRS Chapter 91.		
		● Conducts contested case hearings under Chapter 103D, HRS (procurement law).		
		● Conducts contested case hearings for the Department of Education relating to the Individuals With Disabilities Education Act.		

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
		● Convenes panels of qualified attorneys and medical professionals to review inquiries regarding health care pracitioners under the provisions of HRS Chapter 671.		
		● Convenes panels of qualified attorneys and design professionals to review claims against design professionals under the provisions of HRS Chapter 672		
		● Conducts contested case hearings for the Employees' Retirement System of the State of Hawaii regarding disputes concerning benefits under the provisions of HAR Title 6, Chapters 20 and 23.		
		● Administers program providing the services of neutral parties in effort to resolve non-judicial foreclosures against properties of owner-occupants under the Mortgage Dispute Resolution Program established by Act 48 of the 2011 Legislature.		
		● Provides all divisions of DCCA with: (1) information technology hardware, software and custom programming support; (2) DCCA website support, (3) telephone, and other communication services support.		
11	Public Utilities Commission (transferred to DCCA from B&F as an attached agency pursuant to Act108/14): Ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate of return.	● Establish rules and regulations pertaining to service standards.	CCA 901	Section 269-33, HRS
		● Render decisions on rates, fares, and charges.		
		● Process applications, issue certificates to regulated companies, and conduct investigations and audits of regulated companies.		
		● Conduct investigations of complaints filed against public utilities, other regulated entities, and companies utilizing services under the Commission's jurisdiction.		
		● Maintain the fiscal integrity of the Public Utilities Commission Special Fund.		

Department of Commerce and Consumer Affairs
Department-Wide Totals

Table 2

Fiscal Year 2015				
Act 122/14 Appropriation	Restriction	Emergency Appopriations	Total FY15	MOF
$ 51,994,550.00			$ 51,994,550.00	B
$ 250,000.00			$ 250,000.00	P
$ 4,624,992.00			$ 4,624,992.00	T
			$ -	
			$ -	
$ 56,869,542.00	$ -	$ -	$ 56,869,542.00	Total
Fiscal Year 2016				
Act 122/14 Appropriation	Reductions	Additions	Total FY16	MOF
$ 51,994,550.00	$ (503,832.00)	$ 19,166,875.00	$ 70,657,593.00	B
$ 250,000.00			$ 250,000.00	P
$ 4,624,992.00	$ (2,110,000.00)	$ 144,682.00	$ 2,659,674.00	T
			$ -	
			$ -	
$ 56,869,542.00	$ (2,613,832.00)	$ 19,311,557.00	$ 73,567,267.00	Total
Fiscal Year 2017				
Act 122/14 Appropriation	Reductions	Additions	Total FY17	MOF
$ 51,994,550.00	$ (503,832.00)	$ 20,422,763.00	$ 71,913,481.00	B
$ 250,000.00			$ 250,000.00	P
$ 4,624,992.00	$ (2,110,000.00)	$ 160,737.00	$ 2,675,729.00	T
			$ -	
			$ -	
$ 56,869,542.00	$ (2,613,832.00)	$ 20,583,500.00	$ 74,839,210.00	Total

Department of Commerce and Consumer Affairs
Program ID Totals

			As budgeted by Act 122/14 (FY15)			Governor's Submittal (FY16)				Governor's Submittal (FY17)			
Prog ID	Program Title	MOF	Pos (P)	Pos (T)	$$$	Pos (P)	Pos (T)	$$$	Percent Change of $$$$	Pos (P)	Pos (T)	$$$	Percent Change of $$$$
CCA102	Cable Television	B	8.00	-	$ 2,391,537	8.00	-	$ 2,534,951	6%	8.00	-	$ 2,559,971	7%
CCA102	Cable Television	T	-	-	$ 2,000,000	-	-	$ -	-100%	-	-	$ -	-100%
CCA103	Consumer Advocate for Comm, Util, & Tran Svc	B	24.00	-	$ 3,830,936	24.00	-	$ 4,159,141	9%	24.00	-	$ 4,241,213	11%
CCA104	Financial Services Regulation	B	34.00	-	$ 3,384,920	34.00	-	$ 3,832,746	13%	34.00	-	$ 3,954,912	17%
CCA104	Financial Services Regulation	T	-	-	$ 220,000	-	-	$ 220,000	0%	-	-	$ 220,000	0%
CCA105	Professional & Vocational Licensing	B	54.00	12.00	$ 6,040,488	54.00	12.00	$ 6,341,895	5%	54.00	12.00	$ 6,444,103	7%
CCA105	Professional & Vocational Licensing	T	8.00	3.00	$ 2,104,311	8.00	3.00	$ 2,138,993	2%	8.00	3.00	$ 2,155,048	2%
CCA106	Insurance Regulatory Services	B	92.00	2.00	$ 14,831,140	92.00	2.00	$ 16,157,720	9%	92.00	2.00	$ 16,444,182	11%
CCA106	Insurance Regulatory Services	P	-	4.00	$ 250,000	-	4.00	$ 250,000	0%	-	4.00	$ 250,000	0%
CCA106	Insurance Regulatory Services	T	-	-	$ 200,000	-	-	$ 200,000	0%	-	-	$ 200,000	0%
CCA 107	Hawaii Post-Secondary Education Authorization Program	B	2.00	-	$ 263,796	2.00	-	$ 282,233	7%	2.00	-	$ 288,611	9%
CCA 110	Office of Consumer Protection	B	17.00	1.00	$ 1,784,652	17.00	1.00	$ 2,025,447	13%	17.00	1.00	$ 2,079,294	17%
CCA 110	Office of Consumer Protection	T	-	-	$ 100,681	-	-	$ 100,681	0%	-	-	$ 100,681	0%
CCA 111	Business Registration and Securities Regulation	B	71.00	8.00	$ 6,649,240	71.00	8.00	$ 7,317,621	10%	71.00	8.00	$ 7,414,132	12%
CCA 112	Regulated Industries Complaints Office	B	66.00	1.00	$ 5,631,030	66.00	1.00	$ 6,442,820	14%	66.00	1.00	$ 6,631,429	18%
CCA 191	General Support	B	44.00	8.00	$ 7,186,811	44.00	8.00	$ 7,766,885	8%	44.00	8.00	$ 7,893,569	10%
CCA 901 *	Public Utilities Commission (PUC)	B	-	-	$ -	62.00	-	$ 13,796,134	#DIV/0!	62.00	-	$ 13,962,065	#DIV/0!
	Special Fund Total	B	412.00	32.00	$ 51,994,550	474.00	32.00	$ 70,657,593	36%	474.00	32.00	$ 71,913,481	38%
	Trust Fund Total	T	8.00	3.00	$ 4,624,992	8.00	3.00	$ 2,659,674	-42%	8.00	3.00	$ 2,675,729	-42%
	Other Federal Fund Total	P	-	4.00	$ 250,000	-	4.00	$ 250,000	0%	-	4.00	$ 250,000	0%
	TOTAL		420.00	39.00	$ 56,869,542	482.00	39.00	$ 73,567,267	29%	482.00	39.00	$ 74,839,210	32%

* PUC transferred to DCCA from B&F as an attached agency pursuant to Act 108//14; program ID established effective FB2015-17.

				Initial Department Request FY16				Initial Department Request FY17			Budget & Finance Recommendation FY16			Budget & Finance Recommendation FY17			Governor's Decision FY16			Governor's Decision FY17		
Prog ID	Sub-Org	Description of Request	MOF	Pos (P)	Pos (T)	$$$	MOF	Pos (P)	Pos (T)	$$$	Pos (P)	Pos (T)	$$$	Pos (P)	Pos (T)	$$$	Pos (P)	Pos (T)	$$$	Pos (P)	Pos (T)	$$$
TRADE-OFF/TRANSFER REQUESTS:																						
CCA 106	EA	Transfer position from S-313 to S-317; no change in ceiling	B	-	-	$ -	B	-	-	$ -	-	-	$ -	-	-	$ -	-	-	$ -	-	-	$ -
NON-GENERAL FUND ADJUSTMENT REQUESTS:																						
CCA 102	FA	Increase ceiling for fringe benefits	B	-	-	$ 92,410	B	-	-	$ 101,693	-	-	$ 92,410	-	-	$ 101,693	-	-	$ 92,410	-	-	$ 101,693
CCA 103	HA	Increase ceiling for fringe benefits	B	-	-	$ 216,434	B	-	-	$ 264,020	-	-	$ 216,434	-	-	$ 264,020	-	-	$ 216,434	-	-	$ 264,020
CCA 104	BA	Increase ceiling for fringe benefits	B	-	-	$ 237,331	B	-	-	$ 294,549	-	-	$ 237,331	-	-	$ 294,549	-	-	$ 237,331	-	-	$ 294,549
CCA 105	GA	Increase ceiling for fringe benefits	B	-	-	$ 369,436	B	-	-	$ 369,215	-	-	$ 369,436	-	-	$ 369,215	-	-	$ 369,436	-	-	$ 369,215
CCA106	EA	Increase ceiling for fringe benefits	B	-	-	$ 691,201	B	-	-	$ 793,495	-	-	$ 691,201	-	-	$ 793,495	-	-	$ 691,201	-	-	$ 793,495
CCA106	EA	Increase ceiling for fringe benefits	B	-	-	$ 121,104	B	-	-	$ 146,594	-	-	$ 121,104	-	-	$ 146,594	-	-	$ 121,104	-	-	$ 146,594
CCA 107	IA	Increase ceiling for fringe benefits	B	-	-	$ 47,269	B	-	-	$ 53,647	-	-	$ 47,269	-	-	$ 53,647	-	-	$ 47,269	-	-	$ 53,647
CCA 110	DA	Increase ceiling for fringe benefits	B	-	-	$ 124,310	B	-	-	$ 142,216	-	-	$ 124,310	-	-	$ 142,216	-	-	$ 124,310	-	-	$ 142,216
CCA 111	CA	Increase ceiling for fringe benefits	B	-	-	$ 347,288	B	-	-	$ 344,727	-	-	$ 347,288	-	-	$ 344,727	-	-	$ 347,288	-	-	$ 344,727
CCA 112	AB	Increase ceiling for fringe benefits	B	-	-	$ 434,750	B	-	-	$ 507,024	-	-	$ 434,750	-	-	$ 507,024	-	-	$ 434,750	-	-	$ 507,024
CCA 191	AA	Increase ceiling for fringe benefits	B	-	-	$ 77,301	B	-	-	$ 72,360	-	-	$ 77,301	-	-	$ 72,360	-	-	$ 77,301	-	-	$ 72,360
CCA 191	AH	Increase ceiling for fringe benefits	B	-	-	$ 61,688	B	-	-	$ 64,314	-	-	$ 61,688	-	-	$ 64,314	-	-	$ 61,688	-	-	$ 64,314
CCA 191	AI	Increase ceiling for fringe benefits	B	-	-	$ 170,622	B	-	-	$ 193,028	-	-	$ 170,622	-	-	$ 193,028	-	-	$ 170,622	-	-	$ 193,028
CCA 901	MA	Increase ceiling for fringe benefits	B	-	-	$ 1,343,506	B	-	-	$ 1,400,272	-	-	$ 1,343,506	-	-	$ 1,400,272	-	-	$ 1,343,506	-	-	$ 1,400,272
CCA 901	MA	Transfer of PUC to DCCA	B	62.00	-	$ 12,452,628	B	62.00	-	$ 12,561,793	62.00	-	$ 12,452,628	62.00	-	$ 12,561,793	62.00	-	$ 12,452,628	62.00	-	$ 12,561,793
NON-RECURRING ITEMS:																						
CCA 104	BA	Increase ceiling for for claims payments (Mortgage Loan Recovery Fund) .	T	-	-	$ 110,000	T	-	-	$ 110,000	-	-	$ 110,000	-	-	$ 110,000			$ 110,000			$ 110,000
ADDITIONAL RESOURCES:																						
CCA 110	DA	Add 1 permanent position and ceiling	B	1.00	-	$ 31,067	B	1.00	-	$ 56,694												
CCA 104	BA	Add 5 permanent positions and ceiling	B	5.00	-	$ 258,211	B	5.00	-	$ 516,940												
CCA 112	AB	Increase ceiling for IT system replacement	B	-	-	$ 540,000	B	-	-	$ 445,000												
CCA 105	GA	upgrade	B	-	-	$ 585,000	B	-	-	$ 85,000												
CCA 901	MA	Increase ceiling for renovation-PUC	B	-	-	$ 3,562,861	B	-	-	$ 731,721												
CCA 901	MA	Increase ceiling for administrative assessment-PUC	B	-	-	$ 606,175	B	-	-	$ 604,889												
CCA 901	MA	Add 3 permanent positions and ceiling-PUC	B	3.00	-	$ 316,495	B	3.00	-	$ 316,495												
CCA-191	AA	Increase ceiling for DAGS building maintenance and support services	B	-	-	$ 135,196	B	-	-	$ 174,737												
CCA 105	GA	Convert 2 positions to civil service	B	2.00	(2.00)	$ -	B	2.00	(2.00)	$ -												
CCA 110	DA	Convert 1 position to civil service	B	1.00	(1.00)	$ -	B	1.00	(1.00)	$ -												
CCA 106	EA	Increase ceiling for fund transfer (Drivers Education Special Fund)	B	-	-	$ 200,000	B	-	-	$ 200,000												
CCA 191	AH	Convert 1 position to civil service	B	1.00	(1.00)	$ -	B	1.00	(1.00)	$ -												

Department of Commerce and Consumer Affairs
Proposed Budget Reductions

				FY16			FY17			FY15
Sub-Org	Description of Reduction	Impact of Reduction	MOF	Pos (P)	Pos (T)	$$$	Pos (P)	Pos (T)	$$$	Restriction (Y/N)
FA	Non-recurring reduction: One-time transfer to DLIR to fund GIA grant	No impact	T			$ 2,000,000			$ 2,000,000	N
BA	Non-recurring reduction: Mortgage Loan Recovery Fund for claims and expenses	Impact on speed of delivery of compensation for damages.	T			$ 110,000			$ 110,000	N
GA	Non-recurring reduction: IT project	Impact on completion of IT project - ALIAS (licensing system upgrade)	B			$ 400,000			$ 400,000	N
IA	Non-recurring reduction: Program start up costs	No impact	B			$ 28,832			$ 28,832	N
AI	Non-recurring reduction: Other current expenses and equipment	No impact	B			$ 75,000			$ 75,000	N

								FY16			FY17		
Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	Pos (P)	Pos (T)	$$$	Pos (P)	Pos (T)	$$$
Adjustments to Act 122/14 for base budget:													
					Collective bargaining adjustment to base	Negotiated settlements	B	-	-	$ 2,379,597	-	-	$ 3,113,816
					Collective bargaining adjustment to base	Negotiated settlements	T	-	-	$ 34,682	-	-	$ 50,737
					Total adjustments to base					**$ 2,414,279**			**$ 3,164,553**
Proposed additions to base budget, FB2015-17:													
CCA 102	FA	NG	1	1	Increase ceiling for Personal Services cost (fringe benefits).	Pursuant to Finance Memorandum No. 14-12, the Comprehensive Fringe Benefit assessment rate increases from 42.04% to 52%. The Department requires the requested budget increase to effectively fund all authorized positons.	B	-	-	$ 92,410	-	-	$ 101,693
CCA 103	HA	NG	1	1	Increase ceiling for Personal Services cost (fringe benefits).	Pursuant to Finance Memorandum No. 14-12, the Comprehensive Fringe Benefit assessment rate increases from 42.04% to 52%. The Department requires the requested budget increase to effectively fund all authorized positons.	B	-	-	$ 216,434	-	-	$ 264,020
CCA 104	BA	NG	1	1	Increase ceiling for Personal Services cost (fringe benefits).	Pursuant to Finance Memorandum No. 14-12, the Comprehensive Fringe Benefit assessment rate increases from 42.04% to 52%. The Department requires the requested budget increase to effectively fund all authorized positons.	B	-	-	$ 237,331	-	-	$ 294,549
CCA 105	GA	NG	1	1	Increase ceiling for Personal Services cost (fringe benefits).	Pursuant to Finance Memorandum No. 14-12, the Comprehensive Fringe Benefit assessment rate increases from 42.04% to 52%. The Department requires the requested budget increase to effectively fund all authorized positons.	B	-	-	$ 369,436	-	-	$ 369,215
CCA 106	EA	NG	1	1	Increase ceiling for Personal Services cost (fringe benefits).	Pursuant to Finance Memorandum No. 14-12, the Comprehensive Fringe Benefit assessment rate increases from 42.04% to 52%. The Department requires the requested budget increase to effectively fund all authorized positons.	B	-	-	$ 691,201	-	-	$ 793,495
CCA 106	EA	NG	1	1	Increase ceiling for Personal Services cost (fringe benefits).	Pursuant to Finance Memorandum No. 14-12, the Comprehensive Fringe Benefit assessment rate increases from 42.04% to 52%. The Department requires the requested budget increase to effectively fund all authorized positons.	B	-	-	$ 121,104	-	-	$ 146,594
CCA 107	IA	NG	1	1	Increase ceiling for Personal Services cost (fringe benefits).	Pursuant to Finance Memorandum No. 14-12, the Comprehensive Fringe Benefit assessment rate increases from 42.04% to 52%. The Department requires the requested budget increase to effectively fund all authorized positons.	B	-	-	$ 47,269	-	-	$ 53,647
CCA 110	DA	NG	1	1	Increase ceiling for Personal Services cost (fringe benefits).	Pursuant to Finance Memorandum No. 14-12, the Comprehensive Fringe Benefit assessment rate increases from 42.04% to 52%. The Department requires the requested budget increase to effectively fund all authorized positons.	B	-	-	$ 124,310	-	-	$ 142,216

Department of Commerce and Consumer Affairs
Proposed Budget Additions

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	Pos (P)	Pos (T)	$$$	Pos (P)	Pos (T)	$$$
CCA 111	CA	NG	1	1	Increase ceiling for Personal Services cost (fringe benefits).	Pursuant to Finance Memorandum No. 14-12, the Comprehensive Fringe Benefit assessment rate increases from 42.04% to 52%. The Department requires the requested budget increase to effectively fund all authorized positons.	B	-	-	$ 347,288	-	-	$ 344,727
CCA 112	AB	NG	1	1	Increase ceiling for Personal Services cost (fringe benefits).	Pursuant to Finance Memorandum No. 14-12, the Comprehensive Fringe Benefit assessment rate increases from 42.04% to 52%. The Department requires the requested budget increase to effectively fund all authorized positons.	B	-	-	$ 434,750	-	-	$ 507,024
CCA 191	AA	NG	1	1	Increase ceiling for Personal Services cost (fringe benefits).	Pursuant to Finance Memorandum No. 14-12, the Comprehensive Fringe Benefit assessment rate increases from 42.04% to 52%. The Department requires the requested budget increase to effectively fund all authorized positons.	B	-	-	$ 77,301	-	-	$ 72,360
CCA 191	AH	NG	1	1	Increase ceiling for Personal Services cost (fringe benefits).	Pursuant to Finance Memorandum No. 14-12, the Comprehensive Fringe Benefit assessment rate increases from 42.04% to 52%. The Department requires the requested budget increase to effectively fund all authorized positons.	B	-	-	$ 61,688	-	-	$ 64,314
CCA 191	AI	NG	1	1	Increase ceiling for Personal Services cost (fringe benefits).	Pursuant to Finance Memorandum No. 14-12, the Comprehensive Fringe Benefit assessment rate increases from 42.04% to 52%. The Department requires the requested budget increase to effectively fund all authorized positons.	B	-	-	$ 170,622	-	-	$ 193,028
CCA 901	MA	NG	1	2	Transfer of PUC to DCCA	Pursuant to Act 108/SLH 2014, the PUC will be transferred from the Department of Budget and Finance to the DCCA effective July 1, 2015. This request will transfer all authorized positions, operational budgeted expenses, and amounts for collective bargaining (CB) and Salary Commission increases from BUF 901/MA to CCA 901/MA.	B	62.00	-	$ 12,452,628	62.00	-	$ 12,561,793
CCA 901	MA	NG	2	3	Increase ceiling for Personal Services cost (fringe benefits).	Pursuant to Finance Memorandum No. 14-12, the Comprehensive Fringe Benefit assessment rate increases from 42.04% to 52%. The Department requires the requested budget increase to effectively fund all authorized positons.	B	-	-	$ 1,343,506	-	-	$ 1,400,272

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	Pos (P)	Pos (T)	$$$	Pos (P)	Pos (T)	$$$
CCA 104	BA	NR	2	4	Increase Mortgage Loan Recovery Fund for other current expenses for the Division of Financial Institutions	Purpose of this request is to make permanent the increase in the appropriation for the Mortgage Loan Recovery Fund ("Fund") established by HRS Chapter 454F in order to pay claims against the Fund as may be ordered by the courts and to pay any expenses related to the administration of the Fund. Although no expenditures for claims or expenses have been made since the Fund was established in 2010, the Division of Financial Institutions ("DFI") is currently aware of potential claims against two licensees that could require the payment of the maximum $100,00 per licensee. Under the current appropriation ceiling, claimants would have to be paid over two years.	T	-	-	$ 110,000	-	-	$ 110,000

Department of Commerce and Consumer Affairs
FY15 Restrictions

Prog ID	Sub-Org	MOF	Budgeted by Dept	Restriction	Difference Between Budgeted & Restricted	Percent Difference	Impact
NONE							

Department of Commerce and Consumer Affairs
Emergency Appropriation Requests

Prog ID	Description of Request	Explaination of Request	MOF	Pos (P)	Pos (T)	$$$
NONE						

Table 9

Department of Commerce and Consumer Affairs
Expenditures Exceeding Appropriation Ceilings in FY14 and FY15

Prog ID	MOF	Date	Appropriation	Amount Exceeding Appropriation	Percent Exceeded	Reason for Exceeding Ceiling	Legal Authority	Recurring (Y/N)	GF Impact (Y/N)
NONE									

Department of Commerce and Consumer Affairs
Intradepartmental Transfers in FY14 and FY15

Actual or Anticipated Date of Transfer	MOF	Pos (P)	Pos (T)	$$$	From Prog ID	Percent of Program ID Appropriation Transferred From	To Prog ID	Percent of Receiving Program ID Appropriation	Reason for Transfer	Recurring (Y/N)
NONE										

State Expending Agency	Program ID	Award Number	CFDA Number	Award Description	Awarding Federal Agency	Award Amount	Award Amount Allocated to the Pgm ID in Column B	State Appropriation Symbol	State Fund Match (If Any)	Contact Name	Contact Phone	Contact Email
R	CCA-106	PRPPR120029-01-00	93.511	Grants to Support States in Health Insurance Rate Review Grant Cycle II	Department of Health and Human Services	$3,000,000	$3,000,000	S-14-205	none	Lloyd Lim	586-2790	llim@dcca.hawaii.gov

Name of Fund	Purpose	Statutory Reference	MOF	Beginning FY15 Unencumbered Cash Balance	Estimated FY15 Revenues	Estimated FY15 Expenditures and Encumbrances	Estimated FY15 Net Transfers	Estimated FY15 Ending Unencumbered Cash Balance	Balance in Excess of Program Needs
Captive Insurance Administrative Fund	Funds expended by the Insurance Commissioner to carry out his/her duties and obligations under article 19 of chapter 431, HRS.	Section 431:19-101.8, HRS, Act 261, 1997 SLH; Act 131, SLH 1999; Act 1, 2005 Special Session; Act 213, SLH 2007; Act 158 SLH 2008	B	$ 4,622,711	$ 2,200,000	$ 2,558,489		$ 4,388,231	$ -
Compliance Resolution Fund	The CRF, in existence since July 1, 1996, evolved into the primary funding source for the various DCCA programs as the department moved its operations away from support by general tax revenues to funding by fees and charges generated by its various programs. Pursuant to section 26-9(o), Hawaii Revised Statutes, fees shall be assessed and deposited into the CRF for the issuance of a license, permit, certificate, or registration, subsequent renewals, together with all other fines, income, and penalties collected or reimbursement of costs or attorneys' fees assessed as a result of actions brought by the department. The director may use the moneys in the fund to employ and train hearings officers, investigators, attorneys, accountants, and other necessary personnel for CRF funded operations, and the fund shall defray all other administrative costs, including costs of operating the supporting offices of DCCA. Any other activity related to compliance resolution may also be funded by the CRF.	Section 26-9(o), 269-33, 412:2-109, 431:2-215, 431:2-216, 449-14, 454F-18, 454F-23, 454M-11, 487-2, 489D-12.5, & Chapter 440G, HRS	B	$ 46,674,303	$ 39,052,420	$ 43,256,177		$ 40,990,470	$ -
Driver Education Fund Underwriter's Fee	Provide drivers training and education.	Section 431:10C-115, HRS; Section 431:10G-107, HRS	B	$ 227,292	$ 3,000,000		$ (2,900,000)	$ 327,292	$ -

Name of Fund	Purpose	Statutory Reference	MOF	Beginning FY15 Unencumbered Cash Balance	Estimated FY15 Revenues	Estimated FY15 Expenditures and Encumbrances	Estimated FY15 Net Transfers	Estimated FY15 Ending Unencumbered Cash Balance	Balance in Excess of Program Needs
Mortgage Foreclosure Dispute Resolution Special Fund	To give a mortgagor the power to require a foreclosing mortgagee to engage in dispute resolution with the mortgagor prior to a nonjudicial mortgage foreclosure in an effort to avoid foreclosure or mitigate damages if foreclosure is unavoidable.	Act 48, SLH 2011, Section 39	B	$ 238,088	$ 37,905	$ 6,000	$ (49,221)	$ 220,869	$ -
		Subtotal "B" Funds		$ 51,762,394	$ 44,290,325	$ 45,820,666	$ (2,949,221)	$ 45,926,862	$ -
Captive Insurance Companies Loc Escrow	The fund shall be used to maintain cash drawn from Letters of Credit (LOC) issued on behalf of captive insurance licensees and in favor of the Insurance Commissioner.	Section 431:19-104(b), HRS	T	$ 514	$ -	$ -	$ -	$ 514	$ -
Commissioner's Education & Training Fund	This fund is used for the education and training of Insurance Division staff and personnel, and to pay for the cost of consumer education and information.	Section 431:2-214, HRS; Act 348, SLH 1987	T	$ 1,070,954	$ 155,000	$ 200,000		$ 1,034,596	$ -
Condominium Education Trust Fund	514B-71, HRS, the Condominium Education Trust Fund is intended to finance or promote: "(1) Education and research in the field of condominium management, condominium registration, and real estate for the benefit of the public and those required to be registered under this chapter; (2) The improvement and more efficient administration of condominium associations; and (3) Expeditious and inexpensive procedures for resolving condominium association disputes."	Sections 514A-40(c), 131, 132, 133, HRS	T	$ 762,476	$ 904,000	$ 390,000		$ 1,299,062	$ -
Contract Service Providers	The fund will hold financial security deposits placed in trust with the Commissioner by service contract providers for all service contracts issued and in force in this State.	Section 481X-4, HRS	T	$ 41,560	$ -	$ -	$ -	$ 41,560	$ -
Contractors Education Fund	To be used for consumers, licensees, Contractors License Board members and staff; to be used for publications, media exposure, participation in national association meetings, classes and other educational purpose the Board deems necessary.	Section 444-29, HRS	T	$ 462,158	$ 80,000	$ 16,968		$ 525,190	$ -

Name of Fund	Purpose	Statutory Reference	MOF	Beginning FY15 Unencumbered Cash Balance	Estimated FY15 Revenues	Estimated FY15 Expenditures and Encumbrances	Estimated FY15 Net Transfers	Estimated FY15 Ending Unencumbered Cash Balance	Balance in Excess of Program Needs
Contractors Recovery Fund	Provide fund from which a person injured by an act, representation, transaction, or conduct of a duly licensed contractor may recover by an order of the court, an amount of not more than $12,500 per contract and $25,000 per contractor.	Section 444-26, HRS	T	$ 746,358	$ 200,000	$ 200,000		$ 746,358	$ -
Hurricane Reserve Trust Fund	Provide hurricane insurance in the event that such coverage is unavailable in the private insurance market.	Chapter 431P HRS	T	$ 126,645,536	$ 2,500,000	$ 175,000	$ 53,000,000	$ 181,970,536	$ -
Medical Malpractice Patients' Comp Fund	In 1976 this fund was established to provide doctors medical malpractice insurance for coverage in excess of their underlying policy from a commercial insurer.	Act 232, SLH 1984; Act 219, SLH 1976	T	$ 9,287	$ -	$ 9,287		$ -	$ -
Mortgage Loan Recovery Fund	To allow consumers harmed by persons that violate Chapter 454F, HRS, to recover damages sustained by the fraud, misrepresentation, or deceit of such persons.	Section 454F-41, HRS	T	$ 1,124,965	$ 307,900	$ 200,000		$ 1,232,865	$ -
Multi-State Mortgage Servicer Agreement Fund	Provide support of general consumer protection enforcement efforts, including but not limited to, mortgage rescue fraud, and to educate homeowners about mortgage rescue fraud scams. Monitor compliance with the terms of the multi-state mortgage servicer settlement agreements, supervise and regulate the mortgage loan industry, and provide consumer education.	Multi-state Mortgage Settlement Agreement/ Multi-state Mortgage Servicer Settlement Agreements	T	$ 1,223,002	$ 371,000	$ 902,470		$ 848,948	$ -
Premium Taxes Paid Pending Appeal	Premium taxes paid in protest due to denial of high tech tax credits.	Sections 431:7-202, 431:7-209, HRS	T	$ 2,265,346	$ -	$ -	$ -	$ 2,265,346	$ -

Name of Fund	Purpose	Statutory Reference	MOF	Beginning FY15 Unencumbered Cash Balance	Estimated FY15 Revenues	Estimated FY15 Expenditures and Encumbrances	Estimated FY15 Net Transfers	Estimated FY15 Ending Unencumbered Cash Balance	Balance in Excess of Program Needs
Real Estate Appraisers Registry Fee	The Legislature finds that the regulation of real estate appraisers is reasonably necessary to protect consumers. The Legislature further finds that 12 United States Code §3301 et seq. requires that real estate appraisals utilized in connection with federally related transactions be performed by individuals who are licensed or certified appraisers. The purposes of this chapter (466K, HRS) are to implement the requirements of 12 United States Code Sec §3301 et seq. and to require that all real estate appraisals be performed by licensed or certified appraisers. The requirements of this chapter do not apply to any real estate appraiser employed by any county for purposes of valuing real property for ad valorem taxation.	Chapter 466K, HRS; Title 16, Chapter 114, HAR	T	$ 6,030	$ 5,000	$ 11,030		$ -	$ -
Real Estate Education Fund	As stated in §467-19(b), HRS, the Real Estate Education Trust Fund is intended to "promote the advancement of education and research in the field of real estate for the benefit of the public and those licensed under the provisions of this chapter and the improvement and more efficient administration of the real estate industry."	Sections 467-11-19, HRS	T	$ 394,365	$ 730,000	$ 494,000		$ 723,689	$ -
Real Estate Recovery Fund	As stated in §467-16(a), HRS, the Real Estate Recovery Trust Fund is intended to provide recovery to persons "aggrieved by an act, representation, transaction, or conduct of a duly licensed real estate broker, or real estate salesperson, upon the grounds of fraud, misrepresentation, or deceit". The statute establishes limits of $25,000 per transaction and $50,000 per licensee.	Sections 467-16-19, 21-24, HRS	T	$ 700,568	$ 50,000	$ 90,000		$ 660,568	$ -
Restitution for Consumers	To hold and disburse moneys received or recovered by the Office of Consumer Protection which are due to consumers as restitution.	Section 487:14 (c), HRS	T	$ 108,056	$ 5,240	$ 100,681		$ 12,615	$ -
St Certified Motor Vehcle Arbitration Fd	Administration of the State Certified Motor Vehicle Arbitration Program (Lemon Law) created pursuant to Chapter 481I, HRS.	Chapter 481I, HRS	T	$ 124,356	$ 2,000	$ 4,000		$ 122,356	$ -

Department of Commerce and Consumer Affairs
Non-General Funds

Name of Fund	Purpose	Statutory Reference	MOF	Beginning FY15 Unencumbered Cash Balance	Estimated FY15 Revenues	Estimated FY15 Expenditures and Encumbrances	Estimated FY15 Net Transfers	Estimated FY15 Ending Unencumbered Cash Balance	Balance in Excess of Program Needs
Temp Deposits-Commerce/Consumer Affairs	For temporary deposits. Moneys deposited in this fund may be later transferred to the appropriate fund.		T	$ 1,502,974	$ 2,016,855	$ 1,412,705		$ 2,115,936	$ -
		Subtotal "T" Funds		$ 137,188,505	$ 7,326,995	$ 4,206,141	$ 53,000,000	$ 193,600,139	$ -
Funds held outside the State Treasury by Time Warner	Franchise fees over the cap amount that is paid by TWE to Olelo are provided to DCCA for INET/Broadband purposes.	Decision & Order No. 346, Section IV.I.4		$ 7,742,119	$ 1,500,000		$ 2,000,000	$ 7,242,119	$ -

Prog ID	Sub-Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Describe if Filled by other Means	Priority # to Retain
CCA 103	HA	--	04/01/15	40834	PU RATE ANALYST VII *	N	28	23	P	1.00	B	$ 62,424.00	$ 55,764.00	Y	N	--		1
CCA 104	BA	04/01/11	04/01/15	4295	FINANCIAL INST EXAMINER IV	N	27	23	P	1.00	B	$ 57,708.00	$ 78,984.00	Y	N	--		2
CCA 112	AB	10/16/13	04/01/15	102729	STAFF ATTORNEY	Y	NA	73	P	1.00	B	$ 61,104.00	$ 65,688.00	Y	N	--		3
CCA 110	DA	12/01/13	04/01/15	120363	INVESTIGATOR II	N	18	13	P	1.00	B	$ 49,332.00	$ 49,332.00	Y	Y	1		4
CCA 111	CA	06/01/11	04/01/15	35709	BUSINESS REGISTRATION ASST	N	15	03	P	1.00	B	$ 33,756.00	$ 46,176.00	Y	N	--		5
CCA 191	AI	10/16/14	04/01/15	49537	SECRETARY II	N	14	03	P	1.00	B	$ 49,932.00	$ 49,968.00	Y	Y	1		6
CCA 103	HA	--	04/01/15	28849	ENGINEER (PU) IV *	N	24	13	P	1.00	B	$ 51,312.00	$ 47,436.00	Y	N	--		7
CCA 104	BA	09/05/13	04/01/15	26271	FINANCIAL INST EXAMINER II	N	24	13	P	1.00	B	$ 57,708.00	$ 60,012.00	Y	N	--		8
CCA 112	AB	07/01/14	04/01/15	119164	RI INVESTIGATOR IV	Y	NA	13	P	1.00	B	$ 49,320.00	$ 51,288.00	Y	N	--		9
CCA 105	GA	09/03/13	04/01/15	118935	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	$ 31,212.00	$ 32,424.00	Y	N	--		10
CCA 106	EA	--	04/01/15	120468	INS PROPERTY & CASUALTY ACTU/	Y	NA	13	T	1.00	B	$ 160,000.00	--	Y	N	--		11
CCA 104	BA	09/02/14	04/01/15	118396	OFFICE ASSISTANT III	N	08	03	P	1.00	B	$ 33,756.00	$ 26,700.00	Y	Y	2		12
CCA 112	AB	--	04/01/15	120232	INVESTIGATOR IV	N	22	13	P	1.00	B	$ 45,576.00	--	Y	Y	3		13
CCA 104	BA	--	04/01/15	121528	CRF FINANCIAL INST EXAMINER II	Y	NA	13	P	1.00	B	$ 60,024.00	--	Y	N	--		14
CCA 112	AB	04/26/11	04/01/15	101312	RI INVESTIGATOR IV	Y	NA	13	P	1.00	B	$ 45,576.00	$ 45,576.00	Y	N	--		15
CCA 103	HA	02/01/11	04/01/15	106291	DCA RESEARCH ANALYST V	Y	NA	13	P	1.00	B	$ 72,996.00	$ 72,996.00	Y	N	--		16
CCA 106	EA	09/14/13	04/01/15	110317	CRF RATE & POLICY ANALYST IV	Y	NA	13	P	1.00	B	$ 53,352.00	$ 53,352.00	Y	N	--		17
CCA 104	BA	--	04/01/15	121529	CRF FINANCIAL INST EXAMINER II	Y	NA	13	P	1.00	B	$ 53,866.00	--	Y	N	--		18
CCA 105	GA	--	04/01/15	121241	SECRETARY II	N	14	03	T	1.00	B	$ 32,424.00	--	Y	N	--		19
CCA 106	EA	08/01/14	04/01/15	116592	CIAF INSURANCE EXAMINER III	Y	NA	13	P	1.00	B	$ 77,640.00	$ 80,748.00	Y	N	--		20
CCA 103	HA	--	04/01/15	21377	PU RATE ANALYST V *	N	24	13	P	1.00	B	$ 51,312.00	$ 47,436.00	Y	N	--		21
CCA 112	AB	11/13/14	04/01/15	101319	RI INVESTIGATOR IV	Y	NA	13	P	1.00	B	$ 53,352.00	$ 55,488.00	Y	N	--		22
CCA 106	EA	06/17/13	04/01/15	25147	INS RATE & POLICY ANALYST III	N	20	13	P	1.00	B	$ 55,500.00	$ 55,500.00	Y	N	--		23
CCA 112	AB	10/16/14	04/01/15	118045	RI INVESTIGATOR IV	Y	NA	13	P	1.00	B	$ 49,332.00	$ 49,308.00	Y	N	--		24
CCA 106	EA	08/01/14	04/01/15	108005	CIAF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	$ 61,152.00	$ 63,600.00	Y	N	--		25
CCA 111	CA	08/18/14	04/01/15	49520	OFFICE ASSISTANT III	N	08	03	P	1.00	B	$ 31,212.00	$ 33,720.00	Y	N	--		26
CCA 106	EA	01/07/14	04/01/15	120530	INS HEALTH PREMIUM RATE ANAL	Y	NA	13	P	1.00	B	$ 49,332.00	$ 49,332.00	Y	N	--		27
CCA 111	CA	01/01/13	04/01/15	49535	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	$ 28,836.00	$ 28,836.00	Y	N	--		28
CCA 112	AB	01/01/14	04/01/15	11266	INVESTIGATOR V	N	24	23	P	1.00	B	$ 75,960.00	$ 78,996.00	Y	N	--		29
CCA 106	EA	05/22/09	04/01/15	118637	CIAF INSURANCE PROGRAM SPECI	Y	NA	13	P	1.00	B	$ 28,836.00	$ 28,836.00	Y	N	--		30
CCA 106	EA	08/09/14	04/01/15	120528	INS HEALTH PREMIUM RATE ANAL	Y	NA	13	T	1.00	P	$ 15,625.00	$ 42,168.00	Y	N	--		31
CCA 112	AB	06/01/11	04/01/15	118461	INVESTIGATOR IV	N	22	13	P	1.00	B	$ 45,576.00	$ 45,576.00	Y	N	--		32
CCA 106	EA	--	04/01/15	121444	CIAF INSURANCE EXAMINER I	Y	NA	13	P	1.00	B	$ 60,000.00	--	Y	N	--		33
CCA 111	CA	02/04/09	04/01/15	49514	SECURITIES EXAMINER III	N	20	13	P	1.00	B	$ 42,132.00	$ 42,132.00	N	N	--		34
CCA 112	AB	09/16/11	04/01/15	118464	INVESTIGATOR IV	N	22	13	P	1.00	B	$ 45,576.00	$ 57,024.00	N	N	--		35
CCA 111	CA	10/01/13	04/01/15	32897	BUSINESS REGISTRATION ASST	N	15	03	P	1.00	B	$ 48,048.00	$ 49,932.00	N	N	--		36
CCA 105	GA	02/08/14	04/01/15	120701	OFFICE ASSISTANT IV	N	10	03	T	1.00	B	$ 32,424.00	$ 27,756.00	N	N	--		37
CCA 103	HA	11/01/13	04/01/15	15984	PU RATE ANALYST V	N	24	13	P	1.00	B	$ 51,312.00	$ 53,364.00	N	N	--		38
CCA 111	CA	12/01/11	04/01/15	29154	BUSINESS REGISTRATION ASST	N	15	03	P	1.00	B	$ 33,756.00	$ 49,344.00	N	N	--		39
CCA 105	GA	08/01/13	04/01/15	11250	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	$ 35,064.00	$ 36,516.00	N	N	--		40
CCA 111	CA	10/16/14	04/01/15	38868	OFFICE ASSISTANT III	N	08	03	P	1.00	B	$ 26,700.00	$ 28,872.00	N	N	--		41
CCA 112	AB	06/30/12	04/01/15	120233	RI INVESTIGATOR IV	Y	NA	13	T	1.00	B	$ 49,320.00	$ 49,320.00	N	N	--		42
CCA 191	AA	03/04/14	04/01/15	120945	MFDR OFFICE ASSISTANT IV	Y	NA	03	T	1.00	B	$ 39,480.00	$ 39,480.00	Y	N	--		43

* Position was restored, pursuant to Act 130, SLH 2010, effective July 1, 2010.

Prog ID	Sub-Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Describe if Filled by other Means	Priority # to Retain
Positions with offers accepted/final stages of recruitment:																		
CCA 103	HA	--	04/01/15	120189	DCA RESEARCH ANALYST V	Y	NA	13	P	1.00	B	$ 45,937.00	--	Y	N	--		Offer accepted
CCA 104	BA	11/01/14	12/01/14	102765	FIERF FINANCIAL INST EXAM MGR	Y	NA	93	P	1.00	B	$ 83,400.00	$ 86,772.00	Y	N	--		Offer accepted - 12/2
CCA 105	GA	08/01/14	04/01/15	102249	CONDO PROGRAM SPECIALIST	Y	NA	13	P	1.00	B	$ 53,664.00	$ 53,364.00	Y	N	--		Offer accepted
CCA 105	GA	--	04/01/15	121530	OFFICE ASSISTANT V	N	12	03	T	1.00	B	$ 32,424.00	--	Y	N	--		Recruiting to fill
CCA 106	EA	07/01/14	04/01/15	120904	INS HEALTH INS INVESTIGATOR IV	Y	NA	13	P	1.00	B	$ 51,312.00	$ 51,312.00	Y	N	--		Offer accepted
CCA 106	EA	--	04/01/15	120979	CIAF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	$ 65,000.00	--	Y	N	--		Offer accepted
CCA 111	CA	03/13/14	12/01/14	49521	OFFICE ASSISTANT III	N	08	03	P	1.00	B	$ 31,212.00	$ 26,700.00	Y	N	--		Offer accepted - 12/16
CCA 111	CA	12/31/09	04/01/15	49536	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	$ 27,756.00	$ 42,684.00	Y	N	--		Offer accepted
CCA 111	CA	09/23/14	04/01/15	102159	CRF STAFF ATTORNEY	Y	NA	73	T	1.00	B	$ 73,692.00	$ 79,056.00	Y	N	--		Offer accepted
CCA 111	CA	06/13/14	04/01/15	102780	CRF STAFF ATTORNEY II	Y	NA	73	P	1.00	B	$ 64,968.00	$ 71,808.00	Y	N	--		Offer accepted
Incumbent is on leave without pay to accept exempt appointment to Pos.# 100690, NTE 12/31/15:																		
CCA 106	EA	--	12/01/14	5907	CHIEF DEPUTY INS COMMISSIONE	N	EM7	35	P	1.00	B	$ 99,204.00	$ 99,204.00	Y	N	--		
Public Utilities Commission transferred to DCCA from PUC pursuant to Act108/14; reflected as proposed FY16 organization change in organization chart:																		
CCA 901	MA	1/16/2014		102155	PUC Attorney	Y	NA	73	P	1.00	B	$ 75,552.00	$ 75,552.00	N	N	0	NA	1
CCA 901	MA	10/31/2014		117634	PUC Attorney	Y	NA	73	P	1.00	B	$ 68,424.00	$ 68,424.00	N	N	0	NA	2
CCA 901	MA	5/23/2014		117629	Economist (PUC)	Y	NA	13	P	1.00	B	$ 60,744.00	$ 60,744.00	N	N	0	NA	3
CCA 901	MA	11/14/2014		100958	PUC Administrative Dir.	Y	NA	93	P	1.00	B	$ 86,028.00	$ 86,028.00	N	N	0	NA	4
CCA 901	MA	12/31/2007		102100	Research Assistant	Y	NA	13	P	1.00	B	$ 64,920.00	$ 73,656.00	N	N	0	NA	5
CCA 901	MA	5/23/2012		117642	Enforcement Officer	Y	NA	13	P	1.00	B	$ 49,308.00	$ 47,412.00	N	N	0	NA	6
CCA 901	MA	7/31/2014		44862	PUC District Representative	N	22C	13	P	1.00	B	$ 67,512.00	$ 64,920.00	Y	N	0	NA	7
CCA 901	MA	11/30/2010		44863	PUC District Representative	N	22C	13	P	1.00	B	$ 67,512.00	$ 67,488.00	Y	N	0	NA	8
CCA 901	MA	1/12/2008		106174	Investigator IV	N	22C	13	P	1.00	B	$ 47,400.00	$ 42,144.00	Y	N	0	NA	9
CCA 901	MA	2/13/2014		118029	Engineer IV	N	24C	13	P	1.00	B	$ 53,364.00	$ 53,364.00	Y	N	0	NA	10
CCA 901	MA	3/8/2007		42690	Investigator IV	N	22C	13	P	1.00	B	$ 47,400.00	$ 39,337.00	Y	N	0	NA	11
CCA 901	MA	10/1/2012		120736	Legal Assistant	Y	NA	13	P	1.00	B	$ 43,812.00	$ 43,812.00	N	N	0	NA	12

Department of Commerce and Consumer Affairs
Personnel Separations from July 1, 2013 to November 30, 2014

Prog ID	Sub-Org	Separation Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	T/P	MOF	Budgeted FTE	Budgeted Salary	Actual FTE	Actual Salary
CCA 102	FA	09/30/13	22873	Secretary II	N	14	63	P	B	1.00	$48,048.00	1.00	$49,932.00
CCA 102	FA	12/27/13	22873	Secretary III *	N	16	63	P	B	1.00	$48,048.00	1.00	$15.59
CCA 103	HA	10/31/13	15984	PU Rate Analyst V	N	24	13	P	B	1.00	$51,312.00	1.00	$51,312.00
CCA 104	BA	12/03/13	118	Financial Institutions Examiner II	N	24	13	P	B	1.00	$57,708.00	1.00	$60,012.00
CCA 104	BA	07/15/13	17398	Financial Institutions Examiner II	N	24	13	P	B	1.00	$53,352.00	1.00	$53,352.00
CCA 104	BA	09/04/13	26271	Financial Institutions Examiner II	N	24	13	P	B	1.00	$57,708.00	1.00	$57,708.00
CCA 104	BA	05/07/14	26272	Office Assistant III	N	08	03	P	B	1.00	$25,668.00	1.00	$26,700.00
CCA 104	BA	09/05/14	26272	Office Assistant III *	N	08	63	P	B	1.00	$25,668.00	1.00	$12.84
CCA 104	BA	10/31/14	102765	FIERF Financial Inst Exam Mgr	Y	NA	93	P	B	1.00	$83,400.00	1.00	$86,772.00
CCA 104	BA	03/03/14	106292	CRF Financial Inst Examiner IV	Y	NA	13	P	B	1.00	$67,488.00	1.00	$70,188.00
CCA 104	BA	09/01/14	118396	Office Assistant III	N	08	03	P	B	1.00	$33,756.00	1.00	$26,700.00
CCA 104	BA	02/03/14	119529	CRF Financial Inst Examiner IV	Y	NA	23	P	B	1.00	$64,920.00	1.00	$67,512.00
CCA 105	GA	01/03/14	6539	Clerical Supervisor IV	N	16	04	P	B	1.00	$35,064.00	1.00	$35,064.00
CCA 105	GA	07/31/13	11250	Office Assistant IV	N	10	03	P	B	1.00	$35,064.00	1.00	$36,516.00
CCA 105	GA	12/30/13	26720	Regulatory Bds/Comms Admin Asst III	N	26	13	P	B	1.00	$75,960.00	1.00	$78,996.00
CCA 105	GA	04/22/14	26925	Regulatory Bds/Comms Admin Asst I	N	22	13	P	B	1.00	$45,576.00	1.00	$47,400.00
CCA 105	GA	07/01/13	36375	Secretary II	N	14	03	P	B	1.00	$36,516.00	1.00	$36,516.00
CCA 105	GA	07/31/14	102249	Condo Program Specialist	Y	NA	13	P	T	1.00	$53,664.00	1.00	$53,364.00
CCA 105	GA	09/02/13	118935	Office Assistant IV	N	10	03	P	B	1.00	$31,212.00	1.00	$32,424.00
CCA 105	GA	07/11/14	118936	Office Assistant IV	N	10	03	P	B	1.00	$27,756.00	1.00	$28,872.00
CCA 105	GA	02/07/14	120701	Office Assistant IV	N	10	03	T	B	1.00	$32,424.00	1.00	$27,756.00
CCA 106	EA	08/07/13	101970	IRF Staff Attorney	Y	NA	73	P	B	1.00	$76,428.00	1.00	$76,428.00
CCA 106	EA	03/31/14	102738	CRF Insurance Examiner II	Y	NA	13	P	B	1.00	$64,920.00	1.00	$67,512.00
CCA 106	EA	08/29/13	108002	Captive Insurance Administrator	Y	NA	00	P	B	1.00	$82,500.00	1.00	$84,156.00
CCA 106	EA	07/31/14	108005	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	$61,152.00	1.00	$63,600.00
CCA 106	EA	08/22/13	110212	CRF Multi-Media Education Specialist	Y	NA	13	P	B	1.00	$75,960.00	1.00	$60,024.00
CCA 106	EA	09/13/13	110317	CRF Rate & Policy Analyst IV	Y	NA	13	P	B	1.00	$53,352.00	1.00	$53,352.00
CCA 106	EA	01/06/14	110705	IRF Program Analyst	Y	NA	13	P	B	1.00	$60,024.00	1.00	$70,188.00
CCA 106	EA	03/19/14	112455	CIAF Insurance Examiner I	Y	NA	13	P	B	1.00	$55,008.00	1.00	$62,424.00
CCA 106	EA	10/31/13	113029	IRF Staff Attorney III	Y	NA	73	P	B	1.00	$75,648.00	1.00	$75,648.00
CCA 106	EA	07/31/14	116592	CIAF Insurance Examiner III	Y	NA	13	P	B	1.00	$77,640.00	1.00	$80,748.00
CCA 106	EA	08/20/13	120528	INS Health Premium Rate Analyst	Y	NA	13	T	P	1.00	$62,500.00	1.00	$49,332.00
CCA 106	EA	08/08/14	120528	INS Health Premium Rate Analyst	Y	NA	13	T	P	1.00	$15,625.00	1.00	$42,168.00

Department of Commerce and Consumer Affairs
Personnel Separations from July 1, 2013 to November 30, 2014

Prog ID	Sub-Org	Separation Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	T/P	MOF	Budgeted FTE	Budgeted Salary	Actual FTE	Actual Salary
CCA 106	EA	01/06/14	120530	INS Health Premium Rate Analyst	Y	NA	13	T	P	1.00	$62,500.00	1.00	$49,332.00
CCA 110	DA	08/29/13	23118	Office Assistant III *	N	08	63	P	B	1.00	$27,756.00	1.00	$12.34
CCA 110	DA	11/30/13	120363	Investigator IV	N	22	13	P	B	1.00	$49,332.00	1.00	$49,332.00
CCA 111	CA	04/17/14	14817	Office Assistant III *	N	08	63	P	B	1.00	$26,700.00	1.00	$12.34
CCA 111	CA	10/31/13	26208	Office Assistant IV	N	10	03	P	B	1.00	$33,756.00	1.00	$35,064.00
CCA 111	CA	12/31/13	32896	Office Assistant III *	N	08	63	P	B	1.00	$30,036.00	1.00	$12.34
CCA 111	CA	09/30/13	32897	Business Registration Assistant	N	15	03	P	B	1.00	$48,048.00	1.00	$49,932.00
CCA 111	CA	09/15/13	38510	Office Assistant III	N	08	03	P	B	1.00	$25,668.00	1.00	$26,700.00
CCA 111	CA	10/15/14	38868	Office Assistant III	N	08	03	P	B	1.00	$26,700.00	1.00	$28,872.00
CCA 111	CA	11/15/13	46581	Office Assistant III *	N	08	63	P	B	1.00	$25,668.00	1.00	$12.34
CCA 111	CA	01/03/14	46581	Office Assistant III	N	08	03	P	B	1.00	$25,668.00	1.00	$25,668.00
CCA 111	CA	05/23/14	46581	Office Assistant III *	N	08	63	P	B	1.00	$25,668.00	1.00	$12.34
CCA 111	CA	03/31/14	46583	Office Assistant III	N	08	03	P	B	1.00	$30,036.00	1.00	$31,212.00
CCA 111	CA	08/12/13	46584	Office Assistant III	N	08	03	P	B	1.00	$25,668.00	1.00	$26,700.00
CCA 111	CA	08/17/14	49520	Office Assistant III	N	08	03	P	B	1.00	$31,212.00	1.00	$33,720.00
CCA 111	CA	03/12/14	49521	Office Assistant III	N	08	03	P	B	1.00	$31,212.00	1.00	$26,700.00
CCA 111	CA	07/31/13	102159	CRF Staff Attorney	Y	NA	73	T	B	1.00	$68,388.00	1.00	$73,692.00
CCA 111	CA	09/22/14	102159	CRF Staff Attorney	Y	NA	73	T	B	1.00	$73,692.00	1.00	$79,056.00
CCA 111	CA	06/12/14	102780	CRF Staff Attorney II	Y	NA	73	P	B	1.00	$64,968.00	1.00	$71,808.00
CCA 112	AB	12/31/13	11266	Investigator V	N	24	13	P	B	1.00	$75,960.00	1.00	$78,996.00
CCA 112	AB	09/24/13	101305	Office Assistant IV	N	10	03	P	B	1.00	$27,756.00	1.00	$28,836.00
CCA 112	AB	01/15/14	101311	Staff Attorney	Y	NA	73	P	B	1.00	$73,056.00	1.00	$78,648.00
CCA 112	AB	11/12/14	101319	RI Investigator IV	Y	NA	13	P	B	1.00	$53,352.00	1.00	$55,488.00
CCA 112	AB	10/16/13	102729	Staff Attorney	Y	NA	73	P	B	1.00	$61,104.00	1.00	$65,688.00
CCA 112	AB	10/15/14	118045	RI Investigator IV	Y	NA	13	P	B	1.00	$49,332.00	1.00	$49,308.00
CCA 112	AB	03/05/14	118430	Investigator I	N	16	13	P	B	1.00	$38,988.00	1.00	$37,464.00
CCA 112	AB	01/13/14	118478	Investigator II	N	18	13	P	B	1.00	$38,988.00	1.00	$38,988.00
CCA 112	AB	04/30/14	118502	Investigator IV	N	22	13	P	B	1.00	$45,576.00	1.00	$47,400.00
CCA 112	AB	06/30/14	119164	RI Investigator IV	Y	NA	13	P	B	1.00	$49,320.00	1.00	$51,288.00
CCA 191	AA	03/16/14	13238	Office Assistant V	N	12	03	P	B	1.00	$31,212.00	1.00	$32,424.00
CCA 191	AA	04/30/14	17744	Office Assistant IV	N	10	03	P	B	1.00	$31,212.00	1.00	$32,424.00
CCA 191	AA	12/30/13	38871	Account Clerk III	N	11	03	P	B	1.00	$30,036.00	1.00	$31,212.00
CCA 191	AA	07/15/13	45090	Account Clerk IV	N	13	03	P	B	1.00	$35,064.00	1.00	$36,516.00
CCA 191	AA	09/13/13	112797	CCA Administrative Assistant	Y	NA	73	P	B	1.00	$60,000.00	1.00	$62,400.00
CCA 191	AA	03/03/14	120945	MFDR Office Assistant IV	Y	NA	03	T	B	1.00	$39,480.00	1.00	$39,480.00

Department of Commerce and Consumer Affairs
Personnel Separations from July 1, 2013 to November 30, 2014

Prog ID	Sub-Org	Separation Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	T/P	MOF	Budgeted FTE	Budgeted Salary	Actual FTE	Actual Salary
CCA 191	AH	12/30/13	101296	Hearings Officer, CCA	Y	NA	73	T	B	1.00	$79,080.00	1.00	$80,664.00
CCA 191	AH	11/30/14	119020	Legal Clerk	N	14	03	T	B	1.00	$46,176.00	1.00	$49,968.00
CCA 191	AI	01/08/14	49537	Secretary II	N	14	03	P	B	1.00	$49,932.00	1.00	$51,936.00
CCA 191	AI	04/25/14	49537	Secretary II *	N	14	63	P	B	1.00	$49,932.00	1.00	$15.59
CCA 191	AI	10/15/14	49537	Secretary II	N	14	03	P	B	1.00	$49,932.00	1.00	$49,968.00
				* - incumbent was employed as a 89-day non-civil service appointee, paid on an hourly basis.									

Prog ID	Sub-Org	Effective Date Position Filled	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	T/P	MOF	Budgeted FTE	Budgeted Salary	Actual FTE	Actual Salary	Occupied by 89 Day Hire (Y/N)
CCA 102	FA	10/01/13	22873	Secretary III *	N	16	63	P	B	1.00	$48,048.00	1.00	$15.59	Y
CCA 102	FA	01/09/14	22873	Secretary III	N	16	63	P	B	1.00	$48,048.00	1.00	$56,172.00	N
CCA 102	FA	08/15/13	102761	Cable Television Administrator	Y	NA	93	P	B	1.00	$97,644.00	1.00	$104,700.00	N
CCA 102	FA	05/19/14	120245	CATV Program Specialist	Y	NA	13	P	B	1.00	$67,488.00	1.00	$74,004.00	N
CCA 102	FA	09/23/14	121425	CATV Staff Attorney	Y	NA	73	P	B	1.00	$80,000.00	1.00	$79,056.00	N
CCA 103	HA	07/02/13	5664	Legal Clerk	N	14	03	P	B	1.00	$35,064.00	1.00	$36,516.00	N
CCA 103	HA	01/07/14	15098	PU Rate Analyst V	N	24	13	P	B	1.00	$51,312.00	1.00	$60,012.00	N
CCA 103	HA	08/12/13	120186	DCA Research Analyst VII	Y	NA	23	P	B	1.00	$63,781.00	1.00	$68,004.00	N
CCA 104	BA	10/20/14	118	Financial Institutions Examiner II	N	24	13	P	B	1.00	$57,708.00	1.00	$53,364.00	N
CCA 104	BA	03/17/14	17398	Financial Inst Examiner II	N	24	13	P	B	1.00	$53,352.00	1.00	$53,364.00	N
CCA 104	BA	05/27/14	26272	Office Assistant III *	N	08	03	P	B	1.00	$25,668.00	1.00	$12.34	Y
CCA 104	BA	11/17/14	26272	Office Assistant III	N	08	03	P	B	1.00	$25,668.00	1.00	$26,700.00	N
CCA 104	BA	10/20/14	28752	Financial Institutions Examiner II	N	24	13	P	B	1.00	$57,708.00	1.00	$60,012.00	N
CCA 104	BA	07/01/14	106292	CRF Financial Institutions Examiner IV	Y	NA	13	p	B	1.00	$67,488.00	1.00	$75,960.00	N
CCA 104	BA	10/28/13	106293	FIERF Financial Inst Examiner II	Y	NA	13	P	B	1.00	$64,920.00	1.00	$62,424.00	N
CCA 104	BA	11/25/13	118396	Office Assistant III	N	08	03	P	B	1.00	$33,756.00	1.00	$25,668.00	N
CCA 104	BA	09/09/14	118396	Office Assistant III *	N	08	63	P	B	1.00	$33,756.00	1.00	$12.84	Y
CCA 104	BA	09/16/13	118659	CRF Financial Inst Examiner II	Y	NA	13	P	B	1.00	$57,708.00	1.00	$57,708.00	N
CCA 104	BA	10/01/14	119529	CRF Financial Inst Examiner IV	Y	NA	13	P	B	1.00	$64,920.00	1.00	$67,512.00	N
CCA 104	BA	09/03/13	120670	CRF Financial Inst Examiner II	Y	NA	13	P	B	1.00	$55,500.00	1.00	$62,424.00	N
CCA 104	BA	02/04/14	121007	CRF Financial Inst Exam Mgr	Y	NA	93	P	B	1.00	$79,104.00	1.00	$83,400.00	N
CCA 105	GA	09/16/13	3769	Supervising Reg Bds/Comms Admin Asst	N	28	23	P	B	1.00	$62,424.00	1.00	$85,428.00	N
CCA 105	GA	07/16/13	6539	Clerical Supervisor IV	N	16	04	P	B	1.00	$35,064.00	1.00	$35,064.00	N
CCA 105	GA	03/17/14	6539	Clerical Supervisor IV	N	16	04	P	B	1.00	$35,064.00	1.00	$36,516.00	N
CCA 105	GA	10/01/13	8394	Secretary IV	N	18	63	P	B	1.00	$42,684.00	1.00	$56,172.00	N
CCA 105	GA	03/04/14	12746	Office Assistant IV	N	10	03	P	B	1.00	$32,424.00	1.00	$27,756.00	N
CCA 105	GA	09/27/13	22646	Office Assistant IV	N	10	03	P	B	1.00	$36,516.00	1.00	$27,756.00	N
CCA 105	GA	07/01/14	26720	Regulatory Bds/Comms Admin Asst I	N	22	13	P	B	1.00	$75,960.00	1.00	$47,400.00	N
CCA 105	GA	07/01/14	26925	Regulatory Bds/Comms Admin Asst I	N	22	13	P	B	1.00	$45,576.00	1.00	$47,400.00	N
CCA 105	GA	07/01/14	36374	Regulatory Bds/Comms Admin Asst I	N	22	13	P	B	1.00	$75,960.00	1.00	$47,400.00	N
CCA 105	GA	08/22/13	36375	Secretary II	N	14	03	P	B	1.00	$36,516.00	1.00	$36,516.00	N
CCA 105	GA	09/02/14	42126	Office Assistant IV	N	10	03	P	B	1.00	$30,036.00	1.00	$29,988.00	N
CCA 105	GA	09/03/13	43736	Office Assistant IV	N	10	03	P	B	1.00	$27,756.00	1.00	$32,424.00	N
CCA 105	GA	08/21/13	102249	Condo Program Specialist	Y	NA	13	P	T	1.00	$53,664.00	1.00	$53,364.00	N
CCA 105	GA	03/14/14	118936	Office Assistant IV	N	10	03	P	B	1.00	$27,756.00	1.00	$27,756.00	N
CCA 105	GA	11/10/14	118936	Office Assistant IV	N	10	03	P	B	1.00	$27,756.00	1.00	$29,988.00	N
CCA 105	GA	07/01/14	120700	Office Assistant V	N	12	03	T	B	1.00	$30,036.00	1.00	$31,236.00	N

Department of Commerce and Consumer Affairs
Positions Filled from July 1, 2013 to November 30, 2014

Prog ID	Sub-Org	Effective Date Position Filled	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	T/P	MOF	Budgeted FTE	Budgeted Salary	Actual FTE	Actual Salary	Occupied by 89 Day Hire (Y/N)
CCA 105	GA	10/01/13	120701	Office Assistant IV	N	10	03	T	B	1.00	$32,424.00	1.00	$27,756.00	N
CCA 106	EA	09/16/13	42124	Insurance Rate & Policy Analyst IV	N	22	13	P	B	1.00	$64,920.00	1.00	$53,352.00	N
CCA 106	EA	12/30/13	101970	IRF Staff Attorney	Y	NA	73	P	B	1.00	$76,428.00	1.00	$60,024.00	N
CCA 106	EA	10/20/14	102738	CRF Insurance Examiner II	Y	NA	13	P	B	1.00	$64,920.00	1.00	$67,512.00	N
CCA 106	EA	08/01/14	108002	Captive Insurance Administrator	Y	NA	00	P	B	1.00	$82,500.00	1.00	$87,000.00	N
CCA 106	EA	12/16/13	110212	CRF Multi-Media Education Specialist	Y	NA	13	P	B	1.00	$75,960.00	1.00	$51,312.00	N
CCA 106	EA	07/29/13	110445	IRF Staff Attorney III	Y	NA	73	P	B	1.00	$73,044.00	1.00	$72,996.00	N
CCA 106	EA	11/06/14	110705	IRF Program Analyst	Y	NA	13	P	B	1.00	$60,024.00	1.00	$71,592.00	N
CCA 106	EA	07/22/13	110964	CRF Insurance Examiner II	Y	NA	13	P	B	1.00	$55,500.00	1.00	$64,920.00	N
CCA 106	EA	10/01/13	112455	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	$55,008.00	1.00	$62,424.00	N
CCA 106	EA	08/01/14	112455	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	$55,008.00	1.00	$67,512.00	N
CCA 106	EA	01/15/14	113029	IRF Staff Attorney III	Y	NA	73	P	B	1.00	$75,648.00	1.00	$75,960.00	N
CCA 106	EA	07/16/13	118104	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	$64,792.00	1.00	$62,424.00	N
CCA 106	EA	02/03/14	120528	INS Health Premium Rate Analyst	Y	NA	13	T	P	1.00	$62,500.00	1.00	$42,168.00	N
CCA 106	EA	08/01/13	120530	INS Health Premium Rate Analyst	Y	NA	13	T	P	1.00	$62,500.00	1.00	$49,332.00	N
CCA 106	EA	08/01/14	120950	CRF Insurance Examiner II	Y	NA	13	P	B	1.00	$64,920.00	1.00	$62,424.00	N
CCA 106	EA	02/03/14	120976	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	$32,500.00	1.00	$57,720.00	N
CCA 106	EA	03/04/14	120977	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	$32,500.00	1.00	$67,512.00	N
CCA 106	EA	06/09/14	120978	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	$32,500.00	1.00	$67,512.00	N
CCA 110	DA	04/14/14	23118	Office Assistant III	N	08	03	P	B	1.00	$27,756.00	1.00	$25,668.00	N
CCA 110	DA	10/02/14	120363	Investigator II *	N	18	73	P	B	1.00	$49,332.00	1.00	$19.49	Y
CCA 110	DA	02/25/14	120993	CRF Investigator I	Y	NA	13	P	B	1.00	$18,012.00	1.00	$36,024.00	N
CCA 111	CA	07/01/14	14817	Office Assistant III	N	08	03	P	B	1.00	$26,700.00	1.00	$26,700.00	N
CCA 111	CA	08/18/14	26208	Office Assistant IV	N	10	03	P	B	1.00	$33,756.00	1.00	$36,468.00	N
CCA 111	CA	07/01/13	29100	Office Assistant III	N	08	03	P	B	1.00	$28,836.00	1.00	$25,668.00	N
CCA 111	CA	11/01/13	29152	Clerical Supervisor III	N	14	04	P	B	1.00	$32,424.00	1.00	$39,480.00	N
CCA 111	CA	07/01/13	32895	Office Assistant III	N	08	03	P	B	1.00	$28,836.00	1.00	$25,668.00	N
CCA 111	CA	01/16/14	32896	Office Assistant III	N	08	03	P	B	1.00	$30,036.00	1.00	$25,668.00	N
CCA 111	CA	03/13/14	38510	Office Assistant III #	N	08	03	P	B	1.00	$25,668.00	1.00	$26,700.00	N
CCA 111	CA	10/16/14	38869	Legal Clerk	N	14	03	P	B	1.00	$32,424.00	1.00	$33,720.00	N
CCA 111	CA	01/02/14	46581	Office Assistant III	N	08	03	P	B	1.00	$25,668.00	1.00	$25,668.00	N
CCA 111	CA	02/24/14	46581	Office Assistant III *	N	08	63	P	B	1.00	$25,668.00	1.00	$12.34	Y
CCA 111	CA	06/16/14	46581	Office Assistant III	N	08	03	P	B	1.00	$25,668.00	1.00	$25,668.00	N
CCA 111	CA	07/01/13	46582	Office Assistant III	N	08	03	P	B	1.00	$25,668.00	1.00	$25,668.00	N
CCA 111	CA	10/16/14	46583	Office Assistant III	N	08	03	P	B	1.00	$30,036.00	1.00	$26,700.00	N
CCA 111	CA	04/01/14	46584	Office Assistant III	N	08	03	P	B	1.00	$25,668.00	1.00	$31,212.00	N
CCA 111	CA	09/16/13	49521	Office Assistant III	N	08	03	P	B	1.00	$31,212.00	1.00	$26,700.00	N
CCA 111	CA	08/16/13	101841	Staff Attorney	Y	NA	73	P	B	1.00	$87,372.00	1.00	$87,372.00	N

Department of Commerce and Consumer Affairs
Positions Filled from July 1, 2013 to November 30, 2014

Table 15

Prog ID	Sub-Org	Effective Date Position Filled	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	T/P	MOF	Budgeted FTE	Budgeted Salary	Actual FTE	Actual Salary	Occupied by 89 Day Hire (Y/N)
CCA 111	CA	01/16/14	102159	CRF Staff Attorney	Y	NA	73	T	B	1.00	$73,692.00	1.00	$77,508.00	N
CCA 112	AB	01/22/14	101305	Office Assistant IV	N	10	03	P	B	1.00	$27,756.00	1.00	$27,756.00	N
CCA 112	AB	01/16/14	101306	Supervising Attorney	Y	NA	73	P	B	1.00	$75,216.00	1.00	$82,584.00	N
CCA 112	AB	11/18/14	101311	Staff Attorney III	Y	NA	73	P	B	1.00	$73,056.00	1.00	$69,396.00	N
CCA 112	AB	02/03/14	103178	RI Investigator IV	Y	NA	13	P	B	1.00	$53,352.00	1.00	$47,400.00	N
CCA 112	AB	08/12/13	118430	Investigator I	N	16	13	P	B	1.00	$38,988.00	1.00	$36,024.00	N
CCA 112	AB	10/20/14	118430	Investigator I	N	16	13	P	B	1.00	$38,988.00	1.00	$37,464.00	N
CCA 112	AB	10/01/14	118478	Investigator I	N	16	13	P	B	1.00	$38,988.00	1.00	$37,464.00	N
CCA 112	AB	10/16/14	118502	Investigator IV	N	22	13	P	B	1.00	$45,576.00	1.00	$47,400.00	N
CCA 112	AB	04/07/14	118553	Office Assistant III	N	08	03	P	B	1.00	$26,700.00	1.00	$25,668.00	N
CCA 112	AB	11/06/13	118627	Office Assistant III	N	08	03	P	B	1.00	$25,668.00	1.00	$25,668.00	N
CCA 112	AB	05/19/14	120232	Investigator IV *	N	22	73	P	B	1.00	$45,576.00	1.00	$22.79	Y
CCA 191	AA	05/01/14	13238	Office Assistant V	N	12	03	P	B	1.00	$31,212.00	1.00	$35,064.00	N
CCA 191	AA	08/01/14	17744	Office Assistant IV	N	10	03	P	B	1.00	$31,212.00	1.00	$28,872.00	N
CCA 191	AA	08/25/14	38871	Account Clerk IV	N	13	03	P	B	1.00	$30,036.00	1.00	$32,460.00	N
CCA 191	AA	07/16/13	39806	Program Budget Analyst II	N	18	13	P	B	1.00	$45,036.00	1.00	$38,988.00	N
CCA 191	AA	10/16/13	45090	Account Clerk IV	N	13	03	P	B	1.00	$35,064.00	1.00	$31,212.00	N
CCA 191	AA	09/16/13	112797	CCA Administrative Assistant	Y	NA	73	P	B	1.00	$60,000.00	1.00	$62,400.00	N
CCA 191	AA	10/16/13	120990	HPEAP Administrator	Y	NA	13	P	B	0.00	--**	1.00	$72,252.00	N
CCA 191	AH	03/04/14	101296	Hearings Officer, CCA	Y	NA	73	T	B	1.00	$79,080.00	1.00	$79,008.00	N
CCA 191	AI	02/10/14	49537	Secretary II *	N	14	63	P	B	1.00	$49,932.00	1.00	$15.59	Y
CCA 191	AI	04/28/14	49537	Secretary II	N	14	03	P	B	1.00	$49,932.00	1.00	$48,048.00	N
CCA 191	AI	10/16/14	49537	Secretary II *	N	14	63	P	B	1.00	$49,932.00	1.00	$16.21	Y

* - New Hire was appointed to 89-day non-civil service appointment, paid on an hourly basis.

** - Position established pursuant to Act 180/13; program established as CCA107 in FY15.

\# - Incumbent returned to former position.

Department of Commerce and Consumer Affairs
Unauthorized Positions as of November 30, 2014

Prog ID	Sub-Org	Date Established	Legal Authority	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	T/P	MOF	FTE	Annual Salary	Filled (Y/N)	Occupied by 89 Day Hire (Y/N)
None														

Department of Commerce and Consumer Affairs
Overtime Expenditure Summary

Table 17

				FY14 (actual)			FY15 (estimated)			FY16 (budgeted)			FY17 (budgeted)		
Prog ID	Sub-Org	Program Title	MOF	Base Salary $$$$	Overtime $$$$	Overtime Percent	Base Salary $$$$	Overtime $$$$	Overtime Percent	Base Salary $$$$	Overtime $$$$	Overtime Percent	Base Salary $$$$	Overtime $$$$	Overtime Percent
CCA 102	FA	Cable Television	B	$ 464,451	$ -	0.00%	$ 628,292	$ 500	0.08%	$ 639,176	$ 5,000	0.78%	$ 655,636	$ 5,000	0.76%
CCA 103	HA	Consumer Advocate for Communication, Utilities & Transportation Service	B	$ 986,187	$ 1,170	0.12%	$ 1,470,005	$ 2,500	0.17%	$ 1,500,019	$ 20,000	1.33%	$ 1,553,462	$ 20,000	1.29%
CCA 104	BA	Financial Services Regulation	B	$ 1,605,247	$ 2,077	0.13%	$ 2,186,804	$ 4,800	0.22%	$ 2,227,758	$ 13,000	0.58%	$ 2,308,131	$ 13,000	0.56%
CCA 105	GA	Professional & Vocational Licensing	B	$ 2,698,303	$ 85,478	3.17%	$ 3,206,710	$ 50,000	1.56%	$ 3,256,801	$ 67,731	2.08%	$ 3,321,431	$ 70,101	2.11%
CCA 105	GA	Professional & Vocational Licensing	T	$ 509,741	$ 5,435	1.07%	$ 528,480	$ 4,000	0.76%	$ 533,649	$ 21,360	4.00%	$ 546,439	$ 21,360	3.91%
CCA 106	EA	Insurance Regulatory Services	B	$ 4,408,961	$ 5,165	0.12%	$ 5,761,521	$ 10,000	0.17%	$ 5,969,130	$ 30,000	0.50%	$ 6,157,592	$ 30,000	0.49%
CCA 107	IA	Hawaii Post-Secondary Education Authorization Program	B				$ 95,040	0		$ 119,890			$ 124,086		
CCA 110	DA	Protection of the Consumer	B	$ 982,828		0.00%	$ 1,087,302	0	0.00%	$ 1,105,223	0	0.00%	$ 1,140,628	0	0.00%
CCA 111	CA	Business Registration & Securities Regulation	B	$ 3,148,679	$ 18,841	0.60%	$ 3,713,673	$ 60,000	1.62%	$ 3,718,833	$ 60,000	1.61%	$ 3,782,327	$ 60,000	1.59%
CCA 112	AB	Regulated Industries Complaints Office	B	$ 3,053,531	$ 1,880	0.06%	$ 3,709,791	$ 15,000	0.40%	$ 3,756,981	$ 15,000	0.40%	$ 3,881,066	$ 15,000	0.39%
CCA 191	AA	General Support/Director's Office & Administration	B	$ 1,447,306	$ 219	0.02%	$ 1,559,772		0.00%	$ 1,585,968	$ 20,000	1.26%	$ 1,621,381	$ 20,000	1.23%
CCA 191	AH	General Support/Office of Administrative Hearings	B	$ 384,236		0.00%	$ 624,492		0.00%	$ 632,304	$ 5,000	0.79%	$ 648,201	$ 5,000	0.77%
CCA 191	AI	General Support/Information Systems & Communications Office	B	$ 1,018,806	$ 5,307	0.52%	$ 1,053,684	$ 20,000	0.00%	$ 1,077,122	$ 20,000	1.86%	$ 1,109,157	$ 20,000	1.80%
CCA 901	MA	Public Utilities Commission		$ -	$ -		$ -	$ -		$ 4,900,849	$ -	0.00%	$ 4,900,849	$ -	0.00%

Department of Commerce and Consumer Affairs
Overtime Position List
(Only for Positions the Sum of Overtime Paid and Value of Compensatory Time for which was at Least 10% of Base Pay)

Table 18

											FY 14						
											OT		Comp Time			Total OT/Comp	
Prog ID	Position No.	Bargaining Unit	Included in CB (Y/N)	Exempt (Y/N)	Temp or Perm (T/P)	MOF	Position Title	Salary Range Level	Base Annual Pay	Hours Exceeding Standard	$ Amount Paid	% of Base	Hours Earned	$ Value of Hours Earned	% $ Value of Base Salary	$ Amount OT Paid + $ Value Comp Hours Earned	% of Base
CCA 105	34	13	Y	N	P	B	Reg Bds/Coms Ad Asst II	24	$67,512.00	132.25	$6,231.12	9.23%	139.875	$4,540.34	6.73%	$10,771.46	15.95%
CCA 105	5213	03	Y	N	P	B	Office Assistant V	12	$42,684.00	263.00	$8,000.94	18.74%	-	$0.00	0.00%	$8,000.94	18.74%
CCA 105	6777	03	Y	N	P	B	Office Assistant V	12	$41,040.00	421.50	$12,351.69	30.10%	9.00	$177.57	0.43%	$12,529.26	30.53%
CCA 105	11284	04	Y	N	P	B	Clerical Supervisor III	14	$46,176.00	441.50	$14,582.11	31.58%	-	$0.00	0.00%	$14,582.11	31.58%
CCA 105	24603	03	Y	N	P	B	Office Assistant IV	10	$28,836.00	225.00	$4,654.40	16.14%	-	$0.00	0.00%	$4,654.40	16.14%
"	"	"	"	"	"	T	"	"	"	65.00	$1,351.37	4.69%		$0.00	0.00%	$1,351.37	4.69%
CCA 105	24958	03	Y	N	P	B	Office Assistant V	12	$37,968.00	276.00	$7,475.82	19.69%	-	$0.00	0.00%	$7,475.82	19.69%
CCA 105	36373	03	Y	N	P	B	Office Assistant V	12	$39,480.00	46.00	$1,309.63	3.32%	142.50	$2,704.65	6.85%	$4,014.28	10.17%
CCA 105	49273	03	Y	N	P	B	Office Assistant V	12	$33,756.00	449.00	$10,840.69	32.11%	-	$0.00	0.00%	$10,840.69	32.11%
"	"	"	"	"	"	T	"	"	"	5.00	$121.73	0.36%	-	$0.00	0.00%	$121.73	0.36%
CCA 105	49274	03	Y	N	P	B	Office Assistant V	12	$36,516.00	354.00	$9,230.39	25.28%	30.00	$526.80	1.44%	$9,757.19	26.72%
"	"	"	"	"	"	T	"	"	"	27.50	$724.35	1.98%	-	$0.00	0.00%	$724.35	1.98%
CCA 111	29152	04	Y	N	P	B	Clerical Supervisor III	14	$39,480.00	171.50	$4,508.95	11.42%	-	$0.00	0.0%	$4,508.95	11.42%
			GRAND TOTAL						$413,448.00	2,877.25	$81,383.19	19.68%	321.38	$7,949.36	1.92%	$89,332.55	21.61%
				Totals by MOF		A			-	-	-	-	-	-	-	-	-
						B			$413,448.00	2,779.75	$79,185.74	19.15%	321.38	$7,949.36	1.92%	$87,135.10	21.08%
						C			-	-	-	-	-	-	-	-	-
						N			-	-	-	-	-	-	-	-	-
						P			-	-	-	-	-	-	-	-	-
						R			-	-	-	-	-	-	-	-	-
						T			$0.00	97.50	$2,197.45	#DIV/0!	-	$0.00	$0.00	$2,197.45	#DIV/0!
						U			-	-	-	-	-	-	-	-	-
						W			-	-	-	-	-	-	-	-	-
						X			-	-	-	-	-	-	-	-	-

Department of Commerce and Consumer Affairs
Overpayments as of November 30, 2014

Table 19

Name of Employee	Date of Over-payment	Gross Amount Overpaid	Amount Recovered	Balance	Category: Employed Occurred > 2 Years	Category: Employed Occurred < 2 Years	Category: Not Employed Occurred > 2 Years	Category: Not Employed Occurred < 2 Years	Reason for Overpayment	Referred to Attorney General
None										

Prog ID	MOF	Frequency Amount	(M/A/O)	Max Value	Outstanding Balance	Date Executed	Term of Contract From	To	Organization	Category E/L/P/C/G/S	Description	Explanation of How Contract is Monitored	POS Y/N
CCA102	B	VAR	M	$ 250,000.00	$ 146,064.47	6/30/2008	6/25/2014	6/30/2015	SQUIRE SANDERS (US) LLP	C	Provide advice & counsel to the State (Including but not limited to the Cable Television Div of the DCCA on any matter relating to Cable Television).	Monthly invoices monitored by AG's office and CATV staff	Y
CCA102	B	VAR	O	$ 114,656.25	$ 73,091.93	7/10/2010	7/1/2010	6/30/2013	MERINA & COMPANY, LLP	S	To provide accounting/audit/agreed upon procedures/financial consulting services & review of filing submitted by the cable operator for purposes	Monthly invoices reviewed by CATV staff	Y
CCA102	B	VAR	O	$ 200,000.00	$ 197,787.50	7/1/2011	7/1/2011	6/30/2016	MERINA & COMPANY, LLP	S	Contractor Provides Accounting, Auditing, Agreed Upon Procedures, Financial Consulting Svcs in its assessment of the financial & operating policies	Monthly invoices reviewed by CATV staff	Y
CCA102	B	VAR	O	$ 120,000.00	$ 116,762.50	7/1/2013	7/1/2013	6/30/2018	MERINA & COMPANY, LLP	S	Provide accounting & review of fillings submitted by the cable operators for purposes of rate increases &/or appeals, new franchises, franchise	Monthly invoices reviewed by CATV staff	Y
CCA103	B	VAR	O	$ 200,000.00	$ 160,131.58	6/1/2008	1/1/2014	12/31/2014	SAWVEL AND ASSOCIATES, INC.	S	To provide services in connection w/ Hawaii Public Utilities Commission's investigation into the implemenation of intra-government wheeling of	Monthly review	Y
CCA103	B	VAR	O	$ 103,543.34	$ 99,966.32	6/30/2008	6/28/2013	6/30/2014	SQUIRE SANDERS (US) LLP	C	Provide advice & counsel to the State (including but not limited to the Division of Consumer Adovcacy of the DCCA) on any matter relating to	Monthly review	Y
CCA103	B	VAR	O	$ 197,225.00	$ 6,414.17	9/14/2011	9/14/2011	-	UTILITECH, INC.	S	To provide svcs in connection w/the HI Public Utilites Commission's review & evaluation of application filed by Maui Electric Co., Ltd.	Monthly review	Y
CCA103	B	VAR	O	$ 40,000.00	$ 40,000.00	6/12/2013	6/12/2013	-	UTILITECH, INC.	S	To provide svcs in connection w/the HI Public Utilities Commission's review & application filed by Maui Electric Co., Ltd.	Monthly review	Y
CCA103	B	VAR	O	$ 12,500.00	$ 74.71	9/26/2011	9/26/2011	-	SAWVEL AND ASSOCIATES, INC.	S	Provide svcs in connection with the HI Public Utilities Commission's review & application filed by Maui Electric Co., Ltd.	Monthly review	Y
CCA103	B	VAR	O	$ 25,000.00	$ 4,120.00	10/31/2011	10/31/2011	-	HILL, STEPHEN G.	S	Svcs with PUC review & evaluation of the application filed by MECO for general rate increase - Docket #2011-0092.	Monthly review	Y
CCA103	B	VAR	O	$ 125,000.00	$ 20,926.55	6/21/2012	6/21/2012	-	SYNAPSE ENERGY ECONOMICS, INC.	S	To provide svcs in connection w/the Public Utilities Commission (here after "commission") to examine & conduct integrated resources planning.	Monthly review	Y

Prog ID	MOF	Amount	(M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Organization	E/L/P/C/ G/S	Description	How Contract is Monitored	POS Y/N
CCA103	B	VAR	O	$ 22,000.00	$ 12,815.00	6/22/2012	6/22/2012	-	TECHNICAL ASSOCIATES, INC.	S	To provide svcs in connection w/the Public Utilities Commission's Review & evaluation of the application filed by Hawaii Electric Light Company.	Monthly review	Y
CCA103	B	VAR	O	$ 106,000.00	$ 66,522.35	6/26/2012	6/26/2012	-	SAWVEL AND ASSOCIATES, INC.	S	To provide svcs in connection w/the Public Utilities Commission's Review & evaluation of the application filed by Hawaii Electric Light Company.	Monthly review	Y
CCA103	B	VAR	O	$ 205,600.00	$ 65,932.83	8/23/2012	8/23/2012	-	UTILITECH, INC.	S	To provide svcs in connection w/the Hawaii Public Utilities Commission's review & evaluation of the application filed by HI Electric Light Co., Ltd.	Monthly review	Y
CCA103	B	VAR	O	$ 197,700.00	$ 34,394.95	1/6/2014	1/6/2014	-	UTILITECH, INC.	S	To provide svcs in connection w/HI Public Utilities Commission's re-examination of existing decoupling mechanisms for Hawn Electric Co., Inc.	Monthly review	Y
CCA103	B	VAR	O	$ 135,152.00	$ 96,381.94	3/20/2014	3/20/2014	-	UTILITECH, INC.	S	To provide services in connection with Kauai Island Utility Cooperative (KIUC) request for approval to implement KIUC's proposed decoupling.	Monthly review	Y
CCA103	B	VAR	O	$ 80,000.00	$ 16,548.75	5/5/2014	5/5/2014	-	SYNAPSE ENERGY ECONOMICS, INC.	S	To provide svcs in connection w/proceeding opened by the HI Public Utilities Commission to re-examine the existing decoupling mechanisms.	Monthly review	Y
CCA103	B	VAR	O	$ 231,975.00	$ 231,975.00	6/27/2014	6/27/2014	-	UTILITECH, INC.	S	To provide svcs as an expert witness on behalf of DCA in the HECO rate case, docket No. 2013-0373.	Monthly review	Y
CCA103	B	VAR	O	$ 122,900.00	$ 122,900.00	6/27/2014	6/27/2014	-	SAWVEL AND ASSOCIATES, INC.	S	To provide svcs as an expert witness on behalf of DCA in the HECO rate case, docket No. 2013-0373.	Monthly review	Y
CCA105	B	VAR	O	$ 19,440.00	$ 14,807.76	8/19/2013	8/19/2014	8/18/2015	CENTURY COMPUTERS, INC.	S	Scanning & imaging licensing documents for PVL IFB13-001-O	Staff monitors	Y
CCA105	B	VAR	O	$49,900	$49,900	8/14/2014	10/1/2014	9/30/2015	MM&P Mates Program dba Pacific Maritime Institute	S	Development of Deputy Port Pilot Examin	Staff monitors	Y
CCA106	B	VAR	O	$ 55,000.00	$ 19,432.45	4/17/2009	7/10/2009	-	DALEY & HEFT, LLP	C	Provide legal svcs to the SOH in the following legal action, superior CT of the State of CA for the County of Orange #30-200900119128 filled 2/28	Completed Work reviewed for accuracy and completeness	Y
CCA106	B	VAR	M	$ 280,000.00	$ 102,071.57	12/1/2009	12/1/2009	-	KOBAYASHI, SUGITA & GODA, LLP	C	To represent defend & advise the State & the State's insurance commissioner & Insurance Division in the first circuit court SOH Civil #95-2513-07	Completed Work reviewed for accuracy and completeness	Y
CCA106	B	VAR	M	$ 75,000.00	$ 16,807.50	11/7/2012	11/7/2012	11/6/2014	WYMAN, OLIVER ACTUARIAL	S	Contractor shall perform the Actuarial svcs related to the analysis & evaluation of Insurance rates, reserves & investment income & related.	Completed Work reviewed for accuracy and completeness	Y
CCA106	B	VAR	O	$ 60,000.00	$ 42,305.00	4/18/2013	4/18/2013	4/17/2016	WYMAN, OLIVER ACTUARIAL	S	Contractor shall perform Actuarial svcs including but not limited to analyzing related matters that may have financial impact on rate making.	Completed Work reviewed for accuracy and completeness	Y

Prog ID	MOF	Amount	(M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Organization	E/L/P/C/G/S	Description	How Contract is Monitored	POS Y/N
CCA106	B	VAR	M	$ 176,000.00	$ 13.18	9/16/2013	9/16/2013	9/15/2014	PKF PACIFIC HAWAII LLP	S	Provide all svcs required by the State in connection w/the financial examination of Aloha Care.	Bi-weekly status reports received and completed reports reviewed	Y
CCA106	B	VAR	M	$ 560,000.00	$ 13,067.28	9/16/2013	9/16/2013	9/15/2014	ACCUITY LLP	S	Provide all svcs required by State in connection w/the financial examination of DTRIC Insurance Co. Ltd, DTRIC Insurance Underwriters Ltd.	Bi-weekly status reports received and completed reports reviewed	Y
CCA106	B	VAR	M	$ 200,000.00	$ 114,017.33	1/1/2014	1/1/2014	12/31/2015	WYMAN, OLIVER ACTUARIAL	S	Contractor shall perform the actuarial svcs related to the analysis & evaluation of Insurance rates, reserves, & investment income & related.	Completed Work reviewed for accuracy and completeness	Y
CCA106	B	VAR	M	$ 215,000.00	$ 150,193.73	2/10/2014	2/10/2014	6/30/2015	ACCUITY LLP	S	Service by the State in connection with the financial examination of Farmers Ins Hawaii, Inc. & Subsidiary AM Pacific Ins. Co. Inc.	Bi-weekly status reports received and completed reports reviewed	Y
CCA106	B	VAR	O	$ 75,000.00	$ 75,000.00	6/12/2014	6/12/2014	6/11/2017	TAYLOR & MULDER INC.	S	Peform the actuarial services for the INS Div including but not limited to analyzing rates and related matters that all svcs required by State	Completed Work reviewed for accuracy and completeness	Y
CCA106	B	VAR	O	$ 290,000.00	$ 231,767.04	9/10/2014	9/10/2014	9/9/2015	PKF PACIFIC HAWAII LLP	S	Provide all svcs required by the State in connection with the financial examination of 4 insurance companies.	Bi-weekly status reports received and completed reports reviewed	Y
CCA106	B	VAR	O	$ 570,000.00	$ 570,000.00	9/10/2014	9/10/2014	9/9/2015	ACCUITY LLP	S	Financial examination of Island Ins. Co. Island Premier Ins. Of Co. Ltd. Tradewind Ins. Zephyr Ins. & Royal State Ntl State Ins. IFB#EXAM-14	Bi-weekly status reports received and completed reports reviewed	Y
CCA106	B	-	-	$ 90,000.00	$ 90,000.00	11/21/2014	11/21/2014	11/20/2015	WYMAN, OLIVER ACTUARIAL	S	Contractor shall perform & provide all svcs required by State in a timely manner w/in the time limitations agreed upon by State & contractor.	Completed Work reviewed for accuracy and completeness	Y
CCA110	B	$ 2,274.99	M	$ 26,101.88	$ 15,088.41	10/1/2012	8/1/2014	7/1/2015	NEW KAIKO'O BUILDING, INC.	L	Office space lease for the RICO Hilo branch & OCP Hilo branch. Lease agreement No. 71-30-0591.	Review monthly invoices and pay	N
CCA111	B	VAR	M	$ 60,000.00	$ 41,891.65	1/1/2007	7/1/2014	6/30/2015	RONIN PROPERTIES, LLC	L	Office space lease DAGS LS# 92-30-0058 / DCCA - Business Action Center.	Monthly rental payments are regular payments. Utility bills are monitored by supervisor of the BAC.	N
CCA111	B	$ 9,843.75	O	$ 39,375.00	$ 9,843.75	12/1/2010	12/1/2013	11/30/2014	HAWAII COUNCIL ON ECONOMIC	S	Contract with HCEE to teach teachers to provide programs for students to learn careful investing skills.	Investor education staff monitor and participate in teachers training program.	Y
CCA112	B	$ 1,127.25	M	$ 13,529.00	$ 7,898.75	7/1/1987	8/1/2014	7/31/2015	PUUONE DEVELOPMENT	L	Puuone Towers & Plaza; C-216; 1063 East Main St. Wailuku, 975 sq. ft. opt to extend addtl 2 yrs.	Review monthly invoices and pay	N

Prog ID	MOF	Amount	(M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Organization	E/L/P/C/ G/S	Description	How Contract is Monitored	POS Y/N
CCA112	B	$ 2,289.31	M	$ 31,001.96	$ 23,705.82	12/1/2009	12/1/2012	11/30/2014	SIGNATURE SERVICES	L	Lease office space for RICO-KONA branch DAGS # 71-30-0579	Review monthly invoices and pay	N
CCA191	B	VAR	O	$ 36,615.00	$ 24,410.00	6/30/2014	6/30/2014	6/30/2015	NATIONAL THEATRE FOR CHILDREN,	S	Ntl Theatre for Children will utilize the financial education curriculum they develop to present a live, in-school educational performance	Review invoices for incremental payments for services provided.	Y

						FY15 Appropriation			Amount used as of November 30			
Act/ Year	ProgID	Seq No.	Description	Comments	MOF	Temp Pos.	Perm. Pos.	Amount	Temp Pos.	Perm. Pos.	Amount	Comments
Act122/14	CCA 102	100001	SUPPLEMENTAL REQUEST: ADD (1) POSITION FOR CABLE TELEVISION.	LEGISLATURE CONCURS. DETAIL OF GOVERNOR'S REQUEST: (1) STAFF ATTORNEY (#95003R; 80,000) FRINGE BENEFITS (33,632) SEE CCA102 SEQ. NO. 10-001 AND 11-001.	B		1.00	-		1.00	-	Staff attorney position was filled in January 2014, which has been necessary to meet the increased work load and proceeding complexity being experienced. The addition of the position has enabled the Division to conduct succession planning and to complete the transfer of institutional knowledge to another attorney as well.
Act122/14	CCA 102	101001	SUPPLEMENTAL REQUEST: ADD FUNDS FOR TRANSFER-OUT TO DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS FOR CAPITAL IMPROVEMENT PROJECT.	LEGISLATURE CONCURS. FUNDS FOR HAWAII PUBLIC TELEVISION FOUNDATION GRANT-IN-AID PROJECT AUTHORIZED BY ACT 134, SLH 2013. DETAIL OF GOVERNOR'S REQUEST: TRANSFER-OUT TO DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS (2,000,000)	T		-	2,000,000		-	0.00	Awaiting Bill for Collection from the Department of Labor and Industrial Relations (expensing agency).
Act134/13	CCA 102	308001	GOVERNOR'S MESSAGE (3/8/13): ADD FUNDS FOR LEGAL SUPPORT.	LEGISLATURE CONCURS. DETAIL OF GOVERNOR'S REQUEST: SERVICES ON A FEE (300,000)	B		-	300,000		-	0.00	This request was in support of anticipated litigation, which largely has been avoided as a result of a settlement with the parties involved. However, such attorney services are still being utilized in additional proceedings because of their short statutory time frame for decision making and the complexity associated with such proceedings.
Act122/14	CCA 103	100001	SUPPLEMENTAL REQUEST: ADD FUNDS FOR CONSULTANT WORK.	LEGISLATURE CONCURS. FROM PUBLIC UTILITIES COMMISSION SPECIAL FUND FOR DIVISION OF CONSUMER ADVOCACY. DETAIL OF GOVERNOR'S REQUEST: SERVICES ON A FEE, PRIVATE (700,000) SEE BUF901 SEQ. NO. 101-001.	B		-	700,000		-	0.00	Funds for consultant serivces anticipated to be used during the second half of the fiscal year.
Act122/14	CCA 103	101001	SUPPLEMENTAL REQUEST: ADD (1) POSITION AND FUNDS FOR THE DIVISION OF CONSUMER ADVOCACY LEGAL BRANCH.	LEGISLATURE DOES NOT CONCUR. ADD $35,000 FOR 12-MONTH SALARY AND $14,714 FOR FRINGE BENEFITS. FROM PUBLIC UTILITIES COMMISSION SPECIAL FUND FOR DIVISION OF CONSUMER ADVOCACY. DETAIL OF ADJUSTED GOVERNOR'S REQUEST: (1) PUBLIC UTILITIES STAFF ATTORNEY III (#95004R; 70,000) FRINGE BENEFITS (29,428) SEE BUF901 SEQ. NO. 101-001.	B		1.00	99,428		-	0.00	The Division is in the process of establishing the position and will begin recruiting as soon it is established.

						FY15 Appropriation			Amount used as of November 30			
Act/ Year	ProgID	Seq No.	Description	Comments	MOF	Temp Pos.	Perm. Pos.	Amount	Temp Pos.	Perm. Pos.	Amount	Comments
Act122/14	CCA 104	100001	SUPPLEMENTAL REQUEST: ADD FUNDS FOR CLAIMS AND TO COVER MISCELLANEOUS CONTINGENCY EXPENSES.	LEGISLATURE DOES NOT CONCUR. DESIGNATE AS "NON-RECURRING." MORTGAGE LOAN RECOVERY FUND. DETAIL OF ADJUSTED GOVERNOR'S REQUEST: MISCELLANEOUS (10,000) CLAIMS (100,000) NON-RECURRING.	T		-	110,000		-	0.00	Anticipated claims have not been paid because Division has not received any court orders directing payments to any aggrieved persons from the mortgage loan recovery fund.
Act134/13	CCA 105	90001	EXECUTIVE REQUEST: ADD FUNDS FOR REAL ESTATE APPRAISER'S REGISTRY FEE.	LEGISLATURE CONCURS.	T		-	50,000		-	1,680	Division anticipates exhausting funds during FY 15.
Act134/13	CCA 105	92001	EXECUTIVE REQUEST: ADD FUNDS FOR REGISTRATION AND REGULATION OF ATHLETIC TRAINERS.	LEGISLATURE CONCURS. DETAIL OF GOVERNOR'S REQUEST: OFFICE SUPPLIES (6,000) POSTAGE (15,000) OTHER CURRENT EXPENSES (19,000)	B		-	40,000		-	20,000	Division anticipates exhausting funds during FY 15.
Act134/13	CCA 106	91001	EXECUTIVE REQUEST: ADD (4) POSITIONS FOR CAPTIVE INSURANCE ADMINISTRATIVE FUND.	LEGISLATURE CONCURS. DETAIL OF GOVERNOR'S REQUEST: (4) CAPTIVE INSURANCE ADMINISTRATION FUND EXAMINER II (#94001R, #94002R, #94003R, #94004R) SEE CCA106 SEQ. NO. 10-001.	B		4.00	-		3.00	-	Candidate for remaining position has accepted offer and will start January 2015.
Act122/14	CCA 106	100001	SUPPLEMENTAL REQUEST: ADD (1) POSITION FOR CAPTIVE INSURANCE BRANCH.	LEGISLATURE CONCURS. DETAIL OF GOVERNOR'S REQUEST: (1) CAPTIVE INSURANCE ADMINISTRATION FUND EXAMINER I (#95002R; 60,000) FRINGE BENEFITS (25,224) SEE CCA106 SEQ. NO. 10-001 AND 11-001.	B		1.00	-		0.00	-	Position has been posted internally and recruiting efforts are under way. Expected to be filled during January 2015.
Act122/14	CCA 106	101001	SUPPLEMENTAL REQUEST: ADD (6) POSITIONS AND FUNDS FOR REGULATING OVERSIGHT FOR THE PATIENT PROTECTION AND AFFORDABLE CARE ACT.	LEGISLATURE CONCURS. DETAIL OF GOVERNOR'S REQUEST: (1) INS HEALTH ACTUARY (#120421; 90,000) (2) INS HEALTH PREMIUM RATE ANALYST (#120529, #120530; 49,332 EACH) (1) INS RESEARCHER (#120305; 57,708) (1) INS HEALTH INSURANCE INVESTIGATOR IV (#120904; 51,312) (1) INS PROGRAM ASSISTANT (#120306; 41,040) FRINGE BENEFITS (142,400)	B		6.00	481,124		6.00	159,301	

						FY15 Appropriation			Amount used as of November 30			
Act/ Year	ProgID	Seq No.	Description	Comments	MOF	Temp Pos.	Perm. Pos.	Amount	Temp Pos.	Perm. Pos.	Amount	Comments
Act122/14	CCA 107	100001	SUPPLEMENTAL REQUEST: ADD (2) POSITIONS AND FUNDS FOR THE HAWAII POST-SECONDARY EDUCATION AUTHORIZATION PROGRAM.	LEGISLATURE DOES NOT CONCUR. REDUCE (1) PROGRAM ADMINISTRATOR AND $72,257 IN GENERAL FUNDS FOR SALARY AND (1) PROGRAM SPECIALIST IV AND $22,788 IN GENERAL FUNDS FOR SALARY. ADD (1) PROGRAM ADMINISTRATOR AND $72,257 IN SPECIAL FUNDS FOR 12-MONTH SALARY, (1) PROGRAM SPECIALIST IV AND $22,788 IN SPECIAL FUNDS FOR 6-MONTH SALARY, AND $39,919 IN SPECIAL FUNDS FOR FRINGE BENEFITS. DETAIL OF ADJUSTED GOVERNOR'S REQUEST: (1) PROGRAM ADMINISTRATOR (#120990; 72,257A) (1) PROGRAM SPECIALIST IV SR22 (#95001R; 22,788A) FRINGE BENEFITS (39,919B) SOFTWARE LICENSE SUPPORT (1,132B) OFFICE SUPPLIES (1,000B) POSTAGE (1,000B) TELEPHONE (1,000B) RENTAL EQUIPMENT (2,000B) PERSONAL SERVICES ON A FEE (70,000B) OTHER CURRENT EXPENSES (30,000B) CENTRAL SERVICES ASSESSMENT (10,000B) COMPUTER/LAPTOP (3,800B) PRINTER (500B)	B		2.00	263,796		1.00	45,022	HPEAP has attempted to minimize costs by delaying the filling of the second position because projections show the program is not self-sufficient. In the near future, HPEAP will need to pursue adequate funding and staffing to ensure program viability.
Act134/13	CCA 110	90001	EXECUTIVE REQUEST: ADD FUNDS FOR MULTISTATE CONSUMER PROTECTION CASES.	LEGISLATURE CONCURS. DETAIL OF GOVERNOR'S REQUEST: CENTRAL SERVICES ASSESSMENT (120,000)	B		-	120,000			N/A	Ceiling increase added to budget item for overall Central Services assessments
Act134/13	CCA 110	91001	EXECUTIVE REQUEST: ADD (1) POSITION AND FUNDS FOR THE LANDLORD-TENANT HOTLINE.	LEGISLATURE CONCURS. DETAIL OF GOVERNOR'S REQUEST: (1) INVESTIGATOR I SR16C (#94011; FY14: 18,012; FY15: 36,024) FRINGE BENEFITS (FY14: 7,572; FY15: 15,144) TRANSPORTATION INTRA STATE (2,400) SUBSISTENCE INTRA STATE (720) FILING CABINET (FY14: 1000) COMPUTER AND SOFTWARE (FY14: 2,250) MODULAR DESK SYSTEM (FY14: 19,125) TELEPHONE (FY14: 150)	B		1.00	54,288		1.00	5,649	Incumbent on military leave as of 4-1-14.
Act134/13	CCA 191	90001	EXECUTIVE REQUEST: ADD (2) TEMPORARY POSITIONS AND FUNDS FOR MORTGAGE FORECLOSURE DISPUTE RESOLUTION PROGRAM.	LEGISLATURE CONCURS. DETAIL OF THE GOVERNOR'S REQUEST: (1) TEMPORARY PROGRAM SPECIALIST (#94009; 51,312) (1) TEMPORARY OFFICE ASSISTANT IV (#94010; 39,480) FRINGE BENEFITS (38,169) OFFICE SUPPLIES (2,000) POSTAGE (2,000) TELEPHONE (1,000) SERVICES ON A FEE (170,000) MISCELLANEOUS (2,500)	B	2.00	-	306,461	1.00	-	1,731	Only the Office Assistant position has been established (FY14) due to the lack of program activities.

						FY15 Appropriation			Amount used as of November 30			
Act/ Year	ProgID	Seq No.	Description	Comments	MOF	Temp Pos.	Perm. Pos.	Amount	Temp Pos.	Perm. Pos.	Amount	Comments
Act134/13	CCA 191	91001	EXECUTIVE REQUEST: ADD FUNDS FOR CONSUMER EDUCATION CAMPAIGNS.	LEGISLATURE CONCURS.	B		-	50,000		-	12,205	Balance expected to be expensed by year-end.
Act134/13	CCA 191	92900	EXECUTIVE REQUEST: ADD FUNDS FOR INFORMATION TECHNOLOGY EQUIPMENT AND SUBSCRIPTION COSTS.	LEGISLATURE CONCURS. DETAIL OF GOVERNOR'S REQUEST: WEBSENSE GATEWAY ANYWHERE SUBSCRIPTION (32,000) CORE SWITCH MODULE AND SERVER UPGRADES (FY14: 103,700)	B		-	32,000		-	32,000	Entire appropriation was used to purchase Websense Gateway Anywhere subscription as requested.
Act122/14	CCA 191	100001	SUPPLEMENTAL REQUEST: ADD FUNDS FOR CLOUD BASED ARCHITECTURE EMAIL SYSTEM AND ADOPTION OF MICROSOFT OFFICE 365.	LEGISLATURE CONCURS. DETAIL OF GOVERNOR'S REQUEST: PERSONAL SERVICES ON A FEE (50,000) SOFTWARE (100,000) DATA EQUIPMENT (25,000) $75,000 NON-RECURRING.	B		-	175,000		-	0.00	This expenditure is for implementation of OIMT's Office365 Cloud project and needs to be coordinated with OIMT. Our current schedule with OIMT will put this expenditure in the last quarter of FY15 and we anticipate expending all the requested funds.

Department of Commerce and Consumer Affairs
Capital Improvements Program (CIP) Requests

Prog ID	Prog ID Priority	Dept-Wide Priority	Senate District	Rep. District	Project Title	MOF	FY16 $$$	FY17 $$$
None								

Department of Commerce and Consumer Affairs
CIP Lapses

Prog ID	Act/Year of Appropriation	Project Title	MOF	Amount $$$$	Reason
None					

Department of Commerce and Consumer Affairs
Division Resources

Division	Associated Program IDs						
Cable Television	CCA 102						
Consumer Advocate for Comm, Util, & Tran Svc	CCA 103						
Financial Services Regulation	CCA 104						
Professional & Vocational Licensing	CCA 105						
Insurance Regulatory Services	CCA 106						
Hawaii Post-Secondary Education Authorization	CCA 107						
Office of Consumer Protection	CCA 110						
Business Registration and Securities Regulation	CCA 111						
Regulated Industries Complaints Office	CCA 112						
General Support	CCA 191						
Public Utilities Commission	CCA 901*						
* Program ID effective FB2015-17; commission transferred to DCCA from B&F pursuant to Act 108/14.							

Table 25

Program ID	Sub-Org Code	Name	Objective	
CCA 102	FA	Cable Television	To foster the development of responsive and reliable cable television communications services for the people of Hawaii, by promoting the public interest in authorizations by the state regarding cable television franchises; regulate basic cable television rates and service to ensure compliance with applicable state and federal law; expand the statewide institutional network ("INET"); continue the availability of public, education and government ("PEG") cable access; and to engage in activities promoting the expansion of, and accelerating, the deployment of broadband infrastructure.	
CCA 103	HA	Consumer Advocate for Comm, Util, & Tran Svc	To foster the development of responsive and reliable cable television communications services for the people of Hawaii, by promoting the public interest in authorizations by the state regarding cable television franchises; regulate basic cable television rates and service to ensure compliance with applicable state and federal law; expand the statewide institutional network ("INET"); continue the availability of public, education and government ("PEG") cable access; and to engage in activities promoting the expansion of, and accelerating, the deployment of broadband infrastructure.	
CCA 104	BA	Financial Services Regulation	To ensure the safety and soundness of state-chartered and state-licensed financial institutions, and ensure regulatory compliance by state-licensed financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators and mortgage loan originator companies, by fairly administering applicable statutes and rules, in order to protect the rights and funds of depositors, borrowers, consumers and other members of the public.	
CCA 105	GA	Professional & Vocational Licensing	To ensure that the individual is provided with professional, vocational, and personal services meeting acceptable standards of quality, equity and dependability by establishing and enforcing appropriate service standards; to regulate activities for the protection, welfare and safety of the participants as well as the public.	
CCA 106	EA	Insurance Regulatory Services	To ensure that consumers are provided with insurance services meeting acceptable standards of quality, equity, and dependability at fair rates by establishing and enforcing appropriate service standards and fairly administering the Insurance Code.	
CCA 107	IA	Hawaii Post-Secondary Education Authorization Program	To ensure the soundness of accredited degree-granting post-secondary educational institutions by fairly administering applicable statutes and rules in order to protect students, consumers, and other members of the community.	

Department of Commerce and Consumer Affairs
Program ID Sub-Organizations

Program ID	Sub-Org Code	Name	Objective	
CCA 110	DA	Office of Consumer Protection	To protect consumers by investigating alleged violations of consumer protection laws, taking legal action to stop unfair or deceptive trade practices in the marketplace, and educating consumers and businesses about their respective rights and obligations in the marketplace under Hawaii consumer protection laws.	
CCA 111	CA	Business Registration and Securities Regulation	To ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, service marks, publicity name rights and other entities; to run business centers to provide personalized assistance to small and startup businesses; and to ensure compliance with and enforcement of securities and franchise laws.	
CCA 112	AB	Regulated Industries Complaints Office	To assist the general public through consumer education and by enforcing the state's licensing laws.	
CCA 191	AA	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.	
CCA 191	AH	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.	
CCA 191	AI	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.	
CCA 901*	MA	Public Utilities Commission	To ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate of return.	

* Program ID effective FB2015-17; commission transferred to DCCA from B&F pursuant to Act 108/14.

Department of Commerce and Consumer Affairs
Organization Changes

Year of Change FY15/FY16	Page Number	Description of Change
FY 2015	5	Reallocation of Pos No. 39806, from Program Budget Analyst II, SR-18, to Program Budget Analyst III, SR-20, effective November 1, 2014.
FY 2015	7	Redescription of exempt position, Pos. No. 102531, from CRF Staff Attorney II to CRF Staff Attorney III, effective August 1, 2014.
FY 2015	9	Establishment of exempt position, Pos. No. 121425 (Pseudo No. 95003R), CATV Staff Attorney, effective September 8, 2014, as authorized by Act 122, SLH 2014.
FY 2015	13	Establishment of exempt position, Pos. No. 121528 (Pseudo No. 99001R), CRF Financial Institution Examiner III, effective November 10, 2014. Position redescribed to CRF Financial Institution Examiner II, effective November 12, 2014, as authorized by Act 158, SLH 2008.
FY 2015	13	Establishment of exempt position, Pos. No. 121529 (Pseudo No. 99002R), CRF Financial Institution Examiner III, effective November 10, 2014. Position redescribed to CRF Financial Institution Examiner II, effective November 12, 2014, as authorized by Act 158, SLH 2008.
FY 2015	17	Abolishment of exempt position, Pos. No. 120303, INS Information Technology Specialist, effective August 22, 2014.
FY 2015	17	Abolishment of exempt position, Pos. No. 120304, INS Researcher, effective August 22, 2014.
FY 2015	17	Establishment of exempt position, Pos. No. 121444 (Pseudo No. 95002R), CIAF Insurance Examiner I, effective September 22, 2014, as authorized by Act 122, SLH 2014.
FY 2015	17	Establishment of exempt position, Pos. No. 121504 (Pseudo No. 95005R), INS Health Costs & Regulatory Analyst, effective October 20, 2014; federal grant-funded.
FY 2015	17	Redescription of exempt position, Pos. No. 118637, from CRF Licensing Clerk to CIAF Insurance Program Specialist, effective November 17, 2014.
FY 2015	21	Reallocation of Pos. No. 120363, Investigator III, SR-20, to Investigator II, SR-18, effective October 1, 2014.
FY 2015	23	Reallocation of Pos. No. 15939, Regulatory Boards/Commissions Administrative Assistant II, SR-24 to Regulatory Boards/ Commissions Administrative Assistant III, SR-26, effective September 1, 2014.
FY 2015	23	Establish temporary civil service position, Pos. No. 121241 (Pseudo No. 92003R), Secretary II, effective September 16, 2014, NTE June 30, 2015, as authorized by Act 164, SLH 2011.

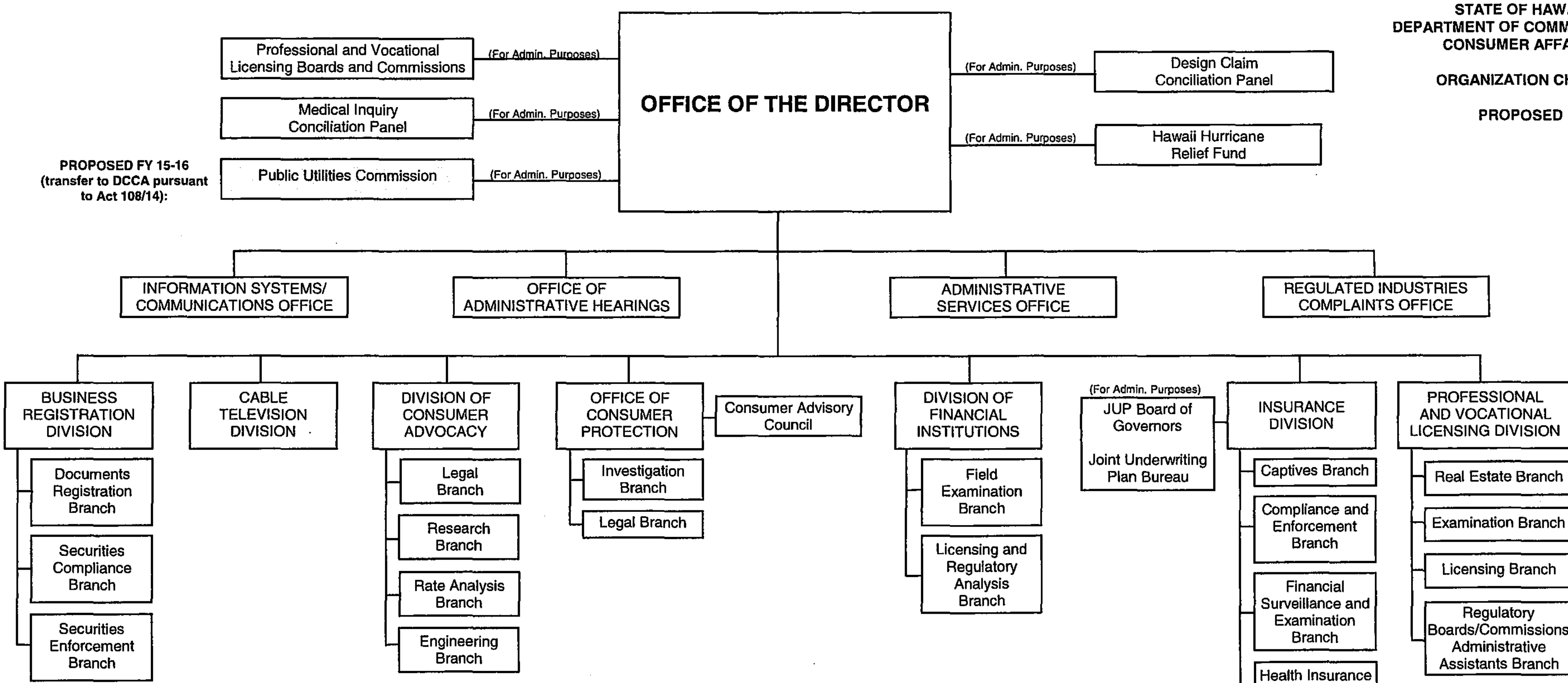
Department of Commerce and Consumer Affairs
Organization Changes

Year of Change FY15/FY16	Page Number	Description of Change
PROPOSED CHANGES:		
FY 2016	1	Addition of the Public Utilities Commission as an administratively-attached agency, pursuant to Act 108, SLH 2014.
FY 2015	13	Redescription of exempt position, Pos. No. 118658, from CRF Financial Institution Examiner II to Financial Institution Examiner III.
FY 2015	13	Redescription of exempt position, Pos. No. 106294, from FIERF Financial Institution Examiner II to CRF Financial Institution Examiner III.
FY 2015	13	Reallocation of Pos. No. 28753, Financial Institution Examiner II, SR-24, to Financial Institution Examiner III, SR-26.

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS

ORGANIZATION CHART

PROPOSED



PROFESSIONAL AND VOCATIONAL LICENSING BOARDS, COMMISSIONS AND PROGRAMS

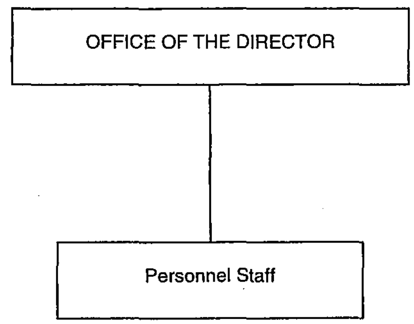
Accountancy
Activity Providers and Activity Desks*
Acupuncture
Athletic Trainers*
Barbering and Cosmetology
Boxing
Cemetery and Pre-Need Funeral Authority*
Chiropractic Examiners
Collection Agencies*
Commercial Employment Agencies*
Condominium Property Regimes*
Contractors
Dental Examiners
Dispensing Opticians*
Electricians and Plumbers
Electrologists*
Elevator Mechanics
Engineers, Architects, Surveyors and Landscape Architects
Hearing Aid Dealers and Fitters*
Limited Equity Housing Cooperatives*
Marriage and Family Therapists*
Massage Therapy
Medical
Mental Health Counselors
Mixed Martial Arts
Motor Vehicle Industry Licensing
Motor Vehicle Repair Industry Licensing
Naturopathic Medicine
Nurses Aides*
Nursing
Nursing Home Administrators*
Occupational Therapists*
Optometry
Pest Control
Pharmacy
Physical Therapy
Pilotage*
Private Detectives and Guards
Psychology
Real Estate
Real Estate Appraisers*
Respiratory Therapists*
Social Workers*
Speech Pathologists and Audiologists
Subdivision*
Time Sharing*
Travel Agencies*
Uniform Athlete Agents*
Veterinary Examiners

06/30/14

* Programs without boards or commissions.

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
OFFICE OF THE DIRECTOR

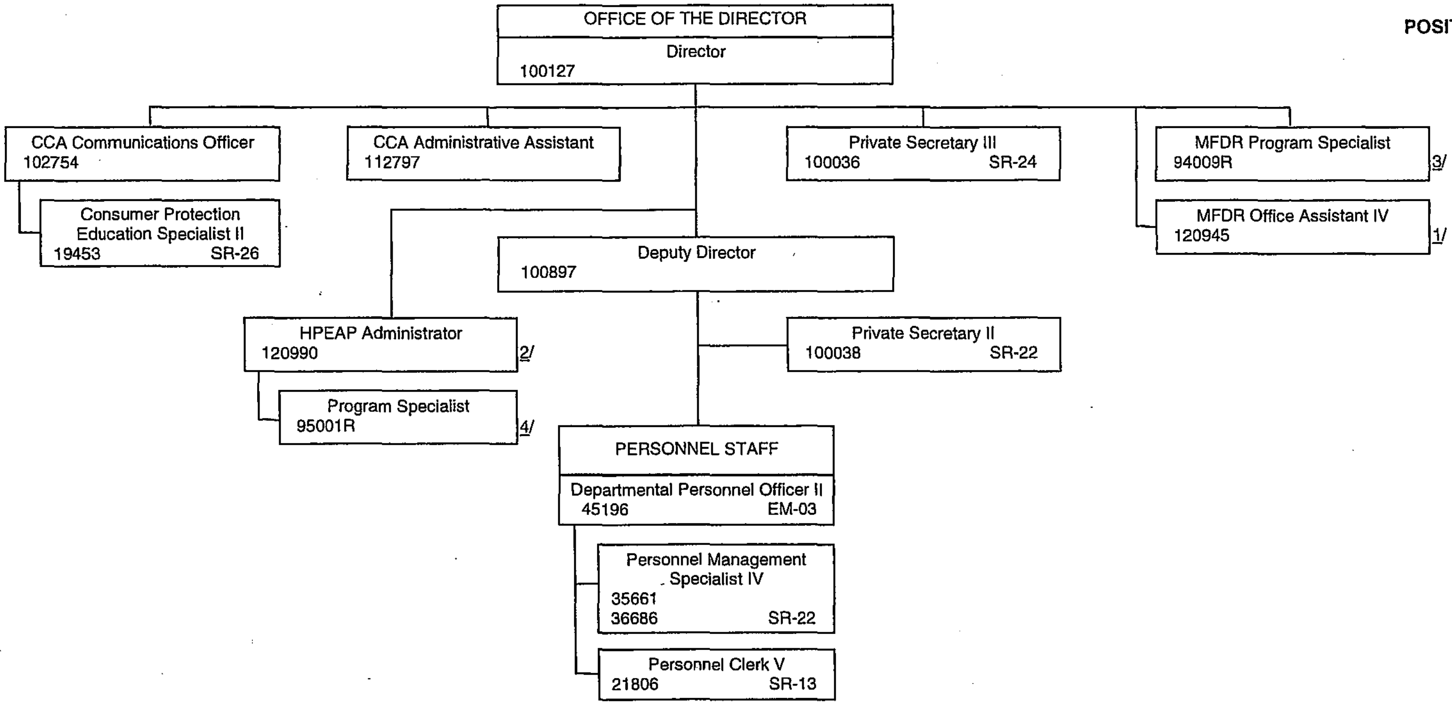
ORGANIZATION CHART



06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
OFFICE OF THE DIRECTOR

POSITION ORGANIZATION CHART

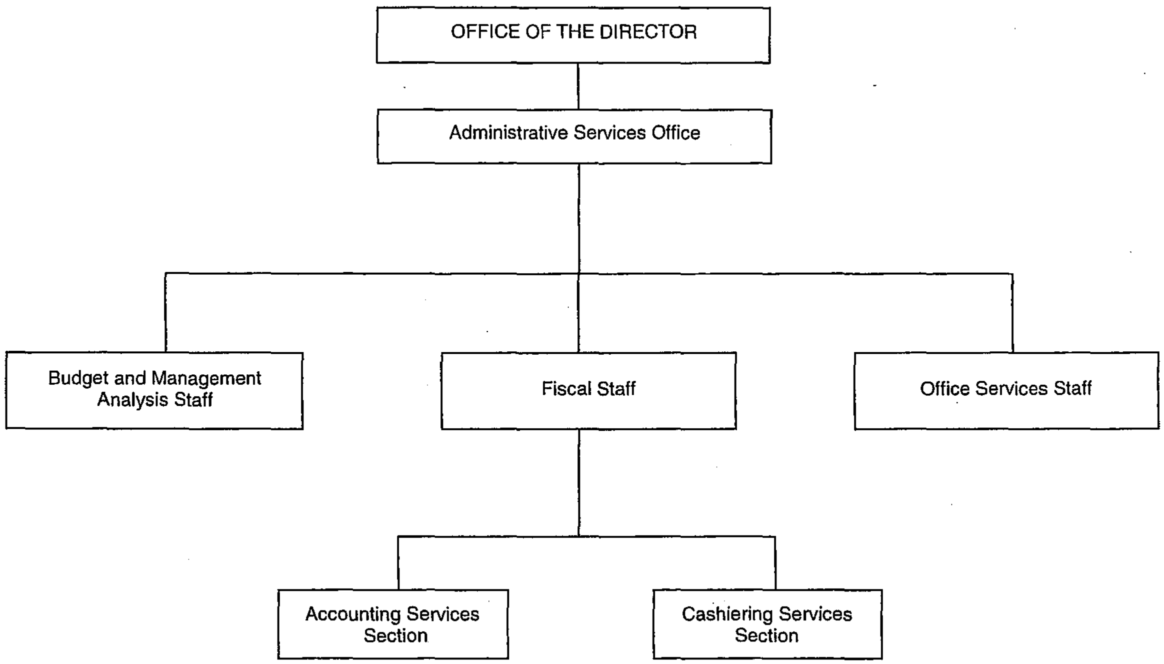


1/ New, temporary, exempt position (Pseudo No. 94010R) established July 1, 2013, NTE June 30, 2015, as authorized by Act 134, SLH 2013.
2/ New, permanent, exempt position (Pseudo No. 94013R) established September 3, 2013, as authorized by Act 180, SLH 2013.
3/ Unestablished, temporary position authorized by Act 134, SLH 2013.
4/ Unestablished, permanent position authorized by Act 122, SLH 2014.

06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
ADMINISTRATIVE SERVICES OFFICE

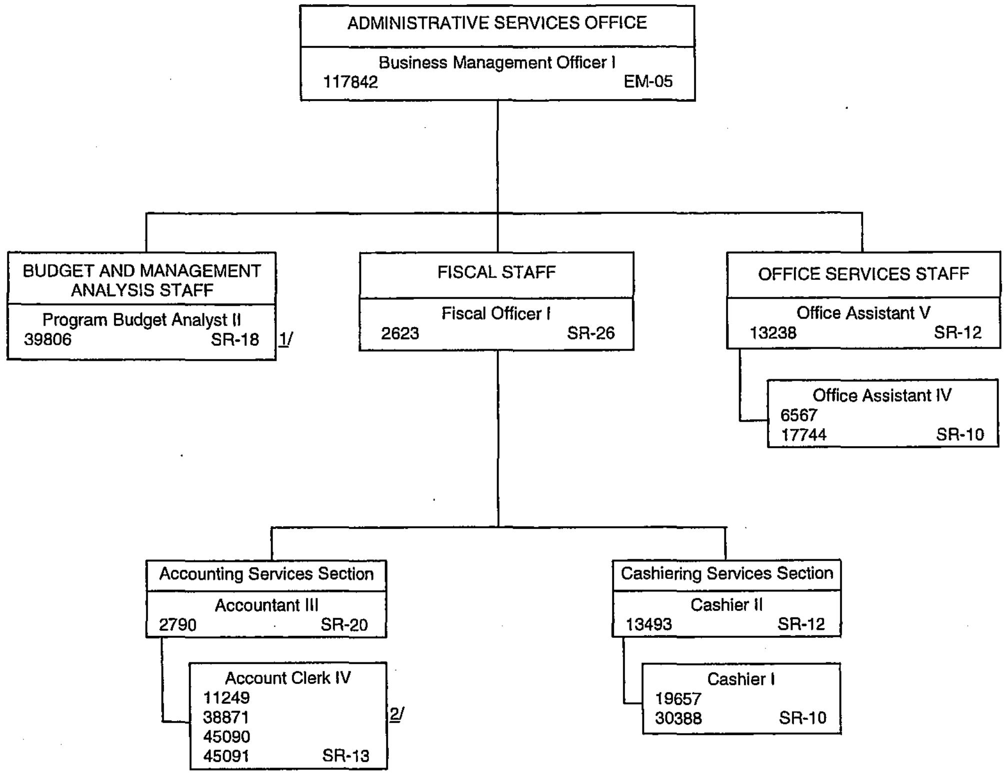
ORGANIZATION CHART



06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
ADMINISTRATIVE SERVICES OFFICE

POSITION ORGANIZATION CHART

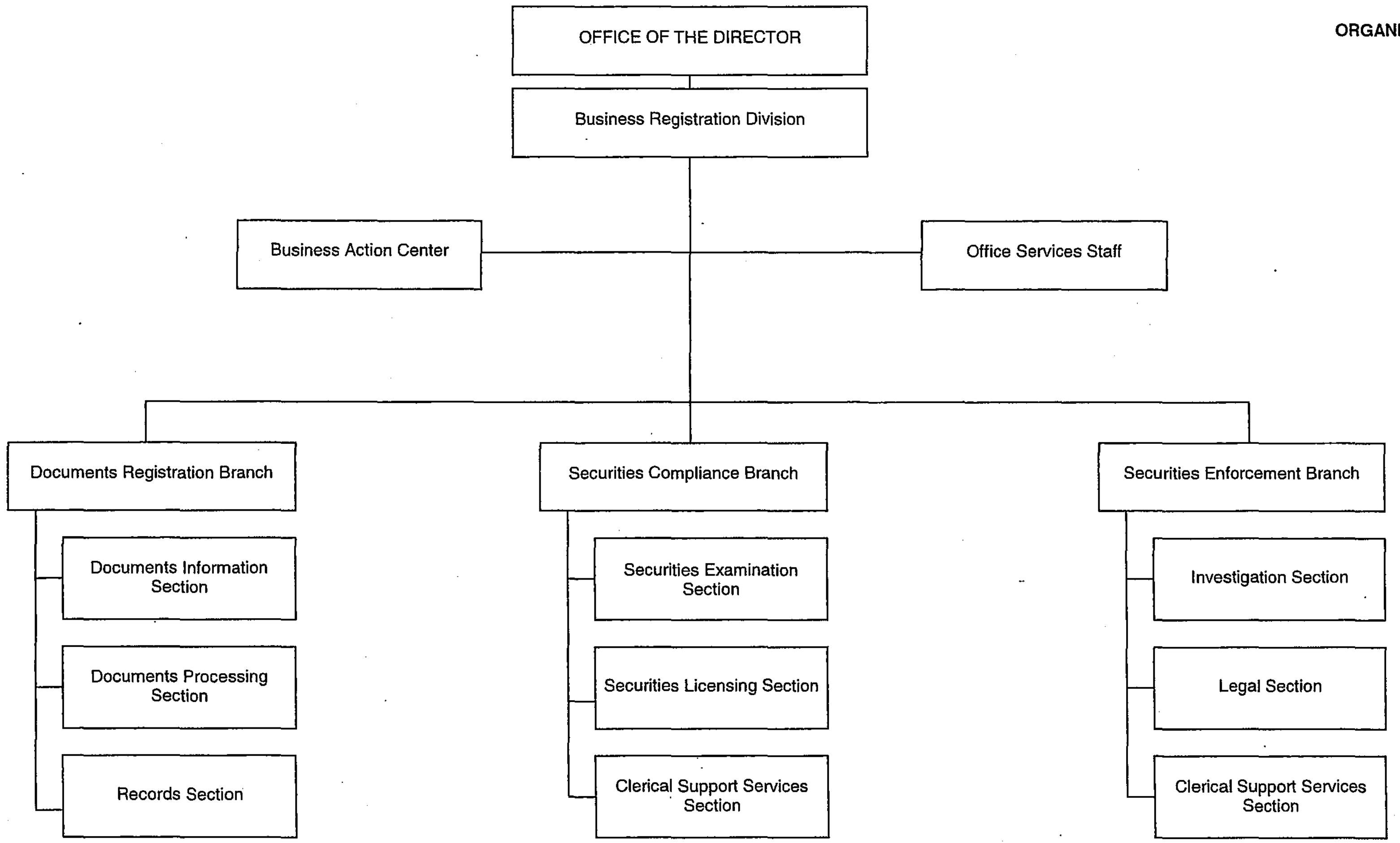


1/ Program Budget Analyst IV, SR-22, Position No. 39806, temporarily downgraded to Program Budget Analyst II, SR-18, effective July 1, 2013.
2/ Account Clerk III, SR-11, Position No. 38871, reallocated to Account Clerk IV, SR-13, effective February 3, 2014.

06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
BUSINESS REGISTRATION DIVISION

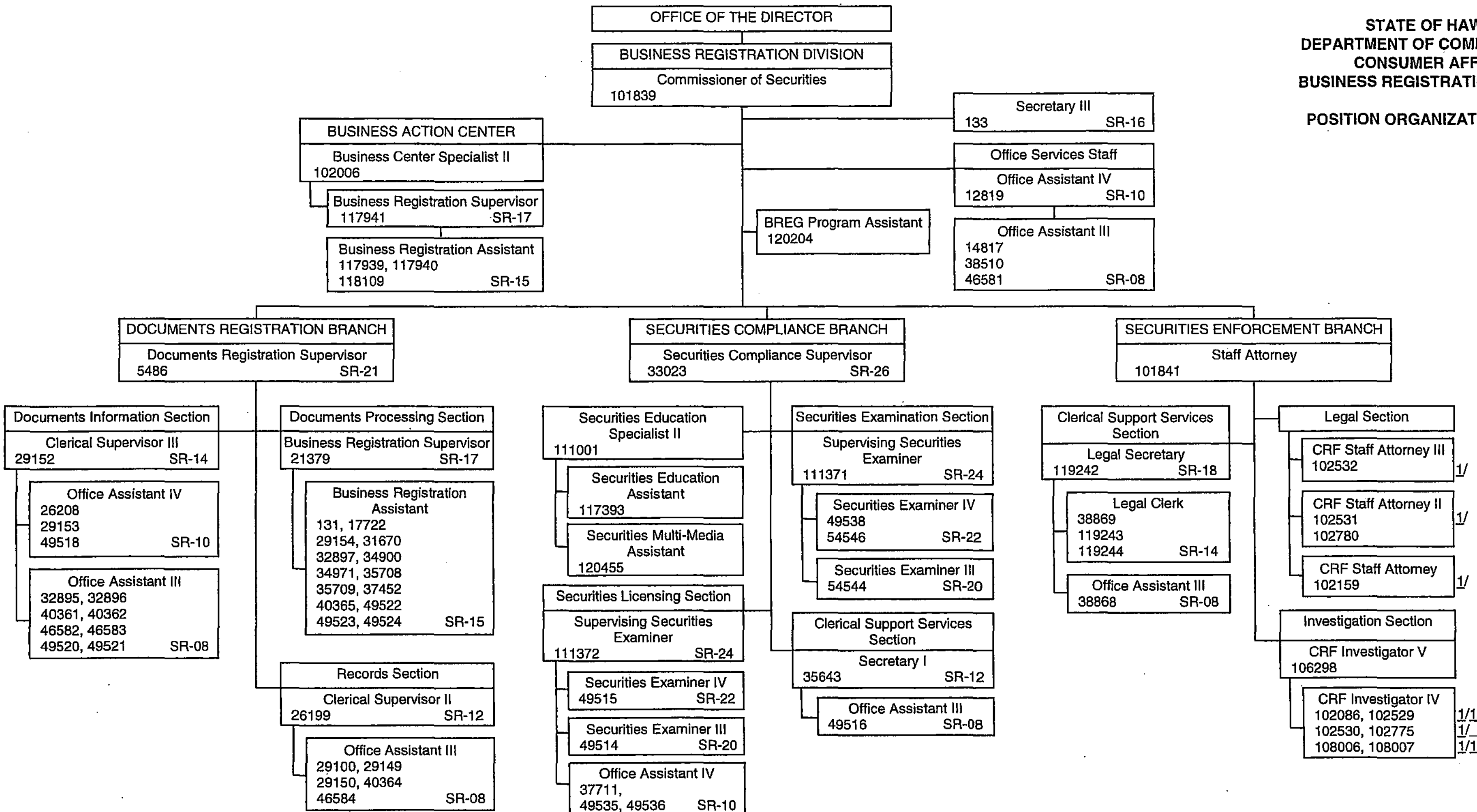
ORGANIZATION CHART



06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
BUSINESS REGISTRATION DIVISION

POSITION ORGANIZATION CHART



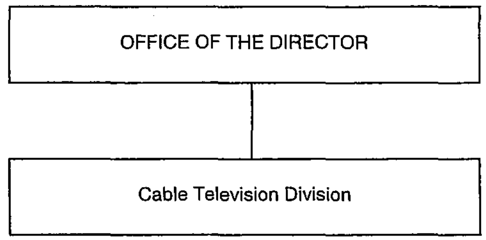
1/ Temporary exempt position, NTE June 30, 2015.

Note: CRF denotes Compliance Resolution Fund

06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
CABLE TELEVISION DIVISION

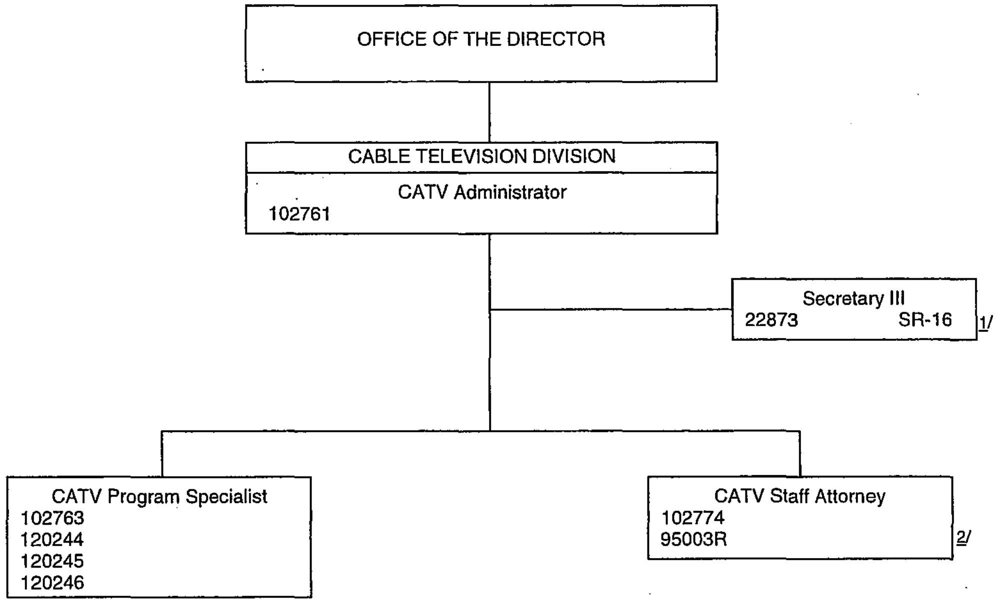
ORGANIZATION CHART



06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
CABLE TELEVISION DIVISION

POSITION ORGANIZATION CHART

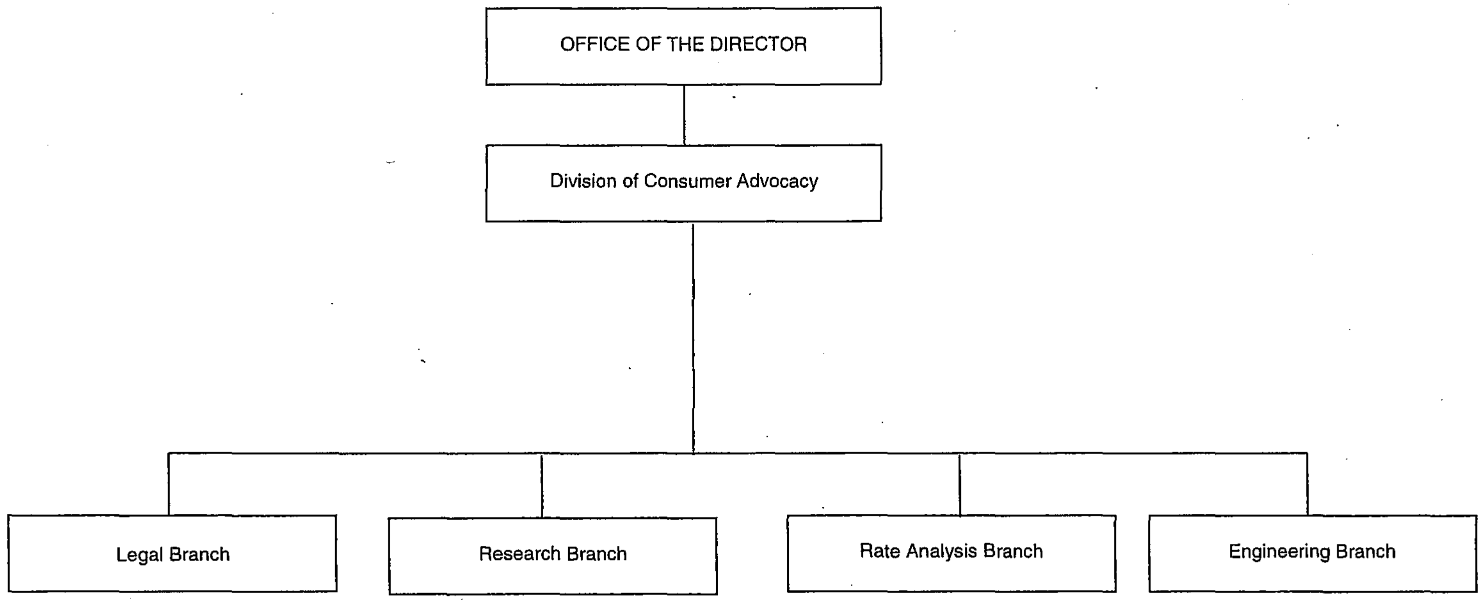


1/ Secretary II, SR-14, Position No. 22873, reallocated to Secretary III, SR-16, effective October 1, 2013.
2/ Unestablished, permanent, exempt position authorized by Act 122, SLH 2014.

06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
DIVISION OF CONSUMER ADVOCACY

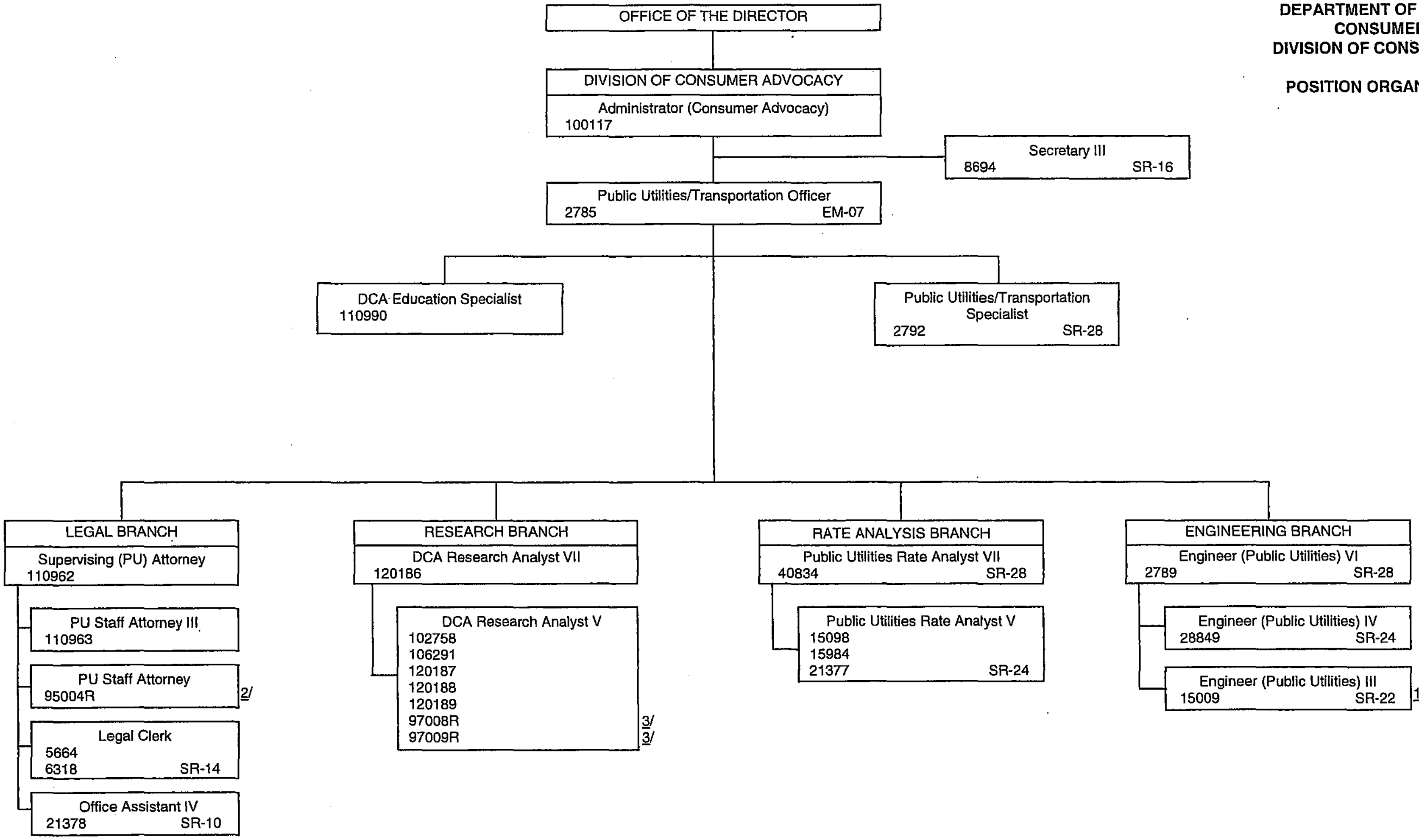
ORGANIZATION CHART



06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
DIVISION OF CONSUMER ADVOCACY

POSITION ORGANIZATION CHART

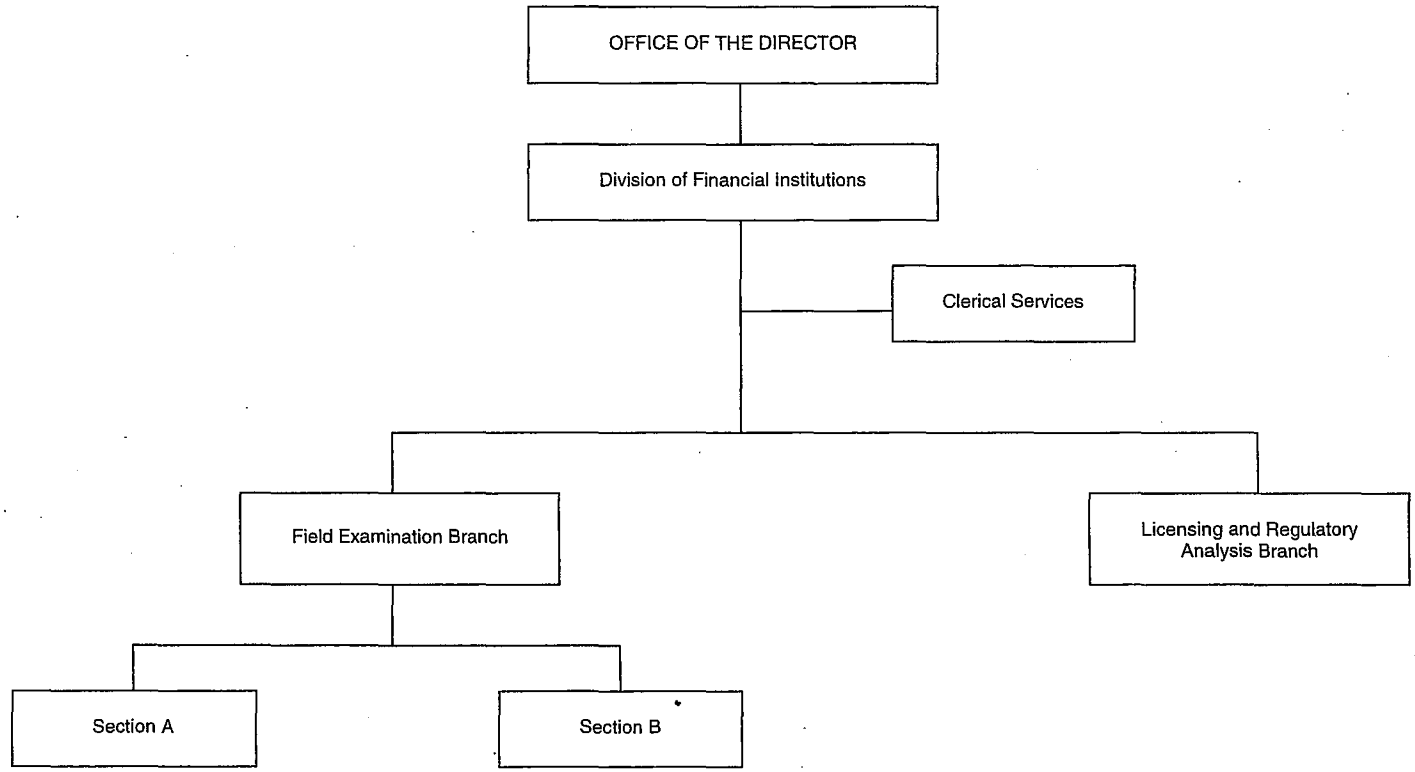


1/ Engineer (PU) IV, SR-24, Position No. 15009, temporarily downgraded to Engineer (PU) III, SR-22.
2/ Unestablished, permanent, exempt position authorized by Act 122, SLH 2014.
3/ Unestablished, exempt position, restored by Act 130, SLH 2010.

06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
DIVISION OF FINANCIAL INSTITUTIONS

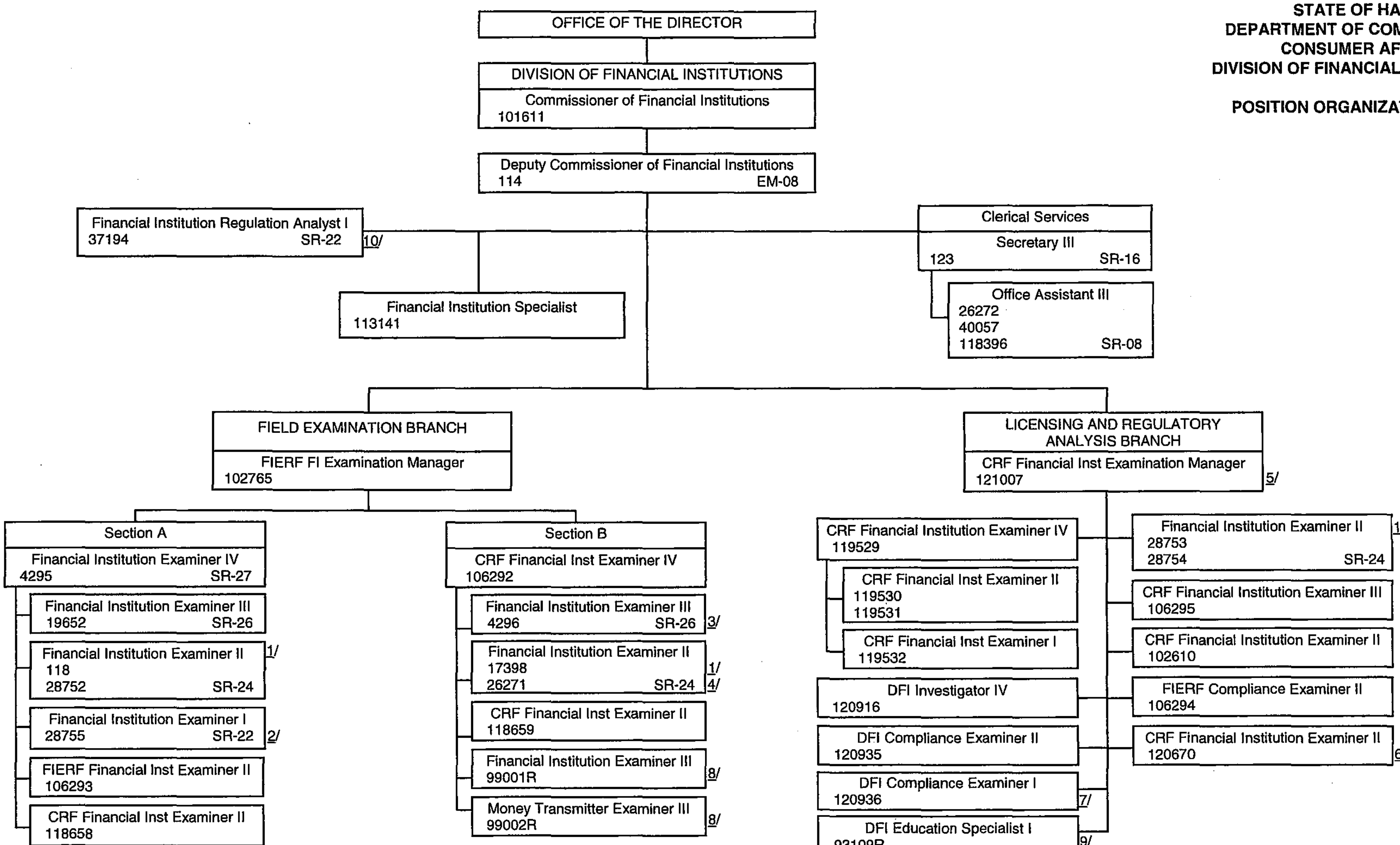
ORGANIZATION CHART



06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
DIVISION OF FINANCIAL INSTITUTIONS

POSITION ORGANIZATION CHART



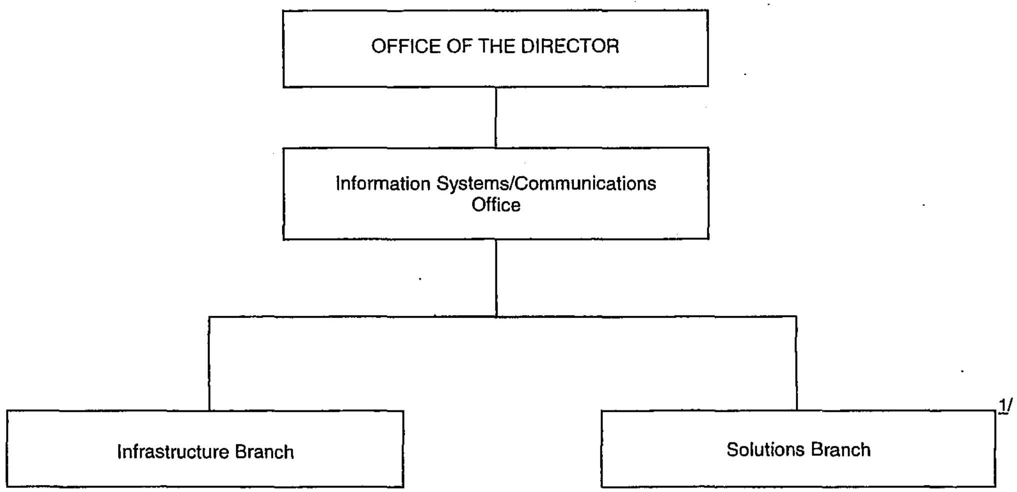
1/ Financial Institution Examiner III, SR-26, Position Nos. 118, 17398, 28752, 28573, and 28754 temporarily downgraded to Financial Institution Examiner II, SR-24.
2/ Financial Institution Examiner III, SR-26, Position No. 28755, temporarily downgraded to Financial Institution Examiner I, SR-22.
3/ Financial Institution Examiner II, SR-24, Position No. 4296, reallocated to Financial Institution Examiner III, SR-26, effective February 18, 2014.
4/ Financial Institution Examiner III, SR-26, Position No. 26271 temporarily downgraded to Financial Institution Examiner II, SR-24, effective October 23, 2013.
5/ New, permanent, exempt position established effective September 23, 2013 to replace civil service Financial Inst Exam Manager, EM-07, Position No. 115, which was abolished.
6/ CRF Financial Institution Examiner I, exempt, redescribed to CRF Financial Institution Examiner II, effective August 30, 2013.
7/ New, temporary, exempt position (Pseudo No. 94101R) established July 1, 2013, NTE June 30, 2015; redescribed to DFI Compliance Examiner I, effective July 22, 2013.
8/ Unestablished, permanent position, authorized by Act 158, SLH 2008. 9/ Unestablished, temporary, exempt, grant-funded position.
10/ Financial Institution Regulation Analyst II, SR-24, temporarily downgraded to Financial Institution Regulation Analyst I, SR-22.

06/30/14

Note: FIERF denotes Financial Institution Examiner Revolving Fund; CRF denotes Compliance Resolution Fund.

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
INFORMATION SYSTEMS/COMMUNICATIONS
OFFICE

ORGANIZATION CHART

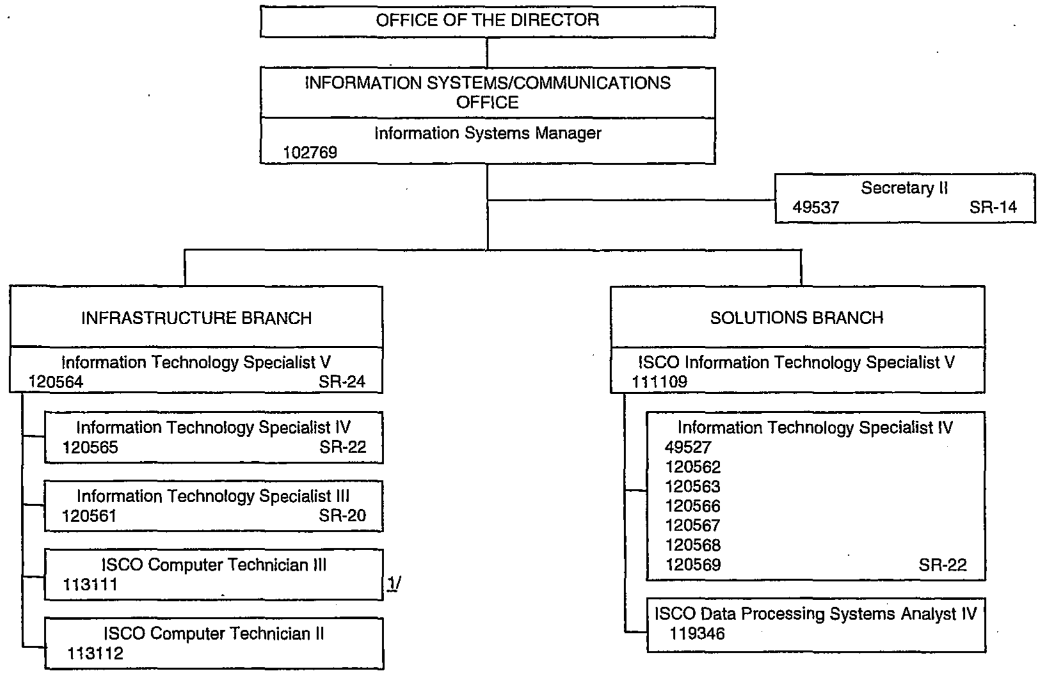


1/ Branch title changed, from Custom Applications Branch to Solutions Branch, effective July 1, 2013.

06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
INFORMATION SYSTEMS/COMMUNICATIONS OFFICE

POSITION ORGANIZATION CHART

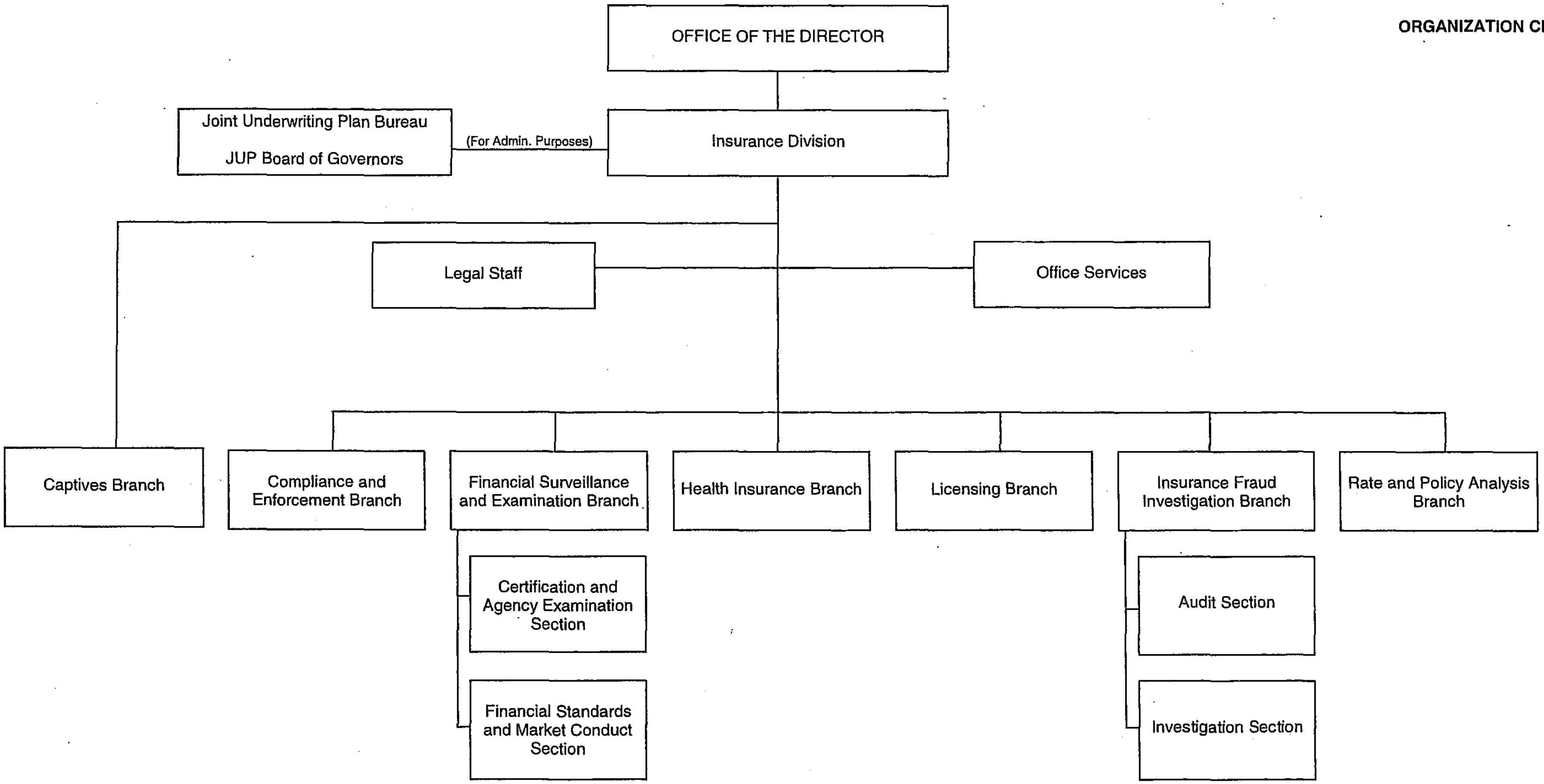


1/ ISCO Computer Technician II, exempt, Position No. 113111, redescribed to ISCO Computer Technician III, effective March 3, 2014.

06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE
AND CONSUMER AFFAIRS
INSURANCE DIVISION

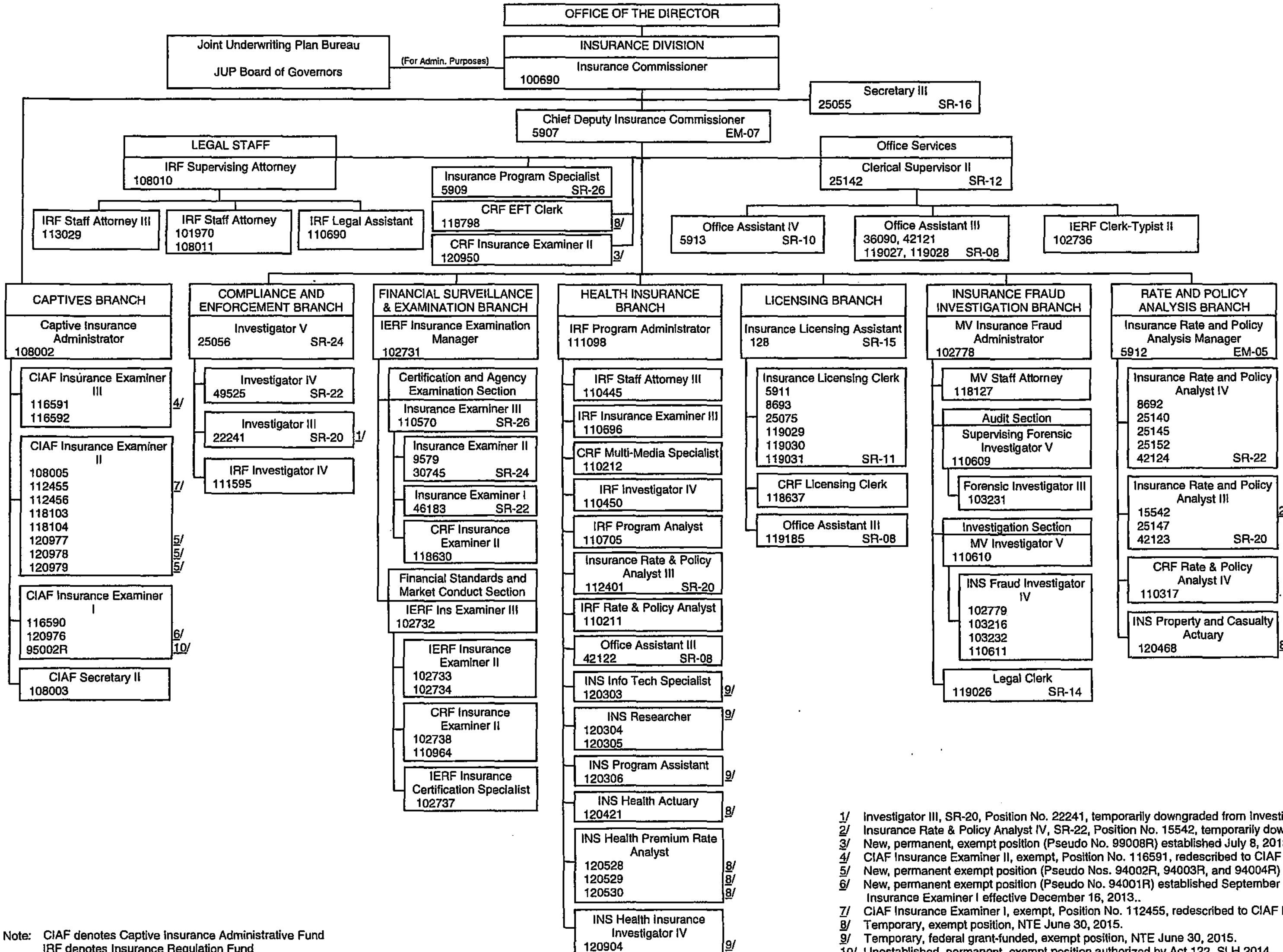
ORGANIZATION CHART



06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE
AND CONSUMER AFFAIRS
INSURANCE DIVISION

POSITION ORGANIZATION CHART



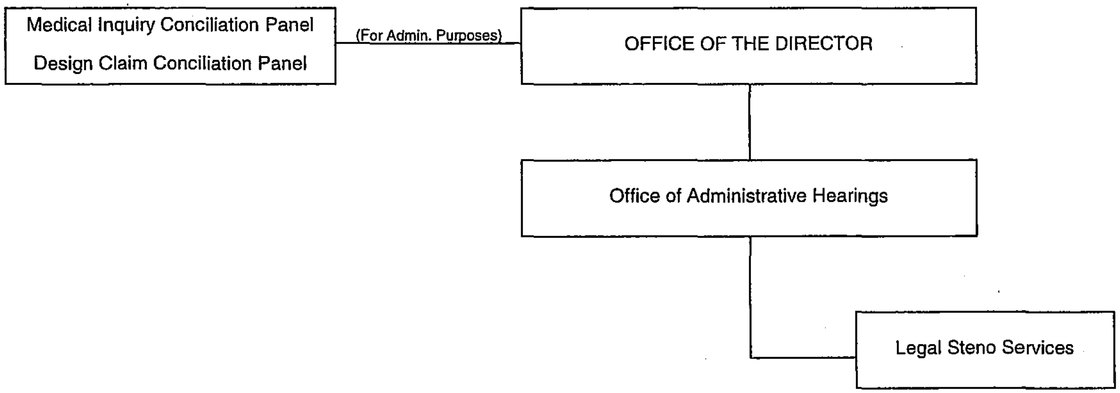
1/ Investigator III, SR-20, Position No. 22241, temporarily downgraded from Investigator IV, SR-22.
2/ Insurance Rate & Policy Analyst IV, SR-22, Position No. 15542, temporarily downgraded to Insurance Rate & Policy Analyst III, SR-20,
3/ New, permanent, exempt position (Pseudo No. 99008R) established July 8, 2013, as authorized by Act 158, SLH 2008.
4/ CIAF Insurance Examiner II, exempt, Position No. 116591, redescribed to CIAF Insurance Examiner III effective August 19, 2013.
5/ New, permanent exempt position (Pseudo Nos. 94002R, 94003R, and 94004R) established September 3, 2013, as authorized by Act 134, SLH 2013.
6/ New, permanent exempt position (Pseudo No. 94001R) established September 3, 2013 as authorized by Act 134, SLH 2013, and redescribed to CIAF Insurance Examiner I effective December 16, 2013..
7/ CIAF Insurance Examiner I, exempt, Position No. 112455, redescribed to CIAF Insurance Examiner II effective May 1, 2014.
8/ Temporary, exempt position, NTE June 30, 2015.
9/ Temporary, federal grant-funded, exempt position, NTE June 30, 2015.
10/ Unestablished, permanent, exempt position authorized by Act 122, SLH 2014.

Note: CIAF denotes Captive Insurance Administrative Fund
IRF denotes Insurance Regulation Fund
IERF denotes Insurance Examiners Revolving Fund
INS denotes Insurance
MV denotes Motor Vehicle

06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
OFFICE OF ADMINISTRATIVE HEARINGS

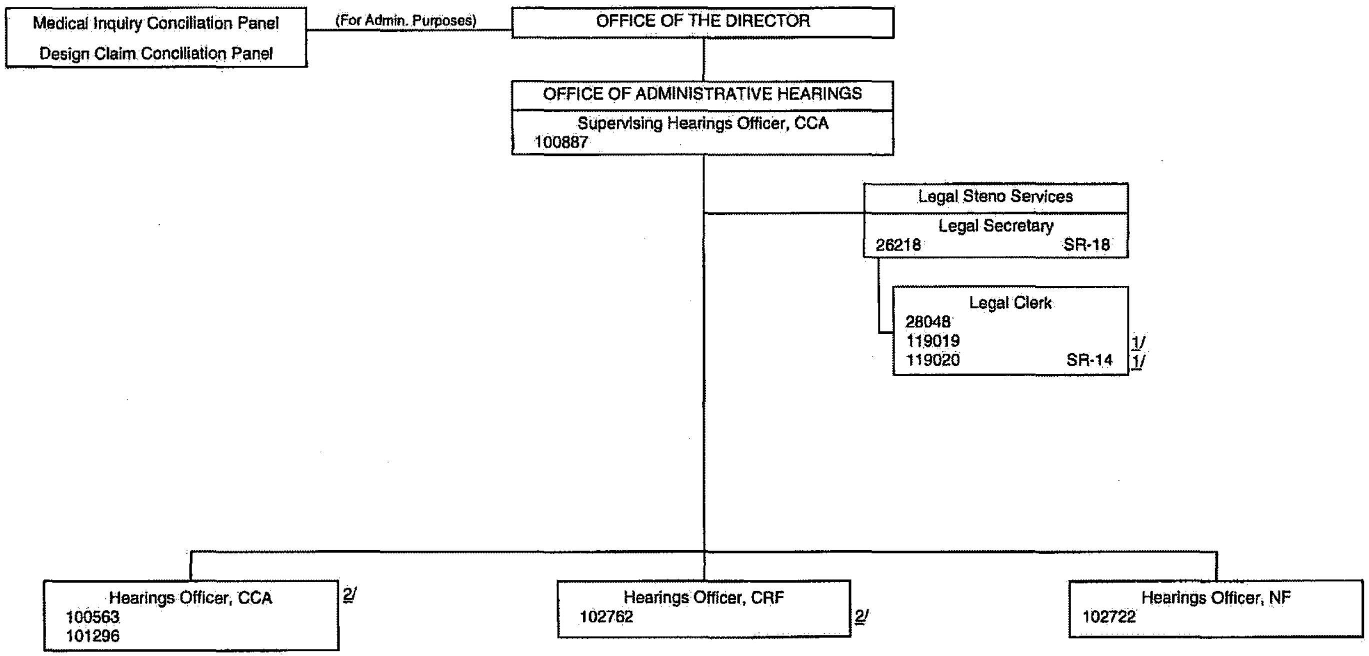
ORGANIZATION CHART



06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
OFFICE OF ADMINISTRATIVE HEARINGS

POSITION ORGANIZATION CHART

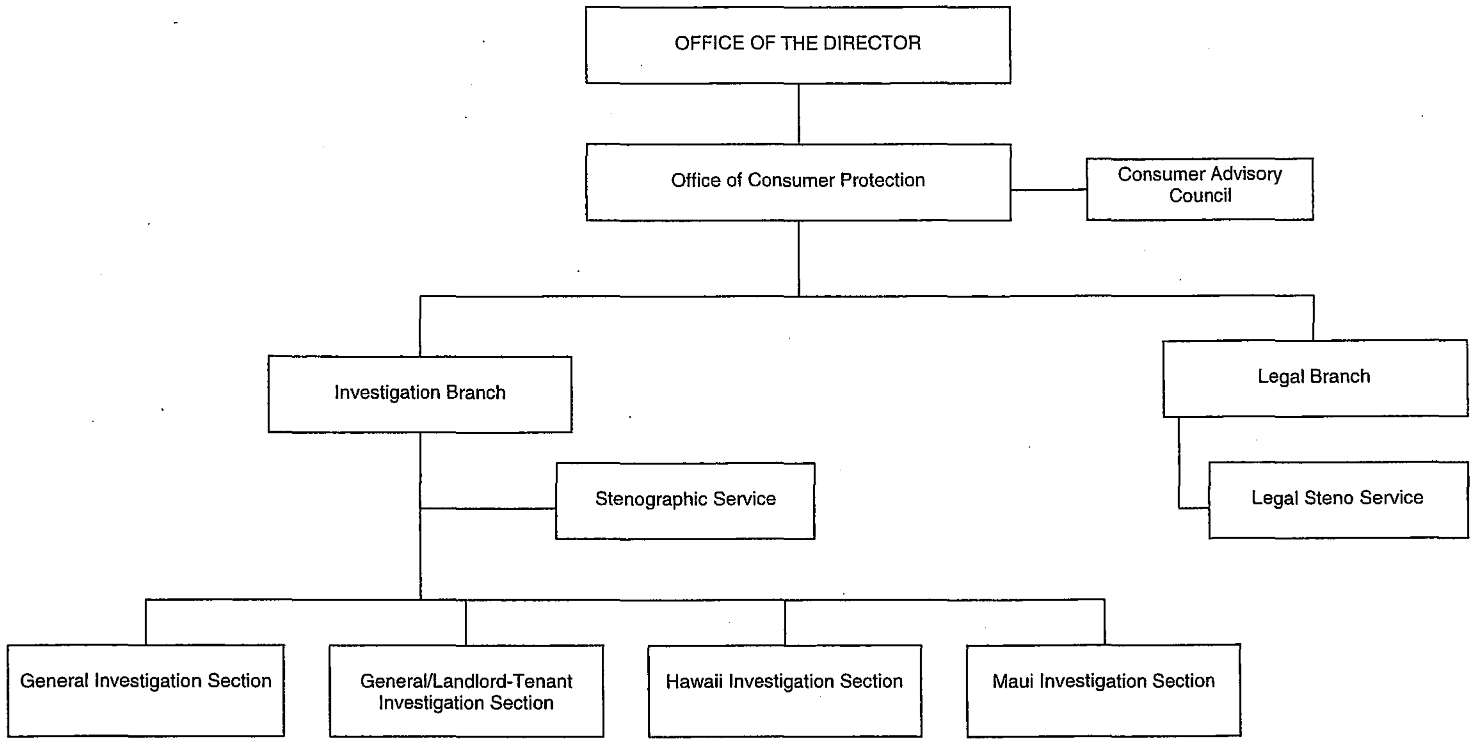


1/ Temporary civil service position, NTE June 30, 2015.
2/ Temporary exempt position, NTE June 30, 2015.

Note: CRF denotes Compliance Resolution Fund

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
OFFICE OF CONSUMER PROTECTION

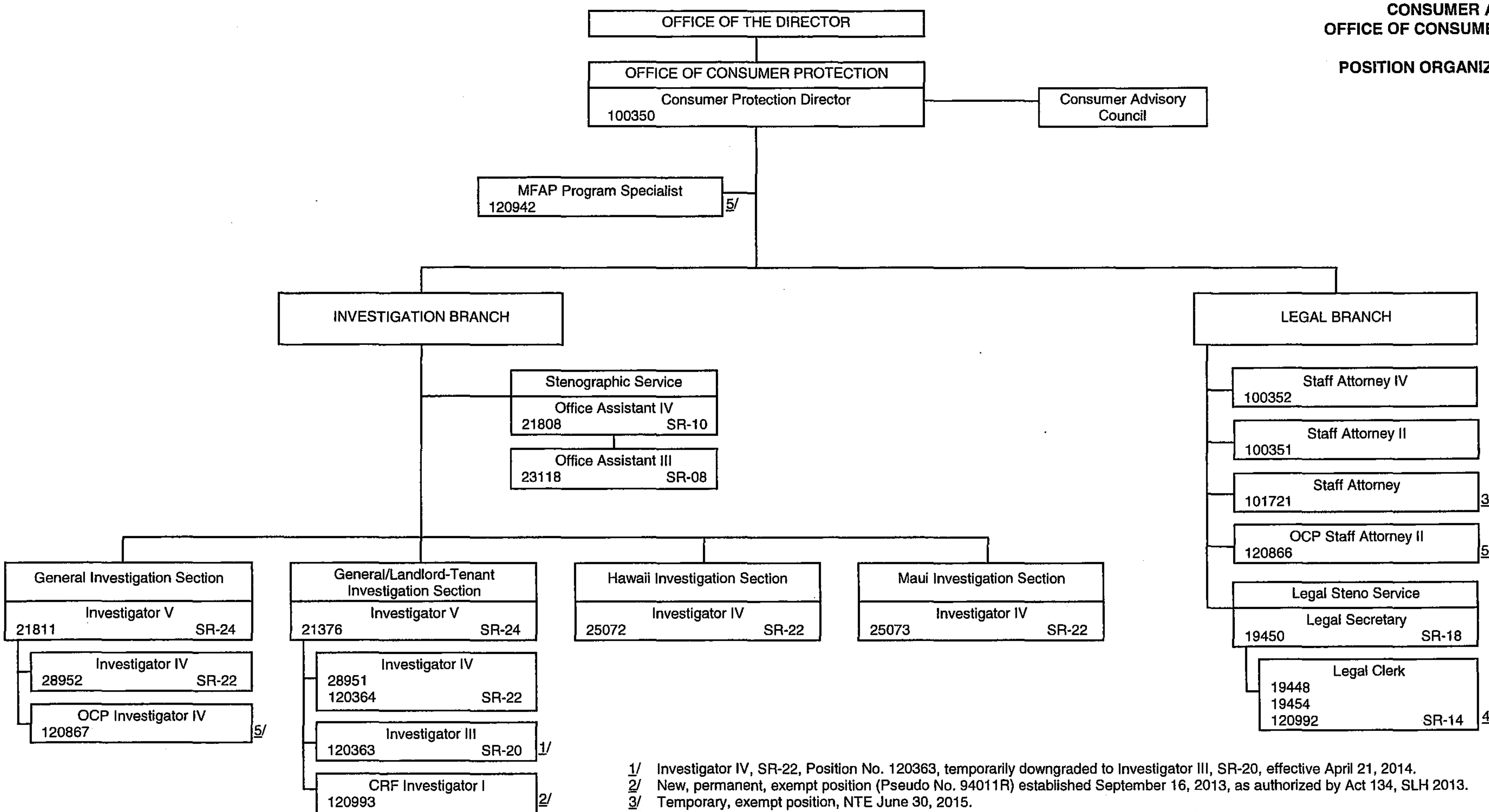
ORGANIZATION CHART



06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
OFFICE OF CONSUMER PROTECTION

POSITION ORGANIZATION CHART



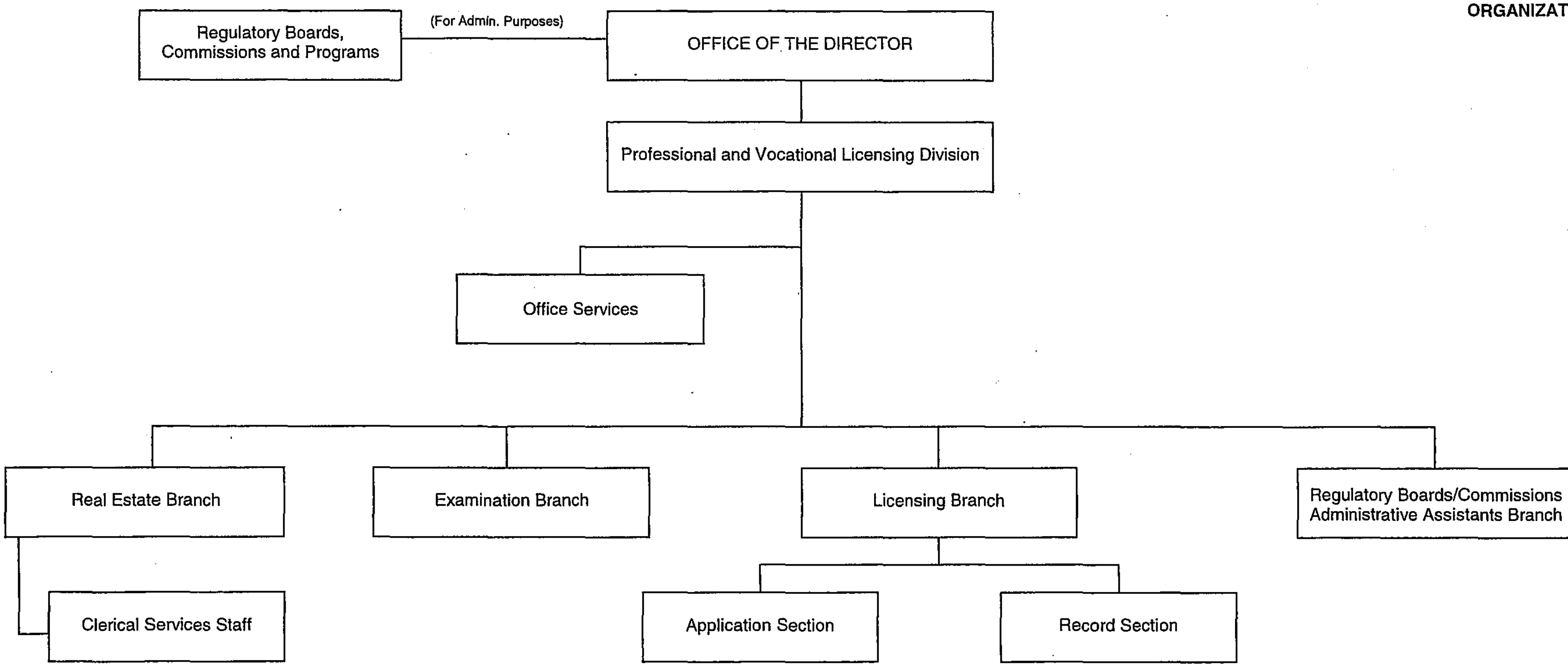
1/ Investigator IV, SR-22, Position No. 120363, temporarily downgraded to Investigator III, SR-20, effective April 21, 2014.
2/ New, permanent, exempt position (Pseudo No. 94011R) established September 16, 2013, as authorized by Act 134, SLH 2013.
3/ Temporary, exempt position, NTE June 30, 2015.
4/ New, temporary, unbudgeted, civil service position (Pseudo No. 93105R) established September 16, 2013, NTE June 30, 2015.
5/ Temporary, grant-funded, exempt position, NTE June 30, 2015.

Note: CRF denotes Compliance Resolution Fund

06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
PROFESSIONAL AND VOCATIONAL LICENSING DIVISION

ORGANIZATION CHART



06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
PROFESSIONAL AND VOCATIONAL LICENSING DIVISION

POSITION ORGANIZATION CHART

- Regulatory Boards, Commissions and Programs — (For Admin. Purposes) — OFFICE OF THE DIRECTOR
- OFFICE OF THE DIRECTOR
  - PROFESSIONAL AND VOCATIONAL LICENSING DIVISION
    - Licensing Administrator — 13105 — EM-07
      - Office Services
        - Secretary IV — 8394 — SR-18
          - Secretary II — 33, 7933, 11090, 24956, 34812, 118937, 118938, 119157 — SR-14
          - PVL Secretary II — 108008, 118828 6/6/; 95002R 8/
      - Staff Attorney — 101310 6/
      - CRF Program Specialist, PVL — 110961, 118769 6/
      - REAL ESTATE BRANCH
        - Real Estate Commission Administrative Assistant — 18581 — SR-28
          - Clerical Services Staff
            - Secretary II — 36375 — SR-14
              - Office Assistant V — 37383, 42125 — SR-12
              - Office Assistant IV — 118944 — SR-10
              - Office Assistant III — 118939, 118940, 118941 — SR-08
          - Condominium Program Specialist — 101968, 102249, 102457
          - Real Estate Specialist II 6/ — 102119, 102122, 102403
          - Regulatory Boards/Commissions Administrative Assistant I — 42706 — SR-22 3/
      - EXAMINATION BRANCH
        - Licensing Examiner V — 13237 — SR-24
          - Office Assistant IV — 11250, 17723, 43736 — SR-10
      - LICENSING BRANCH
        - Clerical Supervisor IV — 6539 — SR-16
          - Application Section
            - Clerical Supervisor III — 11284 — SR-14
              - Office Assistant V — 5213, 6777, 7934, 19618, 24957, 24958, 36373, 42127, 43689, 49273, 49274, 120700 7/, 92002R 9/ — SR-12
          - Record Section
            - Clerical Supervisor II — 26194 — SR-12
              - Office Assistant IV — 12732, 12746, 17724, 22646, 24603, 42126, 43735, 118935, 118936, 120701 7/, 92003R 9/ — SR-10
      - REGULATORY BOARDS/COMMISSIONS ADMINISTRATIVE ASSISTANTS BRANCH
        - Supervising Regulatory Boards/Commissions Administrative Assistant — 3769 — SR-28
          - Regulatory Boards/Commissions Administrative Assistant III — 9577, 32530 — SR-26
          - Regulatory Boards/Commissions Administrative Assistant II — 34, 15939 1/, 15940 1/, 49276, 120570 7/ — SR-24
          - Regulatory Boards/Commissions Administrative Assistant I — 26720 5/2/, 26925, 36374 4/2/, 43691, 120571 7/ — SR-22
          - PVL Regulatory Boards/Commissions Administrative Assistant II — 108004 6/
          - Time Share Program Specialist — 100410

1/ Reg B/C AA III, SR-26, Position Nos. 15939 and 15940, temporarily downgraded to Reg B/C AA II, SR-24.
2/ Reg B/C AA III, SR-26, Position Nos. 26925 and 43691, temporarily downgraded to Reg B/C AA I, SR-22.
3/ Reg B/C AA II, SR-24, Position No. 42706 temporarily downgraded to Reg B/C AA I, SR-22.
4/ Reg B/C AA III, SR-26, Position No. 36374, temporarily downgraded to Reg B/C AA I, SR-22, effective September 23, 2013, for recruitment purposes.
5/ Reg B/C AA III, SR-26, Position No. 26720, temporarily downgraded to Reg B/C AA I, SR-22. effective February 10, 2014, for recruitment purposes
6/ Temporary exempt position, NTE June 30, 2015.
7/ Temporary civil service position, NTE June 30, 2015.
8/ Unestablished, temporary position authorized by Act 209, SLH 2004.
9/ Unestablished, temporary position authorized by Act 164, SLH 2011.

Note: CRF denotes Compliance Resolution Fund

06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
REGULATORY BOARDS, COMMISSIONS AND PROGRAMS

ORGANIZATION CHART

OFFICE OF THE DIRECTOR

(For Administrative Purposes)

REGULATORY BOARDS, COMMISSIONS AND PROGRAMS

Regulatory Boards/Commissions Administrative Assistants		Real Estate Branch
Accountancy Activity Providers and Activity Desks* Acupuncture Athletic Trainers* Barbering and Cosmetology Boxing Cemetery and Pre-need Funeral Authority* Chiropractic Examiners Collection Agencies* Commercial Employment Agencies* Contractors Dental Examiners Dispensing Opticians* Electricians and Plumbers Electrologists* Elevator Mechanics Engineers, Architects, Surveyors and Landscape Architects Hearing Aid Dealers and Fitters* Marriage and Family Therapists* Massage Therapy Medical Mental Health Counselors Mixed Martial Arts*	Motor Vehicle Industry Licensing Motor Vehicle Repair Industry Licensing Naturopathic Medicine Nurses Aides* Nursing Nursing Home Administrators* Occupational Therapists* Optometry Pest Control Pharmacy Physical Therapy Pilotage* Private Detectives and Guards Psychology Real Estate Appraisers* Respiratory Therapists* Social Workers* Speech Pathologists and Audiologists Subdivision* Time Sharing* Travel Agencies* Uniform Athlete Agents* Veterinary Examiners	Condominium Property Regimes* Limited Equity Housing Cooperatives* Real Estate

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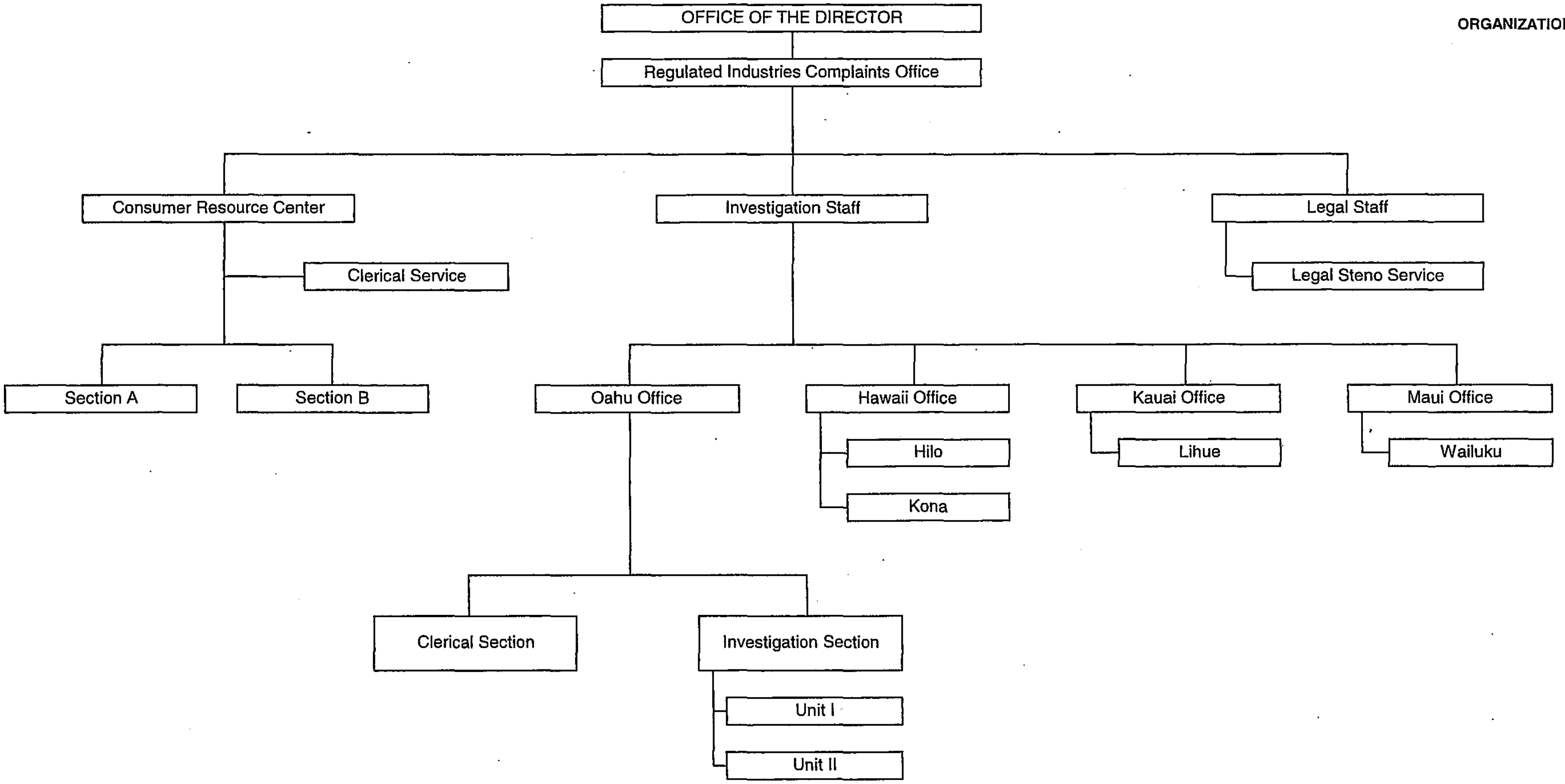
*Programs without boards or commissions.

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND
CONSUMER AFFAIRS
REGULATED INDUSTRIES COMPLAINTS OFFICE

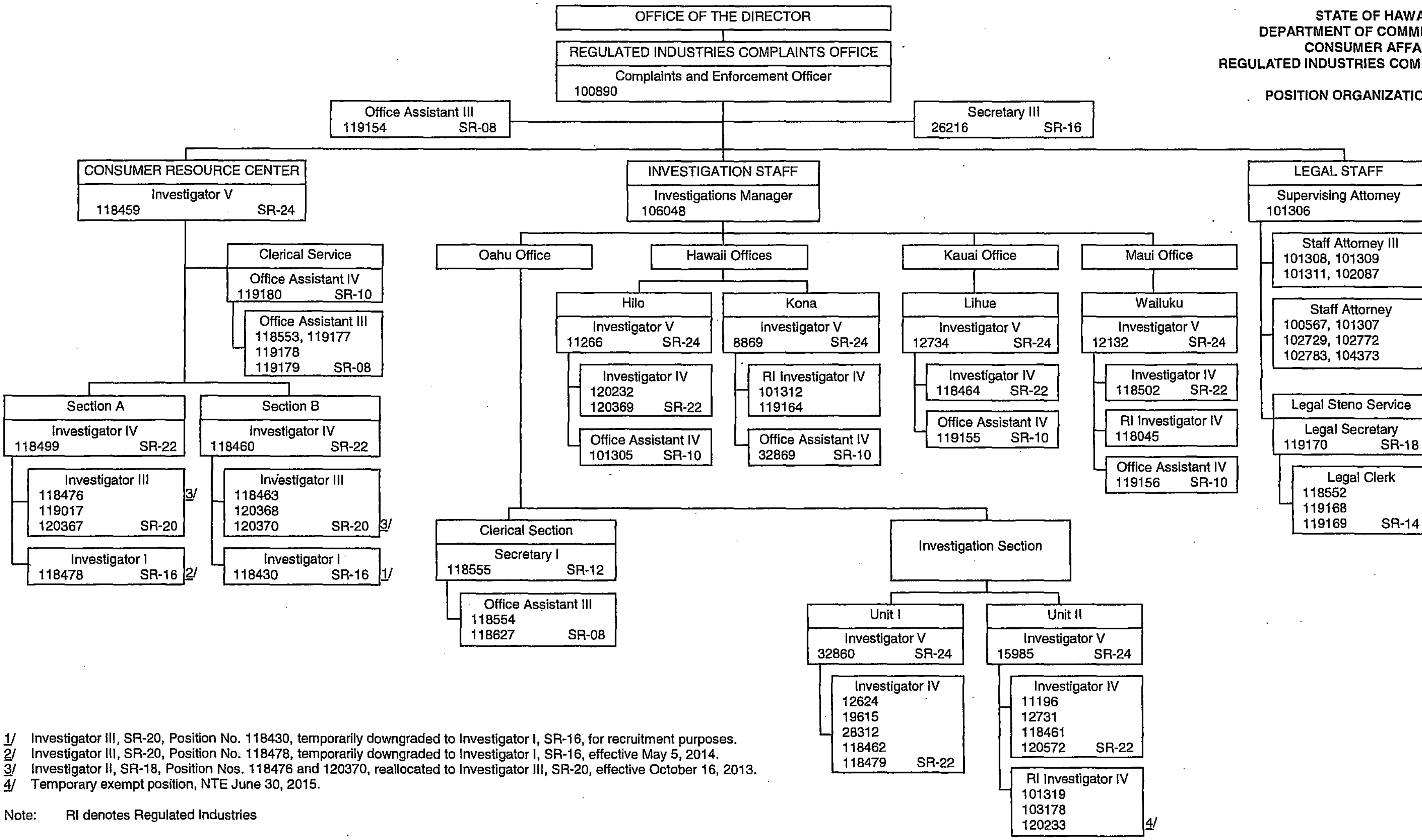
ORGANIZATION CHART



06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
REGULATED INDUSTRIES COMPLAINTS OFFICE

POSITION ORGANIZATION CHART



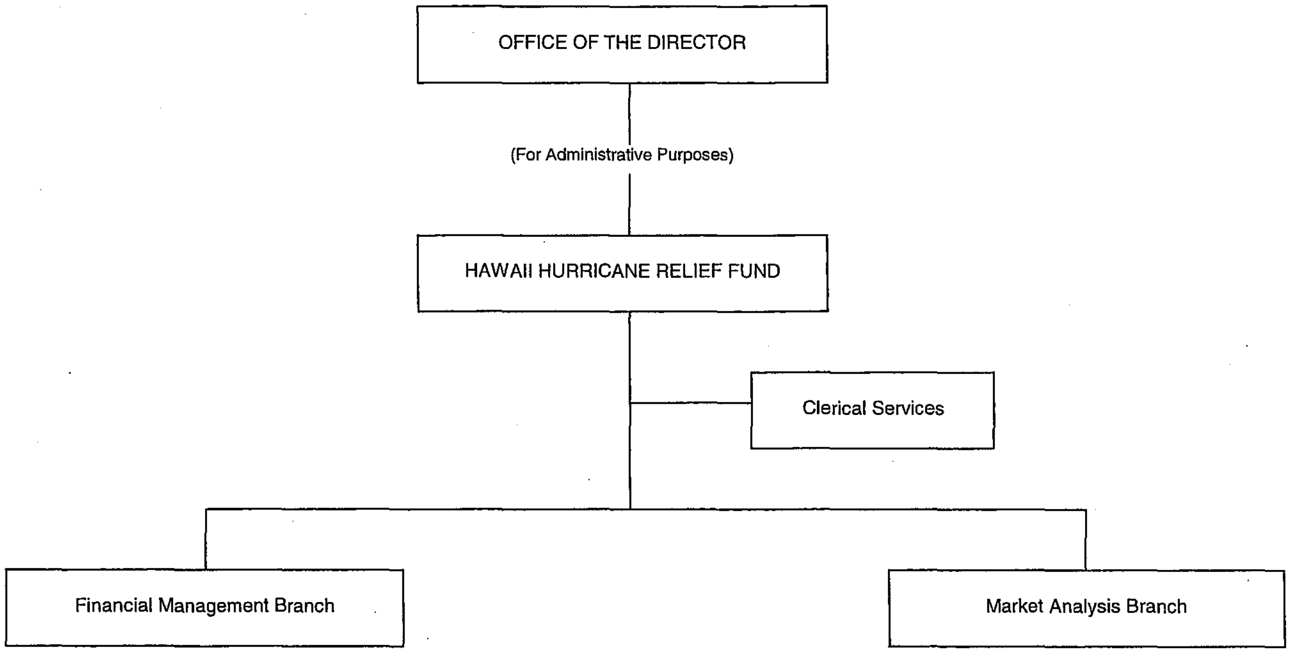
1/ Investigator III, SR-20, Position No. 118430, temporarily downgraded to Investigator I, SR-16, for recruitment purposes.
2/ Investigator III, SR-20, Position No. 118478, temporarily downgraded to Investigator I, SR-16, effective May 5, 2014.
3/ Investigator II, SR-18, Position Nos. 118476 and 120370, reallocated to Investigator III, SR-20, effective October 16, 2013.
4/ Temporary exempt position, NTE June 30, 2015.

Note: RI denotes Regulated Industries

06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
HAWAII HURRICANE RELIEF FUND

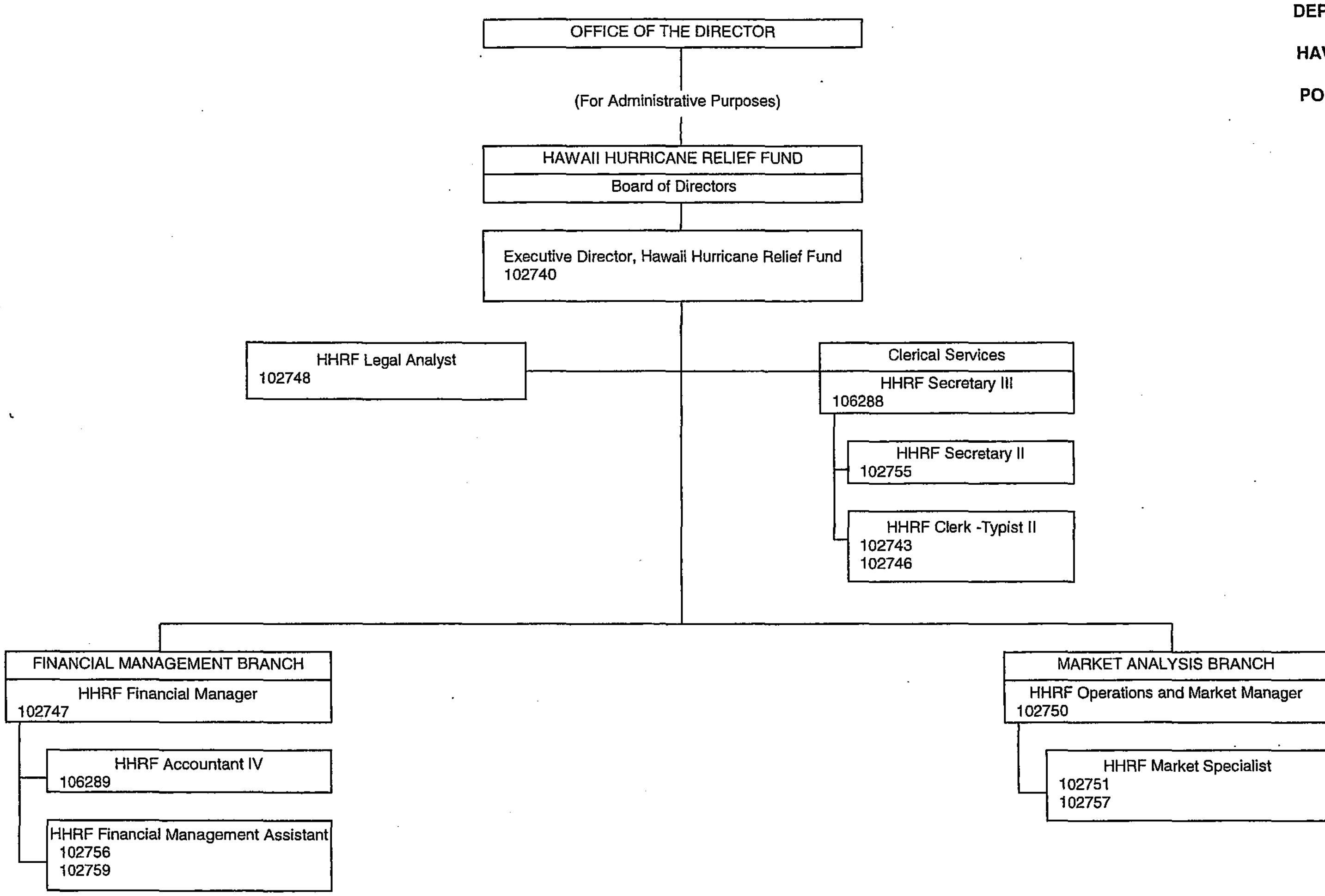
ORGANIZATION CHART



06/30/14

STATE OF HAWAII
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
HAWAII HURRICANE RELIEF FUND

POSITION ORGANIZATION CHART



Note: All positions assigned to the Hawaii Hurricane Relief Fund are unbudgeted.

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