

HOUSE COMMITTEE ON FINANCE
SUPPLEMENTAL BUDGET REQUESTS FOR FISCAL YEAR 2021

JANUARY 10, 2020

TESTIMONY OF THE DEPARTMENT OF BUDGET AND FINANCE

A. OVERVIEW

MISSION STATEMENT

The mission of the Department of Budget and Finance (Department) is to enhance long-term productivity and efficiency in government operations by providing quality budget and financial services that prudently allocates and effectively manages available resources.

B. CURRENT STATEWIDE CONDITIONS AND IMPACTS ON DEPARTMENTAL OPERATIONS

The current tight labor market and low unemployment rate presents immediate challenges for both the recruitment and retention of key staff that are necessary to support the operations of our department's core programs and the attached agencies. The continued aging of the State's workforce presents both opportunities for change and challenges in terms of ensuring that knowledge and skills are both retained and transferred in an orderly manner. Growth in the number of State retirees also impacts on our fixed cost obligations pertaining to retirement benefits, retiree health premiums for the current retirees, and meeting our unfunded obligations for the Other Post-Employment Benefits (OPEB) requirements.

C. FEDERAL FUNDS

The Department does not have any identified programs that may lose federal funds.

D. NON-GENERAL FUNDS

The reports to the Legislature on non-general funds pursuant to HRS 37-47 can be found on the B&F website as follows:

<https://budget.hawaii.gov/wp-content/uploads/>

E. BUDGET REQUESTS DEVELOPMENT AND PRIORITIZATION

The FY 2021 Supplemental Budget submittal for the department is focused on meeting fixed cost requirements and key priority needs of the programs and attached agencies which are highlighted as follows:

- A) Adjustments are requested to the funding levels for the non-discretionary fixed cost requirements for FY 2021. These include: debt service; retirement benefits; which includes Pension Accumulation and Social Security/Medicare (SS/Med) payments for State employees, and payments for the State employer's share of health premiums for active employees and annual required contributions for retiree health benefits.
- B) Priority budget requests for FY 2021 that are deemed necessary to meet key targeted departmental program support and improvements include the following:
 - a. Budget, Program Planning and Management Division (BUF 101) requests 2.00 FTE Program Budget Analyst V positions to meet workload requirements to provide thorough, detailed and systematic analysis of programs and requests, particularly for the highly complex program areas with both large budgetary and statewide public impacts, and to provide budget information system support.

- b. Financial Administration Division (BUF 115) requests 1.00 FTE Accountant VI position and funds for an investment portfolio system. This request is necessary for program improvements to enhance internal controls, ensure continuity, and improve management of the state treasury resources.
- c. Employees' Retirement System (BUF 141) requests 2.00 FTE Investment Officer Positions and funds to better implement and manage ERS' \$17+ billion sophisticated and dynamic investment portfolio.
- d. Hawaii Employer-Union Trust Fund requests \$400,000 for data cleansing and conversion services that are necessary for the migration of the current benefit administration computer system to a new system.

C) Statewide funding for cabinet-level salary adjustments pursuant to Commission on Salaries actions and for salary adjustments for minimum wage increases for non-collective bargaining employees.

The Department's FY 2021 operating budget request (all MOF) represents a net decrease of \$22.58 million in FY 2021 compared against the FY 2021 appropriation funding levels in Act 5, SLH 2019. This change is due to decreases in our projected fixed cost requirements for: debt service, retirement benefits, and health premium payments.

In FY 2021, General funds are decreased by \$17,943,783; Special funds are increased by \$791,045, Federal Funds (for minimum wage increases) are increased by \$251,053; Trust Funds are increased by \$401,396; Inter-Departmental Transfer Funds are decreased by \$6,858,618; Revolving Funds (for minimum wage increases) are increased by \$88,804; and Other Funds are increased by \$688,160, as compared to the Department's FY 2021 appropriations.

Requested funding levels for FY 2021 (all means of financing) are reflected in the following table:

	<u>Supplemental Budget request FY 2021</u>
(Pos. Count)	391.50
Personal Services	\$ 47,262,805
Current Expenses:	
Discretionary	\$ 24,044,727
Non-discretionary	\$ 3,507,360,813
Equipment	\$ 163,770
Total	\$ 3,578,832,115
(Pos. Count)	204.50
General Funds	\$ 3,155,810,082
(Pos. Count)	0.00
Special Funds	\$ 378,366,045
(Pos. Count)	0.00
Federal Fund (Min Wage Increase)	\$ 251,503
(Pos. Count)	72.00
Trust Funds	\$ 20,940,362
(Pos. Count)	0.00
Interdepartmental Funds	\$ 4,007,269
(Pos. Count)	0.00
Revolving (Min Wage Increase)	\$ 88,804
(Pos. Count)	115.00
Other Funds	\$ 19,368,050

Please note that 98.0% of the FY 21 budget (\$3.5 billion) is for non-discretionary statewide expenses (debt service, retirement system, health fund, mass transit, witness fees, and court-appointed counsel), and \$71.5 million or 2.0% is for expenses associated with direct departmental operations.

This concludes the department-wide budget overview.

Specific additional information pursuant to the 2020 Budget Briefing Testimony

Instructions are provided in the attached (Tables 1 through 18).

More detailed descriptions of each of the seventeen programs in the Department are provided. These programs are sequenced and functionally grouped as follows:

BUF 101, Departmental Administration and Budget Division program;
BUF 102, Collective Bargaining/Salary Commission;
BUF 103, Vacation Payout Statewide;
BUF 115, Financial Administration program;

*BUF 141, the Employees' Retirement System;
*BUF 143, the Hawaii Employer-Union Trust Fund; and
*BUF 151, the Office of the Public Defender;

Fixed Costs:

BUF 721, Debt Service Payments;
BUF 725, Debt Service Payments-DOE;
BUF 728, Debt Service Payments-UH;

BUF 741, Retirement Benefits Payments;
BUF 745, Retirement Benefits Payments-DOE;
BUF 748, Retirement Benefits Payments-UH;

BUF 761, Health Premium Payments;
BUF 762, Health Premium Payments-ARC
BUF 765, Health Premium Payments-DOE;
BUF 768, Health Premium Payments-UH

*Administratively Attached Agencies/Programs

The first four (4) programs are the Department's direct programs, and are comprised of the Director's Office, the Administrative and Research Office, the Budget, Program Planning and Management Division, and Office of Federal Awards Management (collectively BUF 101), Collective Bargaining Statewide (BUF 102), Vacation Payout Statewide (BUF 103), and the Financial Administration Division (BUF 115). The next three (3) programs, annotated with asterisks, are agencies that are administratively attached to the Department.

The Department Program Administrators and the heads of the attached agencies are present and are available to elaborate on their individual budgets and to answer specific questions in regard to their respective programs and operations. Finally, there are also ten (10) programs that comprise payments for non-discretionary cost items that are placed under separate program designations. These ten (10) programs represent our Department's fixed cost and entitlement payments (BUF 721 (State), BUF 725 (DOE), and BUF 728 (UH) -Debt Service Payments; BUF 741 (State), BUF 745 (DOE), and BUF 748 (UH) -Retirement Benefit Payments; BUF 761 (State), BUF 762 (Retirees - Annual Required Contribution), BUF 765 (DOE), and BUF 768 (UH) -Health Premium Payments).

BUF 101, DEPARTMENTAL ADMINISTRATION AND BUDGET DIVISION PROGRAM

I. Introduction

- A. The objective of this program is to facilitate and improve the executive resource allocation process by undertaking planning, programming, and budgeting, through sound recommendations on all phases of program scope and funding, and by efforts to simplify and more directly tie program performance with resource allocation decisions.
- B. This program includes: 1) Departmental Administration; 2) the Budget, Program Planning and Management Division; and 3) the Federal Awards Management Office.

II. The major activities undertaken by this program to meet its program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
DEPARTMENTAL ADMINISTRATION AND BUDGET DIVISION		
BUF 101BA	Conduct continuous review and advise the Director and Governor on the financial condition of the State and on State budget policies.	2
BUF 101BA	Assist in the preparation, explanation, and administration of the State's proposed six-year program and financial plan and the State budget.	1
BUF 101BA	Advise and monitor State agencies for compliance with budget execution policies and procedures.	3
BUF 101BA	Perform analysis of State programs, operations, problems, and issues to provide management with a sound, rational basis for decision making.	3
BUF 101BA	Provide staff services for the Governor as required.	2
BUF 101AA	Provide administrative support activities to the Department.	2
BUF 102SC	Funds are budgeted for the disbursement of statewide salary commission recommendations.	1
BUF 102/CB BUF 103/VP	Funds are budgeted for the disbursement of Statewide collective bargaining appropriations. Funds are also budgeted for Statewide Vacation payouts.	1
BUF 761, BUF 762, BUF 765, BUF 768	Funds are budgeted for employer contributions for health benefit premiums for State employees, retirees and their dependents.	1

Capital Improvement Requests for Fiscal Year 2021

The department is requesting \$900,000 for fire safety improvements including fire sprinkler systems for the Paki Building at the Bishop Museum to protect the rare, invaluable, and irreplaceable artifacts and scientific collections of flora, fauna, archaeological artifacts, and written materials.

The next three programs are BUF 761, BUF 762, BUF 765, and BUF 768, HEALTH PREMIUM PAYMENTS programs.

I. Introduction

- A. These programs were established by the Legislature to separately identify funds available for the payment of employer contributions for State government employee health benefits.**
- B. Cost requirements for active employees are based on current benefit plan premiums and projected eligible employees. Premium rates for retirees are based on rates projected by the EUTF benefit consultant and anticipated enrollment levels. Projected enrollments for actives and retirees are based on actual November 2019 enrollments snapshot with annual growth factors (2% for actives and 4% for retirees) and actual HMSA 75-25 premium amounts that are lower than projected.**

The next program is the BUF 115, FINANCIAL ADMINISTRATION PROGRAM.

I. Introduction

- A. The objective of this program is to maximize the value, investment, and use of State funds through proactive planning, and the development of prudent statewide financial policies, the timely scheduling of State bond financing, and the establishment of appropriate cash management controls and procedures. In addition, the objective of the unclaimed property program is to maximize the receipt of unclaimed property or funds from holders and to return the property or funds to their rightful owners.**

II. The major activities undertaken by this program to meet program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
FINANCIAL ADMINISTRATION		
BUF 115CA	Receive, safeguard, and disburse funds to meet State funding obligations in the State Treasury.	1
BUF 115CA	Maximize investment of funds.	2
BUF 115CA	Plan, direct, and coordinate the issuance and marketing of bonds.	2
BUF 115CA	Receives unclaimed property or funds from holders and holds them in trust until they are returned to their rightful owner.	3
BUF 721, BUF 725, BUF 728	Funds are budgeted for debt service payments associated with GO and GO Reimbursable Bonds issued by the State.	1

The next three (3) programs are the BUF 721, BUF 725, and BUF 728, DEBT SERVICE PAYMENTS programs, which are also administered by the Financial Administration Division.

I. Introduction

- A. This program was established by the Legislature to separately identify funds available for the State's debt service payments.
- B. This program includes principal and interest payments associated with GO Bonds and GO Reimbursable Bonds issued by the State. Appropriation and expenditures for the Department of Education and the University of Hawaii are included and debt service is paid centrally by the Financial Administration Division.

The next program is the BUF 141, Employees' Retirement System (ERS).

I. Introduction

A. The ERS program objectives are to provide retirement and survivor benefits to State and county employees, retirees, and inactive vested members and to prudently maximize the return on its investment portfolio.

II. The major activities undertaken by this program to meet program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
EMPLOYEES' RETIREMENT SYSTEM		
BUF 141FA	Provides various membership services including pre-retirement counseling; computation of retirement, disability and death benefits; enrollment of new State and county employees into the appropriate retirement plan; conducting disability hearings and appeals.	3
BUF 141FA	Budgets, accounts for and safeguards all assets of the ERS; ensures tax qualified status of the fund; and processes retirement benefit payments.	1
BUF 141FA	Plan, administer and oversee investments of the ERS' portfolio including stocks, bonds, foreign securities, mortgage loans, real estate, alternative investments, and short-term instruments.	2
BUF 741, BUF 745, BUF 748	Funds are budgeted for the payments of employer contributions towards State government employee retirement benefits including pension accumulation and Social Security/Medicare.	1

The next three (3) programs are BUF 741, BUF 745, and BUF 748, RETIREMENT BENEFIT PAYMENTS.

I. Introduction

- A. These programs were established by the Legislature to separately identify funds available for the payment of employer contributions for State government employee retirement benefits.**
- B. This program includes employer contributions for pension accumulation and Social Security/Medicare (SS/Med) as general fund appropriations for State employees, the Department of Education (DOE), and the University of Hawaii (UOH). Pension accumulation contributions are based on a percentage of payroll as stipulated in Chapter 88, HRS. SS/Med contributions are federally mandated and based on assessment rates of 6.2% for Social Security and 1.45% for Medicare.**

The last Government-Wide support program is the BUF 143, the Hawaii Employer-Union Trust Fund (EUTF).

I. Introduction

- A. The objective of this program is to administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and State legal requirements.**

II. The major activities undertaken by this program to meet program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
HAWAII EMPLOYER-UNION HEALTH BENEFITS TRUST FUND		
BUF 143EU	EUTF Board of Trustees determine the nature and scope of the benefit plans offered, negotiate and enter into contracts with insurance carriers, administer self-funded plans, establish eligibility and management policies for the Trust Fund, and oversee all Trust Fund activities.	1
BUF 143EU	EUTF staff train State and county personnel and fiscal officers on enrollment procedures, collect employer and employee contributions, remits premiums to insurance carriers, provide enrollment services to State and county retirees, administer the federal COBRA Law, assist the Department of Human Resources Development in administration of the State of Hawaii's Premium Conversion Plan and comply with HIPAA privacy and security regulations and policies related to enrollment information.	2

The next administratively attached program is under the Individual Rights major program area.

The BUF 151, Office of the Public Defender program.

I. Introduction

A. The objective of this program is to safeguard the rights of individuals in need of assistance in criminal, mental commitment and family cases by providing constitutionally and statutorily entitled legal services.

II. The major activities undertaken by this program to meet program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
OFFICE OF THE PUBLIC DEFENDER		
BUF 151HA	Provide comprehensive legal and other necessary services to the indigent in criminal, mental commitment and family cases as required by federal and State constitutions, State statutes, judicial rules and decisions and opinions, and the canons of professional ethics.	1

**Department of Budget and Finance
Functions**

Table 1

Division	Description of Function	Activities	Prog (Dis)	Statutory Reference
Departmental Administration and Budget Division	Departmental Administration	Provide administrative support activities to the Department	101/AA	Chapter 26-8, HRS
Budget Planning & Management Division	Staff services to the Governor	Provide staff services for the Governor as required	101/BA	Chapter 26 and 37, HRS
	Statewide analysis and reviews of operations and management - Executive Branch	Perform analysis of State programs, operations, problems, and issues to provide management with a sound, rational basis for decision making	101/BA	Chapter 26 and 37, HRS
	Statewide analysis, review, and budget policy Executive Branch	Conduct continuous reviews and advises the Director and Governor on the financial condition of the State and on State Budget Policies	101/BA	Chapter 26 and 37, HRS
	Statewide financial planning and budgeting - Executive Branch	Assist in the preparation, explanation, and administration of the State's proposed six year program and financial plan and the State budget	101/BA	Chapter 26 and 37, HRS
	Statewide budget execution Implementation Executive Branch	Advise and monitoring of State agencies for compliance with budget execution policies and procedures	101/BA	Chapter 26 and 37, HRS
Collective Bargaining - Statewide	Collective bargaining statewide	Collective bargaining for MOF A, B, N, and P.	102/CB	Chapter 26-8, HRS
Collective Bargaining - Statewide	Collective bargaining statewide	Collective bargaining for MOF W.	102/CC	Chapter 26-8, HRS
Salary Commission - Statewide	Salary Commission recommendation	To provide funding for salary adjustments for the Governor, Lieutenant Governor and specified appointed officials of the Executive Branch.	102/SC	Article XVI, Section 3.5, State Constitution; and Section 26-56, HRS
Vacation Payout Statewide	Centralized vacation payout for all Executive Branch departments, except Department of Education (DOE) and University of Hawaii (UH)	To centralize the general funded vacation payouts for AGR, AGS, ATG, BED, BUF, DEF, GOV, HMS, HRD, HTH, LBR, LNR, LTG, PSD, and TAX.	103/VP	Chapter 26-8, HRS
Financial Administration Division	State Treasury operations	Receive, safeguard, and disburse funds to meet State funding obligations in the State Treasury	115/CA	Chapter 36, 38, and 39, HRS
	State Bond Issuances	Plan, direct, and coordinate the issuance and marketing of bonds	115/CA	Chapter 36 and 39, HRS
	State Unclaimed Property	Receive unclaimed property of funds from holders and safeguards them in trust until they are returned to their rightful owners	115/CA	Chapter 523A, HRS

Department of Budget and Finance
Functions

Table 1

Division	Description of Function	Activities	Prog IDs	Statutory Reference
Employees' Retirement System	Employees' Retirement System (ERS's) Accounting and Financial operations	Budget, account, and safeguard all assets of the ERS; ensure tax qualified status of the fund; and process retirement benefit payments	141/FA	Chapter 88, HRS
	ERS's Investment operations	Plan, administer, and oversee investment of the ERS' portfolio including stocks, bonds, foreign securities, mortgage loans, real estate, alternative investments, and short term instruments	141/FA	Chapter 88, HRS
	ERS's membership services	Provide various membership services including pre-retirement counseling; computation of retirement; disability and death benefits; enrollment of new State and county employees into the appropriate retirement plan; conducting disability hearings and appeals	141/FA	Chapter 88, HRS
Hawaii Employer Union Trust Fund	Hawaii Employer Union Trust Fund (EUTF) - support of customer service and enrollment, accounting, financial management, and regulatory compliance functions	Enrollment, customer service, accounting/financial management, regulatory compliance, and IT support related activities	143/EU	Chapter 87A, HRS
	EUTF - Support of Board of Trustee and management level functions	The EUTF Board determines the nature and scope of the benefit plans offered, negotiates and enters into contracts with insurance carriers, administers self funded plans, establishes eligibility and management policies for the Trust Fund, and oversees all Trust Fund activities.	143/EU	Chapter 87A, HRS
Office of the Public Defender	Legal and other necessary services to the indigents as required by federal and State constitutions	Legal representation of indigent individuals charged with criminal offenses.	151/HA	Chapter 802, HRS
Debt Service Payments - State	State Debt Service payments	Make debt service payments associated with General Obligation and General Obligation Reimbursable Bonds issued by the State	721/ST	Chapter 36 and 39, HRS
Debt Service Payments - Lower Education/DOE	DOE Debt Service payments	Make debt service payments associated with General Obligation and General Obligation Reimbursable Bonds issued by the Department of Education	725/LE	Chapter 36 and 39, HRS
Debt Service Payments - Higher Education/UH	UH Debt Service payments	Make debt service payments associated with General Obligation and General Obligation Reimbursable Bonds issued by the University of Hawaii	728/HE	Chapter 36 and 39, HRS
Retirement Benefits Payments - State	State employer contributions for Retirement Benefits	Make payments for State employer contributions towards State government employee retirement benefits including pension accumulation and social security/Medicare	741/ST	Chapter 26 and 37, HRS

Department of Budget and Finance
Functions

Table 1

Division	Description of Function	Activities	Prog IDs	Statutory Reference
Retirement Benefits Payments - Lower Education/DOE	DOE employer contributions for Retirement Benefits	Make payments for DOE employer contributions towards State government employee retirement benefits including pension accumulation and social security/Medicare	745/LE	Chapter 26 and 37, HRS
Retirement Benefits Payments - Higher Education/UH	UH employer contributions for Retirement Benefits	Make payments for UH employer contributions towards State government employee retirement benefits including pension accumulation and social security/Medicare	748/HE	Chapter 26 and 37, HRS
Health Premium Payments - State	State employer contributions for Health Premiums	Make employer contributions for health benefit premiums for State employees and their dependents (except for Department of Education and the University of Hawaii system)	761/ST	Chapter 26 and 37, HRS
Health Premium Payments - ARC	Health premium payments for the Annual Required Contribution (ARC)	Make employer contributions for health benefit premiums for retirees and prefunding of Other Post-Employment Benefits (OPEB)	762/RC	Chapter 26 and 37, HRS
Health Premium Payments - Lower Education/DOE	DOE employer contributions for Health Premiums	Make employer contributions for health benefit premiums for Department of Education employees and their dependents	765/LE	Chapter 26 and 37, HRS
Health Premium Payments - Higher Education/UH	UH employer contributions for Health Premiums	Make employer contributions for health benefit premiums for University of Hawaii employees and their dependents	768/HE	Chapter 26 and 37, HRS

**Department of Budget and Finance
Department-Wide Totals**

Table 2

Fiscal Year 2020					
Act 5, SLH 2019 Appropriation	Reductions	Additions	Emergency Appropriations	Total FY20	MOF
\$ 3,039,186,589		\$ 6,191,635.00		\$ 3,045,378,224	A
\$ 363,944,000		\$ 48,636.00		\$ 363,992,636	B
					N
					P
\$ 30,246,799				\$ 30,246,799	T
\$ 10,865,887				\$ 10,865,887	U
				\$ -	W
\$ 20,427,078				\$ 20,427,078	X
\$ 3,464,670,353	\$ -	\$ 6,240,271.00	\$ -	\$ 3,470,910,624	Total
Fiscal Year 2021					
Act 5, SLH 2019 Appropriation	Reductions	Additions		Total FY21	MOF
\$ 3,173,753,865	\$ (17,943,783)			\$ 3,155,810,082	A
\$ 377,575,000		\$ 791,045		\$ 378,366,045	B
\$ -		\$ 251,503		\$ 251,503	N
\$ -				\$ -	P
\$ 20,538,966		\$ 401,396		\$ 20,940,362	T
\$ 10,865,887	\$ (6,858,618)			\$ 4,007,269	U
\$ -		\$ 88,804		\$ 88,804	W
\$ 18,679,890		\$ 688,160		\$ 19,368,050	X
\$ 3,601,413,608	\$ (24,802,401)	\$ 2,220,908	\$ -	\$ 3,578,832,115	Total

Department of Budget and Finance
Program ID Totals

Table 3

Prog ID	Program Title	MOF	As budgeted (FY20)			As budgeted (FY21)			
			Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)
BUF 101	Departmental Administration and Budget Division	A	47.00	-	\$ 11,880,515	47.00	-	\$ 11,880,515	47.00
BUF 101	Departmental Administration and Budget Division	B	-	-	\$ 363,944,000	-	-	\$ 377,575,000	-
BUF 101	Departmental Administration and Budget Division	N	-	-	-	-	-	\$ -	-
BUF 101	Departmental Administration and Budget Division	T	-	-	-	-	-	\$ -	-
BUF 101	Departmental Administration and Budget Division	U	-	-	-	-	-	\$ -	-
BUF 101	Departmental Administration and Budget Division	W	-	-	-	-	-	\$ -	-
BUF 102	Collective Bargaining Statewide	A	-	-	-	-	-	\$ -	-
BUF 102	Salary Commission Statewide	A	-	-	-	-	-	\$ -	-
BUF 102	Salary Commission Statewide	B	-	-	-	-	-	\$ -	-
BUF 103	Vacation Payout Statewide	A	-	-	\$ 9,700,000	-	-	\$ 9,700,000	-
BUF 115	Financial Administration Division	A	15.00	-	\$ 2,184,653	15.00	-	\$ 2,184,653	15.00
BUF 115	Financial Administration Division	T	9.00	-	\$ 11,715,179	9.00	-	\$ 11,715,179	9.00
BUF 141	Employees' Retirement System	X	111.00	2.00	\$ 20,427,078	111.00	2.00	\$ 18,679,890	111.00
BUF 143	Hawaii Employer-Union Trust Fund	T	60.00	3.00	\$ 18,531,620	60.00	3.00	\$ 8,823,787	60.00
BUF 151	Office of the Public Defender	A	139.50	-	\$ 12,609,594	139.50	-	\$ 12,473,680	139.50
BUF 721	Debt Service Payments	A	-	-	\$ 395,538,560	-	-	\$ 392,957,669	-
BUF 725	Debt Service Payments - DOE	A	-	-	\$ 341,091,607	-	-	\$ 338,865,983	-
BUF 728	Debt Service Payments - UH	A	-	-	\$ 126,237,547	-	-	\$ 125,413,846	-
BUF 741	Retirement Benefits Payments - State	A	-	-	\$ 396,996,921	-	-	\$ 434,422,195	-
BUF 741	Retirement Benefits Payments - State	U	-	-	\$ 10,865,887	-	-	\$ 10,865,887	-
BUF 745	Retirement Benefits Payments - DOE	A	-	-	\$ 422,894,279	-	-	\$ 471,930,022	-
BUF 748	Retirement Benefits Payments - UH	A	-	-	\$ 189,431,048	-	-	\$ 209,187,118	-
BUF 761	Health Premium Payments - State	A	-	-	\$ 119,680,888	-	-	\$ 122,074,505	-
BUF 762	Health Premium Payments - ARC	A	-	-	\$ 814,659,000	-	-	\$ 842,456,000	-
BUF 765	Health Premium Payments - DOE	A	-	-	\$ 142,378,724	-	-	\$ 145,226,298	-
BUF 768	Health Premium Payments - UH	A	-	-	\$ 53,903,313	-	-	\$ 54,981,350	-

Department of Budget and Finance
Program ID Totals

Table 3

Governor's Submittal (FY20)				Governor's Submittal (FY21)			
Pos (T)	\$\$\$	Percent Change of \$\$\$\$	Pos (P)	Pos (T)	\$\$\$	Percent Change of \$\$\$\$	
-	\$ 11,880,515	0.00%	49.00	-	\$ 12,560,825	5.73%	
-	\$ 363,944,000	0.00%	-	-	\$ 378,266,349	0.18%	
-		#DIV/0!	-	-	\$ 251,503	#DIV/0!	
-		#DIV/0!	-	-	\$ 1,396	#DIV/0!	
-		#DIV/0!	-	-	\$ 7,269	#DIV/0!	
-		#DIV/0!	-	-	\$ 88,804	#DIV/0!	
-		#DIV/0!	-	-	\$ -	#DIV/0!	
-	\$ 247,848	#DIV/0!	-	-	\$ 508,008	#DIV/0!	
-	\$ 48,636	#DIV/0!	-	-	\$ 99,696	#DIV/0!	
-	\$ 9,700,000	0.00%	-	-	\$ 9,700,000	0.00%	
-	\$ 2,184,653	0.00%	16.00	-	\$ 2,626,543	20.23%	
-	\$ 11,715,179	0.00%	9.00	-	\$ 11,715,179	0.00%	
2.00	\$ 20,427,078	0.00%	113.00	2.00	\$ 19,368,050	3.68%	
3.00	\$ 18,531,620	0.00%	60.00	3.00	\$ 9,223,787	4.53%	
-	\$ 12,609,534	0.00%	139.50	-	\$ 12,473,680	0.00%	
-	\$ 395,538,560	0.00%	-	-	\$ 387,307,158	-1.44%	
-	\$ 341,091,607	0.00%	-	-	\$ 333,993,281	-1.44%	
-	\$ 126,237,547	0.00%	-	-	\$ 123,610,466	-1.44%	
-	\$ 396,996,921	0.00%	-	-	\$ 435,091,440	0.15%	
-	\$ 10,865,887	0.00%	-	-	\$ 4,000,000	-63.19%	
-	\$ 428,838,066	1.41%	-	-	\$ 481,012,402	1.92%	
-	\$ 189,431,048	0.00%	-	-	\$ 205,341,161	-1.84%	
-	\$ 119,680,888	0.00%	-	-	\$ 116,598,672	-4.49%	
-	\$ 814,659,000	0.00%	-	-	\$ 842,456,000	0.00%	
-	\$ 142,378,724	0.00%	-	-	\$ 140,054,769	-3.56%	
-	\$ 53,903,313	0.00%	-	-	\$ 52,475,677	-4.56%	

Department of Budget and Finance
Budget Decisions

Table 4

Fund ID	Fnd-Cat	Description of Activity	MCS	Initial Department Requests						Budget and Finance Recommendations						Governor's Decision					
				FY20			FY21			FY20			FY21			FY20			FY21		
				Pos.(F)	Pos.(T)	\$\$	Pos.(F)	Pos.(T)	\$\$	Pos.(F)	Pos.(T)	\$\$	Pos.(F)	Pos.(T)	\$\$	Pos.(F)	Pos.(T)	\$\$	Pos.(F)	Pos.(T)	\$\$
BLF 221	ST	Child service funding for the State (Except DOE and UH)	A						\$ 26,071,867						\$ 26,071,867					\$ (5,650,531)	
BLF 222	LE	Child service funding for the Department of Education (DOE)	A						\$ 22,419,973						\$ 22,419,973					\$ (4,972,702)	
BLF 223	ME	Child service funding for the University of Hawaii (UH)	A						\$ 8,305,004						\$ 8,305,004					\$ (1,801,300)	
BLF 241	ST	Retirement benefits payments for the State (Except DOE and UH)	A						\$ 668,245						\$ 668,245					\$ 668,245	
BLF 242	LE	Retirement benefits payments for the Department of Education (DOE)	A						\$ (8,865,887)						\$ (8,865,887)					\$ (8,865,887)	
BLF 243	ME	Retirement benefits payments for the University of Hawaii (UH)	A						\$ (1,299,770)						\$ (1,299,770)				\$ 5,949,787	\$ 9,081,580	
BLF 244	ST	Health premium payments for the State (except DOE and UH)	A						\$ (8,865,887)						\$ (8,865,887)					\$ (8,865,887)	
BLF 245	LE	Health premium payments for the Department of Education (DOE)	A						\$ (1,299,770)						\$ (1,299,770)					\$ (1,299,770)	
BLF 246	ME	Health premium payments for the University of Hawaii (UH)	A						\$ (8,865,887)						\$ (8,865,887)					\$ (8,865,887)	
BLF 261	SC	Statewide	A			\$ 247,849			\$ 508,008				\$ 247,849		\$ 508,008			\$ 247,849		\$ 508,008	
BLF 262	SC	Statewide	B			\$ 48,636			\$ 99,626				\$ 48,636		\$ 99,626			\$ 48,636		\$ 99,626	
BLF 101	BA	Add 1.00 Program Budget Analyst V (50-342) positions and funds for EPPM to meet workload requirements	A				2.00		\$ 64,976					2.00	\$ 64,976				2.00	\$ 64,976	
BLF 113	CA	Add 1.00 permanent Accountant VI Position (Pos#913030) and funds for internal controls, an investment system, FAMS interface work, and investment advisory services.	A				1.00		\$ 441,890					1.00	\$ 441,890				1.00	\$ 441,890	
BLF 143	LU	Add funds for Data Cleaning Services and Related Operational Services	T						\$ 437,000						\$ 437,000					\$ 437,000	
BLF 141	FA	Investment Office System (Software)	T						\$ 437,000						\$ 437,000					\$ 437,000	
BLF 144	FA	Establish 1.00 Permanent Investment Officer Position	X				1.00		\$ 361,108						\$ 361,108				2.00	\$ 722,216	
BLF 101	BA	Add funds for Other Personnel Services for 2.00 Student Helper positions to assist with archiving files and other workload	A						\$ 77,108						\$ 77,108					\$ 77,108	
BLF 102	BA	Non-Bargaining Unit Minimum Wage Increases, Statewide	A																	\$ 568,316	
BLF 103	BA	Non-Bargaining Unit Minimum Wage Increases, Statewide	B																	\$ 691,349	
BLF 104	BA	Non-Bargaining Unit Minimum Wage Increases, Statewide	H																	\$ 251,503	
BLF 105	BA	Non-Bargaining Unit Minimum Wage Increases, Statewide	Y																	\$ 1,396	
BLF 106	BB	Non-Bargaining Unit Minimum Wage Increases, Statewide	U																	\$ 7,389	
BLF 107	BB	Non-Bargaining Unit Minimum Wage Increases, Statewide	W																	\$ 66,008	

Department of Budget and Finance
Proposed Budget Reductions

Table 5

Proj ID	Sub-Obj	Description of Reduction	Impact of Reduction	MOF	FY20			FY21			FY19 Restriction (Y/N)
					Pos (P)	Pos (T)	SSSS	Pos (P)	Pos (T)	SSSS	
BUF 723	ST	Debt service funding for the State (Except DOE and UH)		A						\$ (5,650,511)	N
BUF 725	LE	Debt service funding for the Department of Education (DOE)		A						\$ (4,872,702)	N
BUF 728	HE	Debt service funding for the University of Hawaii (UH)		A						\$ (1,803,380)	N
BUF 741	ST	Retirement benefits payments for the State (Except DOE and UH)		U						\$ (6,865,887)	N
BUF 748	HE	Retirement benefits payments for the University of Hawaii (UH)		A						\$ (3,845,957)	N
BUF 761	ST	Health premium payments for the State (except DOE and UH)		A						\$ (5,475,834)	N
BUF 765	LE	Health premium payments for the Department of Education (DOE)		A						\$ (5,171,529)	N
BUF 768	HE	Health premium payments for the University of Hawaii (UH)		A						\$ (2,505,703)	N

Department of Budget and Finance
Proposed Budget Additions

Table 6

Prog ID	Sub-Ord	Addition Type	Prog ID Priority	Dist- Wide Priority	Description of Addition	Justification	MOE	FY20			FY21			
								Pos (F)	Pos (T)	\$\$\$	Pos (F)	Pos (T)	\$\$\$	
BUF 741	ST	FE	1	1	Retirement benefits payments for the State (Except DOE and UH)	To adjust funding for retirement benefits payments for the State based on projections from October 11, 2019.	A							\$ 609,245
BUF 745	LE	FE	1	1	Retirement benefits payments for the Department of Education (DOE)	To adjust funding for retirement benefits payments for the State based on projections from October 11 and November 27, 2019.	A		\$ 5,943,787					\$ 9,082,380
BUF 102	SC	OR	1	1	Add funds for Salary commission increases- Statewide	Increase funds for salary increases recommended by the 2019 Salary Commission.	A		\$ 247,848					\$ 508,008
BUF 102	SC	OR	1	1	Add funds for Salary commission increases- Statewide	Increase funds for salary increases recommended by the 2019 Salary Commission.	B		\$ 48,636					\$ 99,696
BUF 101	BA	OR	3	2	Add 2.00 Program Budget Analyst V (SR24-C) positions and funds for BPPM to meet workload requirements	To meet workload requirements providing budget analysis and support.	A				2.00			\$ 84,976
BUF 115	CA	OR	1	3	Add 1 permanent Accountant VI position (Pos#910030) and funds for internal controls, an investment system, FAMIS interface work, and investment advisory services.	To enhance internal controls and program effectiveness by establishing a new position and installing an industry-standard investment management system interfaced with FAMIS.	A				1.00			\$ 441,890
BUF 143	EU	OR	1	4	Add funds for Data Cleansing Services and Related Consultant Services	To provide funds to cleanup data for data conversion from the current Vitach V3 Benefits Administration System (BAS) to the new BAS.	T							\$ 400,000
BUF 141	FA	OR	1	5	Investment Office Systems (Software)	To subscribe to (3) New Vendor Systems (Software) to create a more robust investment information Database, Reduce Costs, and Improve the Opportunity for increased investment return.	X							\$ 427,000
BUF 141	FA	OR	2	6	Establish 2.00 Permanent Investment Officer Positions	To address increased in-house workload requirements to implement and manage ERS's \$17+ billion sophisticated and dynamic investment portfolio from the portfolio complexities and on-going market risks, and help reduce investment expenses.	X				2.00			\$ 261,160
BUF 101	BA	OR	2	7	Add funds for Other Personal Services for 2.00 Student Helper positions	To assist with archiving files and office workload	A							\$ 27,108
BUF 101	BA	OR	3	8	Non-Bargaining Unit Minimum Wage Increases, Statewide	Phased-in minimum wage increases for all State employees who are not part of a collective bargaining unit and earn the minimum wage.	A							\$ 588,226
BUF 101	BA	OR	3	8	Non-Bargaining Unit Minimum Wage Increases, Statewide	Phased-in minimum wage increases for all State employees who are not part of a collective bargaining unit and earn the minimum wage.	B							\$ 691,349

Department of Budget and Finance
Proposed Budget Additions

Table 6

Proj ID	Sub-Obj	Addition Type	Proj ID Priority	Dist: Whole Relative	Description of Addition	Justification	MDC	Pos (FY)	Pos (FY)	\$\$\$	Pos (FY)	Pos (FY)	\$\$\$
BUF 101	BA	OR	3	B Statewide	Non-Bargaining Unit Minimum Wage Increases.	Phased-in minimum wage increases for all State employees who are not part of a collective bargaining unit and earn the minimum wage.	N						\$ 251,503
BUF 101	BA	OR	3	B Statewide	Non-Bargaining Unit Minimum Wage Increases.	Phased-in minimum wage increases for all State employees who are not part of a collective bargaining unit and earn the minimum wage.	T						\$ 1,395
BUF 101	BB	OR	3	B Statewide	Non-Bargaining Unit Minimum Wage Increases.	Phased-in minimum wage increases for all State employees who are not part of a collective bargaining unit and earn the minimum wage.	U						\$ 7,289
BUF 101	BB	OR	3	B Statewide	Non-Bargaining Unit Minimum Wage Increases.	Phased-in minimum wage increases for all State employees who are not part of a collective bargaining unit and earn the minimum wage.	W						\$ 88,804
													\$ 11,610,010

Department of Budget and Finance
 FB 2017 - 2020 Restrictions

Table 7

Fiscal Year	Fund ID	Sub-Dir	MDF	Budgeted by Dept		Difference		Percent Difference	Notes
				Restriction		Returned Budgeted	A. Restricted		
FY17	BUF 101	AA	A	\$ 9,625,265	\$ 35,308	\$ 9,589,957	99.63%	The program will need to closely monitor its expenditures for other current expenses.	
FY17	BUF 101	BA	A	\$ 2,819,717	\$ 39,410	\$ 2,780,307	98.60%	Vacancy savings from positions soon to be filled will most likely cover the restriction this fiscal year, but the program will also closely monitor its other current expenses.	
FY17	BUF 115	CA	A	\$ 2,024,205	\$ 28,292	\$ 1,995,913	98.60%	BUF 115 Bond Counsel - Services are used to respond to inquiries by the Legislature and are also utilized by the Department of the Attorney General. The department is billed on an hourly basis which results in fluctuations based on the number and complexity of assignments made to the General Advice Counsel. Efforts are made to control expenditures, however, flexibility in the budgeting of funds for this purpose is essential to provide the State with sufficient legal advice with respect to the use of tax-exempt General Obligation and Revenue Bonds, Certificates of Participation, Municipal Lease Financing Agreements, and any other financing scenarios where tax exempt financing is contemplated or utilized.	
FY18	BUF101	AA	A	\$ 40,019,183	\$ 90,662	\$ 39,928,521	99.77%	The program will need to closely monitor its expenditures for other current expenses.	
FY18	BUF101	BA	A	\$ 2,316,539	\$ 93,408	\$ 2,223,131	96.01%	The program will also closely monitor its other current expenses.	
FY18	BUF115	CA	A	\$ 2,064,713	\$ 82,356	\$ 1,982,357	96.01%	BUF 115 Bond Counsel - Services are used to respond to inquiries by the Legislature and are also utilized by the Department of the Attorney General. The department is billed on an hourly basis which results in fluctuations based on the number and complexity of assignments made to the General Advice Counsel. Efforts are made to control expenditures, however, flexibility in the budgeting of funds for this purpose is essential to provide the State with sufficient legal advice with respect to the use of tax-exempt General Obligation and Revenue Bonds, Certificates of Participation, Municipal Lease Financing Agreements, and any other financing scenarios where tax exempt financing is contemplated or utilized.	
FY19	BUF101	AA	A	\$ 9,566,366	\$ 55,729	\$ 9,510,637	99.43%	The program will need to closely monitor its expenditures for other current expenses.	
FY19	BUF101	BA	A	\$ 2,122,203	\$ 5,437	\$ 2,116,766	99.74%	The program will also closely monitor its other current expenses.	
FY19	BUF115	CA	A	\$ 11,818,689	\$ 74,759	\$ 11,743,930	99.46%	BUF 115 Bond Counsel - Services are used to respond to inquiries by the Legislature and are also utilized by the Department of the Attorney General. The department is billed on an hourly basis which results in fluctuations based on the number and complexity of assignments made to the General Advice Counsel. Efforts are made to control expenditures, however, flexibility in the budgeting of funds for this purpose is essential to provide the State with sufficient legal advice with respect to the use of tax-exempt General Obligation and Revenue Bonds, Certificates of Participation, Municipal Lease Financing Agreements, and any other financing scenarios where tax exempt financing is contemplated or utilized.	
FY20	BUF101	AA	A	\$ 9,639,429	\$ 120,404	\$ 9,519,026	98.75%	The program will closely monitor its other current expenses.	
FY20	BUF101	BA	A	\$ 2,241,267	\$ 34,056	\$ 2,207,211	99.37%	The program will closely monitor its other current expenses.	
FY20	BUF115	CA	A	\$ 2,184,653	\$ 162,168	\$ 2,022,485	92.58%	The program will closely monitor its other current expenses.	

Department of Budget and Finance
Emergency Appropriation Requests

Table 8

Proj ID	Description of Request	Explanation of Request	MOF	Pos (P)	Pos (T)	\$\$\$
None						

Department of Budget and Finance
Expenditures Exceeding Appropriation Cellings in FY19 and FY20

Table 9

<u>Proj ID</u>	<u>MCF</u>	<u>Date</u>	<u>Appropriation</u>	<u>Amount Exceeding Appropriation</u>	<u>Percent Exceeded</u>	<u>Reason for Exceeding Ceiling</u>	<u>Legal Authority</u>	<u>Recurring (Y/N)</u>	<u>GF (most) (Y/N)</u>
None									

**Department of Budget and Finance
Intradepartmental Transfers in FY19 and FY20**

Table 10

<u>Actual or Anticipated Date of Transfer</u>	<u>MOF</u>	<u>Pos ID</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	<u>From Prog ID</u>	<u>Percent of Program ID Appropriation Transferred From</u>	<u>To Prog ID</u>	<u>Percent of Receiving Program ID Appropriation</u>	<u>Reason for Transfer</u>	<u>Recurring (Y/N)</u>
None										

Department of Budget and Finance
 Vacancy Report as of November 30, 2019

Table 11

Post ID	Sub-Code	Date of Vacancy	Contracted Fill Date	Position Number	Position Title	Exempt (Y/N)	ES Level	BU Code	Perm Term (Y/N)	FTL	MSR	Reinstated Amount	Actual Salary Last Paid	Anticipate in FY (Y/N)	Controlled by ES Rec. Mkt./D/R	# of ES Mkt. Bands	Description if Filled by other Mkt.	Priority # to Outside
BUF101	AA	5/6/2019		00000997	Management Analyst II	N	SR18	75	P	1.00	A	\$ 43,288	\$ 43,288	Y	N		Temporary Assignment	
BUF101	AA	1/1/2019		00031083	Secretary III	N	SR15	60	P	1.00	A	\$ 39,773	\$ 40,048	N	N		Temporary Assignment	
BUF101	BA	12/31/2018		00009797	Prgrs & Budget Analyst Mgr D	N	SR07	85	P	1.00	A	\$ 97,284	\$ 103,028	N	N		Temporary Assignment	
BUF101	BA	12/31/2018		00040878	Program Budget Analyst V	N	SR14	75	P	1.00	A	\$ 69,549	\$ 72,528	Y	N			
BUF141	FA	12/31/2018		00003280	General Professional VI	N	SR25	25	P	1.00	X	\$ 36,468	\$ 36,468	Y	N			
BUF141	FA	11/1/2019	1/16/2020	00003258	Office Assistant III	N	SR08	00	P	1.00	X	\$ 34,260	\$ 30,243	Y	Y	1		
BUF141	FA	12/31/2018		00006490	General Professional VI	N	SR26	20	P	1.00	X	\$ 64,028	\$ 64,028	Y	N			
BUF141	FA	12/31/2018		00012329	Retirement Claims Examiner I	N	SR13	15	P	1.00	X	\$ 78,758	\$ 78,333	Y	N			
BUF141	FA	11/1/2019		00030034	Information Technology Band B	N	SR16	15	P	1.00	X	\$ 64,832	\$ 63,243	Y	N			
BUF141	FA	4/1/2018		00030371	General Professional VI	N	SR26	20	P	1.00	X	\$ 67,188	\$ 67,188	Y	N			
BUF141	FA	5/2/2018		00030643	Procurement & Supply Spec IV	N	SR23	00	P	1.00	X	\$ 47,869	\$ 52,966	Y	N			
BUF141	FA	10/1/2018		00113171	Retirement Claims Examiner II	N	SR18	15	P	1.00	X	\$ 48,971	\$ 48,948	Y	N			
BUF141	FA	10/16/2019		00114813	Retirement Claims Examiner II	N	SR18	15	P	1.00	X	\$ 45,081	\$ 45,288	Y	N			
BUF141	FA	7/1/2019		00122999	Information Technology Band B	N	SR13	15	T	1.00	X	\$ 64,476		Y	N			
BUF141	FA	11/6/2019	1/16/2020	00123124	Accountant III	N	SR20	15	P	1.00	X	\$ 48,948		Y	N			
BUF141	FA	11/6/2019	1/16/2020	00123125	Accountant III	N	SR20	15	P	1.00	X	\$ 48,948		Y	N			
BUF141	FA	New Post		00122564	Departmental Prg Officer	N	DA29	25	P	1.00	X	\$ 123,000		Y	N			
BUF115	CA	8/3/2019	1/16/2019	00019043	Accountant V	N	SR14	75	P	1.00	A	\$ 67,044		Y	N		Temporary Assignment	
BUF143	CA	1/23/2018	1/16/2020	00049341	Public Debt Analyst	N	SR22	75	P	1.00	A	\$ 69,878		Y	N			
BUF143	CU	10/18/2018		00026573	Office Assistant IV	N	SR18	00	P	1.00	Y	\$ 34,020		Y	N			
BUF143	CU	5/1/2019		00030594	Office Assistant IV	N	SR18	00	P	1.00	Y	\$ 32,834		Y	Y	1		
BUF143	CU	6/1/2019		00118727	Office Assistant IV	N	SR18	00	P	1.00	Y	\$ 32,664		Y	N			
BUF143	CU	8/21/2019		00117592	Office Assistant IV	N	SR18	00	P	1.00	Y	\$ 32,664		Y	N			
BUF143	CU	10/15/2019		00118207	Information Technology Band B	N	SRNA	13	P	1.00	Y	\$ 59,814		Y	N			
BUF143	CU	11/21/2019	3/1/2020	00120317	Accountant III	N	SR20	15	P	1.00	Y	\$ 37,334		Y	N		Temporary Assignment	
BUF143	CU	12/1/2018		00121119	Information Technology Band C	N	SRNA	23	P	1.00	Y	\$ 64,476		Y	N			
BUF151	HA	10/29/2018	1/16/2020	00010045	Public Defender	Y	SRNA	00	P	1.00	A	\$ 141,430		Y	N			
BUF151	HA	10/1/2019		00010090	Deputy Public Defender III	Y	SRNA	75	P	1.00	A	\$ 90,840		Y	N			
BUF151	HA	10/1/2019		00010124	PD Appellate Legal GA	Y	SRNA	00	P	1.00	A	\$ 34,332		Y	Y	1		
BUF151	HA	10/1/2019		00010102	Deputy Public Defender II	Y	SRNA	75	P	1.00	A	\$ 90,960		Y	N			
BUF151	HA	10/2/2019	3/1/2020	00010890	Deputy Public Defender IV	Y	SRNA	75	P	1.00	A	\$ 104,088		Y	N			
BUF151	HA	10/2/2019	3/1/2020	00010109	Deputy Public Defender II	Y	SRNA	75	P	1.00	A	\$ 75,840		Y	N			
BUF151	HA	8/1/2019	1/2/2020	00010111	PD Legal Office Assistant	Y	SRNA	00	P	1.00	A	\$ 36,732		Y	Y	2		
BUF151	HA	6/10/2019		00115573	Deputy Public Defender II	Y	SRNA	75	P	1.00	A	\$ 90,960		Y	N			

Department of Budget and Finance
 Positions Established by Acts other than the State Budget as of November 30, 2019

Table 12

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Date Established</u>	<u>Legal Authority</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>FTE</u>	<u>Annual Salary</u>	<u>Filled (Y/N)</u>	<u>Occupied by 89 Day Hire (Y/N)</u>
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None

Department of Budget and Finance
Overtime Expenditure Summary

Table 13

Prog ID	Sub-Grp	Program Title	MOF	FY18 (actual)			FY19 (actual)			FY20 (estimated)			FY21 (budgeted)		
				Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent
BUF101	AA	Administrative Research Office	A	\$ 975,298	\$ 40,571	4.2%	\$ 1,139,953	\$ 42,688	3.8%	\$ 1,662,637	\$ 18,482	1.1%	\$ 1,662,637	\$ 18,482	1.1%
		Budget, Program Planning, and Management Division	A	\$ 1,848,406	\$ 50,533	2.7%	\$ 1,803,345	\$ 81,390	4.5%	\$ 2,095,203	\$ 28,902	1.4%	\$ 2,095,203	\$ 28,902	1.4%
BUF115	CA	Financial Administration Division	A	\$ 785,767	\$ 34,218	4.4%	\$ 806,979	\$ 8,063	1.0%	\$ 1,061,414	\$ 742	0.1%	\$ 1,061,414	\$ 742	0.1%
BUF115	CA	Financial Administration Division	T	\$ 359,327	\$ -	0.0%	\$ 336,278	\$ -	0.0%	\$ 426,026	\$ -	0.0%	\$ 426,026	\$ -	0.0%
BUF151	NA	Office of the Public Defender	A	\$ 10,197,429	\$ -	0.0%	\$ 10,567,851	\$ -	0.0%	\$ 11,436,222	\$ -	0.0%	\$ 11,436,222	\$ -	0.0%
BUF141	FA	Employees' Retirement System	X	\$ 5,994,215	\$ 7,589	0.1%	\$ 6,199,432	\$ 11,886	0.2%	\$ 7,703,736	\$ 36,000	0.5%	\$ 7,703,736	\$ 36,000	0.5%
BUF143	EU	Employer Union Trust Fund	T	\$ 2,622,885	\$ 31,030	1.2%	\$ 2,871,603	\$ 32,256	1.1%	\$ 3,370,779	\$ -	0.0%	\$ 3,370,779	\$ -	0.0%

Note: FY20 (estimated) Overtime figures are the budgeted figures

Department of Budget and Finance
Active Contracts as of December 1, 2019

Table 14

Proc ID	MPE	Amount	Frequency (M/A/Q)	Min Value	Outstanding Balance	Term of Contract			Entity	Contract Description	Expiration of How Contract is Monitored	FGR Y/N	Category: FA/B/C/D/E/C
						Date Executed	From	To					
BUF 101/BA	A	\$ 45,840.00	O - In accordance with RFP SPPM13-01	\$ 50,120.00	\$ 4,280.00	3/14/2016	3/14/2016	Until services are completed	Maximus Consulting Services, Inc.	662076 - (Supplemental contract #1) Statewide cost allocation plan FY15. RFP 4.15.11. First (of four) option to extend 12-month period. Appropriation account G-14-007	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF 101/BA	A	\$ 45,840.00	O - In accordance with RFP SPPM13-01	\$ 50,120.00	\$ 4,280.00	3/23/2015	3/23/2015	Until services are completed	Maximus Consulting Services, Inc.	662076 - (Supplemental contract #2) Statewide cost allocation plan FY16. RFP 4.15.11. Second (of four) option to extend 12-month period. Appropriation account G-15-007	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF 101/BA	A	\$ 45,840.00	O - In accordance with RFP SPPM13-01	\$ 50,120.00	\$ 4,280.00	3/23/2016	3/23/2016	Until services are completed	Maximus Consulting Services, Inc.	662076 - (Supplemental contract #3) Statewide cost allocation plan FY17. RFP 4.15.11. Third (of four) option to extend 12-month period. Appropriation account G-16-007	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF 101/BA	A	\$ 45,840.00	O - In accordance with RFP SPPM13-01	\$ 50,120.00	\$ 4,280.00	3/17/2018	3/17/2018	Until services are completed	Maximus Consulting Services, Inc.	662076 - (Supplemental contract #4) Statewide cost allocation plan FY18. RFP 4.15.11. Fourth (of four) option to extend 12-month period. Appropriation account G-17-007	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF 101/BA	A	\$ 56,000.00	O - In accordance with RFP SPPM13-01	\$ 56,000.00	\$ 4,000.00	6/27/2018	6/27/2018	Until services are completed	MAXIMUS CONSULTING SERVICES	662076 - Statewide cost allocation plan FY19. RFP 4.8.18. Option to extend four 12-month periods. Appropriation account G-18-007	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF 101/BA	A	\$ 56,000.00	O - In accordance with RFP SPPM13-01	\$ 56,000.00	\$ 56,000.00	4/1/2019	4/1/2019	Until services are completed	MAXIMUS CONSULTING SERVICES	662076 - (Supplemental contract #1) Statewide cost allocation plan FY19. RFP 4.8.18. First (of four) option to extend 12-month period. Appropriation account G-19-007	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF 101/AA	A	\$ 14,406.00	M			12/1/2016	12/1/2016	11/30/2021	Xerox Corporation	WorkCentre 7970	Review invoices from vendor in providing deliverables pursuant to the provisions of the capital lease agreement	N	S
BUF 101/AA	A	\$ 14,406.00	M			12/1/2016	12/1/2016	11/30/2021	Xerox Corporation	WorkCentre 7970	Review invoices from vendor in providing deliverables pursuant to the provisions of the capital lease agreement	N	S
BUF 101/BA	A	\$ 40,296.00	M			12/1/2016	12/1/2016	11/30/2021	Xerox Corporation	0110	Review invoices from vendor in providing deliverables pursuant to the provisions of the capital lease agreement	N	S
BUF 111/CA	A	\$ 14,406.00	M			1/2/2017	1/2/2017	1/1/2022	Xerox Corporation	WorkCentre 7970	Review invoices from vendor in providing deliverables pursuant to the provisions of the capital lease agreement	N	S

Department of Budget and Finance
Active Contracts as of December 31, 2019

Table 14

Proc ID	MOE	Amount	Frequency IM/AM/O	Max Value	Original/Est. Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Modified	POA Y/N	Category NA/PA/CA/SA*
BUF 111/CA	A	\$ 14,406.00	M			12/1/2016	12/1/2016	11/30/2021	Xerox Corporation	WorkCentre 7970	Review Invoices from vendor in providing deliverables pursuant to the provisions of the copier lease agreement	N	S
BUF 111/CA	A	\$ 6,901.00	M			2/8/2017	2/8/2017	2/7/2022	Xerox Corporation	W7545PT	Review Invoices from vendor in providing deliverables pursuant to the provisions of the copier lease agreement	N	S
BUF 111/CA	A	\$ 31,540.00	O	\$ 95,000.00	\$ 61,460.00	7/1/2016	7/1/2016	6/30/2020	Katten Muchin Rosenman LLP	General Advice Council	Ongoing communications with the attorney in providing deliverables pursuant to the provisions of the contract.	Y	S
BUF 111/CA	A	\$ 69,500.00	O	\$ 94,500.00	\$ 25,000.00	6/9/2016	6/9/2016	6/30/2021	PFM Financial Advisors LLC	FA - Debi Peley & Alford Study	Ongoing communications with the consultant in providing deliverables pursuant to the provisions of the contract.	Y	S
BUF 111/CA	C	\$ 12,500.00	O	\$ 56,999.00	\$ 44,499.00	6/9/2016	6/9/2016	6/31/2021	PFM Financial Advisors LLC	Municipal Advisor-(SAM)S&F	Ongoing communications with the consultant in providing deliverables pursuant to the provisions of the contract.	Y	S
BUF 111/CA	A	\$ -	O	\$ 177,000.00	\$ 177,000.00	12/12/2019	12/12/2019	12/31/2021	BLM Group LLC	Arbitrage Rebate Compliance Svc	Ongoing communications with the consultant in providing deliverables pursuant to the provisions of the contract.	Y	S
BUF 111/CA	o/a	\$ -	o/a	\$ -	\$ -	12/12/2014	12/12/2014	6/30/2020	Bank of America Merrill Lynch	Investment Services for State Treasury-Agencies	Ongoing communications with the consultant in providing deliverables pursuant to the provisions of the contract.	Y	S
BUF 111/CA	o/a	\$ -	o/a	\$ -	\$ -	3/15/2012	3/15/2012	6/30/2020	UBS Financial Services Inc.	Investment Services for State Treasury-Agencies	Ongoing communications with the consultant in providing deliverables pursuant to the provisions of the contract.	Y	S
BUF 111/CA	A	\$ 1,417,430.53	M	Transaction per fee schedule: budget \$2,600,000 for 5 years	\$ 1,382,579.47	7/1/2015	7/1/2015	6/30/2020	First Hawaiian Bank, Inc.	Banking Svc for State Treasury: Primary Depository incl. Ctg. Tax Stamp; Data Collection Cr for Tax Dept.	Invoices for services are billed on a monthly basis and the Treasury staff reviews the invoices for appropriateness and accuracy and recommends approval for payment.	Y	S
BUF 111/CA	A	\$ 88,550.76	M	Transaction per fee schedule: budget \$200,000 for 5 years	\$ 111,443.24	7/1/2015	7/1/2015	6/30/2020	Wells Fargo Bank N.A.	Banking Svc for State Treasury: ACH payments, lockbox for DHS, OOH-EMS.	Invoices for services are billed on a monthly basis and the Treasury staff reviews the invoices for appropriateness and accuracy and recommends approval for payment.	Y	S
BUF 111/CA	A	\$ 122,280.15	O	Transaction per fee schedule: budget \$200,000 for 5 years	\$ 77,219.83	7/1/2015	7/1/2015	6/30/2020	Wells Fargo Bank N.A.	Custody Bank service for Treasury Investment Portfolio	Invoices for services are billed on a quarterly basis and the Treasury staff reviews the invoices for appropriateness and accuracy and recommends approval for payment.	Y	S

Department of Budget and Finance
Active Contracts as of December 1, 2019

Table 14

Proj ID	MOF	Amount	Frequency (M/A/Q)	Max Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category EA/PAC/DA/PA
BUF 115/CA	n/a	\$ -	n/a	\$ -	\$ -	1/1/2019	1/1/2019	12/31/2028	Ascensus College Savings Recordkeeping Services, LLC	Program Manager for State's HIS29 College Savings Program HIS29	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	Y	\$
BUF 115/CA	A	\$ 4,008.62	O	\$ 4,008.62	\$ -	3/2/2019	3/2/2019	3/1/2020	Armaniino LLP	MSDGP Annual Maintenance-Business Ready Enhancement Plan (16%)	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	Y	\$
BUF 115/CA	A	\$ -	O	\$ 52,250.00	\$ 52,250.00	8/1/2019	8/1/2019	7/31/2020	Wishire Associates Incorporated	Investment Consultant Services (Initial)	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	Y	\$
BUF 115/CA	n/a	\$ -	n/a	\$ -	\$ -	8/8/2017	8/8/2017	7/31/2022	Vining Sparks IBG LP	Investment Broker-Dealer services for state treasury	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	Y	\$
BUF 115/CA	n/a	\$ -	n/a	\$ -	\$ -	8/11/2017	8/11/2017	7/31/2022	FTM Financial Capital Markets	Investment Broker-Dealer services for state treasury	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	Y	\$
BUF 115/CA	T	\$ -	O	\$ 40,241.00	\$ 40,241.00	12/1/2019	12/1/2019	11/30/2020	Avenu Unclaimed Property Systems LLC	Unclaimed Property Systems 2000 annual service support and license fees.	Invoices for service are billed on a quarterly basis and the Unclaimed Property Program Manager reviews invoices for accuracy and recommends approval for payment.	Y	\$
BUF151/HA	A	\$ 1,678.00	M			2/9/2018	3/16/2018	2/9/2023	Xerox Corporation	D110CP copier/printer - Oahu	Review invoices from vendor in providing deliverables pursuant to the provisions of the copier lease agreement.	N	E
BUF151/HA	A	\$ 648.00	M			2/9/2018	3/16/2018	2/9/2023	Xerox Corporation	KC C70 printer - Oahu	Review invoices from vendor in providing deliverables pursuant to the provisions of the copier lease agreement.	N	E
BUF151/HA	A	\$ 380.00	M			2/9/2018	2/16/2018	2/9/2023	Xerox Corporation	C700FCSR - Oahu	Review invoices from vendor in providing deliverables pursuant to the provisions of the copier lease agreement.	N	E
BUF151/HA	A	\$ 450.00	M			2/9/2018	3/16/2018	2/9/2023	Xerox Corporation	C804SH - Oahu	Review invoices from vendor in providing deliverables pursuant to the provisions of the copier lease agreement.	N	E
BUF151/HA	A	\$ 516.00	M			2/9/2018	3/15/2018	2/9/2023	Xerox Corporation	C804SH - Oahu	Review invoices from vendor in providing deliverables pursuant to the provisions of the copier lease agreement.	N	E
BUF151/HA	A	\$ 84.00	M			2/9/2018	4/16/2018	2/9/2023	Xerox Corporation	WC3655S - Oahu C/C	Review invoices from vendor in providing deliverables pursuant to the provisions of the copier lease agreement.	N	E

Department of Budget and Finance
Active Contracts as of December 1, 2019

Table 14

Proj ID	MOF	Amount	Frequency (M/A/S/O)	App Value	Current/Est Balance	Date Enclosed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category ID/PS/SS/SC
BUF151/HA	A	\$ 504.00	M			2/9/2018	2/14/2018	2/9/2023	Kover Corporation	CD45H - Email	Review invoices from vendor in providing deliverables pursuant to the provisions of the capter lease agreement	N	E
BUF151/HA	A	\$ 504.00	M			2/9/2018	2/14/2018	2/9/2023	Kover Corporation	CD45H - Email	Review invoices from vendor in providing deliverables pursuant to the provisions of the capter lease agreement	N	E
BUF151/HA	A	\$ 2,415.00	M			4/13/2016	4/13/2016	4/13/2021	Kover Corporation	W782CP - Mail	Review invoices from vendor in providing deliverables pursuant to the provisions of the capter lease agreement	N	E
BUF151/HA	A	\$ 1,356.00	M			8/10/2015	8/7/2015	8/10/2020	Kover Corporation	XC CFO printer - Mail	Review invoices from vendor in providing deliverables pursuant to the provisions of the capter lease agreement	N	E
BUF151/HA	A	\$ 475.00	M			8/10/2015	8/7/2015	8/10/2020	Kover Corporation	XC00FC3RV - Mail	Review invoices from vendor in providing deliverables pursuant to the provisions of the capter lease agreement	N	E
BUF151/HA	A	\$ 1,040.00	M			8/30/2018	10/1/2018	8/30/2020	Aliza Almeida	Journalist service	Ongoing communications with the contractor in providing deliverables pursuant to the provisions of the contract	N	S
BUF151/HA	A	\$ 589.00	M			6/28/2019	7/1/2019	6/30/2020	Arc of Hilo	Journalist service	Ongoing communications with the contractor in providing deliverables pursuant to the provisions of the contract	N	S
BUF151/HA	A	\$ 346.00	M			6/28/2019	7/1/2019	6/30/2020	Pacific All-American	Journalist service	Ongoing communications with the contractor in providing deliverables pursuant to the provisions of the contract	N	S
BUF151/HA	A	\$ 2,887.00	M			1/20/2016	2/1/2016	1/31/2023	Leah/Hessle	Legal research	Ongoing communications with the contractor in providing deliverables pursuant to the provisions of the contract	N	C
BUF141/FA	X	\$ 780,000.00	M	\$ 780,000.00	\$ 150,000.00	12/29/2015	1/1/2016	12/31/2020	Gabriel Bender Smith & Co	Artiserial Services	Reports submitted; Meetings; Oversight by ERS Staff and Board of Trustees	N	S
BUF141/FA	X	\$ 146,750.00	M	\$ 243,750.00	\$ 146,000.00	8/14/2019	8/15/2019	8/14/2023	Gerald F. McNamee, MD	Member of the Medical Board	Reports submitted; Meetings; Oversight by ERS Staff and Board of Trustees	N	S
BUF141/FA	X	\$ 135,000.00	M	\$ 225,000.00	\$ 131,750.00	8/14/2019	8/15/2019	8/14/2023	Hawman Lam, MD	Member of the Medical Board	Reports submitted; Meetings; Oversight by ERS Staff and Board of Trustees	N	S
BUF141/FA	X	\$ 840,000.00	M	\$ 840,000.00	\$ 159,984.00	5/24/2019	6/1/2019	5/31/2022	Patricia L. Olson, MD	Chairperson of the Medical Board	Reports submitted; Meetings; Oversight by ERS Staff and Board of Trustees	N	S
BUF141/FA	X	\$ 2,310,000.00	O	\$ 2,310,000.00	\$ -	6/20/2016	7/1/2016	6/30/2020	Vitech Systems Group	V1 Software Storage agreement	Reports submitted; Meetings; Oversight by ERS Staff	N	S
BUF141/FA	X	\$ 173,250.00	A	\$ 173,250.00	\$ -	7/1/2019	7/1/2019	6/30/2020	Vitech Systems Group	Database Support and Maintenance	Reports submitted; Meetings; Oversight by ERS Staff	N	S

Department of Budget and Finance
Active Contracts as of December 1, 2019

Table 14

Proc ID	MOF	Amount	Encumbrance (M/A/G)	Act. Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Description of How Contract is Monitored	POS Y/N	Classify (A/B/C/S/M)
BUF141/FA	X	\$ 374,000.00	O	\$ 374,000.00	\$ 174,000.00	7/1/2018	7/1/2018	6/30/2021	Vitech Systems Group	Convert Employer Personnel Data	Reports submitted; Meetings; Oversight by ERS Staff	N	S
BUF141/FA	X	\$ 6,517,533.00	O	\$ 6,517,533.00	\$ 1,876,896.00	6/30/2017	6/30/2017	6/6/2020	Vitech Systems Group	Upgrade V9 to version 10	Reports submitted; Meetings; Oversight by ERS Staff	N	S
BUF141/FA	X	\$ 2,175,000.00	M	\$ 2,635,000.00	\$ 1,087,500.00	3/27/2018	4/1/2018	3/31/2021	Hamilton Lane Advisors, LLC	Consultant for alternative investments	Reports submitted; Meetings; Oversight by ERS Staff and Board of Trustees	N	S
BUF141/FA	X	\$ 1,265,500.00	M	\$ 1,317,500.00	\$ 241,258.33	9/1/2017	7/1/2017	6/30/2020	Penelon Consulting LLC	General Investment Consultant	Reports submitted; Meetings; Oversight by ERS Staff and Board of Trustees	N	S
BUF142/FA	X	\$ 825,000.00	M	\$ 1,714,000.00	\$ 165,864.67	4/25/2017	7/1/2017	6/30/2020	ADN Hewitt	Real estate investment consultant	Reports submitted; Meetings; Oversight by ERS Staff and Board of Trustees	N	S
BUF142/FA	X	\$ 1,249,910.00	O	\$ 1,349,910.00	\$ 1,074,713.00	1/31/2019	1/1/2019	1/31/2022	CGM LLP	Internal Audit services	Reports submitted; Meetings; Oversight by ERS Staff and Board of Trustees	N	S
BUF143/TU	T	\$ -	M	\$ -	\$ -	7/3/2019	11/3/2016	10/31/2020	Bank of Hawaii	Banking services for the EUTF	Ongoing communications with the contractor in providing deliverables pursuant to the provisions of the contract EUTF staff reviews the invoices from the ERS	N	S
BUF143/TU	T	\$ 194,881.45	M	\$ 479,400.00	\$ 278,518.55	4/1/2016	4/1/2016	3/31/2023	OPT Lease	Leased office space	Ongoing communications with the contractor in providing deliverables pursuant to the provisions of the contract	N	L
BUF144/TU	T	\$ 15,934.72	O - As services are performed	\$ 15,934.72	\$ -	1/5/2019	1/21/2019	2/23/2020	ECM Enterprises, the London Networked Solutions	2019 Maintenance Software and additional TASEE licenses	Ongoing communications with the contractor in providing deliverables pursuant to the provisions of the contract	N	S
BUF145/TU	T	\$ 168,000.00	O - As services are performed	\$ 310,000.00	\$ 945,000.00	9/7/2018	9/7/2018	6/30/2021	Gabriel Roeder Smith & Company	Accountant services of other post-employment benefits for the EUTF	Ongoing communications with the contractor in providing deliverables pursuant to the provisions of the contract	N	S
BUF146/TU	T	\$ 27,203.00	O - As services are performed	\$ 100,000.00	\$ 71,797.00	9/15/2019	9/15/2019	12/31/2020	Law Offices of Rich Hyde P.C.	Legal services related to the acquisition of the EUTF's new BAS	Ongoing communications with the contractor in providing deliverables pursuant to the provisions of the contract	N	C
BUF147/TU	T	\$ 131,570.00	O - As services are performed	\$ 158,277.00	\$ 26,707.00	5/7/2019	5/7/2019	9/30/2020	Unica Solutions, Inc.	Consultant services for BAS RFP	Ongoing communications with the contractor in providing deliverables pursuant to the provisions of the contract	N	S
BUF148/TU	T	\$ -	O - As services are performed	\$ 18,333.00	\$ 18,333.00	6/19/2019	1/1/2019	12/31/2019	MCM CPAs & Advisors, LLP	Benefit plan audit service for the EUTF (VSP)	Ongoing communications with the contractor in providing deliverables pursuant to the provisions of the contract	N	S
BUF149/TU	T	\$ -	A	\$ 59,521.00	\$ 59,521.00	4/18/2019	7/1/2019	6/30/2021	Marsh USA Inc.	Insurance Broker services for the EUTF	Ongoing communications with the contractor in providing deliverables pursuant to the provisions of the contract	N	S

Department of Budget and Finance
Active Contracts as of December 1, 2019

Table 14

Proc ID	MOY	Amount	Encumbr. (M/AS)	Max Value	Outstanding Balance	Date Expired	From	To	FISCY	Contract Description	Extension of Now Contract is Anticipated	COA Y/N	Category: SA/PS/OS/SC
BUF143/EU	T	\$ 232,174.00	O - As services are performed	\$ 288,138.66	\$ 55,964.66	6/30/2017	6/30/2017	6/30/2020	Pacific Business Forms, Inc.	Printing, distribution, and mailing services of the DUTY Reference Guide and other printed material for active and retired employees	On-going communications with the contractor in providing deliverables pursuant to the provisions of the contract	N	S
BUF143/EU	T	\$ 1,294.88	M	\$ 2,597.76	\$ 1,294.88	7/17/2017	7/17/2017	7/16/2023	Pitney Bowes	Mailing machine, folding/stuffer machine	DUTY staff reviews the invoices from Pitney Bowes	N	E
BUF143/EU	T	\$ 137,083.00	O - As services are performed	\$ 275,000.00	\$ 137,917.00	2/13/2019	7/1/2019	6/30/2020	The Segal Company (Western States), Inc.	Benefit plan consulting services	On-going communications with the contractor in providing deliverables pursuant to the provisions of the contract	N	S
BUF143/EU	T	\$ 4,101,344.00	O - As services are performed	\$ 4,840,070.00	\$ 738,726.00	9/29/2016	9/29/2016	9/28/2020	Wach Systems Group, Inc.	Support services and maintenance and operational services	On-going communications with the contractor in providing deliverables pursuant to the provisions of the contract	N	S
BUF143/EU	T	\$ 5,144.16	M	\$ 10,288.32	\$ 5,144.16	2/1/2016	2/1/2016	2/1/2023	Kerox	Copy/printer	DUTY staff reviews the invoices from Kerox	N	E

**Department of Budget and Finance
Capital Improvements Program (CIP) Requests**

Table 15

<u>Prog ID</u>	<u>Prog ID</u> <u>Priority</u>	<u>Dept- Wide</u> <u>Priority</u>	<u>Senate</u> <u>District</u>	<u>Rep.</u> <u>District</u>	<u>Project Title</u>	<u>MOF</u>	<u>FY20 \$\$\$</u>	<u>FY21 \$\$\$</u>
BUF 101	1	1	14	29	Bishop Museum Fire Safety Improvements, Oahu	C	none	\$ 900,000

**Department of Budget and Finance
CIP Lapses**

Table 16

<u>Proj ID</u>	<u>Act/Year of Appropriation</u>	<u>Project Title</u>	<u>MOF</u>	<u>Lapse Amount \$\$\$\$</u>	<u>Reason</u>
None					

Department of Budget and Finance
Program ID Sub-Organizations

Table 17

Program ID	Sub-Org Code	Name	Director
BLUF 101	AA	Departmental Administration and Budget Division	To plan, direct, and coordinate comprehensive programs, services and functions which include the Department's administration of fiscal/accounting tasks, budget and management services, personnel services, contracts administration, and systems and procedures.
BLUF 101	BA	Departmental Administration and Budget Division	To facilitate and improve the executive resource allocation process by thorough planning, programming, and budgeting and analyze, through sound recommendations on all phases of program scope and funding, and by efforts to simplify and more directly tie program performance with resource allocation decisions (MOF A, B, N).
BLUF 101	BB	Departmental Administration and Budget Division	To facilitate and improve the executive resource allocation process by thorough planning, programming, and budgeting and analyze, through sound recommendations on all phases of program scope and funding, and by efforts to simplify and more directly tie program performance with resource allocation decisions (MOF U, W).
BLUF 102	CB	Collective Bargaining Statewide	To facilitate the allotment and disbursement of statewide collective bargaining appropriations (MOF A, B, N, P).
BLUF 102	CC	Collective Bargaining Statewide	To facilitate the allotment and disbursement of statewide collective bargaining appropriations (MOF W).
BLUF 102	SC	Salary Commission - Statewide	To provide salary adjustments for the Governor, Lieutenant Governor and specified appointed officials of the Executive Branch pursuant to the 2010 Commission on Salaries.
BLUF 103	VP	Vacation Payout Statewide	To contractize the general fund/vacation payouts for AGS, ACS, ATC, BCD, BLF, CDF, GOV, HHS, MHD, NTH, LBR, LMR, LXD, PSD, and TAZ.
BLUF 115	CA	Financial Administration Division	To maximize the value, investment, and use of State funds through proactive planning, the development of prudent statewide planning policies, the timely scheduling of State bond financing and the establishment of appropriate cash management controls and procedures.
BLUF 141	FA	Employees' Retirement System	To administer the retirement and survivor benefits program for State and county members and to anticipate and exceed their needs; manage the retirement system's resources in a responsible and cost-effective manner; prudently manage investments in accordance with fiduciary standards; and to provide an open and participative work environment for staff.
BLUF 143	EU	Employer Union Trust Fund	To administer health and life insurance benefits for eligible active and retired State and county public employees and their dependents by: 1) providing quality service levels to employee-beneficiaries and their dependent-beneficiaries, and 2) complying with federal and state legal requirements.
BLUF 151	HA	Office of the Public Defender	To safeguard the rights of individuals by providing statutorily entitled and effective legal representation in criminal, mental commitment, and family cases in compliance with Chapter 802, Hawaii Revised Statutes, and the Hawaii Rules of Professional Conduct; prudently manage Deputy Public Defender and support service resources and caseloads; and maintain a quality training program for Deputy Public Defender staff.
BLUF 721	ST	Debt Service Payments - State	To make and record debt service payments for all Departments, except the Department of Education (DOE) and the University of Hawaii (UH), in a timely and accurate manner.
BLUF 725	LE	Debt Service Payments - DOE	To make and record debt service payments for the Department of Education (DOE) in a timely and accurate manner.
BLUF 728	HE	Debt Service Payments - UH	To make and record debt service payments for the University of Hawaii (UH) in a timely and accurate manner.
BLUF 741	ST	Retirement Benefits Payments - State	To provide employer contributions for Pension Accumulation and Social Security/Medicare to the Employees' Retirement System (ERS) for all Departments, except the Department of Education (DOE) and the University of Hawaii (UH) in an effective and timely manner.
BLUF 745	LE	Retirement Benefits Payments - DOE	To provide employer contributions for Pension Accumulation and Social Security/Medicare to the Employees' Retirement System (ERS) for the Department of Education (DOE) in an effective and timely manner.
BLUF 748	HE	Retirement Benefits Payments - UH	To provide employer contributions for Pension Accumulation and Social Security/Medicare to the Employees' Retirement System (ERS) for the University of Hawaii (UH) in an effective and timely manner.
BLUF 761	ST	Health Premium Payments - State	To provide employer contributions for health premiums to the Hawaii Employer-Union Health Benefits Trust Fund (EUTF) for all Departments, except the Department of Education (DOE) and the University of Hawaii (UH) in an effective and timely manner.
BLUF 762	RC	Health Premium Payments - ARC	To provide employer contributions for health benefit premiums for retirees and precluding of Other Post-Employment Benefits (OPEB) to the Hawaii Employer-Union Health Benefits Trust Fund (EUTF) for all Departments.
BLUF 765	LE	Health Premium Payments - DOE	To provide employer contributions for health premiums to the Hawaii Employer-Union Health Benefits Trust Fund (EUTF) for the Department of Education (DOE) in an effective and timely manner.
BLUF 768	HE	Health Premium Payments - UH	To provide employer contributions for health premiums to the Hawaii Employer-Union Health Benefits Trust Fund (EUTF) for the University of Hawaii (UH) in an effective and timely manner.

**Department of Budget and Finance
Organization Changes**

Table 18

<u>Year of Change FY20/FY21</u>	<u>Description of Change</u>
2018 Oct 23	ERS' Office of the Executive Director Establish a Chief Compliance Office in the Office of the Executive Director under the direct supervision of PN 107820, Retirement System Executive Director.
2018 Dec 7	EUTF 1. Establish EUTF Investment Specialist (Pseudo No. 980230) authorized by Act 53, SLH 2018, in the Investment Office, under the direct supervision of Position No. 121858, EUTF Investment Officer. 2. Establish EUTF Business Analyst (Pseudo No. 980260) authorized by Act 49, SLH 2017, under the direct supervision of Position No. 113038, Health Benefits Trust Fund Assistant Administrator.
2019 Aug 15	EUTF's Office of the EUTF Administrator and Member Services Branch 1. Recognize the Office of EUTF Administrator as an organizational segment in the EUTF. 2. Combine the Enrollment and Customer Service Sections into one section-Member Services Section to improve customer services efficiency in areas such as phone calls, walk-ins, emails, and enrollment forms processing. 3. Reflect the proper organizational placement for PN 120769, EUTF Procurement Specialist; PN 120193, EUTF Member Services Specialist, and PNs 116357 and 121541, Office Assistant IIIs
2019 Aug 15	ERS' Accounting Branch 1. Vary Pseudo Nos. 900020 and 900030, as authorized by Act 15, SLH 2019, from Retirement Claims Examiner III, SR-20, to Accountant III, SR-20 2. Place these positions in the Disburse and Benefits Section under the direct supervision of Position No. 3767, Accountant V.